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ORGANIC LETTERS

2009 Vol. 11, No. 8 1753–1756

Syntheses and Structures of Phenyl-C₈₁-Butyric Acid Methyl Esters (PCBMs) from M₃N@C₈₀

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Received February 7, 2009

ABSTRACT



Two new 6,6-open phenyl- C_{81} -butyric acid methyl ester metallofulleroids, $M_3N@C_{80}PCBM$ (M = Sc, Y), were synthesized by diazoalkane addition reactions and fully characterized. The results demonstrate that the reactive sites are the same for $M_3N@C_{80}$ (M = Sc, Y) but dramatically different from that of C_{60} .

Modified fullerenes and metallofullerenes with good solubility and processability are of great importance for expanding their potential applications in material science. For example, the methanofullerene phenyl- C_{61} -butyric acid methyl ester $(C_{60}PCBM)^2$ has been widely utilized as an electron-acceptor in bulk heterojunction (BHJ) solar cells. Trimetallic nitride

templated (TNT) endohedral metallofullerenes, 4 especially $Sc_3N@C_{80}$, as the most abundant species in the endohedral metallofullerene family, are expected to exhibit novel properties relative to empty cage fullerenes and their derivatives due to the electron-transfer from the endohedral cluster to the carbon cage. However, TNT metallofullerenes are less reactive than empty fullerenes and classical EMFs due to the formal transfer of six electrons from the metal atoms to the fullerene cage, which leads to a closed shell electronic structure and an increase of the HOMO–LUMO gap with a corresponding reduction in reactivity. 5 Herein, we report

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Scheme 1. Synthesis of M₃N@C₈₀PCBMs

TNT analogues of C₆₀PCBM, which are significantly different from C₆₀PCBM. The demonstration that diazoalkanes are effective reagents for functionalization of TNT EMFs provides an alternative to Diels—Alder,⁶ Bingel-Hirsch,⁷ Prato⁸ and free radical⁹ reactions for synthesis of derivatives of these novel species for various applications.

 $M_3N@C_{80}PCBMs$ were prepared from $M_3N@C_{80}$ and methyl 4-benzoylbutyrate p-tosylhydrazone (1) (Scheme 1).

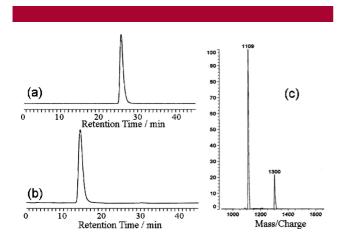


Figure 1. HPLC profiles of $Sc_3N@C_{80}PCBM$ (2a) on (a) PYE and (b) PBB columns. (c) Positive mode MALDI-TOF MS of 2a.

 $Sc_3N@C_{80}PCBM$ (**2a**) was isolated in 30% yield based on consumed $Sc_3N@C_{80}$ after silica gel column chromatography and subsequently purified by high performance liquid chromatography (HPLC) using a PYE [2-(1'-pyrenyl)ethyl silica] column. The HPLC traces of **2a** on PYE and PBB [pent-

abromobenzyloxypropyl silica] columns consist of single symmetric peaks centered at retention times of 25.2 min (Figure 1a) and 14.0 min (Figure 1b), respectively, revealing pure isomers as confirmed by $^{1}\mathrm{H}$ and $^{13}\mathrm{C}$ NMR spectroscopy. The MALDI-TOF mass spectrum exhibits a strong molecular ion peak from $2a^{+}$ at m/z 1300 (Figure 1c). The peak at m/z 1109 is attributed to Sc₃N@C₈₀ formed by loss of the exohedral functional groups as usually observed under laser desorption conditions. 9 In similar fashion, Y_{3} N@C₈₀PCBM (2b) was synthesized and purified in 34% yield.

In the UV-vis absorption spectrum of **2a** (Figure 2), the lowest energy transition occurs at 736 nm, similar to that of pristine $Sc_3N@C_{80}$, ¹⁰ revealing that the C_{80} chromophore is

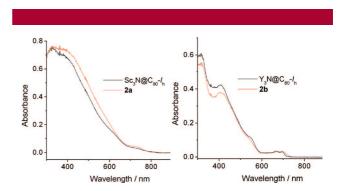


Figure 2. (left) UV—vis spectra (in toluene) of $Sc_3N@C_{80}PCBM$ (**2a**, red line) and $Sc_3N@C_{80}$ - I_h (black line); (right) $Y_3N@C_{80}PCBM$ (**2b**, red line) and $Y_3N@C_{80}$ - I_h (black line).

minimally perturbed by the functional group and suggesting an open π -homoaromatic structure. Similarly, the UV-vis spectrum of the yttrium analog **2b** resembles that of its precursor, Y₃N@C₈₀ (Figure 2), with a maximum at 693 nm, again consistent with a fulleroid structure. Ta

Addition at the 5,6-ring junction of the C_{80} cage results in two sets of nonequivalent hydrogens from the functional groups, since the pentagons and hexagons on the carbon cage provide different chemical environments, but addition at the 6,6-ring junction produces only one set of proton signals. ^{8a,9a}

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In the ¹H NMR spectra of **2a** and **2b**, only one set of signals was observed: for **2a** 1.98 (2H, m, J = 7.5 Hz), 2.41 (2H, t, J = 7.5 Hz), 2.73 (2H, m, J = 5.5 Hz), 3.65 (3H, s), 7.49 (1H, m), 7.55 (2H, m) and 7.94 (2H, d, J = 7.0 Hz) ppm; for **2b** 2.06 (2H, m, J = 7.0 Hz), 2.45 (2H, t, J = 7.0 Hz), 2.81 (2H, m, J = 5.5 Hz), 3.68 (3H, s), 7.50 (1H, m), 7.56 (2H, m) and 8.08 (2H, m, J = 8.0 Hz) ppm, revealing that addition of the functional group took place at a 6,6-junction.

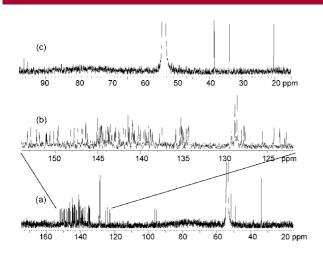


Figure 3. (a) 13 C NMR spectrum (150 MHz, CD₂Cl₂/CS₂ = 20/80 v/v, doped with chromium acetylacetonate) of Sc₃N@C₈₀PCBM (**2a**), and expanded parts from (b) 122 ppm to 154 ppm and (c) 15 ppm to 98 ppm.

The ¹³C NMR spectrum of **2a** (Figure 3) contains resonances at 172.13 (CO₂CH₃), 129.27, 128.91, 128.87 (2C), 128.61 (2C), 51.51 (CO₂CH₃), 49.16 (PhCCH₂), 38.46 (PhCCH₂), 33.77 (CH₂CO₂) and 20.31 (CH₂CH₂CO₂) ppm for the functional group and another 80 resonances in the range of 95-155 ppm for the carbon cage, consistent with C_1 symmetry. Notably, the bridged fullerene C-atoms (C1) on a 6-membered ring and C41 on a 5-membered ring in Figure 4 below) are assigned at δ 95.55 and 96.49 ppm in agreement with an "open" methano-bridged transannular bond due to π -homoaromaticity.⁵ The structure of this final product is dramatically different from the analogous² and similar¹¹ diazo derivatives of C₆₀ (6,6-closed and 5,6-open isomers) formed under the same conditions. Likewise, the ¹³C NMR spectrum of **2b** is similar to that of **2a**, having resonances at 172.44 (CO₂CH₃), 51.09 (CO₂CH₃), 52.04 (PhCCH₂), 38.39 (PhCCH₂), 33.43 (CH₂CO₂) and 19.47 (CH₂CH₂CO₂) ppm for the functional group and another 84 resonances in the range of 95–150 ppm for the carbon cage and phenyl group, also in agreement with C_1 symmetry. Notably, the ¹³C NMR signals of sp² hybridized carbons of the C₈₀ cage for Y₃N@C₈₀PCBM exhibit a larger chemical shift range relative to those of Sc₃N@C₈₀PCBM, indicating that the different clusters inside the cage do influence the properties of the molecule.

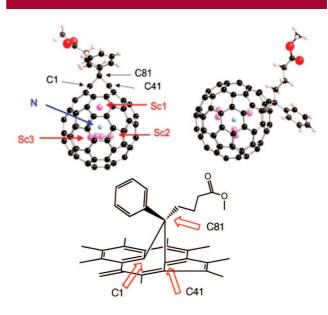


Figure 4. Two views of one enantiomer of $Sc_3N@C_{80}PCBM$ (2a) showing the two positions of two of the Sc atoms of the disordered Sc_3N cluster. The solvate molecules are omitted for clarity.

Black crystals of $2a^{\circ}(CS_2)_3$ and $2b^{\circ}CS_2$ suitable for X-ray diffraction were obtained by liquid diffusion of hexane into solutions of 2a and 2b in CS_2 at 4 °C. The two enantiomers of 2a/2b present in the crystals lead to apparent disorder in the positions of the cage atoms. And as observed in similar compounds, 7a the Sc_3N and Y_3N clusters are truly disordered. The Sc_2 and Sc_3 atoms can be modeled with 2-position disorder with relative occupancies of 0.737(5) and 0.263(5), respectively, but attempts to model Y_2 and Y_3 of Y_3 failed. The structures shown in Figures 4 and 5 represent one

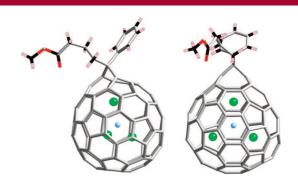


Figure 5. Two views of one enantiomer of $Y_3N@C_{80}PCBM$ (**2b**) showing one position of the Y atoms of the disordered Y_3N cluster. The solvate molecules are omitted for clarity.

enantiomer of **2a** and **2b**, respectively. Both of them prove unambiguously that addition occurred at a 6,6-ring junction. The distance between the bridgehead carbon atoms (C1 and C41) of the cage is 2.116(4) Å for $Sc_3N@C_{80}PCBM$ and 2.218 Å for $Y_3N@C_{80}PCBM$, much longer than that of

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 $Sc_3N@C_{80}$ - I_h [1.421(2) Å]. These results are consistent with an open structure and comparable to other metallofulleroids: $Y_3N@C_{80}C(CO_2CH_2Ph)_2^{7a}$ [2.30(3) Å for one orientation of the cage and 2.28(4) Å in the other] and La@C₈₂(Ad)¹³ (2.097 Å). The Sc₃N and Y₃N clusters are planar; the sums of the angles are 359.95° (0.74)/359.39° (0.26) for the former and 360.0° for the latter. The planar structure of the Y₃N cluster in Y₃N@C₈₀PCBM is consistent with another 6,6open case derived from a Bingel reaction, Y₃N@C₈₀C-(CO₂CH₂Ph)₂;^{7a} in contrast the cluster geometry is different from that of the pyrrolidino adduct of Y₃N@C₈₀ in which the cluster is slightly pyramidal. ¹⁴ The Sc1/Y1••C1 distances are 2.447(3)/2.524(6) Å and the Sc1/Y1••C41 distances are 2.456(3)/2.544(6) Å, respectively, much longer than in $Sc_3N@C_{80}$ - I_h [2.188(9) and 2.170(1) Å], indicating that the functional group leads to distortion of the carbon cage. Notably, under the same conditions, the addition of unsymmetrically substituted diazoalkanes on C₆₀ produces two isomers, a 6,6-closed structure (methanofullerene) and a 5,6open structure (fulleroid);2,15 the Prato addition on $Sc_3N@C_{80}^{16}$ and $Y_3N@C_{80}^{8a,17}$ produces 5,6- and 6,6pyrrolidino adducts, respectively; the Bingel reaction works well on Y₃N@C₈₀ but fails on Sc₃N@C₈₀. ^{8a} However, in this diazoalkane addition reaction, both $Sc_3N@C_{80}$ and $Y_3N@C_{80}$ produce single stable PCBM isomers with 6,6-open structures.

Interestingly, similar cyclic voltammetric (CV) profiles were observed for ${\bf 2a}$ and ${\rm Sc_3N@C_{80}}$ (Figure 6). The first reduction of ${\bf 2a}$ is chemically reversible but electrochemically irreversible, reminiscent of the starting material, ${\rm Sc_3N@C_{80^-}}I_h$, whose $^{\rm red1}E_p$ and $^{\rm ox1}E_p$ are consistent with previous reports. 10 The $^{\rm red1}E_p$ of ${\bf 2b}$ (see Supporting Information) is cathodically shifted 40 mV relative to ${\rm Y_3N@C_{80^-}}I_h$, whereas that of Sc analog is cathodically shifted 100 mV relative its precursor. These results demonstrate the large electronic effects of encapsulated metal clusters in the TNT endohedrals vs empty cage systems such as ${\rm C_{60}}$.

In conclusion, two new TNT metallofullerene derivatives were synthesized by diazoalkane addition and characterized in detail. The successful demonstration that diazoalkanes are

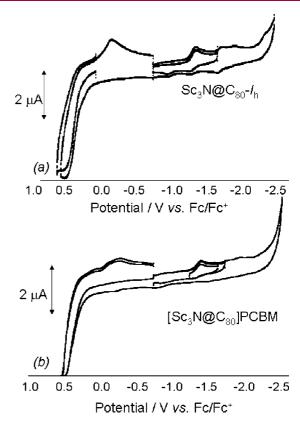


Figure 6. Cyclic voltammograms of (a) $Sc_3N@C_{80}$ and (b) $Sc_3N@C_{80}PCBM$ (2a). Scan rate, 250 mV/s. Solvent: 1,2-dichlorobenzene. Values are relative to the ferrocene/ferrocenium couple.

effective reagents for functionalization of these compounds provides an alternative to Diels—Alder, Bingel-Hirsch, Prato and free radical types of reactions for synthesis of derivatives of these novel species for various applications.

Acknowledgment. We are grateful for support of this work by the National Science Foundation [DMR-0507083], the National Institutes of Health [1R01-CA119371], and the National Natural Science Foundation of China [20702053].

Supporting Information Available: Details of synthesis of **2**; HLPC profiles and MS spectra of **2b**; ¹H, ¹H-¹H COSY, HMQC NMR spectra of **2**; ¹³C NMR spectrum of **2b** and FTIR spectrum of **2a**; cyclic voltammograms of Y₃N@C₈₀ and Y₃N@C₈₀PCBM (**2b**), electrochemical methods; thermal ellipsoid drawings, crystal data, structure refinement of **2a/2b** and crystallographic files for **2a**·(CS₂)₃ and **2b**·CS₂ in CIF format; scheme showing formation of racemic **2a/2b**. This material is available free of charge via the Internet at http://pubs.acs.org.

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