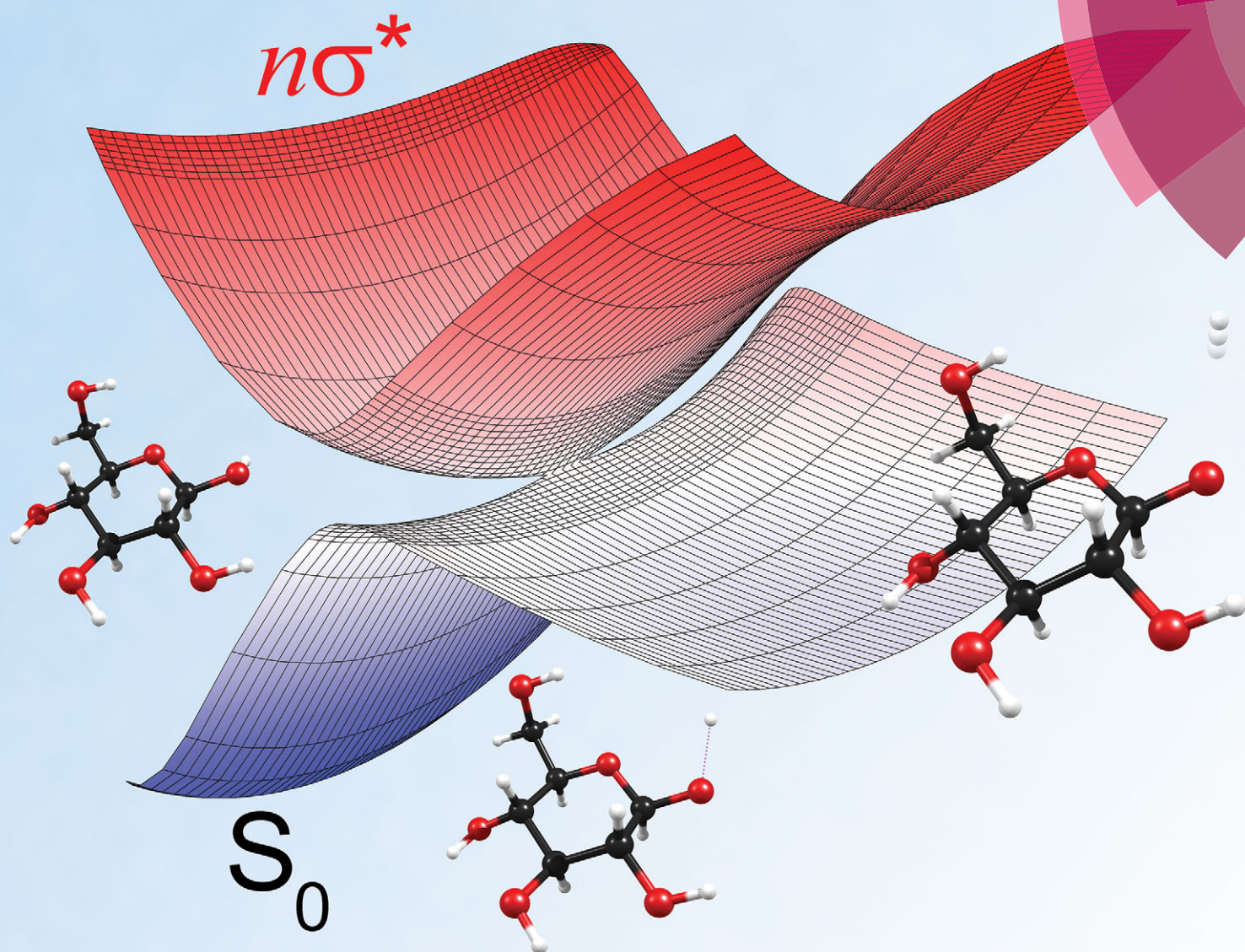


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Physical Chemistry Chemical Physics

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ISSN 1463-9076



PAPER

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Electronically excited states and photochemical reaction mechanisms of β -glucose

Electronically excited states and photochemical reaction mechanisms of β -glucose†

Cite this: *Phys. Chem. Chem. Phys.*,
2014, 16, 38

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Carbohydrates are important molecular components of living matter. While spectroscopic and computational studies have been performed on carbohydrates in the electronic ground state, the lack of a chromophore complicates the elucidation of the excited-state properties and the photochemistry of this class of compounds. Herein, we report on the first computational investigation of the singlet photochemistry of β -glucose. It is shown that low-lying singlet excited states are of $n\sigma^*$ nature. Our computations of the singlet vertical excitation energies predict absorption from 6.0 eV onward. Owing to a dense manifold of weakly-absorbing states, a sizable and broad absorption in the ultraviolet-C range arises. We have explored two types of photochemical reaction mechanisms: hydrogen-detachment processes for each of the five O–H groups and a C–O ring-opening process. Both types of reactions are driven by repulsive $n\sigma^*$ states that are readily accessible from the Franck–Condon region and lead to conical intersections in a barrierless fashion. We have optimized the geometries of the conical intersections involved in these photochemical processes and found that these intersections are located around 5.0 eV for the O–H hydrogen-detachment reactions and around 4.0 eV for the C–O ring-opening reaction. The energies of all conical intersections are well below the computed absorption edge. The calculations were performed using linear-response methods for the computation of the vertical excitation energies and multiconfigurational methods for the optimization of conical intersections and the computation of energy profiles.

Received 6th June 2013,
Accepted 24th July 2013

DOI: 10.1039/c3cp52359d

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1 Introduction

Glucose is a constituent of many forms of biological matter found in nature, for example, sucrose (table sugar), lactose (milk sugar), amylose (starch), and cellulose, the biopolymer found in the cell walls of green plants and the most abundant organic material on earth. Carbohydrates (also known as saccharides or

sugars) fulfill a multitude of biological functions. They are part of nucleic acids (DNA and RNA), glycoproteins, proteoglycans, glycolipids, glycoside hydrolases, and glycosyltransferases.¹

For decades, carbohydrates have been the focus of many experimental, spectroscopic, and computational investigations. Studies include the exploration of the conformational space and structural properties of mono-,^{2–12} di-,^{13–16} oligo-, and polysaccharides,^{17–20} chemical reactions (condensation and isomerization,^{21–26} hydrogen abstraction²⁷ and (photo-)fragmentation^{28–31}) and the computation of vibrational spectra.^{32–34} Progress has also been made in the computational simulation of the structure of biopolymers such as cellulose^{35,36} as well as computations of their physical and chemical properties.^{37–39}

Computational and experimental studies of carbohydrates were reviewed by Imberty and Péres.⁴⁰ A review on joint spectroscopic and computational studies of carbohydrates in the gas phase was given by Simons *et al.*,⁴¹ and a review on the merits of using quantum chemistry to investigate various aspects of carbohydrate chemistry by da Silva.⁴² Considerable efforts were put into the development and evaluation of suitable force-field,^{43,44} semi-empirical,⁴⁵ and *ab initio* methods^{46–48} for the study of carbohydrates.

Despite a number of computational studies published to date, we are not aware of any computational study on the

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† Electronic supplementary information (ESI) available: Differences in the electron densities of the excited states and the ground state. Comparison of scans along the C1–C2 elongation and the C2–C1–O1 bending coordinate originating from the conical intersection for the O1–H hydrogen-detachment process shown in Fig. 3. Linearly interpolated reaction paths between the ground-state equilibrium geometry and the conical intersections for the remaining four O–H groups (O2–H, O3–H, O4–H, O6–H). Nuclear-displacement vectors of the branching-space vectors of the four remaining conical intersections. Linear approximation of the potential-energy surfaces of the ground state and the $n\sigma^*$ state in the branching space of the conical intersection for the C1–O5 ring-opening process shown in Fig. 7. Potential energies of the ground state and the $n\sigma^*$ state in the vicinity of a conical intersection along the C2–C3 ring-opening reaction coordinate. Cartesian coordinates of the ground-state equilibrium geometry and all conical intersections. See DOI: 10.1039/c3cp52359d

excited states and photochemical reaction mechanisms of carbohydrates. Herein, we report on results for the singlet vertical excitation energies, the absorption spectrum and photochemical reaction mechanisms of β -glucose (more precisely, β -D-glucopyranose), which we chose as a representative of this huge class of biomolecules. The present investigation is the first of its kind for a carbohydrate. Previous research on the possible conformations of β -glucose in the ground state^{2–9} was helpful for the present work.

The study of the photochemical and photophysical properties of the “molecules of life”—bioorganic molecules that constitute living matter—has been a very active and fruitful field of research for more than a decade. The combination of gentle laser evaporation of biomolecules with sophisticated double-resonance spectroscopic techniques in supersonic jets or molecular traps allows highly precise spectroscopic investigations of biomolecules with aromatic chromophores, for example, the DNA bases and the aromatic amino acids.^{49–52} The electronic and vibrational spectra can be assigned to specific conformers or tautomers of these flexible biomolecules by matching the experimental spectra to first-principles calculations.⁵² Extensive spectroscopic and computational studies have been performed for the five nucleobases^{53–57} and the nucleosides and nucleotides,^{50,53,57} as well as hydrogen-bonded base pairs.^{58,59} The determination of the conformational preferences of aromatic amino acids and of peptides with aromatic chromophores is of relevance for the understanding of protein folding.^{60–62} While excited-state lifetimes of biomolecules or supramolecular complexes could be measured only in exceptional cases,⁶³ the excited-state lifetime is implicit in the observed spectra. A sufficiently long excited-state lifetime is required for the detection of resonant two-photon ionization or laser-induced fluorescence. The fact that the spectra of the energetically most stable conformers or tautomers are often not observed is a clear indication that ultrafast (sub-picosecond) radiationless excited-state deactivation processes prevail.^{50–53,63} It has been argued that these ultrafast excited-state quenching processes provide biological matter with a particularly high degree of UV photostability.^{52,53,64–66}

Carbohydrates, like non-aromatic amino acids, lack a chromophore and therefore do not exhibit sharp, intense and sparse UV spectra suitable for double-resonance spectroscopy. Simons and co-workers tagged carbohydrates with a phenyl chromophore, which allowed them to obtain structural information on monomeric and oligomeric carbohydrates in the gas phase.^{10,14,41} Owing to their flexible nature and the lack of any symmetry, carbohydrates also are a challenge for computational investigations. For these reasons, very little is known on the mechanistic details of the photochemistry of even the simplest carbohydrates. In the present work, we aim at closing this gap of knowledge by computational investigations of the photochemistry of glucose.

It is a widely accepted paradigm that photochemical reactions are governed by critical points on the excited-state potential-energy surfaces, so-called conical intersections or photochemical funnels.^{67,68} These photochemical funnels are believed to be of comparable significance for excited-state chemistry as are transition states for ground-state chemistry.^{67,69} The unique features

of conical intersections are a complete breakdown of the Born–Oppenheimer approximation and an exceptionally strong local anharmonicity of the potential-energy surfaces, which leads to a very efficient energy exchange between vibrational degrees of freedom.⁶⁸ The conical intersection can act as a funnel that directs the photochemical reaction to specific photoproducts.⁷⁰ The analysis of the topography of the lower adiabatic energy surface near a conical intersection can provide qualitative predictions of the relative yields of photoproducts. Photochemical reactions that are aborted at conical intersections of the S_1 and S_0 energy surfaces are a very efficient mechanism for ultrafast internal conversion, that is, recovery of the reactant after photoexcitation.^{67–71} The quenching of deleterious photochemical reactions by ultrafast internal conversion is believed to be decisive for a high intrinsic photostability of DNA bases as well as amino acids and peptides with aromatic chromophores.^{64–66}

2 Results

2.1 Vertical excitation energies and absorption spectra

We consider in the present work a conformer of β -D-glucopyranose (for simplicity called β -glucose in the following) that is, according to calculations,^{2–9} one of the most stable conformers in the gas phase. This conformer is characterized by the following structural properties (*cf.* Fig. 3 for the numbering of atoms): (1) a 4C_1 chair conformation, that is, all OH groups and the CH_2OH group occupying an equatorial position; (2) a counterclockwise orientation of the four OH groups connected to carbon atoms C1 to C4; (3) a *gauche-gauche* orientation of the CH_2OH group with respect to the C4–C5 and C5–O5 bonds; and (4) a *syn*-orientation of the C6–OH group with respect to the C5–C6 bond.

While glucose can be found in numerous conformations regarding the torsion angles of the OH groups, the barriers for the conversion of the conformation described in the previous paragraph into rotamers range from around 9 to 20 kJ mol^{–1} (at the DFT level). For comparison, the harmonic vibrational frequencies of the OH torsional modes of this conformer are found in the range 400–600 cm^{–1} (4.8–7.2 kJ mol^{–1}). It is therefore reasonable to assume that the OH torsional angles can be considered as fixed for a given conformer. Herein, we investigate the photochemistry of the lowest-energy conformer with respect to the OH torsional angles.

According to the Franck–Condon principle, excitation of a molecule by absorption of a photon proceeds in a vertical manner, that is, without a change of the nuclear geometry during the electronic transition. The trajectory of nuclear motion on the excited-state potential-energy surface starts in the Franck–Condon region vertically above the minimum of the ground-state potential well. The calculation of the vertical electronic excitation energies of the ground-state equilibrium geometry is the starting point for the computational simulation of the absorption spectrum as well as the photochemical reaction dynamics.

To our knowledge, neither the vertical excitation energies nor the absorption spectra of any carbohydrate have been

calculated using *ab initio* methods so far. The most popular method for the computation of excitation energies of organic molecules is the time-dependent density functional theory (TDDFT) method. We compared the performance of this method with the CC2 method, which is an approximate second-order coupled cluster method (*cf.* Computational methods). The vertical excitation energies (*cf.* Table 1) predict the location of the lowest singlet excited state at 6.52 eV (CC2) or at 6.22 eV (TDDFT). Glucose thus absorbs only in the UV-C range of the electromagnetic spectrum. The oscillator strengths of all individual excitations are lower than 0.09 (*cf.* Fig. 2), which reflects the lack of a chromophore. Although the individual excitations possess only low oscillator strengths, the latter add up to a sizable magnitude: summation of the oscillator strengths for the first 50 excited states yields 0.4164 (CC2) or 0.3066 (TDDFT).

The dipole moment of the ground state is 3.42 D at the CC2 level. The dipole moments of the first 50 excited states range from as low as 0.94 to as high as 9.91 D.

Fig. 1 shows the self-consistent-field (SCF) orbitals at the HOMO–LUMO frontier. The HOMO – 1 and the HOMO are mainly lone-pair orbitals of the oxygen atoms O5 and O6 with some σ -bonding contributions. The LUMO and LUMO + 1 are σ^* orbitals of partial Rydberg character of the O–H bonds connected to the carbon atoms C1 and to C2 and C3,

Table 1 Singlet vertical excitation energies (in eV) and oscillator strengths (*f*) computed with the CC2 and TDDFT methods. The states are listed in ascending order of energy. (For details, consult the section Computational methods.)

State	CC2/eV	<i>f</i>	TDDFT/eV	<i>f</i>
2 ¹ A	6.52	0.0087	6.22	0.0047
3 ¹ A	6.88	0.0028	6.44	0.0035
4 ¹ A	6.90	0.0151	6.58	0.0007
5 ¹ A	7.04	0.0119	6.65	0.0124
6 ¹ A	7.05	0.0094	6.70	0.0027
7 ¹ A	7.18	0.0080	6.72	0.0089
8 ¹ A	7.30	0.0344	6.77	0.0015

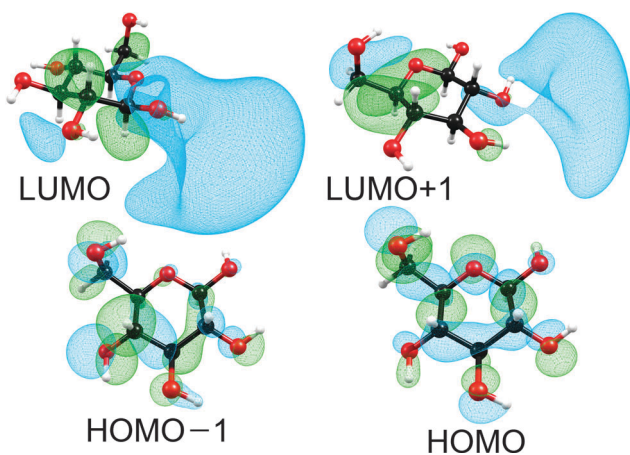


Fig. 1 Self-consistent-field orbitals at the HOMO–LUMO frontier. For the HOMOs an isosurface value of 0.03 was used, while for the LUMOs a value of 0.015 was used.

respectively (*cf.* Fig. 3 for the numbering of atoms). These SCF orbitals look very similar to the Kohn–Sham orbitals used in the TDDFT computation. Basically, all low-lying excited states are generated by excitation of electrons from lone-pair orbitals of one or several oxygen atoms to diffuse antibonding σ^* orbitals of one or several O–H or C–H bonds (the σ^* orbitals of the O–H bonds are lower in energy than the σ^* orbitals of the C–H bonds). Therefore, all these low-lying excited states can be classified as $n\sigma^*$ states of partial Rydberg character. This $n\sigma^*$ nature is further illustrated by the differences in the electron densities of the excited states and the ground state shown in Fig. S1 in the ESI.†

The absorption spectra computed at the CC2 and the TDDFT levels obtained by convolution of the stick spectra with Gaussian envelopes are shown in Fig. 2. Despite the low oscillator strengths of individual transitions, a sizable absorption occurs due to the high density of excited electronic states. The absorption edge is located around 6.1 eV (CC2) or 5.9 eV (TDDFT), a local peak is located around 7.8 eV (CC2) or 7.5 eV (TDDFT), and a shoulder is located near 7.0 eV (CC2) or 6.8 eV (TDDFT). The absorption profiles continue to increase toward higher energies. We cannot

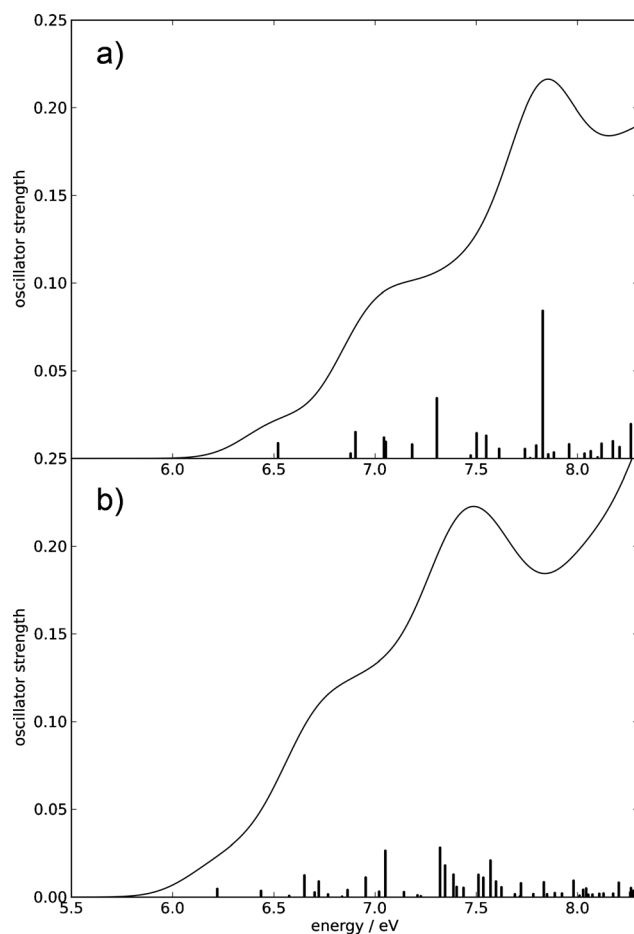


Fig. 2 Absorption spectra of β -glucose computed using the CC2 method (a) and the TDDFT method (b) up to 8.3 eV. The spectral envelopes were obtained by convolution of the stick spectra using Gaussian functions of 0.4 eV FWHM. (For details, consult the section Computational methods.)

compare our computed spectra to spectroscopic results, since we were unable to find an experimentally recorded gas-phase absorption spectrum of glucose.

2.2 Potential-energy surfaces and conical intersections: hydrogen-detachment reactions

The exploration of the lowest singlet excited-state potential-energy surface (S_1) from the Franck–Condon region to the conical intersection with the electronic ground state (S_0), at which the system can convert its excess electronic energy into vibrational energy and deactivate to the electronic ground state, is required for the understanding of the kinetic feasibility of a particular deactivation pathway. In order to describe a photochemical reaction one has to explore the reaction path from the Franck–Condon region to the relevant conical intersection connecting the excited state with the ground state.^{72–75} This strategy was dubbed the “pathway approach” by Fuß *et al.*⁷⁶

Fig. 3 shows the potential-energy profiles of the ground state and the $n\sigma^*$ excited state obtained for the linearly interpolated reaction path (*cf.* Computational methods) from the ground-state equilibrium geometry (full black circle at the lower left) to the conical intersection (full black and red circles on the right) for the hydrogen-detachment process of the O–H group located at the carbon atom C1. Clearly, the reaction path on the $n\sigma^*$ potential-energy surface is barrierless from the Franck–Condon region to the conical intersection. The conical intersection is located at around 5.0 eV, about 1.5 eV below the lowest vertical excitation energy.

As one can see from the molecular orbitals shown in the insets, the $n\sigma^*$ state corresponds to the excitation of an electron from the lone-pair orbital of the oxygen atom to the

antibonding σ^* orbital of the O–H bond. At short O–H distances, the σ^* orbital exhibits a partial Rydberg character. As the distance between the two nuclei is increased, this orbital contracts and at longer distances collapses to the 1s orbital of the hydrogen atom.

At a point of degeneracy of two potential-energy surfaces of like multiplicity, that is, a point of conical intersection, only two nuclear degrees of freedom, the so-called branching-space vectors, are able to lift the degeneracy. The other $3N - 8$ degrees of freedom describe the motion of the system along the multi-dimensional intersection seam, along which degeneracy is preserved.⁶⁸ Fig. 4 shows the nuclear displacements of the orthogonalized branching-space vectors of the conical intersection shown in Fig. 3, namely, the gradient-difference vector \mathbf{g} and the non-adiabatic-coupling vector \mathbf{h} . The gradient-difference vector \mathbf{g} describes the molecular distortion of maximal splitting of the two intersecting potential-energy surfaces. The non-adiabatic-coupling vector \mathbf{h} describes the molecular distortion that leads to the strongest non-adiabatic coupling between the two adiabatic electronic states.⁶⁸ Fig. 4 shows that the effective reaction coordinate depicted in Fig. 3, the elongation of the O–H bond length, corresponds to the nuclear displacements of the non-adiabatic-coupling vector \mathbf{h} . The gradient-difference vector \mathbf{g} , on the other hand, is a combination of bond-length and bond-angle alterations involving mainly the nuclei O1, C1 and C2 (*cf.* Fig. 3 for the numbering of atoms). The two most pronounced distortions are the C1–C2 elongation and the C2–C1–O1 bending.

A linear approximation of the potentials in the branching space,⁷⁷ that is, the shape of the intersecting potential-energy surfaces in close proximity to the conical intersection, is shown in Fig. 5. The slope of the ground-state potential-energy surface towards the negative direction of the \mathbf{h} vector (which corresponds to the recombination of the hydrogen atom and the glucosyl radical and thus to an aborted photochemical reaction), is steeper than the slope towards the positive direction (which corresponds to the fragmentation yielding a glucosyl radical and a hydrogen atom). This topography classifies this conical intersection, according to Atchity *et al.*,⁷⁸ as a sloped one, that is, the gradient of the ground-state potential-energy surface towards the O–H recombination is steep, whereas towards the fragmentation products it is only weakly sloped. The topography of the conical intersection thus suggests that the probability of an aborted photoreaction regenerating the reactant could be substantial.

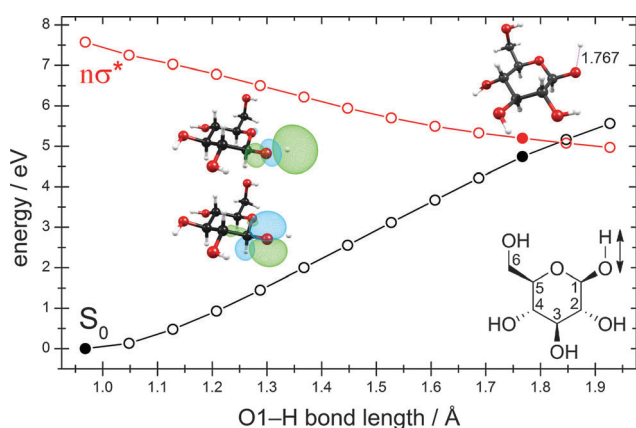


Fig. 3 Energy profiles of the ground state and the $n\sigma^*$ state along the stretching coordinate of the O–H group located at the carbon atom C1. The profiles were obtained by linear interpolation between the ground-state equilibrium geometry (full black circle at the lower left) and the conical intersection (full black and red circles at the right). The last two geometries were obtained by rigid scan originating from the conical intersection. The insets show the lone-pair orbital of the oxygen atom and the σ^* orbital of the O–H bond. Also shown is the structure of the conical intersection with the value of the O–H internuclear distance and the structural formula with the numbering of the C atoms. (For details, consult the section Computational methods.)

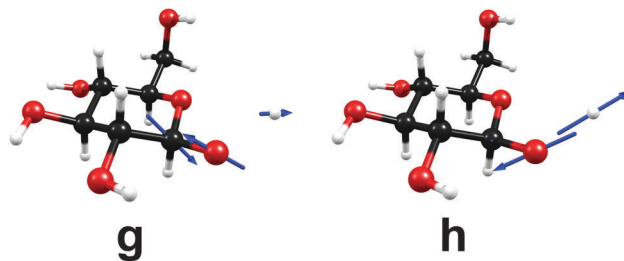


Fig. 4 Nuclear-displacement vectors of the gradient-difference vector \mathbf{g} and the non-adiabatic-coupling vector \mathbf{h} of the conical intersection shown in Fig. 3. (For details, consult the section Computational methods.)

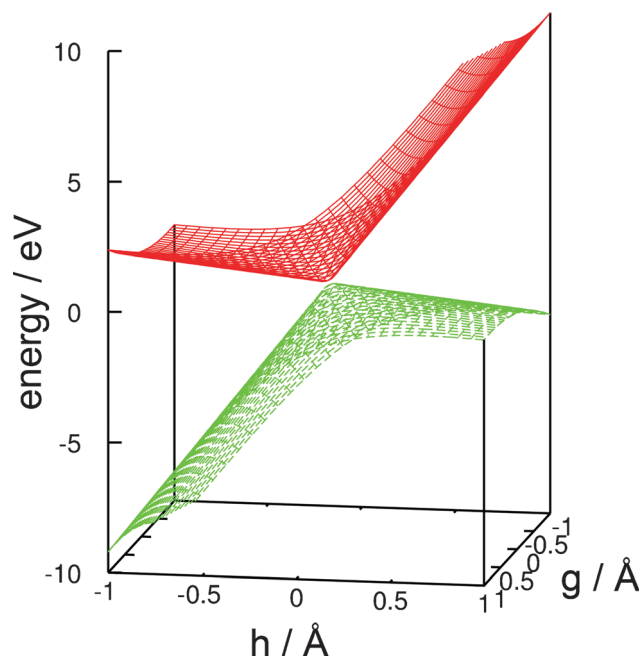


Fig. 5 Linear approximation of the potential-energy surfaces of the ground state and the $n\sigma^*$ state in the branching space of the conical intersection shown in Fig. 3. (For details, consult the section Computational methods.)

In order to determine the most suitable second internal coordinate for a more extended two-dimensional scan of the potential-energy surfaces of the ground state and the $n\sigma^*$ state (the first coordinate is the O–H bond length), we computed the extent of the splitting of the energy between the intersecting states for several internal coordinates approximating the nuclear displacements of the gradient-difference vector \mathbf{g} (cf. Fig. 4) of the conical intersection shown in Fig. 3. Fig. S2 in the ESI† shows the extent of the splitting of the energy of the ground-state and $n\sigma^*$ potential-energy surfaces near the point of conical intersection. The figure shows that the splitting of the energy along the C2–C1–O1 bending coordinate is more pronounced than the splitting along the C1–C2 elongation coordinate. We therefore chose the C2–C1–O1 bending coordinate as an approximation for the nuclear displacements of the gradient-difference vector \mathbf{g} for the computation of the extended potential-energy surfaces shown in Fig. 6.

In Fig. 6, we highlight four distinct regions. The first region, (A), is the ground-state equilibrium region. The second region, (B), is the excited-state Franck–Condon region that the molecule is promoted to by absorption of a photon. The third region, (C), is the region of conical intersection between the potential-energy surfaces of the ground state and the $n\sigma^*$ state. As mentioned before, the system can move from the Franck–Condon region along the potential-energy surface of the $n\sigma^*$ state towards this conical intersection without having to overcome any barriers. At the conical intersection, (C), the photoreaction can proceed along at least two routes. One route is the regeneration of the reactant (A). In this case, the process is an aborted photochemical reaction and the outcome is radiationless deactivation of the excited state

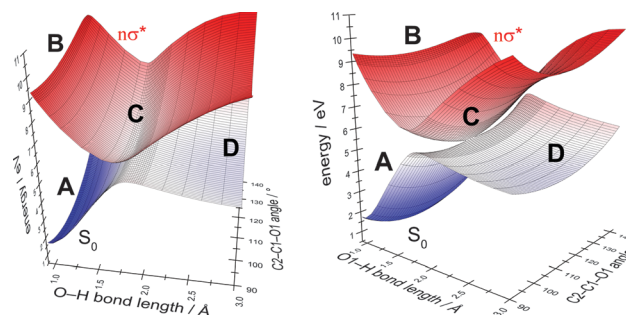


Fig. 6 Two-dimensional adiabatic potential-energy surfaces of the ground state and the $n\sigma^*$ excited state for the O1–H elongation and the C2–C1–O1 bending coordinate of the C1–OH group (shown from two perspectives). Four distinct regions of the energy surfaces are shown: the ground-state equilibrium geometry (A), the Franck–Condon region on the excited-state potential-energy surface (B), the conical intersection (C) and the photoproducts (D). In the case of an aborted photoreaction, the reactant (A) is regenerated after passage through the conical intersection.

(also known as internal conversion). The other possible route is dissociation towards the photoproducts (region D), which correspond to a glucosyl radical and a hydrogen atom.

The reaction paths, conical intersections and branching-space vectors for the hydrogen-detachment reactions of the other four O–H groups of β -glucose are shown in Fig. S3–S7 in the ESI.†

2.3 Potential-energy surfaces and conical intersections: ring-opening reactions

We were able to optimize the conical intersection between the electronic ground state and the lowest $n\sigma^*$ state for the ring-opening reaction breaking the C1–O5 bond. Given the ground-state equilibrium geometry and the conical intersection, a linearly interpolated reaction path (cf. Computational methods) was constructed between these two structures. The resulting energy profiles are shown in Fig. 7. Interestingly, this conical intersection is located at around 4.0 eV with respect to the ground-state equilibrium geometry and is thus about 1.0 eV lower than the conical intersection for the hydrogen-detachment reactions of the O–H groups (cf. Fig. 3 and Fig. S3–S6 in the ESI†).

The nuclear-displacement vectors of the gradient-difference vector \mathbf{g} and the non-adiabatic-coupling vector \mathbf{h} of the conical intersection related to C1–O5 bond-breaking are shown in Fig. 8. In this case, the gradient-difference vector \mathbf{g} is the effective reaction coordinate shown in Fig. 7, that is, the ring-opening/ring-closing motion. The non-adiabatic-coupling vector \mathbf{h} is a combination of bending coordinates mainly involving the nuclei C5, C4 and C1.

The linear approximation of the potentials in the branching space in close proximity to the conical intersection is shown in Fig. S8 in the ESI.† Again, the ground-state potential-energy surface exhibits the steeper slope for the ring-closing reaction than for the direction leading to a biradical open-chain sugar, which classifies this conical intersection as a sloped one.⁷⁸ The topography of this conical intersection seems to favor the aborted photochemical reaction in which the reactant (the cyclic form of

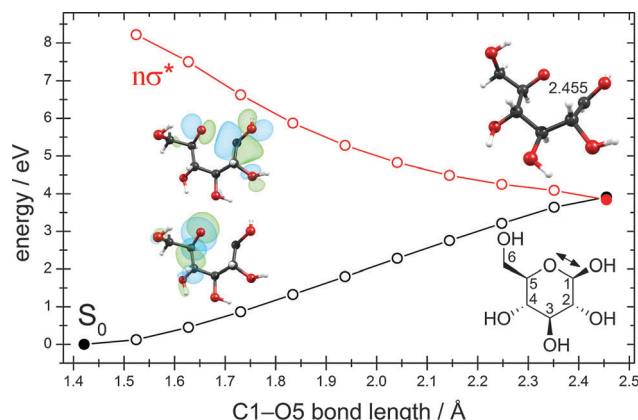


Fig. 7 Energy profiles of the ground state and the $n\sigma^*$ state along the C1–O5 ring-opening coordinate. The profiles were obtained by linear interpolation between the ground-state equilibrium geometry (full black circle at the lower left) and the optimized conical intersection (full black and red circles at the right). The insets show the lone-pair orbital of the oxygen atom and the σ^* orbital of the C1–O5 bond. Also shown is the structure of the conical intersection with the value of the C1–O5 internuclear distance and the structural formula with the numbering of the C atoms. (For details, consult the section Computational methods.)

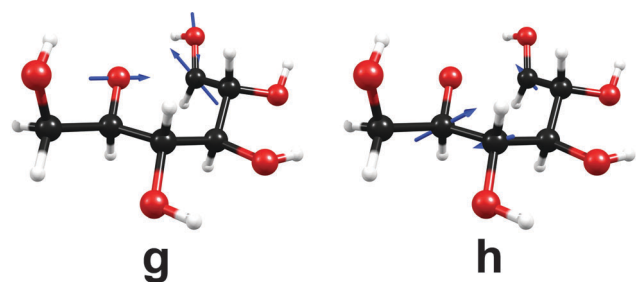


Fig. 8 Nuclear-displacement vectors of the gradient-difference vector **g** and the non-adiabatic-coupling vector **h** of the conical intersection shown in Fig. 7. (For details, consult the section Computational methods.)

β -glucose) is regenerated. The successful photoreaction yielding the biradical open-chain sugar appears less favorable due to the small gradient of the ground-state potential-energy surface in the direction leading to this photoproduct.

While the optimization of the conical intersection for the C1–O5 ring-opening process was unproblematic, this was not the case for the four possible C–C ring-opening reactions. We were unsuccessful in optimizing the conical intersection for any of these ring-opening processes. In principle, conical intersections should exist for these reactions. This is shown by Fig. S9 in the ESI† In a series of calculations we were able to obtain two points of the reaction path for the C2–C3 ring-opening reaction, one with a C2–C3 distance of 2.49 Å, the other with a distance of 2.75 Å. Although the energies of the points are too high due to the use of geometries optimized for the ground state, this figure nevertheless reveals that between these two geometries a crossing of the energies of the ground state and the ring-opening $n\sigma^*$ state must exist. From this

figure, the (non-optimized) energy of this conical intersection can be estimated to be about 6.0 eV.

3 Discussion and conclusions

We have performed the first detailed computational investigation of the singlet vertical excitation energies, the absorption spectrum and possible photochemical reaction pathways of β -glucose in the gas phase. We have shown that the lowest excited electronic states are of $n\sigma^*$ character, originating from excitation of lone-pair electrons of oxygen into O–H antibonding σ^* orbitals of partial Rydberg character. The estimated absorption profile shows an onset at around 6.0 eV and a local peak near 7.5 or 7.8 eV. Although individual excitations exhibit only very low oscillator strengths, the sum of all oscillator strengths leads to a sizable absorption in the UV-C region.

Our results on photochemical pathways indicate that two generic types of reaction processes dominate the photochemistry of β -glucose: hydrogen-detachment processes, which have been known for many systems of aromatic and non-aromatic character, and ring-opening processes. Both are potential photochemical reactions (which means they may yield photoproducts, namely, a glucosyl radical and a hydrogen atom in the case of a hydrogen-detachment reaction, and a biradical open-chain sugar in the case of a ring-opening reaction), but are also potential radiationless deactivation channels regenerating the reactant. We have shown that the relevant conical intersections are readily accessible *via* barrierless reaction paths from the Franck–Condon region. The topography of the involved conical intersections indicates that the probability of aborted photoreactions could be higher than the probability of the generation of photoproducts. The conical intersections appear to be highly efficient channels for internal conversion. The one- and two-dimensional energy profiles of the ground-state and $n\sigma^*$ potential-energy surfaces for the hydrogen-detachment channels (*cf.* Fig. 3 and 6) show the typical behavior of an X–H detachment reaction (X being an oxygen, nitrogen or sulfur atom) as previously reported for the $\pi\sigma^*$ and $n\sigma^*$ states of phenol,^{79–81} pyrrole^{80–83} and several other aromatic and non-aromatic molecules.^{80,81,83–85}

While the conical intersections for the hydrogen-detachment reactions are located around 5.0 eV (*cf.* Fig. 3 and Fig. S3–S6 in the ESI†), the conical intersection for the C–O ring-opening reaction is located near 4.0 eV (*cf.* Fig. 7). The energies of both types of conical intersections are well below the computed absorption edge of glucose and should thus be readily accessible for radiationless deactivation of electronically excited molecules. Although the conical intersection for the C–O ring-opening reaction is about 1.0 eV lower in energy than the conical intersections for the hydrogen-detachment processes, we expect the latter to prevail due to the faster motion of the light hydrogen atom. This hypothesis, however, can only be proven by photofragmentation spectroscopy^{81,84,85} or by computational studies of the non-adiabatic nuclear-wavepacket or mixed quantum-classical surface-hopping dynamics.^{63,79,86–94}

Although we were unable to optimize the conical intersections for the C–C ring-opening reactions, we can confirm based

on the results of test calculations that they exist and are located below 6.0 eV (*cf.* Fig. S9 in the ESI†). Our results indicate that the C–O ring-opening channel should be energetically favored compared to the C–C ring-opening channel.

While no photodissociation experiments have been performed on carbohydrates so far, numerous experiments have been performed on methanol. Methanol can be regarded as a “methylene-hydrate”, and as such, as the simplest building block of carbohydrates. The absorption spectrum of methanol reveals the lowest-lying excited states to be of $n \rightarrow 3s$ and $n \rightarrow 3p$ Rydberg character.⁹⁵ We predict a UV absorption for glucose with an onset of around 200 nm which is also very similar to the UV absorption behavior of methanol.⁹⁵ It has been concluded that a transition to a state of valence $\pi\sigma^*$ character occurs upon elongation of the C–O or the O–H bonds of methanol.^{81,96} A study of the photodissociation dynamics of methanol revealed that the hydrogen-detachment process occurs on a repulsive excited-state potential-energy surface⁹⁶ and exhibits a higher energy threshold than the C–O bond fission,⁹⁷ but is, nevertheless, the dominating channel due to the lower mass of the hydrogen atom.⁹⁶ For glucose, we have found that the conical intersections for the hydrogen-detachment reactions are located higher in energy than the intersections for the C–O ring-opening reaction, which seems to be analogous to the theoretical findings on methanol.⁸¹ Nevertheless, it can be expected that the hydrogen-detachment reactions will dominate over the C–O ring-opening processes due to the lower mass of the hydrogen atom.

It is likely that other carbohydrates will exhibit the same photochemical reaction mechanisms that we have analyzed for glucose in this work. The hydrogen-detachment channels for O–H groups and the ring-opening channels for C–O and C–C ring-opening should be generic for the entire class of compounds.

The present study could be the starting point for further investigations of the excited-state chemistry of carbohydrates. It remains to be explored what kind of photophysical and photochemical properties of carbohydrates are the underlying cause for the diversity with which nature has incorporated this class of compounds into a multitude of molecular systems in the course of biological evolution. It also remains to be investigated how these photochemical reaction mechanisms of carbohydrates are modified when they are bound to typical chromophores, for example, in nucleosides and nucleotides. Furthermore, it is possible that the conversion of carbohydrates from cyclic forms, that is, hemiacetals or hemiketals, into open-chain forms, that is, hydroxy-aldehydes or hydroxy-ketons, could also be accomplished *via* a photochemical mechanism.

Another challenge is the understanding of the excited-state deactivation mechanisms in carbohydrate oligomers and polymers, for example, cellobiose (the glucose dimer) or cellulose (the glucose polymer). The vast number of hydrogen bonds in these structures may offer a multitude of radiationless deactivation channels *via* intramolecular proton-transfer reactions along hydrogen bonds.

4 Computational methods

The ground-state equilibrium geometry of the conformer of β -glucose described in Section 2.1 was optimized at the MP2

level (Møller–Plesset perturbation theory of second order) using the correlation-consistent split-valence polarized double-zeta basis set (cc-pVDZ)⁹⁸ and the resolution-of-the-identity (RI) approximation. The energy of the ground-state equilibrium geometry at the respective levels of theory served as the reference energy for the determination of vertical excitation energies and relative energies of potential-energy surfaces. The barriers for the conversion of the conformer into rotamers and the harmonic vibrational frequencies were determined at the DFT level using the hybrid functional B3LYP^{99,100} and the basis sets cc-pVDZ and aug-cc-pVTZ,⁹⁸ respectively.

For the computation of vertical excitation energies and excited-state properties we used three linear-response methods: the CC2 (approximate second-order coupled cluster) method,¹⁰¹ the ADC(2) (algebraic diagrammatic construction of second order) method,¹⁰² and the LR-TDDFT (linear-response time-dependent density functional theory) method.¹⁰³ The RI approximation was employed in the CC2 and ADC(2) calculations.¹⁰⁴ For the TDDFT calculations we used the hybrid functional B3LYP.^{99,100} For the calculation of vertical excitation energies we used the augmented cc-pVTZ basis set (aug-cc-pVTZ).⁹⁸ All of these calculations, as well as the MP2 geometry optimization mentioned in the previous paragraph, were carried out using the Turbomole 6.3.1 program package.¹⁰⁵

Linear-response methods are particularly suitable for the computation of the absorption spectrum of carbohydrates due to the distribution of the oscillator strength over a dense manifold of excited states. When using standard linear-response methods, one has to pay attention to the limitations of single-configuration methods, that is, a potential multiconfigurational character of the ground state and the weight of doubly excited configurations. The D_1 diagnostic of 0.0464 at the CC2 level shows that the multiconfigurational character of the ground state is negligible.¹⁰⁶ The contributions of doubly excited configurations to the excited states range from 8.94 to 10.84% for the first 50 excited states at the CC2 level, which is also within the acceptable range. At the ADC(2) level, we obtained practically the same results for the excitation energies, oscillator strengths and convoluted spectrum as at the CC2 level.

For the geometry optimization of minimum-energy conical intersections (minima on the conical intersection seam), the calculation of the nuclear displacements of the orthogonalized branching-space vectors, and linear approximations of potentials in the branching space we used the state-averaged complete-active-space self-consistent-field wavefunction (SA-CASSCF) method with the cc-pVDZ⁹⁸ basis set. These calculations were carried out using Columbus 7.0.¹⁰⁷

The reaction paths for hydrogen-detachment processes and for the ring-opening process were constructed by linear interpolation of internal coordinates between the initial geometry (the ground-state equilibrium geometry) and the final geometry (the respective optimized conical intersection). The energy profiles of the reaction paths were obtained by single-point energy calculations along the interpolated path, using single-state second-order perturbation theory on top of an SA-CASSCF wavefunction (SS-CASPT2//SA-CASSCF) with a level-shift of 0.2. The two-dimensional scan shown in Fig. 6 was obtained by a

rigid scan. For the computation of the hydrogen-detachment reaction paths we used a partially-augmented basis set that we denote as “aug(2)-cc-pVDZ”. The cc-pVDZ basis was employed for all atoms except for the oxygen and hydrogen atoms of the O–H group involved in the hydrogen-detachment process (the “2” in aug(2)-cc-pVDZ indicates the use of the augmented basis on only two atoms of the molecule). The use of this partial augmentation helps to describe the short bond-length regions of the reaction paths (where Rydberg-valence mixing is important). The partial augmentation lowers the energy of the $n\sigma^*$ state belonging to the O–H group under investigation. The SS-CASPT2//SA-CASSCF single-point calculations were carried out using Molpro 2006.1.¹⁰⁸

It is also possible to use an aug(1)-cc-pVDZ basis, that is, augmenting only the oxygen atom of the O–H group with diffuse basis functions. Test calculations showed that using diffuse basis functions on both the oxygen and the hydrogen atom leads to a better and smoother description of short bond-length regions of the potential-energy profiles of the $n\sigma^*$ states. For the computation of reaction paths of ring-opening processes we used the cc-pVDZ⁹⁸ basis for all atoms, since a partial augmentation is not helpful in this case.

For the computation of photochemical hydrogen-detachment and ring-opening energy profiles as well as for the optimization of conical intersections we used a compact active space of two electrons in two active orbitals. The averaging of the energy included only the electronic ground state and the $n\sigma^*$ state of interest. This recipe is denoted as SA2-CASSCF(2,2). We found that this (2,2) active space works well for a qualitative description of the reactions under investigation. The use of a larger active space (e.g., four electrons in four orbitals) introduces active electrons and orbitals that barely contribute to the wavefunction of the lowest $n\sigma^*$ state.

Acknowledgements

D.T. is grateful for a PhD fellowship granted by the International Max Planck Research School of Advanced Photon Science (IMPRS-APS) and for support by the TUM Graduate School. This work was partially supported by a research grant of the Deutsche Forschungsgemeinschaft (DFG) and by the DFG Cluster of Excellence “Munich-Centre for Advanced Photonics”.

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