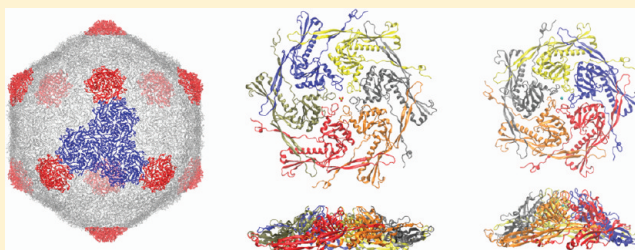


fSUB: Normal Mode Analysis with Flexible Substructures

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ABSTRACT: In this paper, we report a novel normal-mode analysis for supramolecular complexes, named fSUB. The method models a complex as a group of flexible substructures. The low-frequency substructure modes are first determined with substructures in isolation, and the motions of the whole complex are then calculated on the basis of substructure modes and substructure–substructure interactions. The calculation of modes in fSUB requires modal analysis without initial energy minimization, which is essential for maintaining energetic and structural consistency between substructures and whole complex. Compared with other coarse-grained methods, such as the RTB method, fSUB delivers much more accurate modes for the complex and allows for the choice of much larger substructures. The method can also accommodate any type of substructure arrangement including covalent bonds across the interface. In tests on molecular chaperonin GroEL (7350 residues) and HK97 capsid complex (118 092 residues), fSUB was shown to be much more efficient in terms of combined accuracy and demand of computing resources. Our results clearly demonstrated the vital importance of including substructure flexibility in complex modal analysis, as the deformational patterns of substructures were found to play an important role even in the lowest frequency modes of the whole complex.

**■ INTRODUCTION**

One of the biggest challenges in normal-mode analysis (NMA) of biomolecules is to efficiently calculate low frequency modes for very large complexes.^{1–3} In the last two decades, many methods have been developed to reduce computational cost. For example, a series of elastic network models (ENMs) were developed to reduce degrees of freedom in mode calculation by coarse-graining a structure into a bunch of nodes and by using a simplified elastic potential.^{4–11} Another popular approach, rotations translations of blocks (RTB),¹² models a group of residues as a rigid-body block. However, when the structure becomes extremely large, a higher level of coarse-graining is required even for the ENM and RTB methods in order to perform calculations on current computer resources. For example, in ENM, fewer nodes may be chosen to represent the structure, while, in RTB, larger blocks have to be used. The increased level of coarse-graining often leads to larger errors in the low-frequency modes of the complex.

In this study, we introduce a novel NMA, named fSUB (flexible substructures). In this method, a molecular complex is divided into a group of substructures, each of which is modeled as a flexible object. The normal modes for the whole complex are constructed on the basis of the substructure modes (calculated with substructures in isolation) and substructure–substructure interactions. Unlike in RTB, the substructures in fSUB are not rigid bodies but flexible entities; i.e., the degrees

of freedom used in each substructure include not only translational and rotational rigid-body motions but also low frequency deformations. The substructure modes can be calculated by any NMA, as long as the method delivers the six zero-frequency modes and does not require initial energy minimization.^{10,13,14} We found that it is vitally important to use modal analysis without initial energy minimization. This is for maintaining energetic and structure consistency between substructures and the whole complex. Because of the explicit inclusion of substructure flexibility in calculation, fSUB allows for a much larger size of substructure without sacrificing the accuracy of the modes for the whole complex. This was clearly shown in the current study by comparing the fSUB modes with those from much more fine-grained calculations.

This fSUB method is also a hierarchical normal mode approach, since mode calculation on the whole structure requires low frequency modes of substructures. If necessary, fSUB can also be applied to the mode calculation within a substructure; therefore, the method supports multiple hierarchies.

Special Issue: Macromolecular Systems Understood through Multi-scale and Enhanced Sampling Techniques

Received: January 10, 2012

Revised: March 19, 2012

Published: March 26, 2012

In this study, we employed our recently developed modal analysis based on molecular geometry restraints (MGR)¹⁴ to calculate the Hessian matrix for both substructures and the whole complex. Unlike the conventional ENM, MGR calculates normal modes by including information on molecular geometry, and thus can produce better low frequency modes. It is also a method that does not require initial energy minimization; thus, modes on any desired substructure can be calculated.

We previously developed another hierarchical normal mode approach, called the substructure synthesis method (SSM).^{15,16} Both SSM and fSUB require low frequency modes of substructures for mode calculations of the whole complex. However, in SSM, the modes of the whole complex are synthesized from the modes of substructures based on boundary conditions of the interface between two substructures, e.g., by imposing the geometry compatibility. Therefore, the applicability of SSM is often restricted to the shape of the interface. For example, SSM works well on linearly arranged structures, such as actin filaments.¹⁶ On the other hand, fSUB has no restriction on the spatial arrangement of substructures or the shape of the interface. It therefore can handle any kind of contact configuration between “substructures” in any complex, including covalent cross-links across the substructure interface.

In this paper, we first introduce the theory of fSUB. We then show the features of fSUB by testing it on three structures of different sizes: a 4-chain Fur protein, a 14-chain molecular chaperonin GroEL complex, and an HK97 virus capsid complex.

METHODS

Basic Theory. In fSUB, a complex structure is first divided into n substructures. For each substructure i , we can perform NMA on the isolated substructure and obtain the first k_i lowest frequency modes ($k_i \geq 6$, including zero-frequency modes) with eigenvectors $\{\mathbf{x}_i^j\}$, where j is the mode index ranging from 1 to k_i . For an NMA that does not require initial energy minimization, the first six modes are the zero-frequency translational modes, and the rest are all positive frequency modes. The normal modes for the substructures are called “substructure modes” in the rest of the article. In this study, we employed our previously developed MGR method¹⁴ for Hamiltonian calculation of both substructures and the whole complex.

For a normal mode of the whole complex with eigenvalue λ and eigenvector $\mathbf{y} = (\mathbf{y}_1^T, \mathbf{y}_2^T, \dots, \mathbf{y}_n^T)^T$, the eigenvalue problem can be written as

$$\mathbf{H}\mathbf{y} = \lambda\mathbf{y} \quad (1)$$

where \mathbf{H} is the Hessian matrix for the whole complex (structure unminimized) and \mathbf{y}_i is the portion of the eigenvector \mathbf{y} for the substructure i . Here, \mathbf{y}_i can be further approximately expressed as a linear combination of the lowest frequency modes $\{\mathbf{x}_i^j\}$ of the substructure i , or

$$\mathbf{y}_i = \mathbf{X}_i \mathbf{v}_i \quad (2)$$

where $\mathbf{X}_i = (\mathbf{x}_i^1, \mathbf{x}_i^2, \dots, \mathbf{x}_i^{k_i})$ and \mathbf{v}_i is a $k_i \times 1$ vector for the substructure mode variables. From eq 2, eq 1 can be rewritten as $\mathbf{H}\mathbf{P}\mathbf{v} = \lambda\mathbf{P}\mathbf{v}$, where

$$\mathbf{P} = \begin{pmatrix} \mathbf{X}_1 & 0 & \cdots & 0 \\ 0 & \mathbf{X}_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \mathbf{X}_n \end{pmatrix}$$

and $\mathbf{v} = (\mathbf{v}_1^T, \mathbf{v}_2^T, \dots, \mathbf{v}_n^T)^T$. It is easy to show that the matrix \mathbf{P} is a unitary matrix; therefore,

$$\mathbf{H}_{\text{fSUB}}\mathbf{v} = \lambda\mathbf{v} \quad (3)$$

where \mathbf{H}_{fSUB} is a square matrix of dimension $k_{\text{tot}} \times k_{\text{tot}}$, $k_{\text{tot}} = \sum_{i=1}^n k_i$

$$\mathbf{H}_{\text{fSUB}} = \mathbf{P}^T \mathbf{H} \mathbf{P} \quad (4)$$

In short, fSUB first performs n Hessian matrix calculations and diagonalizations for all substructures. Then, fSUB calculates the \mathbf{H}_{fSUB} matrix from the substructure modes (eq 4). The modes for the whole complex can be obtained by diagonalizing \mathbf{H}_{fSUB} . Any eigenvector \mathbf{v} for substructure mode variables can be easily converted back to the original eigenvector \mathbf{y} (in Cartesian coordinates) by eq 2, or

$$\mathbf{y} = \mathbf{P}\mathbf{v} \quad (5)$$

The mode calculation method (MGR in this study) used in fSUB should be able to obtain six zero-frequency modes for the whole complex without an initial energy minimization step. It guarantees that the projected Hessian \mathbf{H}_{fSUB} also has these zero-frequency modes.

Computational Cost. In fSUB, the whole MGR Hessian \mathbf{H} is not first calculated and stored in memory. Instead, the elements of \mathbf{H} are only computed when they are required for \mathbf{H}_{fSUB} calculation. This procedure is similar to the implementation of the RTB method in the CHARMM package.¹⁷ In both cases, the algorithm dramatically reduces memory cost for very large systems.

In the implementation, DSYEVR in the LAPACK package was used to calculate the first few lowest frequency eigenvalues and eigenvectors of a real symmetric matrix. The diagonalization method was selected, since we found it is usually more stable and faster than some of the sparse matrix methods. Some LAPACK implementation (e.g., Math Kernel Library from Intel) also allows easy parallelization. The input of DSYEVR is the upper triangle of the Hessian matrix, so the memory cost is $o(n^2)$. The diagonalization step usually requires $o(n^3)$ operations.

Suppose a structure is equally divided into n substructures, each of which has N degrees of freedom in mode calculation. Therefore, the traditional NMA method requires $o(n^2N^2)$ memory and $o(n^3N^3)$ operations. For fSUB, if the first k lowest frequency modes are calculated for each isolated substructure, it needs $o(N^2) + o(n^2k^2) + o(nNk)$ memory, and about $o(nN^3) + o(n^3k^3) + o(cnNk)$ operations. The last memory term $o(nNk)$ is the memory used to store substructure eigenvectors; the last operation term $o(cnNk^2)$ is the operations used to calculate the matrix \mathbf{H}_{fSUB} , where c is the average number of atoms in the interaction range of any atom. For cases when n and k are considerably smaller than N , compared to the traditional method, fSUB uses about $1/n^2$ computational cost for both memory and speed.

Test Criteria. Similarities of the lowest-frequency eigenvectors of two different mode calculation methods were evaluated by the overlap index, defined as the projection of an eigenvector

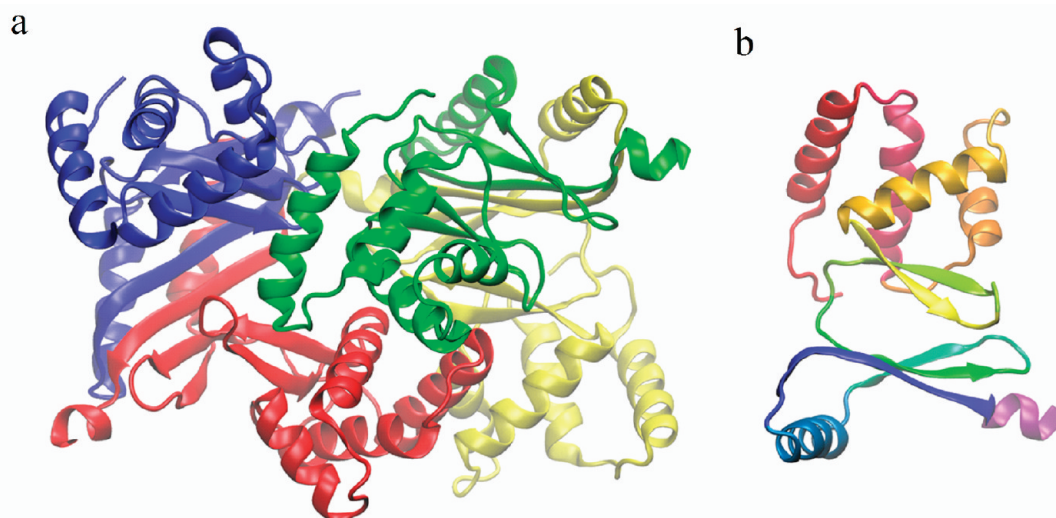


Figure 1. Ribbon diagram and fSUB grouping schemes for the Fur structure 2xig. (a) Four substructures, where each chain is defined as a substructure. (b) Substructures based on secondary structures. The diagram illustrates the grouping scheme for only one chain (e.g., the blue portion of the structure in part a). There are a total of 44 substructures for the whole complex. Different substructures are shown with different colors.

of one method onto the lowest frequency mode subspace of the other:

$$P_i = \sum_j (\mathbf{v}^i \cdot \mathbf{u}^j)^2 \quad (6)$$

where \mathbf{v}^i and \mathbf{u}^j are i th and j th eigenvectors of the two methods, respectively, and the summation is over all \mathbf{u} eigenvectors in the subspace. For a single mode, the magnitude of P ranges from 0 (orthogonal, no similarity) to 1 (overlap, high similarity). The similarity of two methods is indicated by the profile of P , or the average value of P (denoted as \bar{P}), for the lowest-frequency modes of the first method.

For a given eigenvector calculated by fSUB, it is easy to separate rigid body displacements of substructures from internal deformations. Any eigenvector \mathbf{v} (with substructure mode variables) of the Hessian matrix \mathbf{H}_{fSUB} can be divided into two parts

$$\mathbf{v} = \mathbf{v}^{\text{ext}} + \mathbf{v}^{\text{int}}$$

where $\mathbf{v}^{\text{ext}} = (\mathbf{v}_1^{\text{ext}}, \mathbf{v}_2^{\text{ext}}, \dots, \mathbf{v}_n^{\text{ext}})^T$. The first six components of $\mathbf{v}_i^{\text{ext}}$ are set to be the same as those of \mathbf{v}_i , and the rest of the components are zero. Recall that \mathbf{v} represents substructure mode variables, so $\mathbf{v}_i^{\text{ext}}$ only contains contributions from the first six zero-frequency substructure modes for the substructure i . Therefore, \mathbf{v}^{ext} and \mathbf{v}^{int} are the external and internal parts of the eigenvector \mathbf{v} , respectively. From eq 5, the corresponding fSUB eigenvector \mathbf{y} in the Cartesian coordinates can also be divided into two parts

$$\begin{aligned} \mathbf{y}^{\text{ext}} &= \mathbf{P}\mathbf{v}^{\text{ext}} \\ \mathbf{y}^{\text{int}} &= \mathbf{P}\mathbf{v}^{\text{int}} \end{aligned} \quad (7)$$

With \mathbf{y}^{ext} and \mathbf{y}^{int} calculated from eq 7, the ratio of internal motions for a substructure i can be approximated by $\bar{r}_i^{\text{int}}/(\bar{r}_i^{\text{int}} + \bar{r}_i^{\text{ext}})$, where \bar{r}_i^{int} and \bar{r}_i^{ext} are the average atomic displacement for substructure i along the vectors \mathbf{y}^{int} and \mathbf{y}^{ext} , respectively. However, since \mathbf{y}^{int} and \mathbf{y}^{ext} are usually not parallel, this is only a rough estimation on the ratio of internal motions.

MGR. In fSUB, Hessian matrix calculations for each substructure and the whole complex were performed by our

recent all-atom NMA with molecular geometry restraints (MGR), originally developed in ref 14.

The MGR potential function contains both long-range pairwise harmonic interactions and short-range bond interactions. It takes the form of

$$V = \sum k_l(l - l^0)^2 + \sum k_\theta(\theta - \theta^0)^2 + \sum k_\phi(\phi - \phi^0)^2 + \sum_{r^0 \leq r_c} k_r(r - r^0)^2$$

where the four terms represent bond length, bond angle, bond dihedral angle, and the long-range interactions, l , θ , ϕ , r and l^0 , θ^0 , ϕ^0 , r^0 are instantaneous and initial values of bond length, bond angle, bond dihedral angle, and distance, respectively. In the current work, we used the optimized parameter set from the original MGR study.¹⁴ The cutoff distance for the long-range interactions r_c is set as 6 Å. The four force constants are $k_r = 1$, $k_l = 1.0 \times 10^4$, $k_\theta = 8.2 \times 10^2$, and $k_\phi = 6.2 \times 10^1$.

MGR only requires coordinates and molecular geometry (bond connectivity) as input. Since the MGR has only harmonic terms specifically designed to have the structure at energy minimum, it does not require initial energy minimization. To reduce computational cost, all MGR calculations in this study are combined with the RTB scheme in which each residue is modeled as a rigid body. When comparing different normal mode methods in this study, we also used MGR to represent traditional NMA.

RESULTS

In the implementation of fSUB, we used MGR¹⁴ as a method for calculating substructure modes, since MGR does not require initial energy minimization, so it can be applied to whatever flexible substructure is chosen in the study. In the calculation, the RTB scheme was also applied and each residue is grouped as a rigid body. We refer to this in the following as the residue-based MGR method.

The new fSUB was tested on three X-ray protein structures of various sizes. They are bacterial ferric uptake regulator (Fur) protein (PDB code 2xig,¹⁸ 592 residues), molecular chaperonin GroEL (PDB code 1kp8,¹⁹ 7350 residues), and virus capsid

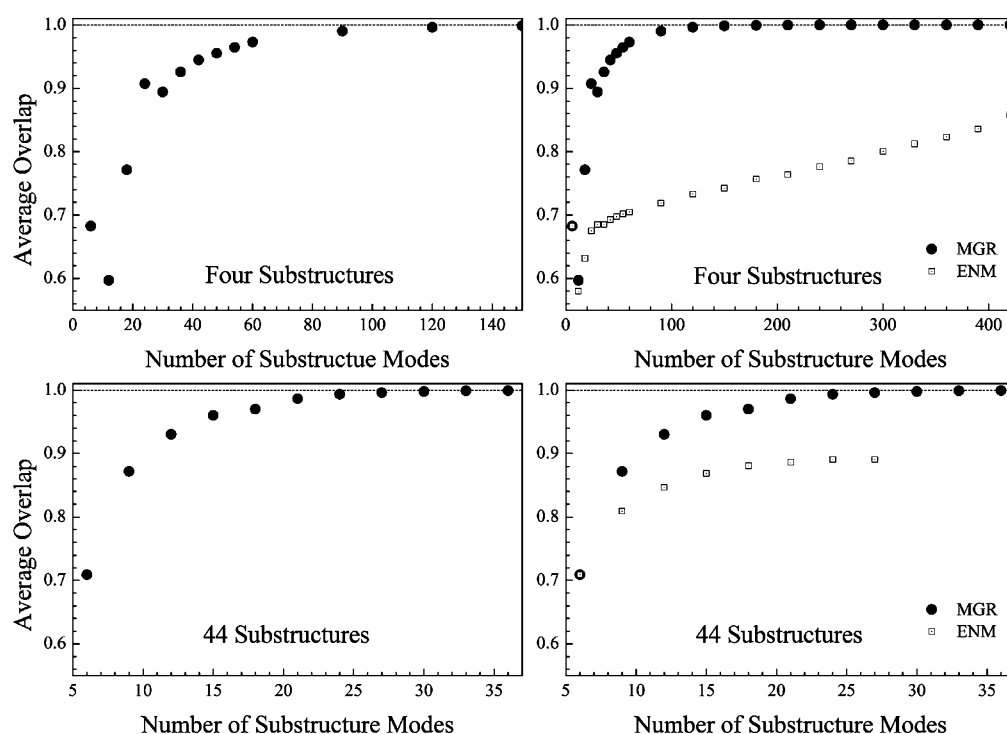


Figure 2. The effects of the number of substructure modes on the accuracy of the lowest-frequency eigenvectors of fSUB for the Fur structure 2xig. The average overlap indices were calculated by projecting each of the first 50 lowest-frequency fSUB eigenvectors onto the subspace of the first 50 lowest-frequency MGR eigenvectors. The plot shows the dependence of the average overlap indices on the number of substructure modes. Here two grouping schemes are shown: the four-substructure case (at chain level, two upper panels) and the 44-substructure case (at secondary structure level, two lower panels). The solid circles in all panels denote the fSUB case in which the substructure modes were calculated by MGR; the hollow squares in the right panels denote the case in which the substructure modes were calculated by all-atom ENM.

HK97 (PDB code 1ohg,²⁰ 118 092 residues). These structures were selected to test various aspects of fSUB. In the first case, Fur is a relatively small structure, so it is ideal to test the parameters and their effects on performance of the new method. In the second case, GroEL is larger but can still be calculated by the traditional NMA method. This case serves to compare the speed of fSUB to the traditional method. In the last case, HK97 capsid is the largest structure among all test cases. We also sought to find new structural and dynamic features from fSUB analysis.

Ferric Uptake Regulator (FUR). The Fur structure 2xig¹⁸ (shown in Figure 1) has four chains of the same sequence. Since there are missing residues in some chains in the crystal structure, four chains are not completely identical. A single chain of 2xig contains 148 residues on average.

We first used each chain as a substructure (colors in Figure 1a), and tested a series of fSUB by changing the number of substructure modes. The upper left panel of Figure 2 shows the average overlap \bar{P} for the first 50 low-frequency modes for the whole complex, between eigenvectors from fSUB and from residue-based MGR (calculated on a whole complex as a reference). When only six zero-frequency substructure modes are used, or each chain is modeled as a rigid body, \bar{P} is about 0.68 (the case that can be easily shown to be identical to regular RTB). Interestingly, \bar{P} goes down to 0.60 when another six low-frequency substructure modes are added. Further increasing the number of substructure modes gradually increases the \bar{P} value. It reaches 0.99 when 90 substructure modes (including zero-frequency modes) are used, and it approaches 1.0 with more substructure modes. Thus, once the number of substructure modes is larger than 90, fSUB and residue-based MGR

essentially produce the same accuracy for low-frequency eigenvectors. Our results also indicate that, to produce accurate enough modes for the complex, the dimension of the fSUB Hessian (90×4 in this case) should be substantially larger than the desired number of low frequency modes for the whole complex (50 modes here).

We further tested fSUB by grouping substructures according to the secondary structure (44 substructures, Figure 1b). As shown in the lower left panel of Figure 2, the value of \bar{P} reaches 0.99 when 24 substructure modes are used. In general, fSUB requires a lesser number of substructure modes for smaller substructures to accurately calculate low-frequency eigenvectors of the complex.

We then tested how the quality of substructure modes affects fSUB eigenvectors. In this case, residue-based MGR was replaced by all-atom ENM (see ref 14 for details) for substructure mode calculation, while the Hamiltonian for the whole structure was still calculated by residue-based MGR. As shown in the right panels of Figure 2, for any number of substructure modes, the values of \bar{P} in the ENM case are much smaller than those of the MGR case, and the ENM \bar{P} never approaches 1.0. ENM produces far worse substructure modes because all-atom ENM lacks bonded energy terms and it has “tip-effects” in low-frequency modes.¹⁰ The fSUB Hessian sometime could not even be properly diagonalized by DSYEVR when a large number of ENM substructure modes were used. This indicates that the quality of substructure modes is crucial to both the accuracy and stability of fSUB.

Some further tests of fSUB on the Fur structure can be found in the Supporting Information.

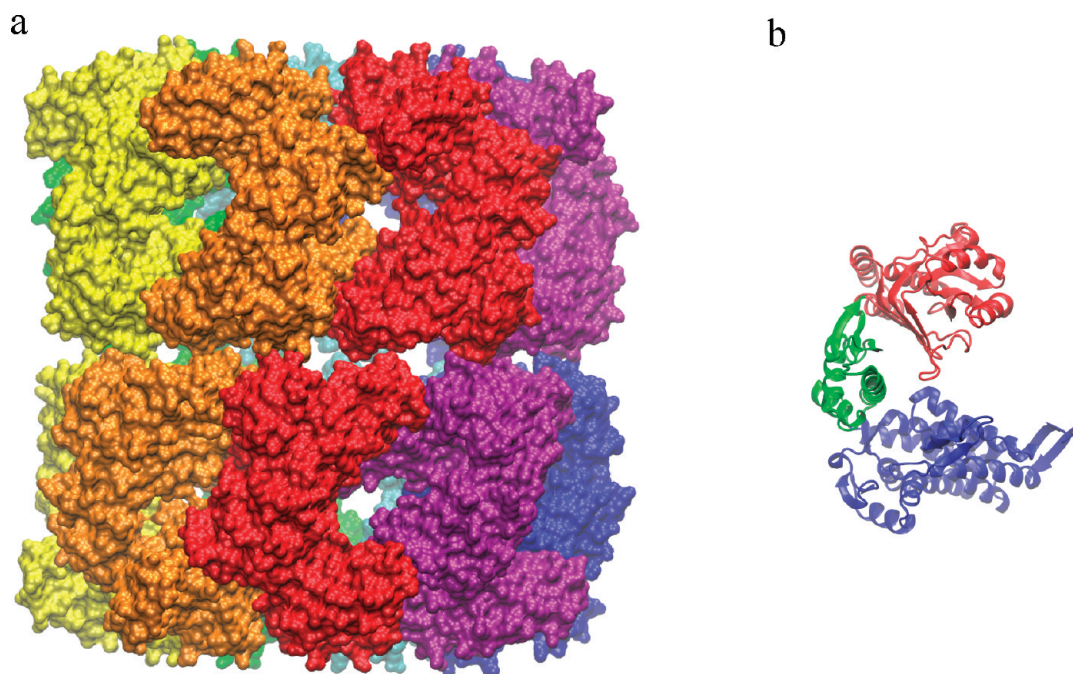


Figure 3. The GroEL complex 1kp8: (a) GroEL complex grouped by subunits (14 substructures); (b) GroEL subunit grouped by domains (42 substructures).

Chaperonin GroEL. GroEL is a ring-like structure with 14 identical chains (Figure 3). The crystal structure 1kp8²¹ contains only two subunits, so the PISA server²² was used to automatically build the entire GroEL complex. The whole structure is more than 10 times bigger than the Fur protein but still in the size range that can be calculated by nonhierarchical methods.

We first calculated the first 100 lowest frequency modes of the complex by residue-based MGR. This calculation is the most fine-grained, so it is regarded as the reference for the other calculations. The whole calculation was finished in about 6 h and 46 min. We then applied fSUB in which each subunit was regarded as a flexible substructure and the first 50 lowest-frequency substructure modes (including zero-frequency modes) were used. The fSUB calculation only took 2 min and 41 s, or about 151 times as fast as residue-based MGR in this application. From Figure 4, the overlap index between the first 50 lowest-frequency eigenvectors of fSUB and residue-based MGR is very close to 1.0, indicating a high similarity of the low frequency modes between subunit-based fSUB and residue-based MGR.

To further compare fSUB with the RTB method, we did two special RTB calculations with different levels of coarse-graining (rigid-body division). In the first case, each subunit was modeled as a rigid body; in the other case, each domain in the GroEL subunit was modeled as a rigid body according to domain boundary (each GroEL subunit has three domains: apical, intermediate, and equatorial domains, Figure 3b, thus 42 bodies in total). It is clear in Figure 4 (dash line for first subunit case and short dash line for second domain case), modes of both RTB schemes have much smaller overlaps to the residue-based MGR modes than those of fSUB (solid line). In the domain case, RTB performs better, since it uses more bodies (therefore more degrees of freedom) to model deformation of a subunit. We also calculated another fSUB case in which 18 substructure modes were used for each subunit (dot-dash line).

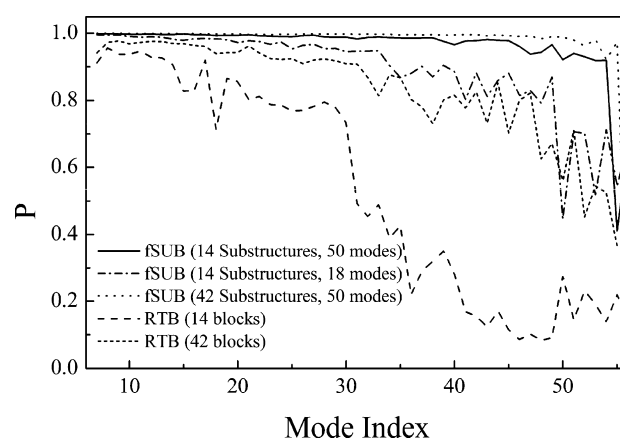


Figure 4. The comparison of lowest-frequency eigenvectors for various mode calculations on the GroEL structure 1kp8. For each normal mode method, the overlap indices were calculated by projecting each of the first 50 lowest-frequency modes onto the subspace formed by the first 50 lowest-frequency modes from residue-based MGR. Four normal mode methods were tested: fSUB with 14 substructures (Figure 3a) and 50 substructure modes for each substructure (solid line); fSUB with 14 substructures and 18 substructure modes for each substructure (dot dash line); fSUB with 42 substructures and 50 substructure modes for each substructure (dot line); RTB with 14 rigid substructures (dash line); RTB with 42 substructures (short dash line). In the 42-substructure scheme, each GroEL chain was divided into three substructures according to domains (Figure 3b).

Although this fSUB case uses the same number of degrees of freedom as the second domain case (42 bodies), the curve of overlap is closer to that of 50 substructure modes, better than any of the RTB cases. In other words, in the case of fSUB, even with a significantly smaller number of substructure modes (18 in the above case) and larger substructure size (as large as a subunit), fSUB still outperforms RTB with smaller body size (domain case, for example). Finally, we calculated another

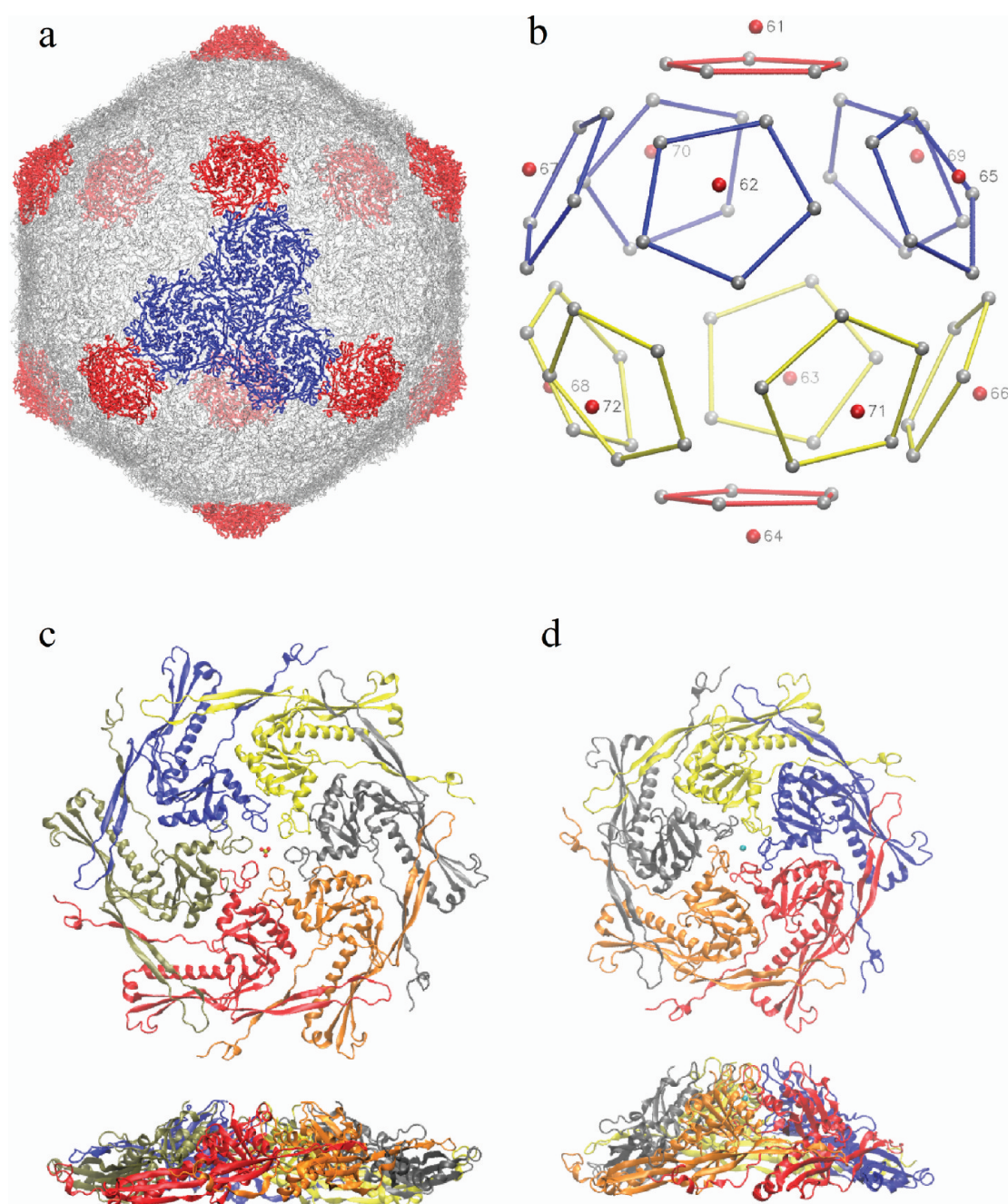


Figure 5. The HK97 capsid and its building blocks. (a) Trace representation: red parts are the pentamers (five copies of gp5), blue and white parts are the hexamers (six copies of gp5). The three blue hexamers are highlighted to show how they contact and form a facet of the icosahedron. (b) More simplified representation for the same structure: each red dot denotes the center of mass of a pentamer, and each white dot denotes that of a hexamer. Lines are connected for the hexamers that are closely packed to the same pentamer. (c) The structure of a hexamer. (d) The structure of a pentamer. In both parts c and d, the upper portion shows the top view and the lower portion shows the side view. Compared to the hexamer, the pentamer is smaller and has more curvature.

fSUB case in which 50 substructure modes were used for each domain (42 substructures, dot line). As expected, this most fine-grained method produces the closest low frequency modes to residue-based MGR among all test cases.

All the above results indicate that rigid-body modeling of a large subunit in RTB^{12,17} leads to substantial error in computing the lowest frequency modes of the whole complex, while fSUB produces a much higher accuracy of the modes for the whole complex and allows for a much larger size of

substructures, i.e., a much more aggressive level of coarse-graining.

HK97 Capsid. Virus capsid HK97 (PDB code: 1ohg)²³ is a thin-walled icosahedral structure. It is formed by 420 copies of capsid protein gp5 protein (Figure 5a), each of which is a single chain protein that contains 280 residues. The whole capsid structure is formed by 60 hexamers (Figure 5c) and 12 pentamers (Figure 5d) of gp5. These two building blocks have unique structure features. The hexamer is bigger and is relatively flat compared with the pentamer. The two building

blocks have different roles in forming a capsid. Each of the 12 vertices is occupied by a pentamer (red color in Figure 5a), while each of the 20 facets are formed by three closely packed hexamers (blue color in Figure 5a). Besides, as schematically shown in Figure 5b, each pentamer (red dot) is surrounded by five hexamers (connected by lines). The mature capsid structure contains 420 covalent cross-links that join residues K169 and N356 between different building blocks (no cross-links between capsid proteins inside a pentamer or hexamer). Modal analyses were performed on the isolated hexamer and pentamer, and it was found that eigenvalues of low-frequency modes of a pentamer are about 1.5 times as large as those of a hexamer, suggesting that the pentamer is harder to deform than the hexamer (data not shown).

The PDB structure of 1ohg was solved by using icosahedral NCS symmetry, so the deposited structure contains all six chains from a hexamer and one chain from a pentamer. In fSUB analysis, the flexible substructures were not chosen as the deposited structure and its symmetric copies but the 72 building blocks (the pentamers and the hexamers). The flexible substructures were grouped in this way because it is a natural choice and it is also easy to perform comparison analysis between pentamers and hexamers. The Hamiltonian in fSUB calculation also includes all the 420 cross-links. Since cross-links are not formed within a building block, they do not affect substructure modes but only Hessian calculation for the whole structure. The first 50 lowest-frequency substructure modes (including the six zero-frequency modes) were calculated for each substructure. From these substructure modes, the fSUB Hessian was constructed for the whole capsid and the first 100 lowest-frequency modes were calculated. It only took 8 h and 25 min to finish the whole calculation for a structure of almost 900 000 atoms.

Figure 6 shows the eigenvalues of the first 50 lowest frequency capsid modes (not including zero-frequency modes). Because of the icosahedral symmetry of capsid particles, there are many degenerate modes (grouped by Roman numerals).

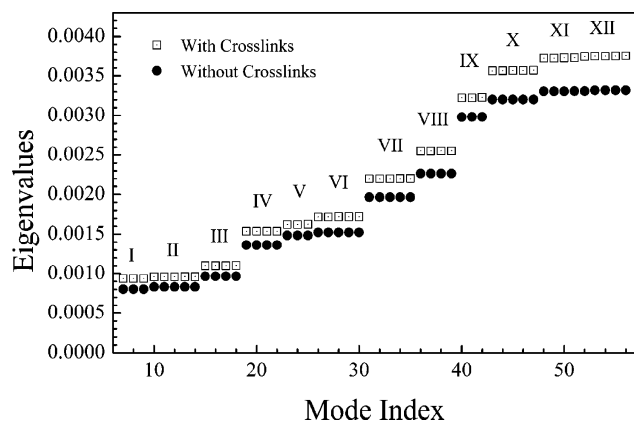


Figure 6. The eigenvalues of the first 50 lowest-frequency fSUB modes of the HK97 capsid. The capsids were divided into 72 substructures, and 50 substructure modes from each substructure were used for capsid mode calculation. Empty squares denote the case when the fSUB Hamiltonian contains bonded terms of the cross-links; solid circles denote the case when the fSUB Hamiltonian has no terms for the cross-links. The Roman numerals denote different nondegenerate mode types. It is clear that, with the covalent cross-links, the magnitude of eigenvalues are globally increased, indicating that the complex is stiffened.

Each mode group has three to five degenerate modes. The deformations of the mode groups I and IV have 3-fold symmetry; those of the mode group II and III have 2-fold symmetry; the rest correspond to higher order symmetric motions. The nondegenerate central symmetric breathing mode of the entire icosahedral capsid appeared in much higher frequency in this analysis (mode index 63, not shown in the figure). It is also clear that the cross-links globally rigidify the complex structure as the frequency values of cross-linked system are uniformly larger than those without cross-linking.

We then examined the deformations of pentamers and hexamers in each mode. As shown in Figure 7a, hexamers in

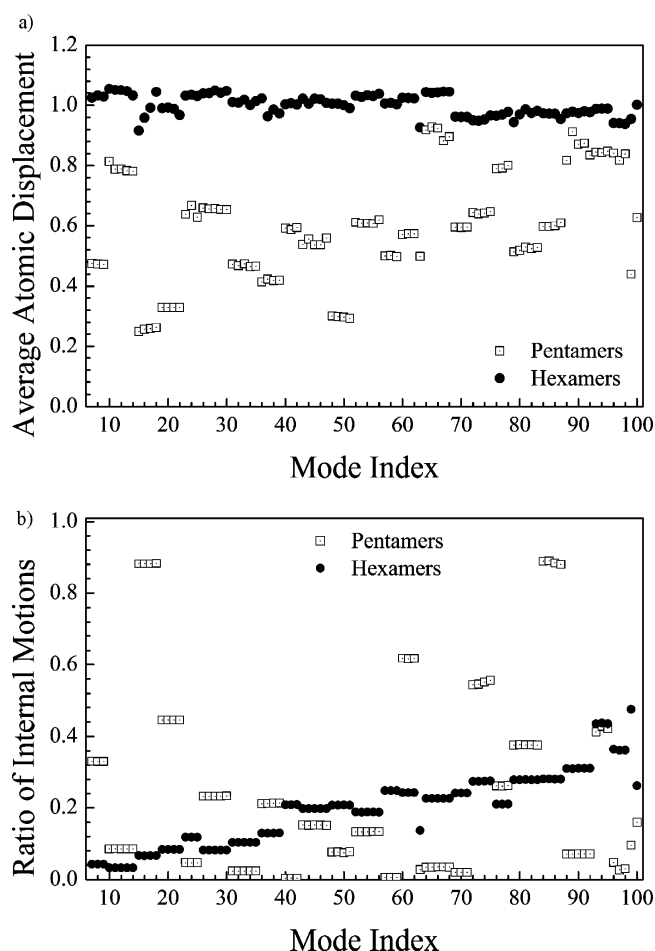


Figure 7. Difference of deformation between the pentamers and the hexamers of HK97 capsid. (a) Average atomic displacement of the pentamers (empty squares) and the hexamers (solid circles, normalized to 1.0) for the first 94 lowest-frequency modes (not including zero-frequency modes). (b) Ratio of internal motions of the pentamers (empty squares) and the hexamers (solid circles) for the first 94 lowest-frequency modes (not including zero-frequency modes).

general have larger average atomic displacement for all the calculated lowest-frequency modes and they are very similar for all modes. In contrary, those for the pentamers can be as low as 20% of those of hexamers in some modes, while it can also be 90% for some others. Such large differences between the motions of pentamers and hexamers in a given mode show that the vertices and facets move very differently in capsid deformation.

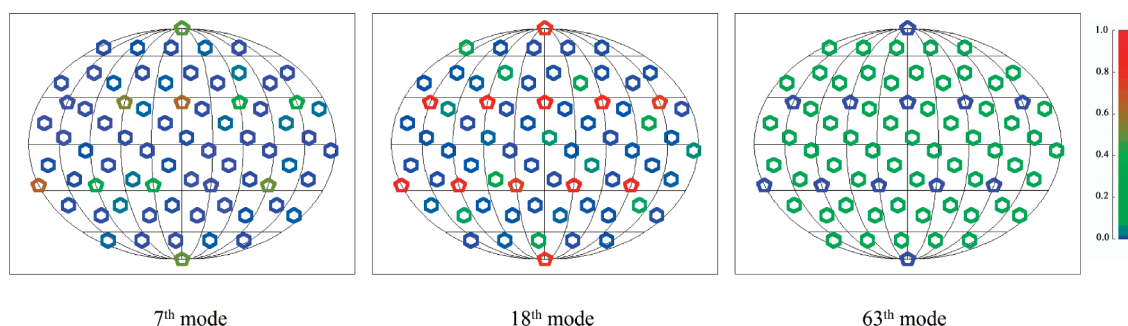


Figure 8. Ratio of internal motions for each of the 72 building blocks of HK97 capsid. All pentamers (denoted as pentagons) and hexamers (denoted as hexagons) are illustrated in Mollweide projection. The magnitude of the ratio is denoted in the figure with colors (from 0 in blue to 1 in red). The left panel is for the 7th mode (the first nonzero frequency mode), which belongs to the mode type I; the middle panel is for the 18th mode (the 12th nonzero frequency mode), which belongs to the mode type III; the right panel is for the 63rd mode (the nondegenerate central symmetric breathing mode). It is clear that the central symmetric mode has the smallest substructure internal motions.

To further investigate the difference between pentamer and hexamer in capsid deformation, we also estimated the average ratio of internal motions for each substructure type (Figure 7b). For the hexamers, the average ratio of internal motions is only about 4% for the mode type I (index 7 to 9) and 3% for the mode type II (index 10 to 14). The ratio gradually increases for the higher frequency modes. For example, the ratio of internal motions for the mode type XII reaches about 25% (index 52 to 56). However, the average ratio of internal motions for the pentamers has no apparent dependency to mode index and the values of ratio can be much higher than that of the hexamers. For example, the average ratio is about 33% for the mode type I and 88% for the mode type III (index 15 to 18). The two left panels of Figure 8 show the ratio of internal motions for each building block for the seventh mode (left panel, belongs to the mode type I) and the 18th mode (middle panel, belongs to the mode type III). In both cases, the pentamers (denoted as pentagons) show a higher ratio for internal motions (green to red color, the warmer the color, the larger the ratio of internal motion) than the hexamers (denoted as hexagons). Figures 7 and 8 suggest that, in many lowest-frequency modes, the pentamers behave like hinges, since they move relatively smaller and have more internal deformations. On the other hand, the hexamers move larger and involve more rigid body motions.

The results for the motions of the pentamers and the hexamers raise an interesting question: is it sufficient to model the large deformations of a supramolecular complex with highly coarse-grained rigid blocks? Our results have shown that the pentamers can have higher internal deformations even in the lowest frequency modes of the capsid. It should also be noted that, according to modal analysis on each isolated substructure, the pentamer is stiffer than the hexamer, but it deforms more, even in the very first few lowest-frequency modes, in the capsid. Therefore, the stiffness for the building blocks does not play a dominant role in determining how they move in the whole complex; rather, the geometrical positions of the building blocks may have more impacts, as in this case all the pentamers reside at the vertices and they deform more than the hexamers that reside on the facets.

To further investigate this problem, we calculated RTB modes for the capsid by modeling each building block as a rigid body. Figure 9 shows the overlap of RTB eigenvectors onto the first 50 lowest-frequency eigenvector subspace of fSUB (hollow square). The magnitude of overlap is about as high as 0.9 for the first 16 modes but is dramatically decreased for the higher frequency modes. To see how RTB modes are related to the

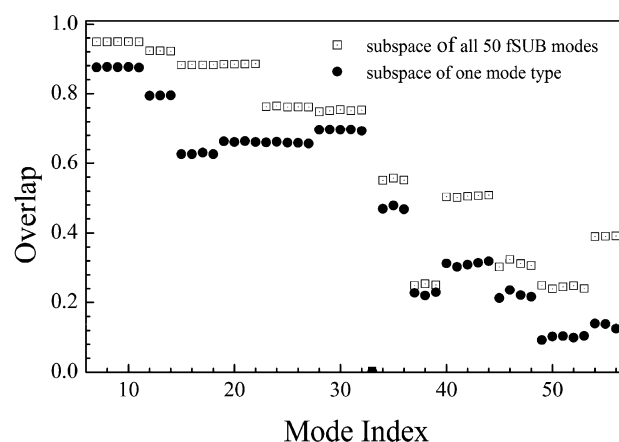


Figure 9. The overlap indices of RTB modes onto the fSUB mode subspace of HK97 capsid. For each of the first 50 nonzero lowest-frequency RTB modes, the overlap index was calculated by projecting the eigenvector to fSUB eigenvector subspace. Two subspaces were calculated in the test: the subspace formed by the first 50 lowest-frequency fSUB eigenvectors (empty squares) and the closest subspace formed by all degenerate eigenvectors from any single nondegenerate mode type (solid circles).

nondegenerate mode types of fSUB, we also calculated the overlap of each RTB eigenvector onto the subspace of only one type of nondegenerate fSUB mode (solid circles). The first 30 RTB modes are mostly directly related to (>0.6 overlap) one nondegenerate mode type of fSUB. From the data, we found that the 7th to 11th RTB modes belong to the mode type II of fSUB, while the 12th to 14th RTB modes belong to the mode type I of fSUB. The order of eigenvalues changes, because the high internal motions of the pentamers in the mode type I are prohibited in the RTB modes, thus causing more stress. Similar results were also found when compared with the calculated modes from cluster normal-mode analysis (cNMA) on the same structure in ref 24. Similar to RTB, cNMA modeled each seven chains as a rigid body. According to their study, the 12th to 15th cNMA modes have breathing motion along three evenly spaced axes around the equator. These modes belong to the mode type I in fSUB but have higher frequency than some other low-frequency modes in cNMA.

The nonredundant central symmetric breathing mode was found to be the 63rd mode in the fSUB calculation but the 33rd mode in the RTB calculation. It raises an interesting question: why can the mode index for the symmetric mode have such a

dramatic shift? From Figure 7b, for the 63rd mode of fSUB, the ratio of internal motion of hexamers is about 13.7% and is relatively smaller than that of the other modes with similar frequency. The ratio of internal motion of pentamers is as low as 2.8%. It suggests that these building blocks do more rigid body motions in this mode. It is also clearly shown in the right panel of Figure 8 that all hexamers or pentamers have a similar low level of internal motions (blue to green color). Thus, rigid body approximation in the RTB method works well in the symmetric mode. However, for the other modes in fSUB with similar frequency, RTB cannot fully capture the motion patterns, since the pentamers and hexamers have more internal motions. It is likely that many noncentral-symmetric modes in RTB calculation are up-shifted in frequency due to stress in the rigid bodies, while the central symmetric mode, like mode 63 in the right panel of Figure 8, is much less affected.

■ CONCLUDING DISCUSSION

In this study, we developed a new normal-mode analysis (named as fSUB) for studying supramolecular complexes. It divides a molecular complex into a group of flexible substructures, the motions of which are modeled by the low-frequency modes of the substructures in isolation. The motions of the entire complex are then calculated on the basis of the substructure modes and substructure–substructure interactions. Therefore, fSUB converts a normal-mode analysis from Cartesian coordinate (or internal coordinate) space to substructure mode space. The method can calculate modes for very large complexes without sacrificing too much in mode accuracy. The implementation of fSUB requires the method for computing modes without initial energy minimization. This is important so that the coordinates used for substructure mode calculation (with substructures in isolation) are identical to the ones in complex, a feature vitally important for energetic and structural consistency in mode calculation for the entire complex. For HK97 capsid, there are covalent cross-links across the interface between substructures and initial energy minimization in a case like this would be even more problematic. Also, energy minimization for a complex as big as HK97 capsid is computationally unfeasible anyway.

The quality of substructure modes affects both the accuracy and stability of fSUB. As we have shown in the Results section, MGR¹⁴ performs much better than the conventional elastic network model (ENM)⁴ when it was used to produce substructure modes in fSUB. That is because MGR can produce better modes due to the information of molecular geometry constraints. Thus, we used MGR throughout the whole study.

In the RTB method,¹² a structure is divided into rigid blocks, and the trans-rotational degrees of freedom of all blocks are used to construct normal modes of the whole complex. It can be easily shown that fSUB reduces to RTB if only the six rigid-body zero modes of each substructure are used as substructure modes. However, unlike RTB, in which larger block size introduces larger error, fSUB can substantially improve mode accuracy because of the flexibility of substructures. Our study shows that, even in the lowest-frequency modes of the complex, there is significant deformation of substructures. By using sufficient substructure modes, fSUB with larger substructures can produce lowest-frequency modes as accurate as the fSUB with smaller substructures.

The method has also been shown to be very fast. It can dramatically reduce computational costs for large molecular

complexes. Theoretically, if n substructures are included, fSUB scales about $1/n^2$ in computational cost for both memory and speed. In our study, we showed that fSUB can be 2 orders of magnitude as fast as the conventional NMA (residue-based MGR in this study) for structure complexes as large as GroEL (7350 residues). The method can also be applied to complexes, such as HK97 capsid (118 092 residues), that are beyond the reach of conventional methods.

The fSUB scheme can also be used with multiple hierarchy. Since mode calculation for substructures can be done by any normal mode method without initial energy minimization, fSUB can be applied to an isolated substructure by dividing it into some more fine-grained substructures.

Recently, Ming et al.²⁵ developed an improved version of the substructure synthesis method called VISSM. In that method, virtual interface substructures (VIS) are created to connect to neighboring substructures, and geometry-compatible conditions are applied between a VIS and a real substructure. Both fSUB and VISSM are able to calculate lowest frequency modes at atomic level for supramolecular complexes. Compared to VISSM, fSUB has the following advantages. First, VISSM can only be applied to linear complexes, while fSUB has no restriction to the arrangement of substructures (such as HK97 capsid). Second, the atoms in VIS from VISSM are usually scattered atoms (nodes) for bridging boundary, so it requires a coarse-grained method like elastic network models to perform mode calculation. On the other hand, fSUB does not require virtual structure to define a boundary.

There are many application values of fSUB. First, like mentioned above, fSUB can handle a much larger size of complexes. Second, fSUB works for the NMA without initial energy minimization. So fSUB can be applied to the cases where one cannot do energy minimization, such as normal-mode-based X-ray crystallographic refinement.^{26–29} Third, fSUB can analyze a specific component (substructure) of a whole complex for functional purposes. For example, in the case of HK97 capsid, we showed the different roles of pentamers and hexamers in lowest-frequency modes. We expect fSUB will be a useful tool for NMA of supramolecular complexes.

■ ASSOCIATED CONTENT

📄 Supporting Information

Some additional performance tests of fSUB on the Fur structure 2xig. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

The authors declare no competing financial interest.

■ ACKNOWLEDGMENTS

The authors acknowledge support of grants from the National Institutes of Health (R01-GM067801), the National Science Foundation (MCB-0818353), and the Welch Foundation (Q-1512).

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