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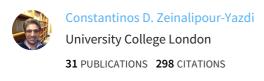
# Coverage-Dependent Adsorption Energy of Carbon Monoxide on a Rhodium Nanocluster

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# Coverage-Dependent Adsorption Energy of Carbon Monoxide on a 2 Rhodium Nanocluster

- <sup>3</sup> Constantinos D. Zeinalipour-Yazdi\*,† and Rutger A. van Santen<sup>‡</sup>
- <sup>4</sup> CySilicoTech Research Limited, 64 Kronou Street, 2048 Nicosia, Cyprus and
- s <sup>‡</sup>Schuit Institute of Catalysis, Eindhoven University of Technology, P.O. Box 513, 5600 MB Eindhoven, Netherland
- Supporting Information

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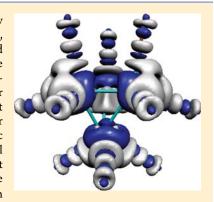
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ABSTRACT: Metal—adsorbate nanoclusters serve as useful models to study elementary catalytic and gas-sensor processes. However, little is known about their structural, energetic, and spectroscopic properties as a function of adsorbate surface coverage and structure. Here, we perform a systematic study of the adsorption of carbon monoxide (CO) on a tetra-atomic rhodium cluster to understand the coverage- and structuredependent adsorption energy of CO as a function of CO coverage and to provide deeper insight into the metacarbonyl bond on metal nanoclusters. The coverage-dependent adsorption energy trends are rationalized with the use of theoretical model, molecular orbital energy diagrams, electron density difference plots, molecular electrostatic potential plots, and simulated infrared spectra. Our model demonstrates that a critical parameter that determines the coverage-dependent energetics of the adsorption of CO at low coverages is the polarization of metal-metal  $\pi$ -bonds during the effective charge transfer, occurring from the metal cluster to the  $2\pi^*_{2p_v}$  and  $2\pi^*_{2p_x}$  states of CO, which



enhances the adsorption of CO vertical to the metal-metal bond. This configuration specific effect explains the negative coverage-dependent adsorption energy trend observed at low coverages on metal nanoclusters.

# 22 INTRODUCTION

23 Carbon monoxide (CO) has been considered as a probe 24 molecule to study the adsorption of close-shell molecules on 25 metal surfaces from both the experimental<sup>1,2</sup> and theoretical<sup>3</sup> 26 point of view, for more than 30 years. The ground-state  $(^{1}\Sigma^{+})$ 27 electronic configuration of CO can be written as,  $(1\sigma)^2(2\sigma)^2(3\sigma)^2(4\sigma)^2(1\pi)^4(5\sigma)^2$ , in terms of a single config-29 uration (Figure 1).

The  $1\sigma_{1s}$  and  $2\sigma^*_{1s}$  molecular orbitals (MOs) are primarily 31 the 1s orbitals of oxygen and carbon, respectively, which are 32 core orbitals, and therefore, cannot participate in chemical 33 bonding interactions. These MOs, which are centered at the 34 carbon and oxygen nuclei are not expected to take part, or to be 35 affected by the formation of the metalcarbonyl (M-CO) bond 36 as their overlap with adjacent orbitals is limited by their 37 relatively small radial size. In contrast, the spatially more 38 extended bonding  $3\sigma_{2s}$  and antibonding  $4\sigma^*_{2s}$  MOs, which are 39 formed due to in-phase and out-of-phase overlap of the 2s 40 atomic orbitals, cause the formation of one  $\sigma$ -bond between C 41 and O. There are also two  $\pi$ -bonds that are formed due to the 42 parallel overlap of the  $2p_y$  and  $2p_x$  atomic orbitals. The  $\sigma$ -bond 43 is generally not important for the formation of the M-CO 44 bond but may be affected by it, due to Pauli repulsion, by the 45 increase of electron density in the doubly degenerate  $1\pi$  MOs  $_{46}$   $(1\pi_{2p_x}, 1\pi_{2p_x})$ , as a result of increase in antibonding character of <sub>47</sub> the doubly degenerate  $2\pi^*$  MOs  $(2\pi^*_{2p_x}, 2\pi^*_{2p_x})$ . This 48 interpretation, based on the pioneering studies of Blyholder,  $^3$  49 Bagus et al.,  $^{4,5}$  and Hoffman et al.  $^6$  is due to  $\pi$ -back-donation

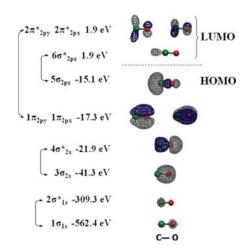


Figure 1. Electronic structure energy diagram and molecular orbitals of CO calculated at the CCSD(T)/aug-cc-pVTZ(5d,7f) level of theory.

from filled metal d states to the unoccupied  $2\pi^*$  MOs of CO. 50 Another driving force for the formation of the M-CO bond is 51 the interaction of the highest occupied molecular orbital 52 (HOMO) denoted as  $5\sigma_{\mathrm{2pz}}$  with empty d-orbitals at the metal. 53

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54 This interaction, usually referred to as  $\sigma$ -donation, was initially 55 described by Blyholder<sup>3</sup> but was later challenged by the 56 theoretical studies of Bagus et al.<sup>4,5</sup> and Hoffman et al.<sup>6</sup> that 57 showed that the interaction of HOMO orbital of CO with the 58 metal is actually repulsive, an issue that was also experimentally 59 addressed by X-ray emission spectroscopy (XES) studies.<sup>7</sup> 60 Although these first studies were based on cluster/adsorbate 61 systems, a widely used model derived for extended metal 62 surfaces, the d-band model, was later derived by Hammers et 63 al. 10 This model showed that a key parameter for late transition 64 metals during the formation of the metal-CO bond is the 65 effective charge transfer from the metal to the empty  $2\pi^*$  states 66 of CO. Recently, Zeinalipour et al. 11 has demonstrated through 67 spin-unrestricted density functional theory (DFT) calculations 68 that the C-O vibrational frequency on dimeric and tetrameric 69 transition and noble metal clusters (i.e., d<sup>6</sup>, d<sup>7</sup>, d<sup>8</sup>, and d<sup>9</sup> 70 electronic configurations) is better described by  $\pi$ -back-donation  $\sigma$  and  $\sigma$ -repulsion as it increases as a function of the principal 72 quantum number (n) and the number of d electrons of the 73 valence shell of the metal.

Apart from the fundamental interest in obtaining a deeper 75 understanding of the electronic structure of the rhodium-CO 76 bond on clusters, there are various applied fields in which such 77 studies generate valuable fundamental and practical insight. For 78 example, cluster/adsorbate model systems can act as useful 79 models to predict trends that are also valid for the substrate/  $_{80}$  metal system and this way direct research toward the finding of 81 novel properties to improve the operation of fuel cells<sup>12</sup> and 82 CO trace-gas sensors.<sup>13</sup> Additionally, these type of studies 83 provide insight in elementary catalytic processes as CO 84 adsorption is also one of the important chemical steps in 85 many industrial processes (i.e., three-way catalytic (TWC) 86 convertor, water—gas shift (WGS) process, Fischer— 87 Tropsch<sup>17</sup> (F–T) reaction). The common issue in all 88 aforementioned processes is that CO may adsorb too strongly 89 on the metal (i.e., clusters, nanoparticles, surfaces) leading to 90 the irreversible binding and hence, poisoning, deactivation, 91 and/or degradation of catalysts. 18 For example, the platinum 92 (Pt) electrodes in proton-exchange-membrane fuel cells<sup>19</sup> 93 (PEMFC) are deactivated by low CO concentrations in the 94 reactant feedstream (<5 ppm). Likewise, strong CO adsorption 95 deactivates or even degrades the active material in CO gas 96 sensors.<sup>20</sup> Therefore, elevated temperatures are required to 97 establish a reversible adsorption of CO, which in catalysis 98 causes sintering of catalysts and higher operational costs. For 99 other applications such conditions may fall outside the 100 operational temperature range of the device. In most cases 101 materials that adsorb CO weakly are desirable and because it is 102 generally known that the chemisorption energy of adsorbates 103 can considerably be affected by their surface coverage, it is 104 useful to understand the coverage-dependent adsorption energy 105 of CO to small clusters, as model systems. Experimentally, this 106 has been a well addressed topic for extended surfaces as there 107 are numerous studies of the adsorption of CO using infrared 108 spectroscopy and temperature-programmed desorption (TPD) 109 studies. These studies have reached the consensus that a 110 weakening of the M-CO bond occurs as a function of the 111 surface coverage of CO ( $\theta_{CO}$ ) and an enhancement of the C–O 112 bond strength, which consequently leads to the blue shift of the 113 carbonyl infrared absorption band. Therefore, infrared spec-114 troscopy has been broadly used as a suitable technique to study 115 the adsorption of CO to metals due to the sensitivity of the

carbonyl vibrational frequency to the M-CO adsorption 116 energy and structure. 21,222

There are numerous first-principle studies that explore the 118 adsorption of CO on various extended surfaces (i.e., flat, 6,23-26 119 stepped, <sup>27–29</sup> kinked<sup>28</sup>) and neutral, anionic, and cationic 120 clusters, <sup>30–35</sup> of transition and noble metals. Other phenomena, 121 such as the diffusion<sup>36</sup> of CO on surfaces, adsorption induced 122 surface reconstruction, 18 and the decomposition/activa- 123 tion<sup>37-41</sup> of CO that are closely related to the adsorption 124 energy of CO have also been studied as well as the adsorption 125 of CO to bimetallic alloys. 42–45 However, there are only a few 126 first-principle studies that explore the coverage-dependent 127 adsorption of CO on metallic surfaces. 25,41,46,47 Apart from a 128 recent study by Schaefer et al.,<sup>48</sup> on binuclear homoleptic 129 rhodium carbonyls, to the best of the authors' knowledge, there 130 is an absence of a study that explores the coverage-dependent 131 adsorption free energy of CO to a tetratomic metal nanocluster. 132 In this paper, we performed calculations on a series of models, 133 where CO was gradually adsorbed to perform an in-depth 134 investigation of the coverage-dependent adsorption and 135 infrared characteristics of CO adsorbed to a tetrahedral 136 rhodium nanocluster, Rh<sub>4</sub>(3,1) (trigonal pyramidal geometry). 137 Our systematic study yields certain useful trends with respect to 138 the structural, energetic and spectroscopic perturbations that 139 occur during the adsorption of CO to Rh<sub>4</sub>(3,1) and a simple 140 model is derived that reproduces the calculated DFT data.

The rest of the paper has the following structure: First, a 142 series of metal carbonyl complexes are presented for which the 143 adsorption-induced perturbations are analyzed. Then, the 144 coverage-dependent adsorption trends are analyzed and 145 explained through a semiquantitative model. Then, we explore 146 through infrared absorption spectra simulations the coverage- 147 dependent infrared absorption characteristics of the model 148 system under study. Finally, we present electron density 149 difference and electrostatic potential plots that provide deeper 150 insight into the metal—carbonyl bond on rhodium nanoclusters. 151

# **■ THEORETICAL CALCULATIONS**

Unrestricted density functional theory (DFT) computations are 153 employed, as implemented in NWChem<sup>49</sup> and Gaussian 03,<sup>50</sup> 154 with the use of Becke's three-parameter hybrid exchange 155 functional<sup>51</sup> (XC) combined with the Lee-Yang-Parr nonlocal 156 correlation functional,<sup>52</sup> abbreviated as UB3LYP. Initially, an 157 extended basis set saturation test was performed using the 158 Stevens/Basch/Krauss effective core potential (ECP) triple-  $^{159}$  split basis, denoted as CEP- $^{121}G^{53-55}$  and the correlation  $^{160}$ consistent augmented valence triple- $\zeta$  basis sets of the type aug- 161 cc-pVXZ, <sup>56-60</sup> where X = D, T, Q with and without basis set 162 superposition error (BSSE) corrections, <sup>61</sup> to establish the 163 quality of our computational setup. Linear dependencies of the 164 basis functions were removed by using the spherical version 165 (5d, 7f) of these basis sets. Eventually, all computations were 166 performed using the UB3LYP/CEP-121G(Rh),aug-cc-pVTZ- 167 (C,O) method (unless otherwise noted) as the BSSE-corrected 168 adsorption energies using the aug-cc-VQZ basis set differed by 169 only 2 kJ/mol from the non-BSSE corrected adsorption 170 energies using the aug-cc-pVTZ basis set. This model/method 171 served as a good compromise between computational accuracy 172 and demand. The Hessian was calculated analytically at every 173 optimization step, and all structures were confirmed to possess 174 energetic minima on the potential energy surface by the 175 absence of negative vibrational frequencies. Convergence was 176 generally achieved by first optimizing the complex with a frozen 177

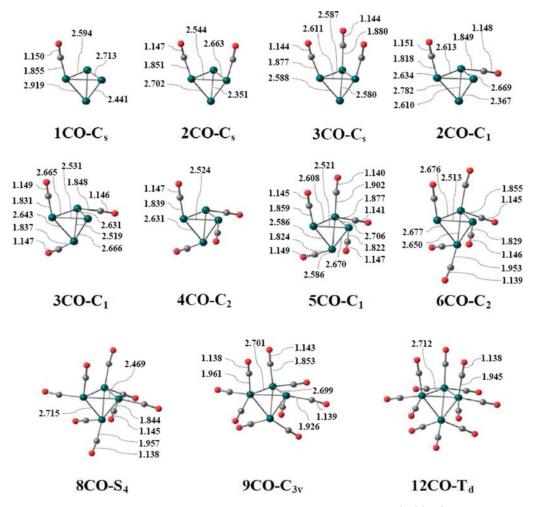


Figure 2. Three-dimensional structures of fully optimized rhodium carbonyl clusters of the form  $Rh_4(3,1)(CO)_m$  where n=1-9 and 12 in their lowest energy spin state. All symmetry unique bond lengths and molecular point group symmetries of the fully optimized structures at B3LYP/CEP-121G(Rh), aug-cc-pvTZ(C,O) are presented. The rhodium, carbon, and oxygen atoms are shown in black, white, and dark gray, respectively. Bond lengths are reported in Angstroms. The average bond length of the bare cluster was 2.578 Å. Cartesian coordinates of all the structures are given in the Supporting Information S-Table 1.

178 metal core and subsequently performing a full optimization of 179 the cluster-adsorbate system. We note that this approach was 180 found to be critical to achieve convergence and to significantly 181 reduce the computational time required. The SCF convergence 182 criteria for the root-mean-square (rms) density matrix and the 183 total energy were set to  $10^{-8}$  Hartrees/bohr and  $10^{-6}$  Hartrees, 184 respectively. All computations were checked for spin-contamination, which was found to be negligible and only structures 186 with linearly adsorbed CO are reported. The equations used to 187 derive Gibbs free energies from DFT-derived total energies are 188 described in ref 62. The free energy of adsorption per CO 189  $(\Delta G^{\varnothing}_{\rm CO})$  was calculated using

$$\Delta G^{\varnothing}_{CO} = (G^{\varnothing}_{Rh_{4}(CO)_{n}} - G^{\varnothing}_{Rh_{4}} - n \cdot G^{\varnothing}_{CO})/n$$
 (1)

where  $G^{\sigma}_{Rh_4(CO)_n}$ ,  $G^{\sigma}_{Rh_4}$ , and  $G^{\sigma}_{CO}$  are the Gibbs free energies of 191 the rhodium carbonyl complex, of the rhodium cluster, and gas 192 phase CO, respectively,  $^{\sigma}$  represents standard ambient 193 temperature and pressure conditions (SATP, P=1 bar, T=194 298.15 K), and n is the number of CO molecules bound to the 195 cluster. The cluster coverage ( $\theta_{CO}$ ) was defined on the basis of 196 the molecular formula of tetrarhodium dodecarbonyl  $^{63}$  197  $^{63}$  Rh<sub>4</sub>(CO)<sub>12</sub> using the relationship,

$$\theta_{\rm CO} = n/12 \tag{2}$$

The IR spectra were simulated in a devoted program written in 198 Python by fitting Lorentzian functions<sup>16</sup> of the form 199

$$L(\tilde{\nu}) = \sum_{i} \frac{f_i}{\pi} \frac{\frac{1}{2} \Gamma_{1/2}}{(\tilde{\nu} - \tilde{\nu}_i) + \left(\frac{1}{2} \Gamma_{1/2}\right)^2}$$
(3)

where  $f_i$  and  $\tilde{v}_i$  are the oscillator strengths and frequencies 200 (cm<sup>-1</sup>) of the various vibrational modes and  $\Gamma_{1/2}$  the full-width- 201 at-half-maximum for each Lorentzian, set to 15 cm<sup>-1</sup>.<sup>64</sup> The 202 total integrated intensity of the adsorption spectrum (0–4000 203 cm<sup>-1</sup>) was set equal to the sum of the oscillator strength using 204 the following relationship,

$$\int_{4000}^{0} L(\tilde{\nu}) \, \mathrm{d}\tilde{\nu} = \sum_{i} f_{i} \tag{4}$$

# ■ RESULTS AND DISCUSSION

**Optimized Cluster Adsorbate Structures.** To examine 207 the coverage-dependent adsorption energy of CO on  $Rh_4(3,1)$ , 208 we first designed a series of rhodium—carbonyl clusters with the 209

Table 1. Free Energies ( $G^{\emptyset}$ ) of the Rhodium Carbonyl Clusters of the Form Rh<sub>4</sub>(3,1)(CO)<sub>n</sub>, where n = 1-9 and 12 Obtained at B3LYP/CEP-121G(Rh),aug-cc-pvTZ(C,O) and for Spin Multiplicities (SM) of 1, 3, 5, 7, and  $9^a$ 

molecular formula	point group symmetry	label	$\theta_{\mathrm{CO}}^{}^{}}}$	$G^{\emptyset}(SM=1)$ (kJ/mol)	$G^{\emptyset}(SM=3)$ (kJ/mol)	$G^{\emptyset}(SM=5)$ (kJ/mol)	$G^{\emptyset}(SM=7)$ (kJ/mol)	$G^{\emptyset}(SM=9)$ (kJ/mol)	$ \begin{array}{c} \operatorname{DFT} \Delta G^{\circ}_{CO} \\ \left(kJ/\mathrm{mol}\right) \end{array} $	$egin{array}{l} {\sf model} \ \Delta G^{\varnothing}_{\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ $
$Rh_4(CO)_1$	$C_{\rm s}$	$1CO-C_s$	0.0834	-553.8046	-553.8059	-553.8166	-553.8186	i	-113.7	-114.0
$Rh_4(CO)_2$	$C_s$	$2CO-C_s$	0.1667	-667.2131	-667.2270	-667.2331	-667.2304	-667.1791	-111.4	-109.0
$Rh_4(CO)_2$	$C_1$	$2CO-C_1$	0.1667	-667.2317	-667.2372	-667.2395	-667.2305	-667.1854	-119.9	-121.5
$Rh_4(CO)_3$	$C_s$	$3CO-C_s$	0.2500	-780.6159	-780.6403	-780.6366	-780.6208		-104.3	-104.0
$Rh_4(CO)_3$	$C_1$	$3CO-C_1$	0.2500	-780.6576	-780.6604	-780.6561	-780.6395		-121.9	-124.0
$Rh_4(CO)_4$	$C_2$	$4CO-C_2$	0.3334	-894.0912	-894.0725	-894.0552	-894.0405	e	-129.4	-129.0
$Rh_4(CO)_5$	$C_1$	$5CO-C_1$	0.4167	-1007.5056	-1007.4970				-125.3	-122.2
$Rh_4(CO)_6$	$C_2$	$6\text{CO-}C_2$	0.5000	-1120.8984	-1120.8807	h			-113.1	-112.7
$Rh_4(CO)_8$	$S_4$	$8CO-S_4$	0.6667	-1347.7059	f	f			-105.1	-104.5
$Rh_4(CO)_9$	$C_{3\nu}$	9CO- C <sub>3v</sub>	0.7500	-1461.0878	g	g			-96.0	-96.3
$Rh_4(CO)_{12}$	$T_d$	$T_d$	1.0000	-1801.2230	d	d			-75.6	-80.0
$Rh_4$	$C_s$	$Rh_4$ - $C_s$	n/a	-440.3871	-440.3845	-440.3868	-440.4024	i	n/a	n/a
СО	$D_{\infty h}$	$CO D_{\infty h}$	n/a	-113.3729					n/a	n/a

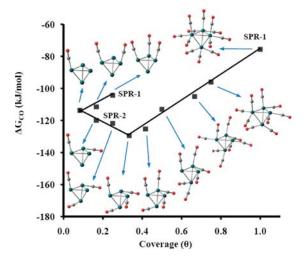
"The rightmost columns present the average free energy of CO adsorption as derived from DFT and the model given in eq 3. The lowest energy states are shown in bold. "The 3D structures of the lowest energy complexes are given in Figure 2. "The surface coverage ( $\theta = 1$ ) has been defined on the basis of eq 2. "Relaxed to  $Rh_4(\mu\text{-CO})_3(CO)_9$ . "Relaxed to  $Rh_4(\mu\text{-CO})(CO)_3$ . "Relaxed to  $Rh_4(\mu\text{-CO})_4(CO)_4$ . "Minimum could not be located."

210 following stoichiometries (label): Rh<sub>4</sub>CO (1CO-C<sub>s</sub>), Rh<sub>4</sub>(CO)<sub>2</sub>  $(2CO-C_1)$ ,  $Rh_4(CO)_2$   $(2CO-C_s)$ ,  $Rh_4(CO)_3$   $(3CO-C_1)$ ,  $_{212} \text{ Rh}_{4}(\text{CO})_{3} (3\text{CO-}C_{1}), \text{ Rh}_{4}(\text{CO})_{4} (4\text{CO-}C_{2}), \text{ Rh}_{4}(\text{CO})_{5}$  $(5CO-C_1)$ ,  $Rh_4(CO)_6$   $(6CO-C_2)$ ,  $Rh_4(CO)_8$   $(8CO-S_4)$ ,  $_{214} \text{ Rh}_4(\text{CO})_9 \text{ (9CO-}C_{3v}) \text{ and } \text{Rh}_4(\text{CO})_{12} \text{ (12CO-}T_d) \text{ (Figure 2)}.$ 215 The  $Rh_4(3,1)$  nanocluster had a perfect  $T_d$  geometry, and CO was attached to it at nearly 90° with respect to the cluster facet surface. Previously, we have shown that such a nanocluster with 217 various adsorbed CO species is adequate for the spectral assignment of the vibrational bands observed in diffuse 220 reflectance infrared Fourier-transform (DRIFTS) spectra of  $_{\rm 221}$  Rh/Al $_{\rm 2}O_{\rm 3}^{~\rm 31}$  exposed to a feedstream of CO. The structures 222 were located through multiple optimization runs, making use of 223 successive lower point group symmetries and spin multiplicities 224 (SM) of 1, 3, 5, 7, and 9. For instance, the fully saturated 225 complex (12CO- $T_d$ ), was first optimized using the highest 226 possible point group symmetry  $(T_d)$ , resulting in three weak 227 imaginary frequencies (55.5i, 34.7i, 10.3i). These, after 228 optimization at the lower symmetry point group  $(C_s)$  resulted 229 in a local minimum. A similar weak distortion was observed for 230 4CO-C<sub>2</sub> and 9CO-C<sub>3v</sub> with a doubly degenerate imaginary 231 frequency of 39.6i and 27.5i, respectively, where the point 232 group symmetry had to be reduced to the C<sub>s</sub> point group, to 233 locate a local minimum.

The optimized geometries for the models with the lowest energy and their corresponding total free energies as a function of SM are presented in Figure 2 and Table 1, respectively. Certain structural perturbations have been observed by monitoring the adsorption-induced bond length alterations to the cluster and the M–CO bond. In  $1\text{CO-}C_s$  we observed a dramatic 13.2% adsorption induced bond elongation for the Rh–Rh bond with the largest projection on the Rh–CO bond, whereas, for Rh–Rh bonds with smaller projections on the Rh–CO bond, a bond elongation of only 0.6% was observed, the bare Rh<sub>4</sub>(3,1) cluster (2.578 Å). This indicates that the adsorption of CO on metal clusters significantly weakens the metal–metal bonds, in agreement with previous studies that

presented CO adsorption-induced decomposition of the metal 248 cluster at various temperatures, including room temperature. 65 249 Comparison of the structures of 1CO-C<sub>s</sub>, 2CO-C<sub>s</sub>, and 3CO-C<sub>s</sub>, 250 yielded the following structural perturbations upon successive 251 CO adsorption: (i) the carbon-oxygen (C-O) bond length, 252 1.150, 1.147, and 1.144 Å, respectively, decreases and (ii) the 253 Rh-Rh bond length that has the smallest projection on the C- 254 O axis, 2.919, 2.702, and 2.588 Å, respectively, decreases, both 255 of which are in agreement with a decrease of the adsorption 256 energy of CO. The increase of the Rh-Rh bond length upon 257 adsorption is supportive of the view that there is electron 258 transfer through the  $\pi$ -back-donation mechanism from 259 occupied orbitals that bind the two metals to empty orbitals 260 at the carbon-end of CO, which according to the MO diagram 261 in Figure 1, could be either the  $6\sigma^*$  or the doubly degenerate  $_{262}$  $2\pi^*$  MOs. However, because the  $6\sigma^*$  is essentially shielded by  $_{263}$ the electron density of the occupied  $5\sigma$  state, only the  $2\pi^*$  264 states are essentially expected to accept electron density 265 through the  $\pi$ -back-donation mechanism. Based on these 266 structural observations, it is suggestive that the  $\pi$ -back-donation 267 bond formation is sensitive not only to the effective electron 268 transfer of electron density of the chemical bonds that are 269 connected to the metal atom where CO is bound but also to 270 the relative orientation of the chemical bonds with respect to 271 the direction in which the charge transfer occurs. We will 272 further support this view through electron density difference 273 plots and electrostatic potential plots in subsequent sections 274 that reveal that spatial redistribution of the electron density as a 275 result of the electron transfer  $\pi$ -back-donation mechanism is 276 evident in the examined cases.

**Coverage-Dependent Adsorption Energy.** The cover-  $_{278}$  age-dependent adsorption energy of CO on Rh<sub>4</sub>(3,1) was  $_{279}$  calculated using high-symmetry clusters of the form Rh<sub>4</sub>(CO) $_n$   $_{280}$  where n=1-9 and 12, shown in Figure 2. The corresponding  $_{281}$  Gibbs free energy change per CO ( $\Delta G^{s}_{CO}$ ) for the adsorption  $_{282}$  of carbon monoxide on Rh<sub>4</sub>(3,1) as a function of  $\theta_{CO}$  are also  $_{283}$  tabulated in Table 1 and plotted in Figure 3.



**Figure 3.** Gibbs free energy change  $(\Delta G^{\circ}_{CO})$  per CO for the adsorption of carbon monoxide on Rh<sub>4</sub>(3,1) as a function of  $\theta_{CO}$ , calculated using eqs 1 and 2, respectively. The data points of this plot are given in Table 1.

Our results indicated that an increase of the surface coverage quenches the magnetic moment of the cluster. This can be seen by observing the spin multiplicity of the lowest energy cluster/ sadsorbate model, which at low coverages (0.0834) is SM = 7, leavest identical to that for the bare cluster, whereas at coverages possible than 1/3 the magnetic properties are completely quenched to a closed shell spin configuration (SM = 1). This is in agreement with molecular beam deflection experiments on likely clusters, which upon CO adsorption exhibit a decrease in their magnetic moments of 6 bohr magnetons.

Another important observation that emerged from this data 295 296 set is the dramatic range (54 kJ/mol) that the adsorption free energy per CO molecule can have, if for example, one compares the values for 4CO- $C_2$  (-129 kJ/mol) to that of 12CO- $T_d$ (-76 kJ/mol). By comparison of these adsorption energies, it 300 becomes evident that the free energy of adsorption of CO at 301 high  $\theta_{\rm CO}$  (12CO- $T_d$ ) can be 40% weaker than the adsorption 302 energy at lower  $\theta_{CO}$  (4CO- $C_2$ ). It is generally accepted that the 303 adsorption energy on small clusters is usually higher at low 304 coverages; however, little is known about the underlying reason 305 that causes this dramatic coverage-dependent adsorption 306 energy enhancement. From the results in Figure 3 we observe that if CO is added to the cluster in a systematic fashion, then certain structure-property relationships (SPR) start to appear. We further analyzed these SPR to understand the origin of the 310 strong variations seen in the average adsorption free energy of 311 CO to the rhodium cluster and the nonintuitive inverse 312 coverage-dependent trends observed comparing SPR-1 and 313 SPR-2. Our subsequent analysis is intended to explain these variations through a simplistic semiquantitative model.

SPR-1 (for convenience we use the same label for both lines 316 regardless if the slope is not exactly the same) shows that there 317 is a near linear increase of the adsorption energy (becoming less 318 exothermic) as a function of  $\theta_{CO}$ . This trend is in accordance 319 with the trend observed on extended surfaces, usually attributed 320 to repulsive dipole—dipole interactions between CO molecules 321 that weaken the M—CO bond. An intriguing result is the 322 exact opposite trend observed in SPR-2. There, we observe an 323 increase of the adsorption energy as a function of  $\theta_{CO}$ . In SPR-2 324 we have a complete absence of CO repulsions as COs are not

adsorbed in parallel configuration or on the same metal atom 325 (i.e., dicarbonyls, tricarbonyls); therefore, an additional effect 326 changes the adsorption energy which can only be explained by 327 the introduction of an additional attractive interaction labeled 328 as the adsorbate-metal—metal—adsorbate bond (A-M-M-A). 329

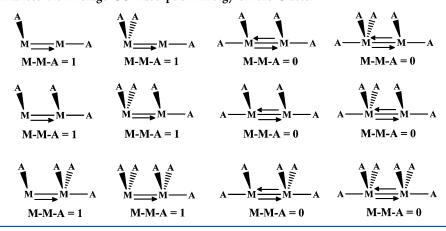
In our simplistic model we assume that during the adsorption 330 of CO on the small cluster there are four interactions that affect 331 the average free energy of CO adsorption ( $\Delta G^{\infty}_{CO}$ ): (i) 332 adsorbate—metal interaction ( $E_{ ext{A-M}}$ ), which is the attractive 333 energy due to the metal-carbonyl bond formation, (ii) 334 adsorbate—adsorbate interaction  $(E_{A-A})$ , which is the repulsive 335 energy between COs placed in parallel configuration on 336 adjacent metals, (iii) adsorbate-metal—adsorbate interaction 337  $(E_{\text{A-M-A}})$ , which is the repulsive interaction between COs 338 adsorbed to the same metal center (i.e., dicarbonyl and 339 tricarbonyl species), and (iv) adsorbate-metal-metal-adsor- 340 bate  $(E_{\text{A-M-M-A}})$  interaction, in which one CO bond is colinear 341 with the metal-metal bond and the second CO is residing on 342 an adjacent metal atom at a  $\approx 90^{\circ}$  angle. The adsorption of the 343 second CO is stronger as a result of the metal-metal bond 344 polarization in the direction of the M-CO bond (we provide 345 detailed evidence of this interaction in the subsequent 346 sections). Using these four parameters, we fit the following 347 equation to our calculated data through root-mean-square- 348 difference (rmsd) minimization.

$$\Delta G^{\circ}_{CO} = -a \cdot E_{A-M} + b \cdot E_{A-A} + c \cdot E_{A-M-A} - d \cdot E_{A-M-M-A}$$
(3)

where *a, b, c,* and *d* are the numbers of A-M, A-A, A-M-A, and 350 A-M-M-A moieties, respectively (given as Supporting Informa- 351 tion in S-Table 2).

The model values reproduce relatively well the calculated 353 DFT values (see last two columns in Table 1), as indicated by 354 the small rmsd = 1.45. It is noted that without consideration of 355 the A-M-M-A interactions, this agreement is much weaker 356 (rmsd = 5.91). We have applied this model to other 357  $Rh_4(3,1)(CO)_n$  models, where n = 5-7 but with configurations 358 different from the ones presented, and it reproduces the average 359 adsorption energies to within less than 1-10%. Therefore, we 360support the view that within the model systems examined here, 361 it is necessary to include this additional attractive stabilization 362 that is generated by the polarization of the metal-metal bonds 363 (A-M-M-A parameter) of the cluster to reach better agreement 364 with the DFT results. We show that the additional stabilization 365 of the cluster CO model occurs when the configuration of COs 366 is such that metal-metal bond polarization can occur, favoring 367 the  $\pi$ -back-donation mechanism and facilitating stronger <sup>368</sup> adsorption of the neighboring adsorbate. In this CO 369 configuration, the CO on one metal atom is collinear with a 370 metal-metal bond and a second CO is adsorbed vertical to the 371 metal-metal bond, at the second metal atom (see in Figure 2, 372 2CO- $C_1$ ). When two such configurations are present on the 373 same metal bond, they cancel out, as the polarization of the first 374 is counter-polarized by the second running in the opposite 375 direction. When one configuration is present, the polarization 376 of the metal-metal bond decreases the Pauli repulsion for the 377 second adsorbate and maximizes the overlap between filled 378 states of the metal and the empty  $2\pi^*$  states of CO, forming a 379 relatively stronger M-CO bond. Furthermore, it is possible 380 that the polarization generates a partial negative charge on 381 metal 1, which then enhances the strength of the metal-CO 382 bond that is collinear with the metal-metal bond. Both these 383 effects can be rationalized by careful consideration of bond 384

Scheme 1. Schematic Representation of the Various Rhodium—CO Configurations That Yield the Metal—Metal Bond Polarization That Enhances the Average CO Adsorption Energy on the Cluster

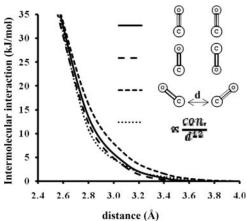


385 length alternations upon adsorption and by observation of the 386 electron density difference plots, shown in Figure 6. The 387 numbering for the A-M-M-A moieties on the models examined 388 is described in Scheme 1.

From the semiquantitative model that we derived we found 390 that the most important attractive interaction is the formation of the metal-carbonyl bond, which had a fitted value of A-M = 392 114 kJ/mol per CO. The cluster is further stabilized by the A-393 M-M-A interactions that were found to be 1 order of 394 magnitude lower (A-M-M-A = 15 kJ/mol) than the A-M 395 interactions. These attractive interactions are reduced by other 396 coverage dependent repulsions between adsorbed COs. In 397 particular, the repulsive energy between COs in dicarbonyl and 398 tricarbonyl species was found to be A-M-A = 24 kJ/mol, whereas the repulsive energy between parallel adsorbed COs on 400 adjacent metals was found to be A-A = 10 kJ/mol. These values clearly show that the repulsive interactions between CO moieties on the cluster are 5-10 times smaller than the 403 attractive interactions due to the formation of the metal-404 carbonyl bond.

It is interesting to notice that these results support the picture that repulsive energy between COs is further enhanced during the adsorption induced dipole that is formed upon CO during the adsorption induced dipole that is formed upon CO adsorption. This can be clearly seen in the electrostatic potential maps obtained in Figure 6 but is also evident from energetic arguments, outlined in the following paragraph. To get an accurate estimate of the repulsive CO interactions prior adsorption, we performed high-level coupled cluster calcutial lations, shown in Figure 4.

This figure shows that at distances larger than 3.5 Å there is 415 an absence of repulsive interactions. For extended surfaces, if 416 one assumes only atop or hollow adsorption on the Rh(111) 417 surface, at  $\theta_{\rm CO}$  = 1, the nearest-neighbor distance is 2.689 Å, 418 based on the cubic close-packed (ccp) crystal structure of 419 rhodium,<sup>68</sup> which corresponds to a repulsive energy of 25 kJ/ 420 mol. However, due to the spherical geometry of the CO 421 adsorption shell examined here, the nearest-neighbor distance 422 between CO molecules ranges between 3.2 and 3.6 Å, which 423 corresponds to a repulsive energy of less than 2 kJ/mol, 424 significantly lower than the one we find in our model. This 425 suggests that the repulsive energy between COs is further 426 enhanced upon adsorption, due to adsorption-induced dipole— 427 dipole electrostatics or the presence of a metal surface, which 428 promotes electron-delocalization<sup>25</sup> of the diffuse metal electron 429 density, offered to CO, through the  $\pi$ -back-donation



**Figure 4.** Intermolecular interaction between relaxed carbon monoxide dimer in parallel, antiparallel, and bent configurations obtained using CCSD(T)/aug-cc-pVTZ. A repulsive VdW commonly used in Lennard-Jones (12-6) potential is shown for comparison. The intermolecular interaction was calculated using  $E_{\rm int}$  (r) =  $E_{\rm CO-CO}$  –  $2E_{\rm CO}$ .

mechanism. Electrostatic potential maps in the last section of 430 this paper provide further evidence of the adsorption-induced 431 dipole formed during adsorption.

Infrared Absorption Spectra Simulations. Even though 433 the evolution of infrared (IR) absorption or transmittance 434 peaks as a function of  $\theta_{\rm CO}$  is an extensively studied topic on 435 surfaces, very little is known about the coverage-dependent 436 evolution of IR bands on nanoclusters. Therefore, in this 437 section we explore the evolution of the IR absorption bands of 438 the rhodium carbonyl cluster, as a function of  $\theta_{\rm CO}$ . The IR 439 intensity of each vibrational mode i was taken to be 440 proportional to the square of the derivative of the molecular 441 dipole field with respect to the vibrational coordinate  $(q_i)$  given 442 by

$$I_{0\to 1} = \left[ \int \psi_{\nu=0} \frac{\vartheta \mu}{\vartheta q_i} \psi_{\nu=1} \, \mathrm{d}q_i \right]^2 \tag{5}$$

where the harmonic oscillator wave functions are used for  $\psi_{\nu=0}$  444 and  $\psi_{\nu=1}$ . The dipole derivatives were calculated analytically, 445 together with the force constants from the DFT wave 446 functions. <sup>69</sup> In Figure 5, the simulated infrared absorbance 447 fs

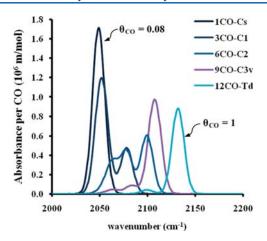


Figure 5. Simulated infrared absorbance spectra (normalized per CO) for various rhodium carbonyl clusters, showing symmetric and antisymmetric carbon–oxygen (C–O) stretching vibrations, as a function of  $\theta_{\text{CO}}$ .

448 spectra of the various rhodium—carbonyl clusters examined, are 449 presented. The infrared spectra clearly show the existence of a 450 strong absorption band located at 2075  $\pm$  80 cm $^{-1}$ , which 451 corresponds to various antisymmetric and symmetric vibra-452 tional stretching modes of the C–O bond. The relative location 453 of this band on the frequency scale appears to be very sensitive 454 to  $\theta_{\rm CO}$ . In particular, at  $\theta_{\rm CO}\approx$  0.08 this band is located at 2047 455 cm $^{-1}$ , whereas at  $\theta_{\rm CO}=$  1, the same band is blue-shifted by 80 456 cm $^{-1}$ . This frequency blue shift upon CO adsorption has been

previously attributed to repulsive dipole-dipole interactions 457 between adjacent CO groups on extended surfaces that cause 458 coupling of vibrational modes, splitting the band that 459 corresponds to linear-CO, into a doublet.  $^{70,71}$  On a nanocluster 460 we observe that the vibrational band for l-CO may split into as 461 many as three bands (i.e., A and 2B for 6CO-C2), which 462 corresponds to the coupling of l-CO in parallel configuration<sup>31</sup> 463 and the coupling of dicarbonyl species.<sup>2</sup> This shift in the 464 frequencies corresponds also to drastic changes in the 465 adsorption free energy as we have presented in the previous 466 section of this paper. This suggests that increase of  $heta_{ ext{CO}}$  causes 467 strengthening of the C-O bond, which is usually attributed to 468 the weakening of the M–CO bond. The ratio of  $\Delta G^{\circ}_{CO}/\Delta \nu$  469 (0.5 kJ·cm/mol) yields a rough estimate of the adsorption 470 energy change via monitoring the frequency shift in IR spectra 471 of rhodium nanoclusters. The relative magnitude of this value 472 clearly demonstrates the sensitivity of infrared spectroscopic 473 techniques in their use of obtaining energetic information of 474 CO on metal nanoclusters. A similar blue shift of 80–100 cm<sup>-1</sup> 475 is also observed for the metal-carbonyl stretching and bending 476 modes (not shown), which appear in a band between 380-520 477 cm<sup>-1</sup> and are 10-fold weaker in intensity than the 478 corresponding C-O stretching bands. Finally, we observed a 479 decrease of the integrated area of the absorbance bands 480 normalized per CO, as a function of  $\theta_{\rm CO}$ . A similar decrease of 481 the IR absorption intensity has been observed in a previous 482 study, where through variation of the degree of  $\pi$ -back- 483 donation, by changing the metal atom of the adsorption site, a 484 gradual decrease of the IR absorption intensity has been 485 observed.<sup>11</sup> We note that the coverage-dependent blue shift of 486

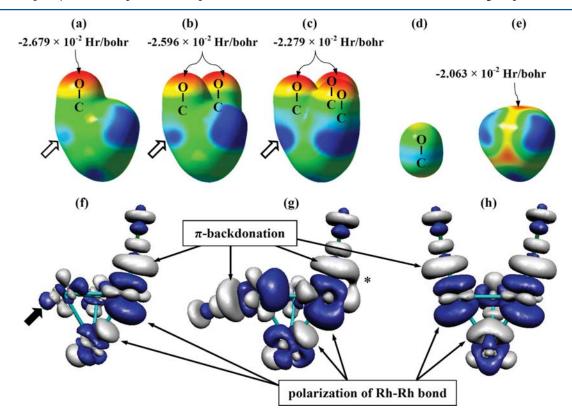


Figure 6. Molecular electrostatic potential of (a)  $1\text{CO-C}_s$ , (b)  $2\text{CO-C}_s$ , (c)  $3\text{CO-C}_s$ , (d) CO, and (e)  $Rh_4(3,1)$  and electron density difference plots of (f)  $1\text{CO-C}_s$ , (g)  $2\text{CO-C}_1$ , and (h)  $2\text{CO-C}_s$  generated on a 0.0004 and 0.005 e/au³ isodensity surface, respectively. Dark regions in (a)–(e) correspond to a positive electrostatic potential and in (f)–(h) to a decrease of electron density, respectively. Isosurfaces were obtained on a 0.1 Å grid.

487 the vibrations band of CO maybe an interesting property in the 488 utilization of metal nanoclusters as trace-gas sensors.

Electron Density Difference and Electrostatic Poten-490 tial Plots. In this section we provide further evidence 491 concerning the A-M-M-A bond, to rationalize the two very 492 different trends (SPR-1, SPR-2) observed concerning the 493 adsorption energy of CO as a function of  $\theta_{\rm CO}$ . For this, we 494 perform an in-depth investigation of the molecular electrostatic 495 potential (ESP), before and after adsorption, and generate 496 electron density difference plots ( $\rho_{\rm diff}$ ) to show the adsorption 497 induced electron density perturbations, in both the metal 498 cluster and the adsorbate. The molecular electrostatic potentials 499 were obtained by the evaluation of the potential of a positive 500 point charge on a 0.0004 e/au³ isodensity surface whereas, the 501 electron density difference plots where calculated using the 502 following equation,

$$\rho_{diff} = \int \! \Psi_{Rh_4(CO)_n}^{} \, d\tau - \int \! \Psi_{Rh_4}^{} \, d\tau - \int \! \Psi_{(CO)_n}^{} \, ^2 \, d\tau \end{der} \end{der} \label{eq:rhodiff}$$

503 where the first term corresponds to the electron density of the 504 metal-carbonyl complex, the second term to the electron 505 density of the metal particle in the presence of the adsorbate 506 ghost atoms, and the last term to the CO adsorption shell with 507 the metal particle replaced by ghost atoms. These isodensity 508 values were critical to reveal the adsorption-induced electron 509 transfer processes (i.e.,  $\pi$ -back-donation, metal-metal polar-510 ization), which are more apparent in the diffuse electron 511 density region of the models examined. A very interesting 512 aspect of the ESP plots presented is that prior to adsorption 513 (Figure 6d), only a very weak negative electrostatic potential at 514 the carbon end is observed, indicative of little polarization, and sis in agreement with the small dipole moment (0.112 D, 3.74  $\times$ 516  $10^{-31}$  C·m)<sup>72</sup> of gas phase CO. The rhodium nanocluster, on 517 the other hand, appears to have a positive electrostatic potential 518 at each rhodium atom whereas hollow and bridge sites possess 519 a negative electrostatic potential. The situation changes drastically when adsorption of CO occurs, as CO (Figure 6a-c) and especially oxygen, develop a prominent negative 522 electrostatic potential, indicative of substantial charge transfer 523 from the metal cluster to the adsorbate and the formation of an s24 adsorption-induced dipole,  $C^{\delta+}$  –  $O^{\delta-}$ . At the same time, there is 525 an increase of the positive electrostatic potential at the Rh atom 526 (see arrow in Figure 6a−c), which indicates the development of 527 a positive charge at the metal, as a result of the charge transfer 528 to the adsorbates. The negative electrostatic potential at the 529 CO moieties decreases as a function of CO surface coverage 530 (Figure 6a-c), indicating that the mechanism of charge transfer 531 becomes less efficient as the number of adsorbates increases, 532 due to repulsive A-A interactions. The exact mechanism of the 533 charge transfer can be visualized in the electron density 534 difference plots presented in Figure 6f-g. It is evident that for 535 the examples presented, the metal-carbonyl bond for atop 536 adsorbed CO occurs by the simultaneous electron donation of 537 the  $\pi$  bond electron density, belonging to the metal-metal  $\pi$ -538 bond, into the empty  $2\pi^*$  MO of CO. This causes partial 539 disruption of the metal-metal  $\pi$  bonds that have their axis of 540 symmetry almost colinear with the M-CO bond. This 541 observation is in fact reasonable in the light that  $\pi$  states are 542 generally more polarizable along chemical bonds, as we have 543 recently shown to be the underlying reason for the nonlinear 544 static longitudinal polarizability of polyynes<sup>73,74</sup> and poly-545 acenes.<sup>75</sup> In contrast, the chemical metal-metal bonds that are

almost perpendicular to the metal—CO bond undergo less 546 electron transfer to the  $2\pi^*$  MO of CO. We, therefore, found 547 that the electron transfer direction of metal—d-electron density 548 into the  $2\pi^*$  MO of CO is closely correlated to the direction in 549 which the metal—metal bonds of the cluster can more 550 effectively polarize. The polarization of the meal—metal 551 bonds can be clearly seen in the  $\rho_{\rm diff}$  plots presented in Figure 552 6f,g,h. We note that the polarizability of  $\pi$ -bonds in metal 553 clusters appears to be a critical parameter that determines the 554 strength of the A-M interactions.

In particular, in Figure 6f the adsorption of the first CO 556 facilitates larger polarization of the Rh-Rh bond with a larger 557 projection on the M-CO bond. Therefore, adsorption of 558 subsequent CO molecules is favored at a position (shown by 559 filled arrow, Figure 6f) where the polarization caused by the 560 second adsorbate will stabilize the polarization caused by the 561 first. Therefore, the adsorption of CO as shown for SPR-2 will 562 result in higher adsorption energies. The adsorption of the 563 second adsorbate in Figure 6g, also increases the amount of  $\pi$ - 564 back-donation, indicated by the bulkier negative electron 565 density region on the carbon atom (see asterisk in Figure 566 6g). By monitoring the relative volume of the  $\pi$ -back-donation 567 isosurface, we observe that there is a gradual decrease of this 568 isodensity volume, in agreement with the trend observed in 569 SPR-1 and indicative of a less efficient charge transfer through 570 the  $\pi$ -back-donation mechanism, as a function of  $\theta_{CO}$ .

#### CONCLUSIONS

A systematic computational study of carbon monoxide 573 adsorption on a tetrahedral rhodium cluster, Rh<sub>4</sub>(3,1), is 574 presented in this work. Two coverage-dependent structure- 575 property relationships concerning the adsorption energy of CO 576 of opposing trend, were found. The first, in agreement with the 577 coverage-dependent adsorption characteristics of CO on 578 extended metal surfaces, resulted in lowering of the adsorption 579 energy as a function of coverage. The second, only present at 580 low coverages ( $\theta$  < 0.3), was found to have the exact opposite 581 trend. The coverage-dependent adsorption energy trends are 582 rationalized through the derivation of a semiquantitative model 583 and further supported through molecular orbital energy 584 diagrams, electron density difference plots, molecular electro- 585 static potential plots and simulated infrared spectra that show 586 that attractive adsorbate-metal-metal-adsorbate interactions are 587 considerably strong (25 kJ/mol per CO) at low coverages on 588 metal nanoclusters.

Certain additional useful observations and conclusions are 590 derived, which are listed below: (i) the  $\pi$ -back-donation bond 591 formation is sensitive not only to the effective electron transfer 592 of electron density of the chemical bonds that are connected to 593 the metal atom where CO is bound but also to the relative 594 orientation of the chemical bonds with respect to the direction 595 in which the charge transfer occurs, (ii) the ratio of the 596 adsorption energy per CO divided by the infrared shift was 597 found to be 0.45 kJcm/mol, useful for the assessment of 598 adsorption energy changes of CO from Rh<sub>4</sub>(3,1) using infrared 599 band shifts, (iii) the coverage-dependent blue shift of the 600 vibrations band of CO may be an interesting property in the 601 utilization of metal nanoclusters as trace-gas sensors, (iv) 602 adsorbate-adsorbate interactions during the adsorption of CO 603 to  $Rh_4(3,1)$  are 5-10 times weaker than the metal-carbonyl 604 bond strength, the latter dominating the adsorption energetics, 605 and (v) electrostatic potential plots clearly show that there is an 606 adsorption induced dipole generated due to effective charge 607

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608 transfer from the metal  $\pi$ -bonding system to the  $2\pi^*$  MO of 609 CO.

#### 610 ASSOCIATED CONTENT

#### 611 S Supporting Information

612 Cartesian coordinates of all optimized geometries and the 613 number of A-M, A-A, A-M-A, and A-M-M-A moieties for each 614 model, respectively are given in S-Table 1 and S-Table 2, 615 respectively. This material is available free of charge via the 616 Internet at http://pubs.acs.org.

#### AUTHOR INFORMATION

#### 618 Corresponding Author

619 \*E-mail: zeinalip@insilicotech.com .

#### 620 Notes

621 The authors declare no competing financial interest.

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