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# Insights into Photoinduced Sol-Gel Polymerization: An in Situ <sup>2</sup> Infrared Spectroscopy Study

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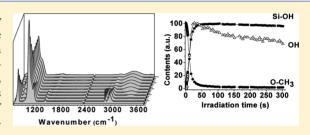
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Supporting Information

ABSTRACT: Photoacid-catalyzed sol-gel polymerization is now recognized as a powerful single-step synthetic approach to the synthesis of hybrid films, which can be distinguished from conventional sol-gel methods by higher reactivity and a solventfree process. Despite its utility, the mechanism is not yet understood, in particular what chemical, physical, and photochemical parameters determine the precise sequence, kinetics, and advancement of this UV inorganic photopolymerization. Here, using mainly transmission realtime Fourier transformed infrared (RT-FTIR) spectroscopy, we

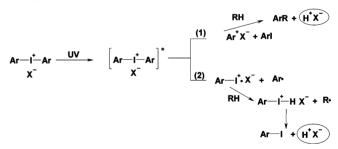


characterize in situ the hydrolysis-condensation reactions of oligomeric silicon alkoxides and the formation of byproducts. Systematic review and assessment of numerous processing variables (relative humidity, film thickness, precursor structure, nature, and the concentration of photoacid generator) prove that the reaction kinetics are controlled by the two independent phenomena: the intrinsic chemical reaction rates and the water vapor permeation into the film.

# 25 INTRODUCTION

26 As early as 1978, a patent from Fox et al. proposed a 27 photoacid-catalyzed sol-gel alternative to produce silica-based 28 films in a single step and without the need for solvent. 29 Conventional acid catalysts (acetic acid, HCl, HF, HNO<sub>3</sub>) were 30 replaced in the formulation by photoacid generators (PAG) 31 such as diaryliodonium (Ar<sub>2</sub>-I<sup>+</sup>) or triarylsulfonium (Ar<sub>3</sub>S<sup>+</sup>) 32 salts bearing weakly coordinating anions (X<sup>-</sup>). The aromatic 33 organic moiety of these salts ensures both an absorption in the 34 range of 200-320 nm and solubility with the precursor to form 35 a low-viscous and stable solution in absence of UV light, which 36 can be deposited using the techniques described previously for 37 polymeric sols. The UV irradiation causes a cascade of 38 homolytic and heterolytic cleavages (Scheme 1) yielding 39 protonic Brönsted superacids of the structure H<sup>+</sup>X<sup>-</sup>. The 40 strongly delocalized charge on the anions (low electron pair 41 donor ability) imparts a high catalytic reactivity to these 42 protonic acids, originally widely used as initiators in the cationic 43 photopolymerization of epoxy and vinyl ether organic 44 monomers. The combination of fast PAG decomposition rate 45 and superacidity induces a rapid solidification of the liquid 46 precursor film, without apparent transition for a sol to a gel. 47 Highly condensed films can be potentially achieved through 48 appropriate choice and concentration of PAG, thus alleviating

Scheme 1. Mechanism of UV-Induced Photolysis of a Diaryliodonium Salt<sup>a</sup>



<sup>a</sup>Both heterolytic (1) and homolytic (2) reactions involving proton donors (RH) are responsible for the formation of superacids (H<sup>+</sup>X<sup>-</sup>).

the constraint of thermal post-treatment and making such 49 photoprocess suitable to thermally sensitive substrates.

The use of a photoinduced sol-gel process has already been 51 reported in a few studies, 2-7 mainly in the design of novel one- 52 step strategies toward nanocomposite films. In this paper, our 53 goal is to discuss some fundamental aspects of photoinduced 54

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55 sol-gel processing, starting with simple alkoxysilane systems. 56 As a silicon source, oligomeric precursors polydimethoxysilox-57 ane (PDMOS) and polydiethoxysiloxane (PDEOS) were 58 preferred to their monomeric analogues—tetramethoxysilane 59 (TMOS) and tetraethoxysilane (TEOS)—to avoid evaporation 60 problems. The fundamental questions surrounding photoacid-61 catalyzed hydrolysis and condensation in silicates concern what 62 chemical, physical, and photochemical parameters determine 63 the precise sequence, kinetics, and advancement of these 64 reactions under different processing conditions. To the best of 65 our knowledge, these issues have never been addressed as well 66 as the major differences with a conventional sol-gel process. 67 Here, we endeavor to discuss the influence of several 68 experimental parameters such as film thickness, the relative 69 humidity, the nature, and the concentration of PAG on the 70 course of the sol-gel process.

71 An important part of our investigation lies on the in situ 72 analysis of the sol-gel kinetics by real-time Fourier transformed 73 infrared (RT-FTIR) spectroscopy. IR has been known for 74 decades as a powerful routine characterization technique, which 75 provides extensive information about optical, vibrational, 76 chemical, and structural properties in sol-gel materials, in 77 particular on films.<sup>8–13</sup> However, the systematic presence of 78 solvent saturating the signal and the weak reactivity inherent in 79 a conventional sol-gel polymerization makes the implementa-80 tion of a rapid scan time-resolved FTIR either incompatible or 81 useless. Therefore, the use of RT-FTIR concerned only 82 periodically organized silica films after the deposition stage 83 with a main emphasis on solvent evaporation and silica network 84 condensation. 14-16 The implementation of a solvent-free and 85 fast photo sol-gel process opens new opportunities for RT-86 FTIR, with the possibility to investigate structural aspects but 87 also reaction kinetics and the formation of byproducts, without 88 affecting the course of the polymerization.

#### 89 EXPERIMENTAL SECTION

Chemicals. Polydimethoxysiloxane (PDMOS) and poly-91 diethoxysiloxane (PDEOS) were purchased from ABCR and 92 used without further purification. PDMOS and PDEOS are 93 nonhydrolyzed oligomeric silicate precursors derived respec-94 tively from tetramethoxysilane (TMOS) and tetraethoxysilane 95 (TEOS). PDMOS, also called methyl silicate, is a cheaper 96 source of silicon than TMOS. It results when TMOS is synthesized in presence of traces of water and HCl byproduct. 98 In practice, reaction conditions are chosen that give on ignition 99 SiO<sub>2</sub> equivalent to 51 wt % for PDMOS and 40% for PDEOS, 100 which corresponds to an average of five silicon atoms per 101 oligomer. Significant differences in silicate speciation were 102 observed depending on the alkoxy group. Liquid state <sup>29</sup>Si 103 NMR of the PDMOS oligomer precursor exhibits four signals 104 attributed to  $Q^0$  (Si-(OR)<sub>4</sub>, 1.8%),  $Q^1$  ((RO)<sub>3</sub>-Si-(OSi), 105 49.7%),  $Q^2$  ((RO)<sub>2</sub>-Si-(OSi)<sub>2</sub>, 44.4%), and  $Q^3$  ((RO)-Si- $106 \text{ (OSi)}_3 \text{ 4.1\%}$ ) and for the PDEOS  $Q^0 \text{ (Si-(OR)}_4, 7.9\%), Q^1$  $((RO)_3\text{-Si-}(OSi), 33.7\%), Q^2 ((RO)_2\text{-Si-}(OSi)_2, 42.8\%), and$ Q<sup>3</sup> ((RO)-Si-(OSi)<sub>3</sub>, 16.4%). In reality, PDMOS and PDEOS 109 consist of a mixture of linear, branched, and cyclic structures. 110 The coupling of different liquid state NMR techniques (<sup>29</sup>Si, 111 <sup>13</sup>C, <sup>1</sup>H) was essential to shed light onto the structure of the 112 alkoxy oligomers (Figures S1 and S2, see Supporting 113 Information for complete discussion). The photoacid gen-114 erators diphenyliodonium hexafluorophosphate  $(\Phi_2 I^+ PF_6^-)$ , 115 diphenyliodonium triflate ( $\Phi_2I^+CF_3SO_3^-$ ), diphenyliodonium 116 toluenesulfonate  $(\Phi_2 I^+ C H_3 \Phi S O_3^-)$ , and diphenyliodonium

chloride ( $\Phi_2I^+CI^-$ ) were purchased from Sigma-Aldrich and 117 used as received.

Silica Film Preparation. In a typical procedure, 2 wt % of 119 PAG  $(\Phi_2 I^+ PF_6^-)$  was dissolved in the inorganic precursors 120 (PDMOS or PDEOS) to form a photolatent solution in the 121 absence of UV light. Then, the resulting formulation was 122 deposited on a BaF2 pellet using a spin-coater (Delta 6TT by 123 SÜSS Microtech) at a 2000 rpm speed for 50 s to produce a 0.9 124  $\pm$  0.2  $\mu$ m and a 1.9  $\pm$  0.5  $\mu$ m liquid film layer for respectively 125 PDMOS and PDEOS. Irradiation was performed at room 126 temperature under a UV irradiation device implemented for 127 RT-FTIR, in which formulations were simultaneously exposed 128 to UV light and to an IR analytical beam. During UV 129 irradiation, the relative humidity (RH) was maintained 130 between 27 and 33%. All measurements were performed in 131 an environmental cell. The relative humidity was controlled by 132 using saturated solutions of salt such as NaCl or MgCl. Films 133 were irradiated subsequently by the polychromatic light of a 134 mercury-xenon lamp (Hamamatsu, L8251, 200 W fitted with a 135 365 or 254 nm reflector) and coupled with a flexible light guide, 136 with an incident exitance of 200 mW/cm<sup>2</sup>. The end of the 137 optical guide was placed at a distance of 3 cm from the film and 138 directed at an incident angle of 90° onto the sample window. 139 After a 1 h irradiation, an increase of temperature of only 4 °C 140 was measured, which has a limited impact on the photosolgel 141 process. All measurements were repeated at least three times, 142 and reproducible results were obtained.

In addition, a second UV irradiation system was used for the 144 synthesis of samples devoted to <sup>29</sup>Si solid-state NMR 145 spectroscopy, which requires a greater amount of product for 146 the analysis (200–300 mg of the scratched film). In this case, 147 the films were prepared on glass substrates and photo- 148 polymerized under a UV conveyor with a belt speed of 10 149 m/min using a microwave lamp (H lamp, Fusion) and an 150 exitance of 1.46 J/cm² per pass. The samples were subjected to 151 five successive passes under the conveyor to yield transparent 152 solid film. During the UV irradiation, the room humidity was 153 maintained between 30 and 35% with a hygrometer.

Characterization. Infrared spectra obtained by RT-FTIR 155 were recorded in transmission with a Bruker Vertex 70 156 spectrophotometer equipped with a liquid-nitrogen-cooled 157 mercury-cadmium telluride (MCT) detector. The resolution 158 of the infrared spectra was 4 cm<sup>-1</sup>. During the UV irradiation, 159 the absorbance decrease of the CH<sub>3</sub> symmetric stretching 160 vibration band centered at 2848 cm<sup>-1</sup> was monitored to follow 161 the methoxysilyl hydrolysis in PDMOS, while its analogue at 162 2890 cm<sup>-1</sup> was used for PDEOS. All spectra were baseline 163 corrected prior to integration with the software OPUS 6.5. The 164 linear part of the evolution of hydrolysis conversion versus 165 reaction time allowed the determination of initial rates of 166 hydrolysis  $(r_p, (L \text{ mol}^{-1} \text{ s}^{-1}))$  and the calculation of the 167 corresponding  $r_p/[PDMOS]_0$  values  $(s^{-1})$ ,  $[PDMOS]_0$  repre- 168 senting the initial precursor concentration. Relative rates of 169 hydrolysis (eq 1) could be calculated, taking the initial rate of 170 hydrolysis with a film thickness of 0.9  $\mu$ m (1.9  $\mu$ m with 171 PDEOS), a RH of 30%, and a PAG concentration of 2 wt % 172 and under maximum polychromatic exitance (200 mW/cm<sup>2</sup>) as 173 a reference.

$$r_{\rm p}^{\rm rel} = \frac{r_{\rm p}({\rm [PAG], RH, exitance, thickness})}{r_{\rm p}({\rm ref})} \tag{1}$$

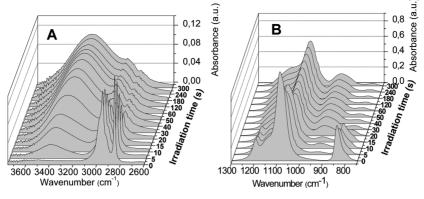


Figure 1. In situ time-resolved FTIR spectra on a time scale of 300 s of a PDMOS film containing 2 wt % of PAG under UV irradiation in the range of 3750–2600 cm<sup>-1</sup> (A) and 1300–700 cm<sup>-1</sup> (B).

Films thicknesses were assessed by profilometry using an 176 Altisurf 500 workstation (Altimet) equipped with a 350  $\mu$ m 177 AltiProbe optical sensor for liquid films and a microforce sensor 178 for solid films. Solution state NMR spectra were obtained using 179 a Bruker Avance spectrometer equipped with a Bruker broad-180 band BBI 5 mm probe. The spectra were acquired at 79.49 181 MHz for <sup>29</sup>Si nuclei. The solution state <sup>29</sup>Si spectra of the 182 polysiloxanes were obtained in CDCl<sub>3</sub> solution (30 vol %) 183 without proton decoupling. Tetrametylsilane (TMS) was used 184 as internal reference. A recycled delay of 20 s is sufficient for 185 full spin relaxation, and the spectra were acquired for 12 h at 186 room temperature using a  $\pi/3$  pulse with a pulse time of 15  $\mu$ s. 187 To obtain quantitatively reliable <sup>29</sup>Si solid state NMR spectra of 188 the UV-cured films, single pulse magic angle spinning (SPE-189 MAS) experiments were performed on a Bruker Avance II 300 190 spectrometer operating at  $B_0 = 7.2 \text{ T}$  (Larmor frequency:  $v_0(\text{Si})$ 191 = 59.6 MHz) with a Bruker double channel 7 mm probe. The 192 spectra were recorded using a pulse angle of  $\pi/6$ , a recycling 193 delay of 80 s, a spinning frequency of 4 kHz, and high-power 194 proton decoupling during the acquisition. These recording 195 conditions ensured the quantitative determination of the 196 proportions of the different  $Q^n$  siloxane species in photo-197 polymerized PDMOS and PDEOS. All MAS NMR experiments 198 were performed at room temperature, and chemical shifts 199 reported thereafter are relative to tetramethylsilane. Deconvo-200 lution of the spectrum was performed using Dmfit software.<sup>18</sup>

# RESULTS AND DISCUSSION

RT-FTIR Study of Sol-Gel Photopolymerization: 203 Hydrolysis, Condensation and Sol-Gel Byproducts. 204 The IR spectra of a PDMOS film (0.9  $\mu$ m) containing 2 wt % of PAG ( $\Phi_2$ I<sup>+</sup>PF<sub>6</sub><sup>-</sup>) were recorded with a maximum time 206 resolution of 0.12 s following UV irradiation. In situ timeresolved spectra on a time scale of 300 s were shown as threedimensional plots in Figures 1A (3750-2600 cm<sup>-1</sup>) and 1B  $(1300-700 \text{ cm}^{-1})$ , with the wavenumber in the x-axis and the 210 irradiation time in the y-axis. The first region encompasses 211 mainly the OH (3400 cm<sup>-1</sup>) and OCH<sub>3</sub> (~2900 cm<sup>-1</sup>) stretching modes involved in the hydrolysis stage while the  $^{213}$  second is more informative of polycondensation reactions  $^{214}$  through the Si–O–Si (1100  $\rm cm^{-1})$  and Si–OH (930  $\rm cm^{-1})$ 215 stretching modes. Before reaction (t = 0 s), a broad band 216 around 1100 cm<sup>-1</sup> is already visible in PDMOS as expected 217 from its oligomeric structure, while the absence of any OH 218 signal confirms the absence of hydrolyzed products. Speciation 219 of silicate condensates in PDMOS and PDEOS precursors was

thoroughly discussed in the Supporting Information from their 220  $^{29}$ Si NMR spectra (Figures S1 and S2). Compared with a  $_{221}$ traditional method of preparing sol-gel silicate films, two 222 differences should be particularly emphasized: namely, the 223 absence of reaction without UV light (photolatency) and a 224 steady film thickness after deposition due to a solvent-free 225 formulation. As a corollary, a complete picture of the hydrolysis 226 and condensation kinetics can be contemplated based on the 227 results of RT-FTIR investigations. In the following sections, 228 three distinct and concomitant processes were investigated: 229 hydrolysis, polycondensation, and the development of sol-gel 230 byproducts (water, alcohol). Reactions kinetics were system- 231 atically related to the mechanisms and the chemistry occurring 232 at the short-length scale to gain insight into the driving forces 233 controlling the structural evolution in silicate systems under UV 234 irradiation. The assignments of the main IR bands of PDMOS 235 are gathered in Table 1. 236 t1

Table 1. Main Infrared Absorption Bands of PDMOS

wavenumber (cm <sup>-1</sup> )	assignment	wavenumber $(cm^{-1})$	assignment
~3740	v OH (free) (stretching)	~1100	$\nu_{\rm asym}$ Si-O-Si
~3400	v OH (hydrogen bonded)	1090	$\nu_{\rm asym}$ C-O
2950	$\nu_{ m asym}$ CH <sub>3</sub>	930	υ Si-O <sup>-</sup> , υ Si- OH
2848	$\nu_{\mathrm{sym}}$ CH <sub>3</sub>	839	$\nu_{\rm sym}$ C $-$ O
1640	$\delta$ H <sub>2</sub> O (bending)	793	$\nu_{\mathrm{sym}}$ Si $-$ O $-$ Si
1458	$\delta_{\rm asym}$ CH $_3$	460	ho Si $-$ O $-$ Si
1196	$\rho$ CH <sub>3</sub> (rocking)		

Hydrolysis. As displayed in Figures 1A and 1B, a fast 237 decrease in intensity of the stretching bands at 839 ( $\nu_{\rm sym}$  (C− 238 O)), 1090 ( $\nu_{\rm asym}$  (C−O)), 2950 ( $\nu_{\rm asym}$ (CH<sub>3</sub>)), and 2848 cm<sup>-1</sup> 239 ( $\nu_{\rm sym}$  (CH<sub>3</sub>)) follows the irradiation of the PDMOS film, 240 translating the progressive hydrolysis of the methoxy functions 241 during the irradiation. Interestingly, the latter symmetric CH<sub>3</sub> 242 stretching vibration appears as a sharp isolated band, making it 243 well-suited for a temporal analysis of the hydrolysis kinetics. In 244 contrast, the neighboring out-of-phase asymmetrical CH<sub>3</sub> 245 vibration at 2950 cm<sup>-1</sup> is significantly broadened by methyl 246 deformation overtones, which belong to the same symmetry 247 species as the symmetrical CH<sub>3</sub> and are probably involved in 248 Fermi resonances interactions with the in-phase CH<sub>3</sub> stretch 249 vibration. Systematic deconvolution and integration of the 250

251 strong band at 2848 cm<sup>-1</sup> at the low end of the CH<sub>3</sub> region 252 gave a unique insight into the fast hydrolysis conversion-time 253 curve (Figure 2A). Note that similar kinetic profiles were

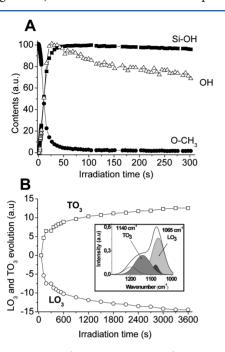


Figure 2. Area evolution (integrated absorbance) of the FTIR bands: O–CH<sub>3</sub> ( $\bullet$ ,  $\nu_{\rm asym}$ (CH<sub>3</sub>), 2848 cm<sup>-1</sup>), OH ( $\triangle$ ,  $\nu$ (OH), 3400 cm<sup>-1</sup>), and Si–OH ( $\blacksquare$ , v(Si–O), 930 cm<sup>-1</sup>) during the UV irradiation of a PDMOS film (A). Relative evolution of TO<sub>3</sub> ( $\square$ ) and LO<sub>3</sub> ( $\bigcirc$ ) Si-O-Si asymmetric stretching modes during the irradiation time. The insert is a deconvolution of the FTIR absorption spectrum in the 1000-1300 cm<sup>-1</sup> interval (B).

254 obtained when integrating less intense CH3 vibrational bands 255 such as the symmetrical CH<sub>3</sub> deformation band at 1458 cm<sup>-1</sup> (not represented) or the CH<sub>3</sub> rocking at 1196 cm<sup>-1</sup> (Figure 257 1B). As represented in Figure 2A, only 11 s was necessary to consume half the methoxysilyl functions. Second, a slowdown 259 happens, and 50 s of irradiation was eventually required to 260 reach almost a full hydrolysis, which is an indirect indication of concomitant condensation reactions affecting the course of the 262 hydrolysis in a more constraint silicate environment. Under 263 most conditions in conventional sol-gel chemistry, condensa-264 tion is known to commence before hydrolysis is complete.

Hydrolysis is also manifested by the formation of Si-OH 266 groups, which absorb like the alcohols at 3700-3200 cm<sup>-1</sup> 267 owing to the stretching of the OH–O bonds (Figure 1A). Such band actually encompasses the contribution of all hydroxylcontaining compounds: silanol and possibly methanol and water byproducts. Even after 5 s irradiation, the OH stretching band is centered at 3400 cm<sup>-1</sup>, suggesting the formation of a majority of hydrogen-bonded OH groups, with almost no contribution from free surface silanols (3740 cm<sup>-1</sup>). As shown in Figure 2A, a complete correspondence between methoxy moieties disappearance and the OH band growth during the 276 hydrolysis stage is observed, which is in agreement with the direct conversion of Si-OCHH3 into Si-OH. More specific of silanol is a strong band due to Si-O stretching vibrations 279 occurring at 930 cm<sup>-1</sup>, whose evolution coincides also precisely with that of the alkoxide groups.

Condensation. During the hydrolysis, the Si-O-Si 282 antisymmetric stretching band in the region 1000-1260 cm<sup>-1</sup>

is not resolved from the presence of the strong  $\nu_{\rm asym}$  (Si-)O-C 283 band (1090 cm<sup>-1</sup>). <sup>19</sup> Nevertheless, the completion of 284 hydrolysis after 50 s makes this region uniquely representative 285 of the silica network and therefore exploitable to investigate the 286 progress of condensation. We recognize in Figure 1B the 287 signature of the IR spectrum of pure silica at the end of 288 hydrolysis with a band centered at ~1065 cm<sup>-1</sup> related to 289 longitudinal optical mode (LO<sub>3</sub>) of the antisymmetric 290 stretching accompanied by a clearly visible shoulder at ~1140 291 cm<sup>-1</sup> associated with the transverse optical mode (TO<sub>3</sub>) of the 292 same vibration. Following the assignment proposed by Fidalgo 293 et al., 12 the broad envelope was deconvoluted into four 294 Gaussians (inset of Figure 2B): two major bands at 1065 (LO<sub>3</sub>) 295 and 1140 cm<sup>-1</sup> (TO<sub>3</sub>) with two minor components at 1075 and 296 1205 cm<sup>-1</sup>. These latter bands were attributed to equivalent 297 modes, but of different structural units (4-fold ring), and will 298 not be discussed. The evolution of the relative areas underlying 299 the two dominant bands was estimated throughout a longer 300 irradiation time of 3600 s. As seen graphically in Figure 2B, a 301 slight increase in area of the TO component was accompanied 302 by a decrease of that of LO. Similar evolution has already been 303 reported by Innocenzi et al. 13 upon thermal treatment and 304 interpreted as the sign of the formation of additional siloxane 305 bonds or perhaps siloxane bond orientation. Thus, the general 306 trend supports a condensation occurring not only in a 307 concomitant way with hydrolysis but also subsequently.

There are two other signs in line with the previous 309 conclusion of a continued condensation after hydrolysis (t > 31050 s): a sharp decrease in the area of the OH band at 3400 311 cm<sup>-1</sup> as well as a slight reduction of the Si-OH band at 930 312 cm<sup>-1</sup>, both evaluated in Figure 2A. In accordance with these 313 results, the photoacid-catalyzed sol-gel mechanism would 314 proceed in two consecutive stages comprising a first part in 315 which fast hydrolysis and condensation reactions take place 316 concurrently (t < 50 s) followed by a single and slow 317 condensation step (t > 50 s). Regarding the overall sol-gel 318 mechanism, one must point out that the substitution of 319 methoxy groups for ethoxy groups (Figure 3) does not change 320 f3

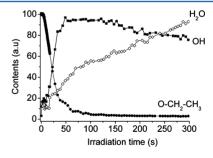
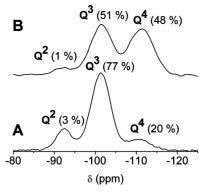


Figure 3. Temporal evolution of the integrated absorbance of the FTIR bands: O-CH<sub>2</sub>CH<sub>3</sub> ( $\bullet$ ,  $\nu_{asym}$ (CH<sub>3</sub>), 2890 cm<sup>-1</sup>), OH ( $\blacksquare$ ,  $\nu({\rm OH})$ , 3400 cm $^{-1}$ ) and H<sub>2</sub>O ( $\diamondsuit$ ,  $\delta({\rm H_2O})$ , 1640 cm $^{-1}$ ) during the UV photopolymerization of a PDEOS-based film.

the general trends shown in Figure 2A in the case of PDMOS. 321 The main difference is that the hydrolysis rate of PDEOS is 322 significantly lowered, leading to retardation to obtain a full 323 consumption, as expected from the alkoxy group hindrance 324 effect reported in conventional sol-gel chemistry. 20,21 Thus, a 325 consistent trend is apparent whatever the alkoxide substituent. 326

A better overview of the siloxy microstructure was also given 327 by solid-state <sup>29</sup>Si CP SPE NMR. Figure 4 displays the 328 f4 quantitative spectrum of UV cross-linked PDMOS and PDEOS 329

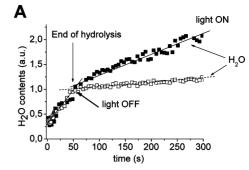


**Figure 4.** Solid-state <sup>29</sup>Si CP SPE MAS NMR spectra of UV cross-linked PDEOS (A) and PDMOS (B) films. Irradiation conditions: UV conveyor, H lamp, 1.46 J/(cm<sup>2</sup> pass), 5 passes.

330 films dominated by Q<sup>3</sup> ((HO)Si(OSi)<sub>3</sub>, ~-95 ppm) and Q<sup>4</sup>
331 (Si(OSi)<sub>4</sub>, ~-110 ppm) siloxane species, indicating that the
332 photoinduced sol-gel process leads to well-condensed
333 materials. The characterization of the siloxane subspecies was
334 determined by resonance ratios deconvolution and integration
335 of the solid-state <sup>29</sup>Si NMR spectrum. As reported in
336 conventional sol-gel chemistry, higher condensation degrees
337 were found for PDMOS (87%) compared to PDEOS (78%).
338 For solid-state NMR analysis with low-abundance nucleus such
339 as <sup>29</sup>Si, a much higher quantity of product was required than the
340 amount produced during the RT-FTIR experiment. Con341 sequently, the silica films for solid-state NMR were synthesized
342 under a UV conveyor, keeping all other experimental
343 parameters unchanged.

Sol-Gel Byproducts: Water and Methanol. Obviously, 344 345 excess water is not necessary for hydrolysis, which is a 346 remarkable feature since additional water would have required 347 the use of cosolvents to ensure miscibility with the inorganic 348 precursor. However, the reaction mechanism remains defini-349 tively hydrolytic but with a hydrolysis stage fed by the 350 continuous flux of atmospheric moisture diffusing into the sample. The presence of water dissolved in PDMOS initially was evidenced by its bending mode at 1640 cm<sup>-1</sup>, whose area was assessed continuously throughout the irradiation as 354 represented in Figure 5A. Despite the relative hydrophobicity 355 of the methoxy oligomeric precursor, water is already apparent 356 in the IR spectrum of PDMOS before reaction (t = 0 s). Contrary to what has been expected, the hydrolysis stage is 358 marked by a progressive enrichment in water. This apparent 359 contradiction may be explained in part by enhanced film 360 hydrophilicity as a result of the replacement of alkoxide groups 361 by hydroxyl groups, thereby increasing the water adsorption 362 ability. 15 A second and important factor is the water generated 363 as a byproduct of the condensation (occurring concomitantly 364 with hydrolysis). Entrapment of water molecules may be also favored by virtue of hydrogen bonding with silanol groups and 366 the formation of a solid oxo-polymer network. A more detailed observation of the water content (Figure 5A) actually reveals a two-step regime in water uptake: a fast linear increase with the 369 irradiation time that declines substantially after the end of 370 hydrolysis. This is consistent with a change in water vapor permeation after hydrolysis, which will be discussed in finer 372 detail in the next section.

Unlike water, methanol byproduct is not manifested by a distinctive vibrational band in the IR spectrum. The O–H sys stretching vibration in the 3800–2800 cm<sup>-1</sup> region actually



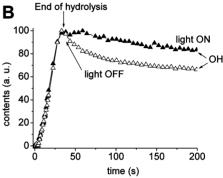


Figure 5. Variation in water content (A) and in hydroxyl functions (B) in a UV-irradiated PDMOS film determined from the integrated absorbance of the FTIR bands at 1640 cm<sup>-1</sup> ( $\delta$ H<sub>2</sub>O) and 3400 cm<sup>-1</sup> ( $\nu$ OH), respectively. Full symbols indicate a continuous irradiation while open symbols correspond to a 50 s irradiation (a time corresponding to the end of hydrolysis).

includes the contribution of all hydroxyl-containing species, 376 making the precise identification of alcohol tedious. However, 377 evaporation of methanol could be evidenced indirectly by 378 observing the downward evolution of OH stretching band at 379 the end of hydrolysis (t > 50 s, Figure 5B). Compared to a film 380 under continuous irradiation, stopping the UV light after 381 hydrolysis caused surprisingly a faster decrease in the OH band. 382 Given that condensation is significantly slowed after the UV 383 shutdown, it appears justified to relate this decrease to the 384 progressive desorption of volatile methanol. Under continuous 385 irradiation, methanol evaporation still takes place (as well as 386 further silanol condensation), but the loss of hydroxyl 387 containing compounds is partially offset by a significantly 388 enhanced formation of water, as displayed in Figure 5A, leading 389 to a slower decline of the OH band. A limited methanol 390 concentration in the film is particularly relevant in view of the 391 secondary effects of alcohol in sol-gel chemistry. Alcohols are 392 indeed not inert solvents as they are known to promote 393 reesterification (reverse process of hydrolysis) and depolyme- 394 rization reactions. According to Le Châtelier's principle, the 395 system should respond to the elimination of methanol by 396 increasing the forward reaction rates consuming the methox- 397 ysilyl groups. As a result of alcohol evaporation, the position of 398 the hydrolysis "equilibrium" is thus shifted to the right, which 399 may account for the fast hydrolysis rates found in this system. 400

The residual presence of methanol and water byproduct was 401 also investigated in the final material through <sup>1</sup>H MAS NMR 402 spectroscopy (Figure 6).

The high field region is rather characteristic of silanol groups  $^{404}$  with two signals at 1.1 and 2.3 ppm assigned respectively to  $^{405}$  vicinal  $(Q^3)$  and germinal  $(Q^2)$  Si–OH, whose relative  $^{406}$  intensity is consistent with the  $^{29}$ Si NMR spectrum (Figure  $^{407}$ 

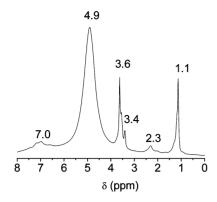


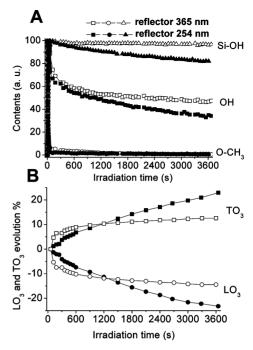
Figure 6. <sup>1</sup>H MAS NMR spectrum of UV cross-linked PDMOS film.

408 4).<sup>22</sup> The existence of water is exemplified by at least two 409 resonances: a large signal at 4.9 ppm (liquidlike physisorbed 410 water) and a broad shoulder at 7 ppm (strongly hydrogen-411 bonded water).<sup>23</sup> Of interest is also the distinct double 412 maximum signal around 3.5 ppm. An assignment to less 413 hydrogen-bonded water molecules can be tentatively consid-414 ered at this chemical shift, but this multimodal resonance may 415 also mirror the presence of methanol trapped in the oxo-416 polymer network. The maxima at 3.4 and 3.6 ppm are fully 417 consistent with the methyl and hydroxyl groups of methanol, 418 respectively.

Driving Force of the Photoinduced Sol—Gel Process. There is another question which begs to be answered in regard to the driving forces of the hydrolysis—condensation reactions. It has the period been well established that Brönsted photoacids can act as efficient photocatalysts of the sol—gel polymerization, with a mechanism presumably similar to that described in conventional acid-catalyzed sol—gel process. In addition, we considered the possibility that the electronic excitation promoted by energetic UV photons might promote a densification of the inorganic network. In order to assess the relevance of this hypothesis, we focused on the condensation reactions occurring after the hydrolysis stage (t > 50 s).

Upon using a 254 nm reflector instead of 365 nm reflector 432 (used in all the previous experiments), a higher amount of 433 photons below 300 nm can be produced by the same Hg–Xe 434 lamp (see Figure S3 for the emission spectra with the two 435 reflectors). As shown in Figure 7A, this change caused a faster 436 decrease of the band assigned to silanol (930 cm<sup>-1</sup>) and OH 437 groups (3400 cm<sup>-1</sup>).

Accordingly, the evolution of the LO<sub>3</sub> and TO<sub>3</sub> components of the broad envelope in the 1000–1260 cm<sup>-1</sup> was significantly 440 enhanced using the 254 nm reflector as displayed in Figure 7B. 441 Both results agree for a substantial acceleration of the 442 condensation process, making clear that energetic photon UV 443 can directly induce a silica densification, in addition to a 444 photoacid-catalyzed condensation. Note that irradiation raised 445 the substrate temperature by less than 40 °C. Thus, the 446 structural changes in silica films are assumed to be mainly 447 ascribed to electronic processes stimulated by irradiation. The concept of UV photoinduced densification of sol-gel derived 449 metal oxide films (SiO<sub>2</sub>, TiO<sub>2</sub>) has been already reported in the 450 literature, mostly with energetic UV light source such as low-451 pressure mercury lamps (254 nm)<sup>25</sup> and excimer lamps (172 452 nm). 26,27 Imai et al. 28 suggested that energetic enough photons 453 can stimulate the electronic excitation of silanol groups and Si-454 O-Si bonds to induce silanol condensation and the structural 455 rearrangement of the silica network. In our case, the ongoing



**Figure 7.** Evolution of the O−CH<sub>3</sub> ( $\bullet$ ,  $\bigcirc$ ), OH ( $\blacksquare$ ,  $\square$ ), and Si−OH ( $\bullet$ ,  $\triangle$ ) FTIR bands during the UV irradiation of a PDMOS film with a Hg−Xe lamp (200 mW/cm²) (A). Variation of the TO<sub>3</sub> ( $\blacksquare$ ,  $\square$ ) and LO<sub>3</sub> ( $\bullet$ ,  $\bigcirc$ ) Si−O−Si antisymmetric stretching bands (B). Full and open symbols indicate respectively the use of a 254 and 365 nm reflector.

condensation might occur by the presence of UV-C (100–280 456 nm) enhanced by the 254 nm reflector that was sufficient to 457 induce electronic excitation and consumption of Si-OH 458 groups.

Effect of Primary Parameters on Hydrolysis Kinetics.  $^{460}$  An interesting analogy can be drawn between the photoinduced  $^{461}$  sol—gel process and the moisture-induced cross-linking in  $^{462}$  alkoxy silicone and polyethylene thick films (>100  $\mu$ m) used in  $^{463}$  sealants and power cables and proceeding through a similar  $^{464}$  polymerization mechanism. Remarkably in our case, well- $^{465}$  condensed silica films were achieved in a matter of minutes  $^{466}$  under ambient atmosphere despite conditions that might  $^{467}$  appear not optimal with a moisture-limited atmosphere (RH  $^{468}$  =  $^{30\%}$ ) and the use of nonpolar precursors converted into solid  $^{469}$  cross-linked films. The high reactivity found in our system must  $^{470}$  be analyzed by raising the combined effect of thin film  $^{471}$  conditions ( $^{471}$  m) favorable to moisture diffusion and an  $^{472}$  efficient catalysis resulting from the photogenerated Brönsted  $^{473}$  superacids.

In sol—gel photopolymerization, the overall reaction kinetics 475 is expected to be controlled by the two independent 476 phenomena: the intrinsic chemical reaction rates and the 477 water vapor permeation into the film during the hydrolysis step. 478 Even though the development of a comprehensive model is 479 beyond the scope of this study, their relative importance was 480 discussed with respect to the effects of several processing 481 parameters on the hydrolysis kinetics. First, we addressed the 482 influence of variables affecting mainly the permeation of 483 atmospheric water into the sol—gel matrix, such as the relative 484 humidity and the film thickness. On the other hand, the context 485 of reaction control would suggest a higher sensitivity to other 486 parameters such as the exitance, the photoacid structure, and its 487 concentration that were investigated thoroughly. No previous 488

489 study is reported in the literature, where a systematic approach 490 has been adopted to investigate the effects of various reaction 491 primary parameters and infer the prevailing rate-controlling 492 regime. Table 2 summarizes the effects of all these experimental 493 parameters on hydrolysis degree and rate of PDMOS and 494 PDEOS.

Table 2. Effect of Various Experimental Parameters on Hydrolysis Degree and Rate during the Sol—Gel Photopolymerization of PDMOS and PDEOS

		PDMOS		PDEOS	
		$r_{\rm p}^{{ m rel}\;a}$	hydrolysis (%) $t = 300 \text{ s}$	rrel a	hydrolysis (%) $t = 300 \text{ s}$
liquid film thickness (µm)	1.5	0.63	>95		
	2.3			0.71	>95
	0.9	1.00	>95		
	1.9			1.00	>95
	0.8	1.30	>95		
	1.4			1.12	>95
relative humidity (%)	21	0.58	>95	0.58	>95
	24	0.84	>95	0.65	>95
	30	1.00	>95	1.00	>95
	55	1.16	85		
[PAG] (wt %)	1	0.86	>95	0.64	>95
	2	1.00	>95	1.00	>95
	3	1.06	>95	1.14	>95
	4	1.03	>95	1.29	90
exitance (mW cm <sup>-2</sup> )	50	0.77	>95	0.33	>95
	100	1.00	>95	0.57	>95
	150	1.14	>95	0.78	>95
	200	1.00	>95	1.00	>95

 ${}^{a}r_{\rm p}^{\rm rel}$  relative rate of hydrolysis rate, reference rate of experiment made with exitance: 200 mW/cm<sup>2</sup>, RH: 30%, [PAG]: 2 wt %, film thickness: 0.9  $\mu$ m (PDMOS) and 1.9  $\mu$ m (PDEOS).

Investigation of Water Vapor Permeation. The dependence of ency of film thickness  $(0.8-1.5 \, \mu \text{m})$  and relative humidity (RH, 497 20–55%) on the hydrolysis kinetics of PDMOS films was 498 illustrated in Figures 8A and 8B. Both figures were instrumental 499 in proving the existence of a diffusion-controlled regime.

Although a complete hydrolysis was achieved regardless of the thickness, the first plot clearly showed a differentiation between the samples with a maximal hydrolysis rate decreasing with the film thickness. A diffusion limitation effect was evidenced with faster hydrolysis kinetics obtained with thinner films, promoting the permeation of water. Similarly, an increase of RH at constant temperature and air pressure implies a higher water vapor pressure e. 17 As expected, such conditions were suitable to the entry of water vapor into the film, affording higher initial hydrolysis rates. Nevertheless, a limiting conversion (90%) was found at the highest RH of 55%. After 511 the first seconds of irradiation, the hydrolysis rate witnessed a 512 sharp decrease, whereas that of the others reactions conducted 513 at lower RH remained relatively steady. This later result reflects 514 a solvation effect of the superacids by water molecules. In water 515 excess, superacids are hydrolyzed leading to their replacement 516 by H<sub>3</sub>O<sup>+</sup> with a lower acidity and weaker catalytic activity. The 517 acidity of the superacids is limited indeed by the basicity of

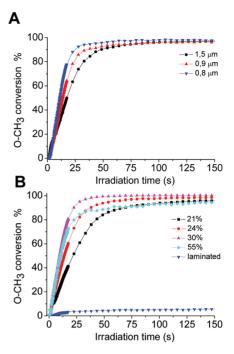


Figure 8. Methoxy conversion during the sol—gel photopolymerization of PDMOS. Influence of film thickness (A): 1.5  $\mu$ m ( $\bullet$ ), 0.9  $\mu$ m ( $\bullet$ ), and 0.8  $\mu$ m ( $\bullet$ ). Effect of RH (B): 21% ( $\bullet$ ), 24% ( $\bullet$ ), 30% ( $\bullet$ ), 55% ( $\diamond$ ). The effect of laminated conditions that prevent the entry of atmospheric moisture was also assessed ( $\blacktriangledown$ ).

water initially present, leading to the formation of  $H_3O^+$  518 hydronium ions.

The ambient atmosphere plays the determining role of water 520 reservoir, as proved by a simple experiment in which the 521 permeation of water was blocked by the presence of a UV and 522 IR transparent BaF<sub>2</sub> pellet placed at the top of the PDMOS film 523 (Figure 8B). Under these conditions, hydrolysis was signifi- 524 cantly inhibited: a slower reaction rate and a final conversion of 525 less than 10% were obtained. The film remained liquid after 5 526 min of irradiation, and the water initially dissolved into the 527 oligomeric precursor presumably accounts for the partial 528 hydrolysis of the methoxysilyl functions. In laminated 529 conditions, water consumed by the reaction cannot be 530 replenished by the atmosphere, but the depletion of water 531 initially dissolved in PDMOS (by evaporation) is also 532 impossible. Although there are two chemical reactions in a 533 sol-gel process, with only hydrolysis step consuming water, the 534 final degree of condensation will also depend on water vapor 535 permeation. Note that a similar evolution was observed with 536 PDEOS (Table 2).

A corollary of a diffusion-controlled regime is the possible 538 formation of a composition gradient across the *z*-axis. In other 539 words, a gradual change in composition throughout the film 540 thickness may possibly occur with the external layers being 541 more condensed than the interior layers closer to the substrate. 542 Owing to the submicrometric size scale of the film thickness, a 543 nonuniform conversion is very difficult to evidence. Never- 544 theless, diffusion-controlled hydrolysis kinetics demonstrated 545 previously does not necessarily imply composition gradient in 546 the film. Combination of thin films and continued con- 547 densation due to the persistence of photoacids are likely to level 548 the degree of condensation to a rather constant value despite 549 apparent differences in hydrolysis kinetics. 31

A last issue is to inter-relate water sorption behavior with polymer microstructure, given that this latter is constantly evolving during the irradiation time. As displayed previously in Figure 5A, the increase in water concentration takes place in two steps bordered by the end of hydrolysis, suggesting two steps two steps bordered by the end of hydrolysis, suggesting two vapor in dense (nonporous) films occurs mainly through the so-called activated diffusion mechanism:  $^{32}$  first, water vapor condenses and dissolves into the surface; then, water migrates inward as a result of a concentration (or activity) gradient. The mechanism of liquid and vapor permeation thus involves both solution and diffusion. When penetrant flux obeys Fick's law, the permeability coefficient, P, can be expressed simply as the product of the solubility coefficient S and the diffusivity coefficient D (eq 2).

$$P = S \times D \tag{2}$$

As specific interactions (such as hydrogen bonding) between 567 penetrant and hydrolyzed PDMOS cannot be ruled out, the 568 relationship among P, S, and D is probably more complicated (deviation from Henry's law). In addition, the film is not in 570 steady state since significant variations in diffusivity and solubility characteristics are expected during the reaction time with the shift from a liquid precursor to a solid cross-linked silica film. As shown in Figure 5A for PDMOS, an equilibrium water concentration has clearly difficulty to establish because 575 the polymerization process induces film structure modification 576 while involving consumption (hydrolysis) and formation 577 (condensation) of water. However, eq 2 has the merit of 578 emphasizing that penetration of water vapor will depend mainly 579 on the relative magnitude of water solubility and diffusivity. The 580 solubility of a penetrant depends mainly on its condensability 581 and its interactions with the film. Diffusion coefficients, apart 582 from their concentration dependence, are controlled by 583 penetrant size and shape, while film crystallinity and chain 584 mobility have also important effects on the transport 585 process. 33,34

At the beginning, a free chain motion is associated with the s87 liquid alkoxy oligomer chains. Despite their hydrophobicity s88 minimizing the solubility coefficient, siloxane chains are s89 thought to participate in the diffusion process due to internal s90 chain micromotions, enabling a larger amount of free volume in s91 which diffusion may take place to ensure an efficient hydrolysis. s92 As a result of sol—gel transition, the film becomes cross-linked s93 and hydrophilic properties appear due to the replacement of s94 alkoxide groups (OR) with hydroxyl groups (OH). Under these solubility characteristic and less by diffusivity because of limited s97 chain mobility in amorphous cross-linked silica. With the s98 formation of hydrophilic silanol, the water vapor will have an s99 enhanced solubility parameter, close to that of the film, 600 offsetting the loss of diffusivity related to solidification.

Investigation of Chemical Reaction Parameters. With regard to chemical reaction parameters, the concentration in AGA has been varied from 1 to 4 wt %, and the exitance of the Hg—Xe lamp has been varied from 50 to 200 mW/cm² (Table 52). There is no significant variation in the rate and the extent of hydrolysis in the selected range of PAG concentrations. In contrast, traditional sol—gel polymerization in water solution was most influenced by the concentration of the acid catalyst. This result argues for a diffusion-controlled regime even at low PAG concentration (1%) due to the high reactivity of the UV-generated photoacids. Similarly, a decrease

of the exitance which affects the PAG photolysis rate shows 612 small effects on the hydrolysis conversion curves. In the present 613 conditions, the rate-determining step is clearly the water 614 permeation in the film. Though a diffusional resistance is 615 evidenced, such result must be analyzed and be put into proper 616 perspective since very fast hydrolysis reactions were obtained 617 regardless of the experimental conditions chosen. In contrast, 618 PDEOS shows a higher dependency to PAG concentration and 619 exitance variation, suggesting a combined rate-limiting regime. 620 As observed in sol—gel chemistry, increasing the steric 621 hindrance of the alkoxy group is marked by lowered hydrolysis 622 kinetics, which enhances the effect of chemical reaction 623 parameters. 624

The nature of the photoacid exerts the greatest effects on the 625 hydrolysis kinetics: the structure of the photoacid is defined by 626 the nature of the counterion, which is a weakly coordinating 627 anion. Nature and stability of the anion will influence the acid 628 strength and will be determinant for the initiation efficiency of 629 the reaction as represented in Figure 9.

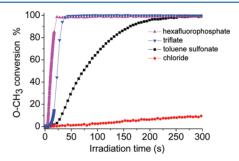


Figure 9. Methoxy conversion during the sol—gel photopolymerization of a PDMOS film containing 2% wt of PAG with different counterion: hexafluorophosphate ( $PF_6$ ,  $\spadesuit$ ), triflate ( $CF_3SO_3$ ,  $\spadesuit$ ), toluenesulfonate ( $CH_3\Phi SO_3$ ,  $\blacksquare$ ) and chloride (CI,  $\spadesuit$ ).

In cationic photopolymerization of epoxy or vinyl ether 631 monomers, a larger charge delocalization of the anion confers 632 generally a stronger acidity and implies a higher catalytic 633 efficiency.<sup>2</sup> Thus, the reactivity order observed is generally 634 hexafluorophosphate (PF<sub>6</sub><sup>-</sup>) > triflate (CF<sub>3</sub>SO<sub>3</sub><sup>-</sup>) > toluenesul- 635 fonate  $(CH_3\Phi SO_3^-) \gg \text{chloride } (Cl^-)$ . Thus, important 636 variations were observed in the hydrolysis conversion plots 637 depending on the nature of the counterion represented in 638 Figure 9. Although a full consumption of the methoxysilyl 639 groups was reported with hexafluorophosphate, triflate, and 640 toluene sulfonate as counterions, a retarding effect of the lower 641 acid catalyst is clearly evident. In the case of H+Cl-, only 10% 642 conversion was obtained. This is not only the result of a 643 decreased catalyst activity with the low nucleophilic chloride 644 anion, evaporation of hydrochloric acid in thin film precursor 645 possibly accounts for this result. Other photoacid possessing 646 bulkier anions are less likely to evaporate. It should be noted 647 finally that with a decrease of the photocatalyst reactivity the 648 system is likely to become reaction rate control. 649

## CONCLUSION

RT-FTIR represents a complete and comprehensive technique 651 to study the photoacid-catalyzed sol—gel polymerization *in situ*. 652 A full picture of this inorganic photopolymerization mechanism 653 has been provided, from hydrolysis to condensation kinetics, as 654 well as an insight into the fate of water and alcohol byproducts. 655 Concerning the driving forces of this reaction, it has been 656

758

657 established that, in addition to a catalytic effect by the
658 photogenerated Brönsted acids, the energetic photons (UVC)
659 are responsible for a direct densification through electronic
660 excitation of silanol groups and siloxane bonds. The occurrence
661 of a water permeation controlled-regime was demonstrated
662 with the methoxy precursor (PDMOS), whereas its ethoxy
663 analogue shows a combined regime dependent also on the
664 intrinsic chemical reaction parameters (exitance, PAG nature,
665 and concentration).

Fast hydrolysis rates and the elimination of organic solvent (and even water) are major features of sol—gel photo-668 polymerization compared with conventional sol—gel method-669 ologies. This should help to revisit, and possibly simplify, the synthesis of many sol—gel materials including hybrid, nano-671 structured, and mesoporous films. Second, spatially directed UV irradiation is an opportunity to create novel materials not accessible by traditional sol—gel chemistry and applicable in eff4 emerging technologies, including microfluidics, photonics, and membrane.

#### 76 ASSOCIATED CONTENT

# 77 S Supporting Information

678 <sup>29</sup>Si liquid state NMR spectra of PDMOS and PDEOS (Figures 679 S1 and S2); emission spectra of the Hg–Xe lamp with a 680 reflector at 365 and 254 nm (Figure S3). This material is 681 available free of charge via the Internet at http://pubs.acs.org.

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#### 686 Notes

687 The authors declare no competing financial interest.

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