Biasing the Center of Charge in Molecular Dynamics Simulations with Empirical Valence Bond Models: Free Energetics of an Excess Proton in a Water Droplet

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Multistate empirical valence bond (EVB) models provide an accurate description of the energetics of proton transfer and solvation in complex molecular systems and can be efficiently used in molecular dynamics computer simulations. Within such models, the location of the moving protonic charge can be specified by the so-called center of charge, defined as a weighted average over the diabatic states of the EVB model. In this paper, we use first-order perturbation theory to calculate the molecular forces that arise if a bias potential is applied to the center of charge. Such bias potentials are often necessary when molecular dynamics simulations are used to determine free energies related to proton transfer and not all relevant proton positions are sampled with sufficient frequency during the available computing time. The force expressions we derive are easy to evaluate and do not create any significant computational cost compared with unbiased EVB simulations. As an illustration of the method, we study proton transfer in a small liquid water droplet consisting of 128 water molecules plus an excess proton. Contrary to predictions of continuum electrostatics, but in agreement with previous computer simulations of similar systems, we observe that the excess proton is predominantly located at the surface of the droplet. Using the formalism developed in this paper, we calculate the reversible work required to carry the protonic charge from the droplet surface to its core, finding a value of roughly $4 k_B T$.

I. Introduction

Proton transfer is of crucial importance for a variety of processes in nature and technology, ranging from ATP synthesis in living cells¹ and enzymatic catalysis² to chlorine chemistry on stratospheric ice particles involved in polar ozone depletion³⁻⁵ and electrical power generation in hydrogen fuel cells.^{6,7} Because proton transfer involves the cleavage and formation of covalent bonds, its computer simulation is challenging. Ab initio methods such as density functional theory or wave function-based methods can model chemical reactivity but are computationally extremely expensive.^{8,9} Molecular dynamics trajectories obtained with such methods are short, and the number of collected transfer events is not sufficient to carry out a thorough statistical analysis capable of revealing the details of the mechanism. Recently, however, computationally efficient empirical valence bond (EVB) models for proton transport have been developed Voth and collaborators¹⁰⁻¹⁴ and Vuilleumier and Borgis^{15–17} based on the pioneering work of Warshel. ^{18–20} These models, in which the Born-Oppenheimer surface is obtained as the lowest root of a secular equation involving empirically modeled diabatic states and coupling elements, accurately describe the energetics of bond cleavage and formation but are computationally far less expensive than ab initio methodologies. With forces computed with the Hellmann-Feynman theorem, one can perform nanosecond molecular dynamics simulations during which many proton-transfer events occur.

EVB models have been used to study proton solvation and transport in many systems, including bulk water, 11,17 water clusters, 21-23 water-filled pores, 24-27 acidic aqueous solutions, 12,13 the water-vapor interface, 28 and biological systems. 18-20,29,30 Many of these applications have been surveyed in a recent review article by Voth and collaborators. 14 A quantitative understanding of proton transport and solvation often requires the calculation of free energies related to different positions of the excess proton. For instance, the rate for proton translocation across membrane nanopores is mainly determined by the free energetic cost required to remove the proton from the bulk and bring it into the interior of the pore.²⁵ The definition of the position of the excess proton, however, is not straightforward in EVB models. In bulk water, for instance, the excess proton occurs in a variety of different configurations, with the so-called Eigen and Zundel cations as the limiting cases.^{8,11,31} Whereas, in the Eigen cation, $(H_9O_4)^+$, the proton is strongly associated with one particular water molecule that donates three contracted hydrogen bonds to adjacent water molecules, the excess charge is equally shared by two water molecules in the Zundel cation, $(H_5O_2)^+$. Under the influence of the fluctuating hydrogen bond network, these structures freely convert into each other by proton hops along hydrogen bonds on a picosecond time scale. Because of this fluxional character of the hydrated proton, identification of a particular proton as the excess charge is ambiguous.

In the EVB model, this ambiguity can be resolved by defining the center of charge, the weighted average of the positions of the excess charge in the diabatic states.³² The center of charge provides a meaningful definition of the proton position in a way that changes continuously in time. The free energetics of proton translocation can then be determined in molecular dynamics simulations by accumulating statistics on the position of the center of charge. This straightforward approach works well if the free energy differences do not exceed the thermal energy $k_{\rm B}T$ appreciably. If they do, the molecular dynamics simulation most likely fails to explore all important regions of configuration

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space within the available computing time. In such cases, it is possible to enhance the sampling of configuration space by introducing appropriate bias potentials that guide the system toward configurations that would not be sampled otherwise.³³ For instance, in the case of proton transfer through a membrane, penetration of the proton into the pore interior is observed in a molecular dynamics simulation only if the related desolvation penalty is compensated by a suitable bias. Correction for the bias then permits one to deduce ensemble averages and free energies for the bias-free system.

When bias potentials are used in molecular dynamics simulations, it is necessary to calculate the atomic forces resulting from the bias. In the case of a bias potential acting on the center of charge, such a calculation requires one to determine the derivatives of the center of charge with respect to the particle coordinates. How to do that using first-order perturbation theory is the central subject of this paper. The expressions obtained in this way permit a computationally inexpensive evaluation of the bias forces because they depend only on quantities already required in the calculation of regular EVB forces. As an example, we apply the formalism developed in this way to study the solvation of an excess proton in a small water droplet. We note that free energies as a function of the position of the center of charge have been calculated before in biased EVB simulations.^{27,34,35} In these publications, however, the calculation of the forces resulting from the bias is not explained, and it is unclear how it is done.

The remainder of this article is organized as follows. In the next section, we will briefly review the multistate EVB model and define the center of charge. First-order perturbation theory is then used in Section III to derive the atomic forces resulting from a bias potential acting on the center of charge. To illustrate the formalism, we calculate the reversible work required to transfer an excess proton from the surface to the interior of a small water droplet defined in Section IV and present the results in Section V. Some conclusions are given in Section VI.

II. Multistate EVB Model

In this section, we briefly review the multistate EVB model in order to introduce the terminology and set the notation. For a more detailed description, we refer the reader to the original publications. The system, which includes a certain number of water molecules plus an excess proton and possibly some other species, is described by 3N atomic coordinates $x = \{x^1, x^2, \dots, x^{3N}\}$. The position of particle i is also denoted by \mathbf{r}_i such that we can write $x = \{\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N\}$ when it is convenient. In the EVB model, one imagines that the electronic state $|\psi\rangle$ for a particular atomic configuration is a superposition of L diabatic valence bond states $|\psi\rangle$,

$$|\psi\rangle = \sum_{i} c_{i} |\varphi_{i}\rangle \tag{1}$$

where the c_i values are real expansion coefficients. In each state, chemical bonds are assigned such that a different oxygen atom holds the hydronium ion H_3O^+ . In some states, this assignment may correspond to a rather distorted geometry of the hydronium ion and the neighboring water molecules. Such states will have a high energy and therefore contribute only little to the ground state. Only a small number of states are considered, which are chosen to account for different plausible routes for proton transfer. To construct these states, one starts from a pivot state and then includes other states that are accessible. ¹⁰ Typically, about 10 states are required to accurately model proton transfer in bulk water.

To find the Born-Oppenheimer energy surface as a function of the atomic coordinates, one does not determine the electronic state $|\psi\rangle$ from first principles. Rather, all L^2 matrix elements $H_{ij}(x) = \langle \varphi_i | H | \varphi_i \rangle$ of the electronic Hamiltonian H are modeled empirically as functions of the atomic coordinates x. While the L diagonal elements are the potential energies corresponding to particular hydronium ions with fixed chemical bonding topology, the L(L-1)/2 non-diagonal elements provide the coupling between the diabatic states that enables chemical reactivity. Postulating that the diabatic states are orthogonal to each other, solution of the eigenvalue problem

$$H\mathbf{c}_{i} = E_{i}\mathbf{c}_{i} \tag{2}$$

yields the adiabatic ground state. Here, $\mathbf{c}_i \equiv \{c_{i0}, c_{i1}, \cdots, c_{i(L-1)}\}$ is the eigenvector of dimension L belonging to the eigenvalue E_i , and its elements are the corresponding coefficients in the superposition of eq 1. (Here and in the following, we number states starting with 0.) The Born—Oppenheimer potential energy surface is then given as the expectation value of the energy in the ground state,

$$E_0(x) = \sum_{i,j} c_{0i} c_{0j} H_{ij}(x)$$
 (3)

where c_{0i} is the coefficient of state i in the ground state. The corresponding forces can be calculated using the Hellmann–Feynman theorem:³⁶

$$F^{\alpha} = -\sum_{i,j} c_{0i} c_{0j} \frac{\partial H_{ij}(x)}{\partial x^{\alpha}} \tag{4}$$

Here, F^{α} is the force acting on the degree of freedom α . This procedure, which retains quantum mechanics on a rudimentary level, effectively interpolates in a smart way between the diabatic states for which empirical potential energy surfaces for fixed chemical bonding topology are known.

We can now define the *center of charge* \mathbf{q}_c as the weighted average of the hydronium oxygen position over all diabatic states.

$$\mathbf{q}_{c} = \sum_{i} c_{0i}^{2} \mathbf{q}_{i} \tag{5}$$

Here, \mathbf{q}_i is the position of the hydronium oxygen in diabatic state i and c_{0i}^2 is the statistical weight of the diabatic state i in the adiabatic ground state. This definition of the center of charge takes into account the delocalized nature of the protonic charge and can be used to follow its migration along the fluctuating hydrogen bond network.

III. Bias Forces

We now imagine that a bias potential $V_{\rm B}({\bf q}_{\rm c})$, which depends on the center of charge ${\bf q}_{\rm c}$, is added to the potential energy of the system. (If the bias potential is a function of other degrees of freedom in addition to ${\bf q}_{\rm c}$, the formalism below needs to be adapted appropriately.) Depending on the particular situation one wants to study, the bias potential $V_{\rm B}({\bf q}_{\rm c})$ is designed to control the position of the protonic charge and enhance the sampling of the configuration space regions of interest. If one uses such a function in a molecular dynamics simulation, it is necessary to determine the corresponding forces via

$$F_{\rm B}^{\alpha}(x) = -\frac{\partial V_{\rm B}(\mathbf{q}_{\rm c})}{\partial x^{\alpha}} \tag{6}$$

In the following, we will derive an explicit expression that permits one to calculate exactly these forces.

Using the chain rule and the definition of the center of charge from eq 5, we can write the derivative of $V_{\rm B}({\bf q}_{\rm c})$ with respect to the coordinate x^{α} as

$$\frac{\partial V_{\rm B}(\mathbf{q}_{\rm c})}{\partial x^{\alpha}} = \sum_{\beta=1}^{3} \frac{\partial V_{\rm B}(\mathbf{q}_{\rm c})}{\partial q_{\rm c}^{\beta}} \frac{\partial q_{\rm c}^{\beta}}{\partial x^{\alpha}}$$
(7)

where q_c^{β} is coordinate β of the center of charge \mathbf{q}_c . To calculate the derivatives $\partial q_c^{\beta}/\partial x^{\alpha}$ of the center or charge with respect to the particle coordinate, we differentiate eq 5. Taking into account that the ground state coefficients c_{0i} also depend on the particle coordinates, we obtain

$$\frac{\partial q_{\rm c}^{\beta}}{\partial x^{\alpha}} = 2 \sum_{i} c_{0i} \frac{\partial c_{0i}}{\partial x^{\alpha}} q_{i}^{\beta} + \sum_{i} c_{0i}^{2} \frac{\partial q_{i}^{\beta}}{\partial x^{\alpha}}$$
(8)

Here, q_i^{β} is coordinate β of the hydronium oxygen of diabatic state *i*. The partial derivative $\partial q_i^{\beta}/\partial x^{\alpha}$ is unity only if x^{α} is the coordinate β of the oxygen carrying the hydronium in state *i*, and it vanishes otherwise.

According to eq 8, we need to evaluate derivatives of the elements of the ground-state eigenvector c_0 with respect to the coordinates of all particles. This can be accomplished with ordinary first-order perturbation theory (see, for instance, ref 38). To do so, we first consider how the matrix element H_{ij} of the Hamiltonian changes if one particular coordinate x^{α} is changed by an infinitesimal amount λ , i.e.,

$$H_{ij} \to H_{ij} + \lambda \frac{\partial H_{ij}}{\partial x^{\alpha}} = H_{ij} + \lambda D_{ij}^{\alpha}$$
 (9)

where we have introduced

$$D_{ij}^{\alpha} \equiv \frac{\partial}{\partial r^{\alpha}} H_{ij} \tag{10}$$

Note that the derivatives D_{ij}^{α} are already available from the regular EVB force calculation.

To calculate the derivative of c_{0i} with respect to x^{α} , we need to determine how c_{0i} changes if we add the perturbation λD^{α} to the Hamiltonian H. (Here, D^{α} denotes the matrix consisting of the elements D_{ii}^{α} .) Then, we can calculate the derivative from

$$\frac{\partial c_{0i}}{\partial r^{\alpha}} = \lim_{\lambda \to 0} \frac{c_{0i}(\lambda) - c_{0i}}{\lambda} \tag{11}$$

The argument in $c_{0i}(\lambda)$ indicates the dependence of c_{0i} on the strength of the perturbation, i.e., on the displacement of coordinate x^{α} . If no argument is written for c_{0i} , the value for a vanishing perturbation strength is implied.

The ground-state coefficients $c_{0i}(\lambda)$ as a function of the displacement λ can be calculated by expanding the coefficients and the energies in powers of λ and truncating the expansion after first order:

$$E_k(\lambda) = E_k^{(0)} + \lambda E_k^{(1)} + O(\lambda^2)$$
 (12)

$$c_{ki}(\lambda) = A(\lambda)\{c_{ki} + \lambda \sum_{i \neq k} \alpha_{kj}^{(1)} c_{ji} + O(\lambda^2)\}$$
 (13)

where the superscript denotes the order of the term, and $A(\lambda)$ is a normalization constant. Standard time-independent perturbation theory then yields³⁸

$$\lambda E_k^{(1)} = \sum_{l,m} c_{kl} \lambda D_{lm}^{\alpha} c_{km}$$
 (14)

$$\lambda a_{kj}^{(1)} = \frac{\sum_{l,m} c_{jl} \lambda D_{lm}^{\alpha} c_{km}}{E_k^{(0)} - E_j^{(0)}}$$
(15)

Since the normalization factor $A(\lambda)$ is unity to first order, we obtain

$$\lambda E_k(\lambda) = E_k^{(0)} + \lambda \sum_{l,m} D_{lm}^{\alpha} c_{kl} c_{km}$$
 (16)

$$c_{ki}(\lambda) = c_{ki} + \lambda \sum_{j \neq k} \frac{\sum_{l,m} D_{lm}^{\alpha} c_{jl} c_{km}}{E_k^{(0)} - E_j^{(0)}} c_{ji}$$
 (17)

Inserting eq 17 into eq 11, we obtain

$$\frac{\partial c_{0i}}{\partial x^{\alpha}} = \sum_{j \neq 0} \sum_{l,m} \frac{D_{lm}^{\alpha} c_{jl} c_{0m}}{E_0 - E_i}$$
(18)

where, for simplicity, we have omitted the superscripts for the unperturbed energies. Thus, we now have all elements in place necessary to calculate the atomic forces resulting from the bias potential using eqs 7 and 8.

When evaluating the bias forces according to eq 7 in a simulation, it is important to note that the derivatives $\partial c_{0i}/\partial x^{\alpha}$ of the ground-state coefficients appear only in contraction with the vector $c_{0i}q_i^{\beta}$ (see eq 8). Inserting eq 18 into eq 8, we obtain

$$\frac{\partial q_{\rm c}^{\beta}}{\partial x^{\alpha}} = 2\Gamma^{\alpha}\beta + \sum_{i} c_{0i}^{2} \frac{\partial q_{i}^{\beta}}{\partial x^{\alpha}}$$
 (19)

where, for convenience, $\Gamma^{\alpha\beta}$ is defined as the multiple sum

$$\Gamma^{\alpha\beta} = \sum_{i} \sum_{j \neq 0} \sum_{l,m} \frac{D_{lm}^{\alpha} c_{0m} c_{jl} c_{jl} c_{0i} q_{i}^{\beta}}{E_{0} - E_{j}}$$
 (20)

The quadruple summation in the above equation is the computationally most expensive part of the calculation of the bias forces. (Compared to the overall cost of an EVB simulation, however, the cost of the bias force calculation is negligible.)

The number of operations required for the calculation of $\Gamma^{\alpha\beta}$ now depends on the order in which the summations are carried out. This situation is similar to that often encountered in electronic structure calculations (see, for instance, ref 37). One may, for instance, decide to carry out the summation over the indices m and l first for all j,

$$B_j^{\alpha} \equiv \sum_{l,m} D_{lm}^{\alpha} c_{0m} c_{jl} \tag{21}$$

and do summation over j for all i after that,

$$\frac{\partial c_{0i}}{\partial x^{\alpha}} = \sum_{j \neq 0} \frac{B_j^{\alpha} c_{ji}}{E_0 - E_i} \tag{22}$$

Finally, $\Gamma^{\alpha\beta}$ is calculated by summing over i, The total number

$$\Gamma^{\alpha\beta} = \sum_{i} c_{0i} q_{i}^{\beta} \frac{\partial c_{0i}}{\partial r^{\alpha}}$$
 (23)

of operations of this procedure is on the order of L^3 (recall that L is the number of diabatic states).

While most summation orders lead to the same L^3 -scaling, there is a particular way to carry out the summations for which the number of required operations scales as L^2 . This is achieved by first summing over i for all j:

$$Q_j^{\beta} = \sum_i c_{ji} c_{0i} q_i^{\beta} \tag{24}$$

Then, one carries out a summation over j for all l:

$$U_{l}^{\beta} = \sum_{j \neq 0} \frac{c_{jl} Q_{j}^{\beta}}{E_{0} - E_{j}}$$
 (25)

The last step consists of a summation over m and l:

$$\Gamma^{\alpha\beta} = \sum_{lm} D^{\alpha}_{lm} c_{0m} U^{\beta}_{j} \tag{26}$$

In this case, each of the three steps requires on the order of L^2 operations so that the total number of operations is also of that order.

Using these expressions, one can calculate the forces caused by the bias potential at little extra cost and use them in a molecular dynamics simulation. Because the denominator contains the energy difference between the ground-state energy and higher eigen-energies of the EVB—Hamiltonian, the above expressions are valid only in the nondegenerate case. Although the degenerate case can be treated with slightly modified expressions, this has never been necessary in our simulations.

We close this section on the formalism by mentioning an alternative viewpoint that yields exactly the same expressions for the bias force. This perspective is based on the observation that the center of charge can be expressed as a derivative of the (appropriately modified) total energy with respect to the components of constant electric field $\epsilon = \{\epsilon^1, \epsilon^2, \epsilon^3\}$, which couples to the hydronium oxygens of the diabatic states. More specifically, the elements of the Hamiltonian matrix are modified in the following way:

$$H_{ij}(x,\epsilon) = H_{ij}(x) + \delta_{ij}(\epsilon \cdot \mathbf{q}_i)$$
 (27)

Then, the Hellmann-Feynman theorem can be used to show that the components of the center of charge are given by derivatives with respect to the field:

$$\partial q_{\rm c}^{\beta} = \frac{\partial E_0(x,\epsilon)}{\partial \epsilon^{\beta}} \tag{28}$$

Using this relation, the derivatives of the center of charge with respect to the particle coordinates can be expressed as

$$\frac{\partial q_{\rm c}^{\beta}}{\partial x^{\alpha}} = \frac{\partial^2 E_0(x, \epsilon)}{\partial x^{\alpha} \partial \epsilon^{\beta}} = \frac{\partial^2 E_0(x, \epsilon)}{\partial \epsilon^{\beta} \partial x^{\alpha}} = \frac{\partial F^{\alpha}}{\partial \epsilon^{\beta}}$$
(29)

where we have exploited that the differentiation order can be exchanged and the derivative with respect to ϵ^{β} is evaluated at $\epsilon = 0$. The derivatives of the forces F^{α} with respect to the

particle coordinates required in the above equation can be computed from eq 4 using perturbation theory. In contrast to the development described above, the perturbation is done with respect to the three electric field components rather than to the 3N particle coordinates. Nevertheless, this approach results in exactly the expressions of eq 8, 19, and 20, which can be evaluated efficiently as explained above.

IV. System and Simulations

As an illustration of the method described in the previous section, we apply it to a system consisting of a cluster of $N_{\rm W} =$ 128 water molecules plus one excess proton. Thus, the total number of atoms is N = 385. The interaction energies and forces were calculated using the multistate EVB model of Voth and collaborators, 10,11 which was demonstrated to accurately describe proton transfer in bulk water. The simulations were carried out at a temperature of T = 280 K controlled using an Andersen thermostat³⁹ in which particle momenta are randomly assigned from a Maxwell-Boltzmann distribution with a rate of $\nu =$ 0.1 ps⁻¹. The equations of motion were integrated using the velocity Verlet algorithm with a time step of $\Delta t = 0.5$ fs for a hydrogen mass of 2 amu and an oxygen mass of 16 amu. (A hydrogen mass of 2 rather than 1 amu leaves the structural properties of the system unchanged, but allows for a larger time step.) At each time step, the diabatic states are determined using the algorithm developed by Schmitt and Voth^{10,11} such that the set of states is continuously adapted according to the location of the excess charge. The EVB-Hamiltonian is diagonalized using the Jacobi method for symmetric matrices. Typically, the number of diabatic states of the EVB model fluctuates between 8 and 10.

To prevent single water molecules from evaporating from the cluster, we enclosed the whole system in a confining potential of the form

$$V_{\rm conf}(x) = \frac{k_{\rm conf}}{2} \sum_{i}^{N} (r_i^{\rm cm} - R_{\rm conf})^2 \theta (r_i^{\rm cm} - R_{\rm conf})$$
 (30)

where $\theta(x)$ is the Heaviside step function and

$$r_i^{\rm cm} = |\mathbf{r}_i - \mathbf{R}_{\rm cm}| \tag{31}$$

is the distance of atom i from the center of mass \mathbf{R}_{cm} of the cluster,

$$\mathbf{R}_{\rm cm} = \frac{\sum_{i}^{N} m_{i} \mathbf{r}_{i}}{\sum_{i}^{N} m_{i}}$$
 (32)

The force constant was set to $k_{\rm conf} = 10$ kcal/mol Å⁻², and the distance $R_{\rm conf}$ at which the atoms start to feel the confining potential was set to $R_{\rm conf} = 18$ Å, sufficiently large to accommodate the typical shape fluctuations of the cluster. Because of this confining potential, the cluster is effectively in equilibrium with the vapor phase.

For the calculation of the free energy F(r) as a function of the distance of the center of charge from the center of mass, we performed a series of calculations in which a parabolic biasing potential

$$V_{\rm B}(x) = \frac{k_{\rm bias}}{2} (r - R_{\rm bias})^2$$
 (33)

was added to the potential energy of the system. The biasing potential depends on the configuration of the system only through

$$r = |\mathbf{q}_{c} - \mathbf{R}_{cm}| \tag{34}$$

the distance of the center of charge from the center of mass. Forces resulting from the bias potential given in eq 33 can be calculated using the formalism presented in Section III. With a sufficiently large value of the force constant $k_{\rm bias}$, a biasing potential of this form forces the distance r between the center or charge and the center of mass to stay close to $R_{\rm bias}$. By combining several simulations with appropriately selected values of the parameters $k_{\rm bias}$ and $R_{\rm bias}$, the complete range of interest for the distance r can be sampled. In particular, the bias potential coerces the simulation to visit configuration space regions that would not be visited without bias on time scales accessible to the simulation. The simulation parameters used in this work are listed in Table 1.

As one is usually interested in the properties of the system without the bias, one must correct for its effect on the observables.³³ Here we are interested in the distribution P(r) of the distance r,

$$P(r) = \langle \delta[r - r(x)] \rangle \tag{35}$$

where the angled brackets $\langle \cdots \rangle$ denote a canonical average. In this case, the correction for the bias yields

$$P(r) \propto \exp[V_{\rm B}(r)/k_{\rm B}T]\langle \delta[r - r(x)] \rangle_{\rm bias}$$
 (36)

where $k_{\rm B}$ is Boltzmann's constant and $\langle \cdots \rangle_{\rm bias}$ denotes an average in the biased ensemble. The distribution $\langle \delta[r-r(x)] \rangle_{\text{bias}}$ of r can be calculated by histogramming r in the simulation with bias. In principle, the complete distribution of r can be determined according to eq 36 from one single simulation. In practice, however, the distribution P(r) calculated in this manner is accurate only in the range of r where, in the biased simulation, sufficient statistics is accumulated, i.e., in the range near R_{bias} . To determine the distribution P(r) over a wider range of distances r, one has to combine distributions obtained from various simulations with appropriate values of R_{bias} and k_{bias} . Systematic procedures have been developed for this purpose. 40 Here, however, it proved sufficient to match the distributions obtained from the various biased simulations by hand by multiplication of the individual distributions with appropriate constant factors.

V. Results

A typical configuration of the protonated cluster observed in a molecular dynamics simulation carried out at $T=280~\rm K$ without bias is shown in Figure 1. Visual inspection of sequences of cluster configurations does not show any indication of crystallinity and indicates that proton transfer and the reorganization of the hydrogen bond network occurs on a picosecond time scale. The qualitative perception that the cluster is in its liquid state can be made quantitative by considering appropriate time correlation functions. For the dynamics of hydrogen bonds, the time correlation function

$$C_{\rm HB}(t) = \frac{\langle h_{ij}(0)h_{ij}(t)\rangle}{\langle h_{ij}\rangle}$$
 (37)

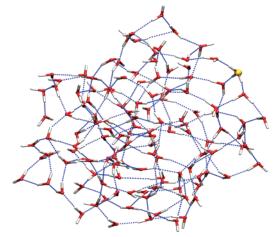


Figure 1. Cluster configuration from a molecular dynamics run at T = 280 K without bias. The hydronium oxygen of the EVB state with the largest weight is depicted in yellow, and hydrogen bonds are shown as blue dashed lines. Typically, the excess proton exists as an Eigencation at the cluster surface, but other configurations also occur.

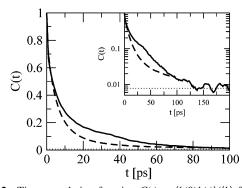


Figure 2. Time correlation function $C(t) = \langle h(0)h(t)\rangle/\langle h\rangle$ for proton transfer (solid line) and hydrogen bond dynamics (dashed line). The horizontal dotted line denotes the long-time limit of the correlation function for proton transfer, $C \rightarrow 1/N_W$. The inset shows the same curves with a logarithmic scale on the *y*-axis.

TABLE 1: Parameters for the Runs with Biasing Potential

$k_{\rm bias}$ (Å $^{-2}$)	$R_{ m bias}(m \AA)$	length (ns)
10.0 kcal/mol	0.0	5
6.0 kcal/mol	1.5	5
6.0 kcal/mol	3.0	5
3.0 kcal/mol	4.0	5

measures the conditional probability that a hydrogen bond exists between two particular water molecules at time t, provided it existed at time 0. This time correlation function was introduced by Luzar and Chandler to study the dynamics of hydrogen bonds in bulk water. ^{41,42} In the above expression, the indicator function h_{ij} is unity if there is a hydrogen bond from molecule i to molecule j and it vanishes otherwise. Here, a hydrogen bond is defined to exist if the $R_{\rm OO}$ distance is less than 3.5 Å and the HOO angle is less than 30°. The behavior of $C_{\rm HB}(t)$ shown in Figure 2 for the cluster is very similar to that of bulk liquid water at room temperature, ⁴¹ indicating that the kinetics of hydrogen bonds in our cluster occurs at approximately the same time scales as that in the liquid phase.

An analogous time correlation function can be used to quantify the kinetics of proton transfer:

$$C_{p}(t) = \frac{\langle h_{i}(0)h_{i}(t)\rangle}{\langle h_{i}\rangle}$$
 (38)

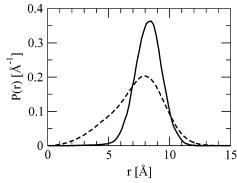


Figure 3. Probability distribution P(r) of the distance r of the center of charge (solid line) and of water oxygens (dashed line) from the center of mass of the cluster.

The indicator function $h_i(t)$ is unity if oxygen i is the hydronium oxygen in the EVB state with the largest weight at time t, and it vanishes otherwise. The correlation function $C_p(t)$ measures the conditional probability that the excess proton localized near oxygen i at time 0 is still there at a time t later. Similar time correlation functions have been previously used by Vuilleumier and Borgis¹⁷ and Schmitt and Voth¹¹ to study proton transfer in bulk water. The form of the correlation function $C_p(t)$ results from an interplay between the hopping of the excess charge from one molecule to another and the diffusion of water molecules in the cluster. The similarity of the two time correlation functions for hydrogen bonding and proton transfer shown in Figure 2 is a reflection of the crucial role played by hydrogen bonds in the proton-transfer process.⁴³

As exemplified by the configuration shown in Figure 1, the excess proton is predominantly located at the cluster surface. The stabilization of this position is sufficiently strong to prevent the excess charge from visiting the cluster interior, even in molecular dynamics simulations that are several nanoseconds long. This observation is confirmed by free energy calculations carried out with biased simulations as described in Sections III and IV. The probability distribution $P_{\text{proton}}(r)$ of the distance r of the center of charge from the center of mass, calculated from several biased simulations according to eq 36, is shown in Figure 3 along with the distribution $P_{\text{water}}(r)$ of the distance r of water oxygens from the center of mass obtained from an unbiased simulation. As can be inferred from the figure, the distribution for the proton is peaked at a slightly larger distance than that for the water molecules and falls off more rapidly, particularly for smaller distances.

The form of the probability distributions shown in Figure 3 is strongly influenced by the configuration space available for different values of the radius r. To separate this purely geometric factor from less trivial effects, we have calculated normalized densities n(r) obtained by comparison with the corresponding distributions for uniform systems. In particular, the normalized density $n_{\text{water}}(r)$ for water molecules was obtained by normalization with the distribution expected in a uniform system with the number density $\rho_{\text{bulk}} = 55.5 \text{mol/l}$ of bulk water, $n_{\text{water}}(r) =$ $NP_{\text{water}}(r)/4\pi r^2 \rho_{\text{bulk}}$. In other words, $n_{\text{water}}(r)$ is the observed number density at distance r from the center of mass compared to the number density of the bulk. In the core of the cluster, i.e., for r < 7-8 Å, the water density is approximately constant and slightly exceeds the density of bulk water. Between 8 and 12 Å, the water density decreases smoothly to zero (Figure 4). This water density profile indicates that, while the cluster fluctuates in shape, it remains mostly compact. For the center of charge, the normalized density $n_{\text{proton}}(r) = P_{\text{proton}}(r)$ $4\pi r^2 \rho_{\text{uniform}}$ is obtained by comparison with a uniform proton

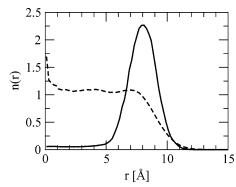


Figure 4. Normalized density n(r) of the center of charge (solid line) and of water oxygens (dashed) as a function of the distance r to the center of mass.

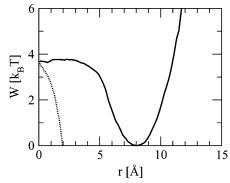


Figure 5. Potential of mean force $W(r) = -k_{\rm B}T \ln n(r)$ as a function of the distance r of the center of charge from the center of mass of the cluster (solid line). The potential of mean force is shifted such that its minimum value is zero. The dotted line indicates the potential of mean force obtained from an incorrect biased molecular dynamics simulation, in which the first term on the right-hand side of eq 8 was neglected (see main text).

density $\rho_{\rm uniform}$ corresponding to one single proton in a sphere of radius $R_0=10.8$ Å, which contains 99% of the proton density. The proton density peaks at about 9 Å, approximately where the water density begins to decrease from its bulk value. In the cluster core, out to a distance of about 5 Å, the proton density is essentially constant at a value that is smaller than the peak density by a factor of about 40. Note that, even though this factor is not exceedingly large, spontaneous excursions of the proton to the cluster center are very rare. One can estimate that, during a bias-free molecular dynamics simulation with a duration of 1 μ s, the protonic defect would spend less than 50 ps in the core region closer than 1 Å to the center of mass of the cluster. With our formalism, statistically adequate sampling of all proton positions, including the cluster core, can be achieved using much shorter simulations.

From the normalized proton density $n_{\text{proton}}(r)$, the potential of mean force

$$W(r) = -k_{\rm B}T \ln n_{\rm proton}(r) \tag{39}$$

follows. The potential of mean force, which is the reversible work required to change the distance r of the proton defect from the center of mass of the cluster, differs from the free energy $F(r) = -k_{\rm B}T \ln P_{\rm proton}(r)$ by an entropic term that depends on the surface area of a spherical shell with radius r. The potential of mean force for our 128-molecule cluster is depicted in Figure 5, where W(r) was normalized such that it vanishes at its minimum. To carry the proton from the surface of the cluster at $r \approx 8$ Å into its interior, a reversible work of about 4 $k_{\rm B}T$ must be expended. Within a core with a radius of about 4 Å,

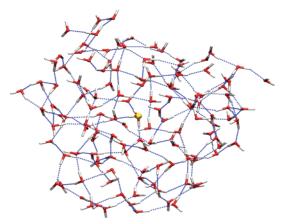


Figure 6. Cluster configuration from a molecular dynamics simulation in which the center of charge was forced to stay close to the center of mass by a bias potential with $R_{\text{bias}} = 0.0 \text{ Å}$ and $k_{\text{bias}} = 10.0 \text{ kcal/mol}$ $Å^{-2}$. As in Figure 1, the hydronium oxygen of the EVB state with the largest weight is depicted in yellow. Also, in the interior of the cluster, the protonic defects exists preferentially as Eigen-cations.

the proton can be translated at no cost. This stabilization of the surface position is very similar in magnitude to the stabilization of an excess proton near a carbon nanotube membrane with respect to the bulk found in recent simulations.²⁵ The preferential location of the excess charge near surfaces was noted in earlier simulations⁴⁴ and is consistent with experimental data.⁴⁵ From the viewpoint of continuum electrostatics, this is unexpected because a charge buried deep in the droplet is solvated in a more favorable way. The molecular details of the solvation structure of the excess proton, however, lead to a preferred surface position, presumably due to the strain exerted on the surrounding hydrogen bond network when the excess proton is in the cluster core.

One may be tempted to simplify the calculation of bias forces by neglecting those force contributions that arise from the derivatives $\partial c_{0i}/\partial x^{\alpha}$ of the ground state expansion coefficients c_{0i} on the right-hand side of eq 8. In this approximation, the atomic forces resulting from the bias are simply obtained as weighted average with weights c_{0i}^2 of the bias forces in the individual diabatic states. We have inspected the magnitudes of these two force terms for the bias potentials used in this study and we have found that both forces are of similar magnitude. Neglecting the derivatives $\partial c_{0i}/\partial x^{\alpha}$ is therefore unjustified. To exemplify the effect of this approximation, we have calculated the potential of mean force W(r) from an incorrect biased simulation with $k_{\text{bias}} = 2.0 \text{ kcal/mol Å}^{-2} \text{ and } R_{\text{bias}} = 0.0 \text{ Å, in}$ which the first term on the right-hand side of eq 8 was neglected (of course, in such a calculation, energy is not conserved even without thermostat). The potential of mean force resulting from this calculation, shown as a dotted line in Figure 5, strongly deviates from the correct W(r). A complete force calculation using the formalism of Section III is therefore crucial for a correct free energy calculation.

It is interesting to compare the solvation structure of the excess proton at the surface and in the core of the cluster. A typical configuration from a biased simulation in which the excess proton is near the center of mass of the cluster is shown in Figure 6. Comparison of configurations with the proton at the surface and in the core reveals that the local solvation structure of the excess proton is similar in these two cases. In both cases, the excess proton is preferentially found in the Eigen structure, observed also in bulk water,8 in which a central hydronium ion donates strong hydrogen bonds to three surrounding water molecules.

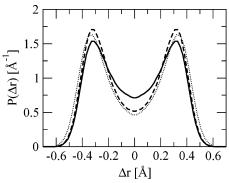


Figure 7. Symmetrized distribution for the proton coordinate Δr for the unbiased cluster (solid line) and the cluster in which the center of charge was biased to stay close to the center of mass to the cluster (dashed line). Also shown is the distribution of Δr in bulk liquid water at T = 300 K (dotted line).⁴⁶

This qualitative picture is confirmed by a calculation of the distribution of the proton coordinate Δr . For the calculation of this coordinate, one first determines the hydronium oxygen O₁ in the state with the largest weight c_i^2 . Oxygen O_2 is then the oxygen atom closest to oxygen O_1 . The proton coordinate Δr is calculated from the distances of O₁ and O₂ to the hydrogen H participating in the hydrogen bond from O_1 to O_2 : $\Delta r =$ $r_{\rm HO_2} - r_{\rm HO_1}$. Distributions of Δr for configurations with the proton at the surface and in the core are shown in Figure 7. The distribution of Δr for the core region was calculated from a biased simulation using a bias potential with $R_{\text{bias}} = 0.0 \text{ Å}$ and $k_{\text{bias}} = 10.0 \text{ kcal/mol Å}^{-2}$. In this biased simulation the center of charge fluctuated around the center of mass with a width of $\langle r^2 \rangle_{\text{bias}} = 0.1689 \text{ Å}^2$. As can be inferred from the figure, both asymmetric Eigen-like configurations with $|\Delta r| \approx 0.35 \text{ Å}$ and symmetric Zundel-like configurations with $|\Delta r| \approx 0.0 \text{ Å}$ occur, and, in both cases, the Eigen-like configurations are preferred. In the core of the cluster, Eigen-like configurations occur slightly more frequently than at the cluster surface. The distribution of Δr in the core is very similar to that observed in liquid water at a slightly higher temperature of T = 300 K shown in Figure 7 as a dotted line. Thus, as far as the local structure of the excess proton is concerned, the cluster core provides essentially the same solvating environment as the bulk liquid.

VI. Conclusions

In the present paper we have presented a new algorithm for the calculation of forces in EVB models where a bias potential is applied to the center of charge, which is effectively the location of the excess proton. Such bias potentials are necessary if one is interested in exploring unlikely but important configurations that occur, for instance, during transfer events. The procedure, based on first-order perturbation theory, is easy to use and does not require any significant additional computational effort, as all needed quantities are already available from the regular EVB force calculation. Bias forces calculated in this way are rigorously correct (for the EVB model) because they have been derived using perturbation theory rather than by finite differences.

The algorithm presented in this paper can be used to study the free energetics of proton-transfer quantitatively in all systems amenable to an EVB description, including proton transfer in biological systems and acid-base chemistry. As an illustrative example, we have calculated the reversible work required to carry an excess proton from the surface of a small water droplet to its center. The excess charge is preferentially located at the surface where it is stabilized by about $4 k_B T$ with respect to a position in the core of the cluster. This proclivity of the proton to occur near interfaces, which has been previously observed in clusters, ^{22,23} at planar liquid—vapor interfaces, ⁴⁴ and near hydrophobic membranes, ²⁵ is unexpected from continuum electrostatics and is most likely due to the strain exerted by the excess charge on the surrounding hydrogen bond network. Analogous behavior has also been observed for other ions in clusters. ^{47–49}

Another important application of the formalism developed in this paper is the calculation of rate constants for proton translocation, for instance, through membranes²⁵ using the reactive flux formalism of Bennett and Chandler, 50,51 in which two separate simulations are carried out. In both parts, the formalism of this paper can be applied. In the first step, one determines the reversible work required to move the proton across the membrane. This can be done efficiently with a molecular dynamics simulation with a bias on the center of charge. In a subsequent step, the so-called transmission coefficient is determined by releasing dynamical trajectories from a dividing surface located at the free energy maximum. The initial conditions needed for such a procedure can be generated efficiently by constraining the center of charge to be located on the dividing surface by applying an appropriate bias potential acting on the center of charge.

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