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Asymmetric Organic–Inorganic Hybrid Giant Molecule: Cyanobiphenyl Monosubstituted Polyhedral Oligomeric Silsesquioxane Nanoparticles for Vertical Alignment of Liquid Crystals

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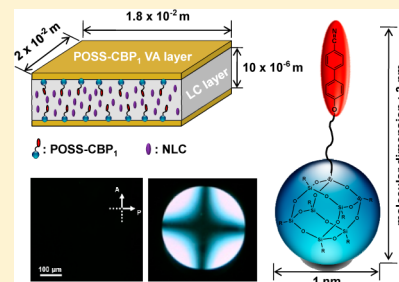
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S Supporting Information

ABSTRACT: For liquid crystal (LC) alignment, polyhedral oligomeric silsesquioxanes (POSS) can be considered as one of the promising candidates for the formation of vertical alignment (VA) of LC. However, because of their poor compatibility and weak interaction with LC hosts, the pristine POSS are highly aggregate themselves in the LC media and create the macroscopic particles, resulting in severe light scatterings. To overcome this barrier, we proposed and successfully synthesized the cyanobiphenyl monosubstituted POSS giant molecule (abbreviated as POSS-CBP₁), which showed an excellent dispersion in nematic (N) LC media and formed the perfect VA of LC without using conventional polymer-based VA layers. On the basis of the systematic experiments and careful analysis, we realized that the cyanobiphenyl moiety chemically attached to the pristine POSS with an alkyl chain can significantly improve the initial solubility and interaction with LC media but finely tune POSS-CBP₁ to gradually diffuse onto the substrate of LC cell for the formation of VA layer without forming the macroscopic aggregations. Therefore, the newly developed POSS-CBP₁ VA layer can allow us to significantly cut the manufacturing cost as well as to open the new doors for electro-optical applications.



INTRODUCTION

Liquid crystal (LC) alignment has attracted considerable interests not only in scientific aspects of partially ordered soft materials but also in engineering point of views of LC displays (LCD).^{1–4} Especially in LCD industry, the display engineers have developed many LC modes distinguished by the way of LC alignment, such as twisted nematic (TN),^{5,6} vertical alignment (VA),^{7–9} in-plane switching (IPS),¹⁰ and fringe-field switching (FFS) mode.^{11–13} Among them, the vertical LC alignment method has some advantages over the homogeneous planar LC alignment because the VA LC cells fabricated using the homeotropically oriented LC without an electric field exhibit better electro-optical performances, such as a high contrast, wide viewing angle, fast response time, and unnecessary mechanical rubbing process for the macroscopic LC alignment.^{14–16}

In the VA LC mode, the long axes of the LC molecules are aligned parallel to the surface normal at the initial state. While a threshold voltage is applied, the long axes of the LC molecules reorient to horizontal direction with respect to the substrate.^{17–21} It is well-known that the high contrast ratio of LCD should show a clear dark state without an electric field.^{22,23} Therefore, the VA LCD requires a VA layer to make

the homeotropic alignment of LC with respect to the substrate. The polyimide-type polymeric materials have been most widely used as the LC alignment layers. Recently, there are several reports for the modification of LC anchoring conditions on the surface of substrates by introducing lecithin, silanes, and carbon-based nanomaterials.^{24–28}

Since the polymer-type alignment layer has many problems such as long process time, low yield, and high cost, the nanoparticle-induced VA of LC has been proposed recently due to the new electro-optical properties, such as memory effect, frequency modulation response, and low driving voltage.^{29–34} Since the interaction between nanoparticles with substrates is higher than that between nanoparticles and LC compounds, the nanoparticles mixed with NLC hosts at the initial state can be phase-separated against the NLC media and diffused onto the substrates in which is a thermodynamically more stable state. The diffused and deposited nanoparticles on the substrates may form the VA of LC. Among many nanoparticles, polyhedral oligomeric silsesquioxanes (POSS) can be considered as one of

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the promising candidates for the formation of VA of LC.³⁵ However, the first significant obstacle for the POSS application is the poor compatibility with LC media and the second is the weak interaction with LC molecules. Therefore, the pristine POSS are highly aggregated themselves in the LC media and create the macroscopic micrometer particles resulting in severe light scatterings.^{36–42} To solve this problem, we proposed and successfully synthesized the cyanobiphenyl monosubstituted POSS giant molecule (abbreviated as POSS-CBP₁) in this research. POSS-CBP₁ showed an excellent dispersion in NLC media and formed the perfect VA of LC. From the experimental results, we concluded that the cyanobiphenyl group asymmetrically and chemically attached to the pristine POSS can improve the initial solubility and interaction with LC media but finely tune POSS-CBP₁ to diffuse to the substrates for the formation of VA layer without forming the macroscopic aggregations in the NLC bulk phase.

■ EXPERIMENTAL SECTION

Materials. 4-Cyano-4'-hydroxybiphenyl (CBP, 97%, Aldrich), ethyl 6-bromohexanoate (99%, Aldrich), aminopropylisobutyl POSS (POSS, Hybrid Plastics), triethylamine (TEA, 99%, Sigma-Aldrich), anhydrous *N,N*-dimethylformamide (DMF, 99.8%, Sigma-Aldrich), anhydrous tetrahydrofuran (THF, 99.9%, Sigma-Aldrich), absolute ethanol (EtOH, 99.5%, Sigma-Aldrich), acetone (99.9%, Samcheon Chemical), ethyl acetate (99.9%, Samcheon Chemical), chloroform (99.9%, Samcheon Chemical), hexanes (99.9%, Samcheon Chemical), thionyl chloride (SOCl₂, 90%, Daejung Chemical), hydrochloric acid (HCl, 37%, Sigma-Aldrich), potassium hydroxide (KOH, 95%, Showa), potassium carbonate (K₂CO₃, 99%, Showa), and nematic liquid crystal (MJ98468, Merck Co.) were used as received.

Synthesis of (4-Cyano-4'-biphenolxy)hexanoate Ethyl Ester (1). Ethyl 6-bromohexanoate (19.8 mL, 111 mmol) was attached to CBP (15.4 g, 78.9 mmol) in anhydrous DMF (100 mL) with anhydrous K₂CO₃ (10.8 g, 78.1 mmol) at 90 °C for 24 h. After reaction, resulting solution was filtered and dissolved in chloroform. Dissolved solution was extracted with water and then dried over MgSO₄. The product was recrystallized in ethanol (yield: 89%). ¹H NMR (CDCl₃, Figure S1): δ = 1.26 (t; 3H), 1.51 (m; 2H), 1.71 (m; 2H), 1.83 (m; 2H), 2.34 (t; 2H), 4.01 (t; 2H), 4.12 (q; 2H), 6.97 (d; 2H), 7.51 (d; 2H), 7.65 (m; 4H).

Synthesis of (4-Cyano-4'-biphenoxy)hexanoic Acid (2). A solution of 1 (2.05 g, 6.08 mmol) and KOH (3.6 g, 64.16 mmol) was stirred in the 150 mL of absolute ethanol and refluxed at 65 °C for 3 h. After cooling, the solution was poured into cold distilled water about 500 mL, and then, 1 M HCl (50 mL) was added to precipitate the acid product which was collected by filtration, washed with water and cold acetone, and dried in vacuo at 60 °C (yield: 98%). ¹H NMR (DMSO-*d*₆, Figure S2): δ = 1.45 (m; 2H), 1.61 (m; 2H), 1.74 (m; 2H), 2.23 (t; 2H), 4.01 (t; 2H), 7.02 (d; 2H), 7.64 (m; 4H), 7.92 (d; 2H), 11.6 (broad singlet; 1H).

Synthesis of POSS-CBP₁ (3). A solution of 2 (2.18 g, 7.10 mmol) was dissolved in 80 mL of SOCl₂ and refluxed at 70 °C for 5 h under a N₂ atmosphere. After reaction, organic solvent was removed in vacuum, and the remaining crude material was dissolved in 25 mL of anhydrous THF. A solution of aminopropylisobutyl POSS (5.12 g, 5.86 mmol) with TEA (2.24 mL, 5.86 mmol) in 25 mL of anhydrous THF was added into previous solution and stirred at room temperature

overnight. After reaction, organic solvent was removed in vacuum, and the remaining residue was extracted with water, aqueous ammonia solution, and chloroform. The organic layer was dried over MgSO₄, and then it was purified by column chromatography with silica gel using ethyl acetate:chloroform = 1:1. Resulting product was white powder (yield: 80.5%). ¹H NMR (CDCl₃, Figure S3): δ = 0.59 (m; 16H), 0.94 (d; 42H), 1.51 (m; 2H), 1.71 (m; 2H), 1.85 (m; 11H), 2.20 (t; 2H), 3.24 (q; 2H), 4.01 (t; 2H), 5.44 (t; 1H), 6.97 (d; 2H), 7.51 (d; 2H), 7.65 (m; 4H). MALDI-ToF-MS (*m/z*): 1165.92 (calculated for C₅₀H₈₈N₂O₁₄Si₈); 1165.10 ([M + H]⁺, observed).

One Drop Filling (ODF) Process. In our typical test LC cells were made by the filling process of NLC/POSS-CBP₁ mixtures between two glass substrates. Glass substrates were washed with distilled water, acetone, and isopropyl alcohol several times. Cleaned substrates were in a drying oven at 80 °C for 1 h. A series of NLC/POSS-CBP₁ (99.0/1.0, 99.7/0.3, 99.9/0.1, and 99.98/0.02 respectively) mixtures with the NLC and POSS-CBP₁ compound are prepared by heating up to 120 °C in the ultrasonic mixer for 10 min. After NLCs and POSS-CBP₁s are completely mixed, a certain concentration of NLC/POSS-CBP₁ mixtures were filled into glass capillary and then the mixtures were dropped on the surface of glass substrate on a 120 °C hot plate by using capillary reaction. After dropping the mixtures, the cells were covered and their cell gap was controlled to be 10 μ m by applying a spacer.

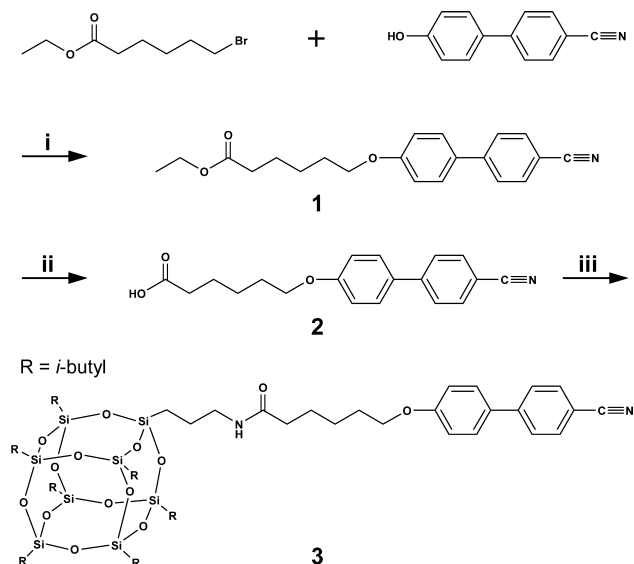
Dipping Process. The monolayered organic–inorganic hybrid thin films for vertical alignment of NLC were prepared by dipping process of glass substrates in POSS-CBP₁ solution. The precleaned glass substrates were dipped in the 0.02 wt % of the POSS-CBP₁/acetone solution for 1 h. The POSS-CBP₁-coated substrates by the dipping method were slowly dried. Note that the dip-coated POSS-CBP₁ substrates were not much changed even after the mild rinsing with acetone, which result indicates that the once formed POSS-CBP₁ protrusions on the glass substrates are strong enough against chemical attacks. The LC cells were fabricated by filling the NLC between the POSS-CBP₁ treated substrates which were sandwiched by 10 μ m cell gap.

Characterization. The thermal behavior of the POSS-CBP₁ giant molecule was monitored using a PerkinElmer PYRIS Diamond DSC equipped with an Intracooler 2P apparatus. The temperatures and heat flows were calibrated using standard materials at the cooling and heating rates of 10 °C/min. Heating scans always preceded the cooling scans at the same rate to eliminate the previous thermal histories. The transition temperatures were determined by measuring the onset temperatures obtained during the cooling and heating scans. The change of optical textures at a given temperature was observed using cross-polarized POM (Nikon ECLIPSE E600POL) coupled with a LINKAM LTS 350 heating stage. ¹H NMR spectra were recorded on a JNM-EX400 spectrometer. MALDI-ToF mass spectrometry has been performed using a Voyager-DE STR Biospectrometry Workstation. Contact angle was measured by contact angle analyzer (Phoenix-300, SEO). Atomic force microscopy images were recorded on an Agilent 550 AFM (Agilent Technologies) using silicon cantilevers with spring constants of 20–30 N/m. The resonance frequencies were set as 140–160 kHz, and the scan speed varied from 0.5 to 1.0 line/s.

RESULTS AND DISCUSSION

VA Formation by Doping POSS-CBP₁ Giant Molecule.
As shown in Scheme 1, we newly designed and synthesized the

Scheme 1. Synthetic Procedure of POSS-CBP₁^a



^aReagents and conditions: (i) DMF, K₂CO₃, 90 °C for 24 h; (ii) EtOH, KOH, 65 °C for 3 h; (iii) SOCl₂, 70 °C for 5 h under N₂ purge → POSS, TEA, THF, 25 °C for 15 h.

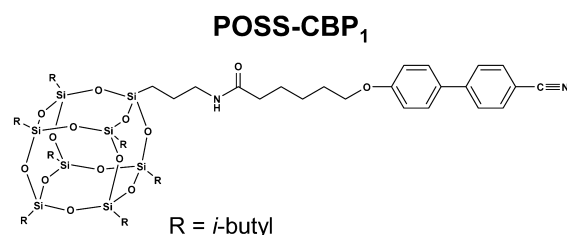
novel asymmetric giant molecule (POSS-CBP₁) which was chemically connected with a cyanobiphenyl moiety by a flexible alkyl chain. Chemical structures and purity of POSS-CBP₁ and its intermediates were confirmed by thin layer chromatography (TLC), proton nuclear magnetic resonance (¹H NMR, Figures S1–S3 in the Supporting Information), and matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-ToF MS, Figure S4 in the Supporting Information). By chemically attaching the cyanobiphenyl mesogen to POSS nanoparticle with an alkyl chain connector, the compatibility and interaction of POSS-CBP₁ with LC compounds will be significantly increased. Indeed, the solubility of POSS-CBP₁ in common organic solvents, such as chloroform, acetone, and tetrahydrofuran, is dramatically enhanced compared with that of the pristine POSS. When the compatibility and interaction of POSS-CBP₁ with LC compounds are properly tuned by attaching the cyanobiphenyl mesogen and alkyl chain, we can expect that the initially dissolved POSS-CBP₁ in the NLC is gradually phase-separated from the NLC medium and diffused onto the substrate of LC cell without forming the macroscopic aggregations in the NLC bulk phase and then construct the POSS-CBP₁ monolayer which is an ideal surface structure for the formation of VA of LC.

To test this proposal, a series of NLC/POSS-CBP₁ mixtures with the NLC (MJ98468, Merck Co.) are prepared by heating up to 120 °C in the ultrasonic mixer for 10 min. The test LC cells were made by sandwiching the mixtures between two glass substrates. The cell gap is controlled to be 10 μm by applying spacer balls of 10 μm diameter. Here, the NLC/POSS-CBP₁ mixtures were filled into the LC cells on a 120 °C hot plate utilizing the one drop filling (ODF) process which method can prevent the flow effect and cooled down to room temperature at 10 °C/min. The macroscopic images of test LC cells are

taken at room temperature by a digital camera (Nikon, Coolpix 995) with Polaroid films on top and bottom of the cell at a right angle with the respect to each other and the microscopic images of the LC cells are taken at room temperature from POM with transmissive mode. The VA of NLC is examined by the conoscopic observation with Bertrand lens. As shown in Figure 1, four different types of LC cells with 10 μm cell gap are prepared by varying the NLC/POSS-CBP₁ weight ratio from 99.0/1.0, 99.7/0.3, 99.9/0.1, to 99.98/0.02, respectively.

Nematic liquid crystal: MJ98468 (Merck Co.)

$$\Delta\epsilon = -4.0, \Delta n = 0.077 \text{ (at 589.3 nm)}, T_{NI} = 75 \text{ }^{\circ}\text{C}$$



Composition (wt%)				
POSS-CBP ₁	1.0	0.3	0.1	0.02
MJ98468	99.0	99.7	99.9	99.98

Figure 1. Materials information: host nematic liquid crystal (NLC) MJ98468, POSS-CBP₁ molecule, and their weight ratio in the mixture.

The macroscopic images of the LC cells between the Polaroid films with 1.0, 0.3, and 0.1 wt % of POSS-CBP₁ in NLC are shown in Figure 2a–c, respectively, and their corresponding orthoscopic and conoscopic images of the VA of LC are also evaluated by POM (Figure 2d–f and insets, respectively). From the macroscopic image of Figure 2a, it is obvious that the macroscopic image of the LC cell with 1.0 wt % POSS-CBP₁ does not show any characteristics of vertical (homeotropic) alignment, but the randomly oriented multifold NLC domains. As shown in the inset of Figure 2d, there is no sign of the Maltese cross in the conoscopic POM image which is a direct evidence of the homeotropic alignment of the LC cell.^{43–45} Note that the homeotropically aligned LC phase should exhibit a dark state under crossly equipped polarizer (two pieces of polarizers are arranged at a 90° angle with respect to each other) due to the fact that the molecular orientation of LC are aligned normal to the surface of test LC cell and do not exhibits any birefringence (zero birefringence effect).⁴⁶

When the content of POSS-CBP₁ in the LC mixture is reduced from 1.0 to 0.3 wt % to prevent the aggregation of POSS-CBP₁ by themselves, the dark state area in the macroscopic image is significantly increased (Figure 2b) even though light leakages are still detected. The dark state in the magnified POM image (Figure 2e) and the Maltese cross in the conoscopic POM image (inset of Figure 2e) indicate that the homeotropic VA of LC is locally achieved. However, the birefringent LC domains in Figure 2e point out the fact that

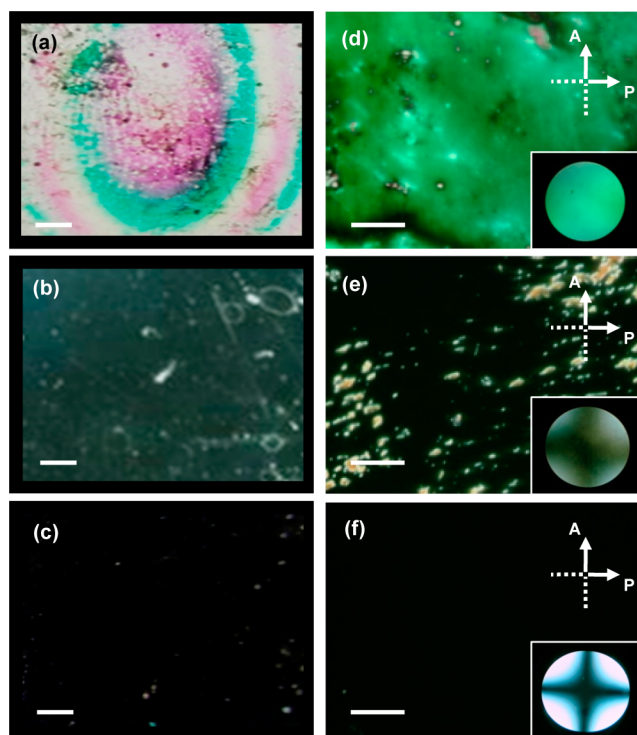


Figure 2. Macroscopic images (scale bar = 5 mm) (a–c) and their orthoscopic (scale bar = 100 μm) (d–f) and conoscopic (inset) POM images of the NLC/POSS-CBP₁ filled cell with a weight ratio of 99.0:1.0 (a, d), 99.7:0.3 (b, e), and 99.9:0.1 (c, f), respectively.

there are still a great amount of macroscopic POSS-CBP₁ aggregations in the LC cell. Note that the achievement of the homeotropic LC in the pristine POSS/NLC cell needs to be doped of large amount POSS more than 3.0 wt %, but it also causes aggregation problem which disturbs a perfect vertical alignment. If the content of POSS-CBP₁ is reduced even down to the 0.1 wt % in the NLC/POSS-CBP₁ mixture, the true dark state is obtained (Figure 2c,f), and the distinct Maltese cross (inset of Figure 2f) in the conoscopic POM images clearly represents the formation of the homeotropic VA of the NLC molecules.

VA Formation by Two-Dimensional Monolayered POSS-CBP₁ Protrusions. Even though the LC cell with the 0.1 wt % POSS-CBP₁ shows an excellent dark state, several small-size but large enough bright spots are detected as shown in the macroscopic image (Figure 2c). This result may come from the macroscopic aggregation of POSS-CBP₁ as well as from the uncovered substrates. Therefore, in order to create a uniform VA alignment layer with POSS-CBP₁, we need to control the concentration of POSS-CBP₁ in the LC mixture and the diffusion of POSS-CBP₁ onto the substrate. First, the theoretical compositions of POSS-CBP₁ for constructing the monolayer dispersion in LC cell with 20 mm \times 18 mm (length and width) and 10 μm cell gap (height) should be calculated. Utilizing Cerius2 computer simulation software from Accelrys (version 4.6), the molecular dimension of POSS-CBP₁ is estimated, as illustrated in Figure 3a.^{47–50} The calculated length of POSS-CBP₁ along the long axis is about 3 nm and short axis is 1 nm. It means that, from a rough calculation with specific assumptions, an ideal condition for monolayer dispersion of POSS-CBP₁ on sandwiched glass substrate with 10 μm cell gap is 0.02 wt %.

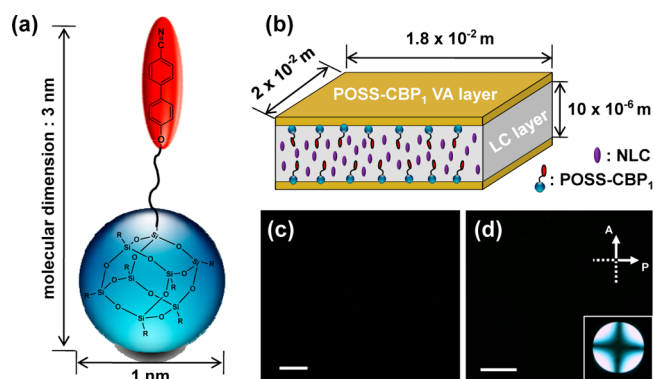


Figure 3. Calculated geometric dimension of POSS-CBP₁ molecule in the side view (a), schematic illustration of 0.02 wt % POSS-CBP₁ monolayer for vertical alignment induced test LC cell (b), macroscopic image (scale bar = 5 mm) (c), and POM image (scale bar = 100 μm) (d) of the test cell with 0.02 wt % POSS-CBP₁.

When the LC cell with the 0.02 wt % POSS-CBP₁ is fabricated by cooling the LC cell from 120 $^{\circ}\text{C}$ to the room temperature at 1 $^{\circ}\text{C}/\text{min}$, a perfect dark state without any light leakage is obtained, as shown in Figure 3c,d. However, if the LC cell with the 0.02 wt % POSS-CBP₁ is rapidly cooled down, several bright spots are detected. This result represents the fact that the homogeneous POSS-CBP₁ monolayer may be created by optimizing the concentration of POSS-CBP₁ in the LC mixture and tuning the rates of phase separation from the NLC medium and diffusion of POSS-CBP₁ onto the substrates. Therefore, the formation of the uniform POSS-CBP₁ VA monolayer in the LC cell with the 0.02 wt % POSS-CBP₁ is also tested by a specifically designed experiment. The bare glass substrates are dipped in the 0.02 wt % of POSS-CBP₁/acetone solution for 1 h and acetone solvent on the substrates of the LC cell is slowly evaporated for 6 h. Because of a good solubility in organic solvents such as acetone, POSS-CBP₁ can be easily fabricated into films by a simple dipping process.

To investigate the uniform film-forming ability of POSS-CBP₁, the surface morphological investigation was carried out by atomic force microscopy (AFM). The surface of bare glass substrate were relatively smooth (Figure 4a). However, as shown in Figure 4b, the 0.02 wt % POSS-CBP₁ coated surface exhibited the many protrusions but constructed uniform continuous layer in each domain. The deionized (DI) water contact angle measurements of a bare glass substrate and a POSS-CBP₁ coated substrate also clearly indicate the fact that POSS-CBP₁ molecules are indeed on the glass substrate, as shown in Figure 5. The bare glass and 0.02 wt % POSS-CBP₁ coated glass substrate showed 28.8 $^{\circ}$ (Figure 5a) and 39.6 $^{\circ}$ (Figure 5b) of water contact angles, respectively, suggesting that hydrophobic layer was formed due to the hydrophobic cyanobiphenyl alkyl chains of the POSS-CBP₁. Note that the interaction between the POSS nanoparticle (silicon–oxygen cage structure) with glass substrates is much higher than between the cyanobiphenyl moieties and glass substrates. Thus, POSS-CBP₁ nanoparticles can be deposited with molecular orientation perpendicular to the surface of the glass substrate and formed the homeotropic alignment. The average width and height of protrusions of the POSS-CBP₁ layers (Figure 4c) are in the range of 62.5 and 2.41 nm, respectively, with the root-mean-square (RMS) surface roughness about 0.464 nm. Based on the AFM result, it is realized that two-dimensional (2D) monolayered POSS-CBP₁ protrusions are formed by the lateral

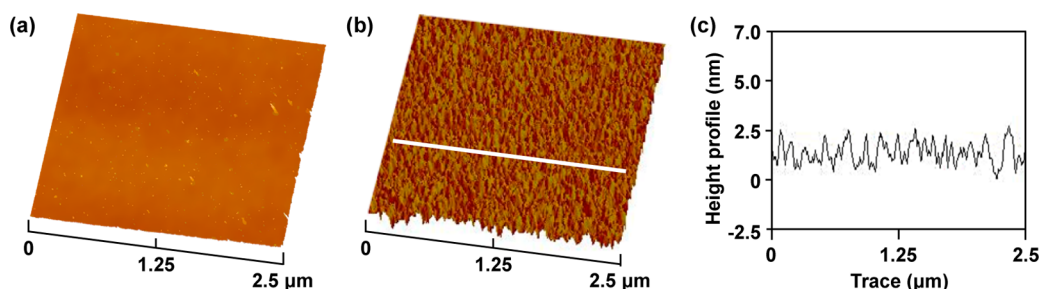


Figure 4. 3D topographic images of bare glass substrate (a), 0.02 wt % POSS-CBP₁ monolayer film on a glass substrate (b), and its height profile (c) along the white solid line in (b).

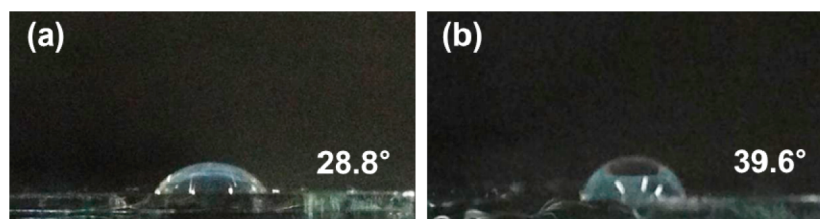


Figure 5. Contact angle measurements using distilled water images of bare glass substrate (a) and 0.02 wt % POSS-CBP₁ coated monolayer substrate (b).

self-assembly of POSS-CBP₁ giant molecules on the glass substrate. However, 0.02 wt % POSS-CBP₁ dip-coated substrates has a uniform continuous protrusion layer in each domain, but the average coated ratio of POSS-CBP₁ molecules on the surfaces is about 72.3%. Additionally, the cross section of CBP₁ group in POSS-CBP₁ giant molecule is roughly one-third of POSS group. Note that the calculated height and width of POSS-CB are 3 and 1 nm, respectively, based on the assumption of the *all-trans* conformation of alkyl chain (Figure 3a). Therefore, even though the POSS groups in POSS-CBP₁ are laterally close-packed and create the 2D monolayered protrusion domains, the tethered CBP₁ groups are mobile and provide the enough empty spaces for NLC to partially crawl into the empty zones and to stand up to create the first VA layer.

By using the dip-coated POSS-CBP₁ substrate, the LC cell fabricated by filling the NLC between the monodispersed POSS-CBP₁ treated substrates exhibits a perfect homeotropic VA of LC without any light scatterings at room temperature (Figure 6). In order to test the thermal stability of POSS-CBP₁ alignment layer, the temperature of the LC cell with the 0.02 wt % POSS-CBP₁ is increased up to the 75 °C (just below the T_{NI} transition temperature of NLC medium) and kept at 75 °C for 12 h. As shown in Figure 6, the homeotropic VA of LC is retained without any destruction of LC alignment. This result indicates that the once formed POSS-CBP₁ interaction with the substrates is strong enough to overcome the thermal fluctuations.

CONCLUSIONS

For liquid crystal (LC) alignment, POSS were first modified by chemically attaching the cyanobiphenyl moiety with a flexible alkyl chain connector. The POSS-CBP₁ asymmetric hybrid giant molecule exhibited an excellent dispersion in nematic (N) LC media and formed the perfect vertical alignment (VA) of LC without any light scatterings. From the systematic experiments of POSS-CBP₁ in NLC media, it was found that the cyanobiphenyl functional group chemically attached to the

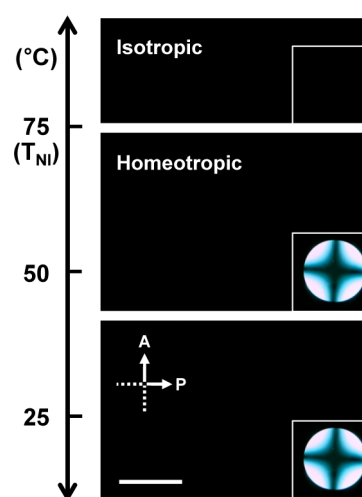


Figure 6. Thermal stabilities of 0.02 wt % POSS-CBP₁ dip-coated VA LC cell (scale bar = 100 μ m).

pristine POSS with an alkyl chain can significantly improve the compatibility with LC compounds but finely tune POSS-CBP₁ to gradually diffuse onto the substrate of LC cell for the formation of VA layer without forming the macroscopic aggregations.

ASSOCIATED CONTENT

Supporting Information

¹H NMR, MALDI-ToF MS, TGA, DSC, and detailed experimental procedures. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

The authors declare no competing financial interest.

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