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# A DFT Study of the Amadori Rearrangement above a Phosphatidylethanolamine Surface: Comparison to Reactions in Aqueous Environment

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- 8 Supporting Information

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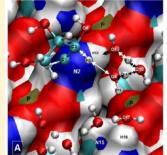
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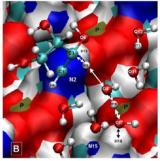
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ABSTRACT: Mechanisms for Amadori rearrangements from Schiff bases from reactions between D-erythrose and ethylamine, glycine, and phosphatidylethanolamine (PE) based on Dmol3/DFT calculations were realized. For the case of PE, calculations were done under periodic boundary conditions (PBCs), in an amine-phospholipid monolayer model with two molecules of PE by cell. In the three cases, the reaction started with a neutral Schiff base, having in the case of PE surface model a positive charged amine group belonging to the adjacent PE molecule. The catalytic role of PE surface components such as amine and phosphate groups is highlighted. All models included water molecules forming





hydrogen bond networks, these networks were involved in the reactions by stabilizing reaction intermediates and transition states and performing as proton-transfer carriers, important in all steps of reactions. In all the studied reactions, they take place in two steps, namely, (1) formation of a 1,2-enaminol intermediate and (2) ketonization to the Amadori rearrangement product, having the last step clear lower values for relative free energies in the case of stationary points of the reaction on PE surface. An alternative pathway in the first step of Amadori rearrangement above PE surface, starting since positive charged Schiff base, was also evaluated, obtaining values for the free energy barrier similar to the step, starting from neutral Schiff base form. On the basis of our results, it is possible then to hypothesize that the cell membrane phospholipid surface environment modifies the kinetic behavior of some biological reactions, enhancing some of them through a catalyst effect.

# 29 INTRODUCTION

30 Amadori products are N-substituted 1-amino-1-deoxyketoses, 31 representing an important class of intermediates in the 32 nonenzimatic glycation (Maillard reaction). They are formed 33 naturally in the initial phase of the nonenzimatic glycation by 34 Amadori rearrangement of the corresponding Schiff bases, in 35 equilibrium with their N-glycosylamines. Schiff bases are 36 obtained previously by condensation of reducing sugars, mainly 37 glucose, and free amino-containing moieties of several 38 biomolecules such as proteins, nucleic acids, and phospholi-39 pids. <sup>1,2</sup> The importance of Amadori products stems from the fact 40 that their formation as well as decomposition can be initiated 41 under physiological conditions, appearing to be involved in the 42 pathological effects of diabetes, Alzheimer's disease, and aging 43 processes in general, through their transformation into a wide 44 spectrum of compounds called advanced glycation end products 45 (AGEs).<sup>3,4</sup> They are also formed during food processing and 46 storage, being of great importance in the processing of foods for 47 the production of aroma, taste, and color. 5,6

While nonenzymatic protein glycation and its involvement in diabetic complications have been thoroughly investigated, less

attention has been paid to glycation of phospholipids with free 50 amino groups such as phosphatidylethanolamine (PE) and 51 phosphatidylserine, which could be abnormally glycated under 52 hyperglycemic conditions, contributing to the pathogenesis of 53 diabetic complications, such as retinopathy, nephropathy, 54 neuropathy, and atherosclerotic macrovascular disease. Non-55 enzymatic glycation of cell membrane components such as PE 56 has biomedical relevance since these membranous functional 57 lipids are vital for the maintenance of cellular integrity and 58 survival. Their glycation could result in deteriorating membrane 59 structures, causing dysfunction into the cell.

The Amadori product from glucose and PE has been isolated, 61 and its chemical structure has been investigated in detail. 62 Amadori products formed in the amino-carbonyl reaction yield 63 reactive oxygen species (ROS) such as the superoxide anion, 64 hydrogen peroxide, and the hydroxyl radical via autoxidation that 65 is caused by one-electron transfer in the presence of metal 66

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67 ions.<sup>9,10</sup> Moreover, the Amadori moiety of PE, through its 68 autoxidation, may also lead to the formation of ROS. The ROS 69 are likely to cause peroxidation of unsaturated fatty acid residues 70 in membrane lipids, which propagate free radical reactions and 71 lead to products like phosphatidylcholine hydroperoxide, whose 72 formation is closely involved in the pathophysiology of 73 atherogenesis, diabetes, aging, and other conditions.<sup>2,7,11</sup>

D-Erythrose is one of the two naturally occurring members of the aldotetroses. It is an intermediate in the biosynthesis of erythritol, a sugar alcohol, which naturally occurs in a variety of foods such as fruits, mushrooms, and fermented foods. <sup>12,13</sup> D-78 Erythrose has been considered to be a fast glycating sugar. <sup>14,15</sup> The formation of Amadori products from reaction between erythrose and lens crystalline proteins has been shown as being 10-fold faster than glucose, <sup>16</sup> what has been attributed to differences in the level of free aldehyde groups that are available so initial Schiff base formation due to its acyclic structure.

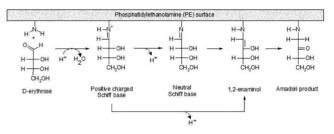
Despite of the Amadori rearrangement importance, there are some characteristics of this reaction such as its reversibility that 86 continues to be a controversial issue, 17,18 lacking of theoretical studies describing these mechanisms at the atomic level, and deserving special attention of the possible differences for this 89 reaction with different reactants or molecular environments. 90 According to the most accepted mechanism for Amadori 91 rearrangement, the initial reaction of a reducing sugar with an amino-container molecule gives the corresponding Schiff base (glycosylamine) that rearranges first to 1,2-enaminols via a sigmatropic shift followed by ketonization to the Amadori 95 rearrangement product. 19 Previous experimental and theoretical 96 studies by our group allowed Schiff base formation mechanisms for vitamin  $B_6$  analogues and aminophospholipids to be elucidated. However, this is the first time we modeled 99 reaction mechanisms for obtaining Amadori products from Schiff 100 bases. There is a density functional theory (DFT) study on the 101 mechanism of the Amadori rearrangement reaction 29 and others 102 about thermodynamic stability on intermediates for glycine 103 nonenzimatic glycation reactions with glucose, ribose, and 104 glyceraldehyde. 30-33

The cell membrane surface is a special environment, and it can indeed interact with various kind of molecules, via electrostatic and hydrophobic interactions and/or hydrogen bonding. The cell membrane surface could also enhance the ability of protons to diffuse promptly along the membrane through hydrogen bonded networks of water molecules and charged or polar groups of phospholipids at the surface. The reactivity of phospholipid components of cell membrane has been shown; examples of such reactions are the reactivity of  $Cl_2$  and  $Cl_2$  and  $Cl_3$  are examples of such reactions are the reactivity of  $Cl_3$  and formation of Schiff bases with ketonic compounds. There is also experimental and theoretical evidence that decomposition of  $Cl_3$  and  $Cl_4$  and it has also shown experimentally that phospholipid glycation is faster than protein glycation. The surface,  $Cl_4$  and it has also shown experimentally that phospholipid glycation is faster than protein glycation.

Therefore, the aim of this study is to obtain and analyze 124 comparatively at the DFT level the mechanisms for Amadori 125 rearrangement in Schiff bases from reactions between D-126 erythrose and ethylamine and glycine and PE, by means of 127 calculating transition states, and describing energetic and 128 geometric changes along the reaction coordinate. The 129 mechanism could be extrapolated to the reaction having glucose

or other reducing sugars instead of D-erythrose, contributing 130 toward a better understanding of nonenzimatic glycation, 131 matching conclusions that have been obtained experimentally. 132 In this work, we also analyze comparatively the influence of the 133 PE surface as a chemical environment on the reaction, modeling 134 the reaction in Schiff bases from reaction between D-erythrose 135 and PE (Scheme 1), in comparison to the reaction in an aqueous  $136 \, \mathrm{s1}$ 

Scheme 1. Formation of Amadori Product from D-Erythrose and Phosphatidylethanolamine



environment, having as starting reactants Schiff bases from D- 137 erythrose/ethylamine and D-erythrose/glycine. This work also 138 shows the role of water solvent molecules acting catalytically via a 139 hydrogen transfer mechanism.

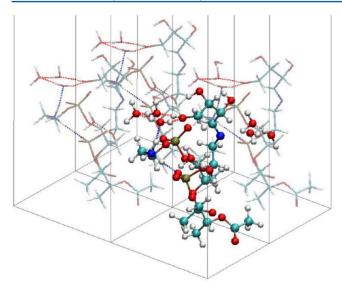
# ■ METHODOLOGY

In order to make possible DFT calculus, PE surface model was 142 designed from the crystal structure of 1,2-dilauroyl-DL- 143 phosphatidylethanolamine. The periodic boundary conditions 144 made possible to obtain a surface model of a layer of 145 phospholipids, useful for studying theoretically the reaction on 146 an environment different to aqueous solvent. The models for 147 ethylamine and glycine, due their more simple structure, were 148 built without periodic boundary conditions but also included 149 water molecules as explicit solvent and acetaldehyde. In all cases, 150 an additional solvent environment was modeled via the 151 conductor-like screening model (COSMO).

The PE surface model was represented using a three- 153 dimensionally periodic slab model. The supercell (Figure 1) 154 fl contained two molecules of truncated PE, one of them as a 155 neutral Schiff base from reaction between D-erythrose and PE, 156 the other as a protonated PE, and six water molecules as explicit 157 solvent in a hydrogen-bond network along the polar heads of 158 phospholipids. Because we also evaluated an alternative path for 159 the reaction, we modeled the same system, but having a 160 protonated Schiff base from D-erythrose/PE and a neutral PE 161 molecule as components of the molecular system. The designed 162 models for the systems with Schiff bases from reaction between 163 D-erythrose and ethylamine and glycine included the corre- 164 sponding Schiff base and 21 water molecules. The purpose of 165 including this number of water molecules in these molecular 166 models was not exclusively to simulate a water solvation 167 environment; rather, the water molecules were intended to act 168 as reactive species facilitating several steps of studied reaction in 169 the different models.

Additionally, in order to evaluate the possibility of an 171 alternative pathway for Amadori rearrangement on PE surface, 172 the structure of the positive charged Schiff base in the reaction 173 between D-erythrose and PE was modeled; this form of Schiff 174 base has been characterized previously as intermediate in the 175 Schiff base formation above PE surface. In this model, the 176 partner PE molecule inside the cell has a neutral amine group, 177 which participates as a proton acceptor in the step of formation of 178

2.11



**Figure 1.** Section of four unit cells of the initial model for a phosphatidylethanolamine surface, including by cell a neutral Schiff base from reaction between phosphatidylethanolamine and D-erythrose, another phosphatidylethanolamine molecule, and the water hydrogenbond network. The atoms in the representative cell are in balls and sticks. Atoms in neighboring cells are in sticks.

179 a 1,2-enaminol intermediate, that is the same product of the step 180 starting since neutral PE—Schiff base.

All of the calculations were performed in the frame of DFT with the DMol3 program package, 47–49 using double numerical with polarization (DNP) basis sets and Perdew—Burke—184 Ernzerhof (PBE) exchange-correlation functional. 50,51 The DNP numerical basis set is comparable to Gaussian 6-31G(d,p),

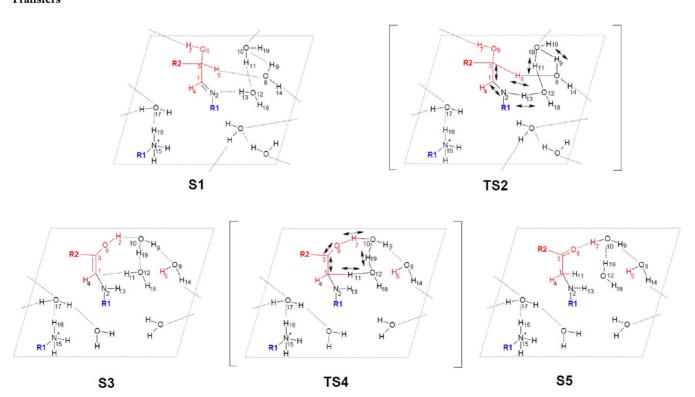
and its accuracy for describing hydrogen-bond strengths has been 186 tested. The maximum number of numerical integration 187 mesh points available in DMol3 was chosen for our 188 computations, the threshold of density matrix convergence was 189 set to  $10^{-6}$ . A Fermi smearing of 0.005 hartree and a real-space 190 cutoff of 4.5 Å were also used to improve the computational 191 performance.

The initial models as reactants and the next models for 193 stationary points generated during Schiff base formation in all the 194 cases were modeled in Materials Visualizer and optimized using 195 the conjugated gradient algorithm. Transition state (TS) 196 searches were performed with the complete LST/QST 197 method. 55 In this method, the linear synchronous transit 198 (LST) maximization was performed, followed by an energy 199 minimization in directions conjugating to the reaction pathway 200 to obtain approximated TS. The approximated TS was used to 201 perform quadratic synchronous transit (QST) maximization, and 202 then another conjugated gradient minimization was performed. 203 The cycle was repeated until a stationary point was located. The 204 obtained TS was optimized via eigenvector following, searching 205 for an energy maximum along one previous selected normal 206 mode and a minimum along all other nodes, using the Newton- 207 Raphson method. After this procedure, one transition state was 208 found for each reaction step. Each TS structure was characterized 209 by vibrational analysis with exactly one imaginary frequency.

## RESULTS AND DISCUSSION

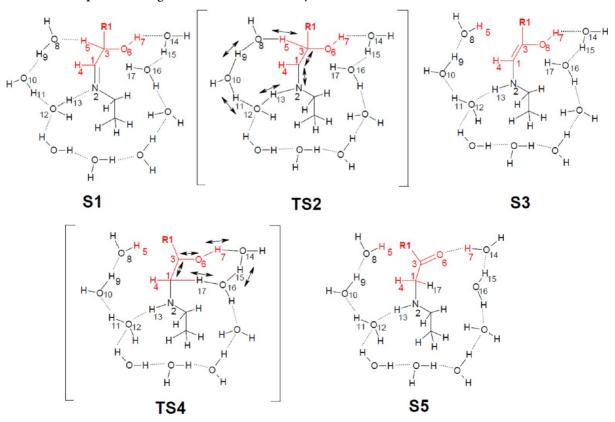
In order to study the Amadori rearrangement, we selected Schiff 212 bases from reaction between D-erythrose and ethylamine and 213 glycine and PE, like free amino-containing reagents; because of 214 their differences in adjacent groups, they could let us evaluate the 215 possible influence of these groups in the reaction. In the case of 216

Scheme 2. Mechanism of Amadori Rearrangement from Neutral PE/D-Erythrose Schiff Base, Using Periodic Boundary Conditions; Dotted Lines Represent Hydrogen Bonds, and Arrows Represent Changes in the Electronic Density and Proton Transfers



Scheme 3. Mechanism of Amadori Rearrangement from Glycine/D-Erythrose Schiff Base; Dotted Lines Represent Hydrogen Bonds and Arrows Represent Changes in the Electronic Density and Proton Transfers

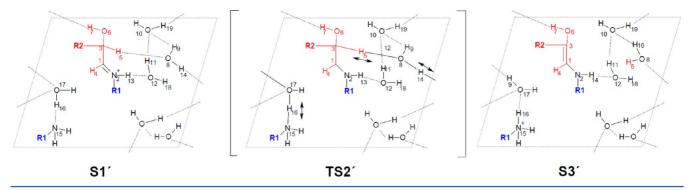
Scheme 4. Mechanism of Amadori Rearrangement from Ethylamine/D-Erythrose Schiff Base; Dotted Lines Represent Hydrogen Bonds and Arrows Represent Changes in the Electronic Density and Proton Transfers



217 PE, it is possible to evaluate additionally the influence in the 218 reaction of an environment different to aqueous solvent. PE is

one of the main lipid components of the biological membranes, 219 and its Amadori product with glucose has been isolated and 220

Scheme 5. Mechanism of Amadori Rearrangement from Positive Charged Schiff Base of PE/D-Erythrose, Using Periodic Boundary Conditions; Dotted Lines Represent Hydrogen Bonds and Arrows Represent Changes in the Electronic Density and Proton Transfers



221 studied experimentally.<sup>8,56,57</sup> Several experimental works have 222 studied the reaction between D-erythrose, a tetrose reducing 223 sugar, and amine-container biomolecules.<sup>14–16</sup> Because of its 224 size, its Schiff bases were chosen and modeled as appropriate 225 reagents for studying the Amadori rearrangement.

s2s3s4

t1f2

Schemes 2–4 show the atoms directly involved in the 227 reactions. In the three cases, the Amadori rearrangement 228 essentially involve two steps, namely, formation of a 1,2-229 enaminol intermediate (structures 1–3 for ethylamine, glycine, 230 and PE) and its ketonization to the Amadori rearrangement 231 product (structures 3–5 for the three cases). Scheme 5 shows the 232 pathway from the charged Schiff base on PE surface. The 1,2-233 enaminol product of this alternative step is the same of the 234 reaction starting since neutral Schiff base. Table 1 lists the  $\Delta G$ 

Table 1. Relative Free Energies (kcal mol<sup>-1</sup>) for the Structures of the Studied Reaction Paths

structure	ethylamine	glycine	phosphatydilethanolamine
S1	0.00	0.00	0.00
TS2	16.87	12.82	16.78
S3	1.68	0.08	-7.10
TS4	17.25	16.90	5.43
S5	-12.59	-7.45	-8.02

235 values for each structure involved in the process, and Figure 2 236 shows the comparative free energy profile. We offer structural 237 data (atoms distances) for each intermediate and transition state 238 in the Supporting Information.

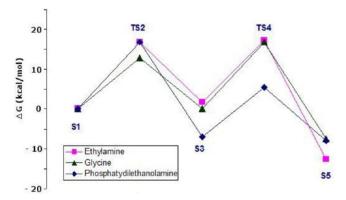


Figure 2. Comparative free energy profile for the Amadori rearrangement from Schiff bases of D-erythrose and ethylamine (pink line), glycine (black line), and phosphatidylethanolamine (blue line).

Formation of a 1,2-Enaminol Intermediate. The starting 239 points of this intramolecular rearrangement for the three cases 240 were neutral Schiff bases (S1 in Schemes 2–4), what can further 241 react through an imine—enamine tautomerism mechanism, 242 rearranging to an enaminol intermediate. In this step, nitrogen 243 atom of Schiff bases as a Bronsted base do a nucleophilic attack 244 on a proton joined to a carbon atom (C3) attached to the OH 245 group, realizing a proton transfer from C3 to N2 atom through a 246 chain of three water molecules, and displacing concertedly the 247 double bond between N2 and C1 to C1 and C3 (S1 to S3 248 through TS2 in Schemes 2–4). When a proton (H5) is released 249 from C3, the electrons from the C3–H5 bond form a  $\pi$  bond 250 between C3 and C1 carbon atoms. In the case of PE surface, the 251 periodic boundary conditions allow O6, O8, and O17 to form 252 hydrogen bonds with atoms of neighboring cells.

Above PE surface, when the reaction proceeds from the 254 neutral Schiff base, the proton transfer from C3 to N2 is carried 255 out through a hydrogen bond chain of three water molecules 256 (TS2, Scheme 2), making possible the protonation of N2 atom. 257 In the case of the reaction from the positive charged Schiff base, 258 the proton transfer proceeds via TS2′ from C3 to N15 atoms, 259 through a hydrogen bond chain of two water molecules; the 260 periodic boundary conditions make possible to model the proton 261 (H14) transfer from one face of the unit cell cleaving a bond with 262 O8 atom, on the opposite face to form a bond with O17 atom 263 (Scheme 5).

The relative free energy barriers for this step, had values of 265 16.87, 12.82, and 16.78 kcal mol<sup>-1</sup> for ethylamine, glycine, and 266 PE surface, respectively. The lower value for free energy barrier in 267 the reaction from Schiff base of glycine could be attributed to the 268 presence of a carboxylate group, which stabilizes the hydrogen 269 bond network around, influences the partial charge stability of its 270 adjacent atoms, and facilitates the proton transfer through the 271 three water molecules. The role of amino acid carboxyl group in 272 the catalysis of the Amadori rearrangement has been shown in 273 other works; when the carboxyl group was absent (e.g., in 274 aliphatic or aromatic amines), the glycosylamine, a ring closure 275 product of Schiff base, is more stable and, in many cases, has been 276 isolated. 19

According to other theoretical works,  $^{58,59}$  the rate of  $^{278}$  converting the imine to enamine depends on how easy it is for  $^{279}$  an  $\alpha$  carbon in the imine to deprotonate, and that is influenced  $^{280}$  directly by its substituents, which could contributed to lower the  $^{281}$  basicity of the  $\alpha$  carbon during the reaction. In the studied  $^{282}$  molecular systems, this  $\alpha$  carbon corresponds to C3 (Schemes  $^{283}$  2–4). In the three systems, this atom has an electronegative  $^{284}$  hydroxyl group (O6–H7), which could render inductively  $^{285}$ 

**Figure 3.** Sights of phosphatidylethanolamine surface showing (A) the transition state (TS2) of formation of a 1,2-enaminol intermediate from neutral Schiff base and (B) the transition state (TS2') of formation of a 1,2-enaminol intermediate from positive charged Schiff base. Arrows represent proton transfers.

286 electron-withdrawing during the deprotonation of the adjacent 287 carbon atom, dispersing its negative charge, facilitating more this 288 deprotonation (TS2 in Schemes 2–4). In the conversion from 289 Schiff bases to enaminol, the hydroxyl group has a conversion 290 from weak electron-withdrawing when it is attached to an sp<sup>3</sup> 291 carbon (S1 structures) to electron releasing group due to the 292 resonance effect when it is attached to an sp<sup>2</sup> carbon (S3 293 structures). The hydroxyl group also participates in the next 294 keto—enol tautomerism step but as an electron releasing group to 295 produce the carbonyl group of Amadori products.

We considered a neutral Schiff base as the starting point for the 297 Amadori rearrangement in the first three studied cases. Other authors taking account that Schiff bases of sugars as glucose could also stay in equilibrium between as the open-chain imino form and cyclic glycosylamines, 60 considering the last as the starting point. These glycosylamines previously showed that the formation of 1,2-enaminol intermediate should be converted in their corresponding Schiff bases, but in their positive charged forms. 19 In the case of the studied molecular systems, there are not these conversions due to the acyclic nature of D-erythrose. However, according to the mechanism obtained by us for neutral Schiff base formation on PE surface, 22 the pathway for reaction between D-erythrose and PE could have the positive charged Schiff base as the previous intermediate to the final product, and thus, the formation of the 1,2-enaminol intermediate could proceed directly from this positive charged form, bypassing the 312 pathway of the neutral form.

In order to evaluate the positive charged form of the Schiff base as the starting point of the rearrangement, a model of PE surface having this Schiff base form was designed. In this model, the having this Schiff base form was designed. In this model, the partner PE molecule had a neutral amine group, which participated in the formation of the 1,2-enaminol, as proton as acceptor, facilitating the removal of a proton from carbon atom (C3) and its transfer through two water molecules (S1' to S3' through TS2' in Scheme 5 and Figure 3B). The relative free energy barrier for this step had a value of 17.50 kcal mol<sup>-1</sup>, not so different from the value of the same step considering a neutral Schiff base as reactant. According to this result, components of the PE surface could have a catalytic effect on the studied reaction, not only stabilizing intermediates and transition states

of the pathway but also assisting it directly, as proton donors and 326 acceptors. This path also makes possible to regenerate the 327 catalytic acidic charged amine group of the PE molecule partner, 328 generated in the previous Schiff base formation on PE surface,  $^{22}$  329 and considering PE-Amadori formation as final product of the 330 reaction between PE and a reducing aldehydic compounds. PE 331 differs from other phospholipids such as phosphatidylcholine in 332 which its primary amine group becomes deprotonated at a pH of 333 about 8,  $^{61,62}$  having a p $K_a$  value of  $9.6 \pm 0.1$  in PE vesicles.  $^{63}$  This 334 suggests that it is possible having in physiological conditions a 335 certain fraction of PE molecules with neutral amine groups that 336 could participate as proton acceptors during 1,2-enaminol 337 formation froma positively charged Schiff base from D- 338 erythrose/PE.

PE is known to be isoelectric over a wide pH range, as  $^{340}$  indicated by the pH dependence of several physicochemical  $^{341}$  properties.  $^{64}$  Previous experimental studies  $^{65,66}$  have shown that  $^{342}$  the amino protons on the outside vesicle surface exchange  $^{343}$  rapidly with water protons at pH >5; the rate of proton exchange  $^{344}$  of the amino protons of PE increases with increasing pH, being  $^{345}$  the dominant exchange process, an intrasurface reaction in which  $^{346}$  NH $_2$  groups react via water with NH $_3$ <sup>+</sup> groups on the outer  $^{347}$  surface. In these works, it was hypothesized that proton transfer  $^{348}$  may involve several water molecules so that the distance between  $^{349}$  nitrogen atoms may be as large as  $^{9}$ – $^{10}$  Å during the proton  $^{350}$  transfer.  $^{67}$  In the periodic models of our work, these distances  $^{351}$  between nitrogen atoms from PE-molecule and Schiff base from  $^{352}$  D-erythrose/PE have values around  $^{7}$  Å.

The hydrogen bond network also has an influence in the 354 reactivity of the amino group of PE; experimental studies have 355 shown a decrease in this reactivity when the composition of self- 356 organized monolayers used in these studies was passed from the 357 pure PE to PE/phosphatidylcholine mixtures, 64,68 what has been 358 explained by a resulting decrease in intermolecular ammonium— 359 phosphate interactions between contiguous PE molecules due to 360 the interdispersion of PE with phosphatidylcholine molecules. 361 This intermolecular interaction between PE charged amino 362 group and phosphate groups is also evidenced in the modeled PE 363 surfaces, being two of them by cell, in the model including neutral 364 Schiff base from D-erythrose/PE (S1 structure, Figure 1). In the 365

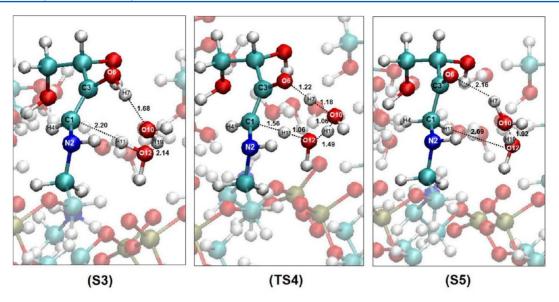


Figure 4. Mechanism of Amadori rearrangement from neutral PE/D-erythrose Schiff base: (S3) 1,2-enaminol intermediate; (TS4) transition state; (S5) Amadori product.

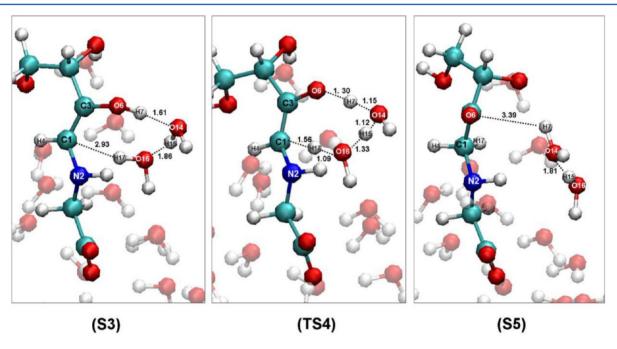


Figure 5. Mechanism of Amadori rearrangement from glycine/D-erythrose Schiff base: (S3) 1,2-enaminol intermediate; (TS4) transition state; (S5) Amadori product.

366 case PE suface model including a positive charged Schiff base 367 from D-erythrose/PE, there are also two interactions by cell, but 368 in this case, the intermolecular interactions with phosphate 369 groups are established, one with neutral amine group of the 370 accessory PE molecule partner and the other with the positive 371 charged Schiff base group. These interactions are shown 372 comparatively on PE surfaces in Figure 3, where it is shown 373 the transition states of the reaction with the neutral form of the 374 Schiff base (Figure 3A) and with positive charged form of the 375 Schiff base (Figure 3B) as starting points of the rearrangement. 376 On the surface is evidenced the electrostatic interactions of 377 oxygen atoms from phosphate groups with hydrogen atoms from 378 amine or Schiff base groups, the interactions between water 379 molecules and also proton transfers.

**Ketonization.** The next step in the reaction is the 380 ketonization of the 1,2-enaminol intermediates to their *N*-(1-381 deoxyketos-1-yl)-amine derivatives or Amadori products, which 382 involves the concerted protonation of the double bond between 383 C1 and C3 atoms and the removal of the enolic proton (H7 384 atom) from O6. Two water molecules through a hydrogen bond 385 wire make possible the proton transfer, acting at the same time as 386 an acid catalyst and a general base (S3 to S5 through TS4 in 387 Schemes 2–4 and Figures 4–6). The evolution of this step could 388 f4f5f6 be evidenced through the changes of the bond distances; not 389 only in the 1,2-enaminol intermediate but also in the chain of 390 water molecules that mediates the proton transfer. This step 391 additionally causes the formation of a carbonyl group between 392 C3 and O6 atoms.

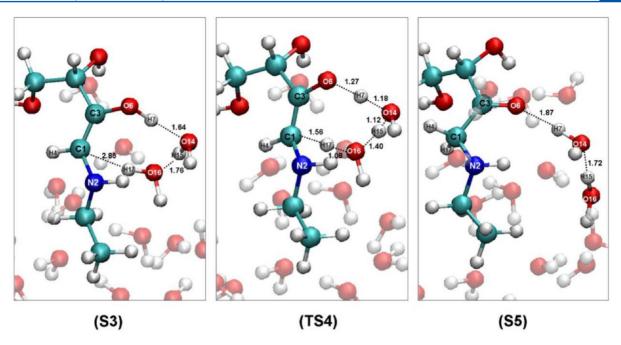


Figure 6. Mechanism of Amadori rearrangement from ethylamine/D-erythrose Schiff base: (S3) 1,2-enaminol intermediate; (TS4) transition state; (S5) Amadori product.

In the three studied reactions, water has a significant catalytic 394 effect because it can act both as a proton donor and as a proton 395 acceptor and thus mediates the intramolecular proton transfer that leads to the formation of Amadori product from 1,2-397 enaminol, supplying furthermore a bridge for proton relay. The 398 catalytic effect of explicit water molecules on the keto-enol tautomerism through a water-chain mechanism has been shown in keto-enol tautomerism in pyruvate, acetone, acetylacetone, and vinyl alcohol. 69-71 The theoretical work of Cucinotta et al. 70 about acetone tautomerism showed a drastic reduction of energy barrier (20 kcal mol<sup>-1</sup>) for this reaction when the system included 28 water molecules that participated collectively in the 406 reaction through a Grotthuss-like diffusion mechanism for the 407 proton.

The structural and energetic information of intermediate S3 of 409 all studied reactions correspond to 1,2-enaminol intermediates, 410 having water molecules in a conformation belonging to the ketonization step of the reaction. According to our results, the influenced PE surface in the reaction is translated in lower free energy values for S3 and TS4 in comparison, which were modeled in pure aqueous environment (Figure 2). 1,2-Enaminol intermediates (S3) are members of a group of compounds called 416 amino-reductones, most of them especially enaminol compounds, are unstable reaction intermediates and are difficult to 418 isolate, being their structural characteristics are still unclear. 12 419 However, in the case of 1,2-enaminol from PE/D-erythrose, its 420 stability is lower than its Schiff base and similar to its Amadori product,; it could be attributed to the formation of stable 421 422 hydrogen bonds with water molecules that connect polar groups 423 of this intermediate and phosphate groups around. In comparison to its Schiff base, the N2 atom from PE/D-erythrose 425 1,2-enaminol is protonated, stabilizing better these interactions. 426 As is possible to see in Figure 3, almost all the PE surface is 427 occupied by atoms from amine and phosphate groups, while the 428 hydrocarbon chains are driven toward inside in order to reduce 429 hydrocarbon-water contact.

PE phosphate groups have very low p $K_a$  values such as 0.5, <sup>64</sup> 430 having a low possibility to be a proton acceptor at physiological 431 values of pH. However, it is known to adsorb strongly water 432 molecules and interact with adjacent amine groups through 433 strong hydrogen bonds on the biological membrane surfaces, 434 which can greatly influence the biological surface chemistry. 435 Despite the lower hydration of PE (~9-10 waters/phospholi- 436 pids), in comparison to phosphatidylcholine (~23 waters/ 437 phospholipids), 73,74 in PE, water molecules can create hydrogenbonded bridges that connect positively charged NH<sub>3</sub> groups with 439 negatively charged oxygens attached to phosphorus, which 440 determine its packing, polarization, and the surface free energy of 441 the interphase. These water bridges serve as a glue that keeps 442 membrane surfaces together.<sup>74</sup> In our models, these bridges also 443 connect reactive groups of stationary points with the polar and 444 charged groups of the PE surface, stabilizing all the molecular 445 systems. Because of the periodic nature of the models, this 446 connection is extended along all the PE surface cell by cell 447 (Figures 1 and 3).

The influence of the PE surface is clearer in the case of 449 transition state TS4 in comparison to the transition states from 450 glycine/D-erythrose and ethylamine/D-erythrose molecular 451 systems, having an approximate relative free energy value of 11 452 kcal mol<sup>-1</sup> lower than the others (Figure 2). This neighboring 453 catalyst effect above PE surface is done through the different 454 charged and polar groups of the PE surface. One of the two water 455 molecules (H11-O12-H18) that participate in the proton 456 transfer interacts directly with the C1 reactive atom from PE/D- 457 erythrose 1,2-enaminol, and it also has a hydrogen bond with a 458 phosphate group of the other PE molecule. The phosphate group 459 through this interaction is able to polarize the water molecule, 460 favoring the release of a proton to the C1 atom. This clear 461 difference with the other studied reactions was not determined in 462 the before reaction step because the phosphate group in that case 463 establishes a hydrogen bond with a water molecule that does not 464 interact directly with any of the reactive atoms of the Schiff base 465 from PE/D-erythrose. This influence of the phospholipid surface 466

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on the studied reaction could also explain experimental evidence that the kinetics of lipid glycation is little faster than that of protein glycation. The effects of surfaces on this kind of reaction have been evidenced in a theorethical study about the adsorption and tautomerization reactions of acetone on acidic zeolites, where, because of the acidity of the zeolite and the framework confinement effect, the tautomerization of acetone proceeded through a much lower activation barrier than in the isolated gas phase or in the presence of water molecules alone. Summarizing, phosphate and amine groups from PE could

477 play three roles above the phospholipids surface: (i) accumu-478 lation of  $H_2O$  on the surface, resulting in increased local 479 concentrations; (ii) polarization of the water bonds as a result of 480 an interaction with the charged groups, for example, the 481 phopshate-bound water molecules tend to orient their dipoles 482 with their positive ends pointing toward the negative phosphates, 483 resulting in a net orientational polarization. This polarization 484 could facilitate the role of solvation water molecules as bridges in 485 the proton exchange between donor and acceptor protons in the 486 reaction; (iii) passive catalytic effect through a charge 487 stabilization of different intermediate structures of reaction, 488 due to direct electrostatic interactions with the positive charged 489 groups generated in the different steps of the reaction. 490 Additionally, amine groups could participate as donors or 491 acceptors in the proton transfers.

In the nonenzymatic glycosylation of hemoglobin, the 493 Amadori rearrangement takes a long time (days) in comparison 494 with Schiff base formation (hours). According to the work of Oak et al., obtaining Amadori-PEs from glucose and dioleoyl PE takes 15 days,<sup>76</sup> The formation of imines or Schiff base derivatives is considered reversible, 77 whereas the Amadori rearrangements are generally regarded as irreversible, although it is still unclear why this should be so. <sup>78,79</sup> By analyzing the values 500 for free energies of the stationary points of the pathways for Schiff 501 bases from glycine/D-erythrose and ethylamine/D-erythrose, this 502 irreversibility could be attributed to the greater stability of the 503 keto tautomer (Amadori product) in the equilibrium mixture 504 with its enol form, what makes more difficult its conversion to its 505 1,2-enaminol, having higher free energy barriers in the direction 506 Amadori product  $\rightarrow$  1,2-enaminol, with values of 24.34 and 29.84 507 kcal mol<sup>-1</sup> in the case of Amadori products from glycine/D-508 erythrose and ethylamine/D-erythrose, respectively (Table 1 and 509 Figure 2). This feature has been also verified in other molecular 510 systems such as acetone. 80,81 This could be explained by the 511 orientation of the CH<sub>2</sub> group (H4-C1-H11) in the Amadori 512 product, which disfavors formation of the C-C  $\pi$  bond of 1,2-513 enaminol (structure S5 in Figure 4). In the case of PE, the free energy barriers for this tautomerism in both directions have similar values, being in the direction 1,2-enaminol → Amadori product, 12.53 kcal mol<sup>-1</sup>, and in the contrary direction, 13.44 517 kcal mol<sup>-1</sup> (Table 1 and Figure 2). This similar free energy 518 barrier is also attributed to the influence of the PE surface, 519 stabilizing the 1,2-enaminol intermediate and the TS4 transition 520 state, how we explained in previous paragraphs.

# 521 CONCLUDING REMARKS

522 In summary, we have carried out a series of DFT calculus in order 523 to obtain mechanisms for Amadori rearrangements from neutral 524 Schiff bases, products of previous reactions between D-erythrose 525 and ethylamine, glycine, and PE. In all the studied reactions, they 526 take place in two steps, namely, (1) formation of a 1,2-enaminol 527 intermediate by imine—enamine tautomerism and (2) ketoniza-528 tion to the Amadori rearrangement product, having the last step clear lower values for relative free energies in the case of 529 stationary points from reaction above the PE surface. The most 530 important outcome of our study is highlighting the catalytic role 531 of the PE surface on the Amadori rearrangement reaction, which 532 proceeds above it. This catalytic effect is realized through PE 533 surface components as amine groups and phosphate groups, 534 which might enhance reaction forming hydrogen bonds with 535 water molecules of the hydrogen bond network and facilitate the 536 water molecule accumulation in the proximity of the PE surface; 537 amine groups could participate additionally as donors or 538 acceptors in the proton transfers. This study also gives a detailed 539 picture through theoretical calculus of the intermolecular 540 mechanism of the Amadori rearrangement having water 541 molecules and an active role facilitating proton transfer along 542 hydrogen-bonded chains in all the studied molecular systems. An 543 alternative pathway in the first step of Amadori rearrangement 544 above the PE surface, starting from positive charged Schiff base, 545 was also evaluated, obtaining values for the free energy barrier 546 similar to the step starting from the neutral Schiff base form.

# ASSOCIATED CONTENT

# S Supporting Information

Tables with structural information of different intermediates in 550 studied reactions. This material is available free of charge via the 551 Internet at http://pubs.acs.org. 552

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**Notes** 

The authors declare no competing financial interest.

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