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# Preparation and Lithium Storage Performances of Mesoporous Fe<sub>3</sub>O<sub>4</sub>@C Microcapsules

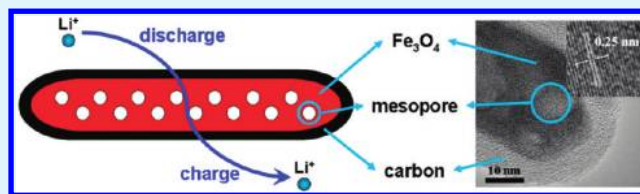
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## Supporting Information

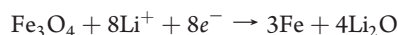
**ABSTRACT:** Fe<sub>3</sub>O<sub>4</sub>@C microcapsules were prepared using carbon-coated  $\alpha$ -FeOOH nanorods as precursors, which were synthesized via two-step hydrothermal reactions. During the subsequent sintering procedure,  $\alpha$ -FeOOH was reduced to Fe<sub>3</sub>O<sub>4</sub> by carbon, accompanied by the formation of mesopores. In Fe<sub>3</sub>O<sub>4</sub>@C microcapsules, mesoporous Fe<sub>3</sub>O<sub>4</sub> nanorods are coated with amorphous carbon layers. The Fe<sub>3</sub>O<sub>4</sub>/C composites with such special structures demonstrate high specific capacity and good cyclic stability as anode materials in Li test cells.

**KEYWORDS:** microcapsules, mesoporous materials, nanorods, Fe<sub>3</sub>O<sub>4</sub>, lithium ion batteries



## INTRODUCTION

The ever-growing demand for high-performance power sources has prompted tremendous research efforts toward developing new electrode materials for next-generation lithium-ion batteries (LIBs).<sup>1–3</sup> With unique characteristics, transition-metal oxides (such as Fe<sub>2</sub>O<sub>3</sub>, Fe<sub>3</sub>O<sub>4</sub>, Co<sub>3</sub>O<sub>4</sub>, and NiO) with various nanostructures have been extensively explored as LIB anode materials.<sup>4–12</sup> One of the promising oxides for use as a LIB anode material is magnetite (Fe<sub>3</sub>O<sub>4</sub>), which has high theoretical capacity ( $\sim 928 \text{ mAh g}^{-1}$ , which is  $\sim 3$  times that of conventional graphite), low cost, good stability, and environmental friendliness. Furthermore, Fe<sub>3</sub>O<sub>4</sub> is among the limited transition-metal oxides with high electronic conductivity, which is extraordinarily important for LIB materials.<sup>13,14</sup> However, there are still obstacles to using Fe<sub>3</sub>O<sub>4</sub> as a LIB anode material. First, the lithium storage mechanism in Fe<sub>3</sub>O<sub>4</sub> can be interpreted as



Thus, fundamental changes occur to the structure after the lithium inclusion. Second, the generated Fe nanograins ( $< 5 \text{ nm}$ ) with large specific surface have high catalytic activity in some irreversible reactions and cause poor capacity retention over extended cycles.<sup>2</sup> Lastly, the solid electrolyte interphase (SEI) film on Fe<sub>3</sub>O<sub>4</sub> anodes may be destroyed because of the large volume change, and the repeated formation/decomposition of the SEI film consumes the Li ions supplied by the cathodes and also leads to poor cyclic performance.<sup>15–17</sup>

An amazing technique to overcome the above-mentioned obstacles is to encapsulate the oxide core by a carbon shell, i.e., to synthesize core–shell nanoparticles. The carbon coating

significantly improves the electrochemical performance of active materials by providing high electronic conductivity, good lithium permeability, and flexible accommodation of volume change.<sup>18–22</sup> Carbon-coated Fe<sub>3</sub>O<sub>4</sub> nanorods, nanospindles, and nanowires have been synthesized and presented better electrochemical performance.<sup>9,23–26</sup> Mesoporous structure is another strategy for improving the electrochemical performance of oxide anode materials, which can buffer volume changes, to some extent, with the mesopores,<sup>27</sup> while the increased material/electrolyte interfaces, accompanied by the mesoporous materials, can raise the risk of side reactions.<sup>4</sup> Thus, an interesting idea arises: *what about skillfully combining a mesoporous structure and carbon encapsulation simultaneously in one material system?* The mesopore structure can supply a cushion space for the volume changes, and the carbon shell can protect the active materials from directly contacting with the electrolyte. In the usual way, the first step is to prepare the mesoporous materials and then coat the materials with carbon; however, the mesopores may be blocked or even filled by carbon. To avoid this result, here, we propose a special route, using  $\alpha$ -FeOOH nanorods coated with carbon layers as precursors, to prepare Fe<sub>3</sub>O<sub>4</sub>@C microcapsules, in which mesopores are generated during the sintering procedure after the carbon coating.

## EXPERIMENTAL SECTION

**Preparation of Fe<sub>3</sub>O<sub>4</sub>@C Microcapsules.** In a typical synthesis,  $\alpha$ -FeOOH was prepared using FeCl<sub>3</sub> · 6H<sub>2</sub>O and NaOH as

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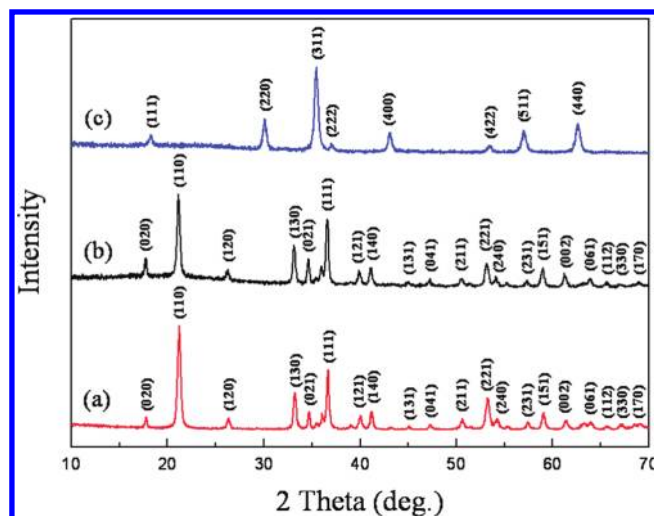
starting materials. Twenty-five milliliters of a distilled water solution containing 1.35 g of  $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$  was slowly added to 75 mL of a distilled water solution containing 1.00 g of NaOH with magnetic stirring for 30 min. The resulting solution was then transferred to a 100-mL Teflon-lined stainless steel autoclave and heated at 180 °C for 24 h. After the autoclave cooled, the sample was divided into two parts: one was dried in the oven at 100 °C for 12 h and further heated at 500 °C for 4 h in an argon atmosphere; the other (1.0 g of as-prepared  $\alpha\text{-FeOOH}$ ) was transferred to a clear solution containing 2.0 g of glucose dissolved in a solution containing 35 mL of deionized water and 10 mL of ethanol. The mixture was placed in a 50-mL Teflon-sealed autoclave, ultrasonically dispersed for 30 min, and maintained at 180 °C in a drying oven for 2 h. The product was centrifuged and washed with deionized water and absolute ethanol for at least five times and dried in an oven at 100 °C for 12 h. Finally, the samples were sintered at 500 °C for 4 h in flowing argon.

**Sample Characterization.** The samples were characterized by X-ray diffraction (XRD) (using a Rigaku D/Max III diffractometer with Cu K $\alpha$  radiation,  $\lambda = 1.5418 \text{ \AA}$ ), scanning electron microscopy (SEM) (using a FEI Nanosem 430 field-emission gun scanning electron microscope), transmission electron microscopy (TEM) (using a FEI Tecnai G<sup>2</sup>F-20 field-emission gun transmission electron microscope), energy-dispersive X-ray spectroscopy (EDS) (using a EDAX Genesis XM2 APEX 60 SEM X-ray energy-dispersive spectrometer), thermogravimetric/differential thermal analysis (TG-DTA) (using a Rigaku PTC-10A TG-DTA analyzer), and Raman spectrum (using a Renishaw inVia Raman spectrometer). The Brunauer–Emmett–Teller (BET) nitrogen physisorption experiments (mesoporous analysis) were carried out on a Quantachrome NOVA 2000e sorption analyzer. The specific surface areas ( $S_{\text{BET}}$ ) of the samples were calculated following the multipoint BET procedure. The pore-size distributions were determined using the Barrett–Joyner–Halenda (BJH) method.

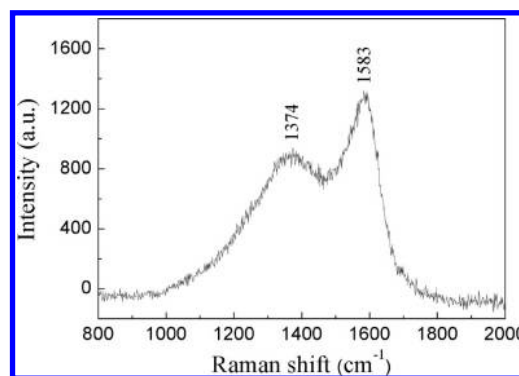
**Electrochemical Tests.** Electrochemical performances of the samples were evaluated in Li test cells. The working electrodes were comprised of active material, acetylene black, and polytetrafluoroethylene (PTFE) at a weight ratio of 15:4:1. Lithium metal was used as the counter electrode and the reference electrode. The electrolyte was 1 M  $\text{LiPF}_6$  dissolved in a 1:1:1 mixture of ethylene carbonate (EC), ethylene methyl carbonate (EMC), and dimethyl carbonate (DMC). The cells were assembled in a glovebox filled with high-purity argon. Discharge/charge measurements of the cells were performed at different current densities between the potentials of 0.01–3.00 V (vs  $\text{Li}^+/\text{Li}$ ) under a LAND–CT2001A battery tester. The specific capacity was calculated according to the corresponding active material in each electrode, i.e.,  $\text{Fe}_3\text{O}_4$  for  $\text{Fe}_3\text{O}_4@\text{C}$ .

## RESULTS AND DISCUSSION

**Structure and Morphology.**  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsules were prepared by sintering a hydrothermally obtained precursor in argon. For the precursor, all the XRD patterns can be indexed to orthorhombic  $\alpha\text{-FeOOH}$  (JCPDS Card No. 81-464) and no impurities are detected in the patterns (see Figure 1a). After the hydrothermal procedure, the XRD patterns do not change, except the higher background from 10° to 30° (see Figure 1b), which is due to the presence of amorphous carbon. When the above precursor was sintered at 500 °C for 4 h in flowing argon,



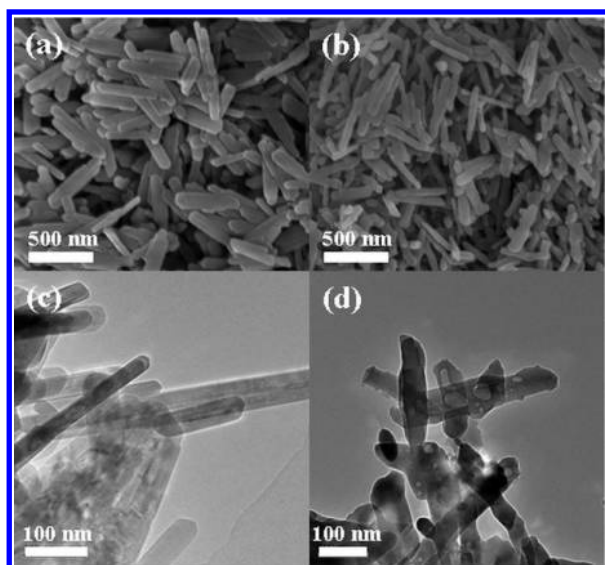
**Figure 1.** XRD patterns of  $\alpha\text{-FeOOH}$  (spectrum a),  $\alpha\text{-FeOOH}@\text{C}$  (spectrum b), and  $\text{Fe}_3\text{O}_4@\text{C}$  (spectrum c).



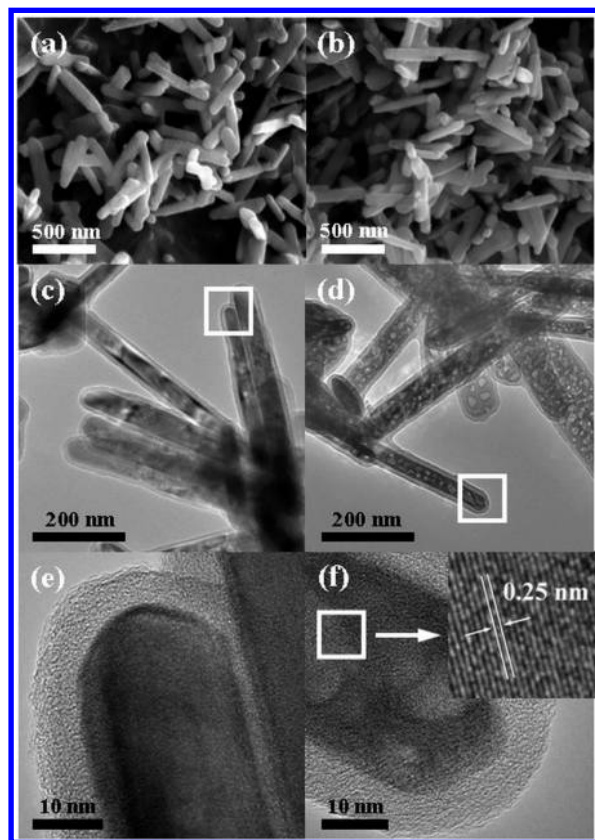
**Figure 2.** Raman spectrum of as-synthesized  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsules.

the final product is determined to be  $\text{Fe}_3\text{O}_4$  (JCPDS No. 19-629) (Figure 1c), and the EDS (shown in Figure S1 in the Supporting Information) illustrates that the product contains the elements iron, oxygen, and carbon, indicating the presence of carbon. Raman spectroscopy (Figure 2) also confirms the presence of carbon, with the “D” band appearing at  $\sim 1374 \text{ cm}^{-1}$  and the “G” band appearing at  $\sim 1583 \text{ cm}^{-1}$ . The peak intensity ratio between the D and G bands ( $I_{\text{D}}/I_{\text{G}}$ ) is used as an index to estimate the degree of crystallization of carbon materials; the ordering degree of carbon increases as the  $I_{\text{D}}/I_{\text{G}}$  value decreases. Here, the  $I_{\text{D}}/I_{\text{G}}$  ratio of 0.7 indicates that the carbon has low crystallinity. Thermogravimetric (TG) analysis (see Figure S2 in the Supporting Information) determines that the carbon content is  $\sim 32.8 \text{ wt } \%$ .

Typical SEM and TEM images provide insight into the morphology and structure of the samples. The  $\alpha\text{-FeOOH}$  precursors are nanorods (Figure 3a) 20–100 nm in diameter and 100–500 nm in length. As shown in Figures 4a and 4b, the nanorod morphology is well preserved after a hydrothermal carbonization procedure and subsequent heating treatment; no obvious distinction occurs to the dimension and size. However, comparing the TEM images (see Figures 4c and 4d), we can clearly observe many irregular pores with diameters of 5–20 nm in  $\text{Fe}_3\text{O}_4$  nanorods. However, the pore-size distribution curve obtained through the BJH method (Figure 5b) suggests that

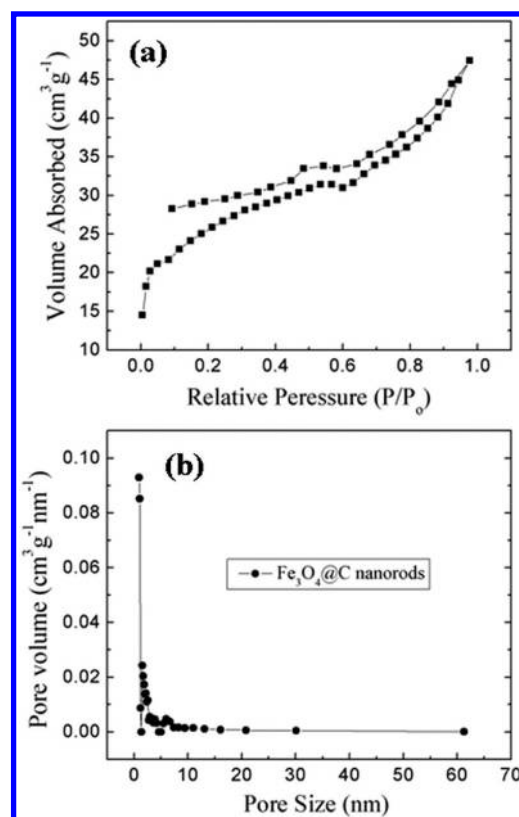


**Figure 3.** (Top) Typical SEM and (bottom) typical TEM images of  $\alpha$ -FeOOH nanorods (panels a and c) and  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanorods (panels b and d).



**Figure 4.** (Top) Typical SEM, (middle) TEM, and (bottom) HRTEM images of  $\alpha$ -FeOOH@C nanorods (panels a, c, and e) and mesoporous Fe<sub>3</sub>O<sub>4</sub>@C nanorods (panels b, d, and f).

most pores show the sizes of  $\sim 0.9$  nm, which is attributed to the surface carbon layers; therefore, the pores observed in the TEM images are located in internal part of Fe<sub>3</sub>O<sub>4</sub> cores, which are well-coated with carbon layers and cannot be detected through the N<sub>2</sub> physisorption measurements. The formation of mesopores is due to the removal of OH groups and the generation of CO<sub>2</sub>, when



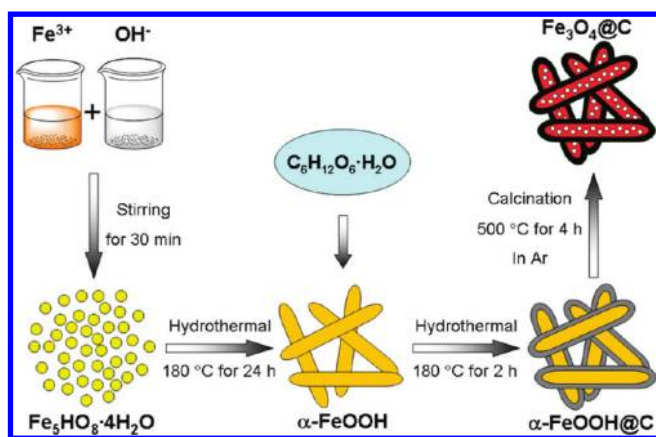
**Figure 5.** (a) N<sub>2</sub> adsorption–desorption isotherms and (b) pore-size distribution curves of Fe<sub>3</sub>O<sub>4</sub>@C microcapsules.

$\alpha$ -FeOOH is reduced to Fe<sub>3</sub>O<sub>4</sub> by carbon. The representative high-resolution transmission electron microscopy (HRTEM) image (Figure 4f) shows the clear and continuous lattice fringes, indicating that Fe<sub>3</sub>O<sub>4</sub> nanorods are highly crystalline single crystals. The lattice fringe pitch of 0.25 nm is magnified in the inset of Figure 4f and corresponds well with the *d*-spacing of the [311] reflections for Fe<sub>3</sub>O<sub>4</sub>, in agreement with the values of 2.532 Å obtained from the JCPDS database.<sup>28</sup> A uniform carbon layer with a thickness of  $\sim 8$  nm can be detected to coat the nanorods in the HRTEM images (Figures 4e and 4f) with no clear lattice fringe, indicating that the carbon is amorphous, which agrees well with the Raman spectrum (see Figure 2). The purpose of coating the nanorods with carbon is to reduce the risk of side reactions between active materials and the electrolyte, but not to shield the active materials from the outside environment. Accordingly, highly crystalline carbon increases the difficulty of lithium insertion and extraction, and low-crystallization amorphous carbon with many defects can allow Li ions to pass through carbon layers to react with inner Fe<sub>3</sub>O<sub>4</sub> nanorods.<sup>29</sup>

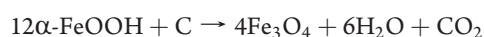
**Formation Mechanism.** The formation process of amorphous carbon-coated mesoporous Fe<sub>3</sub>O<sub>4</sub> nanorods involves four steps, as illustrated in Scheme 1. First, six-line ferrihydrite (Fe<sub>5</sub>HO<sub>8</sub>·4H<sub>2</sub>O) nanodots were generated when Fe<sup>3+</sup> was added to the excess-OH<sup>−</sup> solution, and then were converted to goethite nanodots with the prolonging of reaction time. Second, goethite nanodots aggregated and coarsened to goethite nanorods through the Ostwald ripening process.<sup>30</sup> The hydrothermal procedure at 180 °C for 24 h accelerated the aggregation and enhanced the crystallization and homogeneity of goethite nanorods. Third, goethite nanorods were coated with carbon for the carbonization of a glucose precursor via a hydrothermal process



Scheme 1. Illustration of the Formation Processes of  $\text{Fe}_3\text{O}_4@\text{C}$  Microcapsules



at 180 °C for 2 h. The surfaces of goethite nanorods with rich hydroxyl groups induced the in situ precipitation of carbonaceous units to form a uniform carbon shell.<sup>31</sup> Finally, carbon-coated  $\text{Fe}_3\text{O}_4$  nanorods with irregular mesopores were yielded after sintering at 500 °C for 4 h in argon; these resemble microcapsules composed of inner mesoporous  $\text{Fe}_3\text{O}_4$  nanorods and outer carbon encapsulation. The irregular mesopores formed from the removal of OH groups from  $\alpha\text{-FeOOH}$  and the release of gases when carbon reduced  $\text{Fe}^{3+}$  to form  $\text{Fe}_3\text{O}_4$ :



Without carbon, goethite was transformed to hematite ( $\alpha\text{-Fe}_2\text{O}_3$ ) (see Figure S3 in the Supporting Information) after heating at 500 °C for 4 h in argon, and the nanorod morphology was also maintained very well, but fewer irregular pores can be observed from the TEM image (see Figure S3d).

**Electrochemical Performances.** The electrochemical performances of as-prepared  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsules were tested in  $\text{Fe}_3\text{O}_4/\text{Li}$  half cells. Figure 6a shows the charge–discharge curves for various cycles between 0.01 V and 3.00 V at a constant current density of  $92.8 \text{ mA g}^{-1}$  (0.1 C). The first discharge displays a specific capacity of  $\sim 1540 \text{ mAh g}^{-1}$ , while the capacity decreases to  $1040 \text{ mAh g}^{-1}$  in the second discharge. Such result is attributed to the formation of the SEI film and further lithium consumption via interfacial reactions, because of the charge separation at the metal/ $\text{Li}_2\text{O}$  phase boundary.<sup>32</sup> The voltage plateau at  $\sim 0.75 \text{ V}$  in the first cycle is different from those of other cycles at  $\sim 1.0 \text{ V}$ , indicating that irreversible reactions occurred in the first cycle. However, the electrode exhibited a high specific capacity of  $1010 \text{ mAh g}^{-1}$  after 50 cycles, which is still higher than the theoretical value of  $\text{Fe}_3\text{O}_4$  ( $\sim 928 \text{ mAh g}^{-1}$ ). Similar results have been reported for many other transition-metal oxides.<sup>33,34</sup> Note that the final parts of the discharge curves are somewhat steep, which was also found in previous reports.<sup>23,24</sup> Steep discharge curves result from the nonequilibrium of two phases during electrochemical reactions. As for  $\text{Fe}_3\text{O}_4/\text{C}$  composites, extensive investigations are needed to completely elucidate the details.

The cyclic performances of  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsule electrodes at different current densities are shown in Figure 6b. The  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsules exhibit high retention efficiency with a stable specific capacity of  $1010 \text{ mAh g}^{-1}$  after 50 cycles at a

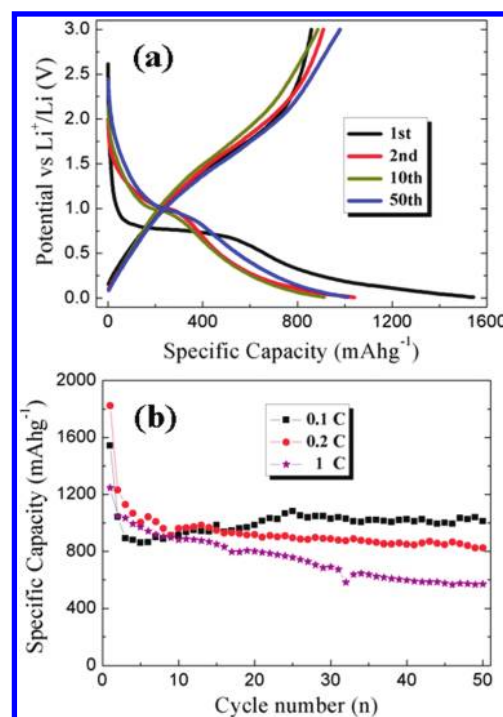
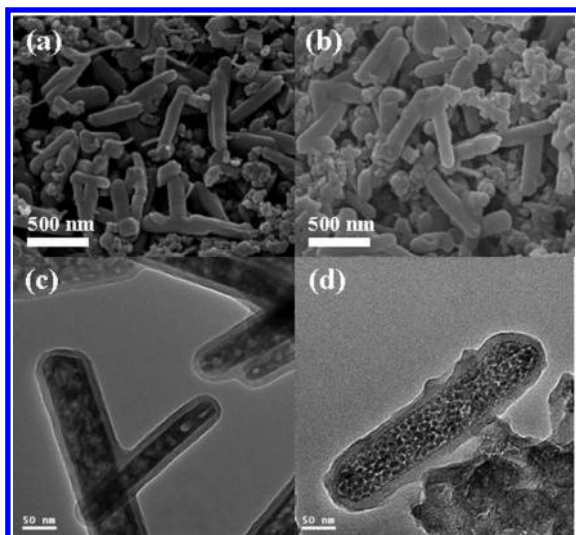


Figure 6. (a) Typical charge–discharge curves of  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsule electrodes at a current density of  $92.8 \text{ mA g}^{-1}$  (0.1 C) for various cycles. (b) Cyclic performances of  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsule electrode at different current densities of  $92.8 \text{ mA g}^{-1}$  (0.1 C),  $185.6 \text{ mA g}^{-1}$  (0.2 C), and  $928 \text{ mA g}^{-1}$  (1 C).

current density of  $92.8 \text{ mA g}^{-1}$ , whereas the capacity of as-prepared  $\alpha\text{-Fe}_2\text{O}_3$  nanorods without carbon encapsulation is only  $100 \text{ mAh g}^{-1}$  (see Figure S4 in the Supporting Information). The visible capacity growth from the 5th cycle to the 25th cycle is due to the activation and stabilization of electrode materials. The specific capacities degrade with increasing current densities, but the high capacities of  $800 \text{ mAh g}^{-1}$  at  $185.6 \text{ mA g}^{-1}$  (0.2 C) and  $600 \text{ mAh g}^{-1}$  at  $928 \text{ mA g}^{-1}$  (1 C) can be retained after 50 cycles. SEM and TEM images (see Figure 7) demonstrate that the microcapsule structure was still maintained after 50 charge/discharge cycles only with volume expansion. Here, note that the above specific capacities correspond to the contribution of only  $\text{Fe}_3\text{O}_4$ . Actually, carbon also provides electrochemical capacities to the anodes (see Figure S5 in the Supporting Information). The average specific capacities corresponding to both  $\text{Fe}_3\text{O}_4$  and C as active materials retain  $\sim 800 \text{ mAh g}^{-1}$  after 50 cycles at  $92.8 \text{ mA g}^{-1}$ . Compared to the same  $\text{Fe}_3\text{O}_4$ /carbon core–shell composites, except for the mesopores in  $\text{Fe}_3\text{O}_4$  cores,<sup>23,24</sup> the  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsule electrodes presented improved cyclic performances, probably due to the unique microstructures.

It is the coexistence of carbon encapsulation and mesopores in the proposed microcapsule-like structure that effectively improves the electrochemical performances of  $\text{Fe}_3\text{O}_4/\text{C}$  composites as LIB anode materials. The presence of amorphous carbon layers on the surface of  $\text{Fe}_3\text{O}_4$  nanorods reduces the risk of side reactions. The stable existence of a carbon shell can also restrict the volume variation of the electrode and avoid the pulverization of the electrode. The carbon layers with high electronic conductivity also act as efficient electrically conductive networks.<sup>35</sup> Moreover, the SEI film on the carbon shell can be



**Figure 7.** (Top) Typical SEM and (bottom) typical TEM images of  $\text{Fe}_3\text{O}_4@\text{C}$  microcapsules (a, c) before and (b, d) after 50 charge–discharge cycles at a current density of  $92.8 \text{ mA g}^{-1}$ .

maintained without decomposition during each charge/discharge process to consume the stored Li capacity.<sup>36,37</sup> The mesopores in the  $\text{Fe}_3\text{O}_4$  nanorods play an important role in relieving the impact of volume change of active materials by providing the space for the volume expansion and contraction, and releasing the stress on the carbon shells. Without the buffering effects of mesopores, the carbon shells would suffer severe volumetric changes and might be destroyed during high-rate long charge/discharge cycles. For practical applications, further efforts are needed to reduce the carbon coating content in the composites.

## CONCLUSION

In summary, we prepared microcapsule-like composites of mesoporous  $\text{Fe}_3\text{O}_4$  nanorods coated with amorphous carbon layers via two-step hydrothermal routes and a subsequent sintering process. The mesopores formed after the carbon coating procedure. When evaluated as anode materials for lithium-ion batteries (LIBs), the composites with the special structure displayed excellent electrochemical performances. The microcapsule concept of the combination of mesoporous core and carbon shell can be applied to other LIB materials.

## ASSOCIATED CONTENT

**Supporting Information.** EDS and TG analysis results of  $\text{Fe}_3\text{O}_4@\text{C}$  composites, XRD and electrochemical performances of  $\text{Fe}_2\text{O}_3$  nanorods, and cyclic performances of hydrothermally obtained carbon. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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