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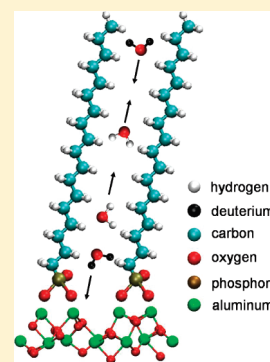
# Interface Chemistry and Molecular Interactions of Phosphonic Acid Self-Assembled Monolayers on Oxyhydroxide-Covered Aluminum in Humid Environments

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**ABSTRACT:** Barrier properties of self-assembled octadecylphosphonic acid (ODPA) monolayers on plasma-modified oxyhydroxide-covered aluminum surfaces were analyzed by means of in situ photoelastic modulated infrared reflection absorption spectroscopy (PM-IRRAS). The surface hydroxyl density prior to ODPA adsorption was increased by means of a low-temperature H<sub>2</sub>O-plasma treatment. Adsorption isotherms of H<sub>2</sub>O on ODPA self-assembled monolayer (SAM) modified surfaces in comparison to bare oxide covered aluminum surfaces showed that the ODPA SAM leads to a strongly reduced amount of adsorbed water based on the inability of water to form hydrogen bonds to the low-energy aliphatic surface. However, the ODPA SAM covered surfaces did not show a significant inhibition of the H<sub>2</sub>O/D<sub>2</sub>O isotope exchange reaction between the D<sub>2</sub>O gas phase and the hydroxyl groups of the aluminum oxyhydroxide film, as the interfacial layer between the ODPA SAM and the metal substrate, while the interfacial phosphonate group as well as the orientation of the SAM is not affected by the adsorption of water. It can be followed that the strong adhesion promoting and high corrosion resistances of organophosphonate monolayers on oxyhydroxide-covered aluminum is a result of the strong acid–base interaction of the phosphonate headgroup with the Al ions in the oxyhydroxide film, even in the presence of high interfacial water activity and the molecular interactions of the aliphatic chains. However, the barrier effect of such monolayers on the transport of water is negligible.



## 1. INTRODUCTION

The corrosion resistance and the adhesion of organic coatings and adhesives on oxyhydroxide-covered aluminum is of high importance and is mainly determined by the alloy composition, the surface chemistry of the alloy, and the composition of the polymeric film. To substitute traditional surface technologies, such as anodizing or conversion chemistry, adhesion-promoting, ultrathin films or even monomolecular layers like SAMs of organophosphonic acids have been investigated as new advanced interfacial layers for polymer-coated aluminum alloys.<sup>1–6</sup> Although the adhesion and interfacial corrosion protection of these adsorbed monolayers proved to be excellent, even in comparison to thicker conversion films, the barrier properties and the influence of water on the interface chemistry between the adsorbed organophosphonic acid and the oxide-covered substrate were not evaluated in detail.

Alexander et al.<sup>7</sup> showed that an aluminum surface is covered by a thin pseudoboehmite layer under atmospheric conditions. This layer consists of the two main phases  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> and  $\gamma$ -AlOOH. The hydroxide to oxide ratio can be characterized by means of high-resolution X-ray photoelectron spectroscopy (XPS) and appropriate curve fitting. It was observed that surface hydroxyl groups promote the adsorption of organophosphonates and that the adhesion of the phosphonate group is based on an acid–base interaction. The driving force is assumed to be the formation of a surface salt, as already described for long-chain

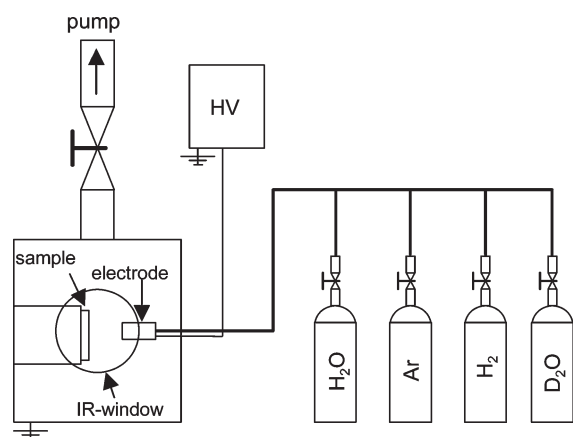
carboxylic acids by Allara et al.<sup>8</sup> and recently by Terryn et al.<sup>9,10</sup> Giza et al.<sup>11</sup> employed quartz crystal microweighing to investigate the effect of the surface hydroxylation on the adsorption rate of octadecylphosphonic acid (ODPA) on aluminum films. It was shown that the adsorption rate strongly depends on the hydroxyl density of the surface and can be directly influenced by a plasma modification of the oxyhydroxide film. Wapner et al.<sup>1</sup> applied water-soluble 1,3-aminopropylphosphonic acid (APPA) as short-chain adhesion-promoting molecules on aluminum alloy surfaces from dilute aqueous solutions and from a liquid adhesive film and showed excellent filiform corrosion resistance. Maege et al.<sup>3</sup> investigated the adsorption of amino-functionalized long-chain organophosphonic acids on pure aluminum substrates and have shown an equivalent corrosion protection and an improved adhesion to model coatings in comparison to chromated surfaces. Pahnke et al.<sup>2</sup> showed the effective coupling of benzophenone-containing organophosphonates to oxide-covered aluminum surfaces, which opens the way of adhesion promotion to organic layers via a photochemical reaction.

There have been many spectroscopic, microscopic, and theoretical studies of thin film interactions and molecular configurations at the bulk water/substrate interface.<sup>12–15</sup> However, the

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**Figure 1.** Schematic drawing of the experimental setup including plasma treatment and in situ PM-IRRAS.

microscopic details of how the water interacts with a polar surface covered with a hydrophobic monolayer is still not fully understood. Incorporation of water at the organic/oxide interface promotes wet delamination of organic layers which are bound to the surface via van der Waals (vdW) forces. It is of high interest to understand the origin of the high molecular adhesion forces of hydrophobic organophosphonate monolayers on oxide-covered surfaces, which might be based on the barrier properties for water or the water-resistant interfacial chemical bond.

Very recently, molecular interactions of ODPa with polar and unpolar single crystalline  $\alpha$ - $\text{Al}_2\text{O}_3$  surfaces and amorphous  $\text{Al}$ -oxyhydroxide films were studied.<sup>16</sup> These studies show that the molecular adhesion of phosphonic acid groups depends on the interatomic distances between the surface  $\text{Al}$  ions and the polarity of the surfaces.

In the present studies, the  $\text{D}_2\text{O}$  adsorption from humid atmosphere on oxide-covered aluminum films and on SAMs of ODPa was analyzed by means of in situ photoelastic modulated infrared reflection spectroscopy (PM-IRRAS) to spectroscopically study the barrier properties of self-assembled ODPa monolayers and the interface chemistry of the phosphonic acid groups in the presence of high water activities. Adsorption isotherms and isotope exchange reactions were measured to distinguish between the effects of surface energy, interfacial bonds, and barrier properties.

## 2. EXPERIMENTAL SECTION

**2.1. Sample Preparation.** Aluminum layers were deposited on silicon wafers [p-doped, Si(100), Si-Mat] by physical vapor deposition (PVD). The samples were coated with a 100 nm aluminum layer (Al 99.99%, Goodfellow GmbH) using thermal evaporation (Tectra GmbH). During the evaporation the layer thickness was monitored by a QCM (Tectra GmbH). Prior to film deposition the samples were thoroughly cleaned in a mixture of hydrogen peroxide (35%, Stockmeier Chemie GmbH & Co. KG) and ammonia (25%, Stockmeier Chemie GmbH & Co. KG) (1:1) for 60 min at 80 °C and afterward rinsed with deionized water and dried in a stream of nitrogen (5.0 by Air Liquid).

**2.2. Surface Chemistry.** The experimental setup for the plasma treatment and in situ PM-IRRAS is shown in Figure 1.

The vacuum cell includes two ZnSe windows to irradiate IR light from a spectrometer and one quartz glass window. The sample is placed vertically in the cell under a 80° geometry and fixed by a mask. Different sets of electrodes allow the generation of low-pressure remote and

direct plasma. A commercial high-voltage power supply (G2000, Red-line Technologies) was used to apply an alternating, pulsable, and adjustable voltage with a frequency of 36.1 kHz to one of the electrodes. In the case of direct plasma, the grounded sample functions as the second electrode. The base pressure of the chamber before flushing with the working gases was ensured to be in the range of  $10^{-3}$  mbar. The pressure of the corresponding gas atmosphere during all plasma modifications was adjusted to 0.3 mbar. Pure gases argon and hydrogen were used for the experiments, in the quality 5.0 by Air Liquid. A closed flask with a high-precision valve was filled with ultrapure water (respectively  $\text{D}_2\text{O}$ ) and was used for water (respectively  $\text{D}_2\text{O}$ ) plasma modification. For the adjustment of the water (respectively  $\text{D}_2\text{O}$ ) vapor atmosphere in the plasma chamber at 0.3 mbar, the water ( $\text{D}_2\text{O}$ ) partial pressure was used and the pumping system was throttled.

**2.3. Monolayer Preparation.** The ODPa monolayers on plasma-modified aluminum were prepared by means of a self-assembly process from ethanolic solutions under ambient conditions. Substrates were immersed into a 1 mM ethanolic (>99.9%, Berkel AHK Alkoholhandel GmbH & Co. KG) solution of ODPa (Alpha Aesar GmbH & Co. KG) for 24 h. After rinsing with ethanol for 2 min, the samples were dried in a stream of pure nitrogen.

**2.4. Surface Analysis.** For adsorption studies and plasma surface treatment, the plasma chamber is attached to a Vertex V70 spectrometer (Bruker Optik GmbH, Germany) to allow in situ spectroscopic analysis of the aluminum surface by means of PM-IRRAS. For photoelastic modulation, the incident IR-beam was p-polarized at an aluminum wire grid, modulated at 50 kHz with a ZnSe photoelastic modulator (PMA50, Bruker Optik GmbH), and hits the sample at 80° relative to the substrate surface normal. Light reflected from the sample was focused with a ZnSe lens onto a cryogenic mercury cadmium telluride (MCT) detector.

During plasma modification, spectra were recorded with a resolution of  $4\text{ cm}^{-1}$  and 1024 single scans. For water adsorption studies, a spectral resolution of  $8\text{ cm}^{-1}$  and 1024 scans (512 scans in the case of measurements at 0.3 mbar of  $\text{D}_2\text{O}$  pressure) was used to improve the signal-to-noise ratio.

**2.5. Adsorption Studies.** For adsorption studies the plasma chamber was attached to a custom-made gas flow control system consisting of two mass flow controllers (Mass Stream, Bronkhorst Mättig GmbH), three gas-washing bottles, and one humidity sensor (HygroFlex, Rotronic Messgeräte GmbH). With this system, the humidity within the vacuum chamber can easily be set to a relative humidity (RH) of 2%–90% and kept constant in the range of  $\pm 0.1\%$ .

Preliminary to every time-dependent adsorption experiment and measurement of the water adsorption isotherm, the sample was placed in the vacuum cell and exposed to a base pressure in the range of  $10^{-3}$  mbar for 15 min. Subsequently, the chamber was purged with dry synthetic air for a further 15 min and a reference spectrum was recorded.

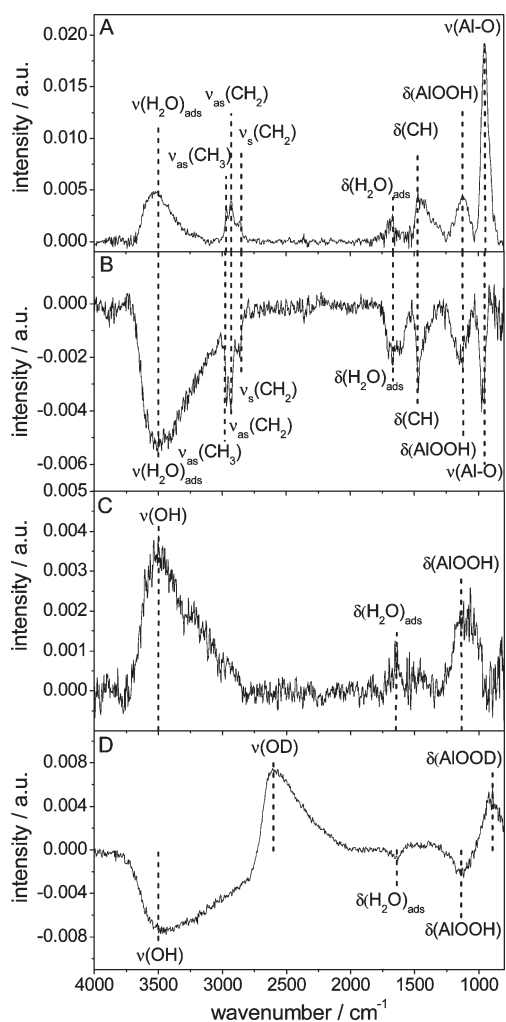
After the measurement of the reference spectrum, the humidity was increased to 75% and kept constant for time-dependent measurements. For the measurement of water adsorption isotherms, the humidity was stepwise increased from 10% to 80% RH.

## 3. RESULTS AND DISCUSSION

**3.1. Plasma Modification of Passive Films.** Native oxide aluminum grows according to the Cabrera–Mott mechanism.<sup>17</sup> During a plasma modification, adsorbed oxygen and hydroxide ions produced by electron-impact-induced chemistry at the interface between the oxide and the plasma volume induce a strong electric field across the oxide layer that drives ionic migration through the film. Depending on the specific mobility of the species, either aluminum ions move toward the oxide surface or oxygen ions toward the metal/oxide interface.

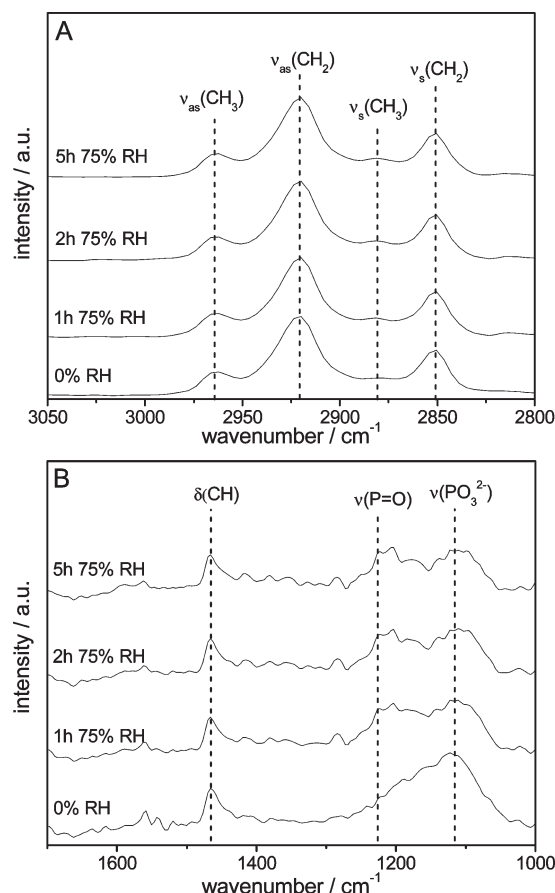
**Table 1. Relevant Parameters of the Different Plasma Treatment**

| gas composition   | partial pressure/mbar | treatment time/s | plasma voltage/V |
|-------------------|-----------------------|------------------|------------------|
| Ar/H <sub>2</sub> | 0.25/0.05             | 120              | 600              |
| H <sub>2</sub> O  | 0.3                   | 120              | 600              |
| D <sub>2</sub> O  | 0.3                   | 120              | 600              |

**Figure 2.** In-situ PM-IRRAS of an oxyhydroxide film covered aluminum surface (A) and after 120 s Ar/H<sub>2</sub>-plasma cleaning (B) (with the bare substrate as background). In-situ PM-IRRAS of the cleaned oxide-covered aluminum surface after a 120 s H<sub>2</sub>O-plasma modification (C) and after subsequent 120 s D<sub>2</sub>O-plasma modification (D).

The transport of electrons is considered to be independent of the ionic motion and fast in comparison to the ion transport.<sup>18</sup>

To achieve a carbon contamination free surface, each sample was initially cleaned in an Ar/H<sub>2</sub>-plasma. This cleaning step leads to a removal of residual organic contaminations and carbonates or organocarboxylates and a decrease of the amount of hydroxides within the native oxide layer.<sup>19,11</sup> In a second step, the hydroxyl density and the thickness of the oxyhydroxide layer was increased by means of H<sub>2</sub>O-plasma.<sup>11</sup> All plasma-relevant parameters are presented in Table 1.

**Figure 3.** PM-IRRAS spectra of an oxyhydroxide film covered aluminum surface after adsorption of an ODPa monolayer and during exposure to a humid atmosphere (75% RH) containing D<sub>2</sub>O (The spectrum of the bare substrate was subtracted from each ODPa spectra). Part A shows the spectral region of CH<sub>x</sub> vibration modes and part B shows the lower range of the spectra, including deformation vibration modes of CH and phosphonic acid signals.<sup>3,11,21</sup>

In Figure 2 the in situ PM-IRRAS data of an aluminum-coated silicon wafer surface before (A) and during plasma modification are shown. The difference spectrum of the surface after 120 s Ar/H<sub>2</sub>-plasma treatment (B) shows negative peaks and thereby the removal of hydroxyls, adsorbed water, and organic contaminations. The in situ PM-IRRAS data after a subsequent H<sub>2</sub>O-plasma treatment is presented in Figure 2 C. After the H<sub>2</sub>O-plasma treatment, the surface is enriched in hydroxyl groups, as indicated by the positive peaks at 3500 cm<sup>-1</sup> assigned to OH stretching mode and 1115 cm<sup>-1</sup> assigned to the AlOOH vibration mode. The latter peak increase can also hint at a slight increase in the passive film thickness due to the oxidative plasma.<sup>11</sup>

The small positive peak at 1645 cm<sup>-1</sup> assigned to the deformation vibration of adsorbed water indicates that after the water-plasma treatment more water molecules are adsorbed on the surface.

The spectrum after a subsequent D<sub>2</sub>O-plasma modification is shown in Figure 2 D to illustrate the spectral change after complete exchange of protons. The isotopic proton exchange reaction is clearly reflected by the decrease in the OH peak intensity at 3500 cm<sup>-1</sup> and the increase in the OD peak intensity at 2600 cm<sup>-1</sup>.

The spectral region between 3700 and 2800 cm<sup>-1</sup> includes the vibration modes of terminal hydroxyls, bridging hydroxyls,

**Table 2.** List of Relevant Peak Positions and Intensities of ODPA during Exposure to 75% Relative Humidity of D<sub>2</sub>O for 5 h

| time/<br>min | $\nu_{\text{as}}(\text{CH}_3)$ |                  | $\nu_{\text{as}}(\text{CH}_2)$ |                  | $\nu_{\text{s}}(\text{CH}_3)$ |                  | $\nu_{\text{s}}(\text{CH}_2)$ |                  | azimuth<br>angle/deg | $\delta(\text{CH})$ intensity/<br>au (1465 cm <sup>-1</sup> ) | PO <sub>3</sub> <sup>2-</sup> intensity/<br>au (1115 cm <sup>-1</sup> ) |
|--------------|--------------------------------|------------------|--------------------------------|------------------|-------------------------------|------------------|-------------------------------|------------------|----------------------|---|---|
|              | position/<br>cm <sup>-1</sup>  | intensity/<br>au | position/<br>cm <sup>-1</sup>  | intensity/<br>au | position/<br>cm <sup>-1</sup> | intensity/<br>au | position/<br>cm <sup>-1</sup> | intensity/<br>au |                      |   |   |
| 0            | 2962.4                         | 0.0066           | 2919.9                         | 0.0219           | 2879.2                        | 0.0049           | 2850.5                        | 0.0125           | 17.3                 | 0.0058  | 0.0088  |
| 5            | 2961.7                         | 0.0065           | 2920.3                         | 0.0215           | 2880.8                        | 0.0053           | 2850.8                        | 0.0129           | 17.4                 | 0.0053  | 0.0066  |
| 20           | 2961.7                         | 0.0063           | 2919.7                         | 0.0214           | 2880.8                        | 0.0051           | 2850.8                        | 0.0123           | 17.6                 | 0.0053  | 0.0057  |
| 40           | 2962.3                         | 0.0064           | 2920.3                         | 0.0217           | 2881.4                        | 0.0052           | 2850.8                        | 0.0126           | 17.5                 | 0.0053  | 0.0061  |
| 60           | 2961.7                         | 0.0065           | 2920.3                         | 0.0218           | 2881.4                        | 0.0052           | 2850.8                        | 0.0125           | 17.4                 | 0.0052  | 0.0061  |
| 90           | 2961.7                         | 0.0064           | 2919.7                         | 0.0218           | 2881.4                        | 0.0052           | 2850.8                        | 0.0123           | 17.6                 | 0.0055  | 0.0048  |
| 120          | 2961.7                         | 0.0063           | 2920.9                         | 0.0216           | 2880.8                        | 0.0053           | 2850.8                        | 0.0123           | 17.6                 | 0.0055  | 0.0054  |
| 150          | 2962.4                         | 0.0064           | 2920.3                         | 0.0215           | 2880.8                        | 0.0051           | 2850.8                        | 0.0121           | 17.4                 | 0.0053  | 0.0050  |
| 180          | 2962.4                         | 0.0063           | 2920.3                         | 0.0219           | 2881.4                        | 0.0051           | 2050.8                        | 0.0117           | 17.7                 | 0.0052  | 0.0057  |
| 240          | 2962.4                         | 0.0065           | 2920.3                         | 0.0220           | 2880.8                        | 0.0053           | 2050.8                        | 0.0119           | 17.4                 | 0.0055  | 0.0048  |
| 300          | 2962.4                         | 0.0065           | 2920.3                         | 0.0219           | 2880.2                        | 0.0052           | 2850.8                        | 0.0119           | 17.4                 | 0.0055  | 0.0047  |

**Table 3.** List of Relevant Peak Positions and Intensities of ODPA during Exposure to D<sub>2</sub>O Vapor (0.3 mbar) for 5 h

| time/<br>min | $\nu_{\text{as}}(\text{CH}_3)$ |                  | $\nu_{\text{as}}(\text{CH}_2)$ |                  | $\nu_{\text{s}}(\text{CH}_3)$ |                  | $\nu_{\text{s}}(\text{CH}_2)$ |                  | azimuth angle/<br>deg | PO <sub>3</sub> <sup>2-</sup> position/<br>cm <sup>-1</sup> | PO <sub>3</sub> <sup>2-</sup> intensity/<br>au |
|--------------|--------------------------------|------------------|--------------------------------|------------------|-------------------------------|------------------|-------------------------------|------------------|-----------------------|---|--|
|              | position/<br>cm <sup>-1</sup>  | intensity/<br>au | position/<br>cm <sup>-1</sup>  | intensity/<br>au | position/<br>cm <sup>-1</sup> | intensity/<br>au | position/<br>cm <sup>-1</sup> | intensity/<br>au |                       |   |  |
| 0            | 2966.1                         | 0.0052           | 2923.8                         | 0.0097           | 2877.5                        | 0.0035           | 2854.1                        | 0.0050           | 12.6                  | 1116.7  | 0.0056   |
| 10           | 2965.9                         | 0.0061           | 2923.7                         | 0.0106           | 2877.3                        | 0.0040           | 2853.9                        | 0.0054           | 12.0                  | 1112.2  | 0.0053   |
| 20           | 2966.3                         | 0.0051           | 2923.6                         | 0.0101           | 2877.3                        | 0.0041           | 2853.9                        | 0.0057           | 13.0                  | 1111.5  | 0.0048   |
| 30           | 2966.6                         | 0.0059           | 2923.6                         | 0.0106           | 2877.6                        | 0.0040           | 2853.9                        | 0.0051           | 12.3                  | 1114.5  | 0.0051   |
| 60           | 2965.8                         | 0.0051           | 2923.9                         | 0.0098           | 2877.5                        | 0.0034           | 2854.1                        | 0.0049           | 12.6                  | 1106.3  | 0.0049   |
| 90           | 2966.1                         | 0.0059           | 2923.7                         | 0.0099           | 2877.7                        | 0.0034           | 2854.0                        | 0.0052           | 13.0                  | 1113.7  | 0.0049   |
| 120          | 2966.3                         | 0.0049           | 2923.6                         | 0.0096           | 2877.4                        | 0.0037           | 2854.5                        | 0.0050           | 12.8                  | 1110.8  | 0.0053   |
| 150          | 2966.2                         | 0.0062           | 2923.5                         | 0.0106           | 2877.4                        | 0.0042           | 2854.3                        | 0.0053           | 11.9                  | 1107.8  | 0.0052   |
| 180          | 2966.2                         | 0.0063           | 2923.8                         | 0.0109           | 2877.8                        | 0.0044           | 2854.3                        | 0.0057           | 12.0                  | 1118.2  | 0.0054   |
| 210          | 2966.3                         | 0.0059           | 2923.6                         | 0.0108           | 2878.0                        | 0.0042           | 2854.0                        | 0.0054           | 12.4                  | 1103.3  | 0.0057   |
| 240          | 2966.1                         | 0.0054           | 2923.9                         | 0.0108           | 2877.4                        | 0.0036           | 2854.2                        | 0.0051           | 13.0                  | 1107.0  | 0.0052   |
| 270          | 2966.4                         | 0.0064           | 2923.5                         | 0.0111           | 2877.5                        | 0.0045           | 2854.2                        | 0.0056           | 12.0                  | 1121.9  | 0.0052   |
| 300          | 2966.2                         | 0.0066           | 2923.8                         | 0.0110           | 2877.5                        | 0.0045           | 2854.1                        | 0.0057           | 11.7                  | 1110.8  | 0.0047   |

hydrogen-bonded hydroxyls, and water (3200–3500 cm<sup>-1</sup>).<sup>9</sup> The fingerprint region between 800 and 1400 cm<sup>-1</sup> shows that the protons of the AIOOH layer were exchanged by deuterium ions, leading to the formation of a ALOOD layer.<sup>20</sup>

**3.2. PM-IRRAS Study of the ODPA SAM in D<sub>2</sub>O Atmospheres.** The adsorbed ODPA monolayers on oxide-covered aluminum were characterized before and during every adsorption study by means of in situ PM-IRRAS. In Figure 3 a selection of spectra obtained during the exposure of ODPA SAM covered aluminum at 75% relative humidity of D<sub>2</sub>O is shown.

Part A shows the peaks of CH<sub>2</sub> and CH<sub>3</sub> vibration modes before and their evolution during exposure to 75% RH of D<sub>2</sub>O. Part B includes the CH deformation vibration and the phosphonic acid signals. Peak intensities and positions are listed in Table 2 in detail. For the D<sub>2</sub>O-adsorption at reduced pressure, a detailed list of peak intensities and positions is given in Table 3.

According to the literature, octadecylphosphonic acid forms self-assembled and ordered monolayers on oxide-covered aluminum surfaces.<sup>3,11</sup> A useful parameter to characterize the ordering of the monolayer is the position of the methylene stretching modes, which usually shift to higher frequencies with

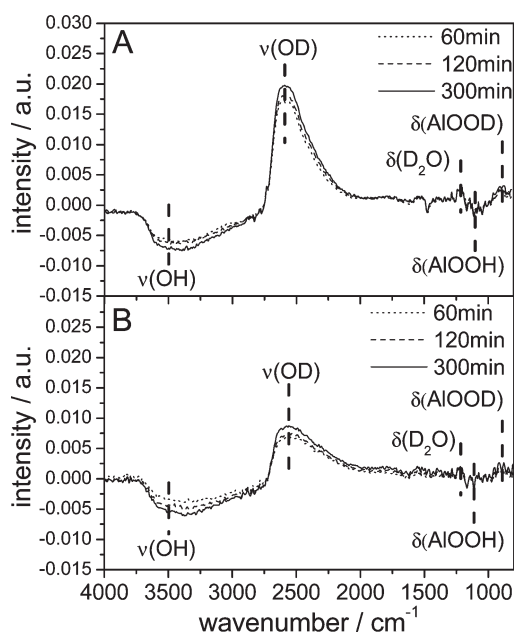
increasing conformational disorder of the alkyl chains.<sup>22</sup> It has been observed that an ordered aliphatic monolayer contains chains in all-trans configuration which are characterized by IR peak positions of  $\nu_{\text{as}}(\text{CH}_2)$  smaller than 2920 cm<sup>-1</sup> and  $\nu_{\text{s}}(\text{CH}_2)$  smaller than 2850 cm<sup>-1</sup>.<sup>23–26</sup> Therefore, it can be followed that ODPA has formed a well-ordered monolayer on oxide-covered aluminum under the here presented conditions.

To characterize the orientation of the monolayer, the ratio between CH<sub>3</sub> and CH<sub>2</sub> peak intensity can be utilized. For a perfectly rectangular adsorbed molecule no intensity would be expected for the CH<sub>2</sub> groups, because of the missing transition dipole moment in the direction normal to the investigated surface. A geometrical estimation for the calculation of the azimuth angle is<sup>27</sup>

$$\frac{I_{\text{CH}_2}}{I_{\text{CH}_3}} = \frac{2n \cdot \cos^2(90^\circ - \alpha)}{3 \cdot \cos^2(35^\circ - \alpha)}$$

where  $I_i$  is the intensity of the according CH valence vibration bands,  $n$  is the number of methylene groups in the alkyl chain, and  $\alpha$  is the angle between the alkyl chain axis and the surface normal. These calculated azimuth angles are listed in Tables 2 and 3.





**Figure 4.** PM-IRRA difference spectra of oxyhydroxide-covered aluminum (A) and oxyhydroxide-covered aluminum with an adsorbed ODPA self-assembled monolayer (B) after exposure to a relative humidity of 75% in a D<sub>2</sub>O atmosphere. Spectra were subtracted by the respective sample spectra in a dry atmosphere.

Prior to exposure to the humid environment, the corresponding tilt angle was 17.3° with respect to the surface normal.

After an increase of the relative humidity to 75% RH at ambient pressure, no significant change in the azimuth angle was observed. The azimuth angle of  $17.5 \pm 0.2^\circ$  stayed constant over the time of exposure.

Moreover, no change in the peak positions of the asymmetric and symmetric stretching vibrations of the methylene and methyl groups were observed, indicating that no disorder takes place during adsorption of D<sub>2</sub>O.

**3.3. Proton Exchange Mechanisms and Kinetics during D<sub>2</sub>O Adsorption.** *3.3.1. Proton Exchange at Ambient Pressure.* Figures 4 and 5 show the comparison of the kinetics of D<sub>2</sub>O adsorption as measured by means of in situ PM-IRRAS on oxyhydroxide-covered aluminum with and without an adsorbed ODPA SAM.

Prior to the measurements of the D<sub>2</sub>O adsorption, the chamber was evacuated to  $10^{-3}$  mbar for 15 min and purged with dry synthetic air, leading to a measured relative humidity of less than 2%. After the measurement of the reference spectrum, the relative humidity was increased to 75% and kept constant during the measurement.

The process can be assumed to consist of the following steps:

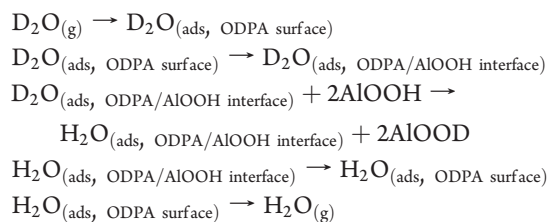
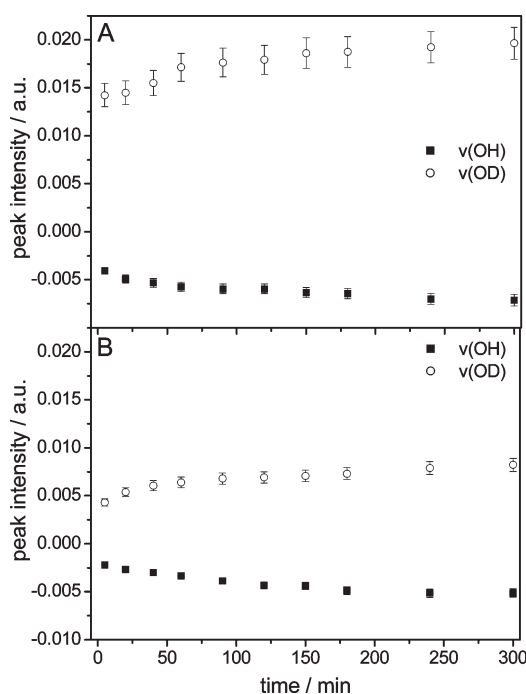


Figure 4 A shows the resulting PM-IRRA difference spectra as recorded for the bare oxyhydroxide-covered aluminum



**Figure 5.** Illustration of the time-dependent change of OH and OD peak intensity of bare (A) and ODPA-coated surface (B) during exposure to 75% relative humidity of D<sub>2</sub>O.

sample. As the two relevant processes, the adsorption of D<sub>2</sub>O mono- or multilayer and the isotopic proton exchange within the oxyhydroxide layer take place. The exchange of protons is indicated by a reduction of peak intensity at 3500 and 1115 cm<sup>-1</sup>, assigned to the OH and AIOOH vibration modes, and the simultaneous increase in peak intensity at 2600 and 886 cm<sup>-1</sup>, assigned to OD and AIOOD vibration modes.<sup>20</sup>

Moreover, the intensity of the arising OD peak was observed to be larger than the negative OH peak. In combination with the recorded signal for the D<sub>2</sub>O deformation vibration (1200 cm<sup>-1</sup>), a formation of a D<sub>2</sub>O layer can be assumed.

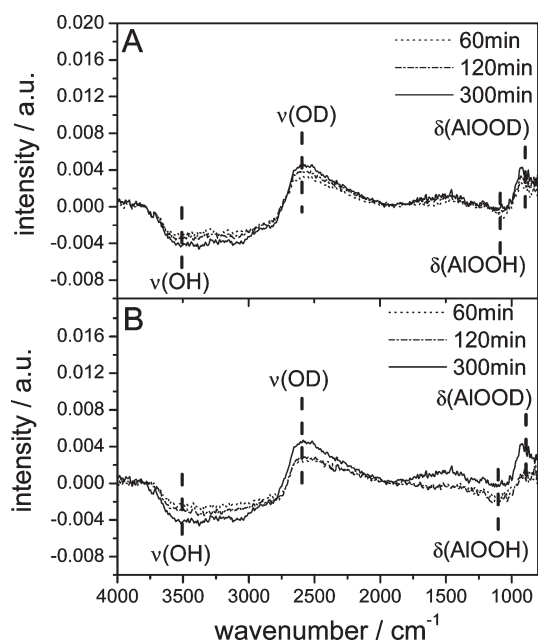
An illustration of the evolution of OH and OD peaks is shown in Figure 5 A. After a strong initial change, the positive and negative peak intensities reached a limiting value.

Very similar results were observed for the ODPA-covered oxyhydroxide surface (Figures 4 B and Figure 5 B). However, in the case of the adsorbed ODPA SAM, the OH and OD peak intensities were observed to be smaller, indicating mainly a reduction in adsorbed D<sub>2</sub>O equilibrium layer thickness. However, the kinetics of the proton exchange reaction was not significantly slowed down.

*3.3.2. Proton Exchange at Reduced Pressure.* In order to study the proton exchange kinetics without the parallel formation of an adsorbed multilayer water film, a similar study was performed under reduced D<sub>2</sub>O partial pressure of 0.3 mbar.<sup>28</sup>

In Figure 6, the observed difference spectra are shown. The comparison of the development of the OD and OH peak intensities is shown in Figure 7.

Since no D<sub>2</sub>O deformation vibration modes were detected, it can be assumed that for both surfaces at 0.3 mbar D<sub>2</sub>O the approximate amount of 0.5 monolayers<sup>29</sup> of water was below the detection limit and that the isotopic proton exchange reaction dominated the spectra.



**Figure 6.** PM-IRRAS difference spectra of oxyhydroxide-covered aluminum (A) and oxyhydroxide-covered aluminum with an adsorbed ODPA SAM (B) during exposure to D<sub>2</sub>O vapor at 0.3 mbar pressure. Spectra were subtracted by the respective sample spectra under an argon atmosphere (0.3 mbar).

For the adsorption at 0.3 mbar, the difference in the observed spectra illustrating the proton exchange was even less for the two surface chemistries than in the case of the ambient pressure. The ODPA SAM did not significantly inhibit the isotopic proton exchange reaction, considering that the exact hydroxyl amount after the adsorption of ODPA on the surface is not well-known. At least only a little delay in the isotopic proton exchange reaction was observed. However, the constant signals and peak positions of the CH and phosphonate peaks (see Table 3) show that D<sub>2</sub>O adsorption and isotopic exchange reactions do not lead to any change in the ordering or the interfacial binding of the organophosphonate SAM.

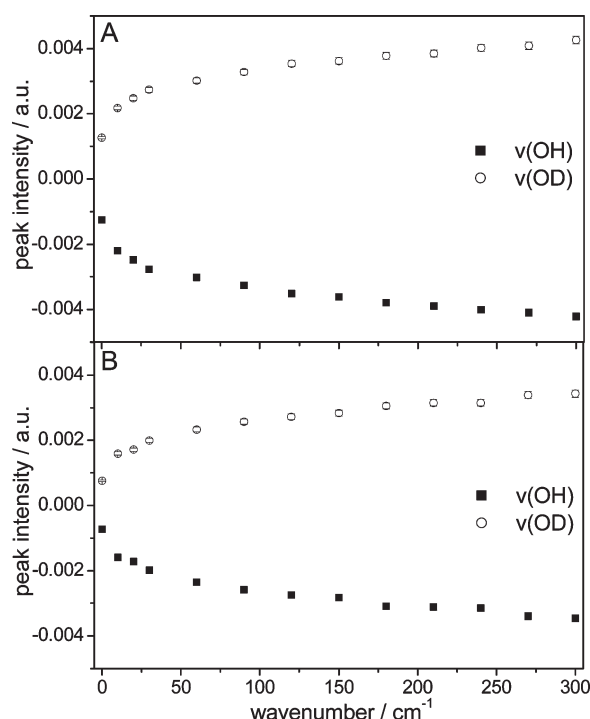
Thus, the rate-determining step in the overall proton exchange reaction is not the transport of D<sub>2</sub>O molecules through the monolayer but the chemical reaction within the oxyhydroxide films.

### 3.4. Measurement of a Water Adsorption Isotherm.

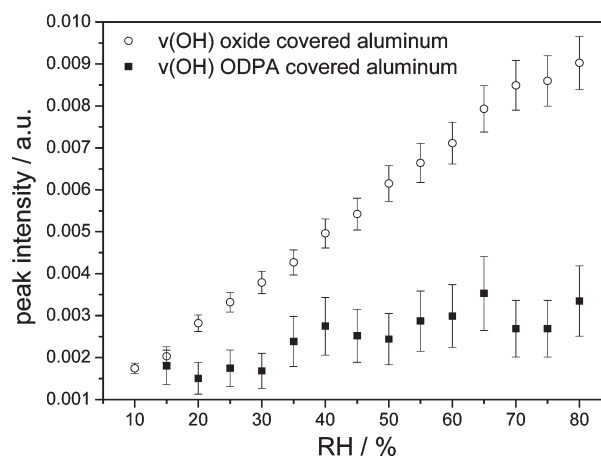
Figure 8 shows the comparison of the adsorption isotherms of H<sub>2</sub>O measured by means of PM-IRRAS on oxyhydroxide-covered aluminum and on oxyhydroxide-covered aluminum with an adsorbed ODPA SAM.

Prior to the measurements, the chamber was purged with dry air, leading to a measured relative humidity of less than 2%. After the measurement of a reference spectrum, the relative humidity was increased step by step from 10% to 80%, and spectroscopic measurements were done for each step.

For the bare surface an almost linear increase of OH peak intensity was found over the range of studied relative humidity. In the case of an ODPA-covered surface, a significantly smaller increase of the OH peak intensity was observed. The reasons for the reduced adsorption of H<sub>2</sub>O are the strongly reduced interaction energies between H<sub>2</sub>O and the CH<sub>3</sub>-terminated ODPA SAM. No hydrogen bonds as in the case of the oxyhydroxide-covered aluminum surface can be formed in this case.



**Figure 7.** Illustration of the time-dependent change of OH and OD peak intensity of bare (A) and ODPA-coated surface (B) during exposure to D<sub>2</sub>O vapor (0.3 mbar).



**Figure 8.** Illustration of the adsorption isotherms of H<sub>2</sub>O on an oxide-covered aluminum surface and a surface covered with an ODPA SAM.

## 4. CONCLUSIONS

Well-ordered ODPA SAMs could be adsorbed on plasma-activated passive-film-covered Al-surfaces. The corresponding PM-IRRAS data of the ODPA SAMs showed the characteristic peak for a deprotonated phosphonate group. The missing P—O—H stretching modes and the presence of the PO<sub>3</sub><sup>2-</sup> stretching mode at 1115 cm<sup>-1</sup> in the spectrum indicate formation of a bidentate binding to the amorphous oxide surface.<sup>16</sup>

During the adsorption of water no changes in the interfacial binding mechanism and the ordering of the alkyl chains were observed, as proven by in situ PM-IRRAS measurements. The in situ PM-IRRAS measurements allowed a detailed evaluation of

the barrier properties of ODPA SAMs and the influence on the H<sub>2</sub>O adsorption isotherm. Both aspects are of high relevance for adhesion phenomena related to organophosphonates.

Concerning the amount of water that is adsorbed on the surface, PM-IRRAS measurements revealed a significant decrease of the slope of the H<sub>2</sub>O adsorption isotherms on oxide-covered aluminum induced by the adsorbed ODPA SAM. This behavior could be explained by the low surface energy of the well-ordered ODPA film and the negligible polar component of its surface energy. In situ PM-IRRAS measurements proved the self-assembly of the ODPA monolayer.

However, it could be shown that a proton exchange occurs within the AlOOH layer at the interface between the ODPA SAM and the Al metal substrate. The kinetics of this process are similar to those for the uncovered passive-film-coated Al substrate.

However, even though the interfacial water activity is increased in the neighborhood of the adsorbed phosphonate headgroup, no protonation and no desorption of the phosphonate group was observed. This proves that the stability of organophosphonates on oxide-covered aluminum surfaces is based on the high interfacial bond strength based on the bidentate binding and on the molecular van der Waals interactions of the alkyl chains, but not on the barrier properties of the film.

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