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# Effect of Human Immunodeficiency Virus Type 1 (HIV-1) Nucleocapsid Protein on HIV-1 Reverse Transcriptase Activity *in Vitro*<sup>†</sup>

Xiaodong Ji,<sup>‡</sup> George J. Klarmann,<sup>‡</sup> and Bradley D. Preston\*

Departments of Biochemistry and Radiation Oncology, Eccles Institute of Human Genetics, University of Utah, Salt Lake City, Utah 84112, and Laboratory for Cancer Research, Rutgers University, Piscataway, New Jersey 08854

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ABSTRACT: Conversion of human immunodeficiency virus type 1 (HIV-1) genomic RNA to viral DNA is a requisite step in the virus life cycle. This conversion is catalyzed by reverse transcriptase (RT) associated with a large nucleoprotein complex composed of several viral proteins including nucleocapsid (NC). To better characterize the biochemical mechanisms of viral DNA synthesis, we overexpressed and purified recombinant HIV-1 NC and studied its effect on the activity and processivity of HIV-1 RT during polymerization of HIV-1 template sequences *in vitro*. The effect of NC on steady-state RT activity was dependent on the order of addition of reaction components. Addition of NC prior to formation of RT—primer template—dNTP ternary complexes inhibited primer extension and reduced total product yields by slowing steady-state RT turnover. In contrast, addition of NC to preformed ternary complexes resulted in efficient primer extension and increased RT processivity at specific DNA template sites. NC stimulated polymerization (2—4 times) through eight of 13 sites examined in the cRRE region of HIV-1 *env* and increased the rate of polymerization through the D3/CTS region of HIV-1 *pol* 10 times. The data suggest that NC affects RT processivity by facilitating polymerization through regions of template secondary structure. Thus, NC functions as a single-strand binding (SSB)-like accessory replication factor for RT *in vitro* and may be part of a multicomponent retroviral replication complex.

Retroviral replication requires the conversion of singlestranded viral RNA to double-stranded DNA (Coffin, 1990). This conversion involves a series of complex DNA polymerization steps on both RNA and DNA templates and is thought to occur within a cytoplasmic nucleoprotein complex derived, at least in part, from the virion core. Reverse transcriptase (RT)<sup>1</sup> and integrase (IN) are required for the synthesis and subsequent chromosomal integration of viral DNA (Katz & Skalka, 1994), and these proteins are found associated with viral RNA/DNA preintegration complexes isolated from the cytoplasm of infected cells (Bowerman et al., 1989; Bukrinsky et al., 1993; Farnet & Haseltine, 1991; Lee & Coffin, 1991). In addition, other viral proteins are bound to viral preintegration complexes, including matrix (MA), nucleocapsid (NC), capsid (CA), p6, and Vpr (Bowerman et al., 1989; Bukrinsky et al., 1993; M. Stevenson

and M. Bukrinsky, personal communication). Thus, the conversion of viral RNA to DNA likely occurs in association with catalytic (RT and IN) as well as other (MA, NC, CA, p6, and Vpr) viral proteins.

Studies in cell-free systems indicate that retroviral DNA synthesis is biochemically complex. The synthesis of fulllength viral DNA in vitro has been achieved only in permeabilized virions (i.e., "endogenous" RT reactions; Borroto-Esoda & Boone, 1991, and references therein) or starting with partially purified ribonucleoprotein complexes prepared from virions (Chen et al., 1980). However, these cell-free systems are very sensitive to small changes in reaction conditions (particularly ionic strength and detergent concentration; Borroto-Esoda & Boone, 1991) and result in low yields of full-length viral DNA, suggesting that the viral replication machinery is labile and/or requires additional replication factors. Studies with purified RTs in vitro show that both RNA and DNA templates are copied with low processivity ( $\sim$ 10–300 nucleotides; Klarmann et al., 1993, and references therein) at fidelities significantly lower than those observed in infected cells in culture (Varela-Echavarría et al., 1992) and with very low efficiency (Collett & Faras, 1977). This failure to reconstitute viral DNA synthesis using purified RT alone also suggests that additional replication factors and/or unique structural elements are required for efficient viral DNA synthesis in vivo.

Several studies have shown that both viral and cellular proteins stimulate the overall activity of purified retroviral RTs *in vitro*. CA from murine leukemia virus (Bandyopadhyay, 1977; Bandyopadhyay & Levy, 1978), NC from avian myeloblastosis virus (Sykora & Moelling, 1981), and the p15 NC precursor from HIV-1 (Volkmann et al., 1993) all increase the activities of their cognate RTs in conventional

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<sup>\*</sup>To whom correspondence should be addressed at the present address: Program in Human Molecular Biology and Genetics, Eccles Institute of Human Genetics, University of Utah, Salt Lake City, UT 84112. Tel.: (801) 585-6342. FAX: (801) 585-3501. E-mail: bpreston@corona.med.utah.edu.

<sup>&</sup>lt;sup>‡</sup> These authors contributed equally to this paper.

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<sup>&</sup>lt;sup>1</sup> Abbreviations: bp, base pairs; CA, capsid protein; cPPT, central polypurine tract; cRRE, complement of the Rev response element; CTS, central termination sequence; DTT, dithiothreitol; HIV-1, human immunodeficiency virus type 1; IPTG, isopropyl 1-thio-β-D-galactopyranoside; IN, integrase; MA, matrix protein; NC, nucleocapsid protein; NC<sub>55</sub>, fully mature HIV-1 NC, 55 amino acids in length; *n*-mer, synthetic DNA oligomer, "*n*" nucleotides in length; nt, nucleotide; PEI, polyethylenimine; PCR, polymerase chain reaction; RT, reverse transcriptase; SSB, single-strand binding protein.

DNA polymerization assays in vitro. Unidentified viral and cellular proteins (Das et al., 1976; Hung & Lee, 1976; Lee & Hung, 1977; Leis & Hurwitz, 1972; Padhy et al., 1976) as well as cellular topoisomerase I (Takahashi et al., 1995) have also been shown to stimulate RT activities in vitro. Although the mechanisms of these stimulatory effects are not known, these studies show that retroviral RTs, like other replicative DNA polymerases (Kornberg & Baker, 1992), respond to and probably function in coordination with accessory replication factors during DNA synthesis.

NC is an abundant viral core protein (~2,000-3,000 molecules per virion; Henderson et al., 1992) that is processed from Gag precursor peptides in the virion prior to cellular infection and initiation of reverse transcription. NC binds to and coats the genome in free virus particles (Chen et al., 1980) and is associated with viral DNA preintegration complexes in infected cells (M. Stevenson and M. Bukrinsky, personal communication). Studies in vitro show that NC proteins bind nucleic acids with high affinity, low to moderate cooperativity, defined stoichiometry, no strong sequence specificity, and with a preference for single strands (Karpel et al., 1987; Khan & Giedroc, 1992; Surovoy et al., 1993; You & McHenry, 1993, and references therein). Moreover, retroviral NCs facilitate denaturation and renaturation of nucleic acids (Allain et al., 1994; Dib-Hajj et al., 1993; Khan & Giedroc, 1992; Peliska et al., 1994; Rodríguez-Rodríguez et al., 1995; Tsuchihashi & Brown, 1994; You & McHenry, 1994). The abundance and location of NC in vivo and its properties in vitro implicate this protein as a factor affecting viral DNA synthesis. A role for NC in viral DNA synthesis is also indicated from the phenotypes of viruses mutated in NC and from studies using specific inhibitors in culture (Gorelick et al., 1988, 1993; Méric & Goff, 1989; Nagy et al., 1994; Rice et al., 1993).

To better understand the biochemical mechanisms of viral DNA synthesis, we purified recombinant human immunodeficiency virus type 1 (HIV-1) NC protein in its fully mature 55-amino acid form (designated here as NC<sub>55</sub>)<sup>2</sup> and studied its effects on the activity and processivity of HIV-1 RT in vitro. We show that the effect of NC<sub>55</sub> on DNA synthesis is dependent on the order of addition of reaction components and that, when added to preformed RT-primer templatedNTP ternary complexes, NC55 increases HIV-1 RT processivity by facilitating polymerization through regions of template secondary structure. These data, together with those of others, suggest that retroviral NCs may function as singlestrand binding (SSB)-like accessory proteins during viral DNA synthesis.

## MATERIALS AND METHODS

Materials. Recombinant HIV-1 RT (p66/p51 heterodimer) and HIV-1 [5'-32P]primer templates were prepared as described (Klarmann et al., 1993). pNL4-3 was kindly provided by Arnold Rabson (New Jersey Center for Advanced Biotechnology and Medicine, Piscataway, NJ).

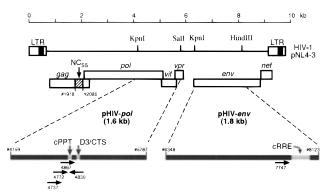


Figure 1: Schematic of the  $HIV-1_{NL4-3}$  proviral genome. The locations of the NC<sub>55</sub> coding sequence (nucleotides 1918–2085; striped box) and the KpnI/SalI (nucleotides 4159-5187) and KpnI/ HindIII (nucleotides 6348-8123) fragments used to obtain pHIVpol and pHIV-env are indicated. HIV-1 plus-strand RNA and minusstrand DNA templates were generated from these subclones as previously described (Klarmann et al., 1993). The DNA oligonucleotide primers used in this study (numbered according to the position of the 3'-terminal nucleotide in HIV-1<sub>NL4-3</sub> proviral DNA; Klarmann et al., 1993) are indicated by horizontal lines (not to scale) with arrows positioned in approximate relation to the template elements cPPT, D3/CTS, and cRRE. Arrows pointing left and right indicate primers hybridizing to the plus-strand RNA and minusstrand DNA templates, respectively. For simplicity, the tat, rev, tev, and vpu HIV-1 genes are not shown.

pGEM-3zf(+) and pKK223-3 were obtained from Promega and Pharmacia LKB Biotechnology Inc., respectively. Ultrapure 2'-deoxyribonucleoside 5'-triphosphates (dNTPs) were from Pharmacia LKB Biotechnology Inc. All other reagents were of the highest available grade from Fisher Scientific or Gibco BRL.

HIV-1 RT active sites were determined by titrating RT (10 nM) with primer template (1-100 nM) essentially as described (Kati et al., 1992) except a rapid quench apparatus was not used and burst amplitudes were measured in the presence of poly(rA) $\cdot$ oligo(dT)<sub>12-18</sub> (0.05 mg/mL) to restrict enzyme turnover to a single cycle (Klarmann et al., 1993). This analysis yielded a  $K_{\text{d(primer+template)}} = 14 \pm 2.6 \text{ nM}$  and showed that  $\sim$ 33% of the HIV-1 RT p66/p51 heterodimers in our preparation were catalytically active. Similar values are reported by others (Kati et al., 1992).

Overexpression and Purification of Recombinant HIV-1 NC<sub>55</sub>. The NC<sub>55</sub> coding sequence (nucleotides 1918–2085) from the infectious HIV-1<sub>NL4-3</sub> clone (Adachi et al., 1986; Figure 1) was subcloned into the expression vector pET-3a (Novagen) using a polymerase chain reaction (PCR)-based strategy. PCR primers were designed to contain an NdeI site (underlined) and start codon (bold) in the 5'-primer (5'-CGCGGATCCCAT ATG CAG AAA GGC AAT TTT AG-3'; NC<sub>55</sub> coding nucleotides shown in italics) and a *Bam*HI site (underlined) and stop anticodon (bold) in the 3'-primer (5'-GGAATTCGGATCC CTA ATT AGC CTG TCT CTC AG-3'). Noncoding nucleotides (shown in plain text) were included 5' of each restriction site to ensure efficient NdeI and BamHI cleavage of the resultant PCR product. The methionine coded by the start codon corresponds to the methionine found naturally at the amino-terminus of viral NC<sub>55</sub> in vivo (Adachi et al., 1986; Henderson et al., 1992). This PCR strategy generates a 190-bp product with an open reading frame encoding a 55-amino acid protein identical to that predicted for  $NC_{55}$  from HIV-1<sub>NL4-3</sub> (H<sub>2</sub>N-MQKGN-FRNQRKTVKCFNCGKEGHIAKNCRAPRKK-

<sup>&</sup>lt;sup>2</sup> The fully mature 55-amino acid HIV-1 NC protein is derived from the Pr55gag protein via a series of proteolytic events and forms intermediate precursors that are ~130 amino acids (p15 protein) and 71-72 amino acids in length (Henderson et al., 1988, 1992; Veronese et al., 1987). The smaller peptides (55, 71, and 72 amino acids) have all been called p7. To distinguish among these, we refer to the fully mature 55-amino acid form of NCp7 as NC55 and its precursor peptides as NC<sub>71</sub> and NC<sub>72</sub> (subscripts indicate amino acid lengths).

GCWKCGKEGHQMKDCTERQAN-COOH; Adachi et al., 1986; Henderson et al., 1992).

PCR reactions (100  $\mu$ L total volume containing 10 mM Tris·HCl (pH 8.3), 50 mM KCl, 1.5 mM MgCl<sub>2</sub>, 50 ng of pNL4-3, 2.5  $\mu$ M of each PCR primer, 200  $\mu$ M of each dNTP, and 2.5 units of Taq DNA polymerase) were overlaid with 50  $\mu$ L light mineral oil and subjected to 30 thermal cycles consisting of three steps: denaturation at 94 °C for 1.5 min, primer annealing at 55 °C for 2 min, and primer extension at 72 °C for 3 min. Reactions were incubated at 72 °C for 15 min after completion of the last cycle. Negative control DNAs were amplified in parallel to ensure PCR specificity.

The 190-bp PCR product was purified by gel electrophoresis in 2% low-melting agarose and then digested with *Nde*I and *Bam*HI and ligated into the corresponding sites downstream of the T7 promoter in the expression vector pET-3a (Studier et al., 1990) using standard procedures (Sambrook et al., 1989). To obtain stable recombinants, competent HB101 *Escherichia coli* were transformed with the ligated samples and ampicillin-resistant colonies were selected. Recombinant plasmids were isolated, and the complete NC<sub>55</sub> coding region from several independent clones was sequenced to ensure the absence of PCR errors. A clone, designated pET3a-NC<sub>55</sub> and containing the wild-type NC<sub>55</sub> coding sequence, was selected for all subsequent manipulations and was maintained in HB101 *E. coli*.

For protein production, pET3a-NC<sub>55</sub> was transferred to BL21(DE3)LysE *E. coli* (Studier et al., 1990). Single transformed colonies were inoculated into modified ZB medium (Studier et al., 1990) in the presence of ampicillin (100  $\mu$ g/mL) and chloramphenicol (25  $\mu$ g/mL) and grown for 10–12 h at 30 °C. The culture was then diluted 10-fold with M9ZB medium (Studier et al., 1990) and grown at 37 °C to an OD<sub>600</sub>  $\approx$  0.5. Protein expression was induced by addition of isopropyl 1-thio- $\beta$ ,D-galactopyranoside (IPTG; 1 mM final concentration). Cells were harvested by centrifugation after growing another 3 h at 37 °C, and cell paste was stored in ~5 gram portions at -70 °C.

All subsequent steps of purification were conducted at 0-4 °C in the presence of ZnCl<sub>2</sub> and dithiothreitol (DTT) using degassed buffers (helium-sparged) in order to minimize protein oxidation and degradation. A 5-g amount of cell paste from ~5 L of culture was thawed and resuspended in 40 mL of degassed buffer X (75 μM ZnCl<sub>2</sub>, 50 mM Tris•HCl (pH 7.0), 50 mM NaCl, 10% glycerol, 5 mM DTT) containing proteinase inhibitors (35  $\mu$ g of phenylmethylsulfonyl fluoride/mL, 0.3 mg of EDTA/mL, 0.5  $\mu$ g of leupeptin A/mL,  $0.7 \mu g$  of pepstatin A/mL). Resuspension and cell lysis were achieved by vigorous agitation on a vortex mixer for a total of 5 min in 1-min bursts with 2-min incubations on ice between bursts. The lysate was mixed with 0.3% – 0.4% polyethylenimine [PEI; concentration optimized for each lysate as described by Burgess (1991)], incubated on ice for 5 min, and centrifuged two times for 15 min at 17 000g. The clear amber supernatant was loaded at 1.5 mL/min onto a 100-mL DEAE (Whatman DE52) column (15 mm  $\times$  60 cm) attached to a Waters model 650E chromatography system using degassed buffers continuously sparged with helium. The column was washed with 360 mL of buffer X. The flow-through fractions containing NC<sub>55</sub> were pooled and applied directly onto a 24-mL heparin-Sepharose CL-6B column (10 mm  $\times$  30 cm; Pharmacia LKB Biotechnology Inc.) at a flow rate of 0.15 mL of buffer

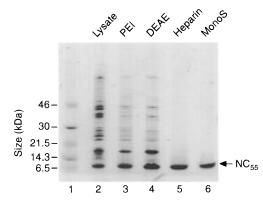


FIGURE 2: Overexpression and purification of recombinant HIV-1 NC<sub>55</sub>. The 6.4-kDa NC<sub>55</sub> was purified from soluble lysates of IPTGinduced BL21(DE3)LysE E. coli harboring pET3a-NC55 by sequential steps of PEI fractionation followed by DEAE, heparin-Sepharose, and MonoS chromatography as described in the text. Aliquots from appropriate fractions were removed after each step (lanes 2-6) and analyzed by SDS 8%-25% polyacrylamide gradient Phastgel electrophoresis (Pharmacia LKB Biotechnology Inc.). Protein bands were detected by Coomassie Blue staining. The band corresponding to NC<sub>55</sub> (as determined from partial amino acid sequencing) is indicated at the right. This band was absent in lysates from noninduced cells as well as from cells harboring the parental pET3a vector (data not shown). The lanes shown here were loaded with similar amounts of NC<sub>55</sub> peptide and do not reflect absolute yields during purification. Lane 1, molecular weight markers with sizes indicated at the left.

X/min. Elution of NC<sub>55</sub> was achieved with a 90-mL linear gradient of 0.05-1.0 M NaCl in buffer X. Fractions containing the 6.4-kDa NC<sub>55</sub> protein [eluting at  $\sim$ 0.7 M NaCl as detected by SDS-polyacrylamide gel electrophoresis (PAGE; Figure 2)] were pooled and dialyzed against 20 L of buffer A (75  $\mu$ M ZnCl<sub>2</sub>, 50 mM Tris·HCl (pH 7.0), 50 mM NaCl, 10% glycerol, 1 mM DTT, 50 mM EDTA). The dialyzed material was further purified by chromatography through a 1-mL MonoS column (5 mm × 5 cm; Pharmacia LKB Biotechnology Inc.) at 0.5 mL/min flow rate. The column was washed with 10 mL of buffer X, and the bound proteins were eluted with a 40-mL linear gradient of 0.05-1.0 M NaCl in buffer X. The NC<sub>55</sub> eluted at about 0.9 M NaCl. For some preparations the MonoS column step was repeated to further increase purity. Peak fractions were pooled, divided into multiple aliquots, and stored at -70 °C until further use.

To avoid degradation of NC activity (Dib-Hajj et al., 1993), all preparations were used within 3 months of purification and individual aliquots were frozen and thawed only once. Protein concentrations were determined using the Bradford protein assay (Bio-Rad) with bovine serum albumin as the standard.

DNA Polymerization in Vitro. Polymerization reactions were conducted essentially as described (Klarmann et al., 1993). Reactions (20–100 μL total volume) contained 3–54 nM [5′-³²P]primer•template (corresponding to 6–50 μM template nucleotides), 0–6 μM recombinant HIV-1 NC<sub>55</sub>, 0–8 nM HIV-1 RT active sites, 100 μM each of dATP, dCTP, dGTP, and dTTP, 10 mM MgCl<sub>2</sub>, 25 mM Tris•HCl (pH 8.0), 30 mM KCl, 2.5 mM NaCl, 2 mM DTT, and 4 μM ZnCl<sub>2</sub>. Where indicated, RT–primer•template binary and RT–primer•template—dNTP ternary complexes were preformed prior to addition of NC<sub>55</sub> as described under Results. Polymerizations were conducted at 37 °C for 0–15 min, and products were analyzed by denaturing 8 M urea–

8% PAGE, autoradiography, and densitometry essentially as described (Klarmann et al., 1993) but using a Sun SPARCstation IPC equipped with a Howtek Scanmaster 3+ flatbed scanner and Millipore Visage Electrophoresis Gel Analysis software for densitometric analyses.

Nucleic Acid Binding Assay. HindIII-linearized pHIVenv (Figure 1) was used as a template for T7 RNA polymerase-catalyzed transcription in vitro in the presence of  $[\alpha^{-32}P]CTP$  (400 Ci/mmol) to produce the 1.78-kb HIV-1 env [32P]RNA (specific activity =  $3 \times 10^7$  cpm/ $\mu$ g). Transcription reactions were conducted according to the manufacturer's protocol (Promega).

To measure nucleic acid binding, 0-1 μM NC<sub>55</sub> was incubated for 30 min at 37 °C with 0.2 nM [32P]RNA (corresponding to 0.4 µM RNA nucleotides) in 20 µL of binding buffer [25 mM Tris·HCl (pH 8.0), 30 mM KCl, 10 mM MgCl<sub>2</sub>, 2 mM DTT]. After incubation, each sample was immediately transferred into a well of a Filtration Manifold Apparatus (Schleicher & Schuell) and vacuum filtered through a nitrocellulose membrane filter (0.45  $\mu$ ; Schleicher & Schuell) that was first prepared by wetting with deionized water, followed by soaking in 0.4 M KOH (15 min, 23 °C), rinsing in deionized water (five times), and soaking in binding buffer (30 min, 23 °C). After adsorption of sample, each well was slowly rinsed with 250  $\mu$ L of binding buffer four times under gentle vacuum. The filter was dried and overlaid with X-ray film. After autoradiography, each well position on the filter was excised, placed in 5 mL of Econofluor, and counted using a liquid scintillation spectrometer.

Helix Destabilization Assay. Single-stranded DNA (4.8) kb; prepared from the phagemid pHIV-pol; Figure 1) and single-stranded RNAs [1.63 or 0.27 kb, prepared by in vitro transcription of SalI-linearized pHIV-pol or PvuII-linearized pGEM-3zf(+), respectively] were hybridized to synthetic [5'-<sup>32</sup>P]DNA oligonucleotides (20- or 22-mers) as previously described (Klarmann et al., 1993). The resultant [5'-32P]-DNA oligonucleotide DNA and [5'-32P]DNA oligonucleotide RNA hybrids each consisted of a long stretch of singlestranded nucleic acid (0.27-4.8 kb) with a short duplex region (20–22 bp). These partial duplexes (6–16  $\mu$ M nucleic acid nucleotides) were incubated (37 °C for 5 min) with  $0-4 \mu M$  NC<sub>55</sub> in 20  $\mu L$  of 25 mM Tris•HCl (pH 8.0), 30 mM KCl, 10 mM MgCl<sub>2</sub>, 2 mM DTT. Reactions were stopped by addition of loading dye (0.25% bromophenol blue, 0.25% xylene cyanole, 25% Ficoll) and immediately fractionated by native 5% or 12% PAGE. Substrate duplexes and product single strands were visualized by autoradiography and quantified by densitometry.

#### **RESULTS**

Recombinant HIV-1 NC55. To obtain large amounts of HIV-1 NC for biochemical studies, we used a PCR-based strategy to subclone and overexpress the NC55 coding sequence from the infectious HIV-1 clone pNL4-3 (Figure 1). Initial attempts to express NC<sub>55</sub> under control of the pKK223-3 tac promoter in JM105 E. coli or the pET3a T7 promoter in BL21(DE3)LysS E. coli resulted in unstable clones and low protein yields with or without IPTG induction. Both of these systems lack stringent promoter control (Sambrook et al., 1989; Studier et al., 1990), thus suggesting that NC<sub>55</sub> expression affects the stability of E. coli transformants. To overcome this difficulty, the pET3a-NC<sub>55</sub> plasmid was maintained as a stable clone in HB101 E. coli, which lacks T7 RNA polymerase and thus does not express the NC<sub>55</sub> gene. Subsequent transformation of pET3a-NC<sub>55</sub> into BL21(DE3)LysE E. coli, which tightly controls T7 promoter activity (Studier et al., 1990), resulted in stable transformants when maintained at low density ( $OD_{600} \le 0.5$ ) in the absence of IPTG. After induction with IPTG, NC55 became a major cellular protein comprising 10%-30% of total protein in crude lysates (Figure 2, lane 2). Greater than 90% of the induced NC<sub>55</sub> was soluble in neutral aqueous buffer.

Most of the NC55 in crude lysates was bound to cellular nucleic acids, as evidenced by anomalous retention of the highly basic NC<sub>55</sub> protein on anion exchange resins (data not shown). Therefore, nucleic acids were first removed from cellular lysates by precipitation with PEI, and the resultant free NC<sub>55</sub> was purified by sequential chromatography through DEAE, heparin-Sepharose, and MonoS columns (Figure 2, lanes 2–6). All steps of purification were conducted under reducing conditions using degassed buffers to minimize protein oxidation, and the last chromatography step was conducted in the presence of excess ZnCl<sub>2</sub> and absence of EDTA to enrich for the zinc-bound form of NC<sub>55</sub>. The final purified protein was >95% homogeneous (Figure 2, lane 6) and free of detectable DNase and RNase activity (data not shown).

Ten cycles of Edman degradation showed that the purified NC<sub>55</sub> had an unmodified amino-terminal sequence (H<sub>2</sub>N-MQKGNFRNQR). Thus, the terminal methionine residue is not cleaved in the E. coli. On the basis of these data, our cloning strategy, and the size and homogeneity of the purified protein, the amino acid sequence of the recombinant NC<sub>55</sub> should precisely match that of fully mature NC55 in HIV-1<sub>NL4-3</sub> virions (Adachi et al., 1986; Henderson et al., 1992). Nitrocellulose filter binding assays showed that the recombinant NC<sub>55</sub> binds to single-stranded RNA and DNA in vitro (data not shown). The recombinant NC<sub>55</sub> also exhibited helix destabilizing activity, as evidenced by the release of singlestranded [5'-32P]DNA oligonucleotides upon incubation of NC<sub>55</sub> with preformed [5'-32P]DNA•RNA (Figure 3) and [5'-<sup>32</sup>P]DNA·DNA (data not shown) partial duplexes in vitro. NC<sub>55</sub> preferentially destabilized some duplexes over others, indicating that helix destabilization is dependent on the sequence of the nucleic acid substrate (data not shown).

Effect of HIV-1 NC<sub>55</sub> on HIV-1 RT Activity. To determine the effect of HIV-1 NC<sub>55</sub> on viral DNA synthesis, we examined DNA polymerization in a partially reconstituted in vitro system using HIV-1 env and pol/vif/vpr sequences as templates and synthetic DNA oligonucleotides as primers (Figure 1). These templates were chosen because they are relatively long and thus representative of the HIV-1 genome, because they are copied inefficiently by HIV-1 RT alone, and because they include regions that are likely to form higher order secondary structures that disrupt processive DNA synthesis in vitro (Klarmann et al., 1993). In this in vitro system, purified HIV-1 RT is used to catalyze DNA synthesis on preformed [5'-32P]primer•templates, and polymerization products are analyzed by urea-PAGE. Reactions contain limiting RT and excess substrate (primer template, Mg<sup>2+</sup>, and dNTPs) and are conducted for short incubation times (≤30 min) so that DNA synthesis occurs in the steady state (Klarmann et al., 1993).

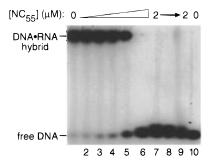


FIGURE 3: Helix destabilization by recombinant NC<sub>55</sub>. Preformed [5′-<sup>32</sup>P]DNA (20 nucleotides)•*pol* RNA (1630 nucleotides) hybrid (3.8 nM corresponding to 5.6 μM nucleotides) was incubated at 37 °C for 5 min with increasing concentrations of NC<sub>55</sub> (0, 0.04, 0.1, 0.2, 0.4, 1, 2, or 2 μM NC<sub>55</sub>; lanes 1–8, respectively), and products were analyzed by nondenaturing 5% PAGE and autoradiography to detect the release of free [5′-<sup>32</sup>P]DNA from the original hybrid (indicated on the left). The position of hybridization of the DNA oligonucleotide (primer 4830; 5′-TCTGTTGCTAT-TATGTCTAC-3′) is shown in Figure 1. Lane 9: 2 μM NC<sub>55</sub> was incubated with unhybridized [5′-<sup>32</sup>P]DNA alone. Lane 10: unhybridized [5′-<sup>32</sup>P]DNA in the absence of NC<sub>55</sub>. The slight shift in electrophoretic mobility observed at high concentrations of NC<sub>55</sub> presumably results from nonspecific binding of NC<sub>55</sub> to the free DNA oligonucleotide (lanes 7–9).

Effect on Steady-State DNA Synthesis. As previously observed (Klarmann et al., 1993), steady-state DNA polymerization by HIV-1 RT alone resulted in the accumulation of multiple products at specific template regions (designated "pause sites" R1, R2, etc. and D1, D2, etc. on RNA and DNA templates, respectively; Figures 4 and 5). Addition of HIV-1 NC<sub>55</sub> reduced the total yield of polymerization products on both RNA (Figure 4) and DNA (Figures 5 and 6) templates in a dose-dependent manner. Essentially 100% inhibition occurred at concentrations greater than one NC<sub>55</sub> molecule per two template nucleotides (Figure 4B). However, with the exception of the D2 pause site (discussed below), NC<sub>55</sub> had little effect on the overall distribution of polymerization products within the time frame of these reactions (≤5 min; Figures 4A and 5). The inhibitory effect of NC<sub>55</sub> could not be explained by primer template helix destabilization, since inhibition occurred on several primertemplates regardless of their sensitivity to denaturation by  $NC_{55}$  (data not shown).

Time-course reactions using a single concentration of  $NC_{55}$  (one  $NC_{55}$  molecule per 15 template nucleotides, corresponding to  $\sim 50\%$  coating of the template; You & McHenry, 1993) showed that  $NC_{55}$  slows the rate of steady-state DNA synthesis and confirmed that product distribution is minimally effected during the first 5 min of polymerization (Figure 5). When reactions containing  $NC_{55}$  were conducted for longer times, total product yields increased very slowly (data not shown). This contrasts with the normal pattern of steady-state polymerization by HIV-1 RT in the absence of  $NC_{55}$  which shows a relatively rapid linear increase in total extension products up to about 40 min (Klarmann et al., 1993; data not shown).

Effect of NC<sub>55</sub>:RT Ratio and Addition Order. The effect of NC<sub>55</sub> on the efficiency of steady-state DNA synthesis was influenced by the ratio and order of addition of reaction components. Inhibition of DNA synthesis by NC<sub>55</sub> was dependent on NC<sub>55</sub> concentration (Figure 4 and Figure 6A, lanes 1–5) and was reduced by addition of increasing

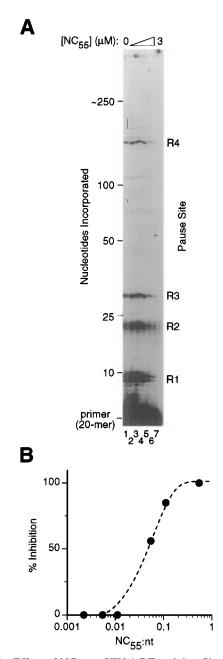


FIGURE 4: Effect of NC<sub>55</sub> on HIV-1 RT activity. Single-stranded RNA corresponding to plus-strand HIV-1<sub>NL4-3</sub> nucleotides 4159-5787 of the *pol/vif/vpr* genes was hybridized to a complementary [5'-32P]DNA oligonucleotide [20-mer primer 4830; see Figure 1 and Klarmann et al. (1993)] and used as a template in DNA polymerization reactions catalyzed by HIV-1 RT with increasing concentrations of HIV-1 NC<sub>55</sub>. [5'-32P]Primer•template (3.4 nM corresponding to 5.6  $\mu$ M nucleotides) was first incubated with HIV-1 RT (0.1 nM active sites) for 5 min at 37 °C. Polymerizations were then initiated by addition of NC<sub>55</sub> (0-3.1  $\mu$ M), MgCl<sub>2</sub>, and dNTPs, and incubations were continued at 37 °C for an additional 5 min. (A) Autoradiograph of products separated by urea-PAGE after polymerization in the presence of 0, 0.01, 0.03, 0.06, 0.3, 0.6, or 3.1  $\mu$ M NC<sub>55</sub> (lanes 1-7, respectively). The number of nucleotides incorporated and template pause sites R1, R2, R3 and R4 are indicated in the margins. (B) Percent inhibition of total product formation as a function of NC<sub>55</sub>:template nucleotide ratio. Total products were quantified by densitometry and are expressed relative to the product yield in reactions containing no NC<sub>55</sub> (lane 1 in panel A).

amounts of HIV-1 RT (Figure 6A, lanes 6–9). When NC<sub>55</sub> was incubated with primer template prior to addition of RT and Mg<sup>2+</sup>-dNTPs, DNA synthesis was inhibited (Figure 6B,

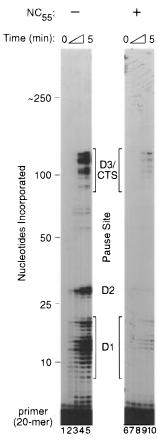


FIGURE 5: Effect of NC<sub>55</sub> on the rate of steady-state DNA synthesis. Single-stranded DNA corresponding to minus-strand HIV-1<sub>NL4-3</sub> nucleotides 4159-5787 of the pol/vif/vpr genes was hybridized to a complementary [5'-32P]DNA oligonucleotide primer [20-mer primer 4772; see Figure 1 and Klarmann et al. (1993)] and used as template in DNA polymerization reactions catalyzed by HIV-1 RT with and without HIV-1 NC55. HIV-1 RT (0.1 nM active sites) was first bound to [5'-32P]primer template (3.0 nM corresponding to 15  $\mu$ M nucleotides) by incubation for 5 min at 37 °C in the absence of MgCl2 and dNTPs, and polymerizations were initiated by addition of MgCl<sub>2</sub> and dNTPs alone (lanes 1-5) or together with 0.9  $\mu$ M HIV-1 NC<sub>55</sub> (lanes 6–10). Polymerizations were then continued at 37 °C for an additional 0, 0.5, 1, 3, or 5 min, and the reactions were analyzed by urea—PAGE and autoradiography. The number of nucleotides incorporated and template pause sites D1, D2, and D3/CTS are indicated. D3/CTS corresponds to the HIV-1 central termination sequence (CTS) recently identified in vivo and in vitro (Charneau et al., 1994; Klarmann et al., 1993).

compare lanes 1 and 2). Product formation was also inhibited when preformed RT-primer template binary complexes were incubated with NC<sub>55</sub> prior to addition of Mg<sup>2+</sup>dNTPs (Figure 6B, lane 3). In contrast, an intermediate level of product formation was observed when NC55 was added to RT-primer template complexes either after (Figure 6B, lane 4) or simultaneously (Figure 6B, lane 5, and Figure 5, lanes 6-10) with Mg<sup>2+</sup>-dNTPs.

Taken together, these data suggest that NC<sub>55</sub> slows steadystate cycling of RT in vitro (see Discussion). Moreover, these data indicate that preformed RT-primer templatedNTP ternary complexes are active in the presence of NC<sub>55</sub> and that NC<sub>55</sub> restricts polymerization to essentially a single processive cycle starting from these ternary complexes.

Effect of HIV-1 NC<sub>55</sub> on HIV-1 RT Processivity. The experiments described above define conditions that permit DNA polymerization when HIV-1 NC<sub>55</sub> is present at levels approximating the NC<sub>55</sub>:nucleotide stoichiometry in virions

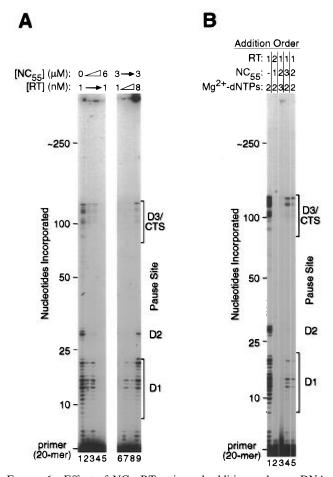


FIGURE 6: Effect of NC55:RT ratio and addition order on DNA synthesis. (A) Effect of NC<sub>55</sub>:RT ratio. Polymerization reactions were conducted essentially as in Figure 5 except 10 nM primer template (corresponding to 49 µM nucleotides) was used, the amounts of NC55 and HIV-1 RT were varied, and all polymerizations proceeded for 5 min after addition of the last reaction component. In the first set of reactions, HIV-1 RT concentration was constant (0.7 nM active sites) and NC<sub>55</sub> concentrations were 0, 0.7, 1.4, 2.7, or 5.5  $\mu$ M (lanes 1–5, respectively). In the second set, NC<sub>55</sub> concentration was constant  $(2.7 \mu M)$  and HIV-1 RT concentrations were 0.7, 1.7, 3.3, or 8.3 nM active sites (lanes 6-9, respectively). (B) Effect of addition order. Polymerization reactions were conducted as described in Figure 5 except the order of addition of reaction components was varied and all polymerizations proceeded for 5 min after addition of the last reaction component. In the first two reactions, either HIV-1 RT (lane 1) or NC<sub>55</sub> (lane 2) was prebound to primer•template by incubation for 5 min at 37 °C, and then polymerization was initiated by addition of MgCl<sub>2</sub> and dNTPs alone (lane 1) or MgCl<sub>2</sub> and dNTPs together with HIV-1 RT (lane 2). In the next three reactions, HIV-1 RT was prebound to primer template as in lane 1, and then NC<sub>55</sub> was added prior to (lane 3), after (lane 4), or simultaneously with (lane 5) MgCl<sub>2</sub> and dNTPs. In lanes 3 and 4, the interval between addition of NC<sub>55</sub> and Mg<sup>2+</sup>-dNTPs was 10 s.

(Henderson et al., 1992). Under these conditions using incubation times ≤5 min, NC<sub>55</sub> minimally affected the relative distribution of most reaction products. One noteworthy exception was the D2 product. In the absence of NC55, D2 was formed at levels similar to bands in D1 and D3/CTS (Figure 5, lanes 1–5, and Figure 6A,B, lane 1). In contrast, when polymerizations were conducted in the presence of NC55, the yield of D2 was reduced relative to D1 and D3/CTS (Figure 5, lanes 6–10, and Figure 6B, lanes 4 and 5). This NC<sub>55</sub>-dependent reduction in relative D2 yields was consistently observed in independent experiments and was not an artifact of autoradiography (D1:D2:D3 ratios

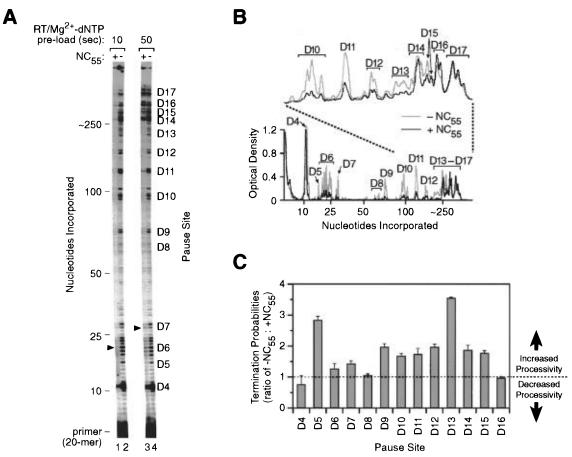


FIGURE 7: Effect of NC<sub>55</sub> on polymerization at cRRE. (A) Autoradiograph of products separated by urea—PAGE after HIV-1 RT-catalyzed polymerization through the HIV-1 cRRE template region. Single-stranded DNA corresponding to minus-strand HIV-1<sub>NL4-3</sub> nucleotides 6348–8123 of the *env* gene was hybridized to a  $[5'-^{32}P]DNA$  oligonucleotide primer (20-mer) such that the 3'-base of the primer was positioned 12 nucleotides upstream of the start of cRRE [primer 7747; see Figure 1 and Klarmann et al. (1993)]. DNA polymerization on this  $[5'-^{32}P]$ primer-template was initiated in three stages. HIV-1 RT (0.1 nM active sites) was first prebound to primer-template (3.2 nM corresponding to 16  $\mu$ M nucleotides) by incubation for 5 min at 37 °C. MgCl<sub>2</sub> and dNTPs were then added, and incubation was continued at 37 °C for 10 s (lanes 1 and 2) or 50 s (lanes 3 and 4). This "preload" with MgCl<sub>2</sub> and dNTPs resulted in the formation of RT-primer-template—dNTP ternary complexes and the extension of primer by 21–28 nucleotides (indicated by arrowheads; data not shown). Finally, NC<sub>55</sub> was added to 0.9  $\mu$ M final concentration, and polymerization proceeded for an additional 5 min at 37 °C. Reactions with and without NC<sub>55</sub> are indicated above each lane by "+" (lanes 1 and 3) and "-" (lanes 2 and 4), respectively. (B) Densitometer tracings of lanes 3 and 4 in panel A showing D4–D17 product distributions from reactions conducted in the absence (gray line) and presence (black line) of NC<sub>55</sub>. (C) Effect of NC<sub>55</sub> on HIV-1 RT termination probabilities. Termination probabilities (Abbotts et al., 1993) were calculated for each of the template pause site regions D4–D16 after polymerizations with and without NC<sub>55</sub> under steady-state conditions with a 30-molar excess of primer-template over enzyme as in panel A (lanes 3 and 4). The data are presented as the ratios of termination probabilities without (-) and with (+) NC<sub>55</sub> at each pause site. Thus, decreases in termination and concomitant increases in processivity imparted by NC<sub>55</sub>

were unchanged among multiple autoradiographic exposure times falling within the linear response range of the X-ray film). Thus, NC<sub>55</sub> prevents pausing of DNA synthesis at D2 presumably by a mechanism that increases HIV-1 RT processivity at this site.

To explore the effect of NC<sub>55</sub> on HIV-1 RT processivity in greater detail, we examined polymerization using primers that resisted helix destabilization by NC<sub>55</sub> and that were positioned upstream of known strong polymerization pause sites on templates with likely intramolecular secondary structures (Klarmann et al., 1993). A limited survey of primers failed to identify convenient primer•RNA template combinations that were stable in the presence of NC<sub>55</sub> (e.g., see Figure 3). Thus, for practical reasons, we focused on select primer•DNA templates that resisted denaturation by NC<sub>55</sub>. All reactions were conducted at an NC<sub>55</sub>:template nucleotide ratio of 1:15. HIV-1 RT and Mg<sup>2+</sup>-dNTPs were "preloaded" onto the primer•templates to enrich for RT—primer•template—dNTP ternary complexes prior to addition

of NC<sub>55</sub>. These preloaded complexes were formed by first incubating HIV-1 RT with primer•template at 37 °C for 5 min (to form RT-primer•template binary complexes) and then adding MgCl<sub>2</sub> and all four dNTPs and continuing incubation at 37 °C for 10–50 s (to initiate polymerization and thus form RT-primer•template—dNTP ternary complexes). Aliquots were removed to determine the extent of polymerization during the preload, NC<sub>55</sub> was added to the reactions, and polymerization proceeded for an additional 0–15 min at 37 °C.

Effect at cRRE. Polymerization of the cRRE region of the env gene by HIV-1 RT alone resulted in the accumulation of multiple products at specific template sites (pause sites D4-D17 in Figure 7A, lanes 2 and 4). The total yield of products increased with time as expected in these steady-state reactions where RT frequently dissociates from the growing primer template at pause sites and reinitiates synthesis on unextended primer templates that are present in excess [Klarmann et al. (1993) and data not shown].

Inclusion of poly(rA)·oligo(dT) as an RT trap (Klarmann et al., 1993) confined synthesis to a single processive cycle but did not change the relative distribution of products at sites D4-D17 (data not shown). This is consistent with previous studies showing that the products of steady-state synthesis by HIV-1 RT alone reflect the accumulation of multiple cycles of processive synthesis (Klarmann et al., 1993; a processive cycle starts with RT binding to primer template and ends when RT dissociates from the growing strand; processivity is defined as the number of nucleotides polymerized per cycle).

As observed on the *pol* primer templates (Figures 4-6), polymerization of env in the presence of NC55 resulted in lower yields of total extended products compared to polymerizations with HIV-1 RT alone (Figure 7A, compare lanes 1 and 3 with lanes 2 and 4). Thus, NC<sub>55</sub> restricts RT cycling on primer templates of different sequence (i.e., both pol and env). A comparison of the distribution of products generated with and without NC<sub>55</sub> (Figure 7B) shows that the presence of NC<sub>55</sub> decreased the relative yields of shorter products (D5-D13) and concomitantly increased the relative yields of longer products (D14-D17). Thus, NC55 shifted the distribution of products to longer lengths, reflecting an apparent increase in the overall processivity of HIV-1 RT. This increase in processivity was observed using different preload conditions (10-50 s at 37 °C or 5 min at 0 °C) and in multiple independent experiments.

To quantify the effect of NC<sub>55</sub> and to correct for differences in total product yields, HIV-1 RT termination probabilities were calculated for each of the pause site regions in reactions with and without NC55. Termination probability is a measure of the probability that a polymerase will stall or dissociate at a given template site (Abbotts et al., 1993). Thus, increases in the rate of polymerization relative to the rate of polymerase stalling or dissociation will result in decreased termination probabilities and vice versa. A comparison of termination probabilities throughout the cRRE region of env shows that the effect of NC55 is site-specific (Figure 7C). NC<sub>55</sub> reduced the termination probability of HIV-1 RT by 2-4-fold at eight different template sites in the cRRE sequence (D5 and D9-D15) while having little or no effect at five of the pause sites (D4, D6-D8, and D16). On average, NC<sub>55</sub> reduced HIV-1 RT termination at the 13 sites examined by a factor of 2. This resulted in a more than 2-fold increase in the percent of products >300 nucleotides in length.

Effect at D3/CTS. Polymerization of the D3/CTS region of the *pol* gene by HIV-1 RT alone resulted in strong pausing of DNA synthesis at three template locations: D1, D2, and D3/CTS (Figure 8A, lanes 1-4, and Figures 5 and 6). The strongest pausing occurred at D3/CTS, where essentially 100% of the growing strands terminate and RT dissociates from the DNA (Klarmann et al., 1993). Termination of DNA synthesis at this template site in vitro is paradoxical because D3/CTS is positioned immediately downstream of the central polypurine tract (cPPT) which is an obligatory initiation site for HIV-1 plus-strand DNA synthesis in vivo (Charneau et al., 1992; Charneau & Clavel, 1991; Hungnes et al., 1991). Although D3/CTS appears to be copied efficiently after initiation at the cPPT in vivo (Charneau & Clavel, 1991; Hungnes et al., 1991), it is an extreme block to polymerization by purified HIV-1 RT in vitro (Figure 5, lanes 1-5; Charneau et al., 1994; Klarmann et al., 1993). This paradox

makes the D3/CTS region well-suited for biochemical studies of factors that affect plus-strand DNA polymerization.

Initial experiments evaluating the effect of NC<sub>55</sub> on this template were conducted for short incubation times ( $\leq 5$  min) and revealed a reduction in the relative yields of the D2 pause product with little change in D1 and D3/CTS (Figures 5 and 6). However, when polymerizations were conducted for >5min, NC<sub>55</sub> increased polymerization through D3/CTS, resulting in products >350 nucleotides in length (Figure 8A, lanes 5-8, and Figure 8B). Quantitation of product yields as a function of time showed that NC55 increases the rate of polymerization through D3/CTS about 10-fold (Figure 8C). However, polymerization through D3/CTS in the presence of NC<sub>55</sub> is still relatively slow as evidenced by the absence of detectable long products in reactions conducted for <5 min (Figure 8A, lanes 5 and 6, and Figure 5, lanes 6-10). As observed on the cRRE env template, the effect of NC<sub>55</sub> varied from site to site. Thus, NC<sub>55</sub> minimally affected the rate of polymerization through D1 and progressively increased polymerization of D3/CTS as RT advanced through subregions a-d (data not shown). The processivity of HIV-1 RT (i.e., the average number of nucleotides incorporated per RT-primer template binding event) on this primer template was  $68 \pm 17$  and  $160 \pm 43$ , without and with NC<sub>55</sub>, respectively (n = 3; 15-min incubations). When polymerization was initiated from a primer positioned immediately upstream of D3/CTS (primer 4867 in Figure 1), the processivity of HIV-1 RT increased from ~15 nucleotides in the absence of NC<sub>55</sub> to ~90 nucleotides in the presence of NC<sub>55</sub> (5-min incubations; data not shown).

#### DISCUSSION

To better characterize the biochemistry of HIV-1 DNA synthesis, we examined the effect of HIV-1 NC55 on DNA polymerization catalyzed by purified HIV-1 RT on HIV-1 template sequences in vitro. We overexpressed and purified recombinant NC<sub>55</sub> identical in sequence to the fully processed, 55-amino acid viral peptide (Figure 2). Using a partially reconstituted in vitro system, we showed that recombinant NC55 slows steady-state HIV-1 RT turnover during DNA polymerization (Figures 4–6). Moreover, when added to preformed RT-primer template-dNTP ternary complexes, NC55 increased RT processivity by facilitating DNA polymerization through template regions that were copied inefficiently by RT alone (Figures 7 and 8).

A critical first step in reconstituting HIV-1 DNA synthesis in vitro is the isolation of large amounts of viral replication proteins. Purified HIV-1 RT is already readily available in both virion and recombinant forms (Katz & Skalka, 1994; Klarmann et al., 1993; Preston et al., 1988, and references therein). The NC precursor peptides NCp15 and NC $_{71}$  have also been isolated as recombinant proteins (Fitzgerald & Coleman, 1991; Khan & Giedroc, 1992; Tsuchihashi & Brown, 1994), but these NC precursors are not the predominant form present in mature virions (Henderson et al., 1992). To obtain large amounts of the mature form of NC (i.e., NC<sub>55</sub>), we found it necessary to use the pET expression system in E. coli engineered to strictly control recombinant gene expression (Studier et al., 1990). Using this system, HIV-1<sub>NL4-3</sub> NC<sub>55</sub> was produced at high levels after IPTG induction and was easily purified to near homogeneity by conventional chromatography (Figure 2). You and McHenry

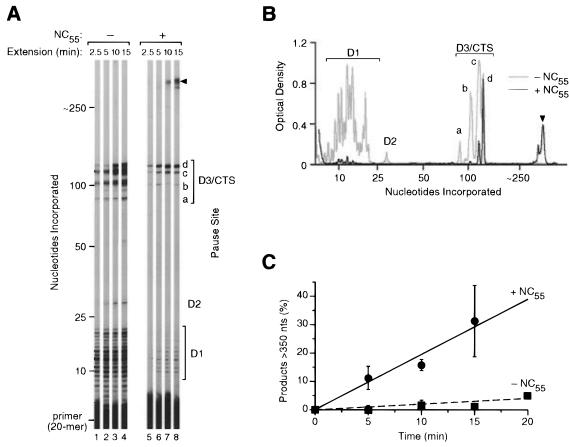


FIGURE 8: Effect of NC<sub>55</sub> on polymerization at D3/CTS. (A) Autoradiograph of products separated by urea—PAGE after HIV-1 RT-catalyzed polymerization through the HIV-1 D3/CTS template region. The [5'- $^{32}$ P]primer•template described in Figure 5 was used in these reactions. The primer is located immediately upstream of pause site D1 and about 100 nucleotides from D3/CTS. HIV-1 RT (0.1 nM active sites) was first bound to primer•template (3.4 nM corresponding to  $16 \mu$ M nucleotides) by incubation at 37 °C for 5 min. Polymerizations were initiated by incubation with MgCl<sub>2</sub> and dNTPs at 37 °C for 10 s followed by addition of NC<sub>55</sub> (0.9  $\mu$ M) and continued incubation at 37 °C for up to 15 min (indicated above each lane). Lanes 1–4, no NC<sub>55</sub>; lanes 5–8, with NC<sub>55</sub>. The major products >350 nucleotides in length are indicated by the arrowhead. (B) Densitometer tracings of lanes 4 (without NC<sub>55</sub>; gray line) and 8 (with NC<sub>55</sub>; black line) from panel A. (C) Rate of polymerization through D3/CTS. The percent of products extended beyond D3/CTS were quantified by densitometry and plotted as a function of time. Data from reactions with (+NC<sub>55</sub>; circles, solid line) and without (-NC<sub>55</sub>; squares, dashed line) NC<sub>55</sub> are shown. Each point represents the mean  $\pm$  SD of three independent experiments except the 20-min, minus-NC<sub>55</sub> time point which is from a single experiment. In some experiments and time points, products >350 nucleotides in length were quantified from overexposed autoradiographs in order to facilitate detection of trace products (e.g., panel A, lane 6).

(1993) recently reported a similar strategy for the purification of recombinant  $NC_{55}$  from HIV- $1_{ARV/8a}$ .

Our recombinant HIV-1<sub>NL4-3</sub> NC<sub>55</sub> binds both RNA and DNA in vitro as predicted from its properties in vivo and as observed for other retroviral NCs and NC precursors (Khan & Giedroc, 1992; Surovoy et al., 1993; You & McHenry, 1993, and references therein). It was recently demonstrated that the precursor peptide NC71 stimulates denaturation and renaturation of nucleic acids in vitro (Khan & Giedroc, 1992; Tsuchihashi & Brown, 1994). We now show that the fully mature NC<sub>55</sub> peptide also destabilizes nucleic acid duplexes (Figure 3). This is consistent with studies showing that both NC<sub>55</sub> (Rodríguez-Rodríguez et al., 1995; You & McHenry, 1994) and NC<sub>71</sub> (Peliska et al., 1994) stimulate strand switching during DNA polymerization in vitro, presumably by facilitating transitions between double- and single-stranded nucleic acid conformers (Tsuchihashi & Brown, 1994). It is not clear whether these peptides have quantitatively identical helix destabilizing/annealing activities and whether one or both function in this capacity during viral DNA synthesis and recombination in the cell.

Addition of NC<sub>55</sub> to HIV-1 RT-catalyzed, steady-state DNA polymerization reactions reduced product yields on

both RNA and DNA templates (Figures 4–6). The steadystate rate of processive polymerization by HIV-1 RT is largely determined by the rate of RT dissociation from growing strands (Klarmann et al., 1993). Thus, our observation that NC<sub>55</sub> reduces product yield but not length (Figure 5) suggests that NC<sub>55</sub> interferes with steady-state RT turnover. This mechanism is further supported by experiments showing that the effect of NC55 on product yield is dependent on RT concentration and on the order of addition of reaction components (Figure 6). HIV-1 RT catalyzes DNA polymerization by an ordered kinetic mechanism in which RT first binds to primer template to form a binary complex which then binds dNTP to form a ternary complex prior to covalent nucleotide addition (Hsieh et al., 1993; Kati et al., 1992; Majumdar et al., 1988; Reardon, 1993). In our experiments, addition of NC55 to preformed RT-primer. template binary complexes prior to addition of Mg<sup>2+</sup> and dNTPs inhibited primer extension (Figure 6B). Binding of HIV-1 RT to primer template is stabilized by inclusion of Mg<sup>2+</sup> and the next correct dNTP (Hsieh et al., 1993; Kati et al., 1992; Perrino & Mekosh, 1992). Thus, addition of NC<sub>55</sub> to reactions containing only RT-primer template may disrupt these less stable binary complexes. NC<sub>55</sub> also

inhibited primer extension when added prior to RT (Figure 6B). The observation that inhibition is dependent on NC<sub>55</sub> concentration and is relieved by addition of excess RT (Figure 6A) suggests that NC<sub>55</sub> competes with RT for primer template binding. Together these data indicate that NC<sub>55</sub> reduces product yield by destabilizing RT-primer• template binary complexes and preventing or slowing their reformation in the steady state. When NC55 is added to preformed RT-primer template-dNTP ternary complexes, polymerization proceeds but is still restricted to essentially a single processive cycle.

The inhibition of RT cycling by NC<sub>55</sub> may have important ramifications in vivo. It implies that a strict order of addition is required for the initiation of viral DNA synthesis from tRNA primers and that strand switching after normal retroviral "strong stop DNA" synthesis requires either a processive mechanism or disassembly and ordered reassembly of the replication complex. Reinitiation of polymerization at pause sites where RT dissociates from the primer template (Klarmann et al., 1993) may similarly be affected by NC55 in vivo. The virus must somehow circumvent these inhibitory effects of NC55 to ensure efficient initiation and propagation of viral DNA synthesis. Preferential binding of retroviral RTs to their cognate tRNA primers may provide a mechanism for ordered addition during initiation of viral DNA synthesis (Barat et al., 1993). It is not clear whether another loading factor, a processivity factor, or some other mechanism functions to overcome the inhibitory effects of NC55 after strand switching and paused synthesis in vivo.

To determine the effect of NC<sub>55</sub> on HIV-1 RT processivity, we examined DNA polymerization on two HIV-1 minusstrand DNA template sequences: the D3/CTS region in the pol gene and the cRRE region in the env gene. These templates were chosen (1) because they are copied inefficiently by HIV-1 RT alone and likely form intramolecular secondary structures (Charneau et al., 1994; Klarmann et al., 1993; Le et al., 1988), and (2) because primer template combinations were identified with primers both proximal to template regions of interest and resistant to helix destabilization by NC<sub>55</sub>. Addition of NC<sub>55</sub> to preformed RT-primer• template-dNTP complexes increased the yields of longer products relative to shorter products on both the env and pol templates (Figures 7 and 8). Because these reactions were conducted under steady-state conditions and because NC<sub>55</sub> restricts polymerization to essentially one steady-state cycle (see above), this increase in product length reflects an increase in HIV-1 RT processivity. Quantitation of RT termination probabilities and first-order rate constants show that the stimulatory effect of NC<sub>55</sub> varies from site to site, ranging from no effect (R1-R4, D4, D6-D8, and D16) to about a 10-fold increase (D3/CTS; Figures 4A, 7C, and 8C). Stimulatory effects have also recently been observed for the p15 precursor of HIV-1 NC<sub>55</sub> during RNA-templated DNA polymerization (Volkmann et al., 1993), and earlier reports show that NC from avian myeloblastosis virus stimulates both RNA- and DNA-templated polymerization by RT as well as DNA-templated polymerization by mammalian DNA polymerase α (Sykora & Moelling, 1981). In accord with our data on HIV-1 NC<sub>55</sub>, stimulation by the avian retroviral NC varies at different template sequences (Sykora & Moelling, 1981). While this manuscript was in preparation, Bambara and colleagues (Rodríguez-Rodríguez et al., 1995) reported a minimal effect of NC<sub>55</sub> on the overall processivity of HIV-1 RT in vitro but noted a redistribution in the relative yields of specific pause products over the 90-nucleotide RNA template examined. Tanchou et al. (1995) also recently showed that the NC<sub>72</sub> precursor peptide increases HIV-1 RT processivity at specific RNA template sites in vitro. The site-specificity of stimulation by NCs may reflect different mechanisms contributing to paused synthesis (Abbotts et al., 1993; Klarmann et al., 1993) and/or preferential binding of NC to specific template sequences (Sakaguchi et al., 1993).

Our studies provide some clues about the mechanism of action of NC<sub>55</sub> on RT processivity. It is unlikely that NC<sub>55</sub> stimulates polymerization through D2 (Figure 5) by functioning as a processivity clamp (Kornberg & Baker, 1992), since HIV-1 RT already binds persistently to paused D2 primer templates even in the absence of NC<sub>55</sub> (Klarmann et al., 1993) and any increase in primer template binding affinity is expected to have no measurable effect under these experimental conditions. Another possible mechanism is that NC<sub>55</sub> induces longer product formation by stimulating the transfer of nascent strands to partially homologous template regions that are relatively free of structures that impede polymerization. However, the fact that NC<sub>55</sub> induces shifts to longer products without changing pause site identities (Figures 5 and 7B) argues against a template switching mechanism. The presence of secondary structures in cRRE, D3/CTS, and D2 and the observation that NC<sub>55</sub> (Figure 3) and its precursors (Khan & Giedroc, 1992; Tsuchihashi & Brown, 1994) destabilize helices suggest that NC<sub>55</sub> functions, at least in part, by removing template secondary structures. In our reactions, NC55 was in large excess over RT at a concentration sufficient to coat  $\sim$ 50% of template nucleotides (assuming one NC<sub>55</sub> binds approximately seven nucleotides; You & McHenry, 1993), suggesting that NC<sub>55</sub> imparts its effect by direct interaction with the template. However, recent studies indicate that the NC<sub>71</sub> precursor peptide interacts with HIV-1 RT in vitro (Peliska et al., 1994). Similar interactions between mature NC55 and HIV-1 RT could bring about conformational or other biophysical changes that also increase RT processivity. Taken together, the data indicate that NC<sub>55</sub> increases RT processivity (1) by coating template nucleotides and removing secondary structures that block RT progression and/or (2) by directly stimulating RT itself to copy through secondary structures.

The activities of retroviral NCs are remarkably similar to those of single-strand binding proteins (SSBs) that function as accessory DNA replication factors in other organisms [reviewed by Chase and Williams (1986) and Kornberg and Baker (1992)]. SSBs, like retroviral NCs, preferentially and stoichiometrically bind single-stranded nucleic acids and facilitate denaturation and renaturation of double-stranded helices. Although NCs appear to bind nucleic acids with lower cooperativity (Karpel et al., 1987; Khan & Giedroc, 1992; You & McHenry, 1993), both SSBs and NCs stimulate polymerization to a similar extent (2-10-fold for NC<sub>55</sub> and 3-10-fold for T4 gene 32 protein) and in a manner dependent on addition order, protein:nucleotide stoichiometry, and template site (Huang & Hearst, 1980; Huang et al., 1981). Both also function in part by destabilizing template secondary structures that impede polymerase progression (Huang & Hearst, 1980; Huang et al., 1981; LaDuca et al., 1983). When considered together, the data suggest

that retroviral NCs are functional homologues of SSBs from other organisms.

Like other SSBs, NCs have multiple effects on nucleic acids and may play several overlapping roles in viral DNA synthesis, including genomic folding and packaging (Herschlag et al., 1994), primer selection (Barat et al., 1993; De Rocquigny et al., 1992), promotion of strand transfers (Allain et al., 1994; Peliska et al., 1994; You & McHenry, 1994), modulation of polymerase fidelity (Kunkel et al., 1979; Varela-Echavarría et al., 1992), and stimulation of DNA polymerization through regions of template secondary structure. Selective destabilization of short nucleic acid duplexes (Figure 3; Khan & Giedroc, 1992; Tsuchihashi & Brown, 1994) may also influence strand displacement synthesis and the availability of primers for plus-strand DNA synthesis in vivo. Additional genetic and biochemical studies are required to clearly define the role(s) of NC during viral DNA synthesis.

The presence of multiple viral proteins associated with viral DNA in vivo suggests that DNA synthesis occurs as part of a multicomponent complex. Our studies show that NC<sub>55</sub> stimulates DNA synthesis in vitro up to 10-fold. However, this effect is site-specific, and RT is still unable to produce viral genomic-length products ( $\sim$ 9.5 kb). Thus, other accessory replication factors may be required for viral DNA synthesis in vivo (Bandyopadhyay, 1977; Lee & Hung, 1977; Leis & Hurwitz, 1972; Padhy et al., 1976; Takahashi et al., 1995). A complete understanding of HIV-1 DNA synthesis requires knowledge of the activities of the viral DNA replication complex and the functions, interactions, and stoichiometries of its individual components. Detailed biochemical studies of HIV-1 DNA synthesis may also identify new strategies for the design of antiviral therapies. HIV-1 NC<sub>55</sub> is an attractive antiviral target because it is multifunctional, virally encoded, and may be required for viral DNA synthesis.

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