Electronic Structure of Chemically-Prepared Li_xMn₂O₄ Determined by Mn X-ray **Absorption and Emission Spectroscopies**

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We have performed Mn K-edge X-ray Absorption and Mn L-edge emission spectroscopies on LiMn₂O₄, its chemically delithiated and lithiated derivatives (λ-MnO₂ and Li₂Mn₂O₄, respectively), and two Mn³⁺ spinel model compounds. These experiments were undertaken to understand the associated changes in atomic and electronic structure occurring when LiMn₂O₄ is used in a rechargeable lithium cell. Subtle changes in the Mn K-edge X-ray absorption near edge structure (XANES) occur upon delithiation that are consistent with literature reports of the oxidation of Mn³⁺ to Mn⁴⁺, retention of the cubic phase, and contraction of the spinel lattice. Conversely, when LiMn₂O₄ is lithiated, the XANES changes dramatically due to the concurrent transformation from a cubic to a tetragonal spinel. The spectrum is different from XANES of tetragonal Mn3+ spinels possessing approximately the same degree of tetragonal distortion as Li₂Mn₂O₄. This spectral difference is attributed to the inserted Li⁺ imparting an increased degree of covalency within the Li₂Mn₂O₄ structure resulting in a 1s \rightarrow 4p + LMCT (ligand to metal charge transfer) shakedown. This increase in covalency was confirmed through Mn L-edge X-ray Emission Spectroscopy measurements. The increased degree of covalency provides insight into the lower Li+ diffusion coefficients reported in the literature and the electronic conduction mechanism for $\text{Li}_x \text{Mn}_2 \text{O}_4$ when x > 1.

Introduction

Certain transition-metal oxides possess a high reversible potential versus the lithium electrode resulting in a high specific energy for a Li/metal oxide cell. This property makes transitionmetal oxides such as LiCoO₂, LiNiO₂, and LiMn₂O₄ desirable as positive electrode materials for rechargeable Li batteries. Among the candidates, LiMn₂O₄^{1,2} offers the advantages of low cost and low toxicity over the cobalt or nickel-containing oxides.³ X-ray and neutron diffraction experiments have been the methodology employed in most structural studies of the Li⁺insertion reaction in LiMn₂O₄. These studies revealed that upon delithiation of LiMn₂O₄ the lattice contracts while retaining the

according to the proposed reaction:⁴ $\text{Li}_{1-x}[\text{Mn}_{1-x}^{3+} \text{Mn}_{1+x}^{4+}]O_4 \leftarrow \frac{-x\text{Li}^+ - x\text{e}^-}{}$ $Li[Mn^{3+}Mn^{4+}]O_4 \xrightarrow{+xLi^+ + xe^-}$

cubic structure, whereas lithiating LiMn₂O₄ causes a first-order transformation of the cubic spinel (space group $Fd\overline{3}m$) into the

tetragonal phase Li₂Mn₂O₄ (space group I4₁/amd) with a c/a-

axis ratio between 1.16 and 1.18.2,4-7 Diffraction methods are

sensitive to atomic structure, but the Li+-insertion reaction

involves changes in electronic as well as atomic structure,

 $\text{Li}_{1+x}[\text{Mn}_{1+x}^{3+}\text{Mn}_{1-x}^{4+}]\text{O}_4$ (1)

X-ray absorption near edge structure (XANES) spectra have been utilized in a number of experimental studies on transitionmetal oxides to probe the local atomic and electronic structure surrounding the transition metal.⁸⁻¹² XAS studies during delithiation and relithiation of LiMn₂O₄ were reported by Ammundsen et al. for chemically prepared samples^{13,14} and Shiraishi et al. 15 along with Shinshu et al. 16 for electrochemically prepared samples. Their findings supported the left-half of the proposed insertion reaction mechanism (1) and showed that delithiation is not accompanied by a large change in local structure. Additionally, it has been shown that the L-edge X-ray emission spectroscopy (L-XES) of transition metals is sensitive to the degree of covalency through the L_{α}/L_{β} emission ratio.

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This was originally shown for Cu compounds¹⁷ and has been more recently demonstrated with Mn compounds¹⁸ and applied to substituted LiMn₂O₄ materials.¹⁹

Both XANES and L-XES spectroscopies provide a method to obtain electronic structural information with a sample in the functional form (the powder within a Li rechargeable battery composite electrode) and at the service temperature (room temperature) of the material. In contrast, determining electronic structure through property measurements such as magnetic susceptibility, electrical conductivity, and/or Seebeck coefficient involves collecting data at high and low temperatures and, in the case of the latter two techniques, processing the powder into a monolithic shape which may not be representative of the powder.²⁰ Furthermore, the low decomposition temperature of delithiated and lithiated LiMn₂O₄^{21,22} limits the temperature range for synthesis of the necessary monoliths. Also, XANES and L-XES are bulk probes of the structure and thus not highly sensitive to surface defects. In the case of LiMn₂O₄, the penetration depth of an X-ray photon at the Mn K-edge is on the order of the particle size, 9 μ m, and that of Mn L_{α} photons are approximately 0.25 μ m. In contrast, X-ray photoelectron spectroscopy is much more surface sensitive with a penetration depth of approximately 20 Å;23 this dimension is on the order of two spinel unit cells.

In light of these considerations and the aforementioned role of electronic structure in this system, we have performed XANES and L-XES measurements on LiMn₂O₄ along with its lithiated derivative, delithiated derivative, and two Mn³⁺ spinel model compounds. This effort was undertaken in order to understand the changes occurring in the local electronic and atomic structure of LiMn₂O₄ as a function of lithium content. We have applied XANES and L-XES to the fully lithiated material where the electronic structure is markedly different from that expected based upon the local atomic structure. By evaluating the local atomic and electronic structural changes occurring upon both delithiation and lithiation we have obtained an improved insight into the Li insertion-reaction mechanism. Additionally, our findings show the importance of directly determining the local electronic structure in oxides such as Li_xMn₂O₄ (where x represents lithium contents between 0.1 and 2.0) using techniques such as XANES and L-XES. This methodology is in contrast to the more common approach of inferring the electronic structure based upon the local atomic structure derived from refinements of diffraction data.

Experimental Apparatus and Procedures

One LiMn₂O₄ powder used in this work, denoted LiMn₂O₄-A, was a gift from Chemetal, Inc. Lithium extraction to yield λ -MnO₂ was performed using the procedure of Hunter:²¹ 3 g of spinel were added to 80 mL of 1 M H₂SO₄, stirred in an open container for 26 h and then dried overnight at 85 °C under a vacuum of 25 in. Hg. Lithium insertion was accomplished using the method of Tarascon et al.²⁴ Two grams of spinel were placed in 75 mL of a 1.33 M solution of LiI in CH₃CN and refluxed under an Ar atmosphere for 4.5 h. Afterward, the solution was filtered, rinsed thoroughly in clean CH₃CN, and dried overnight at 85 °C under a vacuum of 25 in. Hg. This lithiated powder is denoted Li₂Mn₂O₄-A.

The other LiMn₂O₄ powder used in this work, denoted as LiMn₂O₄-B, was synthesized in our laboratory. MnO₂ (CMD, IC#5 from Japan Metals and Chemical) and LiOH·H₂O (Spectrum Chemical, 99.9% purity) were mixed in n-hexane and then heated at 20 °C/min to 750 °C for 40 h with furnace cooling. The powder was then reground in n-hexane, reheated at 20 °C/

min to 750 °C for 40 h, cooled at 0.8 °C/min to 100 °C, and finally reground in n-hexane. Chemical lithiation as described above was performed on a portion of this powder and is denoted as $\text{Li}_2\text{Mn}_2\text{O}_4\text{-B}$.

The tetragonal spinels ZnMn₂O₄ and MgMn₂O₄ were synthesized by mixing stoichiometric amounts of 3Zn(OH)₂·2Zn-(CO₃) (from EM Science GR) or 4Mg(CO₃)·Mg(OH)₂·4H₂O (Mallicnrodt AR) with MnCO₃ (Baker Reagent) and acetone in a ball-mill for 3 h, using 2 mm diameter yttria-stabilized zirconia balls. After mixing, the powders were fired first at 900 °C for 24 h and then at 1050 °C for 48 h. A 0.8 °C/min cooling rate was used in both firing steps, and the powders were ground in *n*-hexane after each firing.

X-ray diffraction (XRD) was performed with a Siemens D5000 diffractometer using Cu K α radiation and a diffracted beam graphite monochromator. Data were collected over a range of 15 to 90° 2 Θ using a 0.05° step size and a 2 s counting time. A 2 Θ calibration function was generated by fitting a second-order polynomial to the shift in the Si peak position on the 2 Θ scale. The shifts of the Si peak positions were determined by the difference between the observed peak positions of an internal Si standard and those calculated based upon a lattice parameter of 5.4309 Å. Lattice parameters of the spinels were obtained by refining the calibrated 2 Θ peak positions using a nonlinear least squares algorithm found in the program UnitCell '9625 to minimize the residuals in 2 Θ .

The lattice parameter of the Chemetal LiMn₂O₄ (LiMn₂O₄-A) was found to be 8.245 Å. The synthesized λ -MnO₂ was determined by XRD to possess a lattice parameter of 8.043 Å; consistent with the reported formula Li_{0.2}Mn₂O₄.^{7,26,27} The XRD pattern of Li₂Mn₂O₄-A showed that full conversion to a tetragonal spinel was achieved. The resulting lattice parameters were $a_t = 5.654$ Å and $c_t = 9.249$ Å; these dimensions are similar to those previously reported for the formula Li_{2.0}Mn₂O₄ in refs 5 through 7. The lattice parameter of LiMn₂O₄-B was found to be 8.231 Å whereas those of Li₂Mn₂O₄-B were a_t = 5.655 Å and $c_t = 9.187$ Å. The unit cell volumes between the two sets of LiMn₂O₄ and Li₂Mn₂O₄ compounds differ by less than 0.5%. The lattice parameters of ZnMn₂O₄ were $a_t = 5.715$ Å and $c_t = 9.256$ Å; those of MgMn₂O₄ were $a_t = 5.726$ Å and $c_t = 9.333$ Å. These values are consistent with those reported in refs 28 and 29, respectively. No peaks attributable to impurity phases were found within the XRD patterns from the materials used in this study.

Manganese K-edge XANES were collected at the Stanford Synchrotron Radiation Laboratory (SSRL) on bend magnet Beamline 2-3 with a Si(220) double-crystal monochromator and 0.5 mm vertical, 2 mm horizontal exit slits. To reduce the amount of higher harmonics, the incident beam was detuned to 33% of the maximum intensity. The ring energy was 3.0 GeV with 100 mA current at the top of the fill. Data were collected with a 0.25 eV step size and a 2 s counting time through the edge region. Data reduction was performed using the EXAF-SPAK analysis package available from SSRL. Transmission data from two scans of each sample were averaged and the background was subtracted using a straight line from 6310 to 6520 eV. The XANES were normalized at 6575 eV to a quartic spline fit through the atomic falloff. Second derivatives were calculated after smoothing with a third-order polynomial over a 1.5 eV window. For the XANES measurements, oxide powders were mixed in a 1:16 ratio with boron nitride (BN) using a mortar and pestle. After mixing, 0.150 g was placed in a 0.17 cm thick sample holder (1.3 cm diameter) and enclosed with 0.002 in. thick Kapton tape. Preparation of the air-sensitive

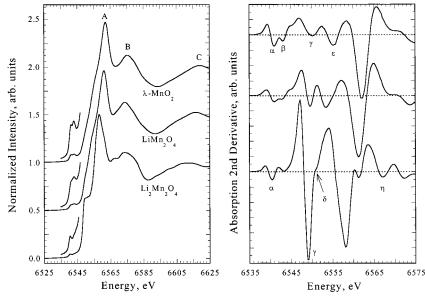


Figure 1. (Left) normalized XANES of LiMn₂O₄-A along with chemically prepared λ - MnO₂ and Li₂Mn₂O₄-A. Inset shows magnified view of the preedge. (Right) second derivative of each XANES. Note that features $\alpha, \beta, \gamma, \epsilon$, and η correspond to local minima whereas feature δ corresponds to the point where the derivative value is zero.

TABLE 1: XANES Peak Positions for Spectra Shown in Figures $1-3^a$

	energy position, eV									
sample	α	β	γ	δ	ϵ	A	η	В	<i>C</i>	
λ-MnO ₂	6541.1	6543.2	6550.3		6555.4	6562.1		6575.4	6619.5	
LiMn ₂ O ₄ -A	6541.1	6542.9	6549.6		6553.5	6561.1		6573.9	6616.5	
Li ₂ Mn ₂ O ₄ -A	6540.6		6549.1	6550.8		6558.3	6567.0	6573.6	6613.5	
LiMn ₂ O ₄ -B	6541.0	6543.0	6549.8		6553.7	6561.2		6574.0	6616.4	
Li ₂ Mn ₂ O ₄ -B	6540.4		6549.1	6550.8		6558.4	6567.1	6573.4	6612.3	
$MgMn_2O_4$	6540.4		6551.2	6553.1		6559.6		6572.2	6611.6	
ZnMn ₂ O ₄	6540.4		6551.7	6553.3		6560.0		6572.0	6612.4	

^a The notation A corresponds to LiMn₂O₄ and Li₂Mn₂O₄ originating from Chemetal LiMn₂O₄ whereas B denotes those originating from LiMn₂O₄ synthesized in our laboratory. Positions of features denoted by Roman letters correspond to features in the XANES spectrum while those denoted by Greek letters were determined from the second derivatives.

Li₂Mn₂O₄ XAS sample was performed in an Ar-filled glovebag using anhydrous BN. The thickness of each sample was determined to be approximately one absorption length at the Mn K-edge.

Manganese L-XES spectra were recorded using the University of Tennessee soft X-ray fluorescence endstation on Beamline 8.0 at the Advanced Light Source. This is an undulator beamline with a spherical grating monochromator, as has been previously described.³⁰ The ring energy was 1.9 GeV and the current at the top of the fill was 400 mA. A 925 l/mm grating was used to monochromatize the above threshold excitation energy for Mn at \sim 680 eV. The soft X-ray fluorescence endstation³⁰ consists of a Rowland circle-type emission spectrometer with a fixed entrance slit and a 1500 l/mm grating mounted on a 10 m Rowland circle. The X-ray fluorescence is refocused onto a multichannel plate area detector, enabling the entire emission spectrum to be obtained without scanning the detector. With an entrance slit of 50 μ m, the resolution of this detector corresponds to approximately 1.1 eV in the Mn fluorescence region. Data collection was ~20 min and the resultant spectra were then smoothed over a 13 point region using the commercially available program Origin. Mn Emission spectra were set to zero intensity at 680 eV, normalized to unit intensity at the L_{β} peak, and calibrated relative to the L_{β} emission of MnO.³¹ For the L-XES measurements, the pure oxide powders were pressed into pellets. The Li₂Mn₂O₄ sample (Li₂Mn₂O₄-B) was prepared in a N₂ glovebag. All L-XES samples were measured in a vacuum of better than 1×10^{-8} Torr.

Results

Mn K-edge XANES of LiMn₂O₄-A and chemically prepared λ-MnO₂ and Li₂Mn₂O₄-A are given in Figure 1(left); a magnification of the preedge region is included to facilitate comparison. The XANES second derivatives are shown in the right panel of Figure 1. The energy positions of the spectral features labeled in Figure 1 are shown in Table 1 using the convention of capital Roman letters (A, B, C) to denote XANES features and small Greek letters $(\alpha, \beta, \gamma, \delta, \epsilon, \eta)$ to denote XANES second derivative features. The spectral shapes from the chemically prepared samples are consistent with spectra measured on electrochemically delithiated and lithiated samples.³² Consequently, further discussion concerning the tetragonal spinel Li₂Mn₂O₄ is applicable to the entire range of tetragonal compositions, $1.8 \le x \le 2.2$, and we invoke the general term t-Li_xMn₂O₄ interchangeably with Li₂Mn₂O₄ for structural interpretation. In a similar manner the term c-Li_xMn₂O₄ will be applied to the entire range of cubic compositions, $0.1 \le x \le$ 1.07. However, λ -MnO₂ and Li₂Mn₂O₄ will be used when referring specifically to the XANES measured in this study. These assignments are important for correlating the structural observations to the electrochemical properties and performance later in this paper.

A first observation from Figure 1 and the data in Table 1 is that the spectral features shift in energy upon changing the Li content. The shifts to higher energy upon delithiation and lower energy upon lithiation (as compared to the position of LiMn₂O₄-

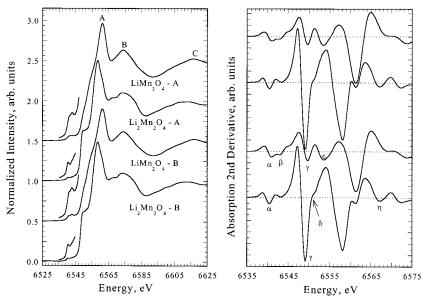


Figure 2. (Left) normalized XANES of LiMn₂O₄ from two different sources and corresponding Li₂Mn₂O₄ synthesized from each. Inset shows magnified view of the preedge region. (Right) second derivative of each XANES as described in Figure 1.

A) suggest that the average Mn oxidation state changes according to reaction 1. However, the magnitudes of the shifts from LiMn₂O₄-A are different; approximately +1 eV upon delithiation (i.e., oxidizing the [Mn³⁺O₆] octahedra) versus -2.8 eV when lithiating (i.e., reducing the [Mn⁴⁺O₆] octahedra). This difference in the magnitude of the edge shift upon delithiation and lithiation will be discussed below.

Differences in the spectral shapes of the preedge and main edge upon changing the lithium content are apparent upon inspecting both the XANES (Figure 1, left) and the second derivative XANES (Figure 1, right). Changes occurring upon delithiation are relatively minor. Small differences are seen in the preedge region, however, the rising edge and above threshold regions are very similar in the LiMn₂O₄-A and λ -MnO₂ spectra. These observations are consistent with the XANES data presented in refs 13-15 and 32. The similarity between the LiMn₂O₄-A and λ -MnO₂ spectra is not surprising since there is a change only in unit cell dimensions and not in crystal structure upon delithiation. Conversely, there is a large alteration of the spectral shape upon lithiation. The most significant change is the manifestation of a step halfway up the edge. This type of feature has been associated with square-planar transition-metal compounds^{33–36} and with a 1s \rightarrow 4p + LMCT shakedown process in copper compounds;^{37–39} further discussion of this topic is found below. Lithiation also results in an additional peak forming (feature (η)) approximately 8 eV above the main peak as noted in Table 1. This additional peak was also found in both the Cr and Mn XANES of lithiated, Cr-substituted spinels, $\text{Li}_2\text{Mn}_{2-y}\text{Cr}_y\text{O}_4$ (y = 0.2 and 1.0).⁴⁰ In general, higher energy XANES peaks have been attributed to multiple scattering from surrounding shells^{9,11,13} as discussed below. Both the manifestation of a step in the edge and the peak associated with feature η were also found in both the Cr and Mn XANES of lithiated, Cr-substituted spinels, $\text{Li}_2\text{Mn}_{2-y}\text{Cr}_y\text{O}_4$ (y = 0.2 and 1.0).40

The qualitative similarity between the XANES from square-planar compounds and the Li₂Mn₂O₄-A XANES, as indicated by the presence of a step halfway up the edge, is surprising since the Mn site in t-Li_xMn₂O₄ is within a tetragonally distorted [MnO₆] octahedron possessing a c/a-axis ratio between 1.16 and 1.18.^{2,5-7} To ascertain if this result was dependent on the source of the LiMn₂O₄ material we also measured the XANES

of LiMn₂O₄-B synthesized in our laboratory, along with the chemically lithiated derivative of this powder, Li₂Mn₂O₄-B. There is excellent agreement between the XANES of these two sets of materials as shown by the data in Figure 2 and Table 1. As mentioned at the beginning of this section, the XANES and the second derivative spectral features of both chemically lithiated powders are in good agreement with the XANES of electrochemically lithiated samples of t-Li_xMn₂O₄.³² This indicates that the edge step manifests from local atomic and electronic structural characteristics of t-Li_xMn₂O₄ rather than being specific to one sample or an artifact due to the method of preparation. Given the similarities of the two Li₂Mn₂O₄ spectra further discussion will not be specific to sample origin.

To assist in understanding the t-Li_xMn₂O₄ structure we measured the XANES of two model tetragonal Mn³⁺ spinels, ZnMn₂O₄ and MgMn₂O₄. The atomic structures of these oxides are very similar to Li₂Mn₂O₄; both belong to space group $I4_1/amd$ with c/a-axis ratios equal to 1.14.^{28,29} The Zn or Mg ions reside in a tetrahedral site, whereas the Mn occupy an octahedral site. Figure 3 compares the spectra of the model compounds with that of Li₂Mn₂O₄; the second derivatives of the XANES are shown in the right panel of Figure 3. The preedges of these compounds are relatively similar while the edges differ in several aspects. A shoulder instead of a step is observed in the rising edge of the model compound XANES. Table 2 shows that the energy separations between the main peak (A) and the shoulder in the edge (δ) are approximately 1 eV lower in the model compounds as compared to Li₂Mn₂O₄. Additionally, the main peak (A) and edge shoulder/edge step energies (δ) are shifted approximately 1 and 2 eV, respectively, to higher energy in the model compounds as compared to Li2-Mn₂O₄. Last, the structure of peak (B) differs; the additional Li₂Mn₂O₄ XANES feature at approximately 6568 eV, not found in the parent LiMn₂O₄ XANES, is also not present in the model compound XANES.

The fact that the XANES main peak (A) is at a lower energy for $\mathrm{Li_2Mn_2O_4}$ compared to the model compounds suggests that a greater degree of covalency may exist within the former compound for reasons discussed in the next section. To ascertain whether this assertion is correct, we have measured the Mn L-XES to compare the relative degree of covalency between these three compounds.

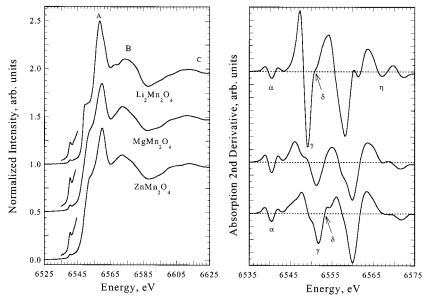


Figure 3. (Left) comparison of XANES from Li₂Mn₂O₄ with the tetragonal spinels ZnMn₂O₄ and MgMn₂O₄. (Right) second derivative of each XANES as described in Figure 1.

TABLE 2: Energy Difference between Features A and δ in XANES of Li₂Mn₂O₄, MgMn₂O₄, and ZnMn₂O₄ and As Compared to Li₂Mn₂O₄

	Li ₂ Mn ₂ O ₄ -A	Li ₂ Mn ₂ O ₄ -B	$MgMn_2O_4$	ZnMn ₂ O ₄
$\Delta E_{\mathrm{A}-\delta}$	7.5	7.6	6.5	6.7
$\Delta\delta$ (vs Li ₂ Mn ₂ O ₄)			+2.3	+2.5

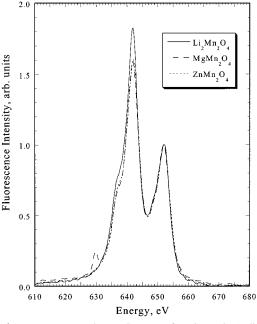


Figure 4. Manganese L-edge XES spectra for Li₂Mn₂O₄ (solid line), MgMn₂O₄ (dash-dotted line), and ZnMn₂O₄ (dashed line).

The L-emission spectra of Li₂Mn₂O₄ and the model Mn³⁺ tetragonal spinels are shown in Figure 4. All three spectra exhibit similar structure: two main peaks (L_{α} and L_{β}) with lower energy shoulders occurring at the same energy. The small peak at \sim 629.5 eV in the spectrum of MgMn₂O₄ is due to Mg K α emission recorded in 2nd order. This transition is made possible due to higher order reflections of the incident X-ray photons. The integrated peak ratios, determined by simulating the spectra with a series of four Lorentzians, are shown in Table 3. Figure 5 compares the fitted and experimental Li₂Mn₂O₄ L-emission spectra and also shows the four constituent peaks of the

TABLE 3: Mn L-Edge XES Integrated Peak Ratios for LiaMnaO4 MgMnaO4 and ZnMnaO4

Li ₂ Min ₂ O ₄ , MigMin ₂ O ₄ , and ZnMin ₂ O ₄				
sample	peak no.	peak area		
Li ₂ Mn ₂ O ₄	1	3.04		
	2	9.07		
	3	0.02		
	4	3.32		
	L_{α}/L_{β} ratio	3.63		
$MgMn_2O_4$	1	3.47		
· ·	2	10.7		
	3	1.71		
	4	4.87		
	L_{α}/L_{β} ratio	2.15		
$ZnMn_2O_4$	1	3.25		
	2	11.0		
	3	2.14		
	4	4.37		
	L_{α}/L_{β} ratio	2.18		
	L_{α}/L_{β} ratio = $(1+2)/(3+4)$			

simulation. The Mn L_{α} and L_{β} regions of the spectra are almost identical for ZnMn₂O₄ and MgMn₂O₄ whereas Li₂Mn₂O₄ has higher integrated intensity in the L_{α} region, with respect to the L_{β} region where the spectra were normalized. The L_{α}/L_{β} ratios for ZnMn₂O₄ and MgMn₂O₄ are 2.15 and 2.18, respectively, and the ratio for $\text{Li}_2\text{Mn}_2\text{O}_4$ is 3.63. A larger L_α/L_β ratio corresponds to a higher degree of covalency 19,31 and thus the simulation results substantiate the assertion that the degree of covalency is greater in the lithiated Mn³⁺ spinel as compared to the model Mn³⁺ tetragonal spinels.

Discussion

XANES Preedge Structure. The preedge absorption features in the XANES are assigned to a $1s \rightarrow 3d$ transition. Though this transition is dipole-forbidden for centrosymmetric compounds due to parity considerations, weak preedge features are commonly observed in the XANES of such compounds. Preedge transitions in the XANES of a centrosymmetric CuCl₄²⁻ single crystal were shown to originate primarily from coupling to the electric quadrupolar component of the radiation.⁴¹ A smaller contribution (approximately one-quarter of the total value) was attributed to a vibronically allowed dipole coupling mechanism

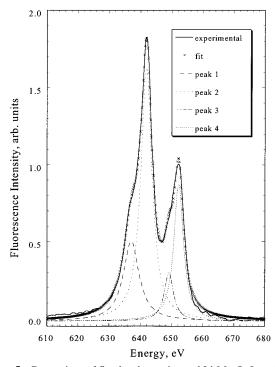


Figure 5. Comparison of fitted and experimental Li₂Mn₂O₄ L-emission spectra. Also shown are the four constituent peaks of the simulation.

as the $\text{CuCl}_4{}^2-$ compound possessed a center of inversion. The Mn site in c-Li_xMn₂O₄, 16d within the space group $Fd\bar{3}m$, possesses a center of inversion whereas the Mn site in t-Li_x-Mn₂O₄, 8d within the space group $I4_1/amd$, does not. 42 Furthermore, Fourier Transform Infrared spectroscopy studies have shown that the vibrational spectra of spinels are sensitive to the A-site occupancy. 43 Therefore, it would be expected that the vibrational-based contribution to the preedge peak intensity will differ among the compounds studied here which, in turn, would affect a comparison similar to that performed in ref 12.

LiMn₂O₄ is a type II mixed-valent compound containing a 1:1 mixture of Mn³⁺ and Mn⁴⁺ to give $\bar{z}_{Mn} = +3.50$. Reports that LiMn₂O₄ is a small polaron conductor⁴⁴ and the measured effective magnetic moment⁴⁵ are consistent with this interpretation of Mn oxidation state versus one in which each Mn possess an oxidation state of +3.5.⁴⁶ The mixture of oxidation states leads to a mixture of 1s \rightarrow 3d transition energies and consequently broadens the preedge spectra. Chemical delithiation to Li_{0.1}Mn₂O₄ oxidizes the Mn³⁺, resulting in a 90% Mn⁴⁺ compound. This explains the sharpening observed in the preedge of λ -MnO₂ versus the parent LiMn₂O₄ (see Figure 1, left) along with the increased preedge peak energy of λ -MnO₂ (features (α) and (β) in Table 1).

The single sharp preedge transition found in the t-Li_xMn₂O₄ sample, which consists almost entirely of [Mn³⁺O₆] octahedra, indicates a different Mn 3d orbital arrangement as compared to the c-Li_xMn₂O₄. A multiple-scattering X α calculation was performed by Kai et al.⁴⁷ for the Mn³⁺ energy levels in D_{4h} symmetry. In their calculations, the Jahn—Teller effect results in the splitting of the t_{2g} into a stabilized e_g and destabilized b_{2g} whereas the e_g splits into stabilized a_{1g} and destabilized b_{1g} states with an approximately 1 eV difference between b_{2g} and a_{1g}. Adopting this view of the electronic structure, we believe that the preedge transition at 6540.5 eV in t-Li_xMn₂O₄ (feature (α) in Figure 1, right) results from transitions to both the e_g and b_{2g} levels; their separation is unresolvable, whereas transitions to the a_{1g} and b_{1g} MO levels are spread into the rising edge. Our interpretation is supported by the Mn K-edge XANES

data on β -MnOOH in ref 12 which displays two clearly resolved preedge peaks that are shifted to lower energy with respect to various MnO₂ mineral samples. The higher energy preedge peak from β -MnOOH was less intense than the lower energy peak whereas the preedge peaks from MnO₂ mineral samples in the same study were more intense than either β -MnOOH preedge peak. β -MnOOH is a distorted [Mn³⁺O₆] octahedral compound with a smaller c/a-axis ratio (approximately equal to 1.05 from the data given in ref 48) compared to the tetragonal Mn³⁺ spinels studied here. The smaller degree of tetragonal distortion leads to a lower degree of splitting among the various levels. The difference in the preedge peak energy separation for β -MnOOH in ref 12 was approximately 2 eV. It follows that the greater preedge peak energy separation expected for the tetragonal Mn³⁺ spinels studied here should increase the second peak position to above 6543 eV making it indistinguishable from the rising edge and yielding a single observable preedge peak.

XANES Main Peak Shift. XANES main peak energies are affected when the core-electron binding energy is altered by changing the bond distance or electronic charge density on the absorbing ion.⁴⁹ Following reaction 1, the average nominal Mn oxidation state is expected to change from +3.50 to +3.90 upon delithiating LiMn₂O₄ to Li_{0.2}Mn₂O₄ (λ-MnO₂). This oxidation state change is 80% of that occurring upon changing the nominal Mn oxidation state from +3.50 to +3.00 as is expected upon lithiating LiMn₂O₄ to Li₂Mn₂O₄ or comparing LiMn₂O₄ and either model Mn³⁺ spinel, MgMn₂O₄ or ZnMn₂O₄. Therefore, the fact that the XANES main peak (A) shift upon delithiation, 1.0 eV, is approximately 300% smaller compared to lithiation, 2.8 eV, but more closely correlates to 80% of the shift between LiMn₂O₄ and MgMn₂O₄ or ZnMn₂O₄, 1.5 and 1.1 eV, respectively (see Figure 1 and Table 1) indicates that significant structural differences exist between Li₂Mn₂O₄ and the two model Mn³⁺ tetragonal spinels.

Calculations have shown that regular [Mn⁴⁺O₆] octahedra are more covalent than regular [Mn³⁺O₆] octahedra.⁵⁰ The consequence of the calculated increased covalency is an increased amount of electronic charge on the Mn⁴⁺ site; this in turn results in a lower core-electron binding energy compared to an ionic Mn⁴⁺ site.⁴⁹ An estimate on the amount of increase can be made from the Xα calculations of ref 50; the covalency was quantified at 69% in [Mn³⁺O₆] octahedra and 74% in [Mn⁴⁺O₆] octahedra. However, it was noted in the paper that the Jahn-Teller distortion of [Mn³⁺O₆] octahedra, not taken into account in the calculations, would most likely lower the calculated value for $[Mn^{3+}O_{6}]$ octahedra. The $[Mn^{3+}O_{6}]$ octahedra in $Li_{2}Mn_{2}O_{4}$ are distorted through the Jahn-Teller effect^{2,4} and it has been shown by EXAFS that tetragonally distorted [Mn³⁺O₆] octahedra are present within the cubic LiMn₂O₄ structure.^{32,51,52} Therefore, the covalency difference between the different [MnO₆] octahedra due to the change in Mn oxidation state does not account for the different shifts observed when delithiating and lithiating LiMn₂O₄.

The L-XES data shown in Table 3 reveal that a similar degree of covalency is present at the Mn site for MgMn₂O₄ and ZnMn₂O₄, however the main peak (A) energy in the XANES spectrum is 0.4 eV higher in the latter. Structural data from ref 29 reveal that the Mn-O distances within MgMn₂O₄ are 2.30 and 2.01 Å along the octahedral *c*-axis and *a*-axis distances, respectively. These distances yield an average Mn-O distance of 2.11 Å and a *c*/*a*-axis ratio equal to 1.14. For ZnMn₂O₄, data from ref 28 correspond to 2.22 and 1.95 Å for the *c*-axis and *a*-axis Mn-O distances, respectively, yielding an average Mn-O distance of 2.04 Å and a *c*/*a*-axis ratio equal to 1.14.

Given that the c/a-axis ratios and relative degree of covalency for these compounds are similar, we attribute the lower XANES main peak energy observed for MgMn₂O₄ to the greater Mn-O bond distances within the [Mn³⁺O₆] octahedra in comparison to ZnMn₂O₄. The longer bond distances stabilize the outer molecular orbitals with respect to the 1s core level leading to a lower main peak energy.⁴⁹

The average Mn-O bond distance of Li₂Mn₂O₄ is 2.08 Å, between that of MgMn₂O₄ and ZnMn₂O₄. However, the L-XES data reveal that the degree of covalency within Li₂Mn₂O₄ is approximately 100% larger than the Mn3+ model spinels based upon the estimate that a 1% increase in L_{α}/L_{β} ratio corresponds to a 1.6% increase in covalency. 18 Therefore, an increased degree of covalency in Li₂Mn₂O₄ as compared to the model Mn³⁺ spinels is likely to be the source of the greater than expected XANES peak shift occurring upon lithiation as compared to delithiation and can be elucidated through comparison of the XANES edge structure as discussed below.

Before beginning discussion of the XANES edge results it should be noted that the higher covalency of [Mn⁴⁺O₆] octahedra and the subsequent effect on the binding energy was not considered by Liu et al.53 in their study of the LiMn₂O₄ XANES at both the Mn K and L_{II,III}-edges. The authors of this study compared the peak positions of LiMn₂O₄ to the Mn⁴⁺ oxide MnO₂ and the Mn³⁺ oxide Mn₂O₃ to conclude that the average Mn oxidation state in LiMn₂O₄ is close to +4. This interpretation is incorrect, given the findings in refs 13 through 16, chemical analysis of LiMn₂O₄ performed in numerous studies (e.g., ref 45), electronic and magnetic properties of LiMn₂O₄, and results presented in this paper.

XANES Edge Structure. Features γ and ϵ in the c-Li_xMn₂O₄ XANES (see Figure 1) are indicative of slight curvature in the edge. In contrast, the XANES of first-row transition-metal compounds with regular octahedral symmetry possess smooth edges.^{34,35} Oxygen ions within spinels are shifted along (111) to accommodate the presence of tetrahedral cations, which slightly distorts the bond angles within the octahedra.⁵⁴ The magnitude of this shift is given by the difference in the oxygen positional coordinate (the spinel u parameter) from the ideal setting of u = 0.250 (or 0.375 depending on the crystallographic setting). In LiMn₂O₄ the *u* parameter is approximately 0.2625 (or 0.3875 for the other crystallographic setting)²⁶ while it increases slightly in delithiated materials.6,7,26,27 Ammundsen et al. modeled the XANES curvature with multiple-scattering ab initio calculations of the LiMn₂O₄ XANES. ^{13,14} Presumably the distortion of the octahedral sites within the lattice contributes to the multiple-scattering which in turn causes the observed features in the c-Li_xMn₂O₄ XANES rising edge.

The differences in peak B upon comparing the XANES of Li₂Mn₂O₄ and the Mn³⁺ spinel model compounds (Figure 3) indicate that the second and/or higher coordinate shells are different in t-Li_xMn₂O₄ as compared to other tetragonal spinels.^{9,11} Lithium ions insert into the 8c (or "empty" octahedral)⁵⁵ site of t-Li_xMn₂O₄ and a neutron diffraction study by David et al.⁶ concluded that approximately one-half of the tetrahedral, or original Li⁺, are displaced into the 8c site. The ionic radii of tetrahedral Li $^+$, Mg $^{\bar{2}+}$, and Zn $^{2+}$ are approximately the same (0.59, 0.57, and 0.60 Å, respectively),⁵⁶ thus the degree of Mn-O octahedral bond angle distortion due to the tetrahedral occupancy should be the same for all three of the Mn³⁺ spinels. Therefore, the difference in peak B among the t-Li_xMn₂O₄ and model tetragonal Mn³⁺ spinel XANES suggests that the 6568 eV peak in the t-Li_xMn₂O₄ XANES manifests from atomic displacements due to the inserted and displaced octahedral Li⁺.

These atomic displacements result in different multiple scattering paths in comparison to those taking place in the model tetragonal Mn³⁺ spinels.

XANES of transition-metal compounds with O_h symmetry possess an edge with a single, smooth maximum^{34,35} as the three 4p states are degenerate and give rise to the molecular orbital t_{1u} . Structures in the edge such as shoulders or steps occur when the t_{1u} molecular orbital is split by a lower-symmetry coordination. For the case of axially elongated octahedral coordination (D_{4h} symmetry), the p representational splitting is $t_{1u} \rightarrow a_{2u} +$ e_u. The metal-ligand interaction is lowered along the unique axis as compared to the equatorial axis; this stabilizes the a_{2u} molecular orbital and destabilizes the e_u. Experimental data³⁶ and multiple-scattering calculations^{34,36} have shown, in agreement, that the absorption edge structure is dependent upon the c/a-axis ratio for the distortion. As the degree of elongation increases, a shoulder first appears in the edge and moves to lower energy as the c/a-axis ratio increases. A distinct step halfway up the edge is observed when the c/a-axis ratio reaches values of around 1.30; the step intensity matches that expected for the ratio of transitions to a single state (a_{2u}) versus that of a doubly degenerate state (e_u). 34,36 Furthermore, when the excitation beam is polarized along the unique axis of a distorted octahedral compound, the maximum occurs at an energy corresponding to the shoulder/step feature of the nonpolarized spectra. The converse is true when the polarization vector lies within the equatorial plane at the maximum energy of the nonpolarized main peak.36

The data in Table 2 show that the edge discontinuities (δ) and main peaks (A) are more separated and at lower energies in Li₂Mn₂O₄ compared to the tetragonal Mn³⁺ model spinels. Combining this observation with only the XANES literature cited above and the known distances of MgMn₂O₄ and ZnMn₂O₄ would lead to the conclusion that the step appearing in t-Li_x-Mn₂O₄ manifests from a square-planar local coordination of the Mn site with a c/a-axis ratio approximately equal to 1.30 or greater. However, this conclusion conflicts with the atomic structural results of refs 2 and 5 through 7 which reveal that the Mn site symmetry and c/a-axis ratio of t-Li_xMn₂O₄ is similar to MgMn₂O₄ and ZnMn₂O₄. At this point the sensitivity of XANES to the electronic structure arising from the local symmetry must be recalled. Taking both of the diffraction-based and XAS-based views of the t-Li_xMn₂O₄ structure into account suggests that the Mn coordination polyhedra in t-Li_xMn₂O₄ can be described as atomically, or geometrically, tetragonal-distorted octahedra but electronically square planar. To understand this seemingly contradictory description, we must consider the differences among stoichiometry, bond nature, and site occupancy of t-Li_xMn₂O₄ versus that of the tetragonal Mn³⁺ model spinels.

One consequence of the inserted "guest" ion of intercalation or insertion compounds is the polarization of the anionic "host" lattice.⁵⁷ In spinels, the occupied and empty interstitial octahedral sites of the oxygen-based lattice are coplanar. Therefore, it would be expected that the inserted, 8c Li⁺ of t-Li_xMn₂O₄ will polarize the oxygen within the same plane as the equatorial Mn-O bonds. The neutron-diffraction study conducted by David et al.⁶ determined that the Li⁺ inserted at x > 1 together with displaced tetrahedral Li+ occupy approximately threequarters of the 8c sites in t-Li_xMn₂O₄. The Pauling electronegativity difference for Mg-O and Zn-O are 2.3 and 1.9, respectively, translating to an expected ionic character of 74% and 59%, respectively. The nearly identical XES L_{α}/L_{β} ratios found for ZnMn₂O₄ and MgMn₂O₄ imply that the degrees of

covalency within the Mn-O bonds are similar in these compounds and are not affected by the type of tetrahedral cation. These facts lead us to conclude that the differences in covalency among the tetragonal Mn3+ model spinels and t-Li_xMn₂O₄ derives from the 8c Li⁺ within the latter. The consequence of the increased covalency, in terms of a square-planar interpretation, is the redistribution of electronic charge density away from the Mn-O bond along the unique (4-fold) axis. In other words, there is less overlap between the Mn 3d and oxygen 2p₇ orbitals and increasing electronic charge density within the equatorial Mn-O bonds making the lithiated, tetragonal spinel somewhat analogous to a layered compound. This lowers the a_{2u} (Mn 4p_z) level with respect to the e_u $(4p_x & 4p_y)$ level by an amount greater than that found in tetragonal AB₂O₄ spinels possessing the same degree of octahedral distortion but empty 8c sites (e.g., MgMn₂O₄ and ZnMn₂O₄). The result of the increased splitting is the formation of the distinct edge step in the XANES.

The observed low energy peaks or steps found in the main edges of Cu(II) complexes have been attributed to a 1s \rightarrow 4p_z + LMCT shakedown transition.^{37,38} The phenomenology of this transition manifests from the relaxation of metal valence orbital levels to lower binding energy upon creation of a core-hole. An electron can then transfer to the metal from a ligand when the metal valence levels are below those of the ligand, giving rise to a feature on the rising edge.³⁸ As shown in ref 37 and explained in ref 38, an increased degree of covalency decreases the shakedown transition energy due to the greater relative stabilization of the metal valence orbital upon core ionization with respect to the ligand valence orbitals. Therefore, this interpretation of the t-LixMn2O4 XANES also entails an increased degree of covalency in t-Li_xMn₂O₄ as compared to nonlithiated tetragonal spinels such as MgMn₂O₄ and ZnMn₂O₄. The fact that a similar step was found in the Mn as well as Cr XANES of t-Li_xMn_{2-v}Cr_vO₄ in ref 40 indicates that the described phenomenology extends to other transition-metals residing on the 8d site. This has implications that are discussed within the next section.

The phenomenology of the $1s \rightarrow 4p + LMCT$ shakedown process explains how an atomically tetragonal compound can possess an XANES structure similar to an atomically square planar compound. For this study, the importance of these considerations lies in the fact that the inserted Li⁺ has a remarkable effect on the electronic structure. This effect, an increased degree of covalency, has not been discerned from previous electrochemical or structural investigations on this system. The fact that covalency plays a role within the structure of lithium manganospinels is not surprising as determination of the supertransferred hyperfine field coupling constant (through magnetic susceptibility and 7Li NMR measurements) suggested that covalency increases upon partial Mn substitution in LiMn_{2-y}Me_yO₄, where Me = Li, Co, or Ni. ⁵⁸ This finding has recently been confirmed with L-XES. ¹⁹

Correlation of Results to Properties and Performance. From the first-order nature of reaction 1 the lithiation, or discharge, of a LiMn₂O₄ particle can be envisioned as a shrinking core of c-Li_xMn₂O₄ with Li₂Mn₂O₄ comprising the periphery. Therefore, for discharge to continue Li⁺ must transport through Li₂Mn₂O₄. It has been observed that the Li⁺ diffusion constant is lower in t-Li_xMn₂O₄ compared to c-Li_x-Mn₂O₄^{4,59,60} which translates to the poor rate capabilities of the compositional region x > 1 (the lower discharge plateau). An explanation for this behavior is not readily apparent when considering that the Li⁺ diffusion pathway is similar for both phases (through octahedral-tetragonal-octahedral sites^{2,4}), the

unit cell volume is larger in t-Li $_x$ Mn $_2$ O $_4$ ² and vacancies for Li $^+$ transport exist on both octahedral and tetrahedral sites within each compositional region. Early work on the LiMn $_2$ O $_4$ system proposed that the dynamic Jahn—Teller Effect (JTE) present within the [Mn 3 +O $_6$] octahedra in c-Li $_x$ Mn $_2$ O $_4$ ^{32,51,52} enhances Li $^+$ transport. This work proposed that the lower Li $^+$ transport arises from the fact that the dynamic JTE is extinguished into a static JTE within t-Li $_x$ Mn $_2$ O $_4$. However, our work suggests another contribution, the increased "drag" on the Li $^+$ arising from its above-described polarization of the oxygen ions within t-Li $_x$ Mn $_2$ O $_4$. The results presented in ref 40 on t-Li $_x$ Mn $_2$ - $_y$ Cr $_y$ O $_4$ indicate that Mn substitution may not combat this contribution to the slower Li $^+$ transport.

An electron must accompany the inserted cation in order to maintain charge neutrality within the host. Electronic conduction in c-LiMn₂O₄ results from a small-polaron mechanism wherein the electron hops between the Mn³⁺ and Mn⁴⁺ sites.⁴⁴ However, the results presented in Figures 1 and 2 along with the results from K β X-ray emission spectroscopy measurements in ref 52 show that the electron accompanying the insertion of a Li⁺ reduces a Mn⁴⁺ to Mn³⁺. It would be expected that since tetragonal spinels with 100% Mn³⁺ occupancy of the 8d site are insulators (e.g., Mn₃O₄⁶¹), lithiation of the core would be prevented once Li₂Mn₂O₄ formed in the periphery. Electron localization occurs when it is more energetically favorable for it to polarize an atomic site than to reside within the narrow electronic bands of the solid.⁶¹ The polarization energy is proportional to the dielectric constant whereas the band energy is proportional to the bandwidth, W/2. An increased degree of covalency decreases the dielectric constant but increases the bandwidth. Therefore, it can be speculated that the electronic transport changes from a small-polaron mechanism in c-Li_x-Mn₂O₄ to a band mechanism within t-Li_xMn₂O₄. This transition then maintains the electronic conductivity necessary to accompany Li⁺ insertion all the way to complete reduction of the Mn^{4+} within the c-Li_x Mn_2O_4 core to Mn^{3+} .

As mentioned within the Introduction, the low decomposition temperature of $t\text{-}\text{Li}_x \text{Mn}_2 \text{O}_4$ inhibits determination of the electronic conduction mechanism by more traditional means. However, the insight into electronic structure determined from our X-ray spectroscopy results provides an interpretation of the electronic conduction mechanism during lithiation.

Conclusions

Manganese XANES and L-XES were used to study the changes in local atomic and electronic structure occurring upon changing the Li content in Li_xMn₂O₄. Comparing the XANES peak positions from LiMn₂O₄ and its chemically delithiated derivative along with the Mn3+ model spinels MgMn2O4 and ZnMn₂O₄ provides evidence supporting the assertion that Mn³⁺ is oxidized upon lithium removal. The XANES of λ -MnO₂ was similar to that of previously investigated electrochemically delithiated materials. Upon lithium insertion, the XANES peak positions indicate that Mn⁴⁺ is reduced. The resultant geometrically tetragonal structure has a XANES shape consistent with square-planar [MnO₆] or a 1s \rightarrow 4p LMCT shakedown transition. The edge shape arises from an anomaly in the electronic structure attributed to the presence of Li⁺ inserted into the previously empty octahedral positions within the spinel. L-XES measurements reveal that the degree of covalency is increased in Li₂Mn₂O₄ with respect to MgMn₂O₄ and ZnMn₂O₄, two Mn³⁺ spinels possessing a similar degree of tetragonal distortion. We conclude that lithiation of LiMn₂O₄ not only causes the well-known cubic to tetragonal phase transition, but also results in an increased degree of covalency with respect to the other $\rm Mn^{3+}$ tetragonal spinels studied. The increased covalency is a potential contributor to the lower rate capability of the $\rm Li^+$ insertion reaction when x > 1 in $\rm Li_x Mn_2O_4$ as observed in the literature. A,59,60 Additionally, given that $\rm LiMn_2O_4$ conducts electrons via the small-polaron mechanism among the $\rm Mn^{4+}$ and $\rm Mn^{3+}$ sites, the band structure imparted by covalency provides an electronic transport mechanism for the 100% $\rm Mn^{3+}$ compound $\rm Li_2 Mn_2 O_4$.

These results along with our previous study of the amorphous manganese-oxide insertion material, Li_{1.5}Na_{0.5}MnO_{2.84}I_{0.12},⁶² demonstrate the usefulness of X-ray spectroscopies for characterizing the electronic structure of powders that are not amenable to study by techniques requiring sample fabrication or measurement at elevated temperatures. Direct characterization of the electronic structure can reveal the origin of physical properties that are unexplained when considering the atomic structure alone.

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