See discussions, stats, and author profiles for this publication at: https://www.researchgate.net/publication/6324443

Low IPSS score and bone marrow hypocellularity in MDS patients predict hematological responses to antithymocyte globulin

ARTICLE in LEUKEMIA · JULY 2007

Impact Factor: 10.43 · DOI: 10.1038/sj.leu.2404747 · Source: PubMed

CITATIONS

80

READS

24

8 AUTHORS, INCLUDING:



Ziyi Lim

Parkway Cancer Centre

64 PUBLICATIONS **1,104** CITATIONS

SEE PROFILE



Jamie D Cavenagh

National Health Service

119 PUBLICATIONS 6,615 CITATIONS

SEE PROFILE

www.nature.com/leu

ORIGINAL ARTICLE

Low IPSS score and bone marrow hypocellularity in MDS patients predict hematological responses to antithymocyte globulin

ZY Lim¹, S Killick², U Germing³, J Cavenagh⁴, D Culligan⁵, A Bacigalupo⁶, J Marsh⁷ and GJ Mufti¹

¹Kings College London, Department of Haematological Medicine, Kings College Hospital, London, UK; ²Department of Haematology, Royal Bournemouth NHS Foundation Trust, Bournemouth, UK; ³Department of Hematology, Oncology, and Clinical Immunology, Heinrich-Heine-University, Düsseldorf, Germany; ⁴Department of Haematology, St Batholomew's and The Royal London Hospitals, London, UK; ⁵Department of Haematology, Aberdeen Royal Infirmary, Aberdeen, UK; ⁶Dipartimento di Emato-Oncologia, Ospedale San Martino, Genova, Italy and ⁷Department of Haematology, St George's Hospital, London, UK

Immunosuppressive therapy has been shown to induce sustained hematological responses in a subset of patients with myelodysplastic syndromes (MDS). In particular, antithymocyte globulin (ATG), a polyclonal immunoglobulin induces hematological responses in up to 60% of MDS patients. We report herein on the results of a retrospective multicenter study on the use of ATG in the treatment of 96 patients with MDS. Patients were evaluated for duration of response to ATG, as well as survival after administration of ATG. The median age of the cohort was 54.7 years (range: 19-75 years), with a median follow-up of 33.8 months (range: 0.8-133 months). A total of 40 patients (42%) achieved a hematological response, of which 30 patients (75%) had a durable hematological response lasting a median duration of 31.5 months (range: 6-92 months). On multivariate analysis, both low International Prognostic Scoring System (IPSS) and bone marrow (BM) hypocellularity were independent predictive factors for improved response to ATG (IPSS Int-2/high: odds ratio (OR) 0.08, P = 0.018 and BM normo/ hypercellularity: OR 0.49, P = 0.012). In addition, IPSS was the sole predictor of overall survival, with Int-2/high risk patients having a significantly poorer survival outcome (OR 0.08, P<0.01). In conclusion, this study identifies BM hypocellularity and a low IPSS as important factors predicting response to ATG.

Leukemia (2007) **21**, 1436–1441; doi:10.1038/sj.leu.2404747; published online 17 May 2007

Keywords: antithymocyte globulin; myelodysplastic syndromes; immunosuppressive therapy

Introduction

The myelodysplastic syndromes (MDS) are a heterogenous group of clonal disorders characterized by dysplasia, ineffective hematopoiesis and cytopenias, with progressive evolution to acute leukemia in 25% of cases. There is increasing evidence to suggest that immunological dysregulation plays an important role contributing to ineffective hematopoiesis and progressive cytopenias. There is a recognized association between autoimmune phenomenon, such as connective tissue disorders and systemic vasculitic disorders with the presence of MDS. Plantal and laboratory findings have suggested an autoimmune mediated component to the pancytopenia and bone marrow (BM) failure seen in MDS to display with observations of increased

Correspondence: Professor GJ Mufti, Kings College London, Department of Haematological Medicine, Kings College Hospital, Denmark Hill, London SE5 9RS, UK.

E-mail:ghulam.mufti@kcl.ac.uk

Received 29 January 2007; revised 21 March 2007; accepted 17 April 2007; published online 17 May 2007

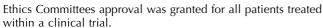
oligoclonal expansion of T cells and possible cytotoxic T-cell-mediated suppression of Hematopoietic progenitors. ^{10–12}

Immunosuppressive therapy has been shown to induce sustained hematological responses in a subset of patients with MDS. In particular, antithymocyte globulin (ATG), a polyclonal immunoglobulin, induces hematological responses in up to 60% of MDS patients. ^{7,13–17} ATG is known to react with a number of cell types that include T cells and natural killer cells, as well as molecules modulating the immune response, such as integrins and certain chemokine receptors. ¹⁸ While its precise mechanism of action remains unclear, it is believed to involve the amelioration of lymphocyte-mediated suppression of the BM environment. ¹¹ Herein, we report on the results of a retrospective multicenter study into the use of ATG in the treatment of MDS. A total of 96 patients were treated with ATG and we review the impact of pretreatment variables on the hematological response to ATG.

Materials and methods

Patient demographics

A total of 96 patients from centers in UK (n = 65), Germany (n=13) and Italy (n=18) were treated with ATG (Lymphoglobuline, SangStat). All patients had an established diagnosis of MDS, with the subtype of MDS as defined by the French-American-British (FAB) criteria. 19 Data were collected from the various centers using regular data forms, which were reviewed and complied by ZL. The cohort includes 30 patients previously reported in a UK-based pilot study on the use of ATG for patients with 'low-risk' MDS⁷ with extended follow-up, as well as 66 patients treated off study as the best available treatment for their disease. Blood and BM morphology in all patients were reviewed critically, and BM hypocellularity was defined by < 20% cellularity. Cytogenetics studies were performed at initial presentation, and cytogenetic results were accepted only in patients with at least 20 analyzable metaphases. Paroxysmal noctural hemoglobinuria (PNH) clones were detected by the analysis of glycophosphatidylinositol-anchored membrane proteins on granulocytes performed by flow cytometry, using monoclonal antibody specific to CD55, CD59 and CD66b. In addition, the following hematological criteria were met: hemoglobin concentration (Hb) < 10 g/dl or red cell transfusion dependence of >2U every 4 weeks for at least 2 months, or thrombocytopenia with platelets $<100\times10^9/l$ and with significant hemorrhage, or neutropenia with an absolute neutrophil count $<1.5\times10^{9}/l$ and recurrent infections. Informed consent was obtained from all patients and local Hospital Research



The median age of the cohort was 54.7 years (range: 19-75 years), with a median follow-up of 33.8 months (range: 0.8-133 months). Using the FAB classification for MDS, 74 patients (77%) had refractory anemia (RA), 15 patients had refractory anemia with excess blasts (RAEB) (16%), seven patients had refractory anemia with ring sideroblasts (RARS) (7%). Based on the International Prognostic Scoring System (IPSS), 20 77 patients (81%) were low risk/intermediate-1, and 14 patients (14%) were in the intermediate-2/high risk categories. Five patients could not be fully assigned an IPSS owing to unavailable cytogenetics data. Seventy-nine patients were transfusion dependent (82%) and 44 patients (46%) were platelet transfusion dependent. Forty-three patients (45%) had a normocellular or hypercellular BM pretreatment, while 53 patients (55%) had a hypocellular marrow. Cytogenetic data were available on 91 patients (95%), and based on the IPSS cytogenetics classification, 65 patients (68%) were good risk, 16 (17%) intermediate risk and 10 (10%) poor risk. Human leukocyte antigen (HLA)-DR15 status was tested in 34 patients (35%), and was positive in 14 cases. Further details of the patient characteristics are listed in Table 1.

ATG protocol

A single treatment course of lymphoglobuline (Sangstat) was administered (1.5 vials/10 kg/day for 5 days; 1 vial contains 100 mg protein). A test dose of one-tenth of a vial was given before giving the full dose. Before each daily dose of lymphoglobuline, hydrocortisone 100 mg and chlorpheniramine 10 mg was given as hypersensitivity prophylaxis. Antibiotic, antifungal and septrin (co-trimoxazole) prophylaxis was given to all patients together with oral prednisolone for the prevention of serum sickness in accordance with individual institutional protocols. In addition, nine patients received cyclosporin as part of their immunosuppressive therapy following ATG.

Response criteria and statistical analysis

All patients were assessed for hematological response to ATG at 6 months following treatment. The treatment response was evaluated based on defined criteria from the International Working Group on MDS.²⁰ Patients were evaluated for duration of response to ATG, as well as survival after administration of ATG. Overall survival (OS) was measured from day 0 to death from any cause or last known follow-up. OS was estimated using the Kaplan-Meier method and cumulative incidence curves were used to estimate time to treatment failure in patients responding to ATG with death being treated as a competing event in the analysis. Variables analyzed included age (>55 vs <55 years), IPSS (low/Int-1 vs Int-2/high), BM cellularity (normo/hypercellularity vs hypocellularity), HLA-DR15 status, cytogenetics (as defined in the IPSS), blood transfusion dependence and platelet dependence. The presence of a PNH clone was not included in multivariate analysis owing to the limited number of patients tested. Cox proportional hazard regression was used to assess the significance of variables on response to ATG therapy as well as OS. Data were censored at 30 June 2006 or at time of last documented patient follow-up.

Results

The median time from diagnosis to ATG was 8.3 months (range: 0–342 months). A total of 40 patients (42%) had a hematological

 Table 1
 Characteristics of 96 patients receiving ATG

Variable	Number (%)
Median age, years (Range)	56 (19–79)
Median follow-up, months (Range)	33.8 (1.5–133)
FAB RA RARS RAEB	74 (77%) 7 (7%) 15 (16%)
IPSS Low Int-1 Int-2 High Unavailable	14 (15%) 63 (66%) 8 (8%) 6 (6%) 5 (5%)
Bone marrow Hypocellular Hypercellular/normocellular	53 (55%) 43 (45%)
Cytogenetics Good risk Intermediate risk Poor risk Unavailable	65 (68%) 16 (17%) 10 (10%) 5 (5%)
HLA-DR15 Yes No Unavailable	14 (41%) 20 (59%) 62
PNH clone Yes No Unavailable	1 (4%) 22 (96%) 73
Median cell counts pre-therapy Hemoglobin (× 10 ⁹ /l) (Range)	8.4 (4.5–15.5)
Neutrophil (\times 10 9 /l) (Range)	1.85 (0.0–6.7)
Platelet (× 10 ⁹ /l) (Range)	76 (3–604)
Transfusion dependence Yes No	79 (82%) 17 (18%)
Platelet dependence Yes No	44 (46%) 52 (54%)
Prior therapy EPO G-CSF Cyclosporine Intensive chemotherapy Corticosteroids Others ^a	13 5 6 3 4 3

Abbreviations: ATG, antithymocyte globulin; ATRA, all-trans retinoic acid; EPO, erythropoietin; FAB, French-American-British; G-CSF, granulocyte colony-stimulating factor; HLA, human leukocyte antigen; IPSS, International Prognostic Scoring System; PNH, paroxysmal noctural hemoglobinuria; RA, refractory anemia; RAEB, refractory anemia with excess blasts; RARS, refractory anemia with ring sideroblasts.

^aOthers, 1 ATRA, 1 valproic acid, 1 thalidomide.



response as defined by Cheson et al.²⁰ Thirty-one patients were transfusion dependant and 18 were platelet dependent before ATG therapy. Unilineage responses were seen in 15 patients, with responses in two or more lineages in 25 patients. Thirty patients had a Hb response (24 major and six minor), 30 had a platelet response (17 major and 13 minor) and 10 patients had a response in the neutrophil lineage (eight major and two minor). Twenty-three patients were transfusion independent following therapy. Of the 40 responders, 30 patients (75%) had a durable hematological response lasting a median duration of 31.5 months at time of reporting (range: 6–92 months). Ten patients had a transient response to ATG lasting for a median of 22 months (range: 2–46 months). Of the 10 patients with a transient response to initial ATG therapy, three patients subsequently received a second course of ATG, with two patients achieving a hematological response. Two other patients had evidence of hematological improvement following further therapy with valproic acid and valproic acid with all-trans retinoic acid. Five other patients died from disease progression or infectious complications. (Characteristics of responders to ATG are detailed in Table 2).

At last follow-up, nine of the 40 responders had died. Four patients (with initial diagnosis of three RAEB and 1 RA-Int-1) developed and subsequently died from disease progression (three acute myeloid leukemia and one RAEB). Two patients with therapy related MDS died from relapse of their primary malignancy (one bronchial carcinoma and one Hodgkin's lymphoma). An additional three patients died of complications from cytopenia and neutropenic sepsis.

Two out of seven patients with RARS and four out of 15 patients with RAEB had an initial response to ATG. None of the patients with pre-existing chromosomal abnormalities displayed evidence of cytogenetic remission following ATG therapy. All four patients with trisomy 8 pre-therapy had no evidence of response, but three out of seven patients with isolated 5q-syndrome had a hematological response.

Of the 56 patients who failed ATG, nine patients with a median age of 48 years (range: 34-59) proceeded to receive an allogeneic reduced intensity conditioning hematopoietic stem cell transplantation (HSCT). Seven patients received a second course of ATG with three patients attaining a transient hematological response. A further four patients received a combination of growth factor support (erythropoietin or granulocyte colony-stimulating factor) together with ciclosporine. Five patients received other therapies: one thalidomide (responder), one 5-azacitidine (partial response), one lenalidomide (responder), two sodium valproate (one responder).

Factors predicting response to ATG

On univariate analysis, IPSS low/Int-1 stage and BM hypocellularity were both identified as independent factors predicting a favorable response to treatment (Table 3). In addition, using stepwise cox regression multivariate analysis, both variables retained significance as predictive factors for response (IPSS Int-2/high: odds ratio (OR 0.08 95% confidence interval (CI): 0.01–0.65, P = 0.018 and BM normo/hypercellularity: OR 0.49 95% CI: 0.28–0.86, P = 0.012). Neither HLA-DR15 status (data only available on 34 patients), age or transfusion dependence influenced responsiveness to ATG therapy.

Factors influencing OS

The overall 3-year survival of the cohort was $69.9 \pm 5.4\%$. On analysis, there was a trend for a better outcome in responders to ATG when compared with non-responders $(85.2 \pm 6.2 \text{ vs})$ $58.2 \pm 7.7\%$, P = 0.08, see Figure 1). On univariate analysis, responsiveness to ATG, IPSS, cytogenetics, and transfusion dependence were all independent predictors of OS (Table 4). However, on multivariate analysis, IPSS emerged as sole predictor of OS, with Int-2/high risk patients having a significantly poorer survival outcome (OR 6.94 95% CI: 2.85–16.95, *P*<0.01).

Discussion

Treatment options for low-risk MDS are limited with supportive care remaining the mainstay of therapy for the majority of patients, but it is clear that a subgroup of MDS patients can achieve a durable hematological response following immunosuppressive therapy. In our initial pilot study of 30 low-risk MDS patients within the UK, we had observed a response in 10 out of 20 evaluable patients, and other studies using ATG have reported response rates of up to 60%. ^{6,7,13,17,21,22} In this study, 40 patients (42%) had evidence of hematological response, with 30 patients (31%) demonstrating a durable hematological response. On multivariate analysis, the two factors identified to predict response to ATG treatment were low/Int-1 IPSS, and BM hypocellularity.

It is clear from various studies that patients with low-risk MDS have a higher response to ATG. Of note, two patients with RARS also demonstrated a hematological response, one of which has been described previously.7 The observation that patients with RARS may respond to ATG has since been also described by others. 14,15 While hematological responses were observed in four patients with RAEB, three of these only had a transient response with the patients subsequently progressing to more advanced disease. When compared with the other 11 patients in the study with RAEB who did not respond to ATG, there was no significant difference in OS (P = 0.47).

Broliden et al. 16 recently reported on brief cytogenetic remissions in two patients treated with ATG and cyclosporine. In addition, Sloand et al.23 have described the increased responsiveness of patients with trisomy 8 to ATG, and correlated this with the observation that trisomy 8 cells within the BM are preferentially suppressed by cytotoxic T lymphocytes. However, none of the patients in our cohort had a cytogenetic resolution of disease, and none of the four patients with trisomy 8 (one RAEB, one RARS, two RA (Int-1)) were among the responders.

There is great heterogeneity in MDS, and patients with hypocellular MDS have been observed to share an overlap of characteristics with aplastic anemia. There is skewing of CD4:CD8 ratios, restricted T-cell receptor $V\beta$ usage together with high plasma levels of tumor necrosis factor- α and interferon-y indicating a T-cell-mediated myelosuppression. 10,12,24,25 ATG has been used successfully in the alleviation of cytopenias in severe aplastic anemia, 25 and while earlier reports from Molldrem et al. and Killick et al. had identified BM hypocellularity as an important factor predicting with ATG response, several recent reports have failed to confirm this association. 14-16,22 Our study indicates that patients with hypocellular MDS have the highest response to ATG, although responses are observed in some patients with normo/hypercellular BMs. Of note, when compared with previous studies, a higher proportion of patients (55%) in this study had a hypocellular BM, which suggests a physician bias towards the use of ATG therapy in this cohort of patients.

Saunthararajah *et al.*^{15,22} have previously identified the

presence of HLA-DR15 as a primary factor linked with treatment

 Table 2
 Patient details of responders to ATG

UPN	Age (years)	FAB type at diagnosis	Cytogenetics at diagnosis	HLA-DR15 status	Transfusion dependence (blood/platelet)	IPSS	BM cellularity at diagnosis	Response	Response duration (months)
3	19	RA	46XX, iso 7g	NA	Y/N	Int-1	Нуро	HI-E major	75.0
4	22	RA	46XX	No	N/N	Int-1	Нуро	HI-E major	92.0
7	41	RA	46XX	No	Y/N	Int-1	Нуро	HI-E/HI-P minor	39.0
8	32	RA	46XY	No	N/N	Int-1	Нуро	HI-N minor/HI-P minor	60.0
9	36	RA	46XX	No	Y/N	Int-1	Нуро	HI-E major, HI-N major, HI-P minor	30.0
12	59	RA	46XX	No	N/N	low	Normo/hyper	HI-N major	49.0
13	47	RA	46XY	No	Y/N	Int-1	Normo/Hyper	HI-E major, HI-P major	51.0
14	63	RA	46XX	Yes	Y/Y	Int-1	Нуро	HI-É major, HI-P major	55.0
17	20	RA	46XY	Yes	N/N	Int-1	Нуро	HI-E major, HI-P major	28.0
18	49	RA	46XX	No	Y/N	Int-1	Normo/Hyper	HI-E major, HI-N major HI-P minor	12.0
19	68	RAEB	46XX	No	Y/Y	Int-2	Нуро	HI-E major	5.0
20	50	RA	46XX	Yes	Y/N	Int-1	Нуро	HI-E minor, HI-P	23.0
24	37	RA	46XX	NA	Y/Y	Int-1	Нуро	minor HI-E major HI-P	42
25	69	RA	46XX	NA	Y/Y	Int-1	Нуро	minor HI-E major HI-P	81
27	45	RA	46XY	NA	Y/Y	Int-1	Normo/Hyper	major HI-E major HI-P	69
							,,	major	
29	50	RAEB	NA	NA	Y/Y	NA	Normo/Hyper	HI-P minor	10
31	42	RA	46XY, t(6;12)	NA	N/N	Int-1	Нуро	HI-E major HI-P major HI-N major	58
37	55	RA	46XX, del(5) (q13q22)	NA	Y/N	Int-1	Normo/Hyper	HI-E minor, HI-P	19
38	61	RA	46XY, t(1;13)	NA	Y/Y	Int-1	Нуро	HI-E minor, HI-N major	33
39	46	RAEB	46XY	NA	Y/Y	Int-1	Normo/hyper	HI-E major, HI-P major	57
42	50	RA	46XY	No	Y/N	Int-1	Нуро	HI-E major, HI-N major	9
45	69	RA	46XX	Yes	Y/Y	Int-1	Normo/ Hyper	HI-E major, HI-N major	26
51	69	RA	46XY	ND	Y/Y	Int-1	Нуро	HI-E minor	19
55	72	RAEB	46XX	ND	Y/N	Int-1	Нуро	HI-E minor, HI-P major	46
58	66	RARS	46XY	ND	Y/Y	Int-1	Normo/Hyper	HI-P,E major	12
59	63	RA	46XX, t(2;11) (p21;p23),	ND	Y/N	Int-1	Normo/Hyper	HI E major	22
62	46	RA	del(5)(q22;q33) 46XX, del(5)(q13q22)	ND	Y/N	Int-1	Нуро	HI-P, E major/ HI-N minor	6
63	51	RA	46XY	ND	Y/N	Low	Normo/Hyper	HI-E major	5
65	65	RA	46XY, t(1;7)	ND	Y/Y	Int-1	Нуро	HI-P, N major	2
78	50	RA	46XX	Yes	Y/Y	Low	Нуро	HE major, HP major	24.0
81	55	RA	NA	ND	Y/Y	NA	Нуро	HE major, HP major	33.0
82	56	RA	NA	ND	N/N	NA	Нуро	HE major, HP major	27.0
84	59	RA	46XX	No	Y/N	Int-1	Нуро	HP minor	6.0
86	44	RA	46XY	Yes	Y/Y	Int-1	Нуро	HE major, HP minor	66.0
88	69	RARS	46XY	ND	Y/N	Int-1	Hyper	HP minor	41.0
89	57	RA	46XX	Yes	N/N	Int-1	Нуро	HP minor	5.0
91	65	RA	46XY[10]/45X,-Y[13]	ND	N/Y	Int-1	Normo/Hyper	HP major	38.0
93	69	RA	46XY	ND	Y/N	Low	Нуро	HE major	31.0
95	62	RA	46XY[16]/45X -Y[4]	ND	Y/Y	Int-1	Normo/Hyper		22.0
96	61	RA	45XX, del(5)(q13q22)	Yes	N/Y	Low	Нуро	HP major	72.0

Abbreviations: ATG, antithymocyte globulin; BM, bone marrow; FAB, French-American-British; Hypo, Hypocellular; IPSS, International Prognostic Scoring System; Int-1 (IPSS intermediate-1); NA, not available; ND, not performed; Normo/Hyper, normocellular or hypercellular; RA, refractory anemia; RAEB, refractory anemia with excess blasts; RARS, refractory anemia with ring sideroblasts.



Table 3 Univariate and multivariate analysis of factors predicting response to ATG

	OR (95% CI)	Significance (P-value)
Univariate analysis variables		
Disease stage IPSS BM cellularity Age HLA-DR15 status Cytogenetics ^a Transfusion dependence Platelet dependence	0.46 (0.13-1.55) 0.09 (0.01-0.68) 0.51 (0.29-0.87) 0.64 (0.28-1.47) 0.75 (0.19-2.96) 0.78 (0.31-1.97) 1.74 (0.61-4.99) 1.06 (0.47-2.40)	0.21 0.02 0.01 0.30 0.68 0.59 0.30 0.89
Multivariate analysis variables ^b IPSS Low/Int-1 ^c Int-2/High	0.08 (0.01-0.65)	0.02
BM cellularity Hypocellular ^c Normo/Hypercellular	 0.49 (0.28-0.86)	0.01

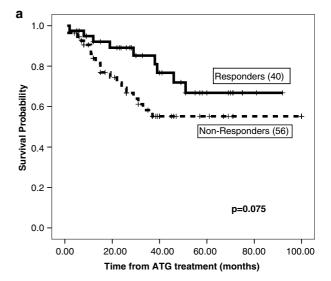
Abbreviations: ATG, antithymocyte globulin; BM, bone marrow; Cl, confidence interval; HLA, human leukocyte antigen; IPSS, International Prognostic Scoring System; Int-1 (IPSS intermediate-1); Normo/Hyper, normocellular or hypercellular; OR, odds ratio.

Table 4 Univariate and multivariate analysis of factors influencing overall survival

	OR (95% CI)	Significance (P-value)
Univariate analysis variables Response to ATG IPSS BM cellularity Age Cytogenetics ^a Transfusion dependence Platelet dependence	2.03 (0.91–4.51) 0.19 (0.08–0.42) 1.80 (0.67–4.91) 0.56 (0.27–1.18) 0.45 (0.19–1.02) 7.03 (0.95–51.75) 1.95 (0.90–4.22)	0.08 <0.01 0.42 0.13 0.06 0.06 0.10
Multivariate analysis variables ^b IPSS Low/Int-1 ^c Int-2/High	 6.94 (2.85–16.95)	<0.01
Response to ATG Yes No	 1.05 (0.34–3.03)	0.92

Abbreviations: ATG, antithymocyte globulin; BM, bone marrow; CI, confidence interval; IPSS, International Prognostic Scoring System; Int-1 (IPSS intermediate-1); OR, odds ratio.

responsiveness to ATG. The frequency of HLA-DR15 has been shown to be higher in both RA as well as aplastic anemia, although only patients with RA have been noted to have a higher response rate to ATG. ^{26,27} While only 34 patients were tested for HLA-DR15 in this study, a high proportion (10



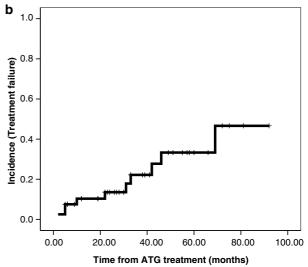


Figure 1 (a) Overall survival for responders vs non-responders to ATG therapy. (b) Cummulative incidence of treatment failure among patients responding to ATG.

patients, 29%) was found to be positive for HLA-DR15, similar to the reported incidence of 30–36% among MDS patients in other studies. However, within a limited analysis of this subset of patients, HLA-DR15 positivity was not a predictive factor for ATG response (P=0.68).

In addition to ATG, other immunosuppressive and immunomodulatory agents including enternacept and ciclosporine have been used with varying success in the management of low-risk MDS. Of those only achieving a transient response or not responding to ATG, hematological response was seen in several patients to a variety of alternative immunosuppressive agents. The development of methods to assess immunological responses following ATG therapy may assist in identifying patients who may benefit from maintenance therapy, or require treatment with an alternative IST. Conversely, patients who are less likely to respond to IST should be considered for more intensive therapies where suitable. Nine non-responders (median age of 48 years) in the study eventually proceeded to allogeneic HSCT, and it should be emphasized that at present, allogeneic HSCT remains the only curative treatment for MDS.

^aAnalyzed as good risk versus intermediate/poor risk.

bOn multivariate analysis, both Int2/high IPSS score and normo/ hypercellular bone marrow are associated with a significantly lower response rate to treatment with ATG.

clndicates reference category.

^aAnalyzed as good risk versus intermediate/poor risk.

^cIndicates reference category.

^bOn multivariate analysis, Int2/high IPSS score is associated with a significantly lower overall survival.



In conclusion, this study confirms BM hypocellularity and a low IPSS as important factors predicting response to ATG. The findings from our study, taken together with other similar studies suggest that there are likely to be several co-dominant immunological factors contributing to the pathophysiobiology of low-risk MDS. Further studies on the immunological factors influencing the pathogenesis of low-risk MDS are necessary to identify patients who will respond best to immunosuppressive therapy, as well as establishing the most appropriate IST for each individual.

Acknowledgements

We are grateful to Nora Donaldson for her statistical advice.

References

- 1 Mufti GJ, Stevens JR, Oscier DG, Hamblin TJ, Machin D. Myelodysplastic syndromes: a scoring system with prognostic significance. *Br J Haematol* 1985; **59**: 425–433.
- 2 Mufti GJ, Figes A, Hamblin TJ, Oscier DG, Copplestone JA. Immunological abnormalities in myelodysplastic syndromes. I. Serum immunoglobulins and autoantibodies. *Br J Haematol* 1986; 63: 143–147
- 3 Voulgarelis M, Giannouli S, Ritis K, Tzioufas AG. Myelodysplasiaassociated autoimmunity: clinical and pathophysiologic concepts. Eur J Clin Invest 2004; **34**: 690–700.
- 4 Billstrom R, Johansson H, Johansson B, Mitelman F. Immunemediated complications in patients with myelodysplastic syndromes – clinical and cytogenetic features. *Eur J Haematol* 1995; **55**: 42–48.
- 5 Hamblin TJ. Immunological abnormalities in myelodysplastic syndromes. *Semin Hematol* 1996; **33**: 150–162.
- 6 Molldrem JJ, Caples M, Mavroudis D, Plante M, Young NS, Barrett AJ. Antithymocyte globulin for patients with myelodysplastic syndrome. Br J Haematol 1997; 99: 699–705.
- 7 Killick SB, Mufti G, Cavenagh JD, Mijovic A, Peacock JL, Gordon-Smith EC et al. A pilot study of antithymocyte globulin (ATG) in the treatment of patients with 'low-risk' myelodysplasia. Br J Haematol 2003; 120: 679–684.
- 8 Sloand EM, Kim S, Fuhrer M, Risitano AM, Nakamura R, Maciejewski JP *et al.* Fas-mediated apoptosis is important in regulating cell replication and death in trisomy 8 hematopoietic cells but not in cells with other cytogenetic abnormalities. *Blood* 2002; **100**: 4427–4432.
- 9 Melenhorst JJ, Eniafe R, Follmann D, Nakamura R, Kirby M, Barrett AJ. Molecular and flow cytometric characterization of the CD4 and CD8 T-cell repertoire in patients with myelodysplastic syndrome. *Br J Haematol* 2002; **119**: 97–105.
- 10 Kochenderfer JN, Kobayashi S, Wieder ED, Su C, Molldrem JJ. Loss of T-lymphocyte clonal dominance in patients with myelodysplastic syndrome responsive to immunosuppression. *Blood* 2002; **100**: 3639–3645
- 11 Molldrem JJ, Jiang YZ, Stetler-Stevenson M, Mavroudis D, Hensel N, Barrett AJ. Haematological response of patients with myelodysplastic syndrome to antithymocyte globulin is associated with a loss of lymphocyte-mediated inhibition of CFU-GM and alterations in T-cell receptor Vbeta profiles. *Br J Haematol* 1998; 102: 1314–1322.
- 12 Barrett J, Saunthararajah Y, Molldrem J. Myelodysplastic syndrome and aplastic anemia: distinct entities or diseases linked by a common pathophysiology? *Semin Hematol* 2000; **37**: 15–29.

- 13 Stadler M, Germing U, Kliche KO, Josten KM, Kuse R, Hofmann WK et al. A prospective, randomised, phase II study of horse antithymocyte globulin vs rabbit antithymocyte globulin as immune-modulating therapy in patients with low-risk myelodysplastic syndromes. Leukemia 2004; 18: 460–465.
- 14 Yazji S, Giles FJ, Tsimberidou AM, Estey EH, Kantarjian HM, O'Brien SA *et al.* Antithymocyte globulin (ATG)-based therapy in patients with myelodysplastic syndromes. *Leukemia* 2003; **17**: 2101–2106.
- 15 Saunthararajah Y, Nakamura R, Nam JM, Robyn J, Loberiza F, Maciejewski JP et al. HLA-DR15 (DR2) is overrepresented in myelodysplastic syndrome and aplastic anemia and predicts a response to immunosuppression in myelodysplastic syndrome. Blood 2002; 100: 1570–1574.
- 16 Broliden PA, Dahl IM, Hast R, Johansson B, Juvonen E, Kjeldsen L et al. Antithymocyte globulin and cyclosporine A as combination therapy for low-risk non-sideroblastic myelodysplastic syndromes. Haematologica 2006; 91: 667–670.
- 17 Molldrem JJ, Leifer E, Bahceci E, Saunthararajah Y, Rivera M, Dunbar C et al. Antithymocyte globulin for treatment of the bone marrow failure associated with myelodysplastic syndromes. Ann Intern Med 2002; 137: 156–163.
- 18 Michallet MC, Preville X, Flacher M, Fournel S, Genestier L, Revillard JP. Functional antibodies to leukocyte adhesion molecules in antithymocyte globulins. *Transplantation* 2003; 75: 657–662
- 19 Bennett JM, Catovsky D, Daniel MT, Flandrin G, Galton DA, Gralnick HR *et al.* Proposals for the classification of the myelodysplastic syndromes. *Br J Haematol* 1982; **51**: 189–199.
- 20 Cheson BD, Bennett JM, Kantarjian H, Pinto A, Schiffer CA, Nimer SD et al. Report of an international working group to standardize response criteria for myelodysplastic syndromes. Blood 2000; 96: 3671–3674.
- 21 Aivado M, Rong A, Stadler M, Germing U, Giagounidis A, Strupp C *et al.* Favourable response to antithymocyte or antilymphocyte globulin in low-risk myelodysplastic syndrome patients with a 'non-clonal' pattern of X-chromosome inactivation in bone marrow cells. *Eur J Haematol* 2002; **68**: 210–216.
- 22 Saunthararajah Y, Nakamura R, Wesley R, Wang QJ, Barrett AJ. A simple method to predict response to immunosuppressive therapy in patients with myelodysplastic syndrome. *Blood* 2003; **102**: 3025–3027.
- 23 Sloand EM, Mainwaring L, Fuhrer M, Ramkissoon S, Risitano AM, Keyvanafar K et al. Preferential suppression of trisomy 8 compared with normal hematopoietic cell growth by autologous lymphocytes in patients with trisomy 8 myelodysplastic syndrome. Blood 2005; 106: 841–851.
- 24 Martinez-Jaramillo G, Flores-Figueroa E, Sanchez-Valle E, Gutierrez-Espindola G, Gomez-Morales E, Montesinos JJ et al. Comparative analysis of the *in vitro* proliferation and expansion of hematopoietic progenitors from patients with aplastic anemia and myelodysplasia. *Leuk Res* 2002; **26**: 955–963.
- 25 Young NS, Maciejewski J. The pathophysiology of acquired aplastic anemia. N Engl J Med 1997; 336: 1365–1372.
- 26 Nakao S, Takami A, Sugimori N, Ueda M, Shiobara S, Matsuda T et al. Response to immunosuppressive therapy and an HLA-DRB1 allele in patients with aplastic anaemia: HLA-DRB1*1501 does not predict response to antithymocyte globulin. Br J Haematol 1996; 92: 155–158.
- 27 Nimer SD, Ireland P, Meshkinpour A, Frane M. An increased HLA DR2 frequency is seen in aplastic anemia patients. *Blood* 1994; **84**: 923–927.