

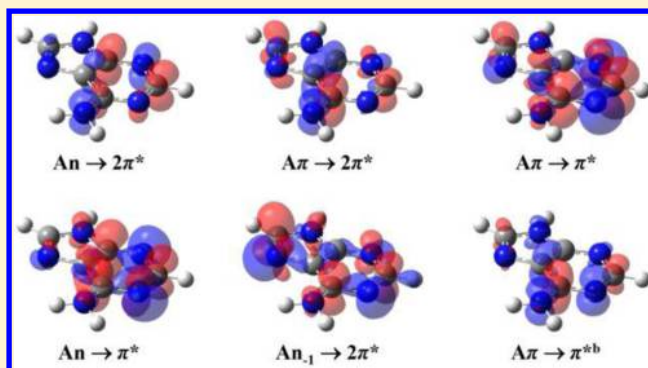
The Importance of Short- and Long-Range Exchange on Various Excited State Properties of DNA Monomers, Stacked Complexes, and Watson–Crick Pairs

Alexandra E. Raeber and Bryan M. Wong*

Department of Chemical & Environmental Engineering and Materials Science & Engineering Program, University of California, Riverside, Riverside, California 92521, United States

S Supporting Information

ABSTRACT: We present a detailed analysis of several time-dependent DFT (TD-DFT) methods, including conventional hybrid functionals and two types of nonempirically tuned range-separated functionals, for predicting a diverse set of electronic excitations in DNA nucleobase monomers and dimers. This large and extensive set of excitations comprises a total of 50 different transitions (for *each* tested DFT functional) that includes several $n \rightarrow \pi$ and $\pi \rightarrow \pi^*$ valence excitations, long-range charge-transfer excitations, and extended Rydberg transitions (complete with benchmark calculations from high-level EOM-CCSD(T) methods). The presence of localized valence excitations as well as extreme long-range charge-transfer excitations in these systems poses a serious challenge for TD-DFT methods that allows us to assess the importance of both short- and long-range exchange contributions for simultaneously predicting all of these various transitions. In particular, we find that functionals that do not have *both* short- and full long-range exchange components are unable to predict the different types of nucleobase excitations with the same accuracy. Most importantly, the current study highlights the importance of both short-range exchange and a nonempirically tuned contribution of long-range exchange for accurately predicting the diverse excitations in these challenging nucleobase systems.



1. INTRODUCTION

The electronic properties of DNA nucleobase complexes continue to be an active area of research due to their importance in condensed-phase chemistry,^{1–3} nanotechnology,^{4,5} and new biodetection technologies.⁶ In particular, a deep understanding of nucleobase complexes using first-principles methods is vital for these new technologies since electronic effects directly impact the stability⁷ and optical properties⁸ of nanostructures that are assembled from these molecules. As researchers continue to use DNA complexes to create three-dimensional nanostructures for circuits and plasmonic devices,^{9–12} there is a crucial need for efficient *and* accurate theoretical methods for predicting the electronic properties of these large systems.

Despite the growing amount of experimental and theoretical work in this field, the electronic properties of DNA double helices are still not well understood and remain controversial.^{13–16} Even at nucleobase monomer and dimer sizes, discrepancies between experiment and the different theoretical models remain, and the path to improving the theoretical predictions for these systems is not obvious. As a result, a detailed study of computationally efficient density functional theory (DFT) methods against high-quality wave function-based models is necessary for obtaining efficient and accurate

predictive methods for these complex systems. Very recently, Szalay and co-workers¹⁷ presented a thorough study of excitation energies of all of the DNA nucleobases (adenine, cytosine, guanine, and thymine) as well as the stacked adenine–thymine pair, stacked guanine–cytosine, and the Watson–Crick (WC) pair of guanine–thymine, as shown in Figure 1. In this previous study, Szalay and co-workers calculated several excited states including valence excitations, charge-transfer (CT) transitions, and Rydberg excitations using the equation of motion coupled-cluster method with single and double excitations (EOM-CCSD). In particular, these researchers found that the inclusion of perturbative triple excitations at the EOM-CCSD(T) level of theory was essential since the errors of lower-level EOM-CCSD methods could be as large as 0.3 eV. At the TD-DFT level of theory, we are fully aware of previous studies on WC pairs,^{18,19} hydrogen-bonded and stacked complexes,^{20,21} adenine dimers,^{22,23} cytosine dimers,²⁴ thymine dimers,²⁵ and AT and GC pairs.^{26,27} However, as pointed out by Szalay et al., most of these previous studies investigated only a few low-lying excitation states, and comparisons in these studies were typically made to

Received: February 4, 2015

Published: March 24, 2015

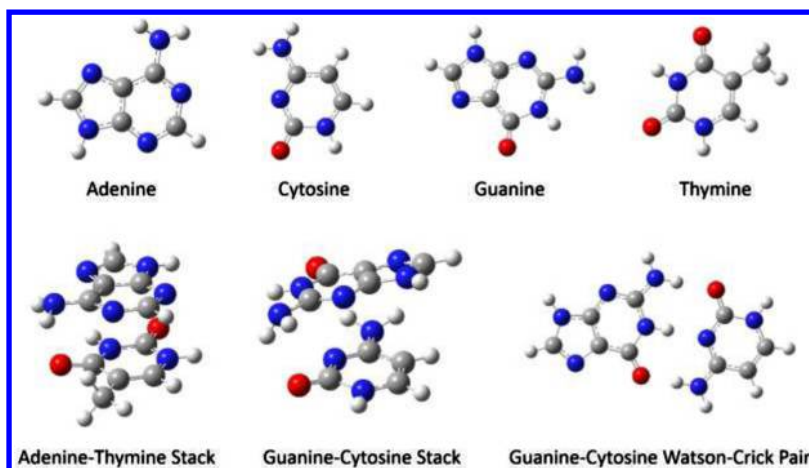


Figure 1. Molecular structures of the nucleobase monomers, stacked pairs, and Watson–Crick base pair.

lower-level wave function-based methods such as CIS, CC2, and EOM-CCSD (without the important perturbative triple excitations). Considering the high computational cost of the EOM-CCSD(T) calculations, Szalay et al. specifically called for “new approximate methods that can treat different types of excitations with the same accuracy” in these systems.¹⁷

In this work, we present a detailed analysis of several modern time-dependent DFT (TD-DFT) methods benchmarked against the high-level EOM-CCSD(T) calculations by Szalay and co-workers. The DFT methods studied here include several conventional hybrid functionals as well as two types of range-separated functionals that have been nonempirically tuned to satisfy Janak’s theorem.²⁸ As explained extensively in ref 29, Koopman’s theorem allows us to equate the fundamental gap to the band energy difference in Hartree–Fock theory; however, in Kohn–Sham DFT, one must instead use Janak’s theorem as it accounts for the discontinuity in the exchange–correlation potential in an N -electron system. Our group and other researchers have previously used these range-separated functionals to predict long-range charge transfer effects in solar cell dyes,^{30,31} quasiparticle gaps in molecules,^{29,32} and excitation states in light-harvesting organic photovoltaics.^{33–37} In this study on DNA nucleobase complexes, we examine a large and diverse set of excitations that comprises a total of 50 different transitions, including several $n \rightarrow \pi$ and $\pi \rightarrow \pi^*$ valence excitations, long-range charge-transfer excitations, and extended Rydberg transitions. Most importantly, in order to address the need for treating different types of excitations with the same accuracy, we specifically highlight the importance of using *both* short- and full long-range exchange for accurately predicting the various excitations in these complex nucleobases. Specifically, we find that functionals that *do not* have both short- and full long-range exchange components are unable to predict the different types of excitations in these systems with the same accuracy. Finally, we give a detailed analysis for each of the nucleobases and discuss the implications for simultaneously predicting the diverse excitations in these challenging nucleobase systems.

2. THEORY AND METHODOLOGY

Since the purpose of this work is to assess the accuracy of both conventional and range-separated functionals (with particular emphasis on the short- and long-range exchange contributions in the latter) in various nucleobase excited states, we briefly

outline the underlying theories for each. One of the most widely used linear-response TD-DFT schemes for calculating excitation energies involves the use of global hybrid functionals, where a certain fraction of Hartree–Fock (HF) exchange is admixed with a DFT approximation for the exchange–correlation energy. Within a simple one-parameter mixing scheme, the exchange–correlation energy for a conventional global hybrid functional is given by

$$E_{xc}^{\text{global}} = \alpha E_{x,\text{HF}} + (1 - \alpha) E_{x,\text{DFT}} + E_{c,\text{DFT}} \quad (1)$$

where $E_{x,\text{HF}}$ is the HF exchange energy based on Kohn–Sham orbitals, $E_{x,\text{DFT}}$ is a DFT contribution to the exchange energy, and $E_{c,\text{DFT}}$ is the correlation functional due to DFT. In the literature, there are numerous hybrid functionals that combine different DFT treatments of exchange and correlation with varying coefficients. In addition, there are several other hybrid functionals that utilize more than one parameter for mixing DFT and HF exchange contributions (i.e., Becke’s popular B3LYP method³⁸ utilizes a three-parameter mixing scheme). Despite their different parametrizations, both the B3LYP and M06-HF^{39,40} functionals used in this work are categorized as global hybrid functionals since the fraction of nonlocal HF exchange, α , is held constant in space and fixed to a specific value. The B3LYP functional, for example, is parametrized with $\alpha = 0.20$, and the M06-HF functional is parametrized with $\alpha = 1.0$. We have chosen these specific functionals for comparison due to their widespread use and because they represent two different extremes of global hybrids where the HF exchange contribution ranges from 0.20 to 1.00.

In contrast to conventional hybrid functionals, the range-separated formalism^{41,42} mixes short-range density functional exchange with long-range Hartree–Fock exchange by separating the electron repulsion operator into short- and long-range terms (i.e., the mixing parameter is a function of electron coordinates). In its most general form, the partitioning is given by

$$\frac{1}{r_{12}} = \frac{1 - [\alpha + \beta \cdot \text{erf}(\mu \cdot r_{12})]}{r_{12}} + \frac{\alpha + \beta \cdot \text{erf}(\mu \cdot r_{12})}{r_{12}} \quad (2)$$

The erf term denotes the standard error function, r_{12} is the interelectronic distance between electrons 1 and 2, and μ is the range-separation parameter in units of Bohr^{−1}. The other extra parameters, α and β , satisfy the following inequalities: $0 \leq \alpha + \beta \leq 1$, $0 \leq \alpha \leq 1$, and $0 \leq \beta \leq 1$. The parameter α in the

partitioning allows a contribution of HF exchange over the entire range by a factor of α , and the parameter β allows us to incorporate long-range asymptotic HF exchange by a factor of $(\alpha + \beta)$. When $\alpha = 0.2$ and $\beta = 0.0$, the exchange-correlation energy reduces to a B3LYP-like functional (as shown in ref 43, it is not exactly B3LYP due to an extra exchange term, but the two expressions are closely related). The CAM-B3LYP functional of Yanai and co-workers⁴⁴ uses $\alpha = 0.19$, $\alpha + \beta = 0.65$, and $\mu = 0.33$; however, the CAM-B3LYP functional *does not* incorporate a “full” range separation as it has only 65% HF exchange at long range (instead of the correct 100% asymptotic HF exchange). In our previous work on range-separated functionals,^{30,32,33,36,45} we have used and parametrized full range-separation schemes that correspond to setting $\alpha = 0.0$ and $\beta = 1.0$. In particular, we³³ and others^{34,35} have previously shown that maintaining a full 100% contribution of asymptotic HF exchange is essential for accurately describing valence excitations in even relatively simple molecular systems. However, there has been recent work^{46–49} suggesting that some amount of short-range HF exchange (i.e., setting α to a nonzero value) can lead to improved electronic properties and excitation energies. For the two range-separated methods used in this work, we fix $\alpha + \beta = 1.0$ (with different values of α) in conjunction with tuning the range-separation parameter μ via the nonempirical procedure by Baer and Kronik^{29,31,50} discussed below. In short, the presence of the two extra parameters, α and β , in eq 2 gives us extra flexibility in assessing the importance of both short- and long-range exchange for *simultaneously* predicting all of the diverse transition energies and properties in various nucleobase complexes.

For a full long-range corrected functional with given values of α and β (such that $\alpha + \beta = 1.0$), Baer and Kronik^{29,31,50} have demonstrated that the range-separation parameter can be tuned nonempirically by (approximately) satisfying Janak’s theorem. In a molecular system, this is done by ensuring that the ionization potential (IP) and the negative of the HOMO energy for the N electron system are equal. The exact exchange-correlation functional would automatically satisfy this condition, providing theoretical justification for self-consistently tuning μ within this procedure. The difference between the ground-state energy of the N electron and the $N - 1$ electron system gives its IP, which, according to Janak’s theorem, is equal to the negative of the HOMO energy, $\epsilon_{\text{HOMO}}(N)$. A range-separation parameter that approximately satisfies this condition can be obtained by minimization of the objective function

$$J^2(\mu) = [\epsilon_{\text{HOMO}}^\mu(N) + \text{IP}^\mu(N)]^2 + [\epsilon_{\text{HOMO}}^\mu(N+1) + \text{IP}^\mu(N+1)]^2 \quad (3)$$

where $\epsilon_{\text{HOMO}}^\mu(N)$ is the HOMO of the N -electron system, and $\text{IP}^\mu(N)$ is the energy difference between the ground-state energies of the N and $N - 1$ electron systems *with the same value of μ* . As mentioned previously and described in ref 29, the derivative of the total DFT energy with respect to electron number is discontinuous at the N -electron point, and a theorem that formally relates the LUMO energy to the electron affinity does not exist. This problem is circumvented by including the second term in eq 3 by considering the HOMO of the $N + 1$ electron system. Although this nonempirical tuning procedure directly modifies the HOMO and LUMO energies of the system, we³² and others²⁹ have previously shown that this

method also significantly improves the description of excited-state properties, which we explore further in this study.

In order to accurately compare our TD-DFT calculations to high-level EOM-CCSD(T) benchmarks, identical molecular geometries obtained from Szalay et al.¹⁷ were used in this work. The Cartesian coordinates for all of the systems studied here are listed in the Supporting Information for completeness. In addition, and most crucial to our study, difference densities for all of our TD-DFT calculations (including all 50 transitions for each DFT functional) were generated and carefully compared to the original transition densities from Szalay et al.¹⁷ Optimal μ values were determined for adenine, cytosine, guanine, thymine, an adenine–thymine stacked pair, a guanine–cytosine stacked pair, and a guanine–cytosine Watson–Crick pair. For each of these systems, we computed J^2 in eq 3 with the TZVP basis set using two different parametrizations: a long-range corrected BLYP (LC-BLYP) functional without any short-range exchange (i.e., $\alpha = 0.0$, $\beta = 1.0$) as well as an LC-BLYP functional containing 20% exchange over the entire range (i.e., $\alpha = 0.2$, $\beta = 0.8$). The choice of $\alpha = 0.2$ in the latter is motivated by a very recent study by Kronik et al.⁴⁸ which found that (nonempirically tuned) values of $\alpha \sim 0.2$ in conjunction with long-range exchange were able to accurately predict outer-valence electron spectra of various heterocyclic systems. The two-dimensional tuning procedure by Autschbach⁵¹ provides a nonempirical prescription for determining α ; however, the effort required to assign all 50 nucleobase excitation energies (for *each* DFT method) is already quite onerous, so we reserve these two-dimensional tuning approaches for a subsequent study. Nevertheless, it is important to note that both of the different LC-BLYP parametrizations used in this work still recover the full 100% exchange at asymptotic distance ($\alpha + \beta = 1.0$) even though each parametrization has a different exchange contribution at short range. In order to determine the optimal range-separation value for each system, we carried out several single-point energy calculations by varying μ from 0.05 to 0.7 (in increments of 0.05) for each of the N , $N + 1$, and $N - 1$ electron states. Figure 2 shows the smooth curves resulting from computing J^2 as a function of μ for each of the nucleobase geometries. Spline interpolation was used to refine the minimum for each individual system, and Table 1 contains a summary of the optimal μ values. It is worth noting that the short-range DFT exchange in eq 2 decays rapidly on a length scale of $\sim 1/\mu$ and, therefore, smaller values of μ are more appropriate for larger molecules (i.e., a smaller value of μ enables the short-range Coulomb operator to fully decay to zero on the length scale of the molecule). Indeed, the optimal μ values in Table 1 reflect these trends with the larger-sized dimers having slightly smaller values of μ than the monomers. Once they were determined, the μ parameters given in the table were used for all subsequent LC-BLYP TD-DFT calculations. All calculations were carried out with the Gaussian 09 package⁵² using default SCF convergence criteria (density matrix converged to at least 10^{-8}) and the default DFT integration grid (75 radial and 302 angular quadrature points). For each system, the 20 lowest excitation states were determined and assigned by examining both the oscillator strength and the charge density difference between the ground and excited states and comparing them to the assignments given in Szalay et al.¹⁷ Rydberg orbitals are denoted by R, pi orbitals by π , and lone pair orbitals by n. Virtual orbitals are designated by an asterisk (*), with a preceding number referring to its orbital number. Visualizations of the charge

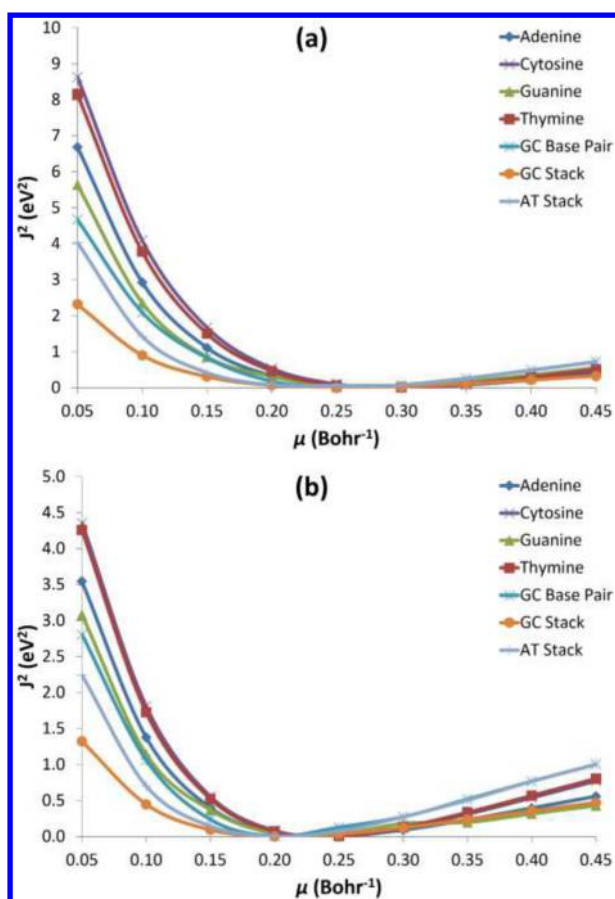


Figure 2. Plots of J^2 (eq 3) as a function of μ for the various DNA bases and pairs using the TZVP basis with the (a) LC-BLYP $_{\alpha=0.0, \beta=1.0}$ and (b) LC-BLYP $_{\alpha=0.2, \beta=0.8}$ functionals.

Table 1. LC-BLYP/TZVP Optimal μ Values for the DNA Bases and Pairs

	Optimal μ (Bohr $^{-1}$)	
	LC-BLYP $_{\alpha=0.0, \beta=1.0}$	LC-BLYP $_{\alpha=0.2, \beta=0.8}$
Adenine (A)	0.288	0.236
Cytosine (C)	0.296	0.238
Guanine (G)	0.273	0.225
Thymine (T)	0.289	0.236
GC Pair	0.253	0.209
GC Stack	0.251	0.207
AT Stack	0.247	0.206

density differences other than those given in the figures of the main text are provided in the Supporting Information.

While charge transfer excitations are indicated by the CT transition assignments in the tables (as originally assigned by Szalay et al.), we also used the lambda diagnostic by Peach et al.⁵³ to give a more quantitative measure of charge transfer for all of the calculated excitation energies. The spatial overlap between the occupied and virtual orbitals involved in an excitation, Λ , is quantified in the form

$$\Lambda = \frac{\sum_{i,a} (X_{ia} + Y_{ia})^2 O_{ia}}{\sum_{i,a} (X_{ia} + Y_{ia})^2} \quad (4)$$

where X_{ia} and Y_{ia} are the virtual-occupied and occupied-virtual transition amplitudes, respectively, and O_{ia} is the spatial overlap

of the moduli of the two orbitals. By construction, Λ is bounded between 0 and 1, with small values signifying a long-range charge-transfer excitation and large values signifying a localized, short-range transition. Extensive benchmarks given by Peach and co-workers indicated that excitations with $\Lambda < 0.3$ imply little orbital overlap and significant long-range charge transfer excitations that produce inaccuracies in hybrid functionals.⁵³ The Λ diagnostic was carried out for all systems and their various excitation energies at the B3LYP/TZVP level of theory.

3. RESULTS AND DISCUSSION

A concise summary and analysis of all 50 nucleobase excited-states obtained by TD-DFT (M06-HF, B3LYP, CAM-B3LYP, LC-BLYP $_{\alpha=0.0, \beta=1.0}$, and LC-BLYP $_{\alpha=0.2, \beta=0.8}$) in comparison to EOM-CCSD(T) benchmarks is given in Table 2. Detailed excited-state energies, oscillator strengths, and transition assignments are given in Table 3 for the monomers, and similar data are collected in Table 4 for the stacked pairs and Watson–Crick pair. Overall, the range-separated functionals give significantly better predictions for the excited-state properties than those with the conventional hybrid functionals. On the basis of the mean absolute error, the predictions made by CAM-B3LYP and the two LC-BLYP functionals are roughly equivalent for the monomers; however, both versions of LC-BLYP are considerably more accurate than CAM-B3LYP for the GC Watson–Crick pair, particularly for CT excitations in this system. We discuss and analyze the results in detail for each of the various systems in the following sections.

Monomers. Upon examination of Table 3, we find that all five TD-DFT methods give the correct ordering of transitions for adenine, cytosine, guanine, and thymine. In this work, the excitation energies for adenine are most accurately calculated by LC-BLYP $_{\alpha=0.2, \beta=0.8}$ with a MAE of 0.09 and least accurately predicted by B3LYP. In the case of thymine, B3LYP has the highest MAE and RMS errors (0.46 and 0.48, respectively) and CAM-B3LYP has the lowest (0.08 and 0.10), although the other range-separated functionals also have comparatively low MAE and RMS values. The results for guanine are similar to B3LYP and M06-HF, both having MAE values of approximately 0.4, and the best of the range-separated functionals (LC-BLYP $_{\alpha=0.2, \beta=0.8}$) decreasing the error 10-fold. It is interesting to note that all the TD-DFT excited-state energies for cytosine are slightly less accurate than the other nucleobases, with the highest MAE and RMS values (0.56 and 0.72, respectively) for M06-HF and the lowest (0.08 and 0.095) from LC-BLYP $_{\alpha=0.0, \beta=1.0}$.

For both guanine and thymine, Rydberg excitations are also present and were included in the EOM-CCSD(T)/TZVP benchmark calculations.¹⁷ We are acutely aware that diffuse basis functions are required to accurately describe Rydberg states, and, indeed, Szalay et al. have reported excitation energies of nucleobases that properly treat Rydberg states with augmented basis sets in a series of previous papers.^{54,55} In order to make a fair comparison to the most recent EOM-CCSD(T)/TZVP benchmarks of Szalay et al.,¹⁷ we have chosen to use the TZVP basis throughout this work. A more thorough analysis of Rydberg excitations would require a detailed comparison to Szalay's previous benchmark calculations,^{54,55} which we reserve for a future study. For these Rydberg transitions, the excitations are especially sensitive to the asymptotic part of the nonlocal exchange-correlation potential, and one should expect that long-range exchange corrections are important. Indeed, we find

Table 2. Mean Absolute Errors, Max Errors, and Root-Mean-Square Errors in Comparison to EOM-CCSD(T) Benchmarks for the DNA Monomers, Stacked Dimers, and WC Pair

	MAE				
	M06-HF	B3LYP	CAM-B3LYP	LC-BLYP $_{\alpha=0.0,\beta=1.0}$	LC-BLYP $_{\alpha=0.2,\beta=0.8}$
Adenine	0.265	0.321	0.091	0.168	0.087
Cytosine	0.561	0.360	0.120	0.082	0.163
Guanine	0.395	0.383	0.053	0.091	0.042
Thymine	0.282	0.460	0.083	0.162	0.097
AT Stack	0.314	0.376	0.163	0.246	0.164
GC Stack	0.416	0.340	0.192	0.178	0.162
GC Pair	0.524	0.727	0.149	0.171	0.079
Average MAE	0.394	0.424	0.122	0.157	0.114
	Max Error				
	M06-HF	B3LYP	CAM-B3LYP	LC-BLYP $_{\alpha=0.0,\beta=1.0}$	LC-BLYP $_{\alpha=0.2,\beta=0.8}$
Adenine	0.588	0.503	0.198	0.346	0.213
Cytosine	1.422	0.619	0.215	0.150	0.238
Guanine	0.609	0.638	0.085	0.168	0.073
Thymine	0.620	0.665	0.166	0.277	0.166
AT Stack	0.702	0.582	0.709	0.414	0.474
GC Stack	0.710	0.738	0.597	0.413	0.559
GC Pair	1.315	2.122	0.572	0.335	0.226
Average Max Error	0.852	0.838	0.363	0.300	0.279
	RMS Error				
	M06-HF	B3LYP	CAM-B3LYP	LC-BLYP $_{\alpha=0.0,\beta=1.0}$	LC-BLYP $_{\alpha=0.2,\beta=0.8}$
Adenine	0.327	0.357	0.113	0.189	0.114
Cytosine	0.721	0.396	0.134	0.095	0.174
Guanine	0.414	0.428	0.060	0.111	0.050
Thymine	0.364	0.480	0.100	0.187	0.106
AT Stack	0.379	0.398	0.257	0.279	0.200
GC Stack	0.448	0.416	0.268	0.216	0.233
GC Pair	0.597	0.926	0.221	0.204	0.101
Average RMS Error	0.464	0.486	0.165	0.183	0.140

that the B3LYP hybrid functional dramatically underestimates these Rydberg excitations, whereas all three range-separated functionals (and even M06-HF) compare extremely well with the EOM-CCSD(T) benchmarks.

In general, the MAE for the conventional hybrid functionals have similar values of approximately 0.4, whereas LC-BLYP $_{\alpha=0.2,\beta=0.8}$ which includes some short-range exchange, has an overall MAE of approximately 0.1, a noticeable improvement in accuracy. In particular, the M06-HF functional is the least accurate method overall and significantly overestimates the excitations energies for all of the nucleobase monomers. This overestimation is not surprising since the majority of the monomer excitations in Table 3 are valence transitions and do not have long-range intramolecular charge-transfer character, as indicated by values of $\Lambda > 0.4$. Since the M06-HF functional is parametrized with a full 100% HF exchange over the entire range ($\alpha = 1.0$ over *all* space), the intricate balance between exchange and correlation errors is corrupted for these valence excitations, resulting in excitation energies that are severely overestimated. Although the valence excitations only involve localized short-range transitions, we still find that some amount of short-range HF exchange (present in both CAM-B3LYP and LC-BLYP $_{\alpha=0.2,\beta=0.8}$) slightly improves the accuracy of these excitations in comparison to the standard LC-BLYP $_{\alpha=0.0,\beta=1.0}$ results. We posit that non-negligible self-interaction errors (SIE) are still present in these short-range transitions and the inclusion of some short-range HF exchange partially reduces the SIE in these localized excitations. As a whole, we find that

both the CAM-B3LYP and LC-BLYP $_{\alpha=0.2,\beta=0.8}$ functionals give an accurate and balanced prediction of the various valence and Rydberg excitations in the nucleobase monomers.

Adenine–Thymine Stacked Pair. We now turn to our first nucleobase dimer composed of an adenine and thymine π -stacked geometry with an intermolecular separation of 3.154 Å. The excitation energies and oscillator strengths for the AT stacked pair are given in Table 4, and a visualization of the charge density difference for various excitations is given in Figure 3. As seen in the table, all three range-separated methods more closely reproduce the EOM-CCSD(T) benchmark excited-state energies and oscillator strengths, compared to M06-HF or the widely used B3LYP functional. While all three perform equally well for the majority of the excitation energies, the standard LC-BLYP $_{\alpha=0.0,\beta=1.0}$ functional is the most accurate for the CT $_{A \rightarrow T}$ excitation originally assigned by Szalay et al. For this specific excitation, CAM-B3LYP has the highest error among the range-separated functionals, followed by LC-BLYP $_{\alpha=0.2,\beta=0.8}$. It is particularly interesting that we also find several excitations in the AT stacked pair that have $\Lambda < 0.4$, indicating charge transfer, even though none of these are explicitly assigned as charge-transfer excitations in the Szalay paper (i.e., An $_{-1} \rightarrow 2\pi^*$ and Tn $_{-1} \rightarrow 2\pi^*$). For these particular excitations, the range-separated methods that include short-range exchange (CAM-B3LYP and LC-BLYP $_{\alpha=0.2,\beta=0.8}$) give the best predictions, with the standard LC-BLYP $_{\alpha=0.0,\beta=1.0}$ being the least accurate.

Table 3. Excitation Energies (ΔE in eV), Oscillator Strengths (f in au), and the Lambda Diagnostic (Λ) of the DNA Nucleobase Monomers Calculated by Various Methods

Adenine																
type	transition	EOM-CCSD ^a		EOM-CCSD(T) ^a		M06-HF		B3LYP		CAM-B3LYP		LC-BLYP- $\alpha=0.0, \beta=1.0$		LC-BLYP- $\alpha=0.2, \beta=0.8$		Λ
		ΔE	f	ΔE		ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f	
1($\pi\pi^*$)	$A\pi \rightarrow \pi^*$	5.39	0.000	5.17		5.59	0.005	4.91	0.002	5.31	0.001	5.07	0.001	5.31	0.001	0.435
2($\pi\pi^*$)	$A\pi \rightarrow 2\pi^*$	5.66	0.297	5.48		5.68	0.306	5.05	0.201	5.38	0.280	5.34	0.269	5.41	0.283	0.796
2($n\pi^*$)	$A\pi \rightarrow 2\pi^*$	5.52	0.006	5.30		5.89	0.049	5.29	0.036	5.50	0.009	5.40	0.018	5.51	0.012	0.711
3($n\pi^*$)	$A\pi \rightarrow \pi^*$	6.13	0.005	5.93		5.97	0.002	5.57	0.003	5.92	0.003	5.73	0.004	5.93	0.003	0.487
4($n\pi^*$)	$A\pi_{-1} \rightarrow 2\pi^*$	6.56	0.007	6.35		6.42	0.007	5.85	0.002	6.27	0.004	6.00	0.004	6.26	0.004	0.444
5($\pi\pi^*$)	$A\pi \rightarrow \pi^{*b}$	6.82	0.223	6.68		6.95	0.454	6.32	0.209	6.66	0.428	6.56	0.408	6.67	0.390	0.667
Cytosine																
type	transition	EOM-CCSD ^a		EOM-CCSD(T) ^a		M06-HF		B3LYP		CAM-B3LYP		LC-BLYP- $\alpha=0.0, \beta=1.0$		LC-BLYP- $\alpha=0.2, \beta=0.8$		Λ
		ΔE	f	ΔE		ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f	
1($\pi\pi^*$)	$C\pi \rightarrow \pi^*$	4.98	0.070	4.76		5.21	0.088	4.65	0.036	4.97	0.068	4.91	0.068	5.00	0.072	0.609
1($n\pi^*$)	$C\pi_N \rightarrow \pi^*$	5.39	0.004	5.18		5.30	0.014	4.77	0.008	5.22	0.003	5.07	0.003	5.23	0.003	0.347
3($\pi\pi^*$)	$C\pi_{-1} \rightarrow \pi^*$	6.09	0.160	5.84		6.37	0.252	5.51	0.083	6.00	0.117	5.93	0.122	6.04	0.122	0.636
3($n\pi^*$)	$C\pi_o \rightarrow 2\pi^*$	5.97	0.008	5.73		5.45	0.009	5.11	0.003	5.83	0.003	5.71	0.001	5.89	0.003	0.452
4($n\pi^*$)	$C\pi_o \rightarrow \pi^*$	6.42	0.003	6.02		7.44	0.001	5.69	0.001	6.10	0.005	5.99	0.007	6.19	0.004	0.394
Guanine																
type	transition	EOM-CCSD ^a		EOM-CCSD(T) ^a		M06-HF		B3LYP		CAM-B3LYP		LC-BLYP- $\alpha=0.0, \beta=1.0$		LC-BLYP- $\alpha=0.2, \beta=0.8$		Λ
		ΔE	f	ΔE		ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	F	
2($\pi\pi^*$)	$G\pi \rightarrow \pi^*$	5.32	0.184	5.12		5.45	0.211	4.92	0.161	5.18	0.181	5.09	0.167	5.18	0.178	0.722
2($n\pi^*$)	$G\pi \rightarrow \pi^*$	5.67	0.002	5.53		4.92	0.006	5.34	0.069	5.54	0.009	5.39	0.005	5.54	0.008	0.531
4($\pi\pi^*$)	$G\pi \rightarrow 2\pi^*$	5.99	0.318	5.77		6.08	0.421	5.27	0.140	5.69	0.288	5.60	0.287	5.70	0.296	0.647
1(πR)	$G\pi \rightarrow R$	6.27	0.004	6.11		6.44	0.005	5.47	0.007	6.17	0.003	6.09	0.004	6.13	0.003	0.326
Thymine																
type	transition	EOM-CCSD ^a		EOM-CCSD(T) ^a		M06-HF		B3LYP		CAM-B3LYP		LC-BLYP- $\alpha=0.0, \beta=1.0$		LC-BLYP- $\alpha=0.2, \beta=0.8$		Λ
		ΔE	f	ΔE		ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	F	
1($n\pi^*$)	$T\pi \rightarrow \pi^*$	5.20	0.000	5.03		4.66	0.000	4.74	0.000	5.09	0.000	4.99	0.000	5.12	0.000	0.406
2($\pi\pi^*$)	$T\pi \rightarrow \pi^*$	5.65	0.235	5.47		5.54	0.267	5.04	0.136	5.30	0.192	5.19	0.177	5.30	0.195	0.728
5($n\pi^*$)	$T\pi_{-1} \rightarrow 2\pi^*$	6.65	0.000	6.53		5.91	0.000	5.87	0.000	6.44	0.000	6.31	0.000	6.47	0.000	0.358
1(πR)	$T\pi \rightarrow R$	6.78	0.000	6.67		6.74	0.000	6.21	0.000	6.66	0.000	6.56	0.000	6.60	0.000	0.311

^aFrom Szalay et al.¹⁷

As a whole, the TD-DFT methods give reasonable predictions for the specific ordering of excited-state energies, although there are a few outliers. All of the techniques place the 1($\pi\pi^*$) transition higher in energy than the 2($\pi\pi^*$) transition, breaking the smooth increasing trend in the benchmark data; however, this effect is much worse for M06-HF than for the other four methods. In the EOM-CCSD(T) benchmark data, the 4($\pi\pi^*$) transition is lower in energy than the 3($n\pi^*$) transition, but the opposite is true for all of the TD-DFT methods except B3LYP. In addition, all methods switch the energetic ordering of 5($\pi\pi^*$) and 5($n\pi^*$) as compared to the EOM-CCSD(T) benchmark data. Turning to the Rydberg excitations in the AT stacked pair, we find that all three range-separated functionals (as well as M06-HF) describe these excitations accurately. The conventional B3LYP functional performs quite poorly by severely underestimating this excitation by 0.56 eV. Among all of the range-separated functionals, CAM-B3LYP has the smallest error for the Rydberg excitations by a small margin. However, the LC-BLYP _{$\alpha=0.2, \beta=0.8$} functional gives an overall more accurate and balanced prediction of the various valence and Rydberg excitations in the AT stacked pair.

Guanine–Cytosine Stacked Pair. Our next nucleobase dimer is another π -stacked system, but it is composed of a guanine and cytosine geometry separated by a distance of 3.104 Å. The excitation energies and oscillator strengths are given in Table 4, and visualizations of the charge density differences for selected excitations are given in Figure 4. Once again, all three range-separated functionals more closely reproduce the EOM-CCSD(T) benchmark excited-state energies and oscillator strengths, compared to M06-HF or B3LYP. Although all three have similar overall levels of error, the energies of the two charge-transfer excitations are most accurately predicted by the standard LC-BLYP _{$\alpha=0.0, \beta=1.0$} functional, as in the AT stacked pair. For the GC stacked pair, Λ values of around 0.3, however, do occur for the excitations that are assigned as charge transfers, giving further weight to the assignment. As these excitations also have $\pi \rightarrow \pi^*$ character as well as charge transfer, the Λ values are not as low as they would be for a full charge transfer. Overall, the oscillator strengths are well-predicted by the TD-DFT methods, although they are least accurate for B3LYP. The Rydberg excitations are well-described by the range-separated functionals, with CAM-B3LYP having the lowest error by a small margin. The M06-HF functional, which incorporates full 100% asymptotic HF exchange, predicts

Table 4. Excitation Energies (ΔE in eV), Oscillator Strengths (f in au), and the Lambda Diagnostic (Λ) of the Adenine–Thymine and Guanine–Cytosine Stacked Dimers and the Guanine–Cytosine Watson–Crick Base Pair Calculated by Various Methods

AT Stack															
type	transition	EOM-CCSD ^a		EOM-CCSD(T) ^a		M06-HF		B3LYP		CAM-B3LYP		LC-BLYP _{$\alpha=0.0,\beta=1.0$}		LC-BLYP _{$\alpha=0.2,\beta=0.8$}	
		ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f
1($n\pi^*$)	Tn $\rightarrow \pi^*$	5.22	0.000	5.04		4.72	0.000	4.79	0.000	5.12	0.009	4.88	0.002	5.08	0.008
1($\pi\pi^*$)	Ar $\rightarrow \pi^*$	5.36	0.000	5.13		5.81	0.021	5.24	0.047	5.46	0.061	5.23	0.031	5.38	0.048
2($\pi\pi^*$)	T $\pi \rightarrow \pi^*$; Ar $\rightarrow 2\pi^*$	5.42	0.024	5.23		5.39	0.023	4.88	0.021	5.17	0.022	4.98	0.023	5.12	0.020
2($n\pi^*$)	An $\rightarrow 2\pi^*$	5.51	0.004	5.31		5.59	0.031	4.89	0.004	5.30	0.047	4.90	0.001	5.22	0.011
3($\pi\pi^*$)	T $\pi \rightarrow \pi^*$; Ar $\rightarrow 2\pi^*$	5.64	0.339	5.46		5.64	0.360	5.04	0.171	5.32	0.127	5.15	0.179	5.28	0.197
3($n\pi^*$)	An $\rightarrow \pi^*$	6.14	0.002	5.94		5.99	0.002	5.58	0.002	5.94	0.004	5.58	0.003	5.86	0.003
4($\pi\pi^*$)	CT _{A\rightarrowT}	6.17	0.052	5.88		6.58	0.074	5.52	0.011	6.59	0.048	6.00	0.001	6.35	0.012
4($n\pi^*$)	An ₁ $\rightarrow 2\pi^*$	6.49	0.005	6.27		6.41	0.005	5.81	0.001	6.25	0.005	5.87	0.009	6.15	0.006
5($\pi\pi^*$)	Ar $\rightarrow \pi^*$	6.60	0.090	6.37		6.65	0.062	6.10	0.017	6.63	0.122	6.36	0.188	6.60	0.111
5($n\pi^*$)	T _{n-1} $\rightarrow 2\pi^*$	6.67	0.008	6.54		6.03	0.000	5.96	0.001	6.45	0.004	6.16	0.006	6.43	0.033
1(πR)	T $\pi \rightarrow R$	6.77	0.033	6.63		6.78	0.030	6.07	0.008	6.72	0.152	6.43	0.038	6.50	0.089
GC Stack															
type	transition	EOM-CCSD ^a		EOM-CCSD(T) ^a		M06-HF		B3LYP		CAM-B3LYP		LC-BLYP _{$\alpha=0.0,\beta=1.0$}		LC-BLYP _{$\alpha=0.2,\beta=0.8$}	
		ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f
1($\pi\pi^*$)	C $\pi \rightarrow \pi^*$	5.07	0.045	4.83		5.29	0.049	4.73	0.018	5.08	0.038	4.84	0.023	4.97	0.016
2($\pi\pi^*$)	G $\pi \rightarrow \pi^*$	5.25	0.044	5.04		5.39	0.045	4.95	0.072	5.24	0.098	4.97	0.038	5.19	0.039
1($n\pi^*$)	Ch _N $\rightarrow \pi^*$	5.41	0.035	5.20		5.45	0.066	4.87	0.009	5.26	0.010	4.88	0.006	5.23	0.066
2($n\pi^*$)	Gn $\rightarrow \pi^*$	5.66	0.004	5.51		5.02	0.001	5.24	0.003	5.52	0.001	5.29	0.002	5.48	0.002
3($\pi\pi^*$)	CT _{G\rightarrowC} C $\pi_{-1} \rightarrow \pi^*$	5.69	0.150	5.42		6.07	0.177	5.38	0.023	5.83	0.098	5.57	0.078	5.76	0.088
4($\pi\pi^*$)	G $\pi \rightarrow 2\pi^*$	5.80	0.208	5.57		5.88	0.300	5.06	0.151	5.50	0.223	5.34	0.199	5.46	0.217
5($\pi\pi^*$)	CT _{G\rightarrowC} C $\pi_{-1} \rightarrow \pi^*$	5.99	0.068	5.71		6.42	0.043	5.40	0.040	6.31	0.029	6.12	0.046	6.27	0.037
3($n\pi^*$)	Ch _O $\rightarrow 2\pi^*$	6.15	0.003	5.94		5.73	0.002	5.20	0.024	6.03	0.003	5.84	0.006	5.98	0.002
1(πR)	G $\pi \rightarrow R$	6.49	0.002	6.32		6.65	0.012	5.64	0.001	6.36	0.000	6.23	0.009	6.25	0.001
GC Watson–Crick Pair															
type	transition	EOM-CCSD ^a		EOM-CCSD(T) ^a		M06-HF		B3LYP		CAM-B3LYP		LC-BLYP _{$\alpha=0.0,\beta=1.0$}		LC-BLYP _{$\alpha=0.2,\beta=0.8$}	
		ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f	ΔE	f
1($\pi\pi^*$)	G $\pi \rightarrow \pi^*$	5.07	0.065	4.85		5.21	0.097	4.76	0.101	5.10	0.070	4.81	0.071	4.93	0.076
2($\pi\pi^*$)	C $\pi \rightarrow \pi^*$	5.17	0.100	4.92		5.39	0.199	4.77	0.028	5.14	0.102	4.94	0.082	5.10	0.097
3($\pi\pi^*$)	C $\pi_{-1} \rightarrow \pi^*$	5.64	0.303	5.37		6.03	0.191	5.00	0.037	5.65	0.120	5.42	0.102	5.60	0.112
4($\pi\pi^*$)	G $\pi \rightarrow 2\pi^*$	5.71	0.411	5.48		5.81	0.579	5.14	0.278	5.47	0.431	5.34	0.414	5.45	0.428
5($\pi\pi^*$)	CT _{G\rightarrowC}	5.81	0.013	5.36		6.68	0.001	3.24	0.002	4.79	0.024	5.21	0.016	5.29	0.022
1($n\pi^*$)	Ch _N $\rightarrow \pi^*$	5.87	0.001	5.65		6.10	0.001	4.69	0.000	5.62	0.001	5.32	0.001	5.58	0.001
2($n\pi^*$)	Gn _O $\rightarrow \pi^*$	5.95	0.000	5.76		5.48	0.000	5.50	0.000	5.79	0.000	5.56	0.000	5.77	0.000
5($\pi\pi^*$)	G $\pi \rightarrow R + \pi^*$	6.36	0.000	6.20		6.88	0.004	5.62	0.000	6.27	0.001	6.09	0.000	6.14	0.001
3($n\pi^*$)	Ch _O $\rightarrow 2\pi^*$	6.43	0.000	6.27		5.97	0.000	5.27	0.000	6.31	0.000	5.94	0.000	6.29	0.000
4($n\pi^*$)	Ch _O $\rightarrow \pi^*$	6.60	0.003	6.42		6.72	0.289	5.57	0.001	6.40	0.000	6.09	0.000	6.34	0.000
6($\pi\pi^*$)	C $\pi \rightarrow 2\pi^*$	6.71	0.226	6.50		7.11	0.172	5.23	0.087	6.61	0.158	6.33	0.101	6.56	0.128

^aFrom Szalay et al.¹⁷

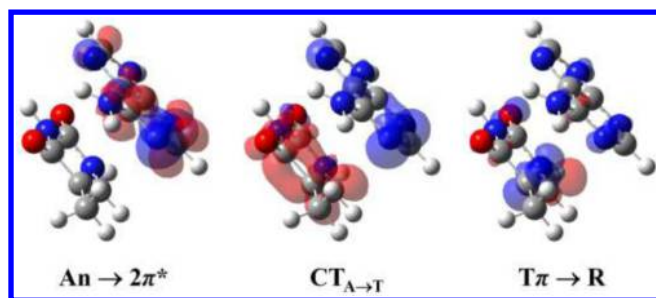


Figure 3. Electron density difference maps for (a) the $An \rightarrow 2\pi^*$ valence excitation, (b) the $CT_{A \rightarrow T}$ charge-transfer excitation, and (c) the $T\pi \rightarrow R$ Rydberg excitation in the adenine–thymine stacked pair. Red regions denote an accumulation of density upon electronic excitation, and blue regions represent a depletion of density upon excitation.

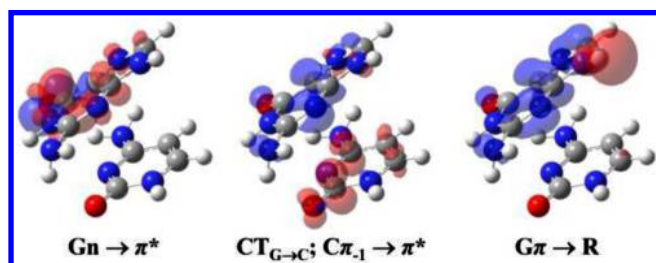


Figure 4. Electron density difference maps for (a) the $Gn \rightarrow \pi^*$ valence excitation, (b) the $CT_{G \rightarrow C}; C\pi_{-1} \rightarrow \pi^*$ charge-transfer excitation, and (c) the $G\pi \rightarrow R$ Rydberg excitation in the guanine–cytosine stacked pair. Red regions denote an accumulation of density upon electronic excitation, and blue regions represent a depletion of density upon excitation.

the Rydberg transitions less well, and the B3LYP functional describes these same excitations quite poorly.

As is the case for the AT stacked pair, the ordering of excited-state energies in the GC stack relative to the EOM-CCSD(T) benchmarks is relatively good with a few outliers, although M06-HF has one more error than the other four methods. Specifically, the $2(n\pi^*)$ transition is placed at a lower energy than the $1(n\pi^*)$ transition in M06-HF, whereas the opposite is true in the benchmark data. While the EOM-CCSD(T) data places the $3(\pi\pi^*)$ charge-transfer transition at a lower energy than the $4(\pi\pi^*)$ transition, the TD-DFT methods all show the opposite trend. The opposite problem occurs with the $3(n\pi^*)$ transition, which is placed at a lower energy than the $5(\pi\pi^*)$ transition by the TD-DFT methods but at a higher energy by EOM-CCSD(T). The inconsistencies in transition order for this second pair, however, do not involve especially high absolute errors for the range-separated functionals. Overall, the LC-BLYP $_{\alpha=0.0, \beta=1.0}$ functional (without short-range exchange) best describes the excitation energies of the guanine–cytosine stacked pair, with the other two range-separated functionals performing nearly as well.

Guanine–Cytosine Watson–Crick Base Pair. Finally, we examine a Watson–Crick (WC) nucleobase pair formed via three hydrogen bonds between a guanine and cytosine (GC) molecule. The excitation energies and oscillator strengths for the GC WC pair are given in Table 4, and a visualization of the charge density difference for selected excitations is given in Figure 5. Overall, CAM-B3LYP, LC-BLYP $_{\alpha=0.0, \beta=1.0}$, and LC-BLYP $_{\alpha=0.2, \beta=0.8}$ more accurately predict the excited-state energies and oscillator strengths of this system compared to that with

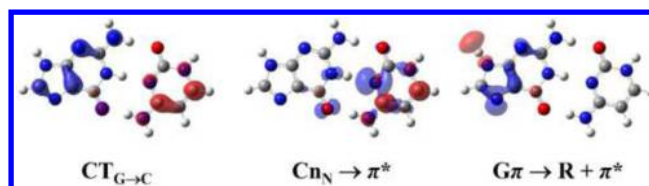


Figure 5. Electron density difference maps for (a) the $CT_{G \rightarrow C}$ charge transfer excitation, (b) the $Cn_N \rightarrow \pi^*$ valence excitation, and (c) the $G\pi \rightarrow R + \pi^*$ Rydberg excitation in the guanine–cytosine Watson–Crick base pair. Red regions denote an accumulation of density upon electronic excitation, and blue regions represent a depletion of density upon excitation.

either M06-HF or B3LYP. In contrast to the excitations in the previous π -stacked complexes, we find *extreme* long-range charge-transfer excitations (i.e., $CT_{G \rightarrow C}$ and $Cn_N \rightarrow \pi^*$) with very low Λ values. The $CT_{G \rightarrow C}$ transition has a Λ value of 0.07, indicating a very low degree of orbital overlap and significant long-range charge transfer character. Surprisingly, CAM-B3LYP describes this transition extremely poorly and even predicts it as the *lowest-energy* excitation. In contrast, the other range-separated functionals with 100% asymptotic HF exchange predict the $CT_{G \rightarrow C}$ transition quite accurately, with errors of 0.15 and 0.07 eV for LC-BLYP $_{\alpha=0.0, \beta=1.0}$ and LC-BLYP $_{\alpha=0.2, \beta=0.8}$, respectively (in contrast to the very large 0.57 eV error for CAM-B3LYP). Since CAM-B3LYP is constructed with only 65% asymptotic HF exchange, the underestimation of the $CT_{G \rightarrow C}$ excitation by CAM-B3LYP gives further evidence that a full 100% asymptotic HF exchange is essential for accurately describing these long-range excitations.

The $Cn_N \rightarrow \pi^*$ transition is also a long-range charge-transfer excitation and has a Λ value of 0.13. Among all three of the range-separated functionals, the standard LC-BLYP $_{\alpha=0.0, \beta=1.0}$ has the highest error, and CAM-B3LYP closely reproduces the EOM-CCSD(T) benchmark excitation. The inclusion of some short-range HF exchange in LC-BLYP $_{\alpha=0.2, \beta=0.8}$ significantly improves upon the performance of the standard LC-BLYP functional and brings the excitation energy much closer to the EOM-CCSD(T) benchmark. Both the $Cn_O \rightarrow \pi^*$ and $Gn_O \rightarrow \pi^*$ excitations have Λ values of 0.28 and 0.069, respectively, that are even lower than the $CT_{G \rightarrow C}$ transition. The errors from all of the functionals for the $Cn_O \rightarrow \pi^*$ and $Gn_O \rightarrow \pi^*$ excitations follows the same trend as the $Cn_N \rightarrow \pi^*$ transition discussed previously, giving further weight to the necessity of including short-range HF exchange. The $G\pi \rightarrow R + \pi^*$ Rydberg excitation also has a high degree of charge transfer, with a Λ value of 0.21. This extended transition is described accurately only by range-separated functionals with some short-range HF exchange. Specifically, errors of ~ 0.06 eV are attained from both LC-BLYP $_{\alpha=0.2, \beta=0.8}$ and CAM-B3LYP, whereas a larger error of 0.11 eV is obtained from the LC-BLYP $_{\alpha=0.0, \beta=1.0}$ functional. The global hybrid functionals, in contrast, have large unsystematic errors for the Rydberg transition, with M06-HF severely overestimating the excitation by 0.68 eV and B3LYP considerably underestimating the transition by 0.58 eV.

The ordering of the excited-state energies relative to the EOM-CCSD(T) benchmarks is relatively good with the exception of a few outliers for the range-separated methods. The global hybrid methods, on the other hand, have several deviations in the energy ordering. For all of the methods except B3LYP, the $3(\pi\pi^*)$ transition is higher in energy than $4(\pi\pi^*)$, whereas the opposite is true in the EOM-CCSD(T) benchmark data. The LC-BLYP $_{\alpha=0.0, \beta=1.0}$ functional incorrectly places the

$3(n\pi^*)$ transition lower in energy than the $5(\pi\pi^*)$ transition, an error that does not occur in the functionals that include some portion of short-range exchange. In general, both of the global hybrids demonstrate extremely poor performance, with B3LYP significantly underestimating all excitations (especially the $CT_{G\rightarrow C}$ and $Cn_N \rightarrow \pi^*$ excitations) and M06-HF overestimating them. Overall, the LC-BLYP $_{\alpha=0.2,\beta=0.8}$ functional gives superior predictions for the GC WC pair, nearly halving the errors of both the standard LC-BLYP $_{\alpha=0.0,\beta=1.0}$ and CAM-B3LYP range-separated functionals.

CONCLUSIONS

We have examined an extensive and diverse set of electronic excitations in several DNA nucleobase monomers (adenine, cytosine, guanine, and thymine), stacked pair geometries (adenine–thymine and guanine–cytosine), and a canonical Watson–Crick base pair (guanine–cytosine). This diverse set of excitations comprises a total of 50 different transitions that include several $n \rightarrow \pi$ and $\pi \rightarrow \pi^*$ valence excitations, long-range charge-transfer excitations, and extended Rydberg transitions. Most importantly, the recent availability of high-level EOM-CCSD(T) benchmark calculations on these systems allows us to perform a stringent test of both short-range exchange and nonempirically tuned long-range exchange contributions for accurately predicting each of these various excitations.

From our results, we find that all the various range-separated functionals give an improved description of excited-state properties, with an MAE of 0.11–0.16 eV, compared to the global hybrid functionals, which have a much larger MAE of 0.39–0.43 eV. In the case of the nucleobase monomers, both CAM-B3LYP and LC-BLYP $_{\alpha=0.2,\beta=0.8}$ perform slightly better than the standard LC-BLYP $_{\alpha=0.0,\beta=1.0}$ functional (both of the LC-BLYP methods contain a nonempirically tuned contribution of long-range exchange). We attribute this improved accuracy to the importance of short-range exchange (contained in both CAM-B3LYP and LC-BLYP $_{\alpha=0.2,\beta=0.8}$) for reducing the self-interaction errors that are still present in these localized valence excitations. For both of the AT and GC stacked pair geometries, LC-BLYP $_{\alpha=0.2,\beta=0.8}$ performs slightly better than both CAM-B3LYP and the standard LC-BLYP $_{\alpha=0.0,\beta=1.0}$ functional. Finally, the GC Watson–Crick pair poses a serious challenge for TD-DFT methods, where we find both localized valence excitations as well as *extreme* long-range charge-transfer excitations with very small orbital overlaps. Among all of the tested methods, the LC-BLYP $_{\alpha=0.2,\beta=0.8}$ functional gives superior predictions (MAE = 0.08 eV and RMS error = 0.10 eV), with errors that are considerably less than (*almost half*) the standard LC-BLYP $_{\alpha=0.0,\beta=1.0}$ and CAM-B3LYP range-separated functionals. On the basis of these extensive results, we strongly recommend the use of the nonempirically tuned LC-BLYP $_{\alpha=0.2,\beta=0.8}$ functional for simultaneously predicting all of the diverse transition energies and properties in these various nucleobase complexes. In closing, the current study emphasizes the importance of *both* short-range exchange and a nonempirically tuned contribution of long-range exchange for predicting the diverse excitations in these challenging nucleobase systems. The improved accuracy and efficiency of these functionals allows further studies on large extended structures of nucleobases, where both accuracy and computational efficiency are critical for probing the electron dynamics and charge transport in these large systems.

ASSOCIATED CONTENT

Supporting Information

Reference Cartesian coordinates and electron density difference maps for all 50 excited states of the nucleobase structures. This material is available free of charge via the Internet at <http://pubs.acs.org>.

AUTHOR INFORMATION

Corresponding Author

*E-mail: bryan.wong@ucr.edu.

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

We acknowledge the National Science Foundation for the use of supercomputing resources through the Extreme Science and Engineering Discovery Environment (XSEDE), project no. TG-DMR140054.

REFERENCES

- (1) Pérez, A.; Luque, F. J.; Orozco, M. *Frontiers in Molecular Dynamics Simulations of DNA*. *Acc. Chem. Res.* **2011**, *45*, 196–205.
- (2) Siriwong, K.; Voityuk, A. A. *Electron Transfer in DNA*. *Wiley Interdiscip. Rev.: Comput. Mol. Sci.* **2012**, *2*, 780–794.
- (3) Potoyan, D. A.; Savelyev, A.; Papoian, G. A. Recent Successes in Coarse-Grained Modeling of DNA. *Wiley Interdiscip. Rev.: Comput. Mol. Sci.* **2013**, *3*, 69–83.
- (4) Rothermund, P. W. K. Folding DNA To Create Nanoscale Shapes and Patterns. *Nature* **2006**, *440*, 297–302.
- (5) Condon, A. Designed DNA Molecules: Principles and Applications of Molecular Nanotechnology. *Nat. Rev. Genet.* **2006**, *7*, 565–575.
- (6) Kafle, A.; Coy, S.; Wong, B.; Fornace, A., Jr.; Glick, J.; Vouros, P. Understanding Gas Phase Modifier Interactions in Rapid Analysis by Differential Mobility-Tandem Mass Spectrometry. *J. Am. Soc. Mass Spectr.* **2014**, *25*, 1098–1113.
- (7) Parker, T. M.; Hohenstein, E. G.; Parrish, R. M.; Hud, N. V.; Sherrill, C. D. Quantum-Mechanical Analysis of the Energetic Contributions to π Stacking in Nucleic Acids Versus Rise, Twist, and Slide. *J. Am. Chem. Soc.* **2012**, *135*, 1306–1316.
- (8) Samanta, P. K.; Periyasamy, G.; Manna, A. K.; Pati, S. K. Computational Studies on Structural and Optical Properties of Single-Stranded DNA Encapsulated Silver/Gold clusters. *J. Mater. Chem.* **2012**, *22*, 6774–6781.
- (9) Sanderson, K. Bioengineering: What To Make with DNA Origami. *Nature* **2010**, *464*, 158–159.
- (10) Zadegan, R. M.; Jepsen, M. D. E.; Thomsen, K. E.; Okholm, A. H.; Schaffert, D. H.; Andersen, E. S.; Birkedal, V.; Kjems, J. Construction of a 4 Zeptoliters Switchable 3D DNA Box Origami. *ACS Nano* **2012**, *6*, 10050–10053.
- (11) Lin, C.; Liu, Y.; Rinker, S.; Yan, H. DNA Tile Based Self-Assembly: Building Complex Nanoarchitectures. *ChemPhysChem* **2006**, *7*, 1641–1647.
- (12) Barrow, S. J.; Funston, A. M.; Wei, X.; Mulvaney, P. DNA-Directed Self-Assembly and Optical Properties of Discrete 1D, 2D and 3D Plasmonic Structures. *Nano Today* **2013**, *8*, 138–167.
- (13) Murphy, C.; Arkin, M.; Jenkins, Y.; Ghatlia, N.; Bossmann, S.; Turro, N.; Barton, J. Long-Range Photoinduced Electron Transfer through a DNA Helix. *Science* **1993**, *262*, 1025–1029.
- (14) Wan, C.; Fiebig, T.; Schiemann, O.; Barton, J. K.; Zewail, A. H. Femtosecond Direct Observation of Charge Transfer between Bases in DNA. *Proc. Natl. Acad. Sci. U.S.A.* **2000**, *97*, 14052–14055.
- (15) Jortner, J.; Bixon, M.; Langenbacher, T.; Michel-Beyerle, M. E. Charge Transfer and Transport in DNA. *Proc. Natl. Acad. Sci. U.S.A.* **1998**, *95*, 12759–12765.

- (16) Cohen, H.; Nogues, C.; Naaman, R.; Porath, D. Direct Measurement of Electrical Transport through Single DNA Molecules of Complex Sequence. *Proc. Natl. Acad. Sci. U.S.A.* **2005**, *102*, 11589–11593.
- (17) Szalay, P. G.; Watson, T.; Perera, A.; Lotrich, V.; Bartlett, R. J. Benchmark Studies on the Building Blocks of DNA. 3. Watson–Crick and Stacked Base Pairs. *J. Phys. Chem. A* **2013**, *117*, 3149–3157.
- (18) Tsolakidis, A.; Kaxiras, E. A TDDFT Study of the Optical Response of DNA Bases, Base Pairs, and Their Tautomers in the Gas Phase. *J. Phys. Chem. A* **2005**, *109*, 2373–2380.
- (19) Shukla, M. K.; Leszczynski, J. Comprehensive Evaluation of Medium and Long Range Correlated Density Functionals in TD-DFT Investigation of DNA Bases and Base Pairs: Gas Phase and Water Solution Study. *Mol. Phys.* **2010**, *108*, 3131–3146.
- (20) Hardman, S. J. O.; Thompson, K. C. Influence of Base Stacking and Hydrogen Bonding on the Fluorescence of 2-Aminopurine and Pyrrolocytosine in Nucleic Acids. *Biochemistry* **2006**, *45*, 9145–9155.
- (21) Varsano, D.; Di Felice, R.; Marques, M. A. L.; Rubio, A. A TDDFT Study of the Excited States of DNA Bases and Their Assemblies. *J. Phys. Chem. B* **2006**, *110*, 7129–7138.
- (22) Lange, A. W.; Rohrdanz, M. A.; Herbert, J. M. Charge-Transfer Excited States in a π -Stacked Adenine Dimer, as Predicted Using Long-Range-Corrected Time-Dependent Density Functional Theory. *J. Phys. Chem. B* **2008**, *112*, 6304–6308.
- (23) Lange, A. W.; Herbert, J. M. Both Intra- and Interstrand Charge-Transfer Excited States in Aqueous B-DNA Are Present at Energies Comparable to, or Just above, the $1\pi\pi^*$ Excitonic Bright States. *J. Am. Chem. Soc.* **2009**, *131*, 3913–3922.
- (24) Santoro, F.; Barone, V.; Improta, R. Can TD-DFT Calculations Accurately Describe the Excited States Behavior of Stacked Nucleobases? The Cytosine Dimer as a Test Case. *J. Comput. Chem.* **2008**, *29*, 957–964.
- (25) Kozak, C. R.; Kistler, K. A.; Lu, Z.; Matsika, S. Excited-State Energies and Electronic Couplings of DNA Base Dimers. *J. Phys. Chem. B* **2010**, *114*, 1674–1683.
- (26) Jensen, L.; Govind, N. Excited States of DNA Base Pairs Using Long-Range Corrected Time-Dependent Density Functional Theory. *J. Phys. Chem. A* **2009**, *113*, 9761–9765.
- (27) Bravaya, K. B.; Kostko, O.; Dolgikh, S.; Landau, A.; Ahmed, M.; Krylov, A. I. Electronic Structure and Spectroscopy of Nucleic Acid Bases: Ionization Energies, Ionization-Induced Structural Changes, and Photoelectron Spectra. *J. Phys. Chem. A* **2010**, *114*, 12305–12317.
- (28) Janak, J. F. Proof that $\partial E/\partial n_i = \epsilon$ in Density-Functional Theory. *Phys. Rev. B* **1978**, *18*, 7165–7168.
- (29) Kronik, L.; Stein, T.; Refaely-Abramson, S.; Baer, R. Excitation Gaps of Finite-Sized Systems from Optimally Tuned Range-Separated Hybrid Functionals. *J. Chem. Theory Comput.* **2012**, *8*, 1515–1531.
- (30) Wong, B. M.; Cordaro, J. G. Coumarin Dyes for Dye-Sensitized Solar Cells: A Long-Range-Corrected Density Functional Study. *J. Chem. Phys.* **2008**, *129*, 214703.
- (31) Stein, T.; Kronik, L.; Baer, R. Prediction of Charge-Transfer Excitations in Coumarin-Based Dyes Using a Range-Separated Functional Tuned from First Principles. *J. Chem. Phys.* **2009**, *131*, 244119.
- (32) Foster, M. E.; Wong, B. M. Nonempirically Tuned Range-Separated DFT Accurately Predicts Both Fundamental and Excitation Gaps in DNA and RNA Nucleobases. *J. Chem. Theory Comput.* **2012**, *8*, 2682–2687.
- (33) Wong, B. M.; Hsieh, T. H. Optoelectronic and Excitonic Properties of Oligoacenes: Substantial Improvements from Range-Separated Time-Dependent Density Functional Theory. *J. Chem. Theory Comput.* **2010**, *6*, 3704–3712.
- (34) Richard, R. M.; Herbert, J. M. Time-Dependent Density-Functional Description of the 1L_a State in Polycyclic Aromatic Hydrocarbons: Charge-Transfer Character in Disguise? *J. Chem. Theory Comput.* **2011**, *7*, 1296–1306.
- (35) Kuritz, N.; Stein, T.; Baer, R.; Kronik, L. Charge-Transfer-Like $\pi \rightarrow \pi^*$ Excitations in Time-Dependent Density Functional Theory: A Conundrum and Its Solution. *J. Chem. Theory Comput.* **2011**, *7*, 2408–2415.
- (36) Foster, M. E.; Azoulay, J. D.; Wong, B. M.; Allendorf, M. D. Novel Metal–Organic Framework Linkers for Light Harvesting Applications. *Chem. Sci.* **2014**, *5*, 2081–2090.
- (37) Katan, C.; Savel, P.; Wong, B. M.; Roisnel, T.; Dorcet, V.; Fillaut, J. L.; Jacquemin, D. Absorption and Fluorescence Signatures of 1,2,3-Triazole Based Regioisomers: Challenging Compounds for TD-DFT. *Phys. Chem. Chem. Phys.* **2014**, *16*, 9064–9073.
- (38) Becke, A. D. Density-Functional Thermochemistry. III. The Role of Exact Exchange. *J. Chem. Phys.* **1993**, *98*, 5648–5652.
- (39) Zhao, Y.; Truhlar, D. G. Comparative DFT Study of van der Waals Complexes: Rare-Gas Dimers, Alkaline-Earth Dimers, Zinc Dimer, and Zinc-Rare-Gas Dimers. *J. Phys. Chem. A* **2006**, *110*, 5121–5129.
- (40) Zhao, Y.; Truhlar, D. G. Density Functional for Spectroscopy: No Long-Range Self-Interaction Error, Good Performance for Rydberg and Charge-Transfer States, and Better Performance on Average than B3LYP for Ground States. *J. Phys. Chem. A* **2006**, *110*, 13126–13130.
- (41) Tawada, Y.; Tsuneda, T.; Yanagisawa, S.; Yanai, T.; Hirao, K. A Long-Range-Corrected Time-Dependent Density Functional Theory. *J. Chem. Phys.* **2004**, *120*, 8425–8433.
- (42) Toulouse, J.; Colonna, F.; Savin, A. Long-Range–Short-Range Separation of the Electron–Electron Interaction in Density-Functional Theory. *Phys. Rev. A* **2004**, *70*, 062505.
- (43) Jacquemin, D.; Perpète, E. A.; Scalmani, G.; Frisch, M. J.; Kobayashi, R.; Adamo, C. Assessment of the Efficiency of Long-Range Corrected Functionals for Some Properties of Large Compounds. *J. Chem. Phys.* **2007**, *126*, 144105.
- (44) Yanai, T.; Tew, D. P.; Handy, N. C. A New Hybrid Exchange–Correlation Functional Using the Coulomb–Attenuating Method (CAM-B3LYP). *Chem. Phys. Lett.* **2004**, *393*, 51–57.
- (45) Wong, B. M.; Piacenza, M.; Sala, F. D. Absorption and Fluorescence Properties of Oligothiophene Biomarkers from Long-Range-Corrected Time-Dependent Density Functional Theory. *Phys. Chem. Chem. Phys.* **2009**, *11*, 4498–4508.
- (46) Rohrdanz, M. A.; Martins, K. M.; Herbert, J. M. A Long-Range-Corrected Density Functional that Performs Well for Both Ground-State Properties and Time-Dependent Density Functional Theory Excitation Energies, Including Charge-Transfer Excited States. *J. Chem. Phys.* **2009**, *130*, 054112.
- (47) Refaely-Abramson, S.; Sharifzadeh, S.; Govind, N.; Autschbach, J.; Neaton, J. B.; Baer, R.; Kronik, L. Quasiparticle Spectra from a Nonempirical Optimally Tuned Range-Separated Hybrid Density Functional. *Phys. Rev. Lett.* **2012**, *109*, 226405.
- (48) Egger, D. A.; Weismann, S.; Refaely-Abramson, S.; Sharifzadeh, S.; Dauth, M.; Baer, R.; Kummel, S.; Neaton, J. B.; Zofer, E.; Kronik, L. Outer-Valence Electron Spectra of Prototypical Aromatic Heterocycles from an Optimally-Tuned Range-Separated Hybrid Functional. *J. Chem. Theory Comput.* **2014**, *10*, 1934–1952.
- (49) Srebro, M.; Autschbach, J. Does a Molecule-Specific Density Functional Give an Accurate Electron Density? The Challenging Case of the CuCl Electric Field Gradient. *J. Phys. Chem. Lett.* **2012**, *3*, 576–581.
- (50) Stein, T.; Kronik, L.; Baer, R. Reliable Prediction of Charge Transfer Excitations in Molecular Complexes Using Time-Dependent Density Functional Theory. *J. Am. Chem. Soc.* **2009**, *131*, 2818–2820.
- (51) Autschbach, J.; Srebro, M. Delocalization Error and “Functional Tuning” in Kohn–Sham Calculations of Molecular Properties. *Acc. Chem. Res.* **2014**, *47*, 2592–2602.
- (52) Frisch, M. J.; Trucks, G. W.; Schlegel, H. B.; Scuseria, G. E.; Robb, M. A.; Cheeseman, J. R.; Scalmani, G.; Barone, V.; Mennucci, B.; Petersson, G. A.; Nakatsuji, H.; Caricato, M.; Li, X.; Hratchian, H. P.; Izmaylov, A. F.; Bloino, J.; Zheng, G.; Sonnenberg, J. L.; Hada, M.; Ehara, M.; Toyota, K.; Fukuda, R.; Hasegawa, J.; Ishida, M.; Nakajima, T.; Honda, Y.; Kitao, O.; Nakai, H.; Vreven, T.; Montgomery, J. A., Jr.; Peralta, J. E.; Ogliaro, F.; Bearpark, M.; Heyd, J. J.; Brothers, E.; Kudin, K. N.; Staroverov, V. N.; Kobayashi, R.; Normand, J.; Raghavachari, K.

Rendell, A.; Burant, J. C.; Iyengar, S. S.; Tomasi, J.; Cossi, M.; Rega, N.; Millam, J. M.; Klene, M.; Knox, J. E.; Cross, J. B.; Bakken, V.; Adamo, C.; Jaramillo, J.; Gomperts, R.; Stratmann, R. E.; Yazyev, O.; Austin, A. J.; Cammi, R.; Pomelli, C.; Ochterski, J. W.; Martin, R. L.; Morokuma, K.; Zakrzewski, V. G.; Voth, G. A.; Salvador, P.; Dannenberg, J. J.; Dapprich, S.; Daniels, A. D.; Farkas, O.; Foresman, J. B.; Ortiz, J. V.; Cioslowski, J.; Fox, D. J. *Gaussian 09*, revision B.01; Gaussian, Inc.: Wallingford, CT, 2009.

(53) Peach, M. J. G.; Benfield, P.; Helgaker, T.; Tozer, D. J. Excitation Energies in Density Functional Theory: An Evaluation and a Diagnostic Test. *J. Chem. Phys.* **2008**, *128*, 044118.

(54) Szalay, P. G.; Watson, T.; Perera, A.; Lotrich, V. F.; Bartlett, R. J. Benchmark Studies on the Building Blocks of DNA. 1. Superiority of Coupled Cluster Methods in Describing the Excited States of Nucleobases in the Franck–Condon Region. *J. Phys. Chem. A* **2012**, *116*, 6702–6710.

(55) Szalay, P. G.; Watson, T.; Perera, A.; Lotrich, V.; Fogarasi, G.; Bartlett, R. J. Benchmark Studies on the Building Blocks of DNA. 2. Effect of Biological Environment on the Electronic Excitation Spectrum of Nucleobases. *J. Phys. Chem. A* **2012**, *116*, 8851–8860.