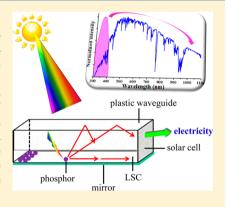




Near-Infrared Quantum Cutting Platform in Thermally Stable **Phosphate Phosphors for Solar Cells**

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ABSTRACT: This study investigated the photoluminescent properties of Tb³⁺-Yb3+-, Ce3+-Tb3+-Yb3+-, and Eu2+-Yb3+-doped KSrPO4. The samples were prepared by a solid-state reaction with various doping concentrations. Emission at near-infrared range was focused on the application of luminescent solar concentrator for solar cells. Quantum cutting (QC) energy transfer was confirmed by the lifetimes of the donor. Near-infrared QC involved emission of Yb³⁺ ions was achieved by excitation of Ce³⁺ Tb³⁺, and Eu²⁺ ions, where the energy transfer processes occurred from Ce³⁺ to Tb³⁺ to Yb³⁺, Tb³⁺ to Yb³⁺, and Eu²⁺ to Yb³⁺, respectively. In addition, the concentration quenching effect of Yb³⁺ ions was avoided by low doping concentrations. The overall quantum efficiencies were calculated, and the maximum efficiency reaches 139%. The energy diagrams for divalent and trivalent rare-earth ions in KSrPO₄ host lattice were analyzed. Results of this study demonstrate that heat-stable phosphate phosphors are promising candidates for increasing the efficiency of silicon-based solar cells.



INTRODUCTION

The numerous energy levels of rare-earth (RE) ions make them available as energy converters. Phosphors doped with RE ions can convert incoming excitation sources into photons of different wavelength. Dexter proposed the concept of quantum cutting (QC) that yields multiple photons by cutting a highenergy photon to two lower-energy photons. Theoretically, the quantum yield of this process can exceed 100%. The first experimental evidence for QC was demonstrated in YF₃:Pr³⁺, where the two photons were emitted from the single Pr³⁺ ion.² Wegh et al. observed quantum cutting between two ions emitting visible photons in the Gd³⁺-Eu³⁺ couple.³

OC has attracted increasing attention for its ability to improve solar cell efficiency. The terrestrial spectrum of the solar radiation on the surface of the earth (AM 1.5 G) has a large energy mismatch with the band gap of crystalline silicon (c-Si) wafer. Electron-hole pairs are generated when photons have energies much higher than the band gap of the semiconductor material. In addition, the excess energy of the electron-hole pairs is dissipated as heat. The thermalization process largely explains the efficiency loss. Solar cell efficiency can be increased if the high-energy visible photons are converted to near-infrared (NIR) photons.⁴ Close examination of the Dieke diagram⁵ reveals that the energy of the Yb^{3+ 2} $F_{5/2}$ \rightarrow $^2F_{7/2}$ transition is located at $\sim 10\,000$ cm⁻¹, which is just above the band gap of c-Si. Several RE-Yb3+ donor-acceptor couples have been developed to convert one visible photon

shorter than 500 nm to two NIR photons such as Tb³⁺-Yb³⁺, $Tm^{3+}-Yb^{3+}$, $Pr^{3+}-Yb^{3+}$, $Er^{3+}-Yb^{3+}$, $Nd^{3+}-Yb^{3+}$, and $Ho^{3+}-Yb^{3+}$ Yb^{3+} $^{6-10}$ However, the photoluminescence excitation strengths of donors from the forbidden $4f \rightarrow 4f$ transitions are generally low, and the absorption bandwidths are always narrow. Two alternative approaches have been proposed to solve this problem: (1) adding a sensitizer to transfer the excited energy to the donor with $4f \rightarrow 4f$ transitions, ¹¹ and (2) using a broad band donor such as Eu²⁺ and Ce³⁺ ions. ^{12,13}

Although many approaches have been demonstrated to elucidate the NIR quantum cutting effect, their luminescent properties have not been compared. This study focuses on the three combinations of RE- $Y\hat{b}^{3+}$ ions: (1) $T\hat{b}^{3+}$ - $Y\hat{b}^{3+}$, where the Tb^{3+ 5}D₄ \rightarrow ⁷F₆ transition is located at around twice the energy of the $Yb^{3+2}F_{5/2} \rightarrow {}^2F_{7/2}$ transition, (2) $Ce^{3+}-Tb^{3+}-$ Yb³⁺, where Ce³⁺ ion transfers its 5d \rightarrow 4f energy to the ⁵D₄ level of Tb³⁺ ion, (3) Eu²⁺-Yb³⁺, where Eu²⁺ ion functions as an energy transfer donor. KSrPO₄ is chosen as a phosphate host lattice, which has a tridymite structure (β -SiO₂).¹⁴ Its structure involves the PO₄³⁻ tetrahedron that surrounds the K⁺ and Sr²⁺ cations in 10-fold and 9-fold coordination, respectively; in addition, it exhibits satisfactory chemical and thermal stabilities. ^{15,16} In a solar cell device, sunlight energy is not collected directly by the semiconductor solar cell. As a

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transparent polymer plastic sheet in which luminescent species are dispersed, a luminescent solar concentrator absorbs the incident sunlight first and then guides it to the solar cell by total internal reflection. Therefore, thermal stability is a priority concern, because of the direct irradiation of sunlight.¹⁷

This study examines the energy transfer mechanism and the effect of the concentration of activators. NIR signals are detected in the three systems. Based on the results of this study, we conclude that the Eu^{2+} – Yb^{3+} system is a better choice when using QC for solar cells.

■ EXPERIMENTAL SECTION

Synthesis. The powder samples of KSrPO $_4$ phosphates were prepared by a conventional solid-state reaction. The starting materials KH $_2$ PO $_4$ 99.9%, SrCO $_3$ 99.9%, Eu $_2$ O $_3$ 99.9%, CeO $_2$ 99.9%, Tb $_4$ O $_7$ 99.9%, and Yb $_2$ O $_3$ 99.9% were weighed in stoichiometric amounts and subsequently mixed and ground together by grinding in an agate mortar. The powder mixtures were synthesized by sintering at 1300 °C for 3 h in a reductive atmosphere (5% H $_2$ /95% N $_2$). The assynthesized samples were then cooled to room temperature inside a tube furnace under 5% H $_2$ /95% N $_2$ flow. Finally, the samples were ground into powder for subsequent analysis.

Characterization. The composition and phase purity of the samples were studied by X-ray diffraction (XRD), using a PANalytical XPert'Pert PRO system with Cu K α radiation (λ = 1.5418 Å) operated at 45 kV and 40 mA. The data were collected over a 2θ range of 20° – 60° at intervals of 0.02° with a counting time of 30 s per step. The photoluminescence excitation (PLE) and emission (PL) spectra were measured at room temperature by a combined time-resolved and steady-state fluorescence spectrometer (Model FSP920, Edinburgh Instruments) equipped with thermo-electric cooled red sensitive photomultiplier tube (PMT) and a near-infrared photomultiplier tube (NIR-PMT) in a liquid-nitrogen-cooled housing (Model R5509-72, Hamamatsu Photonics K.K).

■ RESULTS AND DISCUSSION

Tb³⁺-Yb³⁺ and Ce³⁺-Tb³⁺-Yb³⁺ Systems. Figure 1a shows the XRD patterns of KSP:RE samples (where KSP = $KSrPO_4$ and $RE = Ce^{3+}$, Tb^{3+} , $Ce^{3+} - Tb^{3+}$, $Ce^{3+} - Tb^{3+} - Yb^{3+}$). All experimental XRD patterns of the samples were identified by comparison with the reference JCPDS Database No. 33-1045. KSrPO₄ has an orthorhombic structure with space group *Pnma* and lattice constants $a \neq b \neq c$, $\alpha = \beta = \gamma = 90^{\circ}$, as shown in Figure 1b. This comparison reveals that the expected compounds were synthesized successfully. Asterisks observed at $2\theta = 29.7^{\circ}$ and 33.5° in Figure 1a indicate the presence of a small unknown impurity phase when the concentrations of the doped activators are high. Notably, a small quantity of impurity phases negligibly affects the energy transfer. A previous study 18 found similar impurity phases, and the impurity phases were observed when the substitution of RE to Sr ions was >0.02. The presence of small impurities phases could be due to the size mismatch of Sr ions to RE ions: Sr²⁺ (9 CN, 1.31 Å), Ce³⁺ (9 CN, 1.20 Å), Tb³⁺ (9 CN, 1.10 Å), Yb³⁺ (9 CN, 1.01 Å), and Eu²⁺ (9 CN, 1.30 Å). [CN denotes coordination number.]

Figure 2 plots the photoluminescence excitation (PLE) and emission (PL) spectra of KSP:RE, where RE = Ce³⁺, Tb³⁺, Ce³⁺–Tb³⁺. For KSP doped with 0.005 Ce³⁺ (Figure 2a), this figure reveals a broad-band emission in the ultraviolet (UV) region, which can be deconvoluted into two Gaussian components with peaks at 330 and 355 nm. The spin–orbital splitting of the 4f ground state of Ce³⁺ ion is 2000 cm⁻¹ apart. For KSP:0.02 Tb³⁺ (Figure 2b), several characteristic sharp emissions are due to the $^5D_{4/3} \rightarrow ^7F_J$ (J = 6, 5, 4, and 3) transitions of Tb³⁺ ion where 412, 433, 455, and 471 nm belong

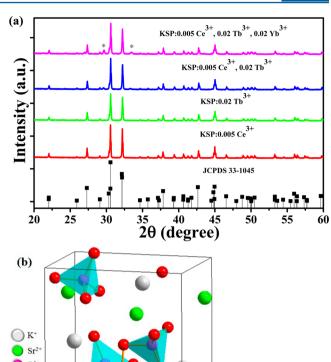


Figure 1. (a) Powder XRD patterns of KSrPO₄:RE, where RE = 0.005 Ce³⁺; 0.02 Tb³⁺; 0.005 Ce³⁺ and 0.02 Tb³⁺; and 0.005 Ce³⁺, 0.02 Tb³⁺, and 0.02 Yb³⁺. (b) Unit cell of KSrPO₄.

to ${}^5\mathrm{D}_3 \rightarrow {}^7\mathrm{F}_1$ transitions and 484, 543, 584, and 620 nm belong to ${}^5D_4 \rightarrow {}^7F_1$ transitions. Monitoring the dominating green emission peak at 541 nm, a few sharp peaks due to the forbidden $4f \rightarrow 4f$ transitions of Tb^{3+} ions are observed in the wavelength range of 280-500 nm. The emission and excitation intensities of Tb³⁺ ions are magnified by a factor of 5. The PL of KSP:0.005 Ce³⁺ and the PLE of KSP:0.02 Tb³⁺ clearly indicate that an extended overlap exists, revealing that effective sensitizing is expected in the $Ce^{\frac{1}{3}+}$ pairs. Figure 2c shows the effect of codoping Ce³⁺ ions. Upon excitation of Ce³⁺ ions at 310 nm, the intensity of Tb^{3+} ions at 541 nm is 33 times higher when it is excited by ${}^{7}F_{6} \rightarrow {}^{5}D_{4}$ transition at 484 nm. This finding suggests that an effective energy transfer (ET) from Ce³⁺ ions to Tb³⁺ ions occurs. Figure 2d shows the PL spectra of KSP:Ce³⁺-Tb³⁺. According to this figure, the emission intensity of Tb³⁺ ions increases at the expense of Ce³⁺ ions. The lifetime of Ce³⁺ ions is 26.7 ns for KSP:0.002 Ce^{3+} , and then gradually declines to 22.2 ns as the concentration of Tb^{3+} ions increases from 0 to 2%. This observation further demonstrates the presence of energy transfer in the KSP:Ce³⁺-Tb³⁺ system. The ET efficiency $(\eta_{\rm ET})$ can be calculated using the following equation:²⁰

$$\eta_{\rm ET} = 1 - \frac{\tau_0}{\tau}$$

where τ_0 and τ are the lifetimes of Ce³⁺ ions in the absence and presence of Tb³⁺ ions, respectively. Notably, the $\eta_{\rm ET}$ value reaches 17% when the maximum concentration of Tb³⁺ ions is

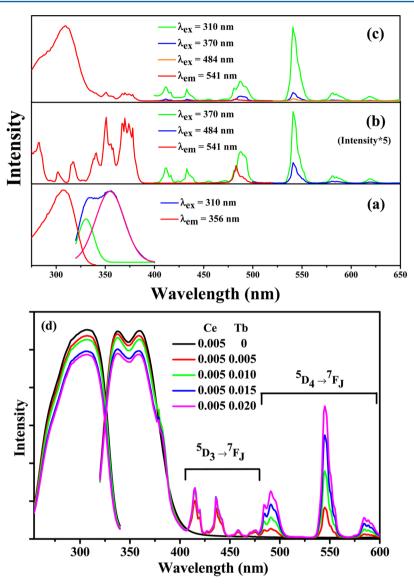


Figure 2. Excitation and emission spectra of KSrPO₄:RE, where RE = (a) 0.005 Ce³⁺, (b) 0.02 Tb³⁺, (c) 0.005 Ce³⁺ and 0.02 Tb³⁺, and (d) 0.005 Ce³⁺, x Tb³⁺ (x = 0, 0.005, 0.01, 0.015, 0.02).

2%. The concentration of Tb^{3+} does not increase further, because the increase in the amount of activators leads to impurity phases. Interestingly, the intensities of transitions from the 5D_3 level do not increase more significantly than those of the transitions from the 5D_4 level. This finding implies the presence of a nonradiative de-excitation pathway, such as cross relaxation between $^5D_3 \rightarrow ^5D_4$. 21 In the $Tb^{3+} - Yb^{3+}$ and $Ce^{3+} - Tb^{3+} - Yb^{3+}$ systems, the ET and

In the Tb³⁺—Yb³⁺ and Ce³⁺—Tb³⁺—Yb³⁺ systems, the ET and QC processes can be expressed as follows: when the Ce³⁺ ions are excited by 310 nm photons, electrons can either relax to the 4f¹ ground states by emitting 330 and 355 nm light or transfer the energy to the 5D_3 level of Tb³⁺ ions. A nonradiative deexcitation pathway then relaxes electrons from 5D_3 to 5D_4 level. QC subsequently occurs from the 5D_4 level of a Tb³⁺ ion to two different Yb³⁺ ions. Finally, emission near 1000 nm from the $^2F_{5/2} \rightarrow ^2F_{7/2}$ transition can be detected. In the Tb³⁺—Yb³⁺ system, the QC process is similar, except that Tb³⁺ ions can be excited to different excited states by excitation of 370 or 484 nm photons.

To increase the efficiency of solar cells, this study examines the effect of the sensitizer by the intensity of the NIR signal in

Figure 3. This figure compares the NIR emission spectra of KSP doped with Tb³⁺-Yb³⁺ and Ce³⁺-Tb³⁺-Yb³⁺ systems,

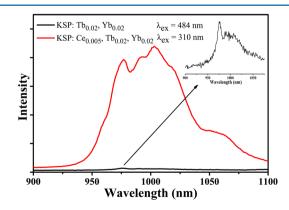


Figure 3. Emission spectra of KSrPO₄:RE at the near-infrared (NIR) region, where RE = 0.005 Ce³⁺, 0.02 Tb³⁺, 0.02 Yb³⁺ and 0.02 Tb³⁺, 0.02 Yb³⁺. The intensity of KSrPO₄:0.02 Tb³⁺, 0.02 Yb³⁺ is magnified for clarity.

which are excited by 484 and 310 nm photons, respectively. It is obvious that, when a small amount of the sensitizer is added, the NIR signal increases dramatically. The signal of $Tb^{3+}-Yb^{3+}$ pairs is magnified in the inset. The integration area is 20 times larger with the presence of Ce^{3+} ions. Our results thus demonstrate that a triactivator $Ce^{3+}-Tb^{3+}-Yb^{3+}$ system can be a platform for NIR QC phosphor for c-Si solar cells.²²

Eu²⁺–Yb³⁺ system. This section describes the synthesis of the QC Eu²⁺–Yb³⁺ system with a donor having a broad band excitation to verify its feasibility. The concentration of the activator is kept at 0.5% for Eu²⁺, and that of Yb³⁺ concentration is changed from 0 to 1%. Theoretically, the QC efficiency rises with an increasing number of Yb³⁺ ions. However, concentration quenching of Yb³⁺ becomes significant at a high concentration, explaining why the NIR quantum efficiency is reduced. The XRD patterns for each composition are confirmed to be single phases (data not shown). Figure 4a

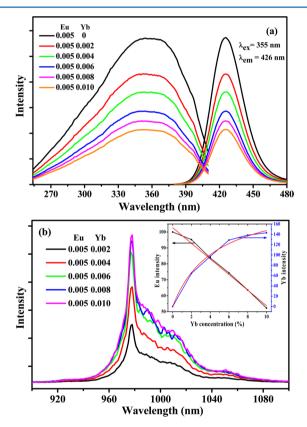


Figure 4. (a) Excitation and emission spectra of KSrPO₄:RE, where RE = 0.005 Eu^{2+} , $x \text{ Yb}^{3+}$ (x = 0, 0.002, 0.004, 0.006, 0.008, 0.010). (b) Emission spectra of KSrPO₄:RE at the NIR region, where RE = 0.005 Eu^{2+} , $x \text{ Yb}^{3+}$ (x = 0.002, 0.004, 0.006, 0.008, 0.010); the inset shows the linear fitting of the degree in which Eu²⁺ decreases and Yb³⁺ increases.

plots the PL and PLE spectra of KSP:RE, where RE = 0.005 $\mathrm{Eu^{2+}}$, $x\,\mathrm{Yb^{3+}}$ (x=0-1%). This figure reveals that the emission intensity of $\mathrm{Eu^{2+}}$ ions decreases sharply with increasing number of $\mathrm{Yb^{3+}}$ ions. The excitation band of $\mathrm{Eu^{2+}}$ ions has its highest intensity at 355 nm, which is much more red-shifted than that of $\mathrm{Ce^{3+}}$ ions. Figure 4b shows the NIR emission spectra of the samples with different $\mathrm{Yb^{3+}}$ concentration. Notably, the degrees of the emission decreasing of $\mathrm{Eu^{2+}}$ ions and the emission increasing of $\mathrm{Yb^{3+}}$ ions differ from each other. According to the inset of Figure 4b, $\mathrm{Eu^{2+}}$ decreases linearly, while $\mathrm{Yb^{3+}}$ ions can

be fitted to be a nonlinear curve. This phenomenon further confirms that the concentration of Yb³⁺ ions cannot be too high.

Figure 5 plots the lifetimes of Eu²⁺ ions of KSP:RE, where RE = 0.005 Eu²⁺, x Yb³⁺ (x = 0, 0.002, 0.004, 0.006, 0.008, and

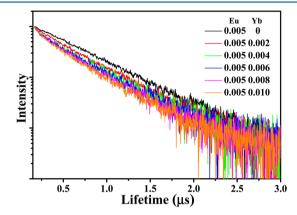


Figure 5. Decay curves of KSrPO₄:RE excited by 355 nm, monitored at 426 nm, RE = 0.005 Eu²⁺, x Yb³⁺ (x = 0, 0.002, 0.004, 0.006, 0.008, 0.010).

0.01) monitored at 426 nm. The second-order decay curves are fitted by: 23

$$I = A_1 \exp\left(-\frac{t}{\tau_1}\right) + A_2 \exp\left(-\frac{t}{\tau_2}\right) + C$$

where I is the luminescence intensity; A_1 , A_2 , and C are constants; t is the time; and τ_1 and τ_2 are the rapid and slow lifetimes, respectively. The average lifetime (τ^*) can be calculated using the following formula:

$$\tau^* = \frac{A_1 \tau_1^2 + A_2 \tau_2^2}{A_1 \tau_1 + A_2 \tau_2}$$

The calculated Eu²⁺ lifetimes are 0.38, 0.29, 0.24, 0.22, 0.21, and 0.19 μ s for KSP:RE, where RE = 0.005 Eu²⁺, xYb³⁺ (x = 0, 0.002, 0.004, 0.006, 0.008, and 0.01).

In the Eu²⁺–Yb³⁺ pairs, the energy transfer efficiency ($\eta_{\rm ETE}$) is defined as the ratio of Eu²⁺ ions that are depopulated by ET to Yb³⁺ ions over the total number of the excited Eu²⁺ ions. Assume that all excited Yb³⁺ ions decay radiatively, the $\eta_{\rm ETE}$ can be determined by the following equation, in which the integrated intensity of the decay curve of Eu²⁺ singly doped sample is divided by that of the Eu²⁺–Yb³⁺ pairs:⁶

$$\eta_{\text{ETE}} = 1 - \frac{\int I_{x\%\text{Yb}} \, dt}{\int I_{0\%\text{Yb}} \, dt}$$

where I is the intensity and x%Yb is the concentration of Yb³⁺ ions.

The total QC quantum yield ($\eta_{\rm QE}$) is the ratio of photnons emitted to the number of photons that are absorbed, which is defined as:⁶

$$\eta_{\mathrm{QE}} = \eta_{\mathrm{Eu}} (1 - \eta_{\mathrm{ETE}}) + 2 \eta_{\mathrm{ETE}}$$

where nonradiative energy loss by defects and impurities is ignored, so that $\eta_{\rm Eu}$ is set to a value of 1.

Table 1 summarizes the NIR QE and the decay lifetimes of Eu²⁺ ions versus different Yb³⁺ doping concentrations.

Table 1. Relationship of Eu²⁺ Lifetime and QC Quantum Yield with Different Concentrations of Yb³⁺ Ions

Yb ³⁺ concentration (%)	Eu $^{2+}$ lifetime (μ s)	$\eta_{\mathrm{QE}}~(\%)$
0	0.38	100
0.2	0.29	118
0.4	0.24	129
0.6	0.22	131
0.8	0.21	134
1.0	0.19	139

According to Figure 4b, the concentration quenching of Yb³⁺ ions is avoided, so the value of $\eta_{\rm QE}$ reaches its maximum value of 139% when the doping concentration of Yb³⁺ ions is 1%. This finding suggests that the NIR QC for the KSP:Eu²⁺–Yb³⁺ system is highly efficient.

Energy-Level Schemes of KSrPO₄:RE. From the data of doping a KSrPO₄ host with several different RE ions, the parameters of the host lattice affecting the luminescent properties can be obtained. According to the formula developed by Dorenbos, the df emission of RE ions can be written as:²⁴

$$E_{\text{em}}(n, Q, A) = E_{\text{free}}(n, Q) - D(Q, A) - \Delta S(Q, A)$$

where $E_{\rm em}$ is the emission wavelength, $E_{\rm free}$ is the energy of gas (free) RE ions, n is the number of the electrons at 4f orbitals, Q is the valency, and A is the host lattice. In addition, D(Q,A) is called a red-shift, which is determined by the centroid shift and crystal field splitting. Moreover, $\Delta S(Q,A)$ refers to the Stokes shift. Therefore, two formulas can be written as follows:

$$E_{\text{em}}(1, +3, \text{KSrPO}_4)$$

$$= E_{\text{free}}(1, +3) - D(+3, \text{KSrPO}_4) - \Delta S(+3, \text{KSrPO}_4)$$

$$= E_{\text{em}}(6, +2, \text{KSrPO}_4)$$

$$= E_{\text{free}}(6, +2) - D(+2, \text{KSrPO}_4) - \Delta S(+2, \text{KSrPO}_4)$$
(2)

where formulas 1 and 2 are for Ce³⁺ and Eu²⁺ ions, respectively. The values of D and ΔS are dependent only on the host lattice. The energies of gaseous Ce³⁺ and Eu²⁺ ions are 49 300 and 34 000 cm^{-1} , respectively. From the luminescent data, D(+2), $KSrPO_4$) and $\Delta S(+2,KSrPO_4)$ are 5800 and 4700 cm⁻¹; D(+3, $KSrPO_4$) and $\Delta S(+3,KSrPO_4)$ are 16700 and 2300 cm⁻¹. Another formula developed by Dorenbos reveals that the location of df transitions of other RE3+ ions could be evaluated by the energy difference between Ce^{3+} ions $(\Delta E^{RE,Ce})^{26}$. The excitation peaks of Pr3+ and Tb3+ ions are confirmed and located at 220 and 215 nm, which are 13 900 and 12 400 cm⁻¹ higher than that of Ce³⁺ ions, respectively. The formula is also valid for divalent RE ions. A previous study found an emission peak of Sm²⁺ ions from the 4f \rightarrow 5d transition at 715 nm,^{2'} which is 9500 cm⁻¹ lower than that of Eu²⁺ ions. The resulting energy level and QC scheme for KSrPO₄ lattice are constructed in Figure 6a. Figure 6b compares the excitation bands of Ce³⁺ and Eu²⁺ ions with those of AM 1.5 G spectrum. It is obvious that due to the high energy of photons required to excite Ce3+ ions, the excitation band only overlaps with AM 1.5 G spectrum narrowly. The excitation spectrum of Eu²⁺ ions ranges from 300 nm to 420 nm, which shows a much better overlap than that of Ce³⁺ ions. This finding suggests that Eu²⁺ ions is a better donor in the quantum cutting process.

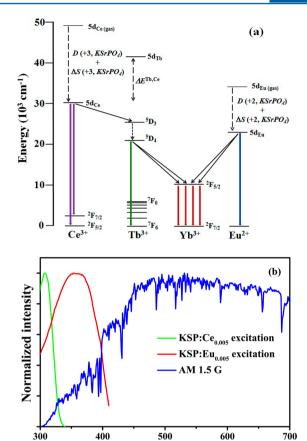


Figure 6. (a) Schematic energy level diagrams for NIR QC and energy transfer in KSrPO $_4$ lattice doped with a triactivator $Ce^{3+}-Tb^{3+}-Yb^{3+}$ system and a diactivator $Eu^{2+}-Yb^{3+}$ system. (b) Overlapped spectra of AM 1.5 G with the excitation of Ce^{3+} and Eu^{2+} ions doped in KSrPO $_4$ lattice with intensity normalized.

Wavelength (nm)

CONCLUSION

This study investigates the behaviors of quantum cutting by doping a triactivator Ce³⁺-Tb³⁺-Yb³⁺ system and two diactivator systems of Tb³⁺-Yb³⁺ and Eu²⁺-Yb³⁺ in KSrPO₄ lattice. Based on the photoluminescence spectra and lifetimes, we conclude that both systems undergo QC process and have NIR signals detected. The calculated quantum efficiency reaches its maximum value of 139% when the doping concentration of Yb3+ ions is 1%. The measured quantum yield is closer to the real value, since the concentration quenching of Yb3+ is avoided. The development of NIR QC phosphors, which correlates well with the spectral response of silicon-based solar cells, provides an alternative means of increasing the efficiency of luminescent solar concentrators. Based on an energy diagram that plots the effect of host lattice to the 5d levels of trivalent and divalent rare-earth ions, we believe that the lower energy of Eu²⁺ ions makes it better as a donor in the QC process.

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Notes

The authors declare no competing financial interest.

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