

Photostability of Hydroxocobalamin: Ultrafast Excited State Dynamics and Computational Studies

Theodore E. Wiley,[†] William R. Miller,[†] Nicholas A. Miller,[†] Roseanne J. Sension,^{*,†} Piotr Lodowski,[‡] Maria Jaworska,[‡] and Pawel M. Kozlowski^{*,§,||}

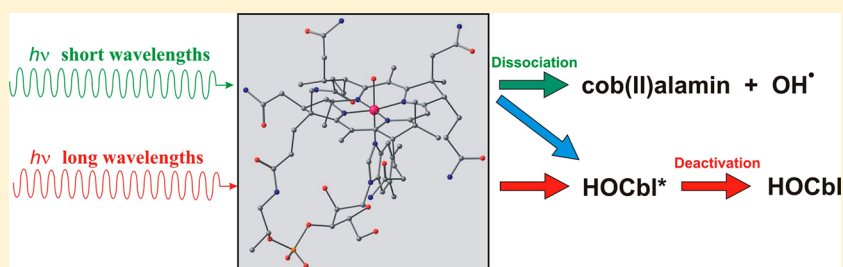
[†]Department of Chemistry, University of Michigan, 930 North University Avenue, Ann Arbor, Michigan 48109-1055, United States

[‡]Department of Theoretical Chemistry, Institute of Chemistry, University of Silesia, Szkolna 9, 40-006 Katowice, Poland

[§]Department of Chemistry, University of Louisville, 2320 South Brook Street, Louisville, Kentucky 40292, United States

^{||}Visiting Professor at the Department of Food Sciences, Medical University of Gdansk, Al. Gen. J. Hallera 107, 80-416 Gdansk, Poland

S Supporting Information



ABSTRACT: Hydroxocobalamin is a potential biocompatible source of photogenerated hydroxyl radicals localized in time and space. The photogeneration of hydroxyl radicals is studied using time-resolved spectroscopy and theoretical simulations. Radicals are only generated for wavelengths <350 nm through a mechanism that involves competition between prompt dissociation and internal conversion. Characterization of the lowest-lying singlet potential energy surface provides insight into the photochemistry of hydroxocobalamin and other cobalamin compounds.

Hydroxocobalamin (HOCbl, Figure 1), a biologically inactive form of vitamin B₁₂, has generated considerable interest since the recent work of Shell and Lawrence, where photolysis of HOCbl in the presence of oxygen was used to

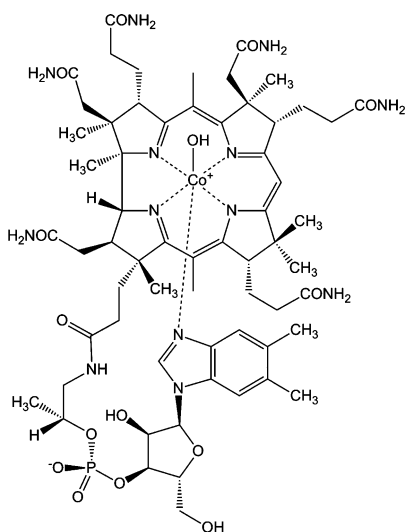


Figure 1. Molecular structure of hydroxocobalamin (HOCbl).

cleave plasmid DNA.¹ Application of hydroxyl radicals generated photochemically via homolytic cleavage of the Co–OH offers many advantages in comparison to standard methods, such as the Fenton reaction using Fe^{II} EDTA and H₂O₂. One of the most important advantages to using HOCbl is the possibility of intracellular temporal control by light dependent photoinitiated reactions, in contrast to chemical methods, where initiation and termination of the radical reaction cannot be precisely controlled. However, the mechanism for the photochemical production of hydroxyl radical from HOCbl is unclear. Although alkylcobalamins undergo ready photolysis to produce radicals, nonalkylcobalamins are generally photostable.² HOCbl is no exception. Anaerobic photolysis in the presence of a radical scavenger such as sodium benzoate or sorbitol exhibits no measurable photolysis for wavelengths >350 nm. In contrast, photolysis to cob(II)alamin is readily observed following excitation at 253 nm. Photolysis is also observed with a xenon lamp using a pyrex filter to limit the UV, but the rate is ~2000 times slower than the comparable photolysis of adenosylcobalamin. The threshold

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for photolysis falls between 290 and 350 nm. (See [Supporting Information](#) Figures S1–S3.)

To explore the mechanism for photochemical production of hydroxyl radicals from HOCbl, broadband femtosecond UV–visible transient absorption spectroscopy was used to characterize the excited electronic states of HOCbl and the results compared with TD-DFT calculations of the potential energy surface of the lowest excited electronic states. An earlier measurement of hydroxocobalamin was performed in D₂O at a pH where the sample was a mixture of DOCbl and D₂OCbl complicating the interpretation.³ The excited state lifetime of H₂OCbl is substantially shorter than that of HOCbl.^{2–4} The sample used here was buffered at pH 10.3 where 99+% of the compound is expected to be HOCbl ($pK_a \sim 8$).⁵ The measurements were performed using 404 nm excitation, where no photolysis is expected, and 269 nm excitation, where photolysis to cob(II)alamin is observed in steady state measurements. The probe was a white light continuum (~350 to 800 nm) and (270 to 600 nm) allowing characterization of transient species in the UV–visible region of the spectrum. A typical transient spectrum is plotted in [Figure 2](#).

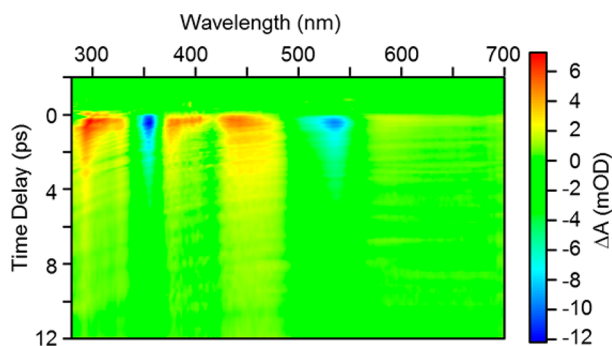


Figure 2. Contour plot of the transient absorption data following excitation at 269 nm. The data obtained following 404 nm excitation are similar (see [Supporting Information](#) Figure S4).

The data were fit to a sum of exponentials using a global analysis program.⁶ In all cases, the data are well modeled using two exponential decay components: $\tau_1 = 0.32 \pm 0.08$ ps and $\tau_2 = 5.50 \pm 0.17$ ps. There may be a faster component as well, but analysis at short time-delays is complicated by coherent signals from both solvent and solute (see [Supporting Information](#) Figures S5–S6 for details of the fits). Typical fits at 540 nm are shown in [Figure 3](#). The difference at early times results from a difference in pulse width and the presence of a strong coherent signal following 404 nm excitation.

Following 404 nm excitation the transient absorption signal decays to baseline. The noise level of the measurement sets an upper limit of <1% on the photolysis yield. Following 269 nm, there is evidence for a photolysis yield of ca. $1.5 \pm 0.5\%$ in a persistent, long-lived component that has spectral signatures consistent with cob(II)alamin formation ([Figure 3](#)). The steady state photolysis measurements set an upper limit much less than 1% following 404 nm excitation but are consistent with a 1 to 2% yield following 269 nm excitation.

To interpret the transient spectra, we assume a sequential model where excitation produces an excited state, that is, S_n , either directly or following rapid (<100 fs) internal conversion and the S_n state decays to populate the lowest excited singlet state S_1 in 0.32 ± 0.08 ps. The S_1 state decays back to the ground state in 5.50 ± 0.17 ps. The decay associated difference

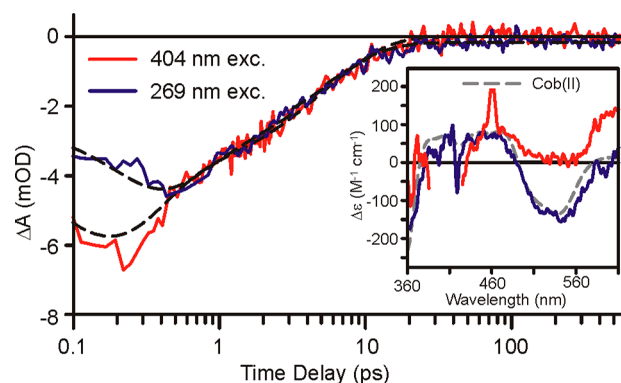


Figure 3. Comparison of time traces at 540 nm following 269 nm excitation and 404 nm excitation. The inset compares the residual difference spectrum at time delays >100 ps with the steady state difference spectrum for photolysis to cob(II)alamin. These data set an upper limit of <1% photolysis yield following 404 nm excitation and $1.5 \pm 0.5\%$ photolysis yield following 269 nm excitation.

spectra (DADS) obtained from the amplitudes of the fit to the data can be used to construct the species associated difference spectra (SADS) as described in [Supporting Information](#). The SADS for the S_n and S_1 state are summarized in [Figure 4](#) and

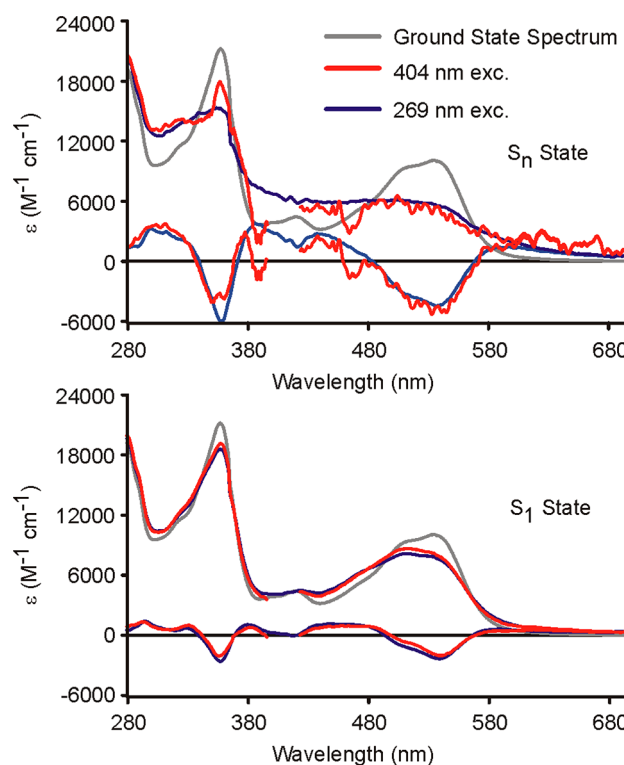


Figure 4. Excited state spectra estimated from the SADS. The excited population is ca. 8% following 404 nm excitation and 13% following 269 nm excitation.

[Figure S7 \(Supporting Information\)](#). The difficulty in separating a coherent component from the S_n contribution following 404 nm excitation results in the larger noise level observed in this SADS.

The SADS can be used to estimate the species associated excited state spectra by adding the appropriate ground state contribution back into the difference spectra: $A(\lambda) = \Delta A(\lambda) + \alpha A_{GS}(\lambda)$ where α is the fraction of the ground state excited by

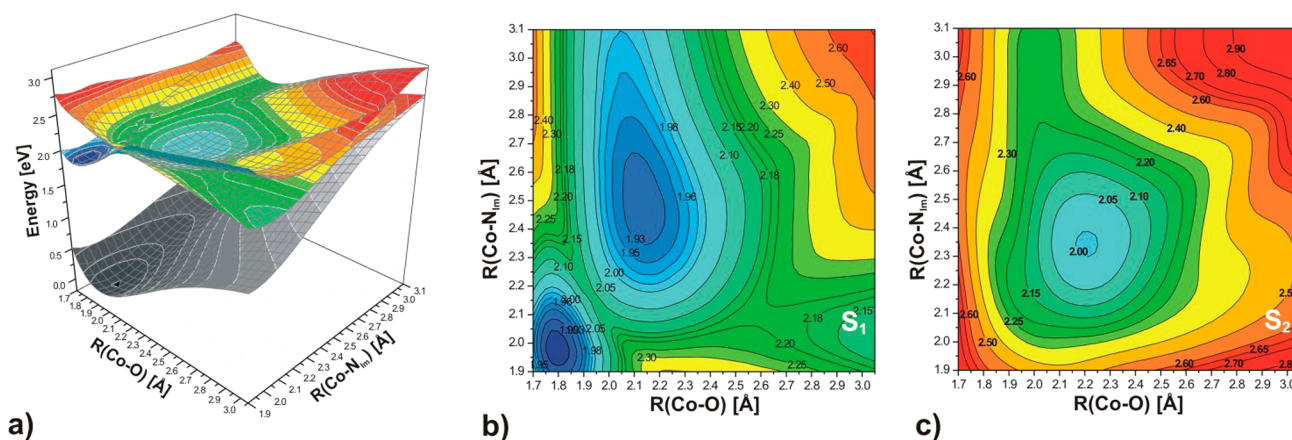


Figure 5. (a) Potential energy surfaces for the ground and two lowest singlet states of the Im-[Co^{III}(corrin)]-OH⁺ model complex generated as vertical excitations and plotted as a function of axial bond lengths (expressed in angstroms). (b) Vertical projections of the S₁ potential energy surface. (c) Vertical projections of the S₂ potential energy surface.

the pump pulse.^{4,7,8} These estimated spectra are placed on an absolute scale using the known extinction coefficients for HOCbl and plotted in Figure 4.

The spectrum of the S_n state is broad, extending across the entire spectral window. The S₁ spectrum is structured, resembling the ground state spectrum. The sensitivity of cobalamin spectra to axial ligation leads to the hypothesis that the S_n state is characterized by significant elongation of the axial bonds, whereas the S₁ state has a structure similar to that of the ground state.^{2,8} This is in contrast to the significant displacement observed for the S₁ state of cyanocobalamin.^{4,7,8}

To explore the nature of the low-lying excited states of HOCbl, density functional theory (DFT) and time-dependent DFT (TD-DFT) were applied to obtain corresponding potential energy surfaces (PESs). Figure 5 shows these PESs as a function of axial bond lengths. These surfaces were generated using a structural model of HOCbl truncated with respect to side chains (Figure S8 in Supporting Information), employing the BP86/TZVPP level of theory (TZVP basis sets for hydrogen) in all calculations. The energy surfaces corresponding to the lowest excited state (S₁) consists of at least three different electronic states. The involvement of two of them is apparent from the presence of two energy minima, one at shorter Co-OH and Co-N_{im} distance, and the second at just a slightly elongated Co-OH distance and much longer Co-N_{im} length. The third state appears on the PES at a Co-OH distance of about 2.5–2.6 Å and within a Co-N_{im} range of 1.9–2.3 Å, (i.e., the lower right corner of Figure 5b). This part of the S₁ PES has dissociative character consistent with R(Co-OH) energy curves reported previously⁹ and involves higher excited states (see also Figure S9 in Supporting Information). Due to the instability of the wave function associated with TD-DFT calculations, this section of the PES cannot be computationally characterized. The minimum of the S₁ state has dominant p_{OH}/d → π* character at short Co-OH distance. Upon Co-OH bond elongation, the S₁ state crosses with higher excited states with dominant σ* contributions and changes character from p_{OH}/d → π* to p_{OH}/d → σ*. For long Co-OH distances, S₁ surface has mainly p_{OH} → σ* character with small contribution from d orbital of cobalt.

To obtain a more realistic description of the PES associated with the S₁ state, the geometry of the lowest excited state was optimized as a function of axial bond lengths, for ranges where it was possible (see Supporting Information Figure S10).

Overall, the PES corresponding to an adiabatic description of S₁ state does not differ significantly from one generated via vertical excitations. Optimized S₁ axial bond lengths do not differ significantly from those of the ground state as summarized in Figure 6. This is indeed in sharp contrast with CNCbl, where changes are rather significant.^{10–13}

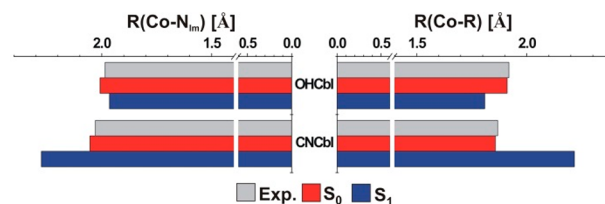


Figure 6. Comparison of Co-R and Co-N_{im} bond lengths for Im-[Co^{III}(corrin)]-OH⁺ and Im-[Co^{III}(corrin)]-CN⁺ model complexes (red, in S₀ optimized geometries; blue, in S₁ optimized geometries; gray, experimental S₀ data¹⁴).

Closer inspection of the optimized S₁ PES reveals that crossing of the p_{OH}/d → π* and p_{OH}/d → σ* states takes place when the Co-OH bond length is between 1.85–2.00 Å. The p_{OH}/d → σ* state is the same as that obtained from vertical excitations, but with more contribution from cobalt d orbitals. Excited vertical state S₂ about p_{OH}/d → σ* character during geometry relaxation lowers in energy and becomes the low-lying S₁ electronic state. In the excited state, the Co-O bond has rather weak bonding character and for stretched Co-OH distances may cross with the ground state (S₀) leading to deactivation. It can be further proposed that the proximity of S₀/S₁ surfaces may lead to deactivation through two possible channels (see Figure S10 in Supporting Information): (a) the first involves elongation and detachment of the axial base followed by corrin ring distortion, (i.e., B(S₁ min) → C(S₁) → E(S₁ min) path), a mechanism similar to MeCbl,^{15,16} or (b) simultaneous elongation of both axial bonds, (i.e., B(S₁ min) → C(S₁) → D(S₁) path) a mechanism similar to that observed in CNCbl.¹³

The results of femtosecond UV-visible transient absorption spectroscopy, in particular the photostability of HOCbl can be explained assuming the model shown in Figure 7. Because the lowest PES consists of at least three different electronic states (1–3), excitations using longer wavelengths leads effectively to

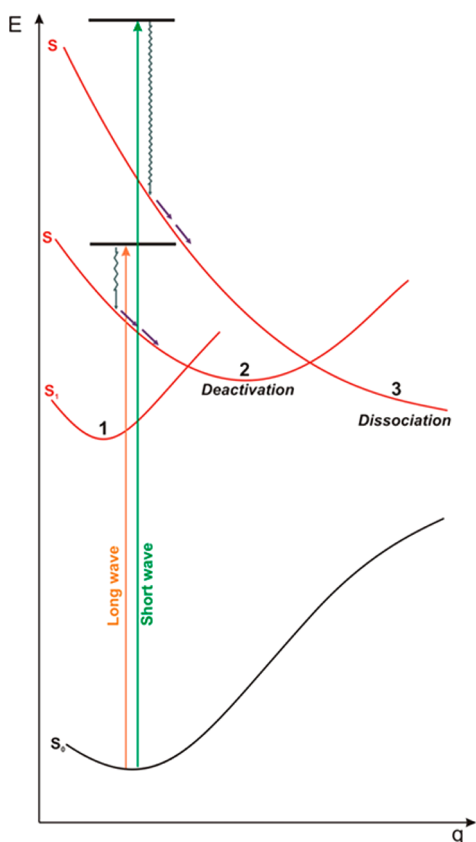


Figure 7. Scheme of potential energy curves involved in the process of the deactivation and dissociation.

population of the energy minimum corresponding to the $p_{OH}/d \rightarrow \sigma^*$ electronic state (2), which is primarily responsible for deactivation. On the other hand, excitations with shorter wavelengths can populate a repulsive electronic state, with likely dominant $p_{OH}/d \rightarrow \sigma^*$ or $d/p_{OH} \rightarrow \sigma^*$ character, which at longer Co–OH distances becomes dissociative (3).

The comparison of steady state photolysis measurements, transient absorption measurements, and theoretical calculations provide a consistent picture of the photoinduced formation of OH^\bullet radicals from HOCbl. The comprehensive picture will help guide the in situ application of HOCbl photolysis as well as the potential photochemical applications of other B_{12} compounds. The relative energies of the three interacting states identified here control the photochemistry and photophysics of many B_{12} compounds and analogs.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpclett.5b02333.

A summary of the steady state photolysis, transient absorption data, fits to the data, potential energy curves and surfaces, along with additional details concerning the calculations and the experimental methods are provided. (PDF)

■ AUTHOR INFORMATION

Corresponding Authors

*E-mail: rsension@umich.edu.

*E-mail: pawel@louisville.edu.

Notes

The authors declare no competing financial interest.

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