

**M 622 Notes, HW: Voluntary. We'll go over it in class.**

Suppose  $F$  is a field, and  $p(x) \in F[x]$ . Recall that an extension  $K$  of  $F$

- is said to **split**  $p(x)$  if  $p(x)$  can be written as a product of linear polynomials in  $K[x]$ , and
- a field extension  $S$  of  $F$  is said to be a **splitting field** of  $p(x)$  over  $F$  if  $S$  splits  $p(x)$  and no proper subfield  $T$  containing  $F$  splits  $p(x)$ .

Given  $p(x) \in F[x]$ , there is a very straightforward procedure that can be used to construct a splitting field  $S$  of  $p(x)$ :

- Factor  $p(x)$  into a product of irreducibles of  $F[x]$ , say  $p(x) = a(x)b(x) \dots z(x)$ .
- Form a root of  $p(x)$  in an extension field of  $F$ , say,  $F_1$ . For example, we've shown that  $F_1 = F[x]/(a(x))$  has a root of  $a(x)$ , say,  $r_1$ . We also know  $F_1$  is a field since  $a(x) \in F[x]$  is irreducible. Needless to say, course  $r_1$  is a root of  $p(x)$ .
- Continuing, now factor  $p(x)$  in  $F_1[x]$  as a product  $p(x) = (x-r_1)q(x)$ , where  $q(x) \in F_1[x]$ . Of course,  $\deg(q(x)) = \deg(p(x)) - 1$ . Factor  $q(x)$  into  $F_1$ -irreducibles, and repeat the above procedure, adding a root of  $q(x)$ , say,  $r_2$ , in an extension field  $F_2$  of  $F_1$ .
- Continue until  $p(x)$  is factored as a product of linear polynomials. This procedure terminates in a finite number of steps since  $\deg(p(x)) = n$  is a finite positive

integer: We have constructed a field in which  $p(x)$  can be factored into a product of linear polynomials has been constructed. Let's denote that field  $S$ . Note that  $S = F(r_1, \dots, r_s)$ , where  $\{r_1, \dots, r_s\}$  are the roots of  $p(x)$  that we found (or constructed).

- If  $T$  is any proper subfield of  $S$ , then **at least one** of  $\{r_1, \dots, r_s\}$  is not in  $T$ —this is because  $S$  is generated by  $F$  and  $\{r_1, \dots, r_s\}$ . Thus,  $S$  is a **splitting field** of  $p(x)$  over  $F$ .
  - In many instances, less “constructing” is required. One might know (or be able to easily find) the roots  $\{r_1, \dots, r_s\}$  of  $p(x)$ , in which case one can form the splitting field  $S = F(r_1, \dots, r_s)$  more directly:  
For example, we formed a splitting field for  $p(x) = x^3 - 2 \in \mathbb{Q}$  quite easily:  $S = \mathbb{Q}(2^{1/3}, 2^{1/3}\omega, 2^{1/3}\omega^2)$ , where  $\omega = e^{2\pi i/3}$ , a **third root of unity**.
  - But if we have a field  $F$  and a polynomial  $p(x)$ , and we don't have a natural larger field  $J$  in which the roots of a polynomial are well known, then we might have to do the root-formation trick repeatedly in order to form a splitting field of  $p(x)$ . A simple example: As you can verify  $x^2+x+1 \in \mathbb{Z}_2[x]$  is irreducible  $\mathbb{Z}_2$ . We'd like to form a splitting field for  $x^2+x+1$  over  $\mathbb{Z}_2$ . We can adjoin a root of  $p(x)$  in a larger field  $F_1 = \mathbb{Z}_2[x]/(x^2+x+1)$ . Indeed,  $\theta = x + (x^2+x+1)$  is a root of  $p(x)$  in  $F_1$ . We can now split  $x^2+x+1 = p(x)$  in  $F_1$ —the other root of  $p(x)$  is contained in  $F_1$  and can be found by “long division”.

*Exercise 0.* (A.) Find  $\theta^{-1}$  in  $F_1$ . (B.) Then find  $q(x) \in F_1[x]$  such that  $(x - \theta)q(x) = x^2 + x + 1$ . (C.) Find the other root of  $x^2 + x + 1$  in  $F_1$ . We'll come back to this little example.

In class, we observed that if  $p(x) \in F[x]$  is irreducible over  $F$  with degree  $n > 1$ , then  $\mathbb{F}[x]/(p(x))$  is a field, and that  $[\mathbb{F}[x]/(p(x)) : F] = n$ . Moreover, if  $K$  is an extension of  $F$  and  $K$  contains a root  $r$  of  $p(x)$ , then (\*)  $F[r] = F(r) \cong F[x]/(p(x))$ , so  $[F(r) : F] = n$  (where  $F(r)$  is the least subfield of  $K$  containing  $F$  and  $r$ ).

*Exercise 1.* With  $p, F, r$  as in the paragraph above, show that  $\{1, r, \dots, r^{n-1}\}$  is a basis for  $F(r)$  over  $F$ .

Returning to the splitting field  $S = \mathbb{Q}(2^{1/3}, \omega)$  of  $x^3 - 2$  over  $\mathbb{Q}$ , from (\*) we have that  $[\mathbb{Q}(2^{1/3}) : \mathbb{Q}] = 3$ , and from Exercise 1, we know that  $\{1, 2^{1/3}, 2^{2/3}\}$  is a basis for  $\mathbb{Q}(2^{1/3})$  over  $\mathbb{Q}$ . Thus every element of  $\mathbb{Q}(2^{1/3})$  is a sum of three real numbers, from we can conclude that  $\mathbb{Q}(2^{1/3})$  is contained

in  $\mathbb{R}$ .

It's worth mentioning that  $[\mathbb{Q}(2^{1/3}\omega : \mathbb{Q}) = 3 = [\mathbb{Q}(2^{1/3}\omega^2) : \mathbb{Q}]$ —that's because both  $2^{1/3}\omega$  and  $2^{1/3}\omega^2$  are both roots of the degree-three irreducible polynomial  $x^3 - 2 \in \mathbb{Q}[x]$ .

Of course  $\omega \notin \mathbb{Q}(2^{1/3})$ . Observe that  $\omega^3 = 1$ , and  $\omega$  is a root of  $x^2 + x + 1 \in \mathbb{Q}$ .

*Exercise 2.* Explain why  $x^2 + x + 1 \in \mathbb{Q}[x]$  is irreducible over  $\mathbb{Q}$ . What is  $[\mathbb{Q}(\omega) : \mathbb{Q}]$ ? Can you use the Double Extension Lemma to determine  $[S : \mathbb{Q}]$ , where  $S = \mathbb{Q}(2^{1/3}, \omega)$ , the splitting field of  $x^3 - 2$  over  $\mathbb{Q}$ ?

### *Terminology.*

- If  $L$  is an extension of a field  $F$ , and  $K$  is a subfield of  $L$  that contains  $F$ , then  $K$  is said to be an **in-between field**—the context should be clear in the discussion.
- If  $K_1, K_2$  are between fields  $F \leq K_1, K_2 \leq L$ , then the least subfield of  $L$  containing  $K_1$  and  $K_2$  is denoted  $K_1K_2$ .

Further terminology: If  $K$  is a field extension of  $F$ , then  $\text{Aut}_F(K) = \{\alpha \in \text{Aut}(K) : \forall b \in F \ \alpha(b) = b\}$ . (Later we'll see that under certain circumstances,  $\text{Aut}_F(K)$  is the so-called *Galois group of  $K$  over  $F$* ).

**Ambitious goals:** Describing all between-fields  $J$  ( $\mathbb{Q} \leq$

$J \leq S$ ) and showing that  $\text{Aut}_{\mathbb{Q}}(S) \cong S_3$ , where  $S$  is the splitting field of  $x^3 - 2$  over  $\mathbb{Q}$ , the extension field we've been investigating.

Let's prove a little lemma (as an exercise), and prove another more important lemma (as another exercise).

**Exercise 3.** Suppose  $p$  is a prime,  $K$  is an extension of  $F$ , and  $[K : F] = p$ . Prove that if  $c \in K - F$ , then  $F(c) = K$ . Suggestion: Use the Double-Extension Lemma.

**Exercise 4.** *The Roots-to-Roots Lemma:* Suppose  $f(x)$  is a polynomial in  $F[x]$  having a root  $r$  in some extension  $K$  of  $F$ . Let  $H = \text{Aut}_F(K) = \{\beta \in \text{Aut}(K) : \forall b \in F \beta(b) = b\}$ . Prove that if  $\beta \in H$ , then  $\beta(r)$  is also a root of  $f(x)$ .

One consequence of the Roots-to-Roots Lemma is this: In the language of the Roots-to-Roots Lemma, the group  $H = \text{Aut}_F(K)$  **acts** on the roots of  $p(x) \in F[x]$ . Thus, there is a mapping of  $H$  to  $S_n$ , where  $n$  is  $\deg(p(x))$ .

**Exercise 5. Faithful Action Lemma:** Suppose  $p(x)$  is a polynomial in  $F[x]$ , and  $S$  is a splitting field of  $p(x)$  over  $F$ . Then  $H = \text{Aut}_F(S)$  acts faithfully on the roots of  $p(x)$ . (In particular,  $H$  can be embedded in  $S_n$ , where  $n = \deg(p(x))$ .) **Proof.** Since  $S = F(r_1, \dots, r_k)$ , where  $\{r_1, \dots, r_k\}$  are the distinct roots of  $p(x)$  (and all are contained in  $S$ ), if  $\alpha \in H$  and  $\alpha$  fixes pointwise each  $r_i$ ,  $i = 1, \dots, k$ , then since  $\alpha$  fixes  $F$  pointwise, and every element in  $S$  is a polynomial in  $F[r_1, \dots, r_k]$ , it follows that  $\alpha$  fixes every element of  $S$ .  $\square$

We'll continue!