

# MATH 114L: Homework 1

## Questions

**Question 1:** Show that the connectives  $\{\vee, \wedge\}$  can be expressed in terms of the connectives  $\{\rightarrow, \neg\}$ .

**Question 2:** For which natural numbers  $n$  are there elements of  $PL_0$  of length  $n$ ?

**Question 3:** (Unique readability) Show that a sequence  $\phi$  is an element of  $PL_0$  if and only if there is a finite sequence of sequences  $(\phi_1, \dots, \phi_n)$  such that  $\phi_n = \phi$  and for each  $i \leq n$  either there exists  $m$  such that  $\phi_i = (A_m)$  or there exists  $j < i$  such that  $\phi_i = (\neg\phi_j)$  or there exist  $j_1, j_2$  both less than  $i$  such that  $\phi_i$  is equal to  $(\phi_{j_1} \rightarrow \phi_{j_2})$ .

**Definition 2:** For  $\phi \in PL_0$  define:

- $C(\phi)$  = number of instances of logical connectives in  $\phi$ ,
- $S(\phi)$  = total number of symbols occurring in  $\phi$  (i.e.,  $S(\phi)$  is just the length of  $\phi$ ),
- $D(\phi)$  = total number of instances of binary connectives which occur in  $\phi$ ,
- $E(\phi)$  = total number of instances of atomic propositions  $A_i$  which occur in  $\phi$ .

Prove by induction that:

- $E(\phi) = D(\phi) + 1$ ,
- $S(\phi) \geq 3C(\phi)$ .

**Question 4, 5 and 6:** Please complete the following exercises from Yannis lecture notes (page 15, Section 4, Propositional logic): 1.1, 1.6, 1.7.

## Solutions

### Solution to Question 2:

*Proof.* We show that the set of natural numbers  $n$  which are the lengths of formulas in  $L_0$  is  $\mathbb{N} \setminus \{0, 2, 3, 6\}$ .

First note that we have length-1 formulas  $\langle A_i \rangle$ . Observe that the shortest formulas involving a connective  $\neg$  or  $\rightarrow$  must have the form  $(\neg A_i)$ , which has length 4. So it's clear that there are no formulas of length 2 or 3. The shortest formulas involving just one occurrence of  $\rightarrow$  have the form  $(A_i \rightarrow A_j)$ , which has length 5. One can check that any formula involving at least two connectives must have length at least 7, so the number 6 is ruled out as a possible length. Now note that if  $\phi$  is a formula of length  $m$ , then  $(\neg\phi)$  is a formula of length  $m + 3$ . Since we have formula of length 4 and of length 5, we have formulas of length  $4 + 3k$  and  $5 + 3k$  for all  $k \geq 0$ . Moreover, we have the following formula of length 9,  $((A_1 \rightarrow A_1) \rightarrow A_1)$ , so we get formulas of length  $9 + 3k$  for all  $k \geq 0$ . Putting everything together shows that  $\mathbb{N} \setminus \{0, 2, 3, 6\}$  is indeed the set of possible lengths.  $\square$

### Solution to Question 3:

*Proof.* Recall the definition of  $L_0$  from class:  $L_0$  is the smallest set of (finite) sequences of symbols  $(, ), \neg, \rightarrow, A_1, A_2, \dots$  such that:

1.  $A_i \in L_0$ , for each  $i = 1, 2, \dots$ ;
2. if  $\phi \in L_0$ , then  $(\neg\phi) \in L_0$ ;
3. if  $\phi, \psi \in L_0$ , then  $(\phi \rightarrow \psi) \in L_0$ .

We can call this definition of  $L_0$  the "top-down" definition. The right-hand side of the iff in this exercise gives a "bottom-up" definition.

For the purposes of the proof, let  $L_1$  denote the set of (finite) sequences  $\phi$  of symbols  $(, ), \neg, \rightarrow, A_1, A_2, \dots$ , which satisfy the "bottom-up" characterization on the right-hand side of the iff. We show  $L_0 = L_1$  by the method of double inclusion.

Step 1: Show that  $L_0 \subseteq L_1$ . Since  $L_0$  is the smallest set satisfying (1), (2), (3), it suffices to show that  $L_1$  also satisfies (1), (2), (3). We check each of these conditions for  $L_1$  in turn. For (1), note that the sequence  $\langle \phi_1, \dots, \phi_n \rangle = \langle \phi_1 \rangle = \langle A_i \rangle$  of length 1 witnesses that the length 1 formulas  $A_i$  are in  $L_1$ . For (2), suppose that  $\phi \in L_0$ , in other words  $\phi = \phi_n$  for some sequence  $\langle \phi_1, \dots, \phi_n \rangle$  as in the bottom-up characterization. But then the sequence  $\langle \phi_1, \dots, \phi_n, (\neg\phi) \rangle$  shows that  $(\neg\phi) \in L_1$ . Finally, for (3), suppose that  $\phi, \psi$  are both in  $L_0$ , as witnessed by the sequences  $\langle \phi_1, \dots, \phi_n \rangle$  and  $\langle \psi_1, \dots, \psi_m \rangle$  where  $\phi = \phi_n$  and  $\psi = \psi_m$ . Then the sequence  $\langle \phi_1, \dots, \phi_n, \psi_1, \dots, \psi_m, (\phi \rightarrow \psi) \rangle$  shows that  $(\phi \rightarrow \psi) \in L_1$ .

Step 2: Show that  $L_1 \subseteq L_0$ . For this step, we prove by induction on  $n$  that any finite sequence  $\phi \in L_1$  whose membership in  $L_1$  is witnessed by a sequence  $\langle \phi_1, \dots, \phi_n \rangle$  of length  $n$  must be in  $L_0$ . The base case is  $n = 1$ , in which case we can only have the finite sequences  $\langle \phi_1, \dots, \phi_n \rangle = \langle A_i \rangle$ . But by condition (1),  $A_i \in L_0$ , so the base case holds. Now assume for the inductive hypothesis that for all  $\phi \in L_1$  whose membership in  $L_1$  is witnessed by a sequence of length  $< n$ , we have  $\phi \in L_0$ . Suppose that  $\langle \phi_1, \dots, \phi_n \rangle$  is a sequence of length  $n$  witnessing the membership of  $\phi_n$  in  $L_1$ . Then either  $\phi_n = (\neg\phi_i)$  for some  $i < n$

or  $\phi_n = (\phi_i \rightarrow \phi_j)$  for some  $i, j < n$ . In the first case  $\phi_i \in L_0$  by the inductive hypothesis, and so by condition

(2) in the definition of  $L_0$ , we must have  $\phi_n \in L_0$ . In the second case, both  $\phi_i$  and  $\phi_j$  are in  $L_0$  by the inductive hypothesis, and so by condition (3) in the definition of  $L_0$ , we must have  $(\phi_i \rightarrow \phi_j) \in L_0$ . This completes the proof.  $\square$

### Solution to Definition 2:

*Proof.* We use a very common method of proof in logic for this exercise, namely induction on the length of the formula  $\phi$ .

- (a) We prove by induction on the length  $n$  of a formula  $\phi$  that  $E(\phi) = D(\phi) + 1$ . The base case is  $n = 1$ , in which case  $\phi$  is a length-1 formula  $A_i$ . But then  $E(\phi) = 1$  and  $D(\phi) = 0$  so  $E(\phi) = D(\phi) + 1$ . Assume for induction that  $E(\phi) = D(\phi) + 1$  for all formulas of length less than  $n$ . Suppose that  $\phi$  has length  $n$ . By the readability theorem, there exist formulas  $\psi_1$  and  $\psi_2$  of length less than  $n$  such that  $\phi$  has one of the following forms:

$$(\neg\psi_1), (\psi_1 \rightarrow \psi_2), (\psi_1 \wedge \psi_2), (\psi_1 \vee \psi_2).$$

If  $\phi = (\neg\psi_1)$ , then  $E(\phi) = E(\psi_1) = D(\psi_1) + 1 = D(\phi) + 1$ , where we applied the inductive hypothesis to  $\psi_1$ . In the other three cases, we have  $E(\phi) = E(\psi_1) + E(\psi_2) = (D(\psi_1) + 1) + (D(\psi_2) + 1) = (D(\psi_1) + D(\psi_2) + 1) + 1 = D(\phi) + 1$ . This completes the inductive step.

- (b) We prove by induction on the length  $n$  of a formula  $\phi$  that  $S(\phi) \geq 3C(\phi)$ . The base case is  $n = 1$ , in which case  $\phi$  is a length-1 formula  $A_i$ . But then  $S(\phi) = 1$  and  $C(\phi) = 0$  so  $S(\phi) \geq 3C(\phi)$ . Assume for induction that  $S(\phi) \geq 3C(\phi)$  for all formulas of length less than  $n$ . Suppose that  $\phi$  has length  $n$ . By the readability theorem, there exist formulas  $\psi_1$  and  $\psi_2$  of length less than  $n$  such that  $\phi$  has one of the following forms:

$$(\neg\psi_1), (\psi_1 \rightarrow \psi_2), (\psi_1 \wedge \psi_2), (\psi_1 \vee \psi_2).$$

If  $\phi = (\neg\psi_1)$ , then  $S(\phi) = S(\psi_1) + 3 \geq 3C(\psi_1) + 3 = 3(C(\psi_1) + 1) = 3C(\phi)$ , where we applied the inductive hypothesis to  $\psi_1$ . In the other three cases, we have  $S(\phi) = S(\psi_1) + S(\psi_2) + 3 \geq (3C(\psi_1)) + (3C(\psi_2)) + 3 = 3(C(\psi_1) + C(\psi_2) + 1) = 3C(\phi)$ . This completes the inductive step.

$\square$