

INVESTMENT ADVISORY AGREEMENT  
Agreement Number: INV-2022

PARTIES:

Jane Smith ("Client") and Alpha Advisory LLC ("Advisor") effective March 1, 2022.

SECTION 1: SERVICES

Advisor will provide investment strategy, portfolio management, and risk assessment.  
Advisor shall act in accordance with industry best practices.

SECTION 2: FIDUCIARY DUTY

Advisor agrees to act in the best interest of the client, maintaining fiduciary obligations.

Advisor shall disclose any conflicts of interest.

SECTION 3: FEES

Annual advisory fee: 1% of assets under management.

Performance-based fee: 10% of gains exceeding benchmark.

SECTION 4: TERMINATION

Agreement requires a 60-day written notice for termination without penalty.  
Termination for cause may occur immediately upon breach.

SECTION 5: CONFIDENTIALITY

Advisor shall maintain confidentiality of all client information.

SECTION 6: GOVERNING LAW

Agreement governed by laws of the State of Delaware.



APPENDIX A: CONTACT INFORMATION

Alpha Advisory LLC: [support@alphaadvisory.com](mailto:support@alphaadvisory.com)