

# The OCaml system release 5.1

Documentation and user's manual

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# Foreword

This manual documents the release 5.1 of the OCaml system. It is organized as follows.

- Part [I](#), “An introduction to OCaml”, gives an overview of the language.
- Part [II](#), “The OCaml language”, is the reference description of the language.
- Part [III](#), “The OCaml tools”, documents the compilers, toplevel system, and programming utilities.
- Part [IV](#), “The OCaml library”, describes the modules provided in the standard library.
- Part [V](#), “Indexes”, contains an index of all identifiers defined in the standard library, and an index of keywords.

## Conventions

OCaml runs on several operating systems. The parts of this manual that are specific to one operating system are presented as shown below:

### Unix:

This is material specific to the Unix family of operating systems, including Linux and macOS.

### Windows:

This is material specific to Microsoft Windows (Vista, 7, 8, 10, 11).

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The sample code in the user's manual and in the reference documentation of the standard library is licensed under a Creative Commons CC0 1.0 Universal (CC0 1.0) Public Domain Dedication License, <https://creativecommons.org/publicdomain/zero/1.0/>.

## **Availability**

The complete OCaml distribution can be accessed via the website <https://ocaml.org/>. This site contains a lot of additional information on OCaml.

## Part I

# An introduction to OCaml





# Chapter 1

## The core language

This part of the manual is a tutorial introduction to the OCaml language. A good familiarity with programming in a conventional languages (say, C or Java) is assumed, but no prior exposure to functional languages is required. The present chapter introduces the core language. Chapter 2 deals with the module system, chapter 3 with the object-oriented features, chapter 4 with labeled arguments, chapter 5 with polymorphic variants, chapter 6 with the limitations of polymorphism, and chapter 8 gives some advanced examples.

### 1.1 Basics

For this overview of OCaml, we use the interactive system, which is started by running `ocaml` from the Unix shell or Windows command prompt. This tutorial is presented as the transcript of a session with the interactive system: lines starting with `#` represent user input; the system responses are printed below, without a leading `#`.

Under the interactive system, the user types OCaml phrases terminated by `;;` in response to the `#` prompt, and the system compiles them on the fly, executes them, and prints the outcome of evaluation. Phrases are either simple expressions, or `let` definitions of identifiers (either values or functions).

```
# 1 + 2 * 3;;
- : int = 7

# let pi = 4.0 *. atan 1.0;;
val pi : float = 3.14159265358979312

# let square x = x *. x;;
val square : float -> float = <fun>

# square (sin pi) +. square (cos pi);;
- : float = 1.
```

The OCaml system computes both the value and the type for each phrase. Even function parameters need no explicit type declaration: the system infers their types from their usage in the function. Notice also that integers and floating-point numbers are distinct types, with distinct operators: `+` and `*` operate on integers, but `+.`  and `*.`  operate on floats.

```
# 1.0 * 2;;
```

```
Error: This expression has type float but an expression was expected of type
      int
```

Recursive functions are defined with the `let rec` binding:

```
# let rec fib n =
    if n < 2 then n else fib (n - 1) + fib (n - 2);;
val fib : int -> int = <fun>
```

```
# fib 10;;
- : int = 55
```

## 1.2 Data types

In addition to integers and floating-point numbers, OCaml offers the usual basic data types:

- booleans

```
# (1 < 2) = false;;
- : bool = false

# let one = if true then 1 else 2;;
val one : int = 1
```

- characters

```
# 'a';;
- : char = 'a'

# int_of_char '\n';;
- : int = 10
```

- immutable character strings

```
# "Hello" ^ " " ^ "world";;
- : string = "Hello world"

# {|This is a quoted string, here, neither \ nor " are special characters|};;
- : string =
  "This is a quoted string, here, neither \\ nor \" are special characters"

# {|"\"|}="\"\\\\\\\\\\"";;
- : bool = true

# {delimiter|the end of this|}quoted string is here|delimiter}
=
  "the end of this|}quoted string is here";;
- : bool = true
```

Predefined data structures include tuples, arrays, and lists. There are also general mechanisms for defining your own data structures, such as records and variants, which will be covered in more detail later; for now, we concentrate on lists. Lists are either given in extension as a bracketed list of semicolon-separated elements, or built from the empty list `[]` (pronounce “nil”) by adding elements in front using the `::` (“cons”) operator.

```
# let l = ["is"; "a"; "tale"; "told"; "etc."];;
val l : string list = ["is"; "a"; "tale"; "told"; "etc."]

# "Life" :: l;;
- : string list = ["Life"; "is"; "a"; "tale"; "told"; "etc."]
```

As with all other OCaml data structures, lists do not need to be explicitly allocated and deallocated from memory: all memory management is entirely automatic in OCaml. Similarly, there is no explicit handling of pointers: the OCaml compiler silently introduces pointers where necessary.

As with most OCaml data structures, inspecting and destructuring lists is performed by pattern-matching. List patterns have exactly the same form as list expressions, with identifiers representing unspecified parts of the list. As an example, here is insertion sort on a list:

```
# let rec sort lst =
  match lst with
  [] -> []
  | head :: tail -> insert head (sort tail)
and insert elt lst =
  match lst with
  [] -> [elt]
  | head :: tail -> if elt <= head then elt :: lst else head :: insert elt tail
;;
val sort : 'a list -> 'a list = <fun>
val insert : 'a -> 'a list -> 'a list = <fun>

# sort l;;
- : string list = ["a"; "etc."; "is"; "tale"; "told"]
```

The type inferred for `sort`, `'a list -> 'a list`, means that `sort` can actually apply to lists of any type, and returns a list of the same type. The type `'a` is a *type variable*, and stands for any given type. The reason why `sort` can apply to lists of any type is that the comparisons (`=`, `<=`, etc.) are *polymorphic* in OCaml: they operate between any two values of the same type. This makes `sort` itself polymorphic over all list types.

```
# sort [6; 2; 5; 3];;
- : int list = [2; 3; 5; 6]

# sort [3.14; 2.718];;
- : float list = [2.718; 3.14]
```

The `sort` function above does not modify its input list: it builds and returns a new list containing the same elements as the input list, in ascending order. There is actually no way in OCaml to modify a list in-place once it is built: we say that lists are *immutable* data structures. Most OCaml

data structures are immutable, but a few (most notably arrays) are *mutable*, meaning that they can be modified in-place at any time.

The OCaml notation for the type of a function with multiple arguments is `arg1_type -> arg2_type -> ... -> return_type`. For example, the type inferred for `insert`, `'a -> 'a list -> 'a list`, means that `insert` takes two arguments, an element of any type `'a` and a list with elements of the same type `'a` and returns a list of the same type.

### 1.3 Functions as values

OCaml is a functional language: functions in the full mathematical sense are supported and can be passed around freely just as any other piece of data. For instance, here is a `deriv` function that takes any float function as argument and returns an approximation of its derivative function:

```
# let deriv f dx = fun x -> (f (x +. dx) -. f x) /. dx;;
val deriv : (float -> float) -> float -> float -> float = <fun>

# let sin' = deriv sin 1e-6;;
val sin' : float -> float = <fun>

# sin' pi;;
- : float = -1.00000000013961143
```

Even function composition is definable:

```
# let compose f g = fun x -> f (g x);;
val compose : ('a -> 'b) -> ('c -> 'a) -> 'c -> 'b = <fun>

# let cos2 = compose square cos;;
val cos2 : float -> float = <fun>
```

Functions that take other functions as arguments are called “functionals”, or “higher-order functions”. Functionals are especially useful to provide iterators or similar generic operations over a data structure. For instance, the standard OCaml library provides a `List.map` functional that applies a given function to each element of a list, and returns the list of the results:

```
# List.map (fun n -> n * 2 + 1) [0;1;2;3;4];;
- : int list = [1; 3; 5; 7; 9]
```

This functional, along with a number of other list and array functionals, is predefined because it is often useful, but there is nothing magic with it: it can easily be defined as follows.

```
# let rec map f l =
  match l with
  [] -> []
  | hd :: tl -> f hd :: map f tl;;
val map : ('a -> 'b) -> 'a list -> 'b list = <fun>
```

## 1.4 Records and variants

User-defined data structures include records and variants. Both are defined with the `type` declaration. Here, we declare a record type to represent rational numbers.

```
# type ratio = {num: int; denom: int};;
type ratio = { num : int; denom : int; }

# let add_ratio r1 r2 =
  {num = r1.num * r2.denom + r2.num * r1.denom;
   denom = r1.denom * r2.denom};;
val add_ratio : ratio -> ratio -> ratio = <fun>

# add_ratio {num=1; denom=3} {num=2; denom=5};;
- : ratio = {num = 11; denom = 15}
```

Record fields can also be accessed through pattern-matching:

```
# let integer_part r =
  match r with
  {num=num; denom=denom} -> num / denom;;
val integer_part : ratio -> int = <fun>
```

Since there is only one case in this pattern matching, it is safe to expand directly the argument `r` in a record pattern:

```
# let integer_part {num=num; denom=denom} = num / denom;;
val integer_part : ratio -> int = <fun>
```

Unneeded fields can be omitted:

```
# let get_denom {denom=denom} = denom;;
val get_denom : ratio -> int = <fun>
```

Optionally, missing fields can be made explicit by ending the list of fields with a trailing wildcard `_::`:

```
# let get_num {num=num; _ } = num;;
val get_num : ratio -> int = <fun>
```

When both sides of the `=` sign are the same, it is possible to avoid repeating the field name by eliding the `=field` part:

```
# let integer_part {num; denom} = num / denom;;
val integer_part : ratio -> int = <fun>
```

This short notation for fields also works when constructing records:

```
# let ratio num denom = {num; denom};;
val ratio : int -> int -> ratio = <fun>
```

At last, it is possible to update few fields of a record at once:

```
# let integer_product integer ratio = { ratio with num = integer * ratio.num };;
```

```
val integer_product : int -> ratio -> ratio = <fun>
```

With this functional update notation, the record on the left-hand side of `with` is copied except for the fields on the right-hand side which are updated.

The declaration of a variant type lists all possible forms for values of that type. Each case is identified by a name, called a constructor, which serves both for constructing values of the variant type and inspecting them by pattern-matching. Constructor names are capitalized to distinguish them from variable names (which must start with a lowercase letter). For instance, here is a variant type for doing mixed arithmetic (integers and floats):

```
# type number = Int of int | Float of float | Error;;
type number = Int of int | Float of float | Error
```

This declaration expresses that a value of type `number` is either an integer, a floating-point number, or the constant `Error` representing the result of an invalid operation (e.g. a division by zero).

Enumerated types are a special case of variant types, where all alternatives are constants:

```
# type sign = Positive | Negative;;
type sign = Positive | Negative

# let sign_int n = if n >= 0 then Positive else Negative;;
val sign_int : int -> sign = <fun>
```

To define arithmetic operations for the `number` type, we use pattern-matching on the two numbers involved:

```
# let add_num n1 n2 =
  match (n1, n2) with
  | (Int i1, Int i2) ->
    (* Check for overflow of integer addition *)
    if sign_int i1 = sign_int i2 && sign_int (i1 + i2) <> sign_int i1
    then Float(float i1 +. float i2)
    else Int(i1 + i2)
  | (Int i1, Float f2) -> Float(float i1 +. f2)
  | (Float f1, Int i2) -> Float(f1 +. float i2)
  | (Float f1, Float f2) -> Float(f1 +. f2)
  | (Error, _) -> Error
  | (_, Error) -> Error;;
val add_num : number -> number -> number = <fun>

# add_num (Int 123) (Float 3.14159);;
- : number = Float 126.14159
```

Another interesting example of variant type is the built-in `'a option` type which represents either a value of type `'a` or an absence of value:

```
# type 'a option = Some of 'a | None;;
type 'a option = Some of 'a | None
```

This type is particularly useful when defining function that can fail in common situations, for instance

```
# let safe_square_root x = if x >= 0. then Some(sqrt x) else None;;
val safe_square_root : float -> float option = <fun>
```

The most common usage of variant types is to describe recursive data structures. Consider for example the type of binary trees:

```
# type 'a btree = Empty | Node of 'a * 'a btree * 'a btree;;
type 'a btree = Empty | Node of 'a * 'a btree * 'a btree
```

This definition reads as follows: a binary tree containing values of type 'a (an arbitrary type) is either empty, or is a node containing one value of type 'a and two subtrees also containing values of type 'a, that is, two 'a btree.

Operations on binary trees are naturally expressed as recursive functions following the same structure as the type definition itself. For instance, here are functions performing lookup and insertion in ordered binary trees (elements increase from left to right):

```
# let rec member x btree =
  match btree with
  | Empty -> false
  | Node(y, left, right) ->
    if x = y then true else
    if x < y then member x left else member x right;;
val member : 'a -> 'a btree -> bool = <fun>

# let rec insert x btree =
  match btree with
  | Empty -> Node(x, Empty, Empty)
  | Node(y, left, right) ->
    if x <= y then Node(y, insert x left, right)
    else Node(y, left, insert x right);;
val insert : 'a -> 'a btree -> 'a btree = <fun>
```

### 1.4.1 Record and variant disambiguation

( This subsection can be skipped on the first reading )

Astute readers may have wondered what happens when two or more record fields or constructors share the same name

```
# type first_record = { x:int; y:int; z:int }
  type middle_record = { x:int; z:int }
  type last_record   = { x:int };;

# type first_variant = A | B | C
  type last_variant  = A;;
```

The answer is that when confronted with multiple options, OCaml tries to use locally available information to disambiguate between the various fields and constructors. First, if the type of the record or variant is known, OCaml can pick unambiguously the corresponding field or constructor. For instance:

```

# let look_at_x_then_z (r:first_record) =
  let x = r.x in
  x + r.z;;
val look_at_x_then_z : first_record -> int = <fun>

# let permute (x:first_variant) = match x with
  | A -> (B:first_variant)
  | B -> A
  | C -> C;;
val permute : first_variant -> first_variant = <fun>

# type wrapped = First of first_record
  let f (First r) = r, r.x;;
type wrapped = First of first_record
val f : wrapped -> first_record * int = <fun>

```

In the first example, `(r:first_record)` is an explicit annotation telling OCaml that the type of `r` is `first_record`. With this annotation, Ocaml knows that `r.x` refers to the `x` field of the first record type. Similarly, the type annotation in the second example makes it clear to OCaml that the constructors `A`, `B` and `C` come from the first variant type. Contrarily, in the last example, OCaml has inferred by itself that the type of `r` can only be `first_record` and there are no needs for explicit type annotations.

Those explicit type annotations can in fact be used anywhere. Most of the time they are unnecessary, but they are useful to guide disambiguation, to debug unexpected type errors, or combined with some of the more advanced features of OCaml described in later chapters.

Secondly, for records, OCaml can also deduce the right record type by looking at the whole set of fields used in a expression or pattern:

```

# let project_and_rotate {x; y; _} = { x= - y; y = x; z = 0 } ;;
val project_and_rotate : first_record -> first_record = <fun>

```

Since the fields `x` and `y` can only appear simultaneously in the first record type, OCaml infers that the type of `project_and_rotate` is `first_record -> first_record`.

In last resort, if there is not enough information to disambiguate between different fields or constructors, Ocaml picks the last defined type amongst all locally valid choices:

```

# let look_at_xz {x; z} = x;;
val look_at_xz : middle_record -> int = <fun>

```

Here, OCaml has inferred that the possible choices for the type of `{x;z}` are `first_record` and `middle_record`, since the type `last_record` has no field `z`. Ocaml then picks the type `middle_record` as the last defined type between the two possibilities.

Beware that this last resort disambiguation is local: once Ocaml has chosen a disambiguation, it sticks to this choice, even if it leads to an ulterior type error:

```

# let look_at_x_then_y r =
  let x = r.x in (* Ocaml deduces [r: last_record] *)
  x + r.y;;

```



```
Error: This expression has type last_record
      There is no field y within type last_record
```

```
# let is_a_or_b x = match x with
  | A -> true (* OCaml infers [x: last_variant] *)
  | B -> true;;
```

```
Error: This variant pattern is expected to have type last_variant
      There is no constructor B within type last_variant
```

Moreover, being the last defined type is a quite unstable position that may change surreptitiously after adding or moving around a type definition, or after opening a module (see chapter 2). Consequently, adding explicit type annotations to guide disambiguation is more robust than relying on the last defined type disambiguation.

## 1.5 Imperative features

Though all examples so far were written in purely applicative style, OCaml is also equipped with full imperative features. This includes the usual `while` and `for` loops, as well as mutable data structures such as arrays. Arrays are either created by listing semicolon-separated element values between `[|` and `|]` brackets, or allocated and initialized with the `Array.make` function, then filled up later by assignments. For instance, the function below sums two vectors (represented as float arrays) componentwise.

```
# let add_vect v1 v2 =
  let len = min (Array.length v1) (Array.length v2) in
  let res = Array.make len 0.0 in
  for i = 0 to len - 1 do
    res.(i) <- v1.(i) +. v2.(i)
  done;
  res;;
val add_vect : float array -> float array -> float array = <fun>

# add_vect [| 1.0; 2.0 |] [| 3.0; 4.0 |];;
- : float array = [|4.; 6.|]
```

Record fields can also be modified by assignment, provided they are declared `mutable` in the definition of the record type:

```
# type mutable_point = { mutable x: float; mutable y: float };;
type mutable_point = { mutable x : float; mutable y : float; }

# let translate p dx dy =
  p.x <- p.x +. dx; p.y <- p.y +. dy;;
val translate : mutable_point -> float -> float -> unit = <fun>

# let mypoint = { x = 0.0; y = 0.0 };;
val mypoint : mutable_point = {x = 0.; y = 0.}
```

```
# translate mypoint 1.0 2.0;;
- : unit = ()

# mypoint;;
- : mutable_point = {x = 1.; y = 2.}
```

OCaml has no built-in notion of variable – identifiers whose current value can be changed by assignment. (The `let` binding is not an assignment, it introduces a new identifier with a new scope.) However, the standard library provides references, which are mutable indirection cells, with operators `!` to fetch the current contents of the reference and `:=` to assign the contents. Variables can then be emulated by `let`-binding a reference. For instance, here is an in-place insertion sort over arrays:

```
# let insertion_sort a =
  for i = 1 to Array.length a - 1 do
    let val_i = a.(i) in
    let j = ref i in
    while !j > 0 && val_i < a.(!j - 1) do
      a.(!j) <- a.(!j - 1);
      j := !j - 1
    done;
    a.(!j) <- val_i
  done;;
val insertion_sort : 'a array -> unit = <fun>
```

References are also useful to write functions that maintain a current state between two calls to the function. For instance, the following pseudo-random number generator keeps the last returned number in a reference:

```
# let current_rand = ref 0;;
val current_rand : int ref = {contents = 0}

# let random () =
  current_rand := !current_rand * 25713 + 1345;
  !current_rand;;
val random : unit -> int = <fun>
```

Again, there is nothing magical with references: they are implemented as a single-field mutable record, as follows.

```
# type 'a ref = { mutable contents: 'a };;
type 'a ref = { mutable contents : 'a; }

# let ( ! ) r = r.contents;;
val ( ! ) : 'a ref -> 'a = <fun>

# let ( := ) r newval = r.contents <- newval;;
val ( := ) : 'a ref -> 'a -> unit = <fun>
```

In some special cases, you may need to store a polymorphic function in a data structure, keeping its polymorphism. Doing this requires user-provided type annotations, since polymorphism is only introduced automatically for global definitions. However, you can explicitly give polymorphic types to record fields.

```
# type idref = { mutable id: 'a. 'a -> 'a };;
type idref = { mutable id : 'a. 'a -> 'a; }

# let r = {id = fun x -> x};;
val r : idref = {id = <fun>}

# let g s = (s.id 1, s.id true);;
val g : idref -> int * bool = <fun>

# r.id <- (fun x -> print_string "called id\n"; x);;
- : unit = ()

# g r;;
called id
called id
- : int * bool = (1, true)
```

## 1.6 Exceptions

OCaml provides exceptions for signalling and handling exceptional conditions. Exceptions can also be used as a general-purpose non-local control structure, although this should not be overused since it can make the code harder to understand. Exceptions are declared with the `exception` construct, and signalled with the `raise` operator. For instance, the function below for taking the head of a list uses an exception to signal the case where an empty list is given.

```
# exception Empty_list;;
exception Empty_list

# let head l =
  match l with
  [] -> raise Empty_list
  | hd :: tl -> hd;;
val head : 'a list -> 'a = <fun>

# head [1; 2];;
- : int = 1

# head [];;
Exception: Empty_list.
```

Exceptions are used throughout the standard library to signal cases where the library functions cannot complete normally. For instance, the `List.assoc` function, which returns the data associated with a given key in a list of (key, data) pairs, raises the predefined exception `Not_found` when the key does not appear in the list:

```
# List.assoc 1 [(0, "zero"); (1, "one")];;
- : string = "one"
```

```
# List.assoc 2 [(0, "zero"); (1, "one")];;
Exception: Not_found.
```

Exceptions can be trapped with the `try...with` construct:

```
# let name_of_binary_digit digit =
  try
    List.assoc digit [0, "zero"; 1, "one"]
  with Not_found ->
    "not a binary digit";;
val name_of_binary_digit : int -> string = <fun>

# name_of_binary_digit 0;;
- : string = "zero"

# name_of_binary_digit (-1);;
- : string = "not a binary digit"
```

The `with` part does pattern matching on the exception value with the same syntax and behavior as `match`. Thus, several exceptions can be caught by one `try...with` construct:

```
# let rec first_named_value values names =
  try
    List.assoc (head values) names
  with
    | Empty_list -> "no named value"
    | Not_found -> first_named_value (List.tl values) names;;
val first_named_value : 'a list -> ('a * string) list -> string = <fun>

# first_named_value [0; 10] [1, "one"; 10, "ten"];;
- : string = "ten"
```

Also, finalization can be performed by trapping all exceptions, performing the finalization, then re-raising the exception:

```
# let temporarily_set_reference ref newval funct =
  let oldval = !ref in
  try
    ref := newval;
    let res = funct () in
    ref := oldval;
    res
  with x ->
    ref := oldval;
    raise x;;
val temporarily_set_reference : 'a ref -> 'a -> (unit -> 'b) -> 'b = <fun>
```

An alternative to `try...with` is to catch the exception while pattern matching:

```
# let assoc_map f x l =
  match List.assoc x l with
  | exception Not_found -> None
  | y -> f y;;
val assoc_map : ('a -> 'b option) -> 'c -> ('c * 'a) list -> 'b option =
  <fun>
```

Note that this construction is only useful if the exception is raised between `match...with`. Exception patterns can be combined with ordinary patterns at the toplevel,

```
# let flat_assoc_opt x l =
  match List.assoc x l with
  | None | exception Not_found -> None
  | Some _ as v -> v;;
val flat_assoc_opt : 'a -> ('a * 'b option) list -> 'b option = <fun>
```

but they cannot be nested inside other patterns. For instance, the pattern `Some (exception A)` is invalid.

When exceptions are used as a control structure, it can be useful to make them as local as possible by using a locally defined exception. For instance, with

```
# let fixpoint f x =
  let exception Done in
  let x = ref x in
  try while true do
    let y = f !x in
    if !x = y then raise Done else x := y
  done; assert false
  with Done -> !x;;
val fixpoint : ('a -> 'a) -> 'a -> 'a = <fun>
```

the function `f` cannot raise a `Done` exception, which removes an entire class of misbehaving functions.

## 1.7 Lazy expressions

OCaml allows us to defer some computation until later when we need the result of that computation.

We use `lazy (expr)` to delay the evaluation of some expression `expr`. For example, we can defer the computation of `1+1` until we need the result of that expression, `2`. Let us see how we initialize a lazy expression.

```
# let lazy_two = lazy (print_endline "lazy_two evaluation"; 1 + 1);;
val lazy_two : int lazy_t = <lazy>
```

We added `print_endline "lazy_two evaluation"` to see when the lazy expression is being evaluated.

The value of `lazy_two` is displayed as `<lazy>`, which means the expression has not been evaluated yet, and its final value is unknown.

Note that `lazy_two` has type `int lazy_t`. However, the type `'a lazy_t` is an internal type name, so the type `'a Lazy.t` should be preferred when possible.

When we finally need the result of a lazy expression, we can call `Lazy.force` on that expression to force its evaluation. The function `force` comes from standard-library module [Lazy](#)[28.29].

```
# Lazy.force lazy_two;;
lazy_two evaluation
- : int = 2
```

Notice that our function call above prints “`lazy_two evaluation`” and then returns the plain value of the computation.

Now if we look at the value of `lazy_two`, we see that it is not displayed as `<lazy>` anymore but as `lazy 2`.

```
# lazy_two;;
- : int lazy_t = lazy 2
```

This is because `Lazy.force` memoizes the result of the forced expression. In other words, every subsequent call of `Lazy.force` on that expression returns the result of the first computation without recomputing the lazy expression. Let us force `lazy_two` once again.

```
# Lazy.force lazy_two;;
- : int = 2
```

The expression is not evaluated this time; notice that “`lazy_two evaluation`” is not printed. The result of the initial computation is simply returned.

Lazy patterns provide another way to force a lazy expression.

```
# let lazy_l = lazy ([1; 2] @ [3; 4]);;
val lazy_l : int list lazy_t = <lazy>

# let lazy l = lazy_l;;
val l : int list = [1; 2; 3; 4]
```

We can also use lazy patterns in pattern matching.

```
# let maybe_eval lazy_guard lazy_expr =
  match lazy_guard, lazy_expr with
  | lazy false, _ -> "matches if (Lazy.force lazy_guard = false); lazy_expr not forced"
  | lazy true, lazy _ -> "matches if (Lazy.force lazy_guard = true); lazy_expr forced";;
val maybe_eval : bool lazy_t -> 'a lazy_t -> string = <fun>
```

The lazy expression `lazy_expr` is forced only if the `lazy_guard` value yields `true` once computed. Indeed, a simple wildcard pattern (not lazy) never forces the lazy expression’s evaluation. However, a pattern with keyword `lazy`, even if it is wildcard, always forces the evaluation of the deferred computation.

## 1.8 Symbolic processing of expressions

We finish this introduction with a more complete example representative of the use of OCaml for symbolic processing: formal manipulations of arithmetic expressions containing variables. The following variant type describes the expressions we shall manipulate:

```
# type expression =
    Const of float
  | Var of string
  | Sum of expression * expression    (* e1 + e2 *)
  | Diff of expression * expression  (* e1 - e2 *)
  | Prod of expression * expression  (* e1 * e2 *)
  | Quot of expression * expression  (* e1 / e2 *)
;;

type expression =
    Const of float
  | Var of string
  | Sum of expression * expression
  | Diff of expression * expression
  | Prod of expression * expression
  | Quot of expression * expression
```

We first define a function to evaluate an expression given an environment that maps variable names to their values. For simplicity, the environment is represented as an association list.

```
# exception Unbound_variable of string;;
exception Unbound_variable of string

# let rec eval env exp =
    match exp with
    | Const c -> c
    | Var v ->
        (try List.assoc v env with Not_found -> raise (Unbound_variable v))
    | Sum(f, g) -> eval env f +. eval env g
    | Diff(f, g) -> eval env f -. eval env g
    | Prod(f, g) -> eval env f *. eval env g
    | Quot(f, g) -> eval env f /. eval env g;;
val eval : (string * float) list -> expression -> float = <fun>

# eval [("x", 1.0); ("y", 3.14)] (Prod(Sum(Var "x", Const 2.0), Var "y"));;
- : float = 9.42
```

Now for a real symbolic processing, we define the derivative of an expression with respect to a variable *dv*:

```
# let rec deriv exp dv =
    match exp with
    | Const c -> Const 0.0
    | Var v -> if v = dv then Const 1.0 else Const 0.0
    | Sum(f, g) -> Sum(deriv f dv, deriv g dv)
    | Diff(f, g) -> Diff(deriv f dv, deriv g dv)
    | Prod(f, g) -> Sum(Prod(f, deriv g dv), Prod(deriv f dv, g))
    | Quot(f, g) -> Quot(Diff(Prod(deriv f dv, g), Prod(f, deriv g dv)),
                          Prod(g, g))
;;
```

```

val deriv : expression -> string -> expression = <fun>

# deriv (Quot(Const 1.0, Var "x")) "x";;
- : expression =
Quot (Diff (Prod (Const 0., Var "x"), Prod (Const 1., Const 1.)),
  Prod (Var "x", Var "x"))

```

## 1.9 Pretty-printing

As shown in the examples above, the internal representation (also called *abstract syntax*) of expressions quickly becomes hard to read and write as the expressions get larger. We need a printer and a parser to go back and forth between the abstract syntax and the *concrete syntax*, which in the case of expressions is the familiar algebraic notation (e.g.  $2x+1$ ).

For the printing function, we take into account the usual precedence rules (i.e.  $*$  binds tighter than  $+$ ) to avoid printing unnecessary parentheses. To this end, we maintain the current operator precedence and print parentheses around an operator only if its precedence is less than the current precedence.

```

# let print_expr exp =
  (* Local function definitions *)
  let open_paren prec op_prec =
    if prec > op_prec then print_string "(" in
  let close_paren prec op_prec =
    if prec > op_prec then print_string ")" in
  let rec print prec exp =      (* prec is the current precedence *)
    match exp with
    | Const c -> print_float c
    | Var v -> print_string v
    | Sum(f, g) ->
      open_paren prec 0;
      print 0 f; print_string " + "; print 0 g;
      close_paren prec 0
    | Diff(f, g) ->
      open_paren prec 0;
      print 0 f; print_string " - "; print 1 g;
      close_paren prec 0
    | Prod(f, g) ->
      open_paren prec 2;
      print 2 f; print_string " * "; print 2 g;
      close_paren prec 2
    | Quot(f, g) ->
      open_paren prec 2;
      print 2 f; print_string " / "; print 3 g;
      close_paren prec 2
  in print 0 exp;;

```



```

val print_expr : expression -> unit = <fun>

# let e = Sum(Prod(Const 2.0, Var "x"), Const 1.0);;
val e : expression = Sum (Prod (Const 2., Var "x"), Const 1.)

# print_expr e; print_newline ();;
2. * x + 1.
- : unit = ()

# print_expr (deriv e "x"); print_newline ();;
2. * 1. + 0. * x + 0.
- : unit = ()

```

## 1.10 Printf formats

There is a `printf` function in the `Printf`[\[28.43\]](#) module (see chapter 2) that allows you to make formatted output more concisely. It follows the behavior of the `printf` function from the C standard library. The `printf` function takes a format string that describes the desired output as a text interspersed with specifiers (for instance `%d`, `%f`). Next, the specifiers are substituted by the following arguments in their order of apparition in the format string:

```

# Printf.printf "%i + %i is an integer value, %F * %F is a float, %S\n"
  3 2 4.5 1. "this is a string";;
3 + 2 is an integer value, 4.5 * 1. is a float, "this is a string"
- : unit = ()

```

The OCaml type system checks that the type of the arguments and the specifiers are compatible. If you pass it an argument of a type that does not correspond to the format specifier, the compiler will display an error message:

```

# Printf.printf "Float value: %F" 42;;

Error: This expression has type int but an expression was expected of type
      float
Hint: Did you mean `42.'?

```

The `fprintf` function is like `printf` except that it takes an output channel as the first argument. The `%a` specifier can be useful to define custom printers (for custom types). For instance, we can create a printing template that converts an integer argument to signed decimal:

```

# let pp_int ppf n = Printf.fprintf ppf "%d" n;;
val pp_int : out_channel -> int -> unit = <fun>

# Printf.printf "Outputting an integer using a custom printer: %a " pp_int 42;;
Outputting an integer using a custom printer: 42 - : unit = ()

```

The advantage of those printers based on the `%a` specifier is that they can be composed together to create more complex printers step by step. We can define a combinator that can turn a printer for 'a type into a printer for 'a optional:

```
# let pp_option printer ppf = function
  | None -> Printf.fprintf ppf "None"
  | Some v -> Printf.fprintf ppf "Some(%a)" printer v;;
val pp_option :
  (out_channel -> 'a -> unit) -> out_channel -> 'a option -> unit = <fun>

# Printf.fprintf stdout
  "The current setting is %a. \nThere is only %a\n"
  (pp_option pp_int) (Some 3)
  (pp_option pp_int) None
;;
The current setting is Some(3).
There is only None
- : unit = ()
```

If the value of its argument is `None`, the printer returned by `pp_option printer` prints `None` otherwise it uses the provided printer to print `Some` .

Here is how to rewrite the pretty-printer using `fprintf`:

```
# let pp_expr ppf expr =
  let open_paren prec op_prec output =
    if prec > op_prec then Printf.fprintf output "%s" "(" in
  let close_paren prec op_prec output =
    if prec > op_prec then Printf.fprintf output "%s" ")" in
  let rec print prec ppf expr =
    match expr with
    | Const c -> Printf.fprintf ppf "%F" c
    | Var v -> Printf.fprintf ppf "%s" v
    | Sum(f, g) ->
      open_paren prec 0 ppf;
      Printf.fprintf ppf "%a + %a" (print 0) f (print 0) g;
      close_paren prec 0 ppf
    | Diff(f, g) ->
      open_paren prec 0 ppf;
      Printf.fprintf ppf "%a - %a" (print 0) f (print 1) g;
      close_paren prec 0 ppf
    | Prod(f, g) ->
      open_paren prec 2 ppf;
      Printf.fprintf ppf "%a * %a" (print 2) f (print 2) g;
      close_paren prec 2 ppf
    | Quot(f, g) ->
      open_paren prec 2 ppf;
      Printf.fprintf ppf "%a / %a" (print 2) f (print 3) g;
      close_paren prec 2 ppf
  in print 0 ppf expr;;
val pp_expr : out_channel -> expression -> unit = <fun>
```

```
# pp_expr stdout e; print_newline ();;
2. * x + 1.
- : unit = ()

# pp_expr stdout (deriv e "x"); print_newline ();;
2. * 1. + 0. * x + 0.
- : unit = ()
```

Due to the way that format strings are built, storing a format string requires an explicit type annotation:

```
# let str : _ format =
    "%i is an integer value, %F is a float, %S\n";;

# Printf.printf str 3 4.5 "string value";;
3 is an integer value, 4.5 is a float, "string value"
- : unit = ()
```

## 1.11 Standalone OCaml programs

All examples given so far were executed under the interactive system. OCaml code can also be compiled separately and executed non-interactively using the batch compilers `ocamlc` and `ocamlopt`. The source code must be put in a file with extension `.ml`. It consists of a sequence of phrases, which will be evaluated at runtime in their order of appearance in the source file. Unlike in interactive mode, types and values are not printed automatically; the program must call printing functions explicitly to produce some output. The `;;` used in the interactive examples is not required in source files created for use with OCaml compilers, but can be helpful to mark the end of a top-level expression unambiguously even when there are syntax errors. Here is a sample standalone program to print the greatest common divisor (gcd) of two numbers:

```
(* File gcd.ml *)
let rec gcd a b =
  if b = 0 then a
  else gcd b (a mod b);;

let main () =
  let a = int_of_string Sys.argv.(1) in
  let b = int_of_string Sys.argv.(2) in
  Printf.printf "%d\n" (gcd a b);
  exit 0;;
main ();;
```

`Sys.argv` is an array of strings containing the command-line parameters. `Sys.argv.(1)` is thus the first command-line parameter. The program above is compiled and executed with the following shell commands:

```
$ ocamlc -o gcd gcd.ml
$ ./gcd 6 9
```

34

3

```
$ ./gcd 7 11
```

1

More complex standalone OCaml programs are typically composed of multiple source files, and can link with precompiled libraries. Chapters [13](#) and [16](#) explain how to use the batch compilers `ocamlc` and `ocamlopt`. Recompilation of multi-file OCaml projects can be automated using third-party build systems, such as [dune](#).

## Chapter 2

# The module system

This chapter introduces the module system of OCaml.

### 2.1 Structures

A primary motivation for modules is to package together related definitions (such as the definitions of a data type and associated operations over that type) and enforce a consistent naming scheme for these definitions. This avoids running out of names or accidentally confusing names. Such a package is called a *structure* and is introduced by the `struct...end` construct, which contains an arbitrary sequence of definitions. The structure is usually given a name with the `module` binding. For instance, here is a structure packaging together a type of FIFO queues and their operations:

```
# module Fifo =
  struct
    type 'a queue = { front: 'a list; rear: 'a list }
    let make front rear =
      match front with
      | [] -> { front = List.rev rear; rear = [] }
      | _ -> { front; rear }
    let empty = { front = []; rear = [] }
    let is_empty = function { front = []; _ } -> true | _ -> false
    let add x q = make q.front (x :: q.rear)
    exception Empty
    let top = function
      | { front = []; _ } -> raise Empty
      | { front = x :: _; _ } -> x
    let pop = function
      | { front = []; _ } -> raise Empty
      | { front = _ :: f; rear = r } -> make f r
  end;;

module Fifo :
  sig
    type 'a queue = { front : 'a list; rear : 'a list; }
```

```

    val make : 'a list -> 'a list -> 'a queue
    val empty : 'a queue
    val is_empty : 'a queue -> bool
    val add : 'a -> 'a queue -> 'a queue
    exception Empty
    val top : 'a queue -> 'a
    val pop : 'a queue -> 'a queue
end

```

Outside the structure, its components can be referred to using the “dot notation”, that is, identifiers qualified by a structure name. For instance, `Fifo.add` is the function `add` defined inside the structure `Fifo` and `Fifo.queue` is the type `queue` defined in `Fifo`.

```

# Fifo.add "hello" Fifo.empty;;
- : string Fifo.queue = {Fifo.front = ["hello"]; rear = []}

```

Another possibility is to open the module, which brings all identifiers defined inside the module into the scope of the current structure.

```

# open Fifo;;
# add "hello" empty;;
- : string Fifo.queue = {front = ["hello"]; rear = []}

```

Opening a module enables lighter access to its components, at the cost of making it harder to identify in which module an identifier has been defined. In particular, opened modules can shadow identifiers present in the current scope, potentially leading to confusing errors:

```

# let empty = []
  open Fifo;;
val empty : 'a list = []

# let x = 1 :: empty ;;
Error: This expression has type 'a Fifo.queue
      but an expression was expected of type int list

```

A partial solution to this conundrum is to open modules locally, making the components of the module available only in the concerned expression. This can also make the code both easier to read (since the open statement is closer to where it is used) and easier to refactor (since the code fragment is more self-contained). Two constructions are available for this purpose:

```

# let open Fifo in
  add "hello" empty;;
- : string Fifo.queue = {front = ["hello"]; rear = []}

and

# Fifo.(add "hello" empty);;
- : string Fifo.queue = {front = ["hello"]; rear = []}

```

In the second form, when the body of a local open is itself delimited by parentheses, braces or bracket, the parentheses of the local open can be omitted. For instance,

```
# Fifo.[empty] = Fifo.([empty]);;
- : bool = true

# Fifo.[|empty|] = Fifo.([|empty|]);;
- : bool = true

# Fifo.{ contents = empty } = Fifo.({ contents = empty });;
- : bool = true
```

This second form also works for patterns:

```
# let at_most_one_element x = match x with
| Fifo.{ front = ([_] | [_]); rear = [] } -> true
| _ -> false ;;
val at_most_one_element : 'a Fifo.queue -> bool = <fun>
```

It is also possible to copy the components of a module inside another module by using an `include` statement. This can be particularly useful to extend existing modules. As an illustration, we could add functions that return an optional value rather than an exception when the queue is empty.

```
# module FifoOpt =
struct
  include Fifo
  let top_opt q = if is_empty q then None else Some(top q)
  let pop_opt q = if is_empty q then None else Some(pop q)
end;;
module FifoOpt :
sig
  type 'a queue = 'a Fifo.queue = { front : 'a list; rear : 'a list; }
  val make : 'a list -> 'a list -> 'a queue
  val empty : 'a queue
  val is_empty : 'a queue -> bool
  val add : 'a -> 'a queue -> 'a queue
  exception Empty
  val top : 'a queue -> 'a
  val pop : 'a queue -> 'a queue
  val top_opt : 'a queue -> 'a option
  val pop_opt : 'a queue -> 'a queue option
end
```

## 2.2 Signatures

Signatures are interfaces for structures. A signature specifies which components of a structure are accessible from the outside, and with which type. It can be used to hide some components of a structure (e.g. local function definitions) or export some components with a restricted type. For instance, the signature below specifies the queue operations `empty`, `add`, `top` and `pop`, but not the auxiliary function `make`. Similarly, it makes the `queue` type abstract (by not providing its actual

representation as a concrete type). This ensures that users of the `Fifo` module cannot violate data structure invariants that operations rely on, such as “if the front list is empty, the rear list must also be empty”.

```
# module type FIFO =
  sig
    type 'a queue          (* now an abstract type *)
    val empty : 'a queue
    val add : 'a -> 'a queue -> 'a queue
    val top : 'a queue -> 'a
    val pop : 'a queue -> 'a queue
    exception Empty
  end;;

module type FIFO =
  sig
    type 'a queue
    val empty : 'a queue
    val add : 'a -> 'a queue -> 'a queue
    val top : 'a queue -> 'a
    val pop : 'a queue -> 'a queue
    exception Empty
  end
```

Restricting the `Fifo` structure to this signature results in another view of the `Fifo` structure where the `make` function is not accessible and the actual representation of queues is hidden:

```
# module AbstractQueue = (Fifo : FIFO);;
module AbstractQueue : FIFO

# AbstractQueue.make [1] [2;3] ;;

Error: Unbound value AbstractQueue.make

# AbstractQueue.add "hello" AbstractQueue.empty;;
- : string AbstractQueue.queue = <abstr>
```

The restriction can also be performed during the definition of the structure, as in

```
module Fifo = (struct ... end : FIFO);;
```

An alternate syntax is provided for the above:

```
module Fifo : FIFO = struct ... end;;
```

Like for modules, it is possible to include a signature to copy its components inside the current signature. For instance, we can extend the `FIFO` signature with the `top_opt` and `pop_opt` functions:

```
# module type FIFO_WITH_OPT =
  sig
    include FIFO
    val top_opt: 'a queue -> 'a option
    val pop_opt: 'a queue -> 'a queue option
  end;;
```



```

module type FIFO_WITH_OPT =
  sig
    type 'a queue
    val empty : 'a queue
    val add : 'a -> 'a queue -> 'a queue
    val top : 'a queue -> 'a
    val pop : 'a queue -> 'a queue
    exception Empty
    val top_opt : 'a queue -> 'a option
    val pop_opt : 'a queue -> 'a queue option
  end

```

## 2.3 Functors

Functors are “functions” from modules to modules. Functors let you create parameterized modules and then provide other modules as parameter(s) to get a specific implementation. For instance, a `Set` module implementing sets as sorted lists could be parameterized to work with any module that provides an element type and a comparison function `compare` (such as `OrderedString`):

```

# type comparison = Less | Equal | Greater;;
type comparison = Less | Equal | Greater

# module type ORDERED_TYPE =
  sig
    type t
    val compare: t -> t -> comparison
  end;;

module type ORDERED_TYPE = sig type t val compare : t -> t -> comparison end

# module Set =
  functor (Elt: ORDERED_TYPE) ->
    struct
      type element = Elt.t
      type set = element list
      let empty = []
      let rec add x s =
        match s with
        [] -> [x]
      | hd::tl ->
          match Elt.compare x hd with
          Equal   -> s                (* x is already in s *)
        | Less    -> x :: s            (* x is smaller than all elements of s *)
        | Greater -> hd :: add x tl
      let rec member x s =
        match s with
        [] -> false

```

```

    | hd::tl ->
        match Elt.compare x hd with
        | Equal   -> true      (* x belongs to s *)
        | Less    -> false     (* x is smaller than all elements of s *)
        | Greater -> member x tl
    end;;
module Set :
  functor (Elt : ORDERED_TYPE) ->
    sig
      type element = Elt.t
      type set = element list
      val empty : 'a list
      val add : Elt.t -> Elt.t list -> Elt.t list
      val member : Elt.t -> Elt.t list -> bool
    end
end

```

By applying the `Set` functor to a structure implementing an ordered type, we obtain set operations for this type:

```

# module OrderedString =
  struct
    type t = string
    let compare x y = if x = y then Equal else if x < y then Less else Greater
  end;;
module OrderedString :
  sig type t = string val compare : 'a -> 'a -> comparison end

# module StringSet = Set(OrderedString);;
module StringSet :
  sig
    type element = OrderedString.t
    type set = element list
    val empty : 'a list
    val add : OrderedString.t -> OrderedString.t list -> OrderedString.t list
    val member : OrderedString.t -> OrderedString.t list -> bool
  end

# StringSet.member "bar" (StringSet.add "foo" StringSet.empty);;
- : bool = false

```

## 2.4 Functors and type abstraction

As in the `Fifo` example, it would be good style to hide the actual implementation of the type `set`, so that users of the structure will not rely on sets being lists, and we can switch later to another, more efficient representation of sets without breaking their code. This can be achieved by restricting `Set` by a suitable functor signature:

```

# module type SETFUNCTOR =

```

```

functor (Elt: ORDERED_TYPE) ->
  sig
    type element = Elt.t          (* concrete *)
    type set       (* abstract *)
    val empty : set
    val add : element -> set -> set
    val member : element -> set -> bool
  end;;

module type SETFUNCTOR =
  functor (Elt : ORDERED_TYPE) ->
    sig
      type element = Elt.t
      type set
      val empty : set
      val add : element -> set -> set
      val member : element -> set -> bool
    end

# module AbstractSet = (Set : SETFUNCTOR);;
module AbstractSet : SETFUNCTOR

# module AbstractStringSet = AbstractSet(OrderedString);;
module AbstractStringSet :
  sig
    type element = OrderedString.t
    type set = AbstractSet(OrderedString).set
    val empty : set
    val add : element -> set -> set
    val member : element -> set -> bool
  end

# AbstractStringSet.add "gee" AbstractStringSet.empty;;
- : AbstractStringSet.set = <abstr>

```

In an attempt to write the type constraint above more elegantly, one may wish to name the signature of the structure returned by the functor, then use that signature in the constraint:

```

# module type SET =
  sig
    type element
    type set
    val empty : set
    val add : element -> set -> set
    val member : element -> set -> bool
  end;;

module type SET =
  sig
    type element
    type set

```

```

    val empty : set
    val add : element -> set -> set
    val member : element -> set -> bool
end

# module WrongSet = (Set : functor(Elt: ORDERED_TYPE) -> SET);;
module WrongSet : functor (Elt : ORDERED_TYPE) -> SET

# module WrongStringSet = WrongSet(OrderedString);;
module WrongStringSet :
sig
  type element = WrongSet(OrderedString).element
  type set = WrongSet(OrderedString).set
  val empty : set
  val add : element -> set -> set
  val member : element -> set -> bool
end

# WrongStringSet.add "gee" WrongStringSet.empty ;;
Error: This expression has type string but an expression was expected of type
      WrongStringSet.element = WrongSet(OrderedString).element

```

The problem here is that SET specifies the type `element` abstractly, so that the type equality between `element` in the result of the functor and `t` in its argument is forgotten. Consequently, `WrongStringSet.element` is not the same type as `string`, and the operations of `WrongStringSet` cannot be applied to strings. As demonstrated above, it is important that the type `element` in the signature SET be declared equal to `Elt.t`; unfortunately, this is impossible above since SET is defined in a context where `Elt` does not exist. To overcome this difficulty, OCaml provides a `with type` construct over signatures that allows enriching a signature with extra type equalities:

```

# module AbstractSet2 =
  (Set : functor(Elt: ORDERED_TYPE) -> (SET with type element = Elt.t));;
module AbstractSet2 :
  functor (Elt : ORDERED_TYPE) ->
    sig
      type element = Elt.t
      type set
      val empty : set
      val add : element -> set -> set
      val member : element -> set -> bool
    end

```

As in the case of simple structures, an alternate syntax is provided for defining functors and restricting their result:

```

module AbstractSet2(Elt: ORDERED_TYPE) : (SET with type element = Elt.t) =
  struct ... end;;

```

Abstracting a type component in a functor result is a powerful technique that provides a high degree of type safety, as we now illustrate. Consider an ordering over character strings that is

different from the standard ordering implemented in the `OrderedString` structure. For instance, we compare strings without distinguishing upper and lower case.

```
# module NoCaseString =
  struct
    type t = string
    let compare s1 s2 =
      OrderedString.compare (String.lowercase_ascii s1) (String.lowercase_ascii s2)
    end;;
module NoCaseString :
  sig type t = string val compare : string -> string -> comparison end

# module NoCaseStringSet = AbstractSet(NoCaseString);;
module NoCaseStringSet :
  sig
    type element = NoCaseString.t
    type set = AbstractSet(NoCaseString).set
    val empty : set
    val add : element -> set -> set
    val member : element -> set -> bool
  end

# NoCaseStringSet.add "FOO" AbstractStringSet.empty ;;
Error: This expression has type
  AbstractStringSet.set = AbstractSet(OrderedString).set
but an expression was expected of type
  NoCaseStringSet.set = AbstractSet(NoCaseString).set
```

Note that the two types `AbstractStringSet.set` and `NoCaseStringSet.set` are not compatible, and values of these two types do not match. This is the correct behavior: even though both set types contain elements of the same type (strings), they are built upon different orderings of that type, and different invariants need to be maintained by the operations (being strictly increasing for the standard ordering and for the case-insensitive ordering). Applying operations from `AbstractStringSet` to values of type `NoCaseStringSet.set` could give incorrect results, or build lists that violate the invariants of `NoCaseStringSet`.

## 2.5 Modules and separate compilation

All examples of modules so far have been given in the context of the interactive system. However, modules are most useful for large, batch-compiled programs. For these programs, it is a practical necessity to split the source into several files, called compilation units, that can be compiled separately, thus minimizing recompilation after changes.

In OCaml, compilation units are special cases of structures and signatures, and the relationship between the units can be explained easily in terms of the module system. A compilation unit *A* comprises two files:

- the implementation file *A.ml*, which contains a sequence of definitions, analogous to the inside of a `struct...end` construct;

- the interface file *A.mli*, which contains a sequence of specifications, analogous to the inside of a `sig...end` construct.

These two files together define a structure named *A* as if the following definition was entered at top-level:

```
module A: sig (* contents of file A.mli *) end
  = struct (* contents of file A.ml *) end;;
```

The files that define the compilation units can be compiled separately using the `ocamlc -c` command (the `-c` option means “compile only, do not try to link”); this produces compiled interface files (with extension `.cmi`) and compiled object code files (with extension `.cmo`). When all units have been compiled, their `.cmo` files are linked together using the `ocamlc` command. For instance, the following commands compile and link a program composed of two compilation units *Aux* and *Main*:

```
$ ocamlc -c Aux.mli                # produces aux.cmi
$ ocamlc -c Aux.ml                 # produces aux.cmo
$ ocamlc -c Main.mli              # produces main.cmi
$ ocamlc -c Main.ml               # produces main.cmo
$ ocamlc -o theprogram Aux.cmo Main.cmo
```

The program behaves exactly as if the following phrases were entered at top-level:

```
module Aux: sig (* contents of Aux.mli *) end
  = struct (* contents of Aux.ml *) end;;
module Main: sig (* contents of Main.mli *) end
  = struct (* contents of Main.ml *) end;;
```

In particular, *Main* can refer to *Aux*: the definitions and declarations contained in *Main.ml* and *Main.mli* can refer to definition in *Aux.ml*, using the *Aux.ident* notation, provided these definitions are exported in *Aux.mli*.

The order in which the `.cmo` files are given to `ocamlc` during the linking phase determines the order in which the module definitions occur. Hence, in the example above, *Aux* appears first and *Main* can refer to it, but *Aux* cannot refer to *Main*.

Note that only top-level structures can be mapped to separately-compiled files, but neither functors nor module types. However, all module-class objects can appear as components of a structure, so the solution is to put the functor or module type inside a structure, which can then be mapped to a file.

## Chapter 3

# Objects in OCaml

*(Chapter written by Jérôme Vouillon, Didier Rémy and Jacques Garrigue)*

This chapter gives an overview of the object-oriented features of OCaml.

Note that the relationship between object, class and type in OCaml is different than in mainstream object-oriented languages such as Java and C++, so you shouldn't assume that similar keywords mean the same thing. Object-oriented features are used much less frequently in OCaml than in those languages. OCaml has alternatives that are often more appropriate, such as modules and functors. Indeed, many OCaml programs do not use objects at all.

### 3.1 Classes and objects

The class `point` below defines one instance variable `x` and two methods `get_x` and `move`. The initial value of the instance variable is 0. The variable `x` is declared mutable, so the method `move` can change its value.

```
# class point =  
  object  
    val mutable x = 0  
    method get_x = x  
    method move d = x <- x + d  
  end;;  
class point :  
  object val mutable x : int method get_x : int method move : int -> unit end
```

We now create a new point `p`, instance of the `point` class.

```
# let p = new point;;  
val p : point = <obj>
```

Note that the type of `p` is `point`. This is an abbreviation automatically defined by the class definition above. It stands for the object type `<get_x : int; move : int -> unit>`, listing the methods of class `point` along with their types.

We now invoke some methods of `p`:

```
# p#get_x;;
```

```

- : int = 0

# p#move 3;;
- : unit = ()

# p#get_x;;
- : int = 3

```

The evaluation of the body of a class only takes place at object creation time. Therefore, in the following example, the instance variable `x` is initialized to different values for two different objects.

```

# let x0 = ref 0;;
val x0 : int ref = {contents = 0}

# class point =
  object
    val mutable x = incr x0; !x0
    method get_x = x
    method move d = x <- x + d
  end;;
class point :
  object val mutable x : int method get_x : int method move : int -> unit end

# new point#get_x;;
- : int = 1

# new point#get_x;;
- : int = 2

```

The class `point` can also be abstracted over the initial values of the `x` coordinate.

```

# class point = fun x_init ->
  object
    val mutable x = x_init
    method get_x = x
    method move d = x <- x + d
  end;;
class point :
  int ->
  object val mutable x : int method get_x : int method move : int -> unit end

```

Like in function definitions, the definition above can be abbreviated as:

```

# class point x_init =
  object
    val mutable x = x_init
    method get_x = x
    method move d = x <- x + d
  end;;

```



```
class point :
  int ->
  object val mutable x : int method get_x : int method move : int -> unit end
```

An instance of the class `point` is now a function that expects an initial parameter to create a point object:

```
# new point;;
- : int -> point = <fun>

# let p = new point 7;;
val p : point = <obj>
```

The parameter `x_init` is, of course, visible in the whole body of the definition, including methods. For instance, the method `get_offset` in the class below returns the position of the object relative to its initial position.

```
# class point x_init =
  object
    val mutable x = x_init
    method get_x = x
    method get_offset = x - x_init
    method move d = x <- x + d
  end;;

class point :
  int ->
  object
    val mutable x : int
    method get_offset : int
    method get_x : int
    method move : int -> unit
  end
```

Expressions can be evaluated and bound before defining the object body of the class. This is useful to enforce invariants. For instance, points can be automatically adjusted to the nearest point on a grid, as follows:

```
# class adjusted_point x_init =
  let origin = (x_init / 10) * 10 in
  object
    val mutable x = origin
    method get_x = x
    method get_offset = x - origin
    method move d = x <- x + d
  end;;

class adjusted_point :
  int ->
  object
    val mutable x : int
    method get_offset : int
```

```

    method get_x : int
    method move : int -> unit
end

```

(One could also raise an exception if the `x_init` coordinate is not on the grid.) In fact, the same effect could be obtained here by calling the definition of class `point` with the value of the `origin`.

```

# class adjusted_point x_init = point ((x_init / 10) * 10);;
class adjusted_point : int -> point

```

An alternate solution would have been to define the adjustment in a special allocation function:

```

# let new_adjusted_point x_init = new point ((x_init / 10) * 10);;
val new_adjusted_point : int -> point = <fun>

```

However, the former pattern is generally more appropriate, since the code for adjustment is part of the definition of the class and will be inherited.

This ability provides class constructors as can be found in other languages. Several constructors can be defined this way to build objects of the same class but with different initialization patterns; an alternative is to use initializers, as described below in section 3.4.

## 3.2 Immediate objects

There is another, more direct way to create an object: create it without going through a class.

The syntax is exactly the same as for class expressions, but the result is a single object rather than a class. All the constructs described in the rest of this section also apply to immediate objects.

```

# let p =
  object
    val mutable x = 0
    method get_x = x
    method move d = x <- x + d
  end;;
val p : < get_x : int; move : int -> unit > = <obj>

# p#get_x;;
- : int = 0

# p#move 3;;
- : unit = ()

# p#get_x;;
- : int = 3

```

Unlike classes, which cannot be defined inside an expression, immediate objects can appear anywhere, using variables from their environment.

```

# let minmax x y =
  if x < y then object method min = x method max = y end
  else object method min = y method max = x end;;

```

```
val minmax : 'a -> 'a -> < max : 'a; min : 'a > = <fun>
```

Immediate objects have two weaknesses compared to classes: their types are not abbreviated, and you cannot inherit from them. But these two weaknesses can be advantages in some situations, as we will see in sections 3.3 and 3.10.

### 3.3 Reference to self

A method or an initializer can invoke methods on `self` (that is, the current object). For that, `self` must be explicitly bound, here to the variable `s` (`s` could be any identifier, even though we will often choose the name `self`.)

```
# class printable_point x_init =
  object (s)
    val mutable x = x_init
    method get_x = x
    method move d = x <- x + d
    method print = print_int s#get_x
  end;;

class printable_point :
  int ->
  object
    val mutable x : int
    method get_x : int
    method move : int -> unit
    method print : unit
  end

# let p = new printable_point 7;;
val p : printable_point = <obj>

# p#print;;
7- : unit = ()
```

Dynamically, the variable `s` is bound at the invocation of a method. In particular, when the class `printable_point` is inherited, the variable `s` will be correctly bound to the object of the subclass.

A common problem with `self` is that, as its type may be extended in subclasses, you cannot fix it in advance. Here is a simple example.

```
# let ints = ref [];;
val ints : '_weak1 list ref = {contents = []}

# class my_int =
  object (self)
    method n = 1
    method register = ints := self :: !ints
  end ;;
```

```
Error: This expression has type < n : int; register : 'a; .. >
      but an expression was expected of type 'weak1
      Self type cannot escape its class
```

You can ignore the first two lines of the error message. What matters is the last one: putting self into an external reference would make it impossible to extend it through inheritance. We will see in [section 3.12](#) a workaround to this problem. Note however that, since immediate objects are not extensible, the problem does not occur with them.

```
# let my_int =
  object (self)
    method n = 1
    method register = ints := self :: !ints
  end;;
val my_int : < n : int; register : unit > = <obj>
```

### 3.4 Initializers

Let-bindings within class definitions are evaluated before the object is constructed. It is also possible to evaluate an expression immediately after the object has been built. Such code is written as an anonymous hidden method called an initializer. Therefore, it can access self and the instance variables.

```
# class printable_point x_init =
  let origin = (x_init / 10) * 10 in
  object (self)
    val mutable x = origin
    method get_x = x
    method move d = x <- x + d
    method print = print_int self#get_x
    initializer print_string "new point at "; self#print; print_newline ()
  end;;
class printable_point :
  int ->
  object
    val mutable x : int
    method get_x : int
    method move : int -> unit
    method print : unit
  end

# let p = new printable_point 17;;
new point at 10
val p : printable_point = <obj>
```

Initializers cannot be overridden. On the contrary, all initializers are evaluated sequentially. Initializers are particularly useful to enforce invariants. Another example can be seen in [section 8.1](#).

### 3.5 Virtual methods

It is possible to declare a method without actually defining it, using the keyword `virtual`. This method will be provided later in subclasses. A class containing virtual methods must be flagged `virtual`, and cannot be instantiated (that is, no object of this class can be created). It still defines type abbreviations (treating virtual methods as other methods.)

```
# class virtual abstract_point x_init =
  object (self)
    method virtual get_x : int
    method get_offset = self#get_x - x_init
    method virtual move : int -> unit
  end;;

class virtual abstract_point :
  int ->
  object
    method get_offset : int
    method virtual get_x : int
    method virtual move : int -> unit
  end

# class point x_init =
  object
    inherit abstract_point x_init
    val mutable x = x_init
    method get_x = x
    method move d = x <- x + d
  end;;

class point :
  int ->
  object
    val mutable x : int
    method get_offset : int
    method get_x : int
    method move : int -> unit
  end

Instance variables can also be declared as virtual, with the same effect as with methods.

# class virtual abstract_point2 =
  object
    val mutable virtual x : int
    method move d = x <- x + d
  end;;

class virtual abstract_point2 :
  object val mutable virtual x : int method move : int -> unit end

# class point2 x_init =
  object
```

```

    inherit abstract_point2
    val mutable x = x_init
    method get_offset = x - x_init
end;;
class point2 :
  int ->
  object
    val mutable x : int
    method get_offset : int
    method move : int -> unit
  end

```

### 3.6 Private methods

Private methods are methods that do not appear in object interfaces. They can only be invoked from other methods of the same object.

```

# class restricted_point x_init =
  object (self)
    val mutable x = x_init
    method get_x = x
    method private move d = x <- x + d
    method bump = self#move 1
  end;;
class restricted_point :
  int ->
  object
    val mutable x : int
    method bump : unit
    method get_x : int
    method private move : int -> unit
  end

# let p = new restricted_point 0;;
val p : restricted_point = <obj>

# p#move 10 ;;

Error: This expression has type restricted_point
      It has no method move

# p#bump;;
- : unit = ()

```

Note that this is not the same thing as private and protected methods in Java or C++, which can be called from other objects of the same class. This is a direct consequence of the independence between types and classes in OCaml: two unrelated classes may produce objects of the same type, and there is no way at the type level to ensure that an object comes from a specific class. However a possible encoding of friend methods is given in section [3.17](#).

Private methods are inherited (they are by default visible in subclasses), unless they are hidden by signature matching, as described below.

Private methods can be made public in a subclass.

```
# class point_again x =
  object (self)
    inherit restricted_point x
    method virtual move : _
  end;;

class point_again :
  int ->
  object
    val mutable x : int
    method bump : unit
    method get_x : int
    method move : int -> unit
  end
```

The annotation `virtual` here is only used to mention a method without providing its definition. Since we didn't add the `private` annotation, this makes the method public, keeping the original definition.

An alternative definition is

```
# class point_again x =
  object (self : < move : _; ..> )
    inherit restricted_point x
  end;;

class point_again :
  int ->
  object
    val mutable x : int
    method bump : unit
    method get_x : int
    method move : int -> unit
  end
```

The constraint on `self`'s type is requiring a public `move` method, and this is sufficient to override `private`.

One could think that a private method should remain private in a subclass. However, since the method is visible in a subclass, it is always possible to pick its code and define a method of the same name that runs that code, so yet another (heavier) solution would be:

```
# class point_again x =
  object
    inherit restricted_point x as super
    method move = super#move
  end;;

class point_again :
  int ->
  object
```

```

    val mutable x : int
    method bump : unit
    method get_x : int
    method move : int -> unit
end

```

Of course, private methods can also be virtual. Then, the keywords must appear in this order: `method private virtual`.

### 3.7 Class interfaces

Class interfaces are inferred from class definitions. They may also be defined directly and used to restrict the type of a class. Like class declarations, they also define a new type abbreviation.

```

# class type restricted_point_type =
  object
    method get_x : int
    method bump : unit
  end;;
class type restricted_point_type =
  object method bump : unit method get_x : int end

# fun (x : restricted_point_type) -> x;;
- : restricted_point_type -> restricted_point_type = <fun>

```

In addition to program documentation, class interfaces can be used to constrain the type of a class. Both concrete instance variables and concrete private methods can be hidden by a class type constraint. Public methods and virtual members, however, cannot.

```

# class restricted_point' x = (restricted_point x : restricted_point_type);;
class restricted_point' : int -> restricted_point_type

```

Or, equivalently:

```

# class restricted_point' = (restricted_point : int -> restricted_point_type);;
class restricted_point' : int -> restricted_point_type

```

The interface of a class can also be specified in a module signature, and used to restrict the inferred signature of a module.

```

# module type POINT = sig
  class restricted_point' : int ->
    object
      method get_x : int
      method bump : unit
    end
end;;
module type POINT =
  sig
    class restricted_point' :

```



```

    int -> object method bump : unit method get_x : int end
  end

# module Point : POINT = struct
  class restricted_point' = restricted_point
end;;
module Point : POINT

```

### 3.8 Inheritance

We illustrate inheritance by defining a class of colored points that inherits from the class of points. This class has all instance variables and all methods of class `point`, plus a new instance variable `c` and a new method `color`.

```

# class colored_point x (c : string) =
  object
    inherit point x
    val c = c
    method color = c
  end;;
class colored_point :
  int ->
  string ->
  object
    val c : string
    val mutable x : int
    method color : string
    method get_offset : int
    method get_x : int
    method move : int -> unit
  end

# let p' = new colored_point 5 "red";;
val p' : colored_point = <obj>

# p'#get_x, p'#color;;
- : int * string = (5, "red")

```

A point and a colored point have incompatible types, since a point has no method `color`. However, the function `get_x` below is a generic function applying method `get_x` to any object `p` that has this method (and possibly some others, which are represented by an ellipsis in the type). Thus, it applies to both points and colored points.

```

# let get_succ_x p = p#get_x + 1;;
val get_succ_x : < get_x : int; .. > -> int = <fun>

# get_succ_x p + get_succ_x p';;
- : int = 8

```

Methods need not be declared previously, as shown by the example:

```
# let set_x p = p#set_x;;
val set_x : < set_x : 'a; .. > -> 'a = <fun>

# let incr p = set_x p (get_succ_x p);;
val incr : < get_x : int; set_x : int -> 'a; .. > -> 'a = <fun>
```

### 3.9 Multiple inheritance

Multiple inheritance is allowed. Only the last definition of a method is kept: the redefinition in a subclass of a method that was visible in the parent class overrides the definition in the parent class. Previous definitions of a method can be reused by binding the related ancestor. Below, `super` is bound to the ancestor `printable_point`. The name `super` is a pseudo value identifier that can only be used to invoke a super-class method, as in `super#print`.

```
# class printable_colored_point y c =
  object (self)
    val c = c
    method color = c
    inherit printable_point y as super
    method! print =
      print_string "(";
      super#print;
      print_string ", ";
      print_string (self#color);
      print_string ")"
  end;;

class printable_colored_point :
  int ->
  string ->
  object
    val c : string
    val mutable x : int
    method color : string
    method get_x : int
    method move : int -> unit
    method print : unit
  end

# let p' = new printable_colored_point 17 "red";;
new point at (10, red)
val p' : printable_colored_point = <obj>

# p'#print;;
(10, red)- : unit = ()
```

A private method that has been hidden in the parent class is no longer visible, and is thus not overridden. Since initializers are treated as private methods, all initializers along the class hierarchy are evaluated, in the order they are introduced.

Note that for clarity's sake, the method `print` is explicitly marked as overriding another definition by annotating the `method` keyword with an exclamation mark `!`. If the method `print` were not overriding the `print` method of `printable_point`, the compiler would raise an error:

```
# object
  method! m = ()
end;;

Error: The method `m' has no previous definition
```

This explicit overriding annotation also works for `val` and `inherit`:

```
# class another_printable_colored_point y c c' =
  object (self)
    inherit printable_point y
    inherit! printable_colored_point y c
    val! c = c'
  end;;

class another_printable_colored_point :
  int ->
  string ->
  string ->
  object
    val c : string
    val mutable x : int
    method color : string
    method get_x : int
    method move : int -> unit
    method print : unit
  end
```

### 3.10 Parameterized classes

Reference cells can be implemented as objects. The naive definition fails to typecheck:

```
# class oref x_init =
  object
    val mutable x = x_init
    method get = x
    method set y = x <- y
  end;;

Error: Some type variables are unbound in this type:
  class oref :
    'a ->
    object
      val mutable x : 'a
```

```

        method get : 'a
        method set : 'a -> unit
    end
    The method get has type 'a where 'a is unbound

```

The reason is that at least one of the methods has a polymorphic type (here, the type of the value stored in the reference cell), thus either the class should be parametric, or the method type should be constrained to a monomorphic type. A monomorphic instance of the class could be defined by:

```

# class oref (x_init:int) =
  object
    val mutable x = x_init
    method get = x
    method set y = x <- y
  end;;
class oref :
  int ->
  object val mutable x : int method get : int method set : int -> unit end

```

Note that since immediate objects do not define a class type, they have no such restriction.

```

# let new_oref x_init =
  object
    val mutable x = x_init
    method get = x
    method set y = x <- y
  end;;
val new_oref : 'a -> < get : 'a; set : 'a -> unit > = <fun>

```

On the other hand, a class for polymorphic references must explicitly list the type parameters in its declaration. Class type parameters are listed between [ and ]. The type parameters must also be bound somewhere in the class body by a type constraint.

```

# class ['a] oref x_init =
  object
    val mutable x = (x_init : 'a)
    method get = x
    method set y = x <- y
  end;;
class ['a] oref :
  'a -> object val mutable x : 'a method get : 'a method set : 'a -> unit end

# let r = new oref 1 in r#set 2; (r#get);;
- : int = 2

```

The type parameter in the declaration may actually be constrained in the body of the class definition. In the class type, the actual value of the type parameter is displayed in the `constraint` clause.

```

# class ['a] oref_succ (x_init:'a) =
  object

```

```

    val mutable x = x_init + 1
    method get = x
    method set y = x <- y
end;;
class ['a] oref_succ :
  'a ->
  object
    constraint 'a = int
    val mutable x : int
    method get : int
    method set : int -> unit
  end

```

Let us consider a more complex example: define a circle, whose center may be any kind of point. We put an additional type constraint in method `move`, since no free variables must remain unaccounted for by the class type parameters.

```

# class ['a] circle (c : 'a) =
  object
    val mutable center = c
    method center = center
    method set_center c = center <- c
    method move = (center#move : int -> unit)
  end;;
class ['a] circle :
  'a ->
  object
    constraint 'a = < move : int -> unit; .. >
    val mutable center : 'a
    method center : 'a
    method move : int -> unit
    method set_center : 'a -> unit
  end

```

An alternate definition of `circle`, using a `constraint` clause in the class definition, is shown below. The type `#point` used below in the `constraint` clause is an abbreviation produced by the definition of class `point`. This abbreviation unifies with the type of any object belonging to a subclass of class `point`. It actually expands to `< get_x : int; move : int -> unit; .. >`. This leads to the following alternate definition of `circle`, which has slightly stronger constraints on its argument, as we now expect `center` to have a method `get_x`.

```

# class ['a] circle (c : 'a) =
  object
    constraint 'a = #point
    val mutable center = c
    method center = center
    method set_center c = center <- c
    method move = center#move
  end;;

```

```

class ['a] circle :
  'a ->
  object
    constraint 'a = #point
    val mutable center : 'a
    method center : 'a
    method move : int -> unit
    method set_center : 'a -> unit
  end

```

The class `colored_circle` is a specialized version of class `circle` that requires the type of the center to unify with `#colored_point`, and adds a method `color`. Note that when specializing a parameterized class, the instance of type parameter must always be explicitly given. It is again written between `[` and `]`.

```

# class ['a] colored_circle c =
  object
    constraint 'a = #colored_point
    inherit ['a] circle c
    method color = center#color
  end;;
class ['a] colored_circle :
  'a ->
  object
    constraint 'a = #colored_point
    val mutable center : 'a
    method center : 'a
    method color : string
    method move : int -> unit
    method set_center : 'a -> unit
  end

```

### 3.11 Polymorphic methods

While parameterized classes may be polymorphic in their contents, they are not enough to allow polymorphism of method use.

A classical example is defining an iterator.

```

# List.fold_left;;
- : ('acc -> 'a -> 'acc) -> 'acc -> 'a list -> 'acc = <fun>

# class ['a] intlist (l : int list) =
  object
    method empty = (l = [])
    method fold f (accu : 'a) = List.fold_left f accu l
  end;;
class ['a] intlist :
  int list ->
  object method empty : bool method fold : ('a -> int -> 'a) -> 'a -> 'a end

```

At first look, we seem to have a polymorphic iterator, however this does not work in practice.

```
# let l = new intlist [1; 2; 3];;
val l : '_weak2 intlist = <obj>

# l#fold (fun x y -> x+y) 0;;
- : int = 6

# l;;
- : int intlist = <obj>

# l#fold (fun s x -> s ^ Int.to_string x ^ " ") "" ;;
Error: This expression has type int but an expression was expected of type
      string
```

Our iterator works, as shows its first use for summation. However, since objects themselves are not polymorphic (only their constructors are), using the `fold` method fixes its type for this individual object. Our next attempt to use it as a string iterator fails.

The problem here is that quantification was wrongly located: it is not the class we want to be polymorphic, but the `fold` method. This can be achieved by giving an explicitly polymorphic type in the method definition.

```
# class intlist (l : int list) =
  object
    method empty = (l = [])
    method fold : 'a. ('a -> int -> 'a) -> 'a -> 'a =
      fun f accu -> List.fold_left f accu l
  end;;

class intlist :
  int list ->
  object method empty : bool method fold : ('a -> int -> 'a) -> 'a -> 'a end

# let l = new intlist [1; 2; 3];;
val l : intlist = <obj>

# l#fold (fun x y -> x+y) 0;;
- : int = 6

# l#fold (fun s x -> s ^ Int.to_string x ^ " ") "";;
- : string = "1 2 3 "
```

As you can see in the class type shown by the compiler, while polymorphic method types must be fully explicit in class definitions (appearing immediately after the method name), quantified type variables can be left implicit in class descriptions. Why require types to be explicit? The problem is that `(int -> int -> int) -> int -> int` would also be a valid type for `fold`, and it happens to be incompatible with the polymorphic type we gave (automatic instantiation only works for toplevel types variables, not for inner quantifiers, where it becomes an undecidable problem.) So the compiler cannot choose between those two types, and must be helped.

However, the type can be completely omitted in the class definition if it is already known, through inheritance or type constraints on self. Here is an example of method overriding.

```
# class intlist_rev l =
  object
    inherit intlist l
    method! fold f accu = List.fold_left f accu (List.rev l)
  end;;
```

The following idiom separates description and definition.

```
# class type ['a] iterator =
  object method fold : ('b -> 'a -> 'b) -> 'b -> 'b end;;

# class intlist' l =
  object (self : int #iterator)
    method empty = (l = [])
    method fold f accu = List.fold_left f accu l
  end;;
```

Note here the `(self : int #iterator)` idiom, which ensures that this object implements the interface `iterator`.

Polymorphic methods are called in exactly the same way as normal methods, but you should be aware of some limitations of type inference. Namely, a polymorphic method can only be called if its type is known at the call site. Otherwise, the method will be assumed to be monomorphic, and given an incompatible type.

```
# let sum lst = lst#fold (fun x y -> x+y) 0;;
val sum : < fold : (int -> int -> int) -> int -> 'a; .. > -> 'a = <fun>

# sum l ;;
```

```
Error: This expression has type intlist
      but an expression was expected of type
      < fold : (int -> int -> int) -> int -> 'a; .. >
      Types for method fold are incompatible
```

The workaround is easy: you should put a type constraint on the parameter.

```
# let sum (lst : _ #iterator) = lst#fold (fun x y -> x+y) 0;;
val sum : int #iterator -> int = <fun>
```

Of course the constraint may also be an explicit method type. Only occurrences of quantified variables are required.

```
# let sum lst =
  (lst : < fold : 'a. ('a -> _ -> 'a) -> 'a -> 'a; .. >)#fold (+) 0;;
val sum : < fold : 'a. ('a -> int -> 'a) -> 'a -> 'a; .. > -> int = <fun>
```

Another use of polymorphic methods is to allow some form of implicit subtyping in method arguments. We have already seen in section 3.8 how some functions may be polymorphic in the class of their argument. This can be extended to methods.

```
# class type point0 = object method get_x : int end;;
class type point0 = object method get_x : int end
```



```
# class distance_point x =
  object
    inherit point x
    method distance : 'a. (#point0 as 'a) -> int =
      fun other -> abs (other#get_x - x)
  end;;

class distance_point :
  int ->
  object
    val mutable x : int
    method distance : #point0 -> int
    method get_offset : int
    method get_x : int
    method move : int -> unit
  end

# let p = new distance_point 3 in
  (p#distance (new point 8), p#distance (new colored_point 1 "blue"));;
- : int * int = (5, 2)
```

Note here the special syntax (`#point0 as 'a`) we have to use to quantify the extensible part of `#point0`. As for the variable binder, it can be omitted in class specifications. If you want polymorphism inside object field it must be quantified independently.

```
# class multi_poly =
  object
    method m1 : 'a. (< n1 : 'b. 'b -> 'b; .. > as 'a) -> _ =
      fun o -> o#n1 true, o#n1 "hello"
    method m2 : 'a 'b. (< n2 : 'b -> bool; .. > as 'a) -> 'b -> _ =
      fun o x -> o#n2 x
  end;;

class multi_poly :
  object
    method m1 : < n1 : 'b. 'b -> 'b; .. > -> bool * string
    method m2 : < n2 : 'b -> bool; .. > -> 'b -> bool
  end
```

In method `m1`, `o` must be an object with at least a method `n1`, itself polymorphic. In method `m2`, the argument of `n2` and `x` must have the same type, which is quantified at the same level as `'a`.

### 3.12 Using coercions

Subtyping is never implicit. There are, however, two ways to perform subtyping. The most general construction is fully explicit: both the domain and the codomain of the type coercion must be given.

We have seen that points and colored points have incompatible types. For instance, they cannot be mixed in the same list. However, a colored point can be coerced to a point, hiding its `color` method:

```
# let colored_point_to_point cp = (cp : colored_point :> point);;
```

```
val colored_point_to_point : colored_point -> point = <fun>
```

```
# let p = new point 3 and q = new colored_point 4 "blue";;
val p : point = <obj>
val q : colored_point = <obj>
```

```
# let l = [p; (colored_point_to_point q)];;
val l : point list = [<obj>; <obj>]
```

An object of type  $t$  can be seen as an object of type  $t'$  only if  $t$  is a subtype of  $t'$ . For instance, a point cannot be seen as a colored point.

```
# (p : point :> colored_point);;
```

```
Error: Type point = < get_offset : int; get_x : int; move : int -> unit >
      is not a subtype of
      colored_point =
        < color : string; get_offset : int; get_x : int;
          move : int -> unit >
      The first object type has no method color
```

Indeed, narrowing coercions without runtime checks would be unsafe. Runtime type checks might raise exceptions, and they would require the presence of type information at runtime, which is not the case in the OCaml system. For these reasons, there is no such operation available in the language.

Be aware that subtyping and inheritance are not related. Inheritance is a syntactic relation between classes while subtyping is a semantic relation between types. For instance, the class of colored points could have been defined directly, without inheriting from the class of points; the type of colored points would remain unchanged and thus still be a subtype of points.

The domain of a coercion can often be omitted. For instance, one can define:

```
# let to_point cp = (cp :> point);;
val to_point : #point -> point = <fun>
```

In this case, the function `colored_point_to_point` is an instance of the function `to_point`. This is not always true, however. The fully explicit coercion is more precise and is sometimes unavoidable. Consider, for example, the following class:

```
# class c0 = object method m = {< >} method n = 0 end;;
class c0 : object ('a) method m : 'a method n : int end
```

The object type `c0` is an abbreviation for `<m : 'a; n : int> as 'a`. Consider now the type declaration:

```
# class type c1 = object method m : c1 end;;
class type c1 = object method m : c1 end
```

The object type `c1` is an abbreviation for the type `<m : 'a> as 'a`. The coercion from an object of type `c0` to an object of type `c1` is correct:

```
# fun (x:c0) -> (x : c0 :> c1);;
- : c0 -> c1 = <fun>
```

However, the domain of the coercion cannot always be omitted. In that case, the solution is to use the explicit form. Sometimes, a change in the class-type definition can also solve the problem

```
# class type c2 = object ('a) method m : 'a end;;
class type c2 = object ('a) method m : 'a end

# fun (x:c0) -> (x :> c2);;
- : c0 -> c2 = <fun>
```

While class types `c1` and `c2` are different, both object types `c1` and `c2` expand to the same object type (same method names and types). Yet, when the domain of a coercion is left implicit and its co-domain is an abbreviation of a known class type, then the class type, rather than the object type, is used to derive the coercion function. This allows leaving the domain implicit in most cases when coercing from a subclass to its superclass. The type of a coercion can always be seen as below:

```
# let to_c1 x = (x :> c1);;
val to_c1 : < m : #c1; .. > -> c1 = <fun>

# let to_c2 x = (x :> c2);;
val to_c2 : #c2 -> c2 = <fun>
```

Note the difference between these two coercions: in the case of `to_c2`, the type `#c2 = < m : 'a; .. > as 'a` is polymorphically recursive (according to the explicit recursion in the class type of `c2`); hence the success of applying this coercion to an object of class `c0`. On the other hand, in the first case, `c1` was only expanded and unrolled twice to obtain `< m : < m : c1; .. >; .. >` (remember `#c1 = < m : c1; .. >`), without introducing recursion. You may also note that the type of `to_c2` is `#c2 -> c2` while the type of `to_c1` is more general than `#c1 -> c1`. This is not always true, since there are class types for which some instances of `#c` are not subtypes of `c`, as explained in section 3.16. Yet, for parameterless classes the coercion `(_ :> c)` is always more general than `(_ : #c :> c)`.

A common problem may occur when one tries to define a coercion to a class `c` while defining class `c`. The problem is due to the type abbreviation not being completely defined yet, and so its subtypes are not clearly known. Then, a coercion `(_ :> c)` or `(_ : #c :> c)` is taken to be the identity function, as in

```
# fun x -> (x :> 'a);;
- : 'a -> 'a = <fun>
```

As a consequence, if the coercion is applied to `self`, as in the following example, the type of `self` is unified with the closed type `c` (a closed object type is an object type without ellipsis). This would constrain the type of `self` be closed and is thus rejected. Indeed, the type of `self` cannot be closed: this would prevent any further extension of the class. Therefore, a type error is generated when the unification of this type with another type would result in a closed object type.

```
# class c = object method m = 1 end
  and d = object (self)
    inherit c
    method n = 2
    method as_c = (self :> c)
  end;;
```

*Error: This expression cannot be coerced to type c = < m : int >; it has type  
 < as\_c : c; m : int; n : int; .. >  
 but is here used with type c  
 Self type cannot escape its class*

However, the most common instance of this problem, coercing self to its current class, is detected as a special case by the type checker, and properly typed.

```
# class c = object (self) method m = (self :> c) end;;
class c : object method m : c end
```

This allows the following idiom, keeping a list of all objects belonging to a class or its subclasses:

```
# let all_c = ref [];;
val all_c : '_weak3 list ref = {contents = []}

# class c (m : int) =
  object (self)
    method m = m
    initializer all_c := (self :> c) :: !all_c
  end;;
class c : int -> object method m : int end
```

This idiom can in turn be used to retrieve an object whose type has been weakened:

```
# let rec lookup_obj obj = function [] -> raise Not_found
  | obj' :: l ->
    if (obj :> < >) = (obj' :> < >) then obj' else lookup_obj obj l ;;
val lookup_obj : < .. > -> (< .. > as 'a) list -> 'a = <fun>

# let lookup_c obj = lookup_obj obj !all_c;;
val lookup_c : < .. > -> < m : int > = <fun>
```

The type `< m : int >` we see here is just the expansion of `c`, due to the use of a reference; we have succeeded in getting back an object of type `c`.

The previous coercion problem can often be avoided by first defining the abbreviation, using a class type:

```
# class type c' = object method m : int end;;
class type c' = object method m : int end

# class c : c' = object method m = 1 end
  and d = object (self)
    inherit c
    method n = 2
    method as_c = (self :> c')
  end;;
class c : c'
and d : object method as_c : c' method m : int method n : int end
```

It is also possible to use a virtual class. Inheriting from this class simultaneously forces all methods of `c` to have the same type as the methods of `c'`.

```
# class virtual c' = object method virtual m : int end;;
class virtual c' : object method virtual m : int end
```

```
# class c = object (self) inherit c' method m = 1 end;;
class c : object method m : int end
```

One could think of defining the type abbreviation directly:

```
# type c' = <m : int>;;
```

However, the abbreviation `#c'` cannot be defined directly in a similar way. It can only be defined by a class or a class-type definition. This is because a `#`-abbreviation carries an implicit anonymous variable `..` that cannot be explicitly named. The closer you get to it is:

```
# type 'a c'_class = 'a constraint 'a = < m : int; .. >;
with an extra type variable capturing the open object type.
```

### 3.13 Functional objects

It is possible to write a version of class `point` without assignments on the instance variables. The override construct `{< ... >}` returns a copy of “self” (that is, the current object), possibly changing the value of some instance variables.

```
# class functional_point y =
  object
    val x = y
    method get_x = x
    method move d = {< x = x + d >}
    method move_to x = {< x >}
  end;;
class functional_point :
  int ->
  object ('a)
    val x : int
    method get_x : int
    method move : int -> 'a
    method move_to : int -> 'a
  end
```

```
# let p = new functional_point 7;;
val p : functional_point = <obj>
```

```
# p#get_x;;
- : int = 7
```

```
# (p#move 3)#get_x;;
- : int = 10
```

```
# (p#move_to 15)#get_x;;
- : int = 15
```

```
# p#get_x;;
- : int = 7
```

As with records, the form {< x >} is an elided version of {< x = x >} which avoids the repetition of the instance variable name. Note that the type abbreviation `functional_point` is recursive, which can be seen in the class type of `functional_point`: the type of `self` is `'a` and `'a` appears inside the type of the method `move`.

The above definition of `functional_point` is not equivalent to the following:

```
# class bad_functional_point y =
  object
    val x = y
    method get_x = x
    method move d = new bad_functional_point (x+d)
    method move_to x = new bad_functional_point x
  end;;

class bad_functional_point :
  int ->
  object
    val x : int
    method get_x : int
    method move : int -> bad_functional_point
    method move_to : int -> bad_functional_point
  end
```

While objects of either class will behave the same, objects of their subclasses will be different. In a subclass of `bad_functional_point`, the method `move` will keep returning an object of the parent class. On the contrary, in a subclass of `functional_point`, the method `move` will return an object of the subclass.

Functional update is often used in conjunction with binary methods as illustrated in section 8.2.1.

### 3.14 Cloning objects

Objects can also be cloned, whether they are functional or imperative. The library function `Oo.copy` makes a shallow copy of an object. That is, it returns a new object that has the same methods and instance variables as its argument. The instance variables are copied but their contents are shared. Assigning a new value to an instance variable of the copy (using a method call) will not affect instance variables of the original, and conversely. A deeper assignment (for example if the instance variable is a reference cell) will of course affect both the original and the copy.

The type of `Oo.copy` is the following:

```
# Oo.copy;;
- : (< .. > as 'a) -> 'a = <fun>
```

The keyword `as` in that type binds the type variable `'a` to the object type `< .. >`. Therefore, `Oo.copy` takes an object with any methods (represented by the ellipsis), and returns an object of the same type. The type of `Oo.copy` is different from type `< .. > -> < .. >` as each ellipsis represents a different set of methods. Ellipsis actually behaves as a type variable.

```
# let p = new point 5;;
val p : point = <obj>

# let q = Oo.copy p;;
val q : point = <obj>

# q#move 7; (p#get_x, q#get_x);;
- : int * int = (5, 12)
```

In fact, `Oo.copy p` will behave as `p#copy` assuming that a public method `copy` with body `{< >}` has been defined in the class of `p`.

Objects can be compared using the generic comparison functions `=` and `<>`. Two objects are equal if and only if they are physically equal. In particular, an object and its copy are not equal.

```
# let q = Oo.copy p;;
val q : point = <obj>

# p = q, p = p;;
- : bool * bool = (false, true)
```

Other generic comparisons such as `(<, <=, ...)` can also be used on objects. The relation `<` defines an unspecified but strict ordering on objects. The ordering relationship between two objects is fixed permanently once the two objects have been created, and it is not affected by mutation of fields.

Cloning and override have a non empty intersection. They are interchangeable when used within an object and without overriding any field:

```
# class copy =
  object
    method copy = {< >}
  end;;
class copy : object ('a) method copy : 'a end

# class copy =
  object (self)
    method copy = Oo.copy self
  end;;
class copy : object ('a) method copy : 'a end
```

Only the override can be used to actually override fields, and only the `Oo.copy` primitive can be used externally.

Cloning can also be used to provide facilities for saving and restoring the state of objects.

```
# class backup =
  object (self : 'mytype)
    val mutable copy = None
    method save = copy <- Some {< copy = None >}
    method restore = match copy with Some x -> x | None -> self
  end;;
```

```
class backup :
  object ('a)
    val mutable copy : 'a option
    method restore : 'a
    method save : unit
  end
```

The above definition will only backup one level. The backup facility can be added to any class by using multiple inheritance.

```
# class ['a] backup_ref x = object inherit ['a] oref x inherit backup end;;
class ['a] backup_ref :
  'a ->
  object ('b)
    val mutable copy : 'b option
    val mutable x : 'a
    method get : 'a
    method restore : 'b
    method save : unit
    method set : 'a -> unit
  end
```

```
# let rec get p n = if n = 0 then p # get else get (p # restore) (n-1);;
val get : (< get : 'b; restore : 'a; .. > as 'a) -> int -> 'b = <fun>
```

```
# let p = new backup_ref 0 in
  p # save; p # set 1; p # save; p # set 2;
  [get p 0; get p 1; get p 2; get p 3; get p 4];;
- : int list = [2; 1; 1; 1; 1]
```

We can define a variant of backup that retains all copies. (We also add a method `clear` to manually erase all copies.)

```
# class backup =
  object (self : 'mytype)
    val mutable copy = None
    method save = copy <- Some {< >}
    method restore = match copy with Some x -> x | None -> self
    method clear = copy <- None
  end;;
class backup :
  object ('a)
    val mutable copy : 'a option
    method clear : unit
    method restore : 'a
    method save : unit
  end
```

```
# class ['a] backup_ref x = object inherit ['a] oref x inherit backup end;;
```



```

class ['a] backup_ref :
  'a ->
  object ('b)
    val mutable copy : 'b option
    val mutable x : 'a
    method clear : unit
    method get : 'a
    method restore : 'b
    method save : unit
    method set : 'a -> unit
  end

# let p = new backup_ref 0 in
  p # save; p # set 1; p # save; p # set 2;
  [get p 0; get p 1; get p 2; get p 3; get p 4];;
- : int list = [2; 1; 0; 0; 0]

```

### 3.15 Recursive classes

Recursive classes can be used to define objects whose types are mutually recursive.

```

# class window =
  object
    val mutable top_widget = (None : widget option)
    method top_widget = top_widget
  end
and widget (w : window) =
  object
    val window = w
    method window = window
  end;;
class window :
  object
    val mutable top_widget : widget option
    method top_widget : widget option
  end
and widget : window -> object val window : window method window : window end

```

Although their types are mutually recursive, the classes `widget` and `window` are themselves independent.

### 3.16 Binary methods

A binary method is a method which takes an argument of the same type as self. The class `comparable` below is a template for classes with a binary method `leq` of type `'a -> bool` where the type variable `'a` is bound to the type of self. Therefore, `#comparable` expands to `< leq : 'a -> bool; .. > as 'a`. We see here that the binder `as` also allows writing recursive types.

```
# class virtual comparable =
  object (_ : 'a)
    method virtual leq : 'a -> bool
  end;;
class virtual comparable : object ('a) method virtual leq : 'a -> bool end
```

We then define a subclass `money` of `comparable`. The class `money` simply wraps floats as comparable objects.<sup>1</sup> We will extend `money` below with more operations. We have to use a type constraint on the class parameter `x` because the primitive `<=` is a polymorphic function in OCaml. The `inherit` clause ensures that the type of objects of this class is an instance of `#comparable`.

```
# class money (x : float) =
  object
    inherit comparable
    val repr = x
    method value = repr
    method leq p = repr <= p#value
  end;;
class money :
  float ->
  object ('a)
    val repr : float
    method leq : 'a -> bool
    method value : float
  end
```

Note that the type `money` is not a subtype of type `comparable`, as the self type appears in contravariant position in the type of method `leq`. Indeed, an object `m` of class `money` has a method `leq` that expects an argument of type `money` since it accesses its `value` method. Considering `m` of type `comparable` would allow a call to method `leq` on `m` with an argument that does not have a method `value`, which would be an error.

Similarly, the type `money2` below is not a subtype of type `money`.

```
# class money2 x =
  object
    inherit money x
    method times k = {< repr = k *. repr >}
  end;;
class money2 :
  float ->
  object ('a)
    val repr : float
    method leq : 'a -> bool
    method times : float -> 'a
    method value : float
  end
```

---

<sup>1</sup>floats are an approximation of decimal numbers, they are unsuitable for use in most monetary calculations as they may introduce errors.

It is however possible to define functions that manipulate objects of type either `money` or `money2`: the function `min` will return the minimum of any two objects whose type unifies with `#comparable`. The type of `min` is not the same as `#comparable -> #comparable -> #comparable`, as the abbreviation `#comparable` hides a type variable (an ellipsis). Each occurrence of this abbreviation generates a new variable.

```
# let min (x : #comparable) y =
  if x#leq y then x else y;;
val min : (#comparable as 'a) -> 'a -> 'a = <fun>
```

This function can be applied to objects of type `money` or `money2`.

```
# (min (new money 1.3) (new money 3.1))#value;;
- : float = 1.3

# (min (new money2 5.0) (new money2 3.14))#value;;
- : float = 3.14
```

More examples of binary methods can be found in sections [8.2.1](#) and [8.2.4](#).

Note the use of override for method `times`. Writing `new money2 (k *. repr)` instead of `{< repr = k *. repr >}` would not behave well with inheritance: in a subclass `money3` of `money2` the `times` method would return an object of class `money2` but not of class `money3` as would be expected.

The class `money` could naturally carry another binary method. Here is a direct definition:

```
# class money x =
  object (self : 'a)
    val repr = x
    method value = repr
    method print = print_float repr
    method times k = {< repr = k *. x >}
    method leq (p : 'a) = repr <= p#value
    method plus (p : 'a) = {< repr = x +. p#value >}
  end;;

class money :
  float ->
  object ('a)
    val repr : float
    method leq : 'a -> bool
    method plus : 'a -> 'a
    method print : unit
    method times : float -> 'a
    method value : float
  end
```

### 3.17 Friends

The above class `money` reveals a problem that often occurs with binary methods. In order to interact with other objects of the same class, the representation of `money` objects must be revealed, using a

method such as `value`. If we remove all binary methods (here `plus` and `leq`), the representation can easily be hidden inside objects by removing the method `value` as well. However, this is not possible as soon as some binary method requires access to the representation of objects of the same class (other than `self`).

```
# class safe_money x =
  object (self : 'a)
    val repr = x
    method print = print_float repr
    method times k = {< repr = k *. x >}
  end;;

class safe_money :
  float ->
  object ('a)
    val repr : float
    method print : unit
    method times : float -> 'a
  end
```

Here, the representation of the object is known only to a particular object. To make it available to other objects of the same class, we are forced to make it available to the whole world. However we can easily restrict the visibility of the representation using the module system.

```
# module type MONEY =
  sig
    type t
    class c : float ->
      object ('a)
        val repr : t
        method value : t
        method print : unit
        method times : float -> 'a
        method leq : 'a -> bool
        method plus : 'a -> 'a
      end
    end
  end;;

# module Euro : MONEY =
  struct
    type t = float
    class c x =
      object (self : 'a)
        val repr = x
        method value = repr
        method print = print_float repr
        method times k = {< repr = k *. x >}
        method leq (p : 'a) = repr <= p#value
        method plus (p : 'a) = {< repr = x +. p#value >}
      end
    end
  end
```

```
    end  
end;;
```

Another example of friend functions may be found in section [8.2.4](#). These examples occur when a group of objects (here objects of the same class) and functions should see each others internal representation, while their representation should be hidden from the outside. The solution is always to define all friends in the same module, give access to the representation and use a signature constraint to make the representation abstract outside the module.



## Chapter 4

# Labeled arguments

*(Chapter written by Jacques Garrigue)*

If you have a look at modules ending in `Labels` in the standard library, you will see that function types have annotations you did not have in the functions you defined yourself.

```
# ListLabels.map;;
- : f:( 'a -> 'b) -> 'a list -> 'b list = <fun>

# StringLabels.sub;;
- : string -> pos:int -> len:int -> string = <fun>
```

Such annotations of the form `name:` are called *labels*. They are meant to document the code, allow more checking, and give more flexibility to function application. You can give such names to arguments in your programs, by prefixing them with a tilde `~`.

```
# let f ~x ~y = x - y;;
val f : x:int -> y:int -> int = <fun>

# let x = 3 and y = 2 in f ~x ~y;;
- : int = 1
```

When you want to use distinct names for the variable and the label appearing in the type, you can use a naming label of the form `~name:.`. This also applies when the argument is not a variable.

```
# let f ~x:x1 ~y:y1 = x1 - y1;;
val f : x:int -> y:int -> int = <fun>

# f ~x:3 ~y:2;;
- : int = 1
```

Labels obey the same rules as other identifiers in OCaml, that is you cannot use a reserved keyword (like `in` or `to`) as a label.

Formal parameters and arguments are matched according to their respective labels, the absence of label being interpreted as the empty label. This allows commuting arguments in applications. One can also partially apply a function on any argument, creating a new function of the remaining parameters.

```
# let f ~x ~y = x - y;;
```

```

val f : x:int -> y:int -> int = <fun>

# f ~y:2 ~x:3;;
- : int = 1

# ListLabels.fold_left;;
- : f:( 'acc -> 'a -> 'acc ) -> init: 'acc -> 'a list -> 'acc = <fun>

# ListLabels.fold_left [1;2;3] ~init:0 ~f:( + );;
- : int = 6

# ListLabels.fold_left ~init:0;;
- : f:(int -> 'a -> int) -> 'a list -> int = <fun>

```

If several arguments of a function bear the same label (or no label), they will not commute among themselves, and order matters. But they can still commute with other arguments.

```

# let hline ~x:x1 ~x:x2 ~y = (x1, x2, y);;
val hline : x:'a -> x:'b -> y:'c -> 'a * 'b * 'c = <fun>

# hline ~x:3 ~y:2 ~x:5;;
- : int * int * int = (3, 5, 2)

```

## 4.1 Optional arguments

An interesting feature of labeled arguments is that they can be made optional. For optional parameters, the question mark ? replaces the tilde ~ of non-optional ones, and the label is also prefixed by ? in the function type. Default values may be given for such optional parameters.

```

# let bump ?(step = 1) x = x + step;;
val bump : ?step:int -> int -> int = <fun>

# bump 2;;
- : int = 3

# bump ~step:3 2;;
- : int = 5

```

A function taking some optional arguments must also take at least one non-optional argument. The criterion for deciding whether an optional argument has been omitted is the non-labeled application of an argument appearing after this optional argument in the function type. Note that if that argument is labeled, you will only be able to eliminate optional arguments by totally applying the function, omitting all optional arguments and omitting all labels for all remaining arguments.

```

# let test ?(x = 0) ?(y = 0) () ?(z = 0) () = (x, y, z);;
val test : ?x:int -> ?y:int -> unit -> ?z:int -> unit -> int * int * int =
  <fun>

# test ();;

```



```
- : ?z:int -> unit -> int * int * int = <fun>
```

```
# test ~x:2 () ~z:3 ();;
- : int * int * int = (2, 0, 3)
```

Optional parameters may also commute with non-optional or unlabeled ones, as long as they are applied simultaneously. By nature, optional arguments do not commute with unlabeled arguments applied independently.

```
# test ~y:2 ~x:3 () ();;
- : int * int * int = (3, 2, 0)
```

```
# test () () ~z:1 ~y:2 ~x:3;;
- : int * int * int = (3, 2, 1)
```

```
# (test () ()) ~z:1 ;;
```

```
Error: This expression has type int * int * int
       This is not a function; it cannot be applied.
```

Here `(test () ())` is already `(0,0,0)` and cannot be further applied.

Optional arguments are actually implemented as option types. If you do not give a default value, you have access to their internal representation, type `'a option = None | Some of 'a`. You can then provide different behaviors when an argument is present or not.

```
# let bump ?step x =
  match step with
  | None -> x * 2
  | Some y -> x + y
;;
val bump : ?step:int -> int -> int = <fun>
```

It may also be useful to relay an optional argument from a function call to another. This can be done by prefixing the applied argument with `?`. This question mark disables the wrapping of optional argument in an option type.

```
# let test2 ?x ?y () = test ?x ?y () ();;
val test2 : ?x:int -> ?y:int -> unit -> int * int * int = <fun>

# test2 ?x:None;;
- : ?y:int -> unit -> int * int * int = <fun>
```

## 4.2 Labels and type inference

While they provide an increased comfort for writing function applications, labels and optional arguments have the pitfall that they cannot be inferred as completely as the rest of the language.

You can see it in the following two examples.

```
# let h' g = g ~y:2 ~x:3;;
val h' : (y:int -> x:int -> 'a) -> 'a = <fun>
```

```
# h' f ;;

Error: This expression has type x:int -> y:int -> int
      but an expression was expected of type y:int -> x:int -> 'a

# let bump_it bump x =
  bump ~step:2 x;;
val bump_it : (step:int -> 'a -> 'b) -> 'a -> 'b = <fun>

# bump_it bump 1 ;;

Error: This expression has type ?step:int -> int -> int
      but an expression was expected of type step:int -> 'a -> 'b
```

The first case is simple: `g` is passed `~y` and then `~x`, but `f` expects `~x` and then `~y`. This is correctly handled if we know the type of `g` to be `x:int -> y:int -> int` in advance, but otherwise this causes the above type clash. The simplest workaround is to apply formal parameters in a standard order.

The second example is more subtle: while we intended the argument `bump` to be of type `?step:int -> int -> int`, it is inferred as `step:int -> int -> 'a`. These two types being incompatible (internally normal and optional arguments are different), a type error occurs when applying `bump_it` to the real `bump`.

We will not try here to explain in detail how type inference works. One must just understand that there is not enough information in the above program to deduce the correct type of `g` or `bump`. That is, there is no way to know whether an argument is optional or not, or which is the correct order, by looking only at how a function is applied. The strategy used by the compiler is to assume that there are no optional arguments, and that applications are done in the right order.

The right way to solve this problem for optional parameters is to add a type annotation to the argument `bump`.

```
# let bump_it (bump : ?step:int -> int -> int) x =
  bump ~step:2 x;;
val bump_it : (?step:int -> int -> int) -> int -> int = <fun>

# bump_it bump 1;;
- : int = 3
```

In practice, such problems appear mostly when using objects whose methods have optional arguments, so writing the type of object arguments is often a good idea.

Normally the compiler generates a type error if you attempt to pass to a function a parameter whose type is different from the expected one. However, in the specific case where the expected type is a non-labeled function type, and the argument is a function expecting optional parameters, the compiler will attempt to transform the argument to have it match the expected type, by passing `None` for all optional parameters.

```
# let twice f (x : int) = f(f x);;
val twice : (int -> int) -> int -> int = <fun>

# twice bump 2;;
```

```
- : int = 8
```

This transformation is coherent with the intended semantics, including side-effects. That is, if the application of optional parameters shall produce side-effects, these are delayed until the received function is really applied to an argument.

### 4.3 Suggestions for labeling

Like for names, choosing labels for functions is not an easy task. A good labeling is one which

- makes programs more readable,
- is easy to remember,
- when possible, allows useful partial applications.

We explain here the rules we applied when labeling OCaml libraries.

To speak in an “object-oriented” way, one can consider that each function has a main argument, its *object*, and other arguments related with its action, the *parameters*. To permit the combination of functions through functionals in commuting label mode, the object will not be labeled. Its role is clear from the function itself. The parameters are labeled with names reminding of their nature or their role. The best labels combine nature and role. When this is not possible the role is to be preferred, since the nature will often be given by the type itself. Obscure abbreviations should be avoided.

```
ListLabels.map : f:('a -> 'b) -> 'a list -> 'b list
UnixLabels.write : file_descr -> buf:bytes -> pos:int -> len:int -> unit
```

When there are several objects of same nature and role, they are all left unlabeled.

```
ListLabels.iter2 : f:('a -> 'b -> unit) -> 'a list -> 'b list -> unit
```

When there is no preferable object, all arguments are labeled.

```
BytesLabels.blit :
  src:bytes -> src_pos:int -> dst:bytes -> dst_pos:int -> len:int -> unit
```

However, when there is only one argument, it is often left unlabeled.

```
BytesLabels.create : int -> bytes
```

This principle also applies to functions of several arguments whose return type is a type variable, as long as the role of each argument is not ambiguous. Labeling such functions may lead to awkward error messages when one attempts to omit labels in an application, as we have seen with `ListLabels.fold_left`.

Here are some of the label names you will find throughout the libraries.

Label	Meaning
<b>f:</b>	a function to be applied
<b>pos:</b>	a position in a string, array or byte sequence
<b>len:</b>	a length
<b>buf:</b>	a byte sequence or string used as buffer
<b>src:</b>	the source of an operation
<b>dst:</b>	the destination of an operation
<b>init:</b>	the initial value for an iterator
<b>cmp:</b>	a comparison function, <i>e.g.</i> <code>Stdlib.compare</code>
<b>mode:</b>	an operation mode or a flag list

All these are only suggestions, but keep in mind that the choice of labels is essential for readability. Bizarre choices will make the program harder to maintain.

In the ideal, the right function name with right labels should be enough to understand the function's meaning. Since one can get this information with OCamlBrowser or the `ocaml` toplevel, the documentation is only used when a more detailed specification is needed.

## Chapter 5

# Polymorphic variants

*(Chapter written by Jacques Garrigue)*

Variants as presented in section 1.4 are a powerful tool to build data structures and algorithms. However they sometimes lack flexibility when used in modular programming. This is due to the fact that every constructor is assigned to a unique type when defined and used. Even if the same name appears in the definition of multiple types, the constructor itself belongs to only one type. Therefore, one cannot decide that a given constructor belongs to multiple types, or consider a value of some type to belong to some other type with more constructors.

With polymorphic variants, this original assumption is removed. That is, a variant tag does not belong to any type in particular, the type system will just check that it is an admissible value according to its use. You need not define a type before using a variant tag. A variant type will be inferred independently for each of its uses.

### 5.1 Basic use

In programs, polymorphic variants work like usual ones. You just have to prefix their names with a backquote character ```.

```
# [`On; `Off];;  
- : [> `Off | `On ] list = [`On; `Off]  
  
# `Number 1;;  
- : [> `Number of int ] = `Number 1  
  
# let f = function `On -> 1 | `Off -> 0 | `Number n -> n;;  
val f : [< `Number of int | `Off | `On ] -> int = <fun>  
  
# List.map f [`On; `Off];;  
- : int list = [1; 0]
```

[`>```Off`|``On`] list means that to match this list, you should at least be able to match ``Off` and ``On`, without argument. [`<```On`|``Off`|``Number of int`] means that `f` may be applied to ``Off`, ``On` (both without argument), or ``Number` `n` where `n` is an integer. The `>` and `<` inside the variant types show that they may still be refined, either by defining more tags or by allowing less. As such, they

contain an implicit type variable. Because each of the variant types appears only once in the whole type, their implicit type variables are not shown.

The above variant types were polymorphic, allowing further refinement. When writing type annotations, one will most often describe fixed variant types, that is types that cannot be refined. This is also the case for type abbreviations. Such types do not contain `< or >`, but just an enumeration of the tags and their associated types, just like in a normal datatype definition.

```
# type 'a vlist = [`Nil | `Cons of 'a * 'a vlist];;
type 'a vlist = [ `Cons of 'a * 'a vlist | `Nil ]

# let rec map f : 'a vlist -> 'b vlist = function
  | `Nil -> `Nil
  | `Cons(a, l) -> `Cons(f a, map f l)
;;
val map : ('a -> 'b) -> 'a vlist -> 'b vlist = <fun>
```

## 5.2 Advanced use

Type-checking polymorphic variants is a subtle thing, and some expressions may result in more complex type information.

```
# let f = function `A -> `C | `B -> `D | x -> x;;
val f : ([> `A | `B | `C | `D ] as 'a) -> 'a = <fun>

# f `E;;
- : [> `A | `B | `C | `D | `E ] = `E
```

Here we are seeing two phenomena. First, since this matching is open (the last case catches any tag), we obtain the type `[> `A | `B]` rather than `[< `A | `B]` in a closed matching. Then, since `x` is returned as is, input and return types are identical. The notation `as 'a` denotes such type sharing. If we apply `f` to yet another tag ``E`, it gets added to the list.

```
# let f1 = function `A x -> x = 1 | `B -> true | `C -> false
  let f2 = function `A x -> x = "a" | `B -> true ;;
val f1 : [< `A of int | `B | `C ] -> bool = <fun>
val f2 : [< `A of string | `B ] -> bool = <fun>

# let f x = f1 x && f2 x;;
val f : [< `A of string & int | `B ] -> bool = <fun>
```

Here `f1` and `f2` both accept the variant tags ``A` and ``B`, but the argument of ``A` is `int` for `f1` and `string` for `f2`. In `f`'s type ``C`, only accepted by `f1`, disappears, but both argument types appear for ``A` as `int & string`. This means that if we pass the variant tag ``A` to `f`, its argument should be *both* `int` and `string`. Since there is no such value, `f` cannot be applied to ``A`, and ``B` is the only accepted input.

Even if a value has a fixed variant type, one can still give it a larger type through coercions. Coercions are normally written with both the source type and the destination type, but in simple cases the source type may be omitted.

```
# type 'a wlist = [ `Nil | `Cons of 'a * 'a wlist | `Snoc of 'a wlist * 'a ];;
type 'a wlist = [ `Cons of 'a * 'a wlist | `Nil | `Snoc of 'a wlist * 'a ]

# let wlist_of_vlist l = (l : 'a vlist :> 'a wlist);;
val wlist_of_vlist : 'a vlist -> 'a wlist = <fun>

# let open_vlist l = (l : 'a vlist :> [> 'a vlist]);;
val open_vlist : 'a vlist -> [> 'a vlist ] = <fun>

# fun x -> (x :> [ `A | `B | `C ]);;
- : [< `A | `B | `C ] -> [ `A | `B | `C ] = <fun>
```

You may also selectively coerce values through pattern matching.

```
# let split_cases = function
  | `Nil | `Cons _ as x -> `A x
  | `Snoc _ as x -> `B x
;;
val split_cases :
  [< `Cons of 'a | `Nil | `Snoc of 'b ] ->
  [> `A of [> `Cons of 'a | `Nil ] | `B of [> `Snoc of 'b ] ] = <fun>
```

When an or-pattern composed of variant tags is wrapped inside an alias-pattern, the alias is given a type containing only the tags enumerated in the or-pattern. This allows for many useful idioms, like incremental definition of functions.

```
# let num x = `Num x
  let eval1 eval (`Num x) = x
  let rec eval x = eval1 eval x ;;
val num : 'a -> [> `Num of 'a ] = <fun>
val eval1 : 'a -> [< `Num of 'b ] -> 'b = <fun>
val eval : [< `Num of 'a ] -> 'a = <fun>

# let plus x y = `Plus(x,y)
  let eval2 eval = function
    | `Plus(x,y) -> eval x + eval y
    | `Num _ as x -> eval1 eval x
  let rec eval x = eval2 eval x ;;
val plus : 'a -> 'b -> [> `Plus of 'a * 'b ] = <fun>
val eval2 : ('a -> int) -> [< `Num of int | `Plus of 'a * 'a ] -> int = <fun>
val eval : ([< `Num of int | `Plus of 'a * 'a ] as 'a) -> int = <fun>
```

To make this even more comfortable, you may use type definitions as abbreviations for or-patterns. That is, if you have defined `type myvariant = [ `Tag1 of int | `Tag2 of bool ]`, then the pattern `#myvariant` is equivalent to writing `(`Tag1(_ : int) | `Tag2(_ : bool))`.

Such abbreviations may be used alone,

```
# let f = function
  | #myvariant -> "myvariant"
  | `Tag3 -> "Tag3";;
```

```
val f : [< `Tag1 of int | `Tag2 of bool | `Tag3 ] -> string = <fun>
```

or combined with with aliases.

```
# let g1 = function `Tag1 _ -> "Tag1" | `Tag2 _ -> "Tag2";;
```

```
val g1 : [< `Tag1 of 'a | `Tag2 of 'b ] -> string = <fun>
```

```
# let g = function
```

```
  | #myvariant as x -> g1 x
```

```
  | `Tag3 -> "Tag3";;
```

```
val g : [< `Tag1 of int | `Tag2 of bool | `Tag3 ] -> string = <fun>
```

### 5.3 Weaknesses of polymorphic variants

After seeing the power of polymorphic variants, one may wonder why they were added to core language variants, rather than replacing them.

The answer is twofold. The first aspect is that while being pretty efficient, the lack of static type information allows for less optimizations, and makes polymorphic variants slightly heavier than core language ones. However noticeable differences would only appear on huge data structures.

More important is the fact that polymorphic variants, while being type-safe, result in a weaker type discipline. That is, core language variants do actually much more than ensuring type-safety, they also check that you use only declared constructors, that all constructors present in a data-structure are compatible, and they enforce typing constraints to their parameters.

For this reason, you must be more careful about making types explicit when you use polymorphic variants. When you write a library, this is easy since you can describe exact types in interfaces, but for simple programs you are probably better off with core language variants.

Beware also that some idioms make trivial errors very hard to find. For instance, the following code is probably wrong but the compiler has no way to see it.

```
# type abc = [`A | `B | `C] ;;
```

```
type abc = [ `A | `B | `C ]
```

```
# let f = function
```

```
  | `As -> "A"
```

```
  | #abc -> "other" ;;
```

```
val f : [< `A | `As | `B | `C ] -> string = <fun>
```

```
# let f : abc -> string = f ;;
```

```
val f : abc -> string = <fun>
```

You can avoid such risks by annotating the definition itself.

```
# let f : abc -> string = function
```

```
  | `As -> "A"
```

```
  | #abc -> "other" ;;
```

```
Error: This pattern matches values of type [? `As ]
      but a pattern was expected which matches values of type abc
      The second variant type does not allow tag(s) `As
```



## Chapter 6

# Polymorphism and its limitations

This chapter covers more advanced questions related to the limitations of polymorphic functions and types. There are some situations in OCaml where the type inferred by the type checker may be less generic than expected. Such non-genericity can stem either from interactions between side-effects and typing or the difficulties of implicit polymorphic recursion and higher-rank polymorphism.

This chapter details each of these situations and, if it is possible, how to recover genericity.

## 6.1 Weak polymorphism and mutation

### 6.1.1 Weakly polymorphic types

Maybe the most frequent examples of non-genericity derive from the interactions between polymorphic types and mutation. A simple example appears when typing the following expression

```
# let store = ref None ;;
val store : '_weak1 option ref = {contents = None}
```

Since the type of `None` is `'a option` and the function `ref` has type `'b -> 'b ref`, a natural deduction for the type of `store` would be `'a option ref`. However, the inferred type, `'_weak1 option ref`, is different. Type variables whose names start with a `_weak` prefix like `'_weak1` are weakly polymorphic type variables, sometimes shortened to “weak type variables”. A weak type variable is a placeholder for a single type that is currently unknown. Once the specific type `t` behind the placeholder type `'_weak1` is known, all occurrences of `'_weak1` will be replaced by `t`. For instance, we can define another option reference and store an `int` inside:

```
# let another_store = ref None ;;
val another_store : '_weak2 option ref = {contents = None}

# another_store := Some 0;
  another_store ;;
- : int option ref = {contents = Some 0}
```

After storing an `int` inside `another_store`, the type of `another_store` has been updated from `'_weak2 option ref` to `int option ref`. This distinction between weakly and generic polymorphic type variable protects OCaml programs from unsoundness and runtime errors. To understand from

where unsoundness might come, consider this simple function which swaps a value `x` with the value stored inside a `store` reference, if there is such value:

```
# let swap store x = match !store with
  | None -> store := Some x; x
  | Some y -> store := Some x; y;;
val swap : 'a option ref -> 'a -> 'a = <fun>
```

We can apply this function to our store

```
# let one = swap store 1
  let one_again = swap store 2
  let two = swap store 3;;
val one : int = 1
val one_again : int = 1
val two : int = 2
```

After these three swaps the stored value is 3. Everything is fine up to now. We can then try to swap 3 with a more interesting value, for instance a function:

```
# let error = swap store (fun x -> x);;
```

```
Error: This expression should not be a function, the expected type is int
```

At this point, the type checker rightfully complains that it is not possible to swap an integer and a function, and that an `int` should always be traded for another `int`. Furthermore, the type checker prevents us from manually changing the type of the value stored by `store`:

```
# store := Some (fun x -> x);;
```

```
Error: This expression should not be a function, the expected type is int
```

Indeed, looking at the type of `store`, we see that the weak type `'_weak1` has been replaced by the type `int`

```
# store;;
- : int option ref = {contents = Some 3}
```

Therefore, after placing an `int` in `store`, we cannot use it to store any value other than an `int`. More generally, weak types protect the program from undue mutation of values with a polymorphic type.

Moreover, weak types cannot appear in the signature of toplevel modules: types must be known at compilation time. Otherwise, different compilation units could replace the weak type with different and incompatible types. For this reason, compiling the following small piece of code

```
let option_ref = ref None
```

yields a compilation error

```
Error: The type of this expression, '_weak1 option ref,  
contains type variables that cannot be generalized
```

To solve this error, it is enough to add an explicit type annotation to specify the type at declaration time:

```
let option_ref: int option ref = ref None
```

This is in any case a good practice for such global mutable variables. Otherwise, they will pick out the type of first use. If there is a mistake at this point, it can result in confusing type errors when later, correct uses are flagged as errors.

### 6.1.2 The value restriction

Identifying the exact context in which polymorphic types should be replaced by weak types in a modular way is a difficult question. Indeed the type system must handle the possibility that functions may hide persistent mutable states. For instance, the following function uses an internal reference to implement a delayed identity function

```
# let make_fake_id () =
  let store = ref None in
  fun x -> swap store x ;;
val make_fake_id : unit -> 'a -> 'a = <fun>

# let fake_id = make_fake_id();;
val fake_id : '_weak3 -> '_weak3 = <fun>
```

It would be unsound to apply this `fake_id` function to values with different types. The function `fake_id` is therefore rightfully assigned the type `'_weak3 -> '_weak3` rather than `'a -> 'a`. At the same time, it ought to be possible to use a local mutable state without impacting the type of a function.

To circumvent these dual difficulties, the type checker considers that any value returned by a function might rely on persistent mutable states behind the scene and should be given a weak type. This restriction on the type of mutable values and the results of function application is called the value restriction. Note that this value restriction is conservative: there are situations where the value restriction is too cautious and gives a weak type to a value that could be safely generalized to a polymorphic type:

```
# let not_id = (fun x -> x) (fun x -> x);;
val not_id : '_weak4 -> '_weak4 = <fun>
```

Quite often, this happens when defining functions using higher order functions. To avoid this problem, a solution is to add an explicit argument to the function:

```
# let id_again = fun x -> (fun x -> x) (fun x -> x) x;;
val id_again : 'a -> 'a = <fun>
```

With this argument, `id_again` is seen as a function definition by the type checker and can therefore be generalized. This kind of manipulation is called eta-expansion in lambda calculus and is sometimes referred under this name.

### 6.1.3 The relaxed value restriction

There is another partial solution to the problem of unnecessary weak types, which is implemented directly within the type checker. Briefly, it is possible to prove that weak types that only appear as type parameters in covariant positions –also called positive positions– can be safely generalized to polymorphic types. For instance, the type `'a list` is covariant in `'a`:

```
# let f () = [];;
val f : unit -> 'a list = <fun>
```

```
# let empty = f ();;
val empty : 'a list = []
```

Note that the type inferred for `empty` is `'a list` and not the `'_weak5 list` that should have occurred with the value restriction.

The value restriction combined with this generalization for covariant type parameters is called the relaxed value restriction.

#### 6.1.4 Variance and value restriction

Variance describes how type constructors behave with respect to subtyping. Consider for instance a pair of type `x` and `xy` with `x` a subtype of `xy`, denoted `x :> xy`:

```
# type x = [ `X ];;
type x = [ `X ]
```

```
# type xy = [ `X | `Y ];;
type xy = [ `X | `Y ]
```

As `x` is a subtype of `xy`, we can convert a value of type `x` to a value of type `xy`:

```
# let x:x = `X;;
val x : x = `X
```

```
# let x' = ( x :> xy );;
val x' : xy = `X
```

Similarly, if we have a value of type `x list`, we can convert it to a value of type `xy list`, since we could convert each element one by one:

```
# let l:x list = [ `X; `X ];;
val l : x list = [ `X; `X ]
```

```
# let l' = ( l :> xy list );;
val l' : xy list = [ `X; `X ]
```

In other words, `x :> xy` implies that `x list :> xy list`, therefore the type constructor `'a list` is covariant (it preserves subtyping) in its parameter `'a`.

Contrarily, if we have a function that can handle values of type `xy`

```
# let f: xy -> unit = function
| `X -> ()
| `Y -> ();;
val f : xy -> unit = <fun>
```

it can also handle values of type `x`:

```
# let f' = (f :> x -> unit);;
val f' : x -> unit = <fun>
```

Note that we can rewrite the type of `f` and `f'` as

```
#   type 'a proc = 'a -> unit
    let f' = (f: xy proc :> x proc);;
type 'a proc = 'a -> unit
val f' : x proc = <fun>
```

In this case, we have `x :> xy` implies `xy proc :> x proc`. Notice that the second subtyping relation reverse the order of `x` and `xy`: the type constructor `'a proc` is contravariant in its parameter `'a`. More generally, the function type constructor `'a -> 'b` is covariant in its return type `'b` and contravariant in its argument type `'a`.

A type constructor can also be invariant in some of its type parameters, neither covariant nor contravariant. A typical example is a reference:

```
#   let x: x ref = ref `X;;
val x : x ref = {contents = `X}
```

If we were able to coerce `x` to the type `xy ref` as a variable `xy`, we could use `xy` to store the value ``Y` inside the reference and then use the `x` value to read this content as a value of type `x`, which would break the type system.

More generally, as soon as a type variable appears in a position describing mutable state it becomes invariant. As a corollary, covariant variables will never denote mutable locations and can be safely generalized. For a better description, interested readers can consult the original article by Jacques Garrigue on <http://www.math.nagoya-u.ac.jp/~garrigue/papers/morepoly-long.pdf>

Together, the relaxed value restriction and type parameter covariance help to avoid eta-expansion in many situations.

### 6.1.5 Abstract data types

Moreover, when the type definitions are exposed, the type checker is able to infer variance information on its own and one can benefit from the relaxed value restriction even unknowingly. However, this is not the case anymore when defining new abstract types. As an illustration, we can define a module type collection as:

```
# module type COLLECTION = sig
    type 'a t
    val empty: unit -> 'a t
end

module Implementation = struct
    type 'a t = 'a list
    let empty ()= []
end;;

module type COLLECTION = sig type 'a t val empty : unit -> 'a t end
module Implementation :
    sig type 'a t = 'a list val empty : unit -> 'a list end

# module List2: COLLECTION = Implementation;;
module List2 : COLLECTION
```

In this situation, when coercing the module `List2` to the module type `COLLECTION`, the type checker forgets that `'a List2.t` was covariant in `'a`. Consequently, the relaxed value restriction does not apply anymore:

```
# List2.empty ();;
- : '_weak5 List2.t = <abstr>
```

To keep the relaxed value restriction, we need to declare the abstract type `'a COLLECTION.t` as covariant in `'a`:

```
# module type COLLECTION = sig
  type +'a t
  val empty: unit -> 'a t
end

module List2: COLLECTION = Implementation;;
module type COLLECTION = sig type +'a t val empty : unit -> 'a t end
module List2 : COLLECTION
```

We then recover polymorphism:

```
# List2.empty ();;
- : 'a List2.t = <abstr>
```

## 6.2 Polymorphic recursion

The second major class of non-genericity is directly related to the problem of type inference for polymorphic functions. In some circumstances, the type inferred by OCaml might be not general enough to allow the definition of some recursive functions, in particular for recursive functions acting on non-regular algebraic data types.

With a regular polymorphic algebraic data type, the type parameters of the type constructor are constant within the definition of the type. For instance, we can look at arbitrarily nested list defined as:

```
# type 'a regular_nested = List of 'a list | Nested of 'a regular_nested list
  let l = Nested[ List [1]; Nested [List[2;3]]; Nested[Nested[]] ];;
type 'a regular_nested = List of 'a list | Nested of 'a regular_nested list
val l : int regular_nested =
  Nested [List [1]; Nested [List [2; 3]]; Nested [Nested []]]
```

Note that the type constructor `regular_nested` always appears as `'a regular_nested` in the definition above, with the same parameter `'a`. Equipped with this type, one can compute a maximal depth with a classic recursive function

```
# let rec maximal_depth = function
  | List _ -> 1
  | Nested [] -> 0
  | Nested (a::q) -> 1 + max (maximal_depth a) (maximal_depth (Nested q));;
val maximal_depth : 'a regular_nested -> int = <fun>
```

Non-regular recursive algebraic data types correspond to polymorphic algebraic data types whose parameter types vary between the left and right side of the type definition. For instance, it might be interesting to define a datatype that ensures that all lists are nested at the same depth:

```
# type 'a nested = List of 'a list | Nested of 'a list nested;;
type 'a nested = List of 'a list | Nested of 'a list nested
```

Intuitively, a value of type `'a nested` is a list of list ... of list of elements `a` with `k` nested list. We can then adapt the `maximal_depth` function defined on `regular_depth` into a `depth` function that computes this `k`. As a first try, we may define

```
# let rec depth = function
  | List _ -> 1
  | Nested n -> 1 + depth n;;

Error: This expression has type 'a list nested
      but an expression was expected of type 'a nested
      The type variable 'a occurs inside 'a list
```

The type error here comes from the fact that during the definition of `depth`, the type checker first assigns to `depth` the type `'a -> 'b`. When typing the pattern matching, `'a -> 'b` becomes `'a nested -> 'b`, then `'a nested -> int` once the `List` branch is typed. However, when typing the application `depth n` in the `Nested` branch, the type checker encounters a problem: `depth n` is applied to `'a list nested`, it must therefore have the type `'a list nested -> 'b`. Unifying this constraint with the previous one leads to the impossible constraint `'a list nested = 'a nested`. In other words, within its definition, the recursive function `depth` is applied to values of type `'a t` with different types `'a` due to the non-regularity of the type constructor `nested`. This creates a problem because the type checker had introduced a new type variable `'a` only at the *definition* of the function `depth` whereas, here, we need a different type variable for every *application* of the function `depth`.

### 6.2.1 Explicitly polymorphic annotations

The solution of this conundrum is to use an explicitly polymorphic type annotation for the type `'a`:

```
# let rec depth: 'a. 'a nested -> int = function
  | List _ -> 1
  | Nested n -> 1 + depth n;;
val depth : 'a nested -> int = <fun>

# depth ( Nested(List [ [7]; [8] ]) );;
- : int = 2
```

In the type of `depth`, `'a. 'a nested -> int`, the type variable `'a` is universally quantified. In other words, `'a. 'a nested -> int` reads as “for all type `'a`, `depth` maps `'a nested` values to integers”. Whereas the standard type `'a nested -> int` can be interpreted as “let `a` be a type variable `'a`, then `depth` maps `'a nested` values to integers”. There are two major differences with these two type expressions. First, the explicit polymorphic annotation indicates to the type checker that it

needs to introduce a new type variable every time the function `depth` is applied. This solves our problem with the definition of the function `depth`.

Second, it also notifies the type checker that the type of the function should be polymorphic. Indeed, without explicit polymorphic type annotation, the following type annotation is perfectly valid

```
# let sum: 'a -> 'b -> 'c = fun x y -> x + y;;
val sum : int -> int -> int = <fun>
```

since `'a`, `'b` and `'c` denote type variables that may or may not be polymorphic. Whereas, it is an error to unify an explicitly polymorphic type with a non-polymorphic type:

```
# let sum: 'a 'b 'c. 'a -> 'b -> 'c = fun x y -> x + y;;
Error: This definition has type int -> int -> int which is less general than
      'a 'b 'c. 'a -> 'b -> 'c
```

An important remark here is that it is not needed to explicit fully the type of `depth`: it is sufficient to add annotations only for the universally quantified type variables:

```
# let rec depth: 'a. 'a nested -> _ = function
  | List _ -> 1
  | Nested n -> 1 + depth n;;
val depth : 'a nested -> int = <fun>
```

```
# depth ( Nested(List [ [7]; [8] ]) );;
- : int = 2
```

## 6.2.2 More examples

With explicit polymorphic annotations, it becomes possible to implement any recursive function that depends only on the structure of the nested lists and not on the type of the elements. For instance, a more complex example would be to compute the total number of elements of the nested lists:

```
# let len nested =
  let map_and_sum f = List.fold_left (fun acc x -> acc + f x) 0 in
  let rec len: 'a. ('a list -> int) -> 'a nested -> int =
    fun nested_len n ->
      match n with
      | List l -> nested_len l
      | Nested n -> len (map_and_sum nested_len) n
  in
  len List.length nested;;
val len : 'a nested -> int = <fun>
```

```
# len (Nested(Nested(List [ [ [1;2]; [3] ]; [ []; [4]; [5;6;7]] ; [[] ] ))));;
- : int = 7
```

Similarly, it may be necessary to use more than one explicitly polymorphic type variables, like for computing the nested list of list lengths of the nested list:



```
# let shape n =
  let rec shape: 'a 'b. ('a nested -> int nested) ->
    ('b list list -> 'a list) -> 'b nested -> int nested
    = fun nest nested_shape ->
      function
      | List l -> raise
        (Invalid_argument "shape requires nested_list of depth greater than 1")
      | Nested (List l) -> nest @@ List (nested_shape l)
      | Nested n ->
        let nested_shape = List.map nested_shape in
        let nest x = nest (Nested x) in
        shape nest nested_shape n in
  shape (fun n -> n ) (fun l -> List.map List.length l ) n;;
val shape : 'a nested -> int nested = <fun>

# shape (Nested(Nested(List [ [ [1;2]; [3] ]; [ []]; [4]; [5;6;7]]; [[] ]))));;
- : int nested = Nested (List [[2; 1]; [0; 1; 3]; [0]])
```

### 6.3 Higher-rank polymorphic functions

Explicit polymorphic annotations are however not sufficient to cover all the cases where the inferred type of a function is less general than expected. A similar problem arises when using polymorphic functions as arguments of higher-order functions. For instance, we may want to compute the average depth or length of two nested lists:

```
# let average_depth x y = (depth x + depth y) / 2;;
val average_depth : 'a nested -> 'b nested -> int = <fun>

# let average_len x y = (len x + len y) / 2;;
val average_len : 'a nested -> 'b nested -> int = <fun>

# let one = average_len (List [2]) (List [[]]);;
val one : int = 1
```

It would be natural to factorize these two definitions as:

```
# let average f x y = (f x + f y) / 2;;
val average : ('a -> int) -> 'a -> 'a -> int = <fun>
```

However, the type of `average len` is less generic than the type of `average_len`, since it requires the type of the first and second argument to be the same:

```
# average_len (List [2]) (List [[]]);;
- : int = 1

# average len (List [2]) (List [[]]);;
Error: This expression has type 'a list
      but an expression was expected of type int
```

As previously with polymorphic recursion, the problem stems from the fact that type variables are introduced only at the start of the `let` definitions. When we compute both `f x` and `f y`, the type of `x` and `y` are unified together. To avoid this unification, we need to indicate to the type checker that `f` is polymorphic in its first argument. In some sense, we would want `average` to have type

```
val average: ('a. 'a nested -> int) -> 'a nested -> 'b nested -> int
```

Note that this syntax is not valid within OCaml: `average` has an universally quantified type `'a` inside the type of one of its argument whereas for polymorphic recursion the universally quantified type was introduced before the rest of the type. This position of the universally quantified type means that `average` is a second-rank polymorphic function. This kind of higher-rank functions is not directly supported by OCaml: type inference for second-rank polymorphic function and beyond is undecidable; therefore using this kind of higher-rank functions requires to handle manually these universally quantified types.

In OCaml, there are two ways to introduce this kind of explicit universally quantified types: universally quantified record fields,

```
# type 'a nested_reduction = { f:'elt. 'elt nested -> 'a };;
type 'a nested_reduction = { f : 'elt. 'elt nested -> 'a; }
```

```
# let boxed_len = { f = len };;
val boxed_len : int nested_reduction = {f = <fun>}
```

and universally quantified object methods:

```
# let obj_len = object method f:'a. 'a nested -> 'b = len end;;
val obj_len : < f : 'a. 'a nested -> int > = <obj>
```

To solve our problem, we can therefore use either the record solution:

```
# let average nsm x y = (nsm.f x + nsm.f y) / 2 ;;
val average : int nested_reduction -> 'a nested -> 'b nested -> int = <fun>
```

or the object one:

```
# let average (obj:<f:'a. 'a nested -> _ >) x y = (obj#f x + obj#f y) / 2 ;;
val average : < f : 'a. 'a nested -> int > -> 'b nested -> 'c nested -> int =
  <fun>
```

## Chapter 7

# Generalized algebraic datatypes

Generalized algebraic datatypes, or GADTs, extend usual sum types in two ways: constraints on type parameters may change depending on the value constructor, and some type variables may be existentially quantified. Adding constraints is done by giving an explicit return type, where type parameters are instantiated:

```
type _ term =  
  | Int : int -> int term  
  | Add : (int -> int -> int) term  
  | App : ('b -> 'a) term * 'b term -> 'a term
```

This return type must use the same type constructor as the type being defined, and have the same number of parameters. Variables are made existential when they appear inside a constructor's argument, but not in its return type. Since the use of a return type often eliminates the need to name type parameters in the left-hand side of a type definition, one can replace them with anonymous types `_` in that case.

The constraints associated to each constructor can be recovered through pattern-matching. Namely, if the type of the scrutinee of a pattern-matching contains a locally abstract type, this type can be refined according to the constructor used. These extra constraints are only valid inside the corresponding branch of the pattern-matching. If a constructor has some existential variables, fresh locally abstract types are generated, and they must not escape the scope of this branch.

## 7.1 Recursive functions

We write an `eval` function:

```
let rec eval : type a. a term -> a = function  
  | Int n      -> n                (* a = int *)  
  | Add        -> (fun x y -> x+y) (* a = int -> int -> int *)  
  | App(f,x) -> (eval f) (eval x)  
                (* eval called at types (b->a) and b for fresh b *)
```

And use it:

```
let two = eval (App (App (Add, Int 1), Int 1))  
val two : int = 2
```

It is important to remark that the function `eval` is using the polymorphic syntax for locally abstract types. When defining a recursive function that manipulates a GADT, explicit polymorphic recursion should generally be used. For instance, the following definition fails with a type error:

```
let rec eval (type a) : a term -> a = function
| Int n      -> n
| Add       -> (fun x y -> x+y)
| App(f,x) -> (eval f) (eval x)
```

```
Error: This expression has type ($App_'b -> a) term
      but an expression was expected of type 'a
      The type constructor $App_'b would escape its scope
```

In absence of an explicit polymorphic annotation, a monomorphic type is inferred for the recursive function. If a recursive call occurs inside the function definition at a type that involves an existential GADT type variable, this variable flows to the type of the recursive function, and thus escapes its scope. In the above example, this happens in the branch `App(f,x)` when `eval` is called with `f` as an argument. In this branch, the type of `f` is `($App_'b -> a) term`. The prefix `$` in `$App_'b` denotes an existential type named by the compiler (see 7.5). Since the type of `eval` is `'a term -> 'a`, the call `eval f` makes the existential type `$App_'b` flow to the type variable `'a` and escape its scope. This triggers the above error.

## 7.2 Type inference

Type inference for GADTs is notoriously hard. This is due to the fact some types may become ambiguous when escaping from a branch. For instance, in the `Int` case above, `n` could have either type `int` or `a`, and they are not equivalent outside of that branch. As a first approximation, type inference will always work if a pattern-matching is annotated with types containing no free type variables (both on the scrutinee and the return type). This is the case in the above example, thanks to the type annotation containing only locally abstract types.

In practice, type inference is a bit more clever than that: type annotations do not need to be immediately on the pattern-matching, and the types do not have to be always closed. As a result, it is usually enough to only annotate functions, as in the example above. Type annotations are propagated in two ways: for the scrutinee, they follow the flow of type inference, in a way similar to polymorphic methods; for the return type, they follow the structure of the program, they are split on functions, propagated to all branches of a pattern matching, and go through tuples, records, and sum types. Moreover, the notion of ambiguity used is stronger: a type is only seen as ambiguous if it was mixed with incompatible types (equated by constraints), without type annotations between them. For instance, the following program types correctly.

```
let rec sum : type a. a term -> _ = fun x ->
  let y =
    match x with
    | Int n -> n
    | Add   -> 0
    | App(f,x) -> sum f + sum x
  in y + 1
```

```
val sum : 'a term -> int = <fun>
```

Here the return type `int` is never mixed with `a`, so it is seen as non-ambiguous, and can be inferred. When using such partial type annotations we strongly suggest specifying the `-principal` mode, to check that inference is principal.

The exhaustiveness check is aware of GADT constraints, and can automatically infer that some cases cannot happen. For instance, the following pattern matching is correctly seen as exhaustive (the `Add` case cannot happen).

```
let get_int : int term -> int = function
  | Int n      -> n
  | App(_,_) -> 0
```

### 7.3 Refutation cases

Usually, the exhaustiveness check only tries to check whether the cases omitted from the pattern matching are typable or not. However, you can force it to try harder by adding *refutation cases*, written as a full stop. In the presence of a refutation case, the exhaustiveness check will first compute the intersection of the pattern with the complement of the cases preceding it. It then checks whether the resulting patterns can really match any concrete values by trying to type-check them. Wild cards in the generated patterns are handled in a special way: if their type is a variant type with only GADT constructors, then the pattern is split into the different constructors, in order to check whether any of them is possible (this splitting is not done for arguments of these constructors, to avoid non-termination). We also split tuples and variant types with only one case, since they may contain GADTs inside. For instance, the following code is deemed exhaustive:

```
type _ t =
  | Int : int t
  | Bool : bool t

let deep : (char t * int) option -> char = function
  | None -> 'c'
  | _ -> .
```

Namely, the inferred remaining case is `Some _`, which is split into `Some (Int, _)` and `Some (Bool, _)`, which are both untypable because `deep` expects a non-existing `char t` as the first element of the tuple. Note that the refutation case could be omitted here, because it is automatically added when there is only one case in the pattern matching.

Another addition is that the redundancy check is now aware of GADTs: a case will be detected as redundant if it could be replaced by a refutation case using the same pattern.

### 7.4 Advanced examples

The `term` type we have defined above is an *indexed* type, where a type parameter reflects a property of the value contents. Another use of GADTs is *singleton* types, where a GADT value represents exactly one type. This value can be used as runtime representation for this type, and a function receiving it can have a polytypic behavior.

Here is an example of a polymorphic function that takes the runtime representation of some type `t` and a value of the same type, then pretty-prints the value as a string:

```
type _ typ =
  | Int : int typ
  | String : string typ
  | Pair : 'a typ * 'b typ -> ('a * 'b) typ

let rec to_string: type t. t typ -> t -> string =
  fun t x ->
    match t with
    | Int -> Int.to_string x
    | String -> Printf.sprintf "%S" x
    | Pair(t1,t2) ->
        let (x1, x2) = x in
        Printf.sprintf "(%s,%s)" (to_string t1 x1) (to_string t2 x2)
```

Another frequent application of GADTs is equality witnesses.

```
type (_,_) eq = Eq : ('a,'a) eq
```

```
let cast : type a b. (a,b) eq -> a -> b = fun Eq x -> x
```

Here type `eq` has only one constructor, and by matching on it one adds a local constraint allowing the conversion between `a` and `b`. By building such equality witnesses, one can make equal types which are syntactically different.

Here is an example using both singleton types and equality witnesses to implement dynamic types.

```
let rec eq_type : type a b. a typ -> b typ -> (a,b) eq option =
  fun a b ->
    match a, b with
    | Int, Int -> Some Eq
    | String, String -> Some Eq
    | Pair(a1,a2), Pair(b1,b2) ->
        begin match eq_type a1 b1, eq_type a2 b2 with
          | Some Eq, Some Eq -> Some Eq
          | _ -> None
        end
    | _ -> None
```

```
type dyn = Dyn : 'a typ * 'a -> dyn
```

```
let get_dyn : type a. a typ -> dyn -> a option =
  fun a (Dyn(b,x)) ->
    match eq_type a b with
    | None -> None
    | Some Eq -> Some x
```

## 7.5 Existential type names in error messages

The typing of pattern matching in the presence of GADTs can generate many existential types. When necessary, error messages refer to these existential types using compiler-generated names. Currently, the compiler generates these names according to the following nomenclature:

- First, types whose name starts with a \$ are existentials.
- `$Constr_'a` denotes an existential type introduced for the type variable 'a of the GADT constructor `Constr`:

```
type any = Any : 'name -> any
let escape (Any x) = x
```

```
Error: This expression has type $Any_'name
       but an expression was expected of type 'a
       The type constructor $Any_'name would escape its scope
```

- `$Constr` denotes an existential type introduced for an anonymous type variable in the GADT constructor `Constr`:

```
type any = Any : _ -> any
let escape (Any x) = x
```

```
Error: This expression has type $Any but an expression was expected of type
       'a
       The type constructor $Any would escape its scope
```

- `$'a` if the existential variable was unified with the type variable 'a during typing:

```
type ('arg,'result,'aux) fn =
  | Fun: ('a ->'b) -> ('a,'b,unit) fn
  | Mem1: ('a ->'b) * 'a * 'b -> ('a, 'b, 'a * 'b) fn
let apply: ('arg,'result, _ ) fn -> 'arg -> 'result = fun f x ->
  match f with
  | Fun f -> f x
  | Mem1 (f,y,fy) -> if x = y then fy else f x
```

```
Error: This pattern matches values of type
       ($'arg, 'result, '$arg * 'result) fn
       but a pattern was expected which matches values of type
       ($'arg, 'result, unit) fn
       The type constructor '$arg would escape its scope
```

- `$n` (n a number) is an internally generated existential which could not be named using one of the previous schemes.

As shown by the last item, the current behavior is imperfect and may be improved in future versions.

## 7.6 Explicit naming of existentials

As explained above, pattern-matching on a GADT constructor may introduce existential types. Syntax has been introduced which allows them to be named explicitly. For instance, the following code names the type of the argument of `f` and uses this name.

```
type _ closure = Closure : ('a -> 'b) * 'a -> 'b closure
let eval = fun (Closure (type a) (f, x : (a -> _) * _)) -> f (x : a)
```

All existential type variables of the constructor must be introduced by the `(type ...)` construct and bound by a type annotation on the outside of the constructor argument.

## 7.7 Equations on non-local abstract types

GADT pattern-matching may also add type equations to non-local abstract types. The behaviour is the same as with local abstract types. Reusing the above `eq` type, one can write:

```
module M : sig type t val x : t val e : (t,int) eq end = struct
  type t = int
  let x = 33
  let e = Eq
end
```

```
let x : int = let Eq = M.e in M.x
```

Of course, not all abstract types can be refined, as this would contradict the exhaustiveness check. Namely, builtin types (those defined by the compiler itself, such as `int` or `array`), and abstract types defined by the local module, are non-instantiable, and as such cause a type error rather than introduce an equation.



## Chapter 8

# Advanced examples with classes and modules

*(Chapter written by Didier Rémy)*

In this chapter, we show some larger examples using objects, classes and modules. We review many of the object features simultaneously on the example of a bank account. We show how modules taken from the standard library can be expressed as classes. Lastly, we describe a programming pattern known as *virtual types* through the example of window managers.

### 8.1 Extended example: bank accounts

In this section, we illustrate most aspects of Object and inheritance by refining, debugging, and specializing the following initial naive definition of a simple bank account. (We reuse the module `Euro` defined at the end of chapter 3.)

```
# let euro = new Euro.c;;
val euro : float -> Euro.c = <fun>

# let zero = euro 0.;;
val zero : Euro.c = <obj>

# let neg x = x#times (-1.);;
val neg : < times : float -> 'a; .. > -> 'a = <fun>

# class account =
  object
    val mutable balance = zero
    method balance = balance
    method deposit x = balance <- balance # plus x
    method withdraw x =
      if x#leq balance then (balance <- balance # plus (neg x)); x) else zero
  end;;
```

```

class account :
  object
    val mutable balance : Euro.c
    method balance : Euro.c
    method deposit : Euro.c -> unit
    method withdraw : Euro.c -> Euro.c
  end

# let c = new account in c # deposit (euro 100.); c # withdraw (euro 50.);
- : Euro.c = <obj>

```

We now refine this definition with a method to compute interest.

```

# class account_with_interests =
  object (self)
    inherit account
    method private interest = self # deposit (self # balance # times 0.03)
  end;;

class account_with_interests :
  object
    val mutable balance : Euro.c
    method balance : Euro.c
    method deposit : Euro.c -> unit
    method private interest : unit
    method withdraw : Euro.c -> Euro.c
  end

```

We make the method `interest` private, since clearly it should not be called freely from the outside. Here, it is only made accessible to subclasses that will manage monthly or yearly updates of the account.

We should soon fix a bug in the current definition: the deposit method can be used for withdrawing money by depositing negative amounts. We can fix this directly:

```

# class safe_account =
  object
    inherit account
    method deposit x = if zero#leq x then balance <- balance#plus x
  end;;

class safe_account :
  object
    val mutable balance : Euro.c
    method balance : Euro.c
    method deposit : Euro.c -> unit
    method withdraw : Euro.c -> Euro.c
  end

```

However, the bug might be fixed more safely by the following definition:

```

# class safe_account =
  object
    inherit account as unsafe

```

```

    method deposit x =
      if zero#leq x then unsafe # deposit x
      else raise (Invalid_argument "deposit")
    end;;
class safe_account :
  object
    val mutable balance : Euro.c
    method balance : Euro.c
    method deposit : Euro.c -> unit
    method withdraw : Euro.c -> Euro.c
  end

```

In particular, this does not require the knowledge of the implementation of the method `deposit`.

To keep track of operations, we extend the class with a mutable field `history` and a private method `trace` to add an operation in the log. Then each method to be traced is redefined.

```

# type 'a operation = Deposit of 'a | Retrieval of 'a;;
type 'a operation = Deposit of 'a | Retrieval of 'a

# class account_with_history =
  object (self)
    inherit safe_account as super
    val mutable history = []
    method private trace x = history <- x :: history
    method deposit x = self#trace (Deposit x); super#deposit x
    method withdraw x = self#trace (Retrieval x); super#withdraw x
    method history = List.rev history
  end;;
class account_with_history :
  object
    val mutable balance : Euro.c
    val mutable history : Euro.c operation list
    method balance : Euro.c
    method deposit : Euro.c -> unit
    method history : Euro.c operation list
    method private trace : Euro.c operation -> unit
    method withdraw : Euro.c -> Euro.c
  end

```

One may wish to open an account and simultaneously deposit some initial amount. Although the initial implementation did not address this requirement, it can be achieved by using an initializer.

```

# class account_with_deposit x =
  object
    inherit account_with_history
    initializer balance <- x
  end;;
class account_with_deposit :
  Euro.c ->
  object

```

```

    val mutable balance : Euro.c
    val mutable history : Euro.c operation list
    method balance : Euro.c
    method deposit : Euro.c -> unit
    method history : Euro.c operation list
    method private trace : Euro.c operation -> unit
    method withdraw : Euro.c -> Euro.c
end

```

A better alternative is:

```

# class account_with_deposit x =
  object (self)
    inherit account_with_history
    initializer self#deposit x
  end;;
class account_with_deposit :
  Euro.c ->
  object
    val mutable balance : Euro.c
    val mutable history : Euro.c operation list
    method balance : Euro.c
    method deposit : Euro.c -> unit
    method history : Euro.c operation list
    method private trace : Euro.c operation -> unit
    method withdraw : Euro.c -> Euro.c
  end

```

Indeed, the latter is safer since the call to `deposit` will automatically benefit from safety checks and from the trace. Let's test it:

```

# let ccp = new account_with_deposit (euro 100.) in
  let _balance = ccp#withdraw (euro 50.) in
  ccp#history;;
- : Euro.c operation list = [Deposit <obj>; Retrieval <obj>]

```

Closing an account can be done with the following polymorphic function:

```

# let close c = c#withdraw c#balance;;
val close : < balance : 'a; withdraw : 'a -> 'b; .. > -> 'b = <fun>

```

Of course, this applies to all sorts of accounts.

Finally, we gather several versions of the account into a module `Account` abstracted over some currency.

```

# let today () = (01,01,2000) (* an approximation *)
module Account (M:MONEY) =
  struct
    type m = M.c
    let m = new M.c
    let zero = m 0.

```

```

class bank =
  object (self)
    val mutable balance = zero
    method balance = balance
    val mutable history = []
    method private trace x = history <- x::history
    method deposit x =
      self#trace (Deposit x);
      if zero#leq x then balance <- balance # plus x
      else raise (Invalid_argument "deposit")
    method withdraw x =
      if x#leq balance then
        (balance <- balance # plus (neg x); self#trace (Retrieval x); x)
      else zero
    method history = List.rev history
  end

class type client_view =
  object
    method deposit : m -> unit
    method history : m operation list
    method withdraw : m -> m
    method balance : m
  end

class virtual check_client x =
  let y = if (m 100.)#leq x then x
  else raise (Failure "Insufficient initial deposit") in
  object (self)
    initializer self#deposit y
    method virtual deposit: m -> unit
  end

module Client (B : sig class bank : client_view end) =
  struct
    class account x : client_view =
      object
        inherit B.bank
        inherit check_client x
      end

    let discount x =
      let c = new account x in
      if today() < (1998,10,30) then c # deposit (m 100.); c
    end
  end

```

```
end;;
```

This shows the use of modules to group several class definitions that can in fact be thought of as a single unit. This unit would be provided by a bank for both internal and external uses. This is implemented as a functor that abstracts over the currency so that the same code can be used to provide accounts in different currencies.

The class **bank** is the *real* implementation of the bank account (it could have been inlined). This is the one that will be used for further extensions, refinements, etc. Conversely, the client will only be given the client view.

```
# module Euro_account = Account(Euro);;
# module Client = Euro_account.Client (Euro_account);;
# new Client.account (new Euro.c 100.);;
```

Hence, the clients do not have direct access to the **balance**, nor the **history** of their own accounts. Their only way to change their balance is to deposit or withdraw money. It is important to give the clients a class and not just the ability to create accounts (such as the promotional **discount** account), so that they can personalize their account. For instance, a client may refine the **deposit** and **withdraw** methods so as to do his own financial bookkeeping, automatically. On the other hand, the function **discount** is given as such, with no possibility for further personalization.

It is important to provide the client's view as a functor **Client** so that client accounts can still be built after a possible specialization of the **bank**. The functor **Client** may remain unchanged and be passed the new definition to initialize a client's view of the extended account.

```
# module Investment_account (M : MONEY) =
  struct
    type m = M.c
    module A = Account(M)

    class bank =
      object
        inherit A.bank as super
        method deposit x =
          if (new M.c 1000.)#leq x then
            print_string "Would you like to invest?";
            super#deposit x
          end

        module Client = A.Client
      end
    end;;
```

The functor **Client** may also be redefined when some new features of the account can be given to the client.

```
# module Internet_account (M : MONEY) =
  struct
    type m = M.c
    module A = Account(M)
```

```

class bank =
  object
    inherit A.bank
    method mail s = print_string s
  end

class type client_view =
  object
    method deposit : m -> unit
    method history : m operation list
    method withdraw : m -> m
    method balance : m
    method mail : string -> unit
  end

module Client (B : sig class bank : client_view end) =
  struct
    class account x : client_view =
      object
        inherit B.bank
        inherit A.check_client x
      end
    end
  end
end;;

```

## 8.2 Simple modules as classes

One may wonder whether it is possible to treat primitive types such as integers and strings as objects. Although this is usually uninteresting for integers or strings, there may be some situations where this is desirable. The class `money` above is such an example. We show here how to do it for strings.

### 8.2.1 Strings

A naive definition of strings as objects could be:

```

# class ostring s =
  object
    method get n = String.get s n
    method print = print_string s
    method escaped = new ostring (String.escaped s)
  end;;

class ostring :
  string ->
  object
    method escaped : ostring

```

```

    method get : int -> char
    method print : unit
end

```

However, the method `escaped` returns an object of the class `ostring`, and not an object of the current class. Hence, if the class is further extended, the method `escaped` will only return an object of the parent class.

```

# class sub_string s =
  object
    inherit ostring s
    method sub start len = new sub_string (String.sub s start len)
  end;;

class sub_string :
  string ->
  object
    method escaped : ostring
    method get : int -> char
    method print : unit
    method sub : int -> int -> sub_string
  end

```

As seen in section 3.16, the solution is to use functional update instead. We need to create an instance variable containing the representation `s` of the string.

```

# class better_string s =
  object
    val repr = s
    method get n = String.get repr n
    method print = print_string repr
    method escaped = {< repr = String.escaped repr >}
    method sub start len = {< repr = String.sub s start len >}
  end;;

class better_string :
  string ->
  object ('a)
    val repr : string
    method escaped : 'a
    method get : int -> char
    method print : unit
    method sub : int -> int -> 'a
  end

```

As shown in the inferred type, the methods `escaped` and `sub` now return objects of the same type as the one of the class.

Another difficulty is the implementation of the method `concat`. In order to concatenate a string with another string of the same class, one must be able to access the instance variable externally. Thus, a method `repr` returning `s` must be defined. Here is the correct definition of strings:

```

# class ostring s =
  object (self : 'mytype)

```



```

    val repr = s
    method repr = repr
    method get n = String.get repr n
    method print = print_string repr
    method escaped = {< repr = String.escaped repr >}
    method sub start len = {< repr = String.sub s start len >}
    method concat (t : 'mytype) = {< repr = repr ^ t#repr >}
  end;;
class ostring :
  string ->
  object ('a)
    val repr : string
    method concat : 'a -> 'a
    method escaped : 'a
    method get : int -> char
    method print : unit
    method repr : string
    method sub : int -> int -> 'a
  end

```

Another constructor of the class string can be defined to return a new string of a given length:

```

# class cstring n = ostring (String.make n ' ');;
class cstring : int -> ostring

```

Here, exposing the representation of strings is probably harmless. We could also hide the representation of strings as we hid the currency in the class money of section [3.17](#).

### 8.2.2 Stacks

There is sometimes an alternative between using modules or classes for parametric data types. Indeed, there are situations when the two approaches are quite similar. For instance, a stack can be straightforwardly implemented as a class:

```

# exception Empty;;
exception Empty

# class ['a] stack =
  object
    val mutable l = ([] : 'a list)
    method push x = l <- x::l
    method pop = match l with [] -> raise Empty | a::l' -> l <- l'; a
    method clear = l <- []
    method length = List.length l
  end;;
class ['a] stack :
  object
    val mutable l : 'a list
    method clear : unit
    method length : int

```

```

    method pop : 'a
    method push : 'a -> unit
end

```

However, writing a method for iterating over a stack is more problematic. A method `fold` would have type `('b -> 'a -> 'b) -> 'b -> 'b`. Here `'a` is the parameter of the stack. The parameter `'b` is not related to the class `'a stack` but to the argument that will be passed to the method `fold`. A naive approach is to make `'b` an extra parameter of class `stack`:

```

# class ['a, 'b] stack2 =
  object
    inherit ['a] stack
    method fold f (x : 'b) = List.fold_left f x 1
  end;;
class ['a, 'b] stack2 :
  object
    val mutable l : 'a list
    method clear : unit
    method fold : ('b -> 'a -> 'b) -> 'b -> 'b
    method length : int
    method pop : 'a
    method push : 'a -> unit
  end

```

However, the method `fold` of a given object can only be applied to functions that all have the same type:

```

# let s = new stack2;;
val s : ('_weak1, '_weak2) stack2 = <obj>

# s#fold ( + ) 0;;
- : int = 0

# s;;
- : (int, int) stack2 = <obj>

```

A better solution is to use polymorphic methods, which were introduced in OCaml version 3.05. Polymorphic methods makes it possible to treat the type variable `'b` in the type of `fold` as universally quantified, giving `fold` the polymorphic type `Forall 'b. ('b -> 'a -> 'b) -> 'b -> 'b`. An explicit type declaration on the method `fold` is required, since the type checker cannot infer the polymorphic type by itself.

```

# class ['a] stack3 =
  object
    inherit ['a] stack
    method fold : 'b. ('b -> 'a -> 'b) -> 'b -> 'b
      = fun f x -> List.fold_left f x 1
  end;;
class ['a] stack3 :
  object

```

```

    val mutable l : 'a list
    method clear : unit
    method fold : ('b -> 'a -> 'b) -> 'b -> 'b
    method length : int
    method pop : 'a
    method push : 'a -> unit
end

```

### 8.2.3 Hashtbl

A simplified version of object-oriented hash tables should have the following class type.

```

# class type ['a, 'b] hash_table =
  object
    method find : 'a -> 'b
    method add : 'a -> 'b -> unit
  end;;
class type ['a, 'b] hash_table =
  object method add : 'a -> 'b -> unit method find : 'a -> 'b end

```

A simple implementation, which is quite reasonable for small hash tables is to use an association list:

```

# class ['a, 'b] small_hashtbl : ['a, 'b] hash_table =
  object
    val mutable table = []
    method find key = List.assoc key table
    method add key value = table <- (key, value) :: table
  end;;
class ['a, 'b] small_hashtbl : ['a, 'b] hash_table

```

A better implementation, and one that scales up better, is to use a true hash table... whose elements are small hash tables!

```

# class ['a, 'b] hashtbl size : ['a, 'b] hash_table =
  object (self)
    val table = Array.init size (fun i -> new small_hashtbl)
    method private hash key =
      (Hashtbl.hash key) mod (Array.length table)
    method find key = table.(self#hash key) # find key
    method add key = table.(self#hash key) # add key
  end;;
class ['a, 'b] hashtbl : int -> ['a, 'b] hash_table

```

### 8.2.4 Sets

Implementing sets leads to another difficulty. Indeed, the method `union` needs to be able to access the internal representation of another object of the same class.

This is another instance of friend functions as seen in section 3.17. Indeed, this is the same mechanism used in the module `Set` in the absence of objects.

In the object-oriented version of sets, we only need to add an additional method `tag` to return the representation of a set. Since sets are parametric in the type of elements, the method `tag` has a parametric type `'a tag`, concrete within the module definition but abstract in its signature. From outside, it will then be guaranteed that two objects with a method `tag` of the same type will share the same representation.

```
# module type SET =
  sig
    type 'a tag
    class ['a] c :
      object ('b)
        method is_empty : bool
        method mem : 'a -> bool
        method add : 'a -> 'b
        method union : 'b -> 'b
        method iter : ('a -> unit) -> unit
        method tag : 'a tag
      end
    end;;

# module Set : SET =
  struct
    let rec merge l1 l2 =
      match l1 with
      [] -> l2
    | h1 :: t1 ->
      match l2 with
      [] -> l1
    | h2 :: t2 ->
      if h1 < h2 then h1 :: merge t1 l2
      else if h1 > h2 then h2 :: merge l1 t2
      else merge t1 l2

    type 'a tag = 'a list
    class ['a] c =
      object (_ : 'b)
        val repr = ([] : 'a list)
        method is_empty = (repr = [])
        method mem x = List.exists ((=) x) repr
        method add x = {< repr = merge [x] repr >}
        method union (s : 'b) = {< repr = merge repr s#tag >}
        method iter (f : 'a -> unit) = List.iter f repr
        method tag = repr
      end
    end;;
```

### 8.3 The subject/observer pattern

The following example, known as the subject/observer pattern, is often presented in the literature as a difficult inheritance problem with inter-connected classes. The general pattern amounts to the definition a pair of two classes that recursively interact with one another.

The class `observer` has a distinguished method `notify` that requires two arguments, a subject and an event to execute an action.

```
# class virtual ['subject, 'event] observer =
  object
    method virtual notify : 'subject -> 'event -> unit
  end;;

class virtual ['subject, 'event] observer :
  object method virtual notify : 'subject -> 'event -> unit end
```

The class `subject` remembers a list of observers in an instance variable, and has a distinguished method `notify_observers` to broadcast the message `notify` to all observers with a particular event `e`.

```
# class ['observer, 'event] subject =
  object (self)
    val mutable observers = ([]:'observer list)
    method add_observer obs = observers <- (obs :: observers)
    method notify_observers (e : 'event) =
      List.iter (fun x -> x#notify self e) observers
  end;;

class ['a, 'event] subject :
  object ('b)
    constraint 'a = < notify : 'b -> 'event -> unit; .. >
    val mutable observers : 'a list
    method add_observer : 'a -> unit
    method notify_observers : 'event -> unit
  end
```

The difficulty usually lies in defining instances of the pattern above by inheritance. This can be done in a natural and obvious manner in OCaml, as shown on the following example manipulating windows.

```
# type event = Raise | Resize | Move;;
type event = Raise | Resize | Move

# let string_of_event = function
  Raise -> "Raise" | Resize -> "Resize" | Move -> "Move";;
val string_of_event : event -> string = <fun>

# let count = ref 0;;
val count : int ref = {contents = 0}

# class ['observer] window_subject =
  let id = count := succ !count; !count in
```

```

    object (self)
      inherit ['observer, event] subject
      val mutable position = 0
      method identity = id
      method move x = position <- position + x; self#notify_observers Move
      method draw = Printf.printf "{Position = %d}\n" position;
    end;;
class ['a] window_subject :
  object ('b)
    constraint 'a = < notify : 'b -> event -> unit; .. >
    val mutable observers : 'a list
    val mutable position : int
    method add_observer : 'a -> unit
    method draw : unit
    method identity : int
    method move : int -> unit
    method notify_observers : event -> unit
  end

# class ['subject] window_observer =
  object
    inherit ['subject, event] observer
    method notify s e = s#draw
  end;;
class ['a] window_observer :
  object
    constraint 'a = < draw : unit; .. >
    method notify : 'a -> event -> unit
  end

```

As can be expected, the type of window is recursive.

```

# let window = new window_subject;;
val window :
  (< notify : 'a -> event -> unit; .. > as '_weak3) window_subject as 'a =
  <obj>

```

However, the two classes of window\_subject and window\_observer are not mutually recursive.

```

# let window_observer = new window_observer;;
val window_observer : (< draw : unit; .. > as '_weak4) window_observer =
  <obj>

# window#add_observer window_observer;;
- : unit = ()

# window#move 1;;
{Position = 1}
- : unit = ()

```

Classes `window_observer` and `window_subject` can still be extended by inheritance. For instance, one may enrich the `subject` with new behaviors and refine the behavior of the observer.

```
# class ['observer] richer_window_subject =
  object (self)
    inherit ['observer] window_subject
    val mutable size = 1
    method resize x = size <- size + x; self#notify_observers Resize
    val mutable top = false
    method raise = top <- true; self#notify_observers Raise
    method draw = Printf.printf "{Position = %d; Size = %d}\n" position size;
  end;;

class ['a] richer_window_subject :
  object ('b)
    constraint 'a = < notify : 'b -> event -> unit; .. >
    val mutable observers : 'a list
    val mutable position : int
    val mutable size : int
    val mutable top : bool
    method add_observer : 'a -> unit
    method draw : unit
    method identity : int
    method move : int -> unit
    method notify_observers : event -> unit
    method raise : unit
    method resize : int -> unit
  end

# class ['subject] richer_window_observer =
  object
    inherit ['subject] window_observer as super
    method notify s e = if e <> Raise then s#raise; super#notify s e
  end;;

class ['a] richer_window_observer :
  object
    constraint 'a = < draw : unit; raise : unit; .. >
    method notify : 'a -> event -> unit
  end
```

We can also create a different kind of observer:

```
# class ['subject] trace_observer =
  object
    inherit ['subject, event] observer
    method notify s e =
      Printf.printf
        "<Window %d <= %s>\n" s#identity (string_of_event e)
    end;;

class ['a] trace_observer :
```

```

object
  constraint 'a = < identity : int; .. >
  method notify : 'a -> event -> unit
end

```

and attach several observers to the same object:

```

# let window = new richer_window_subject;;
val window :
  (< notify : 'a -> event -> unit; .. > as '_weak5) richer_window_subject
  as 'a = <obj>

# window#add_observer (new richer_window_observer);;
- : unit = ()

# window#add_observer (new trace_observer);;
- : unit = ()

# window#move 1; window#resize 2;;
<Window 1 <== Move>
<Window 1 <== Raise>
{Position = 1; Size = 1}
{Position = 1; Size = 1}
<Window 1 <== Resize>
<Window 1 <== Raise>
{Position = 1; Size = 3}
{Position = 1; Size = 3}
- : unit = ()

```



## Chapter 9

# Parallel programming

In this chapter, we shall look at the parallel programming facilities in OCaml. The OCaml standard library exposes low-level primitives for parallel programming. We recommend the users to utilise higher-level parallel programming libraries such as [domainslib](#). This tutorial will first cover the high-level parallel programming using domainslib followed by low-level primitives exposed by the compiler.

OCaml distinguishes concurrency and parallelism and provides distinct mechanisms for expressing them. Concurrency is overlapped execution of tasks (section [12.24.2](#)) whereas parallelism is simultaneous execution of tasks. In particular, parallel tasks overlap in time but concurrent tasks may or may not overlap in time. Tasks may execute concurrently by yielding control to each other. While concurrency is a program structuring mechanism, parallelism is a mechanism to make your programs run faster. If you are interested in the concurrent programming mechanisms in OCaml, please refer to the section [12.24](#) on effect handlers and the chapter [33](#) on the threads library.

### 9.1 Domains

Domains are the units of parallelism in OCaml. The module [Domain](#)[\[28.14\]](#) provides the primitives to create and manage domains. New domains can be spawned using the `spawn` function.

```
Domain.spawn (fun _ -> print_endline "I ran in parallel")
I ran in parallel
- : unit Domain.t = <abstr>
```

The `spawn` function executes the given computation in parallel with the calling domain.

Domains are heavy-weight entities. Each domain maps 1:1 to an operating system thread. Each domain also has its own runtime state, which includes domain-local structures for allocating memory. Hence, they are relatively expensive to create and tear down.

***It is recommended that the programs do not spawn more domains than cores available.***

In this tutorial, we shall be implementing, running and measuring the performance of parallel programs. The results observed are dependent on the number of cores available on the target machine. This tutorial is being written on a 2.3 GHz Quad-Core Intel Core i7 MacBook Pro with 4 cores and 8 hardware threads. It is reasonable to expect roughly 4x performance on 4 domains for parallel programs with little coordination between the domains, and when the machine is not

under load. Beyond 4 domains, the speedup is likely to be less than linear. We shall also use the command-line benchmarking tool [hyperfine](#) for benchmarking our programs.

### 9.1.1 Joining domains

We shall use the program to compute the  $n$ th Fibonacci number using recursion as a running example. The sequential program for computing the  $n$ th Fibonacci number is given below.

```
(* fib.ml *)
let n = try int_of_string Sys.argv.(1) with _ -> 1

let rec fib n = if n < 2 then 1 else fib (n - 1) + fib (n - 2)

let main () =
  let r = fib n in
  Printf.printf "fib(%d) = %d\n%!" n r

let _ = main ()
```

The program can be compiled and benchmarked as follows.

```
$ ocamlc -o fib.exe fib.ml
$ ./fib.exe 42
fib(42) = 433494437
$ hyperfine './fib.exe 42' # Benchmarking
Benchmark 1: ./fib.exe 42
  Time (mean ± sd):      1.193 s ± 0.006 s    [User: 1.186 s, System: 0.003 s]
  Range (min ... max):   1.181 s ... 1.202 s    10 runs
```

We see that it takes around 1.2 seconds to compute the 42nd Fibonacci number.

Spawned domains can be joined using the `join` function to get their results. The `join` function waits for target domain to terminate. The following program computes the  $n$ th Fibonacci number twice in parallel.

```
(* fib_twice.ml *)
let n = int_of_string Sys.argv.(1)

let rec fib n = if n < 2 then 1 else fib (n - 1) + fib (n - 2)

let main () =
  let d1 = Domain.spawn (fun _ -> fib n) in
  let d2 = Domain.spawn (fun _ -> fib n) in
  let r1 = Domain.join d1 in
  Printf.printf "fib(%d) = %d\n%!" n r1;
  let r2 = Domain.join d2 in
  Printf.printf "fib(%d) = %d\n%!" n r2

let _ = main ()
```

The program spawns two domains which compute the  $n$ th Fibonacci number. The `spawn` function returns a `Domain.t` value which can be joined to get the result of the parallel computation. The `join` function blocks until the computation runs to completion.

```
$ ocamlOPT -o fib_twice.exe fib_twice.ml
$ ./fib_twice.exe 42
fib(42) = 433494437
fib(42) = 433494437
$ hyperfine './fib_twice.exe 42'
Benchmark 1: ./fib_twice.exe 42
  Time (mean ± sd):      1.249 s ± 0.025 s    [User: 2.451 s, System: 0.012 s]
  Range (min ... max):   1.221 s ... 1.290 s    10 runs
```

As one can see that computing the  $n$ th Fibonacci number twice almost took the same time as computing it once thanks to parallelism.

## 9.2 Domainslib: A library for nested-parallel programming

Let us attempt to parallelise the Fibonacci function. The two recursive calls may be executed in parallel. However, naively parallelising the recursive calls by spawning domains for each one will not work as it spawns too many domains.

```
(* fib_par1.ml *)
let n = try int_of_string Sys.argv.(1) with _ -> 1

let rec fib n =
  if n < 2 then 1 else begin
    let d1 = Domain.spawn (fun _ -> fib (n - 1)) in
    let d2 = Domain.spawn (fun _ -> fib (n - 2)) in
    Domain.join d1 + Domain.join d2
  end

let main () =
  let r = fib n in
  Printf.printf "fib(%d) = %d\n%!" n r

let _ = main ()
fib(1) = 1
val n : int = 1
val fib : int -> int = <fun>
val main : unit -> unit = <fun>
```

```
$ ocamlOPT -o fib_par1.exe fib_par1.ml
$ ./fib_par1.exe 42
Fatal error: exception Failure("failed to allocate domain")
```

OCaml has a limit of 128 domains that can be active at the same time. An attempt to spawn more domains will raise an exception. How then can we parallelise the Fibonacci function?

### 9.2.1 Parallelising Fibonacci using domainslib

The OCaml standard library provides only low-level primitives for concurrent and parallel programming, leaving high-level programming libraries to be developed and distributed outside the core compiler distribution. **Domainslib** is such a library for nested-parallel programming, which is epitomised by the parallelism available in the recursive Fibonacci computation. Let us use domainslib to parallelise the recursive Fibonacci program. It is recommended that you install domainslib using the **opam** package manager. This tutorial uses domainslib version 0.5.0.

Domainslib provides an `async/await` mechanism for spawning parallel tasks and awaiting their results. On top of this mechanism, domainslib provides parallel iterators. At its core, domainslib has an efficient implementation of work-stealing queue in order to efficiently share tasks with other domains. A parallel implementation of the Fibonacci program is given below.

```
(* fib_par2.ml *)
let num_domains = int_of_string Sys.argv.(1)
let n = int_of_string Sys.argv.(2)

let rec fib n = if n < 2 then 1 else fib (n - 1) + fib (n - 2)

module T = Domainslib.Task

let rec fib_par pool n =
  if n > 20 then begin
    let a = T.async pool (fun _ -> fib_par pool (n-1)) in
    let b = T.async pool (fun _ -> fib_par pool (n-2)) in
    T.await pool a + T.await pool b
  end else fib n

let main () =
  let pool = T.setup_pool ~num_domains:(num_domains - 1) () in
  let res = T.run pool (fun _ -> fib_par pool n) in
  T.teardown_pool pool;
  Printf.printf "fib(%d) = %d\n" n res

let _ = main ()
```

The program takes the number of domains and the input to the Fibonacci function as the first and the second command-line arguments respectively.

Let us start with the main function. First, we set up a pool of domains on which the nested parallel tasks will run. The domain invoking the `run` function will also participate in executing the tasks submitted to the pool. We invoke the parallel Fibonacci function `fib_par` in the `run` function. Finally, we tear down the pool and print the result.

For sufficiently large inputs ( $n > 20$ ), the `fib_par` function spawns the left and the right recursive calls asynchronously in the pool using the `async` function. The `async` function returns a promise for the result. The result of an asynchronous computation is obtained by awaiting the promise using the `await` function. The `await` function call blocks until the promise is resolved.

For small inputs, the `fib_par` function simply calls the sequential Fibonacci function `fib`. It is important to switch to sequential mode for small problem sizes. If not, the cost of parallelisation will outweigh the work available.

For simplicity, we use `ocamlfind` to compile this program. It is recommended that the users use `dune` to build their programs that utilise libraries installed through `opam`.

```
$ ocamlfind ocamlopt -package domainslib -linkpkg -o fib_par2.exe fib_par2.ml
$ ./fib_par2.exe 1 42
fib(42) = 433494437
$ hyperfine './fib.exe 42' './fib_par2.exe 2 42' \
            './fib_par2.exe 4 42' './fib_par2.exe 8 42'
Benchmark 1: ./fib.exe 42
  Time (mean ± sd):      1.217 s ± 0.018 s    [User: 1.203 s, System: 0.004 s]
  Range (min ... max):   1.202 s ... 1.261 s    10 runs

Benchmark 2: ./fib_par2.exe 2 42
  Time (mean ± sd):      628.2 ms ± 2.9 ms    [User: 1243.1 ms, System: 4.9 ms]
  Range (min ... max):   625.7 ms ... 634.5 ms    10 runs

Benchmark 3: ./fib_par2.exe 4 42
  Time (mean ± sd):      337.6 ms ± 23.4 ms    [User: 1321.8 ms, System: 8.4 ms]
  Range (min ... max):   318.5 ms ... 377.6 ms    10 runs

Benchmark 4: ./fib_par2.exe 8 42
  Time (mean ± sd):      250.0 ms ± 9.4 ms     [User: 1877.1 ms, System: 12.6 ms]
  Range (min ... max):   242.5 ms ... 277.3 ms    11 runs

Summary
'./fib_par2.exe 8 42' ran
  1.35 ± 0.11 times faster than './fib_par2.exe 4 42'
  2.51 ± 0.10 times faster than './fib_par2.exe 2 42'
  4.87 ± 0.20 times faster than './fib.exe 42'
```

The results show that, with 8 domains, the parallel Fibonacci program runs 4.87 times faster than the sequential version.

## 9.2.2 Parallel iteration constructs

Many numerical algorithms use for-loops. The parallel-for primitive provides a straight-forward way to parallelise such code. Let us take the `spectral-norm` benchmark from the computer language benchmarks game and parallelise it. The sequential version of the program is given below.

```
(* spectralnorm.ml *)
let n = try int_of_string Sys.argv.(1) with _ -> 32

let eval_A i j = 1. /. float((i+j)*(i+j+1)/2+i+1)
```

```

let eval_A_times_u u v =
  let n = Array.length v - 1 in
  for i = 0 to n do
    let vi = ref 0. in
    for j = 0 to n do vi := !vi +. eval_A i j *. u.(j) done;
    v.(i) <- !vi
  done

let eval_At_times_u u v =
  let n = Array.length v - 1 in
  for i = 0 to n do
    let vi = ref 0. in
    for j = 0 to n do vi := !vi +. eval_A j i *. u.(j) done;
    v.(i) <- !vi
  done

let eval_AtA_times_u u v =
  let w = Array.make (Array.length u) 0.0 in
  eval_A_times_u u w; eval_At_times_u w v

let () =
  let u = Array.make n 1.0 and v = Array.make n 0.0 in
  for _i = 0 to 9 do
    eval_AtA_times_u u v; eval_AtA_times_u v u
  done;

  let vv = ref 0.0 and vBv = ref 0.0 in
  for i=0 to n-1 do
    vv := !vv +. v.(i) *. v.(i);
    vBv := !vBv +. u.(i) *. v.(i)
  done;
  Printf.printf "%0.9f\n" (sqrt(!vBv /. !vv))

```

Observe that the program has nested loops in `eval_A_times_u` and `eval_At_times_u`. Each iteration of the outer loop body reads from `u` but writes to disjoint memory locations in `v`. Hence, the iterations of the outer loop are not dependent on each other and can be executed in parallel.

The parallel version of spectral norm is shown below.

```

(* spectralnorm_par.ml *)
let num_domains = try int_of_string Sys.argv.(1) with _ -> 1
let n = try int_of_string Sys.argv.(2) with _ -> 32

let eval_A i j = 1. /. float((i+j)*(i+j+1)/2+i+1)

module T = Domainslib.Task

```

```

let eval_A_times_u pool u v =
  let n = Array.length v - 1 in
  T.parallel_for pool ~start:0 ~finish:n ~body:(fun i ->
    let vi = ref 0. in
    for j = 0 to n do vi := !vi +. eval_A i j *. u.(j) done;
    v.(i) <- !vi
  )

let eval_At_times_u pool u v =
  let n = Array.length v - 1 in
  T.parallel_for pool ~start:0 ~finish:n ~body:(fun i ->
    let vi = ref 0. in
    for j = 0 to n do vi := !vi +. eval_A j i *. u.(j) done;
    v.(i) <- !vi
  )

let eval_AtA_times_u pool u v =
  let w = Array.make (Array.length u) 0.0 in
  eval_A_times_u pool u w; eval_At_times_u pool w v

let () =
  let pool = T.setup_pool ~num_domains:(num_domains - 1) () in
  let u = Array.make n 1.0 and v = Array.make n 0.0 in
  T.run pool (fun _ ->
    for _i = 0 to 9 do
      eval_AtA_times_u pool u v; eval_AtA_times_u pool v u
    done);

  let vv = ref 0.0 and vBv = ref 0.0 in
  for i=0 to n-1 do
    vv := !vv +. v.(i) *. v.(i);
    vBv := !vBv +. u.(i) *. v.(i)
  done;
  T.teardown_pool pool;
  Printf.printf "%0.9f\n" (sqrt(!vBv /. !vv))

```

Observe that the `parallel_for` function is isomorphic to the for-loop in the sequential version. No other change is required except for the boiler plate code to set up and tear down the pools.

```

$ ocamlc -o spectralnorm.exe spectralnorm.ml
$ ocamlfind ocamlc -package domainslib -linkpkg -o spectralnorm_par.exe \
  spectralnorm_par.ml
$ hyperfine './spectralnorm.exe 4096' './spectralnorm_par.exe 2 4096' \
  './spectralnorm_par.exe 4 4096' './spectralnorm_par.exe 8 4096'
Benchmark 1: ./spectralnorm.exe 4096

```

```
Time (mean ± sd):      1.989 s ± 0.013 s    [User: 1.972 s, System: 0.007 s]
Range (min ... max):    1.975 s ... 2.018 s    10 runs
```

Benchmark 2: `./spectralnorm_par.exe 2 4096`

```
Time (mean ± sd):      1.083 s ± 0.015 s    [User: 2.140 s, System: 0.009 s]
Range (min ... max):    1.064 s ... 1.102 s    10 runs
```

Benchmark 3: `./spectralnorm_par.exe 4 4096`

```
Time (mean ± sd):      698.7 ms ± 10.3 ms    [User: 2730.8 ms, System: 18.3 ms]
Range (min ... max):    680.9 ms ... 721.7 ms    10 runs
```

Benchmark 4: `./spectralnorm_par.exe 8 4096`

```
Time (mean ± sd):      921.8 ms ± 52.1 ms    [User: 6711.6 ms, System: 51.0 ms]
Range (min ... max):    838.6 ms ... 989.2 ms    10 runs
```

Summary

```
'./spectralnorm_par.exe 4 4096' ran
  1.32 ± 0.08 times faster than './spectralnorm_par.exe 8 4096'
  1.55 ± 0.03 times faster than './spectralnorm_par.exe 2 4096'
  2.85 ± 0.05 times faster than './spectralnorm.exe 4096'
```

On the author's machine, the program scales reasonably well up to 4 domains but performs worse with 8 domains. Recall that the machine only has 4 physical cores. Debugging and fixing this performance issue is beyond the scope of this tutorial.

## 9.3 Parallel garbage collection

An important aspect of the scalability of parallel OCaml programs is the scalability of the garbage collector (GC). The OCaml GC is designed to have both low latency and good parallel scalability. OCaml has a generational garbage collector with a small minor heap and a large major heap. New objects (upto a certain size) are allocated in the minor heap. Each domain has its own domain-local minor heap arena into which new objects are allocated without synchronising with the other domains. When a domain exhausts its minor heap arena, it calls for a stop-the-world collection of the minor heaps. In the stop-the-world section, all the domains collect their minor heap arenas in parallel evacuating the survivors to the major heap.

For the major heap, each domain maintains domain-local, size-segmented pools of memory into which large objects and survivors from the minor collection are allocated. Having domain-local pools avoids synchronisation for most major heap allocations. The major heap is collected by a concurrent mark-and-sweep algorithm that involves a few short stop-the-world pauses for each major cycle.

Overall, the users should expect the garbage collector to scale well with increasing number of domains, with the latency remaining low. For more information on the design and evaluation of the garbage collector, please have a look at the ICFP 2020 paper on [Retrofitting Parallelism onto OCaml](#).



## 9.4 Memory model: The easy bits

Modern processors and compilers aggressively optimise programs. These optimisations accelerate without otherwise affecting sequential programs, but cause surprising behaviours to be visible in parallel programs. To benefit from these optimisations, OCaml adopts a *relaxed memory model* that precisely specifies which of these *relaxed behaviours* programs may observe. While these models are difficult to program against directly, the OCaml memory model provides recipes that retain the simplicity of sequential reasoning.

Firstly, immutable values may be freely shared between multiple domains and may be accessed in parallel. For mutable data structures such as reference cells, arrays and mutable record fields, programmers should avoid *data races*. Reference cells, arrays and mutable record fields are said to be *non-atomic* data structures. A data race is said to occur when two domains concurrently access a non-atomic memory location without *synchronisation* and at least one of the accesses is a write. OCaml provides a number of ways to introduce synchronisation including atomic variables (section 9.7) and mutexes (section 9.5).

Importantly, **for data race free (DRF) programs, OCaml provides sequentially consistent (SC) semantics** – the observed behaviour of such programs can be explained by the interleaving of operations from different domains. This property is known as DRF-SC guarantee. Moreover, in OCaml, DRF-SC guarantee is modular – if a part of a program is data race free, then the OCaml memory model ensures that those parts have sequential consistency despite other parts of the program having data races. Even for programs with data races, OCaml provides strong guarantees. While the user may observe non sequentially consistent behaviours, there are no crashes.

For more details on the relaxed behaviours in the presence of data races, please have a look at the chapter on the hard bits of the memory model (chapter 10).

## 9.5 Blocking synchronisation

Domains may perform blocking synchronisation with the help of [Mutex](#)[28.36], [Condition](#)[28.13] and [Semaphore](#)[28.50] modules. These modules are the same as those used to synchronise threads created by the threads library (chapter 33). For clarity, in the rest of this chapter, we shall call the threads created by the threads library as *systhreads*. The following program implements a concurrent stack using mutex and condition variables.

```
module Blocking_stack : sig
  type 'a t
  val make : unit -> 'a t
  val push : 'a t -> 'a -> unit
  val pop  : 'a t -> 'a
end = struct
  type 'a t = {
    mutable contents: 'a list;
    mutex : Mutex.t;
    nonempty : Condition.t
  }
```

```

let make () = {
  contents = [];
  mutex = Mutex.create ();
  nonempty = Condition.create ()
}

let push r v =
  Mutex.lock r.mutex;
  r.contents <- v::r.contents;
  Condition.signal r.nonempty;
  Mutex.unlock r.mutex

let pop r =
  Mutex.lock r.mutex;
  let rec loop () =
    match r.contents with
    | [] ->
      Condition.wait r.nonempty r.mutex;
      loop ()
    | x::xs -> r.contents <- xs; x
  in
  let res = loop () in
  Mutex.unlock r.mutex;
  res
end

```

The concurrent stack is implemented using a record with three fields: a mutable field `contents` which stores the elements in the stack, a `mutex` to control access to the `contents` field, and a condition variable `nonempty`, which is used to signal blocked domains waiting for the stack to become non-empty.

The `push` operation locks the mutex, updates the `contents` field with a new list whose head is the element being pushed and the tail is the old list. The condition variable `nonempty` is signalled while the lock is held in order to wake up any domains waiting on this condition. If there are waiting domains, one of the domains is woken up. If there are none, then the `signal` operation has no effect.

The `pop` operation locks the mutex and checks whether the stack is empty. If so, the calling domain waits on the condition variable `nonempty` using the `wait` primitive. The `wait` call atomically suspends the execution of the current domain and unlocks the `mutex`. When this domain is woken up again (when the `wait` call returns), it holds the lock on `mutex`. The domain tries to read the contents of the stack again. If the `pop` operation sees that the stack is non-empty, it updates the `contents` to the tail of the old list, and returns the head.

The use of `mutex` to control access to the shared resource `contents` introduces sufficient synchronisation between multiple domains using the stack. Hence, there are no data races when multiple domains use the stack in parallel.

### 9.5.1 Interaction with systhreads

How do systhreads interact with domains? The systhreads created on a particular domain remain pinned to that domain. Only one systhread at a time is allowed to run OCaml code on a particular domain. However, systhreads belonging to a particular domain may run C library or system code in parallel. Systhreads belonging to different domains may execute in parallel.

When using systhreads, the thread created for executing the computation given to `Domain.spawn` is also treated as a systhread. For example, the following program creates in total two domains (including the initial domain) with two systhreads each (including the initial systhread for each of the domains).

```
(* dom_thr.ml *)
let m = Mutex.create ()
let r = ref None (* protected by m *)

let task () =
  let my_thr_id = Thread.(id (self ())) in
  let my_dom_id :> int = Domain.self () in
  Mutex.lock m;
  begin match !r with
  | None ->
      Printf.printf "Thread %d running on domain %d saw initial write\n%!"
        my_thr_id my_dom_id
  | Some their_thr_id ->
      Printf.printf "Thread %d running on domain %d saw the write by thread %d\n%!"
        my_thr_id my_dom_id their_thr_id;
  end;
  r := Some my_thr_id;
  Mutex.unlock m

let task' () =
  let t = Thread.create task () in
  task ();
  Thread.join t

let main () =
  let d = Domain.spawn task' in
  task' ();
  Domain.join d

let _ = main ()

$ ocamlc -I +threads unix.cmx threads.cmx -o dom_thr.exe dom_thr.ml
$ ./dom_thr.exe
Thread 1 running on domain 1 saw initial write
Thread 0 running on domain 0 saw the write by thread 1
```

Thread 2 running on domain 1 saw the write by thread 0  
 Thread 3 running on domain 0 saw the write by thread 2

This program uses a shared reference cell protected by a mutex to communicate between the different systhreads running on two different domains. The systhread identifiers uniquely identify systhreads in the program. The initial domain gets the domain id and the thread id as 0. The newly spawned domain gets domain id as 1.

## 9.6 Interaction with C bindings

During parallel execution with multiple domains, C code running on a domain may run in parallel with any C code running in other domains even if neither of them has released the “domain lock”. Prior to OCaml 5.0, C bindings may have assumed that if the OCaml runtime lock is not released, then it would be safe to manipulate global C state (e.g. initialise a function-local static value). This is no longer true in the presence of parallel execution with multiple domains.

## 9.7 Atomics

Mutex, condition variables and semaphores are used to implement blocking synchronisation between domains. For non-blocking synchronisation, OCaml provides [Atomic](#)[28.4] variables. As the name suggests, non-blocking synchronisation does not provide mechanisms for suspending and waking up domains. On the other hand, primitives used in non-blocking synchronisation are often compiled to atomic read-modify-write primitives that the hardware provides. As an example, the following program increments a non-atomic counter and an atomic counter in parallel.

```
(* incr.ml *)
let twice_in_parallel f =
  let d1 = Domain.spawn f in
  let d2 = Domain.spawn f in
  Domain.join d1;
  Domain.join d2

let plain_ref n =
  let r = ref 0 in
  let f () = for _i=1 to n do incr r done in
  twice_in_parallel f;
  Printf.printf "Non-atomic ref count: %d\n" !r

let atomic_ref n =
  let r = Atomic.make 0 in
  let f () = for _i=1 to n do Atomic.incr r done in
  twice_in_parallel f;
  Printf.printf "Atomic ref count: %d\n" (Atomic.get r)

let main () =
```

```

let n = try int_of_string Sys.argv.(1) with _ -> 1 in
plain_ref n;
atomic_ref n

let _ = main ()

$ ocamlc -o incr.exe incr.ml
$ ./incr.exe 1_000_000
Non-atomic ref count: 1187193
Atomic ref count: 2000000

```

Observe that the result from using the non-atomic counter is lower than what one would naively expect. This is because the non-atomic `incr` function is equivalent to:

```

let incr r =
  let curr = !r in
    r := curr + 1

```

Observe that the load and the store are two separate operations, and the increment operation as a whole is not performed atomically. When two domains execute this code in parallel, both of them may read the same value of the counter `curr` and update it to `curr + 1`. Hence, instead of two increments, the effect will be that of a single increment. On the other hand, the atomic counter performs the load and the store atomically with the help of hardware support for atomicity. The atomic counter returns the expected result.

The atomic variables can be used for low-level synchronisation between the domains. The following example uses an atomic variable to exchange a message between two domains.

```

let r = Atomic.make None

let sender () = Atomic.set r (Some "Hello")

let rec receiver () =
  match Atomic.get r with
  | None -> Domain.cpu_relax (); receiver ()
  | Some m -> print_endline m

let main () =
  let s = Domain.spawn sender in
  let d = Domain.spawn receiver in
  Domain.join s;
  Domain.join d

let _ = main ()
Hello
val r : string option Atomic.t = <abstr>
val sender : unit -> unit = <fun>
val receiver : unit -> unit = <fun>
val main : unit -> unit = <fun>

```

While the sender and the receiver compete to access `r`, this is not a data race since `r` is an atomic reference.

### 9.7.1 Lock-free stack

The Atomic module is used to implement non-blocking, lock-free data structures. The following program implements a lock-free stack.

```
module Lockfree_stack : sig
  type 'a t
  val make : unit -> 'a t
  val push : 'a t -> 'a -> unit
  val pop  : 'a t -> 'a option
end = struct
  type 'a t = 'a list Atomic.t

  let make () = Atomic.make []

  let rec push r v =
    let s = Atomic.get r in
    if Atomic.compare_and_set r s (v::s) then ()
    else (Domain.cpu_relax ()); push r v

  let rec pop r =
    let s = Atomic.get r in
    match s with
    | [] -> None
    | x::xs ->
        if Atomic.compare_and_set r s xs then Some x
        else (Domain.cpu_relax ()); pop r
end
```

The atomic stack is represented by an atomic reference that holds a list. The `push` and `pop` operations use the `compare_and_set` primitive to attempt to atomically update the atomic reference. The expression `compare_and_set r seen v` sets the value of `r` to `v` if and only if its current value is physically equal to `seen`. Importantly, the comparison and the update occur atomically. The expression evaluates to `true` if the comparison succeeded (and the update happened) and `false` otherwise.

If the `compare_and_set` fails, then some other domain is also attempting to update the atomic reference at the same time. In this case, the `push` and `pop` operations call `Domain.cpu_relax` to back off for a short duration allowing competing domains to make progress before retrying the failed operation. This lock-free stack implementation is also known as Treiber stack.

## Chapter 10

# Memory model: The hard bits

This chapter describes the details of OCaml relaxed memory model. The relaxed memory model describes what values an OCaml program is allowed to witness when reading a memory location. If you are interested in high-level parallel programming in OCaml, please have a look at the parallel programming chapter 9.

This chapter is aimed at experts who would like to understand the details of the OCaml memory model from a practitioner’s perspective. For a formal definition of the OCaml memory model, its guarantees and the compilation to hardware memory models, please have a look at the PLDI 2018 paper on [Bounding Data Races in Space and Time](#). The memory model presented in this chapter is an extension of the one presented in the PLDI 2018 paper. This chapter also covers some pragmatic aspects of the memory model that are not covered in the paper.

### 10.1 Why weakly consistent memory?

The simplest memory model that we could give to our programs is sequential consistency. Under sequential consistency, the values observed by the program can be explained through some interleaving of the operations from different domains in the program. For example, consider the following program with two domains d1 and d2 executing in parallel:

```
let d1 a b =  
  let r1 = !a * 2 in  
  let r2 = !b in  
  let r3 = !a * 2 in  
  (r1, r2, r3)  
  
let d2 b = b := 0  
  
let main () =  
  let a = ref 1 in  
  let b = ref 1 in  
  let h = Domain.spawn (fun _ ->  
    let r1, r2, r3 = d1 a b in  
    Printf.printf "r1 = %d, r2 = %d, r3 = %d\n" r1 r2 r3)
```

```

in
d2 b;
Domain.join h

```

The reference cells `a` and `b` are initially 1. The user may observe `r1 = 2`, `r2 = 0`, `r3 = 2` if the write to `b` in `d2` occurred before the read of `b` in `d1`. Here, the observed behaviour can be explained in terms of interleaving of the operations from different domains.

Let us now assume that `a` and `b` are aliases of each other.

```

let d1 a b =
  let r1 = !a * 2 in
  let r2 = !b in
  let r3 = !a * 2 in
  (r1, r2, r3)

let d2 b = b := 0

let main () =
  let ab = ref 1 in
  let h = Domain.spawn (fun _ ->
    let r1, r2, r3 = d1 ab ab in
    assert (not (r1 = 2 && r2 = 0 && r3 = 2)))
  in
  d2 ab;
  Domain.join h

```

In the above program, the variables `ab`, `a` and `b` refer to the same reference cell. One would expect that the assertion in the main function will never fail. The reasoning is that if `r2` is 0, then the write in `d2` occurred before the read of `b` in `d1`. Given that `a` and `b` are aliases, the second read of `a` in `d1` should also return 0.

### 10.1.1 Compiler optimisations

Surprisingly, this assertion may fail in OCaml due to compiler optimisations. The OCaml compiler observes the common sub-expression `!a * 2` in `d1` and optimises the program to:

```

let d1 a b =
  let r1 = !a * 2 in
  let r2 = !b in
  let r3 = r1 in (* CSE: !a * 2 ==> r1 *)
  (r1, r2, r3)

let d2 b = b := 0

let main () =
  let ab = ref 1 in
  let h = Domain.spawn (fun _ ->
    let r1, r2, r3 = d1 ab ab in
    assert (not (r1 = 2 && r2 = 0 && r3 = 2)))
  in
  d2 ab;
  Domain.join h

```



```

in
d2 ab;
Domain.join h

```

This optimisation is known as the common sub-expression elimination (CSE). Such optimisations are valid and necessary for good performance, and do not change the sequential meaning of the program. However, CSE breaks sequential reasoning.

In the optimized program above, even if the write to **b** in **d2** occurs between the first and the second reads in **d1**, the program will observe the value 2 for **r3**, causing the assertion to fail. The observed behaviour cannot be explained by interleaving of operations from different domains in the source program. Thus, CSE optimization is said to be invalid under sequential consistency.

One way to explain the observed behaviour is as if the operations performed on a domain were reordered. For example, if the second and the third reads from **d2** were reordered,

```

let d1 a b =
  let r1 = !a * 2 in
  let r3 = !a * 2 in
  let r2 = !b in
  (r1, r2, r3)

```

then we can explain the observed behaviour (2,0,2) returned by **d1**.

### 10.1.2 Hardware optimisations

The other source of reordering is by the hardware. Modern hardware architectures have complex cache hierarchies with multiple levels of cache. While cache coherence ensures that reads and writes to a single memory location respect sequential consistency, the guarantees on programs that operate on different memory locations are much weaker. Consider the following program:

```

let a = ref 0
and b = ref 0

let d1 () =
  a := 1;
  !b

let d2 () =
  b := 1;
  !a

let main () =
  let h = Domain.spawn d2 in
  let r1 = d1 () in
  let r2 = Domain.join h in
  assert (not (r1 = 0 && r2 = 0))

```

Under sequential consistency, we would never expect the assertion to fail. However, even on x86, which offers much stronger guarantees than ARM, the writes performed at a CPU core are not immediately published to all of the other cores. Since **a** and **b** are different memory locations, the reads of **a** and **b** may both witness the initial values, leading to the assertion failure.

This behaviour can be explained if a load is allowed to be reordered before a preceding store to a different memory location. This reordering can happen due to the presence of in-core store-buffers on modern processors. Each core effectively has a FIFO buffer of pending writes to avoid the need to block while a write completes. The writes to `a` and `b` may be in the store-buffers of cores `c1` and `c2` running the domains `d1` and `d2`, respectively. The reads of `b` and `a` running on the cores `c1` and `c2`, respectively, will not see the writes if the writes have not propagated from the buffers to the main memory.

## 10.2 Data race freedom implies sequential consistency

The aim of the OCaml relaxed memory model is to precisely describe which orders are preserved by the OCaml program. The compiler and the hardware are free to optimize the program as long as they respect the ordering guarantees of the memory model. While programming directly under the relaxed memory model is difficult, the memory model also describes the conditions under which a program will only exhibit sequentially consistent behaviours. This guarantee is known as *data race freedom implies sequential consistency* (DRF-SC). In this section, we shall describe this guarantee. In order to do this, we first need a number of definitions.

### 10.2.1 Memory locations

OCaml classifies memory locations into *atomic* and *non-atomic* locations. Reference cells, array fields and mutable record fields are non-atomic memory locations. Immutable objects are non-atomic locations with an initialising write but no further updates. Atomic memory locations are those that are created using the `Atomic`[28.4] module.

### 10.2.2 Happens-before relation

Let us imagine that the OCaml programs are executed by an abstract machine that executes one action at a time, arbitrarily picking one of the available domains at each step. We classify actions into two: *inter-domain* and *intra-domain*. An inter-domain action is one which can be observed and be influenced by actions on other domains. There are several inter-domain actions:

- Reads and writes of atomic and non-atomic locations.
- Spawn and join of domains.
- Operations on mutexes.

On the other hand, intra-domain actions can neither be observed nor influence the execution of other domains. Examples include evaluating an arithmetic expression, calling a function, etc. The memory model specification ignores such intra-domain actions. In the sequel, we use the term action to indicate inter-domain actions.

A totally ordered list of actions executed by the abstract machine is called an *execution trace*. There might be several possible execution traces for a given program due to non-determinism.

For a given execution trace, we define an irreflexive, transitive *happens-before relation* that captures the causality between actions in the OCaml program. The happens-before relation is defined as the smallest transitive relation satisfying the following properties:

- We define the order in which a domain executes its actions as the *program order*. If an action  $x$  precedes another action  $y$  in program order, then  $x$  precedes  $y$  in happens-before order.
- If  $x$  is a write to an atomic location and  $y$  is a subsequent read or write to that memory location in the execution trace, then  $x$  precedes  $y$  in happens-before order. For atomic locations, `compare_and_set`, `fetch_and_add`, `exchange`, `incr` and `decr` are considered to perform both a read and a write.
- If  $x$  is `Domain.spawn f` and  $y$  is the first action in the newly spawned domain executing  $f$ , then  $x$  precedes  $y$  in happens-before order.
- If  $x$  is the last action in a domain  $d$  and  $y$  is `Domain.join d`, then  $x$  precedes  $y$  in happens-before order.
- If  $x$  is an unlock operation on a mutex, and  $y$  is any subsequent operation on the mutex in the execution trace, then  $x$  precedes  $y$  in happens-before order.

### 10.2.3 Data race

In a given trace, two actions are said to be *conflicting* if they access the same non-atomic location, at least one is a write and neither is an initialising write to that location.

We say that a program has a *data race* if there exists some execution trace of the program with two conflicting actions and there does not exist a happens-before relationship between the conflicting accesses. A program without data races is said to be *correctly synchronised*.

### 10.2.4 DRF-SC

**DRF-SC guarantee:** A program without data races will only exhibit sequentially consistent behaviours.

DRF-SC is a strong guarantee for the programmers. Programmers can use *sequential reasoning* i.e., reasoning by executing one inter-domain action after the other, to identify whether their program has a data race. In particular, they do not need to reason about reorderings described in section 10.1 in order to determine whether their program has a data race. Once the determination that a particular program is data race free is made, they do not need to worry about reorderings in their code.

## 10.3 Reasoning with DRF-SC

In this section, we will look at examples of using DRF-SC for program reasoning. In this section, we will use the functions with names `dN` to represent domains executing in parallel with other domains. That is, we assume that there is a `main` function that runs the `dN` functions in parallel as follows:

```
let main () =
  let h1 = Domain.spawn d1 in
  let h2 = Domain.spawn d2 in
  ...
  ignore @@ Domain.join h1;
  ignore @@ Domain.join h2
```

Here is a simple example with a data race:

```
(* Has data race *)
```

```
let r = ref 0
let d1 () = r := 1
let d2 () = !r
```

`r` is a non-atomic reference. The two domains race to access the reference, and `d1` is a write. Since there is no happens-before relationship between the conflicting accesses, there is a data race.

Both of the programs that we had seen in the section 10.1 have data races. It is no surprise that they exhibit non sequentially consistent behaviours.

Accessing disjoint array indices and fields of a record in parallel is not a data race. For example,

```
(* No data race *)
```

```
let a = [| 0; 1 |]
let d1 () = a.(0) <- 42
let d2 () = a.(1) <- 42
```

```
(* No data race *)
```

```
type t = {
  mutable a : int;
  mutable b : int
}
let r = {a = 0; b = 1}
let d1 () = r.a <- 42
let d2 () = r.b <- 42
do not have data races.
```

Races on atomic locations do not lead to a data race.

```
(* No data race *)
```

```
let r = Atomic.make 0
let d1 () = Atomic.set r 1
let d2 () = Atomic.get r
```

### 10.3.1 Message-passing

Atomic variables may be used for implementing non-blocking communication between domains.

```
(* No data race *)
```

```
let msg = ref 0
let flag = Atomic.make false
let d1 () =
  msg := 42; (* a *)
  Atomic.set flag true (* b *)
let d2 () =
  if Atomic.get flag (* c *) then
    !msg (* d *)
  else 0
```

Observe that the actions **a** and **d** write and read from the same non-atomic location **msg**, respectively, and hence are conflicting. We need to establish that **a** and **d** have a happens-before relationship in order to show that this program does not have a data race.

The action **a** precedes **b** in program order, and hence, **a** happens-before **b**. Similarly, **c** happens-before **d**. If **d2** observes the atomic variable **flag** to be **true**, then **b** precedes **c** in happens-before order. Since happens-before is transitive, the conflicting actions **a** and **d** are in happens-before order. If **d2** observes the **flag** to be **false**, then the read of **msg** is not done. Hence, there is no conflicting access in this execution trace. Hence, the program does not have a data race.

The following modified version of the message passing program does have a data race.

```
(* Has data race *)
let msg = ref 0
let flag = Atomic.make false
let d1 () =
  msg := 42; (* a *)
  Atomic.set flag true (* b *)
let d2 () =
  ignore (Atomic.get flag); (* c *)
  !msg (* d *)
```

The domain **d2** now unconditionally reads the non-atomic reference **msg**. Consider the execution trace:

```
Atomic.get flag; (* c *)
!msg; (* d *)
msg := 42; (* a *)
Atomic.set flag true (* b *)
```

In this trace, **d** and **a** are conflicting operations. But there is no happens-before relationship between them. Hence, this program has a data race.

## 10.4 Local data race freedom

The OCaml memory model offers strong guarantees even for programs with data races. It offers what is known as *local data race freedom sequential consistency* (*LDRF-SC*) guarantee. A formal definition of this property is beyond the scope of this manual chapter. Interested readers are encouraged to read the PLDI 2018 paper on [Bounding Data Races in Space and Time](#).

Informally, LDRF-SC says that the data race free parts of the program remain sequentially consistent. That is, even if the program has data races, those parts of the program that are disjoint from the parts with data races are amenable to sequential reasoning.

Consider the following snippet:

```
let snippet () =
  let c = ref 0 in
  c := 42;
  let a = !c in
  (a, c)
```

Observe that `c` is a newly allocated reference. Can the read of `c` return a value which is not 42? That is, can `a` ever be not 42? Surprisingly, in the C++ and Java memory models, the answer is yes. With the C++ memory model, if the program has a data race, even in unrelated parts, then the semantics is undefined. If this snippet were linked with a library that had a data race, then, under the C++ memory model, the read may return any value. Since data races on unrelated locations can affect program behaviour, we say that C++ memory model is not bounded in space.

Unlike C++, Java memory model is bounded in space. But Java memory model is not bounded in time; data races in the future will affect the past behaviour. For example, consider the translation of this example to Java. We assume a prior definition of `Class c {int x;}` and a shared *non-volatile* variable `C g`. Now the snippet may be part of a larger program with parallel threads:

```
(* Thread 1 *)
C c = new C();
c.x = 42;
a = c.x;
g = c;

(* Thread 2 *)
g.x = 7;
```

The read of `c.x` and the write of `g` in the first thread are done on separate memory locations. Hence, the Java memory model allows them to be reordered. As a result, the write in the second thread may occur before the read of `c.x`, and hence, `c.x` returns 7.

The OCaml equivalent of the Java code above is:

```
let g = ref None

let snippet () =
  let c = ref 0 in
  c := 42;
  let a = !c in
  (a, c)

let d1 () =
  let (a,c) = snippet () in
  g := Some c;
  a

let d2 () =
  match !g with
  | None -> ()
  | Some c -> c := 7
```

Observe that there is a data race on both `g` and `c`. Consider only the first three instructions in `snippet`:

```
let c = ref 0 in
```

```
c := 42;
let a = !c in
...
```

The OCaml memory model is bounded both in space and time. The only memory location here is `c`. Reasoning only about this snippet, there is neither the data race in space (the race on `g`) nor in time (the future race on `c`). Hence, the snippet will have sequentially consistent behaviour, and the value returned by `!c` will be 42.

The OCaml memory model guarantees that even for programs with data races, memory safety is preserved. While programs with data races may observe non-sequentially consistent behaviours, they will not crash.

## 10.5 An operational view of the memory model

In this section, we describe the semantics of the OCaml memory model. A formal definition of the operational view of the memory model is presented in section 3 of the PLDI 2018 paper on [Bounding Data Races in Space and Time](#). This section presents an informal description of the memory model with the help of an example.

Given an OCaml program, which may possibly contain data races, the operational semantics tells you the values that may be observed by the read of a memory location. For simplicity, we restrict the intra-thread actions to just the accesses to atomic and non-atomic locations, ignoring domain spawn and join operations, and the operations on mutexes.

We describe the semantics of the OCaml memory model in a straightforward small-step operational manner. That is, the semantics is described by an abstract machine that executes one action at a time, arbitrarily picking one of the available domains at each step. This is similar to the abstract machine that we had used to describe the happens-before relationship in section [10.2.2](#).

### 10.5.1 Non-atomic locations

In the semantics, we model non-atomic locations as finite maps from timestamps  $t$  to values  $v$ . We take timestamps to be rational numbers. The timestamps are totally ordered but dense; there is a timestamp between any two others.

For example,

```
a: [t1 -> 1; t2 -> 2]
b: [t3 -> 3; t4 -> 4; t5 -> 5]
c: [t6 -> 5; t7 -> 6; t8 -> 7]
```

represents three non-atomic locations `a`, `b` and `c` and their histories. The location `a` has two writes at timestamps `t1` and `t2` with values 1 and 2, respectively. When we write `a: [t1 -> 1; t2 -> 2]`, we assume that `t1 < t2`. We assume that the locations are initialised with a history that has a single entry at timestamp 0 that maps to the initial value.

### 10.5.2 Domains

Each domain is equipped with a *frontier*, which is a map from non-atomic locations to timestamps. Intuitively, each domain's frontier records, for each non-atomic location, the latest write known to the thread. More recent writes may have occurred, but are not guaranteed to be visible.

For example,

```
d1: [a -> t1; b -> t3; c -> t7]
d2: [a -> t1; b -> t4; c -> t7]
```

represents two domains d1 and d2 and their frontiers.

### 10.5.3 Non-atomic accesses

Let us now define the semantics of non-atomic reads and writes. Suppose domain d1 performs the read of b. For non-atomic reads, the domains may read an arbitrary element of the history for that location, as long as it is not older than the timestamp in the domains's frontier. In this case, since d1 frontier at b is at t3, the read may return the value 3, 4 or 5. A non-atomic read does not change the frontier of the current domain.

Suppose domain d2 writes the value 10 to c ( $c := 10$ ). We pick a new timestamp t9 for this write such that it is later than d2's frontier at c. Note a subtlety here: this new timestamp might not be later than everything else in the history, but merely later than any other write known to the writing domain. Hence, t9 may be inserted in c's history either (a) between t7 and t8 or (b) after t8. Let us pick the former option for our discussion. Since the new write appears after all the writes known by the domain d2 to the location c, d2's frontier at c is also updated. The new state of the abstract machine is:

```
(* Non-atomic locations *)
a: [t1 -> 1; t2 -> 2]
b: [t3 -> 3; t4 -> 4; t5 -> 5]
c: [t6 -> 5; t7 -> 6; t9 -> 10; t8 -> 7] (* new write at t9 *)

(* Domains *)
d1: [a -> t1; b -> t3; c -> t7]
d2: [a -> t1; b -> t4; c -> t9] (* frontier updated at c *)
```

### 10.5.4 Atomic accesses

Atomic locations carry not only values but also synchronization information. We model atomic locations as a pair of the value held by that location and a frontier. The frontier models the synchronization information, which is merged with the frontiers of threads that operate on the location. In this way, non-atomic writes made by one thread can become known to another by communicating via an atomic location.

For example,

```
(* Atomic locations *)
A: 10, [a -> t1; b -> t5; c -> t7]
B: 5,  [a -> t2; b -> t4; c -> t6]
```



shows two atomic variables A and B with values 10 and 5, respectively, and frontiers of their own. We use upper-case variable names to indicate atomic locations.

During atomic reads, the frontier of the location is merged into that of the domain performing the read. For example, suppose d1 reads B. The read returns 5, and d1's frontier updated by merging it with B's frontier, choosing the later timestamp for each location. The abstract machine state before the atomic read is:

```
(* Non-atomic locations *)
a: [t1 -> 1; t2 -> 2]
b: [t3 -> 3; t4 -> 4; t5 -> 5]
c: [t6 -> 5; t7 -> 6; t9 -> 10; t8 -> 7]

(* Domains *)
d1: [a -> t1; b -> t3; c -> t7]
d2: [a -> t1; b -> t4; c -> t9]

(* Atomic locations *)
A: 10, [a -> t1; b -> t5; c -> t7]
B: 5,  [a -> t2; b -> t4; c -> t6]
```

As a result of the atomic read, the abstract machine state is updated to:

```
(* Non-atomic locations *)
a: [t1 -> 1; t2 -> 2]
b: [t3 -> 3; t4 -> 4; t5 -> 5]
c: [t6 -> 5; t7 -> 6; t9 -> 10; t8 -> 7]

(* Domains *)
d1: [a -> t2; b -> t4; c -> t7] (* frontier updated at a and b *)
d2: [a -> t1; b -> t4; c -> t9]

(* Atomic locations *)
A: 10, [a -> t1; b -> t5; c -> t7]
B: 5,  [a -> t2; b -> t4; c -> t6]
```

During atomic writes, the value held by the atomic location is updated. The frontiers of both the writing domain and that of the location being written to are updated to the merge of the two frontiers. For example, if d2 writes 20 to A in the current machine state, the machine state is updated to:

```
(* Non-atomic locations *)
a: [t1 -> 1; t2 -> 2]
b: [t3 -> 3; t4 -> 4; t5 -> 5]
c: [t6 -> 5; t7 -> 6; t9 -> 10; t8 -> 7]

(* Domains *)
```

```

d1: [a -> t2; b -> t4; c -> t7]
d2: [a -> t1; b -> t5; c -> t9] (* frontier updated at b *)

(* Atomic locations *)
A: 20, [a -> t1; b -> t5; c -> t9] (* value updated. frontier updated at c. *)
B: 5,  [a -> t2; b -> t4; c -> t6]

```

### 10.5.5 Reasoning with the semantics

Let us revisit an example from earlier (section [10.1](#)).

```

let a = ref 0
and b = ref 0

let d1 () =
  a := 1;
  !b

let d2 () =
  b := 1;
  !a

let main () =
  let h = Domain.spawn d2 in
  let r1 = d1 () in
  let r2 = Domain.join h in
  assert (not (r1 = 0 && r2 = 0))

```

This program has a data race on `a` and `b`, and hence, the program may exhibit non sequentially consistent behaviour. Let us use the semantics to show that the program may exhibit `r1 = 0 && r2 = 0`.

The initial state of the abstract machine is:

```

(* Non-atomic locations *)
a: [t0 -> 0]
b: [t1 -> 0]

(* Domains *)
d1: [a -> t0; b -> t1]
d2: [a -> t0; b -> t1]

```

There are several possible schedules for executing this program. Let us consider the following schedule:

```

1: a := 1 @ d1
2: b := 1 @ d2
3: !b      @ d1
4: !a      @ d2

```

After the first action `a:=1` by `d1`, the machine state is:

```
(* Non-atomic locations *)
a: [t0 -> 0; t2 -> 1] (* new write at t2 *)
b: [t1 -> 0]

(* Domains *)
d1: [a -> t2; b -> t1] (* frontier updated at a *)
d2: [a -> t0; b -> t1]
```

After the second action `b:=1` by `d2`, the machine state is:

```
(* Non-atomic locations *)
a: [t0 -> 0; t2 -> 1]
b: [t1 -> 0; t3 -> 1] (* new write at t3 *)

(* Domains *)
d1: [a -> t2; b -> t1]
d2: [a -> t0; b -> t3] (* frontier updated at b *)
```

Now, for the third action `!b` by `d1`, observe that `d1`'s frontier at `b` is at `t1`. Hence, the read may return either 0 or 1. Let us assume that it returns 0. The machine state is not updated by the non-atomic read.

Similarly, for the fourth action `!a` by `d2`, `d2`'s frontier at `a` is at `t0`. Hence, this read may also return either 0 or 1. Let us assume that it returns 0. Hence, the assertion in the original program, `assert (not (r1 = 0 && r2 = 0))`, will fail for this particular execution.

## 10.6 Non-compliant operations

There are certain operations which are not memory model compliant.

- `Array.blit` function on float arrays may cause *tearing*. When an unsynchronized blit operation runs concurrently with some overlapping write to the fields of the same float array, the field may end up with bits from either of the writes.
- With flat-float arrays or records with only float fields on 32-bit architectures, getting or setting a field involves two separate memory accesses. In the presence of data races, the user may observe tearing.
- The `Bytes` module [Bytes](#)[28.8] permits mixed-mode accesses where reads and writes may be of different sizes. Unsynchronized mixed-mode accesses lead to tearing.



# Part II

## The OCaml language



# Chapter 11

## The OCaml language

### Foreword

This document is intended as a reference manual for the OCaml language. It lists the language constructs, and gives their precise syntax and informal semantics. It is by no means a tutorial introduction to the language. A good working knowledge of OCaml is assumed.

No attempt has been made at mathematical rigor: words are employed with their intuitive meaning, without further definition. As a consequence, the typing rules have been left out, by lack of the mathematical framework required to express them, while they are definitely part of a full formal definition of the language.

### Notations

The syntax of the language is given in BNF-like notation. Terminal symbols are set in typewriter font (**like this**). Non-terminal symbols are set in italic font (*like that*). Square brackets [...] denote optional components. Curly brackets {...} denotes zero, one or several repetitions of the enclosed components. Curly brackets with a trailing plus sign {...}<sup>+</sup> denote one or several repetitions of the enclosed components. Parentheses (...) denote grouping.

## 11.1 Lexical conventions

### Blanks

The following characters are considered as blanks: space, horizontal tabulation, carriage return, line feed and form feed. Blanks are ignored, but they separate adjacent identifiers, literals and keywords that would otherwise be confused as one single identifier, literal or keyword.

### Comments

Comments are introduced by the two characters (\*, with no intervening blanks, and terminated by the characters \*), with no intervening blanks. Comments are treated as blank characters. Comments do not occur inside string or character literals. Nested comments are handled correctly.

(\* single line comment \*)

(\* multiple line comment, commenting out part of a program, and containing a nested comment:

```
let f = function
  | 'A'..'Z' -> "Uppercase"
  (* Add other cases later... *)
*)
```

## Identifiers

$$\begin{aligned} \textit{ident} &::= (\textit{letter} \mid \_)\{\textit{letter} \mid 0 \dots 9 \mid \_ \mid '\} \\ \textit{capitalized-ident} &::= (\textbf{A} \dots \textbf{Z})\{\textit{letter} \mid 0 \dots 9 \mid \_ \mid '\} \\ \textit{lowercase-ident} &::= (\textbf{a} \dots \textbf{z} \mid \_)\{\textit{letter} \mid 0 \dots 9 \mid \_ \mid '\} \\ \textit{letter} &::= \textbf{A} \dots \textbf{Z} \mid \textbf{a} \dots \textbf{z} \end{aligned}$$

Identifiers are sequences of letters, digits, `_` (the underscore character), and `'` (the single quote), starting with a letter or an underscore. Letters contain at least the 52 lowercase and uppercase letters from the ASCII set. The current implementation also recognizes as letters some characters from the ISO 8859-1 set (characters 192–214 and 216–222 as uppercase letters; characters 223–246 and 248–255 as lowercase letters). This feature is deprecated and should be avoided for future compatibility.

All characters in an identifier are meaningful. The current implementation accepts identifiers up to 16000000 characters in length.

In many places, OCaml makes a distinction between capitalized identifiers and identifiers that begin with a lowercase letter. The underscore character is considered a lowercase letter for this purpose.

## Integer literals

$$\begin{aligned} \textit{integer-literal} &::= [-] (0 \dots 9) \{0 \dots 9 \mid \_ \} \\ &\quad \mid [-] (0\textbf{x} \mid 0\textbf{X}) (0 \dots 9 \mid \textbf{A} \dots \textbf{F} \mid \textbf{a} \dots \textbf{f}) \{0 \dots 9 \mid \textbf{A} \dots \textbf{F} \mid \textbf{a} \dots \textbf{f} \mid \_ \} \\ &\quad \mid [-] (0\textbf{o} \mid 0\textbf{O}) (0 \dots 7) \{0 \dots 7 \mid \_ \} \\ &\quad \mid [-] (0\textbf{b} \mid 0\textbf{B}) (0 \dots 1) \{0 \dots 1 \mid \_ \} \\ \textit{int32-literal} &::= \textit{integer-literal} \textbf{1} \\ \textit{int64-literal} &::= \textit{integer-literal} \textbf{L} \\ \textit{nativeint-literal} &::= \textit{integer-literal} \textbf{n} \end{aligned}$$

An integer literal is a sequence of one or more digits, optionally preceded by a minus sign. By default, integer literals are in decimal (radix 10). The following prefixes select a different radix:

Prefix	Radix
0x, 0X	hexadecimal (radix 16)
0o, 0O	octal (radix 8)
0b, 0B	binary (radix 2)



(The initial 0 is the digit zero; the 0 for octal is the letter O.) An integer literal can be followed by one of the letters `l`, `L` or `n` to indicate that this integer has type `int32`, `int64` or `nativeint` respectively, instead of the default type `int` for integer literals. The interpretation of integer literals that fall outside the range of representable integer values is undefined.

For convenience and readability, underscore characters (`_`) are accepted (and ignored) within integer literals.

```
# let house_number = 37
   let million = 1_000_000
   let copyright = 0x00A9
   let counter64bit = ref 0L;;
val house_number : int = 37
val million : int = 1000000
val copyright : int = 169
val counter64bit : int64 ref = {contents = 0L}
```

## Floating-point literals

$$\begin{aligned} \text{float-literal} ::= & [-] (0 \dots 9) \{0 \dots 9 \mid \_ \} [ \cdot \{0 \dots 9 \mid \_ \} ] [(e \mid E) [+ \mid -] (0 \dots 9) \{0 \dots 9 \mid \_ \}] \\ & \mid [-] (0x \mid 0X) (0 \dots 9 \mid A \dots F \mid a \dots f) \{0 \dots 9 \mid A \dots F \mid a \dots f \mid \_ \} \\ & \quad [ \cdot \{0 \dots 9 \mid A \dots F \mid a \dots f \mid \_ \} ] [(p \mid P) [+ \mid -] (0 \dots 9) \{0 \dots 9 \mid \_ \}] \end{aligned}$$

Floating-point decimal literals consist in an integer part, a fractional part and an exponent part. The integer part is a sequence of one or more digits, optionally preceded by a minus sign. The fractional part is a decimal point followed by zero, one or more digits. The exponent part is the character `e` or `E` followed by an optional `+` or `-` sign, followed by one or more digits. It is interpreted as a power of 10. The fractional part or the exponent part can be omitted but not both, to avoid ambiguity with integer literals. The interpretation of floating-point literals that fall outside the range of representable floating-point values is undefined.

Floating-point hexadecimal literals are denoted with the `0x` or `0X` prefix. The syntax is similar to that of floating-point decimal literals, with the following differences. The integer part and the fractional part use hexadecimal digits. The exponent part starts with the character `p` or `P`. It is written in decimal and interpreted as a power of 2.

For convenience and readability, underscore characters (`_`) are accepted (and ignored) within floating-point literals.

```
# let pi = 3.141_592_653_589_793_12
   let small_negative = -1e-5
   let machine_epsilon = 0x1p-52;;
val pi : float = 3.14159265358979312
val small_negative : float = -1e-05
val machine_epsilon : float = 2.22044604925031308e-16
```

## Character literals

$$\begin{aligned}
 \textit{char-literal} &::= ' \textit{regular-char} ' \\
 &| ' \textit{escape-sequence} ' \\
 \textit{escape-sequence} &::= \backslash (\backslash | " | ' | \textit{n} | \textit{t} | \textit{b} | \textit{r} | \textit{space}) \\
 &| \backslash (0 \dots 9) (0 \dots 9) (0 \dots 9) \\
 &| \backslash \textit{x} (0 \dots 9 | \textit{A} \dots \textit{F} | \textit{a} \dots \textit{f}) (0 \dots 9 | \textit{A} \dots \textit{F} | \textit{a} \dots \textit{f}) \\
 &| \backslash \textit{o} (0 \dots 3) (0 \dots 7) (0 \dots 7)
 \end{aligned}$$

Character literals are delimited by ' (single quote) characters. The two single quotes enclose either one character different from ' and \, or one of the escape sequences below:

Sequence	Character denoted
<code>\\</code>	backslash (\)
<code>\"</code>	double quote (")
<code>\'</code>	single quote (')
<code>\n</code>	linefeed (LF)
<code>\r</code>	carriage return (CR)
<code>\t</code>	horizontal tabulation (TAB)
<code>\b</code>	backspace (BS)
<code>\space</code>	space (SPC)
<code>\ddd</code>	the character with ASCII code <i>ddd</i> in decimal
<code>\xhh</code>	the character with ASCII code <i>hh</i> in hexadecimal
<code>\ooo</code>	the character with ASCII code <i>ooo</i> in octal

```

# let a = 'a'
  let single_quote = '\''
  let copyright = '\xA9';;
val a : char = 'a'
val single_quote : char = '\''
val copyright : char = '\169'

```

## String literals

$$\begin{aligned}
 \textit{string-literal} &::= " \{ \textit{string-character} \} " \\
 &| \{ \textit{quoted-string-id} | \{ \textit{any-char} \} | \textit{quoted-string-id} \} \\
 \textit{quoted-string-id} &::= \{ \textit{a} \dots \textit{z} | \_ \} \\
 \textit{string-character} &::= \textit{regular-string-char} \\
 &| \textit{escape-sequence} \\
 &| \backslash \textit{u} \{ \{ 0 \dots 9 | \textit{A} \dots \textit{F} | \textit{a} \dots \textit{f} \}^+ \} \\
 &| \backslash \textit{newline} \{ \textit{space} | \textit{tab} \}
 \end{aligned}$$

String literals are delimited by " (double quote) characters. The two double quotes enclose a sequence of either characters different from " and \, or escape sequences from the table given above for character literals, or a Unicode character escape sequence.

A Unicode character escape sequence is substituted by the UTF-8 encoding of the specified Unicode scalar value. The Unicode scalar value, an integer in the ranges 0x0000...0xD7FF or 0xE000...0x10FFFF, is defined using 1 to 6 hexadecimal digits; leading zeros are allowed.

```
# let greeting = "Hello, World!\n"
   let superscript_plus = "\u{207A}";;
val greeting : string = "Hello, World!\n"
val superscript_plus : string = "+"
```

To allow splitting long string literals across lines, the sequence *\newline spaces-or-tabs* (a backslash at the end of a line followed by any number of spaces and horizontal tabulations at the beginning of the next line) is ignored inside string literals.

```
# let longstr =
  "Call me Ishmael. Some years ago --- never mind how long \
  precisely --- having little or no money in my purse, and \
  nothing particular to interest me on shore, I thought I\
  \ would sail about a little and see the watery part of t\
  he world.";;
val longstr : string =
  "Call me Ishmael. Some years ago --- never mind how long precisely --- having little or no money in m
```

Quoted string literals provide an alternative lexical syntax for string literals. They are useful to represent strings of arbitrary content without escaping. Quoted strings are delimited by a matching pair of { *quoted-string-id* | and | *quoted-string-id* } with the same *quoted-string-id* on both sides. Quoted strings do not interpret any character in a special way but requires that the sequence | *quoted-string-id* } does not occur in the string itself. The identifier *quoted-string-id* is a (possibly empty) sequence of lowercase letters and underscores that can be freely chosen to avoid such issue.

```
# let quoted_greeting = {|"Hello, World!"|}
   let nested = {ext|hello {|world|}|ext};;
val quoted_greeting : string = "\"Hello, World!\""
val nested : string = "hello {|world|}"
```

The current implementation places practically no restrictions on the length of string literals.

## Naming labels

To avoid ambiguities, naming labels in expressions cannot just be defined syntactically as the sequence of the three tokens *~*, *ident* and *:*, and have to be defined at the lexical level.

$$\begin{aligned} \textit{label-name} &::= \textit{lowercase-ident} \\ \textit{label} &::= \textit{~ label-name :} \\ \textit{optlabel} &::= \textit{? label-name :} \end{aligned}$$

Naming labels come in two flavours: *label* for normal arguments and *optlabel* for optional ones. They are simply distinguished by their first character, either *~* or *?*.

Despite *label* and *optlabel* being lexical entities in expressions, their expansions *~ label-name :* and *? label-name :* will be used in grammars, for the sake of readability. Note also that inside type

expressions, this expansion can be taken literally, *i.e.* there are really 3 tokens, with optional blanks between them.

## Prefix and infix symbols

```

infix-symbol ::= (core-operator-char | % | <) {operator-char}
              | # {operator-char}+
prefix-symbol ::= ! {operator-char}
              | (? | ~) {operator-char}+
operator-char ::= ~ | ! | ? | core-operator-char | % | < | : | .
core-operator-char ::= $ | & | * | + | - | / | = | > | @ | ^ | |

```

See also the following language extensions: [extension operators](#), [extended indexing operators](#), and [binding operators](#).

Sequences of “operator characters”, such as `<=>` or `!!`, are read as a single token from the *infix-symbol* or *prefix-symbol* class. These symbols are parsed as prefix and infix operators inside expressions, but otherwise behave like normal identifiers.

## Keywords

The identifiers below are reserved as keywords, and cannot be employed otherwise:

and	as	assert	asr	begin	class
constraint	do	done	downto	else	end
exception	external	false	for	fun	function
functor	if	in	include	inherit	initializer
land	lazy	let	lor	lsl	lsr
lxor	match	method	mod	module	mutable
new	nonrec	object	of	open	or
private	rec	sig	struct	then	to
true	try	type	val	virtual	when
while	with				

The following character sequences are also keywords:

```

!=   #   &   &&   '   (   )   *   +   ,   -
- .   ->   .   ..   .~   :   ::   :=   :>   ;   ;;
<   <-   =   >   >]   >}   ?   [   [<   [>   [|
]   _   `   {   {<   |   []   ||   }   ~

```

Note that the following identifiers are keywords of the now unmaintained Camlp4 system and should be avoided for backwards compatibility reasons.

```

parser  value  $   $$  $:  <:  <<  >>  ??

```

## Ambiguities

Lexical ambiguities are resolved according to the “longest match” rule: when a character sequence can be decomposed into two tokens in several different ways, the decomposition retained is the one with the longest first token.

## Line number directives

$$\text{linenum-directive} ::= \# \{0 \dots 9\}^+ " \{string-character\} "$$

Preprocessors that generate OCaml source code can insert line number directives in their output so that error messages produced by the compiler contain line numbers and file names referring to the source file before preprocessing, instead of after preprocessing. A line number directive starts at the beginning of a line, is composed of a `#` (sharp sign), followed by a positive integer (the source line number), followed by a character string (the source file name). Line number directives are treated as blanks during lexical analysis.

## 11.2 Values

This section describes the kinds of values that are manipulated by OCaml programs.

### 11.2.1 Base values

#### Integer numbers

Integer values are integer numbers from  $-2^{30}$  to  $2^{30} - 1$ , that is  $-1073741824$  to  $1073741823$ . The implementation may support a wider range of integer values: on 64-bit platforms, the current implementation supports integers ranging from  $-2^{62}$  to  $2^{62} - 1$ .

#### Floating-point numbers

Floating-point values are numbers in floating-point representation. The current implementation uses double-precision floating-point numbers conforming to the IEEE 754 standard, with 53 bits of mantissa and an exponent ranging from  $-1022$  to  $1023$ .

#### Characters

Character values are represented as 8-bit integers between 0 and 255. Character codes between 0 and 127 are interpreted following the ASCII standard. The current implementation interprets character codes between 128 and 255 following the ISO 8859-1 standard.

#### Character strings

String values are finite sequences of characters. The current implementation supports strings containing up to  $2^{24} - 5$  characters (16777211 characters); on 64-bit platforms, the limit is  $2^{57} - 9$ .

### 11.2.2 Tuples

Tuples of values are written  $(v_1, \dots, v_n)$ , standing for the  $n$ -tuple of values  $v_1$  to  $v_n$ . The current implementation supports tuple of up to  $2^{22} - 1$  elements (4194303 elements).

### 11.2.3 Records

Record values are labeled tuples of values. The record value written  $\{ field_1 = v_1 ; \dots ; field_n = v_n \}$  associates the value  $v_i$  to the record field  $field_i$ , for  $i = 1 \dots n$ . The current implementation supports records with up to  $2^{22} - 1$  fields (4194303 fields).

### 11.2.4 Arrays

Arrays are finite, variable-sized sequences of values of the same type. The current implementation supports arrays containing up to  $2^{22} - 1$  elements (4194303 elements) unless the elements are floating-point numbers (2097151 elements in this case); on 64-bit platforms, the limit is  $2^{54} - 1$  for all arrays.

### 11.2.5 Variant values

Variant values are either a constant constructor, or a non-constant constructor applied to a number of values. The former case is written *constr*; the latter case is written *constr*  $(v_1, \dots, v_n)$ , where the  $v_i$  are said to be the arguments of the non-constant constructor *constr*. The parentheses may be omitted if there is only one argument.

The following constants are treated like built-in constant constructors:

Constant	Constructor
<b>false</b>	the boolean false
<b>true</b>	the boolean true
<b>()</b>	the “unit” value
<b>[]</b>	the empty list

The current implementation limits each variant type to have at most 246 non-constant constructors and  $2^{30} - 1$  constant constructors.

### 11.2.6 Polymorphic variants

Polymorphic variants are an alternate form of variant values, not belonging explicitly to a predefined variant type, and following specific typing rules. They can be either constant, written  $\sim tag\text{-}name$ , or non-constant, written  $\sim tag\text{-}name (v)$ .

### 11.2.7 Functions

Functional values are mappings from values to values.

### 11.2.8 Objects

Objects are composed of a hidden internal state which is a record of instance variables, and a set of methods for accessing and modifying these variables. The structure of an object is described by the toplevel class that created it.

## 11.3 Names

Identifiers are used to give names to several classes of language objects and refer to these objects by name later:

- value names (syntactic class *value-name*),
- value constructors and exception constructors (class *constr-name*),
- labels (*label-name*, defined in section [11.1](#)),
- polymorphic variant tags (*tag-name*),
- type constructors (*typeconstr-name*),
- record fields (*field-name*),
- class names (*class-name*),
- method names (*method-name*),
- instance variable names (*inst-var-name*),
- module names (*module-name*),
- module type names (*modtype-name*).

These eleven name spaces are distinguished both by the context and by the capitalization of the identifier: whether the first letter of the identifier is in lowercase (written *lowercase-ident* below) or in uppercase (written *capitalized-ident*). Underscore is considered a lowercase letter for this purpose.

## Naming objects

```

value-name ::= lowercase-ident
            | ( operator-name )

operator-name ::= prefix-symbol | infix-op

infix-op ::= infix-symbol
          | * | + | - | - . | = | != | < | > | or | || | & | && | :=
          | mod | land | lor | lxor | lsl | lsr | asr

constr-name ::= capitalized-ident

tag-name ::= capitalized-ident

typeconstr-name ::= lowercase-ident

field-name ::= lowercase-ident

module-name ::= capitalized-ident

modtype-name ::= ident

class-name ::= lowercase-ident

inst-var-name ::= lowercase-ident

method-name ::= lowercase-ident

```

See also the following language extension: [extended indexing operators](#).

As shown above, prefix and infix symbols as well as some keywords can be used as value names, provided they are written between parentheses. The capitalization rules are summarized in the table below.

Name space	Case of first letter
Values	lowercase
Constructors	uppercase
Labels	lowercase
Polymorphic variant tags	uppercase
Exceptions	uppercase
Type constructors	lowercase
Record fields	lowercase
Classes	lowercase
Instance variables	lowercase
Methods	lowercase
Modules	uppercase
Module types	any

*Note on polymorphic variant tags:* the current implementation accepts lowercase variant tags in addition to capitalized variant tags, but we suggest you avoid lowercase variant tags for portability and compatibility with future OCaml versions.



**Referring to named objects**

$$\begin{aligned}
\text{value-path} &::= [\text{module-path } .] \text{ value-name} \\
\text{constr} &::= [\text{module-path } .] \text{ constr-name} \\
\text{typeconstr} &::= [\text{extended-module-path } .] \text{ typeconstr-name} \\
\text{field} &::= [\text{module-path } .] \text{ field-name} \\
\text{modtype-path} &::= [\text{extended-module-path } .] \text{ modtype-name} \\
\text{class-path} &::= [\text{module-path } .] \text{ class-name} \\
\text{classtype-path} &::= [\text{extended-module-path } .] \text{ class-name} \\
\text{module-path} &::= \text{module-name } \{ . \text{ module-name } \} \\
\text{extended-module-path} &::= \text{extended-module-name } \{ . \text{ extended-module-name } \} \\
\text{extended-module-name} &::= \text{module-name } \{ ( \text{extended-module-path } ) \}
\end{aligned}$$

A named object can be referred to either by its name (following the usual static scoping rules for names) or by an access path *prefix . name*, where *prefix* designates a module and *name* is the name of an object defined in that module. The first component of the path, *prefix*, is either a simple module name or an access path *name*<sub>1</sub> . *name*<sub>2</sub> . . . , in case the defining module is itself nested inside other modules. For referring to type constructors, module types, or class types, the *prefix* can also contain simple functor applications (as in the syntactic class *extended-module-path* above) in case the defining module is the result of a functor application.

Label names, tag names, method names and instance variable names need not be qualified: the former three are global labels, while the latter are local to a class.

## 11.4 Type expressions

```

typexpr ::= ' ident
           | _
           | ( typexpr )
           | [[?] label-name :] typexpr -> typexpr
           | typexpr { * typexpr }+
           | typeconstr
           | typexpr typeconstr
           | ( typexpr { , typexpr } ) typeconstr
           | typexpr as ' ident
           | polymorphic-variant-type
           | < [ . . ] >
           | < method-type { ; method-type } [ ; | ; . . ] >
           | # classtype-path
           | typexpr # class-path
           | ( typexpr { , typexpr } ) # class-path

poly-typexpr ::= typexpr
                | { ' ident }+ . typexpr

method-type ::= method-name : poly-typexpr

```

See also the following language extensions: [first-class modules](#), [attributes](#) and [extension nodes](#).

The table below shows the relative precedences and associativity of operators and non-closed type constructions. The constructions with higher precedences come first.

Operator	Associativity
Type constructor application	—
#	—
*	—
->	right
as	—

Type expressions denote types in definitions of data types as well as in type constraints over patterns and expressions.

### Type variables

The type expression ' *ident* stands for the type variable named *ident*. The type expression *\_* stands for either an anonymous type variable or anonymous type parameters. In data type definitions, type variables are names for the data type parameters. In type constraints, they represent unspecified types that can be instantiated by any type to satisfy the type constraint. In general the scope of a named type variable is the whole top-level phrase where it appears, and it can only be generalized when leaving this scope. Anonymous variables have no such restriction. In the following cases, the scope of named type variables is restricted to the type expression where they appear: 1) for universal (explicitly polymorphic) type variables; 2) for type variables that only appear in public method specifications (as those variables will be made universal, as described in section [11.9.1](#)); 3)

for variables used as aliases, when the type they are aliased to would be invalid in the scope of the enclosing definition (*i.e.* when it contains free universal type variables, or locally defined types.)

## Parenthesized types

The type expression `( typexpr )` denotes the same type as *typexpr*.

## Function types

The type expression *typexpr*<sub>1</sub> `->` *typexpr*<sub>2</sub> denotes the type of functions mapping arguments of type *typexpr*<sub>1</sub> to results of type *typexpr*<sub>2</sub>.

*label-name* : *typexpr*<sub>1</sub> `->` *typexpr*<sub>2</sub> denotes the same function type, but the argument is labeled *label*.

? *label-name* : *typexpr*<sub>1</sub> `->` *typexpr*<sub>2</sub> denotes the type of functions mapping an optional labeled argument of type *typexpr*<sub>1</sub> to results of type *typexpr*<sub>2</sub>. That is, the physical type of the function will be *typexpr*<sub>1</sub> `option ->` *typexpr*<sub>2</sub>.

## Tuple types

The type expression *typexpr*<sub>1</sub> `*` ... `*` *typexpr*<sub>*n*</sub> denotes the type of tuples whose elements belong to types *typexpr*<sub>1</sub>, ..., *typexpr*<sub>*n*</sub> respectively.

## Constructed types

Type constructors with no parameter, as in *typeconstr*, are type expressions.

The type expression *typexpr* *typeconstr*, where *typeconstr* is a type constructor with one parameter, denotes the application of the unary type constructor *typeconstr* to the type *typexpr*.

The type expression (*typexpr*<sub>1</sub>, ..., *typexpr*<sub>*n*</sub>) *typeconstr*, where *typeconstr* is a type constructor with *n* parameters, denotes the application of the *n*-ary type constructor *typeconstr* to the types *typexpr*<sub>1</sub> through *typexpr*<sub>*n*</sub>.

In the type expression `_` *typeconstr*, the anonymous type expression `_` stands in for anonymous type parameters and is equivalent to `(_, ..., _)` with as many repetitions of `_` as the arity of *typeconstr*.

## Aliased and recursive types

The type expression *typexpr* `as` ' *ident* denotes the same type as *typexpr*, and also binds the type variable *ident* to type *typexpr* both in *typexpr* and in other types. In general the scope of an alias is the same as for a named type variable, and covers the whole enclosing definition. If the type variable *ident* actually occurs in *typexpr*, a recursive type is created. Recursive types for which there exists a recursive path that does not contain an object or polymorphic variant type constructor are rejected, except when the `-rectypes` mode is selected.

If ' *ident* denotes an explicit polymorphic variable, and *typexpr* denotes either an object or polymorphic variant type, the row variable of *typexpr* is captured by ' *ident*, and quantified upon.

## Polymorphic variant types

```

polymorphic-variant-type ::= [ tag-spec-first { | tag-spec } ]
                           | [> [tag-spec] { | tag-spec } ]
                           | [< [1] tag-spec-full { | tag-spec-full } > { ` tag-name }+ ]

tag-spec-first ::= ` tag-name [of typexpr]
                | [typexpr] | tag-spec

tag-spec ::= ` tag-name [of typexpr]
           | typexpr

tag-spec-full ::= ` tag-name [of [&] typexpr {& typexpr}]
                | typexpr

```

Polymorphic variant types describe the values a polymorphic variant may take.

The first case is an exact variant type: all possible tags are known, with their associated types, and they can all be present. Its structure is fully known.

The second case is an open variant type, describing a polymorphic variant value: it gives the list of all tags the value could take, with their associated types. This type is still compatible with a variant type containing more tags. A special case is the unknown type, which does not define any tag, and is compatible with any variant type.

The third case is a closed variant type. It gives information about all the possible tags and their associated types, and which tags are known to potentially appear in values. The exact variant type (first case) is just an abbreviation for a closed variant type where all possible tags are also potentially present.

In all three cases, tags may be either specified directly in the `` tag-name [of typexpr]` form, or indirectly through a type expression, which must expand to an exact variant type, whose tag specifications are inserted in its place.

Full specifications of variant tags are only used for non-exact closed types. They can be understood as a conjunctive type for the argument: it is intended to have all the types enumerated in the specification.

Such conjunctive constraints may be unsatisfiable. In such a case the corresponding tag may not be used in a value of this type. This does not mean that the whole type is not valid: one can still use other available tags. Conjunctive constraints are mainly intended as output from the type checker. When they are used in source programs, unsolvable constraints may cause early failures.

## Object types

An object type `< [method-type { ; method-type } ] >` is a record of method types.

Each method may have an explicit polymorphic type: `{ ' ident }+ . typexpr`. Explicit polymorphic variables have a local scope, and an explicit polymorphic type can only be unified to an equivalent one, where only the order and names of polymorphic variables may change.

The type `< { method-type ; } . . . >` is the type of an object whose method names and types are described by `method-type1, ..., method-typen`, and possibly some other methods represented by the ellipsis. This ellipsis actually is a special kind of type variable (called *row variable* in the literature) that stands for any number of extra method types.

## #-types

The type `# classtype-path` is a special kind of abbreviation. This abbreviation unifies with the type of any object belonging to a subclass of the class type `classtype-path`. It is handled in a special way as it usually hides a type variable (an ellipsis, representing the methods that may be added in a subclass). In particular, it vanishes when the ellipsis gets instantiated. Each type expression `# classtype-path` defines a new type variable, so type `# classtype-path -> # classtype-path` is usually not the same as type `(# classtype-path as ' ident) -> ' ident`.

## Variant and record types

There are no type expressions describing (defined) variant types nor record types, since those are always named, i.e. defined before use and referred to by name. Type definitions are described in section [11.8.1](#).

## 11.5 Constants

```

constant ::= integer-literal
          | int32-literal
          | int64-literal
          | nativeint-literal
          | float-literal
          | char-literal
          | string-literal
          | constr
          | false
          | true
          | ( )
          | begin end
          | [ ]
          | [ | | ]
          | ` tag-name

```

See also the following language extension: [extension literals](#).

The syntactic class of constants comprises literals from the four base types (integers, floating-point numbers, characters, character strings), the integer variants, and constant constructors from both normal and polymorphic variants, as well as the special constants `false`, `true`, `( )`, `[ ]`, and `[ | | ]`, which behave like constant constructors, and `begin end`, which is equivalent to `( )`.

## 11.6 Patterns

```

pattern ::= value-name
        | -
        | constant
        | pattern as value-name
        | ( pattern )
        | ( pattern : typexpr )
        | pattern | pattern
        | constr pattern
        | ` tag-name pattern
        | # typeconstr
        | pattern { , pattern }+
        | { field [: typexpr] [= pattern] { ; field [: typexpr] [= pattern] } [ ; _ ] [ ; ] }
        | [ pattern { ; pattern } [ ; ] ]
        | pattern :: pattern
        | [ | pattern { ; pattern } [ ; ] | ]
        | char-literal .. char-literal
        | lazy pattern
        | exception pattern
        | module-path . ( pattern )
        | module-path . [ pattern ]
        | module-path . [ | pattern | ]
        | module-path . { pattern }

```

See also the following language extensions: [first-class modules](#), [attributes](#) and [extension nodes](#).

The table below shows the relative precedences and associativity of operators and non-closed pattern constructions. The constructions with higher precedences come first.

Operator	Associativity
..	—
lazy (see section <a href="#">11.6.1</a> )	—
Constructor application, Tag application	right
::	right
,	—
	left
as	—

Patterns are templates that allow selecting data structures of a given shape, and binding identifiers to components of the data structure. This selection operation is called pattern matching; its outcome is either “this value does not match this pattern”, or “this value matches this pattern, resulting in the following bindings of names to values”.

### Variable patterns

A pattern that consists in a value name matches any value, binding the name to the value. The pattern `_` also matches any value, but does not bind any name.

```
# let is_empty = function
  | [] -> true
  | _ :: _ -> false;;
val is_empty : 'a list -> bool = <fun>
```

Patterns are *linear*: a variable cannot be bound several times by a given pattern. In particular, there is no way to test for equality between two parts of a data structure using only a pattern:

```
# let pair_equal = function
  | x, x -> true
  | x, y -> false;;
```

*Error: Variable x is bound several times in this matching*

However, we can use a `when` guard for this purpose:

```
# let pair_equal = function
  | x, y when x = y -> true
  | _ -> false;;
val pair_equal : 'a * 'a -> bool = <fun>
```

## Constant patterns

A pattern consisting in a constant matches the values that are equal to this constant.

```
# let bool_of_string = function
  | "true" -> true
  | "false" -> false
  | _ -> raise (Invalid_argument "bool_of_string");;
val bool_of_string : string -> bool = <fun>
```

## Alias patterns

The pattern *pattern*<sub>1</sub> *as value-name* matches the same values as *pattern*<sub>1</sub>. If the matching against *pattern*<sub>1</sub> is successful, the name *value-name* is bound to the matched value, in addition to the bindings performed by the matching against *pattern*<sub>1</sub>.

```
# let sort_pair ((x, y) as p) =
  if x <= y then p else (y, x);;
val sort_pair : 'a * 'a -> 'a * 'a = <fun>
```

## Parenthesized patterns

The pattern ( *pattern*<sub>1</sub> ) matches the same values as *pattern*<sub>1</sub>. A type constraint can appear in a parenthesized pattern, as in ( *pattern*<sub>1</sub> : *typexpr* ). This constraint forces the type of *pattern*<sub>1</sub> to be compatible with *typexpr*.

```
# let int_triple_is_ordered ((a, b, c) : int * int * int) =
  a <= b && b <= c;;
val int_triple_is_ordered : int * int * int -> bool = <fun>
```

## “Or” patterns

The pattern  $pattern_1 \mid pattern_2$  represents the logical “or” of the two patterns  $pattern_1$  and  $pattern_2$ . A value matches  $pattern_1 \mid pattern_2$  if it matches  $pattern_1$  or  $pattern_2$ . The two sub-patterns  $pattern_1$  and  $pattern_2$  must bind exactly the same identifiers to values having the same types. Matching is performed from left to right. More precisely, in case some value  $v$  matches  $pattern_1 \mid pattern_2$ , the bindings performed are those of  $pattern_1$  when  $v$  matches  $pattern_1$ . Otherwise, value  $v$  matches  $pattern_2$  whose bindings are performed.

```
# type shape = Square of float | Rect of (float * float) | Circle of float

let is_rectangular = function
  | Square _ | Rect _ -> true
  | Circle _ -> false;;
type shape = Square of float | Rect of (float * float) | Circle of float
val is_rectangular : shape -> bool = <fun>
```

## Variant patterns

The pattern  $constr (pattern_1, \dots, pattern_n)$  matches all variants whose constructor is equal to  $constr$ , and whose arguments match  $pattern_1 \dots pattern_n$ . It is a type error if  $n$  is not the number of arguments expected by the constructor.

The pattern  $constr \_$  matches all variants whose constructor is  $constr$ .

```
# type 'a tree = Lf | Br of 'a tree * 'a * 'a tree

let rec total = function
  | Br (l, x, r) -> total l + x + total r
  | Lf -> 0;;
type 'a tree = Lf | Br of 'a tree * 'a * 'a tree
val total : int tree -> int = <fun>
```

The pattern  $pattern_1 :: pattern_2$  matches non-empty lists whose heads match  $pattern_1$ , and whose tails match  $pattern_2$ .

The pattern  $[ pattern_1 ; \dots ; pattern_n ]$  matches lists of length  $n$  whose elements match  $pattern_1 \dots pattern_n$ , respectively. This pattern behaves like  $pattern_1 :: \dots :: pattern_n :: []$ .

```
# let rec destutter = function
  | [] -> []
  | [a] -> [a]
  | a :: b :: t -> if a = b then destutter (b :: t) else a :: destutter (b :: t);;
val destutter : 'a list -> 'a list = <fun>
```

## Polymorphic variant patterns

The pattern  $\text{`tag-name pattern}_1$  matches all polymorphic variants whose tag is equal to  $\text{tag-name}$ , and whose argument matches  $pattern_1$ .



```
# let rec split = function
  | [] -> ([], [])
  | h :: t ->
    let ss, gs = split t in
    match h with
    | `Sheep _ as s -> (s :: ss, gs)
    | `Goat _ as g -> (ss, g :: gs);;

val split :
  [< `Goat of 'a | `Sheep of 'b ] list ->
  [> `Sheep of 'b ] list * [> `Goat of 'a ] list = <fun>
```

## Polymorphic variant abbreviation patterns

If the type `[('a, 'b, ...)] typeconstr = [ `tag-name1 typexpr1 | ... | `tag-namen typexprn ]` is defined, then the pattern `# typeconstr` is a shorthand for the following or-pattern: `( `tag-name1 (_ : typexpr1) | ... | `tag-namen (_ : typexprn) )`. It matches all values of type `[< typeconstr ]`.

```
# type 'a rectangle = [ `Square of 'a | `Rectangle of 'a * 'a ]
type 'a shape = [ `Circle of 'a | 'a rectangle ]

let try_rectangle = function
  | #rectangle as r -> Some r
  | `Circle _ -> None;;

type 'a rectangle = [ `Rectangle of 'a * 'a | `Square of 'a ]
type 'a shape = [ `Circle of 'a | `Rectangle of 'a * 'a | `Square of 'a ]
val try_rectangle :
  [< `Circle of 'a | `Rectangle of 'b * 'b | `Square of 'b ] ->
  [> `Rectangle of 'b * 'b | `Square of 'b ] option = <fun>
```

## Tuple patterns

The pattern `pattern1 , ... , patternn` matches  $n$ -tuples whose components match the patterns `pattern1` through `patternn`. That is, the pattern matches the tuple values  $(v_1, \dots, v_n)$  such that `patterni` matches  $v_i$  for  $i = 1, \dots, n$ .

```
# let vector (x0, y0) (x1, y1) =
  (x1 -. x0, y1 -. y0);;
val vector : float * float -> float * float -> float * float = <fun>
```

## Record patterns

The pattern `{ field1 [= pattern1] ; ... ; fieldn [= patternn] }` matches records that define at least the fields `field1` through `fieldn`, and such that the value associated to `fieldi` matches the pattern `patterni`, for  $i = 1, \dots, n$ . A single identifier `fieldk` stands for `fieldk = fieldk`, and a single qualified identifier `module-path . fieldk` stands for `module-path . fieldk = fieldk`. The record value can define more fields than `field1 ... fieldn`; the values associated to these extra fields are not taken into account for

matching. Optionally, a record pattern can be terminated by `_` to convey the fact that not all fields of the record type are listed in the record pattern and that it is intentional. Optional type constraints can be added field by field with  $\{ field_1 : typexpr_1 = pattern_1 ; \dots ; field_n : typexpr_n = pattern_n \}$  to force the type of  $field_k$  to be compatible with  $typexpr_k$ .

```
# let bytes_allocated
  {Gc.minor_words = minor;
   Gc.major_words = major;
   Gc.promoted_words = prom;
   _}
=
  (Sys.word_size / 4) * int_of_float (minor +. major -. prom);;
val bytes_allocated : Gc.stat -> int = <fun>
```

## Array patterns

The pattern  $[ pattern_1 ; \dots ; pattern_n ]$  matches arrays of length  $n$  such that the  $i$ -th array element matches the pattern  $pattern_i$ , for  $i = 1, \dots, n$ .

```
# let matrix3_is_symmetric = function
  | [[[_; b; c]];
    [d; _; f]];
    [g; h; _]] -> b = d && c = g && f = h
  | _ -> failwith "matrix3_is_symmetric: not a 3x3 matrix";;
val matrix3_is_symmetric : 'a array array -> bool = <fun>
```

## Range patterns

The pattern `'c' .. 'd'` is a shorthand for the pattern

$$'c' \mid 'c_1' \mid 'c_2' \mid \dots \mid 'c_n' \mid 'd'$$

where  $c_1, c_2, \dots, c_n$  are the characters that occur between  $c$  and  $d$  in the ASCII character set. For instance, the pattern `'0'..'9'` matches all characters that are digits.

```
# type char_class = Uppercase | Lowercase | Digit | Other
```

```
let classify_char = function
  | 'A'..'Z' -> Uppercase
  | 'a'..'z' -> Lowercase
  | '0'..'9' -> Digit
  | _ -> Other;;
type char_class = Uppercase | Lowercase | Digit | Other
val classify_char : char -> char_class = <fun>
```

### 11.6.1 Lazy patterns

(Introduced in Objective Caml 3.11)

*pattern* ::= ...

The pattern `lazy pattern` matches a value *v* of type `Lazy.t`, provided *pattern* matches the result of forcing *v* with `Lazy.force`. A successful match of a pattern containing `lazy` sub-patterns forces the corresponding parts of the value being matched, even those that imply no test such as `lazy value-name` or `lazy _`. Matching a value with a *pattern-matching* where some patterns contain `lazy` sub-patterns may imply forcing parts of the value, even when the pattern selected in the end has no `lazy` sub-pattern.

```
# let force_opt = function
  | Some (lazy n) -> n
  | None -> 0;;
val force_opt : int lazy_t option -> int = <fun>
```

For more information, see the description of module `Lazy` in the standard library (module `Lazy`[28.29]).

### Exception patterns

(Introduced in OCaml 4.02)

A new form of exception pattern, *exception pattern*, is allowed only as a toplevel pattern or inside a toplevel or-pattern under a `match...with` pattern-matching (other occurrences are rejected by the type-checker).

Cases with such a toplevel pattern are called “exception cases”, as opposed to regular “value cases”. Exception cases are applied when the evaluation of the matched expression raises an exception. The exception value is then matched against all the exception cases and re-raised if none of them accept the exception (as with a `try...with` block). Since the bodies of all exception and value cases are outside the scope of the exception handler, they are all considered to be in tail-position: if the `match...with` block itself is in tail position in the current function, any function call in tail position in one of the case bodies results in an actual tail call.

A pattern match must contain at least one value case. It is an error if all cases are exceptions, because there would be no code to handle the return of a value.

```
# let find_opt p l =
  match List.find p l with
  | exception Not_found -> None
  | x -> Some x;;
val find_opt : ('a -> bool) -> 'a list -> 'a option = <fun>
```

### Local opens for patterns

(Introduced in OCaml 4.04)

For patterns, local opens are limited to the *module-path* `.( pattern )` construction. This construction locally opens the module referred to by the module path *module-path* in the scope of the pattern *pattern*.

When the body of a local open pattern is delimited by `[ ]`, `[| |]`, or `{ }`, the parentheses can be omitted. For example, `module-path . [ pattern ]` is equivalent to `module-path . ([ pattern ])`, and `module-path . [| pattern |]` is equivalent to `module-path . ([| pattern |])`.

```
# let bytes_allocated Gc.{minor_words; major_words; promoted_words; _} =  
    (Sys.word_size / 4)  
    * int_of_float (minor_words +. major_words -. promoted_words);;  
val bytes_allocated : Gc.stat -> int = <fun>
```

## 11.7 Expressions

```

expr ::= value-path
        | constant
        | ( expr )
        | begin expr end
        | ( expr : typexpr )
        | expr { , expr }+
        | constr expr
        | ` tag-name expr
        | expr :: expr
        | [ expr { ; expr } [ ; ] ]
        | [ | expr { ; expr } [ ; ] | ]
        | { field [ : typexpr ] [= expr] { ; field [ : typexpr ] [= expr] } [ ; ] }
        | { expr with field [ : typexpr ] [= expr] { ; field [ : typexpr ] [= expr] } [ ; ] }
        | expr { argument }+
        | prefix-symbol expr
        | - expr
        | -. expr
        | expr infix-op expr
        | expr . field
        | expr . field <- expr
        | expr . ( expr )
        | expr . ( expr ) <- expr
        | expr . [ expr ]
        | expr . [ expr ] <- expr
        | if expr then expr [else expr]
        | while expr do expr done
        | for value-name = expr (to | downto) expr do expr done
        | expr ; expr
        | match expr with pattern-matching
        | function pattern-matching
        | fun { parameter }+ [ : typexpr ] -> expr
        | try expr with pattern-matching
        | let [rec] let-binding { and let-binding } in expr
        | let exception constr-decl in expr
        | let module module-name { ( module-name : module-type ) } [ : module-type ]
          = module-expr in expr
        | ( expr :> typexpr )
        | ( expr : typexpr :> typexpr )
        | assert expr
        | lazy expr
        | local-open
        | object-expr

```

```

argument ::= expr
           | ~ label-name
           | ~ label-name : expr
           | ? label-name
           | ? label-name : expr

pattern-matching ::= [l] pattern [when expr] -> expr { | pattern [when expr] -> expr }

let-binding ::= pattern = expr
               | value-name { parameter } [: typexpr] [:> typexpr] = expr
               | value-name : poly-typexpr = expr

parameter ::= pattern
              | ~ label-name
              | ~ ( label-name [: typexpr] )
              | ~ label-name : pattern
              | ? label-name
              | ? ( label-name [: typexpr] [= expr] )
              | ? label-name : pattern
              | ? label-name : ( pattern [: typexpr] [= expr] )

local-open ::=
              | let open module-path in expr
              | module-path . ( expr )
              | module-path . [ expr ]
              | module-path . [ | expr | ]
              | module-path . { expr }
              | module-path . { < expr > }

object-expr ::=
              | new class-path
              | object class-body end
              | expr # method-name
              | inst-var-name
              | inst-var-name <- expr
              | { < [inst-var-name [= expr] { ; inst-var-name [= expr] } ; ] > }
```

See also the following language extensions: [first-class modules](#), [overriding in open statements](#), [syntax for Bigarray access](#), [attributes](#), [extension nodes](#) and [extended indexing operators](#).

### 11.7.1 Precedence and associativity

The table below shows the relative precedences and associativity of operators and non-closed constructions. The constructions with higher precedence come first. For infix and prefix symbols, we write “\*...” to mean “any symbol starting with \*”.

Construction or operator	Associativity
prefix-symbol	—
. ( . ( . [ . { (see section 12.11)	—
#...	left
function application, constructor application, tag application, <b>assert</b> , <b>lazy</b>	left
- -. (prefix)	—
**... lsl lsr asr	right
*... /... %... mod land lor lxor	left
+... -...	left
::	right
@... ^...	right
=... <... >...  ... &... \$... !=	left
& &&	right
or	right
,	—
<- :=	right
if	—
;	right
let match fun function try	—

It is simple to test or refresh one's understanding:

```
# 3 + 3 mod 2, 3 + (3 mod 2), (3 + 3) mod 2;;
- : int * int * int = (4, 4, 0)
```

## 11.7.2 Basic expressions

### Constants

An expression consisting in a constant evaluates to this constant. For example, 3.14 or [||].

### Value paths

An expression consisting in an access path evaluates to the value bound to this path in the current evaluation environment. The path can be either a value name or an access path to a value component of a module.

```
# Float.ArrayLabels.to_list;;
- : Float.ArrayLabels.t -> float list = <fun>
```

### Parenthesized expressions

The expressions ( *expr* ) and **begin** *expr* **end** have the same value as *expr*. The two constructs are semantically equivalent, but it is good style to use **begin**...**end** inside control structures:

```
if ... then begin ... ; ... end else begin ... ; ... end
```

and (...) for the other grouping situations.

```
# let x = 1 + 2 * 3
  let y = (1 + 2) * 3;;
val x : int = 7
val y : int = 9

# let f a b =
  if a = b then
    print_endline "Equal"
  else begin
    print_string "Not Equal: ";
    print_int a;
    print_string " and ";
    print_int b;
    print_newline ()
  end;;
val f : int -> int -> unit = <fun>
```

Parenthesized expressions can contain a type constraint, as in `( expr : typexpr )`. This constraint forces the type of `expr` to be compatible with `typexpr`.

Parenthesized expressions can also contain coercions `( expr [: typexpr] :> typexpr )` (see subsection 11.7.9 below).

## Function application

Function application is denoted by juxtaposition of (possibly labeled) expressions. The expression `expr argument1 ... argumentn` evaluates the expression `expr` and those appearing in `argument1` to `argumentn`. The expression `expr` must evaluate to a functional value `f`, which is then applied to the values of `argument1, ..., argumentn`.

The order in which the expressions `expr, argument1, ..., argumentn` are evaluated is not specified.

```
# List.fold_left ( + ) 0 [1; 2; 3; 4; 5];;
- : int = 15
```

Arguments and parameters are matched according to their respective labels. Argument order is irrelevant, except among arguments with the same label, or no label.

```
# ListLabels.fold_left ~f:( @ ) ~init:[] [[1; 2; 3]; [4; 5; 6]; [7; 8; 9]];;
- : int list = [1; 2; 3; 4; 5; 6; 7; 8; 9]
```

If a parameter is specified as optional (label prefixed by `?`) in the type of `expr`, the corresponding argument will be automatically wrapped with the constructor `Some`, except if the argument itself is also prefixed by `?`, in which case it is passed as is.

```
# let fullname ?title first second =
  match title with
  | Some t -> t ^ " " ^ first ^ " " ^ second
  | None -> first ^ " " ^ second
```



```

let name = fullname ~title:"Mrs" "Jane" "Fisher"

let address ?title first second town =
  fullname ?title first second ^ "\n" ^ town;;
val fullname : ?title:string -> string -> string -> string = <fun>
val name : string = "Mrs Jane Fisher"
val address : ?title:string -> string -> string -> string = <fun>

```

If a non-labeled argument is passed, and its corresponding parameter is preceded by one or several optional parameters, then these parameters are *defaulted*, i.e. the value `None` will be passed for them. All other missing parameters (without corresponding argument), both optional and non-optional, will be kept, and the result of the function will still be a function of these missing parameters to the body of *f*.

```

# let fullname ?title first second =
  match title with
  | Some t -> t ^ " " ^ first ^ " " ^ second
  | None -> first ^ " " ^ second

let name = fullname "Jane" "Fisher";;
val fullname : ?title:string -> string -> string -> string = <fun>
val name : string = "Jane Fisher"

```

In all cases but exact match of order and labels, without optional parameters, the function type should be known at the application point. This can be ensured by adding a type constraint. Principality of the derivation can be checked in the `-principal` mode.

As a special case, OCaml supports `labels-omitted` full applications: if the function has a known arity, all the arguments are unlabeled, and their number matches the number of non-optional parameters, then labels are ignored and non-optional parameters are matched in their definition order. Optional arguments are defaulted. This omission of labels is discouraged and results in a warning, see [13.5.1](#).

## Function definition

Two syntactic forms are provided to define functions. The first form is introduced by the keyword `function`:

```

function pattern1 -> expr1
      | ...
      | patternn -> exprn

```

This expression evaluates to a functional value with one argument. When this function is applied to a value *v*, this value is matched against each pattern *pattern*<sub>1</sub> to *pattern*<sub>n</sub>. If one of these matchings succeeds, that is, if the value *v* matches the pattern *pattern*<sub>*i*</sub> for some *i*, then the expression *expr*<sub>*i*</sub> associated to the selected pattern is evaluated, and its value becomes the value of the function application. The evaluation of *expr*<sub>*i*</sub> takes place in an environment enriched by the bindings performed during the matching.

If several patterns match the argument *v*, the one that occurs first in the function definition is selected. If none of the patterns matches the argument, the exception `Match_failure` is raised.

```
# (function (0, 0) -> "both zero"
  | (0, _) -> "first only zero"
  | (_, 0) -> "second only zero"
  | (_, _) -> "neither zero")
(7, 0);;
- : string = "second only zero"
```

The other form of function definition is introduced by the keyword `fun`:

$$\text{fun } parameter_1 \dots parameter_n \rightarrow expr$$

This expression is equivalent to:

$$\text{fun } parameter_1 \rightarrow \dots \text{fun } parameter_n \rightarrow expr$$

```
# let f = (fun a -> fun b -> fun c -> a + b + c)
  let g = (fun a b c -> a + b + c);;
val f : int -> int -> int -> int = <fun>
val g : int -> int -> int -> int = <fun>
```

An optional type constraint *typexpr* can be added before `->` to enforce the type of the result to be compatible with the constraint *typexpr*:

$$\text{fun } parameter_1 \dots parameter_n : typexpr \rightarrow expr$$

is equivalent to

$$\text{fun } parameter_1 \rightarrow \dots \text{fun } parameter_n \rightarrow (expr : typexpr)$$

Beware of the small syntactic difference between a type constraint on the last parameter

$$\text{fun } parameter_1 \dots (parameter_n : typexpr) \rightarrow expr$$

and one on the result

$$\text{fun } parameter_1 \dots parameter_n : typexpr \rightarrow expr$$

```
# let eq = fun (a : int) (b : int) -> a = b
  let eq2 = fun a b : bool -> a = b
  let eq3 = fun (a : int) (b : int) : bool -> a = b;;
val eq : int -> int -> bool = <fun>
val eq2 : 'a -> 'a -> bool = <fun>
val eq3 : int -> int -> bool = <fun>
```

The parameter patterns `~ lab` and `~( lab [: typ] )` are shorthands for respectively `~ lab : lab` and `~ lab : ( lab [: typ] )`, and similarly for their optional counterparts.

```
# let bool_map ~cmp:(cmp : int -> int -> bool) l =
  List.map cmp l

let bool_map' ~(cmp : int -> int -> bool) l =
  List.map cmp l;;
```

```

val bool_map : cmp:(int -> int -> bool) -> int list -> (int -> bool) list =
  <fun>
val bool_map' : cmp:(int -> int -> bool) -> int list -> (int -> bool) list =
  <fun>

```

A function of the form `fun ? lab : ( pattern = expr0 ) -> expr` is equivalent to

`fun ? lab : ident -> let pattern = match ident with Some ident -> ident | None -> expr0 in expr`

where *ident* is a fresh variable, except that it is unspecified when *expr<sub>0</sub>* is evaluated.

```

# let open_file_for_input ?binary filename =
  match binary with
  | Some true -> open_in_bin filename
  | Some false | None -> open_in filename

let open_file_for_input' ?(binary=false) filename =
  if binary then open_in_bin filename else open_in filename;;
val open_file_for_input : ?binary:bool -> string -> in_channel = <fun>
val open_file_for_input' : ?binary:bool -> string -> in_channel = <fun>

```

After these two transformations, expressions are of the form

`fun [label1] pattern1 -> ... fun [labeln] patternn -> expr`

If we ignore labels, which will only be meaningful at function application, this is equivalent to

`function pattern1 -> ... function patternn -> expr`

That is, the `fun` expression above evaluates to a curried function with *n* arguments: after applying this function *n* times to the values *v*<sub>1</sub> ... *v*<sub>*n*</sub>, the values will be matched in parallel against the patterns *pattern*<sub>1</sub> ... *pattern*<sub>*n*</sub>. If the matching succeeds, the function returns the value of *expr* in an environment enriched by the bindings performed during the matchings. If the matching fails, the exception `Match_failure` is raised.

## Guards in pattern-matchings

The cases of a pattern matching (in the `function`, `match` and `try` constructs) can include guard expressions, which are arbitrary boolean expressions that must evaluate to `true` for the match case to be selected. Guards occur just before the `->` token and are introduced by the `when` keyword:

```

function pattern1 [when cond1] -> expr1
      | ...
      | patternn [when condn] -> exprn

```

Matching proceeds as described before, except that if the value matches some pattern *pattern*<sub>*i*</sub> which has a guard *cond*<sub>*i*</sub>, then the expression *cond*<sub>*i*</sub> is evaluated (in an environment enriched by the bindings performed during matching). If *cond*<sub>*i*</sub> evaluates to `true`, then *expr*<sub>*i*</sub> is evaluated and its value returned as the result of the matching, as usual. But if *cond*<sub>*i*</sub> evaluates to `false`, the matching is resumed against the patterns following *pattern*<sub>*i*</sub>.

```
# let rec repeat f = function
  | 0 -> ()
  | n when n > 0 -> f (); repeat f (n - 1)
  | _ -> raise (Invalid_argument "repeat");;
val repeat : (unit -> 'a) -> int -> unit = <fun>
```

## Local definitions

The `let` and `let rec` constructs bind value names locally. The construct

$$\text{let } pattern_1 = expr_1 \text{ and } \dots \text{ and } pattern_n = expr_n \text{ in } expr$$

evaluates  $expr_1 \dots expr_n$  in some unspecified order and matches their values against the patterns  $pattern_1 \dots pattern_n$ . If the matchings succeed,  $expr$  is evaluated in the environment enriched by the bindings performed during matching, and the value of  $expr$  is returned as the value of the whole `let` expression. If one of the matchings fails, the exception `Match_failure` is raised.

```
# let v =
  let x = 1 in [x; x; x]

let v' =
  let a, b = (1, 2) in a + b

let v'' =
  let a = 1 and b = 2 in a + b;;
val v : int list = [1; 1; 1]
val v' : int = 3
val v'' : int = 3
```

An alternate syntax is provided to bind variables to functional values: instead of writing

$$\text{let } ident = \text{fun } parameter_1 \dots parameter_m \rightarrow expr$$

in a `let` expression, one may instead write

$$\text{let } ident \text{ parameter}_1 \dots \text{parameter}_m = expr$$

```
# let f = fun x -> fun y -> fun z -> x + y + z

let f' = fun x y z -> x + y + z

let f'' x y z = x + y + z;;
val f : int -> int -> int -> int = <fun>
val f' : int -> int -> int -> int = <fun>
val f'' : int -> int -> int -> int = <fun>
```

Recursive definitions of names are introduced by `let rec`:

$$\text{let rec } pattern_1 = expr_1 \text{ and } \dots \text{ and } pattern_n = expr_n \text{ in } expr$$

The only difference with the `let` construct described above is that the bindings of names to values performed by the pattern-matching are considered already performed when the expressions  $expr_1$  to  $expr_n$  are evaluated. That is, the expressions  $expr_1$  to  $expr_n$  can reference identifiers that are bound by one of the patterns  $pattern_1, \dots, pattern_n$ , and expect them to have the same value as in  $expr$ , the body of the `let rec` construct.

```
# let rec even =
  function 0 -> true | n -> odd (n - 1)
and odd =
  function 0 -> false | n -> even (n - 1)
in
  even 1000;;
- : bool = true
```

The recursive definition is guaranteed to behave as described above if the expressions  $expr_1$  to  $expr_n$  are function definitions (`fun...` or `function...`), and the patterns  $pattern_1 \dots pattern_n$  are just value names, as in:

```
let rec name1 = fun... and... and namen = fun... in expr
```

This defines  $name_1 \dots name_n$  as mutually recursive functions local to  $expr$ .

The behavior of other forms of `let rec` definitions is implementation-dependent. The current implementation also supports a certain class of recursive definitions of non-functional values, as explained in section 12.1.

### 11.7.3 Local exceptions

(Introduced in OCaml 4.04)

It is possible to define local exceptions in expressions: `let exception constr-decl in expr`.

```
# let map_empty_on_negative f l =
  let exception Negative in
  let aux x = if x < 0 then raise Negative else f x in
  try List.map aux l with Negative -> [];;
val map_empty_on_negative : (int -> 'a) -> int list -> 'a list = <fun>
```

The syntactic scope of the exception constructor is the inner expression, but nothing prevents exception values created with this constructor from escaping this scope. Two executions of the definition above result in two incompatible exception constructors (as for any exception definition). For instance:

```
# let gen () = let exception A in A

let () = assert(gen () = gen ());;
Exception: Assert_failure ("expr.etex", 3, 9).
```

### 11.7.4 Explicit polymorphic type annotations

(Introduced in OCaml 3.12)

Polymorphic type annotations in `let`-definitions behave in a way similar to polymorphic methods:

```
let pattern1 : typ1 ... typn . typexpr = expr
```

These annotations explicitly require the defined value to be polymorphic, and allow one to use this polymorphism in recursive occurrences (when using `let rec`). Note however that this is a normal polymorphic type, unifiable with any instance of itself.

## 11.7.5 Control structures

### Sequence

The expression `expr1 ; expr2` evaluates `expr1` first, then `expr2`, and returns the value of `expr2`.

```
# let print_pair (a, b) =
  print_string "(";
  print_string (string_of_int a);
  print_string ",";
  print_string (string_of_int b);
  print_endline ")";;
val print_pair : int * int -> unit = <fun>
```

### Conditional

The expression `if expr1 then expr2 else expr3` evaluates to the value of `expr2` if `expr1` evaluates to the boolean `true`, and to the value of `expr3` if `expr1` evaluates to the boolean `false`.

```
# let rec factorial x =
  if x <= 1 then 1 else x * factorial (x - 1);;
val factorial : int -> int = <fun>
```

The `else expr3` part can be omitted, in which case it defaults to `else ()`.

```
# let debug = ref false

let log msg =
  if !debug then prerr_endline msg;;
val debug : bool ref = {contents = false}
val log : string -> unit = <fun>
```

### Case expression

The expression

```
match expr
with pattern1 -> expr1
  | ...
  | patternn -> exprn
```

matches the value of `expr` against the patterns `pattern1` to `patternn`. If the matching against `patterni` succeeds, the associated expression `expri` is evaluated, and its value becomes the value of the whole `match` expression. The evaluation of `expri` takes place in an environment enriched by

the bindings performed during matching. If several patterns match the value of *expr*, the one that occurs first in the `match` expression is selected.

```
# let rec sum l =
  match l with
  | [] -> 0
  | h :: t -> h + sum t;;
val sum : int list -> int = <fun>
```

If none of the patterns match the value of *expr*, the exception `Match_failure` is raised.

```
# let unoption o =
  match o with
  | Some x -> x ;;
```

*Warning 8 [partial-match]: this pattern-matching is not exhaustive.  
Here is an example of a case that is not matched:  
None*

```
val unoption : 'a option -> 'a = <fun>
```

```
# let l = List.map unoption [Some 1; Some 10; None; Some 2];;
Exception: Match_failure ("expr.etex", 2, 2).
```

## Boolean operators

The expression *expr*<sub>1</sub> `&&` *expr*<sub>2</sub> evaluates to `true` if both *expr*<sub>1</sub> and *expr*<sub>2</sub> evaluate to `true`; otherwise, it evaluates to `false`. The first component, *expr*<sub>1</sub>, is evaluated first. The second component, *expr*<sub>2</sub>, is not evaluated if the first component evaluates to `false`. Hence, the expression *expr*<sub>1</sub> `&&` *expr*<sub>2</sub> behaves exactly as

`if expr1 then expr2 else false.`

The expression *expr*<sub>1</sub> `||` *expr*<sub>2</sub> evaluates to `true` if one of the expressions *expr*<sub>1</sub> and *expr*<sub>2</sub> evaluates to `true`; otherwise, it evaluates to `false`. The first component, *expr*<sub>1</sub>, is evaluated first. The second component, *expr*<sub>2</sub>, is not evaluated if the first component evaluates to `true`. Hence, the expression *expr*<sub>1</sub> `||` *expr*<sub>2</sub> behaves exactly as

`if expr1 then true else expr2.`

The boolean operators `&` and `or` are deprecated synonyms for (respectively) `&&` and `||`.

```
# let xor a b =
  (a || b) && not (a && b);;
val xor : bool -> bool -> bool = <fun>
```

## Loops

The expression `while  $expr_1$  do  $expr_2$  done` repeatedly evaluates  $expr_2$  while  $expr_1$  evaluates to `true`. The loop condition  $expr_1$  is evaluated and tested at the beginning of each iteration. The whole `while...done` expression evaluates to the unit value `()`.

```
# let chars_of_string s =
  let i = ref 0 in
  let chars = ref [] in
  while !i < String.length s do
    chars := s.[!i] :: !chars;
    i := !i + 1
  done;
  List.rev !chars;;
val chars_of_string : string -> char list = <fun>
```

The expression `for name =  $expr_1$  to  $expr_2$  do  $expr_3$  done` first evaluates the expressions  $expr_1$  and  $expr_2$  (the boundaries) into integer values  $n$  and  $p$ . Then, the loop body  $expr_3$  is repeatedly evaluated in an environment where  $name$  is successively bound to the values  $n, n + 1, \dots, p - 1, p$ . The loop body is never evaluated if  $n > p$ .

```
# let chars_of_string s =
  let l = ref [] in
  for p = 0 to String.length s - 1 do
    l := s.[p] :: !l
  done;
  List.rev !l;;
val chars_of_string : string -> char list = <fun>
```

The expression `for name =  $expr_1$  downto  $expr_2$  do  $expr_3$  done` evaluates similarly, except that  $name$  is successively bound to the values  $n, n - 1, \dots, p + 1, p$ . The loop body is never evaluated if  $n < p$ .

```
# let chars_of_string s =
  let l = ref [] in
  for p = String.length s - 1 downto 0 do
    l := s.[p] :: !l
  done;
  !l;;
val chars_of_string : string -> char list = <fun>
```

In both cases, the whole `for` expression evaluates to the unit value `()`.

## Exception handling

The expression

```
try   expr
with pattern1 -> expr1
    | ...
    | patternn -> exprn
```



evaluates the expression *expr* and returns its value if the evaluation of *expr* does not raise any exception. If the evaluation of *expr* raises an exception, the exception value is matched against the patterns *pattern*<sub>1</sub> to *pattern*<sub>*n*</sub>. If the matching against *pattern*<sub>*i*</sub> succeeds, the associated expression *expr*<sub>*i*</sub> is evaluated, and its value becomes the value of the whole **try** expression. The evaluation of *expr*<sub>*i*</sub> takes place in an environment enriched by the bindings performed during matching. If several patterns match the value of *expr*, the one that occurs first in the **try** expression is selected. If none of the patterns matches the value of *expr*, the exception value is raised again, thereby transparently “passing through” the **try** construct.

```
# let find_opt p l =
  try Some (List.find p l) with Not_found -> None;;
val find_opt : ('a -> bool) -> 'a list -> 'a option = <fun>
```

### 11.7.6 Operations on data structures

#### Products

The expression *expr*<sub>1</sub> , ... , *expr*<sub>*n*</sub> evaluates to the *n*-tuple of the values of expressions *expr*<sub>1</sub> to *expr*<sub>*n*</sub>. The evaluation order of the subexpressions is not specified.

```
# (1 + 2 * 3, (1 + 2) * 3, 1 + (2 * 3));;
- : int * int * int = (7, 9, 7)
```

#### Variants

The expression *constr expr* evaluates to the unary variant value whose constructor is *constr*, and whose argument is the value of *expr*. Similarly, the expression *constr* ( *expr*<sub>1</sub> , ... , *expr*<sub>*n*</sub> ) evaluates to the *n*-ary variant value whose constructor is *constr* and whose arguments are the values of *expr*<sub>1</sub>, ... , *expr*<sub>*n*</sub>.

The expression *constr* ( *expr*<sub>1</sub>, ... , *expr*<sub>*n*</sub> ) evaluates to the variant value whose constructor is *constr*, and whose arguments are the values of *expr*<sub>1</sub> ... *expr*<sub>*n*</sub>.

```
# type t = Var of string | Not of t | And of t * t | Or of t * t

let test = And (Var "x", Not (Or (Var "y", Var "z")));;
type t = Var of string | Not of t | And of t * t | Or of t * t
val test : t = And (Var "x", Not (Or (Var "y", Var "z")))
```

For lists, some syntactic sugar is provided. The expression *expr*<sub>1</sub> :: *expr*<sub>2</sub> stands for the constructor ( :: ) applied to the arguments ( *expr*<sub>1</sub> , *expr*<sub>2</sub> ), and therefore evaluates to the list whose head is the value of *expr*<sub>1</sub> and whose tail is the value of *expr*<sub>2</sub>. The expression [ *expr*<sub>1</sub> ; ... ; *expr*<sub>*n*</sub> ] is equivalent to *expr*<sub>1</sub> :: ... :: *expr*<sub>*n*</sub> :: [], and therefore evaluates to the list whose elements are the values of *expr*<sub>1</sub> to *expr*<sub>*n*</sub>.

```
# 0 :: [1; 2; 3] = 0 :: 1 :: 2 :: 3 :: [];;
- : bool = true
```

## Polymorphic variants

The expression ``tag-name expr` evaluates to the polymorphic variant value whose tag is *tag-name*, and whose argument is the value of *expr*.

```
# let with_counter x = `V (x, ref 0);;
val with_counter : 'a -> [> `V of 'a * int ref ] = <fun>
```

## Records

The expression `{ field1 [= expr1] ; ... ; fieldn [= exprn] }` evaluates to the record value `{field1 = v1; ... ; fieldn = vn}` where *v<sub>i</sub>* is the value of *expr<sub>i</sub>* for *i* = 1, ..., *n*. A single identifier *field<sub>k</sub>* stands for *field<sub>k</sub> = field<sub>k</sub>*, and a qualified identifier *module-path . field<sub>k</sub>* stands for *module-path . field<sub>k</sub> = field<sub>k</sub>*. The fields *field<sub>1</sub>* to *field<sub>n</sub>* must all belong to the same record type; each field of this record type must appear exactly once in the record expression, though they can appear in any order. The order in which *expr<sub>1</sub>* to *expr<sub>n</sub>* are evaluated is not specified. Optional type constraints can be added after each field `{ field1 : typexpr1 = expr1 ; ... ; fieldn : typexprn = exprn }` to force the type of *field<sub>k</sub>* to be compatible with *typexpr<sub>k</sub>*.

```
# type t = {house_no : int; street : string; town : string; postcode : string}

let address x =
  Printf.sprintf "The occupier\n%i %s\n%s\n%s"
    x.house_no x.street x.town x.postcode;;
type t = {
  house_no : int;
  street : string;
  town : string;
  postcode : string;
}
val address : t -> string = <fun>
```

The expression `{ expr with field1 [= expr1] ; ... ; fieldn [= exprn] }` builds a fresh record with fields *field<sub>1</sub>* ... *field<sub>n</sub>* equal to *expr<sub>1</sub>* ... *expr<sub>n</sub>*, and all other fields having the same value as in the record *expr*. In other terms, it returns a shallow copy of the record *expr*, except for the fields *field<sub>1</sub>* ... *field<sub>n</sub>*, which are initialized to *expr<sub>1</sub>* ... *expr<sub>n</sub>*. As previously, single identifier *field<sub>k</sub>* stands for *field<sub>k</sub> = field<sub>k</sub>*, a qualified identifier *module-path . field<sub>k</sub>* stands for *module-path . field<sub>k</sub> = field<sub>k</sub>* and it is possible to add an optional type constraint on each field being updated with `{ expr with field1 : typexpr1 = expr1 ; ... ; fieldn : typexprn = exprn }`.

```
# type t = {house_no : int; street : string; town : string; postcode : string}

let uppercase_town address =
  {address with town = String.uppercase_ascii address.town};;
type t = {
  house_no : int;
  street : string;
  town : string;
  postcode : string;
```

```
}
val uppercase_town : t -> t = <fun>
```

The expression  $\text{expr}_1 . \text{field}$  evaluates  $\text{expr}_1$  to a record value, and returns the value associated to  $\text{field}$  in this record value.

The expression  $\text{expr}_1 . \text{field} \leftarrow \text{expr}_2$  evaluates  $\text{expr}_1$  to a record value, which is then modified in-place by replacing the value associated to  $\text{field}$  in this record by the value of  $\text{expr}_2$ . This operation is permitted only if  $\text{field}$  has been declared **mutable** in the definition of the record type. The whole expression  $\text{expr}_1 . \text{field} \leftarrow \text{expr}_2$  evaluates to the unit value  $()$ .

```
# type t = {mutable upper : int; mutable lower : int; mutable other : int}
```

```
let stats = {upper = 0; lower = 0; other = 0}
```

```
let collect =
  String.iter
    (function
      | 'A'..'Z' -> stats.upper <- stats.upper + 1
      | 'a'..'z' -> stats.lower <- stats.lower + 1
      | _ -> stats.other <- stats.other + 1);;
```

```
type t = { mutable upper : int; mutable lower : int; mutable other : int; }
```

```
val stats : t = {upper = 0; lower = 0; other = 0}
```

```
val collect : string -> unit = <fun>
```

## Arrays

The expression  $[| \text{expr}_1 ; \dots ; \text{expr}_n |]$  evaluates to a  $n$ -element array, whose elements are initialized with the values of  $\text{expr}_1$  to  $\text{expr}_n$  respectively. The order in which these expressions are evaluated is unspecified.

The expression  $\text{expr}_1 . ( \text{expr}_2 )$  returns the value of element number  $\text{expr}_2$  in the array denoted by  $\text{expr}_1$ . The first element has number 0; the last element has number  $n - 1$ , where  $n$  is the size of the array. The exception **Invalid\_argument** is raised if the access is out of bounds.

The expression  $\text{expr}_1 . ( \text{expr}_2 ) \leftarrow \text{expr}_3$  modifies in-place the array denoted by  $\text{expr}_1$ , replacing element number  $\text{expr}_2$  by the value of  $\text{expr}_3$ . The exception **Invalid\_argument** is raised if the access is out of bounds. The value of the whole expression is  $()$ .

```
# let scale arr n =
  for x = 0 to Array.length arr - 1 do
    arr.(x) <- arr.(x) * n
  done
```

```
let x = [|1; 10; 100|]
```

```
let _ = scale x 2;;
```

```
val scale : int array -> int -> unit = <fun>
```

```
val x : int array = [|2; 20; 200|]
```

## Strings

The expression `expr1 . [ expr2 ]` returns the value of character number `expr2` in the string denoted by `expr1`. The first character has number 0; the last character has number  $n - 1$ , where  $n$  is the length of the string. The exception `Invalid_argument` is raised if the access is out of bounds.

```
# let iter f s =
  for x = 0 to String.length s - 1 do f s.[x] done;;
val iter : (char -> 'a) -> string -> unit = <fun>
```

The expression `expr1 . [ expr2 ] <- expr3` modifies in-place the string denoted by `expr1`, replacing character number `expr2` by the value of `expr3`. The exception `Invalid_argument` is raised if the access is out of bounds. The value of the whole expression is `()`. **Note:** this possibility is offered only for backward compatibility with older versions of OCaml and will be removed in a future version. New code should use byte sequences and the `Bytes.set` function.

### 11.7.7 Operators

Symbols from the class *infix-symbol*, as well as the keywords `*`, `+`, `-`, `-.`, `=`, `!=`, `<`, `>`, `or`, `||`, `&`, `&&`, `:=`, `mod`, `land`, `lor`, `lxor`, `lsl`, `lsr`, and `asr` can appear in infix position (between two expressions). Symbols from the class *prefix-symbol*, as well as the keywords `-` and `-.` can appear in prefix position (in front of an expression).

```
# (( * ), ( := ), ( || ));;
- : (int -> int -> int) * ('a ref -> 'a -> unit) * (bool -> bool -> bool) =
(<fun>, <fun>, <fun>)
```

Infix and prefix symbols do not have a fixed meaning: they are simply interpreted as applications of functions bound to the names corresponding to the symbols. The expression *prefix-symbol* `expr` is interpreted as the application `( prefix-symbol ) expr`. Similarly, the expression `expr1 infix-symbol expr2` is interpreted as the application `( infix-symbol ) expr1 expr2`.

The table below lists the symbols defined in the initial environment and their initial meaning. (See the description of the core library module `Stdlib` in chapter 27 for more details). Their meaning may be changed at any time using `let ( infix-op ) name1 name2 = ...`

```
# let ( + ), ( - ), ( * ), ( / ) = Int64.(add, sub, mul, div);;
val ( + ) : int64 -> int64 -> int64 = <fun>
val ( - ) : int64 -> int64 -> int64 = <fun>
val ( * ) : int64 -> int64 -> int64 = <fun>
val ( / ) : int64 -> int64 -> int64 = <fun>
```

Note: the operators `&&`, `||`, and `~-` are handled specially and it is not advisable to change their meaning.

The keywords `-` and `-.` can appear both as infix and prefix operators. When they appear as prefix operators, they are interpreted respectively as the functions `(~-)` and `(~-.)`.

Operator	Initial meaning
<code>+</code>	Integer addition.
<code>-</code> (infix)	Integer subtraction.
<code>--</code> <code>-</code> (prefix)	Integer negation.
<code>*</code>	Integer multiplication.
<code>/</code>	Integer division. Raise <code>Division_by_zero</code> if second argument is zero.
<code>mod</code>	Integer modulus. Raise <code>Division_by_zero</code> if second argument is zero.
<code>land</code>	Bitwise logical “and” on integers.
<code>lor</code>	Bitwise logical “or” on integers.
<code>lxor</code>	Bitwise logical “exclusive or” on integers.
<code>lsl</code>	Bitwise logical shift left on integers.
<code>lsr</code>	Bitwise logical shift right on integers.
<code>asr</code>	Bitwise arithmetic shift right on integers.
<code>+. </code>	Floating-point addition.
<code>-.</code> (infix)	Floating-point subtraction.
<code>--.</code> <code>-.</code> (prefix)	Floating-point negation.
<code>*.</code>	Floating-point multiplication.
<code>/.</code>	Floating-point division.
<code>**</code>	Floating-point exponentiation.
<code>@</code>	List concatenation.
<code>^</code>	String concatenation.
<code>!</code>	Dereferencing (return the current contents of a reference).
<code>:=</code>	Reference assignment (update the reference given as first argument with the value of the second argument).
<code>=</code>	Structural equality test.
<code>&lt;&gt;</code>	Structural inequality test.
<code>==</code>	Physical equality test.
<code>!=</code>	Physical inequality test.
<code>&lt;</code>	Test “less than”.
<code>&lt;=</code>	Test “less than or equal”.
<code>&gt;</code>	Test “greater than”.
<code>&gt;=</code>	Test “greater than or equal”.
<code>&amp;&amp;</code> <code>&amp;</code>	Boolean conjunction.
<code>  </code> <code>or</code>	Boolean disjunction.

### 11.7.8 Objects

#### Object creation

When *class-path* evaluates to a class body, `new class-path` evaluates to a new object containing the instance variables and methods of this class.

```
# class of_list (lst : int list) = object
  val mutable l = lst
  method next =
    match l with
```

```

    | [] -> raise (Failure "empty list");
    | h::t -> l <- t; h
end

let a = new of_list [1; 1; 2; 3; 5; 8; 13]

let b = new of_list;;
class of_list :
  int list -> object val mutable l : int list method next : int end
val a : of_list = <obj>
val b : int list -> of_list = <fun>

```

When *class-path* evaluates to a class function, **new** *class-path* evaluates to a function expecting the same number of arguments and returning a new object of this class.

## Immediate object creation

Creating directly an object through the **object** *class-body* **end** construct is operationally equivalent to defining locally a **class** *class-name* = **object** *class-body* **end**—see sections 11.9.2 and following for the syntax of *class-body*—and immediately creating a single object from it by **new** *class-name*.

```

# let o =
  object
    val secret = 99
    val password = "unlock"
    method get guess = if guess <> password then None else Some secret
  end;;
val o : < get : string -> int option > = <obj>

```

The typing of immediate objects is slightly different from explicitly defining a class in two respects. First, the inferred object type may contain free type variables. Second, since the class body of an immediate object will never be extended, its self type can be unified with a closed object type.

## Method invocation

The expression *expr* # *method-name* invokes the method *method-name* of the object denoted by *expr*.

```

# class of_list (lst : int list) = object
  val mutable l = lst
  method next =
    match l with
    | [] -> raise (Failure "empty list");
    | h::t -> l <- t; h
  end

let a = new of_list [1; 1; 2; 3; 5; 8; 13]

```

```

    let third = ignore a#next; ignore a#next; a#next;;
class of_list :
  int list -> object val mutable l : int list method next : int end
val a : of_list = <obj>
val third : int = 2

```

If *method-name* is a polymorphic method, its type should be known at the invocation site. This is true for instance if *expr* is the name of a fresh object (`let ident = new class-path...`) or if there is a type constraint. Principality of the derivation can be checked in the `-principal` mode.

## Accessing and modifying instance variables

The instance variables of a class are visible only in the body of the methods defined in the same class or a class that inherits from the class defining the instance variables. The expression *inst-var-name* evaluates to the value of the given instance variable. The expression *inst-var-name* <- *expr* assigns the value of *expr* to the instance variable *inst-var-name*, which must be mutable. The whole expression *inst-var-name* <- *expr* evaluates to ().

```

# class of_list (lst : int list) = object
  val mutable l = lst
  method next =
    match l with
    | [] -> raise (Failure "empty list");
    | h::t -> l <- t; h
end;;
(* access instance variable *)
(* modify instance variable *)
class of_list :
  int list -> object val mutable l : int list method next : int end

```

## Object duplication

An object can be duplicated using the library function `Oo.copy` (see module `Oo`[28.38]). Inside a method, the expression `{< [inst-var-name [= expr] {; inst-var-name [= expr]}] >}` returns a copy of self with the given instance variables replaced by the values of the associated expressions. A single instance variable name *id* stands for *id* = *id*. Other instance variables have the same value in the returned object as in self.

```

# let o =
  object
    val secret = 99
    val password = "unlock"
    method get guess = if guess <> password then None else Some secret
    method with_new_secret s = {< secret = s >}
  end;;
val o : < get : string -> int option; with_new_secret : int -> 'a > as 'a =
  <obj>

```

### 11.7.9 Coercions

Expressions whose type contains object or polymorphic variant types can be explicitly coerced (weakened) to a supertype. The expression  $(\text{expr} :> \text{typexpr})$  coerces the expression  $\text{expr}$  to type  $\text{typexpr}$ . The expression  $(\text{expr} : \text{typexpr}_1 :> \text{typexpr}_2)$  coerces the expression  $\text{expr}$  from type  $\text{typexpr}_1$  to type  $\text{typexpr}_2$ .

The former operator will sometimes fail to coerce an expression  $\text{expr}$  from a type  $\text{typ}_1$  to a type  $\text{typ}_2$  even if type  $\text{typ}_1$  is a subtype of type  $\text{typ}_2$ : in the current implementation it only expands two levels of type abbreviations containing objects and/or polymorphic variants, keeping only recursion when it is explicit in the class type (for objects). As an exception to the above algorithm, if both the inferred type of  $\text{expr}$  and  $\text{typ}$  are ground (*i.e.* do not contain type variables), the former operator behaves as the latter one, taking the inferred type of  $\text{expr}$  as  $\text{typ}_1$ . In case of failure with the former operator, the latter one should be used.

It is only possible to coerce an expression  $\text{expr}$  from type  $\text{typ}_1$  to type  $\text{typ}_2$ , if the type of  $\text{expr}$  is an instance of  $\text{typ}_1$  (like for a type annotation), and  $\text{typ}_1$  is a subtype of  $\text{typ}_2$ . The type of the coerced expression is an instance of  $\text{typ}_2$ . If the types contain variables, they may be instantiated by the subtyping algorithm, but this is only done after determining whether  $\text{typ}_1$  is a potential subtype of  $\text{typ}_2$ . This means that typing may fail during this latter unification step, even if some instance of  $\text{typ}_1$  is a subtype of some instance of  $\text{typ}_2$ . In the following paragraphs we describe the subtyping relation used.

#### Object types

A fixed object type admits as subtype any object type that includes all its methods. The types of the methods shall be subtypes of those in the supertype. Namely,

$$\langle \text{met}_1 : \text{typ}_1 ; \dots ; \text{met}_n : \text{typ}_n \rangle$$

is a supertype of

$$\langle \text{met}_1 : \text{typ}'_1 ; \dots ; \text{met}_n : \text{typ}'_n ; \text{met}_{n+1} : \text{typ}'_{n+1} ; \dots ; \text{met}_{n+m} : \text{typ}'_{n+m} [; \dots] \rangle$$

which may contain an ellipsis  $\dots$  if every  $\text{typ}_i$  is a supertype of the corresponding  $\text{typ}'_i$ .

A monomorphic method type can be a supertype of a polymorphic method type. Namely, if  $\text{typ}$  is an instance of  $\text{typ}'$ , then  $'a_1 \dots 'a_n . \text{typ}'$  is a subtype of  $\text{typ}$ .

Inside a class definition, newly defined types are not available for subtyping, as the type abbreviations are not yet completely defined. There is an exception for coercing *self* to the (exact) type of its class: this is allowed if the type of *self* does not appear in a contravariant position in the class type, *i.e.* if there are no binary methods.

#### Polymorphic variant types

A polymorphic variant type  $\text{typ}$  is a subtype of another polymorphic variant type  $\text{typ}'$  if the upper bound of  $\text{typ}$  (*i.e.* the maximum set of constructors that may appear in an instance of  $\text{typ}$ ) is included in the lower bound of  $\text{typ}'$ , and the types of arguments for the constructors of  $\text{typ}$  are subtypes of those in  $\text{typ}'$ . Namely,

$$[ [ < ] \setminus C_1 \text{ of } \text{typ}_1 \mid \dots \mid \setminus C_n \text{ of } \text{typ}_n ]$$



which may be a shrinkable type, is a subtype of

$$[>] \setminus C_1 \text{ of } typ'_1 \mid \dots \mid \setminus C_n \text{ of } typ'_n \setminus C_{n+1} \text{ of } typ'_{n+1} \mid \dots \mid \setminus C_{n+m} \text{ of } typ'_{n+m}]$$

which may be an extensible type, if every  $typ_i$  is a subtype of  $typ'_i$ .

## Variance

Other types do not introduce new subtyping, but they may propagate the subtyping of their arguments. For instance,  $typ_1 * typ_2$  is a subtype of  $typ'_1 * typ'_2$  when  $typ_1$  and  $typ_2$  are respectively subtypes of  $typ'_1$  and  $typ'_2$ . For function types, the relation is more subtle:  $typ_1 \rightarrow typ_2$  is a subtype of  $typ'_1 \rightarrow typ'_2$  if  $typ_1$  is a supertype of  $typ'_1$  and  $typ_2$  is a subtype of  $typ'_2$ . For this reason, function types are covariant in their second argument (like tuples), but contravariant in their first argument. Mutable types, like `array` or `ref` are neither covariant nor contravariant, they are nonvariant, that is they do not propagate subtyping.

For user-defined types, the variance is automatically inferred: a parameter is covariant if it has only covariant occurrences, contravariant if it has only contravariant occurrences, variance-free if it has no occurrences, and nonvariant otherwise. A variance-free parameter may change freely through subtyping, it does not have to be a subtype or a supertype. For abstract and private types, the variance must be given explicitly (see section 11.8.1), otherwise the default is nonvariant. This is also the case for constrained arguments in type definitions.

### 11.7.10 Other

#### Assertion checking

OCaml supports the `assert` construct to check debugging assertions. The expression `assert expr` evaluates the expression `expr` and returns `()` if `expr` evaluates to `true`. If it evaluates to `false` the exception `Assert_failure` is raised with the source file name and the location of `expr` as arguments. Assertion checking can be turned off with the `-noassert` compiler option. In this case, `expr` is not evaluated at all.

```
# let f a b c =
  assert (a <= b && b <= c);
  (b -. a) /. (c -. b);;
val f : float -> float -> float -> float = <fun>
```

As a special case, `assert_false` is reduced to `raise (Assert_failure ...)`, which gives it a polymorphic type. This means that it can be used in place of any expression (for example as a branch of any pattern-matching). It also means that the `assert_false` “assertions” cannot be turned off by the `-noassert` option.

```
# let min_known_nonempty = function
  | [] -> assert false
  | l -> List.hd (List.sort compare l);;
val min_known_nonempty : 'a list -> 'a = <fun>
```

## Lazy expressions

The expression `lazy expr` returns a value  $v$  of type `Lazy.t` that encapsulates the computation of `expr`. The argument `expr` is not evaluated at this point in the program. Instead, its evaluation will be performed the first time the function `Lazy.force` is applied to the value  $v$ , returning the actual value of `expr`. Subsequent applications of `Lazy.force` to  $v$  do not evaluate `expr` again. Applications of `Lazy.force` may be implicit through pattern matching (see [11.6.1](#)).

```
# let lazy_greeter = lazy (print_string "Hello, World!\n");;
val lazy_greeter : unit lazy_t = <lazy>

# Lazy.force lazy_greeter;;
Hello, World!
- : unit = ()
```

## Local modules

The expression `let module module-name = module-expr in expr` locally binds the module expression `module-expr` to the identifier `module-name` during the evaluation of the expression `expr`. It then returns the value of `expr`. For example:

```
# let remove_duplicates comparison_fun string_list =
  let module StringSet =
    Set.Make(struct type t = string
                let compare = comparison_fun end)
  in
    StringSet.elements
    (List.fold_right StringSet.add string_list StringSet.empty);;
val remove_duplicates :
  (string -> string -> int) -> string list -> string list = <fun>
```

## Local opens

The expressions `let open module-path in expr` and `module-path . ( expr )` are strictly equivalent. These constructions locally open the module referred to by the module path `module-path` in the respective scope of the expression `expr`.

```
# let map_3d_matrix f m =
  let open Array in
    map (map (map f)) m

  let map_3d_matrix' f =
    Array.(map (map (map f))));;
val map_3d_matrix :
  ('a -> 'b) -> 'a array array array -> 'b array array array = <fun>
val map_3d_matrix' :
  ('a -> 'b) -> 'a array array array -> 'b array array array = <fun>
```

When the body of a local open expression is delimited by `[ ]`, `[| |]`, or `{ }`, the parentheses can be omitted. For expression, parentheses can also be omitted for `{< >}`. For example, `module-path . [ expr ]` is equivalent to `module-path . ([ expr ])`, and `module-path . [| expr |]` is equivalent to `module-path . ([| expr |])`.

```
# let vector = Random.[|int 255; int 255; int 255; int 255|];;
val vector : int array = [|220; 90; 247; 144|]
```

## 11.8 Type and exception definitions

### 11.8.1 Type definitions

Type definitions bind type constructors to data types: either variant types, record types, type abbreviations, or abstract data types. They also bind the value constructors and record fields associated with the definition.

```

type-definition ::= type [nonrec] typedef {and typedef}
typedef        ::= [type-params] typeconstr-name type-information
type-information ::= [type-equation] [type-representation] {type-constraint}
type-equation  ::= = typexpr
type-representation ::= = [|] constr-decl { | constr-decl }
                  | = record-decl
                  | = |
type-params    ::= type-param
                  | ( type-param { , type-param } )
type-param     ::= [ext-variance] ' ident
ext-variance   ::= variance [injectivity]
                  | injectivity [variance]
variance       ::= +
                  | -
injectivity    ::= !
record-decl    ::= { field-decl { ; field-decl } [ ; ] }
constr-decl    ::= (constr-name | [] | (: :)) [of constr-args]
constr-args    ::= typexpr { * typexpr }
field-decl     ::= [mutable] field-name : poly-typexpr
type-constraint ::= constraint typexpr = typexpr

```

See also the following language extensions: [private types](#), [generalized algebraic datatypes](#), [attributes](#), [extension nodes](#), [extensible variant types](#) and [inline records](#).

Type definitions are introduced by the `type` keyword, and consist in one or several simple definitions, possibly mutually recursive, separated by the `and` keyword. Each simple definition defines one type constructor.

A simple definition consists in a lowercase identifier, possibly preceded by one or several type parameters, and followed by an optional type equation, then an optional type representation, and then a constraint clause. The identifier is the name of the type constructor being defined.

```
type colour =
  | Red | Green | Blue | Yellow | Black | White
  | RGB of {r : int; g : int; b : int}

type 'a tree = Lf | Br of 'a * 'a tree * 'a;;

type t = {decoration : string; substance : t'}
and t' = Int of int | List of t list
```

In the right-hand side of type definitions, references to one of the type constructor name being defined are considered as recursive, unless `type` is followed by `nonrec`. The `nonrec` keyword was introduced in OCaml 4.02.2.

The optional type parameters are either one type variable `' ident`, for type constructors with one parameter, or a list of type variables `(' ident1, ..., ' identn)`, for type constructors with several parameters. Each type parameter may be prefixed by a variance constraint `+` (resp. `-`) indicating that the parameter is covariant (resp. contravariant), and an injectivity annotation `!` indicating that the parameter can be deduced from the whole type. These type parameters can appear in the type expressions of the right-hand side of the definition, optionally restricted by a variance constraint ; *i.e.* a covariant parameter may only appear on the right side of a functional arrow (more precisely, follow the left branch of an even number of arrows), and a contravariant parameter only the left side (left branch of an odd number of arrows). If the type has a representation or an equation, and the parameter is free (*i.e.* not bound via a type constraint to a constructed type), its variance constraint is checked but subtyping *etc.* will use the inferred variance of the parameter, which may be less restrictive; otherwise (*i.e.* for abstract types or non-free parameters), the variance must be given explicitly, and the parameter is invariant if no variance is given.

The optional type equation `= typexpr` makes the defined type equivalent to the type expression `typexpr`: one can be substituted for the other during typing. If no type equation is given, a new type is generated: the defined type is incompatible with any other type.

The optional type representation describes the data structure representing the defined type, by giving the list of associated constructors (if it is a variant type) or associated fields (if it is a record type). If no type representation is given, nothing is assumed on the structure of the type besides what is stated in the optional type equation.

The type representation `= [|] constr-decl { | constr-decl }` describes a variant type. The constructor declarations `constr-decl1, ..., constr-decln` describe the constructors associated to this variant type. The constructor declaration `constr-name of typexpr1 * ... * typexprn` declares the name `constr-name` as a non-constant constructor, whose arguments have types `typexpr1 ... typexprn`. The constructor declaration `constr-name` declares the name `constr-name` as a constant constructor. Constructor names must be capitalized.

The type representation `= { field-decl { ; field-decl } [ ; ] }` describes a record type. The field declarations `field-decl1, ..., field-decln` describe the fields associated to this record type. The field declaration `field-name : poly-typexpr` declares `field-name` as a field whose argument has type `poly-typexpr`. The field declaration `mutable field-name : poly-typexpr` behaves similarly; in addition, it allows physical modification of this field. Immutable fields are covariant, mutable fields are non-variant. Both mutable and immutable fields may have explicitly polymorphic types. The polymorphism of the contents is statically checked whenever a record value is created or modified. Extracted values may have their types instantiated.

The two components of a type definition, the optional equation and the optional representation, can be combined independently, giving rise to four typical situations:

**Abstract type: no equation, no representation.**

When appearing in a module signature, this definition specifies nothing on the type constructor, besides its number of parameters: its representation is hidden and it is assumed incompatible with any other type.

**Type abbreviation: an equation, no representation.**

This defines the type constructor as an abbreviation for the type expression on the right of the `=` sign.

**New variant type or record type: no equation, a representation.**

This generates a new type constructor and defines associated constructors or fields, through which values of that type can be directly built or inspected.

**Re-exported variant type or record type: an equation, a representation.**

In this case, the type constructor is defined as an abbreviation for the type expression given in the equation, but in addition the constructors or fields given in the representation remain attached to the defined type constructor. The type expression in the equation part must agree with the representation: it must be of the same kind (record or variant) and have exactly the same constructors or fields, in the same order, with the same arguments. Moreover, the new type constructor must have the same arity and the same type constraints as the original type constructor.

The type variables appearing as type parameters can optionally be prefixed by `+` or `-` to indicate that the type constructor is covariant or contravariant with respect to this parameter. This variance information is used to decide subtyping relations when checking the validity of `:>` coercions (see section 11.7.9).

For instance, `type +'a t` declares `t` as an abstract type that is covariant in its parameter; this means that if the type  $\tau$  is a subtype of the type  $\sigma$ , then  $\tau\ t$  is a subtype of  $\sigma\ t$ . Similarly, `type -'a t` declares that the abstract type `t` is contravariant in its parameter: if  $\tau$  is a subtype of  $\sigma$ , then  $\sigma\ t$  is a subtype of  $\tau\ t$ . If no `+` or `-` variance annotation is given, the type constructor is assumed non-variant in the corresponding parameter. For instance, the abstract type declaration `type 'a t` means that  $\tau\ t$  is neither a subtype nor a supertype of  $\sigma\ t$  if  $\tau$  is subtype of  $\sigma$ .

The variance indicated by the `+` and `-` annotations on parameters is enforced only for abstract and private types, or when there are type constraints. Otherwise, for abbreviations, variant and record types without type constraints, the variance properties of the type constructor are inferred from its definition, and the variance annotations are only checked for conformance with the definition.

Injectivity annotations are only necessary for abstract types and private row types, since they can otherwise be deduced from the type declaration: all parameters are injective for record and variant type declarations (including extensible types); for type abbreviations a parameter is injective if it has an injective occurrence in its defining equation (be it private or not). For constrained type parameters in type abbreviations, they are injective if either they appear at an injective position in the body, or if all their type variables are injective; in particular, if a constrained type parameter contains a variable that doesn't appear in the body, it cannot be injective.

The construct `constraint ' ident = typexpr` allows the specification of type parameters. Any actual type argument corresponding to the type parameter *ident* has to be an instance of *typexpr* (more precisely, *ident* and *typexpr* are unified). Type variables of *typexpr* can appear in the type equation and the type declaration.

### 11.8.2 Exception definitions

$$\begin{aligned} \text{exception-definition} \quad ::= & \text{exception } \text{constr-decl} \\ & | \text{exception } \text{constr-name} = \text{constr} \end{aligned}$$

Exception definitions add new constructors to the built-in variant type `exn` of exception values. The constructors are declared as for a definition of a variant type.

```
# exception E of int * string;;
exception E of int * string
```

The form `exception constr-decl` generates a new exception, distinct from all other exceptions in the system. The form `exception constr-name = constr` gives an alternate name to an existing exception.

```
# exception E of int * string

exception F = E

let eq =
  E (1, "one") = F (1, "one");;
exception E of int * string
exception F of int * string
val eq : bool = true
```

## 11.9 Classes

Classes are defined using a small language, similar to the module language.

### 11.9.1 Class types

Class types are the class-level equivalent of type expressions: they specify the general shape and type properties of classes.

```

class-type ::= [[?] label-name :] typexpr -> class-type
           | class-body-type

class-body-type ::= object [( typexpr )] {class-field-spec} end
                | [[ typexpr { , typexpr } ]] classtype-path
                | let open module-path in class-body-type

class-field-spec ::= inherit class-body-type
                 | val [mutable] [virtual] inst-var-name : typexpr
                 | val virtual mutable inst-var-name : typexpr
                 | method [private] [virtual] method-name : poly-typexpr
                 | method virtual private method-name : poly-typexpr
                 | constraint typexpr = typexpr

```

See also the following language extensions: [attributes](#) and [extension nodes](#).

### Simple class expressions

The expression *classtype-path* is equivalent to the class type bound to the name *classtype-path*. Similarly, the expression *[( typexpr<sub>1</sub> , ... typexpr<sub>n</sub> ) classtype-path]* is equivalent to the parametric class type bound to the name *classtype-path*, in which type parameters have been instantiated to respectively *typexpr<sub>1</sub>*, ... *typexpr<sub>n</sub>*.

### Class function type

The class type expression *typexpr -> class-type* is the type of class functions (functions from values to classes) that take as argument a value of type *typexpr* and return as result a class of type *class-type*.

### Class body type

The class type expression *object [( typexpr )] {class-field-spec} end* is the type of a class body. It specifies its instance variables and methods. In this type, *typexpr* is matched against the self type, therefore providing a name for the self type.

A class body will match a class body type if it provides definitions for all the components specified in the class body type, and these definitions meet the type requirements given in the class body type. Furthermore, all methods either virtual or public present in the class body must also be present in the class body type (on the other hand, some instance variables and concrete private methods may be omitted). A virtual method will match a concrete method, which makes it possible to forget its implementation. An immutable instance variable will match a mutable instance variable.

### Local opens

Local opens are supported in class types since OCaml 4.06.

## Inheritance

The inheritance construct `inherit class-body-type` provides for inclusion of methods and instance variables from other class types. The instance variable and method types from *class-body-type* are added into the current class type.

### Instance variable specification

A specification of an instance variable is written `val [mutable] [virtual] inst-var-name : typexpr`, where *inst-var-name* is the name of the instance variable and *typexpr* its expected type. The flag `mutable` indicates whether this instance variable can be physically modified. The flag `virtual` indicates that this instance variable is not initialized. It can be initialized later through inheritance.

An instance variable specification will hide any previous specification of an instance variable of the same name.

### Method specification

The specification of a method is written `method [private] method-name : poly-typexpr`, where *method-name* is the name of the method and *poly-typexpr* its expected type, possibly polymorphic. The flag `private` indicates that the method cannot be accessed from outside the object.

The polymorphism may be left implicit in public method specifications: any type variable which is not bound to a class parameter and does not appear elsewhere inside the class specification will be assumed to be universal, and made polymorphic in the resulting method type. Writing an explicit polymorphic type will disable this behaviour.

If several specifications are present for the same method, they must have compatible types. Any non-private specification of a method forces it to be public.

### Virtual method specification

A virtual method specification is written `method [private] virtual method-name : poly-typexpr`, where *method-name* is the name of the method and *poly-typexpr* its expected type.

### Constraints on type parameters

The construct `constraint typexpr1 = typexpr2` forces the two type expressions to be equal. This is typically used to specify type parameters: in this way, they can be bound to specific type expressions.

#### 11.9.2 Class expressions

Class expressions are the class-level equivalent of value expressions: they evaluate to classes, thus providing implementations for the specifications expressed in class types.



```

class-expr ::= class-path
            | [ typexpr { , typexpr } ] class-path
            | ( class-expr )
            | ( class-expr : class-type )
            | class-expr { argument }+
            | fun { parameter }+ -> class-expr
            | let [rec] let-binding { and let-binding } in class-expr
            | object class-body end
            | let open module-path in class-expr

class-field ::= inherit class-expr [as lowercase-ident]
            | inherit! class-expr [as lowercase-ident]
            | val [mutable] inst-var-name [: typexpr] = expr
            | val! [mutable] inst-var-name [: typexpr] = expr
            | val [mutable] virtual inst-var-name : typexpr
            | val virtual mutable inst-var-name : typexpr
            | method [private] method-name { parameter } [: typexpr] = expr
            | method! [private] method-name { parameter } [: typexpr] = expr
            | method [private] method-name : poly-typexpr = expr
            | method! [private] method-name : poly-typexpr = expr
            | method [private] virtual method-name : poly-typexpr
            | method virtual private method-name : poly-typexpr
            | constraint typexpr = typexpr
            | initializer expr

```

See also the following language extensions: [locally abstract types](#), [attributes](#) and [extension nodes](#).

## Simple class expressions

The expression *class-path* evaluates to the class bound to the name *class-path*. Similarly, the expression *[ typexpr<sub>1</sub> , ... typexpr<sub>n</sub> ] class-path* evaluates to the parametric class bound to the name *class-path*, in which type parameters have been instantiated respectively to *typexpr<sub>1</sub>*, ... *typexpr<sub>n</sub>*.

The expression *( class-expr )* evaluates to the same module as *class-expr*.

The expression *( class-expr : class-type )* checks that *class-type* matches the type of *class-expr* (that is, that the implementation *class-expr* meets the type specification *class-type*). The whole expression evaluates to the same class as *class-expr*, except that all components not specified in *class-type* are hidden and can no longer be accessed.

## Class application

Class application is denoted by juxtaposition of (possibly labeled) expressions. It denotes the class whose constructor is the first expression applied to the given arguments. The arguments are evaluated as for expression application, but the constructor itself will only be evaluated when objects

are created. In particular, side-effects caused by the application of the constructor will only occur at object creation time.

## Class function

The expression `fun` *[[?] label-name :]* *pattern*  $\rightarrow$  *class-expr* evaluates to a function from values to classes. When this function is applied to a value *v*, this value is matched against the pattern *pattern* and the result is the result of the evaluation of *class-expr* in the extended environment.

Conversion from functions with default values to functions with patterns only works identically for class functions as for normal functions.

The expression

$$\text{fun } parameter_1 \dots parameter_n \rightarrow \text{class-expr}$$

is a short form for

$$\text{fun } parameter_1 \rightarrow \dots \text{fun } parameter_n \rightarrow \text{expr}$$

## Local definitions

The `let` and `let rec` constructs bind value names locally, as for the core language expressions.

If a local definition occurs at the very beginning of a class definition, it will be evaluated when the class is created (just as if the definition was outside of the class). Otherwise, it will be evaluated when the object constructor is called.

## Local opens

Local opens are supported in class expressions since OCaml 4.06.

## Class body

$$\text{class-body} ::= [(\text{pattern} [: \text{typexpr}])] \{ \text{class-field} \}$$

The expression `object` *class-body* `end` denotes a class body. This is the prototype for an object : it lists the instance variables and methods of an object of this class.

A class body is a class value: it is not evaluated at once. Rather, its components are evaluated each time an object is created.

In a class body, the pattern *( pattern [: typexpr] )* is matched against self, therefore providing a binding for self and self type. Self can only be used in method and initializers.

Self type cannot be a closed object type, so that the class remains extensible.

Since OCaml 4.01, it is an error if the same method or instance variable name is defined several times in the same class body.

## Inheritance

The inheritance construct `inherit` *class-expr* allows reusing methods and instance variables from other classes. The class expression *class-expr* must evaluate to a class body. The instance variables, methods and initializers from this class body are added into the current class. The addition of a method will override any previously defined method of the same name.

An ancestor can be bound by appending **as** *lowercase-ident* to the inheritance construct. *lowercase-ident* is not a true variable and can only be used to select a method, i.e. in an expression *lowercase-ident* **#** *method-name*. This gives access to the method *method-name* as it was defined in the parent class even if it is redefined in the current class. The scope of this ancestor binding is limited to the current class. The ancestor method may be called from a subclass but only indirectly.

## Instance variable definition

The definition **val** [**mutable**] *inst-var-name* = *expr* adds an instance variable *inst-var-name* whose initial value is the value of expression *expr*. The flag **mutable** allows physical modification of this variable by methods.

An instance variable can only be used in the methods and initializers that follow its definition.

Since version 3.10, redefinitions of a visible instance variable with the same name do not create a new variable, but are merged, using the last value for initialization. They must have identical types and mutability. However, if an instance variable is hidden by omitting it from an interface, it will be kept distinct from other instance variables with the same name.

## Virtual instance variable definition

A variable specification is written **val** [**mutable**] **virtual** *inst-var-name* : *typexpr*. It specifies whether the variable is modifiable, and gives its type.

Virtual instance variables were added in version 3.10.

## Method definition

A method definition is written **method** *method-name* = *expr*. The definition of a method overrides any previous definition of this method. The method will be public (that is, not private) if any of the definition states so.

A private method, **method private** *method-name* = *expr*, is a method that can only be invoked on self (from other methods of the same object, defined in this class or one of its subclasses). This invocation is performed using the expression *value-name* **#** *method-name*, where *value-name* is directly bound to self at the beginning of the class definition. Private methods do not appear in object types. A method may have both public and private definitions, but as soon as there is a public one, all subsequent definitions will be made public.

Methods may have an explicitly polymorphic type, allowing them to be used polymorphically in programs (even for the same object). The explicit declaration may be done in one of three ways: (1) by giving an explicit polymorphic type in the method definition, immediately after the method name, i.e. **method** [**private**] *method-name* : {' *ident*}<sup>+</sup> . *typexpr* = *expr*; (2) by a forward declaration of the explicit polymorphic type through a virtual method definition; (3) by importing such a declaration through inheritance and/or constraining the type of *self*.

Some special expressions are available in method bodies for manipulating instance variables and duplicating self:

```

expr ::= ...
        | inst-var-name <- expr
        | {< [inst-var-name = expr { ; inst-var-name = expr } [ ; ] ] >}

```

The expression `inst-var-name <- expr` modifies in-place the current object by replacing the value associated to `inst-var-name` by the value of `expr`. Of course, this instance variable must have been declared mutable.

The expression `{< inst-var-name1 = expr1 ; ... ; inst-var-namen = exprn >}` evaluates to a copy of the current object in which the values of instance variables `inst-var-name1, ..., inst-var-namen` have been replaced by the values of the corresponding expressions `expr1, ..., exprn`.

## Virtual method definition

A method specification is written `method [private] virtual method-name : poly-typexpr`. It specifies whether the method is public or private, and gives its type. If the method is intended to be polymorphic, the type must be explicitly polymorphic.

## Explicit overriding

Since Ocaml 3.12, the keywords `inherit!`, `val!` and `method!` have the same semantics as `inherit`, `val` and `method`, but they additionally require the definition they introduce to be overriding. Namely, `method!` requires `method-name` to be already defined in this class, `val!` requires `inst-var-name` to be already defined in this class, and `inherit!` requires `class-expr` to override some definitions. If no such overriding occurs, an error is signaled.

As a side-effect, these 3 keywords avoid the warnings 7 (method override) and 13 (instance variable override). Note that warning 7 is disabled by default.

## Constraints on type parameters

The construct `constraint typexpr1 = typexpr2` forces the two type expressions to be equals. This is typically used to specify type parameters: in that way they can be bound to specific type expressions.

## Initializers

A class initializer `initializer expr` specifies an expression that will be evaluated whenever an object is created from the class, once all its instance variables have been initialized.

### 11.9.3 Class definitions

```
class-definition ::= class class-binding {and class-binding}
class-binding   ::= [virtual] [[ type-parameters ]] class-name {parameter} [: class-type]
                  = class-expr
type-parameters ::= ' ident {, ' ident}
```

A class definition `class class-binding {and class-binding}` is recursive. Each `class-binding` defines a `class-name` that can be used in the whole expression except for inheritance. It can also be used for inheritance, but only in the definitions that follow its own.

A class binding binds the class name `class-name` to the value of expression `class-expr`. It also binds the class type `class-name` to the type of the class, and defines two type abbreviations : `class-name` and `# class-name`. The first one is the type of objects of this class, while the second is more general as it unifies with the type of any object belonging to a subclass (see section 11.4).

## Virtual class

A class must be flagged virtual if one of its methods is virtual (that is, appears in the class type, but is not actually defined). Objects cannot be created from a virtual class.

## Type parameters

The class type parameters correspond to the ones of the class type and of the two type abbreviations defined by the class binding. They must be bound to actual types in the class definition using type constraints. So that the abbreviations are well-formed, type variables of the inferred type of the class must either be type parameters or be bound in the constraint clause.

### 11.9.4 Class specifications

```
class-specification ::= class class-spec {and class-spec}
class-spec ::= [virtual] [[ type-parameters ]] class-name : class-type
```

This is the counterpart in signatures of class definitions. A class specification matches a class definition if they have the same type parameters and their types match.

### 11.9.5 Class type definitions

```
classtype-definition ::= class type classtype-def {and classtype-def}
classtype-def ::= [virtual] [[ type-parameters ]] class-name = class-body-type
```

A class type definition `class class-name = class-body-type` defines an abbreviation `class-name` for the class body type `class-body-type`. As for class definitions, two type abbreviations `class-name` and `# class-name` are also defined. The definition can be parameterized by some type parameters. If any method in the class type body is virtual, the definition must be flagged `virtual`.

Two class type definitions match if they have the same type parameters and they expand to matching types.

## 11.10 Module types (module specifications)

Module types are the module-level equivalent of type expressions: they specify the general shape and type properties of modules.

```
module-type ::= modtype-path
              | sig {specification [;;]} end
              | functor ( module-name : module-type ) -> module-type
              | module-type -> module-type
              | module-type with mod-constraint {and mod-constraint}
              | ( module-type )
mod-constraint ::= type [type-params] typeconstr type-equation {type-constraint}
                 | module module-path = extended-module-path
```

```

specification ::= val value-name : typexpr
               | external value-name : typexpr = external-declaration
               | type-definition
               | exception constr-decl
               | class-specification
               | classtype-definition
               | module module-name : module-type
               | module module-name { ( module-name : module-type ) } : module-type
               | module type modtype-name
               | module type modtype-name = module-type
               | open module-path
               | include module-type

```

See also the following language extensions: [recovering the type of a module](#), [substitution inside a signature](#), [type-level module aliases](#), [attributes](#), [extension nodes](#), [generative functors](#), and [module type substitutions](#).

### 11.10.1 Simple module types

The expression *modtype-path* is equivalent to the module type bound to the name *modtype-path*. The expression ( *module-type* ) denotes the same type as *module-type*.

### 11.10.2 Signatures

Signatures are type specifications for structures. Signatures **sig**...**end** are collections of type specifications for value names, type names, exceptions, module names and module type names. A structure will match a signature if the structure provides definitions (implementations) for all the names specified in the signature (and possibly more), and these definitions meet the type requirements given in the signature.

An optional **;;** is allowed after each specification in a signature. It serves as a syntactic separator with no semantic meaning.

### Value specifications

A specification of a value component in a signature is written **val** *value-name* : *typexpr*, where *value-name* is the name of the value and *typexpr* its expected type.

The form **external** *value-name* : *typexpr* = *external-declaration* is similar, except that it requires in addition the name to be implemented as the external function specified in *external-declaration* (see chapter [22](#)).

### Type specifications

A specification of one or several type components in a signature is written **type** *typedef* {**and** *typedef*} and consists of a sequence of mutually recursive definitions of type names.

Each type definition in the signature specifies an optional type equation = *typexpr* and an optional type representation = *constr-decl*... or = { *field-decl*... }. The implementation of the type name in a matching structure must be compatible with the type expression specified in the equation

(if given), and have the specified representation (if given). Conversely, users of that signature will be able to rely on the type equation or type representation, if given. More precisely, we have the following four situations:

**Abstract type: no equation, no representation.**

Names that are defined as abstract types in a signature can be implemented in a matching structure by any kind of type definition (provided it has the same number of type parameters). The exact implementation of the type will be hidden to the users of the structure. In particular, if the type is implemented as a variant type or record type, the associated constructors and fields will not be accessible to the users; if the type is implemented as an abbreviation, the type equality between the type name and the right-hand side of the abbreviation will be hidden from the users of the structure. Users of the structure consider that type as incompatible with any other type: a fresh type has been generated.

**Type abbreviation: an equation = *typexpr*, no representation.**

The type name must be implemented by a type compatible with *typexpr*. All users of the structure know that the type name is compatible with *typexpr*.

**New variant type or record type: no equation, a representation.**

The type name must be implemented by a variant type or record type with exactly the constructors or fields specified. All users of the structure have access to the constructors or fields, and can use them to create or inspect values of that type. However, users of the structure consider that type as incompatible with any other type: a fresh type has been generated.

**Re-exported variant type or record type: an equation, a representation.**

This case combines the previous two: the representation of the type is made visible to all users, and no fresh type is generated.

## Exception specification

The specification `exception constr-decl` in a signature requires the matching structure to provide an exception with the name and arguments specified in the definition, and makes the exception available to all users of the structure.

## Class specifications

A specification of one or several classes in a signature is written `class class-spec {and class-spec}` and consists of a sequence of mutually recursive definitions of class names.

Class specifications are described more precisely in section [11.9.4](#).

## Class type specifications

A specification of one or several class types in a signature is written `class type classtype-def {and classtype-def}` and consists of a sequence of mutually recursive definitions of class type names. Class type specifications are described more precisely in section [11.9.5](#).

## Module specifications

A specification of a module component in a signature is written `module module-name : module-type`, where *module-name* is the name of the module component and *module-type* its expected type. Modules can be nested arbitrarily; in particular, functors can appear as components of structures and functor types as components of signatures.

For specifying a module component that is a functor, one may write

```
module module-name ( name1 : module-type1 ) ... ( namen : module-typen ) : module-type
```

instead of

```
module module-name : functor ( name1 : module-type1 ) -> ... -> module-type
```

## Module type specifications

A module type component of a signature can be specified either as a manifest module type or as an abstract module type.

An abstract module type specification `module type modtype-name` allows the name *modtype-name* to be implemented by any module type in a matching signature, but hides the implementation of the module type to all users of the signature.

A manifest module type specification `module type modtype-name = module-type` requires the name *modtype-name* to be implemented by the module type *module-type* in a matching signature, but makes the equality between *modtype-name* and *module-type* apparent to all users of the signature.

### 11.10.3 Opening a module path

The expression `open module-path` in a signature does not specify any components. It simply affects the parsing of the following items of the signature, allowing components of the module denoted by *module-path* to be referred to by their simple names *name* instead of path accesses *module-path . name*. The scope of the `open` stops at the end of the signature expression.

### 11.10.4 Including a signature

The expression `include module-type` in a signature performs textual inclusion of the components of the signature denoted by *module-type*. It behaves as if the components of the included signature were copied at the location of the `include`. The *module-type* argument must refer to a module type that is a signature, not a functor type.

### 11.10.5 Functor types

The module type expression `functor ( module-name : module-type1 ) -> module-type2` is the type of functors (functions from modules to modules) that take as argument a module of type *module-type<sub>1</sub>* and return as result a module of type *module-type<sub>2</sub>*. The module type *module-type<sub>2</sub>* can use the name *module-name* to refer to type components of the actual argument of the functor. If the type *module-type<sub>2</sub>* does not depend on type components of *module-name*, the module type expression can be simplified with the alternative short syntax *module-type<sub>1</sub> -> module-type<sub>2</sub>*. No



restrictions are placed on the type of the functor argument; in particular, a functor may take another functor as argument (“higher-order” functor).

When the result module type is itself a functor,

```
functor ( name1 : module-type1 ) -> ... -> functor ( namen : module-typen ) -> module-type
```

one may use the abbreviated form

```
functor ( name1 : module-type1 ) ... ( namen : module-typen ) -> module-type
```

### 11.10.6 The with operator

Assuming *module-type* denotes a signature, the expression *module-type with mod-constraint* {**and mod-constraint**} denotes the same signature where type equations have been added to some of the type specifications, as described by the constraints following the **with** keyword. The constraint **type** [*type-parameters*] *typeconstr* = *typexpr* adds the type equation = *typexpr* to the specification of the type component named *typeconstr* of the constrained signature. The constraint **module** *module-path* = *extended-module-path* adds type equations to all type components of the substructure denoted by *module-path*, making them equivalent to the corresponding type components of the structure denoted by *extended-module-path*.

For instance, if the module type name *S* is bound to the signature

```
sig type t module M: (sig type u end) end
```

then *S with type t=int* denotes the signature

```
sig type t=int module M: (sig type u end) end
```

and *S with module M = N* denotes the signature

```
sig type t module M: (sig type u=N.u end) end
```

A functor taking two arguments of type *S* that share their *t* component is written

```
functor (A: S) (B: S with type t = A.t) ...
```

Constraints are added left to right. After each constraint has been applied, the resulting signature must be a subtype of the signature before the constraint was applied. Thus, the **with** operator can only add information on the type components of a signature, but never remove information.

## 11.11 Module expressions (module implementations)

Module expressions are the module-level equivalent of value expressions: they evaluate to modules, thus providing implementations for the specifications expressed in module types.

```

module-expr ::= module-path
                | struct [module-items] end
                | functor ( module-name : module-type ) -> module-expr
                | module-expr ( module-expr )
                | ( module-expr )
                | ( module-expr : module-type )

module-items ::= {;;} ( definition | expr ) { {;;} ( definition | ;; expr ) } {;;}

definition ::= let [rec] let-binding { and let-binding }
                | external value-name : typexpr = external-declaration
                | type-definition
                | exception-definition
                | class-definition
                | classtype-definition
                | module module-name { ( module-name : module-type ) } [ : module-type ]
                = module-expr
                | module type modtype-name = module-type
                | open module-path
                | include module-expr

```

See also the following language extensions: [recursive modules](#), [first-class modules](#), [overriding in open statements](#), [attributes](#), [extension nodes](#) and [generative functors](#).

### 11.11.1 Simple module expressions

The expression *module-path* evaluates to the module bound to the name *module-path*.

The expression ( *module-expr* ) evaluates to the same module as *module-expr*.

The expression ( *module-expr* : *module-type* ) checks that the type of *module-expr* is a subtype of *module-type*, that is, that all components specified in *module-type* are implemented in *module-expr*, and their implementation meets the requirements given in *module-type*. In other terms, it checks that the implementation *module-expr* meets the type specification *module-type*. The whole expression evaluates to the same module as *module-expr*, except that all components not specified in *module-type* are hidden and can no longer be accessed.

### 11.11.2 Structures

Structures **struct**...**end** are collections of definitions for value names, type names, exceptions, module names and module type names. The definitions are evaluated in the order in which they appear in the structure. The scopes of the bindings performed by the definitions extend to the end of the structure. As a consequence, a definition may refer to names bound by earlier definitions in the same structure.

For compatibility with toplevel phrases (chapter 14), optional ;; are allowed after and before each definition in a structure. These ;; have no semantic meanings. Similarly, an *expr* preceded by ;; is allowed as a component of a structure. It is equivalent to **let** \_ = *expr*, i.e. *expr* is evaluated

for its side-effects but is not bound to any identifier. If *expr* is the first component of a structure, the preceding `;;` can be omitted.

## Value definitions

A value definition `let [rec] let-binding {and let-binding}` bind value names in the same way as a `let...in...` expression (see section 11.7.2). The value names appearing in the left-hand sides of the bindings are bound to the corresponding values in the right-hand sides.

A value definition `external value-name : typexpr = external-declaration` implements *value-name* as the external function specified in *external-declaration* (see chapter 22).

## Type definitions

A definition of one or several type components is written `type typedef {and typedef}` and consists of a sequence of mutually recursive definitions of type names.

## Exception definitions

Exceptions are defined with the syntax `exception constr-decl` or `exception constr-name = constr`.

## Class definitions

A definition of one or several classes is written `class class-binding {and class-binding}` and consists of a sequence of mutually recursive definitions of class names. Class definitions are described more precisely in section 11.9.3.

## Class type definitions

A definition of one or several classes is written `class type classtype-def {and classtype-def}` and consists of a sequence of mutually recursive definitions of class type names. Class type definitions are described more precisely in section 11.9.5.

## Module definitions

The basic form for defining a module component is `module module-name = module-expr`, which evaluates *module-expr* and binds the result to the name *module-name*.

One can write

```
module module-name : module-type = module-expr
```

instead of

```
module module-name = ( module-expr : module-type ).
```

Another derived form is

```
module module-name ( name1 : module-type1 ) ... ( namen : module-typen ) = module-expr
```

which is equivalent to

```
module module-name = functor ( name1 : module-type1 ) -> ... -> module-expr
```

## Module type definitions

A definition for a module type is written `module type modtype-name = module-type`. It binds the name *modtype-name* to the module type denoted by the expression *module-type*.

## Opening a module path

The expression `open module-path` in a structure does not define any components nor perform any bindings. It simply affects the parsing of the following items of the structure, allowing components of the module denoted by *module-path* to be referred to by their simple names *name* instead of path accesses *module-path . name*. The scope of the `open` stops at the end of the structure expression.

## Including the components of another structure

The expression `include module-expr` in a structure re-exports in the current structure all definitions of the structure denoted by *module-expr*. For instance, if you define a module *S* as below

```
module S = struct type t = int let x = 2 end
```

defining the module *B* as

```
module B = struct include S let y = (x + 1 : t) end
```

is equivalent to defining it as

```
module B = struct type t = S.t let x = S.x let y = (x + 1 : t) end
```

The difference between `open` and `include` is that `open` simply provides short names for the components of the opened structure, without defining any components of the current structure, while `include` also adds definitions for the components of the included structure.

### 11.11.3 Functors

#### Functor definition

The expression `functor ( module-name : module-type ) -> module-expr` evaluates to a functor that takes as argument modules of the type *module-type*<sub>1</sub>, binds *module-name* to these modules, evaluates *module-expr* in the extended environment, and returns the resulting modules as results. No restrictions are placed on the type of the functor argument; in particular, a functor may take another functor as argument (“higher-order” functor).

When the result module expression is itself a functor,

```
functor ( name1 : module-type1 ) -> ... -> functor ( namen : module-typen ) -> module-expr
```

one may use the abbreviated form

```
functor ( name1 : module-type1 ) ... ( namen : module-typen ) -> module-expr
```

#### Functor application

The expression `module-expr1 ( module-expr2 )` evaluates *module-expr*<sub>1</sub> to a functor and *module-expr*<sub>2</sub> to a module, and applies the former to the latter. The type of *module-expr*<sub>2</sub> must match the type expected for the arguments of the functor *module-expr*<sub>1</sub>.

## 11.12 Compilation units

$$\begin{aligned} \textit{unit-interface} &::= \{ \textit{specification} \ [; ;] \} \\ \textit{unit-implementation} &::= [\textit{module-items}] \end{aligned}$$

Compilation units bridge the module system and the separate compilation system. A compilation unit is composed of two parts: an interface and an implementation. The interface contains a sequence of specifications, just as the inside of a `sig ... end` signature expression. The implementation contains a sequence of definitions and expressions, just as the inside of a `struct ... end` module expression. A compilation unit also has a name *unit-name*, derived from the names of the files containing the interface and the implementation (see chapter 13 for more details). A compilation unit behaves roughly as the module definition

```
module unit-name : sig unit-interface end = struct unit-implementation end
```

A compilation unit can refer to other compilation units by their names, as if they were regular modules. For instance, if *U* is a compilation unit that defines a type *t*, other compilation units can refer to that type under the name *U.t*; they can also refer to *U* as a whole structure. Except for names of other compilation units, a unit interface or unit implementation must not have any other free variables. In other terms, the type-checking and compilation of an interface or implementation proceeds in the initial environment

$$\textit{name}_1 : \text{sig } \textit{specification}_1 \text{ end} \dots \textit{name}_n : \text{sig } \textit{specification}_n \text{ end}$$

where *name*<sub>1</sub> ... *name*<sub>*n*</sub> are the names of the other compilation units available in the search path (see chapter 13 for more details) and *specification*<sub>1</sub> ... *specification*<sub>*n*</sub> are their respective interfaces.



## Chapter 12

# Language extensions

This chapter describes language extensions and convenience features that are implemented in OCaml, but not described in chapter 11.

### 12.1 Recursive definitions of values

(Introduced in Objective Caml 1.00)

As mentioned in section 11.7.2, the `let rec` binding construct, in addition to the definition of recursive functions, also supports a certain class of recursive definitions of non-functional values, such as

```
let rec name1 = 1 :: name2 and name2 = 2 :: name1 in expr
```

which binds `name1` to the cyclic list `1::2::1::2::...`, and `name2` to the cyclic list `2::1::2::1::...`. Informally, the class of accepted definitions consists of those definitions where the defined names occur only inside function bodies or as argument to a data constructor.

More precisely, consider the expression:

```
let rec name1 = expr1 and ... and namen = exprn in expr
```

It will be accepted if each one of `expr1...exprn` is statically constructive with respect to `name1...namen`, is not immediately linked to any of `name1...namen`, and is not an array constructor whose arguments have abstract type.

An expression `e` is said to be *statically constructive with respect to* the variables `name1...namen` if at least one of the following conditions is true:

- `e` has no free occurrence of any of `name1...namen`
- `e` is a variable
- `e` has the form `fun ... -> ...`
- `e` has the form `function ... -> ...`
- `e` has the form `lazy (...)`

- $e$  has one of the following forms, where each one of  $expr_1 \dots expr_m$  is statically constructive with respect to  $name_1 \dots name_n$ , and  $expr_0$  is statically constructive with respect to  $name_1 \dots name_n$ ,  $xname_1 \dots xname_m$ :
  - `let [rec]  $xname_1 = expr_1$  and ... and  $xname_m = expr_m$  in  $expr_0$`
  - `let module ... in  $expr_1$`
  - `constr (  $expr_1$  , ... ,  $expr_m$  )`
  - ``tag-name (  $expr_1$  , ... ,  $expr_m$  )`
  - `[|  $expr_1$  ; ... ;  $expr_m$  |]`
  - `{  $field_1 = expr_1$  ; ... ;  $field_m = expr_m$  }`
  - `{  $expr_1$  with  $field_2 = expr_2$  ; ... ;  $field_m = expr_m$  }` where  $expr_1$  is not immediately linked to  $name_1 \dots name_n$
  - `(  $expr_1$  , ... ,  $expr_m$  )`
  - `$expr_1$  ; ... ;  $expr_m$`

An expression  $e$  is said to be *immediately linked to* the variable  $name$  in the following cases:

- $e$  is  $name$
- $e$  has the form  $expr_1 ; \dots ; expr_m$  where  $expr_m$  is immediately linked to  $name$
- $e$  has the form `let [rec]  $xname_1 = expr_1$  and ... and  $xname_m = expr_m$  in  $expr_0$`  where  $expr_0$  is immediately linked to  $name$  or to one of the  $xname_i$  such that  $expr_i$  is immediately linked to  $name$ .

## 12.2 Recursive modules

(Introduced in Objective Caml 3.07)

```
definition ::= ...
            | module rec module-name : module-type = module-expr
              {and module-name : module-type = module-expr}
```

```
specification ::= ...
               | module rec module-name : module-type {and module-name : module-type}
```

Recursive module definitions, introduced by the `modulerec ... and ...` construction, generalize regular module definitions `module module-name = module-expr` and module specifications `module module-name : module-type` by allowing the defining *module-expr* and the *module-type* to refer recursively to the module identifiers being defined. A typical example of a recursive module definition is:

```
module rec A : sig
  type t = Leaf of string | Node of ASet.t
  val compare: t -> t -> int
```



```

end = struct
  type t = Leaf of string | Node of ASet.t
  let compare t1 t2 =
    match (t1, t2) with
    | (Leaf s1, Leaf s2) -> Stdlib.compare s1 s2
    | (Leaf _, Node _) -> 1
    | (Node _, Leaf _) -> -1
    | (Node n1, Node n2) -> ASet.compare n1 n2
end
and ASet
  : Set.S with type elt = A.t
  = Set.Make(A)

```

It can be given the following specification:

```

module rec A : sig
  type t = Leaf of string | Node of ASet.t
  val compare: t -> t -> int
end
and ASet : Set.S with type elt = A.t

```

This is an experimental extension of OCaml: the class of recursive definitions accepted, as well as its dynamic semantics are not final and subject to change in future releases.

Currently, the compiler requires that all dependency cycles between the recursively-defined module identifiers go through at least one “safe” module. A module is “safe” if all value definitions that it contains have function types  $typexpr_1 \rightarrow typexpr_2$ . Evaluation of a recursive module definition proceeds by building initial values for the safe modules involved, binding all (functional) values to `fun _ -> raiseUndefined_recursive_module`. The defining module expressions are then evaluated, and the initial values for the safe modules are replaced by the values thus computed. If a function component of a safe module is applied during this computation (which corresponds to an ill-founded recursive definition), the `Undefined_recursive_module` exception is raised at runtime:

```

module rec M: sig val f: unit -> int end = struct let f () = N.x end
and N:sig val x: int end = struct let x = M.f () end

```

*Exception:*

```

Undefined_recursive_module ("extensions/recursive_modules.etex", 1, 43).

```

If there are no safe modules along a dependency cycle, an error is raised

```

module rec M: sig val x: int end = struct let x = N.y end
and N:sig val x: int val y:int end = struct let x = M.x let y = 0 end

```

*Error: Cannot safely evaluate the definition of the following cycle  
of recursively-defined modules: M -> N -> M.*

*There are no safe modules in this cycle (see manual section 12.2).*

*Module M defines an unsafe value, x .*

*Module N defines an unsafe value, x .*

Note that, in the *specification* case, the *module-types* must be parenthesized if they use the *with mod-constraint* construct.

## 12.3 Private types

Private type declarations in module signatures, of the form `type t = private ...`, enable libraries to reveal some, but not all aspects of the implementation of a type to clients of the library. In this respect, they strike a middle ground between abstract type declarations, where no information is revealed on the type implementation, and data type definitions and type abbreviations, where all aspects of the type implementation are publicized. Private type declarations come in three flavors: for variant and record types (section 12.3.1), for type abbreviations (section 12.3.2), and for row types (section 12.3.3).

### 12.3.1 Private variant and record types

(Introduced in Objective Caml 3.07)

$$\begin{aligned} \text{type-representation} &::= \dots \\ &| \text{ = private [1] constr-decl \{ | constr-decl \}} \\ &| \text{ = private record-decl} \end{aligned}$$

Values of a variant or record type declared **private** can be de-structured normally in pattern-matching or via the `expr . field` notation for record accesses. However, values of these types cannot be constructed directly by constructor application or record construction. Moreover, assignment on a mutable field of a private record type is not allowed.

The typical use of private types is in the export signature of a module, to ensure that construction of values of the private type always go through the functions provided by the module, while still allowing pattern-matching outside the defining module. For example:

```
module M : sig
  type t = private A | B of int
  val a : t
  val b : int -> t
end = struct
  type t = A | B of int
  let a = A
  let b n = assert (n > 0); B n
end
```

Here, the **private** declaration ensures that in any value of type `M.t`, the argument to the `B` constructor is always a positive integer.

With respect to the variance of their parameters, private types are handled like abstract types. That is, if a private type has parameters, their variance is the one explicitly given by prefixing the parameter by a '+' or a '-', it is invariant otherwise.

### 12.3.2 Private type abbreviations

(Introduced in Objective Caml 3.11)

$$\begin{aligned} \text{type-equation} &::= \dots \\ &| \text{ = private typexpr} \end{aligned}$$

Unlike a regular type abbreviation, a private type abbreviation declares a type that is distinct from its implementation type *typexpr*. However, coercions from the type to *typexpr* are permitted. Moreover, the compiler “knows” the implementation type and can take advantage of this knowledge to perform type-directed optimizations.

The following example uses a private type abbreviation to define a module of nonnegative integers:

```
module N : sig
  type t = private int
  val of_int: int -> t
  val to_int: t -> int
end = struct
  type t = int
  let of_int n = assert (n >= 0); n
  let to_int n = n
end
```

The type *N.t* is incompatible with *int*, ensuring that nonnegative integers and regular integers are not confused. However, if *x* has type *N.t*, the coercion (*x* :> *int*) is legal and returns the underlying integer, just like *N.to\_int x*. Deep coercions are also supported: if *l* has type *N.t list*, the coercion (*l* :> *int list*) returns the list of underlying integers, like *List.map N.to\_int l* but without copying the list *l*.

Note that the coercion (*expr* :> *typexpr*) is actually an abbreviated form, and will only work in presence of private abbreviations if neither the type of *expr* nor *typexpr* contain any type variables. If they do, you must use the full form (*expr* : *typexpr*<sub>1</sub> :> *typexpr*<sub>2</sub>) where *typexpr*<sub>1</sub> is the expected type of *expr*. Concretely, this would be (*x* : *N.t* :> *int*) and (*l* : *N.t list* :> *int list*) for the above examples.

### 12.3.3 Private row types

(Introduced in Objective Caml 3.09)

$$\begin{array}{lcl} \text{type-equation} & ::= & \dots \\ & | & \text{= private typexpr} \end{array}$$

Private row types are type abbreviations where part of the structure of the type is left abstract. Concretely *typexpr* in the above should denote either an object type or a polymorphic variant type, with some possibility of refinement left. If the private declaration is used in an interface, the corresponding implementation may either provide a ground instance, or a refined private type.

```
module M : sig type c = private < x : int; .. > val o : c end =
struct
  class c = object method x = 3 method y = 2 end
  let o = new c
end
```

This declaration does more than hiding the *y* method, it also makes the type *c* incompatible with any other closed object type, meaning that only *o* will be of type *c*. In that respect it behaves similarly to private record types. But private row types are more flexible with respect to incremental refinement. This feature can be used in combination with functors.

```

module F(X : sig type c = private < x : int; .. > end) =
struct
  let get_x (o : X.c) = o#x
end
module G(X : sig type c = private < x : int; y : int; .. > end) =
struct
  include F(X)
  let get_y (o : X.c) = o#y
end

```

A polymorphic variant type  $[t]$ , for example

```
type t = [ `A of int | `B of bool ]
```

can be refined in two ways. A definition  $[u]$  may add new field to  $[t]$ , and the declaration

```
type u = private [> t]
```

will keep those new fields abstract. Construction of values of type  $[u]$  is possible using the known variants of  $[t]$ , but any pattern-matching will require a default case to handle the potential extra fields. Dually, a declaration  $[u]$  may restrict the fields of  $[t]$  through abstraction: the declaration

```
type v = private [< t > `A]
```

corresponds to private variant types. One cannot create a value of the private type  $[v]$ , except using the constructors that are explicitly listed as present, (``A n`) in this example; yet, when pattern-matching on a  $[v]$ , one should assume that any of the constructors of  $[t]$  could be present.

Similarly to abstract types, the variance of type parameters is not inferred, and must be given explicitly.

## 12.4 Locally abstract types

(Introduced in OCaml 3.12, short syntax added in 4.03)

$$\text{parameter} ::= \dots$$

$$| \text{ ( type } \{ \text{typeconstr-name} \}^+ )$$

The expression `fun ( type typeconstr-name ) -> expr` introduces a type constructor named *typeconstr-name* which is considered abstract in the scope of the sub-expression, but then replaced by a fresh type variable. Note that contrary to what the syntax could suggest, the expression `fun ( type typeconstr-name ) -> expr` itself does not suspend the evaluation of *expr* as a regular abstraction would. The syntax has been chosen to fit nicely in the context of function declarations, where it is generally used. It is possible to freely mix regular function parameters with pseudo type parameters, as in:

```
let f = fun (type t) (foo : t list) -> ...
```

and even use the alternative syntax for declaring functions:

```
let f (type t) (foo : t list) = ...
```

If several locally abstract types need to be introduced, it is possible to use the syntax `fun ( type typeconstr-name1...typeconstr-namen ) -> expr` as syntactic sugar for `fun ( type typeconstr-name1 ) ->...-> fun ( type typeconstr-namen ) -> expr`. For instance,

```
let f = fun (type t u v) -> fun (foo : (t * u * v) list) -> ...
let f' (type t u v) (foo : (t * u * v) list) = ...
```

This construction is useful because the type constructors it introduces can be used in places where a type variable is not allowed. For instance, one can use it to define an exception in a local module within a polymorphic function.

```
let f (type t) () =
  let module M = struct exception E of t end in
  (fun x -> M.E x), (function M.E x -> Some x | _ -> None)
```

Here is another example:

```
let sort_uniq (type s) (cmp : s -> s -> int) =
  let module S = Set.Make(struct type t = s let compare = cmp end) in
  fun l ->
    S.elements (List.fold_right S.add l S.empty)
```

It is also extremely useful for first-class modules (see section 12.5) and generalized algebraic datatypes (GADTs: see section 12.10).

### Polymorphic syntax (Introduced in OCaml 4.00)

```
let-binding ::= ...
              | value-name : type {typeconstr-name}+ . typexpr = expr

class-field ::= ...
              | method [private] method-name : type {typeconstr-name}+ . typexpr = expr
              | method! [private] method-name : type {typeconstr-name}+ . typexpr = expr
```

The `(type typeconstr-name)` syntax construction by itself does not make polymorphic the type variable it introduces, but it can be combined with explicit polymorphic annotations where needed. The above rule is provided as syntactic sugar to make this easier:

```
let rec f : type t1 t2. t1 * t2 list -> t1 = ...
```

is automatically expanded into

```
let rec f : 't1 't2. 't1 * 't2 list -> 't1 =
  fun (type t1) (type t2) -> ( ... : t1 * t2 list -> t1)
```

This syntax can be very useful when defining recursive functions involving GADTs, see the section 12.10 for a more detailed explanation.

The same feature is provided for method definitions.

## 12.5 First-class modules

(Introduced in OCaml 3.12; pattern syntax and package type inference introduced in 4.00; structural comparison of package types introduced in 4.02.; fewer parens required starting from 4.05)

```

typexpr ::= ...
          | ( module package-type )

module-expr ::= ...
              | ( val expr [: package-type] )

expr ::= ...
        | ( module module-expr [: package-type] )

pattern ::= ...
           | ( module module-name [: package-type] )

package-type ::= modtype-path
                | modtype-path with package-constraint {and package-constraint}

package-constraint ::= type typeconstr = typexpr

```

Modules are typically thought of as static components. This extension makes it possible to pack a module as a first-class value, which can later be dynamically unpacked into a module.

The expression ( *module* *module-expr* : *package-type* ) converts the module (structure or functor) denoted by module expression *module-expr* to a value of the core language that encapsulates this module. The type of this core language value is ( *module* *package-type* ). The *package-type* annotation can be omitted if it can be inferred from the context.

Conversely, the module expression ( *val* *expr* : *package-type* ) evaluates the core language expression *expr* to a value, which must have type *module* *package-type*, and extracts the module that was encapsulated in this value. Again *package-type* can be omitted if the type of *expr* is known. If the module expression is already parenthesized, like the arguments of functors are, no additional parens are needed: `Map.Make(val key)`.

The pattern ( *module* *module-name* : *package-type* ) matches a package with type *package-type* and binds it to *module-name*. It is not allowed in toplevel let bindings. Again *package-type* can be omitted if it can be inferred from the enclosing pattern.

The *package-type* syntactic class appearing in the ( *module* *package-type* ) type expression and in the annotated forms represents a subset of module types. This subset consists of named module types with optional constraints of a limited form: only non-parametrized types can be specified.

For type-checking purposes (and starting from OCaml 4.02), package types are compared using the structural comparison of module types.

In general, the module expression ( *val* *expr* : *package-type* ) cannot be used in the body of a functor, because this could cause unsoundness in conjunction with applicative functors. Since OCaml 4.02, this is relaxed in two ways: if *package-type* does not contain nominal type declarations (*i.e.* types that are created with a proper identity), then this expression can be used anywhere, and even if it contains such types it can be used inside the body of a generative functor, described in section 12.15. It can also be used anywhere in the context of a local module binding `let module module-name = ( val expr1 : package-type ) in expr2`.

**Basic example** A typical use of first-class modules is to select at run-time among several implementations of a signature. Each implementation is a structure that we can encapsulate as a

first-class module, then store in a data structure such as a hash table:

```
type picture = ...
module type DEVICE = sig
  val draw : picture -> unit
  ...
end
let devices : (string, (module DEVICE)) Hashtbl.t = Hashtbl.create 17

module SVG = struct ... end
let _ = Hashtbl.add devices "SVG" (module SVG : DEVICE)

module PDF = struct ... end
let _ = Hashtbl.add devices "PDF" (module PDF : DEVICE)
```

We can then select one implementation based on command-line arguments, for instance:

```
let parse_cmdline () = ...
module Device =
  (val (let device_name = parse_cmdline () in
    try Hashtbl.find devices device_name
    with Not_found ->
      Printf.eprintf "Unknown device %s\n" device_name;
      exit 2)
   : DEVICE)
```

Alternatively, the selection can be performed within a function:

```
let draw_using_device device_name picture =
  let module Device =
    (val (Hashtbl.find devices device_name) : DEVICE)
  in
  Device.draw picture
```

**Advanced examples** With first-class modules, it is possible to parametrize some code over the implementation of a module without using a functor.

```
let sort (type s) (module Set : Set.S with type elt = s) l =
  Set.elements (List.fold_right Set.add l Set.empty)
val sort : (module Set.S with type elt = 's) -> 's list -> 's list = <fun>
```

To use this function, one can wrap the `Set.Make` functor:

```
let make_set (type s) cmp =
  let module S = Set.Make(struct
    type t = s
    let compare = cmp
  end) in
  (module S : Set.S with type elt = s)
val make_set : ('s -> 's -> int) -> (module Set.S with type elt = 's) = <fun>
```

## 12.6 Recovering the type of a module

(Introduced in OCaml 3.12)

$$\begin{array}{lcl} \text{module-type} & ::= & \dots \\ & | & \text{module type of } \text{module-expr} \end{array}$$

The construction `module type of module-expr` expands to the module type (signature or functor type) inferred for the module expression *module-expr*. To make this module type reusable in many situations, it is intentionally not strengthened: abstract types and datatypes are not explicitly related with the types of the original module. For the same reason, module aliases in the inferred type are expanded.

A typical use, in conjunction with the signature-level `include` construct, is to extend the signature of an existing structure. In that case, one wants to keep the types equal to types in the original module. This can be done using the following idiom.

```
module type MYHASH = sig
  include module type of struct include Hashtbl end
  val replace: ('a, 'b) t -> 'a -> 'b -> unit
end
```

The signature MYHASH then contains all the fields of the signature of the module `Hashtbl` (with strengthened type definitions), plus the new field `replace`. An implementation of this signature can be obtained easily by using the `include` construct again, but this time at the structure level:

```
module MyHash : MYHASH = struct
  include Hashtbl
  let replace t k v = remove t k; add t k v
end
```

Another application where the absence of strengthening comes handy, is to provide an alternative implementation for an existing module.

```
module MySet : module type of Set = struct
  ...
end
```

This idiom guarantees that `Myset` is compatible with `Set`, but allows it to represent sets internally in a different way.

## 12.7 Substituting inside a signature

### 12.7.1 Destructive substitutions

(Introduced in OCaml 3.12, generalized in 4.06)

$$\begin{array}{lcl} \text{mod-constraint} & ::= & \dots \\ & | & \text{type } [\text{type-params}] \text{ typeconstr-name} := \text{typexpr} \\ & | & \text{module } \text{module-path} := \text{extended-module-path} \end{array}$$

A “destructive” substitution (`with... :=...`) behaves essentially like normal signature constraints (`with... =...`), but it additionally removes the redefined type or module from the signature.



Prior to OCaml 4.06, there were a number of restrictions: one could only remove types and modules at the outermost level (not inside submodules), and in the case of `with_type` the definition had to be another type constructor with the same type parameters.

A natural application of destructive substitution is merging two signatures sharing a type name.

```
module type Printable = sig
  type t
  val print : Format.formatter -> t -> unit
end
module type Comparable = sig
  type t
  val compare : t -> t -> int
end
module type PrintableComparable = sig
  include Printable
  include Comparable with type t := t
end
```

One can also use this to completely remove a field:

```
module type S = Comparable with type t := int
module type S = sig val compare : int -> int -> int end
```

or to rename one:

```
module type S = sig
  type u
  include Comparable with type t := u
end
module type S = sig type u val compare : u -> u -> int end
```

Note that you can also remove manifest types, by substituting with the same type.

```
module type ComparableInt = Comparable with type t = int ;;
module type ComparableInt = sig type t = int val compare : t -> t -> int end

module type CompareInt = ComparableInt with type t := int
module type CompareInt = sig val compare : int -> int -> int end
```

## 12.7.2 Local substitution declarations

(Introduced in OCaml 4.08)

```
specification ::= ...
                | type type-subst {and type-subst}
                | module module-name := extended-module-path
                | module type module-name := module-type

type-subst ::= [type-params] typeconstr-name := typexpr {type-constraint}
```

Local substitutions behave like destructive substitutions (`with... :=...`) but instead of being applied to a whole signature after the fact, they are introduced during the specification of the signature, and will apply to all the items that follow.

This provides a convenient way to introduce local names for types and modules when defining a signature:

```
module type S = sig
  type t
  module Sub : sig
    type outer := t
    type t
    val to_outer : t -> outer
  end
end
module type S =
  sig type t module Sub : sig type t val to_outer : t -> t/2 end end
```

Note that, unlike type declarations, type substitution declarations are not recursive, so substitutions like the following are rejected:

```
# module type S = sig
  type 'a poly_list := [ `Cons of 'a * 'a poly_list | `Nil ]
end ;;
Error: Unbound type constructor poly_list
```

### 12.7.3 Module type substitutions

(Introduced in OCaml 4.13)

```
mod-constraint ::= ...
                | module type modtype-path = module-type
                | module type modtype-path := module-type
```

Module type substitution essentially behaves like type substitutions. They are useful to refine an abstract module type in a signature into a concrete module type,

```
# module type ENDO = sig
  module type T
  module F: T -> T
end
module Endo(X: sig module type T end): ENDO with module type T = X.T =
struct
  module type T = X.T
  module F(X:T) = X
end;;
module type ENDO = sig module type T module F : T -> T end
module Endo :
  functor (X : sig module type T end) ->
    sig module type T = X.T module F : T -> T end
```

It is also possible to substitute a concrete module type with an equivalent module types.

```
module type A = sig
  type x
  module type R = sig
    type a = A of x
    type b
  end
end
module type S = sig
  type a = A of int
  type b
end
module type B = A with type x = int and module type R = S
```

However, such substitutions are never necessary.

Destructive module type substitution removes the module type substitution from the signature

```
# module type ENDO' = ENDO with module type T := ENDO;;
module type ENDO' = sig module F : ENDO -> ENDO end
```

If the right hand side of the substitution is not a path, then the destructive substitution is only valid if the left-hand side of the substitution is never used as the type of a first-class module in the original module type.

```
module type T = sig module type S val x: (module S) end
module type Error = T with module type S := sig end
```

*Error: This `with' constraint S := sig end makes a packed module ill-formed.*

## 12.8 Type-level module aliases

(Introduced in OCaml 4.02)

$$\begin{array}{lcl} \text{specification} & ::= & \dots \\ & | & \text{module } \text{module-name} = \text{module-path} \end{array}$$

The above specification, inside a signature, only matches a module definition equal to *module-path*. Conversely, a type-level module alias can be matched by itself, or by any supertype of the type of the module it references.

There are several restrictions on *module-path*:

1. it should be of the form  $M_0.M_1\dots M_n$  (*i.e.* without functor applications);
2. inside the body of a functor,  $M_0$  should not be one of the functor parameters;
3. inside a recursive module definition,  $M_0$  should not be one of the recursively defined modules.

Such specifications are also inferred. Namely, when  $P$  is a path satisfying the above constraints,

```
module N = P
```

has type

```
module N = P
```

Type-level module aliases are used when checking module path equalities. That is, in a context where module name  $N$  is known to be an alias for  $P$ , not only these two module paths check as equal, but  $F(N)$  and  $F(P)$  are also recognized as equal. In the default compilation mode, this is the only difference with the previous approach of module aliases having just the same module type as the module they reference.

When the compiler flag `-no-alias-deps` is enabled, type-level module aliases are also exploited to avoid introducing dependencies between compilation units. Namely, a module alias referring to a module inside another compilation unit does not introduce a link-time dependency on that compilation unit, as long as it is not dereferenced; it still introduces a compile-time dependency if the interface needs to be read, *i.e.* if the module is a submodule of the compilation unit, or if some type components are referred to. Additionally, accessing a module alias introduces a link-time dependency on the compilation unit containing the module referenced by the alias, rather than the compilation unit containing the alias. Note that these differences in link-time behavior may be incompatible with the previous behavior, as some compilation units might not be extracted from libraries, and their side-effects ignored.

These weakened dependencies make possible to use module aliases in place of the `-pack` mechanism. Suppose that you have a library `Mylib` composed of modules `A` and `B`. Using `-pack`, one would issue the command line

```
ocamlc -pack a.cmo b.cmo -o mylib.cmo
```

and as a result obtain a `Mylib` compilation unit, containing physically `A` and `B` as submodules, and with no dependencies on their respective compilation units. Here is a concrete example of a possible alternative approach:

1. Rename the files containing `A` and `B` to `Mylib__A` and `Mylib__B`.
2. Create a packing interface `Mylib.ml`, containing the following lines.

```
module A = Mylib__A
module B = Mylib__B
```

3. Compile `Mylib.ml` using `-no-alias-deps`, and the other files using `-no-alias-deps` and `-open Mylib` (the last one is equivalent to adding the line `open! Mylib` at the top of each file).

```
ocamlc -c -no-alias-deps Mylib.ml
ocamlc -c -no-alias-deps -open Mylib Mylib__*.mli Mylib__*.ml
```

4. Finally, create a library containing all the compilation units, and export all the compiled interfaces.

```
ocamlc -a Mylib*.cmo -o Mylib.cma
```

This approach lets you access `A` and `B` directly inside the library, and as `Mylib.A` and `Mylib.B` from outside. It also has the advantage that `Mylib` is no longer monolithic: if you use `Mylib.A`, only `Mylib__A` will be linked in, not `Mylib__B`.

Note the use of double underscores in `Mylib__A` and `Mylib__B`. These were chosen on purpose; the compiler uses the following heuristic when printing paths: given a path `Lib__fooBar`, if `Lib.FooBar` exists and is an alias for `Lib__fooBar`, then the compiler will always display `Lib.FooBar` instead of `Lib__fooBar`. This way the long `Mylib__` names stay hidden and all the user sees is the nicer dot names. This is how the OCaml standard library is compiled.

## 12.9 Overriding in open statements

(Introduced in OCaml 4.01)

```

definition ::= ...
            | open! module-path

specification ::= ...
              | open! module-path

expr ::= ...
      | let open! module-path in expr

class-body-type ::= ...
                 | let open! module-path in class-body-type

class-expr ::= ...
            | let open! module-path in class-expr

```

Since OCaml 4.01, `open` statements shadowing an existing identifier (which is later used) trigger the warning 44. Adding a `!` character after the `open` keyword indicates that such a shadowing is intentional and should not trigger the warning.

This is also available (since OCaml 4.06) for local opens in class expressions and class type expressions.

## 12.10 Generalized algebraic datatypes

Generalized algebraic datatypes, or GADTs, extend usual sum types in two ways: constraints on type parameters may change depending on the value constructor, and some type variables may be existentially quantified. They are described in chapter 7.

(Introduced in OCaml 4.00)

```

constr-decl ::= ...
            | constr-name : [constr-args ->] typexpr

type-param ::= ...
            | [variance] _

```

Refutation cases. (Introduced in OCaml 4.03)

$$\begin{aligned} \text{matching-case} &::= \text{pattern} [\text{when } \text{expr}] \rightarrow \text{expr} \\ &\quad | \text{pattern} \rightarrow . \end{aligned}$$

Explicit naming of existentials. (Introduced in OCaml 4.13.0)

$$\begin{aligned} \text{pattern} &::= \dots \\ &\quad | \text{constr } ( \text{type } \{ \text{typeconstr-name} \}^+ ) ( \text{pattern} ) \end{aligned}$$

## 12.11 Syntax for Bigarray access

(Introduced in Objective Caml 3.00)

$$\begin{aligned} \text{expr} &::= \dots \\ &\quad | \text{expr} .\{ \text{expr } \{ , \text{expr} \} \} \\ &\quad | \text{expr} .\{ \text{expr } \{ , \text{expr} \} \} <- \text{expr} \end{aligned}$$

This extension provides syntactic sugar for getting and setting elements in the arrays provided by the [Bigarray\[28.5\]](#) module.

The short expressions are translated into calls to functions of the **Bigarray** module as described in the following table.

expression	translation
$\text{expr}_0 .\{ \text{expr}_1 \}$	<code>Bigarray.Array1.get <math>\text{expr}_0</math> <math>\text{expr}_1</math></code>
$\text{expr}_0 .\{ \text{expr}_1 \} <- \text{expr}$	<code>Bigarray.Array1.set <math>\text{expr}_0</math> <math>\text{expr}_1</math> <math>\text{expr}</math></code>
$\text{expr}_0 .\{ \text{expr}_1 , \text{expr}_2 \}$	<code>Bigarray.Array2.get <math>\text{expr}_0</math> <math>\text{expr}_1</math> <math>\text{expr}_2</math></code>
$\text{expr}_0 .\{ \text{expr}_1 , \text{expr}_2 \} <- \text{expr}$	<code>Bigarray.Array2.set <math>\text{expr}_0</math> <math>\text{expr}_1</math> <math>\text{expr}_2</math> <math>\text{expr}</math></code>
$\text{expr}_0 .\{ \text{expr}_1 , \text{expr}_2 , \text{expr}_3 \}$	<code>Bigarray.Array3.get <math>\text{expr}_0</math> <math>\text{expr}_1</math> <math>\text{expr}_2</math> <math>\text{expr}_3</math></code>
$\text{expr}_0 .\{ \text{expr}_1 , \text{expr}_2 , \text{expr}_3 \} <- \text{expr}$	<code>Bigarray.Array3.set <math>\text{expr}_0</math> <math>\text{expr}_1</math> <math>\text{expr}_2</math> <math>\text{expr}_3</math> <math>\text{expr}</math></code>
$\text{expr}_0 .\{ \text{expr}_1 , \dots , \text{expr}_n \}$	<code>Bigarray.Genarray.get <math>\text{expr}_0</math> [<math>\text{expr}_1 , \dots , \text{expr}_n</math> ]</code>
$\text{expr}_0 .\{ \text{expr}_1 , \dots , \text{expr}_n \} <- \text{expr}$	<code>Bigarray.Genarray.set <math>\text{expr}_0</math> [<math>\text{expr}_1 , \dots , \text{expr}_n</math> ] <math>\text{expr}</math></code>

The last two entries are valid for any  $n > 3$ .

## 12.12 Attributes

(Introduced in OCaml 4.02, infix notations for constructs other than expressions added in 4.03)

Attributes are “decorations” of the syntax tree which are mostly ignored by the type-checker but can be used by external tools. An attribute is made of an identifier and a payload, which can be a structure, a type expression (prefixed with `:`), a signature (prefixed with `:`) or a pattern (prefixed with `?`) optionally followed by a **when** clause:

$$\begin{aligned}
\text{attr-id} &::= \text{lowercase-ident} \\
&| \text{capitalized-ident} \\
&| \text{attr-id} . \text{attr-id} \\
\text{attr-payload} &::= [\text{module-items}] \\
&| : \text{typexpr} \\
&| : [\text{specification}] \\
&| ? \text{pattern} [\text{when expr}]
\end{aligned}$$

The first form of attributes is attached with a postfix notation on “algebraic” categories:

$$\begin{aligned}
\text{attribute} &::= [\text{@ attr-id attr-payload}] \\
\text{expr} &::= \dots \\
&| \text{expr attribute} \\
\text{typexpr} &::= \dots \\
&| \text{typexpr attribute} \\
\text{pattern} &::= \dots \\
&| \text{pattern attribute} \\
\text{module-expr} &::= \dots \\
&| \text{module-expr attribute} \\
\text{module-type} &::= \dots \\
&| \text{module-type attribute} \\
\text{class-expr} &::= \dots \\
&| \text{class-expr attribute} \\
\text{class-type} &::= \dots \\
&| \text{class-type attribute}
\end{aligned}$$

This form of attributes can also be inserted after the `` tag-name` in polymorphic variant type expressions (*tag-spec-first*, *tag-spec*, *tag-spec-full*) or after the *method-name* in *method-type*.

The same syntactic form is also used to attach attributes to labels and constructors in type declarations:

$$\begin{aligned}
\text{field-decl} &::= [\text{mutable}] \text{field-name} : \text{poly-typexpr} \{ \text{attribute} \} \\
\text{constr-decl} &::= (\text{constr-name} \mid ()) [\text{of constr-args}] \{ \text{attribute} \}
\end{aligned}$$

Note: when a label declaration is followed by a semi-colon, attributes can also be put after the semi-colon (in which case they are merged to those specified before).

The second form of attributes are attached to “blocks” such as type declarations, class fields, etc:

```

item-attribute ::= [ @@ attr-id attr-payload ]

typedef ::= ...
          | typedef item-attribute

exception-definition ::= exception constr-decl
                     | exception constr-name = constr

module-items ::= [ ;; ] ( definition | expr { item-attribute } ) { [ ;; ] definition | ;; expr { item-attribute } } [ ; ]

class-binding ::= ...
               | class-binding item-attribute

class-spec ::= ...
             | class-spec item-attribute

classtype-def ::= ...
                | classtype-def item-attribute

definition ::= let [ rec ] let-binding { and let-binding }
              | external value-name : typexpr = external-declaration { item-attribute }
              | type-definition
              | exception-definition { item-attribute }
              | class-definition
              | classtype-definition
              | module module-name { ( module-name : module-type ) } [ : module-type ]
                = module-expr { item-attribute }
              | module type modtype-name = module-type { item-attribute }
              | open module-path { item-attribute }
              | include module-expr { item-attribute }
              | module rec module-name : module-type =
                module-expr { item-attribute }
                { and module-name : module-type = module-expr
                  { item-attribute } }

specification ::= val value-name : typexpr { item-attribute }
                 | external value-name : typexpr = external-declaration { item-attribute }
                 | type-definition
                 | exception constr-decl { item-attribute }
                 | class-specification
                 | classtype-definition
                 | module module-name : module-type { item-attribute }
                 | module module-name { ( module-name : module-type ) } : module-type { item-attribute }
                 | module type modtype-name { item-attribute }
                 | module type modtype-name = module-type { item-attribute }
                 | open module-path { item-attribute }
                 | include module-type { item-attribute }

class-field-spec ::= ...
                  | class-field-spec item-attribute

class-field ::= ...
              | class-field item-attribute

```



A third form of attributes appears as stand-alone structure or signature items in the module or class sub-languages. They are not attached to any specific node in the syntax tree:

```
floating-attribute ::= [@@@ attr-id attr-payload ]
definition ::= ...
                | floating-attribute
specification ::= ...
                | floating-attribute
class-field-spec ::= ...
                  | floating-attribute
class-field ::= ...
              | floating-attribute
```

(Note: contrary to what the grammar above describes, *item-attributes* cannot be attached to these floating attributes in *class-field-spec* and *class-field*.)

It is also possible to specify attributes using an infix syntax. For instance:

```
let[@foo] x = 2 in x + 1      === (let x = 2 [@@foo] in x + 1)
begin[@foo][@bar x] ... end  === (begin ... end)[@foo][@bar x]
module[@foo] M = ...         === module M = ... [@@foo]
type[@foo] t = T             === type t = T [@@foo]
method[@foo] m = ...         === method m = ... [@@foo]
```

For `let`, the attributes are applied to each bindings:

```
let[@foo] x = 2 and y = 3 in x + y === (let x = 2 [@@foo] and y = 3 in x + y)
let[@foo] x = 2
and[@bar] y = 3 in x + y           === (let x = 2 [@@foo] and y = 3 [@@bar] in x + y)
```

### 12.12.1 Built-in attributes

Some attributes are understood by the type-checker:

- “ocaml.warning” or “warning”, with a string literal payload. This can be used as floating attributes in a signature/structure/object/object type. The string is parsed and has the same effect as the `-w` command-line option, in the scope between the attribute and the end of the current signature/structure/object/object type. The attribute can also be attached to any kind of syntactic item which support attributes (such as an expression, or a type expression) in which case its scope is limited to that item. Note that it is not well-defined which scope is used for a specific warning. This is implementation dependent and can change between versions. Some warnings are even completely outside the control of “ocaml.warning” (for instance, warnings 1, 2, 14, 29 and 50).
- “ocaml.warnerror” or “warnerror”, with a string literal payload. Same as “ocaml.warning”, for the `-warn-error` command-line option.

- “ocaml.alert” or “alert”: see section [12.21](#).
- “ocaml.deprecated” or “deprecated”: alias for the “deprecated” alert, see section [12.21](#).
- “ocaml.deprecated\_mutable” or “deprecated\_mutable”. Can be applied to a mutable record label. If the label is later used to modify the field (with “`expr.l <- expr`”), the “deprecated” alert will be triggered. If the payload of the attribute is a string literal, the alert message includes this text.
- “ocaml.ppwarning” or “ppwarning”, in any context, with a string literal payload. The text is reported as warning (22) by the compiler (currently, the warning location is the location of the string payload). This is mostly useful for preprocessors which need to communicate warnings to the user. This could also be used to mark explicitly some code location for further inspection.
- “ocaml.warn\_on\_literal\_pattern” or “warn\_on\_literal\_pattern” annotate constructors in type definition. A warning (52) is then emitted when this constructor is pattern matched with a constant literal as argument. This attribute denotes constructors whose argument is purely informative and may change in the future. Therefore, pattern matching on this argument with a constant literal is unreliable. For instance, all built-in exception constructors are marked as “warn\_on\_literal\_pattern”. Note that, due to an implementation limitation, this warning (52) is only triggered for single argument constructor.
- “ocaml.tailcall” or “tailcall” can be applied to function application in order to check that the call is tailcall optimized. If it is not the case, a warning (51) is emitted.
- “ocaml.inline” or “inline” take either “never”, “always” or nothing as payload on a function or functor definition. If no payload is provided, the default value is “always”. This payload controls when applications of the annotated functions should be inlined.
- “ocaml.inlined” or “inlined” can be applied to any function or functor application to check that the call is inlined by the compiler. If the call is not inlined, a warning (55) is emitted.
- “ocaml.noalloc”, “ocaml.unboxed” and “ocaml.untagged” or “noalloc”, “unboxed” and “untagged” can be used on external definitions to obtain finer control over the C-to-OCaml interface. See [22.11](#) for more details.
- “ocaml.immediate” or “immediate” applied on an abstract type mark the type as having a non-pointer implementation (e.g. “int”, “bool”, “char” or enumerated types). Mutation of these immediate types does not activate the garbage collector’s write barrier, which can significantly boost performance in programs relying heavily on mutable state.
- “ocaml.immediate64” or “immediate64” applied on an abstract type mark the type as having a non-pointer implementation on 64 bit platforms. No assumption is made on other platforms. In order to produce a type with the “immediate64” attribute, one must use “Sys.Immediate64.Make” functor.
- `ocaml.unboxed` or `unboxed` can be used on a type definition if the type is a single-field record or a concrete type with a single constructor that has a single argument. It tells the compiler

to optimize the representation of the type by removing the block that represents the record or the constructor (i.e. a value of this type is physically equal to its argument). In the case of GADTs, an additional restriction applies: the argument must not be an existential variable, represented by an existential type variable, or an abstract type constructor applied to an existential type variable.

- `ocaml.boxed` or `boxed` can be used on type definitions to mean the opposite of `ocaml.unboxed`: keep the unoptimized representation of the type. When there is no annotation, the default is currently `boxed` but it may change in the future.
- `ocaml.local` or `local` take either **never**, **always**, **maybe** or nothing as payload on a function definition. If no payload is provided, the default is **always**. The attribute controls an optimization which consists in compiling a function into a static continuation. Contrary to inlining, this optimization does not duplicate the function's body. This is possible when all references to the function are full applications, all sharing the same continuation (for instance, the returned value of several branches of a pattern matching). **never** disables the optimization, **always** asserts that the optimization applies (otherwise a warning 55 is emitted) and **maybe** lets the optimization apply when possible (this is the default behavior when the attribute is not specified). The optimization is implicitly disabled when using the bytecode compiler in debug mode (-g), and for functions marked with an `ocaml.inline always` or `ocaml.unrolled` attribute which supersedes `ocaml.local`.

```
module X = struct
  [@@@warning "+9"]  (* locally enable warning 9 in this structure *)
  ...
end
[@@deprecated "Please use module 'Y' instead."]

let x = begin[@warning "+9"] [...] end

type t = A | B
[@@deprecated "Please use type 's' instead."]

let fires_warning_22 x =
  assert (x >= 0) [@@ppwarning "TODO: remove this later"]
Warning 22 [preprocessor]: TODO: remove this later

let rec is_a_tail_call = function
| [] -> ()
| _ :: q -> (is_a_tail_call[@tailcall]) q

let rec not_a_tail_call = function
| [] -> []
| x :: q -> x :: (not_a_tail_call[@tailcall]) q
Warning 51 [wrong-tailcall-expectation]: expected tailcall
```

```
let f x = x [@@inline]
```

```
let () = (f[@@inlined]) ()
```

```
type fragile =
  | Int of int [@@warn_on_literal_pattern]
  | String of string [@@warn_on_literal_pattern]
```

```
let fragile_match_1 = function
| Int 0 -> ()
| _ -> ()
```

*Warning 52 [fragile-literal-pattern]: Code should not depend on the actual values of this constructor's arguments. They are only for information and may change in future versions. (see manual section 13.5.3)*

```
val fragile_match_1 : fragile -> unit = <fun>
```

```
let fragile_match_2 = function
| String "constant" -> ()
| _ -> ()
```

*Warning 52 [fragile-literal-pattern]: Code should not depend on the actual values of this constructor's arguments. They are only for information and may change in future versions. (see manual section 13.5.3)*

```
val fragile_match_2 : fragile -> unit = <fun>
```

```
module Immediate: sig
  type t [@@immediate]
  val x: t ref
end = struct
  type t = A | B
  let x = ref A
end
```

```
module Int_or_int64 : sig
  type t [@@immediate64]
  val zero : t
  val one : t
  val add : t -> t -> t
end = struct
```

```
  include Sys.Immediate64.Make(Int)(Int64)
```

```
module type S = sig
  val zero : t
  val one : t
  val add : t -> t -> t
```

```

end

let impl : (module S) =
  match repr with
  | Immediate ->
    (module Int : S)
  | Non_immediate ->
    (module Int64 : S)

  include (val impl : S)
end

```

## 12.13 Extension nodes

(Introduced in OCaml 4.02, infix notations for constructs other than expressions added in 4.03, infix notation ( $e1 \text{ ;\%ext } e2$ ) added in 4.04. )

Extension nodes are generic placeholders in the syntax tree. They are rejected by the type-checker and are intended to be “expanded” by external tools such as `-ppx` rewriters.

Extension nodes share the same notion of identifier and payload as attributes [12.12](#).

The first form of extension node is used for “algebraic” categories:

$$\begin{array}{ll}
 \textit{extension} & ::= \text{ [% attr-id attr-payload ] } \\
 \textit{expr} & ::= \dots \\
 & \quad | \textit{extension} \\
 \textit{typexpr} & ::= \dots \\
 & \quad | \textit{extension} \\
 \textit{pattern} & ::= \dots \\
 & \quad | \textit{extension} \\
 \textit{module-expr} & ::= \dots \\
 & \quad | \textit{extension} \\
 \textit{module-type} & ::= \dots \\
 & \quad | \textit{extension} \\
 \textit{class-expr} & ::= \dots \\
 & \quad | \textit{extension} \\
 \textit{class-type} & ::= \dots \\
 & \quad | \textit{extension}
 \end{array}$$

A second form of extension node can be used in structures and signatures, both in the module and object languages:

```

item-extension ::= [%% attr-id attr-payload ]
definition    ::= ...
                | item-extension
specification ::= ...
                | item-extension
class-field-spec ::= ...
                  | item-extension
class-field    ::= ...
                  | item-extension

```

An infix form is available for extension nodes when the payload is of the same kind (expression with expression, pattern with pattern ...).

Examples:

```

let%foo x = 2 in x + 1    === [%foo let x = 2 in x + 1]
begin%foo ... end        === [%foo begin ... end]
x ;%foo 2                 === [%foo x; 2]
module%foo M = ..        === [%%foo module M = ... ]
val%foo x : t             === [%%foo: val x : t]

```

When this form is used together with the infix syntax for attributes, the attributes are considered to apply to the payload:

```
fun%foo[@bar] x -> x + 1 === [%foo (fun x -> x + 1)[@bar ] ];
```

An additional shorthand `let%foo x in ...` is available for convenience when extension nodes are used to implement binding operators (See [12.23.4](#)).

Furthermore, quoted strings `{|...|}` can be combined with extension nodes to embed foreign syntax fragments. Those fragments can be interpreted by a preprocessor and turned into OCaml code without requiring escaping quotes. A syntax shortcut is available for them:

```

{%%foo|...|}            === [%%foo{|...|}]
let x = {%foo|...|}      === let x = [%foo{|...|}]
let y = {%foo bar|...|bar} === let y = [%foo{bar|...|bar}]

```

For instance, you can use `{%sql|...|}` to represent arbitrary SQL statements – assuming you have a ppx-rewriter that recognizes the `%sql` extension.

Note that the word-delimited form, for example `{sql|...|sql}`, should not be used for signaling that an extension is in use. Indeed, the user cannot see from the code whether this string literal has different semantics than they expect. Moreover, giving semantics to a specific delimiter limits the freedom to change the delimiter to avoid escaping issues.

### 12.13.1 Built-in extension nodes

(Introduced in OCaml 4.03)

Some extension nodes are understood by the compiler itself:

- “ocaml.extension\_constructor” or “extension\_constructor” take as payload a constructor from an extensible variant type (see [12.14](#)) and return its extension constructor slot.

```
type t = ..
type t += X of int | Y of string
let x = [%extension_constructor X]
let y = [%extension_constructor Y]

# x <> y;;
- : bool = true
```

## 12.14 Extensible variant types

(Introduced in OCaml 4.02)

```
type-representation ::= ...
                    | = ..
specification ::= ...
               | type [type-params] typeconstr type-extension-spec
definition ::= ...
            | type [type-params] typeconstr type-extension-def
type-extension-spec ::= += [private] [1] constr-decl { | constr-decl }
type-extension-def ::= += [private] [1] constr-def { | constr-def }
constr-def ::= constr-decl
            | constr-name = constr
```

Extensible variant types are variant types which can be extended with new variant constructors. Extensible variant types are defined using `...`. New variant constructors are added using `+=`.

```
module Expr = struct
  type attr = ..

  type attr += Str of string

  type attr +=
    | Int of int
    | Float of float
end
```

Pattern matching on an extensible variant type requires a default case to handle unknown variant constructors:

```
let to_string = function
| Expr.Str s -> s
| Expr.Int i -> Int.to_string i
| Expr.Float f -> string_of_float f
| _ -> "?"
```

A preexisting example of an extensible variant type is the built-in `exn` type used for exceptions. Indeed, exception constructors can be declared using the type extension syntax:

```
type exn += Exc of int
```

Extensible variant constructors can be rebound to a different name. This allows exporting variants from another module.

```
# let not_in_scope = Str "Foo";;
Error: Unbound constructor Str
```

```
type Expr.attr += Str = Expr.Str
# let now_works = Str "foo";;
val now_works : Expr.attr = Expr.Str "foo"
```

Extensible variant constructors can be declared `private`. As with regular variants, this prevents them from being constructed directly by constructor application while still allowing them to be de-structured in pattern-matching.

```
module B : sig
  type Expr.attr += private Bool of int
  val bool : bool -> Expr.attr
end = struct
  type Expr.attr += Bool of int
  let bool p = if p then Bool 1 else Bool 0
end

# let inspection_works = function
  | B.Bool p -> (p = 1)
  | _ -> true;;
val inspection_works : Expr.attr -> bool = <fun>

# let construction_is_forbidden = B.Bool 1;;
Error: Cannot use private constructor Bool to create values of type Expr.attr
```

### 12.14.1 Private extensible variant types

(Introduced in OCaml 4.06)

```
type-representation ::= ...
                    | =private ..
```



Extensible variant types can be declared **private**. This prevents new constructors from being declared directly, but allows extension constructors to be referred to in interfaces.

```

module Msg : sig
  type t = private ..
  module MkConstr (X : sig type t end) : sig
    type t += C of X.t
  end
end = struct
  type t = ..
  module MkConstr (X : sig type t end) = struct
    type t += C of X.t
  end
end

```

## 12.15 Generative functors

(Introduced in OCaml 4.02)

```

module-expr ::= ...
               | functor () -> module-expr
               | module-expr ()

definition ::= ...
               | module module-name { ( module-name : module-type ) | () } [: module-type]
               = module-expr

module-type ::= ...
               | [functor] () -> module-type

specification ::= ...
                 | module module-name { ( module-name : module-type ) | () } : module-type

```

A generative functor takes a unit () argument. In order to use it, one must necessarily apply it to this unit argument, ensuring that all type components in the result of the functor behave in a generative way, *i.e.* they are different from types obtained by other applications of the same functor. This is equivalent to taking an argument of signature **sig end**, and always applying to **struct end**, but not to some defined module (in the latter case, applying twice to the same module would return identical types).

As a side-effect of this generativity, one is allowed to unpack first-class modules in the body of generative functors.

## 12.16 Extension-only syntax

(Introduced in OCaml 4.02.2, extended in 4.03)

Some syntactic constructions are accepted during parsing and rejected during type checking. These syntactic constructions can therefore not be used directly in vanilla OCaml. However, `-ppx` rewriters and other external tools can exploit this parser leniency to extend the language with these new syntactic constructions by rewriting them to vanilla constructions.

### 12.16.1 Extension operators

(Introduced in OCaml 4.02.2, extended to unary operators in OCaml 4.12.0)

$$\begin{aligned} \text{infix-symbol} &::= \dots \\ &| \# \{operator-char\} \# \{operator-char \mid \#\} \\ \text{prefix-symbol} &::= \dots \\ &| (? \mid \sim \mid !) \{operator-char\} \# \{operator-char \mid \#\} \end{aligned}$$

There are two classes of operators available for extensions: infix operators with a name starting with a `#` character and containing more than one `#` character, and unary operators with a name (starting with a `?`, `~`, or `!` character) containing at least one `#` character.

For instance:

```
# let infix x y = x##y;;
Error: '##' is not a valid value identifier.

# let prefix x = !#x;;
Error: '!#' is not a valid value identifier.
```

Note that both `##` and `!#` must be eliminated by a ppx rewriter to make this example valid.

### 12.16.2 Extension literals

(Introduced in OCaml 4.03)

$$\begin{aligned} \text{float-literal} &::= \dots \\ &| [-] (0 \dots 9) \{0 \dots 9 \mid \_ \} [. \{0 \dots 9 \mid \_ \} [(e \mid E) [+ \mid -] (0 \dots 9) \{0 \dots 9 \mid \_ \} [g \dots z \mid G \dots Z] \\ &| [-] (0x \mid 0X) (0 \dots 9 \mid A \dots F \mid a \dots f) \{0 \dots 9 \mid A \dots F \mid a \dots f \mid \_ \} \\ &| [. \{0 \dots 9 \mid A \dots F \mid a \dots f \mid \_ \} [(p \mid P) [+ \mid -] (0 \dots 9) \{0 \dots 9 \mid \_ \} [g \dots z \mid G \dots Z] \\ \text{int-literal} &::= \dots \\ &| [-] (0 \dots 9) \{0 \dots 9 \mid \_ \} [g \dots z \mid G \dots Z] \\ &| [-] (0x \mid 0X) (0 \dots 9 \mid A \dots F \mid a \dots f) \{0 \dots 9 \mid A \dots F \mid a \dots f \mid \_ \} [g \dots z \mid G \dots Z] \\ &| [-] (0o \mid 0O) (0 \dots 7) \{0 \dots 7 \mid \_ \} [g \dots z \mid G \dots Z] \\ &| [-] (0b \mid 0B) (0 \dots 1) \{0 \dots 1 \mid \_ \} [g \dots z \mid G \dots Z] \end{aligned}$$

Int and float literals followed by an one-letter identifier in the range `[g..z | G..Z]` are extension-only literals.

## 12.17 Inline records

(Introduced in OCaml 4.03)

$$\text{constr-args} ::= \dots$$

$$| \text{ record-decl}$$

The arguments of sum-type constructors can now be defined using the same syntax as records. Mutable and polymorphic fields are allowed. GADT syntax is supported. Attributes can be specified on individual fields.

Syntactically, building or matching constructors with such an inline record argument is similar to working with a unary constructor whose unique argument is a declared record type. A pattern can bind the inline record as a pseudo-value, but the record cannot escape the scope of the binding and can only be used with the dot-notation to extract or modify fields or to build new constructor values.

```
type t =
  | Point of {width: int; mutable x: float; mutable y: float}
  | Other
```

```
let v = Point {width = 10; x = 0.; y = 0.}
```

```
let scale l = function
  | Point p -> Point {p with x = l *. p.x; y = l *. p.y}
  | Other -> Other
```

```
let print = function
  | Point {x; y; _} -> Printf.printf "%f/%f" x y
  | Other -> ()
```

```
let reset = function
  | Point p -> p.x <- 0.; p.y <- 0.
  | Other -> ()
```

```
let invalid = function
  | Point p -> p
```

*Error: This form is not allowed as the type of the inlined record could escape.*

## 12.18 Documentation comments

(Introduced in OCaml 4.03)

Comments which start with **\*\*** are treated specially by the compiler. They are automatically converted during parsing into attributes (see [12.12](#)) to allow tools to process them as documentation.

Such comments can take three forms: *floating comments*, *item comments* and *label comments*. Any comment starting with **\*\*** which does not match one of these forms will cause the compiler to emit warning 50.

Comments which start with **\*\*** are also used by the ocaml doc documentation generator (see 19). The three comment forms recognised by the compiler are a subset of the forms accepted by ocaml doc (see 19.2).

### 12.18.1 Floating comments

Comments surrounded by blank lines that appear within structures, signatures, classes or class types are converted into *floating-attributes*. For example:

```
type t = T
```

```
(** Now some definitions for [t] *)
```

```
let mkT = T
```

will be converted to:

```
type t = T
```

```
[@@@ocaml.text " Now some definitions for [t] "]
```

```
let mkT = T
```

### 12.18.2 Item comments

Comments which appear *immediately before* or *immediately after* a structure item, signature item, class item or class type item are converted into *item-attributes*. Immediately before or immediately after means that there must be no blank lines, `;;`, or other documentation comments between them. For example:

```
type t = T
```

```
(** A description of [t] *)
```

or

```
(** A description of [t] *)
```

```
type t = T
```

will be converted to:

```
type t = T
```

```
[@@@ocaml.doc " A description of [t] "]
```

Note that, if a comment appears immediately next to multiple items, as in:

```
type t = T
```

```
(** An ambiguous comment *)
```

```
type s = S
```

then it will be attached to both items:

```
type t = T
```

```
[@@@ocaml.doc " An ambiguous comment "]
```

```
type s = S
```

```
[@@@ocaml.doc " An ambiguous comment "]
```

and the compiler will emit warning 50.

### 12.18.3 Label comments

Comments which appear *immediately after* a labelled argument, record field, variant constructor, object method or polymorphic variant constructor are converted into *attributes*. Immediately after means that there must be no blank lines or other documentation comments between them. For example:

```
type t1 = lbl:int (** Labelled argument *) -> unit
```

```
type t2 = {
  fld: int; (** Record field *)
  fld2: float;
}
```

```
type t3 =
  | Cstr of string (** Variant constructor *)
  | Cstr2 of string
```

```
type t4 = < meth: int * int; (** Object method *) >
```

```
type t5 = [
  `PCstr (** Polymorphic variant constructor *)
]
```

will be converted to:

```
type t1 = lbl:(int [@ocaml.doc " Labelled argument "]) -> unit
```

```
type t2 = {
  fld: int [@ocaml.doc " Record field "];
  fld2: float;
}
```

```
type t3 =
  | Cstr of string [@ocaml.doc " Variant constructor "]
  | Cstr2 of string
```

```
type t4 = < meth : int * int [@ocaml.doc " Object method "] >
```

```
type t5 = [
  `PCstr [@ocaml.doc " Polymorphic variant constructor "]
]
```

Note that label comments take precedence over item comments, so:

```
type t = T of string
(** Attaches to T not t *)
```

will be converted to:

```
type t = T of string [@ocaml.doc " Attaches to T not t "]
```

whilst:

```
type t = T of string
(** Attaches to T not t *)
(** Attaches to t *)
```

will be converted to:

```
type t = T of string [@@ocaml.doc " Attaches to T not t "]
[@@ocaml.doc " Attaches to t "]
```

In the absence of meaningful comment on the last constructor of a type, an empty comment (\*\*) can be used instead:

```
type t = T of string
(**)
(** Attaches to t *)
```

will be converted directly to

```
type t = T of string
[@@ocaml.doc " Attaches to t "]
```

## 12.19 Extended indexing operators

(Introduced in 4.06)

```
dot-ext ::=
    | dot-operator-char {operator-char}
dot-operator-char ::= ! | ? | core-operator-char | % | :
expr ::= ...
    | expr . [module-path .] dot-ext (( expr ) | [ expr ] | { expr }) [<- expr]
operator-name ::= ...
    | . dot-ext (( ) | [] | {}) [<-]
```

This extension provides syntactic sugar for getting and setting elements for user-defined indexed types. For instance, we can define python-like dictionaries with

```
module Dict = struct
include Hashtbl
let ( .%{ } ) tabl index = find tabl index
let ( .%{ }<- ) tabl index value = add tabl index value
end
let dict =
  let dict = Dict.create 10 in
  let () =
    dict.Dict.%{"one"} <- 1;
  let open Dict in
```

```

    dict.%{"two"} <- 2 in
    dict
# dict.Dict.%{"one"};;
- : int = 1

# let open Dict in dict.%{"two"};;
- : int = 2

```

### 12.19.1 Multi-index notation

```

expr ::= ...
      | expr . [module-path .] dot-ext ( expr { ; expr }+ ) [<- expr]
      | expr . [module-path .] dot-ext [ expr { ; expr }+ ] [<- expr]
      | expr . [module-path .] dot-ext { expr { ; expr }+ } [<- expr]

operator-name ::= ...
              | . dot-ext (( ; .. ) | [ ; .. ] | { ; .. } ) [<-]

```

Multi-index are also supported through a second variant of indexing operators

```

let (.%[;..]) = Bigarray.Genarray.get
let (.%{;..}) = Bigarray.Genarray.get
let (.%(;..)) = Bigarray.Genarray.get

```

which is called when an index literals contain a semicolon separated list of expressions with two and more elements:

```

let sum x y = x.%[1;2;3] + y.%[1;2]
(* is equivalent to *)
let sum x y = (.%[;..]) x [|1;2;3|] + (.%[;..]) y [|1;2|]

```

In particular this multi-index notation makes it possible to uniformly handle indexing Genarray and other implementations of multidimensional arrays.

```

module A = Bigarray.Genarray
let (.%{;..}) = A.get
let (.%{;..}<- ) = A.set
let (.%{ } ) a k = A.get a [|k|]
let (.%{ }<-) a k x = A.set a [|k|] x
let syntax_compare vec mat t3 t4 =
    vec.%{0} = A.get vec [|0|]
    && mat.%{0;0} = A.get mat [|0;0|]
    && t3.%{0;0;0} = A.get t3 [|0;0;0|]
    && t4.%{0;0;0;0} = t4.{0,0,0,0}

```

Beware that the differentiation between the multi-index and single index operators is purely syntactic: multi-index operators are restricted to index expressions that contain one or more semicolons ;. For instance,

```

let pair vec mat = vec.%{0}, mat.%{0;0}

```

is equivalent to

```
let pair vec mat = (.{ }) vec 0, (.{;..}) mat [|0;0|]
```

Notice that in the `vec` case, we are calling the single index operator, `(.{})`, and not the multi-index variant, `(.{;..})`. For this reason, it is expected that most users of multi-index operators will need to define conjointly a single index variant

```
let (.{;..}) = A.get
let (.{ }) a k = A.get a [|k|]
to handle both cases uniformly.
```

## 12.20 Empty variant types

(Introduced in 4.07.0)

$$\begin{array}{lcl} \text{type-representation} & ::= & \dots \\ & | & = | \end{array}$$

This extension allows user to define empty variants. Empty variant type can be eliminated by refutation case of pattern matching.

```
type t = |
let f (x: t) = match x with _ -> .
```

## 12.21 Alerts

(Introduced in 4.08)

Since OCaml 4.08, it is possible to mark components (such as value or type declarations) in signatures with “alerts” that will be reported when those components are referenced. This generalizes the notion of “deprecated” components which were previously reported as warning 3. Those alerts can be used for instance to report usage of unsafe features, or of features which are only available on some platforms, etc.

Alert categories are identified by a symbolic identifier (a lowercase identifier, following the usual lexical rules) and an optional message. The identifier is used to control which alerts are enabled, and which ones are turned into fatal errors. The message is reported to the user when the alert is triggered (i.e. when the marked component is referenced).

The `ocaml.alert` or `alert` attribute serves two purposes: (i) to mark component with an alert to be triggered when the component is referenced, and (ii) to control which alert names are enabled. In the first form, the attribute takes an identifier possibly followed by a message. Here is an example of a value declaration marked with an alert:

```
module U: sig
  val fork: unit -> bool
  [@@alert unix "This function is only available under Unix."]
end
```



Here `unix` is the identifier for the alert. If this alert category is enabled, any reference to `U.fork` will produce a message at compile time, which can be turned or not into a fatal error.

And here is another example as a floating attribute on top of an “.mli” file (i.e. before any other non-attribute item) or on top of an “.ml” file without a corresponding interface file, so that any reference to that unit will trigger the alert:

```
[@@@alert unsafe "This module is unsafe!"]
```

Controlling which alerts are enabled and whether they are turned into fatal errors is done either through the compiler’s command-line option `-alert <spec>` or locally in the code through the `alert` or `ocaml.alert` attribute taking a single string payload `<spec>`. In both cases, the syntax for `<spec>` is a concatenation of items of the form:

- `+id` enables alert `id`.
- `-id` disables alert `id`.
- `++id` turns alert `id` into a fatal error.
- `--id` turns alert `id` into non-fatal mode.
- `@id` equivalent to `++id+id` (enables `id` and turns it into a fatal-error)

As a special case, if `id` is `all`, it stands for all alerts.

Here are some examples:

```
(* Disable all alerts, reenables just unix (as a soft alert) and window
   (as a fatal-error), for the rest of the current structure *)
```

```
[@@@alert "-all--all+unix@window"]
```

```
...
```

```
let x =
  (* Locally disable the window alert *)
  begin[@alert "-window"]
    ...
  end
```

Before OCaml 4.08, there was support for a single kind of deprecation alert. It is now known as the `deprecated` alert, but legacy attributes to trigger it and the legacy ways to control it as warning 3 are still supported. For instance, passing `-w +3` on the command-line is equivalent to `-alert +deprecated`, and:

```
val x: int
  [@@ocaml.deprecated "Please do something else"]
```

is equivalent to:

```
val x: int
  [@@ocaml.alert deprecated "Please do something else"]
```

## 12.22 Generalized open statements

(Introduced in 4.08)

```

definition ::= ...
            | open module-expr
            | open! module-expr

specification ::= ...
              | open extended-module-path
              | open! extended-module-path

expr ::= ...
      | let open module-expr in expr
      | let open! module-expr in expr

```

This extension makes it possible to open any module expression in module structures and expressions. A similar mechanism is also available inside module types, but only for extended module paths (e.g. `F(X).G(Y)`).

For instance, a module can be constrained when opened with

```

module M = struct let x = 0 let hidden = 1 end
open (M:sig val x: int end)
let y = hidden

```

*Error: Unbound value hidden*

Another possibility is to immediately open the result of a functor application

```

let sort (type x) (x:x list) =
  let open Set.Make(struct type t = x let compare=compare end) in
  elements (of_list x)
val sort : 'x list -> 'x list = <fun>

```

Going further, this construction can introduce local components inside a structure,

```

module M = struct
  let x = 0
  open! struct
    let x = 0
    let y = 1
  end
  let w = x + y
end
module M : sig val x : int val w : int end

```

One important restriction is that types introduced by `open struct... end` cannot appear in the signature of the enclosing structure, unless they are defined equal to some non-local type. So:

```

module M = struct
  open struct type 'a t = 'a option = None | Some of 'a end

```

```

    let x : int t = Some 1
  end
module M : sig val x : int option end

```

is OK, but:

```

module M = struct
  open struct type t = A end
  let x = A
end

```

*Error: The type t introduced by this open appears in the signature.  
The value x has no valid type if t is hidden.*

is not because x cannot be given any type other than t, which only exists locally. Although the above would be OK if x too was local:

```

module M: sig end = struct
  open struct
    type t = A
  end
  ...
  open struct let x = A end
  ...
end
module M : sig end

```

Inside signatures, extended opens are limited to extended module paths,

```

module type S = sig
  module F: sig end -> sig type t end
  module X: sig end
  open F(X)
  val f: t
end
module type S =
  sig
    module F : sig end -> sig type t end
    module X : sig end
    val f : F(X).t
  end

```

and not

```

open struct type t = int end

```

In those situations, local substitutions(see [12.7.2](#)) can be used instead.

Beware that this extension is not available inside class definitions:

```

class c =
  let open Set.Make(Int) in
  ...

```

## 12.23 Binding operators

(Introduced in 4.08.0)

```

let-operator ::=
    | let (core-operator-char | <) {dot-operator-char}

and-operator ::=
    | and (core-operator-char | <) {dot-operator-char}

operator-name ::= ...
    | let-operator
    | and-operator

letop-binding ::= pattern = expr
    | value-name

expr ::= ...
    | let-operator letop-binding {and-operator letop-binding} in expr

```

Binding operators offer syntactic sugar to expose library functions under (a variant of) the familiar syntax of standard keywords. Currently supported “binding operators” are `let<op>` and `and<op>`, where `<op>` is an operator symbol, for example `and+$`.

Binding operators were introduced to offer convenient syntax for working with monads and applicative functors; for those, we propose conventions using operators `*` and `+` respectively. They may be used for other purposes, but one should keep in mind that each new unfamiliar notation introduced makes programs harder to understand for non-experts. We expect that new conventions will be developed over time on other families of operator.

### 12.23.1 Examples

Users can define *let operators*:

```

let ( let* ) o f =
  match o with
  | None -> None
  | Some x -> f x

let return x = Some x
val ( let* ) : 'a option -> ('a -> 'b option) -> 'b option = <fun>
val return : 'a -> 'a option = <fun>

```

and then apply them using this convenient syntax:

```

let find_and_sum tbl k1 k2 =
  let* x1 = Hashtbl.find_opt tbl k1 in
  let* x2 = Hashtbl.find_opt tbl k2 in
  return (x1 + x2)

```

```
val find_and_sum : ('a, int) Hashtbl.t -> 'a -> 'a -> int option = <fun>
```

which is equivalent to this expanded form:

```
let find_and_sum tbl k1 k2 =
  ( let* ) (Hashtbl.find_opt tbl k1)
  (fun x1 ->
    ( let* ) (Hashtbl.find_opt tbl k2)
    (fun x2 -> return (x1 + x2)))
val find_and_sum : ('a, int) Hashtbl.t -> 'a -> 'a -> int option = <fun>
```

Users can also define *and operators*:

```
module ZipSeq = struct

  type 'a t = 'a Seq.t

  open Seq

  let rec return x =
    fun () -> Cons(x, return x)

  let rec prod a b =
    fun () ->
      match a (), b () with
      | Nil, _ | _, Nil -> Nil
      | Cons(x, a), Cons(y, b) -> Cons((x, y), prod a b)

  let ( let+ ) f s = map s f
  let ( and+ ) a b = prod a b

end

module ZipSeq :
  sig
    type 'a t = 'a Seq.t
    val return : 'a -> 'a Seq.t
    val prod : 'a Seq.t -> 'b Seq.t -> ('a * 'b) Seq.t
    val ( let+ ) : 'a Seq.t -> ('a -> 'b) -> 'b Seq.t
    val ( and+ ) : 'a Seq.t -> 'b Seq.t -> ('a * 'b) Seq.t
  end
```

to support the syntax:

```
open ZipSeq
let sum3 z1 z2 z3 =
  let+ x1 = z1
  and+ x2 = z2
  and+ x3 = z3 in
  x1 + x2 + x3
```

```
val sum3 : int Seq.t -> int Seq.t -> int Seq.t -> int Seq.t = <fun>
```

which is equivalent to this expanded form:

```
open ZipSeq
let sum3 z1 z2 z3 =
  ( let+ ) (( and+ ) (( and+ ) z1 z2) z3)
  (fun ((x1, x2), x3) -> x1 + x2 + x3)
val sum3 : int Seq.t -> int Seq.t -> int Seq.t -> int Seq.t = <fun>
```

### 12.23.2 Conventions

An applicative functor should provide a module implementing the following interface:

```
module type Applicative_syntax = sig
  type 'a t
  val ( let+ ) : 'a t -> ('a -> 'b) -> 'b t
  val ( and+ ) : 'a t -> 'b t -> ('a * 'b) t
end
```

where `(let+)` is bound to the `map` operation and `(and+)` is bound to the monoidal product operation.

A monad should provide a module implementing the following interface:

```
module type Monad_syntax = sig
  include Applicative_syntax
  val ( let* ) : 'a t -> ('a -> 'b t) -> 'b t
  val ( and* ) : 'a t -> 'b t -> ('a * 'b) t
end
```

where `(let*)` is bound to the `bind` operation, and `(and*)` is also bound to the monoidal product operation.

### 12.23.3 General desugaring rules

The form

```
let<op0>
  x1 = e1
and<op1>
  x2 = e2
and<op2>
  x3 = e3
in e
```

desugars into

```
( let<op0> )
  (( and<op2> )
    (( and<op1> )
```

```

    e1
    e2)
  e3)
(fun ((x1, x2), x3) -> e)

```

This of course works for any number of nested **and**-operators. One can express the general rule by repeating the following simplification steps:

- The first **and**-operator in

$$\text{let}\langle\text{op0}\rangle\ x1 = e1\ \text{and}\langle\text{op1}\rangle\ x2 = e2\ \text{and}\dots\ \text{in}\ e$$

can be desugared into a function application

$$\text{let}\langle\text{op0}\rangle\ (x1, x2) = (\ \text{and}\langle\text{op1}\rangle\ )\ e1\ e2\ \text{and}\dots\ \text{in}\ e.$$

- Once all **and**-operators have been simplified away, the **let**-operator in

$$\text{let}\langle\text{op}\rangle\ x1 = e1\ \text{in}\ e$$

can be desugared into an application

$$(\ \text{let}\langle\text{op}\rangle\ )\ e1\ (\text{fun}\ x1\ \rightarrow\ e).$$

Note that the grammar allows mixing different operator symbols in the same binding ( $\langle\text{op0}\rangle$ ,  $\langle\text{op1}\rangle$ ,  $\langle\text{op2}\rangle$  may be distinct), but we strongly recommend APIs where **let**-operators and **and**-operators working together use the same symbol.

#### 12.23.4 Short notation for variable bindings (let-punning)

(Introduced in 4.13.0)

When the expression being bound is a variable, it can be convenient to use the shorthand notation **let+ x in ...**, which expands to **let+ x = x in ....** This notation, also known as let-punning, allows the **sum3** function above can be written more concisely as:

```

open ZipSeq
let sum3 z1 z2 z3 =
  let+ z1 and+ z2 and+ z3 in
  z1 + z2 + z3
val sum3 : int Seq.t -> int Seq.t -> int Seq.t -> int Seq.t = <fun>

```

This notation is also supported for extension nodes, expanding **let%foo x in ...** to **let%foo x = x in ....** However, to avoid confusion, this notation is not supported for plain **let** bindings.

## 12.24 Effect handlers

(Introduced in 5.0)

*Note: Effect handlers in OCaml 5.0 should be considered experimental. Effect handlers are exposed in the standard library’s [Effect](#)[28.16] module as a thin wrapper around their implementation in the runtime. They are not supported as a language feature with new syntax. You can rely on them to build non-local control-flow abstractions such as user-level threading that do not expose the effect handler primitives to the user. Expect breaking changes in the future.*

Effect handlers are a mechanism for modular programming with user-defined effects. Effect handlers allow the programmers to describe *computations* that *perform* effectful *operations*, whose meaning is described by *handlers* that enclose the computations. Effect handlers are a generalization of exception handlers and enable non-local control-flow mechanisms such as resumable exceptions, lightweight threads, coroutines, generators and asynchronous I/O to be composably expressed. In this tutorial, we shall see how some of these mechanisms can be built using effect handlers.

### 12.24.1 Basics

To understand the basics, let us define an effect (that is, an operation) that takes an integer argument and returns an integer result. We name this effect `Xchg`.

```
open Effect
open Effect.Deep
```

```
type _ Effect.t += Xchg: int -> int t
let comp1 () = perform (Xchg 0) + perform (Xchg 1)
```

We declare the exchange effect `Xchg` by extending the pre-defined extensible variant type `Effect.t` with a new constructor `Xchg: int -> int t`. The declaration may be intuitively read as “the `Xchg` effect takes an integer parameter, and when this effect is performed, it returns an integer”. The computation `comp1` performs the effect twice using the `perform` primitive and returns their sum.

We can handle the `Xchg` effect by implementing a handler that always returns the successor of the offered value:

```
try_with comp1 ()
{ effc = fun (type a) (eff: a t) ->
  match eff with
  | Xchg n -> Some (fun (k: (a, _) continuation) ->
    continue k (n+1))
  | _ -> None }
- : int = 3
```

`try_with` runs the computation `comp1 ()` under an effect handler that handles the `Xchg` effect. As mentioned earlier, effect handlers are a generalization of exception handlers. Similar to exception handlers, when the computation performs the `Xchg` effect, the control jumps to the corresponding handler. However, unlike exception handlers, the handler is also provided with the delimited continuation `k`, which represents the suspended computation between the point of `perform` and this handler.



The handler uses the `continue` primitive to resume the suspended computation with the successor of the offered value. In this example, the computation `comp1` performs `Xchg 0` and `Xchg 1` and receives the values 1 and 2 from the handler respectively. Hence, the whole expression evaluates to 3.

It is useful to note that we must use a locally abstract type (`type a`) in the effect handler. The type `Effect.t` is a GADT, and the effect declarations may have different type parameters for different effects. The type parameter `a` in the type `a Effect.t` represents the type of the value returned when performing the effect. From the fact that `eff` has type `a Effect.t` and from the fact that `Xchg n` has type `int Effect.t`, the type-checker deduces that `a` must be `int`, which is why we are allowed to pass the integer value `n+1` as an argument to `continue k`.

Another point to note is that the catch-all case “`| _ -> None`” is necessary when handling effects. This case may be intuitively read as “forward the unhandled effects to the outer handler”.

In this example, we use the *deep* version of the effect handlers here as opposed to the *shallow* version. A deep handler monitors a computation until the computation terminates (either normally or via an exception), and handles all of the effects performed (in sequence) by the computation. In contrast, a shallow handler monitors a computation until either the computation terminates or the computation performs one effect, and it handles this single effect only. In situations where they are applicable, deep handlers are usually preferred. An example that utilises shallow handlers is discussed later in [12.24.12](#).

### 12.24.2 Concurrency

The expressive power of effect handlers comes from the delimited continuation. While the previous example immediately resumed the computation, the computation may be resumed later, running some other computation in the interim. Let us extend the previous example and implement message-passing concurrency between two concurrent computations using the `Xchg` effect. We call these concurrent computations *tasks*.

A task either is in a suspended state or is completed. We represent the task status as follows:

```
type 'a status =
  Complete of 'a
| Suspended of {msg: int; cont: (int, 'a status) continuation}
```

A task either is complete, with a result of type `'a`, or is suspended with the message `msg` to send and the continuation `cont`. The type `(int, 'a status) continuation` says that the suspended computation expects an `int` value to resume and returns a `'a status` value when resumed.

Next, we define a `step` function that executes one step of computation until it completes or suspends:

```
let step (f : unit -> 'a) () : 'a status =
  match_with f ()
  { retc = (fun v -> Complete v);
    exnc = raise;
    effc = fun (type a) (eff: a t) ->
      match eff with
      | Xchg msg -> Some (fun (cont: (a, _) continuation) ->
        Suspended {msg; cont})
      | _ -> None }
```

The argument to the `step` function, `f`, is a computation that can perform an `Xchg` effect and returns a result of type `'a`. The `step` function itself returns a `'a status` value.

In the `step` function, we use the `match_with` primitive. Like `try_with`, `match_with` primitive installs an effect handler. However, unlike `try_with`, where only the effect case `effc` is provided, `match_with` expects the handlers for the value (`retc`) and exceptional (`exnc`) return cases. In fact, `try_with` can be defined using `match_with` as follows:

```
let try_with f v {effc} = match_with f v {retc = Fun.id; exnc = raise; effc}.
```

In the `step` function,

- Case `retc`: If the computation returns with a value `v`, we return `Complete v`.
- Case `exnc`: If the computation raises an exception, then the handler raises the same exception.
- Case `effc`: If the computation performs the effect `Xchg msg` with the continuation `cont`, then we return `Suspended{msg; cont}`. Thus, in this case, the continuation `cont` is not immediately invoked by the handler; instead, it is stored in a data structure for later use.

Since the `step` function handles the `Xchg` effect, `step f` is a computation that does not perform the `Xchg` effect. It may however perform other effects. Moreover, since we are using deep handlers, the continuation `cont` stored in the status does not perform the `Xchg` effect.

We can now write a simple scheduler that runs a pair of tasks to completion:

```
let rec run_both a b =
  match a (), b () with
  | Complete va, Complete vb -> (va, vb)
  | Suspended {msg = m1; cont = k1},
    Suspended {msg = m2; cont = k2} ->
    run_both (fun () -> continue k1 m2)
              (fun () -> continue k2 m1)
  | _ -> failwith "Improper synchronization"
```

Both of the tasks may run to completion, or both may offer to exchange a message. In the latter case, each computation receives the value offered by the other computation. The situation where one computation offers an exchange while the other computation terminates is regarded as a programmer error, and causes the handler to raise an exception

We can now define a second computation that also exchanges two messages:

```
let comp2 () = perform (Xchg 21) * perform (Xchg 21)
```

Finally, we can run the two computations together:

```
run_both (step comp1) (step comp2)
- : int * int = (42, 0)
```

The computation `comp1` offers the values 0 and 1 and in exchange receives the values 21 and 21, which it adds, producing 42. The computation `comp2` offers the values 21 and 21 and in exchange receives the values 0 and 1, which it multiplies, producing 0. The communication between the two computations is programmed entirely inside `run_both`. Indeed, the definitions of `comp1` and `comp2`, alone, do not assign any meaning to the `Xchg` effect.

### 12.24.3 User-level threads

Let us extend the previous example for an arbitrary number of tasks. Many languages such as GHC Haskell and Go provide user-level threads as a primitive feature implemented in the runtime system. With effect handlers, user-level threads and their schedulers can be implemented in OCaml itself. Typically, user-level threading systems provide a `fork` primitive to spawn off a new concurrent task and a `yield` primitive to yield control to some other task. Correspondingly, we shall declare two effects as follows:

```
type _ Effect.t += Fork : (unit -> unit) -> unit t
                  | Yield : unit t
```

The `Fork` effect takes a thunk (a suspended computation, represented as a function of type `unit -> unit`) and returns a unit to the performer. The `Yield` effect is unparameterized and returns a unit when performed. Let us consider that a task performing an `Xchg` effect may match with any other task also offering to exchange a value.

We shall also define helper functions that simply perform these effects:

```
let fork f = perform (Fork f)
let yield () = perform Yield
let xchg v = perform (Xchg v)
```

A top-level `run` function defines the scheduler:

```
(* A concurrent round-robin scheduler *)
let run (main : unit -> unit) : unit =
  let exchanger = ref None in (* waiting exchanger *)
  let run_q = Queue.create () in (* scheduler queue *)
  let enqueue k v =
    let task () = continue k v in
    Queue.push task run_q
  in
  let dequeue () =
    if Queue.is_empty run_q then () (* done *)
    else begin
      let task = Queue.pop run_q in
      task ()
    end
  in
  let rec spawn (f : unit -> unit) : unit =
    match_with f () {
      retc = dequeue;
      exnc = (fun e ->
        print_endline (Printexc.to_string e);
        dequeue ());
      effc = fun (type a) (eff : a t) ->
        match eff with
        | Yield -> Some (fun (k : (a, unit) continuation) ->
          enqueue k (); dequeue ())
```

```

| Fork f -> Some (fun (k : (a, unit) continuation) ->
  enqueue k (); spawn f)
| Xchg n -> Some (fun (k : (int, unit) continuation) ->
  begin match !exchanger with
  | Some (n', k') ->
    exchanger := None; enqueue k' n; continue k n'
  | None -> exchanger := Some (n, k); dequeue ()
  end)
| _ -> None
}
in
spawn main

```

We use a mutable queue `run_q` to hold the scheduler queue. The FIFO queue enables round-robin scheduling of tasks in the scheduler. `enqueue` inserts tasks into the queue, and `dequeue` extracts tasks from the queue and runs them. The reference cell `exchanger` holds a (suspended) task offering to exchange a value. At any time, there is either zero or one suspended task that is offering an exchange.

The heavy lifting is done by the `spawn` function. The `spawn` function runs the given computation `f` in an effect handler. If `f` returns with a value (case `retc`), we dequeue and run the next task from the scheduler queue. If the computation `f` raises an exception (case `exnc`), we print the exception and run the next task from the scheduler.

The computation `f` may also perform effects. If `f` performs the `Yield` effect, the current task is suspended (inserted into the queue of ready tasks), and the next task from the scheduler queue is run. If the effect is `Fork f`, then the current task is suspended, and the new task `f` is executed immediately via a tail call to `spawn f`. Note that this choice to run the new task first is arbitrary. We could very well have chosen instead to insert the task for `f` into the ready queue and resumed `k` immediately.

If the effect is `Xchg`, then we first check whether there is a task waiting to exchange. If so, we enqueue the waiting task with the current value being offered and immediately resume the current task with the value being offered. If not, we make the current task the waiting exchanger, and run the next task from the scheduler queue.

Note that this scheduler code is not perfect – it can leak resources. We shall explain and fix this in the next section [12.24.4](#).

Now we can write a concurrent program that utilises the newly defined operations:

```

open Printf

let _ = run (fun _ ->
  fork (fun _ ->
    printf "[t1] Sending 0\n";
    let v = xchg 0 in
    printf "[t1] received %d\n" v);
  fork (fun _ ->
    printf "[t2] Sending 1\n";
    let v = xchg 1 in
    printf "[t2] received %d\n" v))

```

```
[t1] Sending 0
[t2] Sending 1
[t2] received 0
[t1] received 1
```

Observe that the messages from the two tasks are interleaved. Notice also that the snippet above makes no reference to the effect handlers and is in direct style (no monadic operations). This example illustrates that, with effect handlers, the user code in a concurrent program can remain in simple direct style, and the use of effect handlers can be fully contained within the concurrency library implementation.

#### 12.24.4 Resuming with an exception

In addition to resuming a continuation with a value, effect handlers also permit resuming by raising an effect at the point of `perform`. This is done with the help of the `discontinue` primitive. The `discontinue` primitive helps ensure that resources are always eventually deallocated, even in the presence of effects.

For example, consider the dequeue operation in the previous example reproduced below:

```
...
let dequeue () =
  if Queue.is_empty run_q then () (* done *)
  else (Queue.pop run_q) ()
```

If the scheduler queue is empty, dequeue considers that the scheduler is done and returns to the caller. However, there may still be a task waiting to exchange a value (stored in the reference cell `exchanger`), which remains blocked forever! If the blocked task holds onto resources, these resources are leaked. For example, consider the following task:

```
let leaky_task () =
  fork (fun _ ->
    let oc = open_out "secret.txt" in
    Fun.protect ~finally:(fun _ -> close_out oc) (fun _ ->
      output_value oc (xchg 0)))
```

The task writes the received message to the file `secret.txt`. It uses `Fun.protect` to ensure that the output channel `oc` is closed on both normal and exceptional return cases. Unfortunately, this is not sufficient. If the exchange effect `xchg 0` cannot be matched with an exchange effect performed by some other thread, then this task remains blocked forever. Thus, the output channel `oc` is never closed.

To avoid this problem, one must adhere to a simple discipline: *every continuation must be eventually either continued or discontinued*. Here, we use `discontinue` to ensure that the blocked task does not remain blocked forever. By discontinuing this task, we force it to terminate (with an exception):

```
exception Improper_synchronization

let dequeue () =
  if Queue.is_empty run_q then begin
    match !exchanger with
```

```

| None -> () (* done *)
| Some (n, k) ->
    exchanger := None;
    discontinue k Improper_synchronization
end else (Queue.pop run_q) ()

```

When the scheduler queue is empty and there is a blocked exchanger thread, the dequeue function discontinues the blocked thread with an `Improper_synchronization` exception. This exception is raised at the blocked `xchg` function call, which causes the `finally` block to be run and closes the output channel `oc`. From the point of view of the user, it seems as though the function call `xchg 0` raises the exception `Improper_synchronization`.

### 12.24.5 Control inversion

When it comes to performing traversals on a data structure, there are two fundamental ways depending on whether the producer or the consumer has the control over the traversal. For example, in `List.iter f l`, the producer `List.iter` has the control and pushes the element to the consumer `f` who processes them. On the other hand, the [Seq](#)[28.48] module provides a mechanism similar to delayed lists where the consumer controls the traversal. For example, `Seq.forever Random.bool` returns an infinite sequence of random bits where every bit is produced (on demand) when queried by the consumer.

Naturally, producers such as `List.iter` are easier to write in the former style. The latter style is ergonomically better for the consumer since it is preferable and more natural to be in control. To have the best of both worlds, we would like to write a producer in the former style and automatically convert it to the latter style. The conversion can be written *once and for all* as a library function, thanks to effect handlers. Let us name this function `invert`. We will first look at how to use the `invert` function before looking at its implementation details. The type of this function is given below:

```
val invert : iter:('a -> unit) -> unit) -> 'a Seq.t
```

The `invert` function takes an `iter` function (a producer that pushes elements to the consumer) and returns a sequence (where the consumer has the control). For example,

```
let lst_iter = Fun.flip List.iter [1;2;3]
val lst_iter : (int -> unit) -> unit = <fun>
```

is an `iter` function with type `(int -> unit) -> unit`. The expression `lst_iter f` pushes the elements 1, 2 and 3 to the consumer `f`. For example,

```
lst_iter (fun i -> Printf.printf "%d\n" i)
1
2
3
- : unit = ()
```

The expression `invert lst_iter` returns a sequence that allows the consumer to traverse the list on demand. For example,

```
let s = invert ~iter:lst_iter
let next = Seq.to_dispenser s;;
```

```
val s : int Seq.t = <fun>
val next : unit -> int option = <fun>
```

```
next();;
- : int option = Some 1
```

```
next();;
- : int option = Some 2
```

```
next();;
- : int option = Some 3
```

```
next();;
- : int option = None
```

We can use the same `invert` function on any `iter` function. For example,

```
let s = invert ~iter:(Fun.flip String.iter "OCaml")
let next = Seq.to_dispenser s;;
val s : char Seq.t = <fun>
val next : unit -> char option = <fun>
```

```
next();;
- : char option = Some 'O'
```

```
next();;
- : char option = Some 'C'
```

```
next();;
- : char option = Some 'a'
```

```
next();;
- : char option = Some 'm'
```

```
next();;
- : char option = Some 'l'
```

```
next();;
- : char option = None
```

### 12.24.6 Implementing control inversion

The implementation of the `invert` function is given below:

```
let invert (type a) ~(iter : (a -> unit) -> unit) : a Seq.t =
  let module M = struct
    type _ Effect.t += Yield : a -> unit t
  end in
  let yield v = perform (M.Yield v) in
```

```

fun () -> match_with iter yield
{ retc = (fun _ -> Seq.Nil);
  exnc = raise;
  effc = fun (type b) (eff : b Effect.t) ->
    match eff with
    | M.Yield v -> Some (fun (k: (b,_) continuation) ->
      Seq.Cons (v, continue k))
    | _ -> None }

```

The `invert` function declares an effect `Yield` that takes the element to be yielded as a parameter. The `yield` function performs the `Yield` effect. The lambda abstraction `fun () -> ...` delays all action until the first element of the sequence is demanded. Once this happens, the computation `iter yield` is executed under an effect handler. Every time the `iter` function pushes an element to the `yield` function, the computation is interrupted by the `Yield` effect. The `Yield` effect is handled by returning the value `Seq.Cons(v, continue k)` to the consumer. The consumer gets the element `v` as well as the suspended computation, which in the consumer's eyes is just the tail of sequence.

When the consumer demands the next element from the sequence (by applying it to `()`), the continuation `k` is resumed. This allows the computation `iter yield` to make progress, until it either yields another element or terminates normally. In the latter case, the value `Seq.Nil` is returned, indicating to the consumer that the iteration is over.

It is important to note that the sequence returned by the `invert` function is *ephemeral* (as defined by the [Seq\[28.48\]](#) module) i.e., the sequence must be used at most once. Additionally, the sequence must be fully consumed (i.e., used at least once) so as to ensure that the captured continuation is used linearly.

### 12.24.7 Semantics

In this section, we shall see the semantics of effect handlers with the help of examples.

### 12.24.8 Nesting handlers

Like exception handlers, effect handlers can be nested.

```

type _ Effect.t += E : int t
                  | F : string t

let foo () = perform F

let bar () =
  try_with foo ()
  { effc = fun (type a) (eff: a t) ->
    match eff with
    | E -> Some (fun (k: (a,_) continuation) ->
      failwith "impossible")
    | _ -> None }

let baz () =

```



```

try_with bar ()
{ effc = fun (type a) (eff: a t) ->
  match eff with
  | F -> Some (fun (k: (a,_) continuation) ->
    continue k "Hello, world!")
  | _ -> None }

```

In this example, the computation `foo` performs `F`, the inner handler handles only `E` and the outer handler handles `F`. The call to `baz` returns `Hello, world!`.

```

baz ()
- : string = "Hello, world!"

```

### 12.24.9 Fibers

It is useful to know a little bit about the implementation of effect handlers to appreciate the design choices and their performance characteristics. Effect handlers are implemented with the help of runtime-managed, dynamically growing segments of stack called *fibers*. The program stack in OCaml is a linked list of such fibers.

A new fiber is allocated for evaluating the computation enclosed by an effect handler. The fiber is freed when the computation returns to the caller either normally by returning a value or by raising an exception.

At the point of `perform` in `foo` in the previous example, the program stack looks like this:

```

+-----+ +-----+ +-----+
|      | |      | |      |
| baz |<--| bar |<--| foo |
|      | |      | |      |
|      | |      | |      |
+-----+ +-----+ +-----+ <- stack_pointer

```

The two links correspond to the two effect handlers in the program. When the effect `F` is handled in `baz`, the program state looks as follows:

```

+-----+ +-----+ +-----+
|      | |      | |      | | | |
| baz | |      | bar |<--| foo |<--|k|
|      | |      | |      |
+-----+ <- stack_pointer +-----+ +-----+

```

The delimited continuation `k` is an object on the heap that refers to the segment of the stack that corresponds to the suspended computation. Capturing a continuation does not involve copying stack frames. When the continuation is resumed, the stack is restored to the previous state by linking together the segment pointed to by `k` to the current stack. Since neither continuation capture nor resumption requires copying stack frames, suspending the execution using `perform` and resuming it using either `continue` or `discontinue` are fast.

### 12.24.10 Unhandled effects

Unlike languages such as Eff and Koka, effect handlers in OCaml do not provide *effect safety*; the compiler does not statically ensure that all the effects performed by the program are handled. If effects do not have a matching handler, then an `Effect.Unhandled` exception is raised at the point of the corresponding `perform`. For example, in the previous example, `bar` does not handle the effect `F`. Hence, we will get an `Effect.Unhandled F` exception when we run `bar`.

```
try bar () with Effect.Unhandled F -> "Saw Effect.Unhandled exception"
- : string = "Saw Effect.Unhandled exception"
```

### 12.24.11 Linear continuations

As discussed earlier [12.24.4](#), the delimited continuations in OCaml must be used linearly – *every captured continuation must be resumed either with a continue or discontinue exactly once*. Attempting to use a continuation more than once raises a `Continuation_already_resumed` exception. For example:

```
try_with perform (Xchg 0)
{ effc = fun (type a) (eff : a t) ->
  match eff with
  | Xchg n -> Some (fun (k: (a, _) continuation) ->
    continue k 21 + continue k 21)
  | _ -> None }
```

*Exception: Stdlib.Effect.Continuation\_already\_resumed.*

The primary motivation for adding effect handlers to OCaml is to enable concurrent programming. One-shot continuations are sufficient for almost all concurrent programming needs. They are also much cheaper to implement compared to multi-shot continuations since they do not require stack frames to be copied. Moreover, OCaml programs may also manipulate linear resources such as sockets and file descriptors. The linearity discipline is easily broken if the continuations are allowed to resume more than once. It would be quite hard to debug such linearity violations on resources due to the lack of static checks for linearity and the non-local nature of control flow. Hence, OCaml does not support multi-shot continuations.

While the “at most once resumption” property of continuations is ensured with a dynamic check, there is no check to ensure that the continuations are resumed “at least once”. It is left to the user to ensure that the captured continuations are resumed at least once. Not resuming continuations will leak the memory allocated for the fibers as well as any resources that the suspended computation may hold.

One may install a finaliser on the captured continuation to ensure that the resources are freed:

```
exception Unwind
Gc.finalise (fun k ->
  try ignore (discontinue k Unwind) with _ -> ()) k
```

In this case, if `k` becomes unreachable, then the finaliser ensures that the continuation stack is unwound by discontinuing with an `Unwind` exception, allowing the computation to free up resources. However, the runtime cost of finalisers is much more than the cost of capturing a continuation.

Hence, it is recommended that the user take care of resuming the continuation exactly once rather than relying on the finaliser.

### 12.24.12 Shallow handlers

The examples that we have seen so far have used *deep* handlers. A deep handler handles all the effects performed (in sequence) by the computation. Whenever a continuation is captured in a deep handler, the captured continuation also includes the handler. This means that, when the continuation is resumed, the effect handler is automatically re-installed, and will handle the effect(s) that the computation may perform in the future.

OCaml also provides *shallow* handlers. Compared to deep handlers, a shallow handler handles only the first effect performed by the computation. The continuation captured in a shallow handler does not include the handler. This means that, when the continuation is resumed, the handler is no longer present. For this reason, when the continuation is resumed, the user is expected to provide a new effect handler (possibly a different one) to handle the next effect that the computation may perform.

Shallow handlers make it easier to express certain kinds of programs. Let us implement a shallow handler that enforces a particular sequence of effects (a protocol) on a computation. For this example, let us consider that the computation may perform the following effects:

```
type _ Effect.t += Send : int -> unit Effect.t
                | Recv : int Effect.t
```

Let us assume that we want to enforce a protocol that only permits an alternating sequence of `Send` and `Recv` effects that conform to the regular expression `(Send;Recv)*;Send?`. Hence, the sequence of effects `[]` (the empty sequence), `[Send]`, `[Send;Recv]`, `[Send;Recv;Send]`, etc., are allowed, but not `[Recv]`, `[Send;Send]`, `[Send;Recv;Recv]`, etc. The key observation here is that the set of effects handled evolves over time. We can enforce this protocol quite naturally using shallow handlers as shown below:

```
open Effect.Shallow
```

```
let run (comp: unit -> unit) : unit =
  let rec loop_send : type a. (a,unit) continuation -> a -> unit = fun k v ->
    continue_with k v
    { retc = Fun.id;
      exnc = raise;
      effc = fun (type b) (eff : b Effect.t) ->
        match eff with
        | Send n -> Some (fun (k: (b,_) continuation) ->
          loop_recv n k ())
        | Recv -> failwith "protocol violation"
        | _ -> None }
  and loop_recv : type a. int -> (a,unit) continuation -> a -> unit = fun n k v ->
    continue_with k v
    { retc = Fun.id;
      exnc = raise;
      effc = fun (type b) (eff : b Effect.t) ->
```

```

    match eff with
    | Recv -> Some (fun (k: (b,_) continuation) ->
        loop_send k n)
    | Send v -> failwith "protocol violation"
    | _ -> None }
in
loop_send (fiber comp) ()

```

The `run` function executes the computation `comp` ensuring that it can only perform an alternating sequence of `Send` and `Recv` effects. The shallow handler uses a different set of primitives compared to the deep handler. The primitive `fiber` (on the last line) takes an `'a -> 'b` function and returns a `('a,'b) Effect.Shallow.continuation`. The expression `continue_with k v h` resumes the continuation `k` with value `v` under the handler `h`.

The mutually recursive functions `loop_send` and `loop_recv` resume the given continuation `k` with value `v` under different handlers. The `loop_send` function handles the `Send` effect and tail calls the `loop_recv` function. If the computation performs the `Recv` effect, then `loop_send` aborts the computation by raising an exception. Similarly, the `loop_recv` function handles the `Recv` effect and tail calls the `loop_send` function. If the computation performs the `Send` effect, then `loop_recv` aborts the computation. Given that the continuation captured in the shallow handler do not include the handler, there is only ever one handler installed in the dynamic scope of the computation `comp`.

The computation is initially executed by the `loop_send` function (see last line in the code above) which ensures that the first effect that the computation is allowed to perform is the `Send` effect. Note that the computation is free to perform effects other than `Send` and `Recv`, which may be handled by an outer handler.

We can see that the `run` function will permit a computation that follows the protocol:

```

run (fun () ->
    printf "Send 42\n";
    perform (Send 42);
    printf "Recv: %d\n" (perform Recv);
    printf "Send 43\n";
    perform (Send 43);
    printf "Recv: %d\n" (perform Recv))
Send 42
Recv: 42
Send 43
Recv: 43
- : unit = ()

```

and aborts those that do not:

```

run (fun () ->
    Printf.printf "Send 0\n";
    perform (Send 0);
    Printf.printf "Send 1\n";
    perform (Send 1) (* protocol violation *))
Send 0
Send 1
Exception: Failure "protocol violation".

```

We may implement the same example using deep handlers using reference cells (easy, but unsatisfying) or without them (harder). We leave this as an exercise to the reader.



# Part III

## The OCaml tools





## Chapter 13

# Batch compilation (`ocamlc`)

This chapter describes the OCaml batch compiler `ocamlc`, which compiles OCaml source files to bytecode object files and links these object files to produce standalone bytecode executable files. These executable files are then run by the bytecode interpreter `ocamlrun`.

### 13.1 Overview of the compiler

The `ocamlc` command has a command-line interface similar to the one of most C compilers. It accepts several types of arguments and processes them sequentially, after all options have been processed:

- Arguments ending in `.mli` are taken to be source files for compilation unit interfaces. Interfaces specify the names exported by compilation units: they declare value names with their types, define public data types, declare abstract data types, and so on. From the file `x.mli`, the `ocamlc` compiler produces a compiled interface in the file `x.cmi`.
- Arguments ending in `.ml` are taken to be source files for compilation unit implementations. Implementations provide definitions for the names exported by the unit, and also contain expressions to be evaluated for their side-effects. From the file `x.ml`, the `ocamlc` compiler produces compiled object bytecode in the file `x.cmo`.

If the interface file `x.mli` exists, the implementation `x.ml` is checked against the corresponding compiled interface `x.cmi`, which is assumed to exist. If no interface `x.mli` is provided, the compilation of `x.ml` produces a compiled interface file `x.cmi` in addition to the compiled object code file `x.cmo`. The file `x.cmi` produced corresponds to an interface that exports everything that is defined in the implementation `x.ml`.

- Arguments ending in `.cmo` are taken to be compiled object bytecode. These files are linked together, along with the object files obtained by compiling `.ml` arguments (if any), and the OCaml standard library, to produce a standalone executable program. The order in which `.cmo` and `.ml` arguments are presented on the command line is relevant: compilation units are initialized in that order at run-time, and it is a link-time error to use a component of a unit before having initialized it. Hence, a given `x.cmo` file must come before all `.cmo` files that refer to the unit `x`.

- Arguments ending in `.cma` are taken to be libraries of object bytecode. A library of object bytecode packs in a single file a set of object bytecode files (`.cmo` files). Libraries are built with `ocamlc -a` (see the description of the `-a` option below). The object files contained in the library are linked as regular `.cmo` files (see above), in the order specified when the `.cma` file was built. The only difference is that if an object file contained in a library is not referenced anywhere in the program, then it is not linked in.
- Arguments ending in `.c` are passed to the C compiler, which generates a `.o` object file (`.obj` under Windows). This object file is linked with the program if the `-custom` flag is set (see the description of `-custom` below).
- Arguments ending in `.o` or `.a` (`.obj` or `.lib` under Windows) are assumed to be C object files and libraries. They are passed to the C linker when linking in `-custom` mode (see the description of `-custom` below).
- Arguments ending in `.so` (`.dll` under Windows) are assumed to be C shared libraries (DLLs). During linking, they are searched for external C functions referenced from the OCaml code, and their names are written in the generated bytecode executable. The run-time system `ocamlrun` then loads them dynamically at program start-up time.

The output of the linking phase is a file containing compiled bytecode that can be executed by the OCaml bytecode interpreter: the command named `ocamlrun`. If `a.out` is the name of the file produced by the linking phase, the command

```
ocamlrun a.out arg1 arg2 ... argn
```

executes the compiled code contained in `a.out`, passing it as arguments the character strings `arg1` to `argn`. (See chapter 15 for more details.)

On most systems, the file produced by the linking phase can be run directly, as in:

```
./a.out arg1 arg2 ... argn
```

The produced file has the executable bit set, and it manages to launch the bytecode interpreter by itself.

The compiler is able to emit some information on its internal stages. It can output `.cmt` files for the implementation of the compilation unit and `.cmti` for signatures if the option `-bin-annot` is passed to it (see the description of `-bin-annot` below). Each such file contains a typed abstract syntax tree (AST), that is produced during the type checking procedure. This tree contains all available information about the location and the specific type of each term in the source file. The AST is partial if type checking was unsuccessful.

These `.cmt` and `.cmti` files are typically useful for code inspection tools.

## 13.2 Options

The following command-line options are recognized by `ocamlc`. The options `-pack`, `-a`, `-c`, `-output-obj` and `-output-complete-obj` are mutually exclusive.

- a Build a library(*.cma* file) with the object files ( *.cmo* files) given on the command line, instead of linking them into an executable file. The name of the library must be set with the *-o* option.

If *-custom*, *-cclib* or *-ccopt* options are passed on the command line, these options are stored in the resulting *.cmalibrary*. Then, linking with this library automatically adds back the *-custom*, *-cclib* and *-ccopt* options as if they had been provided on the command line, unless the *-noautolink* option is given.

**-absname**

Force error messages to show absolute paths for file names.

**-no-absname**

Do not try to show absolute filenames in error messages.

**-annot**

Deprecated since OCaml 4.11. Please use *-bin-annot* instead.

**-args *filename***

Read additional newline-terminated command line arguments from *filename*.

**-args0 *filename***

Read additional null character terminated command line arguments from *filename*.

**-bin-annot**

Dump detailed information about the compilation (types, bindings, tail-calls, etc) in binary format. The information for file *src.ml* (resp. *src.mli*) is put into file *src.cmt* (resp. *src.cmti*). In case of a type error, dump all the information inferred by the type-checker before the error. The *\*.cmt* and *\*.cmti* files produced by *-bin-annot* contain more information and are much more compact than the files produced by *-annot*.

- c Compile only. Suppress the linking phase of the compilation. Source code files are turned into compiled files, but no executable file is produced. This option is useful to compile modules separately.

**-cc *ccomp***

Use *ccomp* as the C linker when linking in “custom runtime” mode (see the *-custom* option) and as the C compiler for compiling *.c* source files. When linking object files produced by a C++ compiler (such as *g++* or *clang++*), it is recommended to use *-cc c++*.

**-cclib *-llibname***

Pass the *-llibname* option to the C linker when linking in “custom runtime” mode (see the *-custom* option). This causes the given C library to be linked with the program.

**-ccopt *option***

Pass the given option to the C compiler and linker. When linking in “custom runtime” mode, for instance *-ccopt -Ldir* causes the C linker to search for C libraries in directory *dir*. (See the *-custom* option.)

**-cmi-file** *filename*

Use the given interface file to type-check the ML source file to compile. When this option is not specified, the compiler looks for a *.mli* file with the same base name than the implementation it is compiling and in the same directory. If such a file is found, the compiler looks for a corresponding *.cmi* file in the included directories and reports an error if it fails to find one.

**-color** *mode*

Enable or disable colors in compiler messages (especially warnings and errors). The following modes are supported:

**auto**

use heuristics to enable colors only if the output supports them (an ANSI-compatible tty terminal);

**always**

enable colors unconditionally;

**never**

disable color output.

The environment variable `OCAML_COLOR` is considered if `-color` is not provided. Its values are `auto/always/never` as above.

If `-color` is not provided, `OCAML_COLOR` is not set and the environment variable `NO_COLOR` is set, then color output is disabled. Otherwise, the default setting is 'auto', and the current heuristic checks that the `TERM` environment variable exists and is not empty or `dumb`, and that 'isatty(stderr)' holds.

**-error-style** *mode*

Control the way error messages and warnings are printed. The following modes are supported:

**short**

only print the error and its location;

**contextual**

like **short**, but also display the source code snippet corresponding to the location of the error.

The default setting is **contextual**.

The environment variable `OCAML_ERROR_STYLE` is considered if `-error-style` is not provided. Its values are `short/contextual` as above.

**-compat-32**

Check that the generated bytecode executable can run on 32-bit platforms and signal an error if it cannot. This is useful when compiling bytecode on a 64-bit machine.

**-config**

Print the version number of `ocamlc` and a detailed summary of its configuration, then exit.

**-config-var** *var*

Print the value of a specific configuration variable from the `-config` output, then exit. If the

variable does not exist, the exit code is non-zero. This option is only available since OCaml 4.08, so script authors should have a fallback for older versions.

#### **-custom**

Link in “custom runtime” mode. In the default linking mode, the linker produces bytecode that is intended to be executed with the shared runtime system, `ocamlrun`. In the custom runtime mode, the linker produces an output file that contains both the runtime system and the bytecode for the program. The resulting file is larger, but it can be executed directly, even if the `ocamlrun` command is not installed. Moreover, the “custom runtime” mode enables static linking of OCaml code with user-defined C functions, as described in chapter 22.

#### **Unix:**

Never use the `strip` command on executables produced by `ocamlc -custom`, this would remove the bytecode part of the executable.

#### **Unix:**

Security warning: never set the “setuid” or “setgid” bits on executables produced by `ocamlc -custom`, this would make them vulnerable to attacks.

#### **-depend** *ocamldep-args*

Compute dependencies, as the `ocamldep` command would do. The remaining arguments are interpreted as if they were given to the `ocamldep` command.

#### **-dllib** *-llibname*

Arrange for the C shared library `dllibname.so` (`dllibname.dll` under Windows) to be loaded dynamically by the run-time system `ocamlrun` at program start-up time.

#### **-dllpath** *dir*

Adds the directory *dir* to the run-time search path for shared C libraries. At link-time, shared libraries are searched in the standard search path (the one corresponding to the `-I` option). The `-dllpath` option simply stores *dir* in the produced executable file, where `ocamlrun` can find it and use it as described in section 15.3.

#### **-for-pack** *module-path*

Generate an object file (`.cmo`) that can later be included as a sub-module (with the given access path) of a compilation unit constructed with `-pack`. For instance, `ocamlc -for-pack P -c A.ml` will generate `a.cmo` that can later be used with `ocamlc -pack -o P.cmo a.cmo`. Note: you can still pack a module that was compiled without `-for-pack` but in this case exceptions will be printed with the wrong names.

#### **-g**

Add debugging information while compiling and linking. This option is required in order to be able to debug the program with `ocamldebug` (see chapter 20), and to produce stack backtraces when the program terminates on an uncaught exception (see section 15.2).

#### **-no-g**

Do not record debugging information (default).

**-i** Cause the compiler to print all defined names (with their inferred types or their definitions) when compiling an implementation (*.ml* file). No compiled files (*.cmo* and *.cmi* files) are produced. This can be useful to check the types inferred by the compiler. Also, since the output follows the syntax of interfaces, it can help in writing an explicit interface (*.mli* file) for a file: just redirect the standard output of the compiler to a *.mli* file, and edit that file to remove all declarations of unexported names.

**-I *directory***

Add the given directory to the list of directories searched for compiled interface files (*.cmi*), compiled object code files *.cmo*, libraries (*.cma*) and C libraries specified with **-cclib -lxxx**. By default, the current directory is searched first, then the standard library directory. Directories added with **-I** are searched after the current directory, in the order in which they were given on the command line, but before the standard library directory. See also option **-nostdlib**.

If the given directory starts with **+**, it is taken relative to the standard library directory. For instance, **-I +unix** adds the subdirectory *unix* of the standard library to the search path.

**-impl *filename***

Compile the file *filename* as an implementation file, even if its extension is not *.ml*.

**-intf *filename***

Compile the file *filename* as an interface file, even if its extension is not *.mli*.

**-intf-suffix *string***

Recognize file names ending with *string* as interface files (instead of the default *.mli*).

**-labels**

Labels are not ignored in types, labels may be used in applications, and labelled parameters can be given in any order. This is the default.

**-linkall**

Force all modules contained in libraries to be linked in. If this flag is not given, unreferenced modules are not linked in. When building a library (option **-a**), setting the **-linkall** option forces all subsequent links of programs involving that library to link all the modules contained in the library. When compiling a module (option **-c**), setting the **-linkall** option ensures that this module will always be linked if it is put in a library and this library is linked.

**-make-runtime**

Build a custom runtime system (in the file specified by option **-o**) incorporating the C object files and libraries given on the command line. This custom runtime system can be used later to execute bytecode executables produced with the **ocamlc -use-runtime *runtime-name*** option. See section 22.1.6 for more information.

**-match-context-rows**

Set the number of rows of context used for optimization during pattern matching compilation. The default value is 32. Lower values cause faster compilation, but less optimized code. This advanced option is meant for use in the event that a pattern-match-heavy program leads to significant increases in compilation time.

**-no-alias-deps**

Do not record dependencies for module aliases. See section 12.8 for more information.

**-no-app-funct**

Deactivates the applicative behaviour of functors. With this option, each functor application generates new types in its result and applying the same functor twice to the same argument yields two incompatible structures.

**-noassert**

Do not compile assertion checks. Note that the special form `assert false` is always compiled because it is typed specially. This flag has no effect when linking already-compiled files.

**-noautolink**

When linking `.cmalibraries`, ignore `-custom`, `-cclib` and `-ccopt` options potentially contained in the libraries (if these options were given when building the libraries). This can be useful if a library contains incorrect specifications of C libraries or C options; in this case, during linking, set `-noautolink` and pass the correct C libraries and options on the command line.

**-nolabels**

Ignore non-optional labels in types. Labels cannot be used in applications, and parameter order becomes strict.

**-nostdlib**

Do not include the standard library directory in the list of directories searched for compiled interface files (`.cmi`), compiled object code files (`.cmo`), libraries (`.cma`), and C libraries specified with `-cclib -lxxx`. See also option `-I`.

**-o *output-file***

Specify the name of the output file to produce. For executable files, the default output name is `a.out` under Unix and `camlprog.exe` under Windows. If the `-a` option is given, specify the name of the library produced. If the `-pack` option is given, specify the name of the packed object file produced. If the `-output-obj` or `-output-complete-obj` options are given, specify the name of the produced object file. If the `-c` option is given, specify the name of the object file produced for the *next* source file that appears on the command line.

**-opaque**

When the native compiler compiles an implementation, by default it produces a `.cmx` file containing information for cross-module optimization. It also expects `.cmx` files to be present for the dependencies of the currently compiled source, and uses them for optimization. Since OCaml 4.03, the compiler will emit a warning if it is unable to locate the `.cmx` file of one of those dependencies.

The `-opaque` option, available since 4.04, disables cross-module optimization information for the currently compiled unit. When compiling `.mli` interface, using `-opaque` marks the compiled `.cmi` interface so that subsequent compilations of modules that depend on it will not rely on the corresponding `.cmx` file, nor warn if it is absent. When the native compiler compiles a `.ml` implementation, using `-opaque` generates a `.cmx` that does not contain any cross-module optimization information.

Using this option may degrade the quality of generated code, but it reduces compilation time, both on clean and incremental builds. Indeed, with the native compiler, when the implementation of a compilation unit changes, all the units that depend on it may need to be recompiled – because the cross-module information may have changed. If the compilation unit whose implementation changed was compiled with `-opaque`, no such recompilation needs to occur. This option can thus be used, for example, to get faster edit-compile-test feedback loops.

#### `-open Module`

Opens the given module before processing the interface or implementation files. If several `-open` options are given, they are processed in order, just as if the statements `open! Module1;; ... open! ModuleN;;` were added at the top of each file.

#### `-output-obj`

Cause the linker to produce a C object file instead of a bytecode executable file. This is useful to wrap OCaml code as a C library, callable from any C program. See chapter 22, section 22.7.5. The name of the output object file must be set with the `-o` option. This option can also be used to produce a C source file (`.c` extension) or a compiled shared/dynamic library (`.so` extension, `.dll` under Windows).

#### `-output-complete-exe`

Build a self-contained executable by linking a C object file containing the bytecode program, the OCaml runtime system and any other static C code given to `ocamlc`. The resulting effect is similar to `-custom`, except that the bytecode is embedded in the C code so it is no longer accessible to tools such as `ocamldebug`. On the other hand, the resulting binary is resistant to `strip`.

#### `-output-complete-obj`

Same as `-output-obj` options except the object file produced includes the runtime and autolink libraries.

#### `-pack`

Build a bytecode object file (`.cmo` file) and its associated compiled interface (`.cmi`) that combines the object files given on the command line, making them appear as sub-modules of the output `.cmo` file. The name of the output `.cmo` file must be given with the `-o` option. For instance,

```
ocamlc -pack -o p.cmo a.cmo b.cmo c.cmo
```

generates compiled files `p.cmo` and `p.cmi` describing a compilation unit having three sub-modules A, B and C, corresponding to the contents of the object files `a.cmo`, `b.cmo` and `c.cmo`. These contents can be referenced as `P.A`, `P.B` and `P.C` in the remainder of the program.

#### `-pp command`

Cause the compiler to call the given *command* as a preprocessor for each source file. The output of *command* is redirected to an intermediate file, which is compiled. If there are no compilation errors, the intermediate file is deleted afterwards.



**-ppx** *command*

After parsing, pipe the abstract syntax tree through the preprocessor *command*. The module `Ast_mapper`, described in section 29.1, implements the external interface of a preprocessor.

**-principal**

Check information path during type-checking, to make sure that all types are derived in a principal way. When using labelled arguments and/or polymorphic methods, this flag is required to ensure future versions of the compiler will be able to infer types correctly, even if internal algorithms change. All programs accepted in **-principal** mode are also accepted in the default mode with equivalent types, but different binary signatures, and this may slow down type checking; yet it is a good idea to use it once before publishing source code.

**-rectypes**

Allow arbitrary recursive types during type-checking. By default, only recursive types where the recursion goes through an object type are supported. Note that once you have created an interface using this flag, you must use it again for all dependencies.

**-runtime-variant** *suffix*

Add the *suffix* string to the name of the runtime library used by the program. Currently, only one such suffix is supported: `d`, and only if the OCaml compiler was configured with option **-with-debug-runtime**. This suffix gives the debug version of the runtime, which is useful for debugging pointer problems in low-level code such as C stubs.

**-safe-string**

Enforce the separation between types `string` and `bytes`, thereby making strings read-only. This is the default, and enforced since OCaml 5.0.

**-safer-matching**

Do not use type information to optimize pattern-matching. This allows to detect match failures even if a pattern-matching was wrongly assumed to be exhaustive. This only impacts GADT and polymorphic variant compilation.

**-short-paths**

When a type is visible under several module-paths, use the shortest one when printing the type's name in inferred interfaces and error and warning messages. Identifier names starting with an underscore `_` or containing double underscores `__` incur a penalty of +10 when computing their length.

**-stop-after** *pass*

Stop compilation after the given compilation pass. The currently supported passes are: `parsing`, `typing`.

**-strict-sequence**

Force the left-hand part of each sequence to have type `unit`.

**-strict-formats**

Reject invalid formats that were accepted in legacy format implementations. You should use this flag to detect and fix such invalid formats, as they will be rejected by future OCaml versions.

**-unboxed-types**

When a type is unboxable (i.e. a record with a single argument or a concrete datatype with a single constructor of one argument) it will be unboxed unless annotated with `[@@ocaml.boxed]`.

**-no-unboxed-types**

When a type is unboxable it will be boxed unless annotated with `[@@ocaml.unboxed]`. This is the default.

**-unsafe**

Turn bound checking off for array and string accesses (the `v.(i)` and `s.[i]` constructs). Programs compiled with **-unsafe** are therefore slightly faster, but unsafe: anything can happen if the program accesses an array or string outside of its bounds. Additionally, turn off the check for zero divisor in integer division and modulus operations. With **-unsafe**, an integer division (or modulus) by zero can halt the program or continue with an unspecified result instead of raising a `Division_by_zero` exception.

**-unsafe-string**

Identify the types `string` and `bytes`, thereby making strings writable. This is intended for compatibility with old source code and should not be used with new software. This option raises an error unconditionally since OCaml 5.0.

**-use-runtime** *runtime-name*

Generate a bytecode executable file that can be executed on the custom runtime system *runtime-name*, built earlier with `ocamlc -make-runtime runtime-name`. See section [22.1.6](#) for more information.

**-v** Print the version number of the compiler and the location of the standard library directory, then exit.

**-verbose**

Print all external commands before they are executed, in particular invocations of the C compiler and linker in **-custom** mode. Useful to debug C library problems.

**-version** or **-vnum**

Print the version number of the compiler in short form (e.g. `3.11.0`), then exit.

**-w** *warning-list*

Enable, disable, or mark as fatal the warnings specified by the argument *warning-list*. Each warning can be *enabled* or *disabled*, and each warning can be *fatal* or *non-fatal*. If a warning is disabled, it isn't displayed and doesn't affect compilation in any way (even if it is fatal). If a warning is enabled, it is displayed normally by the compiler whenever the source code triggers it. If it is enabled and fatal, the compiler will also stop with an error after displaying it.

The *warning-list* argument is a sequence of warning specifiers, with no separators between them. A warning specifier is one of the following:

**+num**

Enable warning number *num*.

- num*  
Disable warning number *num*.
- @num*  
Enable and mark as fatal warning number *num*.
- +num1..num2*  
Enable warnings in the given range.
- num1..num2*  
Disable warnings in the given range.
- @num1..num2*  
Enable and mark as fatal warnings in the given range.
- +letter*  
Enable the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.
- letter*  
Disable the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.
- @letter*  
Enable and mark as fatal the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.
- uppercase-letter*  
Enable the set of warnings corresponding to *uppercase-letter*.
- lowercase-letter*  
Disable the set of warnings corresponding to *lowercase-letter*.

Alternatively, *warning-list* can specify a single warning using its mnemonic name (see below), as follows:

- +name*  
Enable warning *name*.
- name*  
Disable warning *name*.
- @name*  
Enable and mark as fatal warning *name*.

Warning numbers, letters and names which are not currently defined are ignored. The warnings are as follows (the name following each number specifies the mnemonic for that warning).

- 1** *comment-start*  
Suspicious-looking start-of-comment mark.
- 2** *comment-not-end*  
Suspicious-looking end-of-comment mark.
- 3** *deprecated*  
Deprecated synonym for the 'deprecated' alert.

**4 fragile-match**

Fragile pattern matching: matching that will remain complete even if additional constructors are added to one of the variant types matched.

**5 ignored-partial-application**

Partially applied function: expression whose result has function type and is ignored.

**6 labels-omitted**

Label omitted in function application.

**7 method-override**

Method overridden.

**8 partial-match**

Partial match: missing cases in pattern-matching.

**9 missing-record-field-pattern**

Missing fields in a record pattern.

**10 non-unit-statement**

Expression on the left-hand side of a sequence that doesn't have type `unit` (and that is not a function, see warning number 5).

**11 redundant-case**

Redundant case in a pattern matching (unused match case).

**12 redundant-subpat**

Redundant sub-pattern in a pattern-matching.

**13 instance-variable-override**

Instance variable overridden.

**14 illegal-backslash**

Illegal backslash escape in a string constant.

**15 implicit-public-methods**

Private method made public implicitly.

**16 unerasable-optional-argument**

Unerasable optional argument.

**17 undeclared-virtual-method**

Undeclared virtual method.

**18 not-principal**

Non-principal type.

**19 non-principal-labels**

Type without principality.

**20 ignored-extra-argument**

Unused function argument.

**21 nonreturning-statement**

Non-returning statement.

**22 preprocessor**

Preprocessor warning.

- 23 useless-record-with**  
Useless record `with` clause.
- 24 bad-module-name**  
Bad module name: the source file name is not a valid OCaml module name.
- 25 Ignored:** now part of warning 8.
- 26 unused-var**  
Suspicious unused variable: unused variable that is bound with `let` or `as`, and doesn't start with an underscore (`_`) character.
- 27 unused-var-strict**  
Innocuous unused variable: unused variable that is not bound with `let` nor `as`, and doesn't start with an underscore (`_`) character.
- 28 wildcard-arg-to-constant-constr**  
Wildcard pattern given as argument to a constant constructor.
- 29 eol-in-string**  
Unescaped end-of-line in a string constant (non-portable code).
- 30 duplicate-definitions**  
Two labels or constructors of the same name are defined in two mutually recursive types.
- 31 module-linked-twice**  
A module is linked twice in the same executable.
- I ignored:** now a hard error (since 5.1).
- 32 unused-value-declaration**  
Unused value declaration. (since 4.00)
- 33 unused-open**  
Unused open statement. (since 4.00)
- 34 unused-type-declaration**  
Unused type declaration. (since 4.00)
- 35 unused-for-index**  
Unused for-loop index. (since 4.00)
- 36 unused-ancestor**  
Unused ancestor variable. (since 4.00)
- 37 unused-constructor**  
Unused constructor. (since 4.00)
- 38 unused-extension**  
Unused extension constructor. (since 4.00)
- 39 unused-rec-flag**  
Unused `rec` flag. (since 4.00)
- 40 name-out-of-scope**  
Constructor or label name used out of scope. (since 4.01)
- 41 ambiguous-name**  
Ambiguous constructor or label name. (since 4.01)

- 42 disambiguated-name**  
Disambiguated constructor or label name (compatibility warning). (since 4.01)
- 43 nonoptional-label**  
Nonoptional label applied as optional. (since 4.01)
- 44 open-shadow-identifier**  
Open statement shadows an already defined identifier. (since 4.01)
- 45 open-shadow-label-constructor**  
Open statement shadows an already defined label or constructor. (since 4.01)
- 46 bad-env-variable**  
Error in environment variable. (since 4.01)
- 47 attribute-payload**  
Illegal attribute payload. (since 4.02)
- 48 eliminated-optional-arguments**  
Implicit elimination of optional arguments. (since 4.02)
- 49 no-cmi-file**  
Absent cmi file when looking up module alias. (since 4.02)
- 50 unexpected-docstring**  
Unexpected documentation comment. (since 4.03)
- 51 wrong-tailcall-expectation**  
Function call annotated with an incorrect @tailcall attribute. (since 4.03)
- 52 fragile-literal-pattern (see [13.5.3](#))**  
Fragile constant pattern. (since 4.03)
- 53 misplaced-attribute**  
Attribute cannot appear in this context. (since 4.03)
- 54 duplicated-attribute**  
Attribute used more than once on an expression. (since 4.03)
- 55 inlining-impossible**  
Inlining impossible. (since 4.03)
- 56 unreachable-case**  
Unreachable case in a pattern-matching (based on type information). (since 4.03)
- 57 ambiguous-var-in-pattern-guard (see [13.5.4](#))**  
Ambiguous or-pattern variables under guard. (since 4.03)
- 58 no-cmx-file**  
Missing cmx file. (since 4.03)
- 59 flambda-assignment-to-non-mutable-value**  
Assignment to non-mutable value. (since 4.03)
- 60 unused-module**  
Unused module declaration. (since 4.04)
- 61 unboxable-type-in-prim-decl**  
Unboxable type in primitive declaration. (since 4.04)

- 62** `constraint-on-gadt`  
Type constraint on GADT type declaration. (since 4.06)
- 63** `erroneous-printed-signature`  
Erroneous printed signature. (since 4.08)
- 64** `unsafe-array-syntax-without-parsing`  
-unsafe used with a preprocessor returning a syntax tree. (since 4.08)
- 65** `redefining-unit`  
Type declaration defining a new '()' constructor. (since 4.08)
- 66** `unused-open-bang`  
Unused open! statement. (since 4.08)
- 67** `unused-functor-parameter`  
Unused functor parameter. (since 4.10)
- 68** `match-on-mutable-state-prevent-uncurry`  
Pattern-matching depending on mutable state prevents the remaining arguments from being uncurried. (since 4.12)
- 69** `unused-field`  
Unused record field. (since 4.13)
- 70** `missing-mli`  
Missing interface file. (since 4.13)
- 71** `unused-tmc-attribute`  
Unused @tail\_mod\_cons attribute. (since 4.14)
- 72** `tmc-breaks-tailcall`  
A tail call is turned into a non-tail call by the @tail\_mod\_cons transformation. (since 4.14)
- 73** `generative-application-expects-unit`  
A generative functor is applied to an empty structure (struct end) rather than to (). (since 5.1)
- A** all warnings
- C** warnings 1, 2.
- D** Alias for warning 3.
- E** Alias for warning 4.
- F** Alias for warning 5.
- K** warnings 32, 33, 34, 35, 36, 37, 38, 39.
- L** Alias for warning 6.
- M** Alias for warning 7.
- P** Alias for warning 8.
- R** Alias for warning 9.
- S** Alias for warning 10.
- U** warnings 11, 12.

**V** Alias for warning 13.

**X** warnings 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 30.

**Y** Alias for warning 26.

**Z** Alias for warning 27.

The default setting is `-w +a-4-6-7-9-27-29-32..42-44-45-48-50-60`. It is displayed by `ocamlc -help`. Note that warnings 5 and 10 are not always triggered, depending on the internals of the type checker.

#### **-warn-error** *warning-list*

Mark as fatal the warnings specified in the argument *warning-list*. The compiler will stop with an error when one of these warnings is emitted. The *warning-list* has the same meaning as for the `-w` option: a `+` sign (or an uppercase letter) marks the corresponding warnings as fatal, a `-` sign (or a lowercase letter) turns them back into non-fatal warnings, and a `@` sign both enables and marks as fatal the corresponding warnings.

Note: it is not recommended to use warning sets (i.e. letters) as arguments to `-warn-error` in production code, because this can break your build when future versions of OCaml add some new warnings.

The default setting is `-warn-error -a` (no warning is fatal).

#### **-warn-help**

Show the description of all available warning numbers.

#### **-where**

Print the location of the standard library, then exit.

#### **-with-runtime**

Include the runtime system in the generated program. This is the default.

#### **-without-runtime**

The compiler does not include the runtime system (nor a reference to it) in the generated program; it must be supplied separately.

#### **-** *file*

Process *file* as a file name, even if it starts with a dash (`-`) character.

#### **-help** or **--help**

Display a short usage summary and exit.

#### **contextual-cli-control** Contextual control of command-line options

The compiler command line can be modified “from the outside” with the following mechanisms. These are experimental and subject to change. They should be used only for experimental and development work, not in released packages.

#### **OCAMLPARAM** (environment variable)

A set of arguments that will be inserted before or after the arguments from the command



line. Arguments are specified in a comma-separated list of **name=value** pairs. A **\_** is used to specify the position of the command line arguments, i.e. **a=x,\_,b=y** means that **a=x** should be executed before parsing the arguments, and **b=y** after. Finally, an alternative separator can be specified as the first character of the string, within the set **:|; ,.**

**ocaml\_compiler\_internal\_params** (file in the **stdlib** directory)

A mapping of file names to lists of arguments that will be added to the command line (and **OCAMLPARAM**) arguments.

**OCAML\_FLEXLINK** (environment variable)

Alternative executable to use on native Windows for **flexlink** instead of the configured value. Primarily used for bootstrapping.

### 13.3 Modules and the file system

This short section is intended to clarify the relationship between the names of the modules corresponding to compilation units and the names of the files that contain their compiled interface and compiled implementation.

The compiler always derives the module name by taking the capitalized base name of the source file (**.ml** or **.mli** file). That is, it strips the leading directory name, if any, as well as the **.ml** or **.mli** suffix; then, it set the first letter to uppercase, in order to comply with the requirement that module names must be capitalized. For instance, compiling the file **mylib/misc.ml** provides an implementation for the module named **Misc**. Other compilation units may refer to components defined in **mylib/misc.ml** under the names **Misc.name**; they can also do **open Misc**, then use unqualified names **name**.

The **.cmi** and **.cmo** files produced by the compiler have the same base name as the source file. Hence, the compiled files always have their base name equal (modulo capitalization of the first letter) to the name of the module they describe (for **.cmi** files) or implement (for **.cmo** files).

When the compiler encounters a reference to a free module identifier **Mod**, it looks in the search path for a file named **Mod.cmi** or **mod.cmi** and loads the compiled interface contained in that file. As a consequence, renaming **.cmi** files is not advised: the name of a **.cmi** file must always correspond to the name of the compilation unit it implements. It is admissible to move them to another directory, if their base name is preserved, and the correct **-I** options are given to the compiler. The compiler will flag an error if it loads a **.cmi** file that has been renamed.

Compiled bytecode files (**.cmo** files), on the other hand, can be freely renamed once created. That's because the linker never attempts to find by itself the **.cmo** file that implements a module with a given name: it relies instead on the user providing the list of **.cmo** files by hand.

### 13.4 Common errors

This section describes and explains the most frequently encountered error messages.

#### **Cannot find file** *filename*

The named file could not be found in the current directory, nor in the directories of the search path. The *filename* is either a compiled interface file (**.cmi** file), or a compiled bytecode

file (`.cmo` file). If *filename* has the format *mod.cmi*, this means you are trying to compile a file that references identifiers from module *mod*, but you have not yet compiled an interface for module *mod*. Fix: compile *mod.mli* or *mod.ml* first, to create the compiled interface *mod.cmi*.

If *filename* has the format *mod.cmo*, this means you are trying to link a bytecode object file that does not exist yet. Fix: compile *mod.ml* first.

If your program spans several directories, this error can also appear because you haven't specified the directories to look into. Fix: add the correct `-I` options to the command line.

### Corrupted compiled interface *filename*

The compiler produces this error when it tries to read a compiled interface file (`.cmi` file) that has the wrong structure. This means something went wrong when this `.cmi` file was written: the disk was full, the compiler was interrupted in the middle of the file creation, and so on. This error can also appear if a `.cmi` file is modified after its creation by the compiler. Fix: remove the corrupted `.cmi` file, and rebuild it.

### This expression has type $t_1$ , but is used with type $t_2$

This is by far the most common type error in programs. Type  $t_1$  is the type inferred for the expression (the part of the program that is displayed in the error message), by looking at the expression itself. Type  $t_2$  is the type expected by the context of the expression; it is deduced by looking at how the value of this expression is used in the rest of the program. If the two types  $t_1$  and  $t_2$  are not compatible, then the error above is produced.

In some cases, it is hard to understand why the two types  $t_1$  and  $t_2$  are incompatible. For instance, the compiler can report that “expression of type `foo` cannot be used with type `foo`”, and it really seems that the two types `foo` are compatible. This is not always true. Two type constructors can have the same name, but actually represent different types. This can happen if a type constructor is redefined. Example:

```
type foo = A | B
let f = function A -> 0 | B -> 1
type foo = C | D
f C
```

This results in the error message “expression `C` of type `foo` cannot be used with type `foo`”.

### The type of this expression, $t$ , contains type variables that cannot be generalized

Type variables (`'a`, `'b`, ...) in a type  $t$  can be in either of two states: generalized (which means that the type  $t$  is valid for all possible instantiations of the variables) and not generalized (which means that the type  $t$  is valid only for one instantiation of the variables). In a `let` binding `let name = expr`, the type-checker normally generalizes as many type variables as possible in the type of *expr*. However, this leads to unsoundness (a well-typed program can crash) in conjunction with polymorphic mutable data structures. To avoid this, generalization is performed at `let` bindings only if the bound expression *expr* belongs to the class of “syntactic values”, which includes constants, identifiers, functions, tuples of syntactic values, etc. In all other cases (for instance, *expr* is a function application), a polymorphic mutable could

have been created and generalization is therefore turned off for all variables occurring in contravariant or non-variant branches of the type. For instance, if the type of a non-value is `'a list` the variable is generalizable (`list` is a covariant type constructor), but not in `'a list -> 'a list` (the left branch of `->` is contravariant) or `'a ref` (`ref` is non-variant).

Non-generalized type variables in a type cause no difficulties inside a given structure or compilation unit (the contents of a `.ml` file, or an interactive session), but they cannot be allowed inside signatures nor in compiled interfaces (`.cmi` file), because they could be used inconsistently later. Therefore, the compiler flags an error when a structure or compilation unit defines a value *name* whose type contains non-generalized type variables. There are two ways to fix this error:

- Add a type constraint or a `.mli` file to give a monomorphic type (without type variables) to *name*. For instance, instead of writing

```
let sort_int_list = List.sort Stdlib.compare
(* inferred type 'a list -> 'a list, with 'a not generalized *)
```

write

```
let sort_int_list = (List.sort Stdlib.compare : int list -> int list);;
```

- If you really need *name* to have a polymorphic type, turn its defining expression into a function by adding an extra parameter. For instance, instead of writing

```
let map_length = List.map Array.length
(* inferred type 'a array list -> int list, with 'a not generalized *)
```

write

```
let map_length lv = List.map Array.length lv
```

### Reference to undefined global *mod*

This error appears when trying to link an incomplete or incorrectly ordered set of files. Either you have forgotten to provide an implementation for the compilation unit named *mod* on the command line (typically, the file named *mod.cmo*, or a library containing that file). Fix: add the missing `.ml` or `.cmo` file to the command line. Or, you have provided an implementation for the module named *mod*, but it comes too late on the command line: the implementation of *mod* must come before all bytecode object files that reference *mod*. Fix: change the order of `.ml` and `.cmo` files on the command line.

Of course, you will always encounter this error if you have mutually recursive functions across modules. That is, function `Mod1.f` calls function `Mod2.g`, and function `Mod2.g` calls function `Mod1.f`. In this case, no matter what permutations you perform on the command line, the program will be rejected at link-time. Fixes:

- Put `f` and `g` in the same module.
- Parameterize one function by the other. That is, instead of having

```
mod1.ml:    let f x = ... Mod2.g ...
mod2.ml:    let g y = ... Mod1.f ...
```

define

```
mod1.ml:    let f g x = ... g ...
mod2.ml:    let rec g y = ... Mod1.f g ...
```

and link `mod1.cmo` before `mod2.cmo`.

- Use a reference to hold one of the two functions, as in :

```
mod1.ml:    let forward_g =
              ref((fun x -> failwith "forward_g") : <type>)
              let f x = ... !forward_g ...
mod2.ml:    let g y = ... Mod1.f ...
              let _ = Mod1.forward_g := g
```

### The external function *f* is not available

This error appears when trying to link code that calls external functions written in C. As explained in chapter 22, such code must be linked with C libraries that implement the required *f* C function. If the C libraries in question are not shared libraries (DLLs), the code must be linked in “custom runtime” mode. Fix: add the required C libraries to the command line, and possibly the `-custom` option.

## 13.5 Warning reference

This section describes and explains in detail some warnings:

### 13.5.1 Warning 6: Label omitted in function application

OCaml supports `labels-omitted` full applications: if the function has a known arity, all the arguments are unlabeled, and their number matches the number of non-optional parameters, then labels are ignored and non-optional parameters are matched in their definition order. Optional arguments are defaulted.

```
let f ~x ~y = x + y
let test = f 2 3
```

```
> let test = f 2 3
> ^
```

```
> Warning 6 [labels-omitted]: labels x, y were omitted in the application of this function.
```

This support for `labels-omitted` application was introduced when labels were added to OCaml, to ease the progressive introduction of labels in a codebase. However, it has the downside of weakening the labeling discipline: if you use labels to prevent callers from mistakenly reordering two parameters of the same type, `labels-omitted` make this mistake possible again.

Warning 6 warns when `labels-omitted` applications are used, to discourage their use. When labels were introduced, this warning was not enabled by default, so users would use `labels-omitted` applications, often without noticing.

Over time, it has become idiomatic to enable this warning to avoid argument-order mistakes. The warning is now on by default, since OCaml 4.13. Labels-omitted applications are not recommended anymore, but users wishing to preserve this transitory style can disable the warning explicitly.

### 13.5.2 Warning 9: missing fields in a record pattern

When pattern matching on records, it can be useful to match only few fields of a record. Eliding fields can be done either implicitly or explicitly by ending the record pattern with `; _`. However, implicit field elision is at odd with pattern matching exhaustiveness checks. Enabling warning 9 prioritizes exhaustiveness checks over the convenience of implicit field elision and will warn on implicit field elision in record patterns. In particular, this warning can help to spot exhaustive record pattern that may need to be updated after the addition of new fields to a record type.

```
type 'a point = {x : 'a; y : 'a}
let dx { x } = x (* implicit field elision: trigger warning 9 *)
let dy { y; _ } = y (* explicit field elision: do not trigger warning 9 *)
```

### 13.5.3 Warning 52: fragile constant pattern

Some constructors, such as the exception constructors `Failure` and `Invalid_argument`, take as parameter a `string` value holding a text message intended for the user.

These text messages are usually not stable over time: call sites building these constructors may refine the message in a future version to make it more explicit, etc. Therefore, it is dangerous to match over the precise value of the message. For example, until OCaml 4.02, `Array.iter2` would raise the exception

```
Invalid_argument "arrays must have the same length"
```

Since 4.03 it raises the more helpful message

```
Invalid_argument "Array.iter2: arrays must have the same length"
```

but this means that any code of the form

```
try ...
with Invalid_argument "arrays must have the same length" -> ...
```

is now broken and may suffer from uncaught exceptions.

Warning 52 is there to prevent users from writing such fragile code in the first place. It does not occur on every matching on a literal string, but only in the case in which library authors expressed their intent to possibly change the constructor parameter value in the future, by using the attribute `ocaml.warn_on_literal_pattern` (see the manual section on builtin attributes in [12.12.1](#)):

```
type t =
  | Foo of string [@ocaml.warn_on_literal_pattern]
  | Bar of string
```

```
let no_warning = function
  | Bar "specific value" -> 0
```

```
| _ -> 1
```

```
let warning = function
| Foo "specific value" -> 0
| _ -> 1
```

*Warning 52 [fragile-literal-pattern]: Code should not depend on the actual values of this constructor's arguments. They are only for information and may change in future versions. (see manual section 13.5.3)*

In particular, all built-in exceptions with a string argument have this attribute set: `Invalid_argument`, `Failure`, `Sys_error` will all raise this warning if you match for a specific string argument.

Additionally, built-in exceptions with a structured argument that includes a string also have the attribute set: `Assert_failure` and `Match_failure` will raise the warning for a pattern that uses a literal string to match the first element of their tuple argument.

If your code raises this warning, you should *not* change the way you test for the specific string to avoid the warning (for example using a string equality inside the right-hand-side instead of a literal pattern), as your code would remain fragile. You should instead enlarge the scope of the pattern by matching on all possible values.

```
let warning = function
| Foo _ -> 0
| _ -> 1
```

This may require some care: if the scrutinee may return several different cases of the same pattern, or raise distinct instances of the same exception, you may need to modify your code to separate those several cases.

For example,

```
try (int_of_string count_str, bool_of_string choice_str) with
| Failure "int_of_string" -> (0, true)
| Failure "bool_of_string" -> (-1, false)
```

should be rewritten into more atomic tests. For example, using the `exception` patterns documented in Section [11.6.1](#), one can write:

```
match int_of_string count_str with
| exception (Failure _) -> (0, true)
| count ->
  begin match bool_of_string choice_str with
  | exception (Failure _) -> (-1, false)
  | choice -> (count, choice)
  end
```

The only case where that transformation is not possible is if a given function call may raise distinct exceptions with the same constructor but different string values. In this case, you will have to check for specific string values. This is dangerous API design and it should be discouraged: it's better to define more precise exception constructors than store useful information in strings.

### 13.5.4 Warning 57: Ambiguous or-pattern variables under guard

The semantics of or-patterns in OCaml is specified with a left-to-right bias: a value  $v$  matches the pattern  $p \mid q$  if it matches  $p$  or  $q$ , but if it matches both, the environment captured by the match is the environment captured by  $p$ , never the one captured by  $q$ .

While this property is generally intuitive, there is at least one specific case where a different semantics might be expected. Consider a pattern followed by a when-guard:  $\mid p \text{ when } g \rightarrow e$ , for example:

```
  | ((Const x, _) | (_, Const x)) when is_neutral x -> branch
```

The semantics is clear: match the scrutinee against the pattern, if it matches, test the guard, and if the guard passes, take the branch. In particular, consider the input  $(\text{Const } a, \text{Const } b)$ , where  $a$  fails the test `is_neutral a`, while  $b$  passes the test `is_neutral b`. With the left-to-right semantics, the clause above is *not* taken by its input: matching  $(\text{Const } a, \text{Const } b)$  against the or-pattern succeeds in the left branch, it returns the environment  $x \rightarrow a$ , and then the guard `is_neutral a` is tested and fails, the branch is not taken.

However, another semantics may be considered more natural here: any pair that has one side passing the test will take the branch. With this semantics the previous code fragment would be equivalent to

```
  | (Const x, _) when is_neutral x -> branch
  | (_, Const x) when is_neutral x -> branch
```

This is *not* the semantics adopted by OCaml.

Warning 57 is dedicated to these confusing cases where the specified left-to-right semantics is not equivalent to a non-deterministic semantics (any branch can be taken) relatively to a specific guard. More precisely, it warns when guard uses “ambiguous” variables, that are bound to different parts of the scrutinees by different sides of a or-pattern.





## Chapter 14

# The toplevel system or REPL (ocaml)

This chapter describes the toplevel system for OCaml, that permits interactive use of the OCaml system through a read-eval-print loop (REPL). In this mode, the system repeatedly reads OCaml phrases from the input, then typechecks, compile and evaluate them, then prints the inferred type and result value, if any. The system prints a # (sharp) prompt before reading each phrase.

Input to the toplevel can span several lines. It is terminated by `;;` (a double-semicolon). The toplevel input consists in one or several toplevel phrases, with the following syntax:

```
toplevel-input ::= {definition}+ ;;
                |  expr ;;
                |  # ident [directive-argument] ;;

directive-argument ::= string-literal
                    |  integer-literal
                    |  value-path
                    |  true | false
```

A phrase can consist of a definition, like those found in implementations of compilation units or in `struct...end` module expressions. The definition can bind value names, type names, an exception, a module name, or a module type name. The toplevel system performs the bindings, then prints the types and values (if any) for the names thus defined.

A phrase may also consist in a value expression (section 11.7). It is simply evaluated without performing any bindings, and its value is printed.

Finally, a phrase can also consist in a toplevel directive, starting with # (the sharp sign). These directives control the behavior of the toplevel; they are listed below in section 14.2.

### Unix:

The toplevel system is started by the command `ocaml`, as follows:

```
ocaml options objects          # interactive mode
ocaml options objects scriptfile # script mode
```

*options* are described below. *objects* are filenames ending in `.cmo` or `.cma`; they are loaded into the interpreter immediately after *options* are set. *scriptfile* is any file name not ending in `.cmo` or `.cma`.

If no *scriptfile* is given on the command line, the toplevel system enters interactive mode: phrases are read on standard input, results are printed on standard output, errors on standard error. End-of-file on standard input terminates `ocaml` (see also the `#quit` directive in section 14.2).

On start-up (before the first phrase is read), if the file `.ocamlinit` exists in the current directory, its contents are read as a sequence of OCaml phrases and executed as per the `#use` directive described in section 14.2. The evaluation outcode for each phrase are not displayed. If the current directory does not contain an `.ocamlinit` file, the file `XDG_CONFIG_HOME/ocaml/init.ml` is looked up according to the XDG base directory specification and used instead (on Windows this is skipped). If that file doesn't exist then an `[.ocamlinit]` file in the users' home directory (determined via environment variable `HOME`) is used if existing.

The toplevel system does not perform line editing, but it can easily be used in conjunction with an external line editor such as `ledit`, or `rlwrap`. An improved toplevel, `utop`, is also available. Another option is to use `ocaml` under Gnu Emacs, which gives the full editing power of Emacs (command `run-caml` from library `inf-caml`).

At any point, the parsing, compilation or evaluation of the current phrase can be interrupted by pressing `ctrl-C` (or, more precisely, by sending the `INTR` signal to the `ocaml` process). The toplevel then immediately returns to the `#` prompt.

If *scriptfile* is given on the command-line to `ocaml`, the toplevel system enters script mode: the contents of the file are read as a sequence of OCaml phrases and executed, as per the `#use` directive (section 14.2). The outcome of the evaluation is not printed. On reaching the end of file, the `ocaml` command exits immediately. No commands are read from standard input. `Sys.argv` is transformed, ignoring all OCaml parameters, and starting with the script file name in `Sys.argv.(0)`.

In script mode, the first line of the script is ignored if it starts with `#!`. Thus, it should be possible to make the script itself executable and put as first line `#!/usr/local/bin/ocaml`, thus calling the toplevel system automatically when the script is run. However, `ocaml` itself is a `#!` script on most installations of OCaml, and Unix kernels usually do not handle nested `#!` scripts. A better solution is to put the following as the first line of the script:

```
#!/usr/local/bin/ocamlrun /usr/local/bin/ocaml
```

## 14.1 Options

The following command-line options are recognized by the `ocaml` command.

**-absname**

Force error messages to show absolute paths for file names.

**-no-absname**

Do not try to show absolute filenames in error messages.

**-args *filename***

Read additional newline-terminated command line arguments from *filename*. It is not possible to pass a *scriptfile* via file to the toplevel.

**-args0 *filename***

Read additional null character terminated command line arguments from *filename*. It is not possible to pass a *scriptfile* via file to the toplevel.

**-I *directory***

Add the given directory to the list of directories searched for source and compiled files. By default, the current directory is searched first, then the standard library directory. Directories added with **-I** are searched after the current directory, in the order in which they were given on the command line, but before the standard library directory. See also option **-nostdlib**.

If the given directory starts with **+**, it is taken relative to the standard library directory. For instance, **-I +unix** adds the subdirectory **unix** of the standard library to the search path.

Directories can also be added to the list once the toplevel is running with the **#directory** directive (section 14.2).

**-init *file***

Load the given file instead of the default initialization file. The default file is **.ocamlinit** in the current directory if it exists, otherwise **XDG\_CONFIG\_HOME/ocaml/init.ml** or **.ocamlinit** in the user's home directory.

**-labels**

Labels are not ignored in types, labels may be used in applications, and labelled parameters can be given in any order. This is the default.

**-no-app-funct**

Deactivates the applicative behaviour of functors. With this option, each functor application generates new types in its result and applying the same functor twice to the same argument yields two incompatible structures.

**-noassert**

Do not compile assertion checks. Note that the special form **assert false** is always compiled because it is typed specially.

**-nolabels**

Ignore non-optional labels in types. Labels cannot be used in applications, and parameter order becomes strict.

**-noprompt**

Do not display any prompt when waiting for input.

**-nopromptcont**

Do not display the secondary prompt when waiting for continuation lines in multi-line inputs. This should be used e.g. when running **ocaml** in an **emacs** window.

**-nostdlib**

Do not include the standard library directory in the list of directories searched for source and compiled files.

**-ppx *command***

After parsing, pipe the abstract syntax tree through the preprocessor *command*. The module `Ast_mapper`, described in section 29.1, implements the external interface of a preprocessor.

**-principal**

Check information path during type-checking, to make sure that all types are derived in a principal way. When using labelled arguments and/or polymorphic methods, this flag is required to ensure future versions of the compiler will be able to infer types correctly, even if internal algorithms change. All programs accepted in **-principal** mode are also accepted in the default mode with equivalent types, but different binary signatures, and this may slow down type checking; yet it is a good idea to use it once before publishing source code.

**-rectypes**

Allow arbitrary recursive types during type-checking. By default, only recursive types where the recursion goes through an object type are supported.

**-safe-string**

Enforce the separation between types `string` and `bytes`, thereby making strings read-only. This is the default, and enforced since OCaml 5.0.

**-safer-matching**

Do not use type information to optimize pattern-matching. This allows to detect match failures even if a pattern-matching was wrongly assumed to be exhaustive. This only impacts GADT and polymorphic variant compilation.

**-short-paths**

When a type is visible under several module-paths, use the shortest one when printing the type's name in inferred interfaces and error and warning messages. Identifier names starting with an underscore `_` or containing double underscores `__` incur a penalty of +10 when computing their length.

**-stdin**

Read the standard input as a script file rather than starting an interactive session.

**-strict-sequence**

Force the left-hand part of each sequence to have type unit.

**-strict-formats**

Reject invalid formats that were accepted in legacy format implementations. You should use this flag to detect and fix such invalid formats, as they will be rejected by future OCaml versions.

**-unsafe**

Turn bound checking off for array and string accesses (the `v.(i)` and `s.[i]` constructs). Programs compiled with **-unsafe** are therefore faster, but unsafe: anything can happen if the program accesses an array or string outside of its bounds.

**-unsafe-string**

Identify the types `string` and `bytes`, thereby making strings writable. This is intended for

compatibility with old source code and should not be used with new software. This option raises an error unconditionally since OCaml 5.0.

**-v** Print the version number of the compiler and the location of the standard library directory, then exit.

**-verbose**

Print all external commands before they are executed, Useful to debug C library problems.

**-version**

Print version string and exit.

**-vnum**

Print short version number and exit.

**-no-version**

Do not print the version banner at startup.

**-w *warning-list***

Enable, disable, or mark as fatal the warnings specified by the argument *warning-list*. Each warning can be *enabled* or *disabled*, and each warning can be *fatal* or *non-fatal*. If a warning is disabled, it isn't displayed and doesn't affect compilation in any way (even if it is fatal). If a warning is enabled, it is displayed normally by the compiler whenever the source code triggers it. If it is enabled and fatal, the compiler will also stop with an error after displaying it.

The *warning-list* argument is a sequence of warning specifiers, with no separators between them. A warning specifier is one of the following:

**+*num***

Enable warning number *num*.

**-*num***

Disable warning number *num*.

**@*num***

Enable and mark as fatal warning number *num*.

**+*num1*..*num2***

Enable warnings in the given range.

**-*num1*..*num2***

Disable warnings in the given range.

**@*num1*..*num2***

Enable and mark as fatal warnings in the given range.

**+*letter***

Enable the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.

**-*letter***

Disable the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.

**@letter**

Enable and mark as fatal the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.

**uppercase-letter**

Enable the set of warnings corresponding to *uppercase-letter*.

**lowercase-letter**

Disable the set of warnings corresponding to *lowercase-letter*.

Alternatively, *warning-list* can specify a single warning using its mnemonic name (see below), as follows:

**+name**

Enable warning *name*.

**-name**

Disable warning *name*.

**@name**

Enable and mark as fatal warning *name*.

Warning numbers, letters and names which are not currently defined are ignored. The warnings are as follows (the name following each number specifies the mnemonic for that warning).

**1 comment-start**

Suspicious-looking start-of-comment mark.

**2 comment-not-end**

Suspicious-looking end-of-comment mark.

**3**   Deprecated synonym for the 'deprecated' alert.**4 fragile-match**

Fragile pattern matching: matching that will remain complete even if additional constructors are added to one of the variant types matched.

**5 ignored-partial-application**

Partially applied function: expression whose result has function type and is ignored.

**6 labels-omitted**

Label omitted in function application.

**7 method-override**

Method overridden.

**8 partial-match**

Partial match: missing cases in pattern-matching.

**9 missing-record-field-pattern**

Missing fields in a record pattern.

**10 non-unit-statement**

Expression on the left-hand side of a sequence that doesn't have type `unit` (and that is not a function, see warning number 5).

- 11 redundant-case**  
Redundant case in a pattern matching (unused match case).
- 12 redundant-subpat**  
Redundant sub-pattern in a pattern-matching.
- 13 instance-variable-override**  
Instance variable overridden.
- 14 illegal-backslash**  
Illegal backslash escape in a string constant.
- 15 implicit-public-methods**  
Private method made public implicitly.
- 16 unerasable-optional-argument**  
Unerasable optional argument.
- 17 undeclared-virtual-method**  
Undeclared virtual method.
- 18 not-principal**  
Non-principal type.
- 19 non-principal-labels**  
Type without principality.
- 20 ignored-extra-argument**  
Unused function argument.
- 21 nonreturning-statement**  
Non-returning statement.
- 22 preprocessor**  
Preprocessor warning.
- 23 useless-record-with**  
Useless record with clause.
- 24 bad-module-name**  
Bad module name: the source file name is not a valid OCaml module name.
- 25 Ignored: now part of warning 8.**
- 26 unused-var**  
Suspicious unused variable: unused variable that is bound with `let` or `as`, and doesn't start with an underscore (`_`) character.
- 27 unused-var-strict**  
Innocuous unused variable: unused variable that is not bound with `let` nor `as`, and doesn't start with an underscore (`_`) character.
- 28 wildcard-arg-to-constant-constr**  
Wildcard pattern given as argument to a constant constructor.
- 29 eol-in-string**  
Unescaped end-of-line in a string constant (non-portable code).

**30 duplicate-definitions**

Two labels or constructors of the same name are defined in two mutually recursive types.

**31 module-linked-twice**

A module is linked twice in the same executable.

**I** ignored: now a hard error (since 5.1).

**32 unused-value-declaration**

Unused value declaration. (since 4.00)

**33 unused-open**

Unused open statement. (since 4.00)

**34 unused-type-declaration**

Unused type declaration. (since 4.00)

**35 unused-for-index**

Unused for-loop index. (since 4.00)

**36 unused-ancestor**

Unused ancestor variable. (since 4.00)

**37 unused-constructor**

Unused constructor. (since 4.00)

**38 unused-extension**

Unused extension constructor. (since 4.00)

**39 unused-rec-flag**

Unused rec flag. (since 4.00)

**40 name-out-of-scope**

Constructor or label name used out of scope. (since 4.01)

**41 ambiguous-name**

Ambiguous constructor or label name. (since 4.01)

**42 disambiguated-name**

Disambiguated constructor or label name (compatibility warning). (since 4.01)

**43 nonoptional-label**

Nonoptional label applied as optional. (since 4.01)

**44 open-shadow-identifier**

Open statement shadows an already defined identifier. (since 4.01)

**45 open-shadow-label-constructor**

Open statement shadows an already defined label or constructor. (since 4.01)

**46 bad-env-variable**

Error in environment variable. (since 4.01)

**47 attribute-payload**

Illegal attribute payload. (since 4.02)

**48 eliminated-optional-arguments**

Implicit elimination of optional arguments. (since 4.02)



- 49 no-cmi-file**  
Absent cmi file when looking up module alias. (since 4.02)
- 50 unexpected-docstring**  
Unexpected documentation comment. (since 4.03)
- 51 wrong-tailcall-expectation**  
Function call annotated with an incorrect `@tailcall` attribute. (since 4.03)
- 52 fragile-literal-pattern** (see [13.5.3](#))  
Fragile constant pattern. (since 4.03)
- 53 misplaced-attribute**  
Attribute cannot appear in this context. (since 4.03)
- 54 duplicated-attribute**  
Attribute used more than once on an expression. (since 4.03)
- 55 inlining-impossible**  
Inlining impossible. (since 4.03)
- 56 unreachable-case**  
Unreachable case in a pattern-matching (based on type information). (since 4.03)
- 57 ambiguous-var-in-pattern-guard** (see [13.5.4](#))  
Ambiguous or-pattern variables under guard. (since 4.03)
- 58 no-cmx-file**  
Missing cmx file. (since 4.03)
- 59 flambda-assignment-to-non-mutable-value**  
Assignment to non-mutable value. (since 4.03)
- 60 unused-module**  
Unused module declaration. (since 4.04)
- 61 unboxable-type-in-prim-decl**  
Unboxable type in primitive declaration. (since 4.04)
- 62 constraint-on-gadt**  
Type constraint on GADT type declaration. (since 4.06)
- 63 erroneous-printed-signature**  
Erroneous printed signature. (since 4.08)
- 64 unsafe-array-syntax-without-parsing**  
-unsafe used with a preprocessor returning a syntax tree. (since 4.08)
- 65 redefining-unit**  
Type declaration defining a new `'()` constructor. (since 4.08)
- 66 unused-open-bang**  
Unused `open!` statement. (since 4.08)
- 67 unused-functor-parameter**  
Unused functor parameter. (since 4.10)
- 68 match-on-mutable-state-prevent-uncurry**  
Pattern-matching depending on mutable state prevents the remaining arguments from being uncurried. (since 4.12)

**69 unused-field**

Unused record field. (since 4.13)

**70 missing-mli**

Missing interface file. (since 4.13)

**71 unused-tmc-attribute**

Unused @tail\_mod\_cons attribute. (since 4.14)

**72 tmc-breaks-tailcall**

A tail call is turned into a non-tail call by the @tail\_mod\_cons transformation. (since 4.14)

**73 generative-application-expects-unit**

A generative functor is applied to an empty structure (struct end) rather than to (). (since 5.1)

**A** all warnings

**C** warnings 1, 2.

**D** Alias for warning 3.

**E** Alias for warning 4.

**F** Alias for warning 5.

**K** warnings 32, 33, 34, 35, 36, 37, 38, 39.

**L** Alias for warning 6.

**M** Alias for warning 7.

**P** Alias for warning 8.

**R** Alias for warning 9.

**S** Alias for warning 10.

**U** warnings 11, 12.

**V** Alias for warning 13.

**X** warnings 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 30.

**Y** Alias for warning 26.

**Z** Alias for warning 27.

The default setting is `-w +a-4-6-7-9-27-29-32..42-44-45-48-50-60`. It is displayed by `-help`. Note that warnings 5 and 10 are not always triggered, depending on the internals of the type checker.

**-warn-error** *warning-list*

Mark as fatal the warnings specified in the argument *warning-list*. The compiler will stop with an error when one of these warnings is emitted. The *warning-list* has the same meaning as for the `-w` option: a `+` sign (or an uppercase letter) marks the corresponding warnings as fatal, a `-` sign (or a lowercase letter) turns them back into non-fatal warnings, and a `@` sign both enables and marks as fatal the corresponding warnings.

Note: it is not recommended to use warning sets (i.e. letters) as arguments to `-warn-error` in production code, because this can break your build when future versions of OCaml add some new warnings.

The default setting is `-warn-error -a` (no warning is fatal).

**-warn-help**

Show the description of all available warning numbers.

**- file**

Use *file* as a script file name, even when it starts with a hyphen (-).

**-help or --help**

Display a short usage summary and exit.

**Unix:**

The following environment variables are also consulted:

**OCAMLTOP\_INCLUDE\_PATH**

Additional directories to search for compiled object code files (`.cmi`, `.cmo` and `.cma`). The specified directories are considered from left to right, after the include directories specified on the command line via `-I` have been searched. Available since OCaml 4.08.

**OCAMLTOP\_UTF\_8**

When printing string values, non-ascii bytes (`> \0x7E`) are printed as decimal escape sequence if `OCAMLTOP_UTF_8` is set to false. Otherwise, they are printed unescaped.

**TERM**

When printing error messages, the toplevel system attempts to underline visually the location of the error. It consults the `TERM` variable to determine the type of output terminal and look up its capabilities in the terminal database.

**XDG\_CONFIG\_HOME, HOME**

`.ocamlinit` lookup procedure (see above).

## 14.2 Toplevel directives

The following directives control the toplevel behavior, load files in memory, and trace program execution.

**Note:** all directives start with a `#` (sharp) symbol. This `#` must be typed before the directive, and must not be confused with the `#` prompt displayed by the interactive loop. For instance, typing `#quit;;` will exit the toplevel loop, but typing `quit;;` will result in an “unbound value `quit`” error.

**General**

**#help;;**

Prints a list of all available directives, with corresponding argument type if appropriate.

**#quit;;**

Exit the toplevel loop and terminate the `ocaml` command.

## Loading codes

```
#cd "dir-name";;
    Change the current working directory.

#directory "dir-name";;
    Add the given directory to the list of directories searched for source and compiled files.

#remove_directory "dir-name";;
    Remove the given directory from the list of directories searched for source and compiled
    files. Do nothing if the list does not contain the given directory.

#load "file-name";;
    Load in memory a bytecode object file (.cmo file) or library file (.cma file) produced by
    the batch compiler ocamlc.

#load_rec "file-name";;
    Load in memory a bytecode object file (.cmo file) or library file (.cma file) produced by
    the batch compiler ocamlc. When loading an object file that depends on other modules
    which have not been loaded yet, the .cmo files for these modules are searched and loaded
    as well, recursively. The loading order is not specified.

#use "file-name";;
    Read, compile and execute source phrases from the given file. This is textual inclusion:
    phrases are processed just as if they were typed on standard input. The reading of the
    file stops at the first error encountered.

#use_output "command";;
    Execute a command and evaluate its output as if it had been captured to a file and
    passed to #use.

#mod_use "file-name";;
    Similar to #use but also wrap the code into a top-level module of the same name as
    capitalized file name without extensions, following semantics of the compiler.
```

For directives that take file names as arguments, if the given file name specifies no directory, the file is searched in the following directories:

1. In script mode, the directory containing the script currently executing; in interactive mode, the current working directory.
2. Directories added with the *#directory* directive.
3. Directories given on the command line with *-I* options.
4. The standard library directory.

## Environment queries

```
#show_class class-path;;
#show_class_type class-path;;
#show_exception ident;;
#show_module module-path;;
#show_module_type modtype-path;;
```

```
#show_type typeconstr;;
#show_val value-path;;
```

Print the signature of the corresponding component.

```
#show ident;;
```

Print the signatures of components with name *ident* in all the above categories.

## Pretty-printing

```
#install_printer printer-name;;
```

This directive registers the function named *printer-name* (a value path) as a printer for values whose types match the argument type of the function. That is, the toplevel loop will call *printer-name* when it has such a value to print.

The printing function *printer-name* should have type `Format.formatter -> t -> unit`, where *t* is the type for the values to be printed, and should output its textual representation for the value of type *t* on the given formatter, using the functions provided by the `Format` library. For backward compatibility, *printer-name* can also have type `t -> unit` and should then output on the standard formatter, but this usage is deprecated.

```
#print_depth n;;
```

Limit the printing of values to a maximal depth of *n*. The parts of values whose depth exceeds *n* are printed as ... (ellipsis).

```
#print_length n;;
```

Limit the number of value nodes printed to at most *n*. Remaining parts of values are printed as ... (ellipsis).

```
#remove_printer printer-name;;
```

Remove the named function from the table of toplevel printers.

## Tracing

```
#trace function-name;;
```

After executing this directive, all calls to the function named *function-name* will be “traced”. That is, the argument and the result are displayed for each call, as well as the exceptions escaping out of the function, raised either by the function itself or by another function it calls. If the function is curried, each argument is printed as it is passed to the function.

```
#untrace function-name;;
```

Stop tracing the given function.

```
#untrace_all;;
```

Stop tracing all functions traced so far.

## Compiler options

```
#debug bool;;
```

Turn on/off the insertion of debugging events. Default is `true`.

**#labels** *bool*;;

Ignore labels in function types if argument is **false**, or switch back to default behaviour (commuting style) if argument is **true**.

**#ppx** "*file-name*";;

After parsing, pipe the abstract syntax tree through the preprocessor command.

**#principal** *bool*;;

If the argument is **true**, check information paths during type-checking, to make sure that all types are derived in a principal way. If the argument is **false**, do not check information paths.

**#rectypes**;;

Allow arbitrary recursive types during type-checking. Note: once enabled, this option cannot be disabled because that would lead to unsoundness of the type system.

**#warn\_error** "*warning-list*";;

Treat as errors the warnings enabled by the argument and as normal warnings the warnings disabled by the argument.

**#warnings** "*warning-list*";;

Enable or disable warnings according to the argument.

### 14.3 The toplevel and the module system

Toplevel phrases can refer to identifiers defined in compilation units with the same mechanisms as for separately compiled units: either by using qualified names (**Module***name*.*localname*), or by using the **open** construct and unqualified names (see section 11.3).

However, before referencing another compilation unit, an implementation of that unit must be present in memory. At start-up, the toplevel system contains implementations for all the modules in the standard library. Implementations for user modules can be entered with the **#load** directive described above. Referencing a unit for which no implementation has been provided results in the error **Reference to undefined global `...'**.

Note that entering **open** *Mod* merely accesses the compiled interface (*.cmi* file) for *Mod*, but does not load the implementation of *Mod*, and does not cause any error if no implementation of *Mod* has been loaded. The error “reference to undefined global *Mod*” will occur only when executing a value or module definition that refers to *Mod*.

### 14.4 Common errors

This section describes and explains the most frequently encountered error messages.

#### Cannot find file *filename*

The named file could not be found in the current directory, nor in the directories of the search path.

If *filename* has the format *mod.cmi*, this means you have referenced the compilation unit *mod*, but its compiled interface could not be found. Fix: compile *mod.mli* or *mod.ml* first, to create the compiled interface *mod.cmi*.

If *filename* has the format *mod.cmo*, this means you are trying to load with `#load` a bytecode object file that does not exist yet. Fix: compile *mod.ml* first.

If your program spans several directories, this error can also appear because you haven't specified the directories to look into. Fix: use the `#directory` directive to add the correct directories to the search path.

**This expression has type  $t_1$ , but is used with type  $t_2$**

See section 13.4.

**Reference to undefined global *mod***

You have neglected to load in memory an implementation for a module with `#load`. See section 14.3 above.

## 14.5 Building custom toplevel systems: `ocamlmktop`

The `ocamlmktop` command builds OCaml toplevels that contain user code preloaded at start-up.

The `ocamlmktop` command takes as argument a set of `.cmo` and `.cma` files, and links them with the object files that implement the OCaml toplevel. The typical use is:

```
ocamlmktop -o mytoplevel foo.cmo bar.cmo gee.cmo
```

This creates the bytecode file `mytoplevel`, containing the OCaml toplevel system, plus the code from the three `.cmo` files. This toplevel is directly executable and is started by:

```
./mytoplevel
```

This enters a regular toplevel loop, except that the code from `foo.cmo`, `bar.cmo` and `gee.cmo` is already loaded in memory, just as if you had typed:

```
#load "foo.cmo";;
#load "bar.cmo";;
#load "gee.cmo";;
```

on entrance to the toplevel. The modules `Foo`, `Bar` and `Gee` are not opened, though; you still have to do

```
open Foo;;
```

yourself, if this is what you wish.

### 14.5.1 Options

The following command-line options are recognized by `ocamlmktop`.

`-cclib libname`

Pass the `-llibname` option to the C linker when linking in “custom runtime” mode. See the corresponding option for `ocamlc`, in chapter 13.

**-ccopt** *option*

Pass the given option to the C compiler and linker, when linking in “custom runtime” mode. See the corresponding option for `ocamlc`, in chapter 13.

**-custom**

Link in “custom runtime” mode. See the corresponding option for `ocamlc`, in chapter 13.

**-I** *directory*

Add the given directory to the list of directories searched for compiled object code files (`.cmo` and `.cma`).

**-o** *exec-file*

Specify the name of the toplevel file produced by the linker. The default is `a.out`.

## 14.6 The native toplevel: `ocamlnat` (experimental)

**This section describes a tool that is not yet officially supported but may be found useful.**

OCaml code executing in the traditional toplevel system uses the bytecode interpreter. When increased performance is required, or for testing programs that will only execute correctly when compiled to native code, the *native toplevel* may be used instead.

For the majority of installations the native toplevel will not have been installed along with the rest of the OCaml toolchain. In such circumstances it will be necessary to build the OCaml distribution from source. From the built source tree of the distribution you may use `make natruntop` to build and execute a native toplevel. (Alternatively `make ocamlnat` can be used, which just performs the build step.)

If the `make install` command is run after having built the native toplevel then the `ocamlnat` program (either from the source or the installation directory) may be invoked directly rather than using `make natruntop`.



## Chapter 15

# The runtime system (`ocamlrun`)

The `ocamlrun` command executes bytecode files produced by the linking phase of the `ocamlc` command.

### 15.1 Overview

The `ocamlrun` command comprises three main parts: the bytecode interpreter, that actually executes bytecode files; the memory allocator and garbage collector; and a set of C functions that implement primitive operations such as input/output.

The usage for `ocamlrun` is:

```
ocamlrun options bytecode-executable arg1 ... argn
```

The first non-option argument is taken to be the name of the file containing the executable bytecode. (That file is searched in the executable path as well as in the current directory.) The remaining arguments are passed to the OCaml program, in the string array `Sys.argv`. Element 0 of this array is the name of the bytecode executable file; elements 1 to  $n$  are the remaining arguments  $arg_1$  to  $arg_n$ .

As mentioned in chapter 13, the bytecode executable files produced by the `ocamlc` command are self-executable, and manage to launch the `ocamlrun` command on themselves automatically. That is, assuming `a.out` is a bytecode executable file,

```
a.out arg1 ... argn
```

works exactly as

```
ocamlrun a.out arg1 ... argn
```

Notice that it is not possible to pass options to `ocamlrun` when invoking `a.out` directly.

#### Windows:

Under several versions of Windows, bytecode executable files are self-executable only if their name ends in `.exe`. It is recommended to always give `.exe` names to bytecode executables, e.g. compile with `ocamlc -o myprog.exe ...` rather than `ocamlc -o myprog ....`

## 15.2 Options

The following command-line options are recognized by `ocamlrun`.

- b** When the program aborts due to an uncaught exception, print a detailed “back trace” of the execution, showing where the exception was raised and which function calls were outstanding at this point. The back trace is printed only if the bytecode executable contains debugging information, i.e. was compiled and linked with the `-g` option to `ocamlc` set. This is equivalent to setting the `b` flag in the `OCAMLRUNPARAM` environment variable (see below).
- config**  
Print the version number of `ocamlrun` and a detailed summary of its configuration, then exit.
- I *dir***  
Search the directory *dir* for dynamically-loaded libraries, in addition to the standard search path (see section 15.3).
- m** Print the magic number of the bytecode executable given as argument and exit.
- M** Print the magic number expected for bytecode executables by this version of the runtime and exit.
- p** Print the names of the primitives known to this version of `ocamlrun` and exit.
- t** Increments the trace level for the debug runtime (ignored otherwise).
- v** Direct the memory manager to print some progress messages on standard error. This is equivalent to setting `v=61` in the `OCAMLRUNPARAM` environment variable (see below).
- version**  
Print version string and exit.
- vnum**  
Print short version number and exit.

The following environment variables are also consulted:

### `CAML_LD_LIBRARY_PATH`

Additional directories to search for dynamically-loaded libraries (see section 15.3).

### `OCAMLLIB`

The directory containing the OCaml standard library. (If `OCAMLLIB` is not set, `CAMLLIB` will be used instead.) Used to locate the `ld.conf` configuration file for dynamic loading (see section 15.3). If not set, default to the library directory specified when compiling OCaml.

### `OCAMLRUNPARAM`

Set the runtime system options and garbage collection parameters. (If `OCAMLRUNPARAM` is not set, `CAMLRUNPARAM` will be used instead.) This variable must be a sequence of parameter specifications separated by commas. For convenience, commas at the beginning of the variable are ignored, and multiple runs of commas are interpreted as a single one. A parameter

specification is an option letter followed by an = sign, a decimal number (or an hexadecimal number prefixed by 0x), and an optional multiplier. The options are documented below; the options **a**, **i**, **l**, **m**, **M**, **n**, **o**, **O**, **s**, **v**, **w** correspond to the fields of the `control` record documented in section 28.23.

- b** (`backtrace`) Trigger the printing of a stack backtrace when an uncaught exception aborts the program. An optional argument can be provided: `b=0` turns backtrace printing off; `b=1` is equivalent to `b` and turns backtrace printing on; `b=2` turns backtrace printing on and forces the runtime system to load debugging information at program startup time instead of at backtrace printing time. `b=2` can be used if the runtime is unable to load debugging information at backtrace printing time, for example if there are no file descriptors available.
- c** (`cleanup_on_exit`) Shut the runtime down gracefully on exit (see `caml_shutdown` in section 22.7.5). The option also enables pooling (as in `caml_startup_pooled`). This mode can be used to detect leaks with a third-party memory debugger.
- e** (`runtime_events_log_wsize`) Size of the per-domain runtime events ring buffers in log powers of two words. Defaults to 16, giving 64k word or 512kb buffers on 64-bit systems.
- l** (`stack_limit`) The limit (in words) of the stack size. This is only relevant to the byte-code runtime, as the native code runtime uses the operating system's stack.
- m** (`custom_minor_ratio`) Bound on floating garbage for out-of-heap memory held by custom values in the minor heap. A minor GC is triggered when this much memory is held by custom values located in the minor heap. Expressed as a percentage of minor heap size. Default: 100. Note: this only applies to values allocated with `caml_alloc_custom_mem`.
- M** (`custom_major_ratio`) Target ratio of floating garbage to major heap size for out-of-heap memory held by custom values (e.g. bigarrays) located in the major heap. The GC speed is adjusted to try to use this much memory for dead values that are not yet collected. Expressed as a percentage of major heap size. Default: 44. Note: this only applies to values allocated with `caml_alloc_custom_mem`.
- n** (`custom_minor_max_size`) Maximum amount of out-of-heap memory for each custom value allocated in the minor heap. When a custom value is allocated on the minor heap and holds more than this many bytes, only this value is counted against `custom_minor_ratio` and the rest is directly counted against `custom_major_ratio`. Default: 8192 bytes. Note: this only applies to values allocated with `caml_alloc_custom_mem`.

The multiplier is **k**, **M**, or **G**, for multiplication by  $2^{10}$ ,  $2^{20}$ , and  $2^{30}$  respectively.

- o** (`space_overhead`) The major GC speed setting. See the Gc module documentation for details.
- p** (`parser trace`) Turn on debugging support for `ocamlyacc`-generated parsers. When this option is on, the pushdown automaton that executes the parsers prints a trace of its actions. This option takes no argument.
- R** (`randomize`) Turn on randomization of all hash tables by default (see section 28.24). This option takes no argument.
- s** (`minor_heap_size`) Size of the minor heap. (in words)

- t** Set the trace level for the debug runtime (ignored by the standard runtime).
- v** (**verbose**) What GC messages to print to stderr. This is a sum of values selected from the following:
- 1 (= 0x001)**  
Start and end of major GC cycle.
  - 2 (= 0x002)**  
Minor collection and major GC slice.
  - 4 (= 0x004)**  
Growing and shrinking of the heap.
  - 8 (= 0x008)**  
Resizing of stacks and memory manager tables.
  - 16 (= 0x010)**  
Heap compaction.
  - 32 (= 0x020)**  
Change of GC parameters.
  - 64 (= 0x040)**  
Computation of major GC slice size.
  - 128 (= 0x080)**  
Calling of finalization functions
  - 256 (= 0x100)**  
Startup messages (loading the bytecode executable file, resolving shared libraries).
  - 512 (= 0x200)**  
Computation of compaction-triggering condition.
  - 1024 (= 0x400)**  
Output GC statistics at program exit.
  - 2048 (= 0x800)**  
GC debugging messages.
  - 4096 (= 0x1000)**  
Address space reservation changes.
- V** (**verify\_heap**) runs an integrity check on the heap just after the completion of a major GC cycle
- W** Print runtime warnings to stderr (such as Channel opened on file dies without being closed, unflushed data, etc.)

If the option letter is not recognized, the whole parameter is ignored; if the equal sign or the number is missing, the value is taken as 1; if the multiplier is not recognized, it is ignored.

For example, on a 32-bit machine, under **bash** the command

```
export OCAMLRUNPARAM='b,s=256k,v=0x015'
```

tells a subsequent `ocamlrun` to print backtraces for uncaught exceptions, set its initial minor heap size to 1 megabyte and print a message at the start of each major GC cycle, when the heap size changes, and when compaction is triggered.

#### CAMLRUNPARAM

If `OCAMLRUNPARAM` is not found in the environment, then `CAMLRUNPARAM` will be used instead. If `CAMLRUNPARAM` is also not found, then the default values will be used.

#### PATH

List of directories searched to find the bytecode executable file.

## 15.3 Dynamic loading of shared libraries

On platforms that support dynamic loading, `ocamlrun` can link dynamically with C shared libraries (DLLs) providing additional C primitives beyond those provided by the standard runtime system. The names for these libraries are provided at link time as described in section 22.1.4), and recorded in the bytecode executable file; `ocamlrun`, then, locates these libraries and resolves references to their primitives when the bytecode executable program starts.

The `ocamlrun` command searches shared libraries in the following directories, in the order indicated:

1. Directories specified on the `ocamlrun` command line with the `-I` option.
2. Directories specified in the `CAML_LD_LIBRARY_PATH` environment variable.
3. Directories specified at link-time via the `-dllpath` option to `ocamlc`. (These directories are recorded in the bytecode executable file.)
4. Directories specified in the file `ld.conf`. This file resides in the OCaml standard library directory, and lists directory names (one per line) to be searched. Typically, it contains only one line naming the `stublibs` subdirectory of the OCaml standard library directory. Users can add there the names of other directories containing frequently-used shared libraries; however, for consistency of installation, we recommend that shared libraries are installed directly in the system `stublibs` directory, rather than adding lines to the `ld.conf` file.
5. Default directories searched by the system dynamic loader. Under Unix, these generally include `/lib` and `/usr/lib`, plus the directories listed in the file `/etc/ld.so.conf` and the environment variable `LD_LIBRARY_PATH`. Under Windows, these include the Windows system directories, plus the directories listed in the `PATH` environment variable.

## 15.4 Common errors

This section describes and explains the most frequently encountered error messages.

*filename*: no such file or directory

If *filename* is the name of a self-executable bytecode file, this means that either that file does not exist, or that it failed to run the `ocamlrun` bytecode interpreter on itself. The second possibility indicates that OCaml has not been properly installed on your system.

**Cannot exec ocamlrun**

(When launching a self-executable bytecode file.) The `ocamlrun` could not be found in the executable path. Check that OCaml has been properly installed on your system.

**Cannot find the bytecode file**

The file that `ocamlrun` is trying to execute (e.g. the file given as first non-option argument to `ocamlrun`) either does not exist, or is not a valid executable bytecode file.

**Truncated bytecode file**

The file that `ocamlrun` is trying to execute is not a valid executable bytecode file. Probably it has been truncated or mangled since created. Erase and rebuild it.

**Uncaught exception**

The program being executed contains a “stray” exception. That is, it raises an exception at some point, and this exception is never caught. This causes immediate termination of the program. The name of the exception is printed, along with its string, byte sequence, and integer arguments (arguments of more complex types are not correctly printed). To locate the context of the uncaught exception, compile the program with the `-g` option and either run it again under the `ocamldebug` debugger (see chapter 20), or run it with `ocamlrun -b` or with the `OCAMLRUNPARAM` environment variable set to `b=1`.

**Out of memory**

The program being executed requires more memory than available. Either the program builds excessively large data structures; or the program contains too many nested function calls, and the stack overflows. In some cases, your program is perfectly correct, it just requires more memory than your machine provides. In other cases, the “out of memory” message reveals an error in your program: non-terminating recursive function, allocation of an excessively large array, string or byte sequence, attempts to build an infinite list or other data structure, ...

To help you diagnose this error, run your program with the `-v` option to `ocamlrun`, or with the `OCAMLRUNPARAM` environment variable set to `v=63`. If it displays lots of “**Growing stack...**” messages, this is probably a looping recursive function. If it displays lots of “**Growing heap...**” messages, with the heap size growing slowly, this is probably an attempt to construct a data structure with too many (infinitely many?) cells. If it displays few “**Growing heap...**” messages, but with a huge increment in the heap size, this is probably an attempt to build an excessively large array, string or byte sequence.

## Chapter 16

# Native-code compilation (`ocamlopt`)

This chapter describes the OCaml high-performance native-code compiler `ocamlopt`, which compiles OCaml source files to native code object files and links these object files to produce standalone executables.

The native-code compiler is only available on certain platforms. It produces code that runs faster than the bytecode produced by `ocamlc`, at the cost of increased compilation time and executable code size. Compatibility with the bytecode compiler is extremely high: the same source code should run identically when compiled with `ocamlc` and `ocamlopt`.

It is not possible to mix native-code object files produced by `ocamlopt` with bytecode object files produced by `ocamlc`: a program must be compiled entirely with `ocamlopt` or entirely with `ocamlc`. Native-code object files produced by `ocamlopt` cannot be loaded in the toplevel system `ocaml`.

### 16.1 Overview of the compiler

The `ocamlopt` command has a command-line interface very close to that of `ocamlc`. It accepts the same types of arguments, and processes them sequentially, after all options have been processed:

- Arguments ending in `.mli` are taken to be source files for compilation unit interfaces. Interfaces specify the names exported by compilation units: they declare value names with their types, define public data types, declare abstract data types, and so on. From the file `x.mli`, the `ocamlopt` compiler produces a compiled interface in the file `x.cmi`. The interface produced is identical to that produced by the bytecode compiler `ocamlc`.
- Arguments ending in `.ml` are taken to be source files for compilation unit implementations. Implementations provide definitions for the names exported by the unit, and also contain expressions to be evaluated for their side-effects. From the file `x.ml`, the `ocamlopt` compiler produces two files: `x.o`, containing native object code, and `x.cmx`, containing extra information for linking and optimization of the clients of the unit. The compiled implementation should always be referred to under the name `x.cmx` (when given a `.o` or `.obj` file, `ocamlopt` assumes that it contains code compiled from C, not from OCaml).

The implementation is checked against the interface file `x.mli` (if it exists) as described in the manual for `ocamlc` (chapter 13).

- Arguments ending in `.cmx` are taken to be compiled object code. These files are linked together, along with the object files obtained by compiling `.ml` arguments (if any), and the OCaml standard library, to produce a native-code executable program. The order in which `.cmx` and `.ml` arguments are presented on the command line is relevant: compilation units are initialized in that order at run-time, and it is a link-time error to use a component of a unit before having initialized it. Hence, a given `x.cmx` file must come before all `.cmx` files that refer to the unit `x`.
- Arguments ending in `.cmxa` are taken to be libraries of object code. Such a library packs in two files (`lib.cmx` and `lib.a/.lib`) a set of object files (`.cmx` and `.o/.obj` files). Libraries are built with `ocamlopt -a` (see the description of the `-a` option below). The object files contained in the library are linked as regular `.cmx` files (see above), in the order specified when the library was built. The only difference is that if an object file contained in a library is not referenced anywhere in the program, then it is not linked in.
- Arguments ending in `.c` are passed to the C compiler, which generates a `.o/.obj` object file. This object file is linked with the program.
- Arguments ending in `.o`, `.a` or `.so` (`.obj`, `.lib` and `.dll` under Windows) are assumed to be C object files and libraries. They are linked with the program.

The output of the linking phase is a regular Unix or Windows executable file. It does not need `ocamlrun` to run.

The compiler is able to emit some information on its internal stages:

- `.cmt` files for the implementation of the compilation unit and `.cmti` for signatures if the option `-bin-annot` is passed to it (see the description of `-bin-annot` below). Each such file contains a typed abstract syntax tree (AST), that is produced during the type checking procedure. This tree contains all available information about the location and the specific type of each term in the source file. The AST is partial if type checking was unsuccessful.

These `.cmt` and `.cmti` files are typically useful for code inspection tools.

- `.cmir-linear` files for the implementation of the compilation unit if the option `-save-ir-after scheduling` is passed to it. Each such file contains a low-level intermediate representation, produced by the instruction scheduling pass.

An external tool can perform low-level optimisations, such as code layout, by transforming a `.cmir-linear` file. To continue compilation, the compiler can be invoked with (a possibly modified) `.cmir-linear` file as an argument, instead of the corresponding source file.

## 16.2 Options

The following command-line options are recognized by `ocamlopt`. The options `-pack`, `-a`, `-shared`, `-c`, `-output-obj` and `-output-complete-obj` are mutually exclusive.

- `-a` Build a library (`.cmxa` and `.a/.lib` files) with the object files (`.cmx` and `.o/.obj` files) given on the command line, instead of linking them into an executable file. The name of the library must be set with the `-o` option.



If `-cclib` or `-ccopt` options are passed on the command line, these options are stored in the resulting `.cmxalibrary`. Then, linking with this library automatically adds back the `-cclib` and `-ccopt` options as if they had been provided on the command line, unless the `-noautolink` option is given.

**-absname**

Force error messages to show absolute paths for file names.

**-no-absname**

Do not try to show absolute filenames in error messages.

**-annot**

Deprecated since OCaml 4.11. Please use `-bin-annot` instead.

**-args *filename***

Read additional newline-terminated command line arguments from *filename*.

**-args0 *filename***

Read additional null character terminated command line arguments from *filename*.

**-bin-annot**

Dump detailed information about the compilation (types, bindings, tail-calls, etc) in binary format. The information for file *src.ml* (resp. *src.mli*) is put into file *src.cmt* (resp. *src.cmti*). In case of a type error, dump all the information inferred by the type-checker before the error. The *\*.cmt* and *\*.cmti* files produced by `-bin-annot` contain more information and are much more compact than the files produced by `-annot`.

**-c** Compile only. Suppress the linking phase of the compilation. Source code files are turned into compiled files, but no executable file is produced. This option is useful to compile modules separately.

**-cc *ccomp***

Use *ccomp* as the C linker called to build the final executable and as the C compiler for compiling *.c* source files. When linking object files produced by a C++ compiler (such as `g++` or `clang++`), it is recommended to use `-cc c++`.

**-cclib *-llibname***

Pass the *-llibname* option to the linker. This causes the given C library to be linked with the program.

**-ccopt *option***

Pass the given option to the C compiler and linker. For instance, `-ccopt -Ldir` causes the C linker to search for C libraries in directory *dir*.

**-cmi-file *filename***

Use the given interface file to type-check the ML source file to compile. When this option is not specified, the compiler looks for a *.mli* file with the same base name than the implementation it is compiling and in the same directory. If such a file is found, the compiler looks for a corresponding *.cmi* file in the included directories and reports an error if it fails to find one.

**-color** *mode*

Enable or disable colors in compiler messages (especially warnings and errors). The following modes are supported:

**auto**

use heuristics to enable colors only if the output supports them (an ANSI-compatible tty terminal);

**always**

enable colors unconditionally;

**never**

disable color output.

The environment variable `OCAML_COLOR` is considered if `-color` is not provided. Its values are `auto/always/never` as above.

If `-color` is not provided, `OCAML_COLOR` is not set and the environment variable `NO_COLOR` is set, then color output is disabled. Otherwise, the default setting is `'auto'`, and the current heuristic checks that the `TERM` environment variable exists and is not empty or `dumb`, and that `'isatty(stderr)'` holds.

**-error-style** *mode*

Control the way error messages and warnings are printed. The following modes are supported:

**short**

only print the error and its location;

**contextual**

like `short`, but also display the source code snippet corresponding to the location of the error.

The default setting is `contextual`.

The environment variable `OCAML_ERROR_STYLE` is considered if `-error-style` is not provided. Its values are `short/contextual` as above.

**-compact**

Optimize the produced code for space rather than for time. This results in slightly smaller but slightly slower programs. The default is to optimize for speed.

**-config**

Print the version number of `ocamlopt` and a detailed summary of its configuration, then exit.

**-config-var** *var*

Print the value of a specific configuration variable from the `-config` output, then exit. If the variable does not exist, the exit code is non-zero. This option is only available since OCaml 4.08, so script authors should have a fallback for older versions.

**-depend** *ocamldep-args*

Compute dependencies, as the `ocamldep` command would do. The remaining arguments are interpreted as if they were given to the `ocamldep` command.

**-for-pack** *module-path*

Generate an object file (*.cmx* and *.o/.obj* files) that can later be included as a sub-module (with the given access path) of a compilation unit constructed with **-pack**. For instance, `ocamlopt -for-pack P -c A.ml` will generate *a.cmx* and *a.o* files that can later be used with `ocamlopt -pack -o P.cmx a.cmx`. Note: you can still pack a module that was compiled without **-for-pack** but in this case exceptions will be printed with the wrong names.

- g** Add debugging information while compiling and linking. This option is required in order to produce stack backtraces when the program terminates on an uncaught exception (see section 15.2).

**-no-g**

Do not record debugging information (default).

- i** Cause the compiler to print all defined names (with their inferred types or their definitions) when compiling an implementation (*.ml* file). No compiled files (*.cmo* and *.cmi* files) are produced. This can be useful to check the types inferred by the compiler. Also, since the output follows the syntax of interfaces, it can help in writing an explicit interface (*.mli* file) for a file: just redirect the standard output of the compiler to a *.mli* file, and edit that file to remove all declarations of unexported names.

**-I** *directory*

Add the given directory to the list of directories searched for compiled interface files (*.cmi*), compiled object code files (*.cmx*), and libraries (*.cmxa*). By default, the current directory is searched first, then the standard library directory. Directories added with **-I** are searched after the current directory, in the order in which they were given on the command line, but before the standard library directory. See also option **-nostdlib**.

If the given directory starts with **+**, it is taken relative to the standard library directory. For instance, **-I +unix** adds the subdirectory *unix* of the standard library to the search path.

**-impl** *filename*

Compile the file *filename* as an implementation file, even if its extension is not *.ml*.

**-inline** *n*

Set aggressiveness of inlining to *n*, where *n* is a positive integer. Specifying **-inline 0** prevents all functions from being inlined, except those whose body is smaller than the call site. Thus, inlining causes no expansion in code size. The default aggressiveness, **-inline 1**, allows slightly larger functions to be inlined, resulting in a slight expansion in code size. Higher values for the **-inline** option cause larger and larger functions to become candidate for inlining, but can result in a serious increase in code size.

**-intf** *filename*

Compile the file *filename* as an interface file, even if its extension is not *.mli*.

**-intf-suffix** *string*

Recognize file names ending with *string* as interface files (instead of the default *.mli*).

**-labels**

Labels are not ignored in types, labels may be used in applications, and labelled parameters can be given in any order. This is the default.

**-linkall**

Force all modules contained in libraries to be linked in. If this flag is not given, unreferenced modules are not linked in. When building a library (option **-a**), setting the **-linkall** option forces all subsequent links of programs involving that library to link all the modules contained in the library. When compiling a module (option **-c**), setting the **-linkall** option ensures that this module will always be linked if it is put in a library and this library is linked.

**-linscan**

Use linear scan register allocation. Compiling with this allocator is faster than with the usual graph coloring allocator, sometimes quite drastically so for long functions and modules. On the other hand, the generated code can be a bit slower.

**-match-context-rows**

Set the number of rows of context used for optimization during pattern matching compilation. The default value is 32. Lower values cause faster compilation, but less optimized code. This advanced option is meant for use in the event that a pattern-match-heavy program leads to significant increases in compilation time.

**-no-alias-deps**

Do not record dependencies for module aliases. See section [12.8](#) for more information.

**-no-app-funct**

Deactivates the applicative behaviour of functors. With this option, each functor application generates new types in its result and applying the same functor twice to the same argument yields two incompatible structures.

**-no-float-const-prop**

Deactivates the constant propagation for floating-point operations. This option should be given if the program changes the float rounding mode during its execution.

**-noassert**

Do not compile assertion checks. Note that the special form **assert false** is always compiled because it is typed specially. This flag has no effect when linking already-compiled files.

**-noautolink**

When linking **.cmx** libraries, ignore **-cclib** and **-ccopt** options potentially contained in the libraries (if these options were given when building the libraries). This can be useful if a library contains incorrect specifications of C libraries or C options; in this case, during linking, set **-noautolink** and pass the correct C libraries and options on the command line.

**-nodynlink**

Allow the compiler to use some optimizations that are valid only for code that is statically linked to produce a non-relocatable executable. The generated code cannot be linked to produce a shared library nor a position-independent executable (PIE). Many operating systems produce

PIEs by default, causing errors when linking code compiled with `-nodynlink`. Either do not use `-nodynlink` or pass the option `-ccopt -no-pie` at link-time.

#### `-nolabels`

Ignore non-optional labels in types. Labels cannot be used in applications, and parameter order becomes strict.

#### `-nostdlib`

Do not automatically add the standard library directory to the list of directories searched for compiled interface files (`.cmi`), compiled object code files (`.cmx`), and libraries (`.cmxa`). See also option `-I`.

#### `-o output-file`

Specify the name of the output file to produce. For executable files, the default output name is `a.out` under Unix and `camlprog.exe` under Windows. If the `-a` option is given, specify the name of the library produced. If the `-pack` option is given, specify the name of the packed object file produced. If the `-output-obj` or `-output-complete-obj` options are given, specify the name of the produced object file. If the `-shared` option is given, specify the name of plugin file produced.

#### `-opaque`

When the native compiler compiles an implementation, by default it produces a `.cmx` file containing information for cross-module optimization. It also expects `.cmx` files to be present for the dependencies of the currently compiled source, and uses them for optimization. Since OCaml 4.03, the compiler will emit a warning if it is unable to locate the `.cmx` file of one of those dependencies.

The `-opaque` option, available since 4.04, disables cross-module optimization information for the currently compiled unit. When compiling `.mli` interface, using `-opaque` marks the compiled `.cmi` interface so that subsequent compilations of modules that depend on it will not rely on the corresponding `.cmx` file, nor warn if it is absent. When the native compiler compiles a `.ml` implementation, using `-opaque` generates a `.cmx` that does not contain any cross-module optimization information.

Using this option may degrade the quality of generated code, but it reduces compilation time, both on clean and incremental builds. Indeed, with the native compiler, when the implementation of a compilation unit changes, all the units that depend on it may need to be recompiled – because the cross-module information may have changed. If the compilation unit whose implementation changed was compiled with `-opaque`, no such recompilation needs to occur. This option can thus be used, for example, to get faster edit-compile-test feedback loops.

#### `-open Module`

Opens the given module before processing the interface or implementation files. If several `-open` options are given, they are processed in order, just as if the statements `open! Module1;; ... open! ModuleN;;` were added at the top of each file.

#### `-output-obj`

Cause the linker to produce a C object file instead of an executable file. This is useful to wrap

OCaml code as a C library, callable from any C program. See chapter 22, section 22.7.5. The name of the output object file must be set with the `-o` option. This option can also be used to produce a compiled shared/dynamic library (`.so` extension, `.dll` under Windows).

#### `-output-complete-obj`

Same as `-output-obj` options except the object file produced includes the runtime and autolink libraries.

#### `-pack`

Build an object file (`.cmx` and `.o/.obj` files) and its associated compiled interface (`.cmi`) that combines the `.cmx` object files given on the command line, making them appear as sub-modules of the output `.cmx` file. The name of the output `.cmx` file must be given with the `-o` option. For instance,

```
ocamlopt -pack -o P.cmx A.cmx B.cmx C.cmx
```

generates compiled files `P.cmx`, `P.o` and `P.cmi` describing a compilation unit having three sub-modules `A`, `B` and `C`, corresponding to the contents of the object files `A.cmx`, `B.cmx` and `C.cmx`. These contents can be referenced as `P.A`, `P.B` and `P.C` in the remainder of the program.

The `.cmx` object files being combined must have been compiled with the appropriate `-for-pack` option. In the example above, `A.cmx`, `B.cmx` and `C.cmx` must have been compiled with `ocamlopt -for-pack P`.

Multiple levels of packing can be achieved by combining `-pack` with `-for-pack`. Consider the following example:

```
ocamlopt -for-pack P.Q -c A.ml ocamlopt -pack -o Q.cmx -for-pack P A.cmx
ocamlopt -for-pack P -c B.ml ocamlopt -pack -o P.cmx Q.cmx B.cmx
```

The resulting `P.cmx` object file has sub-modules `P.Q`, `P.Q.A` and `P.B`.

#### `-pp command`

Cause the compiler to call the given *command* as a preprocessor for each source file. The output of *command* is redirected to an intermediate file, which is compiled. If there are no compilation errors, the intermediate file is deleted afterwards.

#### `-ppx command`

After parsing, pipe the abstract syntax tree through the preprocessor *command*. The module `Ast_mapper`, described in section 29.1, implements the external interface of a preprocessor.

#### `-principal`

Check information path during type-checking, to make sure that all types are derived in a principal way. When using labelled arguments and/or polymorphic methods, this flag is required to ensure future versions of the compiler will be able to infer types correctly, even if internal algorithms change. All programs accepted in `-principal` mode are also accepted in the default mode with equivalent types, but different binary signatures, and this may slow down type checking; yet it is a good idea to use it once before publishing source code.

**-rectypes**

Allow arbitrary recursive types during type-checking. By default, only recursive types where the recursion goes through an object type are supported. Note that once you have created an interface using this flag, you must use it again for all dependencies.

**-runtime-variant** *suffix*

Add the *suffix* string to the name of the runtime library used by the program. Currently, only one such suffix is supported: **d**, and only if the OCaml compiler was configured with option **-with-debug-runtime**. This suffix gives the debug version of the runtime, which is useful for debugging pointer problems in low-level code such as C stubs.

**-S** Keep the assembly code produced during the compilation. The assembly code for the source file *x.ml* is saved in the file *x.s*.

**-safe-string**

Enforce the separation between types **string** and **bytes**, thereby making strings read-only. This is the default, and enforced since OCaml 5.0.

**-safer-matching**

Do not use type information to optimize pattern-matching. This allows to detect match failures even if a pattern-matching was wrongly assumed to be exhaustive. This only impacts GADT and polymorphic variant compilation.

**-save-ir-after** *pass*

Save intermediate representation after the given compilation pass to a file. The currently supported passes and the corresponding file extensions are: **scheduling** (**.cmir-linear**).

This experimental feature enables external tools to inspect and manipulate compiler's intermediate representation of the program using **compiler-libs** library (see section 29).

**-shared**

Build a plugin (usually **.cmxs**) that can be dynamically loaded with the **Dynlink** module. The name of the plugin must be set with the **-o** option. A plugin can include a number of OCaml modules and libraries, and extra native objects (**.o**, **.obj**, **.a**, **.lib** files). Building native plugins is only supported for some operating system. Under some systems (currently, only Linux AMD 64), all the OCaml code linked in a plugin must have been compiled without the **-nodynlink** flag. Some constraints might also apply to the way the extra native objects have been compiled (under Linux AMD 64, they must contain only position-independent code).

**-short-paths**

When a type is visible under several module-paths, use the shortest one when printing the type's name in inferred interfaces and error and warning messages. Identifier names starting with an underscore **\_** or containing double underscores **\_\_** incur a penalty of +10 when computing their length.

**-stop-after** *pass*

Stop compilation after the given compilation pass. The currently supported passes are: **parsing**, **typing**, **scheduling**, **emit**.

**-strict-sequence**

Force the left-hand part of each sequence to have type `unit`.

**-strict-formats**

Reject invalid formats that were accepted in legacy format implementations. You should use this flag to detect and fix such invalid formats, as they will be rejected by future OCaml versions.

**-unboxed-types**

When a type is unboxable (i.e. a record with a single argument or a concrete datatype with a single constructor of one argument) it will be unboxed unless annotated with `[@@ocaml.boxed]`.

**-no-unboxed-types**

When a type is unboxable it will be boxed unless annotated with `[@@ocaml.unboxed]`. This is the default.

**-unsafe**

Turn bound checking off for array and string accesses (the `v.(i)` and `s.[i]` constructs). Programs compiled with **-unsafe** are therefore faster, but unsafe: anything can happen if the program accesses an array or string outside of its bounds. Additionally, turn off the check for zero divisor in integer division and modulus operations. With **-unsafe**, an integer division (or modulus) by zero can halt the program or continue with an unspecified result instead of raising a `Division_by_zero` exception.

**-unsafe-string**

Identify the types `string` and `bytes`, thereby making strings writable. This is intended for compatibility with old source code and should not be used with new software. This option raises an error unconditionally since OCaml 5.0.

**-v** Print the version number of the compiler and the location of the standard library directory, then exit.

**-verbose**

Print all external commands before they are executed, in particular invocations of the assembler, C compiler, and linker. Useful to debug C library problems.

**-version or -vnum**

Print the version number of the compiler in short form (e.g. `3.11.0`), then exit.

**-w *warning-list***

Enable, disable, or mark as fatal the warnings specified by the argument *warning-list*. Each warning can be *enabled* or *disabled*, and each warning can be *fatal* or *non-fatal*. If a warning is disabled, it isn't displayed and doesn't affect compilation in any way (even if it is fatal). If a warning is enabled, it is displayed normally by the compiler whenever the source code triggers it. If it is enabled and fatal, the compiler will also stop with an error after displaying it.

The *warning-list* argument is a sequence of warning specifiers, with no separators between them. A warning specifier is one of the following:



**+num**  
Enable warning number *num*.

**-num**  
Disable warning number *num*.

**@num**  
Enable and mark as fatal warning number *num*.

**+num1..num2**  
Enable warnings in the given range.

**-num1..num2**  
Disable warnings in the given range.

**@num1..num2**  
Enable and mark as fatal warnings in the given range.

**+letter**  
Enable the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.

**-letter**  
Disable the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.

**@letter**  
Enable and mark as fatal the set of warnings corresponding to *letter*. The letter may be uppercase or lowercase.

**uppercase-letter**  
Enable the set of warnings corresponding to *uppercase-letter*.

**lowercase-letter**  
Disable the set of warnings corresponding to *lowercase-letter*.

Alternatively, *warning-list* can specify a single warning using its mnemonic name (see below), as follows:

**+name**  
Enable warning *name*.

**-name**  
Disable warning *name*.

**@name**  
Enable and mark as fatal warning *name*.

Warning numbers, letters and names which are not currently defined are ignored. The warnings are as follows (the name following each number specifies the mnemonic for that warning).

**1 comment-start**  
Suspicious-looking start-of-comment mark.

**2 comment-not-end**  
Suspicious-looking end-of-comment mark.

- 3**    `Deprecated` synonym for the 'deprecated' alert.
- 4** `fragile-match`  
Fragile pattern matching: matching that will remain complete even if additional constructors are added to one of the variant types matched.
- 5** `ignored-partial-application`  
Partially applied function: expression whose result has function type and is ignored.
- 6** `labels-omitted`  
Label omitted in function application.
- 7** `method-override`  
Method overridden.
- 8** `partial-match`  
Partial match: missing cases in pattern-matching.
- 9** `missing-record-field-pattern`  
Missing fields in a record pattern.
- 10** `non-unit-statement`  
Expression on the left-hand side of a sequence that doesn't have type `unit` (and that is not a function, see warning number 5).
- 11** `redundant-case`  
Redundant case in a pattern matching (unused match case).
- 12** `redundant-subpat`  
Redundant sub-pattern in a pattern-matching.
- 13** `instance-variable-override`  
Instance variable overridden.
- 14** `illegal-backslash`  
Illegal backslash escape in a string constant.
- 15** `implicit-public-methods`  
Private method made public implicitly.
- 16** `unerasable-optional-argument`  
Unerasable optional argument.
- 17** `undeclared-virtual-method`  
Undeclared virtual method.
- 18** `not-principal`  
Non-principal type.
- 19** `non-principal-labels`  
Type without principality.
- 20** `ignored-extra-argument`  
Unused function argument.
- 21** `nonreturning-statement`  
Non-returning statement.

- 22** `preprocessor`  
Preprocessor warning.
- 23** `useless-record-with`  
Useless record `with` clause.
- 24** `bad-module-name`  
Bad module name: the source file name is not a valid OCaml module name.
- 25** Ignored: now part of warning 8.
- 26** `unused-var`  
Suspicious unused variable: unused variable that is bound with `let` or `as`, and doesn't start with an underscore (`_`) character.
- 27** `unused-var-strict`  
Innocuous unused variable: unused variable that is not bound with `let` nor `as`, and doesn't start with an underscore (`_`) character.
- 28** `wildcard-arg-to-constant-constr`  
Wildcard pattern given as argument to a constant constructor.
- 29** `eol-in-string`  
Unescaped end-of-line in a string constant (non-portable code).
- 30** `duplicate-definitions`  
Two labels or constructors of the same name are defined in two mutually recursive types.
- 31** `module-linked-twice`  
A module is linked twice in the same executable.
- I** Ignored: now a hard error (since 5.1).
- 32** `unused-value-declaration`  
Unused value declaration. (since 4.00)
- 33** `unused-open`  
Unused open statement. (since 4.00)
- 34** `unused-type-declaration`  
Unused type declaration. (since 4.00)
- 35** `unused-for-index`  
Unused for-loop index. (since 4.00)
- 36** `unused-ancestor`  
Unused ancestor variable. (since 4.00)
- 37** `unused-constructor`  
Unused constructor. (since 4.00)
- 38** `unused-extension`  
Unused extension constructor. (since 4.00)
- 39** `unused-rec-flag`  
Unused `rec` flag. (since 4.00)
- 40** `name-out-of-scope`  
Constructor or label name used out of scope. (since 4.01)

- 41 ambiguous-name**  
Ambiguous constructor or label name. (since 4.01)
- 42 disambiguated-name**  
Disambiguated constructor or label name (compatibility warning). (since 4.01)
- 43 nonoptional-label**  
Nonoptional label applied as optional. (since 4.01)
- 44 open-shadow-identifier**  
Open statement shadows an already defined identifier. (since 4.01)
- 45 open-shadow-label-constructor**  
Open statement shadows an already defined label or constructor. (since 4.01)
- 46 bad-env-variable**  
Error in environment variable. (since 4.01)
- 47 attribute-payload**  
Illegal attribute payload. (since 4.02)
- 48 eliminated-optional-arguments**  
Implicit elimination of optional arguments. (since 4.02)
- 49 no-cmi-file**  
Absent cmi file when looking up module alias. (since 4.02)
- 50 unexpected-docstring**  
Unexpected documentation comment. (since 4.03)
- 51 wrong-tailcall-expectation**  
Function call annotated with an incorrect @tailcall attribute. (since 4.03)
- 52 fragile-literal-pattern (see [13.5.3](#))**  
Fragile constant pattern. (since 4.03)
- 53 misplaced-attribute**  
Attribute cannot appear in this context. (since 4.03)
- 54 duplicated-attribute**  
Attribute used more than once on an expression. (since 4.03)
- 55 inlining-impossible**  
Inlining impossible. (since 4.03)
- 56 unreachable-case**  
Unreachable case in a pattern-matching (based on type information). (since 4.03)
- 57 ambiguous-var-in-pattern-guard (see [13.5.4](#))**  
Ambiguous or-pattern variables under guard. (since 4.03)
- 58 no-cmx-file**  
Missing cmx file. (since 4.03)
- 59 flambda-assignment-to-non-mutable-value**  
Assignment to non-mutable value. (since 4.03)
- 60 unused-module**  
Unused module declaration. (since 4.04)

- 61 unboxable-type-in-prim-decl**  
Unboxable type in primitive declaration. (since 4.04)
- 62 constraint-on-gadt**  
Type constraint on GADT type declaration. (since 4.06)
- 63 erroneous-printed-signature**  
Erroneous printed signature. (since 4.08)
- 64 unsafe-array-syntax-without-parsing**  
-unsafe used with a preprocessor returning a syntax tree. (since 4.08)
- 65 redefining-unit**  
Type declaration defining a new '()' constructor. (since 4.08)
- 66 unused-open-bang**  
Unused open! statement. (since 4.08)
- 67 unused-functor-parameter**  
Unused functor parameter. (since 4.10)
- 68 match-on-mutable-state-prevent-uncurry**  
Pattern-matching depending on mutable state prevents the remaining arguments from being uncurried. (since 4.12)
- 69 unused-field**  
Unused record field. (since 4.13)
- 70 missing-mli**  
Missing interface file. (since 4.13)
- 71 unused-tmc-attribute**  
Unused @tail\_mod\_cons attribute. (since 4.14)
- 72 tmc-breaks-tailcall**  
A tail call is turned into a non-tail call by the @tail\_mod\_cons transformation. (since 4.14)
- 73 generative-application-expects-unit**  
A generative functor is applied to an empty structure (struct end) rather than to (). (since 5.1)
- A** all warnings
- C** warnings 1, 2.
- D** Alias for warning 3.
- E** Alias for warning 4.
- F** Alias for warning 5.
- K** warnings 32, 33, 34, 35, 36, 37, 38, 39.
- L** Alias for warning 6.
- M** Alias for warning 7.
- P** Alias for warning 8.
- R** Alias for warning 9.

- S** Alias for warning 10.
- U** warnings 11, 12.
- V** Alias for warning 13.
- X** warnings 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 30.
- Y** Alias for warning 26.
- Z** Alias for warning 27.

The default setting is `-w +a-4-6-7-9-27-29-32..42-44-45-48-50-60`. It is displayed by `ocamlopt -help`. Note that warnings 5 and 10 are not always triggered, depending on the internals of the type checker.

#### `-warn-error` *warning-list*

Mark as fatal the warnings specified in the argument *warning-list*. The compiler will stop with an error when one of these warnings is emitted. The *warning-list* has the same meaning as for the `-w` option: a `+` sign (or an uppercase letter) marks the corresponding warnings as fatal, a `-` sign (or a lowercase letter) turns them back into non-fatal warnings, and a `@` sign both enables and marks as fatal the corresponding warnings.

Note: it is not recommended to use warning sets (i.e. letters) as arguments to `-warn-error` in production code, because this can break your build when future versions of OCaml add some new warnings.

The default setting is `-warn-error -a` (no warning is fatal).

#### `-warn-help`

Show the description of all available warning numbers.

#### `-where`

Print the location of the standard library, then exit.

#### `-with-runtime`

Include the runtime system in the generated program. This is the default.

#### `-without-runtime`

The compiler does not include the runtime system (nor a reference to it) in the generated program; it must be supplied separately.

#### `- file`

Process *file* as a file name, even if it starts with a dash (`-`) character.

#### `-help` or `--help`

Display a short usage summary and exit.

**Options for the 64-bit x86 architecture** The 64-bit code generator for Intel/AMD x86 processors (`amd64` architecture) supports the following additional options:

#### `-fPIC`

Generate position-independent machine code. This is the default.

**-fno-PIC**

Generate position-dependent machine code.

**Options for the PowerPC architecture** The PowerPC code generator supports the following additional options:

**-flarge-toc**

Enables the PowerPC large model allowing the TOC (table of contents) to be arbitrarily large. This is the default since 4.11.

**-fsmall-toc**

Enables the PowerPC small model allowing the TOC to be up to 64 kbytes per compilation unit. Prior to 4.11 this was the default behaviour.

### Contextual control of command-line options

The compiler command line can be modified “from the outside” with the following mechanisms. These are experimental and subject to change. They should be used only for experimental and development work, not in released packages.

**OCAMLPARAM** (environment variable)

A set of arguments that will be inserted before or after the arguments from the command line. Arguments are specified in a comma-separated list of **name=value** pairs. A **\_** is used to specify the position of the command line arguments, i.e. **a=x,\_,b=y** means that **a=x** should be executed before parsing the arguments, and **b=y** after. Finally, an alternative separator can be specified as the first character of the string, within the set **:|; ,.**

**ocaml\_compiler\_internal\_params** (file in the `stdlib` directory)

A mapping of file names to lists of arguments that will be added to the command line (and **OCAMLPARAM**) arguments.

**OCAML\_FLEXLINK** (environment variable)

Alternative executable to use on native Windows for **flexlink** instead of the configured value. Primarily used for bootstrapping.

## 16.3 Common errors

The error messages are almost identical to those of **ocamlc**. See section [13.4](#).

## 16.4 Running executables produced by *ocamlopt*

Executables generated by **ocamlopt** are native, stand-alone executable files that can be invoked directly. They do not depend on the **ocamlrun** bytecode runtime system nor on dynamically-loaded C/OCaml stub libraries.

During execution of an **ocamlopt**-generated executable, the following environment variables are also consulted:

**OCAMLRUNPARAM**

Same usage as in `ocamlrun` (see section 15.2), except that option `l` is ignored (the operating system’s stack size limit is used instead).

**CAMLRUNPARAM**

If **OCAMLRUNPARAM** is not found in the environment, then **CAMLRUNPARAM** will be used instead. If **CAMLRUNPARAM** is not found, then the default values will be used.

## 16.5 Compatibility with the bytecode compiler

This section lists the known incompatibilities between the bytecode compiler and the native-code compiler. Except on those points, the two compilers should generate code that behave identically.

- Signals are detected only when the program performs an allocation in the heap. That is, if a signal is delivered while in a piece of code that does not allocate, its handler will not be called until the next heap allocation.
- On ARM and PowerPC processors (32 and 64 bits), fused multiply-add (FMA) instructions can be generated for a floating-point multiplication followed by a floating-point addition or subtraction, as in `x *. y +. z`. The FMA instruction avoids rounding the intermediate result `x *. y`, which is generally beneficial, but produces floating-point results that differ slightly from those produced by the bytecode interpreter.
- The native-code compiler performs a number of optimizations that the bytecode compiler does not perform, especially when the Flambda optimizer is active. In particular, the native-code compiler identifies and eliminates “dead code”, i.e. computations that do not contribute to the results of the program. For example,

```
let _ = ignore M.f
```

contains a reference to compilation unit `M` when compiled to bytecode. This reference forces `M` to be linked and its initialization code to be executed. The native-code compiler eliminates the reference to `M`, hence the compilation unit `M` may not be linked and executed. A workaround is to compile `M` with the `-linkall` flag so that it will always be linked and executed, even if not referenced. See also the `Sys.opaque_identity` function from the `Sys` standard library module.

- Before 4.10, stack overflows, typically caused by excessively deep recursion, are not always turned into a `Stack_overflow` exception like with the bytecode compiler. The runtime system makes a best effort to trap stack overflows and raise the `Stack_overflow` exception, but sometimes it fails and a “segmentation fault” or another system fault occurs instead.



## Chapter 17

# Lexer and parser generators (`ocamllex`, `ocamlyacc`)

This chapter describes two program generators: `ocamllex`, that produces a lexical analyzer from a set of regular expressions with associated semantic actions, and `ocamlyacc`, that produces a parser from a grammar with associated semantic actions.

These program generators are very close to the well-known `lex` and `yacc` commands that can be found in most C programming environments. This chapter assumes a working knowledge of `lex` and `yacc`: while it describes the input syntax for `ocamllex` and `ocamlyacc` and the main differences with `lex` and `yacc`, it does not explain the basics of writing a lexer or parser description in `lex` and `yacc`. Readers unfamiliar with `lex` and `yacc` are referred to “Compilers: principles, techniques, and tools” by Aho, Lam, Sethi and Ullman (Pearson, 2006), or “Lex & Yacc”, by Levine, Mason and Brown (O’Reilly, 1992).

### 17.1 Overview of `ocamllex`

The `ocamllex` command produces a lexical analyzer from a set of regular expressions with attached semantic actions, in the style of `lex`. Assuming the input file is `lexer.mll`, executing

```
ocamllex lexer.mll
```

produces OCaml code for a lexical analyzer in file `lexer.ml`. This file defines one lexing function per entry point in the lexer definition. These functions have the same names as the entry points. Lexing functions take as argument a lexer buffer, and return the semantic attribute of the corresponding entry point.

Lexer buffers are an abstract data type implemented in the standard library module `Lexing`. The functions `Lexing.from_channel`, `Lexing.from_string` and `Lexing.from_function` create lexer buffers that read from an input channel, a character string, or any reading function, respectively. (See the description of module `Lexing` in chapter 28.)

When used in conjunction with a parser generated by `ocamlyacc`, the semantic actions compute a value belonging to the type `token` defined by the generated parsing module. (See the description of `ocamlyacc` below.)

### 17.1.1 Options

The following command-line options are recognized by `ocamllex`.

- `-ml`    Output code that does not use OCaml's built-in automata interpreter. Instead, the automaton is encoded by OCaml functions. This option improves performance when using the native compiler, but decreases it when using the bytecode compiler.
- `-o output-file`  
Specify the name of the output file produced by `ocamllex`. The default is the input file name with its extension replaced by `.ml`.
- `-q`    Quiet mode. `ocamllex` normally outputs informational messages to standard output. They are suppressed if option `-q` is used.
- `-v` or `-version`  
Print version string and exit.
- `-vnum`  
Print short version number and exit.
- `-help` or `--help`  
Display a short usage summary and exit.

## 17.2 Syntax of lexer definitions

The format of lexer definitions is as follows:

```
{ header }
let ident = regexp ...
[refill { refill-handler }]
rule entrypoint [arg1... argn] =
  parse regexp { action }
  | ...
  | regexp { action }
and entrypoint [arg1... argn] =
  parse ...
and ...
{ trailer }
```

Comments are delimited by `(*` and `*)`, as in OCaml. The `parse` keyword, can be replaced by the `shortest` keyword, with the semantic consequences explained below.

Refill handlers are a recent (optional) feature introduced in 4.02, documented below in subsection [17.2.7](#).

### 17.2.1 Header and trailer

The *header* and *trailer* sections are arbitrary OCaml text enclosed in curly braces. Either or both can be omitted. If present, the header text is copied as is at the beginning of the output file and the trailer text at the end. Typically, the header section contains the **open** directives required by the actions, and possibly some auxiliary functions used in the actions.

### 17.2.2 Naming regular expressions

Between the header and the entry points, one can give names to frequently-occurring regular expressions. This is written **let** *ident* = *regex*. In regular expressions that follow this declaration, the identifier *ident* can be used as shorthand for *regex*.

### 17.2.3 Entry points

The names of the entry points must be valid identifiers for OCaml values (starting with a lowercase letter). Similarly, the arguments *arg*<sub>1</sub> . . . *arg*<sub>*n*</sub> must be valid identifiers for OCaml. Each entry point becomes an OCaml function that takes *n* + 1 arguments, the extra implicit last argument being of type `Lexing.lexbuf`. Characters are read from the `Lexing.lexbuf` argument and matched against the regular expressions provided in the rule, until a prefix of the input matches one of the rule. The corresponding action is then evaluated and returned as the result of the function.

If several regular expressions match a prefix of the input, the “longest match” rule applies: the regular expression that matches the longest prefix of the input is selected. In case of tie, the regular expression that occurs earlier in the rule is selected.

However, if lexer rules are introduced with the **shortest** keyword in place of the **parse** keyword, then the “shortest match” rule applies: the shortest prefix of the input is selected. In case of tie, the regular expression that occurs earlier in the rule is still selected. This feature is not intended for use in ordinary lexical analyzers, it may facilitate the use of *ocamllex* as a simple text processing tool.

### 17.2.4 Regular expressions

The regular expressions are in the style of **lex**, with a more OCaml-like syntax.

*regex* ::= ...

' *regular-char* | *escape-sequence* '

A character constant, with the same syntax as OCaml character constants. Match the denoted character.

\_ (underscore) Match any character.

eof Match the end of the lexer input.

**Note:** On some systems, with interactive input, an end-of-file may be followed by more characters. However, *ocamllex* will not correctly handle regular expressions that contain **eof** followed by something else.

" {*string-character*} "

A string constant, with the same syntax as OCaml string constants. Match the corresponding sequence of characters.

[ *character-set* ]

Match any single character belonging to the given character set. Valid character sets are: single character constants '*c*'; ranges of characters '*c*<sub>1</sub>' - '*c*<sub>2</sub>' (all characters between *c*<sub>1</sub> and *c*<sub>2</sub>, inclusive); and the union of two or more character sets, denoted by concatenation.

[ ^ *character-set* ]

Match any single character not belonging to the given character set.

*regexp*<sub>1</sub> # *regexp*<sub>2</sub>

(difference of character sets) Regular expressions *regexp*<sub>1</sub> and *regexp*<sub>2</sub> must be character sets defined with [...] (or a single character expression or underscore \_). Match the difference of the two specified character sets.

*regexp* \*

(repetition) Match the concatenation of zero or more strings that match *regexp*.

*regexp* +

(strict repetition) Match the concatenation of one or more strings that match *regexp*.

*regexp* ?

(option) Match the empty string, or a string matching *regexp*.

*regexp*<sub>1</sub> | *regexp*<sub>2</sub>

(alternative) Match any string that matches *regexp*<sub>1</sub> or *regexp*<sub>2</sub>. If both *regexp*<sub>1</sub> and *regexp*<sub>2</sub> are character sets, this construction produces another character set, obtained by taking the union of *regexp*<sub>1</sub> and *regexp*<sub>2</sub>.

*regexp*<sub>1</sub> *regexp*<sub>2</sub>

(concatenation) Match the concatenation of two strings, the first matching *regexp*<sub>1</sub>, the second matching *regexp*<sub>2</sub>.

( *regexp* )

Match the same strings as *regexp*.

*ident*

Reference the regular expression bound to *ident* by an earlier **let** *ident* = *regexp* definition.

*regexp* **as** *ident*

Bind the substring matched by *regexp* to identifier *ident*.

Concerning the precedences of operators, # has the highest precedence, followed by \*, + and ?, then concatenation, then | (alternation), then **as**.

### 17.2.5 Actions

The actions are arbitrary OCaml expressions. They are evaluated in a context where the identifiers defined by using the **as** construct are bound to subparts of the matched string. Additionally, **lexbuf** is bound to the current lexer buffer. Some typical uses for **lexbuf**, in conjunction with the operations on lexer buffers provided by the **Lexing** standard library module, are listed below.

`Lexing.lexeme lexbuf`

Return the matched string.

`Lexing.lexeme_char lexbuf n`

Return the *n*<sup>th</sup> character in the matched string. The first character corresponds to *n* = 0.

`Lexing.lexeme_start lexbuf`

Return the absolute position in the input text of the beginning of the matched string (i.e. the offset of the first character of the matched string). The first character read from the input text has offset 0.

`Lexing.lexeme_end lexbuf`

Return the absolute position in the input text of the end of the matched string (i.e. the offset of the first character after the matched string). The first character read from the input text has offset 0.

`entrypoint [exp1... expn] lexbuf`

(Where *entrypoint* is the name of another entry point in the same lexer definition.) Recursively call the lexer on the given entry point. Notice that `lexbuf` is the last argument. Useful for lexing nested comments, for example.

### 17.2.6 Variables in regular expressions

The `as` construct is similar to “*groups*” as provided by numerous regular expression packages. The type of these variables can be `string`, `char`, `string option` or `char option`.

We first consider the case of linear patterns, that is the case when all `as` bound variables are distinct. In `regexp as ident`, the type of *ident* normally is `string` (or `string option`) except when `regexp` is a character constant, an underscore, a string constant of length one, a character set specification, or an alternation of those. Then, the type of *ident* is `char` (or `char option`). Option types are introduced when overall rule matching does not imply matching of the bound sub-pattern. This is in particular the case of `( regexp as ident ) ?` and of `regexp1 | ( regexp2 as ident )`.

There is no linearity restriction over `as` bound variables. When a variable is bound more than once, the previous rules are to be extended as follows:

- A variable is a `char` variable when all its occurrences bind `char` occurrences in the previous sense.
- A variable is an `option` variable when the overall expression can be matched without binding this variable.

For instance, in `('a' as x) | ( 'a' ( _ as x ) )` the variable `x` is of type `char`, whereas in `("ab" as x) | ( 'a' ( _ as x ) ? )` the variable `x` is of type `string option`.

In some cases, a successful match may not yield a unique set of bindings. For instance the matching of `aba` by the regular expression `(( 'a' | "ab" ) as x) (("ba" | 'a') as y)` may result in binding either `x` to `"ab"` and `y` to `"a"`, or `x` to `"a"` and `y` to `"ba"`. The automata produced *ocamllex* on such ambiguous regular expressions will select one of the possible resulting sets of bindings. The selected set of bindings is purposely left unspecified.

### 17.2.7 Refill handlers

By default, when `ocamllex` reaches the end of its lexing buffer, it will silently call the `refill_buff` function of `lexbuf` structure and continue lexing. It is sometimes useful to be able to take control of refilling action; typically, if you use a library for asynchronous computation, you may want to wrap the refilling action in a delaying function to avoid blocking synchronous operations.

Since OCaml 4.02, it is possible to specify a *refill-handler*, a function that will be called when refill happens. It is passed the continuation of the lexing, on which it has total control. The OCaml expression used as refill action should have a type that is an instance of

```
(Lexing.lexbuf -> 'a) -> Lexing.lexbuf -> 'a
```

where the first argument is the continuation which captures the processing `ocamllex` would usually perform (refilling the buffer, then calling the lexing function again), and the result type that instantiates `'a` should unify with the result type of all lexing rules.

As an example, consider the following lexer that is parametrized over an arbitrary monad:

```
{
type token = EOL | INT of int | PLUS

module Make (M : sig
    type 'a t
    val return: 'a -> 'a t
    val bind: 'a t -> ('a -> 'b t) -> 'b t
    val fail : string -> 'a t

    (* Set up lexbuf *)
    val on_refill : Lexing.lexbuf -> unit t
end)
= struct

let refill_handler k lexbuf =
    M.bind (M.on_refill lexbuf) (fun () -> k lexbuf)

}

refill {refill_handler}

rule token = parse
| [' ' '\t']
  { token lexbuf }
| '\n'
  { M.return EOL }
| ['0'-'9']+ as i
  { M.return (INT (int_of_string i)) }
| '+'
  { M.return PLUS }
```

```

| _
  { M.fail "unexpected character" }
{
  end
}

```

### 17.2.8 Reserved identifiers

All identifiers starting with `__ocaml_lex` are reserved for use by `ocamllex`; do not use any such identifier in your programs.

## 17.3 Overview of *ocamlyacc*

The `ocamlyacc` command produces a parser from a context-free grammar specification with attached semantic actions, in the style of `yacc`. Assuming the input file is *grammar.mly*, executing

```
ocamlyacc options grammar.mly
```

produces OCaml code for a parser in the file *grammar.ml*, and its interface in file *grammar.mli*.

The generated module defines one parsing function per entry point in the grammar. These functions have the same names as the entry points. Parsing functions take as arguments a lexical analyzer (a function from lexer buffers to tokens) and a lexer buffer, and return the semantic attribute of the corresponding entry point. Lexical analyzer functions are usually generated from a lexer specification by the `ocamllex` program. Lexer buffers are an abstract data type implemented in the standard library module `Lexing`. Tokens are values from the concrete type `token`, defined in the interface file *grammar.mli* produced by `ocamlyacc`.

## 17.4 Syntax of grammar definitions

Grammar definitions have the following format:

```

%{
  header
%}
declarations
%%
rules
%%
trailer

```

Comments are delimited by `(*` and `*)`, as in OCaml. Additionally, comments can be delimited by `/*` and `*/`, as in C, in the “declarations” and “rules” sections. C-style comments do not nest, but OCaml-style comments do.

### 17.4.1 Header and trailer

The header and the trailer sections are OCaml code that is copied as is into file *grammar.ml*. Both sections are optional. The header goes at the beginning of the output file; it usually contains **open** directives and auxiliary functions required by the semantic actions of the rules. The trailer goes at the end of the output file.

### 17.4.2 Declarations

Declarations are given one per line. They all start with a % sign.

**%token** *constr ... constr*

Declare the given symbols *constr ... constr* as tokens (terminal symbols). These symbols are added as constant constructors for the **token** concrete type.

**%token** < *typexpr* > *constr ... constr*

Declare the given symbols *constr ... constr* as tokens with an attached attribute of the given type. These symbols are added as constructors with arguments of the given type for the **token** concrete type. The *typexpr* part is an arbitrary OCaml type expression, except that all type constructor names must be fully qualified (e.g. **Modname.type**) for all types except standard built-in types, even if the proper **open** directives (e.g. **open Modname**) were given in the header section. That's because the header is copied only to the *.ml* output file, but not to the *.mli* output file, while the *typexpr* part of a **%token** declaration is copied to both.

**%start** *symbol ... symbol*

Declare the given symbols as entry points for the grammar. For each entry point, a parsing function with the same name is defined in the output module. Non-terminals that are not declared as entry points have no such parsing function. Start symbols must be given a type with the **%type** directive below.

**%type** < *typexpr* > *symbol ... symbol*

Specify the type of the semantic attributes for the given symbols. This is mandatory for start symbols only. Other nonterminal symbols need not be given types by hand: these types will be inferred when running the output files through the OCaml compiler (unless the **-s** option is in effect). The *typexpr* part is an arbitrary OCaml type expression, except that all type constructor names must be fully qualified, as explained above for **%token**.

**%left** *symbol ... symbol*

**%right** *symbol ... symbol*

**%nonassoc** *symbol ... symbol*

Associate precedences and associativities to the given symbols. All symbols on the same line are given the same precedence. They have higher precedence than symbols declared before in a **%left**, **%right** or **%nonassoc** line. They have lower precedence than symbols declared



after in a `%left`, `%right` or `%nonassoc` line. The symbols are declared to associate to the left (`%left`), to the right (`%right`), or to be non-associative (`%nonassoc`). The symbols are usually tokens. They can also be dummy nonterminals, for use with the `%prec` directive inside the rules.

The precedence declarations are used in the following way to resolve reduce/reduce and shift/reduce conflicts:

- Tokens and rules have precedences. By default, the precedence of a rule is the precedence of its rightmost terminal. You can override this default by using the `%prec` directive in the rule.
- A reduce/reduce conflict is resolved in favor of the first rule (in the order given by the source file), and *ocamlyacc* outputs a warning.
- A shift/reduce conflict is resolved by comparing the precedence of the rule to be reduced with the precedence of the token to be shifted. If the precedence of the rule is higher, then the rule will be reduced; if the precedence of the token is higher, then the token will be shifted.
- A shift/reduce conflict between a rule and a token with the same precedence will be resolved using the associativity: if the token is left-associative, then the parser will reduce; if the token is right-associative, then the parser will shift. If the token is non-associative, then the parser will declare a syntax error.
- When a shift/reduce conflict cannot be resolved using the above method, then *ocamlyacc* will output a warning and the parser will always shift.

### 17.4.3 Rules

The syntax for rules is as usual:

```
nonterminal :
    symbol ... symbol { semantic-action }
  | ...
  | symbol ... symbol { semantic-action }
;
```

Rules can also contain the `%prec symbol` directive in the right-hand side part, to override the default precedence and associativity of the rule with the precedence and associativity of the given symbol.

Semantic actions are arbitrary OCaml expressions, that are evaluated to produce the semantic attribute attached to the defined nonterminal. The semantic actions can access the semantic attributes of the symbols in the right-hand side of the rule with the `$` notation: `$1` is the attribute for the first (leftmost) symbol, `$2` is the attribute for the second symbol, etc.

The rules may contain the special symbol `error` to indicate resynchronization points, as in *yacc*. Actions occurring in the middle of rules are not supported.

Nonterminal symbols are like regular OCaml symbols, except that they cannot end with `'` (single quote).

### 17.4.4 Error handling

Error recovery is supported as follows: when the parser reaches an error state (no grammar rules can apply), it calls a function named `parse_error` with the string `"syntax error"` as argument. The default `parse_error` function does nothing and returns, thus initiating error recovery (see below). The user can define a customized `parse_error` function in the header section of the grammar file.

The parser also enters error recovery mode if one of the grammar actions raises the `Parsing.Parse_error` exception.

In error recovery mode, the parser discards states from the stack until it reaches a place where the error token can be shifted. It then discards tokens from the input until it finds three successive tokens that can be accepted, and starts processing with the first of these. If no state can be uncovered where the error token can be shifted, then the parser aborts by raising the `Parsing.Parse_error` exception.

Refer to documentation on `yacc` for more details and guidance in how to use error recovery.

## 17.5 Options

The `ocamlyacc` command recognizes the following options:

- `-bprefix`  
Name the output files *prefix.ml*, *prefix.mli*, *prefix.output*, instead of the default naming convention.
- `-q` This option has no effect.
- `-v` Generate a description of the parsing tables and a report on conflicts resulting from ambiguities in the grammar. The description is put in file *grammar.output*.
- `-version`  
Print version string and exit.
- `-vnum`  
Print short version number and exit.
- `-` Read the grammar specification from standard input. The default output file names are *stdin.ml* and *stdin.mli*.
- `-- file`  
Process *file* as the grammar specification, even if its name starts with a dash (-) character. This option must be the last on the command line.

At run-time, the `ocamlyacc`-generated parser can be debugged by setting the `p` option in the `OCAMLRUNPARAM` environment variable (see section 15.2). This causes the pushdown automaton executing the parser to print a trace of its action (tokens shifted, rules reduced, etc). The trace mentions rule numbers and state numbers that can be interpreted by looking at the file *grammar.output* generated by `ocamlyacc -v`.

## 17.6 A complete example

The all-time favorite: a desk calculator. This program reads arithmetic expressions on standard input, one per line, and prints their values. Here is the grammar definition:

```
/* File parser.mly */
%token <int> INT
%token PLUS MINUS TIMES DIV
%token LPAREN RPAREN
%token EOL
%left PLUS MINUS      /* lowest precedence */
%left TIMES DIV        /* medium precedence */
%nonassoc UMINUS       /* highest precedence */
%start main            /* the entry point */
%type <int> main
%%
main:
    expr EOL           { $1 }
;
expr:
    INT                { $1 }
  | LPAREN expr RPAREN { $2 }
  | expr PLUS expr      { $1 + $3 }
  | expr MINUS expr     { $1 - $3 }
  | expr TIMES expr     { $1 * $3 }
  | expr DIV expr       { $1 / $3 }
  | MINUS expr %prec UMINUS { - $2 }
;
```

Here is the definition for the corresponding lexer:

```
(* File lexer.mll *)
{
open Parser          (* The type token is defined in parser.mli *)
exception Eof
}
rule token = parse
  [' ' '\t']        { token lexbuf }      (* skip blanks *)
  | ['\n' ]          { EOL }
  | ['0'-'9']+ as lxm { INT(int_of_string lxm) }
  | '+'              { PLUS }
  | '-'              { MINUS }
  | '*'              { TIMES }
  | '/'              { DIV }
  | '('              { LPAREN }
  | ')'              { RPAREN }
  | eof              { raise Eof }
```

Here is the main program, that combines the parser with the lexer:

```
(* File calc.ml *)
let _ =
  try
    let lexbuf = Lexing.from_channel stdin in
    while true do
      let result = Parser.main Lexer.token lexbuf in
      print_int result; print_newline(); flush stdout
    done
  with Lexer.Eof ->
    exit 0
```

To compile everything, execute:

```
ocamllex lexer.mll      # generates lexer.ml
ocamlyacc parser.mly     # generates parser.ml and parser.mli
ocamlc -c parser.mli
ocamlc -c lexer.ml
ocamlc -c parser.ml
ocamlc -c calc.ml
ocamlc -o calc lexer.cmo parser.cmo calc.cmo
```

## 17.7 Common errors

### ocamllex: transition table overflow, automaton is too big

The deterministic automata generated by `ocamllex` are limited to at most 32767 transitions. The message above indicates that your lexer definition is too complex and overflows this limit. This is commonly caused by lexer definitions that have separate rules for each of the alphabetic keywords of the language, as in the following example.

```
rule token = parse
  "keyword1"    { KWD1 }
| "keyword2"    { KWD2 }
| ...
| "keyword100" { KWD100 }
| ['A'-'Z' 'a'-'z'] ['A'-'Z' 'a'-'z' '0'-'9' '_'] * as id
  { IDENT id}
```

To keep the generated automata small, rewrite those definitions with only one general “identifier” rule, followed by a hashtable lookup to separate keywords from identifiers:

```
{ let keyword_table = Hashtbl.create 53
  let _ =
    List.iter (fun (kwd, tok) -> Hashtbl.add keyword_table kwd tok)
```

```

        [ "keyword1", KWD1;
          "keyword2", KWD2; ...
          "keyword100", KWD100 ]
    }
    rule token = parse
      ['A'-'Z' 'a'-'z'] ['A'-'Z' 'a'-'z' '0'-'9' '_'] * as id
      { try
        Hashtbl.find keyword_table id
      with Not_found ->
        IDENT id }

```

**ocamllex: Position memory overflow, too many bindings**

The deterministic automata generated by *ocamllex* maintain a table of positions inside the scanned lexer buffer. The size of this table is limited to at most 255 cells. This error should not show up in normal situations.

**ocamlyacc: concurrency safety**

Parsers generated by *ocamlyacc* are not thread-safe. Those parsers rely on an internal work state which is shared by all *ocamlyacc* generated parsers. The *menhir* parser generator is a better option if you want thread-safe parsers.



## Chapter 18

# Dependency generator (ocamldep)

The `ocamldep` command scans a set of OCaml source files (`.ml` and `.mli` files) for references to external compilation units, and outputs dependency lines in a format suitable for the `make` utility. This ensures that `make` will compile the source files in the correct order, and recompile those files that need to when a source file is modified.

The typical usage is:

```
ocamldep options *.mli *.ml > .depend
```

where `*.mli *.ml` expands to all source files in the current directory and `.depend` is the file that should contain the dependencies. (See below for a typical `Makefile`.)

Dependencies are generated both for compiling with the bytecode compiler `ocamlc` and with the native-code compiler `ocamlopt`.

### 18.1 Options

The following command-line options are recognized by `ocamldep`.

`-absname`

Show absolute filenames in error messages.

`-all`

Generate dependencies on all required files, rather than assuming implicit dependencies.

`-allow-approx`

Allow falling back on a lexer-based approximation when parsing fails.

`-args filename`

Read additional newline-terminated command line arguments from *filename*.

`-args0 filename`

Read additional null character terminated command line arguments from *filename*.

`-as-map`

For the following files, do not include delayed dependencies for module aliases. This option

assumes that they are compiled using options `-no-alias-deps -w -49`, and that those files or their interface are passed with the `-map` option when computing dependencies for other files. Note also that for dependencies to be correct in the implementation of a map file, its interface should not coerce any of the aliases it contains.

**-debug-map**

Dump the delayed dependency map for each map file.

**-I *directory***

Add the given directory to the list of directories searched for source files. If a source file `foo.ml` mentions an external compilation unit `Bar`, a dependency on that unit's interface `bar.cmi` is generated only if the source for `bar` is found in the current directory or in one of the directories specified with `-I`. Otherwise, `Bar` is assumed to be a module from the standard library, and no dependencies are generated. For programs that span multiple directories, it is recommended to pass `ocamldep` the same `-I` options that are passed to the compiler.

**-nocwd**

Do not add current working directory to the list of include directories.

**-impl *file***

Process *file* as a `.ml` file.

**-intf *file***

Process *file* as a `.mli` file.

**-map *file***

Read and propagate the delayed dependencies for module aliases in *file*, so that the following files will depend on the exported aliased modules if they use them. See the example below.

**-ml-synonym *.ext***

Consider the given extension (with leading dot) to be a synonym for `.ml`.

**-mli-synonym *.ext***

Consider the given extension (with leading dot) to be a synonym for `.mli`.

**-modules**

Output raw dependencies of the form

```
filename: Module1 Module2 ... ModuleN
```

where `Module1`, ..., `ModuleN` are the names of the compilation units referenced within the file `filename`, but these names are not resolved to source file names. Such raw dependencies cannot be used by `make`, but can be post-processed by other tools such as `Omake`.

**-native**

Generate dependencies for a pure native-code program (no bytecode version). When an implementation file (`.ml` file) has no explicit interface file (`.mli` file), `ocamldep` generates dependencies on the bytecode compiled file (`.cmo` file) to reflect interface changes. This can cause unnecessary bytecode recompilations for programs that are compiled to native-code only.



The flag `-native` causes dependencies on native compiled files (`.cmx`) to be generated instead of on `.cmo` files. (This flag makes no difference if all source files have explicit `.mli` interface files.)

**-one-line**

Output one line per file, regardless of the length.

**-open *module***

Assume that module *module* is opened before parsing each of the following files.

**-pp *command***

Cause *ocamldep* to call the given *command* as a preprocessor for each source file.

**-ppx *command***

Pipe abstract syntax trees through preprocessor *command*.

**-shared**

Generate dependencies for native plugin files (`.cmxs`) in addition to native compiled files (`.cmx`).

**-slash**

Under Windows, use a forward slash (/) as the path separator instead of the usual backward slash (\). Under Unix, this option does nothing.

**-sort**

Sort files according to their dependencies.

**-version**

Print version string and exit.

**-vnum**

Print short version number and exit.

**-help or --help**

Display a short usage summary and exit.

## 18.2 A typical Makefile

Here is a template Makefile for a OCaml program.

```
OCAMLC=ocamlc
OCAMLOPT=ocamlopt
OCAMLDEP=ocamldep
INCLUDES=          # all relevant -I options here
OCAMLFLAGS=$(INCLUDES) # add other options for ocamlc here
OCAMLOPTFLAGS=$(INCLUDES) # add other options for ocamlopt here

# prog1 should be compiled to bytecode, and is composed of three
```

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```
# units: mod1, mod2 and mod3.

# The list of object files for prog1
PROG1_OBJS=mod1.cmo mod2.cmo mod3.cmo

prog1: $(PROG1_OBJS)
        $(OCAMLC) -o prog1 $(OCAMLFLAGS) $(PROG1_OBJS)

# prog2 should be compiled to native-code, and is composed of two
# units: mod4 and mod5.

# The list of object files for prog2
PROG2_OBJS=mod4.cmx mod5.cmx

prog2: $(PROG2_OBJS)
        $(OCAMLOPT) -o prog2 $(OCAMLFLAGS) $(PROG2_OBJS)

# Common rules

%.cmo: %.ml
        $(OCAMLC) $(OCAMLFLAGS) -c $<

%.cmi: %.mli
        $(OCAMLC) $(OCAMLFLAGS) -c $<

%.cmx: %.ml
        $(OCAMLOPT) $(OCAMLOPTFLAGS) -c $<

# Clean up
clean:
        rm -f prog1 prog2
        rm -f *.cm[ix]

# Dependencies
depend:
        $(OCAMLDEP) $(INCLUDES) *.mli *.ml > .depend

include .depend
```

If you use module aliases to give shorter names to modules, you need to change the above definitions. Assuming that your map file is called `mylib.mli`, here are minimal modifications.

```
OCAMLFLAGS=$(INCLUDES) -open Mylib

mylib.cmi: mylib.mli
        $(OCAMLC) $(INCLUDES) -no-alias-deps -w -49 -c $<
```

depend:

```
$(OCAMLDEP) $(INCLUDES) -map mylib.mli $(PROG1_OBJS:.cmo=.ml) > .depend
```

Note that in this case you should not compute dependencies for `mylib.mli` together with the other files, hence the need to pass explicitly the list of files to process. If `mylib.mli` itself has dependencies, you should compute them using `-as-map`.



## Chapter 19

# The documentation generator (ocamldoc)

This chapter describes OCamldoc, a tool that generates documentation from special comments embedded in source files. The comments used by OCamldoc are of the form `(**...*)` and follow the format described in section [19.2](#).

OCamldoc can produce documentation in various formats: HTML,  $\text{\LaTeX}$ , TeXinfo, Unix man pages, and dot dependency graphs. Moreover, users can add their own custom generators, as explained in section [19.3](#).

In this chapter, we use the word *element* to refer to any of the following parts of an OCaml source file: a type declaration, a value, a module, an exception, a module type, a type constructor, a record field, a class, a class type, a class method, a class value or a class inheritance clause.

## 19.1 Usage

### 19.1.1 Invocation

OCamldoc is invoked via the command `ocamldoc`, as follows:

```
ocamldoc options sourcefiles
```

### Options for choosing the output format

The following options determine the format for the generated documentation.

#### `-html`

Generate documentation in HTML default format. The generated HTML pages are stored in the current directory, or in the directory specified with the `-d` option. You can customize the style of the generated pages by editing the generated `style.css` file, or by providing your own style sheet using option `-css-style`. The file `style.css` is not generated if it already exists or if `-css-style` is used.

#### `-latex`

Generate documentation in  $\text{\LaTeX}$  default format. The generated  $\text{\LaTeX}$  document is saved in

file `ocamldoc.out`, or in the file specified with the `-o` option. The document uses the style file `ocamldoc.sty`. This file is generated when using the `-latex` option, if it does not already exist. You can change this file to customize the style of your L<sup>A</sup>T<sub>E</sub>X documentation.

**-texi**

Generate documentation in TeXinfo default format. The generated L<sup>A</sup>T<sub>E</sub>X document is saved in file `ocamldoc.out`, or in the file specified with the `-o` option.

**-man**

Generate documentation as a set of Unix `man` pages. The generated pages are stored in the current directory, or in the directory specified with the `-d` option.

**-dot**

Generate a dependency graph for the toplevel modules, in a format suitable for displaying and processing by `dot`. The `dot` tool is available from <https://graphviz.org/>. The textual representation of the graph is written to the file `ocamldoc.out`, or to the file specified with the `-o` option. Use `dot ocamldoc.out` to display it.

**-g *file.cm[o,a,xs]***

Dynamically load the given file, which defines a custom documentation generator. See section 19.4.1. This option is supported by the `ocamldoc` command (to load `.cmo` and `.cma` files) and by its native-code version `ocamldoc.opt` (to load `.cmxs` files). If the given file is a simple one and does not exist in the current directory, then `ocamldoc` looks for it in the custom generators default directory, and in the directories specified with optional `-i` options.

**-customdir**

Display the custom generators default directory.

**-i *directory***

Add the given directory to the path where to look for custom generators.

## General options

**-d *dir***

Generate files in directory *dir*, rather than the current directory.

**-dump *file***

Dump collected information into *file*. This information can be read with the `-load` option in a subsequent invocation of `ocamldoc`.

**-hide *modules***

Hide the given complete module names in the generated documentation. *modules* is a list of complete module names separated by `'`, `'`, without blanks. For instance: `Stdlib,M2.M3`.

**-inv-merge-ml-mli**

Reverse the precedence of implementations and interfaces when merging. All elements in implementation files are kept, and the `-m` option indicates which parts of the comments in interface files are merged with the comments in implementation files.

**-keep-code**

Always keep the source code for values, methods and instance variables, when available.

**-load *file***

Load information from *file*, which has been produced by *ocamldoc -dump*. Several **-load** options can be given.

**-m *flags***

Specify merge options between interfaces and implementations. (see section 19.1.2 for details). *flags* can be one or several of the following characters:

d	merge description
a	merge @author
v	merge @version
l	merge @see
s	merge @since
b	merge @before
o	merge @deprecated
p	merge @param
e	merge @raise
r	merge @return
A	merge everything

**-no-custom-tags**

Do not allow custom @-tags (see section 19.2.12).

**-no-stop**

Keep elements placed after/between the **(\*\*/\*\*)** special comment(s) (see section 19.2).

**-o *file***

Output the generated documentation to *file* instead of *ocamldoc.out*. This option is meaningful only in conjunction with the **-latex**, **-texi**, or **-dot** options.

**-pp *command***

Pipe sources through preprocessor *command*.

**-impl *filename***

Process the file *filename* as an implementation file, even if its extension is not **.ml**.

**-intf *filename***

Process the file *filename* as an interface file, even if its extension is not **.mli**.

**-text *filename***

Process the file *filename* as a text file, even if its extension is not **.txt**.

**-sort**

Sort the list of top-level modules before generating the documentation.

**-stars**

Remove blank characters until the first asterisk (`'*`') in each line of comments.

**-t *title***

Use *title* as the title for the generated documentation.

**-intro *file***

Use content of *file* as ocaml doc text to use as introduction (HTML, L<sup>A</sup>T<sub>E</sub>X and TeXinfo only).  
For HTML, the file is used to create the whole `index.html` file.

**-v**    Verbose mode. Display progress information.**-version**

Print version string and exit.

**-vnum**

Print short version number and exit.

**-warn-error**

Treat Ocaml doc warnings as errors.

**-hide-warnings**

Do not print OCaml doc warnings.

**-help or --help**

Display a short usage summary and exit.

## Type-checking options

OCaml doc calls the OCaml type-checker to obtain type information. The following options impact the type-checking phase. They have the same meaning as for the `ocamlc` and `ocamlopt` commands.

**-I *directory***

Add *directory* to the list of directories search for compiled interface files (`.cmi` files).

**-nolabels**

Ignore non-optional labels in types.

**-rectypes**

Allow arbitrary recursive types. (See the `-rectypes` option to `ocamlc`.)

## Options for generating HTML pages

The following options apply in conjunction with the `-html` option:

**-all-params**

Display the complete list of parameters for functions and methods.

**-charset *charset***

Add information about character encoding being *charset* (default is iso-8859-1).



**-colorize-code**

Colorize the OCaml code enclosed in `[ ]` and `{[ ]}`, using colors to emphasize keywords, etc. If the code fragments are not syntactically correct, no color is added.

**-css-style *filename***

Use *filename* as the Cascading Style Sheet file.

**-index-only**

Generate only index files.

**-short-functors**

Use a short form to display functors:

```
module M : functor (A:Module) -> functor (B:Module2) -> sig .. end
```

is displayed as:

```
module M (A:Module) (B:Module2) : sig .. end
```

**Options for generating L<sup>A</sup>T<sub>E</sub>X files**

The following options apply in conjunction with the **-latex** option:

**-latex-value-prefix *prefix***

Give a prefix to use for the labels of the values in the generated L<sup>A</sup>T<sub>E</sub>X document. The default prefix is the empty string. You can also use the options **-latex-type-prefix**, **-latex-exception-prefix**, **-latex-module-prefix**, **-latex-module-type-prefix**, **-latex-class-prefix**, **-latex-class-type-prefix**, **-latex-attribute-prefix** and **-latex-method-prefix**.

These options are useful when you have, for example, a type and a value with the same name. If you do not specify prefixes, L<sup>A</sup>T<sub>E</sub>X will complain about multiply defined labels.

**-latextitle *n,style***

Associate style number *n* to the given L<sup>A</sup>T<sub>E</sub>X sectioning command *style*, e.g. **section** or **subsection**. (L<sup>A</sup>T<sub>E</sub>X only.) This is useful when including the generated document in another L<sup>A</sup>T<sub>E</sub>X document, at a given sectioning level. The default association is 1 for **section**, 2 for **subsection**, 3 for **subsubsection**, 4 for **paragraph** and 5 for **subparagraph**.

**-noheader**

Suppress header in generated documentation.

**-notoc**

Do not generate a table of contents.

**-notrailer**

Suppress trailer in generated documentation.

**-sepfiles**

Generate one `.tex` file per toplevel module, instead of the global `ocamldoc.out` file.

## Options for generating TeXinfo files

The following options apply in conjunction with the `-texi` option:

- `-esc8`  
Escape accented characters in Info files.
- `-info-entry`  
Specify Info directory entry.
- `-info-section`  
Specify section of Info directory.
- `-noheader`  
Suppress header in generated documentation.
- `-noindex`  
Do not build index for Info files.
- `-notrailer`  
Suppress trailer in generated documentation.

## Options for generating dot graphs

The following options apply in conjunction with the `-dot` option:

- `-dot-colors colors`  
Specify the colors to use in the generated `dot` code. When generating module dependencies, `ocamldoc` uses different colors for modules, depending on the directories in which they reside. When generating types dependencies, `ocamldoc` uses different colors for types, depending on the modules in which they are defined. *colors* is a list of color names separated by ', ', as in `Red,Blue,Green`. The available colors are the ones supported by the `dot` tool.
- `-dot-include-all`  
Include all modules in the `dot` output, not only modules given on the command line or loaded with the `-load` option.
- `-dot-reduce`  
Perform a transitive reduction of the dependency graph before outputting the `dot` code. This can be useful if there are a lot of transitive dependencies that clutter the graph.
- `-dot-types`  
Output `dot` code describing the type dependency graph instead of the module dependency graph.

## Options for generating man files

The following options apply in conjunction with the `-man` option:

### `-man-mini`

Generate man pages only for modules, module types, classes and class types, instead of pages for all elements.

### `-man-suffix` *suffix*

Set the suffix used for generated man filenames. Default is `'3o'`, as in `List.3o`.

### `-man-section` *section*

Set the section number used for generated man filenames. Default is `'3'`.

## 19.1.2 Merging of module information

Information on a module can be extracted either from the `.mli` or `.ml` file, or both, depending on the files given on the command line. When both `.mli` and `.ml` files are given for the same module, information extracted from these files is merged according to the following rules:

- Only elements (values, types, classes, ...) declared in the `.mli` file are kept. In other terms, definitions from the `.ml` file that are not exported in the `.mli` file are not documented.
- Descriptions of elements and descriptions in `@`-tags are handled as follows. If a description for the same element or in the same `@`-tag of the same element is present in both files, then the description of the `.ml` file is concatenated to the one in the `.mli` file, if the corresponding `-m` flag is given on the command line. If a description is present in the `.ml` file and not in the `.mli` file, the `.ml` description is kept. In either case, all the information given in the `.mli` file is kept.

## 19.1.3 Coding rules

The following rules must be respected in order to avoid name clashes resulting in cross-reference errors:

- In a module, there must not be two modules, two module types or a module and a module type with the same name. In the default HTML generator, modules `ab` and `AB` will be printed to the same file on case insensitive file systems.
- In a module, there must not be two classes, two class types or a class and a class type with the same name.
- In a module, there must not be two values, two types, or two exceptions with the same name.
- Values defined in tuple, as in `let (x,y,z) = (1,2,3)` are not kept by `OCamldoc`.
- Avoid the following construction:

```

open Foo (* which has a module Bar with a value x *)
module Foo =
  struct
    module Bar =
      struct
        let x = 1
      end
    end
  end
  let dummy = Bar.x

```

In this case, OCamlDoc will associate `Bar.x` to the `x` of module `Foo` defined just above, instead of to the `Bar.x` defined in the opened module `Foo`.

## 19.2 Syntax of documentation comments

Comments containing documentation material are called *special comments* and are written between `(**` and `*)`. Special comments must start exactly with `(**`. Comments beginning with `(` and more than two `*` are ignored.

### 19.2.1 Placement of documentation comments

OCamlDoc can associate comments to some elements of the language encountered in the source files. The association is made according to the locations of comments with respect to the language elements. The locations of comments in `.mli` and `.ml` files are different.

### 19.2.2 Comments in `.mli` files

A special comment is associated to an element if it is placed before or after the element.

A special comment before an element is associated to this element if :

- There is no blank line or another special comment between the special comment and the element. However, a regular comment can occur between the special comment and the element.
- The special comment is not already associated to the previous element.
- The special comment is not the first one of a toplevel module.

A special comment after an element is associated to this element if there is no blank line or comment between the special comment and the element.

There are two exceptions: for constructors and record fields in type definitions, the associated comment can only be placed after the constructor or field definition, without blank lines or other comments between them. The special comment for a constructor with another constructor following must be placed before the `'|'` character separating the two constructors.

The following sample interface file `foo.mli` illustrates the placement rules for comments in `.mli` files.

```

(** The first special comment of the file is the comment associated
    with the whole module.**)

```

```

(** Special comments can be placed between elements and are kept
    by the OCaml doc tool, but are not associated to any element.
    @-tags in these comments are ignored. *)

(*****)
(** Comments like the one above, with more than two asterisks,
    are ignored. *)

(** The comment for function f. *)
val f : int -> int -> int
(** The continuation of the comment for function f. *)

(** Comment for exception My_exception, even with a simple comment
    between the special comment and the exception. *)
(* Hello, I'm a simple comment :- ) *)
exception My_exception of (int -> int) * int

(** Comment for type weather *)
type weather =
| Rain of int (** The comment for constructor Rain *)
| Sun (** The comment for constructor Sun *)

(** Comment for type weather2 *)
type weather2 =
| Rain of int (** The comment for constructor Rain *)
| Sun (** The comment for constructor Sun *)
(** I can continue the comment for type weather2 here
    because there is already a comment associated to the last constructor. *)

(** The comment for type my_record *)
type my_record = {
  foo : int ; (** Comment for field foo *)
  bar : string ; (** Comment for field bar *)
}
(** Continuation of comment for type my_record *)

(** Comment for foo *)
val foo : string
(** This comment is associated to foo and not to bar. *)
val bar : string
(** This comment is associated to bar. *)

(** The comment for class my_class *)

```

```

class my_class :
  object
    (** A comment to describe inheritance from cl *)
    inherit cl

    (** The comment for attribute tutu *)
    val mutable tutu : string

    (** The comment for attribute toto. *)
    val toto : int

    (** This comment is not attached to titi since
        there is a blank line before titi, but is kept
        as a comment in the class. *)

    val titi : string

    (** Comment for method toto *)
    method toto : string

    (** Comment for method m *)
    method m : float -> int
  end

(** The comment for the class type my_class_type *)
class type my_class_type =
  object
    (** The comment for variable x. *)
    val mutable x : int

    (** The comment for method m. *)
    method m : int -> int
  end

(** The comment for module Foo *)
module Foo :
  sig
    (** The comment for x *)
    val x : int

    (** A special comment that is kept but not associated to any element *)
  end

(** The comment for module type my_module_type. *)
module type my_module_type =

```

```

sig
  (** The comment for value x. *)
  val x : int

  (** The comment for module M. *)
  module M :
    sig
      (** The comment for value y. *)
      val y : int

      (* ... *)
    end
end

end

```

### 19.2.3 Comments in .ml files

A special comment is associated to an element if it is placed before the element and there is no blank line between the comment and the element. Meanwhile, there can be a simple comment between the special comment and the element. There are two exceptions, for constructors and record fields in type definitions, whose associated comment must be placed after the constructor or field definition, without blank line between them. The special comment for a constructor with another constructor following must be placed before the `'|'` character separating the two constructors.

The following example of file `toto.ml` shows where to place comments in a `.ml` file.

```

(** The first special comment of the file is the comment associated
    to the whole module. *)

(** The comment for function f *)
let f x y = x + y

(** This comment is not attached to any element since there is another
    special comment just before the next element. *)

(** Comment for exception My_exception, even with a simple comment
    between the special comment and the exception. *)
(* A simple comment. *)
exception My_exception of (int -> int) * int

(** Comment for type weather *)
type weather =
| Rain of int (** The comment for constructor Rain *)
| Sun (** The comment for constructor Sun *)

(** The comment for type my_record *)
type my_record = {

```

```

    foo : int ;    (** Comment for field foo *)
    bar : string ; (** Comment for field bar *)
}

(** The comment for class my_class *)
class my_class =
  object
    (** A comment to describe inheritance from cl *)
    inherit cl

    (** The comment for the instance variable tutu *)
    val mutable tutu = "tutu"
    (** The comment for toto *)
    val toto = 1
    val titi = "titi"
    (** Comment for method toto *)
    method toto = tutu ^ "!"
    (** Comment for method m *)
    method m (f : float) = 1
  end

(** The comment for class type my_class_type *)
class type my_class_type =
  object
    (** The comment for the instance variable x. *)
    val mutable x : int
    (** The comment for method m. *)
    method m : int -> int
  end

(** The comment for module Foo *)
module Foo =
  struct
    (** The comment for x *)
    let x = 0
    (** A special comment in the class, but not associated to any element. *)
  end

(** The comment for module type my_module_type. *)
module type my_module_type =
  sig
    (* Comment for value x. *)
    val x : int
    (* ... *)
  end

```



### 19.2.4 The Stop special comment

The special comment `(**/**)` tells OCamldoc to discard elements placed after this comment, up to the end of the current class, class type, module or module type, or up to the next stop comment. For instance:

```
class type foo =
  object
    (** comment for method m *)
    method m : string

    (**/**)

    (** This method won't appear in the documentation *)
    method bar : int
  end

(** This value appears in the documentation, since the Stop special comment
    in the class does not affect the parent module of the class.*)
val foo : string

(**/**)
(** The value bar does not appear in the documentation.*)
val bar : string

(**/**)

(** The type t appears since in the documentation since the previous stop comment
    toggled off the "no documentation mode". *)
type t = string
```

The `-no-stop` option to `ocamldoc` causes the Stop special comments to be ignored.

### 19.2.5 Syntax of documentation comments

The inside of documentation comments `(**...*)` consists of free-form text with optional formatting annotations, followed by optional *tags* giving more specific information about parameters, version, authors, ... The tags are distinguished by a leading `@` character. Thus, a documentation comment has the following shape:

```
(** The comment begins with a description, which is text formatted
    according to the rules described in the next section.
    The description continues until the first non-escaped '@' character.
    @author Mr Smith
    @param x description for parameter x
*)
```

Some elements support only a subset of all `@`-tags. Tags that are not relevant to the documented element are simply ignored. For instance, all tags are ignored when documenting type constructors,

record fields, and class inheritance clauses. Similarly, a `@param` tag on a class instance variable is ignored.

At last, `(**)` is the empty documentation comment.

### 19.2.6 Text formatting

Here is the BNF grammar for the simple markup language used to format text descriptions.

$$\text{text} ::= \{\text{text-element}\}^+$$

$$\text{inline-text} ::= \{\text{inline-text-element}\}^+$$

$$\text{text-element} ::=$$

	<i>inline-text-element</i>	
	<i>blank-line</i>	force a new line.

$$\text{inline-text-element} ::=$$

	$\{\{0 \dots 9\}^+ \text{inline-text}\}$	format <i>text</i> as a section header; the integer following $\{$ indicates the sectioning level.
	$\{\{0 \dots 9\}^+ : \text{label inline-text}\}$	same, but also associate the name <i>label</i> to the current point. This point can be referenced by its fully-qualified label in a $\{\!$ command, just like any other element.
	$\{\mathbf{b inline-text}\}$	set <i>text</i> in bold.
	$\{\mathbf{i inline-text}\}$	set <i>text</i> in italic.
	$\{\mathbf{e inline-text}\}$	emphasize <i>text</i> .
	$\{\mathbf{C inline-text}\}$	center <i>text</i> .
	$\{\mathbf{L inline-text}\}$	left align <i>text</i> .
	$\{\mathbf{R inline-text}\}$	right align <i>text</i> .
	$\{\mathbf{ul list}\}$	build a list.
	$\{\mathbf{ol list}\}$	build an enumerated list.
	$\{\{\text{: string}\} \text{inline-text}\}$	put a link to the given address (given as <i>string</i> ) on the given <i>text</i> .
	$[\text{string}]$	set the given <i>string</i> in source code style.
	$\{[\text{string}]\}$	set the given <i>string</i> in preformatted source code style.
	$\{\mathbf{v string v}\}$	set the given <i>string</i> in verbatim style.
	$\{\% \text{string} \%\}$	target-specific content ( $\text{\LaTeX}$ code by default, see details in <a href="#">19.2.10</a> )
	$\{\!\text{string}\}$	insert a cross-reference to an element (see section <a href="#">19.2.8</a> for the syntax of cross-references).
	$\{\!\text{string}\} \text{inline-text}\}$	insert a cross-reference with the given text.
	$\{\!\text{modules: string string...}\}$	insert an index table for the given module names. Used in HTML only.
	$\{\!\text{indexlist}\}$	insert a table of links to the various indexes (types, values, modules, ...). Used in HTML only.
	$\{\^ \text{inline-text}\}$	set text in superscript.
	$\{\_ \text{inline-text}\}$	set text in subscript.
	<i>escaped-string</i>	typeset the given string as is; special characters ( $\{'\}$ , $\}'\}$ , $\{'\}$ , $\}'\}$ and $\{'\}$ ) must be escaped by a $\backslash$

### 19.2.7 List formatting

```
list ::=
      |  {{- inline-text }}+
      |  {{li inline-text }}+
```

A shortcut syntax exists for lists and enumerated lists:

```
(** Here is a {b list}
- item 1
- item 2
- item 3
```

The list is ended by the blank line.\*)

is equivalent to:

```
(** Here is a {b list}
{ul {- item 1}
{- item 2}
{- item 3}}
The list is ended by the blank line.*)
```

The same shortcut is available for enumerated lists, using '+' instead of '-'. Note that only one list can be defined by this shortcut in nested lists.

### 19.2.8 Cross-reference formatting

Cross-references are fully qualified element names, as in the example `{!Foo.Bar.t}`. This is an ambiguous reference as it may designate a type name, a value name, a class name, etc. It is possible to make explicit the intended syntactic class, using `{!type:Foo.Bar.t}` to designate a type, and `{!val:Foo.Bar.t}` a value of the same name.

The list of possible syntactic class is as follows:

tag	syntactic class
<code>module:</code>	module
<code>modtype:</code>	module type
<code>class:</code>	class
<code>classtype:</code>	class type
<code>val:</code>	value
<code>type:</code>	type
<code>exception:</code>	exception
<code>attribute:</code>	attribute
<code>method:</code>	class method
<code>section:</code>	ocamldoc section
<code>const:</code>	variant constructor
<code>recfield:</code>	record field

In the case of variant constructors or record fields, the constructor or field name should be preceded by the name of the corresponding type to avoid the ambiguity of several types having the same constructor names. For example, the constructor `Node` of the type `tree` will be referenced as `{!tree.Node}` or `{!const:tree.Node}`, or possibly `{!Mod1.Mod2.tree.Node}` from outside the module.

### 19.2.9 First sentence

In the description of a value, type, exception, module, module type, class or class type, the *first sentence* is sometimes used in indexes, or when just a part of the description is needed. The first sentence is composed of the first characters of the description, until

- the first dot followed by a blank, or
- the first blank line

outside of the following text formatting : `{ul list }`, `{ol list }`, `[ string ]`, `{[ string ]}`, `{v string v}`, `{% string %}`, `{! string }`, `{^ text }`, `{_ text }`.

### 19.2.10 Target-specific formatting

The content inside `{%foo: ... %}` is target-specific and will be interpreted only by the backend `foo`, and ignored by other backends. The backends of the distribution are `latex`, `html`, `texi` and `man`. If no target is specified (syntax `{% ... %}`), `latex` is chosen by default. Custom generators may support their own target prefix.

### 19.2.11 Recognized HTML tags

The HTML tags `<b>..</b>`, `<code>..</code>`, `<i>..</i>`, `<ul>..</ul>`, `<ol>..</ol>`, `<li>..</li>`, `<center>..</center>` and `<h[0-9]>..</h[0-9]>` can be used instead of, respectively, `{b_..}`, `[..]`, `{i_..}`, `{ul_..}`, `{ol_..}`, `{li_..}`, `{C_..}` and `{[0-9] ..}`.

### 19.2.12 Documentation tags (@-tags)

### 19.2.13 Predefined tags

The following table gives the list of predefined @-tags, with their syntax and meaning.

<code>@author <i>string</i></code>	The author of the element. One author per <code>@author</code> tag. There may be several <code>@author</code> tags for the same element.
<code>@deprecated <i>text</i></code>	The <i>text</i> should describe when the element was deprecated, what to use as a replacement, and possibly the reason for deprecation.
<code>@param <i>id text</i></code>	Associate the given description ( <i>text</i> ) to the given parameter name <i>id</i> . This tag is used for functions, methods, classes and functors.
<code>@raise <i>Exc text</i></code>	Explain that the element may raise the exception <i>Exc</i> .
<code>@return <i>text</i></code>	Describe the return value and its possible values. This tag is used for functions and methods.
<code>@see &lt; <i>URL</i> &gt; <i>text</i></code>	Add a reference to the <i>URL</i> with the given <i>text</i> as comment.
<code>@see '<i>filename</i>' <i>text</i></code>	Add a reference to the given file name (written between single quotes), with the given <i>text</i> as comment.
<code>@see "<i>document-name</i>" <i>text</i></code>	Add a reference to the given document name (written between double quotes), with the given <i>text</i> as comment.
<code>@since <i>string</i></code>	Indicate when the element was introduced.
<code>@before <i>version text</i></code>	Associate the given description ( <i>text</i> ) to the given <i>version</i> in order to document compatibility issues.
<code>@version <i>string</i></code>	The version number for the element.

### 19.2.14 Custom tags

You can use custom tags in the documentation comments, but they will have no effect if the generator used does not handle them. To use a custom tag, for example `foo`, just put `@foo` with some text in your comment, as in:

```
(** My comment to show you a custom tag.
@foo this is the text argument to the [foo] custom tag.
*)
```

To handle custom tags, you need to define a custom generator, as explained in section [19.3.2](#).

## 19.3 Custom generators

OCamlDoc operates in two steps:

1. analysis of the source files;
2. generation of documentation, through a documentation generator, which is an object of class `Odoc_args.class_generator`.

Users can provide their own documentation generator to be used during step 2 instead of the default generators. All the information retrieved during the analysis step is available through the `Odoc_info` module, which gives access to all the types and functions representing the elements found in the given modules, with their associated description.

The files you can use to define custom generators are installed in the `ocamlDoc` sub-directory of the OCaml standard library.

### 19.3.1 The generator modules

The type of a generator module depends on the kind of generated documentation. Here is the list of generator module types, with the name of the generator class in the module :

- for HTML : `Odoc_html.Html_generator` (class `html`),
- for L<sup>A</sup>T<sub>E</sub>X : `Odoc_latex.Latex_generator` (class `latex`),
- for TeXinfo : `Odoc_texi.Texi_generator` (class `texi`),
- for man pages : `Odoc_man.Man_generator` (class `man`),
- for graphviz (dot) : `Odoc_dot.Dot_generator` (class `dot`),
- for other kinds : `Odoc_gen.Base` (class `generator`).

That is, to define a new generator, one must implement a module with the expected signature, and with the given generator class, providing the `generate` method as entry point to make the generator generates documentation for a given list of modules :

```
method generate : Odoc_info.Module.t_module list -> unit
```

This method will be called with the list of analysed and possibly merged `Odoc_info.t_module` structures.

It is recommended to inherit from the current generator of the same kind as the one you want to define. Doing so, it is possible to load various custom generators to combine improvements brought by each one.

This is done using first class modules (see chapter [12.5](#)).

The easiest way to define a custom generator is the following this example, here extending the current HTML generator. We don't have to know if this is the original HTML generator defined in `ocamldoc` or if it has been extended already by a previously loaded custom generator :

```
module Generator (G : Odoc_html.Html_generator) =
struct
  class html =
    object(self)
      inherit G.html as html
      (* ... *)

      method generate module_list =
        (* ... *)
        ()

      (* ... *)
    end
end;;

let _ = Odoc_args.extend_html_generator (module Generator : Odoc_gen.Html_functor);;
```

To know which methods to override and/or which methods are available, have a look at the different base implementations, depending on the kind of generator you are extending :

- for HTML : `odoc_html.ml`,
- for  $\text{\LaTeX}$  : `odoc_latex.ml`,
- for TeXinfo : `odoc_texi.ml`,
- for man pages : `odoc_man.ml`,
- for graphviz (dot) : `odoc_dot.ml`.

### 19.3.2 Handling custom tags

Making a custom generator handle custom tags (see [19.2.14](#)) is very simple.

#### For HTML

Here is how to develop a HTML generator handling your custom tags.

The class `Odoc_html.Generator.html` inherits from the class `Odoc_html.info`, containing a field `tag_functions` which is a list pairs composed of a custom tag (e.g. "foo") and a function taking a `text` and returning HTML code (of type `string`). To handle a new tag `bar`, extend the current HTML generator and complete the `tag_functions` field:

```
module Generator (G : Odoc_html.Html_generator) =
struct
  class html =
    object(self)
      inherit G.html

      (** Return HTML code for the given text of a bar tag. *)
      method html_of_bar t = (* your code here *)

      initializer
        tag_functions <- ("bar", self#html_of_bar) :: tag_functions
    end
  end
let _ = Odoc_args.extend_html_generator (module Generator : Odoc_gen.Html_functor);;
```

Another method of the class `Odoc_html.info` will look for the function associated to a custom tag and apply it to the text given to the tag. If no function is associated to a custom tag, then the method prints a warning message on `stderr`.

### 19.3.3 For other generators

You can act the same way for other kinds of generators.



## 19.4 Adding command line options

The command line analysis is performed after loading the module containing the documentation generator, thus allowing command line options to be added to the list of existing ones. Adding an option can be done with the function

```
Odoc_args.add_option : string * Arg.spec * string -> unit
```

Note: Existing command line options can be redefined using this function.

### 19.4.1 Compilation and usage

#### 19.4.2 Defining a custom generator class in one file

Let `custom.ml` be the file defining a new generator class. Compilation of `custom.ml` can be performed by the following command :

```
ocamlc -I +ocamldoc -c custom.ml
```

The file `custom.cmo` is created and can be used this way :

```
ocamldoc -g custom.cmo other-options source-files
```

Options selecting a built-in generator to `ocamldoc`, such as `-html`, have no effect if a custom generator of the same kind is provided using `-g`. If the kinds do not match, the selected built-in generator is used and the custom one is ignored.

#### 19.4.3 Defining a custom generator class in several files

It is possible to define a generator class in several modules, which are defined in several files `file1.ml[i]`, `file2.ml[i]`, ..., `filen.ml[i]`. A `.cma` library file must be created, including all these files.

The following commands create the `custom.cma` file from files `file1.ml[i]`, ..., `filen.ml[i]` :

```
ocamlc -I +ocamldoc -c file1.ml[i]
ocamlc -I +ocamldoc -c file2.ml[i]
...
ocamlc -I +ocamldoc -c filen.ml[i]
ocamlc -o custom.cma -a file1.cmo file2.cmo ... filen.cmo
```

Then, the following command uses `custom.cma` as custom generator:

```
ocamldoc -g custom.cma other-options source-files
```



## Chapter 20

# The debugger (`ocamldebug`)

This chapter describes the OCaml source-level replay debugger `ocamldebug`.

### Unix:

The debugger is available on Unix systems that provide BSD sockets.

### Windows:

The debugger is available under the Cygwin port of OCaml, but not under the native Win32 ports.

## 20.1 Compiling for debugging

Before the debugger can be used, the program must be compiled and linked with the `-g` option: all `.cmo` and `.cma` files that are part of the program should have been created with `ocamlc -g`, and they must be linked together with `ocamlc -g`.

Compiling with `-g` entails no penalty on the running time of programs: object files and bytecode executable files are bigger and take longer to produce, but the executable files run at exactly the same speed as if they had been compiled without `-g`.

## 20.2 Invocation

### 20.2.1 Starting the debugger

The OCaml debugger is invoked by running the program `ocamldebug` with the name of the bytecode executable file as first argument:

```
ocamldebug [options] program [arguments]
```

The arguments following *program* are optional, and are passed as command-line arguments to the program being debugged. (See also the `set arguments` command.)

The following command-line options are recognized:

`-c count`

Set the maximum number of simultaneously live checkpoints to *count*.

- cd *dir***  
Run the debugger program from the working directory *dir*, instead of the current directory. (See also the **cd** command.)
- emacs**  
Tell the debugger it is executed under Emacs. (See section 20.10 for information on how to run the debugger under Emacs.)
- I *directory***  
Add *directory* to the list of directories searched for source files and compiled files. (See also the **directory** command.)
- s *socket***  
Use *socket* for communicating with the debugged program. See the description of the command **set socket** (section 20.8.8) for the format of *socket*.
- version**  
Print version string and exit.
- vnum**  
Print short version number and exit.
- help or --help**  
Display a short usage summary and exit.

### 20.2.2 Initialization file

On start-up, the debugger will read commands from an initialization file before giving control to the user. The default file is `.ocamldebug` in the current directory if it exists, otherwise `.ocamldebug` in the user's home directory.

### 20.2.3 Exiting the debugger

The command **quit** exits the debugger. You can also exit the debugger by typing an end-of-file character (usually `ctrl-D`).

Typing an interrupt character (usually `ctrl-C`) will not exit the debugger, but will terminate the action of any debugger command that is in progress and return to the debugger command level.

## 20.3 Commands

A debugger command is a single line of input. It starts with a command name, which is followed by arguments depending on this name. Examples:

```
run
goto 1000
set arguments arg1 arg2
```

A command name can be truncated as long as there is no ambiguity. For instance, `go 1000` is understood as `goto 1000`, since there are no other commands whose name starts with `go`. For the most frequently used commands, ambiguous abbreviations are allowed. For instance, `r` stands for `run` even though there are others commands starting with `r`. You can test the validity of an abbreviation using the `help` command.

If the previous command has been successful, a blank line (typing just `RET`) will repeat it.

### 20.3.1 Getting help

The OCaml debugger has a simple on-line help system, which gives a brief description of each command and variable.

`help`

Print the list of commands.

`help command`

Give help about the command *command*.

`help set variable`, `help show variable`

Give help about the variable *variable*. The list of all debugger variables can be obtained with `help set`.

`help info topic`

Give help about *topic*. Use `help info` to get a list of known topics.

### 20.3.2 Accessing the debugger state

`set variable value`

Set the debugger variable *variable* to the value *value*.

`show variable`

Print the value of the debugger variable *variable*.

`info subject`

Give information about the given subject. For instance, `info breakpoints` will print the list of all breakpoints.

## 20.4 Executing a program

### 20.4.1 Events

Events are “interesting” locations in the source code, corresponding to the beginning or end of evaluation of “interesting” sub-expressions. Events are the unit of single-stepping (stepping goes to the next or previous event encountered in the program execution). Also, breakpoints can only be set at events. Thus, events play the role of line numbers in debuggers for conventional languages.

During program execution, a counter is incremented at each event encountered. The value of this counter is referred as the *current time*. Thanks to reverse execution, it is possible to jump back and forth to any time of the execution.

Here is where the debugger events (written  $\bowtie$ ) are located in the source code:

- Following a function application:

```
(f arg)⊞
```

- On entrance to a function:

```
fun x y z -> ⊞ ...
```

- On each case of a pattern-matching definition (function, `match...with` construct, `try...with` construct):

```
function pat1 -> ⊞ expr1
      | ...
      | patN -> ⊞ exprN
```

- Between subexpressions of a sequence:

```
expr1; ⊞ expr2; ⊞ ...; ⊞ exprN
```

- In the two branches of a conditional expression:

```
if cond then ⊞ expr1 else ⊞ expr2
```

- At the beginning of each iteration of a loop:

```
while cond do ⊞ body done
for i = a to b do ⊞ body done
```

Exceptions: A function application followed by a function return is replaced by the compiler by a jump (tail-call optimization). In this case, no event is put after the function application.

### 20.4.2 Starting the debugged program

The debugger starts executing the debugged program only when needed. This allows setting breakpoints or assigning debugger variables before execution starts. There are several ways to start execution:

**run** Run the program until a breakpoint is hit, or the program terminates.

**goto 0**

Load the program and stop on the first event.

**goto *time***

Load the program and execute it until the given time. Useful when you already know approximately at what time the problem appears. Also useful to set breakpoints on function values that have not been computed at time 0 (see section [20.5](#)).

The execution of a program is affected by certain information it receives when the debugger starts it, such as the command-line arguments to the program and its working directory. The debugger provides commands to specify this information (`set arguments` and `cd`). These commands must be used before program execution starts. If you try to change the arguments or the working directory after starting your program, the debugger will kill the program (after asking for confirmation).

### 20.4.3 Running the program

The following commands execute the program forward or backward, starting at the current time. The execution will stop either when specified by the command or when a breakpoint is encountered.

**run** Execute the program forward from current time. Stops at next breakpoint or when the program terminates.

**reverse**

Execute the program backward from current time. Mostly useful to go to the last breakpoint encountered before the current time.

**step** [*count*]

Run the program and stop at the next event. With an argument, do it *count* times. If *count* is 0, run until the program terminates or a breakpoint is hit.

**backstep** [*count*]

Run the program backward and stop at the previous event. With an argument, do it *count* times.

**next** [*count*]

Run the program and stop at the next event, skipping over function calls. With an argument, do it *count* times.

**previous** [*count*]

Run the program backward and stop at the previous event, skipping over function calls. With an argument, do it *count* times.

**finish**

Run the program until the current function returns.

**start**

Run the program backward and stop at the first event before the current function invocation.

### 20.4.4 Time travel

You can jump directly to a given time, without stopping on breakpoints, using the **goto** command.

As you move through the program, the debugger maintains an history of the successive times you stop at. The **last** command can be used to revisit these times: each **last** command moves one step back through the history. That is useful mainly to undo commands such as **step** and **next**.

**goto** *time*

Jump to the given time.

**last** [*count*]

Go back to the latest time recorded in the execution history. With an argument, do it *count* times.

**set history** *size*

Set the size of the execution history.

### 20.4.5 Killing the program

**kill**

Kill the program being executed. This command is mainly useful if you wish to recompile the program without leaving the debugger.

## 20.5 Breakpoints

A breakpoint causes the program to stop whenever a certain point in the program is reached. It can be set in several ways using the **break** command. Breakpoints are assigned numbers when set, for further reference. The most comfortable way to set breakpoints is through the Emacs interface (see section 20.10).

**break**

Set a breakpoint at the current position in the program execution. The current position must be on an event (i.e., neither at the beginning, nor at the end of the program).

**break** *function*

Set a breakpoint at the beginning of *function*. This works only when the functional value of the identifier *function* has been computed and assigned to the identifier. Hence this command cannot be used at the very beginning of the program execution, when all identifiers are still undefined; use **goto** *time* to advance execution until the functional value is available.

**break** @ [*module*] *line*

Set a breakpoint in module *module* (or in the current module if *module* is not given), at the first event of line *line*.

**break** @ [*module*] *line* *column*

Set a breakpoint in module *module* (or in the current module if *module* is not given), at the event closest to line *line*, column *column*.

**break** @ [*module*] # *character*

Set a breakpoint in module *module* at the event closest to character number *character*.

**break** *frag:pc*, **break** *pc*

Set a breakpoint at code address *frag:pc*. The integer *frag* is the identifier of a code fragment, a set of modules that have been loaded at once, either initially or with the **Dynlink** module. The integer *pc* is the instruction counter within this code fragment. If *frag* is omitted, it defaults to 0, which is the code fragment of the program loaded initially.

**delete** [*breakpoint-numbers*]

Delete the specified breakpoints. Without argument, all breakpoints are deleted (after asking for confirmation).

**info** **breakpoints**

Print the list of all breakpoints.



## 20.6 The call stack

Each time the program performs a function application, it saves the location of the application (the return address) in a block of data called a stack frame. The frame also contains the local variables of the caller function. All the frames are allocated in a region of memory called the call stack. The command **backtrace** (or **bt**) displays parts of the call stack.

At any time, one of the stack frames is “selected” by the debugger; several debugger commands refer implicitly to the selected frame. In particular, whenever you ask the debugger for the value of a local variable, the value is found in the selected frame. The commands **frame**, **up** and **down** select whichever frame you are interested in.

When the program stops, the debugger automatically selects the currently executing frame and describes it briefly as the **frame** command does.

**frame**

Describe the currently selected stack frame.

**frame** *frame-number*

Select a stack frame by number and describe it. The frame currently executing when the program stopped has number 0; its caller has number 1; and so on up the call stack.

**backtrace** [*count*], **bt** [*count*]

Print the call stack. This is useful to see which sequence of function calls led to the currently executing frame. With a positive argument, print only the innermost *count* frames. With a negative argument, print only the outermost *-count* frames.

**up** [*count*]

Select and display the stack frame just “above” the selected frame, that is, the frame that called the selected frame. An argument says how many frames to go up.

**down** [*count*]

Select and display the stack frame just “below” the selected frame, that is, the frame that was called by the selected frame. An argument says how many frames to go down.

## 20.7 Examining variable values

The debugger can print the current value of simple expressions. The expressions can involve program variables: all the identifiers that are in scope at the selected program point can be accessed.

Expressions that can be printed are a subset of OCaml expressions, as described by the following

grammar:

```

simple-expr ::= lowercase-ident
            | {capitalized-ident .} lowercase-ident
            | *
            | $ integer
            | simple-expr . lowercase-ident
            | simple-expr . ( integer )
            | simple-expr . [ integer ]
            | ! simple-expr
            | ( simple-expr )

```

The first two cases refer to a value identifier, either unqualified or qualified by the path to the structure that define it. `*` refers to the result just computed (typically, the value of a function application), and is valid only if the selected event is an “after” event (typically, a function application). `$ integer` refer to a previously printed value. The remaining four forms select part of an expression: respectively, a record field, an array element, a string element, and the current contents of a reference.

`print variables`

Print the values of the given variables. `print` can be abbreviated as `p`.

`display variables`

Same as `print`, but limit the depth of printing to 1. Useful to browse large data structures without printing them in full. `display` can be abbreviated as `d`.

When printing a complex expression, a name of the form `$integer` is automatically assigned to its value. Such names are also assigned to parts of the value that cannot be printed because the maximal printing depth is exceeded. Named values can be printed later on with the commands `p $integer` or `d $integer`. Named values are valid only as long as the program is stopped. They are forgotten as soon as the program resumes execution.

`set print_depth d`

Limit the printing of values to a maximal depth of *d*.

`set print_length l`

Limit the printing of values to at most *l* nodes printed.

## 20.8 Controlling the debugger

### 20.8.1 Setting the program name and arguments

`set program file`

Set the program name to *file*.

`set arguments arguments`

Give *arguments* as command-line arguments for the program.

A shell is used to pass the arguments to the debugged program. You can therefore use wildcards, shell variables, and file redirections inside the arguments. To debug programs that read from standard input, it is recommended to redirect their input from a file (using `set arguments < input-file`), otherwise input to the program and input to the debugger are not properly separated, and inputs are not properly replayed when running the program backwards.

## 20.8.2 How programs are loaded

The `loadingmode` variable controls how the program is executed.

`set loadingmode direct`

The program is run directly by the debugger. This is the default mode.

`set loadingmode runtime`

The debugger execute the OCaml runtime `ocamlrun` on the program. Rarely useful; moreover it prevents the debugging of programs compiled in “custom runtime” mode.

`set loadingmode manual`

The user starts manually the program, when asked by the debugger. Allows remote debugging (see section [20.8.8](#)).

## 20.8.3 Search path for files

The debugger searches for source files and compiled interface files in a list of directories, the search path. The search path initially contains the current directory `.` and the standard library directory. The `directory` command adds directories to the path.

Whenever the search path is modified, the debugger will clear any information it may have cached about the files.

`directory directorynames`

Add the given directories to the search path. These directories are added at the front, and will therefore be searched first.

`directory directorynames for modulename`

Same as `directory directorynames`, but the given directories will be searched only when looking for the source file of a module that has been packed into *modulename*.

`directory`

Reset the search path. This requires confirmation.

## 20.8.4 Working directory

Each time a program is started in the debugger, it inherits its working directory from the current working directory of the debugger. This working directory is initially whatever it inherited from its parent process (typically the shell), but you can specify a new working directory in the debugger with the `cd` command or the `-cd` command-line option.

`cd directory`

Set the working directory for `ocamldebug` to *directory*.

`pwd` Print the working directory for `ocamldebug`.

### 20.8.5 Turning reverse execution on and off

In some cases, you may want to turn reverse execution off. This speeds up the program execution, and is also sometimes useful for interactive programs.

Normally, the debugger takes checkpoints of the program state from time to time. That is, it makes a copy of the current state of the program (using the Unix system call `fork`). If the variable *checkpoints* is set to `off`, the debugger will not take any checkpoints.

`set checkpoints on/off`

Select whether the debugger makes checkpoints or not.

### 20.8.6 Behavior of the debugger with respect to fork

When the program issues a call to `fork`, the debugger can either follow the child or the parent. By default, the debugger follows the parent process. The variable *follow\_fork\_mode* controls this behavior:

`set follow_fork_mode child/parent`

Select whether to follow the child or the parent in case of a call to `fork`.

### 20.8.7 Stopping execution when new code is loaded

The debugger is compatible with the `Dynlink` module. However, when an external module is not yet loaded, it is impossible to set a breakpoint in its code. In order to facilitate setting breakpoints in dynamically loaded code, the debugger stops the program each time new modules are loaded. This behavior can be disabled using the *break\_on\_load* variable:

`set break_on_load on/off`

Select whether to stop after loading new code.

### 20.8.8 Communication between the debugger and the program

The debugger communicate with the program being debugged through a Unix socket. You may need to change the socket name, for example if you need to run the debugger on a machine and your program on another.

`set socket socket`

Use *socket* for communication with the program. *socket* can be either a file name, or an Internet port specification *host:port*, where *host* is a host name or an Internet address in dot notation, and *port* is a port number on the host.

On the debugged program side, the socket name is passed through the `CAML_DEBUG_SOCKET` environment variable.

### 20.8.9 Fine-tuning the debugger

Several variables enables to fine-tune the debugger. Reasonable defaults are provided, and you should normally not have to change them.

**set processcount** *count*

Set the maximum number of checkpoints to *count*. More checkpoints facilitate going far back in time, but use more memory and create more Unix processes.

As checkpointing is quite expensive, it must not be done too often. On the other hand, backward execution is faster when checkpoints are taken more often. In particular, backward single-stepping is more responsive when many checkpoints have been taken just before the current time. To fine-tune the checkpointing strategy, the debugger does not take checkpoints at the same frequency for long displacements (e.g. *run*) and small ones (e.g. *step*). The two variables **bigstep** and **smallstep** contain the number of events between two checkpoints in each case.

**set bigstep** *count*

Set the number of events between two checkpoints for long displacements.

**set smallstep** *count*

Set the number of events between two checkpoints for small displacements.

The following commands display information on checkpoints and events:

**info checkpoints**

Print a list of checkpoints.

**info events** [*module*]

Print the list of events in the given module (the current module, by default).

### 20.8.10 User-defined printers

Just as in the toplevel system (section 14.2), the user can register functions for printing values of certain types. For technical reasons, the debugger cannot call printing functions that reside in the program being debugged. The code for the printing functions must therefore be loaded explicitly in the debugger.

**load\_printer** "*file-name*"

Load in the debugger the indicated *.cmo* or *.cma* object file. The file is loaded in an environment consisting only of the OCaml standard library plus the definitions provided by object files previously loaded using **load\_printer**. If this file depends on other object files not yet loaded, the debugger automatically loads them if it is able to find them in the search path. The loaded file does not have direct access to the modules of the program being debugged.

**install\_printer** *printer-name*

Register the function named *printer-name* (a value path) as a printer for objects whose types match the argument type of the function. That is, the debugger will call *printer-name* when it has such an object to print. The printing function *printer-name* must use the **Format** library

module to produce its output, otherwise its output will not be correctly located in the values printed by the toplevel loop.

The value path *printer-name* must refer to one of the functions defined by the object files loaded using `load_printer`. It cannot reference the functions of the program being debugged.

`remove_printer printer-name`

Remove the named function from the table of value printers.

## 20.9 Miscellaneous commands

`list [module] [beginning] [end]`

List the source of module *module*, from line number *beginning* to line number *end*. By default, 20 lines of the current module are displayed, starting 10 lines before the current position.

`source filename`

Read debugger commands from the script *filename*.

## 20.10 Running the debugger under Emacs

The most user-friendly way to use the debugger is to run it under Emacs with the OCaml mode available through MELPA and also at <https://github.com/ocaml/caml-mode>.

The OCaml debugger is started under Emacs by the command `M-x camldebug`, with argument the name of the executable file *progrname* to debug. Communication with the debugger takes place in an Emacs buffer named `*camldebug-progrname*`. The editing and history facilities of Shell mode are available for interacting with the debugger.

In addition, Emacs displays the source files containing the current event (the current position in the program execution) and highlights the location of the event. This display is updated synchronously with the debugger action.

The following bindings for the most common debugger commands are available in the `*camldebug-progrname*` buffer:

`C-c C-s`

(command `step`): execute the program one step forward.

`C-c C-k`

(command `backstep`): execute the program one step backward.

`C-c C-n`

(command `next`): execute the program one step forward, skipping over function calls.

### Middle mouse button

(command `display`): display named value. `$n` under mouse cursor (support incremental browsing of large data structures).

`C-c C-p`

(command `print`): print value of identifier at point.

- C-c C-d**  
(command **display**): display value of identifier at point.
- C-c C-r**  
(command **run**): execute the program forward to next breakpoint.
- C-c C-v**  
(command **reverse**): execute the program backward to latest breakpoint.
- C-c C-l**  
(command **last**): go back one step in the command history.
- C-c C-t**  
(command **backtrace**): display backtrace of function calls.
- C-c C-f**  
(command **finish**): run forward till the current function returns.
- C-c <**  
(command **up**): select the stack frame below the current frame.
- C-c >**  
(command **down**): select the stack frame above the current frame.

In all buffers in OCaml editing mode, the following debugger commands are also available:

- C-x C-a C-b**  
(command **break**): set a breakpoint at event closest to point
- C-x C-a C-p**  
(command **print**): print value of identifier at point
- C-x C-a C-d**  
(command **display**): display value of identifier at point





## Chapter 21

# Profiling (ocamlprof)

This chapter describes how the execution of OCaml programs can be profiled, by recording how many times functions are called, branches of conditionals are taken, ...

### 21.1 Compiling for profiling

Before profiling an execution, the program must be compiled in profiling mode, using the `ocamlcp` front-end to the `ocamlc` compiler (see chapter 13) or the `ocamloptp` front-end to the `ocamlopt` compiler (see chapter 16). When compiling modules separately, `ocamlcp` or `ocamloptp` must be used when compiling the modules (production of `.cmo` or `.cmx` files), and can also be used (though this is not strictly necessary) when linking them together.

**Note** If a module (`.ml` file) doesn't have a corresponding interface (`.mli` file), then compiling it with `ocamlcp` will produce object files (`.cmi` and `.cmo`) that are not compatible with the ones produced by `ocamlc`, which may lead to problems (if the `.cmi` or `.cmo` is still around) when switching between profiling and non-profiling compilations. To avoid this problem, you should always have a `.mli` file for each `.ml` file. The same problem exists with `ocamloptp`.

**Note** To make sure your programs can be compiled in profiling mode, avoid using any identifier that begins with `__ocaml_prof.`

The amount of profiling information can be controlled through the `-P` option to `ocamlcp` or `ocamloptp`, followed by one or several letters indicating which parts of the program should be profiled:

- a all options
- f function calls : a count point is set at the beginning of each function body
- i **if ... then ... else ...** : count points are set in both **then** branch and **else** branch
- l **while, for** loops: a count point is set at the beginning of the loop body
- m **match** branches: a count point is set at the beginning of the body of each branch

**t**     **try ... with ...** branches: a count point is set at the beginning of the body of each branch

For instance, compiling with `ocamlcp -P film` profiles function calls, if...then...else..., loops and pattern matching.

Calling `ocamlcp` or `ocamloptp` without the `-P` option defaults to `-P fm`, meaning that only function calls and pattern matching are profiled.

**Note** For compatibility with previous releases, `ocamlcp` also accepts the `-p` option, with the same arguments and behaviour as `-P`.

The `ocamlcp` and `ocamloptp` commands also accept all the options of the corresponding `ocamlc` or `ocamlopt` compiler, except the `-pp` (preprocessing) option.

## 21.2 Profiling an execution

Running an executable that has been compiled with `ocamlcp` or `ocamloptp` records the execution counts for the specified parts of the program and saves them in a file called `ocamlprof.dump` in the current directory.

If the environment variable `OCAMLPARAM_DUMP` is set when the program exits, its value is used as the file name instead of `ocamlprof.dump`.

The dump file is written only if the program terminates normally (by calling `exit` or by falling through). It is not written if the program terminates with an uncaught exception.

If a compatible dump file already exists in the current directory, then the profiling information is accumulated in this dump file. This allows, for instance, the profiling of several executions of a program on different inputs. Note that dump files produced by byte-code executables (compiled with `ocamlcp`) are compatible with the dump files produced by native executables (compiled with `ocamloptp`).

## 21.3 Printing profiling information

The `ocamlprof` command produces a source listing of the program modules where execution counts have been inserted as comments. For instance,

```
ocamlprof foo.ml
```

prints the source code for the `foo` module, with comments indicating how many times the functions in this module have been called. Naturally, this information is accurate only if the source file has not been modified after it was compiled.

The following options are recognized by `ocamlprof`:

`-args filename`

Read additional newline-terminated command line arguments from *filename*.

`-args0 filename`

Read additional null character terminated command line arguments from *filename*.

`-f dumpfile`

Specifies an alternate dump file of profiling information to be read.

**-F *string***

Specifies an additional string to be output with profiling information. By default, **ocamlprof** will annotate programs with comments of the form `(* n *)` where *n* is the counter value for a profiling point. With option **-F s**, the annotation will be `(* sn *)`.

**-impl *filename***

Process the file *filename* as an implementation file, even if its extension is not `.ml`.

**-intf *filename***

Process the file *filename* as an interface file, even if its extension is not `.mli`.

**-version**

Print version string and exit.

**-vnum**

Print short version number and exit.

**-help or --help**

Display a short usage summary and exit.

## 21.4 Time profiling

Profiling with **ocamlprof** only records execution counts, not the actual time spent within each function. There is currently no way to perform time profiling on bytecode programs generated by **ocamlc**. For time profiling of native code, users are recommended to use standard tools such as **perf** (on Linux), **Instruments** (on macOS) and **DTrace**. Profiling with **gprof** is no longer supported.



## Chapter 22

# Interfacing C with OCaml

This chapter describes how user-defined primitives, written in C, can be linked with OCaml code and called from OCaml functions, and how these C functions can call back to OCaml code.

## 22.1 Overview and compilation information

### 22.1.1 Declaring primitives

```
definition ::= ...  
           | external value-name : typexpr = external-declaration  
external-declaration ::= string-literal [string-literal [string-literal]]
```

User primitives are declared in an implementation file or `struct...end` module expression using the `external` keyword:

```
external name : type = C-function-name
```

This defines the value name *name* as a function with type *type* that executes by calling the given C function. For instance, here is how the `seek_in` primitive is declared in the standard library module `Stdlib`:

```
external seek_in : in_channel -> int -> unit = "caml_ml_seek_in"
```

Primitives with several arguments are always curried. The C function does not necessarily have the same name as the ML function.

External functions thus defined can be specified in interface files or `sig...end` signatures either as regular values

```
val name : type
```

thus hiding their implementation as C functions, or explicitly as “manifest” external functions

```
external name : type = C-function-name
```

The latter is slightly more efficient, as it allows clients of the module to call directly the C function instead of going through the corresponding OCaml function. On the other hand, it should not be used in library modules if they have side-effects at toplevel, as this direct call interferes with the linker's algorithm for removing unused modules from libraries at link-time.

The arity (number of arguments) of a primitive is automatically determined from its OCaml type in the `external` declaration, by counting the number of function arrows in the type. For instance, `seek_in` above has arity 2, and the `caml_ml_seek_in` C function is called with two arguments. Similarly,

```
external seek_in_pair: in_channel * int -> unit = "caml_ml_seek_in_pair"
```

has arity 1, and the `caml_ml_seek_in_pair` C function receives one argument (which is a pair of OCaml values).

Type abbreviations are not expanded when determining the arity of a primitive. For instance,

```
type int_endo = int -> int
external f : int_endo -> int_endo = "f"
external g : (int -> int) -> (int -> int) = "f"
```

`f` has arity 1, but `g` has arity 2. This allows a primitive to return a functional value (as in the example above): just remember to name the functional return type in a type abbreviation.

The language accepts external declarations with one or two flag strings in addition to the C function's name. These flags are reserved for the implementation of the standard library.

### 22.1.2 Implementing primitives

User primitives with arity  $n \leq 5$  are implemented by C functions that take  $n$  arguments of type `value`, and return a result of type `value`. The type `value` is the type of the representations for OCaml values. It encodes objects of several base types (integers, floating-point numbers, strings, ...) as well as OCaml data structures. The type `value` and the associated conversion functions and macros are described in detail below. For instance, here is the declaration for the C function implementing the `In_channel.input` primitive, which takes 4 arguments:

```
CAMLprim value input(value channel, value buffer, value offset, value length)
{
    ...
}
```

When the primitive function is applied in an OCaml program, the C function is called with the values of the expressions to which the primitive is applied as arguments. The value returned by the function is passed back to the OCaml program as the result of the function application.

User primitives with arity greater than 5 should be implemented by two C functions. The first function, to be used in conjunction with the bytecode compiler `ocamlc`, receives two arguments: a pointer to an array of OCaml values (the values for the arguments), and an integer which is the number of arguments provided. The other function, to be used in conjunction with the native-code compiler `ocamlopt`, takes its arguments directly. For instance, here are the two C functions for the 7-argument primitive `Nat.add_nat`:

```

CAMLprim value add_nat_native(value nat1, value ofs1, value len1,
                              value nat2, value ofs2, value len2,
                              value carry_in)
{
    ...
}
CAMLprim value add_nat_bytecode(value * argv, int argn)
{
    return add_nat_native(argv[0], argv[1], argv[2], argv[3],
                          argv[4], argv[5], argv[6]);
}

```

The names of the two C functions must be given in the primitive declaration, as follows:

```

external name : type =
    bytecode-C-function-name native-code-C-function-name

```

For instance, in the case of `add_nat`, the declaration is:

```

external add_nat: nat -> int -> int -> nat -> int -> int -> int -> int
    = "add_nat_bytecode" "add_nat_native"

```

Implementing a user primitive is actually two separate tasks: on the one hand, decoding the arguments to extract C values from the given OCaml values, and encoding the return value as an OCaml value; on the other hand, actually computing the result from the arguments. Except for very simple primitives, it is often preferable to have two distinct C functions to implement these two tasks. The first function actually implements the primitive, taking native C values as arguments and returning a native C value. The second function, often called the “stub code”, is a simple wrapper around the first function that converts its arguments from OCaml values to C values, calls the first function, and converts the returned C value to an OCaml value. For instance, here is the stub code for the `Int64.float_of_bits` primitive:

```

CAMLprim value caml_int64_float_of_bits(value vi)
{
    return caml_copy_double(caml_int64_float_of_bits_unboxed(Int64_val(vi)));
}

```

(Here, `caml_copy_double` and `Int64_val` are conversion functions and macros for the type `value`, that will be described later. The `CAMLprim` macro expands to the required compiler directives to ensure that the function is exported and accessible from OCaml.) The hard work is performed by the function `caml_int64_float_of_bits_unboxed`, which is declared as:

```

double caml_int64_float_of_bits_unboxed(int64_t i)
{
    ...
}

```

To write C code that operates on OCaml values, the following include files are provided:

Include file	Provides
<code>caml/mlvalues.h</code>	definition of the <code>value</code> type, and conversion macros
<code>caml/alloc.h</code>	allocation functions (to create structured OCaml objects)
<code>caml/memory.h</code>	miscellaneous memory-related functions and macros (for GC interface, in-place modification of structures, etc).
<code>caml/fail.h</code>	functions for raising exceptions (see section 22.4.7)
<code>caml/callback.h</code>	callback from C to OCaml (see section 22.7).
<code>caml/custom.h</code>	operations on custom blocks (see section 22.9).
<code>caml/intext.h</code>	operations for writing user-defined serialization and deserialization functions for custom blocks (see section 22.9).
<code>caml/threads.h</code>	operations for interfacing in the presence of multiple threads (see section 22.12).

These files reside in the `caml/` subdirectory of the OCaml standard library directory, which is returned by the command `ocamlc -where` (usually `/usr/local/lib/ocaml` or `/usr/lib/ocaml`).

### 22.1.3 Statically linking C code with OCaml code

The OCaml runtime system comprises three main parts: the bytecode interpreter, the memory manager, and a set of C functions that implement the primitive operations. Some bytecode instructions are provided to call these C functions, designated by their offset in a table of functions (the table of primitives).

In the default mode, the OCaml linker produces bytecode for the standard runtime system, with a standard set of primitives. References to primitives that are not in this standard set result in the “unavailable C primitive” error. (Unless dynamic loading of C libraries is supported – see section 22.1.4 below.)

In the “custom runtime” mode, the OCaml linker scans the object files and determines the set of required primitives. Then, it builds a suitable runtime system, by calling the native code linker with:

- the table of the required primitives;
- a library that provides the bytecode interpreter, the memory manager, and the standard primitives;
- libraries and object code files (`.o` files) mentioned on the command line for the OCaml linker, that provide implementations for the user’s primitives.

This builds a runtime system with the required primitives. The OCaml linker generates bytecode for this custom runtime system. The bytecode is appended to the end of the custom runtime system, so that it will be automatically executed when the output file (custom runtime + bytecode) is launched.

To link in “custom runtime” mode, execute the `ocamlc` command with:

- the `-custom` option;
- the names of the desired OCaml object files (`.cmo` and `.cma` files) ;



- the names of the C object files and libraries (`.o` and `.a` files) that implement the required primitives. Under Unix and Windows, a library named `libname.a` (respectively, `.lib`) residing in one of the standard library directories can also be specified as `-cclib -lname`.

If you are using the native-code compiler `ocamlopt`, the `-custom` flag is not needed, as the final linking phase of `ocamlopt` always builds a standalone executable. To build a mixed OCaml/C executable, execute the `ocamlopt` command with:

- the names of the desired OCaml native object files (`.cmx` and `.cmxa` files);
- the names of the C object files and libraries (`.o`, `.a`, `.so` or `.dll` files) that implement the required primitives.

Starting with Objective Caml 3.00, it is possible to record the `-custom` option as well as the names of C libraries in an OCaml library file `.cma` or `.cmxa`. For instance, consider an OCaml library `mylib.cma`, built from the OCaml object files `a.cmo` and `b.cmo`, which reference C code in `libmylib.a`. If the library is built as follows:

```
ocamlc -a -o mylib.cma -custom a.cmo b.cmo -cclib -lmylib
```

users of the library can simply link with `mylib.cma`:

```
ocamlc -o myprog mylib.cma ...
```

and the system will automatically add the `-custom` and `-cclib -lmylib` options, achieving the same effect as

```
ocamlc -o myprog -custom a.cmo b.cmo ... -cclib -lmylib
```

The alternative is of course to build the library without extra options:

```
ocamlc -a -o mylib.cma a.cmo b.cmo
```

and then ask users to provide the `-custom` and `-cclib -lmylib` options themselves at link-time:

```
ocamlc -o myprog -custom mylib.cma ... -cclib -lmylib
```

The former alternative is more convenient for the final users of the library, however.

### 22.1.4 Dynamically linking C code with OCaml code

Starting with Objective Caml 3.03, an alternative to static linking of C code using the `-custom` code is provided. In this mode, the OCaml linker generates a pure bytecode executable (no embedded custom runtime system) that simply records the names of dynamically-loaded libraries containing the C code. The standard OCaml runtime system `ocamlrun` then loads dynamically these libraries, and resolves references to the required primitives, before executing the bytecode.

This facility is currently available on all platforms supported by OCaml except Cygwin 64 bits.

To dynamically link C code with OCaml code, the C code must first be compiled into a shared library (under Unix) or DLL (under Windows). This involves 1- compiling the C files with appropriate C compiler flags for producing position-independent code (when required by the operating system), and 2- building a shared library from the resulting object files. The resulting shared library or DLL file must be installed in a place where `ocamlrun` can find it later at program start-up time (see section 15.3). Finally (step 3), execute the `ocamlc` command with

- the names of the desired OCaml object files (`.cmo` and `.cma` files) ;
- the names of the C shared libraries (`.so` or `.dll` files) that implement the required primitives. Under Unix and Windows, a library named `dllname.so` (respectively, `.dll`) residing in one of the standard library directories can also be specified as `-dllib -lname`.

Do *not* set the `-custom` flag, otherwise you're back to static linking as described in section 22.1.3. The `ocamlmklib` tool (see section 22.14) automates steps 2 and 3.

As in the case of static linking, it is possible (and recommended) to record the names of C libraries in an OCaml `.cma` library archive. Consider again an OCaml library `mylib.cma`, built from the OCaml object files `a.cmo` and `b.cmo`, which reference C code in `dllmylib.so`. If the library is built as follows:

```
ocamlc -a -o mylib.cma a.cmo b.cmo -dllib -lmylib
```

users of the library can simply link with `mylib.cma`:

```
ocamlc -o myprog mylib.cma ...
```

and the system will automatically add the `-dllib -lmylib` option, achieving the same effect as

```
ocamlc -o myprog a.cmo b.cmo ... -dllib -lmylib
```

Using this mechanism, users of the library `mylib.cma` do not need to know that it references C code, nor whether this C code must be statically linked (using `-custom`) or dynamically linked.

### 22.1.5 Choosing between static linking and dynamic linking

After having described two different ways of linking C code with OCaml code, we now review the pros and cons of each, to help developers of mixed OCaml/C libraries decide.

The main advantage of dynamic linking is that it preserves the platform-independence of bytecode executables. That is, the bytecode executable contains no machine code, and can therefore be compiled on platform *A* and executed on other platforms *B*, *C*, ..., as long as the required shared libraries are available on all these platforms. In contrast, executables generated by `ocamlc -custom` run only on the platform on which they were created, because they embark a custom-tailored runtime system specific to that platform. In addition, dynamic linking results in smaller executables.

Another advantage of dynamic linking is that the final users of the library do not need to have a C compiler, C linker, and C runtime libraries installed on their machines. This is no big deal under Unix and Cygwin, but many Windows users are reluctant to install Microsoft Visual C just to be able to do `ocamlc -custom`.

There are two drawbacks to dynamic linking. The first is that the resulting executable is not stand-alone: it requires the shared libraries, as well as `ocamlrun`, to be installed on the machine executing the code. If you wish to distribute a stand-alone executable, it is better to link it statically, using `ocamlc -custom -ccopt -static` or `ocamlc -ccopt -ccopt -static`. Dynamic linking also raises the “DLL hell” problem: some care must be taken to ensure that the right versions of the shared libraries are found at start-up time.

The second drawback of dynamic linking is that it complicates the construction of the library. The C compiler and linker flags to compile to position-independent code and build a shared library

vary wildly between different Unix systems. Also, dynamic linking is not supported on all Unix systems, requiring a fall-back case to static linking in the Makefile for the library. The `ocamlmklib` command (see section 22.14) tries to hide some of these system dependencies.

In conclusion: dynamic linking is highly recommended under the native Windows port, because there are no portability problems and it is much more convenient for the end users. Under Unix, dynamic linking should be considered for mature, frequently used libraries because it enhances platform-independence of bytecode executables. For new or rarely-used libraries, static linking is much simpler to set up in a portable way.

### 22.1.6 Building standalone custom runtime systems

It is sometimes inconvenient to build a custom runtime system each time OCaml code is linked with C libraries, like `ocamlc -custom` does. For one thing, the building of the runtime system is slow on some systems (that have bad linkers or slow remote file systems); for another thing, the platform-independence of bytecode files is lost, forcing to perform one `ocamlc -custom` link per platform of interest.

An alternative to `ocamlc -custom` is to build separately a custom runtime system integrating the desired C libraries, then generate “pure” bytecode executables (not containing their own runtime system) that can run on this custom runtime. This is achieved by the `-make-runtime` and `-use-runtime` flags to `ocamlc`. For example, to build a custom runtime system integrating the C parts of the “Unix” and “Threads” libraries, do:

```
ocamlc -make-runtime -o /home/me/ocamlunixrun unix.cma threads.cma
```

To generate a bytecode executable that runs on this runtime system, do:

```
ocamlc -use-runtime /home/me/ocamlunixrun -o myprog \
    unix.cma threads.cma your .cmo and .cma files
```

The bytecode executable `myprog` can then be launched as usual: `myprog args` or `/home/me/ocamlunixrun myprog args`.

Notice that the bytecode libraries `unix.cma` and `threads.cma` must be given twice: when building the runtime system (so that `ocamlc` knows which C primitives are required) and also when building the bytecode executable (so that the bytecode from `unix.cma` and `threads.cma` is actually linked in).

## 22.2 The value type

All OCaml objects are represented by the C type `value`, defined in the include file `caml/mlvalues.h`, along with macros to manipulate values of that type. An object of type `value` is either:

- an unboxed integer;
- or a pointer to a block inside the heap, allocated through one of the `caml_alloc_*` functions described in section 22.4.4.

### 22.2.1 Integer values

Integer values encode 63-bit signed integers (31-bit on 32-bit architectures). They are unboxed (unallocated).

### 22.2.2 Blocks

Blocks in the heap are garbage-collected, and therefore have strict structure constraints. Each block includes a header containing the size of the block (in words), and the tag of the block. The tag governs how the contents of the blocks are structured. A tag lower than `No_scan_tag` indicates a structured block, containing well-formed values, which is recursively traversed by the garbage collector. A tag greater than or equal to `No_scan_tag` indicates a raw block, whose contents are not scanned by the garbage collector. For the benefit of ad-hoc polymorphic primitives such as equality and structured input-output, structured and raw blocks are further classified according to their tags as follows:

Tag	Contents of the block
0 to <code>No_scan_tag - 1</code>	A structured block (an array of OCaml objects). Each field is a <code>value</code> .
<code>Closure_tag</code>	A closure representing a functional value. The first word is a pointer to a piece of code, the remaining words are <code>value</code> containing the environment.
<code>String_tag</code>	A character string or a byte sequence.
<code>Double_tag</code>	A double-precision floating-point number.
<code>Double_array_tag</code>	An array or record of double-precision floating-point numbers.
<code>Abstract_tag</code>	A block representing an abstract datatype.
<code>Custom_tag</code>	A block representing an abstract datatype with user-defined finalization, comparison, hashing, serialization and deserialization functions attached.

### 22.2.3 Pointers outside the heap

In earlier versions of OCaml, it was possible to use word-aligned pointers to addresses outside the heap as OCaml values, just by casting the pointer to type `value`. This usage is no longer supported since OCaml 5.0.

A correct way to manipulate pointers to out-of-heap blocks from OCaml is to store those pointers in OCaml blocks with tag `Abstract_tag` or `Custom_tag`, then use the blocks as the OCaml values.

Here is an example of encapsulation of out-of-heap pointers of C type `ty *` inside `Abstract_tag` blocks. Section 22.6 gives a more complete example using `Custom_tag` blocks.

```
/* Create an OCaml value encapsulating the pointer p */
static value val_of_typtr(ty * p)
{
    value v = caml_alloc(1, Abstract_tag);
    *((ty **) Data_abstract_val(v)) = p;
    return v;
}
```

```

/* Extract the pointer encapsulated in the given OCaml value */
static ty * typtr_of_val(value v)
{
    return *((ty **) Data_abstract_val(v));
}

```

Alternatively, out-of-heap pointers can be treated as “native” integers, that is, boxed 32-bit integers on a 32-bit platform and boxed 64-bit integers on a 64-bit platform.

```

/* Create an OCaml value encapsulating the pointer p */
static value val_of_typtr(ty * p)
{
    return caml_copy_nativeint((intnat) p);
}

/* Extract the pointer encapsulated in the given OCaml value */
static ty * typtr_of_val(value v)
{
    return (ty *) Nativeint_val(v);
}

```

For pointers that are at least 2-aligned (the low bit is guaranteed to be zero), we have yet another valid representation as an OCaml tagged integer.

```

/* Create an OCaml value encapsulating the pointer p */
static value val_of_typtr(ty * p)
{
    assert (((uintptr_t) p & 1) == 0); /* check correct alignment */
    return (value) p | 1;
}

/* Extract the pointer encapsulated in the given OCaml value */
static ty * typtr_of_val(value v)
{
    return (ty *) (v & ~1);
}

```

## 22.3 Representation of OCaml data types

This section describes how OCaml data types are encoded in the `value` type.

### 22.3.1 Atomic types

OCaml type	Encoding
<code>int</code>	Unboxed integer values.
<code>char</code>	Unboxed integer values (ASCII code).
<code>float</code>	Blocks with tag <code>Double_tag</code> .
<code>bytes</code>	Blocks with tag <code>String_tag</code> .
<code>string</code>	Blocks with tag <code>String_tag</code> .
<code>int32</code>	Blocks with tag <code>Custom_tag</code> .
<code>int64</code>	Blocks with tag <code>Custom_tag</code> .
<code>nativeint</code>	Blocks with tag <code>Custom_tag</code> .

### 22.3.2 Tuples and records

Tuples are represented by pointers to blocks, with tag 0.

Records are also represented by zero-tagged blocks. The ordering of labels in the record type declaration determines the layout of the record fields: the value associated to the label declared first is stored in field 0 of the block, the value associated to the second label goes in field 1, and so on.

As an optimization, records whose fields all have static type `float` are represented as arrays of floating-point numbers, with tag `Double_array_tag`. (See the section below on arrays.)

As another optimization, unboxable record types are represented specially; unboxable record types are the immutable record types that have only one field. An unboxable type will be represented in one of two ways: boxed or unboxed. Boxed record types are represented as described above (by a block with tag 0 or `Double_array_tag`). An unboxed record type is represented directly by the value of its field (i.e. there is no block to represent the record itself).

The representation is chosen according to the following, in decreasing order of priority:

- An attribute (`[@@boxed]` or `[@@unboxed]`) on the type declaration.
- A compiler option (`-unboxed-types` or `-no-unboxed-types`).
- The default representation. In the present version of OCaml, the default is the boxed representation.

### 22.3.3 Arrays

Arrays of integers and pointers are represented like tuples and records, that is, as pointers to blocks tagged 0. They are accessed with the `Field` macro for reading and the `caml_modify` function for writing.

Values of type `floatarray` (as manipulated by the `Float.Array` module), as well as records whose declaration contains only float fields, use an efficient unboxed representation: blocks with tag `Double_array_tag` whose content consist of raw double values, which are not themselves valid OCaml values. They should be accessed using the `Double_flat_field` and `Store_double_flat_field` macros.

Finally, arrays of type `float array` may use either the boxed or the unboxed representation depending on the how the compiler is configured. They currently use the unboxed representation by default, but can be made to use the boxed representation by passing the `--disable-flat-float-array`

flag to the ‘configure’ script. They should be accessed using the `Double_array_field` and `Store_double_array_field` macros, which will work correctly under both modes.

### 22.3.4 Concrete data types

Constructed terms are represented either by unboxed integers (for constant constructors) or by blocks whose tag encode the constructor (for non-constant constructors). The constant constructors and the non-constant constructors for a given concrete type are numbered separately, starting from 0, in the order in which they appear in the concrete type declaration. A constant constructor is represented by the unboxed integer equal to its constructor number. A non-constant constructor declared with  $n$  arguments is represented by a block of size  $n$ , tagged with the constructor number; the  $n$  fields contain its arguments. Example:

Constructed term	Representation
<code>()</code>	<code>Val_int(0)</code>
<code>false</code>	<code>Val_int(0)</code>
<code>true</code>	<code>Val_int(1)</code>
<code>[]</code>	<code>Val_int(0)</code>
<code>h::t</code>	Block with size = 2 and tag = 0; first field contains <code>h</code> , second field <code>t</code> .

As a convenience, `caml/mlvalues.h` defines the macros `Val_unit`, `Val_false` and `Val_true` to refer to `()`, `false` and `true`.

The following example illustrates the assignment of integers and block tags to constructors:

```
type t =
| A          (* First constant constructor -> integer "Val_int(0)" *)
| B of string (* First non-constant constructor -> block with tag 0 *)
| C          (* Second constant constructor -> integer "Val_int(1)" *)
| D of bool  (* Second non-constant constructor -> block with tag 1 *)
| E of t * t (* Third non-constant constructor -> block with tag 2 *)
```

As an optimization, unboxable concrete data types are represented specially; a concrete data type is unboxable if it has exactly one constructor and this constructor has exactly one argument. Unboxable concrete data types are represented in the same ways as unboxable record types: see the description in section [22.3.2](#).

### 22.3.5 Objects

Objects are represented as blocks with tag `Object_tag`. The first field of the block refers to the object’s class and associated method suite, in a format that cannot easily be exploited from C. The second field contains a unique object ID, used for comparisons. The remaining fields of the object contain the values of the instance variables of the object. It is unsafe to access directly instance variables, as the type system provides no guarantee about the instance variables contained by an object.

One may extract a public method from an object using the C function `caml_get_public_method` (declared in `<caml/mlvalues.h>`.) Since public method tags are hashed in the same way as variant

tags, and methods are functions taking self as first argument, if you want to do the method call `foo#bar` from the C side, you should call:

```
callback(caml_get_public_method(foo, hash_variant("bar")), foo);
```

### 22.3.6 Polymorphic variants

Like constructed terms, polymorphic variant values are represented either as integers (for polymorphic variants without argument), or as blocks (for polymorphic variants with an argument). Unlike constructed terms, variant constructors are not numbered starting from 0, but identified by a hash value (an OCaml integer), as computed by the C function `hash_variant` (declared in `<caml/mlvalues.h>`): the hash value for a variant constructor named, say, `VConstr` is `hash_variant("VConstr")`.

The variant value ``VConstr` is represented by `hash_variant("VConstr")`. The variant value ``VConstr(v)` is represented by a block of size 2 and tag 0, with field number 0 containing `hash_variant("VConstr")` and field number 1 containing `v`.

Unlike constructed values, polymorphic variant values taking several arguments are not flattened. That is, ``VConstr(v, w)` is represented by a block of size 2, whose field number 1 contains the representation of the pair `(v, w)`, rather than a block of size 3 containing `v` and `w` in fields 1 and 2.

## 22.4 Operations on values

### 22.4.1 Kind tests

- `Is_long(v)` is true if value `v` is an immediate integer, false otherwise
- `Is_block(v)` is true if value `v` is a pointer to a block, and false if it is an immediate integer.
- `Is_none(v)` is true if value `v` is `None`.
- `Is_some(v)` is true if value `v` (assumed to be of option type) corresponds to the `Some` constructor.

### 22.4.2 Operations on integers

- `Val_long(l)` returns the value encoding the `long int` `l`.
- `Long_val(v)` returns the `long int` encoded in value `v`.
- `Val_int(i)` returns the value encoding the `int` `i`.
- `Int_val(v)` returns the `int` encoded in value `v`.
- `Val_bool(x)` returns the OCaml boolean representing the truth value of the C integer `x`.
- `Bool_val(v)` returns 0 if `v` is the OCaml boolean `false`, 1 if `v` is `true`.
- `Val_true`, `Val_false` represent the OCaml booleans `true` and `false`.
- `Val_none` represents the OCaml value `None`.



### 22.4.3 Accessing blocks

- `Wosize_val(v)` returns the size of the block *v*, in words, excluding the header.
- `Tag_val(v)` returns the tag of the block *v*.
- `Field(v, n)` returns the value contained in the *n*<sup>th</sup> field of the structured block *v*. Fields are numbered from 0 to `Wosize_val(v) - 1`.
- `Store_field(b, n, v)` stores the value *v* in the field number *n* of value *b*, which must be a structured block.
- `Code_val(v)` returns the code part of the closure *v*.
- `caml_string_length(v)` returns the length (number of bytes) of the string or byte sequence *v*.
- `Byte(v, n)` returns the *n*<sup>th</sup> byte of the string or byte sequence *v*, with type `char`. Bytes are numbered from 0 to `string_length(v) - 1`.
- `Byte_u(v, n)` returns the *n*<sup>th</sup> byte of the string or byte sequence *v*, with type `unsigned char`. Bytes are numbered from 0 to `string_length(v) - 1`.
- `String_val(v)` returns a pointer to the first byte of the string *v*, with type `const char *`. This pointer is a valid C string: there is a null byte after the last byte in the string. However, OCaml strings can contain embedded null bytes, which will confuse the usual C functions over strings.
- `Bytes_val(v)` returns a pointer to the first byte of the byte sequence *v*, with type `unsigned char *`.
- `Double_val(v)` returns the floating-point number contained in value *v*, with type `double`.
- `Double_array_field(v, n)` returns the *n*<sup>th</sup> element of a `float array` *v*.
- `Store_double_array_field(v, n, d)` stores the double precision floating-point number *d* in the *n*<sup>th</sup> element of a `float array` *v*.
- `Double_flat_field(v, n)` returns the *n*<sup>th</sup> element of a `floatarray` or a record of floats *v* (an unboxed block tagged `Double_array_tag`).
- `Store_double_flat_field(v, n, d)` stores the double precision floating-point number *d* in the *n*<sup>th</sup> element of a `floatarray` or a record of floats *v*.
- `Data_custom_val(v)` returns a pointer to the data part of the custom block *v*. This pointer has type `void *` and must be cast to the type of the data contained in the custom block.
- `Int32_val(v)` returns the 32-bit integer contained in the `int32` *v*.
- `Int64_val(v)` returns the 64-bit integer contained in the `int64` *v*.
- `Nativeint_val(v)` returns the long integer contained in the `nativeint` *v*.

- `caml_field_unboxed(v)` returns the value of the field of a value *v* of any unboxed type (record or concrete data type).
- `caml_field_boxed(v)` returns the value of the field of a value *v* of any boxed type (record or concrete data type).
- `caml_field_unboxable(v)` calls either `caml_field_unboxed` or `caml_field_boxed` according to the default representation of unboxable types in the current version of OCaml.
- `Some_val(v)` returns the argument `\var{x}` of a value *v* of the form `Some(x)`.

The expressions `Field(v, n)`, `Byte(v, n)` and `Byte_u(v, n)` are valid l-values. Hence, they can be assigned to, resulting in an in-place modification of value *v*. Assigning directly to `Field(v, n)` must be done with care to avoid confusing the garbage collector (see below).

## 22.4.4 Allocating blocks

### 22.4.5 Simple interface

- `Atom(t)` returns an “atom” (zero-sized block) with tag *t*. Zero-sized blocks are preallocated outside of the heap. It is incorrect to try and allocate a zero-sized block using the functions below. For instance, `Atom(0)` represents the empty array.
- `caml_alloc(n, t)` returns a fresh block of size *n* with tag *t*. If *t* is less than `No_scan_tag`, then the fields of the block are initialized with a valid value in order to satisfy the GC constraints.
- `caml_alloc_tuple(n)` returns a fresh block of size *n* words, with tag 0.
- `caml_alloc_string(n)` returns a byte sequence (or string) value of length *n* bytes. The sequence initially contains uninitialized bytes.
- `caml_alloc_initialized_string(n, p)` returns a byte sequence (or string) value of length *n* bytes. The value is initialized from the *n* bytes starting at address *p*.
- `caml_copy_string(s)` returns a string or byte sequence value containing a copy of the null-terminated C string *s* (a `char *`).
- `caml_copy_double(d)` returns a floating-point value initialized with the double *d*.
- `caml_copy_int32(i)`, `caml_copy_int64(i)` and `caml_copy_nativeint(i)` return a value of OCaml type `int32`, `int64` and `nativeint`, respectively, initialized with the integer *i*.
- `caml_alloc_array(f, a)` allocates an array of values, calling function *f* over each element of the input array *a* to transform it into a value. The array *a* is an array of pointers terminated by the null pointer. The function *f* receives each pointer as argument, and returns a value. The zero-tagged block returned by `alloc_array(f, a)` is filled with the values returned by the successive calls to *f*. (This function must not be used to build an array of floating-point numbers.)
- `caml_copy_string_array(p)` allocates an array of strings or byte sequences, copied from the pointer to a string array *p* (a `char **`). *p* must be NULL-terminated.

- `caml_alloc_float_array(n)` allocates an array of floating point numbers of size *n*. The array initially contains uninitialized values.
- `caml_alloc_unboxed(v)` returns the value (of any unboxed type) whose field is the value *v*.
- `caml_alloc_boxed(v)` allocates and returns a value (of any boxed type) whose field is the value *v*.
- `caml_alloc_unboxable(v)` calls either `caml_alloc_unboxed` or `caml_alloc_boxed` according to the default representation of unboxable types in the current version of OCaml.
- `caml_alloc_some(v)` allocates a block representing `Some(v)`.

### 22.4.6 Low-level interface

The following functions are slightly more efficient than `caml_alloc`, but also much more difficult to use.

From the standpoint of the allocation functions, blocks are divided according to their size as zero-sized blocks, small blocks (with size less than or equal to `Max_young_wosize`), and large blocks (with size greater than `Max_young_wosize`). The constant `Max_young_wosize` is declared in the include file `mlvalues.h`. It is guaranteed to be at least 64 (words), so that any block with constant size less than or equal to 64 can be assumed to be small. For blocks whose size is computed at run-time, the size must be compared against `Max_young_wosize` to determine the correct allocation procedure.

- `caml_alloc_small(n, t)` returns a fresh small block of size  $n \leq \text{Max\_young\_wosize}$  words, with tag *t*. If this block is a structured block (i.e. if  $t < \text{No\_scan\_tag}$ ), then the fields of the block (initially containing garbage) must be initialized with legal values (using direct assignment to the fields of the block) before the next allocation.
- `caml_alloc_shr(n, t)` returns a fresh block of size *n*, with tag *t*. The size of the block can be greater than `Max_young_wosize`. (It can also be smaller, but in this case it is more efficient to call `caml_alloc_small` instead of `caml_alloc_shr`.) If this block is a structured block (i.e. if  $t < \text{No\_scan\_tag}$ ), then the fields of the block (initially containing garbage) must be initialized with legal values (using the `caml_initialize` function described below) before the next allocation.

### 22.4.7 Raising exceptions

Two functions are provided to raise two standard exceptions:

- `caml_failwith(s)`, where *s* is a null-terminated C string (with type `char *`), raises exception `Failure` with argument *s*.
- `caml_invalid_argument(s)`, where *s* is a null-terminated C string (with type `char *`), raises exception `Invalid_argument` with argument *s*.

Raising arbitrary exceptions from C is more delicate: the exception identifier is dynamically allocated by the OCaml program, and therefore must be communicated to the C function using the registration facility described below in section 22.7.3. Once the exception identifier is recovered in C, the following functions actually raise the exception:

- `caml_raise_constant(id)` raises the exception *id* with no argument;
- `caml_raise_with_arg(id, v)` raises the exception *id* with the OCaml value *v* as argument;
- `caml_raise_with_args(id, n, v)` raises the exception *id* with the OCaml values *v*[0], ..., *v*[*n*-1] as arguments;
- `caml_raise_with_string(id, s)`, where *s* is a null-terminated C string, raises the exception *id* with a copy of the C string *s* as argument.

## 22.5 Living in harmony with the garbage collector

Unused blocks in the heap are automatically reclaimed by the garbage collector. This requires some cooperation from C code that manipulates heap-allocated blocks.

### 22.5.1 Simple interface

All the macros described in this section are declared in the `memory.h` header file.

**Rule 1** *A function that has parameters or local variables of type `value` must begin with a call to one of the `CAMLparam` macros and return with `CAMLreturn`, `CAMLreturn0`, or `CAMLreturnT`.*

There are six `CAMLparam` macros: `CAMLparam0` to `CAMLparam5`, which take zero to five arguments respectively. If your function has no more than 5 parameters of type `value`, use the corresponding macros with these parameters as arguments. If your function has more than 5 parameters of type `value`, use `CAMLparam5` with five of these parameters, and use one or more calls to the `CAMLxparam` macros for the remaining parameters (`CAMLxparam1` to `CAMLxparam5`).

The macros `CAMLreturn`, `CAMLreturn0`, and `CAMLreturnT` are used to replace the C keyword `return`; any function using a `CAMLparam` macro on entry should use `CAMLreturn` on all exit points. C functions exported as OCaml externals must return a `value`, and they should use `CAMLreturn (x)` instead of `return x`. Some helper functions may manipulate OCaml values yet return `void` or another datatype. `void`-returning procedures should explicitly use `CAMLreturn0`, and not have any implicit return. Helper functions returning C data of some type `t` should use `CAMLreturnT (t, x)` instead of `return x`.

**Note:** Some C compilers give bogus warnings about unused variables `caml__dummy_xxx` at each use of `CAMLparam` and `CAMLlocal`. You should ignore them.

Examples:

```
CAMLprim value my_external (value v1, value v2, value v3)
{
  CAMLparam3 (v1, v2, v3);
  ...
  CAMLreturn (Val_unit);
}
```

```
static void helper_procedure (value v1, value v2)
{
  CAMLparam2 (v1, v2);
  ...
  CAMLreturn0;
}
```

```
static int helper_function (value v1, value v2)
{
  CAMLparam2 (v1, v2);
  ...
  CAMLreturnT (int, 0);
}
```

**Note:** If your function is a primitive with more than 5 arguments for use with the byte-code runtime, its arguments are not *values* and must not be declared (they have types *value \** and *int*).

**Warning:** `CAMLreturn0` should only be used for internal procedures that return void. `CAMLreturn(Val_unit)` should be used for functions that return an OCaml unit value. Primitives (C functions that can be called from OCaml) should never return void.

**Rule 2** *Local variables of type value must be declared with one of the `CAMLlocal` macros. Arrays of values are declared with `CAMLlocalN`. These macros must be used at the beginning of the function, not in a nested block.*

The macros `CAMLlocal1` to `CAMLlocal5` declare and initialize one to five local variables of type *value*. The variable names are given as arguments to the macros. `CAMLlocalN(x, n)` declares and initializes a local variable of type *value* `[n]`. You can use several calls to these macros if you have more than 5 local variables.

Example:

```
CAMLprim value bar (value v1, value v2, value v3)
{
  CAMLparam3 (v1, v2, v3);
  CAMLlocal1 (result);
```

```

    result = caml_alloc (3, 0);
    ...
    CAMLreturn (result);
}

```

**Warning:** `CAMLlocal` (and `CAMLxparam`) can only be called *after* `CAMLparam`. If a function declares local values but takes no value argument, it should start with `CAMLparam0 ()`.

```

static value foo (int n)
{
    CAMLparam0 ();
    CAMLlocal (result);
    ...
    CAMLreturn (result);
}

```

**Rule 3** *Assignments to the fields of structured blocks must be done with the `Store_field` macro (for normal blocks), `Store_double_array_field` macro (for float array values) or `Store_double_flat_field` (for floatarray values and records of floating-point numbers). Other assignments must not use `Store_field`, `Store_double_array_field` nor `Store_double_flat_field`.*

`Store_field (b, n, v)` stores the value *v* in the field number *n* of value *b*, which must be a block (i.e. `Is_block(b)` must be true).

Example:

```

CAMLprim value bar (value v1, value v2, value v3)
{
    CAMLparam3 (v1, v2, v3);
    CAMLlocal1 (result);
    result = caml_alloc (3, 0);
    Store_field (result, 0, v1);
    Store_field (result, 1, v2);
    Store_field (result, 2, v3);
    CAMLreturn (result);
}

```

**Warning:** The first argument of `Store_field` and `Store_double_field` must be a variable declared by `CAMLparam*` or a parameter declared by `CAMLlocal*` to ensure that a garbage collection triggered by the evaluation of the other arguments will not invalidate the first argument after it is computed.

**Use with `CAMLlocalN`:** Arrays of values declared using `CAMLlocalN` must not be written to using `Store_field`. Use the normal C array syntax instead.

**Rule 4** *Global variables containing values must be registered with the garbage collector using the `caml_register_global_root` function, save that global variables and locations that will only ever contain OCaml integers (and never pointers) do not have to be registered.*

*The same is true for any memory location outside the OCaml heap that contains a value and is not guaranteed to be reachable—for as long as it contains such value—from either another registered global variable or location, local variable declared with `CAMLlocal` or function parameter declared with `CAMLparam`.*

Registration of a global variable `v` is achieved by calling `caml_register_global_root(&v)` just before or just after a valid value is stored in `v` for the first time; likewise, registration of an arbitrary location `p` is achieved by calling `caml_register_global_root(p)`.

You must not call any of the OCaml runtime functions or macros between registering and storing the value. Neither must you store anything in the variable `v` (likewise, the location `p`) that is not a valid value.

The registration causes the contents of the variable or memory location to be updated by the garbage collector whenever the value in such variable or location is moved within the OCaml heap. In the presence of threads care must be taken to ensure appropriate synchronisation with the OCaml runtime to avoid a race condition against the garbage collector when reading or writing the value. (See section 22.12.2.)

A registered global variable `v` can be un-registered by calling `caml_remove_global_root(&v)`.

If the contents of the global variable `v` are seldom modified after registration, better performance can be achieved by calling `caml_register_generational_global_root(&v)` to register `v` (after its initialization with a valid value, but before any allocation or call to the GC functions), and `caml_remove_generational_global_root(&v)` to un-register it. In this case, you must not modify the value of `v` directly, but you must use `caml_modify_generational_global_root(&v, x)` to set it to `x`. The garbage collector takes advantage of the guarantee that `v` is not modified between calls to `caml_modify_generational_global_root` to scan it less often. This improves performance if the modifications of `v` happen less often than minor collections.

**Note:** The CAML macros use identifiers (local variables, type identifiers, structure tags) that start with `caml_`. Do not use any identifier starting with `caml_` in your programs.

### 22.5.2 Low-level interface

We now give the GC rules corresponding to the low-level allocation functions `caml_alloc_small` and `caml_alloc_shr`. You can ignore those rules if you stick to the simplified allocation function `caml_alloc`.

**Rule 5** *After a structured block (a block with tag less than `No_scan_tag`) is allocated with the low-level functions, all fields of this block must be filled with well-formed values before the next allocation operation. If the block has been allocated with `caml_alloc_small`, filling is performed by direct assignment to the fields of the block:*

$$\text{Field}(v, n) = v_n;$$

*If the block has been allocated with `caml_alloc_shr`, filling is performed through the `caml_initialize` function:*

```
caml_initialize(&Field(v, n), vn);
```

The next allocation can trigger a garbage collection. The garbage collector assumes that all structured blocks contain well-formed values. Newly created blocks contain random data, which generally do not represent well-formed values.

If you really need to allocate before the fields can receive their final value, first initialize with a constant value (e.g. `Val_unit`), then allocate, then modify the fields with the correct value (see rule 6).

**Rule 6** *Direct assignment to a field of a block, as in*

```
Field(v, n) = w;
```

*is safe only if  $v$  is a block newly allocated by `caml_alloc_small`; that is, if no allocation took place between the allocation of  $v$  and the assignment to the field. In all other cases, never assign directly. If the block has just been allocated by `caml_alloc_shr`, use `caml_initialize` to assign a value to a field for the first time:*

```
caml_initialize(&Field(v, n), w);
```

*Otherwise, you are updating a field that previously contained a well-formed value; then, call the `caml_modify` function:*

```
caml_modify(&Field(v, n), w);
```

To illustrate the rules above, here is a C function that builds and returns a list containing the two integers given as parameters. First, we write it using the simplified allocation functions:

```
value alloc_list_int(int i1, int i2)
{
    CAMLparam0 ();
    CAMLlocal2 (result, r);

    r = caml_alloc(2, 0);                /* Allocate a cons cell */
    Store_field(r, 0, Val_int(i2));      /* car = the integer i2 */
    Store_field(r, 1, Val_int(0));        /* cdr = the empty list [] */
    result = caml_alloc(2, 0);            /* Allocate the other cons cell */
    Store_field(result, 0, Val_int(i1));  /* car = the integer i1 */
    Store_field(result, 1, r);            /* cdr = the first cons cell */
    CAMLreturn (result);
}
```

Here, the registering of `result` is not strictly needed, because no allocation takes place after it gets its value, but it's easier and safer to simply register all the local variables that have type `value`.

Here is the same function written using the low-level allocation functions. We notice that the cons cells are small blocks and can be allocated with `caml_alloc_small`, and filled by direct assignments on their fields.



```

value alloc_list_int(int i1, int i2)
{
    CAMLparam0 ();
    CAMLlocal2 (result, r);

    r = caml_alloc_small(2, 0);           /* Allocate a cons cell */
    Field(r, 0) = Val_int(i2);           /* car = the integer i2 */
    Field(r, 1) = Val_int(0);            /* cdr = the empty list [] */
    result = caml_alloc_small(2, 0);      /* Allocate the other cons cell */
    Field(result, 0) = Val_int(i1);       /* car = the integer i1 */
    Field(result, 1) = r;                 /* cdr = the first cons cell */
    CAMLreturn (result);
}

```

In the two examples above, the list is built bottom-up. Here is an alternate way, that proceeds top-down. It is less efficient, but illustrates the use of `caml_modify`.

```

value alloc_list_int(int i1, int i2)
{
    CAMLparam0 ();
    CAMLlocal2 (tail, r);

    r = caml_alloc_small(2, 0);           /* Allocate a cons cell */
    Field(r, 0) = Val_int(i1);           /* car = the integer i1 */
    Field(r, 1) = Val_int(0);            /* A dummy value */
    tail = caml_alloc_small(2, 0);        /* Allocate the other cons cell */
    Field(tail, 0) = Val_int(i2);        /* car = the integer i2 */
    Field(tail, 1) = Val_int(0);         /* cdr = the empty list [] */
    caml_modify(&Field(r, 1), tail);     /* cdr of the result = tail */
    CAMLreturn (r);
}

```

It would be incorrect to perform `Field(r, 1) = tail` directly, because the allocation of `tail` has taken place since `r` was allocated.

### 22.5.3 Pending actions and asynchronous exceptions

Since 4.10, allocation functions are guaranteed not to call any OCaml callbacks from C, including finalisers and signal handlers, and delay their execution instead.

The function `caml_process_pending_actions` from `<caml/signals.h>` executes any pending signal handlers and finalisers, Memprof callbacks, and requested minor and major garbage collections. In particular, it can raise asynchronous exceptions. It is recommended to call it regularly at safe points inside long-running non-blocking C code.

The variant `caml_process_pending_actions_exn` is provided, that returns the exception instead of raising it directly into OCaml code. Its result must be tested using `Is_exception_result`, and followed by `Extract_exception` if appropriate. It is typically used for clean up before re-raising:

```

CAMLlocal1(exn);
...
exn = caml_process_pending_actions_exn();
if(Is_exception_result(exn)) {
    exn = Extract_exception(exn);
    ...cleanup...
    caml_raise(exn);
}

```

Correct use of exceptional return, in particular in the presence of garbage collection, is further detailed in Section [22.7.1](#).

## 22.6 A complete example

This section outlines how the functions from the Unix `curses` library can be made available to OCaml programs. First of all, here is the interface `curses.ml` that declares the `curses` primitives and data types:

```

(* File curses.ml -- declaration of primitives and data types *)
type window                (* The type "window" remains abstract *)
external initscr: unit -> window = "caml_curses_initscr"
external endwin: unit -> unit = "caml_curses_endwin"
external refresh: unit -> unit = "caml_curses_refresh"
external wrefresh : window -> unit = "caml_curses_wrefresh"
external newwin: int -> int -> int -> int -> window = "caml_curses_newwin"
external addch: char -> unit = "caml_curses_addch"
external mvwaddch: window -> int -> int -> char -> unit = "caml_curses_mvwaddch"
external addstr: string -> unit = "caml_curses_addstr"
external mvwaddstr: window -> int -> int -> string -> unit
    = "caml_curses_mvwaddstr"
(* lots more omitted *)

```

To compile this interface:

```
ocamlc -c curses.ml
```

To implement these functions, we just have to provide the stub code; the core functions are already implemented in the `curses` library. The stub code file, `curses_stubs.c`, looks like this:

```

/* File curses_stubs.c -- stub code for curses */
#include <curses.h>
#include <caml/mlvalues.h>
#include <caml/memory.h>
#include <caml/alloc.h>
#include <caml/custom.h>

/* Encapsulation of opaque window handles (of type WINDOW *)

```

```

    as OCaml custom blocks. */

static struct custom_operations curses_window_ops = {
    "fr.inria.caml.curses_windows",
    custom_finalize_default,
    custom_compare_default,
    custom_hash_default,
    custom_serialize_default,
    custom_deserialize_default,
    custom_compare_ext_default,
    custom_fixed_length_default
};

/* Accessing the WINDOW * part of an OCaml custom block */
#define Window_val(v) (*((WINDOW **) Data_custom_val(v)))

/* Allocating an OCaml custom block to hold the given WINDOW * */
static value alloc_window(WINDOW * w)
{
    value v = caml_alloc_custom(&curses_window_ops, sizeof(WINDOW *), 0, 1);
    Window_val(v) = w;
    return v;
}

CAMLprim value caml_curses_initscr(value unit)
{
    CAMLparam1 (unit);
    CAMLreturn (alloc_window(initscr()));
}

CAMLprim value caml_curses_endwin(value unit)
{
    CAMLparam1 (unit);
    endwin();
    CAMLreturn (Val_unit);
}

CAMLprim value caml_curses_refresh(value unit)
{
    CAMLparam1 (unit);
    refresh();
    CAMLreturn (Val_unit);
}

CAMLprim value caml_curses_wrefresh(value win)

```

```

{
    CAMLparam1 (win);
    wrefresh(Window_val(win));
    CAMLreturn (Val_unit);
}

CAMLprim value caml_curses_newwin(value nlines, value ncols, value x0, value y0)
{
    CAMLparam4 (nlines, ncols, x0, y0);
    CAMLreturn (alloc_window(newwin(Int_val(nlines), Int_val(ncols),
                                    Int_val(x0), Int_val(y0))));
}

CAMLprim value caml_curses_addch(value c)
{
    CAMLparam1 (c);
    addch(Int_val(c));          /* Characters are encoded like integers */
    CAMLreturn (Val_unit);
}

CAMLprim value caml_curses_mvwaddch(value win, value x, value y, value c)
{
    CAMLparam4 (win, x, y, c);
    mvwaddch(Window_val(win), Int_val(x), Int_val(y), Int_val(c));
    CAMLreturn (Val_unit);
}

CAMLprim value caml_curses_addstr(value s)
{
    CAMLparam1 (s);
    addstr(String_val(s));
    CAMLreturn (Val_unit);
}

CAMLprim value caml_curses_mvwaddstr(value win, value x, value y, value s)
{
    CAMLparam4 (win, x, y, s);
    mvwaddstr(Window_val(win), Int_val(x), Int_val(y), String_val(s));
    CAMLreturn (Val_unit);
}

/* This goes on for pages. */

```

The file `curses_stubs.c` can be compiled with:

```
cc -c -I`ocamlc -where` curses_stubs.c
```

or, even simpler,

```
ocamlc -c curses_stubs.c
```

(When passed a `.c` file, the `ocamlc` command simply calls the C compiler on that file, with the right `-I` option.)

Now, here is a sample OCaml program `prog.ml` that uses the `curses` module:

```
(* File prog.ml -- main program using curses *)
open Curses;;
let main_window = initscr () in
let small_window = newwin 10 5 20 10 in
  mvwaddstr main_window 10 2 "Hello";
  mvwaddstr small_window 4 3 "world";
  refresh();
  Unix.sleep 5;
endwin()
```

To compile and link this program, run:

```
ocamlc -custom -o prog unix.cma curses.cmo prog.ml curses_stubs.o -cclib -lcurses
```

(On some machines, you may need to put `-cclib -lcurses -cclib -ltermcap` or `-cclib -ltermcap` instead of `-cclib -lcurses`.)

## 22.7 Advanced topic: callbacks from C to OCaml

So far, we have described how to call C functions from OCaml. In this section, we show how C functions can call OCaml functions, either as callbacks (OCaml calls C which calls OCaml), or with the main program written in C.

### 22.7.1 Applying OCaml closures from C

C functions can apply OCaml function values (closures) to OCaml values. The following functions are provided to perform the applications:

- `caml_callback(f, a)` applies the functional value `f` to the value `a` and returns the value returned by `f`.
- `caml_callback2(f, a, b)` applies the functional value `f` (which is assumed to be a curried OCaml function with two arguments) to `a` and `b`.
- `caml_callback3(f, a, b, c)` applies the functional value `f` (a curried OCaml function with three arguments) to `a`, `b` and `c`.
- `caml_callbackN(f, n, args)` applies the functional value `f` to the `n` arguments contained in the C array of values `args`.

If the function  $f$  does not return, but raises an exception that escapes the scope of the application, then this exception is propagated to the next enclosing OCaml code, skipping over the C code. That is, if an OCaml function  $f$  calls a C function  $g$  that calls back an OCaml function  $h$  that raises a stray exception, then the execution of  $g$  is interrupted and the exception is propagated back into  $f$ .

If the C code wishes to catch exceptions escaping the OCaml function, it can use the functions `caml_callback_exn`, `caml_callback2_exn`, `caml_callback3_exn`, `caml_callbackN_exn`. These functions take the same arguments as their non-`_exn` counterparts, but catch escaping exceptions and return them to the C code. The return value  $v$  of the `caml_callback*_exn` functions must be tested with the macro `Is_exception_result(v)`. If the macro returns “false”, no exception occurred, and  $v$  is the value returned by the OCaml function. If `Is_exception_result(v)` returns “true”, an exception escaped, and its value (the exception descriptor) can be recovered using `Extract_exception(v)`.

**Warning:** If the OCaml function returned with an exception, `Extract_exception` should be applied to the exception result prior to calling a function that may trigger garbage collection. Otherwise, if  $v$  is reachable during garbage collection, the runtime can crash since  $v$  does not contain a valid value.

Example:

```
CAMLprim value call_caml_f_ex(value closure, value arg)
{
    CAMLparam2(closure, arg);
    CAMLlocal2(res, tmp);
    res = caml_callback_exn(closure, arg);
    if(Is_exception_result(res)) {
        res = Extract_exception(res);
        tmp = caml_alloc(3, 0); /* Safe to allocate: res contains valid value. */
        ...
    }
    CAMLreturn (res);
}
```

## 22.7.2 Obtaining or registering OCaml closures for use in C functions

There are two ways to obtain OCaml function values (closures) to be passed to the `callback` functions described above. One way is to pass the OCaml function as an argument to a primitive function. For example, if the OCaml code contains the declaration

```
external apply : ('a -> 'b) -> 'a -> 'b = "caml_apply"
```

the corresponding C stub can be written as follows:

```
CAMLprim value caml_apply(value vf, value vx)
{
    CAMLparam2(vf, vx);
    CAMLlocal1(vy);
    vy = caml_callback(vf, vx);
}
```

```
CAMLreturn(vy);
}
```

Another possibility is to use the registration mechanism provided by OCaml. This registration mechanism enables OCaml code to register OCaml functions under some global name, and C code to retrieve the corresponding closure by this global name.

On the OCaml side, registration is performed by evaluating `Callback.register n v`. Here, *n* is the global name (an arbitrary string) and *v* the OCaml value. For instance:

```
let f x = print_string "f is applied to "; print_int x; print_newline()
let _ = Callback.register "test function" f
```

On the C side, a pointer to the value registered under name *n* is obtained by calling `caml_named_value(n)`. The returned pointer must then be dereferenced to recover the actual OCaml value. If no value is registered under the name *n*, the null pointer is returned. For example, here is a C wrapper that calls the OCaml function `f` above:

```
void call_caml_f(int arg)
{
    caml_callback(*caml_named_value("test function"), Val_int(arg));
}
```

The pointer returned by `caml_named_value` is constant and can safely be cached in a C variable to avoid repeated name lookups. The value pointed to cannot be changed from C. However, it might change during garbage collection, so must always be recomputed at the point of use. Here is a more efficient variant of `call_caml_f` above that calls `caml_named_value` only once:

```
void call_caml_f(int arg)
{
    static const value * closure_f = NULL;
    if (closure_f == NULL) {
        /* First time around, look up by name */
        closure_f = caml_named_value("test function");
    }
    caml_callback(*closure_f, Val_int(arg));
}
```

### 22.7.3 Registering OCaml exceptions for use in C functions

The registration mechanism described above can also be used to communicate exception identifiers from OCaml to C. The OCaml code registers the exception by evaluating `Callback.register_exception n exn`, where *n* is an arbitrary name and *exn* is an exception value of the exception to register. For example:

```
exception Error of string
let _ = Callback.register_exception "test exception" (Error "any string")
```

The C code can then recover the exception identifier using `caml_named_value` and pass it as first argument to the functions `raise_constant`, `raise_with_arg`, and `raise_with_string` (described in section 22.4.7) to actually raise the exception. For example, here is a C function that raises the `Error` exception with the given argument:

```
void raise_error(char * msg)
{
    caml_raise_with_string(*caml_named_value("test exception"), msg);
}
```

### 22.7.4 Main program in C

In normal operation, a mixed OCaml/C program starts by executing the OCaml initialization code, which then may proceed to call C functions. We say that the main program is the OCaml code. In some applications, it is desirable that the C code plays the role of the main program, calling OCaml functions when needed. This can be achieved as follows:

- The C part of the program must provide a `main` function, which will override the default `main` function provided by the OCaml runtime system. Execution will start in the user-defined `main` function just like for a regular C program.
- At some point, the C code must call `caml_main(argv)` to initialize the OCaml code. The `argv` argument is a C array of strings (type `char **`), terminated with a NULL pointer, which represents the command-line arguments, as passed as second argument to `main`. The OCaml array `Sys.argv` will be initialized from this parameter. For the bytecode compiler, `argv[0]` and `argv[1]` are also consulted to find the file containing the bytecode.
- The call to `caml_main` initializes the OCaml runtime system, loads the bytecode (in the case of the bytecode compiler), and executes the initialization code of the OCaml program. Typically, this initialization code registers callback functions using `Callback.register`. Once the OCaml initialization code is complete, control returns to the C code that called `caml_main`.
- The C code can then invoke OCaml functions using the callback mechanism (see section 22.7.1).

### 22.7.5 Embedding the OCaml code in the C code

The bytecode compiler in custom runtime mode (`ocamlc -custom`) normally appends the bytecode to the executable file containing the custom runtime. This has two consequences. First, the final linking step must be performed by `ocamlc`. Second, the OCaml runtime library must be able to find the name of the executable file from the command-line arguments. When using `caml_main(argv)` as in section 22.7.4, this means that `argv[0]` or `argv[1]` must contain the executable file name.

An alternative is to embed the bytecode in the C code. The `-output-obj` and `-output-complete-obj` options to `ocamlc` are provided for this purpose. They cause the `ocamlc` compiler to output a C object file (`.o` file, `.obj` under Windows) containing the bytecode for the OCaml part of the program, as well as a `caml_startup` function. The C object file produced by `ocamlc -output-complete-obj` also contains the runtime and autolink libraries. The C object file produced by `ocamlc -output-obj` or `ocamlc -output-complete-obj` can then be linked with C code using the standard C compiler, or stored in a C library.



The `caml_startup` function must be called from the main C program in order to initialize the OCaml runtime and execute the OCaml initialization code. Just like `caml_main`, it takes one `argv` parameter containing the command-line parameters. Unlike `caml_main`, this `argv` parameter is used only to initialize `Sys.argv`, but not for finding the name of the executable file.

The `caml_startup` function calls the uncaught exception handler (or enters the debugger, if running under `ocamldebug`) if an exception escapes from a top-level module initialiser. Such exceptions may be caught in the C code by instead using the `caml_startup_exn` function and testing the result using `Is_exception_result` (followed by `Extract_exception` if appropriate).

The `-output-obj` and `-output-complete-obj` options can also be used to obtain the C source file. More interestingly, these options can also produce directly a shared library (`.so` file, `.dll` under Windows) that contains the OCaml code, the OCaml runtime system and any other static C code given to `ocamlc` (`.o`, `.a`, respectively, `.obj`, `.lib`). This use of `-output-obj` and `-output-complete-obj` is very similar to a normal linking step, but instead of producing a main program that automatically runs the OCaml code, it produces a shared library that can run the OCaml code on demand. The three possible behaviors of `-output-obj` and `-output-complete-obj` (to produce a C source code `.c`, a C object file `.o`, a shared library `.so`), are selected according to the extension of the resulting file (given with `-o`).

The native-code compiler `ocamlopt` also supports the `-output-obj` and `-output-complete-obj` options, causing it to output a C object file or a shared library containing the native code for all OCaml modules on the command-line, as well as the OCaml startup code. Initialization is performed by calling `caml_startup` (or `caml_startup_exn`) as in the case of the bytecode compiler. The file produced by `ocamlopt -output-complete-obj` also contains the runtime and autolink libraries.

For the final linking phase, in addition to the object file produced by `-output-obj`, you will have to provide the OCaml runtime library (`libcamlrun.a` for bytecode, `libasmrun.a` for native-code), as well as all C libraries that are required by the OCaml libraries used. For instance, assume the OCaml part of your program uses the Unix library. With `ocamlc`, you should do:

```
ocamlc -output-obj -o camlcode.o unix.cma other .cmo and .cma files
cc -o myprog C objects and libraries \
    camlcode.o -L'ocamlc -where' -lunix -lcamlrun
```

With `ocamlopt`, you should do:

```
ocamlopt -output-obj -o camlcode.o unix.cmxa other .cmx and .cmxa files
cc -o myprog C objects and libraries \
    camlcode.o -L'ocamlc -where' -lunix -lasmrn
```

For the final linking phase, in addition to the object file produced by `-output-complete-obj`, you will have only to provide the C libraries required by the OCaml runtime.

For instance, assume the OCaml part of your program uses the Unix library. With `ocamlc`, you should do:

```
ocamlc -output-complete-obj -o camlcode.o unix.cma other .cmo and .cma files
cc -o myprog C objects and libraries \
    camlcode.o C libraries required by the runtime, eg -lm -ldl -lcurses -lpthread
```

With `ocamlopt`, you should do:

```
ocamlopt -output-complete-obj -o camlcode.o unix.cmxa other .cmx and .cmxa files
cc -o myprog C objects and libraries \
    camlcode.o C libraries required by the runtime, eg -lm -ldl
```

**Warning:** On some ports, special options are required on the final linking phase that links together the object file produced by the `-output-obj` and `-output-complete-obj` options and the remainder of the program. Those options are shown in the configuration file `Makefile.config` generated during compilation of OCaml, as the variable `OC_LDFLAGS`.

- Windows with the MSVC compiler: the object file produced by OCaml have been compiled with the `/MD` flag, and therefore all other object files linked with it should also be compiled with `/MD`.
- other systems: you may have to add one or both of `-lm` and `-ldl`, depending on your OS and C compiler.

**Stack backtraces.** When OCaml bytecode produced by `ocamlc -g` is embedded in a C program, no debugging information is included, and therefore it is impossible to print stack backtraces on uncaught exceptions. This is not the case when native code produced by `ocamlopt -g` is embedded in a C program: stack backtrace information is available, but the backtrace mechanism needs to be turned on programmatically. This can be achieved from the OCaml side by calling `Printexc.record_backtrace true` in the initialization of one of the OCaml modules. This can also be achieved from the C side by calling `caml_record_backtraces(1)`; in the OCaml-C glue code. (`caml_record_backtraces` is declared in `backtrace.h`)

### Unloading the runtime.

In case the shared library produced with `-output-obj` is to be loaded and unloaded repeatedly by a single process, care must be taken to unload the OCaml runtime explicitly, in order to avoid various system resource leaks.

Since 4.05, `caml_shutdown` function can be used to shut the runtime down gracefully, which equals the following:

- Running the functions that were registered with `Stdlib.at_exit`.
- Triggering finalization of allocated custom blocks (see section 22.9). For example, `Stdlib.in_channel` and `Stdlib.out_channel` are represented by custom blocks that enclose file descriptors, which are to be released.
- Unloading the dependent shared libraries that were loaded by the runtime, including `dynlink` plugins.
- Freeing the memory blocks that were allocated by the runtime with `malloc`. Inside C primitives, it is advised to use `caml_stat_*` functions from `memory.h` for managing static (that is, non-moving) blocks of heap memory, as all the blocks allocated with these functions are automatically freed by `caml_shutdown`. For ensuring compatibility with legacy C stubs that have used `caml_stat_*` incorrectly, this behaviour is only enabled if the runtime is started with a specialized `caml_startup_pooled` function.

As a shared library may have several clients simultaneously, it is made for convenience that `caml_startup` (and `caml_startup_pooled`) may be called multiple times, given that each such call is paired with a corresponding call to `caml_shutdown` (in a nested fashion). The runtime will be unloaded once there are no outstanding calls to `caml_startup`.

Once a runtime is unloaded, it cannot be started up again without reloading the shared library and reinitializing its static data. Therefore, at the moment, the facility is only useful for building reloadable shared libraries.

**Unix signal handling.** Depending on the target platform and operating system, the native-code runtime system may install signal handlers for one or several of the `SIGSEGV`, `SIGTRAP` and `SIGFPE` signals when `caml_startup` is called, and reset these signals to their default behaviors when `caml_shutdown` is called. The main program written in C should not try to handle these signals itself.

## 22.8 Advanced example with callbacks

This section illustrates the callback facilities described in section 22.7. We are going to package some OCaml functions in such a way that they can be linked with C code and called from C just like any C functions. The OCaml functions are defined in the following `mod.ml` OCaml source:

```
(* File mod.ml -- some "useful" OCaml functions *)

let rec fib n = if n < 2 then 1 else fib(n-1) + fib(n-2)

let format_result n = Printf.sprintf "Result is: %d\n" n

(* Export those two functions to C *)

let _ = Callback.register "fib" fib
let _ = Callback.register "format_result" format_result
```

Here is the C stub code for calling these functions from C:

```
/* File modwrap.c -- wrappers around the OCaml functions */

#include <stdio.h>
#include <string.h>
#include <caml/mlvalues.h>
#include <caml/callback.h>

int fib(int n)
{
    static const value * fib_closure = NULL;
    if (fib_closure == NULL) fib_closure = caml_named_value("fib");
    return Int_val(caml_callback(*fib_closure, Val_int(n)));
}
```

```

}

char * format_result(int n)
{
    static const value * format_result_closure = NULL;
    if (format_result_closure == NULL)
        format_result_closure = caml_named_value("format_result");
    return strdup(String_val(caml_callback(*format_result_closure, Val_int(n))));
    /* We copy the C string returned by String_val to the C heap
       so that it remains valid after garbage collection. */
}

```

We now compile the OCaml code to a C object file and put it in a C library along with the stub code in `modwrap.c` and the OCaml runtime system:

```

ocamlc -custom -output-obj -o modcaml.o mod.ml
ocamlc -c modwrap.c
cp `ocamlc -where`/libcamlrun.a mod.a && chmod +w mod.a
ar r mod.a modcaml.o modwrap.o

```

(One can also use `ocamlopt -output-obj` instead of `ocamlc -custom -output-obj`. In this case, replace `libcamlrun.a` (the bytecode runtime library) by `libasmrun.a` (the native-code runtime library).)

Now, we can use the two functions `fib` and `format_result` in any C program, just like regular C functions. Just remember to call `caml_startup` (or `caml_startup_exn`) once before.

```

/* File main.c -- a sample client for the OCaml functions */

#include <stdio.h>
#include <caml/callback.h>

extern int fib(int n);
extern char * format_result(int n);

int main(int argc, char ** argv)
{
    int result;

    /* Initialize OCaml code */
    caml_startup(argv);
    /* Do some computation */
    result = fib(10);
    printf("fib(10) = %s\n", format_result(result));
    return 0;
}

```

To build the whole program, just invoke the C compiler as follows:

```
cc -o prog -I `ocamlc -where` main.c mod.a -lcurses
```

(On some machines, you may need to put `-ltermcap` or `-lcurses -ltermcap` instead of `-lcurses`.)

## 22.9 Advanced topic: custom blocks

Blocks with tag `Custom_tag` contain both arbitrary user data and a pointer to a C struct, with type `struct custom_operations`, that associates user-provided finalization, comparison, hashing, serialization and deserialization functions to this block.

### 22.9.1 The struct custom\_operations

The `struct custom_operations` is defined in `<caml/custom.h>` and contains the following fields:

- `char *identifier`  
A zero-terminated character string serving as an identifier for serialization and deserialization operations.
- `void (*finalize)(value v)`  
The `finalize` field contains a pointer to a C function that is called when the block becomes unreachable and is about to be reclaimed. The block is passed as first argument to the function. The `finalize` field can also be `custom_finalize_default` to indicate that no finalization function is associated with the block.
- `int (*compare)(value v1, value v2)`  
The `compare` field contains a pointer to a C function that is called whenever two custom blocks are compared using OCaml's generic comparison operators (`=`, `<>`, `<=`, `>=`, `<`, `>` and `compare`). The C function should return 0 if the data contained in the two blocks are structurally equal, a negative integer if the data from the first block is less than the data from the second block, and a positive integer if the data from the first block is greater than the data from the second block.

The `compare` field can be set to `custom_compare_default`; this default comparison function simply raises `Failure`.

- `int (*compare_ext)(value v1, value v2)`  
(Since 3.12.1) The `compare_ext` field contains a pointer to a C function that is called whenever one custom block and one unboxed integer are compared using OCaml's generic comparison operators (`=`, `<>`, `<=`, `>=`, `<`, `>` and `compare`). As in the case of the `compare` field, the C function should return 0 if the two arguments are structurally equal, a negative integer if the first argument compares less than the second argument, and a positive integer if the first argument compares greater than the second argument.

The `compare_ext` field can be set to `custom_compare_ext_default`; this default comparison function simply raises `Failure`.

- `intnat (*hash)(value v)`  
The `hash` field contains a pointer to a C function that is called whenever OCaml's generic

hash operator (see module [Hashtbl](#)[\[28.24\]](#)) is applied to a custom block. The C function can return an arbitrary integer representing the hash value of the data contained in the given custom block. The hash value must be compatible with the `compare` function, in the sense that two structurally equal data (that is, two custom blocks for which `compare` returns 0) must have the same hash value.

The `hash` field can be set to `custom_hash_default`, in which case the custom block is ignored during hash computation.

- `void (*serialize)(value v, uintnat * bsize_32, uintnat * bsize_64)`

The `serialize` field contains a pointer to a C function that is called whenever the custom block needs to be serialized (marshaled) using the OCaml functions `output_value` or `Marshal.to_...`. For a custom block, those functions first write the identifier of the block (as given by the `identifier` field) to the output stream, then call the user-provided `serialize` function. That function is responsible for writing the data contained in the custom block, using the `serialize_...` functions defined in `<caml/intext.h>` and listed below. The user-provided `serialize` function must then store in its `bsize_32` and `bsize_64` parameters the sizes in bytes of the data part of the custom block on a 32-bit architecture and on a 64-bit architecture, respectively.

The `serialize` field can be set to `custom_serialize_default`, in which case the `Failure` exception is raised when attempting to serialize the custom block.

- `uintnat (*deserialize)(void * dst)`

The `deserialize` field contains a pointer to a C function that is called whenever a custom block with identifier `identifier` needs to be deserialized (un-marshaled) using the OCaml functions `input_value` or `Marshal.from_...`. This user-provided function is responsible for reading back the data written by the `serialize` operation, using the `deserialize_...` functions defined in `<caml/intext.h>` and listed below. It must then rebuild the data part of the custom block and store it at the pointer given as the `dst` argument. Finally, it returns the size in bytes of the data part of the custom block. This size must be identical to the `wsizer_32` result of the `serialize` operation if the architecture is 32 bits, or `wsizer_64` if the architecture is 64 bits.

The `deserialize` field can be set to `custom_deserialize_default` to indicate that deserialization is not supported. In this case, do not register the `struct custom_operations` with the deserializer using `register_custom_operations` (see below).

- `const struct custom_fixed_length* fixed_length`

(Since 4.08.0) Normally, space in the serialized output is reserved to write the `bsize_32` and `bsize_64` fields returned by `serialize`. However, for very short custom blocks, this space can be larger than the data itself! As a space optimisation, if `serialize` always returns the same values for `bsize_32` and `bsize_64`, then these values may be specified in the `fixed_length` structure, and do not consume space in the serialized output.

Note: the `finalize`, `compare`, `hash`, `serialize` and `deserialize` functions attached to custom block descriptors must never access the OCaml runtime. Within these functions, do not call any of the OCaml allocation functions, and do not perform a callback into OCaml code. Do not use

`CAMLparam` to register the parameters to these functions, and do not use `CAMLreturn` to return the result. Do not raise exceptions, do not remove global roots, etc.

## 22.9.2 Allocating custom blocks

Custom blocks must be allocated via `caml_alloc_custom` or `caml_alloc_custom_mem`:

```
caml_alloc_custom(ops, size, used, max)
```

returns a fresh custom block, with room for *size* bytes of user data, and whose associated operations are given by *ops* (a pointer to a `struct custom_operations`, usually statically allocated as a C global variable).

The two parameters *used* and *max* are used to control the speed of garbage collection when the finalized object contains pointers to out-of-heap resources. Generally speaking, the OCaml incremental major collector adjusts its speed relative to the allocation rate of the program. The faster the program allocates, the harder the GC works in order to reclaim quickly unreachable blocks and avoid having large amount of “floating garbage” (unreferenced objects that the GC has not yet collected).

Normally, the allocation rate is measured by counting the in-heap size of allocated blocks. However, it often happens that finalized objects contain pointers to out-of-heap memory blocks and other resources (such as file descriptors, X Windows bitmaps, etc.). For those blocks, the in-heap size of blocks is not a good measure of the quantity of resources allocated by the program.

The two arguments *used* and *max* give the GC an idea of how much out-of-heap resources are consumed by the finalized block being allocated: you give the amount of resources allocated to this object as parameter *used*, and the maximum amount that you want to see in floating garbage as parameter *max*. The units are arbitrary: the GC cares only about the ratio *used*/*max*.

For instance, if you are allocating a finalized block holding an X Windows bitmap of *w* by *h* pixels, and you’d rather not have more than 1 mega-pixels of unreclaimed bitmaps, specify *used* = *w* \* *h* and *max* = 1000000.

Another way to describe the effect of the *used* and *max* parameters is in terms of full GC cycles. If you allocate many custom blocks with *used*/*max* = 1/*N*, the GC will then do one full cycle (examining every object in the heap and calling finalization functions on those that are unreachable) every *N* allocations. For instance, if *used* = 1 and *max* = 1000, the GC will do one full cycle at least every 1000 allocations of custom blocks.

If your finalized blocks contain no pointers to out-of-heap resources, or if the previous discussion made little sense to you, just take *used* = 0 and *max* = 1. But if you later find that the finalization functions are not called “often enough”, consider increasing the *used*/*max* ratio.

```
caml_alloc_custom_mem(ops, size, used)
```

Use this function when your custom block holds only out-of-heap memory (memory allocated with `malloc` or `caml_stat_alloc`) and no other resources. *used* should be the number of bytes of out-of-heap memory that are held by your custom block. This function works like `caml_alloc_custom` except that the *max* parameter is under the control of the user (via the `custom_major_ratio`, `custom_minor_ratio`, and `custom_minor_max_size` parameters) and proportional to the heap sizes. It has been available since OCaml 4.08.0.

### 22.9.3 Accessing custom blocks

The data part of a custom block  $v$  can be accessed via the pointer `Data_custom_val( $v$ )`. This pointer has type `void *` and should be cast to the actual type of the data stored in the custom block.

The contents of custom blocks are not scanned by the garbage collector, and must therefore not contain any pointer inside the OCaml heap. In other terms, never store an OCaml `value` in a custom block, and do not use `Field`, `Store_field` nor `caml_modify` to access the data part of a custom block. Conversely, any C data structure (not containing heap pointers) can be stored in a custom block.

### 22.9.4 Writing custom serialization and deserialization functions

The following functions, defined in `<caml/intext.h>`, are provided to write and read back the contents of custom blocks in a portable way. Those functions handle endianness conversions when e.g. data is written on a little-endian machine and read back on a big-endian machine.

Function	Action
<code>caml_serialize_int_1</code>	Write a 1-byte integer
<code>caml_serialize_int_2</code>	Write a 2-byte integer
<code>caml_serialize_int_4</code>	Write a 4-byte integer
<code>caml_serialize_int_8</code>	Write a 8-byte integer
<code>caml_serialize_float_4</code>	Write a 4-byte float
<code>caml_serialize_float_8</code>	Write a 8-byte float
<code>caml_serialize_block_1</code>	Write an array of 1-byte quantities
<code>caml_serialize_block_2</code>	Write an array of 2-byte quantities
<code>caml_serialize_block_4</code>	Write an array of 4-byte quantities
<code>caml_serialize_block_8</code>	Write an array of 8-byte quantities
<code>caml_deserialize_uint_1</code>	Read an unsigned 1-byte integer
<code>caml_deserialize_sint_1</code>	Read a signed 1-byte integer
<code>caml_deserialize_uint_2</code>	Read an unsigned 2-byte integer
<code>caml_deserialize_sint_2</code>	Read a signed 2-byte integer
<code>caml_deserialize_uint_4</code>	Read an unsigned 4-byte integer
<code>caml_deserialize_sint_4</code>	Read a signed 4-byte integer
<code>caml_deserialize_uint_8</code>	Read an unsigned 8-byte integer
<code>caml_deserialize_sint_8</code>	Read a signed 8-byte integer
<code>caml_deserialize_float_4</code>	Read a 4-byte float
<code>caml_deserialize_float_8</code>	Read an 8-byte float
<code>caml_deserialize_block_1</code>	Read an array of 1-byte quantities
<code>caml_deserialize_block_2</code>	Read an array of 2-byte quantities
<code>caml_deserialize_block_4</code>	Read an array of 4-byte quantities
<code>caml_deserialize_block_8</code>	Read an array of 8-byte quantities
<code>caml_deserialize_error</code>	Signal an error during deserialization; <code>input_value</code> or <code>Marshal.from_...</code> raise a <code>Failure</code> exception after cleaning up their internal data structures



Serialization functions are attached to the custom blocks to which they apply. Obviously, deserialization functions cannot be attached this way, since the custom block does not exist yet when deserialization begins! Thus, the `struct custom_operations` that contain deserialization functions must be registered with the deserializer in advance, using the `register_custom_operations` function declared in `<caml/custom.h>`. Deserialization proceeds by reading the identifier off the input stream, allocating a custom block of the size specified in the input stream, searching the registered `struct custom_operation` blocks for one with the same identifier, and calling its `deserialize` function to fill the data part of the custom block.

### 22.9.5 Choosing identifiers

Identifiers in `struct custom_operations` must be chosen carefully, since they must identify uniquely the data structure for serialization and deserialization operations. In particular, consider including a version number in the identifier; this way, the format of the data can be changed later, yet backward-compatible deserialisation functions can be provided.

Identifiers starting with `_` (an underscore character) are reserved for the OCaml runtime system; do not use them for your custom data. We recommend to use a URL (`http://mymachine.mydomain.com/mylibrary/version-number`) or a Java-style package name (`com.mydomain.mymachine.mylibrary.version-number`) as identifiers, to minimize the risk of identifier collision.

### 22.9.6 Finalized blocks

Custom blocks generalize the finalized blocks that were present in OCaml prior to version 3.00. For backwards compatibility, the format of custom blocks is compatible with that of finalized blocks, and the `caml_alloc_final` function is still available to allocate a custom block with a given finalization function, but default comparison, hashing and serialization functions. (In particular, the finalization function must not access the OCaml runtime.)

`caml_alloc_final(n, f, used, max)` returns a fresh custom block of size *n*+1 words, with finalization function *f*. The first word is reserved for storing the custom operations; the other *n* words are available for your data. The two parameters *used* and *max* are used to control the speed of garbage collection, as described for `caml_alloc_custom`.

## 22.10 Advanced topic: Bigarrays and the OCaml-C interface

This section explains how C stub code that interfaces C or Fortran code with OCaml code can use Bigarrays.

### 22.10.1 Include file

The include file `<caml/bigarray.h>` must be included in the C stub file. It declares the functions, constants and macros discussed below.

### 22.10.2 Accessing an OCaml bigarray from C or Fortran

If  $v$  is a OCaml value representing a Bigarray, the expression `Caml_ba_data_val( $v$ )` returns a pointer to the data part of the array. This pointer is of type `void *` and can be cast to the appropriate C type for the array (e.g. `double []`, `char [][][10]`, etc).

Various characteristics of the OCaml Bigarray can be consulted from C as follows:

C expression	Returns
<code>Caml_ba_array_val(<math>v</math>)-&gt;num_dims</code>	number of dimensions
<code>Caml_ba_array_val(<math>v</math>)-&gt;dim[<math>i</math>]</code>	$i$ -th dimension
<code>Caml_ba_array_val(<math>v</math>)-&gt;flags &amp; BIGARRAY_KIND_MASK</code>	kind of array elements

The kind of array elements is one of the following constants:

Constant	Element kind
<code>CAML_BA_FLOAT32</code>	32-bit single-precision floats
<code>CAML_BA_FLOAT64</code>	64-bit double-precision floats
<code>CAML_BA_SINT8</code>	8-bit signed integers
<code>CAML_BA_UINT8</code>	8-bit unsigned integers
<code>CAML_BA_SINT16</code>	16-bit signed integers
<code>CAML_BA_UINT16</code>	16-bit unsigned integers
<code>CAML_BA_INT32</code>	32-bit signed integers
<code>CAML_BA_INT64</code>	64-bit signed integers
<code>CAML_BA_CAML_INT</code>	31- or 63-bit signed integers
<code>CAML_BA_NATIVE_INT</code>	32- or 64-bit (platform-native) integers
<code>CAML_BA_COMPLEX32</code>	32-bit single-precision complex numbers
<code>CAML_BA_COMPLEX64</code>	64-bit double-precision complex numbers
<code>CAML_BA_CHAR</code>	8-bit characters

**Warning:** `Caml_ba_array_val( $v$ )` must always be dereferenced immediately and not stored anywhere, including local variables. It resolves to a derived pointer: it is not a valid OCaml value but points to a memory region managed by the GC. For this reason this value must not be stored in any memory location that could be live cross a GC.

The following example shows the passing of a two-dimensional Bigarray to a C function and a Fortran function.

```
extern void my_c_function(double * data, int dimx, int dimy);
extern void my_fortran_function_(double * data, int * dimx, int * dimy);

CAMLprim value caml_stub(value bigarray)
{
    int dimx = Caml_ba_array_val(bigarray)->dim[0];
    int dimy = Caml_ba_array_val(bigarray)->dim[1];
    /* C passes scalar parameters by value */
    my_c_function(Caml_ba_data_val(bigarray), dimx, dimy);
    /* Fortran passes all parameters by reference */
    my_fortran_function_(Caml_ba_data_val(bigarray), &dimx, &dimy);
}
```

```
    return Val_unit;
}
```

### 22.10.3 Wrapping a C or Fortran array as an OCaml Bigarray

A pointer  $p$  to an already-allocated C or Fortran array can be wrapped and returned to OCaml as a Bigarray using the `caml_ba_alloc` or `caml_ba_alloc_dims` functions.

- `caml_ba_alloc(kind | layout, numdims, p, dims)`  
Return an OCaml Bigarray wrapping the data pointed to by  $p$ .  $kind$  is the kind of array elements (one of the `CAML_BA_` kind constants above).  $layout$  is `CAML_BA_C_LAYOUT` for an array with C layout and `CAML_BA_FORTRAN_LAYOUT` for an array with Fortran layout.  $numdims$  is the number of dimensions in the array.  $dims$  is an array of  $numdims$  long integers, giving the sizes of the array in each dimension.
- `caml_ba_alloc_dims(kind | layout, numdims, p, (long) dim1, (long) dim2, ..., (long) dimnumdims)`  
Same as `caml_ba_alloc`, but the sizes of the array in each dimension are listed as extra arguments in the function call, rather than being passed as an array.

The following example illustrates how statically-allocated C and Fortran arrays can be made available to OCaml.

```
extern long my_c_array[100][200];
extern float my_fortran_array_[300][400];

CAMLprim value caml_get_c_array(value unit)
{
    long dims[2];
    dims[0] = 100; dims[1] = 200;
    return caml_ba_alloc(CAML_BA_NATIVE_INT | CAML_BA_C_LAYOUT,
                        2, my_c_array, dims);
}

CAMLprim value caml_get_fortran_array(value unit)
{
    return caml_ba_alloc_dims(CAML_BA_FLOAT32 | CAML_BA_FORTRAN_LAYOUT,
                            2, my_fortran_array_, 300L, 400L);
}
```

## 22.11 Advanced topic: cheaper C call

This section describe how to make calling C functions cheaper.

**Note:** This only applies to the native compiler. So whenever you use any of these methods, you have to provide an alternative byte-code stub that ignores all the special annotations.

### 22.11.1 Passing unboxed values

We said earlier that all OCaml objects are represented by the C type `value`, and one has to use macros such as `Int_val` to decode data from the `value` type. It is however possible to tell the OCaml native-code compiler to do this for us and pass arguments unboxed to the C function. Similarly it is possible to tell OCaml to expect the result unboxed and box it for us.

The motivation is that, by letting ‘ocamlopt’ deal with boxing, it can often decide to suppress it entirely.

For instance let’s consider this example:

```
external foo : float -> float -> float = "foo"
```

```
let f a b =
  let len = Array.length a in
  assert (Array.length b = len);
  let res = Array.make len 0. in
  for i = 0 to len - 1 do
    res.(i) <- foo a.(i) b.(i)
  done
```

Float arrays are unboxed in OCaml, however the C function `foo` expect its arguments as boxed floats and returns a boxed float. Hence the OCaml compiler has no choice but to box `a.(i)` and `b.(i)` and unbox the result of `foo`. This results in the allocation of  $3 * \text{len}$  temporary float values.

Now if we annotate the arguments and result with `[@unboxed]`, the native-code compiler will be able to avoid all these allocations:

```
external foo
  : (float [@unboxed])
  -> (float [@unboxed])
  -> (float [@unboxed])
  = "foo_byte" "foo"
```

In this case the C functions must look like:

```
CAMLprim double foo(double a, double b)
{
  ...
}

CAMLprim value foo_byte(value a, value b)
{
  return caml_copy_double(foo(Double_val(a), Double_val(b)))
}
```

For convenience, when all arguments and the result are annotated with `[@unboxed]`, it is possible to put the attribute only once on the declaration itself. So we can also write instead:

```
external foo : float -> float -> float = "foo_byte" "foo" [@@unboxed]
```

The following table summarize what OCaml types can be unboxed, and what C types should be used in correspondence:

OCaml type	C type
<code>float</code>	<code>double</code>
<code>int32</code>	<code>int32_t</code>
<code>int64</code>	<code>int64_t</code>
<code>nativeint</code>	<code>intnat</code>

Similarly, it is possible to pass untagged OCaml integers between OCaml and C. This is done by annotating the arguments and/or result with `[@untagged]`:

```
external f : string -> (int [@@untagged]) = "f_byte" "f"
```

The corresponding C type must be `intnat`.

**Note:** Do not use the C `int` type in correspondence with `(int [@@untagged])`. This is because they often differ in size.

### 22.11.2 Direct C call

In order to be able to run the garbage collector in the middle of a C function, the OCaml native-code compiler generates some bookkeeping code around C calls. Technically it wraps every C call with the C function `caml_c_call` which is part of the OCaml runtime.

For small functions that are called repeatedly, this indirection can have a big impact on performances. However this is not needed if we know that the C function doesn't allocate, doesn't raise exceptions, and doesn't release the domain lock (see section 22.12.2). We can instruct the OCaml native-code compiler of this fact by annotating the external declaration with the attribute `[@@noalloc]`:

```
external bar : int -> int -> int = "foo" [@@noalloc]
```

In this case calling `bar` from OCaml is as cheap as calling any other OCaml function, except for the fact that the OCaml compiler can't inline C functions...

### 22.11.3 Example: calling C library functions without indirection

Using these attributes, it is possible to call C library functions with no indirection. For instance many math functions are defined this way in the OCaml standard library:

```
external sqrt : float -> float = "caml_sqrt_float" "sqrt"
  [@@unboxed] [@@noalloc]
(** Square root. *)
```

```
external exp : float -> float = "caml_exp_float" "exp" [@@unboxed] [@@noalloc]
(** Exponential. *)
```

```
external log : float -> float = "caml_log_float" "log" [@@unboxed] [@@noalloc]
(** Natural logarithm. *)
```

## 22.12 Advanced topic: multithreading

Using multiple threads (shared-memory concurrency) in a mixed OCaml/C application requires special precautions, which are described in this section.

### 22.12.1 Registering threads created from C

Callbacks from C to OCaml are possible only if the calling thread is known to the OCaml run-time system. Threads created from OCaml (through the `Thread.create` function of the system threads library) are automatically known to the run-time system. If the application creates additional threads from C and wishes to callback into OCaml code from these threads, it must first register them with the run-time system. The following functions are declared in the include file `<caml/threads.h>`.

- `caml_c_thread_register()` registers the calling thread with the OCaml run-time system. Returns 1 on success, 0 on error. Registering an already-registered thread does nothing and returns 0.
- `caml_c_thread_unregister()` must be called before the thread terminates, to unregister it from the OCaml run-time system. Returns 1 on success, 0 on error. If the calling thread was not previously registered, does nothing and returns 0.

### 22.12.2 Parallel execution of long-running C code with `systhreads`

Domains are the unit of parallelism for OCaml programs. When using the `systhreads` library, multiple threads might be attached to the same domain. However, at any time, at most one of those thread can be executing OCaml code or C code that uses the OCaml run-time system by domain. Technically, this is enforced by a “domain lock” that any thread must hold while executing such code within a domain.

When OCaml calls the C code implementing a primitive, the domain lock is held, therefore the C code has full access to the facilities of the run-time system. However, no other thread in the same domain can execute OCaml code concurrently with the C code of the primitive. See also chapter 9.6 for the behaviour with multiple domains.

If a C primitive runs for a long time or performs potentially blocking input-output operations, it can explicitly release the domain lock, enabling other OCaml threads in the same domain to run concurrently with its operations. The C code must re-acquire the domain lock before returning to OCaml. This is achieved with the following functions, declared in the include file `<caml/threads.h>`.

- `caml_release_runtime_system()` The calling thread releases the domain lock and other OCaml resources, enabling other threads to run OCaml code in parallel with the execution of the calling thread.
- `caml_acquire_runtime_system()` The calling thread re-acquires the domain lock and other OCaml resources. It may block until no other thread in the same domain uses the OCaml run-time system.

These functions poll for pending signals by calling asynchronous callbacks (section 22.5.3) before releasing and after acquiring the lock. They can therefore execute arbitrary OCaml code including raising an asynchronous exception.

After `caml_release_runtime_system()` was called and until `caml_acquire_runtime_system()` is called, the C code must not access any OCaml data, nor call any function of the run-time system, nor call back into OCaml code. Consequently, arguments provided by OCaml to the C primitive must be copied into C data structures before calling `caml_release_runtime_system()`, and results to be returned to OCaml must be encoded as OCaml values after `caml_acquire_runtime_system()` returns.

Example: the following C primitive invokes `gethostbyname` to find the IP address of a host name. The `gethostbyname` function can block for a long time, so we choose to release the OCaml run-time system while it is running.

```
CAMLprim stub_gethostbyname(value vname)
{
    CAMLparam1 (vname);
    CAMLlocal1 (vres);
    struct hostent * h;
    char * name;

    /* Copy the string argument to a C string, allocated outside the
       OCaml heap. */
    name = caml_stat_strdup(String_val(vname));
    /* Release the OCaml run-time system */
    caml_release_runtime_system();
    /* Resolve the name */
    h = gethostbyname(name);
    /* Free the copy of the string, which we might as well do before
       acquiring the runtime system to benefit from parallelism. */
    caml_stat_free(name);
    /* Re-acquire the OCaml run-time system */
    caml_acquire_runtime_system();
    /* Encode the relevant fields of h as the OCaml value vres */
    ... /* Omitted */
    /* Return to OCaml */
    CAMLreturn (vres);
}
```

The macro `Caml_state` evaluates to the domain state variable, and checks in debug mode that the domain lock is held. Such a check is also placed in normal mode at key entry points of the C API; this is why calling some of the runtime functions and macros without correctly owning the domain lock can result in a fatal error: `no domain lock held`. The variant `Caml_state_opt` does not perform any check but evaluates to `NULL` when the domain lock is not held. This lets you determine whether a thread belonging to a domain currently holds its domain lock, for various purposes.

Callbacks from C to OCaml must be performed while holding the domain lock to the OCaml run-time system. This is naturally the case if the callback is performed by a C primitive that did not release the run-time system. If the C primitive released the run-time system previously, or the callback is performed from other C code that was not invoked from OCaml (e.g. an event loop in a

GUI application), the run-time system must be acquired before the callback and released after:

```
caml_acquire_runtime_system();
/* Resolve OCaml function vfun to be invoked */
/* Build OCaml argument varg to the callback */
vres = callback(vfun, varg);
/* Copy relevant parts of result vres to C data structures */
caml_release_runtime_system();
```

Note: the `acquire` and `release` functions described above were introduced in OCaml 3.12. Older code uses the following historical names, declared in `<caml/signals.h>`:

- `caml_enter_blocking_section` as an alias for `caml_release_runtime_system`
- `caml_leave_blocking_section` as an alias for `caml_acquire_runtime_system`

Intuition: a “blocking section” is a piece of C code that does not use the OCaml run-time system, typically a blocking input/output operation.

## 22.13 Advanced topic: interfacing with Windows Unicode APIs

This section contains some general guidelines for writing C stubs that use Windows Unicode APIs.

The OCaml system under Windows can be configured at build time in one of two modes:

- **legacy mode:** All path names, environment variables, command line arguments, etc. on the OCaml side are assumed to be encoded using the current 8-bit code page of the system.
- **Unicode mode:** All path names, environment variables, command line arguments, etc. on the OCaml side are assumed to be encoded using UTF-8.

In what follows, we say that a string has the *OCaml encoding* if it is encoded in UTF-8 when in Unicode mode, in the current code page in legacy mode, or is an arbitrary string under Unix. A string has the *platform encoding* if it is encoded in UTF-16 under Windows or is an arbitrary string under Unix.

From the point of view of the writer of C stubs, the challenges of interacting with Windows Unicode APIs are twofold:

- The Windows API uses the UTF-16 encoding to support Unicode. The runtime system performs the necessary conversions so that the OCaml programmer only needs to deal with the OCaml encoding. C stubs that call Windows Unicode APIs need to use specific runtime functions to perform the necessary conversions in a compatible way.
- When writing stubs that need to be compiled under both Windows and Unix, the stubs need to be written in a way that allow the necessary conversions under Windows but that also work under Unix, where typically nothing particular needs to be done to support Unicode.



The native C character type under Windows is `WCHAR`, two bytes wide, while under Unix it is `char`, one byte wide. A type `char_os` is defined in `<caml/misc.h>` that stands for the concrete C character type of each platform. Strings in the platform encoding are of type `char_os *`.

The following functions are exposed to help write compatible C stubs. To use them, you need to include both `<caml/misc.h>` and `<caml/osdeps.h>`.

- `char_os* caml_stat_strdup_to_os(const char *)` copies the argument while translating from OCaml encoding to the platform encoding. This function is typically used to convert the `char *` underlying an OCaml string before passing it to an operating system API that takes a Unicode argument. Under Unix, it is equivalent to `caml_stat_strdup`.

**Note:** For maximum backwards compatibility in Unicode mode, if the argument is not a valid UTF-8 string, this function will fall back to assuming that it is encoded in the current code page.

- `char* caml_stat_strdup_of_os(const char_os *)` copies the argument while translating from the platform encoding to the OCaml encoding. It is the inverse of `caml_stat_strdup_to_os`. This function is typically used to convert a string obtained from the operating system before passing it on to OCaml code. Under Unix, it is equivalent to `caml_stat_strdup`.
- `value caml_copy_string_of_os(char_os *)` allocates an OCaml string with contents equal to the argument string converted to the OCaml encoding. This function is essentially equivalent to `caml_stat_strdup_of_os` followed by `caml_copy_string`, except that it avoids the allocation of the intermediate string returned by `caml_stat_strdup_of_os`. Under Unix, it is equivalent to `caml_copy_string`.

**Note:** The strings returned by `caml_stat_strdup_to_os` and `caml_stat_strdup_of_os` are allocated using `caml_stat_alloc`, so they need to be deallocated using `caml_stat_free` when they are no longer needed.

**Example** We want to bind the function `getenv` in a way that works both under Unix and Windows. Under Unix this function has the prototype:

```
char *getenv(const char *);
```

While the Unicode version under Windows has the prototype:

```
WCHAR *_wgetenv(const WCHAR *);
```

In terms of `char_os`, both functions take an argument of type `char_os *` and return a result of the same type. We begin by choosing the right implementation of the function to bind:

```
#ifdef _WIN32
#define getenv_os _wgetenv
#else
#define getenv_os getenv
#endif
```

The rest of the binding is the same for both platforms:

```
#include <caml/mlvalues.h>
#include <caml/misc.h>
#include <caml/alloc.h>
#include <caml/fail.h>
#include <caml/osdeps.h>
#include <stdlib.h>

CAMLprim value stub_getenv(value var_name)
{
    CAMLparam1(var_name);
    CAMLlocal1(var_value);
    char_os *var_name_os, *var_value_os;

    var_name_os = caml_stat_strdup_to_os(String_val(var_name));
    var_value_os = getenv_os(var_name_os);
    caml_stat_free(var_name_os);

    if (var_value_os == NULL)
        caml_raise_not_found();

    var_value = caml_copy_string_of_os(var_value_os);

    CAMLreturn(var_value);
}
```

## 22.14 Building mixed C/OCaml libraries: `ocamlmklib`

The `ocamlmklib` command facilitates the construction of libraries containing both OCaml code and C code, and usable both in static linking and dynamic linking modes. This command is available under Windows since Objective Caml 3.11 and under other operating systems since Objective Caml 3.03.

The `ocamlmklib` command takes three kinds of arguments:

- OCaml source files and object files (`.cmo`, `.cmx`, `.ml`) comprising the OCaml part of the library;
- C object files (`.o`, `.a`, respectively, `.obj`, `.lib`) comprising the C part of the library;
- Support libraries for the C part (`-llib`).

It generates the following outputs:

- An OCaml bytecode library `.cma` incorporating the `.cmo` and `.ml` OCaml files given as arguments, and automatically referencing the C library generated with the C object files.

- An OCaml native-code library `.cmxa` incorporating the `.cmx` and `.ml` OCaml files given as arguments, and automatically referencing the C library generated with the C object files.
- If dynamic linking is supported on the target platform, a `.so` (respectively, `.dll`) shared library built from the C object files given as arguments, and automatically referencing the support libraries.
- A C static library `.a` (respectively, `.lib`) built from the C object files.

In addition, the following options are recognized:

`-cclib, -ccopt, -I, -linkall`

These options are passed as is to `ocamlc` or `ocamlopt`. See the documentation of these commands.

`-rpath, -R, -Wl, -rpath, -Wl, -R`

These options are passed as is to the C compiler. Refer to the documentation of the C compiler.

`-custom`

Force the construction of a statically linked library only, even if dynamic linking is supported.

`-failsafe`

Fall back to building a statically linked library if a problem occurs while building the shared library (e.g. some of the support libraries are not available as shared libraries).

`-Ldir`

Add *dir* to the search path for support libraries (`-llib`).

`-ocamlc cmd`

Use *cmd* instead of `ocamlc` to call the bytecode compiler.

`-ocamlopt cmd`

Use *cmd* instead of `ocamlopt` to call the native-code compiler.

`-o output`

Set the name of the generated OCaml library. `ocamlmklib` will generate *output.cma* and/or *output.cmxa*. If not specified, defaults to `a`.

`-oc outputc`

Set the name of the generated C library. `ocamlmklib` will generate *liboutputc.so* (if shared libraries are supported) and *liboutputc.a*. If not specified, defaults to the output name given with `-o`.

On native Windows, the following environment variable is also consulted:

`OCAML_FLEXLINK`

Alternative executable to use instead of the configured value. Primarily used for bootstrapping.

**Example** Consider an OCaml interface to the standard `libz` C library for reading and writing compressed files. Assume this library resides in `/usr/local/zlib`. This interface is composed of an OCaml part `zip.cmo/zip.cmx` and a C part `zipstubs.o` containing the stub code around the `libz` entry points. The following command builds the OCaml libraries `zip.cma` and `zip.cmx`, as well as the companion C libraries `dllzip.so` and `libzip.a`:

```
ocamlmklib -o zip zip.cmo zip.cmx zipstubs.o -lz -L/usr/local/zlib
```

If shared libraries are supported, this performs the following commands:

```
ocamlc -a -o zip.cma zip.cmo -dllib -lzip \
    -cclib -lzip -cclib -lz -ccopt -L/usr/local/zlib
ocamlopt -a -o zip.cmx zip.cmx -cclib -lzip \
    -cclib -lzip -cclib -lz -ccopt -L/usr/local/zlib
gcc -shared -o dllzip.so zipstubs.o -lz -L/usr/local/zlib
ar rc libzip.a zipstubs.o
```

Note: This example is on a Unix system. The exact command lines may be different on other systems.

If shared libraries are not supported, the following commands are performed instead:

```
ocamlc -a -custom -o zip.cma zip.cmo -cclib -lzip \
    -cclib -lz -ccopt -L/usr/local/zlib
ocamlopt -a -o zip.cmx zip.cmx -lzip \
    -cclib -lz -ccopt -L/usr/local/zlib
ar rc libzip.a zipstubs.o
```

Instead of building simultaneously the bytecode library, the native-code library and the C libraries, `ocamlmklib` can be called three times to build each separately. Thus,

```
ocamlmklib -o zip zip.cmo -lz -L/usr/local/zlib
```

builds the bytecode library `zip.cma`, and

```
ocamlmklib -o zip zip.cmx -lz -L/usr/local/zlib
```

builds the native-code library `zip.cmx`, and

```
ocamlmklib -o zip zipstubs.o -lz -L/usr/local/zlib
```

builds the C libraries `dllzip.so` and `libzip.a`. Notice that the support libraries (`-lz`) and the corresponding options (`-L/usr/local/zlib`) must be given on all three invocations of `ocamlmklib`, because they are needed at different times depending on whether shared libraries are supported.

## 22.15 Cautionary words: the internal runtime API

Not all header available in the `caml/` directory were described in previous sections. All those unmentioned headers are part of the internal runtime API, for which there is *no* stability guarantee. If you really need access to this internal runtime API, this section provides some guidelines that may help you to write code that might not break on every new version of OCaml.

**Note** Programmers which come to rely on the internal API for a use-case which they find realistic and useful are encouraged to open a request for improvement on the bug tracker.

### 22.15.1 Internal variables and CAML\_INTERNALS

Since OCaml 4.04, it is possible to get access to every part of the internal runtime API by defining the `CAML_INTERNALS` macro before loading caml header files. If this macro is not defined, parts of the internal runtime API are hidden.

If you are using internal C variables, do not redefine them by hand. You should import those variables by including the corresponding header files. The representation of those variables has already changed once in OCaml 4.10, and is still under evolution. If your code relies on such internal and brittle properties, it will be broken at some point in time.

For instance, rather than redefining `caml_young_limit`:

```
extern int caml_young_limit;
```

which breaks in OCaml  $\geq 4.10$ , you should include the `minor_gc` header:

```
#include <caml/minor_gc.h>
```

### 22.15.2 OCaml version macros

Finally, if including the right headers is not enough, or if you need to support version older than OCaml 4.04, the header file `caml/version.h` should help you to define your own compatibility layer. This file provides few macros defining the current OCaml version. In particular, the `OCAML_VERSION` macro describes the current version, its format is `MmmPP`. For example, if you need some specific handling for versions older than 4.10.0, you could write

```
#include <caml/version.h>
#if OCAML_VERSION >= 41000
...
#else
...
#endif
```



## Chapter 23

# Optimisation with Flambda

### 23.1 Overview

*Flambda* is the term used to describe a series of optimisation passes provided by the native code compilers as of OCaml 4.03.

Flambda aims to make it easier to write idiomatic OCaml code without incurring performance penalties.

To use the Flambda optimisers it is necessary to pass the `-flambda` option to the OCaml `configure` script. (There is no support for a single compiler that can operate in both Flambda and non-Flambda modes.) Code compiled with Flambda cannot be linked into the same program as code compiled without Flambda. Attempting to do this will result in a compiler error.

Whether or not a particular `ocamlopt` uses Flambda may be determined by invoking it with the `-config` option and looking for any line starting with “`flambda:`”. If such a line is present and says “`true`”, then Flambda is supported, otherwise it is not.

Flambda provides full optimisation across different compilation units, so long as the `.cmx` files for the dependencies of the unit currently being compiled are available. (A compilation unit corresponds to a single `.ml` source file.) However it does not yet act entirely as a whole-program compiler: for example, elimination of dead code across a complete set of compilation units is not supported.

Optimisation with Flambda is not currently supported when generating bytecode.

Flambda should not in general affect the semantics of existing programs. Two exceptions to this rule are: possible elimination of pure code that is being benchmarked (see section [23.14](#)) and changes in behaviour of code using unsafe operations (see section [23.15](#)).

Flambda does not yet optimise array or string bounds checks. Neither does it take hints for optimisation from any assertions written by the user in the code.

Consult the *Glossary* at the end of this chapter for definitions of technical terms used below.

### 23.2 Command-line flags

The Flambda optimisers provide a variety of command-line flags that may be used to control their behaviour. Detailed descriptions of each flag are given in the referenced sections. Those sections also describe any arguments which the particular flags take.

Commonly-used options:

- 02 Perform more optimisation than usual. Compilation times may be lengthened. (This flag is an abbreviation for a certain set of parameters described in section [23.5](#).)
- 03 Perform even more optimisation than usual, possibly including unrolling of recursive functions. Compilation times may be significantly lengthened.

#### **-Oclassic**

Make inlining decisions at the point of definition of a function rather than at the call site(s). This mirrors the behaviour of OCaml compilers not using Flambda. Compared to compilation using the new Flambda inlining heuristics (for example at -02) it produces smaller `.cmx` files, shorter compilation times and code that probably runs rather slower. When using **-Oclassic**, only the following options described in this section are relevant: **-inlining-report** and **-inline**. If any other of the options described in this section are used, the behaviour is undefined and may cause an error in future versions of the compiler.

#### **-inlining-report**

Emit `.inlining` files (one per round of optimisation) showing all of the inliner's decisions.

Less commonly-used options:

#### **-remove-unused-arguments**

Remove unused function arguments even when the argument is not specialised. This may have a small performance penalty. See section [23.10.3](#).

#### **-unbox-closures**

Pass free variables via specialised arguments rather than closures (an optimisation for reducing allocation). See section [23.9.3](#). This may have a small performance penalty.

Advanced options, only needed for detailed tuning:

#### **-inline**

The behaviour depends on whether **-Oclassic** is used.

- When not in **-Oclassic** mode, **-inline** limits the total size of functions considered for inlining during any speculative inlining search. (See section [23.3.10](#).) Note that this parameter does **not** control the assessment as to whether any particular function may be inlined. Raising it to excessive amounts will not necessarily cause more functions to be inlined.
- When in **-Oclassic** mode, **-inline** behaves as in previous versions of the compiler: it is the maximum size of function to be considered for inlining. See section [23.3.2](#).

#### **-inline-toplevel**

The equivalent of **-inline** but used when speculative inlining starts at toplevel. See section [23.3.10](#). Not used in **-Oclassic** mode.

#### **-inline-branch-factor**

Controls how the inliner assesses whether a code path is likely to be hot or cold. See section [23.3.9](#).



**-inline-alloc-cost, -inline-branch-cost, -inline-call-cost**

Controls how the inliner assesses the runtime performance penalties associated with various operations. See section [23.3.9](#).

**-inline-indirect-cost, -inline-prim-cost**

Likewise.

**-inline-lifting-benefit**

Controls inlining of functors at toplevel. See section [23.3.9](#).

**-inline-max-depth**

The maximum depth of any speculative inlining search. See section [23.3.10](#).

**-inline-max-unroll**

The maximum depth of any unrolling of recursive functions during any speculative inlining search. See section [23.3.10](#).

**-no-unbox-free-vars-of-closures**

Do not unbox closure variables. See section [23.9.1](#).

**-no-unbox-specialised-args**

Do not unbox arguments to which functions have been specialised. See section [23.9.2](#).

**-rounds**

How many rounds of optimisation to perform. See section [23.2.1](#).

**-unbox-closures-factor**

Scaling factor for benefit calculation when using **-unbox-closures**. See section [23.9.3](#).

## Notes

- The set of command line flags relating to optimisation should typically be specified to be the same across an entire project. Flambda does not currently record the requested flags in the `.cmx` files. As such, inlining of functions from previously-compiled units will subject their code to the optimisation parameters of the unit currently being compiled, rather than those specified when they were previously compiled. It is hoped to rectify this deficiency in the future.
- Flambda-specific flags do not affect linking with the exception of affecting the optimisation of code in the startup file (containing generated functions such as currying helpers). Typically such optimisation will not be significant, so eliding such flags at link time might be reasonable.
- Flambda-specific flags are silently accepted even when the **-flambda** option was not provided to the `configure` script. (There is no means provided to change this behaviour.) This is intended to make it more straightforward to run benchmarks with and without the Flambda optimisers in effect.
- Some of the Flambda flags may be subject to change in future releases.

### 23.2.1 Specification of optimisation parameters by round

Flambda operates in *rounds*: one round consists of a certain sequence of transformations that may then be repeated in order to achieve more satisfactory results. The number of rounds can be set manually using the `-rounds` parameter (although this is not necessary when using predefined optimisation levels such as with `-O2` and `-O3`). For high optimisation the number of rounds might be set at 3 or 4.

Command-line flags that may apply per round, for example those with `-cost` in the name, accept arguments of the form:

$$n \mid \text{round} = n[, \dots]$$

- If the first form is used, with a single integer specified, the value will apply to all rounds.
- If the second form is used, zero-based *round* integers specify values which are to be used only for those rounds.

The flags `-Oclassic`, `-O2` and `-O3` are applied before all other flags, meaning that certain parameters may be overridden without having to specify every parameter usually invoked by the given optimisation level.

## 23.3 Inlining

*Inlining* refers to the copying of the code of a function to a place where the function is called. The code of the function will be surrounded by bindings of its parameters to the corresponding arguments.

The aims of inlining are:

- to reduce the runtime overhead caused by function calls (including setting up for such calls and returning afterwards);
- to reduce instruction cache misses by expressing frequently-taken paths through the program using fewer machine instructions; and
- to reduce the amount of allocation (especially of closures).

These goals are often reached not just by inlining itself but also by other optimisations that the compiler is able to perform as a result of inlining.

When a recursive call to a function (within the definition of that function or another in the same mutually-recursive group) is inlined, the procedure is also known as *unrolling*. This is somewhat akin to loop peeling. For example, given the following code:

```
let rec fact x =
  if x = 0 then
    1
  else
    x * fact (x - 1)

let n = fact 4
```

unrolling once at the call site `fact 4` produces (with the body of `fact` unchanged):

```
let n =
  if 4 = 0 then
    1
  else
    4 * fact (4 - 1)
```

This simplifies to:

```
let n = 4 * fact 3
```

Flambda provides significantly enhanced inlining capabilities relative to previous versions of the compiler.

### 23.3.1 Aside: when inlining is performed

Inlining is performed together with all of the other Flambda optimisation passes, that is to say, after closure conversion. This has three particular advantages over a potentially more straightforward implementation prior to closure conversion:

- It permits higher-order inlining, for example when a non-inlinable function always returns the same function yet with different environments of definition. Not all such cases are supported yet, but it is intended that such support will be improved in future.
- It is easier to integrate with cross-module optimisation, since imported information about other modules is already in the correct intermediate language.
- It becomes more straightforward to optimise closure allocations since the layout of closures does not have to be estimated in any way: it is known. Similarly, it becomes more straightforward to control which variables end up in which closures, helping to avoid closure bloat.

### 23.3.2 Classic inlining heuristic

In `-Oclassic` mode the behaviour of the Flambda inliner mimics previous versions of the compiler. (Code may still be subject to further optimisations not performed by previous versions of the compiler: functors may be inlined, constants are lifted and unused code is eliminated all as described elsewhere in this chapter. See sections [23.3.5](#), [23.8.1](#) and [23.10](#). At the definition site of a function, the body of the function is measured. It will then be marked as eligible for inlining (and hence inlined at every direct call site) if:

- the measured size (in unspecified units) is smaller than that of a function call plus the argument of the `-inline` command-line flag; and
- the function is not recursive.

Non-Flambda versions of the compiler cannot inline functions that contain a definition of another function. However `-Oclassic` does permit this. Further, non-Flambda versions also cannot inline functions that are only themselves exposed as a result of a previous pass of inlining, but again this is permitted by `-Oclassic`. For example:

```

module M : sig
  val i : int
end = struct
  let f x =
    let g y = x + y in
    g
  let h = f 3
  let i = h 4 (* h is correctly discovered to be g and inlined *)
end

```

All of this contrasts with the normal Flambda mode, that is to say without `-Oclassic`, where:

- the inlining decision is made at the **call site**; and
- recursive functions can be handled, by *specialisation* (see below).

The Flambda mode is described in the next section.

### 23.3.3 Overview of “Flambda” inlining heuristics

The Flambda inlining heuristics, used whenever the compiler is configured for Flambda and `-Oclassic` was not specified, make inlining decisions at call sites. This helps in situations where the context is important. For example:

```

let f b x =
  if b then
    x
  else
    ... big expression ...

```

```

let g x = f true x

```

In this case, we would like to inline `f` into `g`, because a conditional jump can be eliminated and the code size should reduce. If the inlining decision has been made after the declaration of `f` without seeing the use, its size would have probably made it ineligible for inlining; but at the call site, its final size can be known. Further, this function should probably not be inlined systematically: if `b` is unknown, or indeed `false`, there is little benefit to trade off against a large increase in code size. In the existing non-Flambda inliner this isn’t a great problem because chains of inlining were cut off fairly quickly. However it has led to excessive use of overly-large inlining parameters such as `-inline 10000`.

In more detail, at each call site the following procedure is followed:

- Determine whether it is clear that inlining would be beneficial without, for the moment, doing any inlining within the function itself. (The exact assessment of *benefit* is described below.) If so, the function is inlined.
- If inlining the function is not clearly beneficial, then inlining will be performed *speculatively* inside the function itself. The search for speculative inlining possibilities is controlled by two parameters: the *inlining threshold* and the *inlining depth*. (These are described in more detail below.)

- If such speculation shows that performing some inlining inside the function would be beneficial, then such inlining is performed and the resulting function inlined at the original call site.
- Otherwise, nothing happens.

Inlining within recursive functions of calls to other functions in the same mutually-recursive group is kept in check by an *unrolling depth*, described below. This ensures that functions are not unrolled to excess. (Unrolling is only enabled if `-O3` optimisation level is selected and/or the `-inline-max-unroll` flag is passed with an argument greater than zero.)

### 23.3.4 Handling of specific language constructs

#### 23.3.5 Functors

There is nothing particular about functors that inhibits inlining compared to normal functions. To the inliner, these both look the same, except that functors are marked as such.

Applications of functors at toplevel are biased in favour of inlining. (This bias may be adjusted: see the documentation for `-inline-lifting-benefit` below.)

Applications of functors not at toplevel, for example in a local module inside some other expression, are treated by the inliner identically to normal function calls.

#### 23.3.6 First-class modules

The inliner will be able to consider inlining a call to a function in a first class module if it knows which particular function is going to be called. The presence of the first-class module record that wraps the set of functions in the module does not per se inhibit inlining.

#### 23.3.7 Objects

Method calls to objects are not at present inlined by Flambda.

#### 23.3.8 Inlining reports

If the `-inlining-report` option is provided to the compiler then a file will be emitted corresponding to each round of optimisation. For the OCaml source file *basename.ml* the files are named *basename.round.inlining.org*, with *round* a zero-based integer. Inside the files, which are formatted as “org mode”, will be found English prose describing the decisions that the inliner took.

#### 23.3.9 Assessment of inlining benefit

Inlining typically results in an increase in code size, which if left unchecked, may not only lead to grossly large executables and excessive compilation times but also a decrease in performance due to worse locality. As such, the Flambda inliner trades off the change in code size against the expected runtime performance benefit, with the benefit being computed based on the number of operations that the compiler observes may be removed as a result of inlining.

For example given the following code:

```
let f b x =
  if b then
    x
  else
    ... big expression ...
```

```
let g x = f true x
```

it would be observed that inlining of `f` would remove:

- one direct call;
- one conditional branch.

Formally, an estimate of runtime performance benefit is computed by first summing the cost of the operations that are known to be removed as a result of the inlining and subsequent simplification of the inlined body. The individual costs for the various kinds of operations may be adjusted using the various `-inline-...-cost` flags as follows. Costs are specified as integers. All of these flags accept a single argument describing such integers using the conventions detailed in section [23.2.1](#).

`-inline-alloc-cost`

The cost of an allocation.

`-inline-branch-cost`

The cost of a branch.

`-inline-call-cost`

The cost of a direct function call.

`-inline-indirect-cost`

The cost of an indirect function call.

`-inline-prim-cost`

The cost of a *primitive*. Primitives encompass operations including arithmetic and memory access.

(Default values are described in section [23.5](#) below.)

The initial benefit value is then scaled by a factor that attempts to compensate for the fact that the current point in the code, if under some number of conditional branches, may be cold. (Flambda does not currently compute hot and cold paths.) The factor—the estimated probability that the inliner really is on a *hot* path—is calculated as  $\frac{1}{(1+f)^d}$ , where  $f$  is set by `-inline-branch-factor` and  $d$  is the nesting depth of branches at the current point. As the inliner descends into more deeply-nested branches, the benefit of inlining thus lessens.

The resulting benefit value is known as the *estimated benefit*.

The change in code size is also estimated: morally speaking it should be the change in machine code size, but since that is not available to the inliner, an approximation is used.

If the estimated benefit exceeds the increase in code size then the inlined version of the function will be kept. Otherwise the function will not be inlined.

Applications of functors at toplevel will be given an additional benefit (which may be controlled by the `-inline-lifting-benefit` flag) to bias inlining in such situations towards keeping the inlined version.

### 23.3.10 Control of speculation

As described above, there are three parameters that restrict the search for inlining opportunities during speculation:

- the *inlining threshold*;
- the *inlining depth*;
- the *unrolling depth*.

These parameters are ultimately bounded by the arguments provided to the corresponding command-line flags (or their default values):

- `-inline` (or, if the call site that triggered speculation is at toplevel, `-inline-toplevel`);
- `-inline-max-depth`;
- `-inline-max-unroll`.

**Note in particular** that `-inline` does not have the meaning that it has in the previous compiler or in `-Oclassic` mode. In both of those situations `-inline` was effectively some kind of basic assessment of inlining benefit. However in Flambda inlining mode it corresponds to a constraint on the search; the assessment of benefit is independent, as described above.

When speculation starts the inlining threshold starts at the value set by `-inline` (or `-inline-toplevel` if appropriate, see above). Upon making a speculative inlining decision the threshold is reduced by the code size of the function being inlined. If the threshold becomes exhausted, at or below zero, no further speculation will be performed.

The inlining depth starts at zero and is increased by one every time the inliner descends into another function. It is then decreased by one every time the inliner leaves such function. If the depth exceeds the value set by `-inline-max-depth` then speculation stops. This parameter is intended as a general backstop for situations where the inlining threshold does not control the search sufficiently.

The unrolling depth applies to calls within the same mutually-recursive group of functions. Each time an inlining of such a call is performed the depth is incremented by one when examining the resulting body. If the depth reaches the limit set by `-inline-max-unroll` then speculation stops.

## 23.4 Specialisation

The inliner may discover a call site to a recursive function where something is known about the arguments: for example, they may be equal to some other variables currently in scope. In this situation it may be beneficial to *specialise* the function to those arguments. This is done by copying the declaration of the function (and any others involved in any same mutually-recursive declaration) and noting the extra information about the arguments. The arguments augmented by this information are known as *specialised arguments*. In order to try to ensure that specialisation is not performed uselessly, arguments are only specialised if it can be shown that they are *invariant*: in other words, during the execution of the recursive function(s) themselves, the arguments never change.

Unless overridden by an attribute (see below), specialisation of a function will not be attempted if:

- the compiler is in `-Oclassic` mode;
- the function is not obviously recursive;
- the function is not closed.

The compiler can prove invariance of function arguments across multiple functions within a recursive group (although this has some limitations, as shown by the example below).

It should be noted that the *unboxing of closures* pass (see below) can introduce specialised arguments on non-recursive functions. (No other place in the compiler currently does this.)

**Example: the well-known `List.iter` function** This function might be written like so:

```
let rec iter f l =
  match l with
  | [] -> ()
  | h :: t ->
    f h;
    iter f t
```

and used like this:

```
let print_int x =
  print_endline (Int.to_string x)

let run xs =
  iter print_int (List.rev xs)
```

The argument `f` to `iter` is invariant so the function may be specialised:

```
let run xs =
  let rec iter' f l =
    (* The compiler knows: f holds the same value as foo throughout iter'. *)
    match l with
    | [] -> ()
    | h :: t ->
      f h;
      iter' f t
  in
  iter' print_int (List.rev xs)
```

The compiler notes down that for the function `iter'`, the argument `f` is specialised to the constant closure `print_int`. This means that the body of `iter'` may be simplified:

```
let run xs =
  let rec iter' f l =
    (* The compiler knows: f holds the same value as foo throughout iter'. *)
    match l with
    | [] -> ()
```



```

    | h :: t ->
      print_int h; (* this is now a direct call *)
      iter' f t
  in
    iter' print_int (List.rev xs)

```

The call to `print_int` can indeed be inlined:

```

let run xs =
  let rec iter' f l =
    (* The compiler knows: f holds the same value as foo throughout iter'. *)
    match l with
    | [] -> ()
    | h :: t ->
      print_endline (Int.to_string h);
      iter' f t
  in
    iter' print_int (List.rev xs)

```

The unused specialised argument `f` may now be removed, leaving:

```

let run xs =
  let rec iter' l =
    match l with
    | [] -> ()
    | h :: t ->
      print_endline (Int.to_string h);
      iter' t
  in
    iter' (List.rev xs)

```

**Aside on invariant parameters.** The compiler cannot currently detect invariance in cases such as the following.

```

let rec iter_swap f g l =
  match l with
  | [] -> ()
  | 0 :: t ->
    iter_swap g f l
  | h :: t ->
    f h;
    iter_swap f g t

```

### 23.4.1 Assessment of specialisation benefit

The benefit of specialisation is assessed in a similar way as for inlining. Specialised argument information may mean that the body of the function being specialised can be simplified: the removed operations are accumulated into a benefit. This, together with the size of the duplicated (specialised) function declaration, is then assessed against the size of the call to the original function.

## 23.5 Default settings of parameters

The default settings (when not using `-Oclassic`) are for one round of optimisation using the following parameters.

Parameter	Setting
<code>-inline</code>	10
<code>-inline-branch-factor</code>	0.1
<code>-inline-alloc-cost</code>	7
<code>-inline-branch-cost</code>	5
<code>-inline-call-cost</code>	5
<code>-inline-indirect-cost</code>	4
<code>-inline-prim-cost</code>	3
<code>-inline-lifting-benefit</code>	1300
<code>-inline-toplevel</code>	160
<code>-inline-max-depth</code>	1
<code>-inline-max-unroll</code>	0
<code>-unbox-closures-factor</code>	10

### 23.5.1 Settings at `-O2` optimisation level

When `-O2` is specified two rounds of optimisation are performed. The first round uses the default parameters (see above). The second uses the following parameters.

Parameter	Setting
<code>-inline</code>	25
<code>-inline-branch-factor</code>	Same as default
<code>-inline-alloc-cost</code>	Double the default
<code>-inline-branch-cost</code>	Double the default
<code>-inline-call-cost</code>	Double the default
<code>-inline-indirect-cost</code>	Double the default
<code>-inline-prim-cost</code>	Double the default
<code>-inline-lifting-benefit</code>	Same as default
<code>-inline-toplevel</code>	400
<code>-inline-max-depth</code>	2
<code>-inline-max-unroll</code>	Same as default
<code>-unbox-closures-factor</code>	Same as default

### 23.5.2 Settings at `-O3` optimisation level

When `-O3` is specified three rounds of optimisation are performed. The first two rounds are as for `-O2`. The third round uses the following parameters.

Parameter	Setting
<code>-inline</code>	50
<code>-inline-branch-factor</code>	Same as default
<code>-inline-alloc-cost</code>	Triple the default
<code>-inline-branch-cost</code>	Triple the default
<code>-inline-call-cost</code>	Triple the default
<code>-inline-indirect-cost</code>	Triple the default
<code>-inline-prim-cost</code>	Triple the default
<code>-inline-lifting-benefit</code>	Same as default
<code>-inline-toplevel</code>	800
<code>-inline-max-depth</code>	3
<code>-inline-max-unroll</code>	1
<code>-unbox-closures-factor</code>	Same as default

## 23.6 Manual control of inlining and specialisation

Should the inliner prove recalcitrant and refuse to inline a particular function, or if the observed inlining decisions are not to the programmer's satisfaction for some other reason, inlining behaviour can be dictated by the programmer directly in the source code. One example where this might be appropriate is when the programmer, but not the compiler, knows that a particular function call is on a cold code path. It might be desirable to prevent inlining of the function so that the code size along the hot path is kept smaller, so as to increase locality.

The inliner is directed using attributes. For non-recursive functions (and one-step unrolling of recursive functions, although `@unroll` is more clear for this purpose) the following are supported:

### `@@inline` *always* or `@@inline` *never*

Attached to a *declaration* of a function or functor, these direct the inliner to either always or never inline, irrespective of the size/benefit calculation. (If the function is recursive then the body is substituted and no special action is taken for the recursive call site(s).) `@@inline` with no argument is equivalent to `@@inline always`.

### `@inlined` *always* or `@inlined` *never*

Attached to a function *application*, these direct the inliner likewise. These attributes at call sites override any other attribute that may be present on the corresponding declaration. `@inlined` with no argument is equivalent to `@inlined always`. `@@inlined hint` is equivalent to `@@inline always` except that it will not trigger warning 55 if the function application cannot be inlined.

For recursive functions the relevant attributes are:

### `@@specialise` *always* or `@@specialise` *never*

Attached to a declaration of a function or functor, this directs the inliner to either always or never specialise the function so long as it has appropriate contextual knowledge, irrespective of the size/benefit calculation. `@@specialise` with no argument is equivalent to `@@specialise always`.

**@specialised always or @specialised never**

Attached to a function application, this directs the inliner likewise. This attribute at a call site overrides any other attribute that may be present on the corresponding declaration. (Note that the function will still only be specialised if there exist one or more invariant parameters whose values are known.) `@specialised` with no argument is equivalent to `@specialised always`.

**@unrolled  $n$** 

This attribute is attached to a function application and always takes an integer argument. Each time the inliner sees the attribute it behaves as follows:

- If  $n$  is zero or less, nothing happens.
- Otherwise the function being called is substituted at the call site with its body having been rewritten such that any recursive calls to that function *or any others in the same mutually-recursive group* are annotated with the attribute `unrolled( $n - 1$ )`. Inlining may continue on that body.

As such,  $n$  behaves as the “maximum depth of unrolling”.

A compiler warning will be emitted if it was found impossible to obey an annotation from an `@inlined` or `@specialised` attribute.

**Example showing correct placement of attributes**

```
module F (M : sig type t end) = struct
  let[@inline never] bar x =
    x * 3

  let foo x =
    (bar [@inlined]) (42 + x)
end [@@inline never]

module X = F [@inlined] (struct type t = int end)
```

## 23.7 Simplification

Simplification, which is run in conjunction with inlining, propagates information (known as *approximations*) about which variables hold what values at runtime. Certain relationships between variables and symbols are also tracked: for example, some variable may be known to always hold the same value as some other variable; or perhaps some variable may be known to always hold the value pointed to by some symbol.

The propagation can help to eliminate allocations in cases such as:

```
let f x y =
  ...
  let p = x, y in
  ...
  ... (fst p) ... (snd p) ...
```

The projections from `p` may be replaced by uses of the variables `x` and `y`, potentially meaning that `p` becomes unused.

The propagation performed by the simplification pass is also important for discovering which functions flow to indirect call sites. This can enable the transformation of such call sites into direct call sites, which makes them eligible for an inlining transformation.

Note that no information is propagated about the contents of strings, even in `safe-string` mode, because it cannot yet be guaranteed that they are immutable throughout a given program.

## 23.8 Other code motion transformations

### 23.8.1 Lifting of constants

Expressions found to be constant will be lifted to symbol bindings—that is to say, they will be statically allocated in the object file—when they evaluate to boxed values. Such constants may be straightforward numeric constants, such as the floating-point number `42.0`, or more complicated values such as constant closures.

Lifting of constants to toplevel reduces allocation at runtime.

The compiler aims to share constants lifted to toplevel such that there are no duplicate definitions. However if `.cmx` files are hidden from the compiler then maximal sharing may not be possible.

**Notes about float arrays** The following language semantics apply specifically to constant float arrays. (By “constant float array” is meant an array consisting entirely of floating point numbers that are known at compile time. A common case is a literal such as `[| 42.0; 43.0; |]`.)

- Constant float arrays at the toplevel are mutable and never shared. (That is to say, for each such definition there is a distinct symbol in the data section of the object file pointing at the array.)
- Constant float arrays not at toplevel are mutable and are created each time the expression is evaluated. This can be thought of as an operation that takes an immutable array (which in the source code has no associated name; let us call it the *initialising array*) and duplicates it into a fresh mutable array.
  - If the array is of size four or less, the expression will create a fresh block and write the values into it one by one. There is no reference to the initialising array as a whole.
  - Otherwise, the initialising array is lifted out and subject to the normal constant sharing procedure; creation of the array consists of bulk copying the initialising array into a fresh value on the OCaml heap.

### 23.8.2 Lifting of toplevel let bindings

Toplevel `let`-expressions may be lifted to symbol bindings to ensure that the corresponding bound variables are not captured by closures. If the defining expression of a given binding is found to be constant, it is bound as such (the technical term is a *let-symbol* binding).

Otherwise, the symbol is bound to a (statically-allocated) *preallocated block* containing one field. At runtime, the defining expression will be evaluated and the first field of the block filled with the

resulting value. This *initialise-symbol* binding causes one extra indirection but ensures, by virtue of the symbol's address being known at compile time, that uses of the value are not captured by closures.

It should be noted that the blocks corresponding to initialise-symbol bindings are kept alive forever, by virtue of them occurring in a static table of GC roots within the object file. This extended lifetime of expressions may on occasion be surprising. If it is desired to create some non-constant value (for example when writing GC tests) that does not have this extended lifetime, then it may be created and used inside a function, with the application point of that function (perhaps at toplevel)—or indeed the function declaration itself—marked as to never be inlined. This technique prevents lifting of the definition of the value in question (assuming of course that it is not constant).

## 23.9 Unboxing transformations

The transformations in this section relate to the splitting apart of *boxed* (that is to say, non-immediate) values. They are largely intended to reduce allocation, which tends to result in a runtime performance profile with lower variance and smaller tails.

### 23.9.1 Unboxing of closure variables

This transformation is enabled unless `-no-unbox-free-vars-of-closures` is provided.

Variables that appear in closure environments may themselves be boxed values. As such, they may be split into further closure variables, each of which corresponds to some projection from the original closure variable(s). This transformation is called *unboxing of closure variables* or *unboxing of free variables of closures*. It is only applied when there is reasonable certainty that there are no uses of the boxed free variable itself within the corresponding function bodies.

**Example:** In the following code, the compiler observes that the closure returned from the function `f` contains a variable `pair` (free in the body of `f`) that may be split into two separate variables.

```
let f x0 x1 =
  let pair = x0, x1 in
  Printf.printf "foo\n";
  fun y ->
    fst pair + snd pair + y
```

After some simplification one obtains:

```
let f x0 x1 =
  let pair_0 = x0 in
  let pair_1 = x1 in
  Printf.printf "foo\n";
  fun y ->
    pair_0 + pair_1 + y
```

and then:

```
let f x0 x1 =
  Printf.printf "foo\n";
  fun y ->
    x0 + x1 + y
```

The allocation of the pair has been eliminated.

This transformation does not operate if it would cause the closure to contain more than twice as many closure variables as it did beforehand.

### 23.9.2 Unboxing of specialised arguments

This transformation is enabled unless `-no-unbox-specialised-args` is provided.

It may become the case during compilation that one or more invariant arguments to a function become specialised to a particular value. When such values are themselves boxed the corresponding specialised arguments may be split into more specialised arguments corresponding to the projections out of the boxed value that occur within the function body. This transformation is called *unboxing of specialised arguments*. It is only applied when there is reasonable certainty that the boxed argument itself is unused within the function.

If the function in question is involved in a recursive group then unboxing of specialised arguments may be immediately replicated across the group based on the dataflow between invariant arguments.

**Example:** Having been given the following code, the compiler will inline `loop` into `f`, and then observe `inv` being invariant and always the pair formed by adding 42 and 43 to the argument `x` of the function `f`.

```
let rec loop inv xs =
  match xs with
  | [] -> fst inv + snd inv
  | x::xs -> x + loop2 xs inv
and loop2 ys inv =
  match ys with
  | [] -> 4
  | y::ys -> y - loop inv ys

let f x =
  Printf.printf "%d\n" (loop (x + 42, x + 43) [1; 2; 3])
```

Since the functions have sufficiently few arguments, more specialised arguments will be added. After some simplification one obtains:

```
let f x =
  let rec loop' xs inv_0 inv_1 =
    match xs with
    | [] -> inv_0 + inv_1
    | x::xs -> x + loop2' xs inv_0 inv_1
  and loop2' ys inv_0 inv_1 =
    match ys with
```

```

| [] -> 4
| y::ys -> y - loop' ys inv_0 inv_1
in
Printf.printf "%d\n" (loop' [1; 2; 3] (x + 42) (x + 43))

```

The allocation of the pair within `f` has been removed. (Since the two closures for `loop'` and `loop2'` are constant they will also be lifted to toplevel with no runtime allocation penalty. This would also happen without having run the transformation to unbox specialise arguments.)

The transformation to unbox specialised arguments never introduces extra allocation.

The transformation will not unbox arguments if it would result in the original function having sufficiently many arguments so as to inhibit tail-call optimisation.

The transformation is implemented by creating a wrapper function that accepts the original arguments. Meanwhile, the original function is renamed and extra arguments are added corresponding to the unboxed specialised arguments; this new function is called from the wrapper. The wrapper will then be inlined at direct call sites. Indeed, all call sites will be direct unless `-unbox-closures` is being used, since they will have been generated by the compiler when originally specialising the function. (In the case of `-unbox-closures` other functions may appear with specialised arguments; in this case there may be indirect calls and these will incur a small penalty owing to having to bounce through the wrapper. The technique of *direct call surrogates* used for `-unbox-closures` is not used by the transformation to unbox specialised arguments.)

### 23.9.3 Unboxing of closures

This transformation is *not* enabled by default. It may be enabled using the `-unbox-closures` flag.

The transformation replaces closure variables by specialised arguments. The aim is to cause more closures to become closed. It is particularly applicable, as a means of reducing allocation, where the function concerned cannot be inlined or specialised. For example, some non-recursive function might be too large to inline; or some recursive function might offer no opportunities for specialisation perhaps because its only argument is one of type `unit`.

At present there may be a small penalty in terms of actual runtime performance when this transformation is enabled, although more stable performance may be obtained due to reduced allocation. It is recommended that developers experiment to determine whether the option is beneficial for their code. (It is expected that in the future it will be possible for the performance degradation to be removed.)

**Simple example:** In the following code (which might typically occur when `g` is too large to inline) the value of `x` would usually be communicated to the application of the `+` function via the closure of `g`.

```

let f x =
  let g y =
    x + y
  in
  (g [@inlined never]) 42

```



Unboxing of the closure causes the value for `x` inside `g` to be passed as an argument to `g` rather than through its closure. This means that the closure of `g` becomes constant and may be lifted to toplevel, eliminating the runtime allocation.

The transformation is implemented by adding a new wrapper function in the manner of that used when unboxing specialised arguments. The closure variables are still free in the wrapper, but the intention is that when the wrapper is inlined at direct call sites, the relevant values are passed directly to the main function via the new specialised arguments.

Adding such a wrapper will penalise indirect calls to the function (which might exist in arbitrary places; remember that this transformation is not for example applied only on functions the compiler has produced as a result of specialisation) since such calls will bounce through the wrapper. To mitigate this, if a function is small enough when weighed up against the number of free variables being removed, it will be duplicated by the transformation to obtain two versions: the original (used for indirect calls, since we can do no better) and the wrapper/rewritten function pair as described in the previous paragraph. The wrapper/rewritten function pair will only be used at direct call sites of the function. (The wrapper in this case is known as a *direct call surrogate*, since it takes the place of another function—the unchanged version used for indirect calls—at direct call sites.)

The `-unbox-closures-factor` command line flag, which takes an integer, may be used to adjust the point at which a function is deemed large enough to be ineligible for duplication. The benefit of duplication is scaled by the integer before being evaluated against the size.

**Harder example:** In the following code, there are two closure variables that would typically cause closure allocations. One is called `fv` and occurs inside the function `baz`; the other is called `z` and occurs inside the function `bar`. In this toy (yet sophisticated) example we again use an attribute to simulate the typical situation where the first argument of `baz` is too large to inline.

```
let foo c =
  let rec bar zs fv =
    match zs with
    | [] -> []
    | z::zs ->
      let rec baz f = function
        | [] -> []
        | a::l -> let r = fv + ((f [@inlined never]) a) in r :: baz f l
      in
      (map2 (fun y -> z + y) [z; 2; 3; 4]) @ bar zs fv
  in
  Printf.printf "%d" (List.length (bar [1; 2; 3; 4] c))
```

The code resulting from applying `-O3 -unbox-closures` to this code passes the free variables via function arguments in order to eliminate all closure allocation in this example (aside from any that might be performed inside `printf`).

## 23.10 Removal of unused code and values

### 23.10.1 Removal of redundant `let` expressions

The simplification pass removes unused `let` bindings so long as their corresponding defining expressions have “no effects”. See the section “Treatment of effects” below for the precise definition of this term.

### 23.10.2 Removal of redundant program constructs

This transformation is analogous to the removal of `let`-expressions whose defining expressions have no effects. It operates instead on symbol bindings, removing those that have no effects.

### 23.10.3 Removal of unused arguments

This transformation is only enabled by default for specialised arguments. It may be enabled for all arguments using the `-remove-unused-arguments` flag.

The pass analyses functions to determine which arguments are unused. Removal is effected by creating a wrapper function, which will be inlined at every direct call site, that accepts the original arguments and then discards the unused ones before calling the original function. As a consequence, this transformation may be detrimental if the original function is usually indirectly called, since such calls will now bounce through the wrapper. (The technique of *direct call surrogates* used to reduce this penalty during unboxing of closure variables (see above) does not yet apply to the pass that removes unused arguments.)

### 23.10.4 Removal of unused closure variables

This transformation performs an analysis across the whole compilation unit to determine whether there exist closure variables that are never used. Such closure variables are then eliminated. (Note that this has to be a whole-unit analysis because a projection of a closure variable from some particular closure may have propagated to an arbitrary location within the code due to inlining.)

## 23.11 Other code transformations

### 23.11.1 Transformation of non-escaping references into mutable variables

Flambda performs a simple analysis analogous to that performed elsewhere in the compiler that can transform `refs` into mutable variables that may then be held in registers (or on the stack as appropriate) rather than being allocated on the OCaml heap. This only happens so long as the reference concerned can be shown to not escape from its defining scope.

### 23.11.2 Substitution of closure variables for specialised arguments

This transformation discovers closure variables that are known to be equal to specialised arguments. Such closure variables are replaced by the specialised arguments; the closure variables may then be removed by the “removal of unused closure variables” pass (see below).

## 23.12 Treatment of effects

The Flambda optimisers classify expressions in order to determine whether an expression:

- does not need to be evaluated at all; and/or
- may be duplicated.

This is done by forming judgements on the *effects* and the *coeffects* that might be performed were the expression to be executed. Effects talk about how the expression might affect the world; coeffects talk about how the world might affect the expression.

Effects are classified as follows:

### No effects:

The expression does not change the observable state of the world. For example, it must not write to any mutable storage, call arbitrary external functions or change control flow (e.g. by raising an exception). Note that allocation is *not* classed as having “no effects” (see below).

- It is assumed in the compiler that expressions with no effects, whose results are not used, may be eliminated. (This typically happens where the expression in question is the defining expression of a `let`; in such cases the `let`-expression will be eliminated.) It is further assumed that such expressions with no effects may be duplicated (and thus possibly executed more than once).
- Exceptions arising from allocation points, for example “out of memory” or exceptions propagated from finalizers or signal handlers, are treated as “effects out of the ether” and thus ignored for our determination here of effectfulness. The same goes for floating point operations that may cause hardware traps on some platforms.

### Only generative effects:

The expression does not change the observable state of the world save for possibly affecting the state of the garbage collector by performing an allocation. Expressions that only have generative effects and whose results are unused may be eliminated by the compiler. However, unlike expressions with “no effects”, such expressions will never be eligible for duplication.

### Arbitrary effects:

All other expressions.

There is a single classification for coeffects:

### No coeffects:

The expression does not observe the effects (in the sense described above) of other expressions. For example, it must not read from any mutable storage or call arbitrary external functions.

It is assumed in the compiler that, subject to data dependencies, expressions with neither effects nor coeffects may be reordered with respect to other expressions.

### 23.13 Compilation of statically-allocated modules

Compilation of modules that are able to be statically allocated (for example, the module corresponding to an entire compilation unit, as opposed to a first class module dependent on values computed at runtime) initially follows the strategy used for bytecode. A sequence of `let`-bindings, which may be interspersed with arbitrary effects, surrounds a record creation that becomes the module block. The Flambda-specific transformation follows: these bindings are lifted to toplevel symbols, as described above.

### 23.14 Inhibition of optimisation

Especially when writing benchmarking suites that run non-side-effecting algorithms in loops, it may be found that the optimiser entirely elides the code being benchmarked. This behaviour can be prevented by using the `Sys.opaque_identity` function (which indeed behaves as a normal OCaml function and does not possess any “magic” semantics). The documentation of the `Sys` module should be consulted for further details.

### 23.15 Use of unsafe operations

The behaviour of the Flambda simplification pass means that certain unsafe operations, which may without Flambda or when using previous versions of the compiler be safe, must not be used. This specifically refers to functions found in the `Obj` module.

In particular, it is forbidden to change any value (for example using `Obj.set_field` or `Obj.set_tag`) that is not mutable. (Values returned from C stubs are always treated as mutable.) The compiler will emit warning 59 if it detects such a write—but it cannot warn in all cases. Here is an example of code that will trigger the warning:

```
let f x =
  let a = 42, x in
    (Obj.magic a : int ref) := 1;
  fst a
```

The reason this is unsafe is because the simplification pass believes that `fst a` holds the value 42; and indeed it must, unless type soundness has been broken via unsafe operations.

If it must be the case that code has to be written that triggers warning 59, but the code is known to actually be correct (for some definition of correct), then `Sys.opaque_identity` may be used to wrap the value before unsafe operations are performed upon it. Great care must be taken when doing this to ensure that the opacity is added at the correct place. It must be emphasised that this use of `Sys.opaque_identity` is only for **exceptional** cases. It should not be used in normal code or to try to guide the optimiser.

As an example, this code will return the integer 1:

```
let f x =
  let a = Sys.opaque_identity (42, x) in
    (Obj.magic a : int ref) := 1;
  fst a
```

However the following code will still return 42:

```
let f x =
  let a = 42, x in
  Sys.opaque_identity (Obj.magic a : int ref) := 1;
  fst a
```

High levels of inlining performed by Flambda may expose bugs in code thought previously to be correct. Take care, for example, not to add type annotations that claim some mutable value is always immediate if it might be possible for an unsafe operation to update it to a boxed value.

## 23.16 Glossary

The following terminology is used in this chapter of the manual.

### Call site

See *direct call site* and *indirect call site* below.

### Closed function

A function whose body has no free variables except its parameters and any to which are bound other functions within the same (possibly mutually-recursive) declaration.

### Closure

The runtime representation of a function. This includes pointers to the code of the function together with the values of any variables that are used in the body of the function but actually defined outside of the function, in the enclosing scope. The values of such variables, collectively known as the *environment*, are required because the function may be invoked from a place where the original bindings of such variables are no longer in scope. A group of possibly mutually-recursive functions defined using *let rec* all share a single closure. (Note to developers: in the Flambda source code a *closure* always corresponds to a single function; a *set of closures* refers to a group of such.)

### Closure variable

A member of the environment held within the closure of a given function.

### Constant

Some entity (typically an expression) the value of which is known by the compiler at compile time. Constantness may be explicit from the source code or inferred by the Flambda optimisers.

### Constant closure

A closure that is statically allocated in an object file. It is almost always the case that the environment portion of such a closure is empty.

### Defining expression

The expression *e* in `let x = e in e'`.

### Direct call site

A place in a program's code where a function is called and it is known at compile time which function it will always be.

**Indirect call site**

A place in a program's code where a function is called but is not known to be a *direct call site*.

**Program**

A collection of *symbol bindings* forming the definition of a single compilation unit (i.e. `.cmx` file).

**Specialised argument**

An argument to a function that is known to always hold a particular value at runtime. These are introduced by the inliner when specialising recursive functions; and the `unbox-closures` pass. (See section [23.4](#).)

**Symbol**

A name referencing a particular place in an object file or executable image. At that particular place will be some constant value. Symbols may be examined using operating system-specific tools (for example `objdump` on Linux).

**Symbol binding**

Analogous to a `let`-expression but working at the level of symbols defined in the object file. The address of a symbol is fixed, but it may be bound to both constant and non-constant expressions.

**Toplevel**

An expression in the current program which is not enclosed within any function declaration.

**Variable**

A named entity to which some OCaml value is bound by a `let` expression, pattern-matching construction, or similar.

## Chapter 24

# Fuzzing with afl-fuzz

### 24.1 Overview

American fuzzy lop (“afl-fuzz”) is a *fuzzer*, a tool for testing software by providing randomly-generated inputs, searching for those inputs which cause the program to crash.

Unlike most fuzzers, afl-fuzz observes the internal behaviour of the program being tested, and adjusts the test cases it generates to trigger unexplored execution paths. As a result, test cases generated by afl-fuzz cover more of the possible behaviours of the tested program than other fuzzers.

This requires that programs to be tested are instrumented to communicate with afl-fuzz. The native-code compiler “ocaml-opt” can generate such instrumentation, allowing afl-fuzz to be used against programs written in OCaml.

For more information on afl-fuzz, see the website at <http://lcamtuf.coredump.cx/afl/>

### 24.2 Generating instrumentation

The instrumentation that afl-fuzz requires is not generated by default, and must be explicitly enabled, by passing the `-afl-instrument` option to `ocaml-opt`.

To fuzz a large system without modifying build tools, OCaml’s `configure` script also accepts the `afl-instrument` option. If OCaml is configured with `afl-instrument`, then all programs compiled by `ocaml-opt` will be instrumented.

#### 24.2.1 Advanced options

In rare cases, it is useful to control the amount of instrumentation generated. By passing the `-afl-inst-ratio N` argument to `ocaml-opt` with `N` less than 100, instrumentation can be generated for only `N%` of branches. (See the afl-fuzz documentation on the parameter `AFL_INST_RATIO` for the precise effect of this).

### 24.3 Example

As an example, we fuzz-test the following program, `readline.ml`:

```

let _ =
  let s = read_line () in
  match Array.to_list (Array.init (String.length s) (String.get s)) with
    ['s'; 'e'; 'c'; 'r'; 'e'; 't'; ' '; 'c'; 'o'; 'd'; 'e'] -> failwith "uh oh"
  | _ -> ()

```

There is a single input (the string “secret code”) which causes this program to crash, but finding it by blind random search is infeasible.

Instead, we compile with afl-fuzz instrumentation enabled:

```
ocamlopt -afl-instrument readline.ml -o readline
```

Next, we run the program under afl-fuzz:

```

mkdir input
echo asdf > input/testcase
mkdir output
afl-fuzz -m none -i input -o output ./readline

```

By inspecting instrumentation output, the fuzzer finds the crashing input quickly.

Note: To fuzz-test an OCaml program with afl-fuzz, passing the option `-m none` is required to disable afl-fuzz’s default 50MB virtual memory limit.



## Chapter 25

# Runtime tracing with runtime events

This chapter describes the runtime events tracing system which enables continuous extraction of performance information from the OCaml runtime with very low overhead. The system and interfaces are low-level and tightly coupled to the runtime implementation, it is intended for end-users to rely on tooling to consume and visualise data of interest.

Data emitted includes:

- Event times of garbage collector and runtime phases
- Minor and major heap sizings and utilization
- Allocation and promotion rates between heaps

### 25.1 Overview

There are three main classes of events emitted by the runtime events system:

**Spans** Events spanning over a duration in time. For example, the runtime events tracing system emits a span event that starts when a minor collection begins in the OCaml garbage collector and ends when the collection is completed. Spans can contain other spans, e.g other span events may be emitted that begin after a minor collection has begun and end before it does.

**Lifecycle events** Events that occur at a moment in time. For example, when a domain terminates, a corresponding lifecycle event is emitted.

**Counters** Events that include a measurement of some quantity of interest. For example, the number of words promoted from the minor to the major heap during the last minor garbage collection is emitted as a counter event.

The runtime events tracing system is designed to be used in different contexts:

**Self monitoring** OCaml programs and libraries can install their own callbacks to listen for runtime events and react to them programmatically, for example, to export events to disk or over the network.

**External monitoring** An external process can consume the runtime events of an OCaml program whose runtime tracing system has been enabled by setting the corresponding environment variable.

The runtime events tracing system logs events to a *ring buffer*. Consequently, old events are being overwritten by new events. Consumers can either continuously consume events or choose to only do so in response to some circumstance, e.g if a particular query or operation takes longer than expected to complete.

## 25.2 Architecture

The runtime tracing system conceptually consists of two parts: 1) the probes which emit events and 2) the events transport that ingests and transports these events.

### 25.2.1 Probes

Probes collect events from the runtime system. These are further split in to two sets: 1) probes that are always available and 2) probes that are only available in the instrumented runtime. Probes in the instrumented runtime are primarily of interest to developers of the OCaml runtime and garbage collector and, at present, only consist of major heap allocation size counter events.

The full set of events emitted by probes and their documentation can be found in section ??.

### 25.2.2 Events transport

The events transport part of the system ingests events emitted by the probes and makes them available to consumers.

### 25.2.3 Ring buffers

Events are transported using a data structure known as a *ring buffer*. This data structure consists of two pointers into a linear backing array, the tail pointer points to a location where new events can be written and the head pointer points to the oldest event in the buffer that can be read. When insufficient space is available in the backing array to write new events, the head pointer is advanced and the oldest events are overwritten by new ones.

The ring buffer implementation used in runtime events can be written by at most one producer at a time but can be read simultaneously by multiple consumers without coordination from the producer. There is a unique ring buffer for every running domain and, on domain termination, ring buffers may be re-used for newly spawned domains. The ring buffers themselves are stored in a memory-mapped file with the processes identifier as the name and the extension `.events`, this enables them to be read from outside the main OCaml process. See `Runtime_events[??]` for more information.

### 25.2.4 Consumption APIs

The runtime event tracing system provides both OCaml and C APIs which are cursor-based and polling-driven. The high-level process for consuming events is as follows:

1. A cursor is created via `Runtime_events.create_cursor` for either the current process or an external process (specified by a path and PID).
2. `Runtime_events.Callbacks.create` is called to register a callback function to receive the events.
3. The cursor is polled via `Runtime_events.read_poll` using the callbacks created in the previous step. For each matching event in the ring buffers, the provided callback functions are called.

## 25.3 Usage

### 25.3.1 With OCaml APIs

We start with a simple example that prints the name, begin and end times of events emitted by the runtime event tracing system:

```
let runtime_begin _ ts phase =
  Printf.printf "Begin\t%s\t%Ld\n"
    (Runtime_events.runtime_phase_name phase)
    (Runtime_events.Timestamp.to_int64 ts)

let runtime_end _ ts phase =
  Printf.printf "End\t%s\t%Ld\n"
    (Runtime_events.runtime_phase_name phase)
    (Runtime_events.Timestamp.to_int64 ts)

let () =
  Runtime_events.start ();
  let cursor = Runtime_events.create_cursor None in
  let callbacks = Runtime_events.Callbacks.create ~runtime_begin ~runtime_end ()
  in
  while true do
    let list_ref = ref [] in (* for later fake GC work *)
    for _ = 1 to 100 do
      (* here we do some fake GC work *)
      list_ref := [];
      for _ = 1 to 10 do
        list_ref := (Sys.opaque_identity(ref 42)) :: !list_ref
      done;
      Gc.full_major ();
    done;
    ignore(Runtime_events.read_poll cursor callbacks None);
    Unix.sleep 1
  done
```

The next step is to compile and link the program with the `runtime_events` library. This can be done as follows:

```
ocamlopt -I +runtime_events -I +unix unix.cmxa runtime_events.cmxa
        example.ml -o example
```

When using the *dune* build system, this example can be built as follows:

```
(executable
 (name example)
 (modules example)
 (libraries unix runtime_events))
```

Running the compiled binary of the example gives an output similar to:

```
Begin   explicit_gc_full_major  24086187297852
Begin   stw_leader              24086187298594
Begin   minor                   24086187299404
Begin   minor_global_roots      24086187299807
End     minor_global_roots      24086187331461
Begin   minor_remembered_set    24086187331631
Begin   minor_finalizers_oldify 24086187544312
End     minor_finalizers_oldify 24086187544704
Begin   minor_remembered_set_promote 24086187544879
End     minor_remembered_set_promote 24086187606414
End     minor_remembered_set    24086187606584
Begin   minor_finalizers_admin  24086187606854
End     minor_finalizers_admin  24086187607152
Begin   minor_local_roots       24086187607329
Begin   minor_local_roots_promote 24086187609699
End     minor_local_roots_promote 24086187610539
End     minor_local_roots       24086187610709
End     minor                   24086187611746
Begin   minor_clear             24086187612238
End     minor_clear             24086187612580
End     stw_leader              24086187613209
...
```

This is an example of self-monitoring, where a program explicitly starts listening to runtime events and monitors itself.

For external monitoring, a program does not need to be aware of the existence of runtime events. Runtime events can be controlled via the environment variable `OCAML_RUNTIME_EVENTS_START` which, when set, will cause the runtime tracing system to be started at program initialization.

We could remove `Runtime_events.start ()`; from the previous example and, instead, call the program as below to produce the same result:

```
OCAML_RUNTIME_EVENTS_START=1 ./example
```

### 25.3.2 Environment variables

Environment variables can be used to control different aspects of the runtime event tracing system. The following environment variables are available:

- `OCAML_RUNTIME_EVENTS_START` if set will cause the runtime events system to be started as part of the OCaml runtime initialization.
- `OCAML_RUNTIME_EVENTS_DIR` sets the directory where the `.events` files containing the runtime event tracing system's ring buffers will be located. If not present the program's working directory will be used.
- `OCAML_RUNTIME_EVENTS_PRESERVE` if set will make the OCaml runtime preserve the runtime events ring buffer files past the termination of the OCaml program. This can be useful for monitoring very short running programs. If not set, the `.events` files of the OCaml program will be deleted at program termination.

The size of the runtime events ring buffers can be configured via `OCAMLRUNPARAM`, see section 15.2 for more information.

### 25.3.3 Building with the instrumented runtime

To receive events that are only available in the instrumented runtime, the OCaml program needs to be compiled and linked against the instrumented runtime. For our example program from earlier, this is achieved as follows:

```
ocamlopt -runtime-variant i -I +runtime_events -I +unix unix.cmxa runtime_events.cmxa example.
```

And for dune:

```
(executable
 (name example)
 (modules example)
 (flags "-runtime-variant=i")
 (libraries unix runtime_events))
```

### 25.3.4 With tooling

Programmatic access to events is intended primarily for writers of observability libraries and tooling that end-users use. The flexible API enables use of the performance data from runtime events for logging and monitoring purposes.

In this section we cover several utilities in the `runtime_events_tools` package which provide simple ways of extracting and summarising data from runtime events. The `trace` utility in particular produces similar data to the previous 'eventlog' instrumentation system available in OCaml 4.12 to 4.14.

First, install `runtime_events_tools` in an OCaml 5.0+ opam switch:

```
opam install runtime_events_tools
```

This should install the olly tool in your path. You can now generate runtime traces for programs compiled with OCaml 5.0+ using the trace subcommand:

```
olly trace trace.json 'your_program.exe .. args ..'
```

Runtime tracing data will be generated in the json Trace Event Format to trace.json. This can then be loaded into the Chrome tracing viewer or into Perfetto to visualize the collected trace.

### 25.3.5 Measuring GC latency

The olly utility also includes a latency subcommand which consumes runtime events data and on program completion emits a parseable histogram summary of pause durations. It can be run as follows:

```
olly latency 'your_program.exe .. args ..'
```

This should produce an output similar to the following:

GC latency profile:

```
#[Mean (ms): 2.46, Stddev (ms): 3.87]
```

```
#[Min (ms): 0.01, max (ms): 9.17]
```

Percentile	Latency (ms)
25.0000	0.01
50.0000	0.23
60.0000	0.23
70.0000	0.45
75.0000	0.45
80.0000	0.45
85.0000	0.45
90.0000	9.17
95.0000	9.17
96.0000	9.17
97.0000	9.17
98.0000	9.17
99.0000	9.17
99.9000	9.17
99.9900	9.17
99.9990	9.17
99.9999	9.17
100.0000	9.17

## Chapter 26

# The “Tail Modulo Constructor” program transformation

(Introduced in OCaml 4.14)

Note: this feature is considered experimental, and its interface may evolve, with user feedback, in the next few releases of the language.

Consider this natural implementation of the `List.map` function:

```
let rec map f l =  
  match l with  
  | [] -> []  
  | x :: xs ->  
    let y = f x in  
    y :: map f xs
```

A well-known limitation of this implementation is that the recursive call, `map f xs`, is not in *tail* position. The runtime needs to remember to continue with `y :: r` after the call returns a value `r`, therefore this function consumes some amount of call-stack space on each recursive call. The stack usage of `map f li` is proportional to the length of `li`. This is a correctness issue for large lists on systems configured with limited stack space – the dreaded `Stack_overflow` exception.

```
# let with_stack_limit stack_limit f =  
  let old_gc_settings = Gc.get () in  
  Gc.set { old_gc_settings with stack_limit };  
  Fun.protect ~finally:(fun () -> Gc.set old_gc_settings) f  
  ;;  
val with_stack_limit : int -> (unit -> 'a) -> 'a = <fun>  
  
# with_stack_limit 20_000 (fun () ->  
  List.length (map Fun.id (List.init 1_000_000 Fun.id))  
);;  
Stack overflow during evaluation (looping recursion?).
```

In this implementation of `map`, the recursive call happens in a position that is not a *tail* position in the program, but within a datatype constructor application that is itself in *tail* position. We

say that those positions, that are composed of tail positions and constructor applications, are *tail modulo constructor* (TMC) positions – we sometimes write *tail modulo cons* for brevity.

It is possible to rewrite programs such that tail modulo cons positions become tail positions; after this transformation, the implementation of `map` above becomes *tail-recursive*, in the sense that it only consumes a constant amount of stack space. The OCaml compiler implements this transformation on demand, using the `[@tail_mod_cons]` or `[@ocaml.tail_mod_cons]` attribute on the function to transform.

```
let[@tail_mod_cons] rec map f l =
  match l with
  | [] -> []
  | x :: xs ->
    let y = f x in
    y :: map f xs

# List.length (map Fun.id (List.init 1_000_000 Fun.id));;
- : int = 1000000
```

This transformation only improves calls in tail-modulo-cons position, it does not improve recursive calls that do not fit in this fragment:

(\* does *not* work: addition is not a data constructor \*)

```
let[@tail_mod_cons] rec length l =
  match l with
  | [] -> 0
  | _ :: xs -> 1 + length xs
```

*Warning 71 [unused-tmc-attribute]: This function is marked @tail\_mod\_cons but is never applied in TMC position.*

It is of course possible to use the `[@tail_mod_cons]` transformation on functions that contain some recursive calls in tail-modulo-cons position, and some calls in other, arbitrary positions. Only the tail calls and tail-modulo-cons calls will happen in constant stack space.

**General design** This feature is provided as an explicit program transformation, not an implicit optimization. It is annotation-driven: the user is expected to express their intent by adding annotations in the program using attributes, and will be asked to do so in any ambiguous situation.

We expect it to be used mostly by advanced OCaml users needing to get some guarantees on the stack-consumption behavior of their programs. Our recommendation is to use the `[@tailcall]` annotation on all callsites that should not consume any stack space. `[@tail_mod_cons]` extends the set of functions on which calls can be annotated to be tail calls, helping establish stack-consumption guarantees in more cases.

**Performance** A standard approach to get a tail-recursive version of `List.map` is to use an accumulator to collect output elements, and reverse it at the end of the traversal.

```
let rec map f l = map_aux f [] l
and map_aux f acc l =
  match l with
```



```

| [] -> List.rev acc
| x :: xs ->
  let y = f x in
  map_aux f (y :: acc) xs

```

This version is tail-recursive, but it is measurably slower than the simple, non-tail-recursive version. In contrast, the tail-mod-cons transformation provides an implementation that has comparable performance to the original version, even on small inputs.

**Evaluation order** Beware that the tail-modulo-cons transformation has an effect on evaluation order: the constructor argument that is transformed into tail-position will always be evaluated last. Consider the following example:

```

type 'a two_headed_list =
  | Nil
  | Consnoc of 'a * 'a two_headed_list * 'a

```

```

let[@tail_mod_cons] rec map f = function
  | Nil -> Nil
  | Consnoc (front, body, rear) ->
    Consnoc (f front, map f body, f rear)

```

Due to the `[@tail_mod_cons]` transformation, the calls to `f front` and `f rear` will be evaluated before `map f body`. In particular, this is likely to be different from the evaluation order of the unannotated version. (The evaluation order of constructor arguments is unspecified in OCaml, but many implementations typically use left-to-right or right-to-left.)

This effect on evaluation order is one of the reasons why the tail-modulo-cons transformation has to be explicitly requested by the user, instead of being applied as an automatic optimization.

**Why tail-modulo-cons?** Other program transformations, in particular a transformation to continuation-passing style (CPS), can make all functions tail-recursive, instead of targeting only a small fragment. Some reasons to provide builtin support for the less-general tail-mod-cons are as follows:

- The tail-mod-cons transformation preserves the performance of the original, non-tail-recursive version, while a continuation-passing-style transformation incurs a measurable constant-factor overhead.
- The tail-mod-cons transformation cannot be expressed as a source-to-source transformation of OCaml programs, as it relies on mutable state in type-unsafe ways. In contrast, continuation-passing-style versions can be written by hand, possibly using a convenient monadic notation.

**Note: OCaml call stack size** In OCaml 4.x and earlier, bytecode programs respect the `stack_limit` runtime parameter configuration (as set using `Gc.set` in the example above), or the 1 setting of the `OCAMLRUNPARAM` variable. Native programs ignore these settings and only respect the operating system native stack limit, as set by `ulimit` on Unix systems. Most operating systems run with a relatively low stack size limit by default, so stack overflow on non-tail-recursive functions are a common programming bug.

Starting from OCaml 5.0, native code does not use the native system stack for OCaml function calls anymore, so it is not affected by the operating system native stack size; both native and bytecode programs respect the OCaml runtime’s own limit. The runtime limit is set to a much higher default than most operating system native stacks, with a limit of at least 512MiB, so stack overflow should be much less common in practice. There is still a stack limit by default, as it remains useful to quickly catch bugs with looping non-tail-recursive functions. Without a stack limit, one has to wait for the whole memory to be consumed by the stack for the program to crash, which can take a long time and make the system unresponsive.

This means that the `tail modulo constructor` transformation is less important on OCaml 5: it does improve performance noticeably in some cases, but it is not necessary for basic correctness for most use-cases.

## 26.1 Disambiguation

It may happen that several arguments of a constructor are recursive calls to a tail-modulo-cons function. The transformation can only turn one of these calls into a tail call. The compiler will not make an implicit choice, but ask the user to provide an explicit disambiguation.

Consider this type of syntactic expressions (assuming some pre-existing type `var` of expression variables):

```
type var (* some pre-existing type of variables *)
```

```
type exp =
  | Var of var
  | Let of binding * exp
and binding = var * exp
```

Consider a `map` function on variables. The direct definition has two recursive calls inside arguments of the `Let` constructor, so it gets rejected as ambiguous.

```
let[@tail_mod_cons] rec map_vars f exp =
  match exp with
  | Var v -> Var (f v)
  | Let ((v, def), body) ->
    Let ((f v, map_vars f def), map_vars f body)
```

*Error: [tail\_mod\_cons]: this constructor application may be TMC-transformed in several different ways. Please disambiguate by adding an explicit [tailcall] attribute to the call that should be made tail-recursive, or a [tailcall false] attribute on calls that should not be transformed.*

*This call could be annotated.*

*This call could be annotated.*

To disambiguate, the user should add a `[@tailcall]` attribute to the recursive call that should be transformed to tail position:

```
let[@tail_mod_cons] rec map_vars f exp =
  match exp with
```

```

| Var v -> Var (f v)
| Let ((v, def), body) ->
  Let ((f v, map_vars f def), (map_vars[@tailcall]) f body)

```

Be aware that the resulting function is *not* tail-recursive, the recursive call on `def` will consume stack space. However, expression trees tend to be right-leaning (lots of `Let` in sequence, rather than nested inside each other), so putting the call on `body` in tail position is an interesting improvement over the naive definition: it gives bounded stack space consumption if we assume a bound on the nesting depth of `Let` constructs.

One would also get an error when using conflicting annotations, asking for two of the constructor arguments to be put in tail position:

```

let[@tail_mod_cons] rec map_vars f exp =
  match exp with
  | Var v -> Var (f v)
  | Let ((v, def), body) ->
    Let ((f v, (map_vars[@tailcall]) f def), (map_vars[@tailcall]) f body)

```

*Error: [tail\_mod\_cons]: this constructor application may be TMC-transformed in several different ways. Only one of the arguments may become a TMC call, but several arguments contain calls that are explicitly marked as tail-recursive. Please fix the conflict by reviewing and fixing the conflicting annotations.*

*This call is explicitly annotated.*

*This call is explicitly annotated.*

## 26.2 Danger: getting out of tail-mod-cons

Due to the nature of the tail-mod-cons transformation (see Section 26.3 for a presentation of transformation):

- Calls from a tail-mod-cons function to another tail-mod-cons function declared in the same recursive-binding group are transformed into tail calls, as soon as they occur in tail position or tail-modulo-cons position in the source function.
- Calls from a function *not* annotated tail-mod-cons to a tail-mod-cons function or, conversely, from a tail-mod-cons function to a non-tail-mod-cons function are transformed into *non*-tail calls, even if they syntactically appear in tail position in the source program.

The fact that calls in tail position in the source program may become non-tail calls if they go from a tail-mod-cons to a non-tail-mod-cons function is surprising, and the transformation will warn about them.

For example:

```

let[@tail_mod_cons] rec flatten = function
| [] -> []
| xs :: xss ->
  let rec append_flatten xs xss =
  match xs with

```

```

| [] -> flatten xss
| x :: xs -> x :: append_flatten xs xss
in append_flatten xs xss

```

*Warning 71 [unused-tmc-attribute]: This function is marked @tail\_mod\_cons but is never applied in TMC position.*

*Warning 72 [tmc-breaks-tailcall]: This call is in tail-modulo-cons position in a TMC function, but the function called is not itself specialized for TMC, so the call will not be transformed into a tail call. Please either mark the called function with the [@tail\_mod\_cons] attribute, or mark this call with the [@tailcall false] attribute to make its non-tailness explicit.*

Here the `append_flatten` helper is not annotated with `[@tail_mod_cons]`, so the calls `append_flatten xs xss` and `flatten xss` will *not* be tail calls. The correct fix here is to annotate `append_flatten` to be `tail-mod-cons`.

```

let[@tail_mod_cons] rec flatten = function
| [] -> []
| xs :: xss ->
  let[@tail_mod_cons] rec append_flatten xs xss =
    match xs with
    | [] -> flatten xss
    | x :: xs -> x :: append_flatten xs xss
  in append_flatten xs xss

```

The same warning occurs when `append_flatten` is a non-tail-mod-cons function of the same recursive group; using the tail-mod-cons transformation is a property of individual functions, not whole recursive groups.

```

let[@tail_mod_cons] rec flatten = function
| [] -> []
| xs :: xss -> append_flatten xs xss

```

```

and append_flatten xs xss =
  match xs with
  | [] -> flatten xss
  | x :: xs -> x :: append_flatten xs xss

```

*Warning 71 [unused-tmc-attribute]: This function is marked @tail\_mod\_cons but is never applied in TMC position.*

*Warning 72 [tmc-breaks-tailcall]: This call is in tail-modulo-cons position in a TMC function, but the function called is not itself specialized for TMC, so the call will not be transformed into a tail call. Please either mark the called function with the [@tail\_mod\_cons] attribute, or mark this call with the [@tailcall false] attribute to make its non-tailness explicit.*

Again, the fix is to specialize `append_flatten` as well:

```
let[@tail_mod_cons] rec flatten = function
| [] -> []
| xs :: xss -> append_flatten xs xss

and[@tail_mod_cons] append_flatten xs xss =
  match xs with
  | [] -> flatten xss
  | x :: xs -> x :: append_flatten xs xss
```

Non-recursive functions can also be annotated `[@tail_mod_cons]`; this is typically useful for local bindings to recursive functions.

Incorrect version:

```
let[@tail_mod_cons] rec map_vars f exp =
  let self exp = map_vars f exp in
  match exp with
  | Var v -> Var (f v)
  | Let ((v, def), body) ->
    Let ((f v, self def), (self[@tailcall]) body)
```

*Warning 51 [wrong-tailcall-expectation]: expected tailcall*

*Warning 51 [wrong-tailcall-expectation]: expected tailcall*

*Warning 71 [unused-tmc-attribute]: This function is marked @tail\_mod\_cons but is never applied in TMC position.*

Recommended fix:

```
let[@tail_mod_cons] rec map_vars f exp =
  let[@tail_mod_cons] self exp = map_vars f exp in
  match exp with
  | Var v -> Var (f v)
  | Let ((v, def), body) ->
    Let ((f v, self def), (self[@tailcall]) body)
```

In other cases, there is either no benefit in making the called function tail-mod-cons, or it is not possible: for example, it is a function parameter (the transformation only works with direct calls to known functions).

For example, consider a substitution function on binary trees:

```
type 'a tree = Leaf of 'a | Node of 'a tree * 'a tree
```

```
let[@tail_mod_cons] rec bind (f : 'a -> 'a tree) (t : 'a tree) : 'a tree =
  match t with
  | Leaf v -> f v
  | Node (left, right) ->
    Node (bind f left, (bind[@tailcall]) f right)
```

*Warning 72 [tmc-breaks-tailcall]: This call*

*is in tail-modulo-cons position in a TMC function,  
but the function called is not itself specialized for TMC,  
so the call will not be transformed into a tail call.  
Please either mark the called function with the `[@tail_mod_cons]`  
attribute, or mark this call with the `[@tailcall false]` attribute  
to make its non-tailness explicit.*

Here `f` is a function parameter, not a direct call, and the current implementation is strictly first-order, it does not support tail-mod-cons arguments. In this case, the user should indicate that they realize this call to `f v` is not, in fact, in tail position, by using `(f[@tailcall false]) v`.

```
type 'a tree = Leaf of 'a | Node of 'a tree * 'a tree
```

```
let[@tail_mod_cons] rec bind (f : 'a -> 'a tree) (t : 'a tree) : 'a tree =
  match t with
  | Leaf v -> (f[@tailcall false]) v
  | Node (left, right) ->
    Node (bind f left, (bind[@tailcall]) f right)
```

## 26.3 Details on the transformation

To use this advanced feature, it helps to be aware that the function transformation produces a specialized function in destination-passing-style.

Recall our `map` example:

```
let rec map f l =
  match l with
  | [] -> []
  | x :: xs ->
    let y = f x in
    y :: map f xs
```

Below is a description of the transformed program in pseudo-OCaml notation: some operations are not expressible in OCaml source code. (The transformation in fact happens on the Lambda intermediate representation of the OCaml compiler.)

```
let rec map f l =
  match l with
  | [] -> []
  | x :: xs ->
    let y = f x in
    let dst = y :: {mutable} Hole in
    map_dps f xs dst 1;
    dst

and map_dps f l dst idx =
  match l with
  | [] -> dst.idx <- []
```

```

| x :: xs ->
  let y = f x in
  let dst' = y :: {mutable} Hole in
  dst.idx <- dst';
  map_dps f xs dst' 1

```

The source version of `map` gets transformed into two functions, a *direct-style* version that is also called `map`, and a *destination-passing-style* version (DPS) called `map_dps`. The destination-passing-style version does not return a result directly, instead it writes it into a memory location specified by two additional function parameters, `dst` (a memory block) and `i` (a position within the memory block).

The source call `y :: map f xs` gets transformed into the creation of a mutable block `y :: {mutable} Hole`, whose second parameter is an un-initialized *hole*. The block is then passed to `map_dps` as a destination parameter (with offset 1).

Notice that `map` does not call itself recursively, it calls `map_dps`. Then, `map_dps` calls itself recursively, in a tail-recursive way.

The call from `map` to `map_dps` is *not* a tail call (this is something that we could improve in the future); but this call happens only once when invoking `map f l`, with all list elements after the first one processed in constant stack by `map_dps`.

This explains the “getting out of tail-mod-cons” subtleties. Consider our previous example involving mutual recursion between `flatten` and `append_flatten`.

```

let[@tail_mod_cons] rec flatten l =
  match l with
  | [] -> []
  | xs :: xss ->
    append_flatten xs xss

```

The call to `append_flatten`, which syntactically appears in tail position, gets transformed differently depending on whether the function has a destination-passing-style version available, that is, whether it is itself annotated `[@tail_mod_cons]`:

```

(* if append_flatten_dps exists *)
and flatten_dps l dst i =
  match l with
  | [] -> dst.i <- []
  | xs :: xss ->
    append_flatten_dps xs xss dst i

(* if append_flatten_dps does not exist *)
and rec flatten_dps l dst i =
  match l with
  | [] -> dst.i <- []
  | xs :: xss ->
    dst.i <- append_flatten xs xss

```

If `append_flatten` does not have a destination-passing-style version, the call gets transformed to a non-tail call.

## 26.4 Current limitations

**Purely syntactic criterion** Just like tail calls in general, the notion of tail-modulo-constructor position is purely syntactic; some simple refactoring will move calls out of tail-modulo-constructor position.

```
(* works as expected *)
let[@tail_mod_cons] rec map f li =
  match li with
  | [] -> []
  | x :: xs ->
    let y = f x in
    y ::
      (* this call is in TMC position *)
      map f xs

(* not optimizable anymore *)
let[@tail_mod_cons] rec map f li =
  match li with
  | [] -> []
  | x :: xs ->
    let y = f x in
    let ys =
      (* this call is not in TMC position anymore *)
      map f xs in
    y :: ys
```

*Warning 71 [unused-tmc-attribute]: This function is marked @tail\_mod\_cons but is never applied in TMC position.*

**Local, first-order transformation** When a function gets transformed with tail-mod-cons, two definitions are generated, one providing a direct-style interface and one providing the destination-passing-style version. However, not all calls to this function in tail-modulo-cons position will use the destination-passing-style version and become tail calls:

- The transformation is local: only tail-mod-cons calls to `foo` within the same compilation unit as `foo` become tail calls.
- The transformation is first-order: only direct calls to known tail-mod-cons functions become tail calls when in tail-mod-cons position, never calls to function parameters.

Consider the call `Option.map foo x` for example: even if `foo` is called in tail-mod-cons position within the definition of `Option.map`, that call will never become a tail call. (This would be the case even if the call to `Option.map` was inside the `Option` module.)

In general this limitation is not a problem for recursive functions: the first call from an outside module or a higher-order function will consume stack space, but further recursive calls in tail-mod-cons position will get optimized. For example, if `List.map` is defined as a tail-mod-cons function, calls from outside the `List` module will not become tail calls when in tail positions, but the recursive



calls within the definition of `List.map` are in tail-modulo-cons positions and do become tail calls: processing the first element of the list will consume stack space, but all further elements are handled in constant space.

These limitations may be an issue in more complex situations where mutual recursion happens between functions, with some functions not annotated tail-mod-cons, or defined across different modules, or called indirectly, for example through function parameters.

**Non-exact calls to tupled functions** OCaml performs an implicit optimization for “tupled” functions, which take a single parameter that is a tuple: `let f (x, y, z) = ...`. Direct calls to these functions with a tuple literal argument (like `f (a, b, c)`) will call the “tupled” function by passing the parameters directly, instead of building a tuple of them. Other calls, either indirect calls or calls passing a more complex tuple value (like `let t = (a, b, c) in f t`) are compiled as “inexact” calls that go through a wrapper.

The `[@tail_mod_cons]` transformation supports tupled functions, but will only optimize “exact” calls in tail position; direct calls to something other than a tuple literal will not become tail calls. The user can manually unpack a tuple to force a call to be “exact”: `let (x, y, z) = t in f (x, y, z)`. If there is any doubt as to whether a call can be tail-mod-cons-optimized or not, one can use the `[@tailcall]` attribute on the called function, which will warn if the transformation is not possible.

```
let rec map (f, l) =
  match l with
  | [] -> []
  | x :: xs ->
    let y = f x in
    let args = (f, xs) in
    (* this inexact call cannot be tail-optimized, so a warning will be raised *)
    y :: (map[@tailcall]) args
```

*Warning 51 [wrong-tailcall-expectation]: expected tailcall*



Part IV

The OCaml library



## Chapter 27

# The core library

This chapter describes the OCaml core library, which is composed of declarations for built-in types and exceptions, plus the module `Stdlib` that provides basic operations on these built-in types. The `Stdlib` module is special in two ways:

- It is automatically linked with the user’s object code files by the `ocamlc` command (chapter 13).
- It is automatically “opened” when a compilation starts, or when the toplevel system is launched. Hence, it is possible to use unqualified identifiers to refer to the functions provided by the `Stdlib` module, without adding a `open Stdlib` directive.

## Conventions

The declarations of the built-in types and the components of module `Stdlib` are printed one by one in typewriter font, followed by a short comment. All library modules and the components they provide are indexed at the end of this report.

### 27.1 Built-in types and predefined exceptions

The following built-in types and predefined exceptions are always defined in the compilation environment, but are not part of any module. As a consequence, they can only be referred by their short names.

#### Built-in types

`type int`

The type of integer numbers.

`type char`

The type of characters.

`type bytes`

The type of (writable) byte sequences.

`type string`

The type of (read-only) character strings.

`type float`

The type of floating-point numbers.

`type bool = false | true`

The type of booleans (truth values).

`type unit = ()`

The type of the unit value.

`type exn`

The type of exception values.

`type 'a array`

The type of arrays whose elements have type 'a.

`type 'a list = [] | :: of 'a * 'a list`

The type of lists whose elements have type 'a.

`type 'a option = None | Some of 'a`

The type of optional values of type 'a.

`type int32`

The type of signed 32-bit integers. Literals for 32-bit integers are suffixed by `l`. See the [Int32\[28.27\]](#) module.

`type int64`

The type of signed 64-bit integers. Literals for 64-bit integers are suffixed by `L`. See the [Int64\[28.28\]](#) module.

`type nativeint`

The type of signed, platform-native integers (32 bits on 32-bit processors, 64 bits on 64-bit processors). Literals for native integers are suffixed by `n`. See the [Nativeint\[28.37\]](#) module.

`type ('a, 'b, 'c, 'd, 'e, 'f) format6`

The type of format strings. 'a is the type of the parameters of the format, 'f is the result type for the `printf`-style functions, 'b is the type of the first argument given to `%a` and `%t` printing functions (see module [Printf\[28.43\]](#)), 'c is the result type of these functions, and also the type of the argument transmitted to the first argument of `kprintf`-style functions, 'd is the result type for the `scanf`-style functions (see module [Scanf\[28.47\]](#)), and 'e is the type of the receiver function for the `scanf`-style functions.

`type 'a lazy_t`

This type is used to implement the [Lazy\[28.29\]](#) module. It should not be used directly.

## Predefined exceptions

**exception Match\_failure** of (string \* int \* int)

Exception raised when none of the cases of a pattern-matching apply. The arguments are the location of the `match` keyword in the source code (file name, line number, column number).

**exception Assert\_failure** of (string \* int \* int)

Exception raised when an assertion fails. The arguments are the location of the `assert` keyword in the source code (file name, line number, column number).

**exception Invalid\_argument** of string

Exception raised by library functions to signal that the given arguments do not make sense. The string gives some information to the programmer. As a general rule, this exception should not be caught, it denotes a programming error and the code should be modified not to trigger it.

**exception Failure** of string

Exception raised by library functions to signal that they are undefined on the given arguments. The string is meant to give some information to the programmer; you must *not* pattern match on the string literal because it may change in future versions (use `Failure _` instead).

**exception Not\_found**

Exception raised by search functions when the desired object could not be found.

**exception Out\_of\_memory**

Exception raised by the garbage collector when there is insufficient memory to complete the computation. (Not reliable for allocations on the minor heap.)

**exception Stack\_overflow**

Exception raised by the bytecode interpreter when the evaluation stack reaches its maximal size. This often indicates infinite or excessively deep recursion in the user's program. Before 4.10, it was not fully implemented by the native-code compiler.

**exception Sys\_error** of string

Exception raised by the input/output functions to report an operating system error. The string is meant to give some information to the programmer; you must *not* pattern match on the string literal because it may change in future versions (use `Sys_error _` instead).

**exception End\_of\_file**

Exception raised by input functions to signal that the end of file has been reached.

**exception Division\_by\_zero**

Exception raised by integer division and remainder operations when their second argument is zero.

**exception Sys\_blocked\_io**

A special case of `Sys_error` raised when no I/O is possible on a non-blocking I/O channel.

**exception Undefined\_recursive\_module of (string \* int \* int)**

Exception raised when an ill-founded recursive module definition is evaluated. (See section 12.2.) The arguments are the location of the definition in the source code (file name, line number, column number).

## 27.2 Module Stdlib : The OCaml Standard library.

This module is automatically opened at the beginning of each compilation. All components of this module can therefore be referred by their short name, without prefixing them by `Stdlib`.

In particular, it provides the basic operations over the built-in types (numbers, booleans, byte sequences, strings, exceptions, references, lists, arrays, input-output channels, ...) and the standard library modules[27.2].

### Exceptions

**val raise : exn -> 'a**

Raise the given exception value

**val raise\_notrace : exn -> 'a**

A faster version `raise` which does not record the backtrace.

**Since:** 4.02

**val invalid\_arg : string -> 'a**

Raise exception `Invalid_argument` with the given string.

**val failwith : string -> 'a**

Raise exception `Failure` with the given string.

**exception Exit**

The `Exit` exception is not raised by any library function. It is provided for use in your programs.

**exception Match\_failure of (string \* int \* int)**

Exception raised when none of the cases of a pattern-matching apply. The arguments are the location of the match keyword in the source code (file name, line number, column number).

**exception Assert\_failure of (string \* int \* int)**

Exception raised when an assertion fails. The arguments are the location of the assert keyword in the source code (file name, line number, column number).



**exception Invalid\_argument of string**

Exception raised by library functions to signal that the given arguments do not make sense. The string gives some information to the programmer. As a general rule, this exception should not be caught, it denotes a programming error and the code should be modified not to trigger it.

**exception Failure of string**

Exception raised by library functions to signal that they are undefined on the given arguments. The string is meant to give some information to the programmer; you must not pattern match on the string literal because it may change in future versions (use `Failure _` instead).

**exception Not\_found**

Exception raised by search functions when the desired object could not be found.

**exception Out\_of\_memory**

Exception raised by the garbage collector when there is insufficient memory to complete the computation. (Not reliable for allocations on the minor heap.)

**exception Stack\_overflow**

Exception raised by the bytecode interpreter when the evaluation stack reaches its maximal size. This often indicates infinite or excessively deep recursion in the user's program.

Before 4.10, it was not fully implemented by the native-code compiler.

**exception Sys\_error of string**

Exception raised by the input/output functions to report an operating system error. The string is meant to give some information to the programmer; you must not pattern match on the string literal because it may change in future versions (use `Sys_error _` instead).

**exception End\_of\_file**

Exception raised by input functions to signal that the end of file has been reached.

**exception Division\_by\_zero**

Exception raised by integer division and remainder operations when their second argument is zero.

**exception Sys\_blocked\_io**

A special case of `Sys_error` raised when no I/O is possible on a non-blocking I/O channel.

**exception Undefined\_recursive\_module of (string \* int \* int)**

Exception raised when an ill-founded recursive module definition is evaluated. The arguments are the location of the definition in the source code (file name, line number, column number).

## Comparisons

`val (=) : 'a -> 'a -> bool`

`e1 = e2` tests for structural equality of `e1` and `e2`. Mutable structures (e.g. references and arrays) are equal if and only if their current contents are structurally equal, even if the two mutable objects are not the same physical object. Equality between functional values raises `Invalid_argument`. Equality between cyclic data structures may not terminate. Left-associative operator, see [Ocaml\\_operators\[28.60\]](#) for more information.

`val (<>) : 'a -> 'a -> bool`

Negation of `(=)`[\[27.2\]](#). Left-associative operator, see [Ocaml\\_operators\[28.60\]](#) for more information.

`val (<) : 'a -> 'a -> bool`

See `(>=)`[\[27.2\]](#). Left-associative operator, see [Ocaml\\_operators\[28.60\]](#) for more information.

`val (>) : 'a -> 'a -> bool`

See `(>=)`[\[27.2\]](#). Left-associative operator, see [Ocaml\\_operators\[28.60\]](#) for more information.

`val (<=) : 'a -> 'a -> bool`

See `(>=)`[\[27.2\]](#). Left-associative operator, see [Ocaml\\_operators\[28.60\]](#) for more information.

`val (>=) : 'a -> 'a -> bool`

Structural ordering functions. These functions coincide with the usual orderings over integers, characters, strings, byte sequences and floating-point numbers, and extend them to a total ordering over all types. The ordering is compatible with `( = )`. As in the case of `( = )`, mutable structures are compared by contents. Comparison between functional values raises `Invalid_argument`. Comparison between cyclic structures may not terminate. Left-associative operator, see [Ocaml\\_operators\[28.60\]](#) for more information.

`val compare : 'a -> 'a -> int`

`compare x y` returns 0 if `x` is equal to `y`, a negative integer if `x` is less than `y`, and a positive integer if `x` is greater than `y`. The ordering implemented by `compare` is compatible with the comparison predicates `=`, `<` and `>` defined above, with one difference on the treatment of the float value `nan`[\[27.2\]](#). Namely, the comparison predicates treat `nan` as different from any other float value, including itself; while `compare` treats `nan` as equal to itself and less than any other float value. This treatment of `nan` ensures that `compare` defines a total ordering relation.

`compare` applied to functional values may raise `Invalid_argument`. `compare` applied to cyclic structures may not terminate.

The `compare` function can be used as the comparison function required by the `Set.Make`[\[28.49\]](#) and `Map.Make`[\[28.33\]](#) functors, as well as the `List.sort`[\[28.31\]](#) and `Array.sort`[\[28.2\]](#) functions.

`val min : 'a -> 'a -> 'a`

Return the smaller of the two arguments. The result is unspecified if one of the arguments contains the float value `nan`.

`val max : 'a -> 'a -> 'a`

Return the greater of the two arguments. The result is unspecified if one of the arguments contains the float value `nan`.

`val (==) : 'a -> 'a -> bool`

`e1 == e2` tests for physical equality of `e1` and `e2`. On mutable types such as references, arrays, byte sequences, records with mutable fields and objects with mutable instance variables, `e1 == e2` is true if and only if physical modification of `e1` also affects `e2`. On non-mutable types, the behavior of `( == )` is implementation-dependent; however, it is guaranteed that `e1 == e2` implies `compare e1 e2 = 0`. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (!=) : 'a -> 'a -> bool`

Negation of `(==)`[27.2]. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

## Boolean operations

`val not : bool -> bool`

The boolean negation.

`val (&&) : bool -> bool -> bool`

The boolean 'and'. Evaluation is sequential, left-to-right: in `e1 && e2`, `e1` is evaluated first, and if it returns `false`, `e2` is not evaluated at all. Right-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (||) : bool -> bool -> bool`

The boolean 'or'. Evaluation is sequential, left-to-right: in `e1 || e2`, `e1` is evaluated first, and if it returns `true`, `e2` is not evaluated at all. Right-associative operator, see `Ocaml_operators`[28.60] for more information.

## Debugging

`val __LOC__ : string`

`__LOC__` returns the location at which this expression appears in the file currently being parsed by the compiler, with the standard error format of OCaml: "File %S, line %d, characters %d-%d".

**Since:** 4.02

`val __FILE__ : string`

`__FILE__` returns the name of the file currently being parsed by the compiler.

**Since:** 4.02

`val __LINE__ : int`

`__LINE__` returns the line number at which this expression appears in the file currently being parsed by the compiler.

**Since:** 4.02

`val __MODULE__ : string`

`__MODULE__` returns the module name of the file being parsed by the compiler.

**Since:** 4.02

`val __POS__ : string * int * int * int`

`__POS__` returns a tuple (`file`, `lnum`, `cnum`, `enum`), corresponding to the location at which this expression appears in the file currently being parsed by the compiler. `file` is the current filename, `lnum` the line number, `cnum` the character position in the line and `enum` the last character position in the line.

**Since:** 4.02

`val __FUNCTION__ : string`

`__FUNCTION__` returns the name of the current function or method, including any enclosing modules or classes.

**Since:** 4.12

`val __LOC_OF__ : 'a -> string * 'a`

`__LOC_OF__ expr` returns a pair (`loc`, `expr`) where `loc` is the location of `expr` in the file currently being parsed by the compiler, with the standard error format of OCaml: "File %S, line %d, characters %d-%d".

**Since:** 4.02

`val __LINE_OF__ : 'a -> int * 'a`

`__LINE_OF__ expr` returns a pair (`line`, `expr`), where `line` is the line number at which the expression `expr` appears in the file currently being parsed by the compiler.

**Since:** 4.02

`val __POS_OF__ : 'a -> (string * int * int * int) * 'a`

`__POS_OF__ expr` returns a pair (`loc`, `expr`), where `loc` is a tuple (`file`, `lnum`, `cnum`, `enum`) corresponding to the location at which the expression `expr` appears in the file currently being parsed by the compiler. `file` is the current filename, `lnum` the line number, `cnum` the character position in the line and `enum` the last character position in the line.

**Since:** 4.02

## Composition operators

`val (|>) : 'a -> ('a -> 'b) -> 'b`

Reverse-application operator: `x |> f |> g` is exactly equivalent to `g (f (x))`.

Left-associative operator, see `Ocaml_operators`[28.60] for more information.

**Since:** 4.01

`val (@@) : ('a -> 'b) -> 'a -> 'b`

Application operator: `g @@ f @@ x` is exactly equivalent to `g (f (x))`. Right-associative operator, see `Ocaml_operators`[28.60] for more information.

**Since:** 4.01

## Integer arithmetic

Integers are `Sys.int_size` bits wide. All operations are taken modulo  $2^{\text{Sys.int\_size}}$ . They do not fail on overflow.

`val (~~) : int -> int`

Unary negation. You can also write `- e` instead of `~~ e`. Unary operator, see `Ocaml_operators`[28.60] for more information.

`val (~+) : int -> int`

Unary addition. You can also write `+ e` instead of `~+ e`. Unary operator, see `Ocaml_operators`[28.60] for more information.

**Since:** 3.12

`val succ : int -> int`

`succ x` is `x + 1`.

`val pred : int -> int`

`pred x` is `x - 1`.

`val (+) : int -> int -> int`

Integer addition. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (-) : int -> int -> int`

Integer subtraction. Left-associative operator, , see `Ocaml_operators`[28.60] for more information.

`val ( * ) : int -> int -> int`

Integer multiplication. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (/) : int -> int -> int`

Integer division. Integer division rounds the real quotient of its arguments towards zero. More precisely, if  $x \geq 0$  and  $y > 0$ ,  $x / y$  is the greatest integer less than or equal to the real quotient of  $x$  by  $y$ . Moreover,  $(-x) / y = x / (-y) = -(x / y)$ . Left-associative operator, see `Ocaml_operators`[28.60] for more information.

**Raises** `Division_by_zero` if the second argument is 0.

`val (mod) : int -> int -> int`

Integer remainder. If  $y$  is not zero, the result of  $x \bmod y$  satisfies the following properties:  $x = (x / y) * y + x \bmod y$  and  $\text{abs}(x \bmod y) \leq \text{abs}(y) - 1$ . If  $y = 0$ ,  $x \bmod y$  raises `Division_by_zero`. Note that  $x \bmod y$  is negative only if  $x < 0$ . Left-associative operator, see `Ocaml_operators`[28.60] for more information.

**Raises** `Division_by_zero` if  $y$  is zero.

`val abs : int -> int`

`abs x` is the absolute value of  $x$ . On `min_int` this is `min_int` itself and thus remains negative.

`val max_int : int`

The greatest representable integer.

`val min_int : int`

The smallest representable integer.

## Bitwise operations

`val (land) : int -> int -> int`

Bitwise logical and. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (lor) : int -> int -> int`

Bitwise logical or. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (lxor) : int -> int -> int`

Bitwise logical exclusive or. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val lnot : int -> int`

Bitwise logical negation.

`val (lsl) : int -> int -> int`

`n lsl m` shifts  $n$  to the left by  $m$  bits. The result is unspecified if  $m < 0$  or  $m > \text{Sys.int\_size}$ . Right-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (lsr) : int -> int -> int`

`n lsr m` shifts `n` to the right by `m` bits. This is a logical shift: zeroes are inserted regardless of the sign of `n`. The result is unspecified if `m < 0` or `m > Sys.int_size`. Right-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (asr) : int -> int -> int`

`n asr m` shifts `n` to the right by `m` bits. This is an arithmetic shift: the sign bit of `n` is replicated. The result is unspecified if `m < 0` or `m > Sys.int_size`. Right-associative operator, see `Ocaml_operators`[28.60] for more information.

## Floating-point arithmetic

OCaml's floating-point numbers follow the IEEE 754 standard, using double precision (64 bits) numbers. Floating-point operations never raise an exception on overflow, underflow, division by zero, etc. Instead, special IEEE numbers are returned as appropriate, such as `infinity` for `1.0 /. 0.0`, `neg_infinity` for `-1.0 /. 0.0`, and `nan` ('not a number') for `0.0 /. 0.0`. These special numbers then propagate through floating-point computations as expected: for instance, `1.0 /. infinity` is `0.0`, basic arithmetic operations (`+. , -. , *. , /.`) with `nan` as an argument return `nan`, ...

`val (~-. ) : float -> float`

Unary negation. You can also write `- . e` instead of `~- . e`. Unary operator, see `Ocaml_operators`[28.60] for more information.

`val (~+. ) : float -> float`

Unary addition. You can also write `+ . e` instead of `~+. e`. Unary operator, see `Ocaml_operators`[28.60] for more information.

**Since:** 3.12

`val (+. ) : float -> float -> float`

Floating-point addition. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (-. ) : float -> float -> float`

Floating-point subtraction. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val ( *. ) : float -> float -> float`

Floating-point multiplication. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val (/.) : float -> float -> float`

Floating-point division. Left-associative operator, see `Ocaml_operators`[28.60] for more information.

`val ( ** ) : float -> float -> float`

Exponentiation. Right-associative operator, see `Ocaml_operators`[\[28.60\]](#) for more information.

`val sqrt : float -> float`

Square root.

`val exp : float -> float`

Exponential.

`val log : float -> float`

Natural logarithm.

`val log10 : float -> float`

Base 10 logarithm.

`val expm1 : float -> float`

`expm1 x` computes  $\exp x - 1.0$ , giving numerically-accurate results even if `x` is close to 0.0.

**Since:** 3.12

`val log1p : float -> float`

`log1p x` computes  $\log(1.0 + x)$  (natural logarithm), giving numerically-accurate results even if `x` is close to 0.0.

**Since:** 3.12

`val cos : float -> float`

Cosine. Argument is in radians.

`val sin : float -> float`

Sine. Argument is in radians.

`val tan : float -> float`

Tangent. Argument is in radians.

`val acos : float -> float`

Arc cosine. The argument must fall within the range  $[-1.0, 1.0]$ . Result is in radians and is between 0.0 and `pi`.

`val asin : float -> float`

Arc sine. The argument must fall within the range  $[-1.0, 1.0]$ . Result is in radians and is between  $-\pi/2$  and  $\pi/2$ .

`val atan : float -> float`

Arc tangent. Result is in radians and is between  $-\pi/2$  and  $\pi/2$ .



**val atan2** : float -> float -> float

**atan2** *y x* returns the arc tangent of *y* /. *x*. The signs of *x* and *y* are used to determine the quadrant of the result. Result is in radians and is between  $-\pi$  and  $\pi$ .

**val hypot** : float -> float -> float

**hypot** *x y* returns  $\text{sqrt}(x^2 + y^2)$ , that is, the length of the hypotenuse of a right-angled triangle with sides of length *x* and *y*, or, equivalently, the distance of the point (*x*,*y*) to origin. If one of *x* or *y* is infinite, returns **infinity** even if the other is **nan**.

**Since:** 4.00

**val cosh** : float -> float

Hyperbolic cosine. Argument is in radians.

**val sinh** : float -> float

Hyperbolic sine. Argument is in radians.

**val tanh** : float -> float

Hyperbolic tangent. Argument is in radians.

**val acosh** : float -> float

Hyperbolic arc cosine. The argument must fall within the range  $[1.0, \text{inf}]$ . Result is in radians and is between 0.0 and **inf**.

**Since:** 4.13

**val asinh** : float -> float

Hyperbolic arc sine. The argument and result range over the entire real line. Result is in radians.

**Since:** 4.13

**val atanh** : float -> float

Hyperbolic arc tangent. The argument must fall within the range  $[-1.0, 1.0]$ . Result is in radians and ranges over the entire real line.

**Since:** 4.13

**val ceil** : float -> float

Round above to an integer value. **ceil** *f* returns the least integer value greater than or equal to *f*. The result is returned as a float.

**val floor** : float -> float

Round below to an integer value. **floor** *f* returns the greatest integer value less than or equal to *f*. The result is returned as a float.

**val abs\_float** : float -> float

`abs_float f` returns the absolute value of `f`.

`val copysign : float -> float -> float`

`copysign x y` returns a float whose absolute value is that of `x` and whose sign is that of `y`. If `x` is `nan`, returns `nan`. If `y` is `nan`, returns either `x` or `-x`, but it is not specified which.

**Since:** 4.00

`val mod_float : float -> float -> float`

`mod_float a b` returns the remainder of `a` with respect to `b`. The returned value is `a - n * b`, where `n` is the quotient `a /. b` rounded towards zero to an integer.

`val frexp : float -> float * int`

`frexp f` returns the pair of the significant and the exponent of `f`. When `f` is zero, the significant `x` and the exponent `n` of `f` are equal to zero. When `f` is non-zero, they are defined by `f = x *. 2 ** n` and `0.5 <= x < 1.0`.

`val ldexp : float -> int -> float`

`ldexp x n` returns `x *. 2 ** n`.

`val modf : float -> float * float`

`modf f` returns the pair of the fractional and integral part of `f`.

`val float : int -> float`

Same as `float_of_int`[\[27.2\]](#).

`val float_of_int : int -> float`

Convert an integer to floating-point.

`val truncate : float -> int`

Same as `int_of_float`[\[27.2\]](#).

`val int_of_float : float -> int`

Truncate the given floating-point number to an integer. The result is unspecified if the argument is `nan` or falls outside the range of representable integers.

`val infinity : float`

Positive infinity.

`val neg_infinity : float`

Negative infinity.

`val nan : float`

A special floating-point value denoting the result of an undefined operation such as `0.0 /. 0.0`. Stands for 'not a number'. Any floating-point operation with `nan` as argument returns `nan` as result, unless otherwise specified in IEEE 754 standard. As for floating-point comparisons, `=`, `<`, `<=`, `>` and `>=` return `false` and `<>` returns `true` if one or both of their arguments is `nan`.

`nan` is a quiet NaN since 5.1; it was a signaling NaN before.

`val max_float : float`

The largest positive finite value of type `float`.

`val min_float : float`

The smallest positive, non-zero, non-denormalized value of type `float`.

`val epsilon_float : float`

The difference between 1.0 and the smallest exactly representable floating-point number greater than 1.0.

`type fpclass =`

| `FP_normal`

Normal number, none of the below

| `FP_subnormal`

Number very close to 0.0, has reduced precision

| `FP_zero`

Number is 0.0 or -0.0

| `FP_infinite`

Number is positive or negative infinity

| `FP_nan`

Not a number: result of an undefined operation

The five classes of floating-point numbers, as determined by the `classify_float`[\[27.2\]](#) function.

`val classify_float : float -> fpclass`

Return the class of the given floating-point number: normal, subnormal, zero, infinite, or not a number.

## String operations

More string operations are provided in module `String`[\[27.2\]](#).

`val (^) : string -> string -> string`

String concatenation. Right-associative operator, see `Ocaml_operators`[\[28.60\]](#) for more information.

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

## Character operations

More character operations are provided in module `Char`[\[27.2\]](#).

`val int_of_char : char -> int`

Return the ASCII code of the argument.

`val char_of_int : int -> char`

Return the character with the given ASCII code.

**Raises** `Invalid_argument` if the argument is outside the range 0–255.

## Unit operations

`val ignore : 'a -> unit`

Discard the value of its argument and return `()`. For instance, `ignore(f x)` discards the result of the side-effecting function `f`. It is equivalent to `f x; ()`, except that the latter may generate a compiler warning; writing `ignore(f x)` instead avoids the warning.

## String conversion functions

`val string_of_bool : bool -> string`

Return the string representation of a boolean. As the returned values may be shared, the user should not modify them directly.

`val bool_of_string_opt : string -> bool option`

Convert the given string to a boolean.

Return `None` if the string is not `"true"` or `"false"`.

**Since:** 4.05

`val bool_of_string : string -> bool`

Same as `bool_of_string_opt`[\[27.2\]](#), but raise `Invalid_argument "bool_of_string"` instead of returning `None`.

`val string_of_int : int -> string`

Return the string representation of an integer, in decimal.

`val int_of_string_opt : string -> int option`

Convert the given string to an integer. The string is read in decimal (by default, or if the string begins with `0u`), in hexadecimal (if it begins with `0x` or `0X`), in octal (if it begins with `0o` or `0O`), or in binary (if it begins with `0b` or `0B`).

The `0u` prefix reads the input as an unsigned integer in the range `[0, 2*max_int+1]`. If the input exceeds `max_int`[\[27.2\]](#) it is converted to the signed integer `min_int + input - max_int - 1`.

The `_` (underscore) character can appear anywhere in the string and is ignored.

Return `None` if the given string is not a valid representation of an integer, or if the integer represented exceeds the range of integers representable in type `int`.

**Since:** 4.05

```
val int_of_string : string -> int
```

Same as `int_of_string_opt`[\[27.2\]](#), but raise `Failure "int_of_string"` instead of returning `None`.

```
val string_of_float : float -> string
```

Return a string representation of a floating-point number.

This conversion can involve a loss of precision. For greater control over the manner in which the number is printed, see `Printf`[\[27.2\]](#).

```
val float_of_string_opt : string -> float option
```

Convert the given string to a float. The string is read in decimal (by default) or in hexadecimal (marked by `0x` or `0X`).

The format of decimal floating-point numbers is `[-] dd.ddd (e|E) [+|-] dd`, where `d` stands for a decimal digit.

The format of hexadecimal floating-point numbers is `[-] 0(x|X) hh.hhh (p|P) [+|-] dd`, where `h` stands for an hexadecimal digit and `d` for a decimal digit.

In both cases, at least one of the integer and fractional parts must be given; the exponent part is optional.

The `_` (underscore) character can appear anywhere in the string and is ignored.

Depending on the execution platforms, other representations of floating-point numbers can be accepted, but should not be relied upon.

Return `None` if the given string is not a valid representation of a float.

**Since:** 4.05

```
val float_of_string : string -> float
```

Same as `float_of_string_opt`[\[27.2\]](#), but raise `Failure "float_of_string"` instead of returning `None`.

## Pair operations

```
val fst : 'a * 'b -> 'a
```

Return the first component of a pair.

```
val snd : 'a * 'b -> 'b
```

Return the second component of a pair.

## List operations

More list operations are provided in module `List`[\[27.2\]](#).

`val (@) : 'a list -> 'a list -> 'a list`

`10 @ 11` appends `11` to `10`. Same function as `List.append`[\[28.31\]](#). Right-associative operator, see `Ocaml_operators`[\[28.60\]](#) for more information.

**Since:** 5.1 this function is tail-recursive.

## Input/output

Note: all input/output functions can raise `Sys_error` when the system calls they invoke fail.

`type in_channel`

The type of input channel.

`type out_channel`

The type of output channel.

`val stdin : in_channel`

The standard input for the process.

`val stdout : out_channel`

The standard output for the process.

`val stderr : out_channel`

The standard error output for the process.

## Output functions on standard output

`val print_char : char -> unit`

Print a character on standard output.

`val print_string : string -> unit`

Print a string on standard output.

`val print_bytes : bytes -> unit`

Print a byte sequence on standard output.

**Since:** 4.02

`val print_int : int -> unit`

Print an integer, in decimal, on standard output.

`val print_float : float -> unit`

Print a floating-point number, in decimal, on standard output.

The conversion of the number to a string uses `string_of_float`[\[27.2\]](#) and can involve a loss of precision.

```
val print_endline : string -> unit
```

Print a string, followed by a newline character, on standard output and flush standard output.

```
val print_newline : unit -> unit
```

Print a newline character on standard output, and flush standard output. This can be used to simulate line buffering of standard output.

## Output functions on standard error

```
val prerr_char : char -> unit
```

Print a character on standard error.

```
val prerr_string : string -> unit
```

Print a string on standard error.

```
val prerr_bytes : bytes -> unit
```

Print a byte sequence on standard error.

**Since:** 4.02

```
val prerr_int : int -> unit
```

Print an integer, in decimal, on standard error.

```
val prerr_float : float -> unit
```

Print a floating-point number, in decimal, on standard error.

The conversion of the number to a string uses `string_of_float`[\[27.2\]](#) and can involve a loss of precision.

```
val prerr_endline : string -> unit
```

Print a string, followed by a newline character on standard error and flush standard error.

```
val prerr_newline : unit -> unit
```

Print a newline character on standard error, and flush standard error.

## Input functions on standard input

`val read_line : unit -> string`

Flush standard output, then read characters from standard input until a newline character is encountered.

Return the string of all characters read, without the newline character at the end.

**Raises** `End_of_file` if the end of the file is reached at the beginning of line.

`val read_int_opt : unit -> int option`

Flush standard output, then read one line from standard input and convert it to an integer.

Return `None` if the line read is not a valid representation of an integer.

**Since:** 4.05

`val read_int : unit -> int`

Same as `read_int_opt`[\[27.2\]](#), but raise `Failure "int_of_string"` instead of returning `None`.

`val read_float_opt : unit -> float option`

Flush standard output, then read one line from standard input and convert it to a floating-point number.

Return `None` if the line read is not a valid representation of a floating-point number.

**Since:** 4.05

`val read_float : unit -> float`

Same as `read_float_opt`[\[27.2\]](#), but raise `Failure "float_of_string"` instead of returning `None`.

## General output functions

`type open_flag =`

| `Open_rdonly`

open for reading.

| `Open_wronly`

open for writing.

| `Open_append`

open for appending: always write at end of file.

| `Open_creat`

create the file if it does not exist.

| `Open_trunc`

empty the file if it already exists.



- | `Open_excl`  
fail if `Open_creat` and the file already exists.
- | `Open_binary`  
open in binary mode (no conversion).
- | `Open_text`  
open in text mode (may perform conversions).
- | `Open_nonblock`  
open in non-blocking mode.

Opening modes for `open_out_gen`[\[27.2\]](#) and `open_in_gen`[\[27.2\]](#).

`val open_out : string -> out_channel`

Open the named file for writing, and return a new output channel on that file, positioned at the beginning of the file. The file is truncated to zero length if it already exists. It is created if it does not already exist.

`val open_out_bin : string -> out_channel`

Same as `open_out`[\[27.2\]](#), but the file is opened in binary mode, so that no translation takes place during writes. On operating systems that do not distinguish between text mode and binary mode, this function behaves like `open_out`[\[27.2\]](#).

`val open_out_gen : open_flag list -> int -> string -> out_channel`

`open_out_gen mode perm filename` opens the named file for writing, as described above. The extra argument `mode` specifies the opening mode. The extra argument `perm` specifies the file permissions, in case the file must be created. `open_out`[\[27.2\]](#) and `open_out_bin`[\[27.2\]](#) are special cases of this function.

`val flush : out_channel -> unit`

Flush the buffer associated with the given output channel, performing all pending writes on that channel. Interactive programs must be careful about flushing standard output and standard error at the right time.

`val flush_all : unit -> unit`

Flush all open output channels; ignore errors.

`val output_char : out_channel -> char -> unit`

Write the character on the given output channel.

`val output_string : out_channel -> string -> unit`

Write the string on the given output channel.

`val output_bytes : out_channel -> bytes -> unit`

Write the byte sequence on the given output channel.

**Since:** 4.02

```
val output : out_channel -> bytes -> int -> int -> unit
```

`output oc buf pos len` writes `len` characters from byte sequence `buf`, starting at offset `pos`, to the given output channel `oc`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid range of `buf`.

```
val output_substring : out_channel -> string -> int -> int -> unit
```

Same as `output` but take a string as argument instead of a byte sequence.

**Since:** 4.02

```
val output_byte : out_channel -> int -> unit
```

Write one 8-bit integer (as the single character with that code) on the given output channel. The given integer is taken modulo 256.

```
val output_binary_int : out_channel -> int -> unit
```

Write one integer in binary format (4 bytes, big-endian) on the given output channel. The given integer is taken modulo  $2^{32}$ . The only reliable way to read it back is through the `input_binary_int`[\[27.2\]](#) function. The format is compatible across all machines for a given version of OCaml.

```
val output_value : out_channel -> 'a -> unit
```

Write the representation of a structured value of any type to a channel. Circularities and sharing inside the value are detected and preserved. The object can be read back, by the function `input_value`[\[27.2\]](#). See the description of module `Marshal`[\[27.2\]](#) for more information. `output_value`[\[27.2\]](#) is equivalent to `Marshal.to_channel`[\[28.34\]](#) with an empty list of flags.

```
val seek_out : out_channel -> int -> unit
```

`seek_out chan pos` sets the current writing position to `pos` for channel `chan`. This works only for regular files. On files of other kinds (such as terminals, pipes and sockets), the behavior is unspecified.

```
val pos_out : out_channel -> int
```

Return the current writing position for the given channel. Does not work on channels opened with the `Open_append` flag (returns unspecified results). For files opened in text mode under Windows, the returned position is approximate (owing to end-of-line conversion); in particular, saving the current position with `pos_out`, then going back to this position using `seek_out` will not work. For this programming idiom to work reliably and portably, the file must be opened in binary mode.

```
val out_channel_length : out_channel -> int
```

Return the size (number of characters) of the regular file on which the given channel is opened. If the channel is opened on a file that is not a regular file, the result is meaningless.

```
val close_out : out_channel -> unit
```

Close the given channel, flushing all buffered write operations. Output functions raise a `Sys_error` exception when they are applied to a closed output channel, except `close_out` and `flush`, which do nothing when applied to an already closed channel. Note that `close_out` may raise `Sys_error` if the operating system signals an error when flushing or closing.

```
val close_out_noerr : out_channel -> unit
```

Same as `close_out`, but ignore all errors.

```
val set_binary_mode_out : out_channel -> bool -> unit
```

`set_binary_mode_out oc true` sets the channel `oc` to binary mode: no translations take place during output. `set_binary_mode_out oc false` sets the channel `oc` to text mode: depending on the operating system, some translations may take place during output. For instance, under Windows, end-of-lines will be translated from `\n` to `\r\n`. This function has no effect under operating systems that do not distinguish between text mode and binary mode.

## General input functions

```
val open_in : string -> in_channel
```

Open the named file for reading, and return a new input channel on that file, positioned at the beginning of the file.

```
val open_in_bin : string -> in_channel
```

Same as `open_in`[\[27.2\]](#), but the file is opened in binary mode, so that no translation takes place during reads. On operating systems that do not distinguish between text mode and binary mode, this function behaves like `open_in`[\[27.2\]](#).

```
val open_in_gen : open_flag list -> int -> string -> in_channel
```

`open_in_gen mode perm filename` opens the named file for reading, as described above. The extra arguments `mode` and `perm` specify the opening mode and file permissions. `open_in`[\[27.2\]](#) and `open_in_bin`[\[27.2\]](#) are special cases of this function.

```
val input_char : in_channel -> char
```

Read one character from the given input channel.

**Raises** `End_of_file` if there are no more characters to read.

```
val input_line : in_channel -> string
```

Read characters from the given input channel, until a newline character is encountered. Return the string of all characters read, without the newline character at the end.

**Raises** `End_of_file` if the end of the file is reached at the beginning of line.

**val** `input` : `in_channel` -> `bytes` -> `int` -> `int` -> `int`

`input ic buf pos len` reads up to `len` characters from the given channel `ic`, storing them in byte sequence `buf`, starting at character number `pos`. It returns the actual number of characters read, between 0 and `len` (inclusive). A return value of 0 means that the end of file was reached. A return value between 0 and `len` exclusive means that not all requested `len` characters were read, either because no more characters were available at that time, or because the implementation found it convenient to do a partial read; `input` must be called again to read the remaining characters, if desired. (See also `really_input`[\[27.2\]](#) for reading exactly `len` characters.) Exception `Invalid_argument` "input" is raised if `pos` and `len` do not designate a valid range of `buf`.

**val** `really_input` : `in_channel` -> `bytes` -> `int` -> `int` -> `unit`

`really_input ic buf pos len` reads `len` characters from channel `ic`, storing them in byte sequence `buf`, starting at character number `pos`.

**Raises**

- `End_of_file` if the end of file is reached before `len` characters have been read.
- `Invalid_argument` if `pos` and `len` do not designate a valid range of `buf`.

**val** `really_input_string` : `in_channel` -> `int` -> `string`

`really_input_string ic len` reads `len` characters from channel `ic` and returns them in a new string.

**Since:** 4.02

**Raises** `End_of_file` if the end of file is reached before `len` characters have been read.

**val** `input_byte` : `in_channel` -> `int`

Same as `input_char`[\[27.2\]](#), but return the 8-bit integer representing the character.

**Raises** `End_of_file` if the end of file was reached.

**val** `input_binary_int` : `in_channel` -> `int`

Read an integer encoded in binary format (4 bytes, big-endian) from the given input channel. See `output_binary_int`[\[27.2\]](#).

**Raises** `End_of_file` if the end of file was reached while reading the integer.

**val** `input_value` : `in_channel` -> 'a

Read the representation of a structured value, as produced by `output_value`[\[27.2\]](#), and return the corresponding value. This function is identical to `Marshal.from_channel`[\[28.34\]](#); see the description of module `Marshal`[\[27.2\]](#) for more information, in particular concerning the lack of type safety.

```
val seek_in : in_channel -> int -> unit
```

`seek_in chan pos` sets the current reading position to `pos` for channel `chan`. This works only for regular files. On files of other kinds, the behavior is unspecified.

```
val pos_in : in_channel -> int
```

Return the current reading position for the given channel. For files opened in text mode under Windows, the returned position is approximate (owing to end-of-line conversion); in particular, saving the current position with `pos_in`, then going back to this position using `seek_in` will not work. For this programming idiom to work reliably and portably, the file must be opened in binary mode.

```
val in_channel_length : in_channel -> int
```

Return the size (number of characters) of the regular file on which the given channel is opened. If the channel is opened on a file that is not a regular file, the result is meaningless. The returned size does not take into account the end-of-line translations that can be performed when reading from a channel opened in text mode.

```
val close_in : in_channel -> unit
```

Close the given channel. Input functions raise a `Sys_error` exception when they are applied to a closed input channel, except `close_in`, which does nothing when applied to an already closed channel.

```
val close_in_noerr : in_channel -> unit
```

Same as `close_in`, but ignore all errors.

```
val set_binary_mode_in : in_channel -> bool -> unit
```

`set_binary_mode_in ic true` sets the channel `ic` to binary mode: no translations take place during input. `set_binary_mode_out ic false` sets the channel `ic` to text mode: depending on the operating system, some translations may take place during input. For instance, under Windows, end-of-lines will be translated from `\r\n` to `\n`. This function has no effect under operating systems that do not distinguish between text mode and binary mode.

## Operations on large files

```
module LargeFile :
```

```
sig
```

```
  val seek_out : out_channel -> int64 -> unit
```

```
  val pos_out : out_channel -> int64
```

```
  val out_channel_length : out_channel -> int64
```

```
  val seek_in : in_channel -> int64 -> unit
```

```
  val pos_in : in_channel -> int64
```

```
  val in_channel_length : in_channel -> int64
```

end

Operations on large files. This sub-module provides 64-bit variants of the channel functions that manipulate file positions and file sizes. By representing positions and sizes by 64-bit integers (type `int64`) instead of regular integers (type `int`), these alternate functions allow operating on files whose sizes are greater than `max_int`.

## References

```
type 'a ref =
{ mutable contents : 'a ;
}
```

The type of references (mutable indirection cells) containing a value of type 'a.

```
val ref : 'a -> 'a ref
```

Return a fresh reference containing the given value.

```
val (!) : 'a ref -> 'a
```

`!r` returns the current contents of reference `r`. Equivalent to `fun r -> r.contents`. Unary operator, see `Ocaml_operators`[\[28.60\]](#) for more information.

```
val (:=) : 'a ref -> 'a -> unit
```

`r := a` stores the value of `a` in reference `r`. Equivalent to `fun r v -> r.contents <- v`. Right-associative operator, see `Ocaml_operators`[\[28.60\]](#) for more information.

```
val incr : int ref -> unit
```

Increment the integer contained in the given reference. Equivalent to `fun r -> r := succ !r`.

```
val decr : int ref -> unit
```

Decrement the integer contained in the given reference. Equivalent to `fun r -> r := pred !r`.

## Result type

```
type ('a, 'b) result =
| Ok of 'a
| Error of 'b
```

Since: 4.03

## Operations on format strings

Format strings are character strings with special lexical conventions that defines the functionality of formatted input/output functions. Format strings are used to read data with formatted input functions from module `Scanf`[\[27.2\]](#) and to print data with formatted output functions from modules `Printf`[\[27.2\]](#) and `Format`[\[27.2\]](#).

Format strings are made of three kinds of entities:

- *conversions specifications*, introduced by the special character '%' followed by one or more characters specifying what kind of argument to read or print,
- *formatting indications*, introduced by the special character '@' followed by one or more characters specifying how to read or print the argument,
- *plain characters* that are regular characters with usual lexical conventions. Plain characters specify string literals to be read in the input or printed in the output.

There is an additional lexical rule to escape the special characters '%' and '@' in format strings: if a special character follows a '%' character, it is treated as a plain character. In other words, "%" is considered as a plain '%' and "%@" as a plain '@'.

For more information about conversion specifications and formatting indications available, read the documentation of modules `Scanf`[\[27.2\]](#), `Printf`[\[27.2\]](#) and `Format`[\[27.2\]](#).

Format strings have a general and highly polymorphic type ('a, 'b, 'c, 'd, 'e, 'f) `format6`. The two simplified types, `format` and `format4` below are included for backward compatibility with earlier releases of OCaml.

The meaning of format string type parameters is as follows:

- 'a is the type of the parameters of the format for formatted output functions (`printf`-style functions); 'a is the type of the values read by the format for formatted input functions (`scanf`-style functions).
- 'b is the type of input source for formatted input functions and the type of output target for formatted output functions. For `printf`-style functions from module `Printf`[\[27.2\]](#), 'b is typically `out_channel`; for `printf`-style functions from module `Format`[\[27.2\]](#), 'b is typically `Format.formatter`[\[28.21\]](#); for `scanf`-style functions from module `Scanf`[\[27.2\]](#), 'b is typically `Scanf.Scanning.in_channel`[\[28.47\]](#).

Type argument 'b is also the type of the first argument given to user's defined printing functions for %a and %t conversions, and user's defined reading functions for %r conversion.

- 'c is the type of the result of the %a and %t printing functions, and also the type of the argument transmitted to the first argument of `kprintf`-style functions or to the `kscanf`-style functions.
- 'd is the type of parameters for the `scanf`-style functions.
- 'e is the type of the receiver function for the `scanf`-style functions.

- 'f' is the final result type of a formatted input/output function invocation: for the `printf`-style functions, it is typically `unit`; for the `scanf`-style functions, it is typically the result type of the receiver function.

```
type ('a, 'b, 'c, 'd, 'e, 'f) format6 = ('a, 'b, 'c, 'd, 'e, 'f) CamlinternalFormatBasics.format6
```

```
type ('a, 'b, 'c, 'd) format4 = ('a, 'b, 'c, 'c, 'c, 'd) format6
```

```
type ('a, 'b, 'c) format = ('a, 'b, 'c, 'c) format4
```

```
val string_of_format : ('a, 'b, 'c, 'd, 'e, 'f) format6 -> string
```

Converts a format string into a string.

```
val format_of_string :
```

```
('a, 'b, 'c, 'd, 'e, 'f) format6 ->
```

```
('a, 'b, 'c, 'd, 'e, 'f) format6
```

`format_of_string s` returns a format string read from the string literal `s`. Note:

`format_of_string` can not convert a string argument that is not a literal. If you need this functionality, use the more general `Scanf.format_from_string`[\[28.47\]](#) function.

```
val (^~) :
```

```
('a, 'b, 'c, 'd, 'e, 'f) format6 ->
```

```
('f, 'b, 'c, 'e, 'g, 'h) format6 ->
```

```
('a, 'b, 'c, 'd, 'g, 'h) format6
```

`f1 ^~ f2` catenates format strings `f1` and `f2`. The result is a format string that behaves as the concatenation of format strings `f1` and `f2`: in case of formatted output, it accepts arguments from `f1`, then arguments from `f2`; in case of formatted input, it returns results from `f1`, then results from `f2`. Right-associative operator, see `Ocaml_operators`[\[28.60\]](#) for more information.

## Program termination

```
val exit : int -> 'a
```

Terminate the process, returning the given status code to the operating system: usually 0 to indicate no errors, and a small positive integer to indicate failure. All open output channels are flushed with `flush_all`. The callbacks registered with `Domain.at_exit`[\[28.14\]](#) are called followed by those registered with `at_exit`[\[27.2\]](#).

An implicit `exit 0` is performed each time a program terminates normally. An implicit `exit 2` is performed if the program terminates early because of an uncaught exception.

```
val at_exit : (unit -> unit) -> unit
```

Register the given function to be called at program termination time. The functions registered with `at_exit` will be called when the program does any of the following:

- executes `exit`[\[27.2\]](#)
- terminates, either normally or because of an uncaught exception



- executes the C function `caml_shutdown`. The functions are called in 'last in, first out' order: the function most recently added with `at_exit` is called first.

## Standard library modules

```
module Arg :  
  Arg  
module Array :  
  Array  
module ArrayLabels :  
  ArrayLabels  
module Atomic :  
  Atomic  
module Bigarray :  
  Bigarray  
module Bool :  
  Bool  
module Buffer :  
  Buffer  
module Bytes :  
  Bytes  
module BytesLabels :  
  BytesLabels  
module Callback :  
  Callback  
module Char :  
  Char  
module Complex :  
  Complex  
module Condition :  
  Condition  
module Digest :  
  Digest  
module Domain :  
  Domain
```

**Alert unstable.** The Domain interface may change in incompatible ways in the future.

```
module Effect :  
  Effect
```

**Alert unstable.** The Effect interface may change in incompatible ways in the future.

```
module Either :
  Either
module Ephemeron :
  Ephemeron
module Filename :
  Filename
module Float :
  Float
module Format :
  Format
module Fun :
  Fun
module Gc :
  Gc
module Hashtbl :
  Hashtbl
module In_channel :
  In_channel
module Int :
  Int
module Int32 :
  Int32
module Int64 :
  Int64
module Lazy :
  Lazy
module Lexing :
  Lexing
module List :
  List
module ListLabels :
  ListLabels
module Map :
  Map
module Marshal :
  Marshal
module MoreLabels :
  MoreLabels
module Mutex :
  Mutex
module Nativeint :
```

```
Nativeint
module Obj :
  Obj
module Oo :
  Oo
module Option :
  Option
module Out_channel :
  Out_channel
module Parsing :
  Parsing
module Printexc :
  Printexc
module Printf :
  Printf
module Queue :
  Queue
module Random :
  Random
module Result :
  Result
module Scanf :
  Scanf
module Semaphore :
  Semaphore
module Seq :
  Seq
module Set :
  Set
module Stack :
  Stack
module StdLabels :
  StdLabels
module String :
  String
module StringLabels :
  StringLabels
module Sys :
  Sys
module Type :
  Type
```

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```
module Uchar :  
  Uchar
```

```
module Unit :  
  Unit
```

```
module Weak :  
  Weak
```

## Chapter 28

# The standard library

This chapter describes the functions provided by the OCaml standard library. The modules from the standard library are automatically linked with the user's object code files by the `ocamlc` command. Hence, these modules can be used in standalone programs without having to add any `.cmo` file on the command line for the linking phase. Similarly, in interactive use, these globals can be used in toplevel phrases without having to load any `.cmo` file in memory.

Unlike the core `Stdlib` module, submodules are not automatically “opened” when compilation starts, or when the toplevel system is launched. Hence it is necessary to use qualified identifiers to refer to the functions provided by these modules, or to add `open` directives.

### Conventions

For easy reference, the modules are listed below in alphabetical order of module names. For each module, the declarations from its signature are printed one by one in typewriter font, followed by a short comment. All modules and the identifiers they export are indexed at the end of this report.

### Overview

Here is a short listing, by theme, of the standard library modules.

**Data structures:**

String	p. <a href="#">839</a>	string operations
Bytes	p. <a href="#">576</a>	operations on byte sequences
Array	p. <a href="#">530</a>	array operations
List	p. <a href="#">719</a>	list operations
StdLabels	p. <a href="#">839</a>	labeled versions of the above 4 modules
Unit	p. <a href="#">870</a>	unit values
Bool	p. <a href="#">569</a>	boolean values
Char	p. <a href="#">604</a>	character operations
Uchar	p. <a href="#">867</a>	Unicode characters
Int	p. <a href="#">702</a>	integer values
Option	p. <a href="#">779</a>	option values
Result	p. <a href="#">804</a>	result values
Effect	p. <a href="#">613</a>	effect handlers
Either	p. <a href="#">616</a>	either values
Hashtbl	p. <a href="#">686</a>	hash tables and hash functions
Random	p. <a href="#">800</a>	pseudo-random number generator
Set	p. <a href="#">828</a>	sets over ordered types
Map	p. <a href="#">736</a>	association tables over ordered types
MoreLabels	p. <a href="#">746</a>	labeled versions of <code>Hashtbl</code> , <code>Set</code> , and <code>Map</code>
Oo	p. <a href="#">779</a>	useful functions on objects
Stack	p. <a href="#">837</a>	last-in first-out stacks
Queue	p. <a href="#">796</a>	first-in first-out queues
Buffer	p. <a href="#">571</a>	buffers that grow on demand
Seq	p. <a href="#">816</a>	functional iterators
Lazy	p. <a href="#">714</a>	delayed evaluation
Weak	p. <a href="#">870</a>	references that don't prevent objects from being garbage-collected
Atomic	p. <a href="#">545</a>	atomic references (for compatibility with concurrent runtimes)
Ephemeron	p. <a href="#">617</a>	ephemerons and weak hash tables
Bigarray	p. <a href="#">547</a>	large, multi-dimensional, numerical arrays

**Arithmetic:**

Complex	p. <a href="#">605</a>	complex numbers
Float	p. <a href="#">628</a>	floating-point numbers
Int32	p. <a href="#">705</a>	operations on 32-bit integers
Int64	p. <a href="#">709</a>	operations on 64-bit integers
Nativeint	p. <a href="#">774</a>	operations on platform-native integers

**input/output:**

In_channel	p. 698	input channels
Out_channel	p. 781	output channels
Format	p. 649	pretty printing with automatic indentation and line breaking
Marshal	p. 743	marshaling of data structures
Printf	p. 793	formatting printing functions
Scanf	p. 806	formatted input functions
Digest	p. 612	MD5 message digest

**Parsing:**

Lexing	p. 716	the run-time library for lexers generated by <code>ocamllex</code>
Parsing	p. 785	the run-time library for parsers generated by <code>ocamlyacc</code>

**System interface:**

Arg	p. 525	parsing of command line arguments
Callback	p. 603	registering OCaml functions to be called from C
Filename	p. 624	operations on file names
Gc	p. 677	memory management control and statistics
Printexc	p. 786	a catch-all exception handler
Sys	p. 857	system interface

**Multicore interface:**

Domain	p. 609	domain spawn and join
Mutex	p. 773	mutual exclusion locks
Condition	p. 607	condition variables
Semaphore	p. 835	semaphores
Effect	p. 613	deep and shallow effect handlers

**Misc:**

Fun	p. 676	function values
Type	p. 865	type introspection

**28.1 Module Arg : Parsing of command line arguments.**

This module provides a general mechanism for extracting options and arguments from the command line to the program. For example:

```
let usage_msg = "append [-verbose] <file1> [<file2>] ... -o <output>"
let verbose = ref false
let input_files = ref []
let output_file = ref ""
```

```

let anon_fun filename =
  input_files := filename::!input_files

let speclist =
  [("-verbose", Arg.Set verbose, "Output debug information");
   ("-o", Arg.Set_string output_file, "Set output file name")]

let () =
  Arg.parse speclist anon_fun usage_msg;
  (* Main functionality here *)

```

Syntax of command lines: A keyword is a character string starting with a `-`. An option is a keyword alone or followed by an argument. The types of keywords are: `Unit`, `Bool`, `Set`, `Clear`, `String`, `Set_string`, `Int`, `Set_int`, `Float`, `Set_float`, `Tuple`, `Symbol`, `Rest`, `Rest_all` and `Expand`.

`Unit`, `Set` and `Clear` keywords take no argument.

A `Rest` or `Rest_all` keyword takes the remainder of the command line as arguments. (More explanations below.)

Every other keyword takes the following word on the command line as argument. For compatibility with GNU `getopt_long`, `keyword=arg` is also allowed. Arguments not preceded by a keyword are called anonymous arguments.

Examples (`cmd` is assumed to be the command name):

- `cmd -flag` (a unit option)
- `cmd -int 1` (an int option with argument 1)
- `cmd -string foobar` (a string option with argument "foobar")
- `cmd -float 12.34` (a float option with argument 12.34)
- `cmd a b c` (three anonymous arguments: "a", "b", and "c")
- `cmd a b -- c d` (two anonymous arguments and a rest option with two arguments)

`Rest` takes a function that is called repeatedly for each remaining command line argument. `Rest_all` takes a function that is called once, with the list of all remaining arguments.

Note that if no arguments follow a `Rest` keyword then the function is not called at all whereas the function for a `Rest_all` keyword is called with an empty list.

**Alert `unsynchronized_access`.** The `Arg` module relies on a mutable global state, parsing functions should only be called from a single domain.

```

type spec =
  | Unit of (unit -> unit)
    Call the function with unit argument
  | Bool of (bool -> unit)
    Call the function with a bool argument

```



```

| Set of bool ref
    Set the reference to true

| Clear of bool ref
    Set the reference to false

| String of (string -> unit)
    Call the function with a string argument

| Set_string of string ref
    Set the reference to the string argument

| Int of (int -> unit)
    Call the function with an int argument

| Set_int of int ref
    Set the reference to the int argument

| Float of (float -> unit)
    Call the function with a float argument

| Set_float of float ref
    Set the reference to the float argument

| Tuple of spec list
    Take several arguments according to the spec list

| Symbol of string list * (string -> unit)
    Take one of the symbols as argument and call the function with the symbol

| Rest of (string -> unit)
    Stop interpreting keywords and call the function with each remaining argument

| Rest_all of (string list -> unit)
    Stop interpreting keywords and call the function with all remaining arguments

| Expand of (string -> string array)
    If the remaining arguments to process are of the form ["-foo"; "arg"] @ rest
    where "foo" is registered as Expand f, then the arguments f "arg" @ rest are
    processed. Only allowed in parse_and_expand_argv_dynamic.

```

The concrete type describing the behavior associated with a keyword.

```

type key = string
type doc = string
type usage_msg = string
type anon_fun = string -> unit
val parse : (key * spec * doc) list -> anon_fun -> usage_msg -> unit

```

`Arg.parse speclist anon_fun usage_msg` parses the command line. `speclist` is a list of triples (key, spec, doc). `key` is the option keyword, it must start with a '-' character. `spec` gives the option type and the function to call when this option is found on the command line. `doc` is a one-line description of this option. `anon_fun` is called on anonymous arguments. The functions in `spec` and `anon_fun` are called in the same order as their arguments appear on the command line.

If an error occurs, `Arg.parse` exits the program, after printing to standard error an error message as follows:

- The reason for the error: unknown option, invalid or missing argument, etc.
- `usage_msg`
- The list of options, each followed by the corresponding `doc` string. Beware: options that have an empty `doc` string will not be included in the list.

For the user to be able to specify anonymous arguments starting with a -, include for example ("-", `String anon_fun`, `doc`) in `speclist`.

By default, `parse` recognizes two unit options, `-help` and `--help`, which will print to standard output `usage_msg` and the list of options, and exit the program. You can override this behaviour by specifying your own `-help` and `--help` options in `speclist`.

```
val parse_dynamic :
  (key * spec * doc) list ref ->
  anon_fun -> usage_msg -> unit
```

Same as `Arg.parse`[\[28.1\]](#), except that the `speclist` argument is a reference and may be updated during the parsing. A typical use for this feature is to parse command lines of the form:

- command subcommand options where the list of options depends on the value of the subcommand argument.

**Since:** 4.01

```
val parse_argv :
  ?current:int ref ->
  string array ->
  (key * spec * doc) list -> anon_fun -> usage_msg -> unit
```

`Arg.parse_argv ~current args speclist anon_fun usage_msg` parses the array `args` as if it were the command line. It uses and updates the value of `~current` (if given), or `Arg.current`[\[28.1\]](#). You must set it before calling `parse_argv`. The initial value of `current` is the index of the program name (argument 0) in the array. If an error occurs, `Arg.parse_argv` raises `Arg.Bad`[\[28.1\]](#) with the error message as argument. If option `-help` or `--help` is given, `Arg.parse_argv` raises `Arg.Help`[\[28.1\]](#) with the help message as argument.

```
val parse_argv_dynamic :
  ?current:int ref ->
```

```
string array ->
(key * spec * doc) list ref ->
anon_fun -> string -> unit
```

Same as `Arg.parse_argv`[28.1], except that the `speclist` argument is a reference and may be updated during the parsing. See `Arg.parse_dynamic`[28.1].

**Since:** 4.01

```
val parse_and_expand_argv_dynamic :
  int ref ->
  string array ref ->
  (key * spec * doc) list ref ->
  anon_fun -> string -> unit
```

Same as `Arg.parse_argv_dynamic`[28.1], except that the `argv` argument is a reference and may be updated during the parsing of `Expand` arguments. See `Arg.parse_argv_dynamic`[28.1].

**Since:** 4.05

```
val parse_expand : (key * spec * doc) list -> anon_fun -> usage_msg -> unit
```

Same as `Arg.parse`[28.1], except that the `Expand` arguments are allowed and the `Arg.current`[28.1] reference is not updated.

**Since:** 4.05

```
exception Help of string
```

Raised by `Arg.parse_argv` when the user asks for help.

```
exception Bad of string
```

Functions in `spec` or `anon_fun` can raise `Arg.Bad` with an error message to reject invalid arguments. `Arg.Bad` is also raised by `Arg.parse_argv`[28.1] in case of an error.

```
val usage : (key * spec * doc) list -> usage_msg -> unit
```

`Arg.usage speclist usage_msg` prints to standard error an error message that includes the list of valid options. This is the same message that `Arg.parse`[28.1] prints in case of error. `speclist` and `usage_msg` are the same as for `Arg.parse`[28.1].

```
val usage_string : (key * spec * doc) list -> usage_msg -> string
```

Returns the message that would have been printed by `Arg.usage`[28.1], if provided with the same parameters.

```
val align :
```

```
  ?limit:int ->
```

```
  (key * spec * doc) list -> (key * spec * doc) list
```

Align the documentation strings by inserting spaces at the first alignment separator (tab or, if tab is not found, space), according to the length of the keyword. Use a alignment separator as the first character in a doc string if you want to align the whole string. The doc strings corresponding to `Symbol` arguments are aligned on the next line.

`val current : int ref`

Position (in `Sys.argv`[\[28.55\]](#)) of the argument being processed. You can change this value, e.g. to force `Arg.parse`[\[28.1\]](#) to skip some arguments. `Arg.parse`[\[28.1\]](#) uses the initial value of `Arg.current`[\[28.1\]](#) as the index of argument 0 (the program name) and starts parsing arguments at the next element.

`val read_arg : string -> string array`

`Arg.read_arg file` reads newline-terminated command line arguments from file `file`.

**Since:** 4.05

`val read_arg0 : string -> string array`

Identical to `Arg.read_arg`[\[28.1\]](#) but assumes null character terminated command line arguments.

**Since:** 4.05

`val write_arg : string -> string array -> unit`

`Arg.write_arg file args` writes the arguments `args` newline-terminated into the file `file`. If any of the arguments in `args` contains a newline, use `Arg.write_arg0`[\[28.1\]](#) instead.

**Since:** 4.05

`val write_arg0 : string -> string array -> unit`

Identical to `Arg.write_arg`[\[28.1\]](#) but uses the null character for terminator instead of newline.

**Since:** 4.05

## 28.2 Module Array : Array operations.

The labeled version of this module can be used as described in the `StdLabels`[\[28.52\]](#) module.

`type 'a t = 'a array`

An alias for the type of arrays.

`val length : 'a array -> int`

Return the length (number of elements) of the given array.

`val get : 'a array -> int -> 'a`

`get a n` returns the element number `n` of array `a`. The first element has number 0. The last element has number `length a - 1`. You can also write `a.(n)` instead of `get a n`.

**Raises** `Invalid_argument` if `n` is outside the range 0 to (`length a - 1`).

`val set : 'a array -> int -> 'a -> unit`

`set a n x` modifies array `a` in place, replacing element number `n` with `x`. You can also write `a.(n) <- x` instead of `set a n x`.

**Raises** `Invalid_argument` if `n` is outside the range 0 to `length a - 1`.

`val make : int -> 'a -> 'a array`

`make n x` returns a fresh array of length `n`, initialized with `x`. All the elements of this new array are initially physically equal to `x` (in the sense of the `==` predicate). Consequently, if `x` is mutable, it is shared among all elements of the array, and modifying `x` through one of the array entries will modify all other entries at the same time.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_array_length`. If the value of `x` is a floating-point number, then the maximum size is only `Sys.max_array_length / 2`.

`val create_float : int -> float array`

`create_float n` returns a fresh float array of length `n`, with uninitialized data.

**Since:** 4.03

`val init : int -> (int -> 'a) -> 'a array`

`init n f` returns a fresh array of length `n`, with element number `i` initialized to the result of `f i`. In other terms, `init n f` tabulates the results of `f` applied in order to the integers 0 to `n-1`.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_array_length`. If the return type of `f` is `float`, then the maximum size is only `Sys.max_array_length / 2`.

`val make_matrix : int -> int -> 'a -> 'a array array`

`make_matrix dimx dimy e` returns a two-dimensional array (an array of arrays) with first dimension `dimx` and second dimension `dimy`. All the elements of this new matrix are initially physically equal to `e`. The element `(x,y)` of a matrix `m` is accessed with the notation `m.(x).(y)`.

**Raises** `Invalid_argument` if `dimx` or `dimy` is negative or greater than `Sys.max_array_length`[\[28.55\]](#). If the value of `e` is a floating-point number, then the maximum size is only `Sys.max_array_length / 2`.

`val append : 'a array -> 'a array -> 'a array`

`append v1 v2` returns a fresh array containing the concatenation of the arrays `v1` and `v2`.

**Raises** `Invalid_argument` if `length v1 + length v2 > Sys.max_array_length`.

`val concat : 'a array list -> 'a array`

Same as `Array.append`[\[28.2\]](#), but concatenates a list of arrays.

`val sub : 'a array -> int -> int -> 'a array`

`sub a pos len` returns a fresh array of length `len`, containing the elements number `pos` to `pos + len - 1` of array `a`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid subarray of `a`; that is, if `pos < 0`, or `len < 0`, or `pos + len > length a`.

**val** `copy` : 'a array -> 'a array

`copy a` returns a copy of `a`, that is, a fresh array containing the same elements as `a`.

**val** `fill` : 'a array -> int -> int -> 'a -> unit

`fill a pos len x` modifies the array `a` in place, storing `x` in elements number `pos` to `pos + len - 1`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid subarray of `a`.

**val** `blit` : 'a array -> int -> 'a array -> int -> int -> unit

`blit src src_pos dst dst_pos len` copies `len` elements from array `src`, starting at element number `src_pos`, to array `dst`, starting at element number `dst_pos`. It works correctly even if `src` and `dst` are the same array, and the source and destination chunks overlap.

**Raises** `Invalid_argument` if `src_pos` and `len` do not designate a valid subarray of `src`, or if `dst_pos` and `len` do not designate a valid subarray of `dst`.

**val** `to_list` : 'a array -> 'a list

`to_list a` returns the list of all the elements of `a`.

**val** `of_list` : 'a list -> 'a array

`of_list l` returns a fresh array containing the elements of `l`.

**Raises** `Invalid_argument` if the length of `l` is greater than `Sys.max_array_length`.

## Iterators

**val** `iter` : ('a -> unit) -> 'a array -> unit

`iter f a` applies function `f` in turn to all the elements of `a`. It is equivalent to `f a.(0); f a.(1); ...; f a.(length a - 1); ()`.

**val** `iteri` : (int -> 'a -> unit) -> 'a array -> unit

Same as `Array.iter`[\[28.2\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

**val** `map` : ('a -> 'b) -> 'a array -> 'b array

`map f a` applies function `f` to all the elements of `a`, and builds an array with the results returned by `f`: `[| f a.(0); f a.(1); ...; f a.(length a - 1) |]`.

**val** `map_inplace` : ('a -> 'a) -> 'a array -> unit

`map_inplace f a` applies function `f` to all elements of `a`, and updates their values in place.

**Since:** 5.1

**val** `mapi` : (int -> 'a -> 'b) -> 'a array -> 'b array

Same as `Array.map`[\[28.2\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

```
val mapi_inplace : (int -> 'a -> 'a) -> 'a array -> unit
```

Same as `Array.map_inplace`[\[28.2\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

**Since:** 5.1

```
val fold_left : ('acc -> 'a -> 'acc) -> 'acc -> 'a array -> 'acc
```

`fold_left f init a` computes `f (... (f (f init a.(0)) a.(1)) ...) a.(n-1)`, where `n` is the length of the array `a`.

```
val fold_left_map :
```

```
('acc -> 'a -> 'acc * 'b) -> 'acc -> 'a array -> 'acc * 'b array
```

`fold_left_map` is a combination of `Array.fold_left`[\[28.2\]](#) and `Array.map`[\[28.2\]](#) that threads an accumulator through calls to `f`.

**Since:** 4.13

```
val fold_right : ('a -> 'acc -> 'acc) -> 'a array -> 'acc -> 'acc
```

`fold_right f a init` computes `f a.(0) (f a.(1) (... (f a.(n-1) init) ...))`, where `n` is the length of the array `a`.

## Iterators on two arrays

```
val iter2 : ('a -> 'b -> unit) -> 'a array -> 'b array -> unit
```

`iter2 f a b` applies function `f` to all the elements of `a` and `b`.

**Since:** 4.03 (4.05 in `ArrayLabels`)

**Raises** `Invalid_argument` if the arrays are not the same size.

```
val map2 : ('a -> 'b -> 'c) -> 'a array -> 'b array -> 'c array
```

`map2 f a b` applies function `f` to all the elements of `a` and `b`, and builds an array with the results returned by `f`: `[| f a.(0) b.(0); ...; f a.(length a - 1) b.(length b - 1) |]`.

**Since:** 4.03 (4.05 in `ArrayLabels`)

**Raises** `Invalid_argument` if the arrays are not the same size.

## Array scanning

```
val for_all : ('a -> bool) -> 'a array -> bool
```

`for_all f [|a1; ...; an|]` checks if all elements of the array satisfy the predicate `f`. That is, it returns `(f a1) && (f a2) && ... && (f an)`.

**Since:** 4.03

**val exists** : ('a -> bool) -> 'a array -> bool

**exists** *f* [*a*<sub>1</sub>; ...; *a*<sub>*n*</sub>] checks if at least one element of the array satisfies the predicate *f*. That is, it returns (*f* *a*<sub>1</sub>) || (*f* *a*<sub>2</sub>) || ... || (*f* *a*<sub>*n*</sub>).

**Since:** 4.03

**val for\_all2** : ('a -> 'b -> bool) -> 'a array -> 'b array -> bool

Same as `Array.for_all`[\[28.2\]](#), but for a two-argument predicate.

**Since:** 4.11

**Raises** `Invalid_argument` if the two arrays have different lengths.

**val exists2** : ('a -> 'b -> bool) -> 'a array -> 'b array -> bool

Same as `Array.exists`[\[28.2\]](#), but for a two-argument predicate.

**Since:** 4.11

**Raises** `Invalid_argument` if the two arrays have different lengths.

**val mem** : 'a -> 'a array -> bool

**mem** *a* **set** is true if and only if *a* is structurally equal to an element of *l* (i.e. there is an *x* in *l* such that `compare a x = 0`).

**Since:** 4.03

**val memq** : 'a -> 'a array -> bool

Same as `Array.mem`[\[28.2\]](#), but uses physical equality instead of structural equality to compare list elements.

**Since:** 4.03

**val find\_opt** : ('a -> bool) -> 'a array -> 'a option

**find\_opt** *f* *a* returns the first element of the array *a* that satisfies the predicate *f*, or `None` if there is no value that satisfies *f* in the array *a*.

**Since:** 4.13

**val find\_index** : ('a -> bool) -> 'a array -> int option

**find\_index** *f* *a* returns `Some i`, where *i* is the index of the first element of the array *a* that satisfies *f* *x*, if there is such an element.

It returns `None` if there is no such element.

**Since:** 5.1

**val find\_map** : ('a -> 'b option) -> 'a array -> 'b option

**find\_map** *f* *a* applies *f* to the elements of *a* in order, and returns the first result of the form `Some v`, or `None` if none exist.

**Since:** 4.13



```
val find_map1 : (int -> 'a -> 'b option) -> 'a array -> 'b option
```

Same as `find_map`, but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 5.1

## Arrays of pairs

```
val split : ('a * 'b) array -> 'a array * 'b array
```

`split [| (a1,b1); ...; (an,bn) |]` is `( [| a1; ...; an |], [| b1; ...; bn |] )`.

**Since:** 4.13

```
val combine : 'a array -> 'b array -> ('a * 'b) array
```

`combine [| a1; ...; an |] [| b1; ...; bn |]` is `[| (a1,b1); ...; (an,bn) |]`. Raise `Invalid_argument` if the two arrays have different lengths.

**Since:** 4.13

## Sorting

```
val sort : ('a -> 'a -> int) -> 'a array -> unit
```

Sort an array in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see below for a complete specification). For example, `compare`[\[27.2\]](#) is a suitable comparison function. After calling `sort`, the array is sorted in place in increasing order. `sort` is guaranteed to run in constant heap space and (at most) logarithmic stack space.

The current implementation uses Heap Sort. It runs in constant stack space.

Specification of the comparison function: Let `a` be the array and `cmp` the comparison function. The following must be true for all `x`, `y`, `z` in `a` :

- `cmp x y > 0` if and only if `cmp y x < 0`
- if `cmp x y ≥ 0` and `cmp y z ≥ 0` then `cmp x z ≥ 0`

When `sort` returns, `a` contains the same elements as before, reordered in such a way that for all `i` and `j` valid indices of `a` :

- `cmp a.(i) a.(j) ≥ 0` if and only if `i ≥ j`

```
val stable_sort : ('a -> 'a -> int) -> 'a array -> unit
```

Same as `Array.sort`[\[28.2\]](#), but the sorting algorithm is stable (i.e. elements that compare equal are kept in their original order) and not guaranteed to run in constant heap space.

The current implementation uses Merge Sort. It uses a temporary array of length `n/2`, where `n` is the length of the array. It is usually faster than the current implementation of `Array.sort`[\[28.2\]](#).

```
val fast_sort : ('a -> 'a -> int) -> 'a array -> unit
```

Same as `Array.sort`[\[28.2\]](#) or `Array.stable_sort`[\[28.2\]](#), whichever is faster on typical input.

## Arrays and Sequences

```
val to_seq : 'a array -> 'a Seq.t
```

Iterate on the array, in increasing order. Modifications of the array during iteration will be reflected in the sequence.

**Since:** 4.07

```
val to_seqi : 'a array -> (int * 'a) Seq.t
```

Iterate on the array, in increasing order, yielding indices along elements. Modifications of the array during iteration will be reflected in the sequence.

**Since:** 4.07

```
val of_seq : 'a Seq.t -> 'a array
```

Create an array from the generator

**Since:** 4.07

## Arrays and concurrency safety

Care must be taken when concurrently accessing arrays from multiple domains: accessing an array will never crash a program, but unsynchronized accesses might yield surprising (non-sequentially-consistent) results.

## Atomicity

Every array operation that accesses more than one array element is not atomic. This includes iteration, scanning, sorting, splitting and combining arrays.

For example, consider the following program:

```
let size = 100_000_000
let a = Array.make size 1
let d1 = Domain.spawn (fun () ->
  Array.iteri (fun i x -> a.(i) <- x + 1) a
)
let d2 = Domain.spawn (fun () ->
  Array.iteri (fun i x -> a.(i) <- 2 * x + 1) a
)
let () = Domain.join d1; Domain.join d2
```

After executing this code, each field of the array `a` is either 2, 3, 4 or 5. If atomicity is required, then the user must implement their own synchronization (for example, using `Mutex.t`[\[28.36\]](#)).

## Data races

If two domains only access disjoint parts of the array, then the observed behaviour is the equivalent to some sequential interleaving of the operations from the two domains.

A data race is said to occur when two domains access the same array element without synchronization and at least one of the accesses is a write. In the absence of data races, the observed behaviour is equivalent to some sequential interleaving of the operations from different domains.

Whenever possible, data races should be avoided by using synchronization to mediate the accesses to the array elements.

Indeed, in the presence of data races, programs will not crash but the observed behaviour may not be equivalent to any sequential interleaving of operations from different domains. Nevertheless, even in the presence of data races, a read operation will return the value of some prior write to that location (with a few exceptions for float arrays).

## Float arrays

Float arrays have two supplementary caveats in the presence of data races.

First, the blit operation might copy an array byte-by-byte. Data races between such a blit operation and another operation might produce surprising values due to tearing: partial writes interleaved with other operations can create float values that would not exist with a sequential execution.

For instance, at the end of

```
let zeros = Array.make size 0.
let max_floats = Array.make size Float.max_float
let res = Array.copy zeros
let d1 = Domain.spawn (fun () -> Array.blit zeros 0 res 0 size)
let d2 = Domain.spawn (fun () -> Array.blit max_floats 0 res 0 size)
let () = Domain.join d1; Domain.join d2
```

the `res` array might contain values that are neither `0.` nor `max_float`.

Second, on 32-bit architectures, getting or setting a field involves two separate memory accesses. In the presence of data races, the user may observe tearing on any operation.

## 28.3 Module `ArrayLabels` : Array operations.

The labeled version of this module can be used as described in the `StdLabels`[\[28.52\]](#) module.

```
type 'a t = 'a array
```

An alias for the type of arrays.

```
val length : 'a array -> int
```

Return the length (number of elements) of the given array.

```
val get : 'a array -> int -> 'a
```

`get a n` returns the element number `n` of array `a`. The first element has number 0. The last element has number `length a - 1`. You can also write `a.(n)` instead of `get a n`.

**Raises** `Invalid_argument` if `n` is outside the range 0 to `(length a - 1)`.

`val set : 'a array -> int -> 'a -> unit`

`set a n x` modifies array `a` in place, replacing element number `n` with `x`. You can also write `a.(n) <- x` instead of `set a n x`.

**Raises** `Invalid_argument` if `n` is outside the range 0 to `length a - 1`.

`val make : int -> 'a -> 'a array`

`make n x` returns a fresh array of length `n`, initialized with `x`. All the elements of this new array are initially physically equal to `x` (in the sense of the `==` predicate). Consequently, if `x` is mutable, it is shared among all elements of the array, and modifying `x` through one of the array entries will modify all other entries at the same time.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_array_length`. If the value of `x` is a floating-point number, then the maximum size is only `Sys.max_array_length / 2`.

`val create_float : int -> float array`

`create_float n` returns a fresh float array of length `n`, with uninitialized data.

**Since:** 4.03

`val init : int -> f:(int -> 'a) -> 'a array`

`init n ~f` returns a fresh array of length `n`, with element number `i` initialized to the result of `f i`. In other terms, `init n ~f` tabulates the results of `f` applied in order to the integers 0 to `n-1`.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_array_length`. If the return type of `f` is float, then the maximum size is only `Sys.max_array_length / 2`.

`val make_matrix : dimx:int -> dimy:int -> 'a -> 'a array array`

`make_matrix ~dimx ~dimy e` returns a two-dimensional array (an array of arrays) with first dimension `dimx` and second dimension `dimy`. All the elements of this new matrix are initially physically equal to `e`. The element `(x,y)` of a matrix `m` is accessed with the notation `m.(x).(y)`.

**Raises** `Invalid_argument` if `dimx` or `dimy` is negative or greater than `Sys.max_array_length`[\[28.55\]](#). If the value of `e` is a floating-point number, then the maximum size is only `Sys.max_array_length / 2`.

`val append : 'a array -> 'a array -> 'a array`

`append v1 v2` returns a fresh array containing the concatenation of the arrays `v1` and `v2`.

**Raises** `Invalid_argument` if `length v1 + length v2 > Sys.max_array_length`.

`val concat : 'a array list -> 'a array`

Same as `ArrayLabels.append`[\[28.3\]](#), but concatenates a list of arrays.

```
val sub : 'a array -> pos:int -> len:int -> 'a array
```

`sub a ~pos ~len` returns a fresh array of length `len`, containing the elements number `pos` to `pos + len - 1` of array `a`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid subarray of `a`; that is, if `pos < 0`, or `len < 0`, or `pos + len > length a`.

```
val copy : 'a array -> 'a array
```

`copy a` returns a copy of `a`, that is, a fresh array containing the same elements as `a`.

```
val fill : 'a array -> pos:int -> len:int -> 'a -> unit
```

`fill a ~pos ~len x` modifies the array `a` in place, storing `x` in elements number `pos` to `pos + len - 1`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid subarray of `a`.

```
val blit :
```

```
src:'a array -> src_pos:int -> dst:'a array -> dst_pos:int -> len:int -> unit
```

`blit ~src ~src_pos ~dst ~dst_pos ~len` copies `len` elements from array `src`, starting at element number `src_pos`, to array `dst`, starting at element number `dst_pos`. It works correctly even if `src` and `dst` are the same array, and the source and destination chunks overlap.

**Raises** `Invalid_argument` if `src_pos` and `len` do not designate a valid subarray of `src`, or if `dst_pos` and `len` do not designate a valid subarray of `dst`.

```
val to_list : 'a array -> 'a list
```

`to_list a` returns the list of all the elements of `a`.

```
val of_list : 'a list -> 'a array
```

`of_list l` returns a fresh array containing the elements of `l`.

**Raises** `Invalid_argument` if the length of `l` is greater than `Sys.max_array_length`.

## Iterators

```
val iter : f:(('a -> unit) -> 'a array -> unit
```

`iter ~f a` applies function `f` in turn to all the elements of `a`. It is equivalent to `f a.(0); f a.(1); ...; f a.(length a - 1); ()`.

```
val iteri : f:(int -> 'a -> unit) -> 'a array -> unit
```

Same as `ArrayLabels.iter`[\[28.3\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

```
val map : f:(('a -> 'b) -> 'a array -> 'b array
```

`map ~f a` applies function `f` to all the elements of `a`, and builds an array with the results returned by `f`: `[| f a.(0); f a.(1); ...; f a.(length a - 1) |]`.

`val map_inplace : f:('a -> 'a) -> 'a array -> unit`

`map_inplace ~f a` applies function `f` to all elements of `a`, and updates their values in place.

**Since:** 5.1

`val mapi : f:(int -> 'a -> 'b) -> 'a array -> 'b array`

Same as `ArrayLabels.map`[\[28.3\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

`val mapi_inplace : f:(int -> 'a -> 'a) -> 'a array -> unit`

Same as `ArrayLabels.map_inplace`[\[28.3\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

**Since:** 5.1

`val fold_left : f:('acc -> 'a -> 'acc) -> init:'acc -> 'a array -> 'acc`

`fold_left ~f ~init a` computes `f (... (f (f init a.(0)) a.(1)) ...) a.(n-1)`, where `n` is the length of the array `a`.

`val fold_left_map :`

`f:('acc -> 'a -> 'acc * 'b) -> init:'acc -> 'a array -> 'acc * 'b array`

`fold_left_map` is a combination of `ArrayLabels.fold_left`[\[28.3\]](#) and `ArrayLabels.map`[\[28.3\]](#) that threads an accumulator through calls to `f`.

**Since:** 4.13

`val fold_right : f:('a -> 'acc -> 'acc) -> 'a array -> init:'acc -> 'acc`

`fold_right ~f a ~init` computes `f a.(0) (f a.(1) (... (f a.(n-1) init) ...))`, where `n` is the length of the array `a`.

## Iterators on two arrays

`val iter2 : f:('a -> 'b -> unit) -> 'a array -> 'b array -> unit`

`iter2 ~f a b` applies function `f` to all the elements of `a` and `b`.

**Since:** 4.05

**Raises** `Invalid_argument` if the arrays are not the same size.

`val map2 : f:('a -> 'b -> 'c) -> 'a array -> 'b array -> 'c array`

`map2 ~f a b` applies function `f` to all the elements of `a` and `b`, and builds an array with the results returned by `f`: `[| f a.(0) b.(0); ...; f a.(length a - 1) b.(length b - 1) |]`.

**Since:** 4.05

**Raises** `Invalid_argument` if the arrays are not the same size.

**Array scanning**

**val** `for_all` : `f:('a -> bool) -> 'a array -> bool`

`for_all ~f [|a1; ...; an|]` checks if all elements of the array satisfy the predicate `f`. That is, it returns `(f a1) && (f a2) && ... && (f an)`.

**Since:** 4.03

**val** `exists` : `f:('a -> bool) -> 'a array -> bool`

`exists ~f [|a1; ...; an|]` checks if at least one element of the array satisfies the predicate `f`. That is, it returns `(f a1) || (f a2) || ... || (f an)`.

**Since:** 4.03

**val** `for_all2` : `f:('a -> 'b -> bool) -> 'a array -> 'b array -> bool`

Same as `ArrayLabels.for_all`[\[28.3\]](#), but for a two-argument predicate.

**Since:** 4.11

**Raises** `Invalid_argument` if the two arrays have different lengths.

**val** `exists2` : `f:('a -> 'b -> bool) -> 'a array -> 'b array -> bool`

Same as `ArrayLabels.exists`[\[28.3\]](#), but for a two-argument predicate.

**Since:** 4.11

**Raises** `Invalid_argument` if the two arrays have different lengths.

**val** `mem` : `'a -> set:'a array -> bool`

`mem a ~set` is true if and only if `a` is structurally equal to an element of `l` (i.e. there is an `x` in `l` such that `compare a x = 0`).

**Since:** 4.03

**val** `memq` : `'a -> set:'a array -> bool`

Same as `ArrayLabels.mem`[\[28.3\]](#), but uses physical equality instead of structural equality to compare list elements.

**Since:** 4.03

**val** `find_opt` : `f:('a -> bool) -> 'a array -> 'a option`

`find_opt ~f a` returns the first element of the array `a` that satisfies the predicate `f`, or `None` if there is no value that satisfies `f` in the array `a`.

**Since:** 4.13

**val** `find_index` : `f:('a -> bool) -> 'a array -> int option`

`find_index ~f a` returns `Some i`, where `i` is the index of the first element of the array `a` that satisfies `f x`, if there is such an element.

It returns `None` if there is no such element.

**Since:** 5.1

```
val find_map : f:('a -> 'b option) -> 'a array -> 'b option
```

`find_map ~f a` applies `f` to the elements of `a` in order, and returns the first result of the form `Some v`, or `None` if none exist.

**Since:** 4.13

```
val find_map1 : f:(int -> 'a -> 'b option) -> 'a array -> 'b option
```

Same as `find_map`, but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 5.1

## Arrays of pairs

```
val split : ('a * 'b) array -> 'a array * 'b array
```

`split [| (a1,b1); ...; (an,bn) |]` is `( [| a1; ...; an |], [| b1; ...; bn |] )`.

**Since:** 4.13

```
val combine : 'a array -> 'b array -> ('a * 'b) array
```

`combine [| a1; ...; an |] [| b1; ...; bn |]` is `[| (a1,b1); ...; (an,bn) |]`. Raise `Invalid_argument` if the two arrays have different lengths.

**Since:** 4.13

## Sorting

```
val sort : cmp:('a -> 'a -> int) -> 'a array -> unit
```

Sort an array in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see below for a complete specification). For example, `compare`[\[27.2\]](#) is a suitable comparison function. After calling `sort`, the array is sorted in place in increasing order. `sort` is guaranteed to run in constant heap space and (at most) logarithmic stack space.

The current implementation uses Heap Sort. It runs in constant stack space.

Specification of the comparison function: Let `a` be the array and `cmp` the comparison function. The following must be true for all `x`, `y`, `z` in `a` :

- `cmp x y > 0` if and only if `cmp y x < 0`
- if `cmp x y ≥ 0` and `cmp y z ≥ 0` then `cmp x z ≥ 0`

When `sort` returns, `a` contains the same elements as before, reordered in such a way that for all `i` and `j` valid indices of `a` :

- `cmp a.(i) a.(j) ≥ 0` if and only if `i ≥ j`



```
val stable_sort : cmp:('a -> 'a -> int) -> 'a array -> unit
```

Same as `ArrayLabels.sort`[\[28.3\]](#), but the sorting algorithm is stable (i.e. elements that compare equal are kept in their original order) and not guaranteed to run in constant heap space.

The current implementation uses Merge Sort. It uses a temporary array of length  $n/2$ , where  $n$  is the length of the array. It is usually faster than the current implementation of `ArrayLabels.sort`[\[28.3\]](#).

```
val fast_sort : cmp:('a -> 'a -> int) -> 'a array -> unit
```

Same as `ArrayLabels.sort`[\[28.3\]](#) or `ArrayLabels.stable_sort`[\[28.3\]](#), whichever is faster on typical input.

## Arrays and Sequences

```
val to_seq : 'a array -> 'a Seq.t
```

Iterate on the array, in increasing order. Modifications of the array during iteration will be reflected in the sequence.

**Since:** 4.07

```
val to_seqi : 'a array -> (int * 'a) Seq.t
```

Iterate on the array, in increasing order, yielding indices along elements. Modifications of the array during iteration will be reflected in the sequence.

**Since:** 4.07

```
val of_seq : 'a Seq.t -> 'a array
```

Create an array from the generator

**Since:** 4.07

## Arrays and concurrency safety

Care must be taken when concurrently accessing arrays from multiple domains: accessing an array will never crash a program, but unsynchronized accesses might yield surprising (non-sequentially-consistent) results.

## Atomicity

Every array operation that accesses more than one array element is not atomic. This includes iteration, scanning, sorting, splitting and combining arrays.

For example, consider the following program:

```
let size = 100_000_000
let a = ArrayLabels.make size 1
let d1 = Domain.spawn (fun () ->
```

```

    ArrayLabels.iteri ~f:(fun i x -> a.(i) <- x + 1) a
  )
let d2 = Domain.spawn (fun () ->
  ArrayLabels.iteri ~f:(fun i x -> a.(i) <- 2 * x + 1) a
)
let () = Domain.join d1; Domain.join d2

```

After executing this code, each field of the array `a` is either 2, 3, 4 or 5. If atomicity is required, then the user must implement their own synchronization (for example, using `Mutex.t` [\[28.36\]](#)).

## Data races

If two domains only access disjoint parts of the array, then the observed behaviour is the equivalent to some sequential interleaving of the operations from the two domains.

A data race is said to occur when two domains access the same array element without synchronization and at least one of the accesses is a write. In the absence of data races, the observed behaviour is equivalent to some sequential interleaving of the operations from different domains.

Whenever possible, data races should be avoided by using synchronization to mediate the accesses to the array elements.

Indeed, in the presence of data races, programs will not crash but the observed behaviour may not be equivalent to any sequential interleaving of operations from different domains. Nevertheless, even in the presence of data races, a read operation will return the value of some prior write to that location (with a few exceptions for float arrays).

## Float arrays

Float arrays have two supplementary caveats in the presence of data races.

First, the blit operation might copy an array byte-by-byte. Data races between such a blit operation and another operation might produce surprising values due to tearing: partial writes interleaved with other operations can create float values that would not exist with a sequential execution.

For instance, at the end of

```

let zeros = Array.make size 0.
let max_floats = Array.make size Float.max_float
let res = Array.copy zeros
let d1 = Domain.spawn (fun () -> Array.blit zeros 0 res 0 size)
let d2 = Domain.spawn (fun () -> Array.blit max_floats 0 res 0 size)
let () = Domain.join d1; Domain.join d2

```

the `res` array might contain values that are neither `0.` nor `max_float.`

Second, on 32-bit architectures, getting or setting a field involves two separate memory accesses. In the presence of data races, the user may observe tearing on any operation.

## 28.4 Module Atomic : Atomic references.

See the examples[\[28.44\]](#) below. See 'Memory model: The hard bits' chapter in the manual.

**Since:** 4.12

**type** `'a t`

An atomic (mutable) reference to a value of type `'a`.

**val** `make : 'a -> 'a t`

Create an atomic reference.

**val** `get : 'a t -> 'a`

Get the current value of the atomic reference.

**val** `set : 'a t -> 'a -> unit`

Set a new value for the atomic reference.

**val** `exchange : 'a t -> 'a -> 'a`

Set a new value for the atomic reference, and return the current value.

**val** `compare_and_set : 'a t -> 'a -> 'a -> bool`

`compare_and_set r seen v` sets the new value of `r` to `v` only if its current value is physically equal to `seen` – the comparison and the set occur atomically. Returns `true` if the comparison succeeded (so the set happened) and `false` otherwise.

**val** `fetch_and_add : int t -> int -> int`

`fetch_and_add r n` atomically increments the value of `r` by `n`, and returns the current value (before the increment).

**val** `incr : int t -> unit`

`incr r` atomically increments the value of `r` by 1.

**val** `decr : int t -> unit`

`decr r` atomically decrements the value of `r` by 1.

### Examples

#### Basic Thread Coordination

A basic use case is to have global counters that are updated in a thread-safe way, for example to keep some sorts of metrics over IOs performed by the program. Another basic use case is to coordinate the termination of threads in a given program, for example when one thread finds an answer, or when the program is shut down by the user.

Here, for example, we're going to try to find a number whose hash satisfies a basic property. To do that, we'll run multiple threads which will try random numbers until they find one that works.

Of course the output below is a sample run and will change every time the program is run.

```
(* use for termination *)
let stop_all_threads = Atomic.make false

(* total number of individual attempts to find a number *)
let num_attempts = Atomic.make 0

(* find a number that satisfies [p], by... trying random numbers
   until one fits. *)
let find_number_where (p:int -> bool) =
  let rand = Random.State.make_self_init() in
  while not (Atomic.get stop_all_threads) do

    let n = Random.State.full_int rand max_int in
    ignore (Atomic.fetch_and_add num_attempts 1 : int);

    if p (Hashtbl.hash n) then (
      Printf.printf "found %d (hash=%d)\n%!" n (Hashtbl.hash n);
      Atomic.set stop_all_threads true; (* signal all threads to stop *)
    )
  done;;

(* run multiple domains to search for a [n] where [hash n <= 100] *)
let () =
  let criterion n = n <= 100 in
  let threads =
    Array.init 8
      (fun _ -> Domain.spawn (fun () -> find_number_where criterion))
  in
  Array.iter Domain.join threads;
  Printf.printf "total number of attempts: %d\n%!"
    (Atomic.get num_attempts) ;;

- : unit = ()
found 1651745641680046833 (hash=33)
total number of attempts: 30230350
```

## Treiber Stack

Another example is a basic Treiber stack[\[https://en.wikipedia.org/wiki/Treiber\\_stack\]](https://en.wikipedia.org/wiki/Treiber_stack) (a thread-safe stack) that can be safely shared between threads.

Note how both `push` and `pop` are recursive, because they attempt to swap the new stack (with

one more, or one fewer, element) with the old stack. This is optimistic concurrency: each iteration of, say, `push stack x` gets the old stack `l`, and hopes that by the time it tries to replace `l` with `x::l`, nobody else has had time to modify the list. If the `compare_and_set` fails it means we were too optimistic, and must try again.

```

type 'a stack = 'a list Atomic.t

let rec push (stack: _ stack) elt : unit =
  let cur = Atomic.get stack in
  let success = Atomic.compare_and_set stack cur (elt :: cur) in
  if not success then
    push stack elt

let rec pop (stack: _ stack) : _ option =
  let cur = Atomic.get stack in
  match cur with
  | [] -> None
  | x :: tail ->
    let success = Atomic.compare_and_set stack cur tail in
    if success then Some x
    else pop stack

# let st = Atomic.make []
# push st 1
- : unit = ()
# push st 2
- : unit = ()
# pop st
- : int option = Some 2
# pop st
- : int option = Some 1
# pop st
- : int option = None

```

## 28.5 Module Bigarray : Large, multi-dimensional, numerical arrays.

This module implements multi-dimensional arrays of integers and floating-point numbers, thereafter referred to as 'Bigarrays', to distinguish them from the standard OCaml arrays described in [Array\[28.2\]](#).

The implementation allows efficient sharing of large numerical arrays between OCaml code and C or Fortran numerical libraries.

The main differences between 'Bigarrays' and standard OCaml arrays are as follows:

- Bigarrays are not limited in size, unlike OCaml arrays. (Normal float arrays are limited to 2,097,151 elements on a 32-bit platform, and normal arrays of other types to 4,194,303 elements.)
- Bigarrays are multi-dimensional. Any number of dimensions between 0 and 16 is supported. In contrast, OCaml arrays are mono-dimensional and require encoding multi-dimensional arrays as arrays of arrays.
- Bigarrays can only contain integers and floating-point numbers, while OCaml arrays can contain arbitrary OCaml data types.
- Bigarrays provide more space-efficient storage of integer and floating-point elements than normal OCaml arrays, in particular because they support 'small' types such as single-precision floats and 8 and 16-bit integers, in addition to the standard OCaml types of double-precision floats and 32 and 64-bit integers.
- The memory layout of Bigarrays is entirely compatible with that of arrays in C and Fortran, allowing large arrays to be passed back and forth between OCaml code and C / Fortran code with no data copying at all.
- Bigarrays support interesting high-level operations that normal arrays do not provide efficiently, such as extracting sub-arrays and 'slicing' a multi-dimensional array along certain dimensions, all without any copying.

Users of this module are encouraged to do `open Bigarray` in their source, then refer to array types and operations via short dot notation, e.g. `Array1.t` or `Array2.sub`.

Bigarrays support all the OCaml ad-hoc polymorphic operations:

- comparisons (`=`, `<`, `<=`, etc, as well as `compare`[\[27.2\]](#));
- hashing (module `Hash`);
- and structured input-output (the functions from the `Marshal`[\[28.34\]](#) module, as well as `output_value`[\[27.2\]](#) and `input_value`[\[27.2\]](#)).

## Element kinds

Bigarrays can contain elements of the following kinds:

- IEEE single precision (32 bits) floating-point numbers (`Bigarray.float32_elt`[\[28.5\]](#)),
- IEEE double precision (64 bits) floating-point numbers (`Bigarray.float64_elt`[\[28.5\]](#)),
- IEEE single precision (2 \* 32 bits) floating-point complex numbers (`Bigarray.complex32_elt`[\[28.5\]](#)),
- IEEE double precision (2 \* 64 bits) floating-point complex numbers (`Bigarray.complex64_elt`[\[28.5\]](#)),

- 8-bit integers (signed or unsigned) (`Bigarray.int8_signed_elt`[\[28.5\]](#) or `Bigarray.int8_unsigned_elt`[\[28.5\]](#)),
- 16-bit integers (signed or unsigned) (`Bigarray.int16_signed_elt`[\[28.5\]](#) or `Bigarray.int16_unsigned_elt`[\[28.5\]](#)),
- OCaml integers (signed, 31 bits on 32-bit architectures, 63 bits on 64-bit architectures) (`Bigarray.int_elt`[\[28.5\]](#)),
- 32-bit signed integers (`Bigarray.int32_elt`[\[28.5\]](#)),
- 64-bit signed integers (`Bigarray.int64_elt`[\[28.5\]](#)),
- platform-native signed integers (32 bits on 32-bit architectures, 64 bits on 64-bit architectures) (`Bigarray.nativeint_elt`[\[28.5\]](#)).

Each element kind is represented at the type level by one of the `*_elt` types defined below (defined with a single constructor instead of abstract types for technical injectivity reasons).

```

type float32_elt =
  | Float32_elt
type float64_elt =
  | Float64_elt
type int8_signed_elt =
  | Int8_signed_elt
type int8_unsigned_elt =
  | Int8_unsigned_elt
type int16_signed_elt =
  | Int16_signed_elt
type int16_unsigned_elt =
  | Int16_unsigned_elt
type int32_elt =
  | Int32_elt
type int64_elt =
  | Int64_elt
type int_elt =
  | Int_elt
type nativeint_elt =
  | Nativeint_elt
type complex32_elt =
  | Complex32_elt
type complex64_elt =
  | Complex64_elt
type ('a, 'b) kind =
  | Float32 : (float, float32_elt) kind
  | Float64 : (float, float64_elt) kind

```

```

| Int8_signed : (int, int8_signed_elt) kind
| Int8_unsigned : (int, int8_unsigned_elt) kind
| Int16_signed : (int, int16_signed_elt) kind
| Int16_unsigned : (int, int16_unsigned_elt) kind
| Int32 : (int32, int32_elt) kind
| Int64 : (int64, int64_elt) kind
| Int : (int, int_elt) kind
| Nativeint : (nativeint, nativeint_elt) kind
| Complex32 : (Complex.t, complex32_elt) kind
| Complex64 : (Complex.t, complex64_elt) kind
| Char : (char, int8_unsigned_elt) kind

```

To each element kind is associated an OCaml type, which is the type of OCaml values that can be stored in the Bigarray or read back from it. This type is not necessarily the same as the type of the array elements proper: for instance, a Bigarray whose elements are of kind `float32_elt` contains 32-bit single precision floats, but reading or writing one of its elements from OCaml uses the OCaml type `float`, which is 64-bit double precision floats.

The GADT type `('a, 'b) kind` captures this association of an OCaml type `'a` for values read or written in the Bigarray, and of an element kind `'b` which represents the actual contents of the Bigarray. Its constructors list all possible associations of OCaml types with element kinds, and are re-exported below for backward-compatibility reasons.

Using a generalized algebraic datatype (GADT) here allows writing well-typed polymorphic functions whose return type depend on the argument type, such as:

```

let zero : type a b. (a, b) kind -> a = function
  | Float32 -> 0.0 | Complex32 -> Complex.zero
  | Float64 -> 0.0 | Complex64 -> Complex.zero
  | Int8_signed -> 0 | Int8_unsigned -> 0
  | Int16_signed -> 0 | Int16_unsigned -> 0
  | Int32 -> 0l | Int64 -> 0L
  | Int -> 0 | Nativeint -> 0n
  | Char -> '\000'

```

```
val float32 : (float, float32_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val float64 : (float, float64_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val complex32 : (Complex.t, complex32_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val complex64 : (Complex.t, complex64_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).



```
val int8_signed : (int, int8_signed_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val int8_unsigned : (int, int8_unsigned_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val int16_signed : (int, int16_signed_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val int16_unsigned : (int, int16_unsigned_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val int : (int, int_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val int32 : (int32, int32_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val int64 : (int64, int64_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val nativeint : (nativeint, nativeint_elt) kind
```

See `Bigarray.char`[\[28.5\]](#).

```
val char : (char, int8_unsigned_elt) kind
```

As shown by the types of the values above, Bigarrays of kind `float32_elt` and `float64_elt` are accessed using the OCaml type `float`. Bigarrays of complex kinds `complex32_elt`, `complex64_elt` are accessed with the OCaml type `Complex.t`[\[28.12\]](#). Bigarrays of integer kinds are accessed using the smallest OCaml integer type large enough to represent the array elements: `int` for 8- and 16-bit integer Bigarrays, as well as OCaml-integer Bigarrays; `int32` for 32-bit integer Bigarrays; `int64` for 64-bit integer Bigarrays; and `nativeint` for platform-native integer Bigarrays. Finally, Bigarrays of kind `int8_unsigned_elt` can also be accessed as arrays of characters instead of arrays of small integers, by using the kind value `char` instead of `int8_unsigned`.

```
val kind_size_in_bytes : ('a, 'b) kind -> int
```

`kind_size_in_bytes k` is the number of bytes used to store an element of type `k`.

**Since:** 4.03

## Array layouts

```
type c_layout =
  | C_layout_typ
  See Bigarray.fortran_layout[28.5].
```

```
type fortran_layout =
  | Fortran_layout_typ
```

To facilitate interoperability with existing C and Fortran code, this library supports two different memory layouts for Bigarrays, one compatible with the C conventions, the other compatible with the Fortran conventions.

In the C-style layout, array indices start at 0, and multi-dimensional arrays are laid out in row-major format. That is, for a two-dimensional array, all elements of row 0 are contiguous in memory, followed by all elements of row 1, etc. In other terms, the array elements at  $(x, y)$  and  $(x, y+1)$  are adjacent in memory.

In the Fortran-style layout, array indices start at 1, and multi-dimensional arrays are laid out in column-major format. That is, for a two-dimensional array, all elements of column 0 are contiguous in memory, followed by all elements of column 1, etc. In other terms, the array elements at  $(x, y)$  and  $(x+1, y)$  are adjacent in memory.

Each layout style is identified at the type level by the phantom types `Bigarray.c_layout[28.5]` and `Bigarray.fortran_layout[28.5]` respectively.

## Supported layouts

The GADT type `'a layout` represents one of the two supported memory layouts: C-style or Fortran-style. Its constructors are re-exported as values below for backward-compatibility reasons.

```
type 'a layout =
  | C_layout : c_layout layout
  | Fortran_layout : fortran_layout layout
val c_layout : c_layout layout
val fortran_layout : fortran_layout layout
```

## Generic arrays (of arbitrarily many dimensions)

```
module Genarray :
  sig
    type ('!a, '!b, '!c) t
```

The type `Genarray.t` is the type of Bigarrays with variable numbers of dimensions. Any number of dimensions between 0 and 16 is supported.

The three type parameters to `Genarray.t` identify the array element kind and layout, as follows:

- the first parameter, 'a, is the OCaml type for accessing array elements (`float`, `int`, `int32`, `int64`, `nativeint`);
- the second parameter, 'b, is the actual kind of array elements (`float32_elt`, `float64_elt`, `int8_signed_elt`, `int8_unsigned_elt`, etc);
- the third parameter, 'c, identifies the array layout (`c_layout` or `fortran_layout`).

For instance, (`float`, `float32_elt`, `fortran_layout`) `Genarray.t` is the type of generic Bigarrays containing 32-bit floats in Fortran layout; reads and writes in this array use the OCaml type `float`.

```
val create :
  ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout -> int array -> ('a, 'b, 'c) t
```

`Genarray.create kind layout dimensions` returns a new Bigarray whose element kind is determined by the parameter `kind` (one of `float32`, `float64`, `int8_signed`, etc) and whose layout is determined by the parameter `layout` (one of `c_layout` or `fortran_layout`). The `dimensions` parameter is an array of integers that indicate the size of the Bigarray in each dimension. The length of `dimensions` determines the number of dimensions of the Bigarray.

For instance, `Genarray.create int32 c_layout [|4;6;8|]` returns a fresh Bigarray of 32-bit integers, in C layout, having three dimensions, the three dimensions being 4, 6 and 8 respectively.

Bigarrays returned by `Genarray.create` are not initialized: the initial values of array elements is unspecified.

`Genarray.create` raises `Invalid_argument` if the number of dimensions is not in the range 0 to 16 inclusive, or if one of the dimensions is negative.

```
val init :
  ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout ->
  int array -> (int array -> 'a) -> ('a, 'b, 'c) t
```

`Genarray.init kind layout dimensions f` returns a new Bigarray `b` whose element kind is determined by the parameter `kind` (one of `float32`, `float64`, `int8_signed`, etc) and whose layout is determined by the parameter `layout` (one of `c_layout` or `fortran_layout`). The `dimensions` parameter is an array of integers that indicate the size of the Bigarray in each dimension. The length of `dimensions` determines the number of dimensions of the Bigarray.

Each element `Genarray.get b i` is initialized to the result of `f i`. In other words, `Genarray.init kind layout dimensions f` tabulates the results of `f` applied to the indices of a new Bigarray whose layout is described by `kind`, `layout` and `dimensions`. The index array `i` may be shared and mutated between calls to `f`.

For instance, `Genarray.init int c_layout [|2; 1; 3|] (Array.fold_left (+) 0)` returns a fresh Bigarray of integers, in C layout, having three dimensions (2, 1, 3, respectively), with the element values 0, 1, 2, 1, 2, 3.

`Genarray.init` raises `Invalid_argument` if the number of dimensions is not in the range 0 to 16 inclusive, or if one of the dimensions is negative.

**Since:** 4.12

```
val num_dims : ('a, 'b, 'c) t -> int
```

Return the number of dimensions of the given Bigarray.

```
val dims : ('a, 'b, 'c) t -> int array
```

`Genarray.dims a` returns all dimensions of the Bigarray `a`, as an array of integers of length `Genarray.num_dims a`.

```
val nth_dim : ('a, 'b, 'c) t -> int -> int
```

`Genarray.nth_dim a n` returns the `n`-th dimension of the Bigarray `a`. The first dimension corresponds to `n = 0`; the second dimension corresponds to `n = 1`; the last dimension, to `n = Genarray.num_dims a - 1`.

**Raises** `Invalid_argument` if `n` is less than 0 or greater or equal than `Genarray.num_dims a`.

```
val kind : ('a, 'b, 'c) t -> ('a, 'b) Bigarray.kind
```

Return the kind of the given Bigarray.

```
val layout : ('a, 'b, 'c) t -> 'c Bigarray.layout
```

Return the layout of the given Bigarray.

```
val change_layout : ('a, 'b, 'c) t ->
  'd Bigarray.layout -> ('a, 'b, 'd) t
```

`Genarray.change_layout a layout` returns a Bigarray with the specified `layout`, sharing the data with `a` (and hence having the same dimensions as `a`). No copying of elements is involved: the new array and the original array share the same storage space. The dimensions are reversed, such that `get v [| a; b |]` in C layout becomes `get v [| b+1; a+1 |]` in Fortran layout.

**Since:** 4.04

```
val size_in_bytes : ('a, 'b, 'c) t -> int
```

`size_in_bytes a` is the number of elements in `a` multiplied by `a's Bigarray.kind_size_in_bytes`[\[28.5\]](#).

**Since:** 4.03

```
val get : ('a, 'b, 'c) t -> int array -> 'a
```

Read an element of a generic Bigarray. `Genarray.get a [|i1; ...; iN|]` returns the element of `a` whose coordinates are `i1` in the first dimension, `i2` in the second dimension, ..., `iN` in the `N`-th dimension.

If `a` has C layout, the coordinates must be greater or equal than 0 and strictly less than the corresponding dimensions of `a`. If `a` has Fortran layout, the coordinates must be greater or equal than 1 and less or equal than the corresponding dimensions of `a`.

If `N > 3`, alternate syntax is provided: you can write `a.{i1, i2, ..., iN}` instead of `Genarray.get a [|i1; ...; iN|]`. (The syntax `a.{...}` with one, two or three coordinates is reserved for accessing one-, two- and three-dimensional arrays as described below.)

**Raises `Invalid_argument`** if the array `a` does not have exactly `N` dimensions, or if the coordinates are outside the array bounds.

```
val set : ('a, 'b, 'c) t -> int array -> 'a -> unit
```

Assign an element of a generic Bigarray. `Genarray.set a [|i1; ...; iN|] v` stores the value `v` in the element of `a` whose coordinates are `i1` in the first dimension, `i2` in the second dimension, ..., `iN` in the `N`-th dimension.

The array `a` must have exactly `N` dimensions, and all coordinates must lie inside the array bounds, as described for `Genarray.get`; otherwise, `Invalid_argument` is raised.

If `N > 3`, alternate syntax is provided: you can write `a.{i1, i2, ..., iN} <- v` instead of `Genarray.set a [|i1; ...; iN|] v`. (The syntax `a.{...} <- v` with one, two or three coordinates is reserved for updating one-, two- and three-dimensional arrays as described below.)

```
val sub_left :
  ('a, 'b, Bigarray.c_layout) t ->
  int -> int -> ('a, 'b, Bigarray.c_layout) t
```

Extract a sub-array of the given Bigarray by restricting the first (left-most) dimension. `Genarray.sub_left a ofs len` returns a Bigarray with the same number of dimensions as `a`, and the same dimensions as `a`, except the first dimension, which corresponds to the interval `[ofs ... ofs + len - 1]` of the first dimension of `a`. No copying of elements is involved: the sub-array and the original array share the same storage space. In other terms, the element at coordinates `[|i1; ...; iN|]` of the sub-array is identical to the element at coordinates `[|i1+ofs; ...; iN|]` of the original array `a`.

`Genarray.sub_left` applies only to Bigarrays in C layout.

**Raises `Invalid_argument`** if `ofs` and `len` do not designate a valid sub-array of `a`, that is, if `ofs < 0`, or `len < 0`, or `ofs + len > Genarray.nth_dim a 0`.

```
val sub_right :
  ('a, 'b, Bigarray.fortran_layout) t ->
  int -> int -> ('a, 'b, Bigarray.fortran_layout) t
```

Extract a sub-array of the given Bigarray by restricting the last (right-most) dimension. `Genarray.sub_right a ofs len` returns a Bigarray with the same number of

dimensions as `a`, and the same dimensions as `a`, except the last dimension, which corresponds to the interval `[ofs ... ofs + len - 1]` of the last dimension of `a`. No copying of elements is involved: the sub-array and the original array share the same storage space. In other terms, the element at coordinates `[i1; ...; iN]` of the sub-array is identical to the element at coordinates `[i1; ...; iN+ofs]` of the original array `a`.

`Genarray.sub_right` applies only to Bigarrays in Fortran layout.

**Raises `Invalid_argument`** if `ofs` and `len` do not designate a valid sub-array of `a`, that is, if `ofs < 1`, or `len < 0`, or `ofs + len > Genarray.nth_dim a (Genarray.num_dims a - 1)`.

```
val slice_left :
  ('a, 'b, Bigarray.c_layout) t ->
  int array -> ('a, 'b, Bigarray.c_layout) t
```

Extract a sub-array of lower dimension from the given Bigarray by fixing one or several of the first (left-most) coordinates. `Genarray.slice_left a [i1; ... ; iM]` returns the 'slice' of `a` obtained by setting the first `M` coordinates to `i1, ..., iM`. If `a` has `N` dimensions, the slice has dimension `N - M`, and the element at coordinates `[j1; ...; j(N-M)]` in the slice is identical to the element at coordinates `[i1; ...; iM; j1; ...; j(N-M)]` in the original array `a`. No copying of elements is involved: the slice and the original array share the same storage space.

`Genarray.slice_left` applies only to Bigarrays in C layout.

**Raises `Invalid_argument`** if `M >= N`, or if `[i1; ... ; iM]` is outside the bounds of `a`.

```
val slice_right :
  ('a, 'b, Bigarray.fortran_layout) t ->
  int array -> ('a, 'b, Bigarray.fortran_layout) t
```

Extract a sub-array of lower dimension from the given Bigarray by fixing one or several of the last (right-most) coordinates. `Genarray.slice_right a [i1; ... ; iM]` returns the 'slice' of `a` obtained by setting the last `M` coordinates to `i1, ..., iM`. If `a` has `N` dimensions, the slice has dimension `N - M`, and the element at coordinates `[j1; ...; j(N-M)]` in the slice is identical to the element at coordinates `[j1; ...; j(N-M); i1; ...; iM]` in the original array `a`. No copying of elements is involved: the slice and the original array share the same storage space.

`Genarray.slice_right` applies only to Bigarrays in Fortran layout.

**Raises `Invalid_argument`** if `M >= N`, or if `[i1; ... ; iM]` is outside the bounds of `a`.

```
val blit : ('a, 'b, 'c) t -> ('a, 'b, 'c) t -> unit
```

Copy all elements of a Bigarray in another Bigarray. `Genarray.blit src dst` copies all elements of `src` into `dst`. Both arrays `src` and `dst` must have the same number of dimensions and equal dimensions. Copying a sub-array of `src` to a sub-array of `dst` can be achieved by applying `Genarray.blit` to sub-array or slices of `src` and `dst`.

```
val fill : ('a, 'b, 'c) t -> 'a -> unit
```

Set all elements of a Bigarray to a given value. `Genarray.fill a v` stores the value `v` in all elements of the Bigarray `a`. Setting only some elements of `a` to `v` can be achieved by applying `Genarray.fill` to a sub-array or a slice of `a`.

```
end
```

## Zero-dimensional arrays

```
module Array0 :
```

```
sig
```

```
type (!'a, !'b, !'c) t
```

The type of zero-dimensional Bigarrays whose elements have OCaml type `'a`, representation kind `'b`, and memory layout `'c`.

```
val create : ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout -> ('a, 'b, 'c) t
```

`Array0.create kind layout` returns a new Bigarray of zero dimension. `kind` and `layout` determine the array element kind and the array layout as described for `Bigarray.Genarray.create`[\[28.5\]](#).

```
val init :
  ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout -> 'a -> ('a, 'b, 'c) t
```

`Array0.init kind layout v` behaves like `Array0.create kind layout` except that the element is additionally initialized to the value `v`.

**Since:** 4.12

```
val kind : ('a, 'b, 'c) t -> ('a, 'b) Bigarray.kind
```

Return the kind of the given Bigarray.

```
val layout : ('a, 'b, 'c) t -> 'c Bigarray.layout
```

Return the layout of the given Bigarray.

```
val change_layout : ('a, 'b, 'c) t ->
  'd Bigarray.layout -> ('a, 'b, 'd) t
```

`Array0.change_layout a layout` returns a Bigarray with the specified `layout`, sharing the data with `a`. No copying of elements is involved: the new array and the original array share the same storage space.

**Since:** 4.06

```

val size_in_bytes : ('a, 'b, 'c) t -> int
    size_in_bytes a is a's Bigarray.kind_size_in_bytes[28.5].

val get : ('a, 'b, 'c) t -> 'a
    Array0.get a returns the only element in a.

val set : ('a, 'b, 'c) t -> 'a -> unit
    Array0.set a x v stores the value v in a.

val blit : ('a, 'b, 'c) t -> ('a, 'b, 'c) t -> unit
    Copy the first Bigarray to the second Bigarray. See Bigarray.Genarray.blit[28.5] for
    more details.

val fill : ('a, 'b, 'c) t -> 'a -> unit
    Fill the given Bigarray with the given value. See Bigarray.Genarray.fill[28.5] for
    more details.

val of_value :
    ('a, 'b) Bigarray.kind ->
    'c Bigarray.layout -> 'a -> ('a, 'b, 'c) t
    Build a zero-dimensional Bigarray initialized from the given value.

end

```

Zero-dimensional arrays. The `Array0` structure provides operations similar to those of `Bigarray.Genarray`[28.5], but specialized to the case of zero-dimensional arrays that only contain a single scalar value. Statically knowing the number of dimensions of the array allows faster operations, and more precise static type-checking.

**Since:** 4.05

## One-dimensional arrays

```

module Array1 :
sig
    type (!'a, !'b, !'c) t
        The type of one-dimensional Bigarrays whose elements have OCaml type 'a,
        representation kind 'b, and memory layout 'c.

    val create :
        ('a, 'b) Bigarray.kind ->
        'c Bigarray.layout -> int -> ('a, 'b, 'c) t

```



`Array1.create kind layout dim` returns a new Bigarray of one dimension, whose size is `dim`. `kind` and `layout` determine the array element kind and the array layout as described for `Bigarray.Genarray.create`[\[28.5\]](#).

```
val init :
  ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout -> int -> (int -> 'a) -> ('a, 'b, 'c) t
```

`Array1.init kind layout dim f` returns a new Bigarray `b` of one dimension, whose size is `dim`. `kind` and `layout` determine the array element kind and the array layout as described for `Bigarray.Genarray.create`[\[28.5\]](#).

Each element `Array1.get b i` of the array is initialized to the result of `f i`.

In other words, `Array1.init kind layout dimensions f` tabulates the results of `f` applied to the indices of a new Bigarray whose layout is described by `kind`, `layout` and `dim`.

**Since:** 4.12

```
val dim : ('a, 'b, 'c) t -> int
```

Return the size (dimension) of the given one-dimensional Bigarray.

```
val kind : ('a, 'b, 'c) t -> ('a, 'b) Bigarray.kind
```

Return the kind of the given Bigarray.

```
val layout : ('a, 'b, 'c) t -> 'c Bigarray.layout
```

Return the layout of the given Bigarray.

```
val change_layout : ('a, 'b, 'c) t ->
  'd Bigarray.layout -> ('a, 'b, 'd) t
```

`Array1.change_layout a layout` returns a Bigarray with the specified `layout`, sharing the data with `a` (and hence having the same dimension as `a`). No copying of elements is involved: the new array and the original array share the same storage space.

**Since:** 4.06

```
val size_in_bytes : ('a, 'b, 'c) t -> int
```

`size_in_bytes a` is the number of elements in `a` multiplied by `a`'s `Bigarray.kind_size_in_bytes`[\[28.5\]](#).

**Since:** 4.03

```
val get : ('a, 'b, 'c) t -> int -> 'a
```

`Array1.get a x`, or alternatively `a.{x}`, returns the element of `a` at index `x`. `x` must be greater or equal than 0 and strictly less than `Array1.dim a` if `a` has C layout. If `a` has Fortran layout, `x` must be greater or equal than 1 and less or equal than `Array1.dim a`. Otherwise, `Invalid_argument` is raised.

```
val set : ('a, 'b, 'c) t -> int -> 'a -> unit
```

`Array1.set a x v`, also written `a.{x} <- v`, stores the value `v` at index `x` in `a`. `x` must be inside the bounds of `a` as described in `Bigarray.Array1.get`[\[28.5\]](#); otherwise, `Invalid_argument` is raised.

```
val sub : ('a, 'b, 'c) t ->
  int -> int -> ('a, 'b, 'c) t
```

Extract a sub-array of the given one-dimensional Bigarray. See `Bigarray.Genarray.sub_left`[\[28.5\]](#) for more details.

```
val slice : ('a, 'b, 'c) t -> int -> ('a, 'b, 'c) Bigarray.Array0.t
```

Extract a scalar (zero-dimensional slice) of the given one-dimensional Bigarray. The integer parameter is the index of the scalar to extract. See `Bigarray.Genarray.slice_left`[\[28.5\]](#) and `Bigarray.Genarray.slice_right`[\[28.5\]](#) for more details.

**Since:** 4.05

```
val blit : ('a, 'b, 'c) t -> ('a, 'b, 'c) t -> unit
```

Copy the first Bigarray to the second Bigarray. See `Bigarray.Genarray.blit`[\[28.5\]](#) for more details.

```
val fill : ('a, 'b, 'c) t -> 'a -> unit
```

Fill the given Bigarray with the given value. See `Bigarray.Genarray.fill`[\[28.5\]](#) for more details.

```
val of_array :
  ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout -> 'a array -> ('a, 'b, 'c) t
```

Build a one-dimensional Bigarray initialized from the given array.

```
val unsafe_get : ('a, 'b, 'c) t -> int -> 'a
```

Like `Bigarray.Array1.get`[\[28.5\]](#), but bounds checking is not always performed. Use with caution and only when the program logic guarantees that the access is within bounds.

```
val unsafe_set : ('a, 'b, 'c) t -> int -> 'a -> unit
```

Like `Bigarray.Array1.set`[\[28.5\]](#), but bounds checking is not always performed. Use with caution and only when the program logic guarantees that the access is within bounds.

end

One-dimensional arrays. The `Array1` structure provides operations similar to those of `Bigarray.Genarray`[\[28.5\]](#), but specialized to the case of one-dimensional arrays. (The `Bigarray.Array2`[\[28.5\]](#) and `Bigarray.Array3`[\[28.5\]](#) structures below provide operations specialized for two- and three-dimensional arrays.) Statically knowing the number of dimensions of the array allows faster operations, and more precise static type-checking.

## Two-dimensional arrays

```
module Array2 :
```

```
sig
```

```
  type (!'a, !'b, !'c) t
```

The type of two-dimensional Bigarrays whose elements have OCaml type `'a`, representation kind `'b`, and memory layout `'c`.

```
  val create :
```

```
    ('a, 'b) Bigarray.kind ->
```

```
    'c Bigarray.layout -> int -> int -> ('a, 'b, 'c) t
```

`Array2.create kind layout dim1 dim2` returns a new Bigarray of two dimensions, whose size is `dim1` in the first dimension and `dim2` in the second dimension. `kind` and `layout` determine the array element kind and the array layout as described for `Bigarray.Genarray.create`[\[28.5\]](#).

```
  val init :
```

```
    ('a, 'b) Bigarray.kind ->
```

```
    'c Bigarray.layout ->
```

```
    int -> int -> (int -> int -> 'a) -> ('a, 'b, 'c) t
```

`Array2.init kind layout dim1 dim2 f` returns a new Bigarray `b` of two dimensions, whose size is `dim1` in the first dimension and `dim2` in the second dimension. `kind` and `layout` determine the array element kind and the array layout as described for `Bigarray.Genarray.create`[\[28.5\]](#).

Each element `Array2.get b i j` of the array is initialized to the result of `f i j`.

In other words, `Array2.init kind layout dim1 dim2 f` tabulates the results of `f` applied to the indices of a new Bigarray whose layout is described by `kind`, `layout`, `dim1` and `dim2`.

**Since:** 4.12

```
  val dim1 : ('a, 'b, 'c) t -> int
```

Return the first dimension of the given two-dimensional Bigarray.

```
  val dim2 : ('a, 'b, 'c) t -> int
```

Return the second dimension of the given two-dimensional Bigarray.

```
val kind : ('a, 'b, 'c) t -> ('a, 'b) Bigarray.kind
```

Return the kind of the given Bigarray.

```
val layout : ('a, 'b, 'c) t -> 'c Bigarray.layout
```

Return the layout of the given Bigarray.

```
val change_layout : ('a, 'b, 'c) t ->
  'd Bigarray.layout -> ('a, 'b, 'd) t
```

`Array2.change_layout a layout` returns a Bigarray with the specified `layout`, sharing the data with `a` (and hence having the same dimensions as `a`). No copying of elements is involved: the new array and the original array share the same storage space. The dimensions are reversed, such that `get v [| a; b |]` in C layout becomes `get v [| b+1; a+1 |]` in Fortran layout.

**Since:** 4.06

```
val size_in_bytes : ('a, 'b, 'c) t -> int
```

`size_in_bytes a` is the number of elements in `a` multiplied by `a`'s `Bigarray.kind_size_in_bytes`[\[28.5\]](#).

**Since:** 4.03

```
val get : ('a, 'b, 'c) t -> int -> int -> 'a
```

`Array2.get a x y`, also written `a.{x,y}`, returns the element of `a` at coordinates `(x, y)`. `x` and `y` must be within the bounds of `a`, as described for `Bigarray.Genarray.get`[\[28.5\]](#); otherwise, `Invalid_argument` is raised.

```
val set : ('a, 'b, 'c) t -> int -> int -> 'a -> unit
```

`Array2.set a x y v`, or alternatively `a.{x,y} <- v`, stores the value `v` at coordinates `(x, y)` in `a`. `x` and `y` must be within the bounds of `a`, as described for `Bigarray.Genarray.set`[\[28.5\]](#); otherwise, `Invalid_argument` is raised.

```
val sub_left :
  ('a, 'b, Bigarray.c_layout) t ->
  int -> int -> ('a, 'b, Bigarray.c_layout) t
```

Extract a two-dimensional sub-array of the given two-dimensional Bigarray by restricting the first dimension. See `Bigarray.Genarray.sub_left`[\[28.5\]](#) for more details. `Array2.sub_left` applies only to arrays with C layout.

```
val sub_right :
  ('a, 'b, Bigarray.fortran_layout) t ->
  int -> int -> ('a, 'b, Bigarray.fortran_layout) t
```

Extract a two-dimensional sub-array of the given two-dimensional Bigarray by restricting the second dimension. See `Bigarray.Genarray.sub_right`[\[28.5\]](#) for more details. `Array2.sub_right` applies only to arrays with Fortran layout.

```
val slice_left :
  ('a, 'b, Bigarray.c_layout) t ->
  int -> ('a, 'b, Bigarray.c_layout) Bigarray.Array1.t
```

Extract a row (one-dimensional slice) of the given two-dimensional Bigarray. The integer parameter is the index of the row to extract. See `Bigarray.Genarray.slice_left`[\[28.5\]](#) for more details. `Array2.slice_left` applies only to arrays with C layout.

```
val slice_right :
  ('a, 'b, Bigarray.fortran_layout) t ->
  int -> ('a, 'b, Bigarray.fortran_layout) Bigarray.Array1.t
```

Extract a column (one-dimensional slice) of the given two-dimensional Bigarray. The integer parameter is the index of the column to extract. See `Bigarray.Genarray.slice_right`[\[28.5\]](#) for more details. `Array2.slice_right` applies only to arrays with Fortran layout.

```
val blit : ('a, 'b, 'c) t -> ('a, 'b, 'c) t -> unit
```

Copy the first Bigarray to the second Bigarray. See `Bigarray.Genarray.blit`[\[28.5\]](#) for more details.

```
val fill : ('a, 'b, 'c) t -> 'a -> unit
```

Fill the given Bigarray with the given value. See `Bigarray.Genarray.fill`[\[28.5\]](#) for more details.

```
val of_array :
  ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout -> 'a array array -> ('a, 'b, 'c) t
```

Build a two-dimensional Bigarray initialized from the given array of arrays.

```
val unsafe_get : ('a, 'b, 'c) t -> int -> int -> 'a
```

Like `Bigarray.Array2.get`[\[28.5\]](#), but bounds checking is not always performed.

```
val unsafe_set : ('a, 'b, 'c) t -> int -> int -> 'a -> unit
```

Like `Bigarray.Array2.set`[\[28.5\]](#), but bounds checking is not always performed.

end

Two-dimensional arrays. The `Array2` structure provides operations similar to those of `Bigarray.Genarray`[\[28.5\]](#), but specialized to the case of two-dimensional arrays.

## Three-dimensional arrays

```
module Array3 :
```

```
sig
```

```
  type (!'a, !'b, !'c) t
```

The type of three-dimensional Bigarrays whose elements have OCaml type `'a`, representation kind `'b`, and memory layout `'c`.

```
  val create :
```

```
    ('a, 'b) Bigarray.kind ->
```

```
    'c Bigarray.layout -> int -> int -> int -> ('a, 'b, 'c) t
```

`Array3.create kind layout dim1 dim2 dim3` returns a new Bigarray of three dimensions, whose size is `dim1` in the first dimension, `dim2` in the second dimension, and `dim3` in the third. `kind` and `layout` determine the array element kind and the array layout as described for `Bigarray.Genarray.create`[\[28.5\]](#).

```
  val init :
```

```
    ('a, 'b) Bigarray.kind ->
```

```
    'c Bigarray.layout ->
```

```
    int ->
```

```
    int -> int -> (int -> int -> int -> 'a) -> ('a, 'b, 'c) t
```

`Array3.init kind layout dim1 dim2 dim3 f` returns a new Bigarray `b` of three dimensions, whose size is `dim1` in the first dimension, `dim2` in the second dimension, and `dim3` in the third. `kind` and `layout` determine the array element kind and the array layout as described for `Bigarray.Genarray.create`[\[28.5\]](#).

Each element `Array3.get b i j k` of the array is initialized to the result of `f i j k`. In other words, `Array3.init kind layout dim1 dim2 dim3 f` tabulates the results of `f` applied to the indices of a new Bigarray whose layout is described by `kind`, `layout`, `dim1`, `dim2` and `dim3`.

**Since:** 4.12

```
  val dim1 : ('a, 'b, 'c) t -> int
```

Return the first dimension of the given three-dimensional Bigarray.

```
  val dim2 : ('a, 'b, 'c) t -> int
```

Return the second dimension of the given three-dimensional Bigarray.

```
  val dim3 : ('a, 'b, 'c) t -> int
```

Return the third dimension of the given three-dimensional Bigarray.

```
  val kind : ('a, 'b, 'c) t -> ('a, 'b) Bigarray.kind
```

Return the kind of the given Bigarray.

```
val layout : ('a, 'b, 'c) t -> 'c Bigarray.layout
```

Return the layout of the given Bigarray.

```
val change_layout : ('a, 'b, 'c) t ->
  'd Bigarray.layout -> ('a, 'b, 'd) t
```

`Array3.change_layout a layout` returns a Bigarray with the specified `layout`, sharing the data with `a` (and hence having the same dimensions as `a`). No copying of elements is involved: the new array and the original array share the same storage space. The dimensions are reversed, such that `get v [| a; b; c |]` in C layout becomes `get v [| c+1; b+1; a+1 |]` in Fortran layout.

Since: 4.06

```
val size_in_bytes : ('a, 'b, 'c) t -> int
```

`size_in_bytes a` is the number of elements in `a` multiplied by `a`'s `Bigarray.kind_size_in_bytes`[\[28.5\]](#).

Since: 4.03

```
val get : ('a, 'b, 'c) t -> int -> int -> int -> 'a
```

`Array3.get a x y z`, also written `a.{x,y,z}`, returns the element of `a` at coordinates `(x, y, z)`. `x`, `y` and `z` must be within the bounds of `a`, as described for `Bigarray.Genarray.get`[\[28.5\]](#); otherwise, `Invalid_argument` is raised.

```
val set : ('a, 'b, 'c) t -> int -> int -> int -> 'a -> unit
```

`Array3.set a x y v`, or alternatively `a.{x,y,z} <- v`, stores the value `v` at coordinates `(x, y, z)` in `a`. `x`, `y` and `z` must be within the bounds of `a`, as described for `Bigarray.Genarray.set`[\[28.5\]](#); otherwise, `Invalid_argument` is raised.

```
val sub_left :
```

```
  ('a, 'b, Bigarray.c_layout) t ->
  int -> int -> ('a, 'b, Bigarray.c_layout) t
```

Extract a three-dimensional sub-array of the given three-dimensional Bigarray by restricting the first dimension. See `Bigarray.Genarray.sub_left`[\[28.5\]](#) for more details. `Array3.sub_left` applies only to arrays with C layout.

```
val sub_right :
```

```
  ('a, 'b, Bigarray.fortran_layout) t ->
  int -> int -> ('a, 'b, Bigarray.fortran_layout) t
```

Extract a three-dimensional sub-array of the given three-dimensional Bigarray by restricting the second dimension. See `Bigarray.Genarray.sub_right`[\[28.5\]](#) for more details. `Array3.sub_right` applies only to arrays with Fortran layout.

```
val slice_left_1 :
  ('a, 'b, Bigarray.c_layout) t ->
  int -> int -> ('a, 'b, Bigarray.c_layout) Bigarray.Array1.t
```

Extract a one-dimensional slice of the given three-dimensional Bigarray by fixing the first two coordinates. The integer parameters are the coordinates of the slice to extract. See `Bigarray.Genarray.slice_left`[\[28.5\]](#) for more details. `Array3.slice_left_1` applies only to arrays with C layout.

```
val slice_right_1 :
  ('a, 'b, Bigarray.fortran_layout) t ->
  int -> int -> ('a, 'b, Bigarray.fortran_layout) Bigarray.Array1.t
```

Extract a one-dimensional slice of the given three-dimensional Bigarray by fixing the last two coordinates. The integer parameters are the coordinates of the slice to extract. See `Bigarray.Genarray.slice_right`[\[28.5\]](#) for more details. `Array3.slice_right_1` applies only to arrays with Fortran layout.

```
val slice_left_2 :
  ('a, 'b, Bigarray.c_layout) t ->
  int -> ('a, 'b, Bigarray.c_layout) Bigarray.Array2.t
```

Extract a two-dimensional slice of the given three-dimensional Bigarray by fixing the first coordinate. The integer parameter is the first coordinate of the slice to extract. See `Bigarray.Genarray.slice_left`[\[28.5\]](#) for more details. `Array3.slice_left_2` applies only to arrays with C layout.

```
val slice_right_2 :
  ('a, 'b, Bigarray.fortran_layout) t ->
  int -> ('a, 'b, Bigarray.fortran_layout) Bigarray.Array2.t
```

Extract a two-dimensional slice of the given three-dimensional Bigarray by fixing the last coordinate. The integer parameter is the coordinate of the slice to extract. See `Bigarray.Genarray.slice_right`[\[28.5\]](#) for more details. `Array3.slice_right_2` applies only to arrays with Fortran layout.

```
val blit : ('a, 'b, 'c) t -> ('a, 'b, 'c) t -> unit
```

Copy the first Bigarray to the second Bigarray. See `Bigarray.Genarray.blit`[\[28.5\]](#) for more details.

```
val fill : ('a, 'b, 'c) t -> 'a -> unit
```

Fill the given Bigarray with the given value. See `Bigarray.Genarray.fill`[\[28.5\]](#) for more details.

```
val of_array :
  ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout -> 'a array array array -> ('a, 'b, 'c) t
```



Build a three-dimensional Bigarray initialized from the given array of arrays of arrays.

```
val unsafe_get : ('a, 'b, 'c) t -> int -> int -> int -> 'a
```

Like `Bigarray.Array3.get`[\[28.5\]](#), but bounds checking is not always performed.

```
val unsafe_set : ('a, 'b, 'c) t -> int -> int -> int -> 'a -> unit
```

Like `Bigarray.Array3.set`[\[28.5\]](#), but bounds checking is not always performed.

```
end
```

Three-dimensional arrays. The `Array3` structure provides operations similar to those of `Bigarray.Genarray`[\[28.5\]](#), but specialized to the case of three-dimensional arrays.

### Coercions between generic Bigarrays and fixed-dimension Bigarrays

```
val genarray_of_array0 : ('a, 'b, 'c) Array0.t -> ('a, 'b, 'c) Genarray.t
```

Return the generic Bigarray corresponding to the given zero-dimensional Bigarray.

**Since:** 4.05

```
val genarray_of_array1 : ('a, 'b, 'c) Array1.t -> ('a, 'b, 'c) Genarray.t
```

Return the generic Bigarray corresponding to the given one-dimensional Bigarray.

```
val genarray_of_array2 : ('a, 'b, 'c) Array2.t -> ('a, 'b, 'c) Genarray.t
```

Return the generic Bigarray corresponding to the given two-dimensional Bigarray.

```
val genarray_of_array3 : ('a, 'b, 'c) Array3.t -> ('a, 'b, 'c) Genarray.t
```

Return the generic Bigarray corresponding to the given three-dimensional Bigarray.

```
val array0_of_genarray : ('a, 'b, 'c) Genarray.t -> ('a, 'b, 'c) Array0.t
```

Return the zero-dimensional Bigarray corresponding to the given generic Bigarray.

**Since:** 4.05

**Raises** `Invalid_argument` if the generic Bigarray does not have exactly zero dimension.

```
val array1_of_genarray : ('a, 'b, 'c) Genarray.t -> ('a, 'b, 'c) Array1.t
```

Return the one-dimensional Bigarray corresponding to the given generic Bigarray.

**Raises** `Invalid_argument` if the generic Bigarray does not have exactly one dimension.

```
val array2_of_genarray : ('a, 'b, 'c) Genarray.t -> ('a, 'b, 'c) Array2.t
```

Return the two-dimensional Bigarray corresponding to the given generic Bigarray.

**Raises** `Invalid_argument` if the generic Bigarray does not have exactly two dimensions.

```
val array3_of_genarray : ('a, 'b, 'c) Genarray.t -> ('a, 'b, 'c) Array3.t
```

Return the three-dimensional Bigarray corresponding to the given generic Bigarray.

**Raises** `Invalid_argument` if the generic Bigarray does not have exactly three dimensions.

## Re-shaping Bigarrays

**val reshape :**

**('a, 'b, 'c) Genarray.t ->**

**int array -> ('a, 'b, 'c) Genarray.t**

**reshape** **b** [**d1**;...;**dN**] converts the Bigarray **b** to a N-dimensional array of dimensions **d1**..**dN**. The returned array and the original array **b** share their data and have the same layout. For instance, assuming that **b** is a one-dimensional array of dimension 12, **reshape b** [**3**;**4**] returns a two-dimensional array **b'** of dimensions 3 and 4. If **b** has C layout, the element (**x**,**y**) of **b'** corresponds to the element **x \* 3 + y** of **b**. If **b** has Fortran layout, the element (**x**,**y**) of **b'** corresponds to the element **x + (y - 1) \* 4** of **b**. The returned Bigarray must have exactly the same number of elements as the original Bigarray **b**. That is, the product of the dimensions of **b** must be equal to **i1 \* ... \* iN**. Otherwise, **Invalid\_argument** is raised.

**val reshape\_0 :** ('a, 'b, 'c) Genarray.t -> ('a, 'b, 'c) Array0.t

Specialized version of **Bigarray.reshape**[\[28.5\]](#) for reshaping to zero-dimensional arrays.

**Since:** 4.05

**val reshape\_1 :** ('a, 'b, 'c) Genarray.t -> int -> ('a, 'b, 'c) Array1.t

Specialized version of **Bigarray.reshape**[\[28.5\]](#) for reshaping to one-dimensional arrays.

**val reshape\_2 :**

**('a, 'b, 'c) Genarray.t ->**

**int -> int -> ('a, 'b, 'c) Array2.t**

Specialized version of **Bigarray.reshape**[\[28.5\]](#) for reshaping to two-dimensional arrays.

**val reshape\_3 :**

**('a, 'b, 'c) Genarray.t ->**

**int -> int -> int -> ('a, 'b, 'c) Array3.t**

Specialized version of **Bigarray.reshape**[\[28.5\]](#) for reshaping to three-dimensional arrays.

## Bigarrays and concurrency safety

Care must be taken when concurrently accessing bigarrays from multiple domains: accessing a bigarray will never crash a program, but unsynchronized accesses might yield surprising (non-sequentially-consistent) results.

## Atomicity

Every bigarray operation that accesses more than one array element is not atomic. This includes slicing, blitting, and filling bigarrays.

For example, consider the following program:

```
open Bigarray
```

```

let size = 100_000_000
let a = Array1.init Int C_layout size (fun _ -> 1)
let update f a () =
  for i = 0 to size - 1 do a.{i} <- f a.{i} done
let d1 = Domain.spawn (update (fun x -> x + 1) a)
let d2 = Domain.spawn (update (fun x -> 2 * x + 1) a)
let () = Domain.join d1; Domain.join d2

```

After executing this code, each field of the bigarray `a` is either 2, 3, 4 or 5. If atomicity is required, then the user must implement their own synchronization (for example, using `Mutex.t` [28.36]).

## Data races

If two domains only access disjoint parts of the bigarray, then the observed behaviour is the equivalent to some sequential interleaving of the operations from the two domains.

A data race is said to occur when two domains access the same bigarray element without synchronization and at least one of the accesses is a write. In the absence of data races, the observed behaviour is equivalent to some sequential interleaving of the operations from different domains.

Whenever possible, data races should be avoided by using synchronization to mediate the accesses to the bigarray elements.

Indeed, in the presence of data races, programs will not crash but the observed behaviour may not be equivalent to any sequential interleaving of operations from different domains.

## Tearing

Bigarrays have a distinct caveat in the presence of data races: concurrent bigarray operations might produce surprising values due to tearing. More precisely, the interleaving of partial writes and reads might create values that would not exist with a sequential execution. For instance, at the end of

```

let res = Array1.init Complex64 c_layout size (fun _ -> Complex.zero)
let d1 = Domain.spawn (fun () -> Array1.fill res Complex.one)
let d2 = Domain.spawn (fun () -> Array1.fill res Complex.i)
let () = Domain.join d1; Domain.join d2

```

the `res` bigarray might contain values that are neither `Complex.i` nor `Complex.one` (for instance `1 + i`).

## 28.6 Module `Bool` : Boolean values.

Since: 4.08

### Booleans

```

type t = bool =
  | false

```

| **true**

The type of booleans (truth values).

The constructors **false** and **true** are included here so that they have paths, but they are not intended to be used in user-defined data types.

**val not** : **bool** -> **bool**

**not b** is the boolean negation of **b**.

**val (&&)** : **bool** -> **bool** -> **bool**

**e0 && e1** is the lazy boolean conjunction of expressions **e0** and **e1**. If **e0** evaluates to **false**, **e1** is not evaluated. Right-associative operator at precedence level 3/11.

**val (||)** : **bool** -> **bool** -> **bool**

**e0 || e1** is the lazy boolean disjunction of expressions **e0** and **e1**. If **e0** evaluates to **true**, **e1** is not evaluated. Right-associative operator at precedence level 2/11.

## Predicates and comparisons

**val equal** : **bool** -> **bool** -> **bool**

**equal b0 b1** is true if and only if **b0** and **b1** are both true or both false.

**val compare** : **bool** -> **bool** -> **int**

**compare b0 b1** is a total order on boolean values. **false** is smaller than **true**.

## Converting

**val to\_int** : **bool** -> **int**

**to\_int b** is 0 if **b** is false and 1 if **b** is true.

**val to\_float** : **bool** -> **float**

**to\_float b** is 0. if **b** is false and 1. if **b** is true.

**val to\_string** : **bool** -> **string**

**to\_string b** is "true" if **b** is true and "false" if **b** is false.

**val seeded\_hash** : **int** -> **bool** -> **int**

A seeded hash function for booleans, with the same output value as `Hashtbl.seeded_hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 5.1

**val hash** : **bool** -> **int**

An unseeded hash function for booleans, with the same output value as `Hashtbl.hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.Make`[\[28.24\]](#).

**Since:** 5.1

## 28.7 Module Buffer : Extensible buffers.

This module implements buffers that automatically expand as necessary. It provides accumulative concatenation of strings in linear time (instead of quadratic time when strings are concatenated pairwise). For example:

```
let concat_strings ss =
  let b = Buffer.create 16 in
  List.iter (Buffer.add_string b) ss;
  Buffer.contents b
```

**Alert `unsynchronized_access`.** Unsynchronized accesses to buffers are a programming error.

### Unsynchronized accesses

Unsynchronized accesses to a buffer may lead to an invalid buffer state. Thus, concurrent accesses to a buffer must be synchronized (for instance with a `Mutex.t`[\[28.36\]](#)).

**type t**

The abstract type of buffers.

**val create : int -> t**

`create n` returns a fresh buffer, initially empty. The `n` parameter is the initial size of the internal byte sequence that holds the buffer contents. That byte sequence is automatically reallocated when more than `n` characters are stored in the buffer, but shrinks back to `n` characters when `reset` is called. For best performance, `n` should be of the same order of magnitude as the number of characters that are expected to be stored in the buffer (for instance, 80 for a buffer that holds one output line). Nothing bad will happen if the buffer grows beyond that limit, however. In doubt, take `n = 16` for instance. If `n` is not between 1 and `Sys.max_string_length`[\[28.55\]](#), it will be clipped to that interval.

**val contents : t -> string**

Return a copy of the current contents of the buffer. The buffer itself is unchanged.

**val to\_bytes : t -> bytes**

Return a copy of the current contents of the buffer. The buffer itself is unchanged.

**Since:** 4.02

**val sub : t -> int -> int -> string**

`Buffer.sub b off len` returns a copy of `len` bytes from the current contents of the buffer `b`, starting at offset `off`.

**Raises** `Invalid_argument` if `off` and `len` do not designate a valid range of `b`.

`val blit : t -> int -> bytes -> int -> int -> unit`

`Buffer.blit src srcoff dst dstoff len` copies `len` characters from the current contents of the buffer `src`, starting at offset `srcoff` to `dst`, starting at character `dstoff`.

**Since:** 3.11.2

**Raises** `Invalid_argument` if `srcoff` and `len` do not designate a valid range of `src`, or if `dstoff` and `len` do not designate a valid range of `dst`.

`val nth : t -> int -> char`

Get the `n`-th character of the buffer.

**Raises** `Invalid_argument` if index out of bounds

`val length : t -> int`

Return the number of characters currently contained in the buffer.

`val clear : t -> unit`

Empty the buffer.

`val reset : t -> unit`

Empty the buffer and deallocate the internal byte sequence holding the buffer contents, replacing it with the initial internal byte sequence of length `n` that was allocated by `Buffer.create`[\[28.7\]](#) `n`. For long-lived buffers that may have grown a lot, `reset` allows faster reclamation of the space used by the buffer.

`val output_buffer : out_channel -> t -> unit`

`output_buffer oc b` writes the current contents of buffer `b` on the output channel `oc`.

`val truncate : t -> int -> unit`

`truncate b len` truncates the length of `b` to `len` Note: the internal byte sequence is not shortened.

**Since:** 4.05

**Raises** `Invalid_argument` if `len < 0` or `len > length b`.

## Appending

Note: all `add_*` operations can raise `Failure` if the internal byte sequence of the buffer would need to grow beyond `Sys.max_string_length`[\[28.55\]](#).

`val add_char : t -> char -> unit`

`add_char b c` appends the character `c` at the end of buffer `b`.

```
val add_utf_8_uchar : t -> Uchar.t -> unit
```

`add_utf_8_uchar b u` appends the UTF-8[<https://tools.ietf.org/html/rfc3629>] encoding of `u` at the end of buffer `b`.

**Since:** 4.06

```
val add_utf_16le_uchar : t -> Uchar.t -> unit
```

`add_utf_16le_uchar b u` appends the UTF-16LE[<https://tools.ietf.org/html/rfc2781>] encoding of `u` at the end of buffer `b`.

**Since:** 4.06

```
val add_utf_16be_uchar : t -> Uchar.t -> unit
```

`add_utf_16be_uchar b u` appends the UTF-16BE[<https://tools.ietf.org/html/rfc2781>] encoding of `u` at the end of buffer `b`.

**Since:** 4.06

```
val add_string : t -> string -> unit
```

`add_string b s` appends the string `s` at the end of buffer `b`.

```
val add_bytes : t -> bytes -> unit
```

`add_bytes b s` appends the byte sequence `s` at the end of buffer `b`.

**Since:** 4.02

```
val add_substring : t -> string -> int -> int -> unit
```

`add_substring b s ofs len` takes `len` characters from offset `ofs` in string `s` and appends them at the end of buffer `b`.

**Raises** `Invalid_argument` if `ofs` and `len` do not designate a valid range of `s`.

```
val add_subbytes : t -> bytes -> int -> int -> unit
```

`add_subbytes b s ofs len` takes `len` characters from offset `ofs` in byte sequence `s` and appends them at the end of buffer `b`.

**Since:** 4.02

**Raises** `Invalid_argument` if `ofs` and `len` do not designate a valid range of `s`.

```
val add_substitute : t -> (string -> string) -> string -> unit
```

`add_substitute b f s` appends the string pattern `s` at the end of buffer `b` with substitution. The substitution process looks for variables into the pattern and substitutes each variable name by its value, as obtained by applying the mapping `f` to the variable name. Inside the string pattern, a variable name immediately follows a non-escaped `$` character and is one of the following:

- a non empty sequence of alphanumeric or `_` characters,

- an arbitrary sequence of characters enclosed by a pair of matching parentheses or curly brackets. An escaped \$ character is a \$ that immediately follows a backslash character; it then stands for a plain \$.

**Raises** `Not_found` if the closing character of a parenthesized variable cannot be found.

`val add_buffer : t -> t -> unit`

`add_buffer b1 b2` appends the current contents of buffer `b2` at the end of buffer `b1`. `b2` is not modified.

`val add_channel : t -> in_channel -> int -> unit`

`add_channel b ic n` reads at most `n` characters from the input channel `ic` and stores them at the end of buffer `b`.

**Raises**

- `End_of_file` if the channel contains fewer than `n` characters. In this case, the characters are still added to the buffer, so as to avoid loss of data.
- `Invalid_argument` if `len < 0` or `len > Sys.max_string_length`.

## Buffers and Sequences

`val to_seq : t -> char Seq.t`

Iterate on the buffer, in increasing order.

The behavior is not specified if the buffer is modified during iteration.

**Since:** 4.07

`val to_seqi : t -> (int * char) Seq.t`

Iterate on the buffer, in increasing order, yielding indices along chars.

The behavior is not specified if the buffer is modified during iteration.

**Since:** 4.07

`val add_seq : t -> char Seq.t -> unit`

Add chars to the buffer

**Since:** 4.07

`val of_seq : char Seq.t -> t`

Create a buffer from the generator

**Since:** 4.07



## Binary encoding of integers

The functions in this section append binary encodings of integers to buffers.

Little-endian (resp. big-endian) encoding means that least (resp. most) significant bytes are stored first. Big-endian is also known as network byte order. Native-endian encoding is either little-endian or big-endian depending on `Sys.big_endian`[\[28.55\]](#).

32-bit and 64-bit integers are represented by the `int32` and `int64` types, which can be interpreted either as signed or unsigned numbers.

8-bit and 16-bit integers are represented by the `int` type, which has more bits than the binary encoding. Functions that encode these values truncate their inputs to their least significant bytes.

```
val add_uint8 : t -> int -> unit
```

`add_uint8 b i` appends a binary unsigned 8-bit integer `i` to `b`.

**Since:** 4.08

```
val add_int8 : t -> int -> unit
```

`add_int8 b i` appends a binary signed 8-bit integer `i` to `b`.

**Since:** 4.08

```
val add_uint16_ne : t -> int -> unit
```

`add_uint16_ne b i` appends a binary native-endian unsigned 16-bit integer `i` to `b`.

**Since:** 4.08

```
val add_uint16_be : t -> int -> unit
```

`add_uint16_be b i` appends a binary big-endian unsigned 16-bit integer `i` to `b`.

**Since:** 4.08

```
val add_uint16_le : t -> int -> unit
```

`add_uint16_le b i` appends a binary little-endian unsigned 16-bit integer `i` to `b`.

**Since:** 4.08

```
val add_int16_ne : t -> int -> unit
```

`add_int16_ne b i` appends a binary native-endian signed 16-bit integer `i` to `b`.

**Since:** 4.08

```
val add_int16_be : t -> int -> unit
```

`add_int16_be b i` appends a binary big-endian signed 16-bit integer `i` to `b`.

**Since:** 4.08

```
val add_int16_le : t -> int -> unit
```

`add_int16_le b i` appends a binary little-endian signed 16-bit integer `i` to `b`.

**Since:** 4.08

```
val add_int32_ne : t -> int32 -> unit
```

`add_int32_ne b i` appends a binary native-endian 32-bit integer `i` to `b`.

**Since:** 4.08

`val add_int32_be : t -> int32 -> unit`

`add_int32_be b i` appends a binary big-endian 32-bit integer `i` to `b`.

**Since:** 4.08

`val add_int32_le : t -> int32 -> unit`

`add_int32_le b i` appends a binary little-endian 32-bit integer `i` to `b`.

**Since:** 4.08

`val add_int64_ne : t -> int64 -> unit`

`add_int64_ne b i` appends a binary native-endian 64-bit integer `i` to `b`.

**Since:** 4.08

`val add_int64_be : t -> int64 -> unit`

`add_int64_be b i` appends a binary big-endian 64-bit integer `i` to `b`.

**Since:** 4.08

`val add_int64_le : t -> int64 -> unit`

`add_int64_le b i` appends a binary little-endian 64-bit integer `i` to `b`.

**Since:** 4.08

## 28.8 Module Bytes : Byte sequence operations.

A byte sequence is a mutable data structure that contains a fixed-length sequence of bytes. Each byte can be indexed in constant time for reading or writing.

Given a byte sequence `s` of length `l`, we can access each of the `l` bytes of `s` via its index in the sequence. Indexes start at 0, and we will call an index valid in `s` if it falls within the range `[0...l-1]` (inclusive). A position is the point between two bytes or at the beginning or end of the sequence. We call a position valid in `s` if it falls within the range `[0...l]` (inclusive). Note that the byte at index `n` is between positions `n` and `n+1`.

Two parameters `start` and `len` are said to designate a valid range of `s` if `len >= 0` and `start` and `start+len` are valid positions in `s`.

Byte sequences can be modified in place, for instance via the `set` and `blit` functions described below. See also strings (module `String`[\[28.53\]](#)), which are almost the same data structure, but cannot be modified in place.

Bytes are represented by the OCaml type `char`.

The labeled version of this module can be used as described in the `StdLabels`[\[28.52\]](#) module.

**Since:** 4.02

`val length : bytes -> int`

Return the length (number of bytes) of the argument.

**val** `get` : bytes -> int -> char

`get s n` returns the byte at index `n` in argument `s`.

**Raises** `Invalid_argument` if `n` is not a valid index in `s`.

**val** `set` : bytes -> int -> char -> unit

`set s n c` modifies `s` in place, replacing the byte at index `n` with `c`.

**Raises** `Invalid_argument` if `n` is not a valid index in `s`.

**val** `create` : int -> bytes

`create n` returns a new byte sequence of length `n`. The sequence is uninitialized and contains arbitrary bytes.

**Raises** `Invalid_argument` if `n` < 0 or `n` > `Sys.max_string_length`[28.55].

**val** `make` : int -> char -> bytes

`make n c` returns a new byte sequence of length `n`, filled with the byte `c`.

**Raises** `Invalid_argument` if `n` < 0 or `n` > `Sys.max_string_length`[28.55].

**val** `init` : int -> (int -> char) -> bytes

`init n f` returns a fresh byte sequence of length `n`, with character `i` initialized to the result of `f i` (in increasing index order).

**Raises** `Invalid_argument` if `n` < 0 or `n` > `Sys.max_string_length`[28.55].

**val** `empty` : bytes

A byte sequence of size 0.

**val** `copy` : bytes -> bytes

Return a new byte sequence that contains the same bytes as the argument.

**val** `of_string` : string -> bytes

Return a new byte sequence that contains the same bytes as the given string.

**val** `to_string` : bytes -> string

Return a new string that contains the same bytes as the given byte sequence.

**val** `sub` : bytes -> int -> int -> bytes

`sub s pos len` returns a new byte sequence of length `len`, containing the subsequence of `s` that starts at position `pos` and has length `len`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid range of `s`.

**val** `sub_string` : bytes -> int -> int -> string

Same as `Bytes.sub`[28.8] but return a string instead of a byte sequence.

**val extend** : bytes -> int -> int -> bytes

`extend s left right` returns a new byte sequence that contains the bytes of `s`, with `left` uninitialized bytes prepended and `right` uninitialized bytes appended to it. If `left` or `right` is negative, then bytes are removed (instead of appended) from the corresponding side of `s`.

**Since:** 4.05 in BytesLabels

**Raises** `Invalid_argument` if the result length is negative or longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

**val fill** : bytes -> int -> int -> char -> unit

`fill s pos len c` modifies `s` in place, replacing `len` characters with `c`, starting at `pos`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid range of `s`.

**val blit** : bytes -> int -> bytes -> int -> int -> unit

`blit src src_pos dst dst_pos len` copies `len` bytes from byte sequence `src`, starting at index `src_pos`, to byte sequence `dst`, starting at index `dst_pos`. It works correctly even if `src` and `dst` are the same byte sequence, and the source and destination intervals overlap.

**Raises** `Invalid_argument` if `src_pos` and `len` do not designate a valid range of `src`, or if `dst_pos` and `len` do not designate a valid range of `dst`.

**val blit\_string** : string -> int -> bytes -> int -> int -> unit

`blit_string src src_pos dst dst_pos len` copies `len` bytes from string `src`, starting at index `src_pos`, to byte sequence `dst`, starting at index `dst_pos`.

**Since:** 4.05 in BytesLabels

**Raises** `Invalid_argument` if `src_pos` and `len` do not designate a valid range of `src`, or if `dst_pos` and `len` do not designate a valid range of `dst`.

**val concat** : bytes -> bytes list -> bytes

`concat sep s1` concatenates the list of byte sequences `s1`, inserting the separator byte sequence `sep` between each, and returns the result as a new byte sequence.

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

**val cat** : bytes -> bytes -> bytes

`cat s1 s2` concatenates `s1` and `s2` and returns the result as a new byte sequence.

**Since:** 4.05 in BytesLabels

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

**val iter** : (char -> unit) -> bytes -> unit

`iter f s` applies function `f` in turn to all the bytes of `s`. It is equivalent to `f (get s 0); f (get s 1); ...; f (get s (length s - 1)); ()`.

**val iteri** : (int -> char -> unit) -> bytes -> unit

Same as `Bytes.iter`[\[28.8\]](#), but the function is applied to the index of the byte as first argument and the byte itself as second argument.

**val** `map` : (char -> char) -> bytes -> bytes

`map f s` applies function `f` in turn to all the bytes of `s` (in increasing index order) and stores the resulting bytes in a new sequence that is returned as the result.

**val** `mapi` : (int -> char -> char) -> bytes -> bytes

`mapi f s` calls `f` with each character of `s` and its index (in increasing index order) and stores the resulting bytes in a new sequence that is returned as the result.

**val** `fold_left` : ('acc -> char -> 'acc) -> 'acc -> bytes -> 'acc

`fold_left f x s` computes `f (... (f (f x (get s 0)) (get s 1)) ...)` (get s (n-1)), where `n` is the length of `s`.

**Since:** 4.13

**val** `fold_right` : (char -> 'acc -> 'acc) -> bytes -> 'acc -> 'acc

`fold_right f s x` computes `f (get s 0) (f (get s 1) (... (f (get s (n-1)) x) ...))`, where `n` is the length of `s`.

**Since:** 4.13

**val** `for_all` : (char -> bool) -> bytes -> bool

`for_all p s` checks if all characters in `s` satisfy the predicate `p`.

**Since:** 4.13

**val** `exists` : (char -> bool) -> bytes -> bool

`exists p s` checks if at least one character of `s` satisfies the predicate `p`.

**Since:** 4.13

**val** `trim` : bytes -> bytes

Return a copy of the argument, without leading and trailing whitespace. The bytes regarded as whitespace are the ASCII characters ' ', '\012', '\n', '\r', and '\t'.

**val** `escaped` : bytes -> bytes

Return a copy of the argument, with special characters represented by escape sequences, following the lexical conventions of OCaml. All characters outside the ASCII printable range (32..126) are escaped, as well as backslash and double-quote.

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

**val** `index` : bytes -> char -> int

`index s c` returns the index of the first occurrence of byte `c` in `s`.

**Raises** `Not_found` if `c` does not occur in `s`.

**val** `index_opt` : bytes -> char -> int option

`index_opt s c` returns the index of the first occurrence of byte `c` in `s` or `None` if `c` does not occur in `s`.

**Since:** 4.05

**val** `rindex` : bytes -> char -> int

`rindex s c` returns the index of the last occurrence of byte `c` in `s`.

**Raises** `Not_found` if `c` does not occur in `s`.

**val** `rindex_opt` : bytes -> char -> int option

`rindex_opt s c` returns the index of the last occurrence of byte `c` in `s` or `None` if `c` does not occur in `s`.

**Since:** 4.05

**val** `index_from` : bytes -> int -> char -> int

`index_from s i c` returns the index of the first occurrence of byte `c` in `s` after position `i`. `index s c` is equivalent to `index_from s 0 c`.

**Raises**

- `Invalid_argument` if `i` is not a valid position in `s`.
- `Not_found` if `c` does not occur in `s` after position `i`.

**val** `index_from_opt` : bytes -> int -> char -> int option

`index_from_opt s i c` returns the index of the first occurrence of byte `c` in `s` after position `i` or `None` if `c` does not occur in `s` after position `i`. `index_opt s c` is equivalent to `index_from_opt s 0 c`.

**Since:** 4.05

**Raises** `Invalid_argument` if `i` is not a valid position in `s`.

**val** `rindex_from` : bytes -> int -> char -> int

`rindex_from s i c` returns the index of the last occurrence of byte `c` in `s` before position `i+1`. `rindex s c` is equivalent to `rindex_from s (length s - 1) c`.

**Raises**

- `Invalid_argument` if `i+1` is not a valid position in `s`.
- `Not_found` if `c` does not occur in `s` before position `i+1`.

**val** `rindex_from_opt` : bytes -> int -> char -> int option

`rindex_from_opt s i c` returns the index of the last occurrence of byte `c` in `s` before position `i+1` or `None` if `c` does not occur in `s` before position `i+1`. `rindex_opt s c` is equivalent to `rindex_from s (length s - 1) c`.

**Since:** 4.05

**Raises** `Invalid_argument` if `i+1` is not a valid position in `s`.

**val contains** : bytes -> char -> bool

contains s c tests if byte c appears in s.

**val contains\_from** : bytes -> int -> char -> bool

contains\_from s start c tests if byte c appears in s after position start. contains s c is equivalent to contains\_from s 0 c.

**Raises** Invalid\_argument if start is not a valid position in s.

**val rcontains\_from** : bytes -> int -> char -> bool

rcontains\_from s stop c tests if byte c appears in s before position stop+1.

**Raises** Invalid\_argument if stop < 0 or stop+1 is not a valid position in s.

**val uppercase\_ascii** : bytes -> bytes

Return a copy of the argument, with all lowercase letters translated to uppercase, using the US-ASCII character set.

**Since:** 4.03 (4.05 in BytesLabels)

**val lowercase\_ascii** : bytes -> bytes

Return a copy of the argument, with all uppercase letters translated to lowercase, using the US-ASCII character set.

**Since:** 4.03 (4.05 in BytesLabels)

**val capitalize\_ascii** : bytes -> bytes

Return a copy of the argument, with the first character set to uppercase, using the US-ASCII character set.

**Since:** 4.03 (4.05 in BytesLabels)

**val uncapitalize\_ascii** : bytes -> bytes

Return a copy of the argument, with the first character set to lowercase, using the US-ASCII character set.

**Since:** 4.03 (4.05 in BytesLabels)

**type t** = bytes

An alias for the type of byte sequences.

**val compare** : t -> t -> int

The comparison function for byte sequences, with the same specification as `compare`[\[27.2\]](#). Along with the type `t`, this function `compare` allows the module `Bytes` to be passed as argument to the functors `Set.Make`[\[28.49\]](#) and `Map.Make`[\[28.33\]](#).

**val equal** : t -> t -> bool

The equality function for byte sequences.

**Since:** 4.03 (4.05 in BytesLabels)

```
val starts_with : prefix:bytes -> bytes -> bool
    starts_with ~prefix s is true if and only if s starts with prefix.
```

Since: 4.13

```
val ends_with : suffix:bytes -> bytes -> bool
    ends_with ~suffix s is true if and only if s ends with suffix.
```

Since: 4.13

## Unsafe conversions (for advanced users)

This section describes unsafe, low-level conversion functions between `bytes` and `string`. They do not copy the internal data; used improperly, they can break the immutability invariant on strings provided by the `-safe-string` option. They are available for expert library authors, but for most purposes you should use the always-correct `Bytes.to_string`[\[28.8\]](#) and `Bytes.of_string`[\[28.8\]](#) instead.

```
val unsafe_to_string : bytes -> string
```

Unsafely convert a byte sequence into a string.

To reason about the use of `unsafe_to_string`, it is convenient to consider an "ownership" discipline. A piece of code that manipulates some data "owns" it; there are several disjoint ownership modes, including:

- Unique ownership: the data may be accessed and mutated
- Shared ownership: the data has several owners, that may only access it, not mutate it.

Unique ownership is linear: passing the data to another piece of code means giving up ownership (we cannot write the data again). A unique owner may decide to make the data shared (giving up mutation rights on it), but shared data may not become uniquely-owned again.

`unsafe_to_string s` can only be used when the caller owns the byte sequence `s` – either uniquely or as shared immutable data. The caller gives up ownership of `s`, and gains ownership of the returned string.

There are two valid use-cases that respect this ownership discipline:

1. Creating a string by initializing and mutating a byte sequence that is never changed after initialization is performed.

```
let string_init len f : string =
  let s = Bytes.create len in
  for i = 0 to len - 1 do Bytes.set s i (f i) done;
  Bytes.unsafe_to_string s
```

This function is safe because the byte sequence `s` will never be accessed or mutated after `unsafe_to_string` is called. The `string_init` code gives up ownership of `s`, and returns the ownership of the resulting string to its caller.



Note that it would be unsafe if `s` was passed as an additional parameter to the function `f` as it could escape this way and be mutated in the future – `string_init` would give up ownership of `s` to pass it to `f`, and could not call `unsafe_to_string` safely.

We have provided the `String.init`[\[28.53\]](#), `String.map`[\[28.53\]](#) and `String.mapi`[\[28.53\]](#) functions to cover most cases of building new strings. You should prefer those over `to_string` or `unsafe_to_string` whenever applicable.

2. Temporarily giving ownership of a byte sequence to a function that expects a uniquely owned string and returns ownership back, so that we can mutate the sequence again after the call ended.

```
let bytes_length (s : bytes) =
  String.length (Bytes.unsafe_to_string s)
```

In this use-case, we do not promise that `s` will never be mutated after the call to `bytes_length s`. The `String.length`[\[28.53\]](#) function temporarily borrows unique ownership of the byte sequence (and sees it as a `string`), but returns this ownership back to the caller, which may assume that `s` is still a valid byte sequence after the call. Note that this is only correct because we know that `String.length`[\[28.53\]](#) does not capture its argument – it could escape by a side-channel such as a memoization combinator.

The caller may not mutate `s` while the string is borrowed (it has temporarily given up ownership). This affects concurrent programs, but also higher-order functions: if `String.length`[\[28.53\]](#) returned a closure to be called later, `s` should not be mutated until this closure is fully applied and returns ownership.

```
val unsafe_of_string : string -> bytes
```

Unsafely convert a shared string to a byte sequence that should not be mutated.

The same ownership discipline that makes `unsafe_to_string` correct applies to `unsafe_of_string`: you may use it if you were the owner of the `string` value, and you will own the return `bytes` in the same mode.

In practice, unique ownership of string values is extremely difficult to reason about correctly. You should always assume strings are shared, never uniquely owned.

For example, string literals are implicitly shared by the compiler, so you never uniquely own them.

```
let incorrect = Bytes.unsafe_of_string "hello"
let s = Bytes.of_string "hello"
```

The first declaration is incorrect, because the string literal `"hello"` could be shared by the compiler with other parts of the program, and mutating `incorrect` is a bug. You must always use the second version, which performs a copy and is thus correct.

Assuming unique ownership of strings that are not string literals, but are (partly) built from string literals, is also incorrect. For example, mutating `unsafe_of_string ("foo" ^ s)`

could mutate the shared string `"foo"` – assuming a rope-like representation of strings. More generally, functions operating on strings will assume shared ownership, they do not preserve unique ownership. It is thus incorrect to assume unique ownership of the result of `unsafe_of_string`.

The only case we have reasonable confidence is safe is if the produced `bytes` is shared – used as an immutable byte sequence. This is possibly useful for incremental migration of low-level programs that manipulate immutable sequences of bytes (for example `Marshal.from_bytes`[\[28.34\]](#)) and previously used the `string` type for this purpose.

```
val split_on_char : char -> bytes -> bytes list
```

`split_on_char sep s` returns the list of all (possibly empty) subsequences of `s` that are delimited by the `sep` character.

The function's output is specified by the following invariants:

- The list is not empty.
- Concatenating its elements using `sep` as a separator returns a byte sequence equal to the input (`Bytes.concat (Bytes.make 1 sep) (Bytes.split_on_char sep s) = s`).
- No byte sequence in the result contains the `sep` character.

**Since:** 4.13

## Iterators

```
val to_seq : t -> char Seq.t
```

Iterate on the string, in increasing index order. Modifications of the string during iteration will be reflected in the sequence.

**Since:** 4.07

```
val to_seqi : t -> (int * char) Seq.t
```

Iterate on the string, in increasing order, yielding indices along chars

**Since:** 4.07

```
val of_seq : char Seq.t -> t
```

Create a string from the generator

**Since:** 4.07

## UTF codecs and validations

### UTF-8

```
val get_utf_8_uchar : t -> int -> Uchar.utf_decode
```

`get_utf_8_uchar b i` decodes an UTF-8 character at index `i` in `b`.

```
val set_utf_8_uchar : t -> int -> Uchar.t -> int
    set_utf_8_uchar b i u UTF-8 encodes u at index i in b and returns the number of bytes n
    that were written starting at i. If n is 0 there was not enough space to encode u at i and b
    was left untouched. Otherwise a new character can be encoded at i + n.

val is_valid_utf_8 : t -> bool
    is_valid_utf_8 b is true if and only if b contains valid UTF-8 data.
```

## UTF-16BE

```
val get_utf_16be_uchar : t -> int -> Uchar.utf_decode
    get_utf_16be_uchar b i decodes an UTF-16BE character at index i in b.

val set_utf_16be_uchar : t -> int -> Uchar.t -> int
    set_utf_16be_uchar b i u UTF-16BE encodes u at index i in b and returns the number of
    bytes n that were written starting at i. If n is 0 there was not enough space to encode u at i
    and b was left untouched. Otherwise a new character can be encoded at i + n.

val is_valid_utf_16be : t -> bool
    is_valid_utf_16be b is true if and only if b contains valid UTF-16BE data.
```

## UTF-16LE

```
val get_utf_16le_uchar : t -> int -> Uchar.utf_decode
    get_utf_16le_uchar b i decodes an UTF-16LE character at index i in b.

val set_utf_16le_uchar : t -> int -> Uchar.t -> int
    set_utf_16le_uchar b i u UTF-16LE encodes u at index i in b and returns the number of
    bytes n that were written starting at i. If n is 0 there was not enough space to encode u at i
    and b was left untouched. Otherwise a new character can be encoded at i + n.

val is_valid_utf_16le : t -> bool
    is_valid_utf_16le b is true if and only if b contains valid UTF-16LE data.
```

## Binary encoding/decoding of integers

The functions in this section binary encode and decode integers to and from byte sequences.

All following functions raise `Invalid_argument` if the space needed at index `i` to decode or encode the integer is not available.

Little-endian (resp. big-endian) encoding means that least (resp. most) significant bytes are stored first. Big-endian is also known as network byte order. Native-endian encoding is either little-endian or big-endian depending on `Sys.big_endian`[\[28.55\]](#).

32-bit and 64-bit integers are represented by the `int32` and `int64` types, which can be interpreted either as signed or unsigned numbers.

8-bit and 16-bit integers are represented by the `int` type, which has more bits than the binary encoding. These extra bits are handled as follows:

- Functions that decode signed (resp. unsigned) 8-bit or 16-bit integers represented by `int` values sign-extend (resp. zero-extend) their result.
- Functions that encode 8-bit or 16-bit integers represented by `int` values truncate their input to their least significant bytes.

```
val get_uint8 : bytes -> int -> int
```

`get_uint8 b i` is `b`'s unsigned 8-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int8 : bytes -> int -> int
```

`get_int8 b i` is `b`'s signed 8-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_uint16_ne : bytes -> int -> int
```

`get_uint16_ne b i` is `b`'s native-endian unsigned 16-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_uint16_be : bytes -> int -> int
```

`get_uint16_be b i` is `b`'s big-endian unsigned 16-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_uint16_le : bytes -> int -> int
```

`get_uint16_le b i` is `b`'s little-endian unsigned 16-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int16_ne : bytes -> int -> int
```

`get_int16_ne b i` is `b`'s native-endian signed 16-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int16_be : bytes -> int -> int
```

`get_int16_be b i` is `b`'s big-endian signed 16-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int16_le : bytes -> int -> int
```

`get_int16_le b i` is `b`'s little-endian signed 16-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int32_ne : bytes -> int -> int32
```

`get_int32_ne b i` is `b`'s native-endian 32-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int32_be : bytes -> int -> int32
```

`get_int32_be b i` is `b`'s big-endian 32-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int32_le : bytes -> int -> int32
```

`get_int32_le b i` is `b`'s little-endian 32-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int64_ne : bytes -> int -> int64
```

`get_int64_ne b i` is `b`'s native-endian 64-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int64_be : bytes -> int -> int64
```

`get_int64_be b i` is `b`'s big-endian 64-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int64_le : bytes -> int -> int64
```

`get_int64_le b i` is `b`'s little-endian 64-bit integer starting at byte index `i`.

**Since:** 4.08

```
val set_uint8 : bytes -> int -> int -> unit
```

`set_uint8 b i v` sets `b`'s unsigned 8-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

```
val set_int8 : bytes -> int -> int -> unit
```

`set_int8 b i v` sets `b`'s signed 8-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

```
val set_uint16_ne : bytes -> int -> int -> unit
```

`set_uint16_ne b i v` sets `b`'s native-endian unsigned 16-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

```
val set_uint16_be : bytes -> int -> int -> unit
```

`set_uint16_be b i v` sets `b`'s big-endian unsigned 16-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

```
val set_uint16_le : bytes -> int -> int -> unit
```

set\_uint16\_le b i v sets b's little-endian unsigned 16-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int16_ne : bytes -> int -> int -> unit
```

set\_int16\_ne b i v sets b's native-endian signed 16-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int16_be : bytes -> int -> int -> unit
```

set\_int16\_be b i v sets b's big-endian signed 16-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int16_le : bytes -> int -> int -> unit
```

set\_int16\_le b i v sets b's little-endian signed 16-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int32_ne : bytes -> int -> int32 -> unit
```

set\_int32\_ne b i v sets b's native-endian 32-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int32_be : bytes -> int -> int32 -> unit
```

set\_int32\_be b i v sets b's big-endian 32-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int32_le : bytes -> int -> int32 -> unit
```

set\_int32\_le b i v sets b's little-endian 32-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int64_ne : bytes -> int -> int64 -> unit
```

set\_int64\_ne b i v sets b's native-endian 64-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int64_be : bytes -> int -> int64 -> unit
```

set\_int64\_be b i v sets b's big-endian 64-bit integer starting at byte index i to v.

**Since:** 4.08

```
val set_int64_le : bytes -> int -> int64 -> unit
```

set\_int64\_le b i v sets b's little-endian 64-bit integer starting at byte index i to v.

**Since:** 4.08

## Byte sequences and concurrency safety

Care must be taken when concurrently accessing byte sequences from multiple domains: accessing a byte sequence will never crash a program, but unsynchronized accesses might yield surprising (non-sequentially-consistent) results.

### Atomicity

Every byte sequence operation that accesses more than one byte is not atomic. This includes iteration and scanning.

For example, consider the following program:

```
let size = 100_000_000
let b = Bytes.make size ' '
let update b f () =
  Bytes.iteri (fun i x -> Bytes.set b i (Char.chr (f (Char.code x)))) b
let d1 = Domain.spawn (update b (fun x -> x + 1))
let d2 = Domain.spawn (update b (fun x -> 2 * x + 1))
let () = Domain.join d1; Domain.join d2
```

the bytes sequence `b` may contain a non-deterministic mixture of `'!'`, `'A'`, `'B'`, and `'C'` values.

After executing this code, each byte of the sequence `b` is either `'!'`, `'A'`, `'B'`, or `'C'`. If atomicity is required, then the user must implement their own synchronization (for example, using `Mutex.t`[\[28.36\]](#)).

### Data races

If two domains only access disjoint parts of a byte sequence, then the observed behaviour is the equivalent to some sequential interleaving of the operations from the two domains.

A data race is said to occur when two domains access the same byte without synchronization and at least one of the accesses is a write. In the absence of data races, the observed behaviour is equivalent to some sequential interleaving of the operations from different domains.

Whenever possible, data races should be avoided by using synchronization to mediate the accesses to the elements of the sequence.

Indeed, in the presence of data races, programs will not crash but the observed behaviour may not be equivalent to any sequential interleaving of operations from different domains. Nevertheless, even in the presence of data races, a read operation will return the value of some prior write to that location.

### Mixed-size accesses

Another subtle point is that if a data race involves mixed-size writes and reads to the same location, the order in which those writes and reads are observed by domains is not specified. For instance, the following code write sequentially a 32-bit integer and a `char` to the same index

```
let b = Bytes.make 10 '\000'
let d1 = Domain.spawn (fun () -> Bytes.set_int32_ne b 0 100; b.[0] <- 'd' )
```

In this situation, a domain that observes the write of 'd' to b.0 is not guaranteed to also observe the write to indices 1, 2, or 3.

## 28.9 Module BytesLabels : Byte sequence operations.

A byte sequence is a mutable data structure that contains a fixed-length sequence of bytes. Each byte can be indexed in constant time for reading or writing.

Given a byte sequence `s` of length `l`, we can access each of the `l` bytes of `s` via its index in the sequence. Indexes start at 0, and we will call an index valid in `s` if it falls within the range `[0..l-1]` (inclusive). A position is the point between two bytes or at the beginning or end of the sequence. We call a position valid in `s` if it falls within the range `[0..l]` (inclusive). Note that the byte at index `n` is between positions `n` and `n+1`.

Two parameters `start` and `len` are said to designate a valid range of `s` if `len >= 0` and `start` and `start+len` are valid positions in `s`.

Byte sequences can be modified in place, for instance via the `set` and `blit` functions described below. See also strings (module `String`[28.53]), which are almost the same data structure, but cannot be modified in place.

Bytes are represented by the OCaml type `char`.

The labeled version of this module can be used as described in the `StdLabels`[28.52] module.

**Since:** 4.02

```
val length : bytes -> int
```

Return the length (number of bytes) of the argument.

```
val get : bytes -> int -> char
```

`get s n` returns the byte at index `n` in argument `s`.

**Raises** `Invalid_argument` if `n` is not a valid index in `s`.

```
val set : bytes -> int -> char -> unit
```

`set s n c` modifies `s` in place, replacing the byte at index `n` with `c`.

**Raises** `Invalid_argument` if `n` is not a valid index in `s`.

```
val create : int -> bytes
```

`create n` returns a new byte sequence of length `n`. The sequence is uninitialized and contains arbitrary bytes.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_string_length`[28.55].

```
val make : int -> char -> bytes
```

`make n c` returns a new byte sequence of length `n`, filled with the byte `c`.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_string_length`[28.55].

```
val init : int -> f:(int -> char) -> bytes
```



`init n f` returns a fresh byte sequence of length `n`, with character `i` initialized to the result of `f i` (in increasing index order).

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_string_length`[28.55].

`val empty : bytes`

A byte sequence of size 0.

`val copy : bytes -> bytes`

Return a new byte sequence that contains the same bytes as the argument.

`val of_string : string -> bytes`

Return a new byte sequence that contains the same bytes as the given string.

`val to_string : bytes -> string`

Return a new string that contains the same bytes as the given byte sequence.

`val sub : bytes -> pos:int -> len:int -> bytes`

`sub s ~pos ~len` returns a new byte sequence of length `len`, containing the subsequence of `s` that starts at position `pos` and has length `len`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid range of `s`.

`val sub_string : bytes -> pos:int -> len:int -> string`

Same as `BytesLabels.sub`[28.9] but return a string instead of a byte sequence.

`val extend : bytes -> left:int -> right:int -> bytes`

`extend s ~left ~right` returns a new byte sequence that contains the bytes of `s`, with `left` uninitialized bytes prepended and `right` uninitialized bytes appended to it. If `left` or `right` is negative, then bytes are removed (instead of appended) from the corresponding side of `s`.

**Since:** 4.05 in `BytesLabels`

**Raises** `Invalid_argument` if the result length is negative or longer than `Sys.max_string_length`[28.55] bytes.

`val fill : bytes -> pos:int -> len:int -> char -> unit`

`fill s ~pos ~len c` modifies `s` in place, replacing `len` characters with `c`, starting at `pos`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid range of `s`.

`val blit :`

`src:bytes -> src_pos:int -> dst:bytes -> dst_pos:int -> len:int -> unit`

`blit ~src ~src_pos ~dst ~dst_pos ~len` copies `len` bytes from byte sequence `src`, starting at index `src_pos`, to byte sequence `dst`, starting at index `dst_pos`. It works correctly even if `src` and `dst` are the same byte sequence, and the source and destination intervals overlap.

**Raises** `Invalid_argument` if `src_pos` and `len` do not designate a valid range of `src`, or if `dst_pos` and `len` do not designate a valid range of `dst`.

**val blit\_string :**

**src:string -> src\_pos:int -> dst:bytes -> dst\_pos:int -> len:int -> unit**

**blit\_string ~src ~src\_pos ~dst ~dst\_pos ~len** copies **len** bytes from string **src**, starting at index **src\_pos**, to byte sequence **dst**, starting at index **dst\_pos**.

**Since:** 4.05 in BytesLabels

**Raises Invalid\_argument** if **src\_pos** and **len** do not designate a valid range of **src**, or if **dst\_pos** and **len** do not designate a valid range of **dst**.

**val concat : sep:bytes -> bytes list -> bytes**

**concat ~sep s1** concatenates the list of byte sequences **s1**, inserting the separator byte sequence **sep** between each, and returns the result as a new byte sequence.

**Raises Invalid\_argument** if the result is longer than **Sys.max\_string\_length**[\[28.55\]](#) bytes.

**val cat : bytes -> bytes -> bytes**

**cat s1 s2** concatenates **s1** and **s2** and returns the result as a new byte sequence.

**Since:** 4.05 in BytesLabels

**Raises Invalid\_argument** if the result is longer than **Sys.max\_string\_length**[\[28.55\]](#) bytes.

**val iter : f:(char -> unit) -> bytes -> unit**

**iter ~f s** applies function **f** in turn to all the bytes of **s**. It is equivalent to **f (get s 0); f (get s 1); ...; f (get s (length s - 1)); ()**.

**val iteri : f:(int -> char -> unit) -> bytes -> unit**

Same as **BytesLabels.iter**[\[28.9\]](#), but the function is applied to the index of the byte as first argument and the byte itself as second argument.

**val map : f:(char -> char) -> bytes -> bytes**

**map ~f s** applies function **f** in turn to all the bytes of **s** (in increasing index order) and stores the resulting bytes in a new sequence that is returned as the result.

**val mapi : f:(int -> char -> char) -> bytes -> bytes**

**mapi ~f s** calls **f** with each character of **s** and its index (in increasing index order) and stores the resulting bytes in a new sequence that is returned as the result.

**val fold\_left : f:('acc -> char -> 'acc) -> init:'acc -> bytes -> 'acc**

**fold\_left f x s** computes **f (... (f (f x (get s 0)) (get s 1)) ...) (get s (n-1))**, where **n** is the length of **s**.

**Since:** 4.13

**val fold\_right : f:(char -> 'acc -> 'acc) -> bytes -> init:'acc -> 'acc**

**fold\_right f s x** computes **f (get s 0) (f (get s 1) (... (f (get s (n-1)) x) ...))**, where **n** is the length of **s**.

**Since:** 4.13

**val** `for_all` : `f:(char -> bool) -> bytes -> bool`

`for_all p s` checks if all characters in `s` satisfy the predicate `p`.

**Since:** 4.13

**val** `exists` : `f:(char -> bool) -> bytes -> bool`

`exists p s` checks if at least one character of `s` satisfies the predicate `p`.

**Since:** 4.13

**val** `trim` : `bytes -> bytes`

Return a copy of the argument, without leading and trailing whitespace. The bytes regarded as whitespace are the ASCII characters ' ', '\012', '\n', '\r', and '\t'.

**val** `escaped` : `bytes -> bytes`

Return a copy of the argument, with special characters represented by escape sequences, following the lexical conventions of OCaml. All characters outside the ASCII printable range (32..126) are escaped, as well as backslash and double-quote.

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

**val** `index` : `bytes -> char -> int`

`index s c` returns the index of the first occurrence of byte `c` in `s`.

**Raises** `Not_found` if `c` does not occur in `s`.

**val** `index_opt` : `bytes -> char -> int option`

`index_opt s c` returns the index of the first occurrence of byte `c` in `s` or `None` if `c` does not occur in `s`.

**Since:** 4.05

**val** `rindex` : `bytes -> char -> int`

`rindex s c` returns the index of the last occurrence of byte `c` in `s`.

**Raises** `Not_found` if `c` does not occur in `s`.

**val** `rindex_opt` : `bytes -> char -> int option`

`rindex_opt s c` returns the index of the last occurrence of byte `c` in `s` or `None` if `c` does not occur in `s`.

**Since:** 4.05

**val** `index_from` : `bytes -> int -> char -> int`

`index_from s i c` returns the index of the first occurrence of byte `c` in `s` after position `i`.

`index s c` is equivalent to `index_from s 0 c`.

**Raises**

- `Invalid_argument` if `i` is not a valid position in `s`.

- `Not_found` if `c` does not occur in `s` after position `i`.

`val index_from_opt : bytes -> int -> char -> int option`

`index_from_opt s i c` returns the index of the first occurrence of byte `c` in `s` after position `i` or `None` if `c` does not occur in `s` after position `i`. `index_opt s c` is equivalent to `index_from_opt s 0 c`.

**Since:** 4.05

**Raises** `Invalid_argument` if `i` is not a valid position in `s`.

`val rindex_from : bytes -> int -> char -> int`

`rindex_from s i c` returns the index of the last occurrence of byte `c` in `s` before position `i+1`. `rindex s c` is equivalent to `rindex_from s (length s - 1) c`.

**Raises**

- `Invalid_argument` if `i+1` is not a valid position in `s`.
- `Not_found` if `c` does not occur in `s` before position `i+1`.

`val rindex_from_opt : bytes -> int -> char -> int option`

`rindex_from_opt s i c` returns the index of the last occurrence of byte `c` in `s` before position `i+1` or `None` if `c` does not occur in `s` before position `i+1`. `rindex_opt s c` is equivalent to `rindex_from s (length s - 1) c`.

**Since:** 4.05

**Raises** `Invalid_argument` if `i+1` is not a valid position in `s`.

`val contains : bytes -> char -> bool`

`contains s c` tests if byte `c` appears in `s`.

`val contains_from : bytes -> int -> char -> bool`

`contains_from s start c` tests if byte `c` appears in `s` after position `start`. `contains s c` is equivalent to `contains_from s 0 c`.

**Raises** `Invalid_argument` if `start` is not a valid position in `s`.

`val rcontains_from : bytes -> int -> char -> bool`

`rcontains_from s stop c` tests if byte `c` appears in `s` before position `stop+1`.

**Raises** `Invalid_argument` if `stop < 0` or `stop+1` is not a valid position in `s`.

`val uppercase_ascii : bytes -> bytes`

Return a copy of the argument, with all lowercase letters translated to uppercase, using the US-ASCII character set.

**Since:** 4.05

`val lowercase_ascii : bytes -> bytes`

Return a copy of the argument, with all uppercase letters translated to lowercase, using the US-ASCII character set.

**Since:** 4.05

**val** `capitalize_ascii` : bytes -> bytes

Return a copy of the argument, with the first character set to uppercase, using the US-ASCII character set.

**Since:** 4.05

**val** `uncapitalize_ascii` : bytes -> bytes

Return a copy of the argument, with the first character set to lowercase, using the US-ASCII character set.

**Since:** 4.05

**type** `t` = bytes

An alias for the type of byte sequences.

**val** `compare` : t -> t -> int

The comparison function for byte sequences, with the same specification as `compare`[\[27.2\]](#). Along with the type `t`, this function `compare` allows the module `Bytes` to be passed as argument to the functors `Set.Make`[\[28.49\]](#) and `Map.Make`[\[28.33\]](#).

**val** `equal` : t -> t -> bool

The equality function for byte sequences.

**Since:** 4.05

**val** `starts_with` : prefix:bytes -> bytes -> bool

`starts_with ~prefix s` is true if and only if `s` starts with `prefix`.

**Since:** 4.13

**val** `ends_with` : suffix:bytes -> bytes -> bool

`ends_with ~suffix s` is true if and only if `s` ends with `suffix`.

**Since:** 4.13

## Unsafe conversions (for advanced users)

This section describes unsafe, low-level conversion functions between `bytes` and `string`. They do not copy the internal data; used improperly, they can break the immutability invariant on strings provided by the `-safe-string` option. They are available for expert library authors, but for most purposes you should use the always-correct `BytesLabels.to_string`[\[28.9\]](#) and `BytesLabels.of_string`[\[28.9\]](#) instead.

**val** `unsafe_to_string` : bytes -> string

Unsafely convert a byte sequence into a string.

To reason about the use of `unsafe_to_string`, it is convenient to consider an "ownership" discipline. A piece of code that manipulates some data "owns" it; there are several disjoint ownership modes, including:

- Unique ownership: the data may be accessed and mutated
- Shared ownership: the data has several owners, that may only access it, not mutate it.

Unique ownership is linear: passing the data to another piece of code means giving up ownership (we cannot write the data again). A unique owner may decide to make the data shared (giving up mutation rights on it), but shared data may not become uniquely-owned again.

`unsafe_to_string s` can only be used when the caller owns the byte sequence `s` – either uniquely or as shared immutable data. The caller gives up ownership of `s`, and gains ownership of the returned string.

There are two valid use-cases that respect this ownership discipline:

1. Creating a string by initializing and mutating a byte sequence that is never changed after initialization is performed.

```
let string_init len f : string =
  let s = Bytes.create len in
  for i = 0 to len - 1 do Bytes.set s i (f i) done;
  Bytes.unsafe_to_string s
```

This function is safe because the byte sequence `s` will never be accessed or mutated after `unsafe_to_string` is called. The `string_init` code gives up ownership of `s`, and returns the ownership of the resulting string to its caller.

Note that it would be unsafe if `s` was passed as an additional parameter to the function `f` as it could escape this way and be mutated in the future – `string_init` would give up ownership of `s` to pass it to `f`, and could not call `unsafe_to_string` safely.

We have provided the `String.init`[\[28.53\]](#), `String.map`[\[28.53\]](#) and `String.mapi`[\[28.53\]](#) functions to cover most cases of building new strings. You should prefer those over `to_string` or `unsafe_to_string` whenever applicable.

2. Temporarily giving ownership of a byte sequence to a function that expects a uniquely owned string and returns ownership back, so that we can mutate the sequence again after the call ended.

```
let bytes_length (s : bytes) =
  String.length (Bytes.unsafe_to_string s)
```

In this use-case, we do not promise that `s` will never be mutated after the call to `bytes_length s`. The `String.length`[\[28.53\]](#) function temporarily borrows unique ownership

of the byte sequence (and sees it as a `string`), but returns this ownership back to the caller, which may assume that `s` is still a valid byte sequence after the call. Note that this is only correct because we know that `String.length`[\[28.53\]](#) does not capture its argument – it could escape by a side-channel such as a memoization combinator.

The caller may not mutate `s` while the string is borrowed (it has temporarily given up ownership). This affects concurrent programs, but also higher-order functions: if `String.length`[\[28.53\]](#) returned a closure to be called later, `s` should not be mutated until this closure is fully applied and returns ownership.

```
val unsafe_of_string : string -> bytes
```

Unsafely convert a shared string to a byte sequence that should not be mutated.

The same ownership discipline that makes `unsafe_to_string` correct applies to `unsafe_of_string`: you may use it if you were the owner of the `string` value, and you will own the return `bytes` in the same mode.

In practice, unique ownership of string values is extremely difficult to reason about correctly. You should always assume strings are shared, never uniquely owned.

For example, string literals are implicitly shared by the compiler, so you never uniquely own them.

```
let incorrect = Bytes.unsafe_of_string "hello"
let s = Bytes.of_string "hello"
```

The first declaration is incorrect, because the string literal `"hello"` could be shared by the compiler with other parts of the program, and mutating `incorrect` is a bug. You must always use the second version, which performs a copy and is thus correct.

Assuming unique ownership of strings that are not string literals, but are (partly) built from string literals, is also incorrect. For example, mutating `unsafe_of_string ("foo" ^ s)` could mutate the shared string `"foo"` – assuming a rope-like representation of strings. More generally, functions operating on strings will assume shared ownership, they do not preserve unique ownership. It is thus incorrect to assume unique ownership of the result of `unsafe_of_string`.

The only case we have reasonable confidence is safe is if the produced `bytes` is shared – used as an immutable byte sequence. This is possibly useful for incremental migration of low-level programs that manipulate immutable sequences of bytes (for example `Marshal.from_bytes`[\[28.34\]](#)) and previously used the `string` type for this purpose.

```
val split_on_char : sep:char -> bytes -> bytes list
```

`split_on_char sep s` returns the list of all (possibly empty) subsequences of `s` that are delimited by the `sep` character.

The function's output is specified by the following invariants:

- The list is not empty.

- Concatenating its elements using `sep` as a separator returns a byte sequence equal to the input (`Bytes.concat (Bytes.make 1 sep) (Bytes.split_on_char sep s) = s`).
- No byte sequence in the result contains the `sep` character.

**Since:** 4.13

## Iterators

`val to_seq : t -> char Seq.t`

Iterate on the string, in increasing index order. Modifications of the string during iteration will be reflected in the sequence.

**Since:** 4.07

`val to_seqi : t -> (int * char) Seq.t`

Iterate on the string, in increasing order, yielding indices along chars

**Since:** 4.07

`val of_seq : char Seq.t -> t`

Create a string from the generator

**Since:** 4.07

## UTF codecs and validations

### UTF-8

`val get_utf_8_uchar : t -> int -> Uchar.utf_decode`

`get_utf_8_uchar b i` decodes an UTF-8 character at index `i` in `b`.

`val set_utf_8_uchar : t -> int -> Uchar.t -> int`

`set_utf_8_uchar b i u` UTF-8 encodes `u` at index `i` in `b` and returns the number of bytes `n` that were written starting at `i`. If `n` is 0 there was not enough space to encode `u` at `i` and `b` was left untouched. Otherwise a new character can be encoded at `i + n`.

`val is_valid_utf_8 : t -> bool`

`is_valid_utf_8 b` is true if and only if `b` contains valid UTF-8 data.

### UTF-16BE

`val get_utf_16be_uchar : t -> int -> Uchar.utf_decode`

`get_utf_16be_uchar b i` decodes an UTF-16BE character at index `i` in `b`.

`val set_utf_16be_uchar : t -> int -> Uchar.t -> int`



`set_utf_16be_uchar b i u` UTF-16BE encodes `u` at index `i` in `b` and returns the number of bytes `n` that were written starting at `i`. If `n` is 0 there was not enough space to encode `u` at `i` and `b` was left untouched. Otherwise a new character can be encoded at `i + n`.

```
val is_valid_utf_16be : t -> bool
```

`is_valid_utf_16be b` is `true` if and only if `b` contains valid UTF-16BE data.

## UTF-16LE

```
val get_utf_16le_uchar : t -> int -> Uchar.utf_decode
```

`get_utf_16le_uchar b i` decodes an UTF-16LE character at index `i` in `b`.

```
val set_utf_16le_uchar : t -> int -> Uchar.t -> int
```

`set_utf_16le_uchar b i u` UTF-16LE encodes `u` at index `i` in `b` and returns the number of bytes `n` that were written starting at `i`. If `n` is 0 there was not enough space to encode `u` at `i` and `b` was left untouched. Otherwise a new character can be encoded at `i + n`.

```
val is_valid_utf_16le : t -> bool
```

`is_valid_utf_16le b` is `true` if and only if `b` contains valid UTF-16LE data.

## Binary encoding/decoding of integers

The functions in this section binary encode and decode integers to and from byte sequences.

All following functions raise `Invalid_argument` if the space needed at index `i` to decode or encode the integer is not available.

Little-endian (resp. big-endian) encoding means that least (resp. most) significant bytes are stored first. Big-endian is also known as network byte order. Native-endian encoding is either little-endian or big-endian depending on `Sys.big_endian`[\[28.55\]](#).

32-bit and 64-bit integers are represented by the `int32` and `int64` types, which can be interpreted either as signed or unsigned numbers.

8-bit and 16-bit integers are represented by the `int` type, which has more bits than the binary encoding. These extra bits are handled as follows:

- Functions that decode signed (resp. unsigned) 8-bit or 16-bit integers represented by `int` values sign-extend (resp. zero-extend) their result.
- Functions that encode 8-bit or 16-bit integers represented by `int` values truncate their input to their least significant bytes.

```
val get_uint8 : bytes -> int -> int
```

`get_uint8 b i` is `b`'s unsigned 8-bit integer starting at byte index `i`.

**Since:** 4.08

```
val get_int8 : bytes -> int -> int
```

`get_int8 b i` is b's signed 8-bit integer starting at byte index i.

**Since:** 4.08

`val get_uint16_ne : bytes -> int -> int`

`get_uint16_ne b i` is b's native-endian unsigned 16-bit integer starting at byte index i.

**Since:** 4.08

`val get_uint16_be : bytes -> int -> int`

`get_uint16_be b i` is b's big-endian unsigned 16-bit integer starting at byte index i.

**Since:** 4.08

`val get_uint16_le : bytes -> int -> int`

`get_uint16_le b i` is b's little-endian unsigned 16-bit integer starting at byte index i.

**Since:** 4.08

`val get_int16_ne : bytes -> int -> int`

`get_int16_ne b i` is b's native-endian signed 16-bit integer starting at byte index i.

**Since:** 4.08

`val get_int16_be : bytes -> int -> int`

`get_int16_be b i` is b's big-endian signed 16-bit integer starting at byte index i.

**Since:** 4.08

`val get_int16_le : bytes -> int -> int`

`get_int16_le b i` is b's little-endian signed 16-bit integer starting at byte index i.

**Since:** 4.08

`val get_int32_ne : bytes -> int -> int32`

`get_int32_ne b i` is b's native-endian 32-bit integer starting at byte index i.

**Since:** 4.08

`val get_int32_be : bytes -> int -> int32`

`get_int32_be b i` is b's big-endian 32-bit integer starting at byte index i.

**Since:** 4.08

`val get_int32_le : bytes -> int -> int32`

`get_int32_le b i` is b's little-endian 32-bit integer starting at byte index i.

**Since:** 4.08

`val get_int64_ne : bytes -> int -> int64`

`get_int64_ne b i` is `b`'s native-endian 64-bit integer starting at byte index `i`.

**Since:** 4.08

`val get_int64_be : bytes -> int -> int64`

`get_int64_be b i` is `b`'s big-endian 64-bit integer starting at byte index `i`.

**Since:** 4.08

`val get_int64_le : bytes -> int -> int64`

`get_int64_le b i` is `b`'s little-endian 64-bit integer starting at byte index `i`.

**Since:** 4.08

`val set_uint8 : bytes -> int -> int -> unit`

`set_uint8 b i v` sets `b`'s unsigned 8-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

`val set_int8 : bytes -> int -> int -> unit`

`set_int8 b i v` sets `b`'s signed 8-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

`val set_uint16_ne : bytes -> int -> int -> unit`

`set_uint16_ne b i v` sets `b`'s native-endian unsigned 16-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

`val set_uint16_be : bytes -> int -> int -> unit`

`set_uint16_be b i v` sets `b`'s big-endian unsigned 16-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

`val set_uint16_le : bytes -> int -> int -> unit`

`set_uint16_le b i v` sets `b`'s little-endian unsigned 16-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

`val set_int16_ne : bytes -> int -> int -> unit`

`set_int16_ne b i v` sets `b`'s native-endian signed 16-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

`val set_int16_be : bytes -> int -> int -> unit`

`set_int16_be b i v` sets `b`'s big-endian signed 16-bit integer starting at byte index `i` to `v`.

**Since:** 4.08

```

val set_int16_le : bytes -> int -> int -> unit
    set_int16_le b i v sets b's little-endian signed 16-bit integer starting at byte index i to v.
    Since: 4.08

val set_int32_ne : bytes -> int -> int32 -> unit
    set_int32_ne b i v sets b's native-endian 32-bit integer starting at byte index i to v.
    Since: 4.08

val set_int32_be : bytes -> int -> int32 -> unit
    set_int32_be b i v sets b's big-endian 32-bit integer starting at byte index i to v.
    Since: 4.08

val set_int32_le : bytes -> int -> int32 -> unit
    set_int32_le b i v sets b's little-endian 32-bit integer starting at byte index i to v.
    Since: 4.08

val set_int64_ne : bytes -> int -> int64 -> unit
    set_int64_ne b i v sets b's native-endian 64-bit integer starting at byte index i to v.
    Since: 4.08

val set_int64_be : bytes -> int -> int64 -> unit
    set_int64_be b i v sets b's big-endian 64-bit integer starting at byte index i to v.
    Since: 4.08

val set_int64_le : bytes -> int -> int64 -> unit
    set_int64_le b i v sets b's little-endian 64-bit integer starting at byte index i to v.
    Since: 4.08

```

## Byte sequences and concurrency safety

Care must be taken when concurrently accessing byte sequences from multiple domains: accessing a byte sequence will never crash a program, but unsynchronized accesses might yield surprising (non-sequentially-consistent) results.

### Atomicity

Every byte sequence operation that accesses more than one byte is not atomic. This includes iteration and scanning.

For example, consider the following program:

```

let size = 100_000_000
let b = Bytes.make size ' '
let update b f () =

```

```

Bytes.iteri (fun i x -> Bytes.set b i (Char.chr (f (Char.code x)))) b
let d1 = Domain.spawn (update b (fun x -> x + 1))
let d2 = Domain.spawn (update b (fun x -> 2 * x + 1))
let () = Domain.join d1; Domain.join d2

```

the bytes sequence `b` may contain a non-deterministic mixture of `'!'`, `'A'`, `'B'`, and `'C'` values.

After executing this code, each byte of the sequence `b` is either `'!'`, `'A'`, `'B'`, or `'C'`. If atomicity is required, then the user must implement their own synchronization (for example, using `Mutex.t`[\[28.36\]](#)).

## Data races

If two domains only access disjoint parts of a byte sequence, then the observed behaviour is the equivalent to some sequential interleaving of the operations from the two domains.

A data race is said to occur when two domains access the same byte without synchronization and at least one of the accesses is a write. In the absence of data races, the observed behaviour is equivalent to some sequential interleaving of the operations from different domains.

Whenever possible, data races should be avoided by using synchronization to mediate the accesses to the elements of the sequence.

Indeed, in the presence of data races, programs will not crash but the observed behaviour may not be equivalent to any sequential interleaving of operations from different domains. Nevertheless, even in the presence of data races, a read operation will return the value of some prior write to that location.

## Mixed-size accesses

Another subtle point is that if a data race involves mixed-size writes and reads to the same location, the order in which those writes and reads are observed by domains is not specified. For instance, the following code write sequentially a 32-bit integer and a `char` to the same index

```

let b = Bytes.make 10 '\000'
let d1 = Domain.spawn (fun () -> Bytes.set_int32_ne b 0 100; b.[0] <- 'd' )

```

In this situation, a domain that observes the write of `'d'` to `b.0` is not guaranteed to also observe the write to indices 1, 2, or 3.

## 28.10 Module Callback : Registering OCaml values with the C runtime.

This module allows OCaml values to be registered with the C runtime under a symbolic name, so that C code can later call back registered OCaml functions, or raise registered OCaml exceptions.

```

val register : string -> 'a -> unit

```

`Callback.register n v` registers the value `v` under the name `n`. C code can later retrieve a handle to `v` by calling `caml_named_value(n)`.

`val register_exception : string -> exn -> unit`

`Callback.register_exception n exn` registers the exception contained in the exception value `exn` under the name `n`. C code can later retrieve a handle to the exception by calling `caml_named_value(n)`. The exception value thus obtained is suitable for passing as first argument to `raise_constant` or `raise_with_arg`.

## 28.11 Module Char : Character operations.

`val code : char -> int`

Return the ASCII code of the argument.

`val chr : int -> char`

Return the character with the given ASCII code.

**Raises** `Invalid_argument` if the argument is outside the range 0–255.

`val escaped : char -> string`

Return a string representing the given character, with special characters escaped following the lexical conventions of OCaml. All characters outside the ASCII printable range (32..126) are escaped, as well as backslash, double-quote, and single-quote.

`val lowercase_ascii : char -> char`

Convert the given character to its equivalent lowercase character, using the US-ASCII character set.

**Since:** 4.03

`val uppercase_ascii : char -> char`

Convert the given character to its equivalent uppercase character, using the US-ASCII character set.

**Since:** 4.03

`type t = char`

An alias for the type of characters.

`val compare : t -> t -> int`

The comparison function for characters, with the same specification as `compare`[\[27.2\]](#). Along with the type `t`, this function `compare` allows the module `Char` to be passed as argument to the functors `Set.Make`[\[28.49\]](#) and `Map.Make`[\[28.33\]](#).

`val equal : t -> t -> bool`

The equal function for chars.

**Since:** 4.03

`val seeded_hash : int -> t -> int`

A seeded hash function for characters, with the same output value as `Hashtbl.seeded_hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 5.1

`val hash : t -> int`

An unseeded hash function for characters, with the same output value as `Hashtbl.hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.Make`[\[28.24\]](#).

**Since:** 5.1

## 28.12 Module `Complex` : Complex numbers.

This module provides arithmetic operations on complex numbers. Complex numbers are represented by their real and imaginary parts (cartesian representation). Each part is represented by a double-precision floating-point number (type `float`).

```
type t =
{ re : float ;
  im : float ;
}
```

The type of complex numbers. `re` is the real part and `im` the imaginary part.

`val zero : t`

The complex number 0.

`val one : t`

The complex number 1.

`val i : t`

The complex number `i`.

`val neg : t -> t`

Unary negation.

`val conj : t -> t`

Conjugate: given the complex `x + i.y`, returns `x - i.y`.

`val add : t -> t -> t`

Addition

```
val sub : t -> t -> t
```

Subtraction

```
val mul : t -> t -> t
```

Multiplication

```
val inv : t -> t
```

Multiplicative inverse ( $1/z$ ).

```
val div : t -> t -> t
```

Division

```
val sqrt : t -> t
```

Square root. The result  $x + i.y$  is such that  $x > 0$  or  $x = 0$  and  $y \geq 0$ . This function has a discontinuity along the negative real axis.

```
val norm2 : t -> float
```

Norm squared: given  $x + i.y$ , returns  $x^2 + y^2$ .

```
val norm : t -> float
```

Norm: given  $x + i.y$ , returns  $\text{sqrt}(x^2 + y^2)$ .

```
val arg : t -> float
```

Argument. The argument of a complex number is the angle in the complex plane between the positive real axis and a line passing through zero and the number. This angle ranges from  $-\pi$  to  $\pi$ . This function has a discontinuity along the negative real axis.

```
val polar : float -> float -> t
```

`polar norm arg` returns the complex having norm `norm` and argument `arg`.

```
val exp : t -> t
```

Exponentiation. `exp z` returns  $e$  to the  $z$  power.

```
val log : t -> t
```

Natural logarithm (in base  $e$ ).

```
val pow : t -> t -> t
```

Power function. `pow z1 z2` returns  $z1$  to the  $z2$  power.



### 28.13 Module Condition : Condition variables.

Condition variables are useful when several threads wish to access a shared data structure that is protected by a mutex (a mutual exclusion lock).

A condition variable is a *communication channel*. On the receiver side, one or more threads can indicate that they wish to *wait* for a certain property to become true. On the sender side, a thread can *signal* that this property has become true, causing one (or more) waiting threads to be woken up.

For instance, in the implementation of a queue data structure, if a thread that wishes to extract an element finds that the queue is currently empty, then this thread waits for the queue to become nonempty. A thread that inserts an element into the queue signals that the queue has become nonempty. A condition variable is used for this purpose. This communication channel conveys the information that the property "the queue is nonempty" is true, or more accurately, may be true. (We explain below why the receiver of a signal cannot be certain that the property holds.)

To continue the example of the queue, assuming that the queue has a fixed maximum capacity, then a thread that wishes to insert an element may find that the queue is full. Then, this thread must wait for the queue to become not full, and a thread that extracts an element of the queue signals that the queue has become not full. Another condition variable is used for this purpose.

In short, a condition variable *c* is used to convey the information that a certain property *P* about a shared data structure *D*, protected by a mutex *m*, may be true.

Condition variables provide an efficient alternative to busy-waiting. When one wishes to wait for the property *P* to be true, instead of writing a busy-waiting loop:

```
Mutex.lock m;
while not P do
  Mutex.unlock m; Mutex.lock m
done;
<update the data structure>;
Mutex.unlock m
```

one uses `Condition.wait`[\[28.13\]](#) in the body of the loop, as follows:

```
Mutex.lock m;
while not P do
  Condition.wait c m
done;
<update the data structure>;
Mutex.unlock m
```

The busy-waiting loop is inefficient because the waiting thread consumes processing time and creates contention of the mutex *m*. Calling `Condition.wait`[\[28.13\]](#) allows the waiting thread to be suspended, so it does not consume any computing resources while waiting.

With a condition variable *c*, exactly one mutex *m* is associated. This association is implicit: the mutex *m* is not explicitly passed as an argument to `Condition.create`[\[28.13\]](#). It is up to the programmer to know, for each condition variable *c*, which is the associated mutex *m*.

With a mutex *m*, several condition variables can be associated. In the example of the bounded queue, one condition variable is used to indicate that the queue is nonempty, and another condition variable is used to indicate that the queue is not full.

With a condition variable *c*, exactly one logical property *P* should be associated. Examples of such properties include "the queue is nonempty" and "the queue is not full". It is up to the programmer to keep track, for each condition variable, of the corresponding property *P*. A signal is sent on the condition variable *c* as an indication that the property *P* is true, or may be true. On the receiving end, however, a thread that is woken up cannot assume that *P* is true; after a call to `Condition.wait`[28.13] terminates, one must explicitly test whether *P* is true. There are several reasons why this is so. One reason is that, between the moment when the signal is sent and the moment when a waiting thread receives the signal and is scheduled, the property *P* may be falsified by some other thread that is able to acquire the mutex *m* and alter the data structure *D*. Another reason is that *spurious wakeups* may occur: a waiting thread can be woken up even if no signal was sent.

Here is a complete example, where a mutex protects a sequential unbounded queue, and where a condition variable is used to signal that the queue is nonempty.

```
type 'a safe_queue =
  { queue : 'a Queue.t; mutex : Mutex.t; nonempty : Condition.t }

let create () =
  { queue = Queue.create(); mutex = Mutex.create();
    nonempty = Condition.create() }

let add v q =
  Mutex.lock q.mutex;
  let was_empty = Queue.is_empty q.queue in
  Queue.add v q.queue;
  if was_empty then Condition.broadcast q.nonempty;
  Mutex.unlock q.mutex

let take q =
  Mutex.lock q.mutex;
  while Queue.is_empty q.queue do Condition.wait q.nonempty q.mutex done;
  let v = Queue.take q.queue in (* cannot fail since queue is nonempty *)
  Mutex.unlock q.mutex;
  v
```

Because the call to `Condition.broadcast`[28.13] takes place inside the critical section, the following property holds whenever the mutex is unlocked: *if the queue is nonempty, then no thread is waiting*, or, in other words, *if some thread is waiting, then the queue must be empty*. This is a desirable property: if a thread that attempts to execute a `take` operation could remain suspended even though the queue is nonempty, that would be a problematic situation, known as a *deadlock*.

```
type t
```

The type of condition variables.

```
val create : unit -> t
```

`create()` creates and returns a new condition variable. This condition variable should be associated (in the programmer's mind) with a certain mutex `m` and with a certain property  $P$  of the data structure that is protected by the mutex `m`.

```
val wait : t -> Mutex.t -> unit
```

The call `wait c m` is permitted only if `m` is the mutex associated with the condition variable `c`, and only if `m` is currently locked. This call atomically unlocks the mutex `m` and suspends the current thread on the condition variable `c`. This thread can later be woken up after the condition variable `c` has been signaled via `Condition.signal`[\[28.13\]](#) or `Condition.broadcast`[\[28.13\]](#); however, it can also be woken up for no reason. The mutex `m` is locked again before `wait` returns. One cannot assume that the property  $P$  associated with the condition variable `c` holds when `wait` returns; one must explicitly test whether  $P$  holds after calling `wait`.

```
val signal : t -> unit
```

`signal c` wakes up one of the threads waiting on the condition variable `c`, if there is one. If there is none, this call has no effect.

It is recommended to call `signal c` inside a critical section, that is, while the mutex `m` associated with `c` is locked.

```
val broadcast : t -> unit
```

`broadcast c` wakes up all threads waiting on the condition variable `c`. If there are none, this call has no effect.

It is recommended to call `broadcast c` inside a critical section, that is, while the mutex `m` associated with `c` is locked.

## 28.14 Module Domain

**Alert unstable.** The Domain interface may change in incompatible ways in the future.

Domains.

See 'Parallel programming' chapter in the manual.

```
type !'a t
```

A domain of type `'a t` runs independently, eventually producing a result of type `'a`, or an exception

```
val spawn : (unit -> 'a) -> 'a t
```

`spawn f` creates a new domain that runs in parallel with the current domain.

**Raises Failure** if the program has insufficient resources to create another domain.

```
val join : 'a t -> 'a
```

`join d` blocks until domain `d` runs to completion. If `d` results in a value, then that is returned by `join d`. If `d` raises an uncaught exception, then that is re-raised by `join d`.

```
type id = private int
```

Domains have unique integer identifiers

```
val get_id : 'a t -> id
```

`get_id d` returns the identifier of the domain `d`

```
val self : unit -> id
```

`self ()` is the identifier of the currently running domain

```
val before_first_spawn : (unit -> unit) -> unit
```

`before_first_spawn f` registers `f` to be called before the first domain is spawned by the program. The functions registered with `before_first_spawn` are called on the main (initial) domain. The functions registered with `before_first_spawn` are called in 'first in, first out' order: the oldest function added with `before_first_spawn` is called first.

**Raises** `Invalid_argument` if the program has already spawned a domain.

```
val at_exit : (unit -> unit) -> unit
```

`at_exit f` registers `f` to be called when the current domain exits. Note that `at_exit` callbacks are domain-local and only apply to the calling domain. The registered functions are called in 'last in, first out' order: the function most recently added with `at_exit` is called first. An example:

```
let temp_file_key = Domain.DLS.new_key (fun _ ->
  let tmp = snd (Filename.open_temp_file "" "") in
  Domain.at_exit (fun () -> close_out_noerr tmp);
  tmp)
```

The snippet above creates a key that when retrieved for the first time will open a temporary file and register an `at_exit` callback to close it, thus guaranteeing the descriptor is not leaked in case the current domain exits.

```
val cpu_relax : unit -> unit
```

If busy-waiting, calling `cpu_relax ()` between iterations will improve performance on some CPU architectures

```
val is_main_domain : unit -> bool
```

`is_main_domain ()` returns true if called from the initial domain.

```
val recommended_domain_count : unit -> int
```

The recommended maximum number of domains which should be running simultaneously (including domains already running).

The value returned is at least 1.

module DLS :

sig

Domain-local Storage

type 'a key

Type of a DLS key

val new\_key : ?split\_from\_parent:('a -> 'a) -> (unit -> 'a) -> 'a key

`new_key f` returns a new key bound to initialiser `f` for accessing , domain-local variables. If `split_from_parent` is not provided, the value for a new domain will be computed on-demand by the new domain: the first `get` call will call the initializer `f` and store that value.

If `split_from_parent` is provided, spawning a domain will derive the child value (for this key) from the parent value. This computation happens in the parent domain and it always happens, regardless of whether the child domain will use it. If the splitting function is expensive or requires child-side computation, consider using 'a `Lazy.t` key:

```
let init () = ...
```

```
let split_from_parent parent_value =
  ... parent-side computation ...;
  lazy (
    ... child-side computation ...
  )
```

```
let key = Domain.DLS.new_key ~split_from_parent init
```

```
let get () = Lazy.force (Domain.DLS.get key)
```

In this case a part of the computation happens on the child domain; in particular, it can access `parent_value` concurrently with the parent domain, which may require explicit synchronization to avoid data races.

val get : 'a key -> 'a

`get k` returns `v` if a value `v` is associated to the key `k` on the calling domain's domain-local state. Sets `k`'s value with its initialiser and returns it otherwise.

val set : 'a key -> 'a -> unit

`set k v` updates the calling domain's domain-local state to associate the key `k` with value `v`. It overwrites any previous values associated to `k`, which cannot be restored later.

end

## 28.15 Module Digest : MD5 message digest.

This module provides functions to compute 128-bit 'digests' of arbitrary-length strings or files. The algorithm used is MD5.

The MD5 hash function is not cryptographically secure. Hence, this module should not be used for security-sensitive applications. More recent, stronger cryptographic primitives should be used instead.

```
type t = string
```

The type of digests: 16-character strings.

```
val compare : t -> t -> int
```

The comparison function for 16-character digest, with the same specification as `compare`[\[27.2\]](#) and the implementation shared with `String.compare`[\[28.53\]](#). Along with the type `t`, this function `compare` allows the module `Digest` to be passed as argument to the functors `Set.Make`[\[28.49\]](#) and `Map.Make`[\[28.33\]](#).

**Since:** 4.00

```
val equal : t -> t -> bool
```

The equal function for 16-character digest.

**Since:** 4.03

```
val string : string -> t
```

Return the digest of the given string.

```
val bytes : bytes -> t
```

Return the digest of the given byte sequence.

**Since:** 4.02

```
val substring : string -> int -> int -> t
```

`Digest.substring s ofs len` returns the digest of the substring of `s` starting at index `ofs` and containing `len` characters.

```
val subbytes : bytes -> int -> int -> t
```

`Digest.subbytes s ofs len` returns the digest of the subsequence of `s` starting at index `ofs` and containing `len` bytes.

**Since:** 4.02

```
val channel : in_channel -> int -> t
```

If `len` is nonnegative, `Digest.channel ic len` reads `len` characters from channel `ic` and returns their digest, or raises `End_of_file` if end-of-file is reached before `len` characters are read. If `len` is negative, `Digest.channel ic len` reads all characters from `ic` until end-of-file is reached and return their digest.

`val file : string -> t`

Return the digest of the file whose name is given.

`val output : out_channel -> t -> unit`

Write a digest on the given output channel.

`val input : in_channel -> t`

Read a digest from the given input channel.

`val to_hex : t -> string`

Return the printable hexadecimal representation of the given digest.

**Raises** `Invalid_argument` if the argument is not exactly 16 bytes.

`val from_hex : string -> t`

Convert a hexadecimal representation back into the corresponding digest.

**Since:** 4.00

**Raises** `Invalid_argument` if the argument is not exactly 32 hexadecimal characters.

## 28.16 Module Effect

**Alert unstable.** The Effect interface may change in incompatible ways in the future.

Effects.

See 'Language extensions/Effect handlers' section in the manual.

`type '_ t = ..`

The type of effects.

`exception Unhandled : 'a t -> exn`

`Unhandled e` is raised when effect `e` is performed and there is no handler for it.

`exception Continuation_already_resumed`

Exception raised when a continuation is continued or discontinued more than once.

`val perform : 'a t -> 'a`

`perform e` performs an effect `e`.

**Raises** `Unhandled` if there is no handler for `e`.

```
module Deep :
```

```
sig
```

```
  Deep handlers
```

```
  type ('a, 'b) continuation
```

    ('a, 'b) continuation is a delimited continuation that expects a 'a value and returns a 'b value.

```
  val continue : ('a, 'b) continuation -> 'a -> 'b
```

    continue k x resumes the continuation k by passing x to k.

**Raises** Continuation\_already\_resumed if the continuation has already been resumed.

```
  val discontinue : ('a, 'b) continuation -> exn -> 'b
```

    discontinue k e resumes the continuation k by raising the exception e in k.

**Raises** Continuation\_already\_resumed if the continuation has already been resumed.

```
  val discontinue_with_backtrace :
```

```
    ('a, 'b) continuation ->
```

```
    exn -> Printexc.raw_backtrace -> 'b
```

    discontinue\_with\_backtrace k e bt resumes the continuation k by raising the exception e in k using bt as the origin for the exception.

**Raises** Continuation\_already\_resumed if the continuation has already been resumed.

```
  type ('a, 'b) handler =
```

```
{   retc : 'a -> 'b ;
```

```
    exnc : exn -> 'b ;
```

```
    effc : 'c. 'c Effect.t -> (('c, 'b) continuation -> 'b) option ;
```

```
}
```

    ('a, 'b) handler is a handler record with three fields – **retc** is the value handler, **exnc** handles exceptions, and **effc** handles the effects performed by the computation enclosed by the handler.

```
  val match_with : ('c -> 'a) -> 'c -> ('a, 'b) handler -> 'b
```

    match\_with f v h runs the computation f v in the handler h.

```
  type 'a effect_handler =
```

```
{   effc : 'b. 'b Effect.t -> (('b, 'a) continuation -> 'a) option ;
```

```
}
```

    'a effect\_handler is a deep handler with an identity value handler fun x -> x and an exception handler that raises any exception fun e -> raise e.

```
  val try_with : ('b -> 'a) -> 'b -> 'a effect_handler -> 'a
```



`try_with f v h` runs the computation `f v` under the handler `h`.

`val get_callstack : ('a, 'b) continuation -> int -> Printexc.raw_backtrace`

`get_callstack c n` returns a description of the top of the call stack on the continuation `c`, with at most `n` entries.

`end`

`module Shallow :`

`sig`

`type ('a, 'b) continuation`

`('a, 'b) continuation` is a delimited continuation that expects a `'a` value and returns a `'b` value.

`val fiber : ('a -> 'b) -> ('a, 'b) continuation`

`fiber f` constructs a continuation that runs the computation `f`.

`type ('a, 'b) handler =`

`{ retc : 'a -> 'b ;`

`exnc : exn -> 'b ;`

`effc : 'c. 'c Effect.t -> (('c, 'a) continuation -> 'b) option ;`

`}`

`('a, 'b) handler` is a handler record with three fields – `retc` is the value handler, `exnc` handles exceptions, and `effc` handles the effects performed by the computation enclosed by the handler.

`val continue_with : ('c, 'a) continuation ->`

`'c -> ('a, 'b) handler -> 'b`

`continue_with k v h` resumes the continuation `k` with value `v` with the handler `h`.

**Raises** `Continuation_already_resumed` if the continuation has already been resumed.

`val discontinue_with :`

`('c, 'a) continuation ->`

`exn -> ('a, 'b) handler -> 'b`

`discontinue_with k e h` resumes the continuation `k` by raising the exception `e` with the handler `h`.

**Raises** `Continuation_already_resumed` if the continuation has already been resumed.

`val discontinue_with_backtrace :`

`('a, 'b) continuation ->`

`exn -> Printexc.raw_backtrace -> ('b, 'c) handler -> 'c`

`discontinue_with k e bt h` resumes the continuation `k` by raising the exception `e` with the handler `h` using the raw backtrace `bt` as the origin of the exception.

**Raises** `Continuation_already_resumed` if the continuation has already been resumed.

```
val get_callstack : ('a, 'b) continuation -> int -> Printexc.raw_backtrace
```

`get_callstack c n` returns a description of the top of the call stack on the continuation `c`, with at most `n` entries.

end

## 28.17 Module Either : Either type.

`Either` is the simplest and most generic sum/variant type: a value of `('a, 'b) Either.t` is either a `Left (v : 'a)` or a `Right (v : 'b)`.

It is a natural choice in the API of generic functions where values could fall in two different cases, possibly at different types, without assigning a specific meaning to what each case should be.

For example:

```
List.partition_map:
```

```
(('a -> ('b, 'c) Either.t) -> 'a list -> 'b list * 'c list
```

If you are looking for a parametrized type where one alternative means success and the other means failure, you should use the more specific type `Result.t`[\[28.46\]](#).

**Since:** 4.12

```
type ('a, 'b) t =
```

```
| Left of 'a
```

```
| Right of 'b
```

A value of `('a, 'b) Either.t` contains either a value of `'a` or a value of `'b`

```
val left : 'a -> ('a, 'b) t
```

`left v` is `Left v`.

```
val right : 'b -> ('a, 'b) t
```

`right v` is `Right v`.

```
val is_left : ('a, 'b) t -> bool
```

`is_left (Left v)` is `true`, `is_left (Right v)` is `false`.

```
val is_right : ('a, 'b) t -> bool
```

`is_right (Left v)` is `false`, `is_right (Right v)` is `true`.

```
val find_left : ('a, 'b) t -> 'a option
```

`find_left (Left v)` is `Some v`, `find_left (Right _)` is `None`

```

val find_right : ('a, 'b) t -> 'b option
    find_right (Right v) is Some v, find_right (Left _) is None

val map_left : ('a1 -> 'a2) -> ('a1, 'b) t -> ('a2, 'b) t
    map_left f e is Left (f v) if e is Left v and e if e is Right _.

val map_right : ('b1 -> 'b2) -> ('a, 'b1) t -> ('a, 'b2) t
    map_right f e is Right (f v) if e is Right v and e if e is Left _.

val map :
    left:('a1 -> 'a2) ->
    right:('b1 -> 'b2) -> ('a1, 'b1) t -> ('a2, 'b2) t
    map ~left ~right (Left v) is Left (left v), map ~left ~right (Right v) is Right
    (right v).

val fold : left:('a -> 'c) -> right:('b -> 'c) -> ('a, 'b) t -> 'c
    fold ~left ~right (Left v) is left v, and fold ~left ~right (Right v) is right v.

val iter : left:('a -> unit) -> right:('b -> unit) -> ('a, 'b) t -> unit
    iter ~left ~right (Left v) is left v, and iter ~left ~right (Right v) is right v.

val for_all : left:('a -> bool) -> right:('b -> bool) -> ('a, 'b) t -> bool
    for_all ~left ~right (Left v) is left v, and for_all ~left ~right (Right v) is
    right v.

val equal :
    left:('a -> 'a -> bool) ->
    right:('b -> 'b -> bool) -> ('a, 'b) t -> ('a, 'b) t -> bool
    equal ~left ~right e0 e1 tests equality of e0 and e1 using left and right to
    respectively compare values wrapped by Left _ and Right _.

val compare :
    left:('a -> 'a -> int) ->
    right:('b -> 'b -> int) -> ('a, 'b) t -> ('a, 'b) t -> int
    compare ~left ~right e0 e1 totally orders e0 and e1 using left and right to
    respectively compare values wrapped by Left _ and Right _. Left _ values are smaller
    than Right _ values.

```

## 28.18 Module Ephemeron : Ephemerons and weak hash tables.

Ephemerons and weak hash tables are useful when one wants to cache or memorize the computation of a function, as long as the arguments and the function are used, without creating memory leaks by continuously keeping old computation results that are not useful anymore because one argument

or the function is freed. An implementation using `Hashtbl.t` [28.24] is not suitable because all associations would keep the arguments and the result in memory.

Ephemeron can also be used for "adding" a field to an arbitrary boxed OCaml value: you can attach some information to a value created by an external library without memory leaks.

Ephemeron holds some keys and one or no data. They are all boxed OCaml values. The keys of an ephemeron have the same behavior as weak pointers according to the garbage collector. In fact OCaml weak pointers are implemented as ephemeron without data.

The keys and data of an ephemeron are said to be full if they point to a value, or empty if the value has never been set, has been unset, or was erased by the GC. In the function that accesses the keys or data these two states are represented by the `option` type.

The data is considered by the garbage collector alive if all the full keys are alive and if the ephemeron is alive. When one of the keys is not considered alive anymore by the GC, the data is emptied from the ephemeron. The data could be alive for another reason and in that case the GC will not free it, but the ephemeron will not hold the data anymore.

The ephemeron complicates the notion of liveness of values, because it is not anymore an equivalence with the reachability from root value by usual pointers (not weak and not ephemeron). With ephemeron the notion of liveness is constructed by the least fixpoint of: A value is alive if:

- it is a root value
- it is reachable from alive value by usual pointers
- it is the data of an alive ephemeron with all its full keys alive

Notes:

- All the types defined in this module cannot be marshaled using `output_value` [27.2] or the functions of the `Marshal` [28.34] module.

Ephemeron are defined in a language agnostic way in this paper: B. Hayes, Ephemeron: A New Finalization Mechanism, OOPSLA'97

**Since:** 4.03

**Alert `unsynchronized_access`.** Unsynchronized accesses to weak hash tables are a programming error.

### Unsynchronized accesses

Unsynchronized accesses to a weak hash table may lead to an invalid weak hash table state. Thus, concurrent accesses to a buffer must be synchronized (for instance with a `Mutex.t` [28.36]).

```
module type S =
```

```
  sig
```

```
    Propose the same interface as usual hash table. However since the bindings are weak, even if
    mem h k is true, a subsequent find h k may raise Not_found because the garbage collector
    can run between the two.
```

```
    type key
```

```
    type 'a t
```

```
    val create : int -> 'a t
```

```

val clear : 'a t -> unit
val reset : 'a t -> unit
val copy : 'a t -> 'a t
val add : 'a t -> key -> 'a -> unit
val remove : 'a t -> key -> unit
val find : 'a t -> key -> 'a
val find_opt : 'a t -> key -> 'a option
val find_all : 'a t -> key -> 'a list
val replace : 'a t -> key -> 'a -> unit
val mem : 'a t -> key -> bool
val length : 'a t -> int
val stats : 'a t -> Hashtbl.statistics
val add_seq : 'a t -> (key * 'a) Seq.t -> unit
val replace_seq : 'a t -> (key * 'a) Seq.t -> unit
val of_seq : (key * 'a) Seq.t -> 'a t
val clean : 'a t -> unit

```

remove all dead bindings. Done automatically during automatic resizing.

```

val stats_alive : 'a t -> Hashtbl.statistics

```

same as `Hashtbl.SeededS.stats`[\[28.24\]](#) but only count the alive bindings

end

The output signature of the functors `Ephemeron.K1.Make`[\[28.18\]](#) and `Ephemeron.K2.Make`[\[28.18\]](#). These hash tables are weak in the keys. If all the keys of a binding are alive the binding is kept, but if one of the keys of the binding is dead then the binding is removed.

```

module type SeededS =
  sig
    type key
    type !'a t
    val create : ?random:bool -> int -> 'a t
    val clear : 'a t -> unit
    val reset : 'a t -> unit
    val copy : 'a t -> 'a t
    val add : 'a t -> key -> 'a -> unit
    val remove : 'a t -> key -> unit
    val find : 'a t -> key -> 'a
  end

```

```

val find_opt : 'a t -> key -> 'a option
val find_all : 'a t -> key -> 'a list
val replace : 'a t -> key -> 'a -> unit
val mem : 'a t -> key -> bool
val length : 'a t -> int
val stats : 'a t -> Hashtbl.statistics
val add_seq : 'a t -> (key * 'a) Seq.t -> unit
val replace_seq : 'a t -> (key * 'a) Seq.t -> unit
val of_seq : (key * 'a) Seq.t -> 'a t
val clean : 'a t -> unit

    remove all dead bindings. Done automatically during automatic resizing.

val stats_alive : 'a t -> Hashtbl.statistics

    same as Hashtbl.SeededS.stats[28.24] but only count the alive bindings

end

```

The output signature of the functors `Ephemeron.K1.MakeSeeded`[28.18] and `Ephemeron.K2.MakeSeeded`[28.18].

```

module K1 :
sig
    type ('k, 'd) t

        an ephemeron with one key

    val make : 'k -> 'd -> ('k, 'd) t

        Ephemeron.K1.make k d creates an ephemeron with key k and data d.

    val query : ('k, 'd) t -> 'k -> 'd option

        Ephemeron.K1.query eph key returns Some x (where x is the ephemeron's data) if key
        is physically equal to eph's key, and None if eph is empty or key is not equal to eph's key.

module Make :
functor (H : Hashtbl.HashedType) -> Ephemeron.S with type key = H.t

    Functor building an implementation of a weak hash table

module MakeSeeded :
functor (H : Hashtbl.SeededHashedType) -> Ephemeron.SeededS with type key =
H.t

```

Functor building an implementation of a weak hash table. The seed is similar to the one of `Hashtbl.MakeSeeded`[\[28.24\]](#).

```
module Bucket :
  sig
    type ('k, 'd) t
      A bucket is a mutable "list" of ephemerons.

    val make : unit -> ('k, 'd) t
      Create a new bucket.

    val add : ('k, 'd) t -> 'k -> 'd -> unit
      Add an ephemeron to the bucket.

    val remove : ('k, 'd) t -> 'k -> unit
      remove b k removes from b the most-recently added ephemeron with key k, or does
      nothing if there is no such ephemeron.

    val find : ('k, 'd) t -> 'k -> 'd option
      Returns the data of the most-recently added ephemeron with the given key, or None
      if there is no such ephemeron.

    val length : ('k, 'd) t -> int
      Returns an upper bound on the length of the bucket.

    val clear : ('k, 'd) t -> unit
      Remove all ephemerons from the bucket.
  end
end
```

Ephemerons with one key.

```
module K2 :
  sig
    type ('k1, 'k2, 'd) t
      an ephemeron with two keys

    val make : 'k1 -> 'k2 -> 'd -> ('k1, 'k2, 'd) t
      Same as Ephemeron.K1.make\[28.18\]

    val query : ('k1, 'k2, 'd) t -> 'k1 -> 'k2 -> 'd option
      Same as Ephemeron.K1.query\[28.18\]
  end
```

```

module Make :
  functor (H1 : Hashtbl.HashedType) -> functor (H2 : Hashtbl.HashedType) ->
    Ephemeron.S with type key = H1.t * H2.t

    Functor building an implementation of a weak hash table

  module MakeSeeded :
    functor (H1 : Hashtbl.SeededHashedType) -> functor (H2 : Hashtbl.SeededHashedType)
      -> Ephemeron.SeededS with type key = H1.t * H2.t

    Functor building an implementation of a weak hash table. The seed is similar to the one
    of Hashtbl.MakeSeeded[28.24].

  module Bucket :
    sig
      type ('k1, 'k2, 'd) t
        A bucket is a mutable "list" of ephemerons.

      val make : unit -> ('k1, 'k2, 'd) t
        Create a new bucket.

      val add : ('k1, 'k2, 'd) t -> 'k1 -> 'k2 -> 'd -> unit
        Add an ephemeron to the bucket.

      val remove : ('k1, 'k2, 'd) t -> 'k1 -> 'k2 -> unit
        remove b k1 k2 removes from b the most-recently added ephemeron with keys k1
        and k2, or does nothing if there is no such ephemeron.

      val find : ('k1, 'k2, 'd) t -> 'k1 -> 'k2 -> 'd option
        Returns the data of the most-recently added ephemeron with the given keys, or
        None if there is no such ephemeron.

      val length : ('k1, 'k2, 'd) t -> int
        Returns an upper bound on the length of the bucket.

      val clear : ('k1, 'k2, 'd) t -> unit
        Remove all ephemerons from the bucket.

    end

  end

  Ephemerons with two keys.

module Kn :
  sig

```



```

type ('k, 'd) t
    an ephemeron with an arbitrary number of keys of the same type

val make : 'k array -> 'd -> ('k, 'd) t
    Same as Ephemeron.K1.make[28.18]

val query : ('k, 'd) t -> 'k array -> 'd option
    Same as Ephemeron.K1.query[28.18]

module Make :
    functor (H : Hashtbl.Hashtype) -> Ephemeron.S with type key = H.t array
        Functor building an implementation of a weak hash table

module MakeSeeded :
    functor (H : Hashtbl.SeededHashtype) -> Ephemeron.SeededS with type key =
    H.t array
        Functor building an implementation of a weak hash table. The seed is similar to the one
        of Hashtbl.MakeSeeded[28.24].

module Bucket :
    sig
        type ('k, 'd) t
            A bucket is a mutable "list" of ephemerons.

        val make : unit -> ('k, 'd) t
            Create a new bucket.

        val add : ('k, 'd) t -> 'k array -> 'd -> unit
            Add an ephemeron to the bucket.

        val remove : ('k, 'd) t -> 'k array -> unit
            remove b k removes from b the most-recently added ephemeron with keys k, or
            does nothing if there is no such ephemeron.

        val find : ('k, 'd) t -> 'k array -> 'd option
            Returns the data of the most-recently added ephemeron with the given keys, or
            None if there is no such ephemeron.

        val length : ('k, 'd) t -> int
            Returns an upper bound on the length of the bucket.

        val clear : ('k, 'd) t -> unit

```

Remove all ephemerons from the bucket.

end

end

Ephemerons with arbitrary number of keys of the same type.

## 28.19 Module Filename : Operations on file names.

val current\_dir\_name : string

The conventional name for the current directory (e.g. `.` in Unix).

val parent\_dir\_name : string

The conventional name for the parent of the current directory (e.g. `..` in Unix).

val dir\_sep : string

The directory separator (e.g. `/` in Unix).

**Since:** 3.11.2

val concat : string -> string -> string

`concat dir file` returns a file name that designates file `file` in directory `dir`.

val is\_relative : string -> bool

Return `true` if the file name is relative to the current directory, `false` if it is absolute (i.e. in Unix, starts with `/`).

val is\_implicit : string -> bool

Return `true` if the file name is relative and does not start with an explicit reference to the current directory (`./` or `../` in Unix), `false` if it starts with an explicit reference to the root directory or the current directory.

val check\_suffix : string -> string -> bool

`check_suffix name suff` returns `true` if the filename `name` ends with the suffix `suff`.

Under Windows ports (including Cygwin), comparison is case-insensitive, relying on `String.lowercase_ascii`. Note that this does not match exactly the interpretation of case-insensitive filename equivalence from Windows.

val chop\_suffix : string -> string -> string

`chop_suffix name suff` removes the suffix `suff` from the filename `name`.

**Raises** `Invalid_argument` if `name` does not end with the suffix `suff`.

val chop\_suffix\_opt : suffix:string -> string -> string option

`chop_suffix_opt ~suffix filename` removes the suffix from the `filename` if possible, or returns `None` if the filename does not end with the suffix.

Under Windows ports (including Cygwin), comparison is case-insensitive, relying on `String.lowercase_ascii`. Note that this does not match exactly the interpretation of case-insensitive filename equivalence from Windows.

**Since:** 4.08

`val extension : string -> string`

`extension name` is the shortest suffix `ext` of `name0` where:

- `name0` is the longest suffix of `name` that does not contain a directory separator;
- `ext` starts with a period;
- `ext` is preceded by at least one non-period character in `name0`.

If such a suffix does not exist, `extension name` is the empty string.

**Since:** 4.04

`val remove_extension : string -> string`

Return the given file name without its extension, as defined in `Filename.extension`[28.19]. If the extension is empty, the function returns the given file name.

The following invariant holds for any file name `s`:

`remove_extension s ^ extension s = s`

**Since:** 4.04

`val chop_extension : string -> string`

Same as `Filename.remove_extension`[28.19], but raise `Invalid_argument` if the given name has an empty extension.

`val basename : string -> string`

Split a file name into directory name / base file name. If `name` is a valid file name, then `concat (dirname name) (basename name)` returns a file name which is equivalent to `name`. Moreover, after setting the current directory to `dirname name` (with `Sys.chdir`[28.55]), references to `basename name` (which is a relative file name) designate the same file as `name` before the call to `Sys.chdir`[28.55].

This function conforms to the specification of POSIX.1-2008 for the `basename` utility.

`val dirname : string -> string`

See `Filename.basename`[28.19]. This function conforms to the specification of POSIX.1-2008 for the `dirname` utility.

`val null : string`

`null` is `"/dev/null"` on POSIX and `"NUL"` on Windows. It represents a file on the OS that discards all writes and returns end of file on reads.

**Since:** 4.10

```
val temp_file : ?temp_dir:string -> string -> string -> string
```

`temp_file prefix suffix` returns the name of a fresh temporary file in the temporary directory. The base name of the temporary file is formed by concatenating `prefix`, then a suitably chosen integer number, then `suffix`. The optional argument `temp_dir` indicates the temporary directory to use, defaulting to the current result of `Filename.get_temp_dir_name`[28.19]. The temporary file is created empty, with permissions `0o600` (readable and writable only by the file owner). The file is guaranteed to be different from any other file that existed when `temp_file` was called.

**Before 3.11.2** no `?temp_dir` optional argument

**Raises** `Sys_error` if the file could not be created.

```
val open_temp_file :
```

```
  ?mode:open_flag list ->
```

```
  ?perms:int ->
```

```
  ?temp_dir:string -> string -> string -> string * out_channel
```

Same as `Filename.temp_file`[28.19], but returns both the name of a fresh temporary file, and an output channel opened (atomically) on this file. This function is more secure than `temp_file`: there is no risk that the temporary file will be modified (e.g. replaced by a symbolic link) before the program opens it. The optional argument `mode` is a list of additional flags to control the opening of the file. It can contain one or several of `Open_append`, `Open_binary`, and `Open_text`. The default is `[Open_text]` (open in text mode). The file is created with permissions `perms` (defaults to readable and writable only by the file owner, `0o600`).

**Before 4.03** no `?perms` optional argument

**Before 3.11.2** no `?temp_dir` optional argument

**Raises** `Sys_error` if the file could not be opened.

```
val temp_dir : ?temp_dir:string -> ?perms:int -> string -> string -> string
```

`temp_dir prefix suffix` creates and returns the name of a fresh temporary directory with permissions `perms` (defaults to `0o700`) inside `temp_dir`. The base name of the temporary directory is formed by concatenating `prefix`, then a suitably chosen integer number, then `suffix`. The optional argument `temp_dir` indicates the temporary directory to use, defaulting to the current result of `Filename.get_temp_dir_name`[28.19]. The temporary directory is created empty, with permissions `0o700` (readable, writable, and searchable only by the file owner). The directory is guaranteed to be different from any other directory that existed when `temp_dir` was called.

If `temp_dir` does not exist, this function does not create it. Instead, it raises `Sys_error`.

**Since:** 5.1

**Raises** `Sys_error` if the directory could not be created.

```
val get_temp_dir_name : unit -> string
```

The name of the temporary directory: Under Unix, the value of the `TMPDIR` environment variable, or `"/tmp"` if the variable is not set. Under Windows, the value of the `TEMP` environment variable, or `."` if the variable is not set. The temporary directory can be changed with `Filename.set_temp_dir_name`[28.19].

**Since:** 4.00

```
val set_temp_dir_name : string -> unit
```

Change the temporary directory returned by `Filename.get_temp_dir_name`[28.19] and used by `Filename.temp_file`[28.19] and `Filename.open_temp_file`[28.19]. The temporary directory is a domain-local value which is inherited by child domains.

**Since:** 4.00

```
val quote : string -> string
```

Return a quoted version of a file name, suitable for use as one argument in a command line, escaping all meta-characters. Warning: under Windows, the output is only suitable for use with programs that follow the standard Windows quoting conventions.

```
val quote_command :  
  string ->
```

```
  ?stdin:string -> ?stdout:string -> ?stderr:string -> string list -> string
```

`quote_command cmd args` returns a quoted command line, suitable for use as an argument to `Sys.command`[28.55], `Unix.system`[30.1], and the `Unix.open_process`[30.1] functions.

The string `cmd` is the command to call. The list `args` is the list of arguments to pass to this command. It can be empty.

The optional arguments `?stdin` and `?stdout` and `?stderr` are file names used to redirect the standard input, the standard output, or the standard error of the command. If `~stdin:f` is given, a redirection `< f` is performed and the standard input of the command reads from file `f`. If `~stdout:f` is given, a redirection `> f` is performed and the standard output of the command is written to file `f`. If `~stderr:f` is given, a redirection `2> f` is performed and the standard error of the command is written to file `f`. If both `~stdout:f` and `~stderr:f` are given, with the exact same file name `f`, a `2>&1` redirection is performed so that the standard output and the standard error of the command are interleaved and redirected to the same file `f`.

Under Unix and Cygwin, the command, the arguments, and the redirections if any are quoted using `Filename.quote`[28.19], then concatenated. Under Win32, additional quoting is performed as required by the `cmd.exe` shell that is called by `Sys.command`[28.55].

**Since:** 4.10

**Raises Failure** if the command cannot be escaped on the current platform.

## 28.20 Module Float : Floating-point arithmetic.

OCaml's floating-point numbers follow the IEEE 754 standard, using double precision (64 bits) numbers. Floating-point operations never raise an exception on overflow, underflow, division by zero, etc. Instead, special IEEE numbers are returned as appropriate, such as `infinity` for `1.0 /. 0.0`, `neg_infinity` for `-1.0 /. 0.0`, and `nan` ('not a number') for `0.0 /. 0.0`. These special numbers then propagate through floating-point computations as expected: for instance, `1.0 /. infinity` is `0.0`, basic arithmetic operations (`+. , -. , *. , /.`) with `nan` as an argument return `nan`, ...

**Since:** 4.07

`val zero : float`

The floating point 0.

**Since:** 4.08

`val one : float`

The floating-point 1.

**Since:** 4.08

`val minus_one : float`

The floating-point -1.

**Since:** 4.08

`val neg : float -> float`

Unary negation.

`val add : float -> float -> float`

Floating-point addition.

`val sub : float -> float -> float`

Floating-point subtraction.

`val mul : float -> float -> float`

Floating-point multiplication.

`val div : float -> float -> float`

Floating-point division.

`val fma : float -> float -> float -> float`

`fma x y z` returns `x * y + z`, with a best effort for computing this expression with a single rounding, using either hardware instructions (providing full IEEE compliance) or a software emulation.

On 64-bit Cygwin, 64-bit mingw-w64 and MSVC 2017 and earlier, this function may be emulated owing to known bugs or limitations on these platforms. Note: since software emulation of the `fma` is costly, make sure that you are using hardware `fma` support if performance matters.

**Since:** 4.08

`val rem : float -> float -> float`

`rem a b` returns the remainder of `a` with respect to `b`. The returned value is `a -. n *. b`, where `n` is the quotient `a /. b` rounded towards zero to an integer.

`val succ : float -> float`

`succ x` returns the floating point number right after `x` i.e., the smallest floating-point number greater than `x`. See also `Float.next_after`[28.20].

**Since:** 4.08

`val pred : float -> float`

`pred x` returns the floating-point number right before `x` i.e., the greatest floating-point number smaller than `x`. See also `Float.next_after`[28.20].

**Since:** 4.08

`val abs : float -> float`

`abs f` returns the absolute value of `f`.

`val infinity : float`

Positive infinity.

`val neg_infinity : float`

Negative infinity.

`val nan : float`

A special floating-point value denoting the result of an undefined operation such as `0.0 /. 0.0`. Stands for 'not a number'. Any floating-point operation with `nan` as argument returns `nan` as result, unless otherwise specified in IEEE 754 standard. As for floating-point comparisons, `=`, `<`, `<=`, `>` and `>=` return `false` and `<>` returns `true` if one or both of their arguments is `nan`.

`nan` is `quiet_nan` since 5.1; it was a signaling NaN before.

`val signaling_nan : float`

Signaling NaN. The corresponding signals do not raise OCaml exception, but the value can be useful for interoperability with C libraries.

**Since:** 5.1

`val quiet_nan : float`

Quiet NaN.

**Since:** 5.1

`val pi : float`

The constant pi.

`val max_float : float`

The largest positive finite value of type `float`.

`val min_float : float`

The smallest positive, non-zero, non-denormalized value of type `float`.

`val epsilon : float`

The difference between 1.0 and the smallest exactly representable floating-point number greater than 1.0.

`val is_finite : float -> bool`

`is_finite x` is true if and only if `x` is finite i.e., not infinite and not `Float.nan`[\[28.20\]](#).

**Since:** 4.08

`val is_infinite : float -> bool`

`is_infinite x` is true if and only if `x` is `Float.infinity`[\[28.20\]](#) or `Float.neg_infinity`[\[28.20\]](#).

**Since:** 4.08

`val is_nan : float -> bool`

`is_nan x` is true if and only if `x` is not a number (see `Float.nan`[\[28.20\]](#)).

**Since:** 4.08

`val is_integer : float -> bool`

`is_integer x` is true if and only if `x` is an integer.

**Since:** 4.08

`val of_int : int -> float`

Convert an integer to floating-point.

`val to_int : float -> int`

Truncate the given floating-point number to an integer. The result is unspecified if the argument is `nan` or falls outside the range of representable integers.

`val of_string : string -> float`



Convert the given string to a float. The string is read in decimal (by default) or in hexadecimal (marked by `0x` or `0X`). The format of decimal floating-point numbers is `[-] dd.ddd (e|E) [+|-] dd`, where `d` stands for a decimal digit. The format of hexadecimal floating-point numbers is `[-] 0(x|X) hh.hhh (p|P) [+|-] dd`, where `h` stands for an hexadecimal digit and `d` for a decimal digit. In both cases, at least one of the integer and fractional parts must be given; the exponent part is optional. The `_` (underscore) character can appear anywhere in the string and is ignored. Depending on the execution platforms, other representations of floating-point numbers can be accepted, but should not be relied upon.

**Raises Failure** if the given string is not a valid representation of a float.

```
val of_string_opt : string -> float option
```

Same as `of_string`, but returns `None` instead of raising.

```
val to_string : float -> string
```

Return a string representation of a floating-point number.

This conversion can involve a loss of precision. For greater control over the manner in which the number is printed, see `Printf`[\[28.43\]](#).

This function is an alias for `string_of_float`[\[27.2\]](#).

```
type fpclass = fpclass =
```

```
| FP_normal
```

Normal number, none of the below

```
| FP_subnormal
```

Number very close to 0.0, has reduced precision

```
| FP_zero
```

Number is 0.0 or -0.0

```
| FP_infinite
```

Number is positive or negative infinity

```
| FP_nan
```

Not a number: result of an undefined operation

The five classes of floating-point numbers, as determined by the `Float.classify_float`[\[28.20\]](#) function.

```
val classify_float : float -> fpclass
```

Return the class of the given floating-point number: normal, subnormal, zero, infinite, or not a number.

```
val pow : float -> float -> float
```

Exponentiation.

```
val sqrt : float -> float
```

Square root.

```
val cbrt : float -> float
```

Cube root.

**Since:** 4.13

```
val exp : float -> float
```

Exponential.

```
val exp2 : float -> float
```

Base 2 exponential function.

**Since:** 4.13

```
val log : float -> float
```

Natural logarithm.

```
val log10 : float -> float
```

Base 10 logarithm.

```
val log2 : float -> float
```

Base 2 logarithm.

**Since:** 4.13

```
val expm1 : float -> float
```

`expm1 x` computes  $\exp x - 1.0$ , giving numerically-accurate results even if `x` is close to 0.0.

```
val log1p : float -> float
```

`log1p x` computes  $\log(1.0 + x)$  (natural logarithm), giving numerically-accurate results even if `x` is close to 0.0.

```
val cos : float -> float
```

Cosine. Argument is in radians.

```
val sin : float -> float
```

Sine. Argument is in radians.

```
val tan : float -> float
```

Tangent. Argument is in radians.

```
val acos : float -> float
```

Arc cosine. The argument must fall within the range  $[-1.0, 1.0]$ . Result is in radians and is between 0.0 and `pi`.

**val asin** : float -> float

Arc sine. The argument must fall within the range  $[-1.0, 1.0]$ . Result is in radians and is between  $-\pi/2$  and  $\pi/2$ .

**val atan** : float -> float

Arc tangent. Result is in radians and is between  $-\pi/2$  and  $\pi/2$ .

**val atan2** : float -> float -> float

`atan2 y x` returns the arc tangent of  $y / x$ . The signs of  $x$  and  $y$  are used to determine the quadrant of the result. Result is in radians and is between  $-\pi$  and  $\pi$ .

**val hypot** : float -> float -> float

`hypot x y` returns  $\sqrt{x^2 + y^2}$ , that is, the length of the hypotenuse of a right-angled triangle with sides of length  $x$  and  $y$ , or, equivalently, the distance of the point  $(x, y)$  to origin. If one of  $x$  or  $y$  is infinite, returns `infinity` even if the other is `nan`.

**val cosh** : float -> float

Hyperbolic cosine. Argument is in radians.

**val sinh** : float -> float

Hyperbolic sine. Argument is in radians.

**val tanh** : float -> float

Hyperbolic tangent. Argument is in radians.

**val acosh** : float -> float

Hyperbolic arc cosine. The argument must fall within the range  $[1.0, \text{inf}]$ . Result is in radians and is between  $0.0$  and  $\text{inf}$ .

**Since:** 4.13

**val asinh** : float -> float

Hyperbolic arc sine. The argument and result range over the entire real line. Result is in radians.

**Since:** 4.13

**val atanh** : float -> float

Hyperbolic arc tangent. The argument must fall within the range  $[-1.0, 1.0]$ . Result is in radians and ranges over the entire real line.

**Since:** 4.13

**val erf** : float -> float

Error function. The argument ranges over the entire real line. The result is always within  $[-1.0, 1.0]$ .

**Since:** 4.13

**val erfc : float -> float**

Complementary error function ( $\text{erfc } x = 1 - \text{erf } x$ ). The argument ranges over the entire real line. The result is always within  $[-1.0, 1.0]$ .

**Since:** 4.13

**val trunc : float -> float**

**trunc** *x* rounds *x* to the nearest integer whose absolute value is less than or equal to *x*.

**Since:** 4.08

**val round : float -> float**

**round** *x* rounds *x* to the nearest integer with ties (fractional values of 0.5) rounded away from zero, regardless of the current rounding direction. If *x* is an integer, **+0.**, **-0.**, **nan**, or infinite, *x* itself is returned.

On 64-bit mingw-w64, this function may be emulated owing to a bug in the C runtime library (CRT) on this platform.

**Since:** 4.08

**val ceil : float -> float**

Round above to an integer value. **ceil** *f* returns the least integer value greater than or equal to *f*. The result is returned as a float.

**val floor : float -> float**

Round below to an integer value. **floor** *f* returns the greatest integer value less than or equal to *f*. The result is returned as a float.

**val next\_after : float -> float -> float**

**next\_after** *x y* returns the next representable floating-point value following *x* in the direction of *y*. More precisely, if *y* is greater (resp. less) than *x*, it returns the smallest (resp. largest) representable number greater (resp. less) than *x*. If *x* equals *y*, the function returns *y*. If *x* or *y* is **nan**, a **nan** is returned. Note that **next\_after** **max\_float** **infinity** = **infinity** and that **next\_after** 0. **infinity** is the smallest denormalized positive number. If *x* is the smallest denormalized positive number, **next\_after** *x* 0. = 0.

**Since:** 4.08

**val copy\_sign : float -> float -> float**

**copy\_sign** *x y* returns a float whose absolute value is that of *x* and whose sign is that of *y*. If *x* is **nan**, returns **nan**. If *y* is **nan**, returns either *x* or **-.** *x*, but it is not specified which.

**val sign\_bit : float -> bool**

**sign\_bit** *x* is **true** if and only if the sign bit of *x* is set. For example **sign\_bit** 1. and **signbit** 0. are **false** while **sign\_bit** (-1.) and **sign\_bit** (-0.) are **true**.

**Since:** 4.08

**val frexp** : float -> float \* int

**frexp** *f* returns the pair of the significant and the exponent of *f*. When *f* is zero, the significant *x* and the exponent *n* of *f* are equal to zero. When *f* is non-zero, they are defined by  $f = x * 2 ** n$  and  $0.5 \leq x < 1.0$ .

**val ldexp** : float -> int -> float

**ldexp** *x n* returns  $x * 2 ** n$ .

**val modf** : float -> float \* float

**modf** *f* returns the pair of the fractional and integral part of *f*.

**type t** = float

An alias for the type of floating-point numbers.

**val compare** : t -> t -> int

**compare** *x y* returns 0 if *x* is equal to *y*, a negative integer if *x* is less than *y*, and a positive integer if *x* is greater than *y*. **compare** treats **nan** as equal to itself and less than any other float value. This treatment of **nan** ensures that **compare** defines a total ordering relation.

**val equal** : t -> t -> bool

The equal function for floating-point numbers, compared using **Float.compare**[\[28.20\]](#).

**val min** : t -> t -> t

**min** *x y* returns the minimum of *x* and *y*. It returns **nan** when *x* or *y* is **nan**. Moreover **min** *(-0.) (+0.)* = -0.

**Since:** 4.08

**val max** : float -> float -> float

**max** *x y* returns the maximum of *x* and *y*. It returns **nan** when *x* or *y* is **nan**. Moreover **max** *(-0.) (+0.)* = +0.

**Since:** 4.08

**val min\_max** : float -> float -> float \* float

**min\_max** *x y* is (**min** *x y*, **max** *x y*), just more efficient.

**Since:** 4.08

**val min\_num** : t -> t -> t

**min\_num** *x y* returns the minimum of *x* and *y* treating **nan** as missing values. If both *x* and *y* are **nan**, **nan** is returned. Moreover **min\_num** *(-0.) (+0.)* = -0.

**Since:** 4.08

**val max\_num** : t -> t -> t

`max_num x y` returns the maximum of `x` and `y` treating `nan` as missing values. If both `x` and `y` are `nan` `nan` is returned. Moreover `max_num (-0.) (+0.) = +0.`

**Since:** 4.08

```
val min_max_num : float -> float -> float * float
```

`min_max_num x y` is `(min_num x y, max_num x y)`, just more efficient. Note that in particular `min_max_num x nan = (x, x)` and `min_max_num nan y = (y, y)`.

**Since:** 4.08

```
val seeded_hash : int -> t -> int
```

A seeded hash function for floats, with the same output value as `Hashtbl.seeded_hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 5.1

```
val hash : t -> int
```

An unseeded hash function for floats, with the same output value as `Hashtbl.hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.Make`[\[28.24\]](#).

```
module Array :
```

```
sig
```

```
  type t = floatarray
```

The type of float arrays with packed representation.

**Since:** 4.08

```
  val length : t -> int
```

Return the length (number of elements) of the given floatarray.

```
  val get : t -> int -> float
```

`get a n` returns the element number `n` of floatarray `a`.

**Raises** `Invalid_argument` if `n` is outside the range 0 to `(length a - 1)`.

```
  val set : t -> int -> float -> unit
```

`set a n x` modifies floatarray `a` in place, replacing element number `n` with `x`.

**Raises** `Invalid_argument` if `n` is outside the range 0 to `(length a - 1)`.

```
  val make : int -> float -> t
```

`make n x` returns a fresh floatarray of length `n`, initialized with `x`.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_floatarray_length`.

**val create** : int -> t

**create** n returns a fresh floatarray of length n, with uninitialized data.

**Raises** Invalid\_argument if n < 0 or n > Sys.max\_floatarray\_length.

**val init** : int -> (int -> float) -> t

**init** n f returns a fresh floatarray of length n, with element number i initialized to the result of f i. In other terms, **init** n f tabulates the results of f applied to the integers 0 to n-1.

**Raises** Invalid\_argument if n < 0 or n > Sys.max\_floatarray\_length.

**val append** : t -> t -> t

**append** v1 v2 returns a fresh floatarray containing the concatenation of the floatarrays v1 and v2.

**Raises** Invalid\_argument if length v1 + length v2 > Sys.max\_floatarray\_length.

**val concat** : t list -> t

Same as Float.Array.append[28.20], but concatenates a list of floatarrays.

**val sub** : t -> int -> int -> t

**sub** a pos len returns a fresh floatarray of length len, containing the elements number pos to pos + len - 1 of floatarray a.

**Raises** Invalid\_argument if pos and len do not designate a valid subarray of a; that is, if pos < 0, or len < 0, or pos + len > length a.

**val copy** : t -> t

**copy** a returns a copy of a, that is, a fresh floatarray containing the same elements as a.

**val fill** : t -> int -> int -> float -> unit

**fill** a pos len x modifies the floatarray a in place, storing x in elements number pos to pos + len - 1.

**Raises** Invalid\_argument if pos and len do not designate a valid subarray of a.

**val blit** : t -> int -> t -> int -> int -> unit

**blit** src src\_pos dst dst\_pos len copies len elements from floatarray src, starting at element number src\_pos, to floatarray dst, starting at element number dst\_pos. It works correctly even if src and dst are the same floatarray, and the source and destination chunks overlap.

**Raises** Invalid\_argument if src\_pos and len do not designate a valid subarray of src, or if dst\_pos and len do not designate a valid subarray of dst.

```
val to_list : t -> float list
```

`to_list a` returns the list of all the elements of `a`.

```
val of_list : float list -> t
```

`of_list l` returns a fresh floatarray containing the elements of `l`.

**Raises** `Invalid_argument` if the length of `l` is greater than `Sys.max_floatarray_length`.

## Iterators

```
val iter : (float -> unit) -> t -> unit
```

`iter f a` applies function `f` in turn to all the elements of `a`. It is equivalent to `f a.(0); f a.(1); ...; f a.(length a - 1); ()`.

```
val iteri : (int -> float -> unit) -> t -> unit
```

Same as `Float.Array.iter`[\[28.20\]](#), but the function is applied with the index of the element as first argument, and the element itself as second argument.

```
val map : (float -> float) -> t -> t
```

`map f a` applies function `f` to all the elements of `a`, and builds a floatarray with the results returned by `f`.

```
val map_inplace : (float -> float) -> t -> unit
```

`map_inplace f a` applies function `f` to all elements of `a`, and updates their values in place.

**Since:** 5.1

```
val mapi : (int -> float -> float) -> t -> t
```

Same as `Float.Array.map`[\[28.20\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

```
val mapi_inplace : (int -> float -> float) -> t -> unit
```

Same as `Float.Array.map_inplace`[\[28.20\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

**Since:** 5.1

```
val fold_left : ('acc -> float -> 'acc) -> 'acc -> t -> 'acc
```

`fold_left f x init` computes `f (... (f (f x init.(0)) init.(1)) ...)` `init.(n-1)`, where `n` is the length of the floatarray `init`.

```
val fold_right : (float -> 'acc -> 'acc) -> t -> 'acc -> 'acc
```

`fold_right f a init` computes `f a.(0) (f a.(1) ( ... (f a.(n-1) init) ...))`, where `n` is the length of the floatarray `a`.



**Iterators on two arrays**

```
val iter2 : (float -> float -> unit) -> t -> t -> unit
```

`Array.iter2 f a b` applies function `f` to all the elements of `a` and `b`.

**Raises** `Invalid_argument` if the floatarrays are not the same size.

```
val map2 : (float -> float -> float) -> t -> t -> t
```

`map2 f a b` applies function `f` to all the elements of `a` and `b`, and builds a floatarray with the results returned by `f`: `[| f a.(0) b.(0); ...; f a.(length a - 1) b.(length b - 1)|]`.

**Raises** `Invalid_argument` if the floatarrays are not the same size.

**Array scanning**

```
val for_all : (float -> bool) -> t -> bool
```

`for_all f [|a1; ...; an|]` checks if all elements of the floatarray satisfy the predicate `f`. That is, it returns `(f a1) && (f a2) && ... && (f an)`.

```
val exists : (float -> bool) -> t -> bool
```

`exists f [|a1; ...; an|]` checks if at least one element of the floatarray satisfies the predicate `f`. That is, it returns `(f a1) || (f a2) || ... || (f an)`.

```
val mem : float -> t -> bool
```

`mem a set` is true if and only if there is an element of `set` that is structurally equal to `a`, i.e. there is an `x` in `set` such that `compare a x = 0`.

```
val mem_ieee : float -> t -> bool
```

Same as `Float.Array.mem`[\[28.20\]](#), but uses IEEE equality instead of structural equality.

**Array searching**

```
val find_opt : (float -> bool) -> t -> float option
```

```
val find_index : (float -> bool) -> t -> int option
```

`find_index f a` returns `Some i`, where `i` is the index of the first element of the array `a` that satisfies `f x`, if there is such an element.

It returns `None` if there is no such element.

**Since:** 5.1

```
val find_map : (float -> 'a option) -> t -> 'a option
```

```
val find_mapi : (int -> float -> 'a option) -> t -> 'a option
```

Same as `find_map`, but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 5.1

## Sorting

```
val sort : (float -> float -> int) -> t -> unit
```

Sort a floatarray in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see below for a complete specification). For example, `compare`[\[27.2\]](#) is a suitable comparison function. After calling `sort`, the array is sorted in place in increasing order. `sort` is guaranteed to run in constant heap space and (at most) logarithmic stack space.

The current implementation uses Heap Sort. It runs in constant stack space.

Specification of the comparison function: Let `a` be the floatarray and `cmp` the comparison function. The following must be true for all `x`, `y`, `z` in `a` :

- `cmp x y > 0` if and only if `cmp y x < 0`
- if `cmp x y ≥ 0` and `cmp y z ≥ 0` then `cmp x z ≥ 0`

When `sort` returns, `a` contains the same elements as before, reordered in such a way that for all `i` and `j` valid indices of `a` :

- `cmp a.(i) a.(j) ≥ 0` if and only if `i ≥ j`

```
val stable_sort : (float -> float -> int) -> t -> unit
```

Same as `Float.Array.sort`[\[28.20\]](#), but the sorting algorithm is stable (i.e. elements that compare equal are kept in their original order) and not guaranteed to run in constant heap space.

The current implementation uses Merge Sort. It uses a temporary floatarray of length `n/2`, where `n` is the length of the floatarray. It is usually faster than the current implementation of `Float.Array.sort`[\[28.20\]](#).

```
val fast_sort : (float -> float -> int) -> t -> unit
```

Same as `Float.Array.sort`[\[28.20\]](#) or `Float.Array.stable_sort`[\[28.20\]](#), whichever is faster on typical input.

## Float arrays and Sequences

```
val to_seq : t -> float Seq.t
```

Iterate on the floatarray, in increasing order. Modifications of the floatarray during iteration will be reflected in the sequence.

```
val to_seqi : t -> (int * float) Seq.t
```

Iterate on the floatarray, in increasing order, yielding indices along elements.  
Modifications of the floatarray during iteration will be reflected in the sequence.

```
val of_seq : float Seq.t -> t
```

Create an array from the generator.

```
val map_to_array : (float -> 'a) -> t -> 'a array
```

`map_to_array f a` applies function `f` to all the elements of `a`, and builds an array with the results returned by `f`: `[| f a.(0); f a.(1); ...; f a.(length a - 1) |]`.

```
val map_from_array : ('a -> float) -> 'a array -> t
```

`map_from_array f a` applies function `f` to all the elements of `a`, and builds a floatarray with the results returned by `f`.

## Arrays and concurrency safety

Care must be taken when concurrently accessing float arrays from multiple domains: accessing an array will never crash a program, but unsynchronized accesses might yield surprising (non-sequentially-consistent) results.

## Atomicity

Every float array operation that accesses more than one array element is not atomic. This includes iteration, scanning, sorting, splitting and combining arrays.

For example, consider the following program:

```
let size = 100_000_000
let a = Float.Array.make size 1.
let update a f () =
  Float.Array.iteri (fun i x -> Float.Array.set a i (f x)) a
let d1 = Domain.spawn (update a (fun x -> x +. 1.))
let d2 = Domain.spawn (update a (fun x -> 2. *. x +. 1.))
let () = Domain.join d1; Domain.join d2
```

After executing this code, each field of the float array `a` is either 2., 3., 4. or 5.. If atomicity is required, then the user must implement their own synchronization (for example, using `Mutex.t` [28.36]).

## Data races

If two domains only access disjoint parts of the array, then the observed behaviour is the equivalent to some sequential interleaving of the operations from the two domains.

A data race is said to occur when two domains access the same array element without synchronization and at least one of the accesses is a write. In the absence of data races, the observed behaviour is equivalent to some sequential interleaving of the operations from different domains.

Whenever possible, data races should be avoided by using synchronization to mediate the accesses to the array elements.

Indeed, in the presence of data races, programs will not crash but the observed behaviour may not be equivalent to any sequential interleaving of operations from different domains. Nevertheless, even in the presence of data races, a read operation will return the value of some prior write to that location with a few exceptions.

## Tearing

Float arrays have two supplementary caveats in the presence of data races.

First, the blit operation might copy an array byte-by-byte. Data races between such a blit operation and another operation might produce surprising values due to tearing: partial writes interleaved with other operations can create float values that would not exist with a sequential execution.

For instance, at the end of

```
let zeros = Float.Array.make size 0.
  let max_floats = Float.Array.make size Float.max_float
  let res = Float.Array.copy zeros
  let d1 = Domain.spawn (fun () -> Float.Array.blit zeros 0 res 0 size)
  let d2 = Domain.spawn (fun () -> Float.Array.blit max_floats 0 res 0 size)
  let () = Domain.join d1; Domain.join d2
```

the `res` float array might contain values that are neither `0.` nor `max_float`.

Second, on 32-bit architectures, getting or setting a field involves two separate memory accesses. In the presence of data races, the user may observe tearing on any operation.

end

Float arrays with packed representation.

```
module ArrayLabels :
sig
```

```
  type t = floatarray
```

The type of float arrays with packed representation.

Since: 4.08

```
val length : t -> int
```

Return the length (number of elements) of the given floatarray.

```
val get : t -> int -> float
```

`get a n` returns the element number `n` of floatarray `a`.

**Raises** `Invalid_argument` if `n` is outside the range 0 to `(length a - 1)`.

```
val set : t -> int -> float -> unit
```

`set a n x` modifies floatarray `a` in place, replacing element number `n` with `x`.

**Raises** `Invalid_argument` if `n` is outside the range 0 to `(length a - 1)`.

```
val make : int -> float -> t
```

`make n x` returns a fresh floatarray of length `n`, initialized with `x`.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_floatarray_length`.

```
val create : int -> t
```

`create n` returns a fresh floatarray of length `n`, with uninitialized data.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_floatarray_length`.

```
val init : int -> f:(int -> float) -> t
```

`init n ~f` returns a fresh floatarray of length `n`, with element number `i` initialized to the result of `f i`. In other terms, `init n ~f` tabulates the results of `f` applied to the integers 0 to `n-1`.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_floatarray_length`.

```
val append : t -> t -> t
```

`append v1 v2` returns a fresh floatarray containing the concatenation of the floatarrays `v1` and `v2`.

**Raises** `Invalid_argument` if `length v1 + length v2 > Sys.max_floatarray_length`.

```
val concat : t list -> t
```

Same as `Float.ArrayLabels.append`[\[28.20\]](#), but concatenates a list of floatarrays.

```
val sub : t -> pos:int -> len:int -> t
```

`sub a ~pos ~len` returns a fresh floatarray of length `len`, containing the elements number `pos` to `pos + len - 1` of floatarray `a`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid subarray of `a`; that is, if `pos < 0`, or `len < 0`, or `pos + len > length a`.

```
val copy : t -> t
```

`copy a` returns a copy of `a`, that is, a fresh floatarray containing the same elements as `a`.

```
val fill : t -> pos:int -> len:int -> float -> unit
```

`fill a ~pos ~len x` modifies the floatarray `a` in place, storing `x` in elements number `pos` to `pos + len - 1`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid subarray of `a`.

```
val blit : src:t ->
```

```
src_pos:int -> dst:t -> dst_pos:int -> len:int -> unit
```

`blit ~src ~src_pos ~dst ~dst_pos ~len` copies `len` elements from floatarray `src`, starting at element number `src_pos`, to floatarray `dst`, starting at element number `dst_pos`. It works correctly even if `src` and `dst` are the same floatarray, and the source and destination chunks overlap.

**Raises** `Invalid_argument` if `src_pos` and `len` do not designate a valid subarray of `src`, or if `dst_pos` and `len` do not designate a valid subarray of `dst`.

```
val to_list : t -> float list
```

`to_list a` returns the list of all the elements of `a`.

```
val of_list : float list -> t
```

`of_list l` returns a fresh floatarray containing the elements of `l`.

**Raises** `Invalid_argument` if the length of `l` is greater than `Sys.max_floatarray_length`.

## Iterators

```
val iter : f:(float -> unit) -> t -> unit
```

`iter ~f a` applies function `f` in turn to all the elements of `a`. It is equivalent to `f a.(0); f a.(1); ...; f a.(length a - 1); ()`.

```
val iteri : f:(int -> float -> unit) -> t -> unit
```

Same as `Float.ArrayLabels.iter`[\[28.20\]](#), but the function is applied with the index of the element as first argument, and the element itself as second argument.

```
val map : f:(float -> float) -> t -> t
```

`map ~f a` applies function `f` to all the elements of `a`, and builds a floatarray with the results returned by `f`.

```
val map_inplace : f:(float -> float) -> t -> unit
```

`map_inplace f a` applies function `f` to all elements of `a`, and updates their values in place.

**Since:** 5.1

```
val mapi : f:(int -> float -> float) -> t -> t
```

Same as `Float.ArrayLabels.map`[\[28.20\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

```
val mapi_inplace : f:(int -> float -> float) -> t -> unit
```

Same as `Float.ArrayLabels.map_inplace`[\[28.20\]](#), but the function is applied to the index of the element as first argument, and the element itself as second argument.

**Since:** 5.1

```
val fold_left : f:('acc -> float -> 'acc) -> init:'acc -> t -> 'acc
```

`fold_left ~f x ~init` computes `f (... (f (f x init.(0)) init.(1)) ...)` `init.(n-1)`, where `n` is the length of the floatarray `init`.

```
val fold_right : f:(float -> 'acc -> 'acc) -> t -> init:'acc -> 'acc
```

`fold_right f a init` computes `f a.(0) (f a.(1) (... (f a.(n-1) init) ...))`, where `n` is the length of the floatarray `a`.

### Iterators on two arrays

```
val iter2 : f:(float -> float -> unit) ->
  t -> t -> unit
```

`Array.iter2 ~f a b` applies function `f` to all the elements of `a` and `b`.

**Raises** `Invalid_argument` if the floatarrays are not the same size.

```
val map2 : f:(float -> float -> float) ->
  t -> t -> t
```

`map2 ~f a b` applies function `f` to all the elements of `a` and `b`, and builds a floatarray with the results returned by `f`: `[| f a.(0) b.(0); ...; f a.(length a - 1) b.(length b - 1)|]`.

**Raises** `Invalid_argument` if the floatarrays are not the same size.

### Array scanning

```
val for_all : f:(float -> bool) -> t -> bool
```

`for_all ~f [|a1; ...; an|]` checks if all elements of the floatarray satisfy the predicate `f`. That is, it returns `(f a1) && (f a2) && ... && (f an)`.

```
val exists : f:(float -> bool) -> t -> bool
```

`exists f [|a1; ...; an|]` checks if at least one element of the floatarray satisfies the predicate `f`. That is, it returns `(f a1) || (f a2) || ... || (f an)`.

```
val mem : float -> set:t -> bool
```

`mem a ~set` is true if and only if there is an element of `set` that is structurally equal to `a`, i.e. there is an `x` in `set` such that `compare a x = 0`.

```
val mem_ieee : float -> set:t -> bool
```

Same as `Float.ArrayLabels.mem`[\[28.20\]](#), but uses IEEE equality instead of structural equality.

## Array searching

```
val find_opt : f:(float -> bool) -> t -> float option
```

```
val find_index : f:(float -> bool) -> t -> int option
```

`find_index ~f a` returns `Some i`, where `i` is the index of the first element of the array `a` that satisfies `f x`, if there is such an element.

It returns `None` if there is no such element.

**Since:** 5.1

```
val find_map : f:(float -> 'a option) -> t -> 'a option
```

```
val find_map_i : f:(int -> float -> 'a option) -> t -> 'a option
```

Same as `find_map`, but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 5.1

## Sorting

```
val sort : cmp:(float -> float -> int) -> t -> unit
```

Sort a floatarray in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see below for a complete specification). For example, `compare`[\[27.2\]](#) is a suitable comparison function. After calling `sort`, the array is sorted in place in increasing order. `sort` is guaranteed to run in constant heap space and (at most) logarithmic stack space.

The current implementation uses Heap Sort. It runs in constant stack space.

Specification of the comparison function: Let `a` be the floatarray and `cmp` the comparison function. The following must be true for all `x`, `y`, `z` in `a` :

- `cmp x y > 0` if and only if `cmp y x < 0`
- if `cmp x y ≥ 0` and `cmp y z ≥ 0` then `cmp x z ≥ 0`

When `sort` returns, `a` contains the same elements as before, reordered in such a way that for all `i` and `j` valid indices of `a` :



- `cmp a.(i) a.(j) ≥ 0` if and only if `i ≥ j`

```
val stable_sort : cmp:(float -> float -> int) -> t -> unit
```

Same as `Float.ArrayLabels.sort`[\[28.20\]](#), but the sorting algorithm is stable (i.e. elements that compare equal are kept in their original order) and not guaranteed to run in constant heap space.

The current implementation uses Merge Sort. It uses a temporary floatarray of length `n/2`, where `n` is the length of the floatarray. It is usually faster than the current implementation of `Float.ArrayLabels.sort`[\[28.20\]](#).

```
val fast_sort : cmp:(float -> float -> int) -> t -> unit
```

Same as `Float.ArrayLabels.sort`[\[28.20\]](#) or `Float.ArrayLabels.stable_sort`[\[28.20\]](#), whichever is faster on typical input.

## Float arrays and Sequences

```
val to_seq : t -> float Seq.t
```

Iterate on the floatarray, in increasing order. Modifications of the floatarray during iteration will be reflected in the sequence.

```
val to_seqi : t -> (int * float) Seq.t
```

Iterate on the floatarray, in increasing order, yielding indices along elements. Modifications of the floatarray during iteration will be reflected in the sequence.

```
val of_seq : float Seq.t -> t
```

Create an array from the generator.

```
val map_to_array : f:(float -> 'a) -> t -> 'a array
```

`map_to_array ~f a` applies function `f` to all the elements of `a`, and builds an array with the results returned by `f`: `[| f a.(0); f a.(1); ...; f a.(length a - 1) |]`.

```
val map_from_array : f:('a -> float) -> 'a array -> t
```

`map_from_array ~f a` applies function `f` to all the elements of `a`, and builds a floatarray with the results returned by `f`.

## Arrays and concurrency safety

Care must be taken when concurrently accessing float arrays from multiple domains: accessing an array will never crash a program, but unsynchronized accesses might yield surprising (non-sequentially-consistent) results.

## Atomicity

Every float array operation that accesses more than one array element is not atomic. This includes iteration, scanning, sorting, splitting and combining arrays.

For example, consider the following program:

```
let size = 100_000_000
let a = Float.ArrayLabels.make size 1.
let update a f () =
  Float.ArrayLabels.iteri ~f:(fun i x -> Float.Array.set a i (f x)) a
let d1 = Domain.spawn (update a (fun x -> x +. 1.))
let d2 = Domain.spawn (update a (fun x -> 2. *. x +. 1.))
let () = Domain.join d1; Domain.join d2
```

After executing this code, each field of the float array `a` is either 2., 3., 4. or 5.. If atomicity is required, then the user must implement their own synchronization (for example, using `Mutex.t`[\[28.36\]](#)).

## Data races

If two domains only access disjoint parts of the array, then the observed behaviour is the equivalent to some sequential interleaving of the operations from the two domains.

A data race is said to occur when two domains access the same array element without synchronization and at least one of the accesses is a write. In the absence of data races, the observed behaviour is equivalent to some sequential interleaving of the operations from different domains.

Whenever possible, data races should be avoided by using synchronization to mediate the accesses to the array elements.

Indeed, in the presence of data races, programs will not crash but the observed behaviour may not be equivalent to any sequential interleaving of operations from different domains. Nevertheless, even in the presence of data races, a read operation will return the value of some prior write to that location with a few exceptions.

## Tearing

Float arrays have two supplementary caveats in the presence of data races.

First, the blit operation might copy an array byte-by-byte. Data races between such a blit operation and another operation might produce surprising values due to tearing: partial writes interleaved with other operations can create float values that would not exist with a sequential execution.

For instance, at the end of

```
let zeros = Float.Array.make size 0.
let max_floats = Float.Array.make size Float.max_float
```

```

let res = Float.Array.copy zeros
let d1 = Domain.spawn (fun () -> Float.Array.blit zeros 0 res 0 size)
let d2 = Domain.spawn (fun () -> Float.Array.blit max_floats 0 res 0 size)
let () = Domain.join d1; Domain.join d2

```

the `res` float array might contain values that are neither `0.` nor `max_float`.

Second, on 32-bit architectures, getting or setting a field involves two separate memory accesses. In the presence of data races, the user may observe tearing on any operation.

end

Float arrays with packed representation (labeled functions).

## 28.21 Module Format : Pretty-printing.

If you are new to this module, see the examples[28.44] below.

This module implements a pretty-printing facility to format values within 'pretty-printing boxes'[28.21] and 'semantic tags'[28.21] combined with a set of printf-like functions[28.21]. The pretty-printer splits lines at specified break hints[28.21], and indents lines according to the box structure. Similarly, semantic tags[28.21] can be used to decouple text presentation from its contents.

This pretty-printing facility is implemented as an overlay on top of abstract formatters[28.21] which provide basic output functions. Some formatters are predefined, notably:

- `Format.std_formatter`[28.21] outputs to `stdout`[27.2]
- `Format.err_formatter`[28.21] outputs to `stderr`[27.2]

Most functions in the `Format`[28.21] module come in two variants: a short version that operates on the current domain's standard formatter as obtained using `Format.get_std_formatter`[28.21] and the generic version prefixed by `pp_` that takes a formatter as its first argument. For the version that operates on the current domain's standard formatter, the call to `Format.get_std_formatter`[28.21] is delayed until the last argument is received.

More formatters can be created with `Format.formatter_of_out_channel`[28.21], `Format.formatter_of_buffer`[28.21], `Format.formatter_of_symbolic_output_buffer`[28.21] or using custom formatters[28.21].

**Warning:** Since formatters[28.21] contain mutable state, it is not thread-safe to use the same formatter on multiple domains in parallel without synchronization.

If multiple domains write to the same output channel using the predefined formatters (as obtained by `Format.get_std_formatter`[28.21] or `Format.get_err_formatter`[28.21]), the output from the domains will be interleaved with each other at points where the formatters are flushed, such as with `Format.print_flush`[28.21]. This synchronization is not performed by formatters obtained from `Format.formatter_of_out_channel`[28.21] (on the standard out channels or others).

## Introduction

You may consider this module as providing an extension to the `printf` facility to provide automatic line splitting. The addition of pretty-printing annotations to your regular `printf` format strings gives you fancy indentation and line breaks. Pretty-printing annotations are described below in the documentation of the function `Format.fprintf`[\[28.21\]](#).

You may also use the explicit pretty-printing box management and printing functions provided by this module. This style is more basic but more verbose than the concise `fprintf` format strings.

For instance, the sequence `open_box 0; print_string "x ="; print_space (); print_int 1; close_box (); print_newline ()` that prints `x = 1` within a pretty-printing box, can be abbreviated as `printf "@[%s@ %i@]@." "x =" 1`, or even shorter `printf "@[x =@ %i@]@." 1`.

Rule of thumb for casual users of this library:

- use simple pretty-printing boxes (as obtained by `open_box 0`);
- use simple break hints as obtained by `print_cut ()` that outputs a simple break hint, or by `print_space ()` that outputs a space indicating a break hint;
- once a pretty-printing box is open, display its material with basic printing functions (e. g. `print_int` and `print_string`);
- when the material for a pretty-printing box has been printed, call `close_box ()` to close the box;
- at the end of pretty-printing, flush the pretty-printer to display all the remaining material, e.g. evaluate `print_newline ()`.

The behavior of pretty-printing commands is unspecified if there is no open pretty-printing box. Each box opened by one of the `open_` functions below must be closed using `close_box` for proper formatting. Otherwise, some of the material printed in the boxes may not be output, or may be formatted incorrectly.

In case of interactive use, each phrase is executed in the initial state of the standard pretty-printer: after each phrase execution, the interactive system closes all open pretty-printing boxes, flushes all pending text, and resets the standard pretty-printer.

Warning: mixing calls to pretty-printing functions of this module with calls to `Stdlib`[\[27.2\]](#) low level output functions is error prone.

The pretty-printing functions output material that is delayed in the pretty-printer queue and stacks in order to compute proper line splitting. In contrast, basic I/O output functions write directly in their output device. As a consequence, the output of a basic I/O function may appear before the output of a pretty-printing function that has been called before. For instance, `Stdlib.print_string "<"; Format.print_string "PRETTY"; Stdlib.print_string ">"; Format.print_string "TEXT";` leads to output `<>PRETTYTEXT`.

## Formatters

`type formatter`

Abstract data corresponding to a pretty-printer (also called a formatter) and all its machinery. See also [\[28.21\]](#).

## Pretty-printing boxes

The pretty-printing engine uses the concepts of pretty-printing box and break hint to drive indentation and line splitting behavior of the pretty-printer.

Each different pretty-printing box kind introduces a specific line splitting policy:

- within an *horizontal* box, break hints never split the line (but the line may be split in a box nested deeper),
- within a *vertical* box, break hints always split the line,
- within an *horizontal/vertical* box, if the box fits on the current line then break hints never split the line, otherwise break hint always split the line,
- within a *compacting* box, a break hint never splits the line, unless there is no more room on the current line.

Note that line splitting policy is box specific: the policy of a box does not rule the policy of inner boxes. For instance, if a vertical box is nested in an horizontal box, all break hints within the vertical box will split the line.

Moreover, opening a box after the maximum indentation limit[28.21] splits the line whether or not the box would end up fitting on the line.

```
val pp_open_box : formatter -> int -> unit
```

```
val open_box : int -> unit
```

`pp_open_box ppf d` opens a new compacting pretty-printing box with offset `d` in the formatter `ppf`.

Within this box, the pretty-printer prints as much as possible material on every line.

A break hint splits the line if there is no more room on the line to print the remainder of the box.

Within this box, the pretty-printer emphasizes the box structure: if a structural box does not fit fully on a simple line, a break hint also splits the line if the splitting “moves to the left” (i.e. the new line gets an indentation smaller than the one of the current line).

This box is the general purpose pretty-printing box.

If the pretty-printer splits the line in the box, offset `d` is added to the current indentation.

```
val pp_close_box : formatter -> unit -> unit
```

```
val close_box : unit -> unit
```

Closes the most recently open pretty-printing box.

```
val pp_open_hbox : formatter -> unit -> unit
```

```
val open_hbox : unit -> unit
```

`pp_open_hbox ppf ()` opens a new ‘horizontal’ pretty-printing box.

This box prints material on a single line.

Break hints in a horizontal box never split the line. (Line splitting may still occur inside boxes nested deeper).

```
val pp_open_vbox : formatter -> int -> unit
```

```
val open_vbox : int -> unit
```

`pp_open_vbox ppf d` opens a new 'vertical' pretty-printing box with offset `d`.

This box prints material on as many lines as break hints in the box.

Every break hint in a vertical box splits the line.

If the pretty-printer splits the line in the box, `d` is added to the current indentation.

```
val pp_open_hvbox : formatter -> int -> unit
```

```
val open_hvbox : int -> unit
```

`pp_open_hvbox ppf d` opens a new 'horizontal/vertical' pretty-printing box with offset `d`.

This box behaves as an horizontal box if it fits on a single line, otherwise it behaves as a vertical box.

If the pretty-printer splits the line in the box, `d` is added to the current indentation.

```
val pp_open_hovbox : formatter -> int -> unit
```

```
val open_hovbox : int -> unit
```

`pp_open_hovbox ppf d` opens a new 'horizontal-or-vertical' pretty-printing box with offset `d`.

This box prints material as much as possible on every line.

A break hint splits the line if there is no more room on the line to print the remainder of the box.

If the pretty-printer splits the line in the box, `d` is added to the current indentation.

## Formatting functions

```
val pp_print_string : formatter -> string -> unit
```

```
val print_string : string -> unit
```

`pp_print_string ppf s` prints `s` in the current pretty-printing box.

```
val pp_print_bytes : formatter -> bytes -> unit
```

```
val print_bytes : bytes -> unit
```

`pp_print_bytes ppf b` prints `b` in the current pretty-printing box.

**Since:** 4.13

```
val pp_print_as : formatter -> int -> string -> unit
```

```
val print_as : int -> string -> unit
```

`pp_print_as ppf len s` prints `s` in the current pretty-printing box. The pretty-printer formats `s` as if it were of length `len`.

```
val pp_print_int : formatter -> int -> unit
```

```
val print_int : int -> unit
```

Print an integer in the current pretty-printing box.

```
val pp_print_float : formatter -> float -> unit
```

```
val print_float : float -> unit
```

Print a floating point number in the current pretty-printing box.

```
val pp_print_char : formatter -> char -> unit
```

```
val print_char : char -> unit
```

Print a character in the current pretty-printing box.

```
val pp_print_bool : formatter -> bool -> unit
```

```
val print_bool : bool -> unit
```

Print a boolean in the current pretty-printing box.

## Break hints

A 'break hint' tells the pretty-printer to output some space or split the line whichever way is more appropriate to the current pretty-printing box splitting rules.

Break hints are used to separate printing items and are mandatory to let the pretty-printer correctly split lines and indent items.

Simple break hints are:

- the 'space': output a space or split the line if appropriate,
- the 'cut': split the line if appropriate.

Note: the notions of space and line splitting are abstract for the pretty-printing engine, since those notions can be completely redefined by the programmer. However, in the pretty-printer default setting, "output a space" simply means printing a space character (ASCII code 32) and "split the line" means printing a newline character (ASCII code 10).

```
val pp_print_space : formatter -> unit -> unit
```

```
val print_space : unit -> unit
```

`pp_print_space ppf ()` emits a 'space' break hint: the pretty-printer may split the line at this point, otherwise it prints one space.

`pp_print_space ppf ()` is equivalent to `pp_print_break ppf 1 0`.

```
val pp_print_cut : formatter -> unit -> unit
```

```
val print_cut : unit -> unit
```

`pp_print_cut ppf ()` emits a 'cut' break hint: the pretty-printer may split the line at this point, otherwise it prints nothing.

`pp_print_cut ppf ()` is equivalent to `pp_print_break ppf 0 0`.

```
val pp_print_break : formatter -> int -> int -> unit
```

```
val print_break : int -> int -> unit
```

`pp_print_break ppf nspaces offset` emits a 'full' break hint: the pretty-printer may split the line at this point, otherwise it prints `nspaces` spaces.

If the pretty-printer splits the line, `offset` is added to the current indentation.

```
val pp_print_custom_break :
```

```
  formatter ->
```

```
  fits:string * int * string -> breaks:string * int * string -> unit
```

`pp_print_custom_break ppf ~fits:(s1, n, s2) ~breaks:(s3, m, s4)` emits a custom break hint: the pretty-printer may split the line at this point.

If it does not split the line, then the `s1` is emitted, then `n` spaces, then `s2`.

If it splits the line, then it emits the `s3` string, then an indent (according to the box rules), then an offset of `m` spaces, then the `s4` string.

While `n` and `m` are handled by `formatter_out_functions.out_indent`, the strings will be handled by `formatter_out_functions.out_string`. This allows for a custom formatter that handles indentation distinctly, for example, outputs `<br/>` tags or `&nbsp;` entities.

The custom break is useful if you want to change which visible (non-whitespace) characters are printed in case of break or no break. For example, when printing a list `[a; b; c]`, you might want to add a trailing semicolon when it is printed vertically:

```
[
  a;
  b;
  c;
]
```

You can do this as follows:

```
printf "@[<v 0>[@;<0 2>@[<v 0>a;@,b;@,c@]%t]@]\n"
(pp_print_custom_break ~fits:("", 0, "") ~breaks:(";", 0, ""))
```

**Since:** 4.08

```
val pp_force_newline : formatter -> unit -> unit
```

```
val force_newline : unit -> unit
```

Force a new line in the current pretty-printing box.

The pretty-printer must split the line at this point,

Not the normal way of pretty-printing, since imperative line splitting may interfere with current line counters and box size calculation. Using break hints within an enclosing vertical box is a better alternative.

```
val pp_print_if_newline : formatter -> unit -> unit
```

```
val print_if_newline : unit -> unit
```



Execute the next formatting command if the preceding line has just been split. Otherwise, ignore the next formatting command.

## Pretty-printing termination

```
val pp_print_flush : formatter -> unit -> unit
```

```
val print_flush : unit -> unit
```

End of pretty-printing: resets the pretty-printer to initial state.

All open pretty-printing boxes are closed, all pending text is printed. In addition, the pretty-printer low level output device is flushed to ensure that all pending text is really displayed.

Note: never use `print_flush` in the normal course of a pretty-printing routine, since the pretty-printer uses a complex buffering machinery to properly indent the output; manually flushing those buffers at random would conflict with the pretty-printer strategy and result to poor rendering.

Only consider using `print_flush` when displaying all pending material is mandatory (for instance in case of interactive use when you want the user to read some text) and when resetting the pretty-printer state will not disturb further pretty-printing.

Warning: If the output device of the pretty-printer is an output channel, repeated calls to `print_flush` means repeated calls to `flush`[\[27.2\]](#) to flush the out channel; these explicit flush calls could foil the buffering strategy of output channels and could dramatically impact efficiency.

```
val pp_print_newline : formatter -> unit -> unit
```

```
val print_newline : unit -> unit
```

End of pretty-printing: resets the pretty-printer to initial state.

All open pretty-printing boxes are closed, all pending text is printed.

Equivalent to `Format.print_flush`[\[28.21\]](#) with a new line emitted on the pretty-printer low-level output device immediately before the device is flushed. See corresponding words of caution for `Format.print_flush`[\[28.21\]](#).

Note: this is not the normal way to output a new line; the preferred method is using break hints within a vertical pretty-printing box.

## Margin

```
val pp_set_margin : formatter -> int -> unit
```

```
val set_margin : int -> unit
```

`pp_set_margin ppf d` sets the right margin to `d` (in characters): the pretty-printer splits lines that overflow the right margin according to the break hints given. Setting the margin to `d` means that the formatting engine aims at printing at most `d-1` characters per line. Nothing happens if `d` is smaller than 2. If `d` is too large, the right margin is set to the maximum

admissible value (which is greater than  $10 \sim 9$ ). If `d` is less than the current maximum indentation limit, the maximum indentation limit is decreased while trying to preserve a minimal ratio `max_indent/margin>=50%` and if possible the current difference `margin - max_indent`.

See also `Format.pp_set_geometry`[28.21].

```
val pp_get_margin : formatter -> unit -> int
```

```
val get_margin : unit -> int
```

Returns the position of the right margin.

## Maximum indentation limit

```
val pp_set_max_indent : formatter -> int -> unit
```

```
val set_max_indent : int -> unit
```

`pp_set_max_indent ppf d` sets the maximum indentation limit of lines to `d` (in characters): once this limit is reached, new pretty-printing boxes are rejected to the left, unless the enclosing box fully fits on the current line. As an illustration,

```
set_margin 10; set_max_indent 5; printf "@[123456@[7@]89A@]@."
```

yields

```
123456
```

```
789A
```

because the nested box `"@[7@]"` is opened after the maximum indentation limit ( $7 > 5$ ) and its parent box does not fit on the current line. Either decreasing the length of the parent box to make it fit on a line:

```
printf "@[123456@[7@]89@]@."
```

or opening an intermediary box before the maximum indentation limit which fits on the current line

```
printf "@[123@[456@[7@]89@]A@]@."
```

avoids the rejection to the left of the inner boxes and print respectively `"123456789"` and `"123456789A"`. Note also that vertical boxes never fit on a line whereas horizontal boxes always fully fit on the current line. Opening a box may split a line whereas the contents may have fit. If this behavior is problematic, it can be curtailed by setting the maximum indentation limit to `margin - 1`. Note that setting the maximum indentation limit to `margin` is invalid.

Nothing happens if `d` is smaller than 2.

If `d` is too large, the limit is set to the maximum admissible value (which is greater than  $10 \sim 9$ ).

If `d` is greater or equal than the current margin, it is ignored, and the current maximum indentation limit is kept.

See also `Format.pp_set_geometry`[\[28.21\]](#).

```
val pp_get_max_indent : formatter -> unit -> int
```

```
val get_max_indent : unit -> int
```

Return the maximum indentation limit (in characters).

## Geometry

Geometric functions can be used to manipulate simultaneously the coupled variables, margin and maximum indentation limit.

```
type geometry =
{ max_indent : int ;
  margin : int ;
}
```

**Since:** 4.08

```
val check_geometry : geometry -> bool
```

Check if the formatter geometry is valid:  $1 < \text{max\_indent} < \text{margin}$

**Since:** 4.08

```
val pp_set_geometry : formatter -> max_indent:int -> margin:int -> unit
```

```
val set_geometry : max_indent:int -> margin:int -> unit
```

```
val pp_safe_set_geometry : formatter -> max_indent:int -> margin:int -> unit
```

```
val safe_set_geometry : max_indent:int -> margin:int -> unit
```

`pp_set_geometry ppf ~max_indent ~margin` sets both the margin and maximum indentation limit for `ppf`.

When  $1 < \text{max\_indent} < \text{margin}$ , `pp_set_geometry ppf ~max_indent ~margin` is equivalent to `pp_set_margin ppf margin`; `pp_set_max_indent ppf max_indent`; and avoids the subtly incorrect `pp_set_max_indent ppf max_indent`; `pp_set_margin ppf margin`;

Outside of this domain, `pp_set_geometry` raises an invalid argument exception whereas `pp_safe_set_geometry` does nothing.

**Since:** 4.08

```
val pp_update_geometry : formatter -> (geometry -> geometry) -> unit
```

`pp_update_geometry ppf (fun geo -> { geo with ... })` lets you update a formatter's geometry in a way that is robust to extension of the `geometry` record with new fields.

Raises an invalid argument exception if the returned geometry does not satisfy `Format.check_geometry`[\[28.21\]](#).

**Since:** 4.11

```
val update_geometry : (geometry -> geometry) -> unit
```

```
val pp_get_geometry : formatter -> unit -> geometry
```

```
val get_geometry : unit -> geometry
```

Return the current geometry of the formatter

**Since:** 4.08

## Maximum formatting depth

The maximum formatting depth is the maximum number of pretty-printing boxes simultaneously open.

Material inside boxes nested deeper is printed as an ellipsis (more precisely as the text returned by `Format.get_ellipsis_text`[\[28.21\]](#) `()`).

```
val pp_set_max_boxes : formatter -> int -> unit
```

```
val set_max_boxes : int -> unit
```

`pp_set_max_boxes ppf max` sets the maximum number of pretty-printing boxes simultaneously open.

Material inside boxes nested deeper is printed as an ellipsis (more precisely as the text returned by `Format.get_ellipsis_text`[\[28.21\]](#) `()`).

Nothing happens if `max` is smaller than 2.

```
val pp_get_max_boxes : formatter -> unit -> int
```

```
val get_max_boxes : unit -> int
```

Returns the maximum number of pretty-printing boxes allowed before ellipsis.

```
val pp_over_max_boxes : formatter -> unit -> bool
```

```
val over_max_boxes : unit -> bool
```

Tests if the maximum number of pretty-printing boxes allowed have already been opened.

## Tabulation boxes

A *tabulation box* prints material on lines divided into cells of fixed length. A tabulation box provides a simple way to display vertical columns of left adjusted text.

This box features command `set_tab` to define cell boundaries, and command `print_tab` to move from cell to cell and split the line when there is no more cells to print on the line.

Note: printing within tabulation box is line directed, so arbitrary line splitting inside a tabulation box leads to poor rendering. Yet, controlled use of tabulation boxes allows simple printing of columns within module `Format`[\[28.21\]](#).

```
val pp_open_tbox : formatter -> unit -> unit
```

```
val open_tbox : unit -> unit
```

`open_tbox ()` opens a new tabulation box.

This box prints lines separated into cells of fixed width.

Inside a tabulation box, special *tabulation markers* defines points of interest on the line (for instance to delimit cell boundaries). Function `Format.set_tab`[\[28.21\]](#) sets a tabulation marker at insertion point.

A tabulation box features specific *tabulation breaks* to move to next tabulation marker or split the line. Function `Format.print_tbreak`[\[28.21\]](#) prints a tabulation break.

```
val pp_close_tbox : formatter -> unit -> unit
```

```
val close_tbox : unit -> unit
```

Closes the most recently opened tabulation box.

```
val pp_set_tab : formatter -> unit -> unit
```

```
val set_tab : unit -> unit
```

Sets a tabulation marker at current insertion point.

```
val pp_print_tab : formatter -> unit -> unit
```

```
val print_tab : unit -> unit
```

`print_tab ()` emits a 'next' tabulation break hint: if not already set on a tabulation marker, the insertion point moves to the first tabulation marker on the right, or the pretty-printer splits the line and insertion point moves to the leftmost tabulation marker.

It is equivalent to `print_tbreak 0 0`.

```
val pp_print_tbreak : formatter -> int -> int -> unit
```

```
val print_tbreak : int -> int -> unit
```

`print_tbreak nspaces offset` emits a 'full' tabulation break hint.

If not already set on a tabulation marker, the insertion point moves to the first tabulation marker on the right and the pretty-printer prints `nspaces` spaces.

If there is no next tabulation marker on the right, the pretty-printer splits the line at this point, then insertion point moves to the leftmost tabulation marker of the box.

If the pretty-printer splits the line, `offset` is added to the current indentation.

## Ellipsis

```
val pp_set_ellipsis_text : formatter -> string -> unit
```

```
val set_ellipsis_text : string -> unit
```

Set the text of the ellipsis printed when too many pretty-printing boxes are open (a single dot, `..`, by default).

```
val pp_get_ellipsis_text : formatter -> unit -> string
```

```
val get_ellipsis_text : unit -> string
```

Return the text of the ellipsis.

## Semantic tags

`type stag = ..`

*Semantic tags* (or simply *tags*) are user's defined annotations to associate user's specific operations to printed entities.

Common usage of semantic tags is text decoration to get specific font or text size rendering for a display device, or marking delimitation of entities (e.g. HTML or TeX elements or terminal escape sequences). More sophisticated usage of semantic tags could handle dynamic modification of the pretty-printer behavior to properly print the material within some specific tags. For instance, we can define an RGB tag like so:

```
type stag += RGB of {r:int;g:int;b:int}
```

In order to properly delimit printed entities, a semantic tag must be opened before and closed after the entity. Semantic tags must be properly nested like parentheses using `Format.pp_open_stag`[\[28.21\]](#) and `Format.pp_close_stag`[\[28.21\]](#).

Tag specific operations occur any time a tag is opened or closed, At each occurrence, two kinds of operations are performed *tag-marking* and *tag-printing*:

- The tag-marking operation is the simpler tag specific operation: it simply writes a tag specific string into the output device of the formatter. Tag-marking does not interfere with line-splitting computation.
- The tag-printing operation is the more involved tag specific operation: it can print arbitrary material to the formatter. Tag-printing is tightly linked to the current pretty-printer operations.

Roughly speaking, tag-marking is commonly used to get a better rendering of texts in the rendering device, while tag-printing allows fine tuning of printing routines to print the same entity differently according to the semantic tags (i.e. print additional material or even omit parts of the output).

More precisely: when a semantic tag is opened or closed then both and successive 'tag-printing' and 'tag-marking' operations occur:

- Tag-printing a semantic tag means calling the formatter specific function `print_open_stag` (resp. `print_close_stag`) with the name of the tag as argument: that tag-printing function can then print any regular material to the formatter (so that this material is enqueued as usual in the formatter queue for further line splitting computation).
- Tag-marking a semantic tag means calling the formatter specific function `mark_open_stag` (resp. `mark_close_stag`) with the name of the tag as argument: that tag-marking function can then return the 'tag-opening marker' (resp. 'tag-closing marker') for direct output into the output device of the formatter.

Being written directly into the output device of the formatter, semantic tag marker strings are not considered as part of the printing material that drives line splitting (in other words, the length of the strings corresponding to tag markers is considered as zero for line splitting).

Thus, semantic tag handling is in some sense transparent to pretty-printing and does not interfere with usual indentation. Hence, a single pretty-printing routine can output both simple 'verbatim' material or richer decorated output depending on the treatment of tags. By default, tags are not active, hence the output is not decorated with tag information. Once `set_tags` is set to `true`, the pretty-printer engine honors tags and decorates the output accordingly.

Default tag-marking functions behave the HTML way: string tags[28.21] are enclosed in "<" and ">" while other tags are ignored; hence, opening marker for tag string "`t`" is "<`t`>" and closing marker is "</`t`>".

Default tag-printing functions just do nothing.

Tag-marking and tag-printing functions are user definable and can be set by calling `Format.set_formatter_stag_functions`[28.21].

Semantic tag operations may be set on or off with `Format.set_tags`[28.21]. Tag-marking operations may be set on or off with `Format.set_mark_tags`[28.21]. Tag-printing operations may be set on or off with `Format.set_print_tags`[28.21].

**Since:** 4.08

```
type tag = string
type stag +=
  | String_tag of tag
      String_tag s is a string tag s. String tags can be inserted either by explicitly using
      the constructor String_tag or by using the dedicated format syntax "@{<s> ... @}".
```

**Since:** 4.08

```
val pp_open_stag : formatter -> stag -> unit
val open_stag : stag -> unit
    pp_open_stag ppf t opens the semantic tag named t.
```

The `print_open_stag` tag-printing function of the formatter is called with `t` as argument; then the opening tag marker for `t`, as given by `mark_open_stag t`, is written into the output device of the formatter.

**Since:** 4.08

```
val pp_close_stag : formatter -> unit -> unit
val close_stag : unit -> unit
    pp_close_stag ppf () closes the most recently opened semantic tag t.
```

The closing tag marker, as given by `mark_close_stag t`, is written into the output device of the formatter; then the `print_close_stag` tag-printing function of the formatter is called with `t` as argument.

**Since:** 4.08

```

val pp_set_tags : formatter -> bool -> unit
val set_tags : bool -> unit
    pp_set_tags ppf b turns on or off the treatment of semantic tags (default is off).

val pp_set_print_tags : formatter -> bool -> unit
val set_print_tags : bool -> unit
    pp_set_print_tags ppf b turns on or off the tag-printing operations.

val pp_set_mark_tags : formatter -> bool -> unit
val set_mark_tags : bool -> unit
    pp_set_mark_tags ppf b turns on or off the tag-marking operations.

val pp_get_print_tags : formatter -> unit -> bool
val get_print_tags : unit -> bool
    Return the current status of tag-printing operations.

val pp_get_mark_tags : formatter -> unit -> bool
val get_mark_tags : unit -> bool
    Return the current status of tag-marking operations.

val pp_set_formatter_out_channel : formatter -> out_channel -> unit

```

## Redirecting the standard formatter output

```

val set_formatter_out_channel : out_channel -> unit
    Redirect the standard pretty-printer output to the given channel. (All the output functions of
    the standard formatter are set to the default output functions printing to the given channel.)

    set_formatter_out_channel is equivalent to
    Format.pp_set_formatter_out_channel[28.21] std_formatter.

val pp_set_formatter_output_functions :
    formatter -> (string -> int -> int -> unit) -> (unit -> unit) -> unit
val set_formatter_output_functions :
    (string -> int -> int -> unit) -> (unit -> unit) -> unit
    pp_set_formatter_output_functions ppf out flush redirects the standard
    pretty-printer output functions to the functions out and flush.

    The out function performs all the pretty-printer string output. It is called with a string s, a
    start position p, and a number of characters n; it is supposed to output characters p to p + n
    - 1 of s.

    The flush function is called whenever the pretty-printer is flushed (via conversion %!, or
    pretty-printing indications @? or @., or using low level functions print_flush or
    print_newline).

```



```

val pp_get_formatter_output_functions :
  formatter -> unit -> (string -> int -> int -> unit) * (unit -> unit)
val get_formatter_output_functions :
  unit -> (string -> int -> int -> unit) * (unit -> unit)
  
```

Return the current output functions of the standard pretty-printer.

## Redefining formatter output

The `Format` module is versatile enough to let you completely redefine the meaning of pretty-printing output: you may provide your own functions to define how to handle indentation, line splitting, and even printing of all the characters that have to be printed!

## Redefining output functions

```

type formatter_out_functions =
{ out_string : string -> int -> int -> unit ;
  out_flush   : unit -> unit ;
  out_newline : unit -> unit ;
  out_spaces  : int -> unit ;
  out_indent  : int -> unit ;
  
```

Since: 4.06

```

}
  
```

The set of output functions specific to a formatter:

- the `out_string` function performs all the pretty-printer string output. It is called with a string `s`, a start position `p`, and a number of characters `n`; it is supposed to output characters `p` to `p + n - 1` of `s`.
- the `out_flush` function flushes the pretty-printer output device.
- `out_newline` is called to open a new line when the pretty-printer splits the line.
- the `out_spaces` function outputs spaces when a break hint leads to spaces instead of a line split. It is called with the number of spaces to output.
- the `out_indent` function performs new line indentation when the pretty-printer splits the line. It is called with the indentation value of the new line.

By default:

- fields `out_string` and `out_flush` are output device specific; (e.g. `output_string`[\[27.2\]](#) and `flush`[\[27.2\]](#) for a `out_channel`[\[27.2\]](#) device, or `Buffer.add_substring` and `ignore`[\[27.2\]](#) for a `Buffer.t` output device),
- field `out_newline` is equivalent to `out_string "\n" 0 1`;
- fields `out_spaces` and `out_indent` are equivalent to `out_string (String.make n ' ') 0 n`.

Since: 4.01

```
val pp_set_formatter_out_functions :
  formatter -> formatter_out_functions -> unit
```

```
val set_formatter_out_functions : formatter_out_functions -> unit
  pp_set_formatter_out_functions ppf out_funs Set all the pretty-printer output
  functions of ppf to those of argument out_funs,
```

This way, you can change the meaning of indentation (which can be something else than just printing space characters) and the meaning of new lines opening (which can be connected to any other action needed by the application at hand).

Reasonable defaults for functions `out_spaces` and `out_newline` are respectively `out_funs.out_string (String.make n ' ') 0 n` and `out_funs.out_string "\n" 0 1`.

**Since:** 4.01

```
val pp_get_formatter_out_functions :
  formatter -> unit -> formatter_out_functions
```

```
val get_formatter_out_functions : unit -> formatter_out_functions
```

Return the current output functions of the pretty-printer, including line splitting and indentation functions. Useful to record the current setting and restore it afterwards.

**Since:** 4.01

## Redefining semantic tag operations

```
type formatter_stag_functions =
{ mark_open_stag : stag -> string ;
  mark_close_stag : stag -> string ;
  print_open_stag : stag -> unit ;
  print_close_stag : stag -> unit ;
}
```

The semantic tag handling functions specific to a formatter: `mark` versions are the 'tag-marking' functions that associate a string marker to a tag in order for the pretty-printing engine to write those markers as 0 length tokens in the output device of the formatter. `print` versions are the 'tag-printing' functions that can perform regular printing when a tag is closed or opened.

**Since:** 4.08

```
val pp_set_formatter_stag_functions :
  formatter -> formatter_stag_functions -> unit
```

```
val set_formatter_stag_functions : formatter_stag_functions -> unit
```

`pp_set_formatter_stag_functions ppf tag_funs` changes the meaning of opening and closing semantic tag operations to use the functions in `tag_funs` when printing on `ppf`.

When opening a semantic tag with name `t`, the string `t` is passed to the opening tag-marking function (the `mark_open_stag` field of the record `tag_funs`), that must return the opening

tag marker for that name. When the next call to `close_stag ()` happens, the semantic tag name `t` is sent back to the closing tag-marking function (the `mark_close_stag` field of record `tag_funs`), that must return a closing tag marker for that name.

The `print_` field of the record contains the tag-printing functions that are called at tag opening and tag closing time, to output regular material in the pretty-printer queue.

**Since:** 4.08

```
val pp_get_formatter_stag_functions :
  formatter -> unit -> formatter_stag_functions
val get_formatter_stag_functions : unit -> formatter_stag_functions
  Return the current semantic tag operation functions of the standard pretty-printer.
```

**Since:** 4.08

## Defining formatters

Defining new formatters permits unrelated output of material in parallel on several output devices. All the parameters of a formatter are local to the formatter: right margin, maximum indentation limit, maximum number of pretty-printing boxes simultaneously open, ellipsis, and so on, are specific to each formatter and may be fixed independently.

For instance, given a `Buffer.t`[\[28.7\]](#) buffer `b`, `Format.formatter_of_buffer`[\[28.21\]](#) `b` returns a new formatter using buffer `b` as its output device. Similarly, given a `out_channel`[\[27.2\]](#) output channel `oc`, `Format.formatter_of_out_channel`[\[28.21\]](#) `oc` returns a new formatter using channel `oc` as its output device.

Alternatively, given `out_funs`, a complete set of output functions for a formatter, then `Format.formatter_of_out_functions`[\[28.21\]](#) `out_funs` computes a new formatter using those functions for output.

```
val formatter_of_out_channel : out_channel -> formatter
  formatter_of_out_channel oc returns a new formatter writing to the corresponding
  output channel oc.
```

```
val synchronized_formatter_of_out_channel :
  out_channel -> formatter Domain.DLS.key
  synchronized_formatter_of_out_channel oc returns the key to the domain-local state
  that holds the domain-local formatter for writing to the corresponding output channel oc.
```

When the formatter is used with multiple domains, the output from the domains will be interleaved with each other at points where the formatter is flushed, such as with `Format.print_flush`[\[28.21\]](#).

**Alert unstable**

```
val std_formatter : formatter
  The initial domain's standard formatter to write to standard output.
  It is defined as Format.formatter_of_out_channel\[28.21\] stdout\[27.2\].
```

**val** `get_std_formatter` : `unit -> formatter`

`get_std_formatter` () returns the current domain's standard formatter used to write to standard output.

**Since:** 5.0

**val** `err_formatter` : `formatter`

The initial domain's formatter to write to standard error.

It is defined as `Format.formatter_of_out_channel`[\[28.21\]](#) `stderr`[\[27.2\]](#).

**val** `get_err_formatter` : `unit -> formatter`

`get_err_formatter` () returns the current domain's formatter used to write to standard error.

**Since:** 5.0

**val** `formatter_of_buffer` : `Buffer.t -> formatter`

`formatter_of_buffer` `b` returns a new formatter writing to buffer `b`. At the end of pretty-printing, the formatter must be flushed using `Format.pp_print_flush`[\[28.21\]](#) or `Format.pp_print_newline`[\[28.21\]](#), to print all the pending material into the buffer.

**val** `stdbuf` : `Buffer.t`

The initial domain's string buffer in which `str_formatter` writes.

**val** `get_stdbuf` : `unit -> Buffer.t`

`get_stdbuf` () returns the current domain's string buffer in which the current domain's string formatter writes.

**Since:** 5.0

**val** `str_formatter` : `formatter`

The initial domain's formatter to output to the `Format.stdbuf`[\[28.21\]](#) string buffer.

`str_formatter` is defined as `Format.formatter_of_buffer`[\[28.21\]](#) `Format.stdbuf`[\[28.21\]](#).

**val** `get_str_formatter` : `unit -> formatter`

The current domain's formatter to output to the current domains string buffer.

**Since:** 5.0

**val** `flush_str_formatter` : `unit -> string`

Returns the material printed with `str_formatter` of the current domain, flushes the formatter and resets the corresponding buffer.

**val** `make_formatter` :

(`string -> int -> int -> unit`) -> (`unit -> unit`) -> `formatter`

`make_formatter out flush` returns a new formatter that outputs with function `out`, and flushes with function `flush`.

For instance,

```
make_formatter
  (Stdlib.output oc)
  (fun () -> Stdlib.flush oc)
```

returns a formatter to the `out_channel`[27.2] `oc`.

```
val make_synchronized_formatter :
```

```
(string -> int -> int -> unit) ->
```

```
(unit -> unit) -> formatter Domain.DLS.key
```

`make_synchronized_formatter out flush` returns the key to the domain-local state that holds the domain-local formatter that outputs with function `out`, and flushes with function `flush`.

When the formatter is used with multiple domains, the output from the domains will be interleaved with each other at points where the formatter is flushed, such as with `Format.print_flush`[28.21].

**Since:** 5.0

**Alert unstable**

```
val formatter_of_out_functions : formatter_out_functions -> formatter
```

`formatter_of_out_functions out_funs` returns a new formatter that writes with the set of output functions `out_funs`.

See definition of type `Format.formatter_out_functions`[28.21] for the meaning of argument `out_funs`.

**Since:** 4.06

## Symbolic pretty-printing

Symbolic pretty-printing is pretty-printing using a symbolic formatter, i.e. a formatter that outputs symbolic pretty-printing items.

When using a symbolic formatter, all regular pretty-printing activities occur but output material is symbolic and stored in a buffer of output items. At the end of pretty-printing, flushing the output buffer allows post-processing of symbolic output before performing low level output operations.

In practice, first define a symbolic output buffer `b` using:

- `let sob = make_symbolic_output_buffer ()`. Then define a symbolic formatter with:
- `let ppf = formatter_of_symbolic_output_buffer sob`

Use symbolic formatter `ppf` as usual, and retrieve symbolic items at end of pretty-printing by flushing symbolic output buffer `sob` with:

- `flush_symbolic_output_buffer sob`.

```
type symbolic_output_item =
  | Output_flush
      symbolic flush command
  | Output_newline
      symbolic newline command
  | Output_string of string
      Output_string s: symbolic output for string s
  | Output_spaces of int
      Output_spaces n: symbolic command to output n spaces
  | Output_indent of int
      Output_indent i: symbolic indentation of size i
Items produced by symbolic pretty-printers
Since: 4.06
```

```
type symbolic_output_buffer
  The output buffer of a symbolic pretty-printer.
Since: 4.06
```

```
val make_symbolic_output_buffer : unit -> symbolic_output_buffer
  make_symbolic_output_buffer () returns a fresh buffer for symbolic output.
Since: 4.06
```

```
val clear_symbolic_output_buffer : symbolic_output_buffer -> unit
  clear_symbolic_output_buffer sob resets buffer sob.
Since: 4.06
```

```
val get_symbolic_output_buffer :
  symbolic_output_buffer -> symbolic_output_item list
  get_symbolic_output_buffer sob returns the contents of buffer sob.
Since: 4.06
```

```
val flush_symbolic_output_buffer :
  symbolic_output_buffer -> symbolic_output_item list
  flush_symbolic_output_buffer sob returns the contents of buffer sob and resets buffer
  sob. flush_symbolic_output_buffer sob is equivalent to let items =
  get_symbolic_output_buffer sob in clear_symbolic_output_buffer sob; items
Since: 4.06
```

```
val add_symbolic_output_item :
  symbolic_output_buffer -> symbolic_output_item -> unit
  add_symbolic_output_item sob itm adds item itm to buffer sob.
  Since: 4.06
```

```
val formatter_of_symbolic_output_buffer : symbolic_output_buffer -> formatter
  formatter_of_symbolic_output_buffer sob returns a symbolic formatter that outputs to
  symbolic_output_buffer sob.
  Since: 4.06
```

### Convenience formatting functions.

```
val pp_print_iter :
  ?pp_sep:(formatter -> unit -> unit) ->
  (('a -> unit) -> 'b -> unit) ->
  (formatter -> 'a -> unit) -> formatter -> 'b -> unit
  pp_print_iter ~pp_sep iter pp_v ppf v formats on ppf the iterations of iter over a
  collection v of values using pp_v. Iterations are separated by pp_sep (defaults to
  Format.pp_print_cut[28.21]).
  Since: 5.1
```

```
val pp_print_list :
  ?pp_sep:(formatter -> unit -> unit) ->
  (formatter -> 'a -> unit) -> formatter -> 'a list -> unit
  pp_print_list ?pp_sep pp_v ppf l prints items of list l, using pp_v to print each item,
  and calling pp_sep between items (pp_sep defaults to Format.pp_print_cut[28.21]). Does
  nothing on empty lists.
  Since: 4.02
```

```
val pp_print_array :
  ?pp_sep:(formatter -> unit -> unit) ->
  (formatter -> 'a -> unit) -> formatter -> 'a array -> unit
  pp_print_array ?pp_sep pp_v ppf a prints items of array a, using pp_v to print each
  item, and calling pp_sep between items (pp_sep defaults to Format.pp_print_cut[28.21]).
  Does nothing on empty arrays.
  If a is mutated after pp_print_array is called, the printed values may not be what is
  expected because Format can delay the printing. This can be avoided by flushing ppf.
  Since: 5.1
```

```
val pp_print_seq :
  ?pp_sep:(formatter -> unit -> unit) ->
  (formatter -> 'a -> unit) ->
  formatter -> 'a Seq.t -> unit
```

`pp_print_seq ?pp_sep pp_v ppf s` prints items of sequence `s`, using `pp_v` to print each item, and calling `pp_sep` between items (`pp_sep` defaults to `Format.pp_print_cut`[\[28.21\]](#)). Does nothing on empty sequences.

This function does not terminate on infinite sequences.

**Since:** 4.12

```
val pp_print_text : formatter -> string -> unit
    pp_print_text ppf s prints s with spaces and newlines respectively printed using
    Format.pp_print_space\[28.21\] and Format.pp_force_newline\[28.21\].
```

**Since:** 4.02

```
val pp_print_option :
    ?none:(formatter -> unit -> unit) ->
    (formatter -> 'a -> unit) -> formatter -> 'a option -> unit
    pp_print_option ?none pp_v ppf o prints o on ppf using pp_v if o is Some v and none if
    it is None. none prints nothing by default.
```

**Since:** 4.08

```
val pp_print_result :
    ok:(formatter -> 'a -> unit) ->
    error:(formatter -> 'e -> unit) ->
    formatter -> ('a, 'e) result -> unit
    pp_print_result ~ok ~error ppf r prints r on ppf using ok if r is Ok _ and error if r is
    Error _.
```

**Since:** 4.08

```
val pp_print_either :
    left:(formatter -> 'a -> unit) ->
    right:(formatter -> 'b -> unit) ->
    formatter -> ('a, 'b) Either.t -> unit
    pp_print_either ~left ~right ppf e prints e on ppf using left if e is Either.Left
    _ and right if e is Either.Right _.
```

**Since:** 4.13

## Formatted pretty-printing

Module `Format` provides a complete set of `printf` like functions for pretty-printing using format string specifications.

Specific annotations may be added in the format strings to give pretty-printing commands to the pretty-printing engine.

Those annotations are introduced in the format strings using the `@` character. For instance, `@` means a space break, `@,` means a cut, `@[` opens a new box, and `@]` closes the last open box.



```
val fprintf : formatter -> ('a, formatter, unit) format -> 'a
```

`fprintf ff fmt arg1 ... argN` formats the arguments `arg1` to `argN` according to the format string `fmt`, and outputs the resulting string on the formatter `ff`.

The format string `fmt` is a character string which contains three types of objects: plain characters and conversion specifications as specified in the `Printf`[\[28.43\]](#) module, and pretty-printing indications specific to the `Format` module.

The pretty-printing indication characters are introduced by a `@` character, and their meanings are:

- `@[`: open a pretty-printing box. The type and offset of the box may be optionally specified with the following syntax: the `<` character, followed by an optional box type indication, then an optional integer offset, and the closing `>` character. Pretty-printing box type is one of `h`, `v`, `hv`, `b`, or `hov`. `'h'` stands for an 'horizontal' pretty-printing box, `'v'` stands for a 'vertical' pretty-printing box, `'hv'` stands for an 'horizontal/vertical' pretty-printing box, `'b'` stands for an 'horizontal-or-vertical' pretty-printing box demonstrating indentation, `'hov'` stands a simple 'horizontal-or-vertical' pretty-printing box. For instance, `@[<hov 2>` opens an 'horizontal-or-vertical' pretty-printing box with indentation 2 as obtained with `open_hovbox 2`. For more details about pretty-printing boxes, see the various box opening functions `open_*box`.
- `@]`: close the most recently opened pretty-printing box.
- `@,`: output a 'cut' break hint, as with `print_cut ()`.
- `@ :` output a 'space' break hint, as with `print_space ()`.
- `@;`: output a 'full' break hint as with `print_break`. The `nspaces` and `offset` parameters of the break hint may be optionally specified with the following syntax: the `<` character, followed by an integer `nspaces` value, then an integer `offset`, and a closing `>` character. If no parameters are provided, the full break defaults to a 'space' break hint.
- `@.`: flush the pretty-printer and split the line, as with `print_newline ()`.
- `@<n>`: print the following item as if it were of length `n`. Hence, `printf "@<0>%s" arg` prints `arg` as a zero length string. If `@<n>` is not followed by a conversion specification, then the following character of the format is printed as if it were of length `n`.
- `@{`: open a semantic tag. The name of the tag may be optionally specified with the following syntax: the `<` character, followed by an optional string specification, and the closing `>` character. The string specification is any character string that does not contain the closing character `'>'`. If omitted, the tag name defaults to the empty string. For more details about semantic tags, see the functions `Format.open_stag`[\[28.21\]](#) and `Format.close_stag`[\[28.21\]](#).
- `@}`: close the most recently opened semantic tag.
- `@?`: flush the pretty-printer as with `print_flush ()`. This is equivalent to the conversion `%!`.
- `@\n`: force a newline, as with `force_newline ()`, not the normal way of pretty-printing, you should prefer using break hints inside a vertical pretty-printing box.

Note: To prevent the interpretation of a @ character as a pretty-printing indication, escape it with a % character. Old quotation mode @@ is deprecated since it is not compatible with formatted input interpretation of character '@'.

Example: `printf "@[%s@ %d@]@." "x =" 1` is equivalent to `open_box (); print_string "x ="; print_space (); print_int 1; close_box (); print_newline ()`. It prints `x = 1` within a pretty-printing 'horizontal-or-vertical' box.

`val printf : ('a, formatter, unit) format -> 'a`

Same as `fprintf` above, but output on `get_std_formatter ()`.

It is defined similarly to `fun fmt -> fprintf (get_std_formatter ()) fmt` but delays calling `get_std_formatter` until after the final argument required by the `format` is received. When used with multiple domains, the output from the domains will be interleaved with each other at points where the formatter is flushed, such as with `Format.print_flush`[\[28.21\]](#).

`val eprintf : ('a, formatter, unit) format -> 'a`

Same as `fprintf` above, but output on `get_err_formatter ()`.

It is defined similarly to `fun fmt -> fprintf (get_err_formatter ()) fmt` but delays calling `get_err_formatter` until after the final argument required by the `format` is received. When used with multiple domains, the output from the domains will be interleaved with each other at points where the formatter is flushed, such as with `Format.print_flush`[\[28.21\]](#).

`val sprintf : ('a, unit, string) format -> 'a`

Same as `printf` above, but instead of printing on a formatter, returns a string containing the result of formatting the arguments. Note that the pretty-printer queue is flushed at the end of *each call* to `sprintf`. Note that if your format string contains a `%a`, you should use `asprintf`.

In case of multiple and related calls to `sprintf` to output material on a single string, you should consider using `fprintf` with the predefined formatter `str_formatter` and call `flush_str_formatter ()` to get the final result.

Alternatively, you can use `Format.fprintf` with a formatter writing to a buffer of your own: flushing the formatter and the buffer at the end of pretty-printing returns the desired string.

`val asprintf : ('a, formatter, unit, string) format4 -> 'a`

Same as `printf` above, but instead of printing on a formatter, returns a string containing the result of formatting the arguments. The type of `asprintf` is general enough to interact nicely with `%a` conversions.

Since: 4.01

`val dprintf : ('a, formatter, unit, formatter -> unit) format4 -> 'a`

Same as `Format.fprintf`[\[28.21\]](#), except the formatter is the last argument. `dprintf "..."` `a b c` is a function of type `formatter -> unit` which can be given to a format specifier `%t`.

This can be used as a replacement for `Format.asprintf`[\[28.21\]](#) to delay formatting decisions. Using the string returned by `Format.asprintf`[\[28.21\]](#) in a formatting context forces formatting decisions to be taken in isolation, and the final string may be created

prematurely. `Format.dprintf`[\[28.21\]](#) allows delay of formatting decisions until the final formatting context is known. For example:

```
let t = Format.dprintf "%i@ %i@ %i" 1 2 3 in
...
Format.printf "@[<v>%t@]" t
```

**Since:** 4.08

```
val ifprintf : formatter -> ('a, formatter, unit) format -> 'a
```

Same as `fprintf` above, but does not print anything. Useful to ignore some material when conditionally printing.

**Since:** 3.10

Formatted Pretty-Printing with continuations.

```
val kfprintf :
  (formatter -> 'a) ->
  formatter -> ('b, formatter, unit, 'a) format4 -> 'b
```

Same as `fprintf` above, but instead of returning immediately, passes the formatter to its first argument at the end of printing.

```
val kdprintf :
  ((formatter -> unit) -> 'a) ->
  ('b, formatter, unit, 'a) format4 -> 'b
```

Same as `Format.dprintf`[\[28.21\]](#) above, but instead of returning immediately, passes the suspended printer to its first argument at the end of printing.

**Since:** 4.08

```
val ikfprintf :
  (formatter -> 'a) ->
  formatter -> ('b, formatter, unit, 'a) format4 -> 'b
```

Same as `kfprintf` above, but does not print anything. Useful to ignore some material when conditionally printing.

**Since:** 3.12

```
val ksprintf : (string -> 'a) -> ('b, unit, string, 'a) format4 -> 'b
```

Same as `sprintf` above, but instead of returning the string, passes it to the first argument.

```
val kasprintf : (string -> 'a) -> ('b, formatter, unit, 'a) format4 -> 'b
```

Same as `asprintf` above, but instead of returning the string, passes it to the first argument.

**Since:** 4.03

## Examples

A few warmup examples to get an idea of how `Format` is used.

We have a list `l` of pairs `(int * bool)`, which the toplevel prints for us:

```
# let l = List.init 20 (fun n -> n, n mod 2 = 0)
val l : (int * bool) list =
[(0, true); (1, false); (2, true); (3, false); (4, true); (5, false);
 (6, true); (7, false); (8, true); (9, false); (10, true); (11, false);
 (12, true); (13, false); (14, true); (15, false); (16, true); (17, false);
 (18, true); (19, false)]
```

If we want to print it ourself without the toplevel magic, we can try this:

```
# let pp_pair out (x,y) = Format.fprintf out "(%d, %b)" x y
val pp_pair : Format.formatter -> int * bool -> unit = <fun>
# Format.printf "l: [@[<hov>%a@]]@."
Format.(pp_print_list ~pp_sep:(fun out () -> fprintf out ";@ ") pp_pair) l
l: [(0, true); (1, false); (2, true); (3, false); (4, true); (5, false);
    (6, true); (7, false); (8, true); (9, false); (10, true); (11, false);
    (12, true); (13, false); (14, true); (15, false); (16, true);
    (17, false); (18, true); (19, false)]
```

What this does, briefly, is:

- `pp_pair` prints a pair `bool*int` surrounded in `(" ")`. It takes a formatter (into which formatting happens), and the pair itself. When printing is done it returns `()`.
- `Format.printf "l = [@[<hov>%a@]]@."` ... `l` is like `printf`, but with additional formatting instructions (denoted with `"@"`). The pair `"@<hov>"` and `"@"` is a "horizontal-or-vertical box".
- `"@."` ends formatting with a newline. It is similar to `"\n"` but is also aware of the `Format.formatter`'s state. Do not use `"\n"` with `Format`.
- `"%a"` is a formatting instruction, like `"%d"` or `"%s"` for `printf`. However, where `"%d"` prints an integer and `"%s"` prints a string, `"%a"` takes a printer (of type `Format.formatter -> 'a -> unit`) and a value (of type `'a`) and applies the printer to the value. This is key to compositionality of printers.
- We build a list printer using `Format.pp_print_list ~pp_sep:(...) pp_pair`. `pp_print_list` takes an element printer and returns a list printer. The `?pp_sep` optional argument, if provided, is called in between each element to print a separator.
- Here, for a separator, we use `(fun out () -> Format.fprintf out ";@ ")`. It prints `;"`, and then `"@"` which is a breaking space (either it prints `" "`, or it prints a newline if the box is about to overflow). This `"@"` is responsible for the list printing splitting into several lines.

If we omit "@ ", we get an ugly single-line print:

```
# Format.printf "l: [@[<hov>%a@]]@."
  Format.(pp_print_list ~pp_sep:(fun out () -> fprintf out "; ") pp_pair) l
  l: [(0, true); (1, false); (2, true); (* ... *) (18, true); (19, false)]
- : unit = ()
```

Generally, it is good practice to define custom printers for important types in your program. If, for example, you were to define basic geometry types like so:

```
type point = {
  x: float;
  y: float;
}

type rectangle = {
  ll: point; (* lower left *)
  ur: point; (* upper right *)
}
```

For debugging purpose, or to display information in logs, or on the console, it would be convenient to define printers for these types. Here is an example of to do it. Note that "%.3f" is a `float` printer up to 3 digits of precision after the dot; "%f" would print as many digits as required, which is somewhat verbose; "%h" is an hexadecimal float printer.

```
let pp_point out (p:point) =
  Format.fprintf out "{ @[x=%.3f;@ y=%.3f@] }" p.x p.y

let pp_rectangle out (r:rectangle) =
  Format.fprintf out "{ @[ll=%a;@ ur=%a@] }"
    pp_point r.ll pp_point r.ur
```

In the `.mli` file, we could have:

```
val pp_point : Format.formatter -> point -> unit

val pp_rectangle : Format.formatter -> rectangle -> unit
```

These printers can now be used with "%a" inside other printers.

```
# Format.printf "some rectangle: %a@."
  (Format.pp_print_option pp_rectangle)
  (Some {ll={x=1.; y=2.}; ur={x=42.; y=500.12345}})
some rectangle: { l={ x=1.000; y=2.000 }; ur={ x=42.000; y=500.123 } }

# Format.printf "no rectangle: %a@."
```

```

      (Format.pp_option pp_rectangle)
      None
no rectangle:

```

See how we combine `pp_print_option` (option printer) and our newly defined rectangle printer, like we did with `pp_print_list` earlier.

For a more extensive tutorial, see

"Using the Format module" [<https://caml.inria.fr/resources/doc/guides/format.en.html>].

A final note: the `Format` module is a starting point. The OCaml ecosystem has libraries that makes formatting easier and more expressive, with more combinators, more concise names, etc. An example of such a library is `Fmt` [<https://erratique.ch/software/fmt>].

Automatic deriving of pretty-printers from type definitions is also possible, using [https://github.com/ocaml-ppx/ppx\\_deriving](https://github.com/ocaml-ppx/ppx_deriving) [[ppx\\_deriving.show](#)] or similar ppx derivers.

## 28.22 Module Fun : Function manipulation.

Since: 4.08

### Combinators

```
val id : 'a -> 'a
```

`id` is the identity function. For any argument `x`, `id x` is `x`.

```
val const : 'a -> 'b -> 'a
```

`const c` is a function that always returns the value `c`. For any argument `x`, `(const c) x` is `c`.

```
val flip : ('a -> 'b -> 'c) -> 'b -> 'a -> 'c
```

`flip f` reverses the argument order of the binary function `f`. For any arguments `x` and `y`, `(flip f) x y` is `f y x`.

```
val negate : ('a -> bool) -> 'a -> bool
```

`negate p` is the negation of the predicate function `p`. For any argument `x`, `(negate p) x` is `not (p x)`.

### Exception handling

```
val protect : finally:(unit -> unit) -> (unit -> 'a) -> 'a
```

`protect ~finally work` invokes `work ()` and then `finally ()` before `work ()` returns with its value or an exception. In the latter case the exception is re-raised after `finally ()`. If `finally ()` raises an exception, then the exception `Fun.Finally_raised` [[28.22](#)] is raised instead.

`protect` can be used to enforce local invariants whether `work ()` returns normally or raises an exception. However, it does not protect against unexpected exceptions raised inside `finally ()` such as `Out_of_memory`[\[27.2\]](#), `Stack_overflow`[\[27.2\]](#), or asynchronous exceptions raised by signal handlers (e.g. `Sys.Break`[\[28.55\]](#)).

Note: It is a *programming error* if other kinds of exceptions are raised by `finally`, as any exception raised in `work ()` will be lost in the event of a `Fun.Finally_raised`[\[28.22\]](#) exception. Therefore, one should make sure to handle those inside the `finally`.

**exception Finally\_raised of exn**

`Finally_raised exn` is raised by `protect ~finally work` when `finally` raises an exception `exn`. This exception denotes either an unexpected exception or a programming error. As a general rule, one should not catch a `Finally_raised` exception except as part of a catch-all handler.

## 28.23 Module Gc : Memory management control and statistics; finalised values.

```
type stat =
{  minor_words : float ;
    Number of words allocated in the minor heap since the program was started.

    promoted_words : float ;
    Number of words allocated in the minor heap that survived a minor collection and were
    moved to the major heap since the program was started.

    major_words : float ;
    Number of words allocated in the major heap, including the promoted words, since the
    program was started.

    minor_collections : int ;
    Number of minor collections since the program was started.

    major_collections : int ;
    Number of major collection cycles completed since the program was started.

    heap_words : int ;
    Total size of the major heap, in words.

    heap_chunks : int ;
    Number of contiguous pieces of memory that make up the major heap. This metrics is
    currently not available in OCaml 5: the field value is always 0.

    live_words : int ;
```

Number of words of live data in the major heap, including the header words.

Note that "live" words refers to every word in the major heap that isn't currently known to be collectable, which includes words that have become unreachable by the program after the start of the previous gc cycle. It is typically much simpler and more predictable to call `Gc.full_major`[28.23] (or `Gc.compact`[28.23]) then computing gc stats, as then "live" words has the simple meaning of "reachable by the program". One caveat is that a single call to `Gc.full_major`[28.23] will not reclaim values that have a finaliser from `Gc.finalise`[28.23] (this does not apply to `Gc.finalise_last`[28.23]). If this caveat matters, simply call `Gc.full_major`[28.23] twice instead of once.

`live_blocks : int ;`

Number of live blocks in the major heap.

See `live_words` for a caveat about what "live" means.

`free_words : int ;`

Number of words in the free list.

`free_blocks : int ;`

Number of blocks in the free list. This metrics is currently not available in OCaml 5: the field value is always 0.

`largest_free : int ;`

Size (in words) of the largest block in the free list. This metrics is currently not available in OCaml 5: the field value is always 0.

`fragments : int ;`

Number of wasted words due to fragmentation. These are 1-words free blocks placed between two live blocks. They are not available for allocation.

`compactions : int ;`

Number of heap compactions since the program was started.

`top_heap_words : int ;`

Maximum size reached by the major heap, in words.

`stack_size : int ;`

Current size of the stack, in words. This metrics is currently not available in OCaml 5: the field value is always 0.

**Since:** 3.12

`forced_major_collections : int ;`

Number of forced full major collections completed since the program was started.

**Since:** 4.12

}



The memory management counters are returned in a **stat** record. These counters give values for the whole program.

The total amount of memory allocated by the program since it was started is (in words) **minor\_words + major\_words - promoted\_words**. Multiply by the word size (4 on a 32-bit machine, 8 on a 64-bit machine) to get the number of bytes.

```
type control =
{  minor_heap_size : int ;
```

The size (in words) of the minor heap. Changing this parameter will trigger a minor collection. The total size of the minor heap used by this program is the sum of the heap sizes of the active domains. Default: 256k.

```
major_heap_increment : int ;
```

How much to add to the major heap when increasing it. If this number is less than or equal to 1000, it is a percentage of the current heap size (i.e. setting it to 100 will double the heap size at each increase). If it is more than 1000, it is a fixed number of words that will be added to the heap. Default: 15.

```
space_overhead : int ;
```

The major GC speed is computed from this parameter. This is the memory that will be "wasted" because the GC does not immediately collect unreachable blocks. It is expressed as a percentage of the memory used for live data. The GC will work more (use more CPU time and collect blocks more eagerly) if **space\_overhead** is smaller. Default: 120.

```
verbose : int ;
```

This value controls the GC messages on standard error output. It is a sum of some of the following flags, to print messages on the corresponding events:

- 0x001 Start and end of major GC cycle.
- 0x002 Minor collection and major GC slice.
- 0x004 Growing and shrinking of the heap.
- 0x008 Resizing of stacks and memory manager tables.
- 0x010 Heap compaction.
- 0x020 Change of GC parameters.
- 0x040 Computation of major GC slice size.
- 0x080 Calling of finalisation functions.
- 0x100 Bytecode executable and shared library search at start-up.
- 0x200 Computation of compaction-triggering condition.
- 0x400 Output GC statistics at program exit. Default: 0.

```
max_overhead : int ;
```

Heap compaction is triggered when the estimated amount of "wasted" memory is more than `max_overhead` percent of the amount of live data. If `max_overhead` is set to 0, heap compaction is triggered at the end of each major GC cycle (this setting is intended for testing purposes only). If `max_overhead`  $\geq$  1000000, compaction is never triggered. If compaction is permanently disabled, it is strongly suggested to set `allocation_policy` to 2. Default: 500.

`stack_limit : int ;`

The maximum size of the fiber stacks (in words). Default: 1024k.

`allocation_policy : int ;`

The policy used for allocating in the major heap. Possible values are 0, 1 and 2.

- 0 is the next-fit policy, which is usually fast but can result in fragmentation, increasing memory consumption.
- 1 is the first-fit policy, which avoids fragmentation but has corner cases (in certain realistic workloads) where it is sensibly slower.
- 2 is the best-fit policy, which is fast and avoids fragmentation. In our experiments it is faster and uses less memory than both next-fit and first-fit. (since OCaml 4.10)

The default is best-fit.

On one example that was known to be bad for next-fit and first-fit, next-fit takes 28s using 855Mio of memory, first-fit takes 47s using 566Mio of memory, best-fit takes 27s using 545Mio of memory.

Note: If you change to next-fit, you may need to reduce the `space_overhead` setting, for example using 80 instead of the default 120 which is tuned for best-fit. Otherwise, your program will need more memory.

Note: changing the allocation policy at run-time forces a heap compaction, which is a lengthy operation unless the heap is small (e.g. at the start of the program).

Default: 2.

**Since:** 3.11

`window_size : int ;`

The size of the window used by the major GC for smoothing out variations in its workload. This is an integer between 1 and 50. Default: 1.

**Since:** 4.03

`custom_major_ratio : int ;`

Target ratio of floating garbage to major heap size for out-of-heap memory held by custom values located in the major heap. The GC speed is adjusted to try to use this much memory for dead values that are not yet collected. Expressed as a percentage of major heap size. The default value keeps the out-of-heap floating garbage about the

same size as the in-heap overhead. Note: this only applies to values allocated with `caml_alloc_custom_mem` (e.g. bigarrays). Default: 44.

**Since:** 4.08

`custom_minor_ratio : int ;`

Bound on floating garbage for out-of-heap memory held by custom values in the minor heap. A minor GC is triggered when this much memory is held by custom values located in the minor heap. Expressed as a percentage of minor heap size. Note: this only applies to values allocated with `caml_alloc_custom_mem` (e.g. bigarrays). Default: 100.

**Since:** 4.08

`custom_minor_max_size : int ;`

Maximum amount of out-of-heap memory for each custom value allocated in the minor heap. Custom values that hold more than this many bytes are allocated on the major heap. Note: this only applies to values allocated with `caml_alloc_custom_mem` (e.g. bigarrays). Default: 70000 bytes.

**Since:** 4.08

}

The GC parameters are given as a `control` record. Note that these parameters can also be initialised by setting the `OCAMLRUNPARAM` environment variable. See the documentation of `ocamlrun`.

`val stat : unit -> stat`

Return the current values of the memory management counters in a `stat` record that represent the program's total memory stats. This function causes a full major collection.

`val quick_stat : unit -> stat`

Same as `stat` except that `live_words`, `live_blocks`, `free_words`, `free_blocks`, `largest_free`, and `fragments` are set to 0. Due to per-domain buffers it may only represent the state of the program's total memory usage since the last minor collection. This function is much faster than `stat` because it does not need to trigger a full major collection.

`val counters : unit -> float * float * float`

Return (`minor_words`, `promoted_words`, `major_words`) for the current domain or potentially previous domains. This function is as fast as `quick_stat`.

`val minor_words : unit -> float`

Number of words allocated in the minor heap by this domain or potentially previous domains. This number is accurate in byte-code programs, but only an approximation in programs compiled to native code.

In native code this function does not allocate.

**Since:** 4.04

**val get : unit -> control**

Return the current values of the GC parameters in a `control` record.

**Alert unsynchronized\_access.** GC parameters are a mutable global state.

**val set : control -> unit**

`set r` changes the GC parameters according to the `control` record `r`. The normal usage is:  
`Gc.set { (Gc.get()) with Gc.verbose = 0x00d }`

**Alert unsynchronized\_access.** GC parameters are a mutable global state.

**val minor : unit -> unit**

Trigger a minor collection.

**val major\_slice : int -> int**

`major_slice n` Do a minor collection and a slice of major collection. `n` is the size of the slice: the GC will do enough work to free (on average) `n` words of memory. If `n = 0`, the GC will try to do enough work to ensure that the next automatic slice has no work to do. This function returns an unspecified integer (currently: 0).

**val major : unit -> unit**

Do a minor collection and finish the current major collection cycle.

**val full\_major : unit -> unit**

Do a minor collection, finish the current major collection cycle, and perform a complete new cycle. This will collect all currently unreachable blocks.

**val compact : unit -> unit**

Perform a full major collection and compact the heap. Note that heap compaction is a lengthy operation.

**val print\_stat : out\_channel -> unit**

Print the current values of the memory management counters (in human-readable form) of the total program into the channel argument.

**val allocated\_bytes : unit -> float**

Return the number of bytes allocated by this domain and potentially a previous domain. It is returned as a `float` to avoid overflow problems with `int` on 32-bit machines.

**val get\_minor\_free : unit -> int**

Return the current size of the free space inside the minor heap of this domain.

**Since:** 4.03

**val finalise : ('a -> unit) -> 'a -> unit**

`finalise f v` registers `f` as a finalisation function for `v`. `v` must be heap-allocated. `f` will be called with `v` as argument at some point between the first time `v` becomes unreachable (including through weak pointers) and the time `v` is collected by the GC. Several functions can be registered for the same value, or even several instances of the same function. Each instance will be called once (or never, if the program terminates before `v` becomes unreachable).

The GC will call the finalisation functions in the order of deallocation. When several values become unreachable at the same time (i.e. during the same GC cycle), the finalisation functions will be called in the reverse order of the corresponding calls to `finalise`. If `finalise` is called in the same order as the values are allocated, that means each value is finalised before the values it depends upon. Of course, this becomes false if additional dependencies are introduced by assignments.

In the presence of multiple OCaml threads it should be assumed that any particular finaliser may be executed in any of the threads.

Anything reachable from the closure of finalisation functions is considered reachable, so the following code will not work as expected:

```
• let v = ... in Gc.finalise (fun _ -> ...v...) v
```

Instead you should make sure that `v` is not in the closure of the finalisation function by writing:

```
• let f = fun x -> ... let v = ... in Gc.finalise f v
```

The `f` function can use all features of OCaml, including assignments that make the value reachable again. It can also loop forever (in this case, the other finalisation functions will not be called during the execution of `f`, unless it calls `finalise_release`). It can call `finalise` on `v` or other values to register other functions or even itself. It can raise an exception; in this case the exception will interrupt whatever the program was doing when the function was called.

`finalise` will raise `Invalid_argument` if `v` is not guaranteed to be heap-allocated. Some examples of values that are not heap-allocated are integers, constant constructors, booleans, the empty array, the empty list, the unit value. The exact list of what is heap-allocated or not is implementation-dependent. Some constant values can be heap-allocated but never deallocated during the lifetime of the program, for example a list of integer constants; this is also implementation-dependent. Note that values of types `float` are sometimes allocated and sometimes not, so finalising them is unsafe, and `finalise` will also raise `Invalid_argument` for them. Values of type `'a Lazy.t` (for any `'a`) are like `float` in this respect, except that the compiler sometimes optimizes them in a way that prevents `finalise` from detecting them. In this case, it will not raise `Invalid_argument`, but you should still avoid calling `finalise` on lazy values.

The results of calling `String.make`[\[28.53\]](#), `Bytes.make`[\[28.8\]](#), `Bytes.create`[\[28.8\]](#), `Array.make`[\[28.2\]](#), and `ref`[\[27.2\]](#) are guaranteed to be heap-allocated and non-constant except when the length argument is 0.

```
val finalise_last : (unit -> unit) -> 'a -> unit
```

same as `Gc.finalise`[\[28.23\]](#) except the value is not given as argument. So you can't use the given value for the computation of the finalisation function. The benefit is that the function is called after the value is unreachable for the last time instead of the first time. So contrary to `Gc.finalise`[\[28.23\]](#) the value will never be reachable again or used again. In particular every weak pointer and ephemeron that contained this value as key or data is unset before running the finalisation function. Moreover the finalisation functions attached with `Gc.finalise`[\[28.23\]](#) are always called before the finalisation functions attached with `Gc.finalise_last`[\[28.23\]](#).

**Since:** 4.04

```
val finalise_release : unit -> unit
```

A finalisation function may call `finalise_release` to tell the GC that it can launch the next finalisation function without waiting for the current one to return.

```
type alarm
```

An alarm is a piece of data that calls a user function at the end of each major GC cycle. The following functions are provided to create and delete alarms.

```
val create_alarm : (unit -> unit) -> alarm
```

`create_alarm f` will arrange for `f` to be called at the end of each major GC cycle, not caused by `f` itself, starting with the current cycle or the next one. A value of type `alarm` is returned that you can use to call `delete_alarm`.

```
val delete_alarm : alarm -> unit
```

`delete_alarm a` will stop the calls to the function associated to `a`. Calling `delete_alarm a` again has no effect.

```
val eventlog_pause : unit -> unit
```

*Deprecated.* Use `Runtime_events.pause` instead.

```
val eventlog_resume : unit -> unit
```

*Deprecated.* Use `Runtime_events.resume` instead.

```
module Memprof :
```

```
sig
```

```
  type allocation_source =
```

```
    | Normal
```

```
    | Marshal
```

```
    | Custom
```

```
  type allocation = private
```

```
{ n_samples : int ;
```

The number of samples in this block ( $\geq 1$ ).

```
  size : int ;
```

The size of the block, in words, excluding the header.

```
source : allocation_source ;
```

The type of the allocation.

```
callstack : Printexc.raw_backtrace ;
```

The callstack for the allocation.

```
}
```

The type of metadata associated with allocations. This is the type of records passed to the callback triggered by the sampling of an allocation.

```
type ('minor, 'major) tracker =
{ alloc_minor : allocation -> 'minor option ;
  alloc_major : allocation -> 'major option ;
  promote : 'minor -> 'major option ;
  dealloc_minor : 'minor -> unit ;
  dealloc_major : 'major -> unit ;
}
```

A `('minor, 'major) tracker` describes how memprof should track sampled blocks over their lifetime, keeping a user-defined piece of metadata for each of them: `'minor` is the type of metadata to keep for minor blocks, and `'major` the type of metadata for major blocks.

When using threads, it is guaranteed that allocation callbacks are always run in the thread where the allocation takes place.

If an allocation-tracking or promotion-tracking function returns `None`, memprof stops tracking the corresponding value.

```
val null_tracker : ('minor, 'major) tracker
```

Default callbacks simply return `None` or `()`

```
val start :
  sampling_rate:float ->
  ?callstack_size:int -> ('minor, 'major) tracker -> unit
```

Start the sampling with the given parameters. Fails if sampling is already active.

The parameter `sampling_rate` is the sampling rate in samples per word (including headers). Usually, with cheap callbacks, a rate of `1e-4` has no visible effect on performance, and `1e-3` causes the program to run a few percent slower

The parameter `callstack_size` is the length of the callstack recorded at every sample. Its default is `max_int`.

The parameter `tracker` determines how to track sampled blocks over their lifetime in the minor and major heap.

Sampling is temporarily disabled when calling a callback for the current thread. So they do not need to be re-entrant if the program is single-threaded. However, if threads are

used, it is possible that a context switch occurs during a callback, in this case the callback functions must be re-entrant.

Note that the callback can be postponed slightly after the actual event. The callstack passed to the callback is always accurate, but the program state may have evolved.

```
val stop : unit -> unit
```

Stop the sampling. Fails if sampling is not active.

This function does not allocate memory.

All the already tracked blocks are discarded. If there are pending postponed callbacks, they may be discarded.

Calling `stop` when a callback is running can lead to callbacks not being called even though some events happened.

end

**Memprof** is a sampling engine for allocated memory words. Every allocated word has a probability of being sampled equal to a configurable sampling rate. Once a block is sampled, it becomes tracked. A tracked block triggers a user-defined callback as soon as it is allocated, promoted or deallocated.

Since blocks are composed of several words, a block can potentially be sampled several times. If a block is sampled several times, then each of the callback is called once for each event of this block: the multiplicity is given in the `n_samples` field of the `allocation` structure.

This engine makes it possible to implement a low-overhead memory profiler as an OCaml library.

Note: this API is EXPERIMENTAL. It may change without prior notice.

## 28.24 Module `Hashtbl` : Hash tables and hash functions.

Hash tables are hashed association tables, with in-place modification. Because most operations on a hash table modify their input, they're more commonly used in imperative code. The lookup of the value associated with a key (see `Hashtbl.find`[28.24], `Hashtbl.find_opt`[28.24]) is normally very fast, often faster than the equivalent lookup in `Map`[28.33].

The functors `Hashtbl.Make`[28.24] and `Hashtbl.MakeSeeded`[28.24] can be used when performance or flexibility are key. The user provides custom equality and hash functions for the key type, and obtains a custom hash table type for this particular type of key.

**Warning** a hash table is only as good as the hash function. A bad hash function will turn the table into a degenerate association list, with linear time lookup instead of constant time lookup.

The polymorphic `Hashtbl.t`[28.24] hash table is useful in simpler cases or in interactive environments. It uses the polymorphic `Hashtbl.hash`[28.24] function defined in the OCaml runtime (at the time of writing, it's SipHash), as well as the polymorphic equality (`=`).

See the examples section[28.44].

**Alert `unsynchronized_access`.** Unsynchronized accesses to hash tables are a programming error.



**Unsynchronized accesses**

Unsynchronized accesses to a hash table may lead to an invalid hash table state. Thus, concurrent accesses to a hash tables must be synchronized (for instance with a `Mutex.t`[\[28.36\]](#)).

**Generic interface**

```
type ('a, 'b) t
```

The type of hash tables from type 'a to type 'b.

```
val create : ?random:bool -> int -> ('a, 'b) t
```

`Hashtbl.create n` creates a new, empty hash table, with initial size `n`. For best results, `n` should be on the order of the expected number of elements that will be in the table. The table grows as needed, so `n` is just an initial guess.

The optional `~random` parameter (a boolean) controls whether the internal organization of the hash table is randomized at each execution of `Hashtbl.create` or deterministic over all executions.

A hash table that is created with `~random` set to `false` uses a fixed hash function (`Hashtbl.hash`[\[28.24\]](#)) to distribute keys among buckets. As a consequence, collisions between keys happen deterministically. In Web-facing applications or other security-sensitive applications, the deterministic collision patterns can be exploited by a malicious user to create a denial-of-service attack: the attacker sends input crafted to create many collisions in the table, slowing the application down.

A hash table that is created with `~random` set to `true` uses the seeded hash function `Hashtbl.seeded_hash`[\[28.24\]](#) with a seed that is randomly chosen at hash table creation time. In effect, the hash function used is randomly selected among  $2^{\{30\}}$  different hash functions. All these hash functions have different collision patterns, rendering ineffective the denial-of-service attack described above. However, because of randomization, enumerating all elements of the hash table using `Hashtbl.fold`[\[28.24\]](#) or `Hashtbl.iter`[\[28.24\]](#) is no longer deterministic: elements are enumerated in different orders at different runs of the program.

If no `~random` parameter is given, hash tables are created in non-random mode by default. This default can be changed either programmatically by calling `Hashtbl.randomize`[\[28.24\]](#) or by setting the `R` flag in the `OCAMLRUNPARAM` environment variable.

**Before 4.00** the `~random` parameter was not present and all hash tables were created in non-randomized mode.

```
val clear : ('a, 'b) t -> unit
```

Empty a hash table. Use `reset` instead of `clear` to shrink the size of the bucket table to its initial size.

```
val reset : ('a, 'b) t -> unit
```

Empty a hash table and shrink the size of the bucket table to its initial size.

**Since:** 4.00

```
val copy : ('a, 'b) t -> ('a, 'b) t
```

Return a copy of the given hashtable.

```
val add : ('a, 'b) t -> 'a -> 'b -> unit
```

`Hashtbl.add tbl key data` adds a binding of `key` to `data` in table `tbl`.

**Warning:** Previous bindings for `key` are not removed, but simply hidden. That is, after performing `Hashtbl.remove`[\[28.24\]](#) `tbl key`, the previous binding for `key`, if any, is restored. (Same behavior as with association lists.)

If you desire the classic behavior of replacing elements, see `Hashtbl.replace`[\[28.24\]](#).

```
val find : ('a, 'b) t -> 'a -> 'b
```

`Hashtbl.find tbl x` returns the current binding of `x` in `tbl`, or raises `Not_found` if no such binding exists.

```
val find_opt : ('a, 'b) t -> 'a -> 'b option
```

`Hashtbl.find_opt tbl x` returns the current binding of `x` in `tbl`, or `None` if no such binding exists.

**Since:** 4.05

```
val find_all : ('a, 'b) t -> 'a -> 'b list
```

`Hashtbl.find_all tbl x` returns the list of all data associated with `x` in `tbl`. The current binding is returned first, then the previous bindings, in reverse order of introduction in the table.

```
val mem : ('a, 'b) t -> 'a -> bool
```

`Hashtbl.mem tbl x` checks if `x` is bound in `tbl`.

```
val remove : ('a, 'b) t -> 'a -> unit
```

`Hashtbl.remove tbl x` removes the current binding of `x` in `tbl`, restoring the previous binding if it exists. It does nothing if `x` is not bound in `tbl`.

```
val replace : ('a, 'b) t -> 'a -> 'b -> unit
```

`Hashtbl.replace tbl key data` replaces the current binding of `key` in `tbl` by a binding of `key` to `data`. If `key` is unbound in `tbl`, a binding of `key` to `data` is added to `tbl`. This is functionally equivalent to `Hashtbl.remove`[\[28.24\]](#) `tbl key` followed by `Hashtbl.add`[\[28.24\]](#) `tbl key data`.

```
val iter : ('a -> 'b -> unit) -> ('a, 'b) t -> unit
```

`Hashtbl.iter f tbl` applies `f` to all bindings in table `tbl`. `f` receives the key as first argument, and the associated value as second argument. Each binding is presented exactly once to `f`.

The order in which the bindings are passed to `f` is unspecified. However, if the table contains several bindings for the same key, they are passed to `f` in reverse order of introduction, that is, the most recent binding is passed first.

If the hash table was created in non-randomized mode, the order in which the bindings are enumerated is reproducible between successive runs of the program, and even between minor versions of OCaml. For randomized hash tables, the order of enumeration is entirely random.

The behavior is not specified if the hash table is modified by `f` during the iteration.

```
val filter_map_inplace : ('a -> 'b -> 'b option) -> ('a, 'b) t -> unit
```

`Hashtbl.filter_map_inplace f tbl` applies `f` to all bindings in table `tbl` and update each binding depending on the result of `f`. If `f` returns `None`, the binding is discarded. If it returns `Some new_val`, the binding is update to associate the key to `new_val`.

Other comments for `Hashtbl.iter`[\[28.24\]](#) apply as well.

**Since:** 4.03

```
val fold : ('a -> 'b -> 'acc -> 'acc) -> ('a, 'b) t -> 'acc -> 'acc
```

`Hashtbl.fold f tbl init` computes `(f kN dN ... (f k1 d1 init) ...)`, where `k1 ... kN` are the keys of all bindings in `tbl`, and `d1 ... dN` are the associated values. Each binding is presented exactly once to `f`.

The order in which the bindings are passed to `f` is unspecified. However, if the table contains several bindings for the same key, they are passed to `f` in reverse order of introduction, that is, the most recent binding is passed first.

If the hash table was created in non-randomized mode, the order in which the bindings are enumerated is reproducible between successive runs of the program, and even between minor versions of OCaml. For randomized hash tables, the order of enumeration is entirely random.

The behavior is not specified if the hash table is modified by `f` during the iteration.

```
val length : ('a, 'b) t -> int
```

`Hashtbl.length tbl` returns the number of bindings in `tbl`. It takes constant time.

Multiple bindings are counted once each, so `Hashtbl.length` gives the number of times `Hashtbl.iter` calls its first argument.

```
val randomize : unit -> unit
```

After a call to `Hashtbl.randomize()`, hash tables are created in randomized mode by default: `Hashtbl.create`[\[28.24\]](#) returns randomized hash tables, unless the `~random:false` optional parameter is given. The same effect can be achieved by setting the `R` parameter in the `OCAMLRUNPARAM` environment variable.

It is recommended that applications or Web frameworks that need to protect themselves against the denial-of-service attack described in `Hashtbl.create`[\[28.24\]](#) call `Hashtbl.randomize()` at initialization time before any domains are created.

Note that once `Hashtbl.randomize()` was called, there is no way to revert to the non-randomized default behavior of `Hashtbl.create`[\[28.24\]](#). This is intentional.

Non-randomized hash tables can still be created using `Hashtbl.create ~random:false`.

**Since:** 4.00

```
val is_randomized : unit -> bool
```

Return **true** if the tables are currently created in randomized mode by default, **false** otherwise.

**Since:** 4.03

```
val rebuild : ?random:bool -> ('a, 'b) t -> ('a, 'b) t
```

Return a copy of the given hashtable. Unlike `Hashtbl.copy`[\[28.24\]](#), `Hashtbl.rebuild`[\[28.24\]](#) `h` re-hashes all the (key, value) entries of the original table `h`. The returned hash table is randomized if `h` was randomized, or the optional `random` parameter is true, or if the default is to create randomized hash tables; see `Hashtbl.create`[\[28.24\]](#) for more information.

`Hashtbl.rebuild`[\[28.24\]](#) can safely be used to import a hash table built by an old version of the `Hashtbl`[\[28.24\]](#) module, then marshaled to persistent storage. After unmarshaling, apply `Hashtbl.rebuild`[\[28.24\]](#) to produce a hash table for the current version of the `Hashtbl`[\[28.24\]](#) module.

**Since:** 4.12

```
type statistics =
```

```
{ num_bindings : int ;
```

Number of bindings present in the table. Same value as returned by `Hashtbl.length`[\[28.24\]](#).

```
num_buckets : int ;
```

Number of buckets in the table.

```
max_bucket_length : int ;
```

Maximal number of bindings per bucket.

```
bucket_histogram : int array ;
```

Histogram of bucket sizes. This array `histo` has length `max_bucket_length + 1`. The value of `histo.(i)` is the number of buckets whose size is `i`.

```
}
```

**Since:** 4.00

```
val stats : ('a, 'b) t -> statistics
```

`Hashtbl.stats tbl` returns statistics about the table `tbl`: number of buckets, size of the biggest bucket, distribution of buckets by size.

**Since:** 4.00

## Hash tables and Sequences

```
val to_seq : ('a, 'b) t -> ('a * 'b) Seq.t
```

Iterate on the whole table. The order in which the bindings appear in the sequence is unspecified. However, if the table contains several bindings for the same key, they appear in reversed order of introduction, that is, the most recent binding appears first.

The behavior is not specified if the hash table is modified during the iteration.

**Since:** 4.07

```
val to_seq_keys : ('a, 'b) t -> 'a Seq.t
  Same as Seq.map fst (to_seq m)
```

**Since:** 4.07

```
val to_seq_values : ('a, 'b) t -> 'b Seq.t
  Same as Seq.map snd (to_seq m)
```

**Since:** 4.07

```
val add_seq : ('a, 'b) t -> ('a * 'b) Seq.t -> unit
  Add the given bindings to the table, using Hashtbl.add[28.24]
```

**Since:** 4.07

```
val replace_seq : ('a, 'b) t -> ('a * 'b) Seq.t -> unit
  Add the given bindings to the table, using Hashtbl.replace[28.24]
```

**Since:** 4.07

```
val of_seq : ('a * 'b) Seq.t -> ('a, 'b) t
  Build a table from the given bindings. The bindings are added in the same order they appear in the sequence, using Hashtbl.replace_seq[28.24], which means that if two pairs have the same key, only the latest one will appear in the table.
```

**Since:** 4.07

## Functorial interface

The functorial interface allows the use of specific comparison and hash functions, either for performance/security concerns, or because keys are not hashable/comparable with the polymorphic builtins.

For instance, one might want to specialize a table for integer keys:

```
module IntHash =
  struct
    type t = int
    let equal i j = i=j
    let hash i = i land max_int
  end
```

```
module IntHashtbl = Hashtbl.Make(IntHash)
```

```
let h = IntHashtbl.create 17 in
IntHashtbl.add h 12 "hello"
```

This creates a new module `IntHashtbl`, with a new type `'a IntHashtbl.t` of tables from `int` to `'a`. In this example, `h` contains `string` values so its type is `string IntHashtbl.t`.

Note that the new type `'a IntHashtbl.t` is not compatible with the type `('a,'b) Hashtbl.t` of the generic interface. For example, `Hashtbl.length h` would not type-check, you must use `IntHashtbl.length`.

```
module type HashedType =
sig
```

```
  type t
```

The type of the hashtable keys.

```
  val equal : t -> t -> bool
```

The equality predicate used to compare keys.

```
  val hash : t -> int
```

A hashing function on keys. It must be such that if two keys are equal according to `equal`, then they have identical hash values as computed by `hash`. Examples: suitable `(equal, hash)` pairs for arbitrary key types include

- `((=), Hashtbl.HashedType.hash[28.24])` for comparing objects by structure (provided objects do not contain floats)
- `((fun x y -> compare x y = 0), Hashtbl.HashedType.hash[28.24])` for comparing objects by structure and handling `nan`[27.2] correctly
- `((==), Hashtbl.HashedType.hash[28.24])` for comparing objects by physical equality (e.g. for mutable or cyclic objects).

```
end
```

The input signature of the functor `Hashtbl.Make`[28.24].

```
module type S =
sig
```

```
  type key
```

```
  type !'a t
```

```
  val create : int -> 'a t
```

```
  val clear : 'a t -> unit
```

```
  val reset : 'a t -> unit
```

Since: 4.00

```
val copy : 'a t -> 'a t
val add : 'a t -> key -> 'a -> unit
val remove : 'a t -> key -> unit
val find : 'a t -> key -> 'a
val find_opt : 'a t -> key -> 'a option
```

**Since:** 4.05

```
val find_all : 'a t -> key -> 'a list
val replace : 'a t -> key -> 'a -> unit
val mem : 'a t -> key -> bool
val iter : (key -> 'a -> unit) -> 'a t -> unit
val filter_map_inplace : (key -> 'a -> 'a option) -> 'a t -> unit
```

**Since:** 4.03

```
val fold : (key -> 'a -> 'acc -> 'acc) -> 'a t -> 'acc -> 'acc
val length : 'a t -> int
val stats : 'a t -> Hashtbl.statistics
```

**Since:** 4.00

```
val to_seq : 'a t -> (key * 'a) Seq.t
```

**Since:** 4.07

```
val to_seq_keys : 'a t -> key Seq.t
```

**Since:** 4.07

```
val to_seq_values : 'a t -> 'a Seq.t
```

**Since:** 4.07

```
val add_seq : 'a t -> (key * 'a) Seq.t -> unit
```

**Since:** 4.07

```
val replace_seq : 'a t -> (key * 'a) Seq.t -> unit
```

**Since:** 4.07

```
val of_seq : (key * 'a) Seq.t -> 'a t
```

**Since:** 4.07

end

The output signature of the functor `Hashtbl.Make`[\[28.24\]](#).

```
module Make :
```

```
  functor (H : HashedType) -> S  with type key = H.t
```

Functor building an implementation of the hashtable structure. The functor `Hashtbl.Make` returns a structure containing a type `key` of keys and a type `'a t` of hash tables associating data of type `'a` to keys of type `key`. The operations perform similarly to those of the generic interface, but use the hashing and equality functions specified in the functor argument `H` instead of generic equality and hashing. Since the hash function is not seeded, the `create` operation of the result structure always returns non-randomized hash tables.

```
module type SeededHashedType =
```

```
  sig
```

```
    type t
```

The type of the hashtable keys.

```
  val equal : t -> t -> bool
```

The equality predicate used to compare keys.

```
  val seeded_hash : int -> t -> int
```

A seeded hashing function on keys. The first argument is the seed. It must be the case that if `equal x y` is true, then `seeded_hash seed x = seeded_hash seed y` for any value of `seed`. A suitable choice for `seeded_hash` is the function `Hashtbl.seeded_hash`[\[28.24\]](#) below.

```
  end
```

The input signature of the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 4.00

```
module type SeededS =
```

```
  sig
```

```
    type key
```

```
    type !'a t
```

```
  val create : ?random:bool -> int -> 'a t
```

```
  val clear : 'a t -> unit
```

```
  val reset : 'a t -> unit
```

```
  val copy : 'a t -> 'a t
```

```
  val add : 'a t -> key -> 'a -> unit
```

```
  val remove : 'a t -> key -> unit
```

```
  val find : 'a t -> key -> 'a
```

```
  val find_opt : 'a t -> key -> 'a option
```

**Since:** 4.05



```

val find_all : 'a t -> key -> 'a list
val replace : 'a t -> key -> 'a -> unit
val mem : 'a t -> key -> bool
val iter : (key -> 'a -> unit) -> 'a t -> unit
val filter_map_inplace : (key -> 'a -> 'a option) -> 'a t -> unit

```

Since: 4.03

```

val fold : (key -> 'a -> 'acc -> 'acc) ->
  'a t -> 'acc -> 'acc
val length : 'a t -> int
val stats : 'a t -> Hashtbl.statistics
val to_seq : 'a t -> (key * 'a) Seq.t

```

Since: 4.07

```

val to_seq_keys : 'a t -> key Seq.t

```

Since: 4.07

```

val to_seq_values : 'a t -> 'a Seq.t

```

Since: 4.07

```

val add_seq : 'a t -> (key * 'a) Seq.t -> unit

```

Since: 4.07

```

val replace_seq : 'a t -> (key * 'a) Seq.t -> unit

```

Since: 4.07

```

val of_seq : (key * 'a) Seq.t -> 'a t

```

Since: 4.07

end

The output signature of the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

Since: 4.00

```

module MakeSeeded :

```

```

  functor (H : SeededHashedType) -> SeededS with type key = H.t

```

Functor building an implementation of the hashtable structure. The functor `Hashtbl.MakeSeeded` returns a structure containing a type `key` of keys and a type `'a t` of hash tables associating data of type `'a` to keys of type `key`. The operations perform similarly to those of the generic interface, but use the seeded hashing and equality functions specified in the functor argument `H` instead of generic equality and hashing. The `create` operation of the result structure supports the `~random` optional parameter and returns randomized hash tables if `~random:true` is passed or if randomization is globally on (see `Hashtbl.randomize`[\[28.24\]](#)).

Since: 4.00

## The polymorphic hash functions

```
val hash : 'a -> int
```

`Hashtbl.hash x` associates a nonnegative integer to any value of any type. It is guaranteed that if `x = y` or `Stdlib.compare x y = 0`, then `hash x = hash y`. Moreover, `hash` always terminates, even on cyclic structures.

```
val seeded_hash : int -> 'a -> int
```

A variant of `Hashtbl.hash`[\[28.24\]](#) that is further parameterized by an integer seed.

**Since:** 4.00

```
val hash_param : int -> int -> 'a -> int
```

`Hashtbl.hash_param meaningful total x` computes a hash value for `x`, with the same properties as for `hash`. The two extra integer parameters `meaningful` and `total` give more precise control over hashing. Hashing performs a breadth-first, left-to-right traversal of the structure `x`, stopping after `meaningful` meaningful nodes were encountered, or `total` nodes (meaningful or not) were encountered. If `total` as specified by the user exceeds a certain value, currently 256, then it is capped to that value. Meaningful nodes are: integers; floating-point numbers; strings; characters; booleans; and constant constructors. Larger values of `meaningful` and `total` means that more nodes are taken into account to compute the final hash value, and therefore collisions are less likely to happen. However, hashing takes longer. The parameters `meaningful` and `total` govern the tradeoff between accuracy and speed. As default choices, `Hashtbl.hash`[\[28.24\]](#) and `Hashtbl.seeded_hash`[\[28.24\]](#) take `meaningful = 10` and `total = 100`.

```
val seeded_hash_param : int -> int -> int -> 'a -> int
```

A variant of `Hashtbl.hash_param`[\[28.24\]](#) that is further parameterized by an integer seed.

Usage: `Hashtbl.seeded_hash_param meaningful total seed x`.

**Since:** 4.00

## Examples

### Basic Example

```
(* 0...99 *)
let seq = Seq.ints 0 |> Seq.take 100

(* build from Seq.t *)
# let tbl =
    seq
    |> Seq.map (fun x -> x, string_of_int x)
    |> Hashtbl.of_seq
val tbl : (int, string) Hashtbl.t = <abstr>
```

```

# Hashtbl.length tbl
- : int = 100

# Hashtbl.find_opt tbl 32
- : string option = Some "32"

# Hashtbl.find_opt tbl 166
- : string option = None

# Hashtbl.replace tbl 166 "one six six"
- : unit = ()

# Hashtbl.find_opt tbl 166
- : string option = Some "one six six"

# Hashtbl.length tbl
- : int = 101

```

## Counting Elements

Given a sequence of elements (here, a `Seq.t`[\[28.48\]](#)), we want to count how many times each distinct element occurs in the sequence. A simple way to do this, assuming the elements are comparable and hashable, is to use a hash table that maps elements to their number of occurrences.

Here we illustrate that principle using a sequence of (ascii) characters (type `char`). We use a custom `Char_tbl` specialized for `char`.

```

# module Char_tbl = Hashtbl.Make(struct
  type t = char
  let equal = Char.equal
  let hash = Hashtbl.hash
end)

(* count distinct occurrences of chars in [seq] *)
# let count_chars (seq : char Seq.t) : _ list =
  let counts = Char_tbl.create 16 in
  Seq.iter
    (fun c ->
      let count_c =
        Char_tbl.find_opt counts c
        |> Option.value ~default:0
      in
      Char_tbl.replace counts c (count_c + 1))
    seq;

```

```

    (* turn into a list *)
    Char_tbl.fold (fun c n l -> (c,n) :: l) counts []
    |> List.sort (fun (c1,_)(c2,_)-> Char.compare c1 c2)
val count_chars : Char_tbl.key Seq.t -> (Char.t * int) list = <fun>

(* basic seq from a string *)
# let seq = String.to_seq "hello world, and all the camels in it!"
val seq : char Seq.t = <fun>

# count_chars seq
- : (Char.t * int) list =
[(' ', 7); ('!', 1); (',', 1); ('a', 3); ('c', 1); ('d', 2); ('e', 3);
 ('h', 2); ('i', 2); ('l', 6); ('m', 1); ('n', 2); ('o', 2); ('r', 1);
 ('s', 1); ('t', 2); ('w', 1)]

(* "abcabcabc..." *)
# let seq2 =
    Seq.cycle (String.to_seq "abc") |> Seq.take 31
val seq2 : char Seq.t = <fun>

# String.of_seq seq2
- : String.t = "abcabcabcabcabcabcabcabcabcabca"

# count_chars seq2
- : (Char.t * int) list = [('a', 11); ('b', 10); ('c', 10)]

```

## 28.25 Module In\_channel : Input channels.

This module provides functions for working with input channels.

See the example section [\[28.44\]](#) below.

**Since:** 4.14

### Channels

```
type t = in_channel
```

The type of input channel.

```
type open_flag = open_flag =
```

```
| Open_rdonly
```

open for reading.

```
| Open_wronly
```

```

        open for writing.
| Open_append
    open for appending: always write at end of file.
| Open_creat
    create the file if it does not exist.
| Open_trunc
    empty the file if it already exists.
| Open_excl
    fail if Open_creat and the file already exists.
| Open_binary
    open in binary mode (no conversion).
| Open_text
    open in text mode (may perform conversions).
| Open_nonblock
    open in non-blocking mode.

    Opening modes for In_channel.open_gen[28.25].

val stdin : t
    The standard input for the process.

val open_bin : string -> t
    Open the named file for reading, and return a new input channel on that file, positioned at
    the beginning of the file.

val open_text : string -> t
    Same as In_channel.open_bin[28.25], but the file is opened in text mode, so that newline
    translation takes place during reads. On operating systems that do not distinguish between
    text mode and binary mode, this function behaves like In_channel.open_bin[28.25].

val open_gen : open_flag list -> int -> string -> t
    open_gen mode perm filename opens the named file for reading, as described above. The
    extra arguments mode and perm specify the opening mode and file permissions.
    In_channel.open_text[28.25] and In_channel.open_bin[28.25] are special cases of this
    function.

val with_open_bin : string -> (t -> 'a) -> 'a
    with_open_bin fn f opens a channel ic on file fn and returns f ic. After f returns, either
    with a value or by raising an exception, ic is guaranteed to be closed.

val with_open_text : string -> (t -> 'a) -> 'a

```

Like `In_channel.with_open_bin`[28.25], but the channel is opened in text mode (see `In_channel.open_text`[28.25]).

`val with_open_gen : open_flag list -> int -> string -> (t -> 'a) -> 'a`

Like `In_channel.with_open_bin`[28.25], but can specify the opening mode and file permission, in case the file must be created (see `In_channel.open_gen`[28.25]).

`val close : t -> unit`

Close the given channel. Input functions raise a `Sys_error` exception when they are applied to a closed input channel, except `In_channel.close`[28.25], which does nothing when applied to an already closed channel.

`val close_noerr : t -> unit`

Same as `In_channel.close`[28.25], but ignore all errors.

## Input

`val input_char : t -> char option`

Read one character from the given input channel. Returns `None` if there are no more characters to read.

`val input_byte : t -> int option`

Same as `In_channel.input_char`[28.25], but return the 8-bit integer representing the character. Returns `None` if the end of file was reached.

`val input_line : t -> string option`

`input_line ic` reads characters from `ic` until a newline or the end of file is reached. Returns the string of all characters read, without the newline (if any). Returns `None` if the end of the file has been reached. In particular, this will be the case if the last line of input is empty.

A newline is the character `\n` unless the file is open in text mode and `Sys.win32`[28.55] is `true` in which case it is the sequence of characters `\r\n`.

`val really_input_string : t -> int -> string option`

`really_input_string ic len` reads `len` characters from channel `ic` and returns them in a new string. Returns `None` if the end of file is reached before `len` characters have been read.

If the same channel is read concurrently by multiple threads, the returned string is not guaranteed to contain contiguous characters from the input.

`val input_all : t -> string`

`input_all ic` reads all remaining data from `ic`.

If the same channel is read concurrently by multiple threads, the returned string is not guaranteed to contain contiguous characters from the input.

```
val input_lines : t -> string list
```

`input_lines ic` reads lines using `In_channel.input_line`[\[28.25\]](#) until the end of file is reached. It returns the list of all lines read, in the order they were read. The newline characters that terminate lines are not included in the returned strings. Empty lines produce empty strings.

**Since:** 5.1

## Advanced input

```
val input : t -> bytes -> int -> int -> int
```

`input ic buf pos len` reads up to `len` characters from the given channel `ic`, storing them in byte sequence `buf`, starting at character number `pos`. It returns the actual number of characters read, between 0 and `len` (inclusive). A return value of 0 means that the end of file was reached.

Use `In_channel.really_input`[\[28.25\]](#) to read exactly `len` characters.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid range of `buf`.

```
val really_input : t -> bytes -> int -> int -> unit option
```

`really_input ic buf pos len` reads `len` characters from channel `ic`, storing them in byte sequence `buf`, starting at character number `pos`.

Returns `None` if the end of file is reached before `len` characters have been read.

If the same channel is read concurrently by multiple threads, the bytes read by `really_input` are not guaranteed to be contiguous.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid range of `buf`.

```
val fold_lines : ('acc -> string -> 'acc) -> 'acc -> t -> 'acc
```

`fold_lines f init ic` reads lines from `ic` using `In_channel.input_line`[\[28.25\]](#) until the end of file is reached, and successively passes each line to function `f` in the style of a fold. More precisely, if lines `l1`, ..., `lN` are read, `fold_lines f init ic` computes `f (... (f (f init l1) l2) ...) lN`. If `f` has no side effects, this is equivalent to `List.fold_left f init (In_channel.input_lines ic)`, but is more efficient since it does not construct the list of all lines read.

**Since:** 5.1

## Seeking

```
val seek : t -> int64 -> unit
```

`seek chan pos` sets the current reading position to `pos` for channel `chan`. This works only for regular files. On files of other kinds, the behavior is unspecified.

```
val pos : t -> int64
```

Return the current reading position for the given channel. For files opened in text mode under Windows, the returned position is approximate (owing to end-of-line conversion); in particular, saving the current position with `In_channel.pos`[\[28.25\]](#), then going back to this position using `In_channel.seek`[\[28.25\]](#) will not work. For this programming idiom to work reliably and portably, the file must be opened in binary mode.

## Attributes

`val length : t -> int64`

Return the size (number of characters) of the regular file on which the given channel is opened. If the channel is opened on a file that is not a regular file, the result is meaningless. The returned size does not take into account the end-of-line translations that can be performed when reading from a channel opened in text mode.

`val set_binary_mode : t -> bool -> unit`

`set_binary_mode ic true` sets the channel `ic` to binary mode: no translations take place during input.

`set_binary_mode ic false` sets the channel `ic` to text mode: depending on the operating system, some translations may take place during input. For instance, under Windows, end-of-lines will be translated from `\r\n` to `\n`.

This function has no effect under operating systems that do not distinguish between text mode and binary mode.

`val isatty : t -> bool`

`isatty ic` is `true` if `ic` refers to a terminal or console window, `false` otherwise.

**Since:** 5.1

## Examples

Reading the contents of a file:

```
let read_file file = In_channel.with_open_bin file In_channel.input_all
```

Reading a line from stdin:

```
let user_input () = In_channel.input_line In_channel.stdin
```

## 28.26 Module Int : Integer values.

Integers are `Sys.int_size`[\[28.55\]](#) bits wide and use two's complement representation. All operations are taken modulo  $2^{\text{Sys.int\_size}}$ . They do not fail on overflow.

**Since:** 4.08



## Integers

`type t = int`

The type for integer values.

`val zero : int`

`zero` is the integer 0.

`val one : int`

`one` is the integer 1.

`val minus_one : int`

`minus_one` is the integer -1.

`val neg : int -> int`

`neg x` is  $\sim x$ .

`val add : int -> int -> int`

`add x y` is the addition  $x + y$ .

`val sub : int -> int -> int`

`sub x y` is the subtraction  $x - y$ .

`val mul : int -> int -> int`

`mul x y` is the multiplication  $x * y$ .

`val div : int -> int -> int`

`div x y` is the division  $x / y$ . See `(/)`[\[27.2\]](#) for details.

`val rem : int -> int -> int`

`rem x y` is the remainder  $x \bmod y$ . See `(mod)`[\[27.2\]](#) for details.

`val succ : int -> int`

`succ x` is `add x 1`.

`val pred : int -> int`

`pred x` is `sub x 1`.

`val abs : int -> int`

`abs x` is the absolute value of `x`. That is `x` if `x` is positive and `neg x` if `x` is negative.

**Warning.** This may be negative if the argument is `Int.min_int`[\[28.26\]](#).

`val max_int : int`

`max_int` is the greatest representable integer,  $2^{\text{[Sys.int\_size - 1]}} - 1$ .

```

val min_int : int
    min_int is the smallest representable integer,  $-2^{\text{[Sys.int\_size - 1]}}$ .

val logand : int -> int -> int
    logand x y is the bitwise logical and of x and y.

val logor : int -> int -> int
    logor x y is the bitwise logical or of x and y.

val logxor : int -> int -> int
    logxor x y is the bitwise logical exclusive or of x and y.

val lognot : int -> int
    lognot x is the bitwise logical negation of x.

val shift_left : int -> int -> int
    shift_left x n shifts x to the left by n bits. The result is unspecified if  $n < 0$  or  $n > \text{Sys.int\_size}$ \[28.55\].

val shift_right : int -> int -> int
    shift_right x n shifts x to the right by n bits. This is an arithmetic shift: the sign bit of x is replicated and inserted in the vacated bits. The result is unspecified if  $n < 0$  or  $n > \text{Sys.int\_size}$ \[28.55\].

val shift_right_logical : int -> int -> int
    shift_right x n shifts x to the right by n bits. This is a logical shift: zeroes are inserted in the vacated bits regardless of the sign of x. The result is unspecified if  $n < 0$  or  $n > \text{Sys.int\_size}$ \[28.55\].

```

## Predicates and comparisons

```

val equal : int -> int -> bool
    equal x y is true if and only if  $x = y$ .

val compare : int -> int -> int
    compare x y is compare\[27.2\] x y but more efficient.

val min : int -> int -> int
    Return the smaller of the two arguments.
    Since: 4.13

val max : int -> int -> int
    Return the greater of the two arguments.
    Since: 4.13

```

## Converting

`val to_float : int -> float`

`to_float x` is `x` as a floating point number.

`val of_float : float -> int`

`of_float x` truncates `x` to an integer. The result is unspecified if the argument is `nan` or falls outside the range of representable integers.

`val to_string : int -> string`

`to_string x` is the written representation of `x` in decimal.

`val seeded_hash : int -> int -> int`

A seeded hash function for ints, with the same output value as `Hashtbl.seeded_hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 5.1

`val hash : int -> int`

An unseeded hash function for ints, with the same output value as `Hashtbl.hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.Make`[\[28.24\]](#).

**Since:** 5.1

## 28.27 Module Int32 : 32-bit integers.

This module provides operations on the type `int32` of signed 32-bit integers. Unlike the built-in `int` type, the type `int32` is guaranteed to be exactly 32-bit wide on all platforms. All arithmetic operations over `int32` are taken modulo  $2^{32}$ .

Performance notice: values of type `int32` occupy more memory space than values of type `int`, and arithmetic operations on `int32` are generally slower than those on `int`. Use `int32` only when the application requires exact 32-bit arithmetic.

Literals for 32-bit integers are suffixed by `l`:

```
let zero: int32 = 0l
let one: int32 = 1l
let m_one: int32 = -1l
```

`val zero : int32`

The 32-bit integer 0.

`val one : int32`

The 32-bit integer 1.

`val minus_one : int32`

The 32-bit integer -1.

`val neg : int32 -> int32`

Unary negation.

`val add : int32 -> int32 -> int32`

Addition.

`val sub : int32 -> int32 -> int32`

Subtraction.

`val mul : int32 -> int32 -> int32`

Multiplication.

`val div : int32 -> int32 -> int32`

Integer division. This division rounds the real quotient of its arguments towards zero, as specified for `(/)` [27.2].

**Raises** `Division_by_zero` if the second argument is zero.

`val unsigned_div : int32 -> int32 -> int32`

Same as `Int32.div` [28.27], except that arguments and result are interpreted as *unsigned* 32-bit integers.

**Since:** 4.08

`val rem : int32 -> int32 -> int32`

Integer remainder. If `y` is not zero, the result of `Int32.rem x y` satisfies the following property: `x = Int32.add (Int32.mul (Int32.div x y) y) (Int32.rem x y)`. If `y = 0`, `Int32.rem x y` raises `Division_by_zero`.

`val unsigned_rem : int32 -> int32 -> int32`

Same as `Int32.rem` [28.27], except that arguments and result are interpreted as *unsigned* 32-bit integers.

**Since:** 4.08

`val succ : int32 -> int32`

Successor. `Int32.succ x` is `Int32.add x Int32.one`.

`val pred : int32 -> int32`

Predecessor. `Int32.pred x` is `Int32.sub x Int32.one`.

`val abs : int32 -> int32`

`abs x` is the absolute value of `x`. On `min_int` this is `min_int` itself and thus remains negative.

`val max_int : int32`

The greatest representable 32-bit integer,  $2^{31} - 1$ .

`val min_int : int32`

The smallest representable 32-bit integer,  $-2^{31}$ .

`val logand : int32 -> int32 -> int32`

Bitwise logical and.

`val logor : int32 -> int32 -> int32`

Bitwise logical or.

`val logxor : int32 -> int32 -> int32`

Bitwise logical exclusive or.

`val lognot : int32 -> int32`

Bitwise logical negation.

`val shift_left : int32 -> int -> int32`

`Int32.shift_left x y` shifts `x` to the left by `y` bits. The result is unspecified if `y < 0` or `y >= 32`.

`val shift_right : int32 -> int -> int32`

`Int32.shift_right x y` shifts `x` to the right by `y` bits. This is an arithmetic shift: the sign bit of `x` is replicated and inserted in the vacated bits. The result is unspecified if `y < 0` or `y >= 32`.

`val shift_right_logical : int32 -> int -> int32`

`Int32.shift_right_logical x y` shifts `x` to the right by `y` bits. This is a logical shift: zeroes are inserted in the vacated bits regardless of the sign of `x`. The result is unspecified if `y < 0` or `y >= 32`.

`val of_int : int -> int32`

Convert the given integer (type `int`) to a 32-bit integer (type `int32`). On 64-bit platforms, the argument is taken modulo  $2^{32}$ .

`val to_int : int32 -> int`

Convert the given 32-bit integer (type `int32`) to an integer (type `int`). On 32-bit platforms, the 32-bit integer is taken modulo  $2^{31}$ , i.e. the high-order bit is lost during the conversion. On 64-bit platforms, the conversion is exact.

`val unsigned_to_int : int32 -> int option`

Same as `Int32.to_int`[\[28.27\]](#), but interprets the argument as an *unsigned* integer. Returns `None` if the unsigned value of the argument cannot fit into an `int`.

**Since:** 4.08

**val of\_float : float -> int32**

Convert the given floating-point number to a 32-bit integer, discarding the fractional part (truncate towards 0). If the truncated floating-point number is outside the range `[Int32.min_int[28.27], Int32.max_int[28.27]]`, no exception is raised, and an unspecified, platform-dependent integer is returned.

**val to\_float : int32 -> float**

Convert the given 32-bit integer to a floating-point number.

**val of\_string : string -> int32**

Convert the given string to a 32-bit integer. The string is read in decimal (by default, or if the string begins with `0u`) or in hexadecimal, octal or binary if the string begins with `0x`, `0o` or `0b` respectively.

The `0u` prefix reads the input as an unsigned integer in the range `[0, 2*Int32.max_int+1]`. If the input exceeds `Int32.max_int[28.27]` it is converted to the signed integer `Int32.min_int + input - Int32.max_int - 1`.

The `_` (underscore) character can appear anywhere in the string and is ignored.

**Raises Failure** if the given string is not a valid representation of an integer, or if the integer represented exceeds the range of integers representable in type `int32`.

**val of\_string\_opt : string -> int32 option**

Same as `of_string`, but return `None` instead of raising.

**Since:** 4.05

**val to\_string : int32 -> string**

Return the string representation of its argument, in signed decimal.

**val bits\_of\_float : float -> int32**

Return the internal representation of the given float according to the IEEE 754 floating-point 'single format' bit layout. Bit 31 of the result represents the sign of the float; bits 30 to 23 represent the (biased) exponent; bits 22 to 0 represent the mantissa.

**val float\_of\_bits : int32 -> float**

Return the floating-point number whose internal representation, according to the IEEE 754 floating-point 'single format' bit layout, is the given `int32`.

**type t = int32**

An alias for the type of 32-bit integers.

**val compare : t -> t -> int**

The comparison function for 32-bit integers, with the same specification as `compare[27.2]`. Along with the type `t`, this function `compare` allows the module `Int32` to be passed as argument to the functors `Set.Make[28.49]` and `Map.Make[28.33]`.

```
val unsigned_compare : t -> t -> int
```

Same as `Int32.compare`[\[28.27\]](#), except that arguments are interpreted as *unsigned* 32-bit integers.

**Since:** 4.08

```
val equal : t -> t -> bool
```

The equal function for int32s.

**Since:** 4.03

```
val min : t -> t -> t
```

Return the smaller of the two arguments.

**Since:** 4.13

```
val max : t -> t -> t
```

Return the greater of the two arguments.

**Since:** 4.13

```
val seeded_hash : int -> t -> int
```

A seeded hash function for 32-bit ints, with the same output value as `Hashtbl.seeded_hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 5.1

```
val hash : t -> int
```

An unseeded hash function for 32-bit ints, with the same output value as `Hashtbl.hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.Make`[\[28.24\]](#).

**Since:** 5.1

## 28.28 Module Int64 : 64-bit integers.

This module provides operations on the type `int64` of signed 64-bit integers. Unlike the built-in `int` type, the type `int64` is guaranteed to be exactly 64-bit wide on all platforms. All arithmetic operations over `int64` are taken modulo  $2^{64}$ .

Performance notice: values of type `int64` occupy more memory space than values of type `int`, and arithmetic operations on `int64` are generally slower than those on `int`. Use `int64` only when the application requires exact 64-bit arithmetic.

Literals for 64-bit integers are suffixed by `L`:

```
let zero: int64 = 0L
let one: int64 = 1L
```

```
let m_one: int64 = -1L
```

```
val zero : int64
```

The 64-bit integer 0.

```
val one : int64
```

The 64-bit integer 1.

```
val minus_one : int64
```

The 64-bit integer -1.

```
val neg : int64 -> int64
```

Unary negation.

```
val add : int64 -> int64 -> int64
```

Addition.

```
val sub : int64 -> int64 -> int64
```

Subtraction.

```
val mul : int64 -> int64 -> int64
```

Multiplication.

```
val div : int64 -> int64 -> int64
```

Integer division.

**Raises** `Division_by_zero` if the second argument is zero. This division rounds the real quotient of its arguments towards zero, as specified for `(/)` [27.2].

```
val unsigned_div : int64 -> int64 -> int64
```

Same as `Int64.div` [28.28], except that arguments and result are interpreted as *unsigned* 64-bit integers.

**Since:** 4.08

```
val rem : int64 -> int64 -> int64
```

Integer remainder. If `y` is not zero, the result of `Int64.rem x y` satisfies the following property: `x = Int64.add (Int64.mul (Int64.div x y) y) (Int64.rem x y)`. If `y = 0`, `Int64.rem x y` raises `Division_by_zero`.

```
val unsigned_rem : int64 -> int64 -> int64
```

Same as `Int64.rem` [28.28], except that arguments and result are interpreted as *unsigned* 64-bit integers.

**Since:** 4.08



```
val succ : int64 -> int64
    Successor. Int64.succ x is Int64.add x Int64.one.

val pred : int64 -> int64
    Predecessor. Int64.pred x is Int64.sub x Int64.one.

val abs : int64 -> int64
    abs x is the absolute value of x. On min_int this is min_int itself and thus remains negative.

val max_int : int64
    The greatest representable 64-bit integer,  $2^{63} - 1$ .

val min_int : int64
    The smallest representable 64-bit integer,  $-2^{63}$ .

val logand : int64 -> int64 -> int64
    Bitwise logical and.

val logor : int64 -> int64 -> int64
    Bitwise logical or.

val logxor : int64 -> int64 -> int64
    Bitwise logical exclusive or.

val lognot : int64 -> int64
    Bitwise logical negation.

val shift_left : int64 -> int -> int64
    Int64.shift_left x y shifts x to the left by y bits. The result is unspecified if y < 0 or y
    >= 64.

val shift_right : int64 -> int -> int64
    Int64.shift_right x y shifts x to the right by y bits. This is an arithmetic shift: the sign
    bit of x is replicated and inserted in the vacated bits. The result is unspecified if y < 0 or y
    >= 64.

val shift_right_logical : int64 -> int -> int64
    Int64.shift_right_logical x y shifts x to the right by y bits. This is a logical shift:
    zeroes are inserted in the vacated bits regardless of the sign of x. The result is unspecified if y
    < 0 or y >= 64.

val of_int : int -> int64
    Convert the given integer (type int) to a 64-bit integer (type int64).
```

**val to\_int : int64 -> int**

Convert the given 64-bit integer (type `int64`) to an integer (type `int`). On 64-bit platforms, the 64-bit integer is taken modulo  $2^{63}$ , i.e. the high-order bit is lost during the conversion. On 32-bit platforms, the 64-bit integer is taken modulo  $2^{31}$ , i.e. the top 33 bits are lost during the conversion.

**val unsigned\_to\_int : int64 -> int option**

Same as `Int64.to_int`[\[28.28\]](#), but interprets the argument as an *unsigned* integer. Returns `None` if the unsigned value of the argument cannot fit into an `int`.

**Since:** 4.08

**val of\_float : float -> int64**

Convert the given floating-point number to a 64-bit integer, discarding the fractional part (truncate towards 0). If the truncated floating-point number is outside the range `[Int64.min_int`[\[28.28\]](#), `Int64.max_int`[\[28.28\]](#)], no exception is raised, and an unspecified, platform-dependent integer is returned.

**val to\_float : int64 -> float**

Convert the given 64-bit integer to a floating-point number.

**val of\_int32 : int32 -> int64**

Convert the given 32-bit integer (type `int32`) to a 64-bit integer (type `int64`).

**val to\_int32 : int64 -> int32**

Convert the given 64-bit integer (type `int64`) to a 32-bit integer (type `int32`). The 64-bit integer is taken modulo  $2^{32}$ , i.e. the top 32 bits are lost during the conversion.

**val of\_nativeint : nativeint -> int64**

Convert the given native integer (type `nativeint`) to a 64-bit integer (type `int64`).

**val to\_nativeint : int64 -> nativeint**

Convert the given 64-bit integer (type `int64`) to a native integer. On 32-bit platforms, the 64-bit integer is taken modulo  $2^{32}$ . On 64-bit platforms, the conversion is exact.

**val of\_string : string -> int64**

Convert the given string to a 64-bit integer. The string is read in decimal (by default, or if the string begins with `0u`) or in hexadecimal, octal or binary if the string begins with `0x`, `0o` or `0b` respectively.

The `0u` prefix reads the input as an unsigned integer in the range `[0, 2*Int64.max_int+1]`. If the input exceeds `Int64.max_int`[\[28.28\]](#) it is converted to the signed integer `Int64.min_int + input - Int64.max_int - 1`.

The `_` (underscore) character can appear anywhere in the string and is ignored.

**Raises Failure** if the given string is not a valid representation of an integer, or if the integer represented exceeds the range of integers representable in type `int64`.

**val** of\_string\_opt : string -> int64 option

Same as of\_string, but return None instead of raising.

**Since:** 4.05

**val** to\_string : int64 -> string

Return the string representation of its argument, in decimal.

**val** bits\_of\_float : float -> int64

Return the internal representation of the given float according to the IEEE 754 floating-point 'double format' bit layout. Bit 63 of the result represents the sign of the float; bits 62 to 52 represent the (biased) exponent; bits 51 to 0 represent the mantissa.

**val** float\_of\_bits : int64 -> float

Return the floating-point number whose internal representation, according to the IEEE 754 floating-point 'double format' bit layout, is the given int64.

**type** t = int64

An alias for the type of 64-bit integers.

**val** compare : t -> t -> int

The comparison function for 64-bit integers, with the same specification as compare[27.2]. Along with the type t, this function compare allows the module Int64 to be passed as argument to the functors Set.Make[28.49] and Map.Make[28.33].

**val** unsigned\_compare : t -> t -> int

Same as Int64.compare[28.28], except that arguments are interpreted as *unsigned* 64-bit integers.

**Since:** 4.08

**val** equal : t -> t -> bool

The equal function for int64s.

**Since:** 4.03

**val** min : t -> t -> t

Return the smaller of the two arguments.

**Since:** 4.13

**val** max : t -> t -> t

Return the greater of the two arguments.

**Since:** 4.13

**val** seeded\_hash : int -> t -> int

A seeded hash function for 64-bit ints, with the same output value as `Hashtbl.seeded_hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 5.1

```
val hash : t -> int
```

An unseeded hash function for 64-bit ints, with the same output value as `Hashtbl.hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.Make`[\[28.24\]](#).

**Since:** 5.1

## 28.29 Module Lazy : Deferred computations.

```
type 'a t = 'a CamlinternalLazy.t
```

A value of type `'a Lazy.t` is a deferred computation, called a suspension, that has a result of type `'a`. The special expression syntax `lazy (expr)` makes a suspension of the computation of `expr`, without computing `expr` itself yet. "Forcing" the suspension will then compute `expr` and return its result. Matching a suspension with the special pattern syntax `lazy(pattern)` also computes the underlying expression and tries to bind it to `pattern`:

```
let lazy_option_map f x =
  match x with
  | lazy (Some x) -> Some (Lazy.force f x)
  | _ -> None
```

Note: If lazy patterns appear in multiple cases in a pattern-matching, lazy expressions may be forced even outside of the case ultimately selected by the pattern matching. In the example above, the suspension `x` is always computed.

Note: `lazy_t` is the built-in type constructor used by the compiler for the `lazy` keyword. You should not use it directly. Always use `Lazy.t` instead.

Note: `Lazy.force` is not concurrency-safe. If you use this module with multiple fibers, systhreads or domains, then you will need to add some locks. The module however ensures memory-safety, and hence, concurrently accessing this module will not lead to a crash but the behaviour is unspecified.

Note: if the program is compiled with the `-rectypes` option, ill-founded recursive definitions of the form `let rec x = lazy x` or `let rec x = lazy(lazy(...(lazy x)))` are accepted by the type-checker and lead, when forced, to ill-formed values that trigger infinite loops in the garbage collector and other parts of the run-time system. Without the `-rectypes` option, such ill-founded recursive definitions are rejected by the type-checker.

```
exception Undefined
```

Raised when forcing a suspension concurrently from multiple fibers, systhreads or domains, or when the suspension tries to force itself recursively.

**val force** : 'a t -> 'a

**force** *x* forces the suspension *x* and returns its result. If *x* has already been forced, **Lazy.force** *x* returns the same value again without recomputing it. If it raised an exception, the same exception is raised again.

**Raises** **Undefined** (see **Lazy.Undefined**[28.29]).

## Iterators

**val map** : ('a -> 'b) -> 'a t -> 'b t

**map** *f* *x* returns a suspension that, when forced, forces *x* and applies *f* to its value.

It is equivalent to **lazy** (*f* (**Lazy.force** *x*)).

**Since:** 4.13

## Reasoning on already-forced suspensions

**val is\_val** : 'a t -> bool

**is\_val** *x* returns **true** if *x* has already been forced and did not raise an exception.

**Since:** 4.00

**val from\_val** : 'a -> 'a t

**from\_val** *v* evaluates *v* first (as any function would) and returns an already-forced suspension of its result. It is the same as **let** *x* = *v* **in** **lazy** *x*, but uses dynamic tests to optimize suspension creation in some cases.

**Since:** 4.00

**val map\_val** : ('a -> 'b) -> 'a t -> 'b t

**map\_val** *f* *x* applies *f* directly if *x* is already forced, otherwise it behaves as **map** *f* *x*.

When *x* is already forced, this behavior saves the construction of a suspension, but on the other hand it performs more work eagerly that may not be useful if you never force the function result.

If *f* raises an exception, it will be raised immediately when **is\_val** *x*, or raised only when forcing the thunk otherwise.

If **map\_val** *f* *x* does not raise an exception, then **is\_val** (**map\_val** *f* *x*) is equal to **is\_val** *x*.

**Since:** 4.13

## Advanced

The following definitions are for advanced uses only; they require familiarity with the lazy compilation scheme to be used appropriately.

```
val from_fun : (unit -> 'a) -> 'a t
```

`from_fun f` is the same as `lazy (f ())` but slightly more efficient.

It should only be used if the function `f` is already defined. In particular it is always less efficient to write `from_fun (fun () -> expr)` than `lazy expr`.

**Since:** 4.00

```
val force_val : 'a t -> 'a
```

`force_val x` forces the suspension `x` and returns its result. If `x` has already been forced, `force_val x` returns the same value again without recomputing it.

If the computation of `x` raises an exception, it is unspecified whether `force_val x` raises the same exception or `Lazy.Undefined`[\[28.29\]](#).

**Raises**

- `Undefined` if the forcing of `x` tries to force `x` itself recursively.
- `Undefined` (see `Lazy.Undefined`[\[28.29\]](#)).

## 28.30 Module Lexing : The run-time library for lexers generated by ocamllex.

### Positions

```
type position =
{ pos_fname : string ;
  pos_lnum : int ;
  pos_bol : int ;
  pos_cnum : int ;
}
```

A value of type `position` describes a point in a source file. `pos_fname` is the file name; `pos_lnum` is the line number; `pos_bol` is the offset of the beginning of the line (number of characters between the beginning of the lexbuf and the beginning of the line); `pos_cnum` is the offset of the position (number of characters between the beginning of the lexbuf and the position). The difference between `pos_cnum` and `pos_bol` is the character offset within the line (i.e. the column number, assuming each character is one column wide).

See the documentation of type `lexbuf` for information about how the lexing engine will manage positions.

```
val dummy_pos : position
```

A value of type `position`, guaranteed to be different from any valid position.

## Lexer buffers

```

type lexbuf =
{  refill_buff : lexbuf -> unit ;
  mutable lex_buffer : bytes ;
  mutable lex_buffer_len : int ;
  mutable lex_abs_pos : int ;
  mutable lex_start_pos : int ;
  mutable lex_curr_pos : int ;
  mutable lex_last_pos : int ;
  mutable lex_last_action : int ;
  mutable lex_eof_reached : bool ;
  mutable lex_mem : int array ;
  mutable lex_start_p : position ;
  mutable lex_curr_p : position ;
}

```

The type of lexer buffers. A lexer buffer is the argument passed to the scanning functions defined by the generated scanners. The lexer buffer holds the current state of the scanner, plus a function to refill the buffer from the input.

Lexers can optionally maintain the `lex_curr_p` and `lex_start_p` position fields. This "position tracking" mode is the default, and it corresponds to passing `~with_position:true` to functions that create lexer buffers. In this mode, the lexing engine and lexer actions are co-responsible for properly updating the position fields, as described in the next paragraph. When the mode is explicitly disabled (with `~with_position:false`), the lexing engine will not touch the position fields and the lexer actions should be careful not to do it either; the `lex_curr_p` and `lex_start_p` field will then always hold the `dummy_pos` invalid position. Not tracking positions avoids allocations and memory writes and can significantly improve the performance of the lexer in contexts where `lex_start_p` and `lex_curr_p` are not needed.

Position tracking mode works as follows. At each token, the lexing engine will copy `lex_curr_p` to `lex_start_p`, then change the `pos_cnum` field of `lex_curr_p` by updating it with the number of characters read since the start of the `lexbuf`. The other fields are left unchanged by the lexing engine. In order to keep them accurate, they must be initialised before the first use of the `lexbuf`, and updated by the relevant lexer actions (i.e. at each end of line – see also `new_line`).

```

val from_channel : ?with_positions:bool -> in_channel -> lexbuf

```

Create a lexer buffer on the given input channel. `Lexing.from_channel inchan` returns a lexer buffer which reads from the input channel `inchan`, at the current reading position.

```

val from_string : ?with_positions:bool -> string -> lexbuf

```

Create a lexer buffer which reads from the given string. Reading starts from the first character in the string. An end-of-input condition is generated when the end of the string is reached.

```

val from_function : ?with_positions:bool -> (bytes -> int -> int) -> lexbuf

```

Create a lexer buffer with the given function as its reading method. When the scanner needs more characters, it will call the given function, giving it a byte sequence `s` and a byte count `n`. The function should put `n` bytes or fewer in `s`, starting at index 0, and return the number of bytes provided. A return value of 0 means end of input.

```
val set_position : lexbuf -> position -> unit
```

Set the initial tracked input position for `lexbuf` to a custom value. Ignores `pos_fname`. See `Lexing.set_filename`[\[28.30\]](#) for changing this field.

**Since:** 4.11

```
val set_filename : lexbuf -> string -> unit
```

Set filename in the initial tracked position to `file` in `lexbuf`.

**Since:** 4.11

```
val with_positions : lexbuf -> bool
```

Tell whether the lexer buffer keeps track of position fields `lex_curr_p` / `lex_start_p`, as determined by the corresponding optional argument for functions that create lexer buffers (whose default value is `true`).

When `with_positions` is `false`, lexer actions should not modify position fields. Doing it nevertheless could re-enable the `with_position` mode and degrade performances.

## Functions for lexer semantic actions

The following functions can be called from the semantic actions of lexer definitions (the ML code enclosed in braces that computes the value returned by lexing functions). They give access to the character string matched by the regular expression associated with the semantic action. These functions must be applied to the argument `lexbuf`, which, in the code generated by `ocamllex`, is bound to the lexer buffer passed to the parsing function.

```
val lexeme : lexbuf -> string
```

`Lexing.lexeme lexbuf` returns the string matched by the regular expression.

```
val lexeme_char : lexbuf -> int -> char
```

`Lexing.lexeme_char lexbuf i` returns character number `i` in the matched string.

```
val lexeme_start : lexbuf -> int
```

`Lexing.lexeme_start lexbuf` returns the offset in the input stream of the first character of the matched string. The first character of the stream has offset 0.

```
val lexeme_end : lexbuf -> int
```

`Lexing.lexeme_end lexbuf` returns the offset in the input stream of the character following the last character of the matched string. The first character of the stream has offset 0.

```
val lexeme_start_p : lexbuf -> position
```



Like `lexeme_start`, but return a complete `position` instead of an offset. When position tracking is disabled, the function returns `dummy_pos`.

```
val lexeme_end_p : lexbuf -> position
```

Like `lexeme_end`, but return a complete `position` instead of an offset. When position tracking is disabled, the function returns `dummy_pos`.

```
val new_line : lexbuf -> unit
```

Update the `lex_curr_p` field of the `lexbuf` to reflect the start of a new line. You can call this function in the semantic action of the rule that matches the end-of-line character. The function does nothing when position tracking is disabled.

**Since:** 3.11

## Miscellaneous functions

```
val flush_input : lexbuf -> unit
```

Discard the contents of the buffer and reset the current position to 0. The next use of the `lexbuf` will trigger a refill.

## 28.31 Module List : List operations.

Some functions are flagged as not tail-recursive. A tail-recursive function uses constant stack space, while a non-tail-recursive function uses stack space proportional to the length of its list argument, which can be a problem with very long lists. When the function takes several list arguments, an approximate formula giving stack usage (in some unspecified constant unit) is shown in parentheses.

The above considerations can usually be ignored if your lists are not longer than about 10000 elements.

The labeled version of this module can be used as described in the `StdLabels`[\[28.52\]](#) module.

```
type 'a t = 'a list =
```

```
| []
```

```
| (:::) of 'a * 'a list
```

An alias for the type of lists.

```
val length : 'a list -> int
```

Return the length (number of elements) of the given list.

```
val compare_lengths : 'a list -> 'b list -> int
```

Compare the lengths of two lists. `compare_lengths l1 l2` is equivalent to `compare (length l1) (length l2)`, except that the computation stops after reaching the end of the shortest list.

**Since:** 4.05

**val** `compare_length_with` : 'a list -> int -> int

Compare the length of a list to an integer. `compare_length_with l len` is equivalent to `compare (length l) len`, except that the computation stops after at most `len` iterations on the list.

**Since:** 4.05

**val** `is_empty` : 'a list -> bool

`is_empty l` is true if and only if `l` has no elements. It is equivalent to `compare_length_with l 0 = 0`.

**Since:** 5.1

**val** `cons` : 'a -> 'a list -> 'a list

`cons x xs` is `x :: xs`

**Since:** 4.03 (4.05 in ListLabels)

**val** `hd` : 'a list -> 'a

Return the first element of the given list.

**Raises Failure** if the list is empty.

**val** `tl` : 'a list -> 'a list

Return the given list without its first element.

**Raises Failure** if the list is empty.

**val** `nth` : 'a list -> int -> 'a

Return the `n`-th element of the given list. The first element (head of the list) is at position 0.

**Raises**

- **Failure** if the list is too short.
- **Invalid\_argument** if `n` is negative.

**val** `nth_opt` : 'a list -> int -> 'a option

Return the `n`-th element of the given list. The first element (head of the list) is at position 0. Return `None` if the list is too short.

**Since:** 4.05

**Raises Invalid\_argument** if `n` is negative.

**val** `rev` : 'a list -> 'a list

List reversal.

**val** `init` : int -> (int -> 'a) -> 'a list

`init len f` is `[f 0; f 1; ...; f (len-1)]`, evaluated left to right.

**Since:** 4.06

**Raises** `Invalid_argument` if `len < 0`.

`val append : 'a list -> 'a list -> 'a list`

`append l0 l1` appends `l1` to `l0`. Same function as the infix operator `@`.

**Since:** 5.1 this function is tail-recursive.

`val rev_append : 'a list -> 'a list -> 'a list`

`rev_append l1 l2` reverses `l1` and concatenates it with `l2`. This is equivalent to `(List.rev[28.31] l1) @ l2`.

`val concat : 'a list list -> 'a list`

Concatenate a list of lists. The elements of the argument are all concatenated together (in the same order) to give the result. Not tail-recursive (length of the argument + length of the longest sub-list).

`val flatten : 'a list list -> 'a list`

Same as `List.concat[28.31]`. Not tail-recursive (length of the argument + length of the longest sub-list).

## Comparison

`val equal : ('a -> 'a -> bool) -> 'a list -> 'a list -> bool`

`equal eq [a1; ...; an] [b1; ...; bm]` holds when the two input lists have the same length, and for each pair of elements `ai`, `bi` at the same position we have `eq ai bi`.

Note: the `eq` function may be called even if the lists have different length. If you know your equality function is costly, you may want to check `List.compare_lengths[28.31]` first.

**Since:** 4.12

`val compare : ('a -> 'a -> int) -> 'a list -> 'a list -> int`

`compare cmp [a1; ...; an] [b1; ...; bm]` performs a lexicographic comparison of the two input lists, using the same `'a -> 'a -> int` interface as `compare[27.2]`:

- `a1 :: l1` is smaller than `a2 :: l2` (negative result) if `a1` is smaller than `a2`, or if they are equal (0 result) and `l1` is smaller than `l2`
- the empty list `[]` is strictly smaller than non-empty lists

Note: the `cmp` function will be called even if the lists have different lengths.

**Since:** 4.12

## Iterators

**val** `iter` : ('a -> unit) -> 'a list -> unit

`iter f [a1; ...; an]` applies function `f` in turn to `[a1; ...; an]`. It is equivalent to `f a1; f a2; ...; f an`.

**val** `iteri` : (int -> 'a -> unit) -> 'a list -> unit

Same as `List.iter`[\[28.31\]](#), but the function is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 4.00

**val** `map` : ('a -> 'b) -> 'a list -> 'b list

`map f [a1; ...; an]` applies function `f` to `a1, ..., an`, and builds the list `[f a1; ...; f an]` with the results returned by `f`.

**val** `mapi` : (int -> 'a -> 'b) -> 'a list -> 'b list

Same as `List.map`[\[28.31\]](#), but the function is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 4.00

**val** `rev_map` : ('a -> 'b) -> 'a list -> 'b list

`rev_map f l` gives the same result as `List.rev`[\[28.31\]](#) (`List.map`[\[28.31\]](#) `f l`), but is more efficient.

**val** `filter_map` : ('a -> 'b option) -> 'a list -> 'b list

`filter_map f l` applies `f` to every element of `l`, filters out the `None` elements and returns the list of the arguments of the `Some` elements.

**Since:** 4.08

**val** `concat_map` : ('a -> 'b list) -> 'a list -> 'b list

`concat_map f l` gives the same result as `List.concat`[\[28.31\]](#) (`List.map`[\[28.31\]](#) `f l`). Tail-recursive.

**Since:** 4.10

**val** `fold_left_map` :

('acc -> 'a -> 'acc \* 'b) -> 'acc -> 'a list -> 'acc \* 'b list

`fold_left_map` is a combination of `fold_left` and `map` that threads an accumulator through calls to `f`.

**Since:** 4.11

**val** `fold_left` : ('acc -> 'a -> 'acc) -> 'acc -> 'a list -> 'acc

`fold_left f init [b1; ...; bn]` is `f (... (f (f init b1) b2) ...)` `bn`.

**val** `fold_right` : ('a -> 'acc -> 'acc) -> 'a list -> 'acc -> 'acc

`fold_right f [a1; ...; an] init` is `f a1 (f a2 (... (f an init) ...))`. Not tail-recursive.

**Iterators on two lists**

`val iter2 : ('a -> 'b -> unit) -> 'a list -> 'b list -> unit`

`iter2 f [a1; ...; an] [b1; ...; bn]` calls in turn `f a1 b1; ...; f an bn`.

**Raises `Invalid_argument`** if the two lists are determined to have different lengths.

`val map2 : ('a -> 'b -> 'c) -> 'a list -> 'b list -> 'c list`

`map2 f [a1; ...; an] [b1; ...; bn]` is `[f a1 b1; ...; f an bn]`.

**Raises `Invalid_argument`** if the two lists are determined to have different lengths.

`val rev_map2 : ('a -> 'b -> 'c) -> 'a list -> 'b list -> 'c list`

`rev_map2 f l1 l2` gives the same result as `List.rev[28.31] (List.map2[28.31] f l1 l2)`, but is more efficient.

`val fold_left2 :`

`('acc -> 'a -> 'b -> 'acc) -> 'acc -> 'a list -> 'b list -> 'acc`

`fold_left2 f init [a1; ...; an] [b1; ...; bn]` is `f (... (f (f init a1 b1) a2 b2) ...) an bn`.

**Raises `Invalid_argument`** if the two lists are determined to have different lengths.

`val fold_right2 :`

`('a -> 'b -> 'acc -> 'acc) -> 'a list -> 'b list -> 'acc -> 'acc`

`fold_right2 f [a1; ...; an] [b1; ...; bn] init` is `f a1 b1 (f a2 b2 (... (f an bn init) ...))`.

**Raises `Invalid_argument`** if the two lists are determined to have different lengths. Not tail-recursive.

**List scanning**

`val for_all : ('a -> bool) -> 'a list -> bool`

`for_all f [a1; ...; an]` checks if all elements of the list satisfy the predicate `f`. That is, it returns `(f a1) && (f a2) && ... && (f an)` for a non-empty list and `true` if the list is empty.

`val exists : ('a -> bool) -> 'a list -> bool`

`exists f [a1; ...; an]` checks if at least one element of the list satisfies the predicate `f`. That is, it returns `(f a1) || (f a2) || ... || (f an)` for a non-empty list and `false` if the list is empty.

`val for_all2 : ('a -> 'b -> bool) -> 'a list -> 'b list -> bool`

Same as `List.for_all[28.31]`, but for a two-argument predicate.

**Raises `Invalid_argument`** if the two lists are determined to have different lengths.

```
val exists2 : ('a -> 'b -> bool) -> 'a list -> 'b list -> bool
```

Same as `List.exists`[\[28.31\]](#), but for a two-argument predicate.

**Raises** `Invalid_argument` if the two lists are determined to have different lengths.

```
val mem : 'a -> 'a list -> bool
```

`mem a set` is true if and only if `a` is equal to an element of `set`.

```
val memq : 'a -> 'a list -> bool
```

Same as `List.mem`[\[28.31\]](#), but uses physical equality instead of structural equality to compare list elements.

## List searching

```
val find : ('a -> bool) -> 'a list -> 'a
```

`find f l` returns the first element of the list `l` that satisfies the predicate `f`.

**Raises** `Not_found` if there is no value that satisfies `f` in the list `l`.

```
val find_opt : ('a -> bool) -> 'a list -> 'a option
```

`find f l` returns the first element of the list `l` that satisfies the predicate `f`. Returns `None` if there is no value that satisfies `f` in the list `l`.

**Since:** 4.05

```
val find_index : ('a -> bool) -> 'a list -> int option
```

`find_index f xs` returns `Some i`, where `i` is the index of the first element of the list `xs` that satisfies `f x`, if there is such an element.

It returns `None` if there is no such element.

**Since:** 5.1

```
val find_map : ('a -> 'b option) -> 'a list -> 'b option
```

`find_map f l` applies `f` to the elements of `l` in order, and returns the first result of the form `Some v`, or `None` if none exist.

**Since:** 4.10

```
val find_map_i : (int -> 'a -> 'b option) -> 'a list -> 'b option
```

Same as `find_map`, but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 5.1

```
val filter : ('a -> bool) -> 'a list -> 'a list
```

`filter f l` returns all the elements of the list `l` that satisfy the predicate `f`. The order of the elements in the input list is preserved.

```
val find_all : ('a -> bool) -> 'a list -> 'a list
```

`find_all` is another name for `List.filter`[\[28.31\]](#).

```
val filteri : (int -> 'a -> bool) -> 'a list -> 'a list
```

Same as `List.filter`[\[28.31\]](#), but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 4.11

```
val partition : ('a -> bool) -> 'a list -> 'a list * 'a list
```

`partition f l` returns a pair of lists (`l1`, `l2`), where `l1` is the list of all the elements of `l` that satisfy the predicate `f`, and `l2` is the list of all the elements of `l` that do not satisfy `f`. The order of the elements in the input list is preserved.

```
val partition_map : ('a -> ('b, 'c) Either.t) -> 'a list -> 'b list * 'c list
```

`partition_map f l` returns a pair of lists (`l1`, `l2`) such that, for each element `x` of the input list `l`:

- if `f x` is `Left y1`, then `y1` is in `l1`, and
- if `f x` is `Right y2`, then `y2` is in `l2`.

The output elements are included in `l1` and `l2` in the same relative order as the corresponding input elements in `l`.

In particular, `partition_map (fun x -> if f x then Left x else Right x) l` is equivalent to `partition f l`.

**Since:** 4.12

## Association lists

```
val assoc : 'a -> ('a * 'b) list -> 'b
```

`assoc a l` returns the value associated with key `a` in the list of pairs `l`. That is, `assoc a [ ...; (a,b); ...] = b` if `(a,b)` is the leftmost binding of `a` in list `l`.

**Raises** `Not_found` if there is no value associated with `a` in the list `l`.

```
val assoc_opt : 'a -> ('a * 'b) list -> 'b option
```

`assoc_opt a l` returns the value associated with key `a` in the list of pairs `l`. That is, `assoc_opt a [ ...; (a,b); ...] = Some b` if `(a,b)` is the leftmost binding of `a` in list `l`. Returns `None` if there is no value associated with `a` in the list `l`.

**Since:** 4.05

```
val assq : 'a -> ('a * 'b) list -> 'b
```

Same as `List.assoc`[\[28.31\]](#), but uses physical equality instead of structural equality to compare keys.

**val** `assq_opt` : 'a -> ('a \* 'b) list -> 'b option

Same as `List.assoc_opt`[\[28.31\]](#), but uses physical equality instead of structural equality to compare keys.

**Since:** 4.05

**val** `mem_assoc` : 'a -> ('a \* 'b) list -> bool

Same as `List.assoc`[\[28.31\]](#), but simply return `true` if a binding exists, and `false` if no bindings exist for the given key.

**val** `mem_assq` : 'a -> ('a \* 'b) list -> bool

Same as `List.mem_assoc`[\[28.31\]](#), but uses physical equality instead of structural equality to compare keys.

**val** `remove_assoc` : 'a -> ('a \* 'b) list -> ('a \* 'b) list

`remove_assoc a l` returns the list of pairs `l` without the first pair with key `a`, if any. Not tail-recursive.

**val** `remove_assq` : 'a -> ('a \* 'b) list -> ('a \* 'b) list

Same as `List.remove_assoc`[\[28.31\]](#), but uses physical equality instead of structural equality to compare keys. Not tail-recursive.

## Lists of pairs

**val** `split` : ('a \* 'b) list -> 'a list \* 'b list

Transform a list of pairs into a pair of lists: `split [(a1,b1); ...; (an,bn)]` is `([a1; ...; an], [b1; ...; bn])`. Not tail-recursive.

**val** `combine` : 'a list -> 'b list -> ('a \* 'b) list

Transform a pair of lists into a list of pairs: `combine [a1; ...; an] [b1; ...; bn]` is `[(a1,b1); ...; (an,bn)]`.

**Raises** `Invalid_argument` if the two lists have different lengths. Not tail-recursive.

## Sorting

**val** `sort` : ('a -> 'a -> int) -> 'a list -> 'a list

Sort a list in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see `Array.sort` for a complete specification). For example, `compare`[\[27.2\]](#) is a suitable comparison function. The resulting list is sorted in increasing order. `List.sort`[\[28.31\]](#) is guaranteed to run in constant heap space (in addition to the size of the result list) and logarithmic stack space.

The current implementation uses Merge Sort. It runs in constant heap space and logarithmic stack space.



```
val stable_sort : ('a -> 'a -> int) -> 'a list -> 'a list
```

Same as `List.sort`[\[28.31\]](#), but the sorting algorithm is guaranteed to be stable (i.e. elements that compare equal are kept in their original order).

The current implementation uses Merge Sort. It runs in constant heap space and logarithmic stack space.

```
val fast_sort : ('a -> 'a -> int) -> 'a list -> 'a list
```

Same as `List.sort`[\[28.31\]](#) or `List.stable_sort`[\[28.31\]](#), whichever is faster on typical input.

```
val sort_uniq : ('a -> 'a -> int) -> 'a list -> 'a list
```

Same as `List.sort`[\[28.31\]](#), but also remove duplicates.

**Since:** 4.02 (4.03 in `ListLabels`)

```
val merge : ('a -> 'a -> int) -> 'a list -> 'a list -> 'a list
```

Merge two lists: Assuming that `l1` and `l2` are sorted according to the comparison function `cmp`, `merge cmp l1 l2` will return a sorted list containing all the elements of `l1` and `l2`. If several elements compare equal, the elements of `l1` will be before the elements of `l2`. Not tail-recursive (sum of the lengths of the arguments).

## Lists and Sequences

```
val to_seq : 'a list -> 'a Seq.t
```

Iterate on the list.

**Since:** 4.07

```
val of_seq : 'a Seq.t -> 'a list
```

Create a list from a sequence.

**Since:** 4.07

## 28.32 Module `ListLabels` : List operations.

Some functions are flagged as not tail-recursive. A tail-recursive function uses constant stack space, while a non-tail-recursive function uses stack space proportional to the length of its list argument, which can be a problem with very long lists. When the function takes several list arguments, an approximate formula giving stack usage (in some unspecified constant unit) is shown in parentheses.

The above considerations can usually be ignored if your lists are not longer than about 10000 elements.

The labeled version of this module can be used as described in the `StdLabels`[\[28.52\]](#) module.

```
type 'a t = 'a list =
  | []
  | (::) of 'a * 'a list
```

An alias for the type of lists.

**val length** : 'a list -> int

Return the length (number of elements) of the given list.

**val compare\_lengths** : 'a list -> 'b list -> int

Compare the lengths of two lists. `compare_lengths l1 l2` is equivalent to `compare (length l1) (length l2)`, except that the computation stops after reaching the end of the shortest list.

**Since:** 4.05

**val compare\_length\_with** : 'a list -> len:int -> int

Compare the length of a list to an integer. `compare_length_with l len` is equivalent to `compare (length l) len`, except that the computation stops after at most `len` iterations on the list.

**Since:** 4.05

**val is\_empty** : 'a list -> bool

`is_empty l` is true if and only if `l` has no elements. It is equivalent to `compare_length_with l 0 = 0`.

**Since:** 5.1

**val cons** : 'a -> 'a list -> 'a list

`cons x xs` is `x :: xs`

**Since:** 4.05

**val hd** : 'a list -> 'a

Return the first element of the given list.

**Raises Failure** if the list is empty.

**val tl** : 'a list -> 'a list

Return the given list without its first element.

**Raises Failure** if the list is empty.

**val nth** : 'a list -> int -> 'a

Return the `n`-th element of the given list. The first element (head of the list) is at position 0.

**Raises**

- **Failure** if the list is too short.
- **Invalid\_argument** if `n` is negative.

**val nth\_opt** : 'a list -> int -> 'a option

Return the *n*-th element of the given list. The first element (head of the list) is at position 0. Return `None` if the list is too short.

**Since:** 4.05

**Raises** `Invalid_argument` if *n* is negative.

```
val rev : 'a list -> 'a list
```

List reversal.

```
val init : len:int -> f:(int -> 'a) -> 'a list
```

`init ~len ~f` is `[f 0; f 1; ...; f (len-1)]`, evaluated left to right.

**Since:** 4.06

**Raises** `Invalid_argument` if `len < 0`.

```
val append : 'a list -> 'a list -> 'a list
```

`append l0 l1` appends `l1` to `l0`. Same function as the infix operator `@`.

**Since:** 5.1 this function is tail-recursive.

```
val rev_append : 'a list -> 'a list -> 'a list
```

`rev_append l1 l2` reverses `l1` and concatenates it with `l2`. This is equivalent to `(ListLabels.rev[28.32] l1) @ l2`.

```
val concat : 'a list list -> 'a list
```

Concatenate a list of lists. The elements of the argument are all concatenated together (in the same order) to give the result. Not tail-recursive (length of the argument + length of the longest sub-list).

```
val flatten : 'a list list -> 'a list
```

Same as `ListLabels.concat[28.32]`. Not tail-recursive (length of the argument + length of the longest sub-list).

## Comparison

```
val equal : eq:('a -> 'a -> bool) -> 'a list -> 'a list -> bool
```

`equal eq [a1; ...; an] [b1; ..; bm]` holds when the two input lists have the same length, and for each pair of elements `ai`, `bi` at the same position we have `eq ai bi`.

Note: the `eq` function may be called even if the lists have different length. If you know your equality function is costly, you may want to check `ListLabels.compare_lengths[28.32]` first.

**Since:** 4.12

```
val compare : cmp:('a -> 'a -> int) -> 'a list -> 'a list -> int
```

`compare cmp [a1; ...; an] [b1; ...; bm]` performs a lexicographic comparison of the two input lists, using the same `'a -> 'a -> int` interface as `compare[27.2]`:

- `a1 :: l1` is smaller than `a2 :: l2` (negative result) if `a1` is smaller than `a2`, or if they are equal (0 result) and `l1` is smaller than `l2`
- the empty list `[]` is strictly smaller than non-empty lists

Note: the `cmp` function will be called even if the lists have different lengths.

**Since:** 4.12

## Iterators

`val iter : f:('a -> unit) -> 'a list -> unit`

`iter ~f [a1; ...; an]` applies function `f` in turn to `[a1; ...; an]`. It is equivalent to `f a1; f a2; ...; f an`.

`val iteri : f:(int -> 'a -> unit) -> 'a list -> unit`

Same as `ListLabels.iter`[\[28.32\]](#), but the function is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 4.00

`val map : f:('a -> 'b) -> 'a list -> 'b list`

`map ~f [a1; ...; an]` applies function `f` to `a1, ..., an`, and builds the list `[f a1; ...; f an]` with the results returned by `f`.

`val mapi : f:(int -> 'a -> 'b) -> 'a list -> 'b list`

Same as `ListLabels.map`[\[28.32\]](#), but the function is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 4.00

`val rev_map : f:('a -> 'b) -> 'a list -> 'b list`

`rev_map ~f l` gives the same result as `ListLabels.rev`[\[28.32\]](#) (`ListLabels.map`[\[28.32\]](#) `f l`), but is more efficient.

`val filter_map : f:('a -> 'b option) -> 'a list -> 'b list`

`filter_map ~f l` applies `f` to every element of `l`, filters out the `None` elements and returns the list of the arguments of the `Some` elements.

**Since:** 4.08

`val concat_map : f:('a -> 'b list) -> 'a list -> 'b list`

`concat_map ~f l` gives the same result as `ListLabels.concat`[\[28.32\]](#) (`ListLabels.map`[\[28.32\]](#) `f l`). Tail-recursive.

**Since:** 4.10

`val fold_left_map :`

`f:('acc -> 'a -> 'acc * 'b) -> init:'acc -> 'a list -> 'acc * 'b list`

`fold_left_map` is a combination of `fold_left` and `map` that threads an accumulator through calls to `f`.

**Since:** 4.11

```
val fold_left : f:('acc -> 'a -> 'acc) -> init:'acc -> 'a list -> 'acc
    fold_left ~f ~init [b1; ...; bn] is f (... (f (f init b1) b2) ...) bn.

val fold_right : f:('a -> 'acc -> 'acc) -> 'a list -> init:'acc -> 'acc
    fold_right ~f [a1; ...; an] ~init is f a1 (f a2 (... (f an init) ...)). Not
    tail-recursive.
```

### Iterators on two lists

```
val iter2 : f:('a -> 'b -> unit) -> 'a list -> 'b list -> unit
    iter2 ~f [a1; ...; an] [b1; ...; bn] calls in turn f a1 b1; ...; f an bn.
    Raises Invalid_argument if the two lists are determined to have different lengths.
```

```
val map2 : f:('a -> 'b -> 'c) -> 'a list -> 'b list -> 'c list
    map2 ~f [a1; ...; an] [b1; ...; bn] is [f a1 b1; ...; f an bn].
    Raises Invalid_argument if the two lists are determined to have different lengths.
```

```
val rev_map2 : f:('a -> 'b -> 'c) -> 'a list -> 'b list -> 'c list
    rev_map2 ~f l1 l2 gives the same result as ListLabels.rev\[28.32\]
    (ListLabels.map2\[28.32\] f l1 l2), but is more efficient.
```

```
val fold_left2 :
    f:('acc -> 'a -> 'b -> 'acc) -> init:'acc -> 'a list -> 'b list -> 'acc
    fold_left2 ~f ~init [a1; ...; an] [b1; ...; bn] is f (... (f (f init a1 b1)
    a2 b2) ...) an bn.
    Raises Invalid_argument if the two lists are determined to have different lengths.
```

```
val fold_right2 :
    f:('a -> 'b -> 'acc -> 'acc) -> 'a list -> 'b list -> init:'acc -> 'acc
    fold_right2 ~f [a1; ...; an] [b1; ...; bn] ~init is f a1 b1 (f a2 b2 (... (f
    an bn init) ...)).
    Raises Invalid_argument if the two lists are determined to have different lengths. Not
    tail-recursive.
```

## List scanning

**val** `for_all` : `f:('a -> bool) -> 'a list -> bool`

`for_all ~f [a1; ...; an]` checks if all elements of the list satisfy the predicate `f`. That is, it returns `(f a1) && (f a2) && ... && (f an)` for a non-empty list and `true` if the list is empty.

**val** `exists` : `f:('a -> bool) -> 'a list -> bool`

`exists ~f [a1; ...; an]` checks if at least one element of the list satisfies the predicate `f`. That is, it returns `(f a1) || (f a2) || ... || (f an)` for a non-empty list and `false` if the list is empty.

**val** `for_all2` : `f:('a -> 'b -> bool) -> 'a list -> 'b list -> bool`

Same as `ListLabels.for_all`[\[28.32\]](#), but for a two-argument predicate.

**Raises** `Invalid_argument` if the two lists are determined to have different lengths.

**val** `exists2` : `f:('a -> 'b -> bool) -> 'a list -> 'b list -> bool`

Same as `ListLabels.exists`[\[28.32\]](#), but for a two-argument predicate.

**Raises** `Invalid_argument` if the two lists are determined to have different lengths.

**val** `mem` : `'a -> set:'a list -> bool`

`mem a ~set` is true if and only if `a` is equal to an element of `set`.

**val** `memq` : `'a -> set:'a list -> bool`

Same as `ListLabels.mem`[\[28.32\]](#), but uses physical equality instead of structural equality to compare list elements.

## List searching

**val** `find` : `f:('a -> bool) -> 'a list -> 'a`

`find ~f l` returns the first element of the list `l` that satisfies the predicate `f`.

**Raises** `Not_found` if there is no value that satisfies `f` in the list `l`.

**val** `find_opt` : `f:('a -> bool) -> 'a list -> 'a option`

`find ~f l` returns the first element of the list `l` that satisfies the predicate `f`. Returns `None` if there is no value that satisfies `f` in the list `l`.

**Since:** 4.05

**val** `find_index` : `f:('a -> bool) -> 'a list -> int option`

`find_index ~f xs` returns `Some i`, where `i` is the index of the first element of the list `xs` that satisfies `f x`, if there is such an element.

It returns `None` if there is no such element.

**Since:** 5.1

```
val find_map : f:('a -> 'b option) -> 'a list -> 'b option
```

`find_map ~f l` applies `f` to the elements of `l` in order, and returns the first result of the form `Some v`, or `None` if none exist.

**Since:** 4.10

```
val find_map_i : f:(int -> 'a -> 'b option) -> 'a list -> 'b option
```

Same as `find_map`, but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 5.1

```
val filter : f:('a -> bool) -> 'a list -> 'a list
```

`filter ~f l` returns all the elements of the list `l` that satisfy the predicate `f`. The order of the elements in the input list is preserved.

```
val find_all : f:('a -> bool) -> 'a list -> 'a list
```

`find_all` is another name for `ListLabels.filter`[\[28.32\]](#).

```
val filter_i : f:(int -> 'a -> bool) -> 'a list -> 'a list
```

Same as `ListLabels.filter`[\[28.32\]](#), but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

**Since:** 4.11

```
val partition : f:('a -> bool) -> 'a list -> 'a list * 'a list
```

`partition ~f l` returns a pair of lists (`l1`, `l2`), where `l1` is the list of all the elements of `l` that satisfy the predicate `f`, and `l2` is the list of all the elements of `l` that do not satisfy `f`. The order of the elements in the input list is preserved.

```
val partition_map :
```

```
f:('a -> ('b, 'c) Either.t) -> 'a list -> 'b list * 'c list
```

`partition_map f l` returns a pair of lists (`l1`, `l2`) such that, for each element `x` of the input list `l`:

- if `f x` is `Left y1`, then `y1` is in `l1`, and
- if `f x` is `Right y2`, then `y2` is in `l2`.

The output elements are included in `l1` and `l2` in the same relative order as the corresponding input elements in `l`.

In particular, `partition_map (fun x -> if f x then Left x else Right x) l` is equivalent to `partition f l`.

**Since:** 4.12

## Association lists

**val** `assoc` : 'a -> ('a \* 'b) list -> 'b

`assoc a l` returns the value associated with key `a` in the list of pairs `l`. That is, `assoc a [ ...; (a,b); ...] = b` if `(a,b)` is the leftmost binding of `a` in list `l`.

**Raises** `Not_found` if there is no value associated with `a` in the list `l`.

**val** `assoc_opt` : 'a -> ('a \* 'b) list -> 'b option

`assoc_opt a l` returns the value associated with key `a` in the list of pairs `l`. That is, `assoc_opt a [ ...; (a,b); ...] = Some b` if `(a,b)` is the leftmost binding of `a` in list `l`. Returns `None` if there is no value associated with `a` in the list `l`.

**Since:** 4.05

**val** `assq` : 'a -> ('a \* 'b) list -> 'b

Same as `ListLabels.assoc`[\[28.32\]](#), but uses physical equality instead of structural equality to compare keys.

**val** `assq_opt` : 'a -> ('a \* 'b) list -> 'b option

Same as `ListLabels.assoc_opt`[\[28.32\]](#), but uses physical equality instead of structural equality to compare keys.

**Since:** 4.05

**val** `mem_assoc` : 'a -> map:('a \* 'b) list -> bool

Same as `ListLabels.assoc`[\[28.32\]](#), but simply return `true` if a binding exists, and `false` if no bindings exist for the given key.

**val** `mem_assq` : 'a -> map:('a \* 'b) list -> bool

Same as `ListLabels.mem_assoc`[\[28.32\]](#), but uses physical equality instead of structural equality to compare keys.

**val** `remove_assoc` : 'a -> ('a \* 'b) list -> ('a \* 'b) list

`remove_assoc a l` returns the list of pairs `l` without the first pair with key `a`, if any. Not tail-recursive.

**val** `remove_assq` : 'a -> ('a \* 'b) list -> ('a \* 'b) list

Same as `ListLabels.remove_assoc`[\[28.32\]](#), but uses physical equality instead of structural equality to compare keys. Not tail-recursive.

## Lists of pairs

**val** `split` : ('a \* 'b) list -> 'a list \* 'b list

Transform a list of pairs into a pair of lists: `split [(a1,b1); ...; (an,bn)]` is `([a1; ...; an], [b1; ...; bn])`. Not tail-recursive.



```
val combine : 'a list -> 'b list -> ('a * 'b) list
```

Transform a pair of lists into a list of pairs: `combine [a1; ...; an] [b1; ...; bn]` is `[(a1,b1); ...; (an,bn)]`.

**Raises** `Invalid_argument` if the two lists have different lengths. Not tail-recursive.

## Sorting

```
val sort : cmp:('a -> 'a -> int) -> 'a list -> 'a list
```

Sort a list in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see `Array.sort` for a complete specification). For example, `compare`[\[27.2\]](#) is a suitable comparison function. The resulting list is sorted in increasing order. `ListLabels.sort`[\[28.32\]](#) is guaranteed to run in constant heap space (in addition to the size of the result list) and logarithmic stack space.

The current implementation uses Merge Sort. It runs in constant heap space and logarithmic stack space.

```
val stable_sort : cmp:('a -> 'a -> int) -> 'a list -> 'a list
```

Same as `ListLabels.sort`[\[28.32\]](#), but the sorting algorithm is guaranteed to be stable (i.e. elements that compare equal are kept in their original order).

The current implementation uses Merge Sort. It runs in constant heap space and logarithmic stack space.

```
val fast_sort : cmp:('a -> 'a -> int) -> 'a list -> 'a list
```

Same as `ListLabels.sort`[\[28.32\]](#) or `ListLabels.stable_sort`[\[28.32\]](#), whichever is faster on typical input.

```
val sort_uniq : cmp:('a -> 'a -> int) -> 'a list -> 'a list
```

Same as `ListLabels.sort`[\[28.32\]](#), but also remove duplicates.

**Since:** 4.03

```
val merge : cmp:('a -> 'a -> int) -> 'a list -> 'a list -> 'a list
```

Merge two lists: Assuming that `l1` and `l2` are sorted according to the comparison function `cmp`, `merge ~cmp l1 l2` will return a sorted list containing all the elements of `l1` and `l2`. If several elements compare equal, the elements of `l1` will be before the elements of `l2`. Not tail-recursive (sum of the lengths of the arguments).

## Lists and Sequences

```
val to_seq : 'a list -> 'a Seq.t
```

Iterate on the list.

**Since:** 4.07

```
val of_seq : 'a Seq.t -> 'a list
```

Create a list from a sequence.

**Since:** 4.07

## 28.33 Module Map : Association tables over ordered types.

This module implements applicative association tables, also known as finite maps or dictionaries, given a total ordering function over the keys. All operations over maps are purely applicative (no side-effects). The implementation uses balanced binary trees, and therefore searching and insertion take time logarithmic in the size of the map.

For instance:

```
module IntPairs =
  struct
    type t = int * int
    let compare (x0,y0) (x1,y1) =
      match Stdlib.compare x0 x1 with
      | 0 -> Stdlib.compare y0 y1
      | c -> c
  end

module PairsMap = Map.Make(IntPairs)

let m = PairsMap.(empty |> add (0,1) "hello" |> add (1,0) "world")
```

This creates a new module `PairsMap`, with a new type `'a PairsMap.t` of maps from `int * int` to `'a`. In this example, `m` contains `string` values so its type is `string PairsMap.t`.

```
module type OrderedType =
```

```
sig
```

```
  type t
```

The type of the map keys.

```
  val compare : t -> t -> int
```

A total ordering function over the keys. This is a two-argument function `f` such that `f e1 e2` is zero if the keys `e1` and `e2` are equal, `f e1 e2` is strictly negative if `e1` is smaller than `e2`, and `f e1 e2` is strictly positive if `e1` is greater than `e2`. Example: a suitable ordering function is the generic structural comparison function `compare`[\[27.2\]](#).

```
end
```

Input signature of the functor `Map.Make`[\[28.33\]](#).

```
module type S =
  sig
```

## Maps

```
type key
```

The type of the map keys.

```
type !+'a t
```

The type of maps from type `key` to type `'a`.

```
val empty : 'a t
```

The empty map.

```
val add : key -> 'a -> 'a t -> 'a t
```

`add key data m` returns a map containing the same bindings as `m`, plus a binding of `key` to `data`. If `key` was already bound in `m` to a value that is physically equal to `data`, `m` is returned unchanged (the result of the function is then physically equal to `m`). Otherwise, the previous binding of `key` in `m` disappears.

**Before 4.03** Physical equality was not ensured.

```
val add_to_list : key -> 'a -> 'a list t -> 'a list t
```

`add_to_list key data m` is `m` with `key` mapped to `l` such that `l` is `data :: Map.find key m` if `key` was bound in `m` and `[v]` otherwise.

**Since:** 5.1

```
val update : key -> ('a option -> 'a option) -> 'a t -> 'a t
```

`update key f m` returns a map containing the same bindings as `m`, except for the binding of `key`. Depending on the value of `y` where `y` is `f (find_opt key m)`, the binding of `key` is added, removed or updated. If `y` is `None`, the binding is removed if it exists; otherwise, if `y` is `Some z` then `key` is associated to `z` in the resulting map. If `key` was already bound in `m` to a value that is physically equal to `z`, `m` is returned unchanged (the result of the function is then physically equal to `m`).

**Since:** 4.06

```
val singleton : key -> 'a -> 'a t
```

`singleton x y` returns the one-element map that contains a binding `y` for `x`.

**Since:** 3.12

```
val remove : key -> 'a t -> 'a t
```

`remove x m` returns a map containing the same bindings as `m`, except for `x` which is unbound in the returned map. If `x` was not in `m`, `m` is returned unchanged (the result of the function is then physically equal to `m`).

**Before 4.03** Physical equality was not ensured.

```
val merge :
  (key -> 'a option -> 'b option -> 'c option) ->
  'a t -> 'b t -> 'c t
```

`merge f m1 m2` computes a map whose keys are a subset of the keys of `m1` and of `m2`. The presence of each such binding, and the corresponding value, is determined with the function `f`. In terms of the `find_opt` operation, we have `find_opt x (merge f m1 m2) = f x (find_opt x m1) (find_opt x m2)` for any key `x`, provided that `f x None = None`.

**Since:** 3.12

```
val union : (key -> 'a -> 'a -> 'a option) ->
  'a t -> 'a t -> 'a t
```

`union f m1 m2` computes a map whose keys are a subset of the keys of `m1` and of `m2`. When the same binding is defined in both arguments, the function `f` is used to combine them. This is a special case of `merge`: `union f m1 m2` is equivalent to `merge f' m1 m2`, where

- `f' _key None None = None`
- `f' _key (Some v) None = Some v`
- `f' _key None (Some v) = Some v`
- `f' key (Some v1) (Some v2) = f key v1 v2`

**Since:** 4.03

```
val cardinal : 'a t -> int
```

Return the number of bindings of a map.

**Since:** 3.12

## Bindings

```
val bindings : 'a t -> (key * 'a) list
```

Return the list of all bindings of the given map. The returned list is sorted in increasing order of keys with respect to the ordering `Ord.compare`, where `Ord` is the argument given to `Map.Make`[\[28.33\]](#).

**Since:** 3.12

```
val min_binding : 'a t -> key * 'a
```

Return the binding with the smallest key in a given map (with respect to the `Ord.compare` ordering), or raise `Not_found` if the map is empty.

**Since:** 3.12

```
val min_binding_opt : 'a t -> (key * 'a) option
```

Return the binding with the smallest key in the given map (with respect to the `Ord.compare` ordering), or `None` if the map is empty.

**Since:** 4.05

```
val max_binding : 'a t -> key * 'a
```

Same as `Map.S.min_binding`[\[28.33\]](#), but returns the binding with the largest key in the given map.

**Since:** 3.12

```
val max_binding_opt : 'a t -> (key * 'a) option
```

Same as `Map.S.min_binding_opt`[\[28.33\]](#), but returns the binding with the largest key in the given map.

**Since:** 4.05

```
val choose : 'a t -> key * 'a
```

Return one binding of the given map, or raise `Not_found` if the map is empty. Which binding is chosen is unspecified, but equal bindings will be chosen for equal maps.

**Since:** 3.12

```
val choose_opt : 'a t -> (key * 'a) option
```

Return one binding of the given map, or `None` if the map is empty. Which binding is chosen is unspecified, but equal bindings will be chosen for equal maps.

**Since:** 4.05

## Searching

```
val find : key -> 'a t -> 'a
```

`find x m` returns the current value of `x` in `m`, or raises `Not_found` if no binding for `x` exists.

```
val find_opt : key -> 'a t -> 'a option
```

`find_opt x m` returns `Some v` if the current value of `x` in `m` is `v`, or `None` if no binding for `x` exists.

**Since:** 4.05

```
val find_first : (key -> bool) -> 'a t -> key * 'a
```

`find_first f m`, where `f` is a monotonically increasing function, returns the binding of `m` with the lowest key `k` such that `f k`, or raises `Not_found` if no such key exists.

For example, `find_first (fun k -> Ord.compare k x >= 0) m` will return the first binding `k, v` of `m` where `Ord.compare k x >= 0` (intuitively: `k >= x`), or raise `Not_found` if `x` is greater than any element of `m`.

**Since:** 4.05

```
val find_first_opt : (key -> bool) -> 'a t -> (key * 'a) option
```

`find_first_opt f m`, where `f` is a monotonically increasing function, returns an option containing the binding of `m` with the lowest key `k` such that `f k`, or `None` if no such key exists.

**Since:** 4.05

```
val find_last : (key -> bool) -> 'a t -> key * 'a
```

`find_last f m`, where `f` is a monotonically decreasing function, returns the binding of `m` with the highest key `k` such that `f k`, or raises `Not_found` if no such key exists.

**Since:** 4.05

```
val find_last_opt : (key -> bool) -> 'a t -> (key * 'a) option
```

`find_last_opt f m`, where `f` is a monotonically decreasing function, returns an option containing the binding of `m` with the highest key `k` such that `f k`, or `None` if no such key exists.

**Since:** 4.05

## Traversing

```
val iter : (key -> 'a -> unit) -> 'a t -> unit
```

`iter f m` applies `f` to all bindings in map `m`. `f` receives the key as first argument, and the associated value as second argument. The bindings are passed to `f` in increasing order with respect to the ordering over the type of the keys.

```
val fold : (key -> 'a -> 'acc -> 'acc) -> 'a t -> 'acc -> 'acc
```

`fold f m init` computes `(f kN dN ... (f k1 d1 init) ...)`, where `k1 ... kN` are the keys of all bindings in `m` (in increasing order), and `d1 ... dN` are the associated data.

## Transforming

```
val map : ('a -> 'b) -> 'a t -> 'b t
```

`map f m` returns a map with same domain as `m`, where the associated value `a` of all bindings of `m` has been replaced by the result of the application of `f` to `a`. The bindings are passed to `f` in increasing order with respect to the ordering over the type of the keys.

```
val mapi : (key -> 'a -> 'b) -> 'a t -> 'b t
```

Same as `Map.S.map`[\[28.33\]](#), but the function receives as arguments both the key and the associated value for each binding of the map.

```
val filter : (key -> 'a -> bool) -> 'a t -> 'a t
```

`filter f m` returns the map with all the bindings in `m` that satisfy predicate `p`. If every binding in `m` satisfies `f`, `m` is returned unchanged (the result of the function is then physically equal to `m`)

**Before 4.03** Physical equality was not ensured.

**Since:** 3.12

```
val filter_map : (key -> 'a -> 'b option) -> 'a t -> 'b t
```

`filter_map f m` applies the function `f` to every binding of `m`, and builds a map from the results. For each binding `(k, v)` in the input map:

- if `f k v` is `None` then `k` is not in the result,
- if `f k v` is `Some v'` then the binding `(k, v')` is in the output map.

For example, the following function on maps whose values are lists

```
filter_map
  (fun _k li -> match li with [] -> None | _:::tl -> Some tl)
  m
```

drops all bindings of `m` whose value is an empty list, and pops the first element of each value that is non-empty.

**Since:** 4.11

```
val partition : (key -> 'a -> bool) -> 'a t -> 'a t * 'a t
```

`partition f m` returns a pair of maps `(m1, m2)`, where `m1` contains all the bindings of `m` that satisfy the predicate `f`, and `m2` is the map with all the bindings of `m` that do not satisfy `f`.

**Since:** 3.12

```
val split : key -> 'a t -> 'a t * 'a option * 'a t
```

`split x m` returns a triple `(l, data, r)`, where `l` is the map with all the bindings of `m` whose key is strictly less than `x`; `r` is the map with all the bindings of `m` whose key is strictly greater than `x`; `data` is `None` if `m` contains no binding for `x`, or `Some v` if `m` binds `v` to `x`.

**Since:** 3.12

## Predicates and comparisons

```
val is_empty : 'a t -> bool
```

Test whether a map is empty or not.

```
val mem : key -> 'a t -> bool
```

`mem x m` returns `true` if `m` contains a binding for `x`, and `false` otherwise.

```
val equal : ('a -> 'a -> bool) -> 'a t -> 'a t -> bool
```

`equal cmp m1 m2` tests whether the maps `m1` and `m2` are equal, that is, contain equal keys and associate them with equal data. `cmp` is the equality predicate used to compare the data associated with the keys.

```
val compare : ('a -> 'a -> int) -> 'a t -> 'a t -> int
```

Total ordering between maps. The first argument is a total ordering used to compare data associated with equal keys in the two maps.

```
val for_all : (key -> 'a -> bool) -> 'a t -> bool
```

`for_all f m` checks if all the bindings of the map satisfy the predicate `f`.

**Since:** 3.12

```
val exists : (key -> 'a -> bool) -> 'a t -> bool
```

`exists f m` checks if at least one binding of the map satisfies the predicate `f`.

**Since:** 3.12

## Converting

```
val to_list : 'a t -> (key * 'a) list
```

`to_list m` is `Map.S.bindings`[\[28.33\]](#) `m`.

**Since:** 5.1

```
val of_list : (key * 'a) list -> 'a t
```

`of_list bs` adds the bindings of `bs` to the empty map, in list order (if a key is bound twice in `bs` the last one takes over).

**Since:** 5.1

```
val to_seq : 'a t -> (key * 'a) Seq.t
```

Iterate on the whole map, in ascending order of keys

**Since:** 4.07



```
val to_rev_seq : 'a t -> (key * 'a) Seq.t
```

Iterate on the whole map, in descending order of keys

**Since:** 4.12

```
val to_seq_from : key -> 'a t -> (key * 'a) Seq.t
```

`to_seq_from k m` iterates on a subset of the bindings of `m`, in ascending order of keys, from key `k` or above.

**Since:** 4.07

```
val add_seq : (key * 'a) Seq.t -> 'a t -> 'a t
```

Add the given bindings to the map, in order.

**Since:** 4.07

```
val of_seq : (key * 'a) Seq.t -> 'a t
```

Build a map from the given bindings

**Since:** 4.07

**end**

Output signature of the functor `Map.Make`[\[28.33\]](#).

```
module Make :
```

```
  functor (Ord : OrderedType) -> S with type key = Ord.t
```

Functor building an implementation of the map structure given a totally ordered type.

## 28.34 Module `Marshal` : Marshaling of data structures.

This module provides functions to encode arbitrary data structures as sequences of bytes, which can then be written on a file or sent over a pipe or network connection. The bytes can then be read back later, possibly in another process, and decoded back into a data structure. The format for the byte sequences is compatible across all machines for a given version of OCaml.

Warning: marshaling is currently not type-safe. The type of marshaled data is not transmitted along the value of the data, making it impossible to check that the data read back possesses the type expected by the context. In particular, the result type of the `Marshal.from_*` functions is given as `'a`, but this is misleading: the returned OCaml value does not possess type `'a` for all `'a`; it has one, unique type which cannot be determined at compile-time. The programmer should explicitly give the expected type of the returned value, using the following syntax:

- `(Marshal.from_channel chan : type)`. Anything can happen at run-time if the object in the file does not belong to the given type.

Values of extensible variant types, for example exceptions (of extensible type `exn`), returned by the unmarshaller should not be pattern-matched over through `match ... with` or `try ... with`, because unmarshalling does not preserve the information required for matching their constructors. Structural equalities with other extensible variant values does not work either. Most other uses such as `Printexc.to_string`, will still work as expected.

The representation of marshaled values is not human-readable, and uses bytes that are not printable characters. Therefore, input and output channels used in conjunction with `Marshal.to_channel` and `Marshal.from_channel` must be opened in binary mode, using e.g. `open_out_bin` or `open_in_bin`; channels opened in text mode will cause unmarshaling errors on platforms where text channels behave differently than binary channels, e.g. Windows.

```
type extern_flags =
  | No_sharing
      Don't preserve sharing
  | Closures
      Send function closures
  | Compat_32
      Ensure 32-bit compatibility
```

The flags to the `Marshal.to_*` functions below.

```
val to_channel : out_channel -> 'a -> extern_flags list -> unit
```

`Marshal.to_channel chan v flags` writes the representation of `v` on channel `chan`. The `flags` argument is a possibly empty list of flags that governs the marshaling behavior with respect to sharing, functional values, and compatibility between 32- and 64-bit platforms.

If `flags` does not contain `Marshal.No_sharing`, circularities and sharing inside the value `v` are detected and preserved in the sequence of bytes produced. In particular, this guarantees that marshaling always terminates. Sharing between values marshaled by successive calls to `Marshal.to_channel` is neither detected nor preserved, though. If `flags` contains `Marshal.No_sharing`, sharing is ignored. This results in faster marshaling if `v` contains no shared substructures, but may cause slower marshaling and larger byte representations if `v` actually contains sharing, or even non-termination if `v` contains cycles.

If `flags` does not contain `Marshal.Closures`, marshaling fails when it encounters a functional value inside `v`: only 'pure' data structures, containing neither functions nor objects, can safely be transmitted between different programs. If `flags` contains `Marshal.Closures`, functional values will be marshaled as a the position in the code of the program together with the values corresponding to the free variables captured in the closure. In this case, the output of marshaling can only be read back in processes that run exactly the same program, with exactly the same compiled code. (This is checked at un-marshaling time, using an MD5 digest of the code transmitted along with the code position.)

The exact definition of which free variables are captured in a closure is not specified and can vary between bytecode and native code (and according to optimization flags). In particular, a function value accessing a global reference may or may not include the reference in its closure.

If it does, unmarshaling the corresponding closure will create a new reference, different from the global one.

If `flags` contains `Marshal.Compat_32`, marshaling fails when it encounters an integer value outside the range  $[-2^{30}, 2^{30}-1]$  of integers that are representable on a 32-bit platform. This ensures that marshaled data generated on a 64-bit platform can be safely read back on a 32-bit platform. If `flags` does not contain `Marshal.Compat_32`, integer values outside the range  $[-2^{30}, 2^{30}-1]$  are marshaled, and can be read back on a 64-bit platform, but will cause an error at un-marshaling time when read back on a 32-bit platform. The `Marshal.Compat_32` flag only matters when marshaling is performed on a 64-bit platform; it has no effect if marshaling is performed on a 32-bit platform.

**Raises Failure** if `chan` is not in binary mode.

```
val to_bytes : 'a -> extern_flags list -> bytes
```

`Marshal.to_bytes v flags` returns a byte sequence containing the representation of `v`. The `flags` argument has the same meaning as for `Marshal.to_channel`[\[28.34\]](#).

**Since:** 4.02

```
val to_string : 'a -> extern_flags list -> string
```

Same as `to_bytes` but return the result as a string instead of a byte sequence.

```
val to_buffer : bytes -> int -> int -> 'a -> extern_flags list -> int
```

`Marshal.to_buffer buff ofs len v flags` marshals the value `v`, storing its byte representation in the sequence `buff`, starting at index `ofs`, and writing at most `len` bytes. It returns the number of bytes actually written to the sequence. If the byte representation of `v` does not fit in `len` characters, the exception `Failure` is raised.

```
val from_channel : in_channel -> 'a
```

`Marshal.from_channel chan` reads from channel `chan` the byte representation of a structured value, as produced by one of the `Marshal.to_*` functions, and reconstructs and returns the corresponding value.

**Raises**

- `End_of_file` if `chan` is already at the end of the file.
- `Failure` if the end of the file is reached during unmarshalling itself or if `chan` is not in binary mode.

```
val from_bytes : bytes -> int -> 'a
```

`Marshal.from_bytes buff ofs` unmarshals a structured value like `Marshal.from_channel`[\[28.34\]](#) does, except that the byte representation is not read from a channel, but taken from the byte sequence `buff`, starting at position `ofs`. The byte sequence is not mutated.

**Since:** 4.02

```
val from_string : string -> int -> 'a
```

Same as `from_bytes` but take a string as argument instead of a byte sequence.

```
val header_size : int
```

The bytes representing a marshaled value are composed of a fixed-size header and a variable-sized data part, whose size can be determined from the header.

`Marshal.header_size`[\[28.34\]](#) is the size, in bytes, of the header. `Marshal.data_size`[\[28.34\]](#) `buff ofs` is the size, in bytes, of the data part, assuming a valid header is stored in `buff` starting at position `ofs`. Finally, `Marshal.total_size`[\[28.34\]](#) `buff ofs` is the total size, in bytes, of the marshaled value. Both `Marshal.data_size`[\[28.34\]](#) and `Marshal.total_size`[\[28.34\]](#) raise `Failure` if `buff, ofs` does not contain a valid header.

To read the byte representation of a marshaled value into a byte sequence, the program needs to read first `Marshal.header_size`[\[28.34\]](#) bytes into the sequence, then determine the length of the remainder of the representation using `Marshal.data_size`[\[28.34\]](#), make sure the sequence is large enough to hold the remaining data, then read it, and finally call `Marshal.from_bytes`[\[28.34\]](#) to unmarshal the value.

```
val data_size : bytes -> int -> int
```

See `Marshal.header_size`[\[28.34\]](#).

```
val total_size : bytes -> int -> int
```

See `Marshal.header_size`[\[28.34\]](#).

## 28.35 Module `MoreLabels` : Extra labeled libraries.

This meta-module provides labeled versions of the `MoreLabels.Hashtbl`[\[28.35\]](#), `MoreLabels.Map`[\[28.35\]](#) and `MoreLabels.Set`[\[28.35\]](#) modules.

This module is intended to be used through `open MoreLabels` which replaces `MoreLabels.Hashtbl`[\[28.35\]](#), `MoreLabels.Map`[\[28.35\]](#), and `MoreLabels.Set`[\[28.35\]](#) with their labeled counterparts.

For example:

```
open MoreLabels
```

```
Hashtbl.iter ~f:(fun ~key ~data -> g key data) table
```

```
module Hashtbl :
```

```
sig
```

Hash tables and hash functions.

Hash tables are hashed association tables, with in-place modification. Because most operations on a hash table modify their input, they're more commonly used in imperative

code. The lookup of the value associated with a key (see `MoreLabels.Hashtbl.find`[\[28.35\]](#), `MoreLabels.Hashtbl.find_opt`[\[28.35\]](#)) is normally very fast, often faster than the equivalent lookup in `MoreLabels.Map`[\[28.35\]](#).

The functors `MoreLabels.Hashtbl.Make`[\[28.35\]](#) and `MoreLabels.Hashtbl.MakeSeeded`[\[28.35\]](#) can be used when performance or flexibility are key. The user provides custom equality and hash functions for the key type, and obtains a custom hash table type for this particular type of key.

**Warning** a hash table is only as good as the hash function. A bad hash function will turn the table into a degenerate association list, with linear time lookup instead of constant time lookup.

The polymorphic `MoreLabels.Hashtbl.t`[\[28.35\]](#) hash table is useful in simpler cases or in interactive environments. It uses the polymorphic `MoreLabels.Hashtbl.hash`[\[28.35\]](#) function defined in the OCaml runtime (at the time of writing, it's SipHash), as well as the polymorphic equality (`=`).

See the examples section[\[28.44\]](#).

### Unsynchronized accesses

Unsynchronized accesses to a hash table may lead to an invalid hash table state. Thus, concurrent accesses to a hash tables must be synchronized (for instance with a `Mutex.t`[\[28.36\]](#)).

### Generic interface

```
type ('a, 'b) t = ('a, 'b) Hashtbl.t
```

The type of hash tables from type 'a to type 'b.

```
val create : ?random:bool -> int -> ('a, 'b) t
```

`Hashtbl.create n` creates a new, empty hash table, with initial size `n`. For best results, `n` should be on the order of the expected number of elements that will be in the table. The table grows as needed, so `n` is just an initial guess.

The optional `~random` parameter (a boolean) controls whether the internal organization of the hash table is randomized at each execution of `Hashtbl.create` or deterministic over all executions.

A hash table that is created with `~random` set to `false` uses a fixed hash function (`MoreLabels.Hashtbl.hash`[\[28.35\]](#)) to distribute keys among buckets. As a consequence, collisions between keys happen deterministically. In Web-facing applications or other security-sensitive applications, the deterministic collision patterns can be exploited by a malicious user to create a denial-of-service attack: the attacker sends input crafted to create many collisions in the table, slowing the application down.

A hash table that is created with `~random` set to `true` uses the seeded hash function `MoreLabels.Hashtbl.seeded_hash`[\[28.35\]](#) with a seed that is randomly chosen at hash table creation time. In effect, the hash function used is randomly selected among  $2^{30}$  different hash functions. All these hash functions have different collision patterns, rendering ineffective the denial-of-service attack described above. However, because of

randomization, enumerating all elements of the hash table using `MoreLabels.Hashtbl.fold`[\[28.35\]](#) or `MoreLabels.Hashtbl.iter`[\[28.35\]](#) is no longer deterministic: elements are enumerated in different orders at different runs of the program.

If no `~random` parameter is given, hash tables are created in non-random mode by default. This default can be changed either programmatically by calling `MoreLabels.Hashtbl.randomize`[\[28.35\]](#) or by setting the `R` flag in the `OCAMLRUNPARAM` environment variable.

**Before 4.00** the `~random` parameter was not present and all hash tables were created in non-randomized mode.

```
val clear : ('a, 'b) t -> unit
```

Empty a hash table. Use `reset` instead of `clear` to shrink the size of the bucket table to its initial size.

```
val reset : ('a, 'b) t -> unit
```

Empty a hash table and shrink the size of the bucket table to its initial size.

**Since:** 4.00

```
val copy : ('a, 'b) t -> ('a, 'b) t
```

Return a copy of the given hashtable.

```
val add : ('a, 'b) t -> key:'a -> data:'b -> unit
```

`Hashtbl.add tbl ~key ~data` adds a binding of `key` to `data` in table `tbl`.

**Warning:** Previous bindings for `key` are not removed, but simply hidden. That is, after performing `MoreLabels.Hashtbl.remove`[\[28.35\]](#) `tbl key`, the previous binding for `key`, if any, is restored. (Same behavior as with association lists.)

If you desire the classic behavior of replacing elements, see `MoreLabels.Hashtbl.replace`[\[28.35\]](#).

```
val find : ('a, 'b) t -> 'a -> 'b
```

`Hashtbl.find tbl x` returns the current binding of `x` in `tbl`, or raises `Not_found` if no such binding exists.

```
val find_opt : ('a, 'b) t -> 'a -> 'b option
```

`Hashtbl.find_opt tbl x` returns the current binding of `x` in `tbl`, or `None` if no such binding exists.

**Since:** 4.05

```
val find_all : ('a, 'b) t -> 'a -> 'b list
```

`Hashtbl.find_all tbl x` returns the list of all data associated with `x` in `tbl`. The current binding is returned first, then the previous bindings, in reverse order of introduction in the table.

```
val mem : ('a, 'b) t -> 'a -> bool
```

`Hashtbl.mem tbl x` checks if `x` is bound in `tbl`.

```
val remove : ('a, 'b) t -> 'a -> unit
```

`Hashtbl.remove tbl x` removes the current binding of `x` in `tbl`, restoring the previous binding if it exists. It does nothing if `x` is not bound in `tbl`.

```
val replace : ('a, 'b) t -> key:'a -> data:'b -> unit
```

`Hashtbl.replace tbl ~key ~data` replaces the current binding of `key` in `tbl` by a binding of `key` to `data`. If `key` is unbound in `tbl`, a binding of `key` to `data` is added to `tbl`. This is functionally equivalent to `MoreLabels.Hashtbl.remove`[\[28.35\]](#) `tbl key` followed by `MoreLabels.Hashtbl.add`[\[28.35\]](#) `tbl key data`.

```
val iter : f:(key:'a -> data:'b -> unit) -> ('a, 'b) t -> unit
```

`Hashtbl.iter ~f tbl` applies `f` to all bindings in table `tbl`. `f` receives the key as first argument, and the associated value as second argument. Each binding is presented exactly once to `f`.

The order in which the bindings are passed to `f` is unspecified. However, if the table contains several bindings for the same key, they are passed to `f` in reverse order of introduction, that is, the most recent binding is passed first.

If the hash table was created in non-randomized mode, the order in which the bindings are enumerated is reproducible between successive runs of the program, and even between minor versions of OCaml. For randomized hash tables, the order of enumeration is entirely random.

The behavior is not specified if the hash table is modified by `f` during the iteration.

```
val filter_map_inplace :
```

```
f:(key:'a -> data:'b -> 'b option) -> ('a, 'b) t -> unit
```

`Hashtbl.filter_map_inplace ~f tbl` applies `f` to all bindings in table `tbl` and update each binding depending on the result of `f`. If `f` returns `None`, the binding is discarded. If it returns `Some new_val`, the binding is update to associate the key to `new_val`.

Other comments for `MoreLabels.Hashtbl.iter`[\[28.35\]](#) apply as well.

**Since:** 4.03

```
val fold :
```

```
f:(key:'a -> data:'b -> 'acc -> 'acc) ->
```

```
('a, 'b) t -> init:'acc -> 'acc
```

`Hashtbl.fold ~f tbl ~init` computes `(f kN dN ... (f k1 d1 init)...)...`, where `k1 ... kN` are the keys of all bindings in `tbl`, and `d1 ... dN` are the associated values. Each binding is presented exactly once to `f`.

The order in which the bindings are passed to `f` is unspecified. However, if the table contains several bindings for the same key, they are passed to `f` in reverse order of introduction, that is, the most recent binding is passed first.

If the hash table was created in non-randomized mode, the order in which the bindings are enumerated is reproducible between successive runs of the program, and even between minor versions of OCaml. For randomized hash tables, the order of enumeration is entirely random.

The behavior is not specified if the hash table is modified by `f` during the iteration.

```
val length : ('a, 'b) t -> int
```

`Hashtbl.length tbl` returns the number of bindings in `tbl`. It takes constant time. Multiple bindings are counted once each, so `Hashtbl.length` gives the number of times `Hashtbl.iter` calls its first argument.

```
val randomize : unit -> unit
```

After a call to `Hashtbl.randomize()`, hash tables are created in randomized mode by default: `MoreLabels.Hashtbl.create`[\[28.35\]](#) returns randomized hash tables, unless the `~random:false` optional parameter is given. The same effect can be achieved by setting the `R` parameter in the `OCAMLRUNPARAM` environment variable.

It is recommended that applications or Web frameworks that need to protect themselves against the denial-of-service attack described in `MoreLabels.Hashtbl.create`[\[28.35\]](#) call `Hashtbl.randomize()` at initialization time before any domains are created.

Note that once `Hashtbl.randomize()` was called, there is no way to revert to the non-randomized default behavior of `MoreLabels.Hashtbl.create`[\[28.35\]](#). This is intentional. Non-randomized hash tables can still be created using `Hashtbl.create ~random:false`.

**Since:** 4.00

```
val is_randomized : unit -> bool
```

Return `true` if the tables are currently created in randomized mode by default, `false` otherwise.

**Since:** 4.03

```
val rebuild : ?random:bool ->
  ('a, 'b) t -> ('a, 'b) t
```

Return a copy of the given hashtable. Unlike `MoreLabels.Hashtbl.copy`[\[28.35\]](#), `MoreLabels.Hashtbl.rebuild`[\[28.35\]](#) `h` re-hashes all the (key, value) entries of the original table `h`. The returned hash table is randomized if `h` was randomized, or the optional `random` parameter is true, or if the default is to create randomized hash tables; see `MoreLabels.Hashtbl.create`[\[28.35\]](#) for more information.

`MoreLabels.Hashtbl.rebuild`[\[28.35\]](#) can safely be used to import a hash table built by an old version of the `MoreLabels.Hashtbl`[\[28.35\]](#) module, then marshaled to persistent



storage. After unmarshaling, apply `MoreLabels.Hashtbl.rebuild`[\[28.35\]](#) to produce a hash table for the current version of the `MoreLabels.Hashtbl`[\[28.35\]](#) module.

**Since:** 4.12

```
type statistics = Hashtbl.statistics =
{  num_bindings : int ;

    Number of bindings present in the table. Same value as returned by
    MoreLabels.Hashtbl.length\[28.35\].

    num_buckets : int ;

    Number of buckets in the table.

    max_bucket_length : int ;

    Maximal number of bindings per bucket.

    bucket_histogram : int array ;

    Histogram of bucket sizes. This array histo has length max_bucket_length + 1.
    The value of histo.(i) is the number of buckets whose size is i.
}
```

**Since:** 4.00

```
val stats : ('a, 'b) t -> statistics

Hashtbl.stats tbl returns statistics about the table tbl: number of buckets, size of
the biggest bucket, distribution of buckets by size.
```

**Since:** 4.00

## Hash tables and Sequences

```
val to_seq : ('a, 'b) t -> ('a * 'b) Seq.t

Iterate on the whole table. The order in which the bindings appear in the sequence is
unspecified. However, if the table contains several bindings for the same key, they
appear in reversed order of introduction, that is, the most recent binding appears first.
The behavior is not specified if the hash table is modified during the iteration.
```

**Since:** 4.07

```
val to_seq_keys : ('a, 'b) t -> 'a Seq.t

Same as Seq.map fst (to_seq m)
```

**Since:** 4.07

```
val to_seq_values : ('a, 'b) t -> 'b Seq.t
```

Same as `Seq.map snd (to_seq m)`

**Since:** 4.07

```
val add_seq : ('a, 'b) t -> ('a * 'b) Seq.t -> unit
```

Add the given bindings to the table, using `MoreLabels.Hashtbl.add`[\[28.35\]](#)

**Since:** 4.07

```
val replace_seq : ('a, 'b) t -> ('a * 'b) Seq.t -> unit
```

Add the given bindings to the table, using `MoreLabels.Hashtbl.replace`[\[28.35\]](#)

**Since:** 4.07

```
val of_seq : ('a * 'b) Seq.t -> ('a, 'b) t
```

Build a table from the given bindings. The bindings are added in the same order they appear in the sequence, using `MoreLabels.Hashtbl.replace_seq`[\[28.35\]](#), which means that if two pairs have the same key, only the latest one will appear in the table.

**Since:** 4.07

## Functorial interface

The functorial interface allows the use of specific comparison and hash functions, either for performance/security concerns, or because keys are not hashable/comparable with the polymorphic builtins.

For instance, one might want to specialize a table for integer keys:

```
module IntHash =
  struct
    type t = int
    let equal i j = i=j
    let hash i = i land max_int
  end

module IntHashtbl = Hashtbl.Make(IntHash)

let h = IntHashtbl.create 17 in
IntHashtbl.add h 12 "hello"
```

This creates a new module `IntHashtbl`, with a new type `'a IntHashtbl.t` of tables from `int` to `'a`. In this example, `h` contains `string` values so its type is `string IntHashtbl.t`.

Note that the new type `'a IntHashtbl.t` is not compatible with the type `('a, 'b) Hashtbl.t` of the generic interface. For example, `Hashtbl.length h` would not type-check, you must use `IntHashtbl.length`.

```

module type HashedType =
  sig
    type t
      The type of the hashtable keys.

    val equal : t -> t -> bool
      The equality predicate used to compare keys.

    val hash : t -> int
      A hashing function on keys. It must be such that if two keys are equal according to
      equal, then they have identical hash values as computed by hash. Examples:
      suitable (equal, hash) pairs for arbitrary key types include
      

- ((=), MoreLabels.Hashtbl.HashedType.hash\[28.35\]) for comparing objects by
        structure (provided objects do not contain floats)
- (((fun x y -> compare x y = 0),
        MoreLabels.Hashtbl.HashedType.hash\[28.35\]) for comparing objects by
        structure and handling nan\[27.2\] correctly
- ((==), MoreLabels.Hashtbl.HashedType.hash\[28.35\]) for comparing objects
        by physical equality (e.g. for mutable or cyclic objects).



    end

```

The input signature of the functor `MoreLabels.Hashtbl.Make`[\[28.35\]](#).

```

module type S =
  sig
    type key
    type 'a t
    val create : int -> 'a t
    val clear : 'a t -> unit
    val reset : 'a t -> unit
    Since: 4.00

    val copy : 'a t -> 'a t
    val add : 'a t -> key:key -> data:'a -> unit
    val remove : 'a t -> key -> unit
    val find : 'a t -> key -> 'a
    val find_opt : 'a t -> key -> 'a option
    Since: 4.05

```

```

val find_all : 'a t -> key -> 'a list
val replace : 'a t -> key:key -> data:'a -> unit
val mem : 'a t -> key -> bool
val iter : f:(key:key -> data:'a -> unit) ->
  'a t -> unit
val filter_map_inplace :
  f:(key:key -> data:'a -> 'a option) ->
  'a t -> unit
  Since: 4.03

val fold :
  f:(key:key -> data:'a -> 'acc -> 'acc) ->
  'a t -> init:'acc -> 'acc
val length : 'a t -> int
val stats : 'a t -> MoreLabels.Hashtbl.statistics
  Since: 4.00

val to_seq : 'a t -> (key * 'a) Seq.t
  Since: 4.07

val to_seq_keys : 'a t -> key Seq.t
  Since: 4.07

val to_seq_values : 'a t -> 'a Seq.t
  Since: 4.07

val add_seq : 'a t ->
  (key * 'a) Seq.t -> unit
  Since: 4.07

val replace_seq : 'a t ->
  (key * 'a) Seq.t -> unit
  Since: 4.07

val of_seq : (key * 'a) Seq.t -> 'a t
  Since: 4.07

end

```

The output signature of the functor `MoreLabels.Hashtbl.Make`[\[28.35\]](#).

```

module Make :
functor (H : HashedType) -> S with type key = H.t and type 'a t = 'a
Hashtbl.Make(H).t

```

Functor building an implementation of the hashtable structure. The functor `Hashtbl.Make` returns a structure containing a type `key` of keys and a type `'a t` of hash tables associating data of type `'a` to keys of type `key`. The operations perform similarly to those of the generic interface, but use the hashing and equality functions specified in the functor argument `H` instead of generic equality and hashing. Since the hash function is not seeded, the `create` operation of the result structure always returns non-randomized hash tables.

```
module type SeededHashedType =
  sig
    type t
      The type of the hashtable keys.

    val equal : t ->
      t -> bool
      The equality predicate used to compare keys.

    val seeded_hash : int -> t -> int
      A seeded hashing function on keys. The first argument is the seed. It must be the
      case that if equal x y is true, then seeded_hash seed x = seeded_hash seed y
      for any value of seed. A suitable choice for seeded_hash is the function
      MoreLabels.Hashtbl.seeded_hash\[28.35\] below.
  end
```

The input signature of the functor `MoreLabels.Hashtbl.MakeSeeded`[\[28.35\]](#).

**Since:** 4.00

```
module type SeededS =
  sig
    type key
    type !'a t
    val create : ?random:bool -> int -> 'a t
    val clear : 'a t -> unit
    val reset : 'a t -> unit
    val copy : 'a t -> 'a t
    val add : 'a t ->
      key:key -> data:'a -> unit
    val remove : 'a t -> key -> unit
    val find : 'a t -> key -> 'a
    val find_opt : 'a t ->
      key -> 'a option
```

Since: 4.05

```
val find_all : 'a t -> key -> 'a list
val replace : 'a t ->
  key:key -> data:'a -> unit
val mem : 'a t -> key -> bool
val iter : f:(key:key -> data:'a -> unit) ->
  'a t -> unit
val filter_map_inplace :
  f:(key:key -> data:'a -> 'a option) ->
  'a t -> unit
```

Since: 4.03

```
val fold :
  f:(key:key -> data:'a -> 'acc -> 'acc) ->
  'a t -> init:'acc -> 'acc
val length : 'a t -> int
val stats : 'a t -> MoreLabels.Hashtbl.statistics
val to_seq : 'a t ->
  (key * 'a) Seq.t
```

Since: 4.07

```
val to_seq_keys : 'a t ->
  key Seq.t
```

Since: 4.07

```
val to_seq_values : 'a t -> 'a Seq.t
```

Since: 4.07

```
val add_seq : 'a t ->
  (key * 'a) Seq.t -> unit
```

Since: 4.07

```
val replace_seq : 'a t ->
  (key * 'a) Seq.t -> unit
```

Since: 4.07

```
val of_seq : (key * 'a) Seq.t ->
  'a t
```

Since: 4.07

end

The output signature of the functor `MoreLabels.Hashtbl.MakeSeeded`[\[28.35\]](#).

Since: 4.00

```

module MakeSeeded :
  functor (H : SeededHashedType) -> SeededS   with type key = H.t and type 'a t
  = 'a Hashtbl.MakeSeeded(H).t

```

Functor building an implementation of the hashtable structure. The functor `Hashtbl.MakeSeeded` returns a structure containing a type `key` of keys and a type `'a t` of hash tables associating data of type `'a` to keys of type `key`. The operations perform similarly to those of the generic interface, but use the seeded hashing and equality functions specified in the functor argument `H` instead of generic equality and hashing. The `create` operation of the result structure supports the `~random` optional parameter and returns randomized hash tables if `~random:true` is passed or if randomization is globally on (see `MoreLabels.Hashtbl.randomize`[\[28.35\]](#)).

**Since:** 4.00

## The polymorphic hash functions

```

val hash : 'a -> int

```

`Hashtbl.hash x` associates a nonnegative integer to any value of any type. It is guaranteed that if `x = y` or `Stdlib.compare x y = 0`, then `hash x = hash y`. Moreover, `hash` always terminates, even on cyclic structures.

```

val seeded_hash : int -> 'a -> int

```

A variant of `MoreLabels.Hashtbl.hash`[\[28.35\]](#) that is further parameterized by an integer seed.

**Since:** 4.00

```

val hash_param : int -> int -> 'a -> int

```

`Hashtbl.hash_param meaningful total x` computes a hash value for `x`, with the same properties as for `hash`. The two extra integer parameters `meaningful` and `total` give more precise control over hashing. Hashing performs a breadth-first, left-to-right traversal of the structure `x`, stopping after `meaningful` meaningful nodes were encountered, or `total` nodes (meaningful or not) were encountered. If `total` as specified by the user exceeds a certain value, currently 256, then it is capped to that value. Meaningful nodes are: integers; floating-point numbers; strings; characters; booleans; and constant constructors. Larger values of `meaningful` and `total` means that more nodes are taken into account to compute the final hash value, and therefore collisions are less likely to happen. However, hashing takes longer. The parameters `meaningful` and `total` govern the tradeoff between accuracy and speed. As default choices, `MoreLabels.Hashtbl.hash`[\[28.35\]](#) and `MoreLabels.Hashtbl.seeded_hash`[\[28.35\]](#) take `meaningful = 10` and `total = 100`.

```

val seeded_hash_param : int -> int -> int -> 'a -> int

```

A variant of `MoreLabels.Hashtbl.hash_param`[\[28.35\]](#) that is further parameterized by an integer seed. Usage: `Hashtbl.seeded_hash_param meaningful total seed x`.

**Since:** 4.00

## Examples

### Basic Example

```
(* 0...99 *)
let seq = Seq.ints 0 |> Seq.take 100

(* build from Seq.t *)
# let tbl =
    seq
    |> Seq.map (fun x -> x, string_of_int x)
    |> Hashtbl.of_seq
val tbl : (int, string) Hashtbl.t = <abstr>

# Hashtbl.length tbl
- : int = 100

# Hashtbl.find_opt tbl 32
- : string option = Some "32"

# Hashtbl.find_opt tbl 166
- : string option = None

# Hashtbl.replace tbl 166 "one six six"
- : unit = ()

# Hashtbl.find_opt tbl 166
- : string option = Some "one six six"

# Hashtbl.length tbl
- : int = 101
```

### Counting Elements

Given a sequence of elements (here, a `Seq.t`[\[28.48\]](#)), we want to count how many times each distinct element occurs in the sequence. A simple way to do this, assuming the elements are comparable and hashable, is to use a hash table that maps elements to their number of occurrences.



Here we illustrate that principle using a sequence of (ascii) characters (type `char`). We use a custom `Char_tbl` specialized for `char`.

```
# module Char_tbl = Hashtbl.Make(struct
  type t = char
  let equal = Char.equal
  let hash = Hashtbl.hash
end)

(* count distinct occurrences of chars in [seq] *)
# let count_chars (seq : char Seq.t) : _ list =
  let counts = Char_tbl.create 16 in
  Seq.iter
    (fun c ->
      let count_c =
        Char_tbl.find_opt counts c
        |> Option.value ~default:0
      in
      Char_tbl.replace counts c (count_c + 1))
    seq;
  (* turn into a list *)
  Char_tbl.fold (fun c n l -> (c,n) :: l) counts []
  |> List.sort (fun (c1,_)(c2,_)-> Char.compare c1 c2)
val count_chars : Char_tbl.key Seq.t -> (Char.t * int) list = <fun>

(* basic seq from a string *)
# let seq = String.to_seq "hello world, and all the camels in it!"
val seq : char Seq.t = <fun>

# count_chars seq
- : (Char.t * int) list =
[(' ', 7); ('!', 1); (',', 1); ('a', 3); ('c', 1); ('d', 2); ('e', 3);
 ('h', 2); ('i', 2); ('l', 6); ('m', 1); ('n', 2); ('o', 2); ('r', 1);
 ('s', 1); ('t', 2); ('w', 1)]

(* "abcabcabc..." *)
# let seq2 =
  Seq.cycle (String.to_seq "abc") |> Seq.take 31
val seq2 : char Seq.t = <fun>

# String.of_seq seq2
- : String.t = "abcabcabcabcabcabcabcabcabcabca"

# count_chars seq2
- : (Char.t * int) list = [('a', 11); ('b', 10); ('c', 10)]
```

end

module Map :

sig

Association tables over ordered types.

This module implements applicative association tables, also known as finite maps or dictionaries, given a total ordering function over the keys. All operations over maps are purely applicative (no side-effects). The implementation uses balanced binary trees, and therefore searching and insertion take time logarithmic in the size of the map.

For instance:

```
module IntPairs =
  struct
    type t = int * int
    let compare (x0,y0) (x1,y1) =
      match Stdlib.compare x0 x1 with
      | 0 -> Stdlib.compare y0 y1
      | c -> c
  end

  module PairsMap = Map.Make(IntPairs)

  let m = PairsMap.(empty |> add (0,1) "hello" |> add (1,0) "world")
```

This creates a new module `PairsMap`, with a new type `'a PairsMap.t` of maps from `int * int` to `'a`. In this example, `m` contains `string` values so its type is `string PairsMap.t`.

module type OrderedType =

sig

type t

The type of the map keys.

val compare : t -> t -> int

A total ordering function over the keys. This is a two-argument function `f` such that `f e1 e2` is zero if the keys `e1` and `e2` are equal, `f e1 e2` is strictly negative if `e1` is smaller than `e2`, and `f e1 e2` is strictly positive if `e1` is greater than `e2`. Example: a suitable ordering function is the generic structural comparison function `compare`[\[27.2\]](#).

end

Input signature of the functor `MoreLabels.Map.Make`[\[28.35\]](#).

```
module type S =
```

```
  sig
```

## Maps

```
  type key
```

The type of the map keys.

```
  type !+'a t
```

The type of maps from type `key` to type `'a`.

```
  val empty : 'a t
```

The empty map.

```
  val add : key:key ->
```

```
    data:'a -> 'a t -> 'a t
```

`add ~key ~data m` returns a map containing the same bindings as `m`, plus a binding of `key` to `data`. If `key` was already bound in `m` to a value that is physically equal to `data`, `m` is returned unchanged (the result of the function is then physically equal to `m`). Otherwise, the previous binding of `key` in `m` disappears.

**Before 4.03** Physical equality was not ensured.

```
  val add_to_list : key:key ->
```

```
    data:'a -> 'a list t -> 'a list t
```

`add_to_list ~key ~data m` is `m` with `key` mapped to `l` such that `l` is `data :: Map.find key m` if `key` was bound in `m` and `[v]` otherwise.

**Since:** 5.1

```
  val update : key:key ->
```

```
    f:( 'a option -> 'a option) -> 'a t -> 'a t
```

`update ~key ~f m` returns a map containing the same bindings as `m`, except for the binding of `key`. Depending on the value of `y` where `y` is `f (find_opt key m)`, the binding of `key` is added, removed or updated. If `y` is `None`, the binding is removed if it exists; otherwise, if `y` is `Some z` then `key` is associated to `z` in the resulting map. If `key` was already bound in `m` to a value that is physically equal to `z`, `m` is returned unchanged (the result of the function is then physically equal to `m`).

**Since:** 4.06

```
  val singleton : key -> 'a -> 'a t
```

`singleton x y` returns the one-element map that contains a binding `y` for `x`.

**Since:** 3.12

```
  val remove : key -> 'a t -> 'a t
```

`remove x m` returns a map containing the same bindings as `m`, except for `x` which is unbound in the returned map. If `x` was not in `m`, `m` is returned unchanged (the result of the function is then physically equal to `m`).

**Before 4.03** Physical equality was not ensured.

```
val merge :
  f:(key -> 'a option -> 'b option -> 'c option) ->
  'a t -> 'b t -> 'c t
```

`merge ~f m1 m2` computes a map whose keys are a subset of the keys of `m1` and of `m2`. The presence of each such binding, and the corresponding value, is determined with the function `f`. In terms of the `find_opt` operation, we have `find_opt x (merge f m1 m2) = f x (find_opt x m1) (find_opt x m2)` for any key `x`, provided that `f x None None = None`.

**Since:** 3.12

```
val union : f:(key -> 'a -> 'a -> 'a option) ->
  'a t -> 'a t -> 'a t
```

`union ~f m1 m2` computes a map whose keys are a subset of the keys of `m1` and of `m2`. When the same binding is defined in both arguments, the function `f` is used to combine them. This is a special case of `merge`: `union f m1 m2` is equivalent to `merge f' m1 m2`, where

- `f' _key None None = None`
- `f' _key (Some v) None = Some v`
- `f' _key None (Some v) = Some v`
- `f' key (Some v1) (Some v2) = f key v1 v2`

**Since:** 4.03

```
val cardinal : 'a t -> int
```

Return the number of bindings of a map.

**Since:** 3.12

## Bindings

```
val bindings : 'a t -> (key * 'a) list
```

Return the list of all bindings of the given map. The returned list is sorted in increasing order of keys with respect to the ordering `Ord.compare`, where `Ord` is the argument given to `MoreLabels.Map.Make`[\[28.35\]](#).

**Since:** 3.12

```
val min_binding : 'a t -> key * 'a
```

Return the binding with the smallest key in a given map (with respect to the `Ord.compare` ordering), or raise `Not_found` if the map is empty.

**Since:** 3.12

```
val min_binding_opt : 'a t -> (key * 'a) option
```

Return the binding with the smallest key in the given map (with respect to the `Ord.compare` ordering), or `None` if the map is empty.

**Since:** 4.05

```
val max_binding : 'a t -> key * 'a
```

Same as `MoreLabels.Map.S.min_binding`[\[28.35\]](#), but returns the binding with the largest key in the given map.

**Since:** 3.12

```
val max_binding_opt : 'a t -> (key * 'a) option
```

Same as `MoreLabels.Map.S.min_binding_opt`[\[28.35\]](#), but returns the binding with the largest key in the given map.

**Since:** 4.05

```
val choose : 'a t -> key * 'a
```

Return one binding of the given map, or raise `Not_found` if the map is empty.

Which binding is chosen is unspecified, but equal bindings will be chosen for equal maps.

**Since:** 3.12

```
val choose_opt : 'a t -> (key * 'a) option
```

Return one binding of the given map, or `None` if the map is empty. Which binding is chosen is unspecified, but equal bindings will be chosen for equal maps.

**Since:** 4.05

## Searching

```
val find : key -> 'a t -> 'a
```

`find x m` returns the current value of `x` in `m`, or raises `Not_found` if no binding for `x` exists.

```
val find_opt : key -> 'a t -> 'a option
```

`find_opt x m` returns `Some v` if the current value of `x` in `m` is `v`, or `None` if no binding for `x` exists.

**Since:** 4.05

```
val find_first : f:(key -> bool) ->
```

```
'a t -> key * 'a
```

`find_first ~f m`, where `f` is a monotonically increasing function, returns the binding of `m` with the lowest key `k` such that `f k`, or raises `Not_found` if no such key exists.

For example, `find_first (fun k -> Ord.compare k x >= 0) m` will return the first binding `k, v` of `m` where `Ord.compare k x >= 0` (intuitively: `k >= x`), or raise `Not_found` if `x` is greater than any element of `m`.

**Since:** 4.05

```
val find_first_opt : f:(key -> bool) ->
```

```
'a t -> (key * 'a) option
```

`find_first_opt ~f m`, where `f` is a monotonically increasing function, returns an option containing the binding of `m` with the lowest key `k` such that `f k`, or `None` if no such key exists.

**Since:** 4.05

```
val find_last : f:(key -> bool) ->
  'a t -> key * 'a
  find_last ~f m, where f is a monotonically decreasing function, returns the
  binding of m with the highest key k such that f k, or raises Not_found if no such
  key exists.
  Since: 4.05
```

```
val find_last_opt : f:(key -> bool) ->
  'a t -> (key * 'a) option
  find_last_opt ~f m, where f is a monotonically decreasing function, returns an
  option containing the binding of m with the highest key k such that f k, or None if
  no such key exists.
  Since: 4.05
```

## Traversing

```
val iter : f:(key:key -> data:'a -> unit) ->
  'a t -> unit
  iter ~f m applies f to all bindings in map m. f receives the key as first argument,
  and the associated value as second argument. The bindings are passed to f in
  increasing order with respect to the ordering over the type of the keys.
```

```
val fold :
  f:(key:key -> data:'a -> 'acc -> 'acc) ->
  'a t -> init:'acc -> 'acc
  fold ~f m ~init computes (f kN dN ... (f k1 d1 init)...), where k1 ...
  kN are the keys of all bindings in m (in increasing order), and d1 ... dN are the
  associated data.
```

## Transforming

```
val map : f:(('a -> 'b) -> 'a t -> 'b t)
  map ~f m returns a map with same domain as m, where the associated value a of all
  bindings of m has been replaced by the result of the application of f to a. The
  bindings are passed to f in increasing order with respect to the ordering over the
  type of the keys.
```

```
val mapi : f:(key -> 'a -> 'b) ->
  'a t -> 'b t
  Same as MoreLabels.Map.S.map[28.35], but the function receives as arguments
  both the key and the associated value for each binding of the map.
```

```
val filter : f:(key -> 'a -> bool) ->
  'a t -> 'a t
```

`filter ~f m` returns the map with all the bindings in `m` that satisfy predicate `p`. If every binding in `m` satisfies `f`, `m` is returned unchanged (the result of the function is then physically equal to `m`)

**Before 4.03** Physical equality was not ensured.

**Since:** 3.12

```
val filter_map : f:(key -> 'a -> 'b option) ->
  'a t -> 'b t
```

`filter_map ~f m` applies the function `f` to every binding of `m`, and builds a map from the results. For each binding `(k, v)` in the input map:

- if `f k v` is `None` then `k` is not in the result,
- if `f k v` is `Some v'` then the binding `(k, v')` is in the output map.

For example, the following function on maps whose values are lists

```
filter_map
  (fun _k li -> match li with [] -> None | _::tl -> Some tl)
m
```

drops all bindings of `m` whose value is an empty list, and pops the first element of each value that is non-empty.

**Since:** 4.11

```
val partition : f:(key -> 'a -> bool) ->
  'a t -> 'a t * 'a t
```

`partition ~f m` returns a pair of maps `(m1, m2)`, where `m1` contains all the bindings of `m` that satisfy the predicate `f`, and `m2` is the map with all the bindings of `m` that do not satisfy `f`.

**Since:** 3.12

```
val split : key ->
  'a t ->
  'a t * 'a option * 'a t
```

`split x m` returns a triple `(l, data, r)`, where `l` is the map with all the bindings of `m` whose key is strictly less than `x`; `r` is the map with all the bindings of `m` whose key is strictly greater than `x`; `data` is `None` if `m` contains no binding for `x`, or `Some v` if `m` binds `v` to `x`.

**Since:** 3.12

## Predicates and comparisons

```
val is_empty : 'a t -> bool
```

Test whether a map is empty or not.

```
val mem : key -> 'a t -> bool
```

`mem x m` returns `true` if `m` contains a binding for `x`, and `false` otherwise.

```

val equal : cmp:('a -> 'a -> bool) ->
  'a t -> 'a t -> bool
  equal ~cmp m1 m2 tests whether the maps m1 and m2 are equal, that is, contain
  equal keys and associate them with equal data. cmp is the equality predicate used to
  compare the data associated with the keys.

val compare : cmp:('a -> 'a -> int) ->
  'a t -> 'a t -> int
  Total ordering between maps. The first argument is a total ordering used to
  compare data associated with equal keys in the two maps.

val for_all : f:(key -> 'a -> bool) -> 'a t -> bool
  for_all ~f m checks if all the bindings of the map satisfy the predicate f.
  Since: 3.12

val exists : f:(key -> 'a -> bool) -> 'a t -> bool
  exists ~f m checks if at least one binding of the map satisfies the predicate f.
  Since: 3.12

```

## Converting

```

val to_list : 'a t -> (key * 'a) list
  to_list m is MoreLabels.Map.S.bindings[28.35] m.
  Since: 5.1

val of_list : (key * 'a) list -> 'a t
  of_list bs adds the bindings of bs to the empty map, in list order (if a key is
  bound twice in bs the last one takes over).
  Since: 5.1

val to_seq : 'a t -> (key * 'a) Seq.t
  Iterate on the whole map, in ascending order of keys
  Since: 4.07

val to_rev_seq : 'a t -> (key * 'a) Seq.t
  Iterate on the whole map, in descending order of keys
  Since: 4.12

val to_seq_from : key ->
  'a t -> (key * 'a) Seq.t
  to_seq_from k m iterates on a subset of the bindings of m, in ascending order of
  keys, from key k or above.
  Since: 4.07

val add_seq : (key * 'a) Seq.t ->
  'a t -> 'a t

```



Add the given bindings to the map, in order.

**Since:** 4.07

```
val of_seq : (key * 'a) Seq.t -> 'a t
```

Build a map from the given bindings

**Since:** 4.07

end

Output signature of the functor `MoreLabels.Map.Make`[\[28.35\]](#).

```
module Make :
```

```
functor (Ord : OrderedType) -> S with type key = Ord.t and type 'a t = 'a
Map.Make(Ord).t
```

Functor building an implementation of the map structure given a totally ordered type.

end

```
module Set :
```

```
sig
```

Sets over ordered types.

This module implements the set data structure, given a total ordering function over the set elements. All operations over sets are purely applicative (no side-effects). The implementation uses balanced binary trees, and is therefore reasonably efficient: insertion and membership take time logarithmic in the size of the set, for instance.

The `MoreLabels.Set.Make`[\[28.35\]](#) functor constructs implementations for any type, given a `compare` function. For instance:

```
module IntPairs =
  struct
    type t = int * int
    let compare (x0,y0) (x1,y1) =
      match Stdlib.compare x0 x1 with
      | 0 -> Stdlib.compare y0 y1
      | c -> c
  end
```

```
module PairsSet = Set.Make(IntPairs)
```

```
let m = PairsSet.(empty |> add (2,3) |> add (5,7) |> add (11,13))
```

This creates a new module `PairsSet`, with a new type `PairsSet.t` of sets of `int * int`.

```
module type OrderedType =
```

```
sig
```

```
type t
```

The type of the set elements.

```
val compare : t -> t -> int
```

A total ordering function over the set elements. This is a two-argument function `f` such that `f e1 e2` is zero if the elements `e1` and `e2` are equal, `f e1 e2` is strictly negative if `e1` is smaller than `e2`, and `f e1 e2` is strictly positive if `e1` is greater than `e2`. Example: a suitable ordering function is the generic structural comparison function `compare`[\[27.2\]](#).

```
end
```

Input signature of the functor `MoreLabels.Set.Make`[\[28.35\]](#).

```
module type S =
```

```
sig
```

**Sets**

```
type elt
```

The type of the set elements.

```
type t
```

The type of sets.

```
val empty : t
```

The empty set.

```
val add : elt -> t -> t
```

`add x s` returns a set containing all elements of `s`, plus `x`. If `x` was already in `s`, `s` is returned unchanged (the result of the function is then physically equal to `s`).

**Before 4.03** Physical equality was not ensured.

```
val singleton : elt -> t
```

`singleton x` returns the one-element set containing only `x`.

```
val remove : elt -> t -> t
```

`remove x s` returns a set containing all elements of `s`, except `x`. If `x` was not in `s`, `s` is returned unchanged (the result of the function is then physically equal to `s`).

**Before 4.03** Physical equality was not ensured.

```
val union : t -> t -> t
```

Set union.

```
val inter : t -> t -> t
```

Set intersection.

```
val disjoint : t -> t -> bool
```

Test if two sets are disjoint.

**Since:** 4.08

```
val diff : t -> t -> t
```

Set difference: `diff s1 s2` contains the elements of `s1` that are not in `s2`.

```
val cardinal : t -> int
```

Return the number of elements of a set.

## Elements

```
val elements : t -> elt list
```

Return the list of all elements of the given set. The returned list is sorted in increasing order with respect to the ordering `Ord.compare`, where `Ord` is the argument given to `MoreLabels.Set.Make`[\[28.35\]](#).

```
val min_elt : t -> elt
```

Return the smallest element of the given set (with respect to the `Ord.compare` ordering), or raise `Not_found` if the set is empty.

```
val min_elt_opt : t -> elt option
```

Return the smallest element of the given set (with respect to the `Ord.compare` ordering), or `None` if the set is empty.

**Since:** 4.05

```
val max_elt : t -> elt
```

Same as `MoreLabels.Set.S.min_elt`[\[28.35\]](#), but returns the largest element of the given set.

```
val max_elt_opt : t -> elt option
```

Same as `MoreLabels.Set.S.min_elt_opt`[\[28.35\]](#), but returns the largest element of the given set.

**Since:** 4.05

```
val choose : t -> elt
```

Return one element of the given set, or raise `Not_found` if the set is empty. Which element is chosen is unspecified, but equal elements will be chosen for equal sets.

```
val choose_opt : t -> elt option
```

Return one element of the given set, or `None` if the set is empty. Which element is chosen is unspecified, but equal elements will be chosen for equal sets.

**Since:** 4.05

## Searching

**val find** : elt -> t -> elt

find x s returns the element of s equal to x (according to Ord.compare), or raise Not\_found if no such element exists.

**Since:** 4.01

**val find\_opt** : elt -> t -> elt option

find\_opt x s returns the element of s equal to x (according to Ord.compare), or None if no such element exists.

**Since:** 4.05

**val find\_first** : f:(elt -> bool) ->  
t -> elt

find\_first ~f s, where f is a monotonically increasing function, returns the lowest element e of s such that f e, or raises Not\_found if no such element exists. For example, find\_first (fun e -> Ord.compare e x >= 0) s will return the first element e of s where Ord.compare e x >= 0 (intuitively: e >= x), or raise Not\_found if x is greater than any element of s.

**Since:** 4.05

**val find\_first\_opt** : f:(elt -> bool) ->  
t -> elt option

find\_first\_opt ~f s, where f is a monotonically increasing function, returns an option containing the lowest element e of s such that f e, or None if no such element exists.

**Since:** 4.05

**val find\_last** : f:(elt -> bool) ->  
t -> elt

find\_last ~f s, where f is a monotonically decreasing function, returns the highest element e of s such that f e, or raises Not\_found if no such element exists.

**Since:** 4.05

**val find\_last\_opt** : f:(elt -> bool) ->  
t -> elt option

find\_last\_opt ~f s, where f is a monotonically decreasing function, returns an option containing the highest element e of s such that f e, or None if no such element exists.

**Since:** 4.05

## Traversing

**val iter** : f:(elt -> unit) -> t -> unit

iter ~f s applies f in turn to all elements of s. The elements of s are presented to f in increasing order with respect to the ordering over the type of the elements.

```
val fold : f:(elt -> 'acc -> 'acc) ->
  t -> init:'acc -> 'acc
  fold ~f s init computes (f xN ... (f x2 (f x1 init)))..., where x1 ...
  xN are the elements of s, in increasing order.
```

## Transforming

```
val map : f:(elt -> elt) ->
  t -> t
  map ~f s is the set whose elements are f a0,f a1... f aN, where a0,a1...aN are
  the elements of s.
  The elements are passed to f in increasing order with respect to the ordering over
  the type of the elements.
  If no element of s is changed by f, s is returned unchanged. (If each output of f is
  physically equal to its input, the returned set is physically equal to s.)
Since: 4.04
```

```
val filter : f:(elt -> bool) -> t -> t
  filter ~f s returns the set of all elements in s that satisfy predicate f. If f
  satisfies every element in s, s is returned unchanged (the result of the function is
  then physically equal to s).
Before 4.03 Physical equality was not ensured.
```

```
val filter_map : f:(elt -> elt option) ->
  t -> t
  filter_map ~f s returns the set of all v such that f x = Some v for some element
  x of s.
```

For example,

```
filter_map (fun n -> if n mod 2 = 0 then Some (n / 2) else None) s
is the set of halves of the even elements of s.
```

If no element of s is changed or dropped by f (if f x = Some x for each element x), then s is returned unchanged: the result of the function is then physically equal to s.

**Since:** 4.11

```
val partition : f:(elt -> bool) ->
  t -> t * t
  partition ~f s returns a pair of sets (s1, s2), where s1 is the set of all the
  elements of s that satisfy the predicate f, and s2 is the set of all the elements of s
  that do not satisfy f.
```

```
val split : elt ->
  t -> t * bool * t
  split x s returns a triple (l, present, r), where l is the set of elements of s
  that are strictly less than x; r is the set of elements of s that are strictly greater
  than x; present is false if s contains no element equal to x, or true if s contains
  an element equal to x.
```

## Predicates and comparisons

`val is_empty : t -> bool`

Test whether a set is empty or not.

`val mem : elt -> t -> bool`

`mem x s` tests whether `x` belongs to the set `s`.

`val equal : t -> t -> bool`

`equal s1 s2` tests whether the sets `s1` and `s2` are equal, that is, contain equal elements.

`val compare : t -> t -> int`

Total ordering between sets. Can be used as the ordering function for doing sets of sets.

`val subset : t -> t -> bool`

`subset s1 s2` tests whether the set `s1` is a subset of the set `s2`.

`val for_all : f:(elt -> bool) -> t -> bool`

`for_all ~f s` checks if all elements of the set satisfy the predicate `f`.

`val exists : f:(elt -> bool) -> t -> bool`

`exists ~f s` checks if at least one element of the set satisfies the predicate `f`.

## Converting

`val to_list : t -> elt list`

`to_list s` is `MoreLabels.Set.S.elements`[\[28.35\]](#) `s`.

**Since:** 5.1

`val of_list : elt list -> t`

`of_list l` creates a set from a list of elements. This is usually more efficient than folding `add` over the list, except perhaps for lists with many duplicated elements.

**Since:** 4.02

`val to_seq_from : elt ->`

`t -> elt Seq.t`

`to_seq_from x s` iterates on a subset of the elements of `s` in ascending order, from `x` or above.

**Since:** 4.07

`val to_seq : t -> elt Seq.t`

Iterate on the whole set, in ascending order

**Since:** 4.07

```
val to_rev_seq : t -> elt Seq.t
    Iterate on the whole set, in descending order
    Since: 4.12
```

```
val add_seq : elt Seq.t -> t -> t
    Add the given elements to the set, in order.
    Since: 4.07
```

```
val of_seq : elt Seq.t -> t
    Build a set from the given bindings
    Since: 4.07
```

```
end
```

Output signature of the functor `MoreLabels.Set.Make`[\[28.35\]](#).

```
module Make :
  functor (Ord : OrderedType) -> S with type elt = Ord.t and type t =
    Set.Make(Ord).t
```

Functor building an implementation of the set structure given a totally ordered type.

```
end
```

## 28.36 Module Mutex : Locks for mutual exclusion.

Mutexes (mutual-exclusion locks) are used to implement critical sections and protect shared mutable data structures against concurrent accesses. The typical use is (if `m` is the mutex associated with the data structure `D`):

```
Mutex.lock m;
(* Critical section that operates over D *);
Mutex.unlock m
```

```
type t
    The type of mutexes.
```

```
val create : unit -> t
    Return a new mutex.
```

```
val lock : t -> unit
```

Lock the given mutex. Only one thread can have the mutex locked at any time. A thread that attempts to lock a mutex already locked by another thread will suspend until the other thread unlocks the mutex.

**Before 4.12** `Sys_error` was not raised for recursive locking (platform-dependent behaviour)

**Raises** `Sys_error` if the mutex is already locked by the thread calling `Mutex.lock`[28.36].

```
val try_lock : t -> bool
```

Same as `Mutex.lock`[28.36], but does not suspend the calling thread if the mutex is already locked: just return `false` immediately in that case. If the mutex is unlocked, lock it and return `true`.

```
val unlock : t -> unit
```

Unlock the given mutex. Other threads suspended trying to lock the mutex will restart. The mutex must have been previously locked by the thread that calls `Mutex.unlock`[28.36].

**Before 4.12** `Sys_error` was not raised when unlocking an unlocked mutex or when unlocking a mutex from a different thread.

**Raises** `Sys_error` if the mutex is unlocked or was locked by another thread.

```
val protect : t -> (unit -> 'a) -> 'a
```

`protect mutex f` runs `f()` in a critical section where `mutex` is locked (using `Mutex.lock`[28.36]); it then takes care of releasing `mutex`, whether `f()` returned a value or raised an exception.

The unlocking operation is guaranteed to always takes place, even in the event an asynchronous exception (e.g. `Sys.Break`[28.55]) is raised in some signal handler.

**Since:** 5.1

## 28.37 Module `Nativeint` : Processor-native integers.

This module provides operations on the type `nativeint` of signed 32-bit integers (on 32-bit platforms) or signed 64-bit integers (on 64-bit platforms). This integer type has exactly the same width as that of a pointer type in the C compiler. All arithmetic operations over `nativeint` are taken modulo  $2^{32}$  or  $2^{64}$  depending on the word size of the architecture.

Performance notice: values of type `nativeint` occupy more memory space than values of type `int`, and arithmetic operations on `nativeint` are generally slower than those on `int`. Use `nativeint` only when the application requires the extra bit of precision over the `int` type.

Literals for native integers are suffixed by `n`:

```
let zero: nativeint = 0n
let one: nativeint = 1n
let m_one: nativeint = -1n
```

```
val zero : nativeint
```



The native integer 0.

```
val one : nativeint
```

The native integer 1.

```
val minus_one : nativeint
```

The native integer -1.

```
val neg : nativeint -> nativeint
```

Unary negation.

```
val add : nativeint -> nativeint -> nativeint
```

Addition.

```
val sub : nativeint -> nativeint -> nativeint
```

Subtraction.

```
val mul : nativeint -> nativeint -> nativeint
```

Multiplication.

```
val div : nativeint -> nativeint -> nativeint
```

Integer division. This division rounds the real quotient of its arguments towards zero, as specified for (/) [27.2].

**Raises** `Division_by_zero` if the second argument is zero.

```
val unsigned_div : nativeint -> nativeint -> nativeint
```

Same as `Nativeint.div` [28.37], except that arguments and result are interpreted as *unsigned* native integers.

**Since:** 4.08

```
val rem : nativeint -> nativeint -> nativeint
```

Integer remainder. If `y` is not zero, the result of `Nativeint.rem x y` satisfies the following properties: `Nativeint.zero <= Nativeint.rem x y < Nativeint.abs y` and `x = Nativeint.add (Nativeint.mul (Nativeint.div x y) y) (Nativeint.rem x y)`. If `y = 0`, `Nativeint.rem x y` raises `Division_by_zero`.

```
val unsigned_rem : nativeint -> nativeint -> nativeint
```

Same as `Nativeint.rem` [28.37], except that arguments and result are interpreted as *unsigned* native integers.

**Since:** 4.08

```
val succ : nativeint -> nativeint
```

Successor. `Nativeint.succ x` is `Nativeint.add x Nativeint.one`.

`val pred : nativeint -> nativeint`

Predecessor. `Nativeint.pred x` is `Nativeint.sub x Nativeint.one`.

`val abs : nativeint -> nativeint`

`abs x` is the absolute value of `x`. On `min_int` this is `min_int` itself and thus remains negative.

`val size : int`

The size in bits of a native integer. This is equal to 32 on a 32-bit platform and to 64 on a 64-bit platform.

`val max_int : nativeint`

The greatest representable native integer, either  $2^{31} - 1$  on a 32-bit platform, or  $2^{63} - 1$  on a 64-bit platform.

`val min_int : nativeint`

The smallest representable native integer, either  $-2^{31}$  on a 32-bit platform, or  $-2^{63}$  on a 64-bit platform.

`val logand : nativeint -> nativeint -> nativeint`

Bitwise logical and.

`val logor : nativeint -> nativeint -> nativeint`

Bitwise logical or.

`val logxor : nativeint -> nativeint -> nativeint`

Bitwise logical exclusive or.

`val lognot : nativeint -> nativeint`

Bitwise logical negation.

`val shift_left : nativeint -> int -> nativeint`

`Nativeint.shift_left x y` shifts `x` to the left by `y` bits. The result is unspecified if `y < 0` or `y >= bitsize`, where `bitsize` is 32 on a 32-bit platform and 64 on a 64-bit platform.

`val shift_right : nativeint -> int -> nativeint`

`Nativeint.shift_right x y` shifts `x` to the right by `y` bits. This is an arithmetic shift: the sign bit of `x` is replicated and inserted in the vacated bits. The result is unspecified if `y < 0` or `y >= bitsize`.

`val shift_right_logical : nativeint -> int -> nativeint`

`Nativeint.shift_right_logical x y` shifts `x` to the right by `y` bits. This is a logical shift: zeroes are inserted in the vacated bits regardless of the sign of `x`. The result is unspecified if `y < 0` or `y >= bitsize`.

`val of_int : int -> nativeint`

Convert the given integer (type `int`) to a native integer (type `nativeint`).

```
val to_int : nativeint -> int
```

Convert the given native integer (type `nativeint`) to an integer (type `int`). The high-order bit is lost during the conversion.

```
val unsigned_to_int : nativeint -> int option
```

Same as `Nativeint.to_int`[\[28.37\]](#), but interprets the argument as an *unsigned* integer. Returns `None` if the unsigned value of the argument cannot fit into an `int`.

**Since:** 4.08

```
val of_float : float -> nativeint
```

Convert the given floating-point number to a native integer, discarding the fractional part (truncate towards 0). If the truncated floating-point number is outside the range `[Nativeint.min_int`[\[28.37\]](#), `Nativeint.max_int`[\[28.37\]](#)], no exception is raised, and an unspecified, platform-dependent integer is returned.

```
val to_float : nativeint -> float
```

Convert the given native integer to a floating-point number.

```
val of_int32 : int32 -> nativeint
```

Convert the given 32-bit integer (type `int32`) to a native integer.

```
val to_int32 : nativeint -> int32
```

Convert the given native integer to a 32-bit integer (type `int32`). On 64-bit platforms, the 64-bit native integer is taken modulo  $2^{32}$ , i.e. the top 32 bits are lost. On 32-bit platforms, the conversion is exact.

```
val of_string : string -> nativeint
```

Convert the given string to a native integer. The string is read in decimal (by default, or if the string begins with `0u`) or in hexadecimal, octal or binary if the string begins with `0x`, `0o` or `0b` respectively.

The `0u` prefix reads the input as an unsigned integer in the range `[0, 2*Nativeint.max_int+1]`. If the input exceeds `Nativeint.max_int`[\[28.37\]](#) it is converted to the signed integer `Int64.min_int + input - Nativeint.max_int - 1`.

**Raises Failure** if the given string is not a valid representation of an integer, or if the integer represented exceeds the range of integers representable in type `nativeint`.

```
val of_string_opt : string -> nativeint option
```

Same as `of_string`, but return `None` instead of raising.

**Since:** 4.05

```
val to_string : nativeint -> string
```

Return the string representation of its argument, in decimal.

`type t = nativeint`

An alias for the type of native integers.

`val compare : t -> t -> int`

The comparison function for native integers, with the same specification as `compare`[27.2]. Along with the type `t`, this function `compare` allows the module `Nativeint` to be passed as argument to the functors `Set.Make`[28.49] and `Map.Make`[28.33].

`val unsigned_compare : t -> t -> int`

Same as `Nativeint.compare`[28.37], except that arguments are interpreted as *unsigned* native integers.

**Since:** 4.08

`val equal : t -> t -> bool`

The equal function for native ints.

**Since:** 4.03

`val min : t -> t -> t`

Return the smaller of the two arguments.

**Since:** 4.13

`val max : t -> t -> t`

Return the greater of the two arguments.

**Since:** 4.13

`val seeded_hash : int -> t -> int`

A seeded hash function for native ints, with the same output value as `Hashtbl.seeded_hash`[28.24]. This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[28.24].

**Since:** 5.1

`val hash : t -> int`

An unseeded hash function for native ints, with the same output value as `Hashtbl.hash`[28.24]. This function allows this module to be passed as argument to the functor `Hashtbl.Make`[28.24].

**Since:** 5.1

## 28.38 Module `Obj` : Operations on objects

`val copy : (< .. > as 'a) -> 'a`

`Obj.copy o` returns a copy of object `o`, that is a fresh object with the same methods and instance variables as `o`.

**Alert `unsynchronized_access`.** Unsynchronized accesses to mutable objects are a programming error.

`val id : < .. > -> int`

Return an integer identifying this object, unique for the current execution of the program. The generic comparison and hashing functions are based on this integer. When an object is obtained by unmarshaling, the id is refreshed, and thus different from the original object. As a consequence, the internal invariants of data structures such as hash table or sets containing objects are broken after unmarshaling the data structures.

## 28.39 Module `Option` : Option values.

Option values explicitly indicate the presence or absence of a value.

**Since:** 4.08

### Options

```
type 'a t = 'a option =
  | None
  | Some of 'a
```

The type for option values. Either `None` or a value `Some v`.

```
val none : 'a option
  none is None.
```

```
val some : 'a -> 'a option
  some v is Some v.
```

```
val value : 'a option -> default:'a -> 'a
  value o ~default is v if o is Some v and default otherwise.
```

```
val get : 'a option -> 'a
  get o is v if o is Some v and raise otherwise.
  Raises Invalid_argument if o is None.
```

```
val bind : 'a option -> ('a -> 'b option) -> 'b option
```

`bind o f` is `f v` if `o` is `Some v` and `None` if `o` is `None`.

`val join : 'a option option -> 'a option`  
`join oo` is `Some v` if `oo` is `Some (Some v)` and `None` otherwise.

`val map : ('a -> 'b) -> 'a option -> 'b option`  
`map f o` is `None` if `o` is `None` and `Some (f v)` if `o` is `Some v`.

`val fold : none:'a -> some:('b -> 'a) -> 'b option -> 'a`  
`fold ~none ~some o` is `none` if `o` is `None` and `some v` if `o` is `Some v`.

`val iter : ('a -> unit) -> 'a option -> unit`  
`iter f o` is `f v` if `o` is `Some v` and `()` otherwise.

## Predicates and comparisons

`val is_none : 'a option -> bool`  
`is_none o` is `true` if and only if `o` is `None`.

`val is_some : 'a option -> bool`  
`is_some o` is `true` if and only if `o` is `Some o`.

`val equal : ('a -> 'a -> bool) -> 'a option -> 'a option -> bool`  
`equal eq o0 o1` is `true` if and only if `o0` and `o1` are both `None` or if they are `Some v0` and `Some v1` and `eq v0 v1` is `true`.

`val compare : ('a -> 'a -> int) -> 'a option -> 'a option -> int`  
`compare cmp o0 o1` is a total order on options using `cmp` to compare values wrapped by `Some _`. `None` is smaller than `Some _` values.

## Converting

`val to_result : none:'e -> 'a option -> ('a, 'e) result`  
`to_result ~none o` is `Ok v` if `o` is `Some v` and `Error none` otherwise.

`val to_list : 'a option -> 'a list`  
`to_list o` is `[]` if `o` is `None` and `[v]` if `o` is `Some v`.

`val to_seq : 'a option -> 'a Seq.t`  
`to_seq o` is `o` as a sequence. `None` is the empty sequence and `Some v` is the singleton sequence containing `v`.

## 28.40 Module `Out_channel` : Output channels.

This module provides functions for working with output channels.

See the example section [\[28.44\]](#) below.

**Since:** 4.14

### Channels

```
type t = out_channel
```

The type of output channel.

```
type open_flag = open_flag =
```

```
| Open_rdonly
```

open for reading.

```
| Open_wronly
```

open for writing.

```
| Open_append
```

open for appending: always write at end of file.

```
| Open_creat
```

create the file if it does not exist.

```
| Open_trunc
```

empty the file if it already exists.

```
| Open_excl
```

fail if `Open_creat` and the file already exists.

```
| Open_binary
```

open in binary mode (no conversion).

```
| Open_text
```

open in text mode (may perform conversions).

```
| Open_nonblock
```

open in non-blocking mode.

Opening modes for `Out_channel.open_gen` [\[28.40\]](#).

```
val stdout : t
```

The standard output for the process.

```
val stderr : t
```

The standard error output for the process.

```
val open_bin : string -> t
```

Open the named file for writing, and return a new output channel on that file, positioned at the beginning of the file. The file is truncated to zero length if it already exists. It is created if it does not already exists.

```
val open_text : string -> t
```

Same as `Out_channel.open_bin`[\[28.40\]](#), but the file is opened in text mode, so that newline translation takes place during writes. On operating systems that do not distinguish between text mode and binary mode, this function behaves like `Out_channel.open_bin`[\[28.40\]](#).

```
val open_gen : open_flag list -> int -> string -> t
```

`open_gen mode perm filename` opens the named file for writing, as described above. The extra argument `mode` specifies the opening mode. The extra argument `perm` specifies the file permissions, in case the file must be created. `Out_channel.open_text`[\[28.40\]](#) and `Out_channel.open_bin`[\[28.40\]](#) are special cases of this function.

```
val with_open_bin : string -> (t -> 'a) -> 'a
```

`with_open_bin fn f` opens a channel `oc` on file `fn` and returns `f oc`. After `f` returns, either with a value or by raising an exception, `oc` is guaranteed to be closed.

```
val with_open_text : string -> (t -> 'a) -> 'a
```

Like `Out_channel.with_open_bin`[\[28.40\]](#), but the channel is opened in text mode (see `Out_channel.open_text`[\[28.40\]](#)).

```
val with_open_gen : open_flag list -> int -> string -> (t -> 'a) -> 'a
```

Like `Out_channel.with_open_bin`[\[28.40\]](#), but can specify the opening mode and file permission, in case the file must be created (see `Out_channel.open_gen`[\[28.40\]](#)).

```
val close : t -> unit
```

Close the given channel, flushing all buffered write operations. Output functions raise a `Sys_error` exception when they are applied to a closed output channel, except `Out_channel.close`[\[28.40\]](#) and `Out_channel.flush`[\[28.40\]](#), which do nothing when applied to an already closed channel. Note that `Out_channel.close`[\[28.40\]](#) may raise `Sys_error` if the operating system signals an error when flushing or closing.

```
val close_noerr : t -> unit
```

Same as `Out_channel.close`[\[28.40\]](#), but ignore all errors.

## Output

```
val output_char : t -> char -> unit
```

Write the character on the given output channel.

```
val output_byte : t -> int -> unit
```



Write one 8-bit integer (as the single character with that code) on the given output channel. The given integer is taken modulo 256.

```
val output_string : t -> string -> unit
```

Write the string on the given output channel.

```
val output_bytes : t -> bytes -> unit
```

Write the byte sequence on the given output channel.

## Advanced output

```
val output : t -> bytes -> int -> int -> unit
```

`output oc buf pos len` writes `len` characters from byte sequence `buf`, starting at offset `pos`, to the given output channel `oc`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid range of `buf`.

```
val output_substring : t -> string -> int -> int -> unit
```

Same as `Out_channel.output`[\[28.40\]](#) but take a string as argument instead of a byte sequence.

## Flushing

```
val flush : t -> unit
```

Flush the buffer associated with the given output channel, performing all pending writes on that channel. Interactive programs must be careful about flushing standard output and standard error at the right time.

```
val flush_all : unit -> unit
```

Flush all open output channels; ignore errors.

## Seeking

```
val seek : t -> int64 -> unit
```

`seek chan pos` sets the current writing position to `pos` for channel `chan`. This works only for regular files. On files of other kinds (such as terminals, pipes and sockets), the behavior is unspecified.

```
val pos : t -> int64
```

Return the current writing position for the given channel. Does not work on channels opened with the `Open_append` flag (returns unspecified results).

For files opened in text mode under Windows, the returned position is approximate (owing to end-of-line conversion); in particular, saving the current position with

`Out_channel.pos`[\[28.40\]](#), then going back to this position using `Out_channel.seek`[\[28.40\]](#) will not work. For this programming idiom to work reliably and portably, the file must be opened in binary mode.

## Attributes

`val length : t -> int64`

Return the size (number of characters) of the regular file on which the given channel is opened. If the channel is opened on a file that is not a regular file, the result is meaningless.

`val set_binary_mode : t -> bool -> unit`

`set_binary_mode oc true` sets the channel `oc` to binary mode: no translations take place during output.

`set_binary_mode oc false` sets the channel `oc` to text mode: depending on the operating system, some translations may take place during output. For instance, under Windows, end-of-lines will be translated from `\n` to `\r\n`.

This function has no effect under operating systems that do not distinguish between text mode and binary mode.

`val set_buffered : t -> bool -> unit`

`set_buffered oc true` sets the channel `oc` to *buffered* mode. In this mode, data output on `oc` will be buffered until either the internal buffer is full or the function `Out_channel.flush`[\[28.40\]](#) or `Out_channel.flush_all`[\[28.40\]](#) is called, at which point it will be sent to the output device.

`set_buffered oc false` sets the channel `oc` to *unbuffered* mode. In this mode, data output on `oc` will be sent to the output device immediately.

All channels are open in *buffered* mode by default.

`val is_buffered : t -> bool`

`is_buffered oc` returns whether the channel `oc` is buffered (see `Out_channel.set_buffered`[\[28.40\]](#)).

`val isatty : t -> bool`

`isatty oc` is `true` if `oc` refers to a terminal or console window, `false` otherwise.

Since: 5.1

## Examples

Writing the contents of a file:

```
let write_file file s =
  Out_channel.with_open_bin file
  (fun oc -> Out_channel.output_string oc s)
```

## 28.41 Module Parsing : The run-time library for parsers generated by ocaml yacc.

`val symbol_start : unit -> int`

`symbol_start` and `Parsing.symbol_end`[\[28.41\]](#) are to be called in the action part of a grammar rule only. They return the offset of the string that matches the left-hand side of the rule: `symbol_start()` returns the offset of the first character; `symbol_end()` returns the offset after the last character. The first character in a file is at offset 0.

`val symbol_end : unit -> int`

See `Parsing.symbol_start`[\[28.41\]](#).

`val rhs_start : int -> int`

Same as `Parsing.symbol_start`[\[28.41\]](#) and `Parsing.symbol_end`[\[28.41\]](#), but return the offset of the string matching the `n`th item on the right-hand side of the rule, where `n` is the integer parameter to `rhs_start` and `rhs_end`. `n` is 1 for the leftmost item.

`val rhs_end : int -> int`

See `Parsing.rhs_start`[\[28.41\]](#).

`val symbol_start_pos : unit -> Lexing.position`

Same as `symbol_start`, but return a `position` instead of an offset.

`val symbol_end_pos : unit -> Lexing.position`

Same as `symbol_end`, but return a `position` instead of an offset.

`val rhs_start_pos : int -> Lexing.position`

Same as `rhs_start`, but return a `position` instead of an offset.

`val rhs_end_pos : int -> Lexing.position`

Same as `rhs_end`, but return a `position` instead of an offset.

`val clear_parser : unit -> unit`

Empty the parser stack. Call it just after a parsing function has returned, to remove all pointers from the parser stack to structures that were built by semantic actions during parsing. This is optional, but lowers the memory requirements of the programs.

`exception Parse_error`

Raised when a parser encounters a syntax error. Can also be raised from the action part of a grammar rule, to initiate error recovery.

`val set_trace : bool -> bool`

Control debugging support for `ocamlyacc`-generated parsers. After `Parsing.set_trace true`, the pushdown automaton that executes the parsers prints a trace of its actions (reading a token, shifting a state, reducing by a rule) on standard output. `Parsing.set_trace false` turns this debugging trace off. The boolean returned is the previous state of the trace flag.

**Since:** 3.11

## 28.42 Module `Printexc` : Facilities for printing exceptions and inspecting current call stack.

```
type t = exn = ..
```

The type of exception values.

```
val to_string : exn -> string
```

`Printexc.to_string e` returns a string representation of the exception `e`.

```
val to_string_default : exn -> string
```

`Printexc.to_string_default e` returns a string representation of the exception `e`, ignoring all registered exception printers.

**Since:** 4.09

```
val print : ('a -> 'b) -> 'a -> 'b
```

`Printexc.print fn x` applies `fn` to `x` and returns the result. If the evaluation of `fn x` raises any exception, the name of the exception is printed on standard error output, and the exception is raised again. The typical use is to catch and report exceptions that escape a function application.

```
val catch : ('a -> 'b) -> 'a -> 'b
```

*Deprecated.* This function is no longer needed. `Printexc.catch fn x` is similar to `Printexc.print` [28.42], but aborts the program with exit code 2 after printing the uncaught exception. This function is deprecated: the runtime system is now able to print uncaught exceptions as precisely as `Printexc.catch` does. Moreover, calling `Printexc.catch` makes it harder to track the location of the exception using the debugger or the stack backtrace facility. So, do not use `Printexc.catch` in new code.

```
val print_backtrace : out_channel -> unit
```

`Printexc.print_backtrace oc` prints an exception backtrace on the output channel `oc`. The backtrace lists the program locations where the most-recently raised exception was raised and where it was propagated through function calls.

If the call is not inside an exception handler, the returned backtrace is unspecified. If the call is after some exception-catching code (before in the handler, or in a when-guard during the

matching of the exception handler), the `backtrace` may correspond to a later exception than the handled one.

**Since:** 3.11

**val** `get_backtrace` : `unit` -> `string`

`Printexc.get_backtrace` () returns a string containing the same exception backtrace that `Printexc.print_backtrace` would print. Same restriction usage than `Printexc.print_backtrace`[\[28.42\]](#).

**Since:** 3.11

**val** `record_backtrace` : `bool` -> `unit`

`Printexc.record_backtrace b` turns recording of exception backtraces on (if `b = true`) or off (if `b = false`). Initially, backtraces are not recorded, unless the `b` flag is given to the program through the `OCAMLRUNPARAM` variable.

**Since:** 3.11

**val** `backtrace_status` : `unit` -> `bool`

`Printexc.backtrace_status()` returns `true` if exception backtraces are currently recorded, `false` if not.

**Since:** 3.11

**val** `register_printer` : (`exn` -> `string option`) -> `unit`

`Printexc.register_printer fn` registers `fn` as an exception printer. The printer should return `None` or raise an exception if it does not know how to convert the passed exception, and `Some s` with `s` the resulting string if it can convert the passed exception. Exceptions raised by the printer are ignored.

When converting an exception into a string, the printers will be invoked in the reverse order of their registrations, until a printer returns a `Some s` value (if no such printer exists, the runtime will use a generic printer).

When using this mechanism, one should be aware that an exception backtrace is attached to the thread that saw it raised, rather than to the exception itself. Practically, it means that the code related to `fn` should not use the backtrace if it has itself raised an exception before.

**Since:** 3.11.2

**val** `use_printers` : `exn` -> `string option`

`Printexc.use_printers e` returns `None` if there are no registered printers and `Some s` with `s` as the resulting string otherwise.

**Since:** 4.09

## Raw backtraces

`type raw_backtrace`

The type `raw_backtrace` stores a backtrace in a low-level format, which can be converted to usable form using `raw_backtrace_entries` and `backtrace_slots_of_raw_entry` below.

Converting backtraces to `backtrace_slots` is slower than capturing the backtraces. If an application processes many backtraces, it can be useful to use `raw_backtrace` to avoid or delay conversion.

Raw backtraces cannot be marshalled. If you need marshalling, you should use the array returned by the `backtrace_slots` function of the next section.

**Since:** 4.01

`type raw_backtrace_entry = private int`

A `raw_backtrace_entry` is an element of a `raw_backtrace`.

Each `raw_backtrace_entry` is an opaque integer, whose value is not stable between different programs, or even between different runs of the same binary.

A `raw_backtrace_entry` can be converted to a usable form using `backtrace_slots_of_raw_entry` below. Note that, due to inlining, a single `raw_backtrace_entry` may convert to several `backtrace_slots`. Since the values of a `raw_backtrace_entry` are not stable, they cannot be marshalled. If they are to be converted, the conversion must be done by the process that generated them.

Again due to inlining, there may be multiple distinct `raw_backtrace_entry` values that convert to equal `backtrace_slots`. However, if two `raw_backtrace_entries` are equal as integers, then they represent the same `backtrace_slots`.

**Since:** 4.12

`val raw_backtrace_entries : raw_backtrace -> raw_backtrace_entry array`

**Since:** 4.12

`val get_raw_backtrace : unit -> raw_backtrace`

`Printexc.get_raw_backtrace ()` returns the same exception backtrace that `Printexc.print_backtrace` would print, but in a raw format. Same restriction usage than `Printexc.print_backtrace`[\[28.42\]](#).

**Since:** 4.01

`val print_raw_backtrace : out_channel -> raw_backtrace -> unit`

Print a raw backtrace in the same format `Printexc.print_backtrace` uses.

**Since:** 4.01

`val raw_backtrace_to_string : raw_backtrace -> string`

Return a string from a raw backtrace, in the same format `Printexc.get_backtrace` uses.

**Since:** 4.01

```
val raise_with_backtrace : exn -> raw_backtrace -> 'a
```

Reraise the exception using the given `raw_backtrace` for the origin of the exception

**Since:** 4.05

## Current call stack

```
val get_callstack : int -> raw_backtrace
```

`Printexc.get_callstack n` returns a description of the top of the call stack on the current program point (for the current thread), with at most `n` entries. (Note: this function is not related to exceptions at all, despite being part of the `Printexc` module.)

**Since:** 4.01

## Uncaught exceptions

```
val default_uncaught_exception_handler : exn -> raw_backtrace -> unit
```

`Printexc.default_uncaught_exception_handler` prints the exception and backtrace on standard error output.

**Since:** 4.11

```
val set_uncaught_exception_handler : (exn -> raw_backtrace -> unit) -> unit
```

`Printexc.set_uncaught_exception_handler fn` registers `fn` as the handler for uncaught exceptions. The default handler is

`Printexc.default_uncaught_exception_handler`[\[28.42\]](#).

Note that when `fn` is called all the functions registered with `at_exit`[\[27.2\]](#) have already been called. Because of this you must make sure any output channel `fn` writes on is flushed.

Also note that exceptions raised by user code in the interactive toplevel are not passed to this function as they are caught by the toplevel itself.

If `fn` raises an exception, both the exceptions passed to `fn` and raised by `fn` will be printed with their respective backtrace.

**Since:** 4.02

## Manipulation of backtrace information

These functions are used to traverse the slots of a raw backtrace and extract information from them in a programmer-friendly format.

```
type backtrace_slot
```

The abstract type `backtrace_slot` represents a single slot of a backtrace.

**Since:** 4.02

```
val backtrace_slots : raw_backtrace -> backtrace_slot array option
```

Returns the slots of a raw backtrace, or `None` if none of them contain useful information.

In the return array, the slot at index 0 corresponds to the most recent function call, raise, or primitive `get_backtrace` call in the trace.

Some possible reasons for returning `None` are as follow:

- none of the slots in the trace come from modules compiled with debug information (`-g`)
- the program is a bytecode program that has not been linked with debug information enabled (`ocamlc -g`)

**Since:** 4.02

```
val backtrace_slots_of_raw_entry :  
  raw_backtrace_entry -> backtrace_slot array option
```

Returns the slots of a single raw backtrace entry, or `None` if this entry lacks debug information.

Slots are returned in the same order as `backtrace_slots`: the slot at index 0 is the most recent call, raise, or primitive, and subsequent slots represent callers.

**Since:** 4.12

```
type location =  
{ filename : string ;  
  line_number : int ;  
  start_char : int ;  
  end_char : int ;  
}
```

The type of location information found in backtraces. `start_char` and `end_char` are positions relative to the beginning of the line.

**Since:** 4.02

```
module Slot :  
  sig  
  
    type t = Printexc.backtrace_slot  
    val is_raise : t -> bool
```

`is_raise slot` is `true` when `slot` refers to a raising point in the code, and `false` when it comes from a simple function call.

**Since:** 4.02

```
val is_inline : t -> bool
```

`is_inline slot` is `true` when `slot` refers to a call that got inlined by the compiler, and `false` when it comes from any other context.

**Since:** 4.04

```
val location : t -> Printexc.location option
```



`location slot` returns the location information of the slot, if available, and `None` otherwise.

Some possible reasons for failing to return a location are as follow:

- the slot corresponds to a compiler-inserted raise
- the slot corresponds to a part of the program that has not been compiled with debug information (`-g`)

**Since:** 4.02

```
val name : t -> string option
```

`name slot` returns the name of the function or definition enclosing the location referred to by the slot.

`name slot` returns `None` if the name is unavailable, which may happen for the same reasons as `location` returning `None`.

**Since:** 4.11

```
val format : int -> t -> string option
```

`format pos slot` returns the string representation of `slot` as `raw_backtrace_to_string` would format it, assuming it is the `pos`-th element of the backtrace: the 0-th element is pretty-printed differently than the others.

Whole-backtrace printing functions also skip some uninformative slots; in that case, `format pos slot` returns `None`.

**Since:** 4.02

end

**Since:** 4.02

## Raw backtrace slots

```
type raw_backtrace_slot
```

This type is used to iterate over the slots of a `raw_backtrace`. For most purposes, `backtrace_slots_of_raw_entry` is easier to use.

Like `raw_backtrace_entry`, values of this type are process-specific and must absolutely not be marshalled, and are unsafe to use for this reason (marshalling them may not fail, but un-marshalling and using the result will result in undefined behavior).

Elements of this type can still be compared and hashed: when two elements are equal, then they represent the same source location (the converse is not necessarily true in presence of inlining, for example).

**Since:** 4.02

```
val raw_backtrace_length : raw_backtrace -> int
```

`raw_backtrace_length bckt` returns the number of slots in the backtrace `bckt`.

**Since:** 4.02

`val get_raw_backtrace_slot : raw_backtrace -> int -> raw_backtrace_slot`  
`get_raw_backtrace_slot bckt pos` returns the slot in position `pos` in the backtrace `bckt`.

**Since:** 4.02

`val convert_raw_backtrace_slot : raw_backtrace_slot -> backtrace_slot`  
 Extracts the user-friendly `backtrace_slot` from a low-level `raw_backtrace_slot`.

**Since:** 4.02

`val get_raw_backtrace_next_slot :`  
`raw_backtrace_slot -> raw_backtrace_slot option`  
`get_raw_backtrace_next_slot slot` returns the next slot inlined, if any.

Sample code to iterate over all frames (inlined and non-inlined):

```
(* Iterate over inlined frames *)
let rec iter_raw_backtrace_slot f slot =
  f slot;
  match get_raw_backtrace_next_slot slot with
  | None -> ()
  | Some slot' -> iter_raw_backtrace_slot f slot'

(* Iterate over stack frames *)
let iter_raw_backtrace f bt =
  for i = 0 to raw_backtrace_length bt - 1 do
    iter_raw_backtrace_slot f (get_raw_backtrace_slot bt i)
  done
```

**Since:** 4.04

## Exception slots

`val exn_slot_id : exn -> int`  
`Printexc.exn_slot_id` returns an integer which uniquely identifies the constructor used to create the exception value `exn` (in the current runtime).

**Since:** 4.02

`val exn_slot_name : exn -> string`  
`Printexc.exn_slot_name exn` returns the internal name of the constructor used to create the exception value `exn`.

**Since:** 4.02

## 28.43 Module Printf : Formatted output functions.

```
val fprintf : out_channel -> ('a, out_channel, unit) format -> 'a
```

`fprintf outchan format arg1 ... argN` formats the arguments `arg1` to `argN` according to the format string `format`, and outputs the resulting string on the channel `outchan`.

The format string is a character string which contains two types of objects: plain characters, which are simply copied to the output channel, and conversion specifications, each of which causes conversion and printing of arguments.

Conversion specifications have the following form:

```
% [flags] [width] [.precision] type
```

In short, a conversion specification consists in the `%` character, followed by optional modifiers and a type which is made of one or two characters.

The types and their meanings are:

- `d`, `i`: convert an integer argument to signed decimal. The flag `#` adds underscores to large values for readability.
- `u`, `n`, `l`, `L`, or `N`: convert an integer argument to unsigned decimal. Warning: `n`, `l`, `L`, and `N` are used for `scanf`, and should not be used for `printf`. The flag `#` adds underscores to large values for readability.
- `x`: convert an integer argument to unsigned hexadecimal, using lowercase letters. The flag `#` adds a `0x` prefix to non zero values.
- `X`: convert an integer argument to unsigned hexadecimal, using uppercase letters. The flag `#` adds a `0X` prefix to non zero values.
- `o`: convert an integer argument to unsigned octal. The flag `#` adds a `0` prefix to non zero values.
- `s`: insert a string argument.
- `S`: convert a string argument to OCaml syntax (double quotes, escapes).
- `c`: insert a character argument.
- `C`: convert a character argument to OCaml syntax (single quotes, escapes).
- `f`: convert a floating-point argument to decimal notation, in the style `dddd.ddd`.
- `F`: convert a floating-point argument to OCaml syntax (`dddd.` or `dddd.ddd` or `d.ddd e+-dd`). Converts to hexadecimal with the `#` flag (see `h`).
- `e` or `E`: convert a floating-point argument to decimal notation, in the style `d.ddd e+-dd` (mantissa and exponent).
- `g` or `G`: convert a floating-point argument to decimal notation, in style `f` or `e`, `E` (whichever is more compact). Moreover, any trailing zeros are removed from the fractional part of the result and the decimal-point character is removed if there is no fractional part remaining.

- **h** or **H**: convert a floating-point argument to hexadecimal notation, in the style `0xh.hhhh p+-dd` (hexadecimal mantissa, exponent in decimal and denotes a power of 2).
- **B**: convert a boolean argument to the string `true` or `false`
- **b**: convert a boolean argument (deprecated; do not use in new programs).
- **ld, li, lu, lx, lX, lo**: convert an `int32` argument to the format specified by the second letter (decimal, hexadecimal, etc).
- **nd, ni, nu, nx, nX, no**: convert a `nativeint` argument to the format specified by the second letter.
- **Ld, Li, Lu, Lx, LX, Lo**: convert an `int64` argument to the format specified by the second letter.
- **a**: user-defined printer. Take two arguments and apply the first one to `outchan` (the current output channel) and to the second argument. The first argument must therefore have type `out_channel -> 'b -> unit` and the second `'b`. The output produced by the function is inserted in the output of `fprintf` at the current point.
- **t**: same as `%a`, but take only one argument (with type `out_channel -> unit`) and apply it to `outchan`.
- **{ fmt %}**: convert a format string argument to its type digest. The argument must have the same type as the internal format string `fmt`.
- **( fmt %)**: format string substitution. Take a format string argument and substitute it to the internal format string `fmt` to print following arguments. The argument must have the same type as the internal format string `fmt`.
- **!**: take no argument and flush the output.
- **%**: take no argument and output one `%` character.
- **@**: take no argument and output one `@` character.
- **,**: take no argument and output nothing: a no-op delimiter for conversion specifications.

The optional **flags** are:

- **-**: left-justify the output (default is right justification).
- **0**: for numerical conversions, pad with zeroes instead of spaces.
- **+**: for signed numerical conversions, prefix number with a `+` sign if positive.
- **space**: for signed numerical conversions, prefix number with a space if positive.
- **#**: request an alternate formatting style for the integer types and the floating-point type `F`.

The optional **width** is an integer indicating the minimal width of the result. For instance, `%6d` prints an integer, prefixing it with spaces to fill at least 6 characters.

The optional **precision** is a dot `.` followed by an integer indicating how many digits follow the decimal point in the `%f`, `%e`, `%E`, `%h`, and `%H` conversions or the maximum number of significant digits to appear for the `%F`, `%g` and `%G` conversions. For instance, `%.4f` prints a `float` with 4 fractional digits.

The integer in a **width** or **precision** can also be specified as **\***, in which case an extra integer argument is taken to specify the corresponding **width** or **precision**. This integer argument precedes immediately the argument to print. For instance, `%.f` prints a **float** with as many fractional digits as the value of the argument given before the float.

```
val printf : ('a, out_channel, unit) format -> 'a
```

Same as `Printf.fprintf`[\[28.43\]](#), but output on `stdout`.

```
val eprintf : ('a, out_channel, unit) format -> 'a
```

Same as `Printf.fprintf`[\[28.43\]](#), but output on `stderr`.

```
val sprintf : ('a, unit, string) format -> 'a
```

Same as `Printf.fprintf`[\[28.43\]](#), but instead of printing on an output channel, return a string containing the result of formatting the arguments.

```
val bprintf : Buffer.t -> ('a, Buffer.t, unit) format -> 'a
```

Same as `Printf.fprintf`[\[28.43\]](#), but instead of printing on an output channel, append the formatted arguments to the given extensible buffer (see module `Buffer`[\[28.7\]](#)).

```
val ifprintf : 'b -> ('a, 'b, 'c, unit) format4 -> 'a
```

Same as `Printf.fprintf`[\[28.43\]](#), but does not print anything. Useful to ignore some material when conditionally printing.

**Since:** 3.10

```
val ibprintf : Buffer.t -> ('a, Buffer.t, unit) format -> 'a
```

Same as `Printf.bprintf`[\[28.43\]](#), but does not print anything. Useful to ignore some material when conditionally printing.

**Since:** 4.11

Formatted output functions with continuations.

```
val kfprintf :
```

```
(out_channel -> 'd) ->
```

```
out_channel -> ('a, out_channel, unit, 'd) format4 -> 'a
```

Same as `fprintf`, but instead of returning immediately, passes the out channel to its first argument at the end of printing.

**Since:** 3.09

```
val ikfprintf : ('b -> 'd) -> 'b -> ('a, 'b, 'c, 'd) format4 -> 'a
```

Same as `kfprintf` above, but does not print anything. Useful to ignore some material when conditionally printing.

**Since:** 4.01

```
val ksprintf : (string -> 'd) -> ('a, unit, string, 'd) format4 -> 'a
```

Same as `sprintf` above, but instead of returning the string, passes it to the first argument.

**Since:** 3.09

`val kbprintf :`

`(Buffer.t -> 'd) ->`

`Buffer.t -> ('a, Buffer.t, unit, 'd) format4 -> 'a`

Same as `bprintf`, but instead of returning immediately, passes the buffer to its first argument at the end of printing.

**Since:** 3.10

`val ikbprintf :`

`(Buffer.t -> 'd) ->`

`Buffer.t -> ('a, Buffer.t, unit, 'd) format4 -> 'a`

Same as `kbprintf` above, but does not print anything. Useful to ignore some material when conditionally printing.

**Since:** 4.11

Deprecated

`val kprintf : (string -> 'b) -> ('a, unit, string, 'b) format4 -> 'a`

*Deprecated.* Use `Printf.ksprintf` instead. A deprecated synonym for `ksprintf`.

## 28.44 Module Queue : First-in first-out queues.

This module implements queues (FIFOs), with in-place modification. See the example section [\[28.44\]](#) below.

**Alert `unsynchronized_access`.** Unsynchronized accesses to queues are a programming error.

### Unsynchronized accesses

Unsynchronized accesses to a queue may lead to an invalid queue state. Thus, concurrent accesses to queues must be synchronized (for instance with a `Mutex.t` [\[28.36\]](#)).

`type !'a t`

The type of queues containing elements of type `'a`.

`exception Empty`

Raised when `Queue.take` [\[28.44\]](#) or `Queue.peek` [\[28.44\]](#) is applied to an empty queue.

`val create : unit -> 'a t`

Return a new queue, initially empty.

`val add : 'a -> 'a t -> unit`

`add x q` adds the element `x` at the end of the queue `q`.

`val push : 'a -> 'a t -> unit`

`push` is a synonym for `add`.

`val take : 'a t -> 'a`

`take q` removes and returns the first element in queue `q`, or raises `Queue.Empty`[\[28.44\]](#) if the queue is empty.

`val take_opt : 'a t -> 'a option`

`take_opt q` removes and returns the first element in queue `q`, or returns `None` if the queue is empty.

**Since:** 4.08

`val pop : 'a t -> 'a`

`pop` is a synonym for `take`.

`val peek : 'a t -> 'a`

`peek q` returns the first element in queue `q`, without removing it from the queue, or raises `Queue.Empty`[\[28.44\]](#) if the queue is empty.

`val peek_opt : 'a t -> 'a option`

`peek_opt q` returns the first element in queue `q`, without removing it from the queue, or returns `None` if the queue is empty.

**Since:** 4.08

`val top : 'a t -> 'a`

`top` is a synonym for `peek`.

`val clear : 'a t -> unit`

Discard all elements from a queue.

`val copy : 'a t -> 'a t`

Return a copy of the given queue.

`val is_empty : 'a t -> bool`

Return `true` if the given queue is empty, `false` otherwise.

`val length : 'a t -> int`

Return the number of elements in a queue.

`val iter : ('a -> unit) -> 'a t -> unit`

`iter f q` applies `f` in turn to all elements of `q`, from the least recently entered to the most recently entered. The queue itself is unchanged.

`val fold : ('acc -> 'a -> 'acc) -> 'acc -> 'a t -> 'acc`

`fold f accu q` is equivalent to `List.fold_left f accu l`, where `l` is the list of `q`'s elements. The queue remains unchanged.

`val transfer : 'a t -> 'a t -> unit`

`transfer q1 q2` adds all of `q1`'s elements at the end of the queue `q2`, then clears `q1`. It is equivalent to the sequence `iter (fun x -> add x q2) q1; clear q1`, but runs in constant time.

## Iterators

`val to_seq : 'a t -> 'a Seq.t`

Iterate on the queue, in front-to-back order. The behavior is not specified if the queue is modified during the iteration.

**Since:** 4.07

`val add_seq : 'a t -> 'a Seq.t -> unit`

Add the elements from a sequence to the end of the queue.

**Since:** 4.07

`val of_seq : 'a Seq.t -> 'a t`

Create a queue from a sequence.

**Since:** 4.07

## Examples

### Basic Example

A basic example:

```
# let q = Queue.create ()
val q : '_weak1 Queue.t = <abstr>

# Queue.push 1 q; Queue.push 2 q; Queue.push 3 q
- : unit = ()

# Queue.length q
- : int = 3

# Queue.pop q
- : int = 1

# Queue.pop q
```



```

- : int = 2

# Queue.pop q
- : int = 3

# Queue.pop q
Exception: Stdlib.Queue.Empty.

```

## Search Through a Graph

For a more elaborate example, a classic algorithmic use of queues is to implement a BFS (breadth-first search) through a graph.

```

type graph = {
  edges: (int, int list) Hashtbl.t
}

(* Search in graph [g] using BFS, starting from node [start].
   It returns the first node that satisfies [p], or [None] if
   no node reachable from [start] satisfies [p].
*)
let search_for ~(g:graph) ~(start:int) (p:int -> bool) : int option =
  let to_explore = Queue.create() in
  let explored = Hashtbl.create 16 in

  Queue.push start to_explore;
  let rec loop () =
    if Queue.is_empty to_explore then None
    else
      (* node to explore *)
      let node = Queue.pop to_explore in
      explore_node node

  and explore_node node =
    if not (Hashtbl.mem explored node) then (
      if p node then Some node (* found *)
      else (
        Hashtbl.add explored node ();
        let children =
          Hashtbl.find_opt g.edges node
          |> Option.value ~default:[]
        in
        List.iter (fun child -> Queue.push child to_explore) children;
        loop()
      )
    )

```

```

    )
  ) else loop()
in
loop()

(* a sample graph *)
let my_graph: graph =
  let edges =
    List.to_seq [
      1, [2;3];
      2, [10; 11];
      3, [4;5];
      5, [100];
      11, [0; 20];
    ]
  |> Hashtbl.of_seq
in {edges}

# search_for ~g:my_graph ~start:1 (fun x -> x = 30)
- : int option = None

# search_for ~g:my_graph ~start:1 (fun x -> x >= 15)
- : int option = Some 20

# search_for ~g:my_graph ~start:1 (fun x -> x >= 50)
- : int option = Some 100

```

## 28.45 Module Random : Pseudo-random number generators (PRNG).

With multiple domains, each domain has its own generator that evolves independently of the generators of other domains. When a domain is created, its generator is initialized by splitting the state of the generator associated with the parent domain.

In contrast, all threads within a domain share the same domain-local generator. Independent generators can be created with the `Random.split`[\[28.45\]](#) function and used with the functions from the `Random.State`[\[28.45\]](#) module.

### Basic functions

```
val init : int -> unit
```

Initialize the domain-local generator, using the argument as a seed. The same seed will always yield the same sequence of numbers.

```
val full_init : int array -> unit
```

Same as `Random.init`[\[28.45\]](#) but takes more data as seed.

```
val self_init : unit -> unit
```

Initialize the domain-local generator with a random seed chosen in a system-dependent way. If `/dev/urandom` is available on the host machine, it is used to provide a highly random initial seed. Otherwise, a less random seed is computed from system parameters (current time, process IDs, domain-local state).

```
val bits : unit -> int
```

Return 30 random bits in a nonnegative integer.

**Before 5.0** used a different algorithm (affects all the following functions)

```
val int : int -> int
```

`Random.int bound` returns a random integer between 0 (inclusive) and `bound` (exclusive). `bound` must be greater than 0 and less than  $2^{30}$ .

```
val full_int : int -> int
```

`Random.full_int bound` returns a random integer between 0 (inclusive) and `bound` (exclusive). `bound` may be any positive integer.

If `bound` is less than  $2^{30}$ , `Random.full_int bound` is equal to `Random.int`[\[28.45\]](#) `bound`. If `bound` is greater than  $2^{30}$  (on 64-bit systems or non-standard environments, such as JavaScript), `Random.full_int` returns a value, where `Random.int`[\[28.45\]](#) raises `Invalid_argument`[\[27.2\]](#).

**Since:** 4.13

```
val int32 : Int32.t -> Int32.t
```

`Random.int32 bound` returns a random integer between 0 (inclusive) and `bound` (exclusive). `bound` must be greater than 0.

```
val nativeint : Nativeint.t -> Nativeint.t
```

`Random.nativeint bound` returns a random integer between 0 (inclusive) and `bound` (exclusive). `bound` must be greater than 0.

```
val int64 : Int64.t -> Int64.t
```

`Random.int64 bound` returns a random integer between 0 (inclusive) and `bound` (exclusive). `bound` must be greater than 0.

```
val float : float -> float
```

`Random.float bound` returns a random floating-point number between 0 and `bound` (inclusive). If `bound` is negative, the result is negative or zero. If `bound` is 0, the result is 0.

```
val bool : unit -> bool
```

`Random.bool ()` returns `true` or `false` with probability 0.5 each.

`val bits32 : unit -> Int32.t`

`Random.bits32 ()` returns 32 random bits as an integer between `Int32.min_int`[\[28.27\]](#) and `Int32.max_int`[\[28.27\]](#).

**Since:** 4.14

`val bits64 : unit -> Int64.t`

`Random.bits64 ()` returns 64 random bits as an integer between `Int64.min_int`[\[28.28\]](#) and `Int64.max_int`[\[28.28\]](#).

**Since:** 4.14

`val nativebits : unit -> Nativeint.t`

`Random.nativebits ()` returns 32 or 64 random bits (depending on the bit width of the platform) as an integer between `Nativeint.min_int`[\[28.37\]](#) and `Nativeint.max_int`[\[28.37\]](#).

**Since:** 4.14

## Advanced functions

The functions from module `Random.State`[\[28.45\]](#) manipulate the current state of the random generator explicitly. This allows using one or several deterministic PRNGs, even in a multi-threaded program, without interference from other parts of the program.

`module State :`

`sig`

`type t`

The type of PRNG states.

`val make : int array -> t`

Create a new state and initialize it with the given seed.

`val make_self_init : unit -> t`

Create a new state and initialize it with a random seed chosen in a system-dependent way. The seed is obtained as described in `Random.self_init`[\[28.45\]](#).

`val copy : t -> t`

Return a copy of the given state.

`val bits : t -> int`

`val int : t -> int -> int`

`val full_int : t -> int -> int`

`val int32 : t -> Int32.t -> Int32.t`

```

val nativeint : t -> Nativeint.t -> Nativeint.t
val int64 : t -> Int64.t -> Int64.t
val float : t -> float -> float
val bool : t -> bool
val bits32 : t -> Int32.t
val bits64 : t -> Int64.t
val nativebits : t -> Nativeint.t

```

These functions are the same as the basic functions, except that they use (and update) the given PRNG state instead of the default one.

```

val split : t -> t

```

Draw a fresh PRNG state from the given PRNG state. (The given PRNG state is modified.) The new PRNG is statistically independent from the given PRNG. Data can be drawn from both PRNGs, in any order, without risk of correlation. Both PRNGs can be split later, arbitrarily many times.

**Since:** 5.0

```

val to_binary_string : t -> string

```

Serializes the PRNG state into an immutable sequence of bytes. See `Random.State.of_binary_string`[\[28.45\]](#) for deserialization.

The `string` type is intended here for serialization only, the encoding is not human-readable and may not be printable.

Note that the serialization format may differ across OCaml versions.

**Since:** 5.1

```

val of_binary_string : string -> t

```

Deserializes a byte sequence obtained by calling `Random.State.to_binary_string`[\[28.45\]](#). The resulting PRNG state will produce the same random numbers as the state that was passed as input to `Random.State.to_binary_string`[\[28.45\]](#).

**Since:** 5.1

**Raises Failure** if the input is not in the expected format.

Note that the serialization format may differ across OCaml versions.

Unlike the functions provided by the `Marshal`[\[28.34\]](#) module, this function either produces a valid state or fails cleanly with a `Failure` exception. It can be safely used on user-provided, untrusted inputs.

```

end

```

```

val get_state : unit -> State.t

```

`get_state()` returns a fresh copy of the current state of the domain-local generator (which is used by the basic functions).

```
val set_state : State.t -> unit
```

`set_state s` updates the current state of the domain-local generator (which is used by the basic functions) by copying the state `s` into it.

```
val split : unit -> State.t
```

Draw a fresh PRNG state from the current state of the domain-local generator used by the default functions. (The state of the domain-local generator is modified.) See `Random.State.split`[\[28.45\]](#).

**Since:** 5.0

## 28.46 Module Result : Result values.

Result values handle computation results and errors in an explicit and declarative manner without resorting to exceptions.

**Since:** 4.08

### Results

```
type ('a, 'e) t = ('a, 'e) result =
  | Ok of 'a
  | Error of 'e
```

The type for result values. Either a value `Ok v` or an error `Error e`.

```
val ok : 'a -> ('a, 'e) result
```

`ok v` is `Ok v`.

```
val error : 'e -> ('a, 'e) result
```

`error e` is `Error e`.

```
val value : ('a, 'e) result -> default:'a -> 'a
```

`value r ~default` is `v` if `r` is `Ok v` and `default` otherwise.

```
val get_ok : ('a, 'e) result -> 'a
```

`get_ok r` is `v` if `r` is `Ok v` and raise otherwise.

**Raises** `Invalid_argument` if `r` is `Error _`.

```
val get_error : ('a, 'e) result -> 'e
```

`get_error r` is `e` if `r` is `Error e` and raise otherwise.

**Raises** `Invalid_argument` if `r` is `Ok _`.

```
val bind : ('a, 'e) result ->
```

```
  ('a -> ('b, 'e) result) -> ('b, 'e) result
```

```

    bind r f is f v if r is Ok v and r if r is Error _.

val join : (('a, 'e) result, 'e) result -> ('a, 'e) result
    join rr is r if rr is Ok r and rr if rr is Error _.

val map : ('a -> 'b) -> ('a, 'e) result -> ('b, 'e) result
    map f r is Ok (f v) if r is Ok v and r if r is Error _.

val map_error : ('e -> 'f) -> ('a, 'e) result -> ('a, 'f) result
    map_error f r is Error (f e) if r is Error e and r if r is Ok _.

val fold : ok:('a -> 'c) -> error:('e -> 'c) -> ('a, 'e) result -> 'c
    fold ~ok ~error r is ok v if r is Ok v and error e if r is Error e.

val iter : ('a -> unit) -> ('a, 'e) result -> unit
    iter f r is f v if r is Ok v and () otherwise.

val iter_error : ('e -> unit) -> ('a, 'e) result -> unit
    iter_error f r is f e if r is Error e and () otherwise.

```

## Predicates and comparisons

```

val is_ok : ('a, 'e) result -> bool
    is_ok r is true if and only if r is Ok _.

val is_error : ('a, 'e) result -> bool
    is_error r is true if and only if r is Error _.

val equal :
    ok:('a -> 'a -> bool) ->
    error:('e -> 'e -> bool) ->
    ('a, 'e) result -> ('a, 'e) result -> bool
    equal ~ok ~error r0 r1 tests equality of r0 and r1 using ok and error to respectively
    compare values wrapped by Ok _ and Error _.

val compare :
    ok:('a -> 'a -> int) ->
    error:('e -> 'e -> int) ->
    ('a, 'e) result -> ('a, 'e) result -> int
    compare ~ok ~error r0 r1 totally orders r0 and r1 using ok and error to respectively
    compare values wrapped by Ok _ and Error _. Ok _ values are smaller than Error
    _ values.

```

## Converting

```
val to_option : ('a, 'e) result -> 'a option
    to_option r is r as an option, mapping Ok v to Some v and Error _ to None.

val to_list : ('a, 'e) result -> 'a list
    to_list r is [v] if r is Ok v and [] otherwise.

val to_seq : ('a, 'e) result -> 'a Seq.t
    to_seq r is r as a sequence. Ok v is the singleton sequence containing v and Error _ is the
    empty sequence.
```

## 28.47 Module Scanf : Formatted input functions.

**Alert `unsynchronized__access`.** Unsynchronized accesses to `Scanning.in_channel` are a programming error.

### Introduction

#### Functional input with format strings

The module `Scanf`[\[28.47\]](#) provides formatted input functions or *scanners*.

The formatted input functions can read from any kind of input, including strings, files, or anything that can return characters. The more general source of characters is named a *formatted input channel* (or *scanning buffer*) and has type `Scanf.Scanning.in_channel`[\[28.47\]](#). The more general formatted input function reads from any scanning buffer and is named `bscanf`.

Generally speaking, the formatted input functions have 3 arguments:

- the first argument is a source of characters for the input,
- the second argument is a format string that specifies the values to read,
- the third argument is a *receiver function* that is applied to the values read.

Hence, a typical call to the formatted input function `Scanf.bscanf`[\[28.47\]](#) is `bscanf ic fmt f`, where:

- `ic` is a source of characters (typically a *formatted input channel* with type `Scanf.Scanning.in_channel`[\[28.47\]](#)),
- `fmt` is a format string (the same format strings as those used to print material with module `Printf`[\[28.43\]](#) or `Format`[\[28.21\]](#)),
- `f` is a function that has as many arguments as the number of values to read in the input according to `fmt`.



## A simple example

As suggested above, the expression `bscanf ic "%d" f` reads a decimal integer `n` from the source of characters `ic` and returns `f n`.

For instance,

- if we use `stdin` as the source of characters (`Scanf.Scanning.stdin`[\[28.47\]](#) is the predefined formatted input channel that reads from standard input),
- if we define the receiver `f` as `let f x = x + 1`,

then `bscanf Scanning.stdin "%d" f` reads an integer `n` from the standard input and returns `f n` (that is `n + 1`). Thus, if we evaluate `bscanf stdin "%d" f`, and then enter 41 at the keyboard, the result we get is 42.

## Formatted input as a functional feature

The OCaml scanning facility is reminiscent of the corresponding C feature. However, it is also largely different, simpler, and yet more powerful: the formatted input functions are higher-order functionals and the parameter passing mechanism is just the regular function application not the variable assignment based mechanism which is typical for formatted input in imperative languages; the OCaml format strings also feature useful additions to easily define complex tokens; as expected within a functional programming language, the formatted input functions also support polymorphism, in particular arbitrary interaction with polymorphic user-defined scanners. Furthermore, the OCaml formatted input facility is fully type-checked at compile time.

### Unsynchronized accesses

Unsynchronized accesses to a `Scanf.Scanning.in_channel`[\[28.47\]](#) may lead to an invalid `Scanf.Scanning.in_channel`[\[28.47\]](#) state. Thus, concurrent accesses to `Scanf.Scanning.in_channel`[\[28.47\]](#)s must be synchronized (for instance with a `Mutex.t`[\[28.36\]](#)).

## Formatted input channel

```
module Scanning :
```

```
sig
```

```
  type in_channel
```

The notion of input channel for the `Scanf`[\[28.47\]](#) module: those channels provide all the machinery necessary to read from any source of characters, including a `in_channel`[\[27.2\]](#) value. A `Scanf.Scanning.in_channel` value is also called a *formatted input channel* or equivalently a *scanning buffer*. The type `Scanf.Scanning.scanbuf`[\[28.47\]](#) below is an alias for `Scanning.in_channel`. Note that a `Scanning.in_channel` is not concurrency-safe: concurrent use may produce arbitrary values or exceptions.

**Since:** 3.12

```
  type scanbuf = in_channel
```

The type of scanning buffers. A scanning buffer is the source from which a formatted input function gets characters. The scanning buffer holds the current state of the scan, plus a function to get the next char from the input, and a token buffer to store the string matched so far.

Note: a scanning action may often require to examine one character in advance; when this 'lookahead' character does not belong to the token read, it is stored back in the scanning buffer and becomes the next character yet to be read.

```
val stdin : in_channel
```

The standard input notion for the `Scanf`[\[28.47\]](#) module. `Scanning.stdin` is the `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel attached to `stdin`[\[27.2\]](#).

Note: in the interactive system, when input is read from `stdin`[\[27.2\]](#), the newline character that triggers evaluation is part of the input; thus, the scanning specifications must properly skip this additional newline character (for instance, simply add a `'\n'` as the last character of the format string).

**Since:** 3.12

```
type file_name = string
```

A convenient alias to designate a file name.

**Since:** 4.00

```
val open_in : file_name -> in_channel
```

`Scanning.open_in fname` returns a `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel for bufferized reading in text mode from file `fname`.

Note: `open_in` returns a formatted input channel that efficiently reads characters in large chunks; in contrast, `from_channel` below returns formatted input channels that must read one character at a time, leading to a much slower scanning rate.

**Since:** 3.12

```
val open_in_bin : file_name -> in_channel
```

`Scanning.open_in_bin fname` returns a `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel for bufferized reading in binary mode from file `fname`.

**Since:** 3.12

```
val close_in : in_channel -> unit
```

Closes the `in_channel`[\[27.2\]](#) associated with the given `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel.

**Since:** 3.12

```
val from_file : file_name -> in_channel
```

An alias for `Scanf.Scanning.open_in`[\[28.47\]](#) above.

```
val from_file_bin : string -> in_channel
```

An alias for `Scanf.Scanning.open_in_bin`[\[28.47\]](#) above.

```
val from_string : string -> in_channel
```

`Scanning.from_string s` returns a `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel which reads from the given string. Reading starts from the first character in the string. The end-of-input condition is set when the end of the string is reached.

```
val from_function : (unit -> char) -> in_channel
```

`Scanning.from_function f` returns a `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel with the given function as its reading method.

When scanning needs one more character, the given function is called.

When the function has no more character to provide, it *must* signal an end-of-input condition by raising the exception `End_of_file`.

```
val from_channel : in_channel -> in_channel
```

`Scanning.from_channel ic` returns a `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel which reads from the regular `in_channel`[\[27.2\]](#) input channel `ic` argument. Reading starts at current reading position of `ic`.

```
val end_of_input : in_channel -> bool
```

`Scanning.end_of_input ic` tests the end-of-input condition of the given `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel.

```
val beginning_of_input : in_channel -> bool
```

`Scanning.beginning_of_input ic` tests the beginning of input condition of the given `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel.

```
val name_of_input : in_channel -> string
```

`Scanning.name_of_input ic` returns the name of the character source for the given `Scanf.Scanning.in_channel`[\[28.47\]](#) formatted input channel.

Since: 3.09

```
end
```

## Type of formatted input functions

```
type ('a, 'b, 'c, 'd) scanner = ('a, Scanning.in_channel, 'b, 'c, 'a -> 'd, 'd) format6 -> 'c
```

The type of formatted input scanners: ('a, 'b, 'c, 'd) **scanner** is the type of a formatted input function that reads from some formatted input channel according to some format string; more precisely, if **scan** is some formatted input function, then **scan ic fmt f** applies **f** to all the arguments specified by format string **fmt**, when **scan** has read those arguments from the **Scanf.Scanning.in\_channel**[\[28.47\]](#) formatted input channel **ic**.

For instance, the **Scanf.scanf**[\[28.47\]](#) function below has type ('a, 'b, 'c, 'd) **scanner**, since it is a formatted input function that reads from **Scanf.Scanning.stdin**[\[28.47\]](#): **scanf fmt f** applies **f** to the arguments specified by **fmt**, reading those arguments from **stdin**[\[27.2\]](#) as expected.

If the format **fmt** has some **%r** indications, the corresponding formatted input functions must be provided *before* receiver function **f**. For instance, if **read\_elem** is an input function for values of type **t**, then **bscanf ic "%r;" read\_elem f** reads a value **v** of type **t** followed by a ';' character, and returns **f v**.

**Since:** 3.10

```
type ('a, 'b, 'c, 'd) scanner_opt = ('a, Scanning.in_channel, 'b, 'c, 'a -> 'd option, 'd) form
  'c
```

```
exception Scan_failure of string
```

When the input can not be read according to the format string specification, formatted input functions typically raise exception **Scan\_failure**.

## The general formatted input function

```
val bscanf : Scanning.in_channel -> ('a, 'b, 'c, 'd) scanner
```

**bscanf ic fmt r1 ... rN f** reads characters from the **Scanf.Scanning.in\_channel**[\[28.47\]](#) formatted input channel **ic** and converts them to values according to format string **fmt**. As a final step, receiver function **f** is applied to the values read and gives the result of the **bscanf** call.

For instance, if **f** is the function **fun s i -> i + 1**, then **Scanf.bscanf "x = 1" "%s = %i"** **f** returns 2.

Arguments **r1** to **rN** are user-defined input functions that read the argument corresponding to the **%r** conversions specified in the format string.

```
val bscanf_opt : Scanning.in_channel -> ('a, 'b, 'c, 'd) scanner_opt
```

Same as **Scanf.bscanf**[\[28.47\]](#), but returns **None** in case of scanning failure.

**Since:** 5.0

## Format string description

The format string is a character string which contains three types of objects:

- plain characters, which are simply matched with the characters of the input (with a special case for space and line feed, see [\[28.47\]](#)),
- conversion specifications, each of which causes reading and conversion of one argument for the function **f** (see [\[28.47\]](#)),

- scanning indications to specify boundaries of tokens (see scanning [28.47]).

### The space character in format strings

As mentioned above, a plain character in the format string is just matched with the next character of the input; however, two characters are special exceptions to this rule: the space character (' ' or ASCII code 32) and the line feed character ('\n' or ASCII code 10). A space does not match a single space character, but any amount of 'whitespace' in the input. More precisely, a space inside the format string matches *any number* of tab, space, line feed and carriage return characters. Similarly, a line feed character in the format string matches either a single line feed or a carriage return followed by a line feed.

Matching *any* amount of whitespace, a space in the format string also matches no amount of whitespace at all; hence, the call `bscanf ib "Price = %d $" (fun p -> p)` succeeds and returns 1 when reading an input with various whitespace in it, such as `Price = 1 $`, `Price = 1 $`, or even `Price=1$`.

### Conversion specifications in format strings

Conversion specifications consist in the % character, followed by an optional flag, an optional field width, and followed by one or two conversion characters.

The conversion characters and their meanings are:

- **d**: reads an optionally signed decimal integer (0-9+).
- **i**: reads an optionally signed integer (usual input conventions for decimal (0-9+), hexadecimal (0x[0-9a-f]+ and 0X[0-9A-F]+), octal (0o[0-7]+), and binary (0b[0-1]+) notations are understood).
- **u**: reads an unsigned decimal integer.
- **x** or **X**: reads an unsigned hexadecimal integer ([0-9a-fA-F]+).
- **o**: reads an unsigned octal integer ([0-7]+).
- **s**: reads a string argument that spreads as much as possible, until the following bounding condition holds:
  - a whitespace has been found (see [28.47]),
  - a scanning indication (see scanning [28.47]) has been encountered,
  - the end-of-input has been reached.

Hence, this conversion always succeeds: it returns an empty string if the bounding condition holds when the scan begins.

- **S**: reads a delimited string argument (delimiters and special escaped characters follow the lexical conventions of OCaml).

- **c**: reads a single character. To test the current input character without reading it, specify a null field width, i.e. use specification `%0c`. Raise `Invalid_argument`, if the field width specification is greater than 1.
- **C**: reads a single delimited character (delimiters and special escaped characters follow the lexical conventions of OCaml).
- **f**, **e**, **E**, **g**, **G**: reads an optionally signed floating-point number in decimal notation, in the style `dddd.ddd e/E+-dd`.
- **h**, **H**: reads an optionally signed floating-point number in hexadecimal notation.
- **F**: reads a floating point number according to the lexical conventions of OCaml (hence the decimal point is mandatory if the exponent part is not mentioned).
- **B**: reads a boolean argument (`true` or `false`).
- **b**: reads a boolean argument (for backward compatibility; do not use in new programs).
- **ld**, **li**, **lu**, **lx**, **lX**, **lo**: reads an `int32` argument to the format specified by the second letter for regular integers.
- **nd**, **ni**, **nu**, **nx**, **nX**, **no**: reads a `nativeint` argument to the format specified by the second letter for regular integers.
- **Ld**, **Li**, **Lu**, **Lx**, **LX**, **Lo**: reads an `int64` argument to the format specified by the second letter for regular integers.
- **[ range ]**: reads characters that matches one of the characters mentioned in the range of characters `range` (or not mentioned in it, if the range starts with `^`). Reads a `string` that can be empty, if the next input character does not match the range. The set of characters from `c1` to `c2` (inclusively) is denoted by `c1-c2`. Hence, `%[0-9]` returns a string representing a decimal number or an empty string if no decimal digit is found; similarly, `%[0-9a-f]` returns a string of hexadecimal digits. If a closing bracket appears in a range, it must occur as the first character of the range (or just after the `^` in case of range negation); hence `[]` matches a `]` character and `[^]` matches any character that is not `]`. Use `%%` and `%@` to include a `%` or a `@` in a range.
- **r**: user-defined reader. Takes the next `ri` formatted input function and applies it to the scanning buffer `ib` to read the next argument. The input function `ri` must therefore have type `Scanning.in_channel -> 'a` and the argument read has type `'a`.
- **{ fmt %}**: reads a format string argument. The format string read must have the same type as the format string specification `fmt`. For instance, `"%{ %i %}"` reads any format string that can read a value of type `int`; hence, if `s` is the string `"fmt: \"number is %u\""`, then `Scanf.sscanf s "fmt: %{i%}"` succeeds and returns the format string `"number is %u"`.
- **( fmt %)**: scanning sub-format substitution. Reads a format string `rf` in the input, then goes on scanning with `rf` instead of scanning with `fmt`. The format string `rf` must have the same type as the format string specification `fmt` that it replaces. For instance, `"%( %i %)"` reads

any format string that can read a value of type `int`. The conversion returns the format string read `rf`, and then a value read using `rf`. Hence, if `s` is the string `"\"%4d\"1234.00"`, then `Scanf.sscanf s "%(i%)" (fun fmt i -> fmt, i)` evaluates to `("%4d", 1234)`. This behaviour is not mere format substitution, since the conversion returns the format string read as additional argument. If you need pure format substitution, use special flag `_` to discard the extraneous argument: conversion `%_(fmt %)` reads a format string `rf` and then behaves the same as format string `rf`. Hence, if `s` is the string `"\"%4d\"1234.00"`, then `Scanf.sscanf s "%_(i%)"` is simply equivalent to `Scanf.sscanf "1234.00" "%4d"`.

- `l`: returns the number of lines read so far.
- `n`: returns the number of characters read so far.
- `N` or `L`: returns the number of tokens read so far.
- `!`: matches the end of input condition.
- `%`: matches one `%` character in the input.
- `@`: matches one `@` character in the input.
- `,`: does nothing.

Following the `%` character that introduces a conversion, there may be the special flag `_`: the conversion that follows occurs as usual, but the resulting value is discarded. For instance, if `f` is the function `fun i -> i + 1`, and `s` is the string `"x = 1"`, then `Scanf.sscanf s "%_s = %i" f` returns 2.

The field width is composed of an optional integer literal indicating the maximal width of the token to read. For instance, `%6d` reads an integer, having at most 6 decimal digits; `%4f` reads a float with at most 4 characters; and `%8[\000-\255]` returns the next 8 characters (or all the characters still available, if fewer than 8 characters are available in the input).

Notes:

- as mentioned above, a `%s` conversion always succeeds, even if there is nothing to read in the input: in this case, it simply returns `""`.
- in addition to the relevant digits, `'_'` characters may appear inside numbers (this is reminiscent to the usual OCaml lexical conventions). If stricter scanning is desired, use the range conversion facility instead of the number conversions.
- the `scanf` facility is not intended for heavy duty lexical analysis and parsing. If it appears not expressive enough for your needs, several alternative exists: regular expressions (module `Str`[\[31.1\]](#)), stream parsers, `ocamllex`-generated lexers, `ocamlyacc`-generated parsers.

## Scanning indications in format strings

Scanning indications appear just after the string conversions `%s` and `%[ range ]` to delimit the end of the token. A scanning indication is introduced by a `@` character, followed by some plain character `c`. It means that the string token should end just before the next matching `c` (which is skipped). If

no `c` character is encountered, the string token spreads as much as possible. For instance, `"%s@\t"` reads a string up to the next tab character or to the end of input. If a `@` character appears anywhere else in the format string, it is treated as a plain character.

Note:

- As usual in format strings, `%` and `@` characters must be escaped using `%%` and `%@`; this rule still holds within range specifications and scanning indications. For instance, format `"%s@%"` reads a string up to the next `%` character, and format `"%s@%@"` reads a string up to the next `@`.
- The scanning indications introduce slight differences in the syntax of `Scanf`[\[28.47\]](#) format strings, compared to those used for the `Printf`[\[28.43\]](#) module. However, the scanning indications are similar to those used in the `Format`[\[28.21\]](#) module; hence, when producing formatted text to be scanned by `Scanf.bscanf`[\[28.47\]](#), it is wise to use printing functions from the `Format`[\[28.21\]](#) module (or, if you need to use functions from `Printf`[\[28.43\]](#), banish or carefully double check the format strings that contain `'@'` characters).

## Exceptions during scanning

Scanners may raise the following exceptions when the input cannot be read according to the format string:

- Raise `Scanf.Scan_failure`[\[28.47\]](#) if the input does not match the format.
- Raise `Failure` if a conversion to a number is not possible.
- Raise `End_of_file` if the end of input is encountered while some more characters are needed to read the current conversion specification.
- Raise `Invalid_argument` if the format string is invalid.

Note:

- as a consequence, scanning a `%s` conversion never raises exception `End_of_file`: if the end of input is reached the conversion succeeds and simply returns the characters read so far, or `""` if none were ever read.

## Specialised formatted input functions

```
val sscanf : string -> ('a, 'b, 'c, 'd) scanner
```

Same as `Scanf.bscanf`[\[28.47\]](#), but reads from the given string.

```
val sscanf_opt : string -> ('a, 'b, 'c, 'd) scanner_opt
```

Same as `Scanf.sscanf`[\[28.47\]](#), but returns `None` in case of scanning failure.

**Since:** 5.0

```
val scanf : ('a, 'b, 'c, 'd) scanner
```



Same as `Scanf.bscanf`[\[28.47\]](#), but reads from the predefined formatted input channel `Scanf.Scanning.stdin`[\[28.47\]](#) that is connected to `stdin`[\[27.2\]](#).

`val scanf_opt : ('a, 'b, 'c, 'd) scanner_opt`

Same as `Scanf.scanf`[\[28.47\]](#), but returns `None` in case of scanning failure.

**Since:** 5.0

`val kscanf :`

`Scanning.in_channel ->`

`(Scanning.in_channel -> exn -> 'd) -> ('a, 'b, 'c, 'd) scanner`

Same as `Scanf.bscanf`[\[28.47\]](#), but takes an additional function argument `ef` that is called in case of error: if the scanning process or some conversion fails, the scanning function aborts and calls the error handling function `ef` with the formatted input channel and the exception that aborted the scanning process as arguments.

`val ksscanf :`

`string ->`

`(Scanning.in_channel -> exn -> 'd) -> ('a, 'b, 'c, 'd) scanner`

Same as `Scanf.kscanf`[\[28.47\]](#) but reads from the given string.

**Since:** 4.02

## Reading format strings from input

`val bscanf_format :`

`Scanning.in_channel ->`

`('a, 'b, 'c, 'd, 'e, 'f) format6 ->`

`((('a, 'b, 'c, 'd, 'e, 'f) format6 -> 'g) -> 'g`

`bscanf_format ic fmt f` reads a format string token from the formatted input channel `ic`, according to the given format string `fmt`, and applies `f` to the resulting format string value.

**Since:** 3.09

**Raises** `Scan_failure` if the format string value read does not have the same type as `fmt`.

`val sscanf_format :`

`string ->`

`('a, 'b, 'c, 'd, 'e, 'f) format6 ->`

`((('a, 'b, 'c, 'd, 'e, 'f) format6 -> 'g) -> 'g`

Same as `Scanf.bscanf_format`[\[28.47\]](#), but reads from the given string.

**Since:** 3.09

`val format_from_string :`

`string ->`

`('a, 'b, 'c, 'd, 'e, 'f) format6 ->`

`('a, 'b, 'c, 'd, 'e, 'f) format6`

`format_from_string s fmt` converts a string argument to a format string, according to the given format string `fmt`.

**Since:** 3.10

**Raises** `Scan_failure` if `s`, considered as a format string, does not have the same type as `fmt`.

`val unescaped : string -> string`

`unescaped s` return a copy of `s` with escape sequences (according to the lexical conventions of OCaml) replaced by their corresponding special characters. More precisely, `Scanf.unescaped` has the following property: for all string `s`, `Scanf.unescaped (String.escaped s) = s`.

Always return a copy of the argument, even if there is no escape sequence in the argument.

**Since:** 4.00

**Raises** `Scan_failure` if `s` is not properly escaped (i.e. `s` has invalid escape sequences or special characters that are not properly escaped). For instance, `Scanf.unescaped "\"" will fail.`

## 28.48 Module Seq : Sequences.

A sequence of type `'a Seq.t` can be thought of as a **delayed list**, that is, a list whose elements are computed only when they are demanded by a consumer. This allows sequences to be produced and transformed lazily (one element at a time) rather than eagerly (all elements at once). This also allows constructing conceptually infinite sequences.

The type `'a Seq.t` is defined as a synonym for `unit -> 'a Seq.node`. This is a function type: therefore, it is opaque. The consumer can **query** a sequence in order to request the next element (if there is one), but cannot otherwise inspect the sequence in any way.

Because it is opaque, the type `'a Seq.t` does *not* reveal whether a sequence is:

- **persistent**, which means that the sequence can be used as many times as desired, producing the same elements every time, just like an immutable list; or
- **ephemeral**, which means that the sequence is not persistent. Querying an ephemeral sequence might have an observable side effect, such as incrementing a mutable counter. As a common special case, an ephemeral sequence can be **affine**, which means that it must be queried at most once.

It also does *not* reveal whether the elements of the sequence are:

- **pre-computed and stored** in memory, which means that querying the sequence is cheap;
- **computed when first demanded and then stored** in memory, which means that querying the sequence once can be expensive, but querying the same sequence again is cheap; or
- **re-computed every time they are demanded**, which may or may not be cheap.

It is up to the programmer to keep these distinctions in mind so as to understand the time and space requirements of sequences.

For the sake of simplicity, most of the documentation that follows is written under the implicit assumption that the sequences at hand are persistent. We normally do not point out *when* or *how many times* each function is invoked, because that would be too verbose. For instance, in the description of `map`, we write: "if `xs` is the sequence `x0; x1; ...` then `map f xs` is the sequence `f x0; f x1; ...`". If we wished to be more explicit, we could point out that the transformation takes place on demand: that is, the elements of `map f xs` are computed only when they are demanded. In other words, the definition `let ys = map f xs` terminates immediately and does not invoke `f`. The function call `f x0` takes place only when the first element of `ys` is demanded, via the function call `ys()`. Furthermore, calling `ys()` twice causes `f x0` to be called twice as well. If one wishes for `f` to be applied at most once to each element of `xs`, even in scenarios where `ys` is queried more than once, then one should use `let ys = memoize (map f xs)`.

As a general rule, the functions that build sequences, such as `map`, `filter`, `scan`, `take`, etc., produce sequences whose elements are computed only on demand. The functions that eagerly consume sequences, such as `is_empty`, `find`, `length`, `iter`, `fold_left`, etc., are the functions that force computation to take place.

When possible, we recommend using sequences rather than dispensers (functions of type `unit -> 'a option` that produce elements upon demand). Whereas sequences can be persistent or ephemeral, dispensers are always ephemeral, and are typically more difficult to work with than sequences. Two conversion functions, `Seq.to_dispenser`[\[28.48\]](#) and `Seq.of_dispenser`[\[28.48\]](#), are provided.

Since: 4.07

```
type 'a t = unit -> 'a node
```

A sequence `xs` of type `'a t` is a delayed list of elements of type `'a`. Such a sequence is queried by performing a function application `xs()`. This function application returns a node, allowing the caller to determine whether the sequence is empty or nonempty, and in the latter case, to obtain its head and tail.

```
type 'a node =
```

```
| Nil
| Cons of 'a * 'a t
```

A node is either `Nil`, which means that the sequence is empty, or `Cons (x, xs)`, which means that `x` is the first element of the sequence and that `xs` is the remainder of the sequence.

## Consuming sequences

The functions in this section consume their argument, a sequence, either partially or completely:

- `is_empty` and `uncons` consume the sequence down to depth 1. That is, they demand the first argument of the sequence, if there is one.
- `iter`, `fold_left`, `length`, etc., consume the sequence all the way to its end. They terminate only if the sequence is finite.

- `for_all`, `exists`, `find`, etc. consume the sequence down to a certain depth, which is a priori unpredictable.

Similarly, among the functions that consume two sequences, one can distinguish two groups:

- `iter2` and `fold_left2` consume both sequences all the way to the end, provided the sequences have the same length.
- `for_all2`, `exists2`, `equal`, `compare` consume the sequences down to a certain depth, which is a priori unpredictable.

The functions that consume two sequences can be applied to two sequences of distinct lengths: in that case, the excess elements in the longer sequence are ignored. (It may be the case that one excess element is demanded, even though this element is not used.)

None of the functions in this section is lazy. These functions are consumers: they force some computation to take place.

```
val is_empty : 'a t -> bool
```

`is_empty xs` determines whether the sequence `xs` is empty.

It is recommended that the sequence `xs` be persistent. Indeed, `is_empty xs` demands the head of the sequence `xs`, so, if `xs` is ephemeral, it may be the case that `xs` cannot be used any more after this call has taken place.

**Since:** 4.14

```
val uncons : 'a t -> ('a * 'a t) option
```

If `xs` is empty, then `uncons xs` is `None`.

If `xs` is nonempty, then `uncons xs` is `Some (x, ys)` where `x` is the head of the sequence and `ys` its tail.

**Since:** 4.14

```
val length : 'a t -> int
```

`length xs` is the length of the sequence `xs`.

The sequence `xs` must be finite.

**Since:** 4.14

```
val iter : ('a -> unit) -> 'a t -> unit
```

`iter f xs` invokes `f x` successively for every element `x` of the sequence `xs`, from left to right.

It terminates only if the sequence `xs` is finite.

```
val fold_left : ('acc -> 'a -> 'acc) -> 'acc -> 'a t -> 'acc
```

`fold_left f _ xs` invokes `f _ x` successively for every element `x` of the sequence `xs`, from left to right.

An accumulator of type `'a` is threaded through the calls to `f`.

It terminates only if the sequence `xs` is finite.

```
val iteri : (int -> 'a -> unit) -> 'a t -> unit
```

`iteri f xs` invokes `f i x` successively for every element `x` located at index `i` in the sequence `xs`.

It terminates only if the sequence `xs` is finite.

`iteri f xs` is equivalent to `iter (fun (i, x) -> f i x) (zip (ints 0) xs)`.

**Since:** 4.14

```
val fold_lefti : ('acc -> int -> 'a -> 'acc) -> 'acc -> 'a t -> 'acc
```

`fold_lefti f _ xs` invokes `f _ i x` successively for every element `x` located at index `i` of the sequence `xs`.

An accumulator of type `'b` is threaded through the calls to `f`.

It terminates only if the sequence `xs` is finite.

`fold_lefti f accu xs` is equivalent to `fold_left (fun accu (i, x) -> f accu i x) accu (zip (ints 0) xs)`.

**Since:** 4.14

```
val for_all : ('a -> bool) -> 'a t -> bool
```

`for_all p xs` determines whether all elements `x` of the sequence `xs` satisfy `p x`.

The sequence `xs` must be finite.

**Since:** 4.14

```
val exists : ('a -> bool) -> 'a t -> bool
```

`exists p xs` determines whether at least one element `x` of the sequence `xs` satisfies `p x`.

The sequence `xs` must be finite.

**Since:** 4.14

```
val find : ('a -> bool) -> 'a t -> 'a option
```

`find p xs` returns `Some x`, where `x` is the first element of the sequence `xs` that satisfies `p x`, if there is such an element.

It returns `None` if there is no such element.

The sequence `xs` must be finite.

**Since:** 4.14

```
val find_index : ('a -> bool) -> 'a t -> int option
```

`find_index p xs` returns `Some i`, where `i` is the index of the first element of the sequence `xs` that satisfies `p x`, if there is such an element.

It returns `None` if there is no such element.

The sequence `xs` must be finite.

**Since:** 5.1

```
val find_map : ('a -> 'b option) -> 'a t -> 'b option
```

`find_map f xs` returns `Some y`, where `x` is the first element of the sequence `xs` such that `f x = Some _`, if there is such an element, and where `y` is defined by `f x = Some y`.

It returns `None` if there is no such element.

The sequence `xs` must be finite.

**Since:** 4.14

```
val find_map1 : (int -> 'a -> 'b option) -> 'a t -> 'b option
```

Same as `find_map`, but the predicate is applied to the index of the element as first argument (counting from 0), and the element itself as second argument.

The sequence `xs` must be finite.

**Since:** 5.1

```
val iter2 : ('a -> 'b -> unit) -> 'a t -> 'b t -> unit
```

`iter2 f xs ys` invokes `f x y` successively for every pair `(x, y)` of elements drawn synchronously from the sequences `xs` and `ys`.

If the sequences `xs` and `ys` have different lengths, then iteration stops as soon as one sequence is exhausted; the excess elements in the other sequence are ignored.

Iteration terminates only if at least one of the sequences `xs` and `ys` is finite.

`iter2 f xs ys` is equivalent to `iter (fun (x, y) -> f x y) (zip xs ys)`.

**Since:** 4.14

```
val fold_left2 : ('acc -> 'a -> 'b -> 'acc) -> 'acc -> 'a t -> 'b t -> 'acc
```

`fold_left2 f _ xs ys` invokes `f _ x y` successively for every pair `(x, y)` of elements drawn synchronously from the sequences `xs` and `ys`.

An accumulator of type `'a` is threaded through the calls to `f`.

If the sequences `xs` and `ys` have different lengths, then iteration stops as soon as one sequence is exhausted; the excess elements in the other sequence are ignored.

Iteration terminates only if at least one of the sequences `xs` and `ys` is finite.

`fold_left2 f accu xs ys` is equivalent to `fold_left (fun accu (x, y) -> f accu x y) (zip xs ys)`.

**Since:** 4.14

```
val for_all2 : ('a -> 'b -> bool) -> 'a t -> 'b t -> bool
```

`for_all2 p xs ys` determines whether all pairs `(x, y)` of elements drawn synchronously from the sequences `xs` and `ys` satisfy `p x y`.

If the sequences `xs` and `ys` have different lengths, then iteration stops as soon as one sequence is exhausted; the excess elements in the other sequence are ignored. In particular, if `xs` or `ys` is empty, then `for_all2 p xs ys` is true. This is where `for_all2` and `equal` differ: `equal eq xs ys` can be true only if `xs` and `ys` have the same length.

At least one of the sequences `xs` and `ys` must be finite.

`for_all2 p xs ys` is equivalent to `for_all (fun b -> b) (map2 p xs ys)`.

**Since:** 4.14

`val exists2 : ('a -> 'b -> bool) -> 'a t -> 'b t -> bool`

`exists2 p xs ys` determines whether some pair  $(x, y)$  of elements drawn synchronously from the sequences `xs` and `ys` satisfies `p x y`.

If the sequences `xs` and `ys` have different lengths, then iteration must stop as soon as one sequence is exhausted; the excess elements in the other sequence are ignored.

At least one of the sequences `xs` and `ys` must be finite.

`exists2 p xs ys` is equivalent to `exists (fun b -> b) (map2 p xs ys)`.

**Since:** 4.14

`val equal : ('a -> 'b -> bool) -> 'a t -> 'b t -> bool`

Provided the function `eq` defines an equality on elements, `equal eq xs ys` determines whether the sequences `xs` and `ys` are pointwise equal.

At least one of the sequences `xs` and `ys` must be finite.

**Since:** 4.14

`val compare : ('a -> 'b -> int) -> 'a t -> 'b t -> int`

Provided the function `cmp` defines a preorder on elements, `compare cmp xs ys` compares the sequences `xs` and `ys` according to the lexicographic preorder.

For more details on comparison functions, see `Array.sort`[\[28.2\]](#).

At least one of the sequences `xs` and `ys` must be finite.

**Since:** 4.14

## Constructing sequences

The functions in this section are lazy: that is, they return sequences whose elements are computed only when demanded.

`val empty : 'a t`

`empty` is the empty sequence. It has no elements. Its length is 0.

`val return : 'a -> 'a t`

`return x` is the sequence whose sole element is `x`. Its length is 1.

`val cons : 'a -> 'a t -> 'a t`

`cons x xs` is the sequence that begins with the element `x`, followed with the sequence `xs`.

Writing `cons (f()) xs` causes the function call `f()` to take place immediately. For this call to be delayed until the sequence is queried, one must instead write `(fun () -> Cons(f(), xs))`.

**Since:** 4.11

**val** `init` : `int -> (int -> 'a) -> 'a t`

`init n f` is the sequence `f 0; f 1; ...; f (n-1)`.

`n` must be nonnegative.

If desired, the infinite sequence `f 0; f 1; ...` can be defined as `map f (ints 0)`.

**Since:** 4.14

**Raises** `Invalid_argument` if `n` is negative.

**val** `unfold` : `('b -> ('a * 'b) option) -> 'b -> 'a t`

`unfold` constructs a sequence out of a step function and an initial state.

If `f u` is `None` then `unfold f u` is the empty sequence. If `f u` is `Some (x, u')` then `unfold f u` is the nonempty sequence `cons x (unfold f u')`.

For example, `unfold (function [] -> None | h :: t -> Some (h, t)) 1` is equivalent to `List.to_seq 1`.

**Since:** 4.11

**val** `repeat` : `'a -> 'a t`

`repeat x` is the infinite sequence where the element `x` is repeated indefinitely.

`repeat x` is equivalent to `cycle (return x)`.

**Since:** 4.14

**val** `forever` : `(unit -> 'a) -> 'a t`

`forever f` is an infinite sequence where every element is produced (on demand) by the function call `f()`.

For instance, `forever Random.bool` is an infinite sequence of random bits.

`forever f` is equivalent to `map f (repeat ())`.

**Since:** 4.14

**val** `cycle` : `'a t -> 'a t`

`cycle xs` is the infinite sequence that consists of an infinite number of repetitions of the sequence `xs`.

If `xs` is an empty sequence, then `cycle xs` is empty as well.

Consuming (a prefix of) the sequence `cycle xs` once can cause the sequence `xs` to be consumed more than once. Therefore, `xs` must be persistent.

**Since:** 4.14

**val** `iterate` : `('a -> 'a) -> 'a -> 'a t`

`iterate f x` is the infinite sequence whose elements are `x`, `f x`, `f (f x)`, and so on.

In other words, it is the orbit of the function `f`, starting at `x`.

**Since:** 4.14



## Transforming sequences

The functions in this section are lazy: that is, they return sequences whose elements are computed only when demanded.

**val** `map` : ('a -> 'b) -> 'a t -> 'b t

`map f xs` is the image of the sequence `xs` through the transformation `f`.

If `xs` is the sequence `x0; x1; ...` then `map f xs` is the sequence `f x0; f x1; ....`

**val** `mapi` : (int -> 'a -> 'b) -> 'a t -> 'b t

`mapi` is analogous to `map`, but applies the function `f` to an index and an element.

`mapi f xs` is equivalent to `map2 f (ints 0) xs`.

**Since:** 4.14

**val** `filter` : ('a -> bool) -> 'a t -> 'a t

`filter p xs` is the sequence of the elements `x` of `xs` that satisfy `p x`.

In other words, `filter p xs` is the sequence `xs`, deprived of the elements `x` such that `p x` is false.

**val** `filter_map` : ('a -> 'b option) -> 'a t -> 'b t

`filter_map f xs` is the sequence of the elements `y` such that `f x = Some y`, where `x` ranges over `xs`.

`filter_map f xs` is equivalent to `map Option.get (filter Option.is_some (map f xs))`.

**val** `scan` : ('b -> 'a -> 'b) -> 'b -> 'a t -> 'b t

If `xs` is a sequence `[x0; x1; x2; ...]`, then `scan f a0 xs` is a sequence of accumulators `[a0; a1; a2; ...]` where `a1` is `f a0 x0`, `a2` is `f a1 x1`, and so on.

Thus, `scan f a0 xs` is conceptually related to `fold_left f a0 xs`. However, instead of performing an eager iteration and immediately returning the final accumulator, it returns a sequence of accumulators.

For instance, `scan (+) 0` transforms a sequence of integers into the sequence of its partial sums.

If `xs` has length `n` then `scan f a0 xs` has length `n+1`.

**Since:** 4.14

**val** `take` : int -> 'a t -> 'a t

`take n xs` is the sequence of the first `n` elements of `xs`.

If `xs` has fewer than `n` elements, then `take n xs` is equivalent to `xs`.

`n` must be nonnegative.

**Since:** 4.14

**Raises** `Invalid_argument` if `n` is negative.

**val drop** : int -> 'a t -> 'a t

**drop** *n* *xs* is the sequence *xs*, deprived of its first *n* elements.

If *xs* has fewer than *n* elements, then **drop** *n* *xs* is empty.

*n* must be nonnegative.

**drop** is lazy: the first *n*+1 elements of the sequence *xs* are demanded only when the first element of **drop** *n* *xs* is demanded. For this reason, **drop** 1 *xs* is *not* equivalent to **tail** *xs*, which queries *xs* immediately.

**Since:** 4.14

**Raises** `Invalid_argument` if *n* is negative.

**val take\_while** : ('a -> bool) -> 'a t -> 'a t

**take\_while** *p* *xs* is the longest prefix of the sequence *xs* where every element *x* satisfies *p* *x*.

**Since:** 4.14

**val drop\_while** : ('a -> bool) -> 'a t -> 'a t

**drop\_while** *p* *xs* is the sequence *xs*, deprived of the prefix **take\_while** *p* *xs*.

**Since:** 4.14

**val group** : ('a -> 'a -> bool) -> 'a t -> 'a t t

Provided the function **eq** defines an equality on elements, **group** **eq** *xs* is the sequence of the maximal runs of adjacent duplicate elements of the sequence *xs*.

Every element of **group** **eq** *xs* is a nonempty sequence of equal elements.

The concatenation **concat** (**group** **eq** *xs*) is equal to *xs*.

Consuming **group** **eq** *xs*, and consuming the sequences that it contains, can cause *xs* to be consumed more than once. Therefore, *xs* must be persistent.

**Since:** 4.14

**val memoize** : 'a t -> 'a t

The sequence **memoize** *xs* has the same elements as the sequence *xs*.

Regardless of whether *xs* is ephemeral or persistent, **memoize** *xs* is persistent: even if it is queried several times, *xs* is queried at most once.

The construction of the sequence **memoize** *xs* internally relies on suspensions provided by the module `Lazy`[28.29]. These suspensions are *not* thread-safe. Therefore, the sequence **memoize** *xs* must *not* be queried by multiple threads concurrently.

**Since:** 4.14

**exception Forced\_twice**

This exception is raised when a sequence returned by `Seq.once`[28.48] (or a suffix of it) is queried more than once.

**Since:** 4.14

```
val once : 'a t -> 'a t
```

The sequence `once xs` has the same elements as the sequence `xs`.

Regardless of whether `xs` is ephemeral or persistent, `once xs` is an ephemeral sequence: it can be queried at most once. If it (or a suffix of it) is queried more than once, then the exception `Forced_twice` is raised. This can be useful, while debugging or testing, to ensure that a sequence is consumed at most once.

**Since:** 4.14

**Raises** `Forced_twice` if `once xs`, or a suffix of it, is queried more than once.

```
val transpose : 'a t t -> 'a t t
```

If `xss` is a matrix (a sequence of rows), then `transpose xss` is the sequence of the columns of the matrix `xss`.

The rows of the matrix `xss` are not required to have the same length.

The matrix `xss` is not required to be finite (in either direction).

The matrix `xss` must be persistent.

**Since:** 4.14

## Combining sequences

```
val append : 'a t -> 'a t -> 'a t
```

`append xs ys` is the concatenation of the sequences `xs` and `ys`.

Its elements are the elements of `xs`, followed by the elements of `ys`.

**Since:** 4.11

```
val concat : 'a t t -> 'a t
```

If `xss` is a sequence of sequences, then `concat xss` is its concatenation.

If `xss` is the sequence `xs0; xs1; ...` then `concat xss` is the sequence `xs0 @ xs1 @ ....`

**Since:** 4.13

```
val flat_map : ('a -> 'b t) -> 'a t -> 'b t
```

`flat_map f xs` is equivalent to `concat (map f xs)`.

```
val concat_map : ('a -> 'b t) -> 'a t -> 'b t
```

`concat_map f xs` is equivalent to `concat (map f xs)`.

`concat_map` is an alias for `flat_map`.

**Since:** 4.13

```
val zip : 'a t -> 'b t -> ('a * 'b) t
```

`zip xs ys` is the sequence of pairs  $(x, y)$  drawn synchronously from the sequences `xs` and `ys`.

If the sequences `xs` and `ys` have different lengths, then the sequence ends as soon as one sequence is exhausted; the excess elements in the other sequence are ignored.

`zip xs ys` is equivalent to `map2 (fun a b -> (a, b)) xs ys`.

**Since:** 4.14

```
val map2 : ('a -> 'b -> 'c) -> 'a t -> 'b t -> 'c t
```

`map2 f xs ys` is the sequence of the elements `f x y`, where the pairs  $(x, y)$  are drawn synchronously from the sequences `xs` and `ys`.

If the sequences `xs` and `ys` have different lengths, then the sequence ends as soon as one sequence is exhausted; the excess elements in the other sequence are ignored.

`map2 f xs ys` is equivalent to `map (fun (x, y) -> f x y) (zip xs ys)`.

**Since:** 4.14

```
val interleave : 'a t -> 'a t -> 'a t
```

`interleave xs ys` is the sequence that begins with the first element of `xs`, continues with the first element of `ys`, and so on.

When one of the sequences `xs` and `ys` is exhausted, `interleave xs ys` continues with the rest of the other sequence.

**Since:** 4.14

```
val sorted_merge : ('a -> 'a -> int) -> 'a t -> 'a t -> 'a t
```

If the sequences `xs` and `ys` are sorted according to the total preorder `cmp`, then `sorted_merge cmp xs ys` is the sorted sequence obtained by merging the sequences `xs` and `ys`.

For more details on comparison functions, see `Array.sort`[\[28.2\]](#).

**Since:** 4.14

```
val product : 'a t -> 'b t -> ('a * 'b) t
```

`product xs ys` is the Cartesian product of the sequences `xs` and `ys`.

For every element `x` of `xs` and for every element `y` of `ys`, the pair  $(x, y)$  appears once as an element of `product xs ys`.

The order in which the pairs appear is unspecified.

The sequences `xs` and `ys` are not required to be finite.

The sequences `xs` and `ys` must be persistent.

**Since:** 4.14

```
val map_product : ('a -> 'b -> 'c) -> 'a t -> 'b t -> 'c t
```

The sequence `map_product f xs ys` is the image through `f` of the Cartesian product of the sequences `xs` and `ys`.

For every element `x` of `xs` and for every element `y` of `ys`, the element `f x y` appears once as an element of `map_product f xs ys`.

The order in which these elements appear is unspecified.

The sequences `xs` and `ys` are not required to be finite.

The sequences `xs` and `ys` must be persistent.

`map_product f xs ys` is equivalent to `map (fun (x, y) -> f x y) (product xs ys)`.

**Since:** 4.14

### Splitting a sequence into two sequences

```
val unzip : ('a * 'b) t -> 'a t * 'b t
```

`unzip` transforms a sequence of pairs into a pair of sequences.

`unzip xs` is equivalent to `(map fst xs, map snd xs)`.

Querying either of the sequences returned by `unzip xs` causes `xs` to be queried. Therefore, querying both of them causes `xs` to be queried twice. Thus, `xs` must be persistent and cheap. If that is not the case, use `unzip (memoize xs)`.

**Since:** 4.14

```
val split : ('a * 'b) t -> 'a t * 'b t
```

`split` is an alias for `unzip`.

**Since:** 4.14

```
val partition_map : ('a -> ('b, 'c) Either.t) -> 'a t -> 'b t * 'c t
```

`partition_map f xs` returns a pair of sequences `(ys, zs)`, where:

- `ys` is the sequence of the elements `y` such that `f x = Left y`, where `x` ranges over `xs`;
- `zs` is the sequence of the elements `z` such that `f x = Right z`, where `x` ranges over `xs`.

`partition_map f xs` is equivalent to a pair of `filter_map Either.find_left (map f xs)` and `filter_map Either.find_right (map f xs)`.

Querying either of the sequences returned by `partition_map f xs` causes `xs` to be queried. Therefore, querying both of them causes `xs` to be queried twice. Thus, `xs` must be persistent and cheap. If that is not the case, use `partition_map f (memoize xs)`.

**Since:** 4.14

```
val partition : ('a -> bool) -> 'a t -> 'a t * 'a t
```

`partition p xs` returns a pair of the subsequence of the elements of `xs` that satisfy `p` and the subsequence of the elements of `xs` that do not satisfy `p`.

`partition p xs` is equivalent to `filter p xs, filter (fun x -> not (p x)) xs`.

Consuming both of the sequences returned by `partition p xs` causes `xs` to be consumed twice and causes the function `f` to be applied twice to each element of the list. Therefore, `f` should be pure and cheap. Furthermore, `xs` should be persistent and cheap. If that is not the case, use `partition p (memoize xs)`.

**Since:** 4.14

## Converting between sequences and dispensers

A dispenser is a representation of a sequence as a function of type `unit -> 'a option`. Every time this function is invoked, it returns the next element of the sequence. When there are no more elements, it returns `None`. A dispenser has mutable internal state, therefore is ephemeral: the sequence that it represents can be consumed at most once.

`val of_dispenser : (unit -> 'a option) -> 'a t`

`of_dispenser it` is the sequence of the elements produced by the dispenser `it`. It is an ephemeral sequence: it can be consumed at most once. If a persistent sequence is needed, use `memoize (of_dispenser it)`.

**Since:** 4.14

`val to_dispenser : 'a t -> unit -> 'a option`

`to_dispenser xs` is a fresh dispenser on the sequence `xs`.

This dispenser has mutable internal state, which is not protected by a lock; so, it must not be used by several threads concurrently.

**Since:** 4.14

## Sequences of integers

`val ints : int -> int t`

`ints i` is the infinite sequence of the integers beginning at `i` and counting up.

**Since:** 4.14

## 28.49 Module Set : Sets over ordered types.

This module implements the set data structure, given a total ordering function over the set elements. All operations over sets are purely applicative (no side-effects). The implementation uses balanced binary trees, and is therefore reasonably efficient: insertion and membership take time logarithmic in the size of the set, for instance.

The `Set.Make`[\[28.49\]](#) functor constructs implementations for any type, given a `compare` function. For instance:

```

module IntPairs =
  struct
    type t = int * int
    let compare (x0,y0) (x1,y1) =
      match Stdlib.compare x0 x1 with
      | 0 -> Stdlib.compare y0 y1
      | c -> c
  end

module PairsSet = Set.Make(IntPairs)

let m = PairsSet.(empty |> add (2,3) |> add (5,7) |> add (11,13))

```

This creates a new module `PairsSet`, with a new type `PairsSet.t` of sets of `int * int`.

```

module type OrderedType =
  sig

```

```

    type t

```

The type of the set elements.

```

    val compare : t -> t -> int

```

A total ordering function over the set elements. This is a two-argument function `f` such that `f e1 e2` is zero if the elements `e1` and `e2` are equal, `f e1 e2` is strictly negative if `e1` is smaller than `e2`, and `f e1 e2` is strictly positive if `e1` is greater than `e2`. Example: a suitable ordering function is the generic structural comparison function `compare`[\[27.2\]](#).

```

end

```

Input signature of the functor `Set.Make`[\[28.49\]](#).

```

module type S =
  sig

```

**Sets**

```

    type elt

```

The type of the set elements.

```

    type t

```

The type of sets.

```

    val empty : t

```

The empty set.

```
val add : elt -> t -> t
```

`add x s` returns a set containing all elements of `s`, plus `x`. If `x` was already in `s`, `s` is returned unchanged (the result of the function is then physically equal to `s`).

**Before 4.03** Physical equality was not ensured.

```
val singleton : elt -> t
```

`singleton x` returns the one-element set containing only `x`.

```
val remove : elt -> t -> t
```

`remove x s` returns a set containing all elements of `s`, except `x`. If `x` was not in `s`, `s` is returned unchanged (the result of the function is then physically equal to `s`).

**Before 4.03** Physical equality was not ensured.

```
val union : t -> t -> t
```

Set union.

```
val inter : t -> t -> t
```

Set intersection.

```
val disjoint : t -> t -> bool
```

Test if two sets are disjoint.

**Since:** 4.08

```
val diff : t -> t -> t
```

Set difference: `diff s1 s2` contains the elements of `s1` that are not in `s2`.

```
val cardinal : t -> int
```

Return the number of elements of a set.

## Elements

```
val elements : t -> elt list
```

Return the list of all elements of the given set. The returned list is sorted in increasing order with respect to the ordering `Ord.compare`, where `Ord` is the argument given to `Set.Make`[\[28.49\]](#).

```
val min_elt : t -> elt
```



Return the smallest element of the given set (with respect to the `Ord.compare` ordering), or raise `Not_found` if the set is empty.

```
val min_elt_opt : t -> elt option
```

Return the smallest element of the given set (with respect to the `Ord.compare` ordering), or `None` if the set is empty.

**Since:** 4.05

```
val max_elt : t -> elt
```

Same as `Set.S.min_elt`[\[28.49\]](#), but returns the largest element of the given set.

```
val max_elt_opt : t -> elt option
```

Same as `Set.S.min_elt_opt`[\[28.49\]](#), but returns the largest element of the given set.

**Since:** 4.05

```
val choose : t -> elt
```

Return one element of the given set, or raise `Not_found` if the set is empty. Which element is chosen is unspecified, but equal elements will be chosen for equal sets.

```
val choose_opt : t -> elt option
```

Return one element of the given set, or `None` if the set is empty. Which element is chosen is unspecified, but equal elements will be chosen for equal sets.

**Since:** 4.05

## Searching

```
val find : elt -> t -> elt
```

`find x s` returns the element of `s` equal to `x` (according to `Ord.compare`), or raise `Not_found` if no such element exists.

**Since:** 4.01

```
val find_opt : elt -> t -> elt option
```

`find_opt x s` returns the element of `s` equal to `x` (according to `Ord.compare`), or `None` if no such element exists.

**Since:** 4.05

```
val find_first : (elt -> bool) -> t -> elt
```

`find_first f s`, where `f` is a monotonically increasing function, returns the lowest element `e` of `s` such that `f e`, or raises `Not_found` if no such element exists.

For example, `find_first (fun e -> Ord.compare e x >= 0) s` will return the first element `e` of `s` where `Ord.compare e x >= 0` (intuitively: `e >= x`), or raise `Not_found` if `x` is greater than any element of `s`.

**Since:** 4.05

```
val find_first_opt : (elt -> bool) -> t -> elt option
```

`find_first_opt f s`, where `f` is a monotonically increasing function, returns an option containing the lowest element `e` of `s` such that `f e`, or `None` if no such element exists.

**Since:** 4.05

```
val find_last : (elt -> bool) -> t -> elt
```

`find_last f s`, where `f` is a monotonically decreasing function, returns the highest element `e` of `s` such that `f e`, or raises `Not_found` if no such element exists.

**Since:** 4.05

```
val find_last_opt : (elt -> bool) -> t -> elt option
```

`find_last_opt f s`, where `f` is a monotonically decreasing function, returns an option containing the highest element `e` of `s` such that `f e`, or `None` if no such element exists.

**Since:** 4.05

## Traversing

```
val iter : (elt -> unit) -> t -> unit
```

`iter f s` applies `f` in turn to all elements of `s`. The elements of `s` are presented to `f` in increasing order with respect to the ordering over the type of the elements.

```
val fold : (elt -> 'acc -> 'acc) -> t -> 'acc -> 'acc
```

`fold f s init` computes `(f xN ... (f x2 (f x1 init)))...`, where `x1 ... xN` are the elements of `s`, in increasing order.

## Transforming

```
val map : (elt -> elt) -> t -> t
```

`map f s` is the set whose elements are `f a0, f a1 ... f aN`, where `a0, a1 ... aN` are the elements of `s`.

The elements are passed to `f` in increasing order with respect to the ordering over the type of the elements.

If no element of `s` is changed by `f`, `s` is returned unchanged. (If each output of `f` is physically equal to its input, the returned set is physically equal to `s`.)

**Since:** 4.04

```
val filter : (elt -> bool) -> t -> t
```

`filter f s` returns the set of all elements in `s` that satisfy predicate `f`. If `f` satisfies every element in `s`, `s` is returned unchanged (the result of the function is then physically equal to `s`).

**Before 4.03** Physical equality was not ensured.

```
val filter_map : (elt -> elt option) -> t -> t
```

`filter_map f s` returns the set of all `v` such that `f x = Some v` for some element `x` of `s`.

For example,

```
filter_map (fun n -> if n mod 2 = 0 then Some (n / 2) else None) s
```

is the set of halves of the even elements of `s`.

If no element of `s` is changed or dropped by `f` (if `f x = Some x` for each element `x`), then `s` is returned unchanged: the result of the function is then physically equal to `s`.

**Since:** 4.11

```
val partition : (elt -> bool) -> t -> t * t
```

`partition f s` returns a pair of sets (`s1`, `s2`), where `s1` is the set of all the elements of `s` that satisfy the predicate `f`, and `s2` is the set of all the elements of `s` that do not satisfy `f`.

```
val split : elt -> t -> t * bool * t
```

`split x s` returns a triple (`l`, `present`, `r`), where `l` is the set of elements of `s` that are strictly less than `x`; `r` is the set of elements of `s` that are strictly greater than `x`; `present` is `false` if `s` contains no element equal to `x`, or `true` if `s` contains an element equal to `x`.

## Predicates and comparisons

```
val is_empty : t -> bool
```

Test whether a set is empty or not.

```
val mem : elt -> t -> bool
```

`mem x s` tests whether `x` belongs to the set `s`.

```
val equal : t -> t -> bool
```

`equal s1 s2` tests whether the sets `s1` and `s2` are equal, that is, contain equal elements.

```
val compare : t -> t -> int
```

Total ordering between sets. Can be used as the ordering function for doing sets of sets.

```
val subset : t -> t -> bool
```

`subset s1 s2` tests whether the set `s1` is a subset of the set `s2`.

```
val for_all : (elt -> bool) -> t -> bool
```

`for_all f s` checks if all elements of the set satisfy the predicate `f`.

```
val exists : (elt -> bool) -> t -> bool
```

`exists f s` checks if at least one element of the set satisfies the predicate `f`.

## Converting

```
val to_list : t -> elt list
```

`to_list s` is `Set.S.elements`[\[28.49\]](#) `s`.

**Since:** 5.1

```
val of_list : elt list -> t
```

`of_list l` creates a set from a list of elements. This is usually more efficient than folding `add` over the list, except perhaps for lists with many duplicated elements.

**Since:** 4.02

```
val to_seq_from : elt -> t -> elt Seq.t
```

`to_seq_from x s` iterates on a subset of the elements of `s` in ascending order, from `x` or above.

**Since:** 4.07

```
val to_seq : t -> elt Seq.t
```

Iterate on the whole set, in ascending order

**Since:** 4.07

```
val to_rev_seq : t -> elt Seq.t
```

Iterate on the whole set, in descending order

**Since:** 4.12

```
val add_seq : elt Seq.t -> t -> t
```

Add the given elements to the set, in order.

**Since:** 4.07

```
val of_seq : elt Seq.t -> t
```

Build a set from the given bindings

**Since:** 4.07

end

Output signature of the functor `Set.Make`[\[28.49\]](#).

```
module Make :
```

```
  functor (Ord : OrderedType) -> S with type elt = Ord.t
```

Functor building an implementation of the set structure given a totally ordered type.

## 28.50 Module Semaphore : Semaphores

A semaphore is a thread synchronization device that can be used to control access to a shared resource.

Two flavors of semaphores are provided: counting semaphores and binary semaphores.

**Since:** 4.12

### Counting semaphores

A counting semaphore is a counter that can be accessed concurrently by several threads. The typical use is to synchronize producers and consumers of a resource by counting how many units of the resource are available.

The two basic operations on semaphores are:

- "release" (also called "V", "post", "up", and "signal"), which increments the value of the counter. This corresponds to producing one more unit of the shared resource and making it available to others.
- "acquire" (also called "P", "wait", "down", and "pend"), which waits until the counter is greater than zero and decrements it. This corresponds to consuming one unit of the shared resource.

module Counting :

sig

type t

The type of counting semaphores.

val make : int -> t

**make** *n* returns a new counting semaphore, with initial value *n*. The initial value *n* must be nonnegative.

**Raises** *Invalid\_argument* if *n* < 0

val release : t -> unit

**release** *s* increments the value of semaphore *s*. If other threads are waiting on *s*, one of them is restarted. If the current value of *s* is equal to `max_int`, the value of the semaphore is unchanged and a `Sys_error` exception is raised to signal overflow.

**Raises** `Sys_error` if the value of the semaphore would overflow `max_int`

val acquire : t -> unit

**acquire** *s* blocks the calling thread until the value of semaphore *s* is not zero, then atomically decrements the value of *s* and returns.

val try\_acquire : t -> bool

`try_acquire s` immediately returns `false` if the value of semaphore `s` is zero. Otherwise, the value of `s` is atomically decremented and `try_acquire s` returns `true`.

```
val get_value : t -> int
```

`get_value s` returns the current value of semaphore `s`. The current value can be modified at any time by concurrent `Semaphore.Counting.release`[\[28.50\]](#) and `Semaphore.Counting.acquire`[\[28.50\]](#) operations. Hence, the `get_value` operation is racy, and its result should only be used for debugging or informational messages.

```
end
```

## Binary semaphores

Binary semaphores are a variant of counting semaphores where semaphores can only take two values, 0 and 1.

A binary semaphore can be used to control access to a single shared resource, with value 1 meaning "resource is available" and value 0 meaning "resource is unavailable".

The "release" operation of a binary semaphore sets its value to 1, and "acquire" waits until the value is 1 and sets it to 0.

A binary semaphore can be used instead of a mutex (see module `Mutex`[\[28.36\]](#)) when the mutex discipline (of unlocking the mutex from the thread that locked it) is too restrictive. The "acquire" operation corresponds to locking the mutex, and the "release" operation to unlocking it, but "release" can be performed in a thread different than the one that performed the "acquire". Likewise, it is safe to release a binary semaphore that is already available.

```
module Binary :
```

```
sig
```

```
  type t
```

The type of binary semaphores.

```
  val make : bool -> t
```

`make b` returns a new binary semaphore. If `b` is `true`, the initial value of the semaphore is 1, meaning "available". If `b` is `false`, the initial value of the semaphore is 0, meaning "unavailable".

```
  val release : t -> unit
```

`release s` sets the value of semaphore `s` to 1, putting it in the "available" state. If other threads are waiting on `s`, one of them is restarted.

```
  val acquire : t -> unit
```

`acquire s` blocks the calling thread until the semaphore `s` has value 1 (is available), then atomically sets it to 0 and returns.

```
  val try_acquire : t -> bool
```

`try_acquire s` immediately returns `false` if the semaphore `s` has value 0. If `s` has value 1, its value is atomically set to 0 and `try_acquire s` returns `true`.

end

## 28.51 Module Stack : Last-in first-out stacks.

This module implements stacks (LIFOs), with in-place modification.

**Alert `unsynchronized_access`.** Unsynchronized accesses to stacks are a programming error.

### Unsynchronized accesses

Unsynchronized accesses to a stack may lead to an invalid queue state. Thus, concurrent accesses to stacks must be synchronized (for instance with a `Mutex.t`[\[28.36\]](#)).

type 'a t

The type of stacks containing elements of type 'a.

exception Empty

Raised when `Stack.pop`[\[28.51\]](#) or `Stack.top`[\[28.51\]](#) is applied to an empty stack.

val create : unit -> 'a t

Return a new stack, initially empty.

val push : 'a -> 'a t -> unit

`push x s` adds the element `x` at the top of stack `s`.

val pop : 'a t -> 'a

`pop s` removes and returns the topmost element in stack `s`, or raises `Stack.Empty`[\[28.51\]](#) if the stack is empty.

val pop\_opt : 'a t -> 'a option

`pop_opt s` removes and returns the topmost element in stack `s`, or returns `None` if the stack is empty.

**Since:** 4.08

val drop : 'a t -> unit

`drop s` removes the topmost element in stack `s`, or raises `Stack.Empty`[\[28.51\]](#) if the stack is empty.

**Since:** 5.1

val top : 'a t -> 'a

`top s` returns the topmost element in stack `s`, or raises `Stack.Empty`[\[28.51\]](#) if the stack is empty.

**val top\_opt** : 'a t -> 'a option

top\_opt s returns the topmost element in stack s, or None if the stack is empty.

**Since:** 4.08

**val clear** : 'a t -> unit

Discard all elements from a stack.

**val copy** : 'a t -> 'a t

Return a copy of the given stack.

**val is\_empty** : 'a t -> bool

Return true if the given stack is empty, false otherwise.

**val length** : 'a t -> int

Return the number of elements in a stack. Time complexity O(1)

**val iter** : ('a -> unit) -> 'a t -> unit

iter f s applies f in turn to all elements of s, from the element at the top of the stack to the element at the bottom of the stack. The stack itself is unchanged.

**val fold** : ('acc -> 'a -> 'acc) -> 'acc -> 'a t -> 'acc

fold f accu s is (f (... (f (f accu x1) x2) ...) xn) where x1 is the top of the stack, x2 the second element, and xn the bottom element. The stack is unchanged.

**Since:** 4.03

## Stacks and Sequences

**val to\_seq** : 'a t -> 'a Seq.t

Iterate on the stack, top to bottom. It is safe to modify the stack during iteration.

**Since:** 4.07

**val add\_seq** : 'a t -> 'a Seq.t -> unit

Add the elements from the sequence on the top of the stack.

**Since:** 4.07

**val of\_seq** : 'a Seq.t -> 'a t

Create a stack from the sequence.

**Since:** 4.07



## 28.52 Module StdLabels : Standard labeled libraries.

This meta-module provides versions of the `StdLabels.Array`[\[28.52\]](#), `StdLabels.Bytes`[\[28.52\]](#), `StdLabels.List`[\[28.52\]](#) and `StdLabels.String`[\[28.52\]](#) modules where function arguments are systematically labeled. It is intended to be opened at the top of source files, as shown below.

```
open StdLabels

let to_upper = String.map ~f:Char.uppercase_ascii
let seq len = List.init ~f:(fun i -> i) ~len
let everything = Array.create_matrix ~dimx:42 ~dimy:42 42

module Array :
  ArrayLabels
module Bytes :
  BytesLabels
module List :
  ListLabels
module String :
  StringLabels
```

## 28.53 Module String : Strings.

A string `s` of length `n` is an indexable and immutable sequence of `n` bytes. For historical reasons these bytes are referred to as characters.

The semantics of string functions is defined in terms of indices and positions. These are depicted and described as follows.

positions	0	1	2	3	4	n-1	n
	+---+---+---+---+						+-----+
indices	0	1	2	3	...	n-1	
	+---+---+---+---+						+-----+

- An *index* `i` of `s` is an integer in the range `[0;n-1]`. It represents the `i`th byte (character) of `s` which can be accessed using the constant time string indexing operator `s.[i]`.
- A *position* `i` of `s` is an integer in the range `[0;n]`. It represents either the point at the beginning of the string, or the point between two indices, or the point at the end of the string. The `i`th byte index is between position `i` and `i+1`.

Two integers `start` and `len` are said to define a *valid substring* of `s` if `len >= 0` and `start`, `start+len` are positions of `s`.

**Unicode text.** Strings being arbitrary sequences of bytes, they can hold any kind of textual encoding. However the recommended encoding for storing Unicode text in OCaml strings is UTF-8.

This is the encoding used by Unicode escapes in string literals. For example the string `"\u{1F42B}"` is the UTF-8 encoding of the Unicode character U+1F42B.

**Past mutability.** Before OCaml 4.02, strings used to be modifiable in place like `Bytes.t`[\[28.8\]](#) mutable sequences of bytes. OCaml 4 had various compiler flags and configuration options to support the transition period from mutable to immutable strings. Those options are no longer available, and strings are now always immutable.

The labeled version of this module can be used as described in the `StdLabels`[\[28.52\]](#) module.

## Strings

`type t = string`

The type for strings.

`val make : int -> char -> string`

`make n c` is a string of length `n` with each index holding the character `c`.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_string_length`[\[28.55\]](#).

`val init : int -> (int -> char) -> string`

`init n f` is a string of length `n` with index `i` holding the character `f i` (called in increasing index order).

**Since:** 4.02

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_string_length`[\[28.55\]](#).

`val empty : string`

The empty string.

**Since:** 4.13

`val length : string -> int`

`length s` is the length (number of bytes/characters) of `s`.

`val get : string -> int -> char`

`get s i` is the character at index `i` in `s`. This is the same as writing `s.[i]`.

**Raises** `Invalid_argument` if `i` not an index of `s`.

`val of_bytes : bytes -> string`

Return a new string that contains the same bytes as the given byte sequence.

**Since:** 4.13

`val to_bytes : string -> bytes`

Return a new byte sequence that contains the same bytes as the given string.

**Since:** 4.13

`val blit : string -> int -> bytes -> int -> int -> unit`

Same as `Bytes.blit_string`[\[28.8\]](#) which should be preferred.

## Concatenating

**Note.** The (^)[27.2] binary operator concatenates two strings.

`val concat : string -> string list -> string`

`concat sep ss` concatenates the list of strings `ss`, inserting the separator string `sep` between each.

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[28.55] bytes.

`val cat : string -> string -> string`

`cat s1 s2` concatenates `s1` and `s2` (`s1 ^ s2`).

**Since:** 4.13

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[28.55] bytes.

## Predicates and comparisons

`val equal : t -> t -> bool`

`equal s0 s1` is true if and only if `s0` and `s1` are character-wise equal.

**Since:** 4.03 (4.05 in `StringLabels`)

`val compare : t -> t -> int`

`compare s0 s1` sorts `s0` and `s1` in lexicographical order. `compare` behaves like `compare`[27.2] on strings but may be more efficient.

`val starts_with : prefix:string -> string -> bool`

`starts_with ~prefix s` is true if and only if `s` starts with `prefix`.

**Since:** 4.13

`val ends_with : suffix:string -> string -> bool`

`ends_with ~suffix s` is true if and only if `s` ends with `suffix`.

**Since:** 4.13

`val contains_from : string -> int -> char -> bool`

`contains_from s start c` is true if and only if `c` appears in `s` after position `start`.

**Raises** `Invalid_argument` if `start` is not a valid position in `s`.

`val rcontains_from : string -> int -> char -> bool`

`rcontains_from s stop c` is true if and only if `c` appears in `s` before position `stop+1`.

**Raises** `Invalid_argument` if `stop < 0` or `stop+1` is not a valid position in `s`.

`val contains : string -> char -> bool`

`contains s c` is `String.contains_from`[28.53] `s 0 c`.

## Extracting substrings

**val** `sub` : `string -> int -> int -> string`

`sub s pos len` is a string of length `len`, containing the substring of `s` that starts at position `pos` and has length `len`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid substring of `s`.

**val** `split_on_char` : `char -> string -> string list`

`split_on_char sep s` is the list of all (possibly empty) substrings of `s` that are delimited by the character `sep`.

The function's result is specified by the following invariants:

- The list is not empty.
- Concatenating its elements using `sep` as a separator returns a string equal to the input (`concat (make 1 sep) (split_on_char sep s) = s`).
- No string in the result contains the `sep` character.

**Since:** 4.04 (4.05 in `StringLabels`)

## Transforming

**val** `map` : `(char -> char) -> string -> string`

`map f s` is the string resulting from applying `f` to all the characters of `s` in increasing order.

**Since:** 4.00

**val** `mapi` : `(int -> char -> char) -> string -> string`

`mapi f s` is like `String.map`[\[28.53\]](#) but the index of the character is also passed to `f`.

**Since:** 4.02

**val** `fold_left` : `('acc -> char -> 'acc) -> 'acc -> string -> 'acc`

`fold_left f x s` computes `f (... (f (f x s.[0]) s.[1]) ...) s.[n-1]`, where `n` is the length of the string `s`.

**Since:** 4.13

**val** `fold_right` : `(char -> 'acc -> 'acc) -> string -> 'acc -> 'acc`

`fold_right f s x` computes `f s.[0] (f s.[1] (... (f s.[n-1] x) ...))`, where `n` is the length of the string `s`.

**Since:** 4.13

**val** `for_all` : `(char -> bool) -> string -> bool`

`for_all p s` checks if all characters in `s` satisfy the predicate `p`.

**Since:** 4.13

**val exists** : (char -> bool) -> string -> bool

**exists** *p s* checks if at least one character of *s* satisfies the predicate *p*.

**Since:** 4.13

**val trim** : string -> string

**trim** *s* is *s* without leading and trailing whitespace. Whitespace characters are: ' ', '\x0C' (form feed), '\n', '\r', and '\t'.

**Since:** 4.00

**val escaped** : string -> string

**escaped** *s* is *s* with special characters represented by escape sequences, following the lexical conventions of OCaml.

All characters outside the US-ASCII printable range [0x20;0x7E] are escaped, as well as backslash (0x2F) and double-quote (0x22).

The function `Scanf.unescaped`[\[28.47\]](#) is a left inverse of **escaped**, i.e. `Scanf.unescaped (escaped s) = s` for any string *s* (unless **escaped** *s* fails).

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

**val uppercase\_ascii** : string -> string

**uppercase\_ascii** *s* is *s* with all lowercase letters translated to uppercase, using the US-ASCII character set.

**Since:** 4.03 (4.05 in StringLabels)

**val lowercase\_ascii** : string -> string

**lowercase\_ascii** *s* is *s* with all uppercase letters translated to lowercase, using the US-ASCII character set.

**Since:** 4.03 (4.05 in StringLabels)

**val capitalize\_ascii** : string -> string

**capitalize\_ascii** *s* is *s* with the first character set to uppercase, using the US-ASCII character set.

**Since:** 4.03 (4.05 in StringLabels)

**val uncapitalize\_ascii** : string -> string

**uncapitalize\_ascii** *s* is *s* with the first character set to lowercase, using the US-ASCII character set.

**Since:** 4.03 (4.05 in StringLabels)

## Traversing

**val** `iter` : (char -> unit) -> string -> unit

`iter f s` applies function `f` in turn to all the characters of `s`. It is equivalent to `f s.[0]; f s.[1]; ...; f s.[length s - 1]; ()`.

**val** `iteri` : (int -> char -> unit) -> string -> unit

`iteri` is like `String.iter`[\[28.53\]](#), but the function is also given the corresponding character index.

**Since:** 4.00

## Searching

**val** `index_from` : string -> int -> char -> int

`index_from s i c` is the index of the first occurrence of `c` in `s` after position `i`.

**Raises**

- `Not_found` if `c` does not occur in `s` after position `i`.
- `Invalid_argument` if `i` is not a valid position in `s`.

**val** `index_from_opt` : string -> int -> char -> int option

`index_from_opt s i c` is the index of the first occurrence of `c` in `s` after position `i` (if any).

**Since:** 4.05

**Raises** `Invalid_argument` if `i` is not a valid position in `s`.

**val** `rindex_from` : string -> int -> char -> int

`rindex_from s i c` is the index of the last occurrence of `c` in `s` before position `i+1`.

**Raises**

- `Not_found` if `c` does not occur in `s` before position `i+1`.
- `Invalid_argument` if `i+1` is not a valid position in `s`.

**val** `rindex_from_opt` : string -> int -> char -> int option

`rindex_from_opt s i c` is the index of the last occurrence of `c` in `s` before position `i+1` (if any).

**Since:** 4.05

**Raises** `Invalid_argument` if `i+1` is not a valid position in `s`.

**val** `index` : string -> char -> int

`index s c` is `String.index_from`[\[28.53\]](#) `s 0 c`.

**val** `index_opt` : string -> char -> int option

`index_opt s c` is `String.index_from_opt`[28.53] `s 0 c`.

**Since:** 4.05

`val rindex : string -> char -> int`

`rindex s c` is `String.rindex_from`[28.53] `s (length s - 1) c`.

`val rindex_opt : string -> char -> int option`

`rindex_opt s c` is `String.rindex_from_opt`[28.53] `s (length s - 1) c`.

**Since:** 4.05

## Strings and Sequences

`val to_seq : t -> char Seq.t`

`to_seq s` is a sequence made of the string's characters in increasing order. In "unsafe-string" mode, modifications of the string during iteration will be reflected in the sequence.

**Since:** 4.07

`val to_seqi : t -> (int * char) Seq.t`

`to_seqi s` is like `String.to_seq`[28.53] but also tuples the corresponding index.

**Since:** 4.07

`val of_seq : char Seq.t -> t`

`of_seq s` is a string made of the sequence's characters.

**Since:** 4.07

## UTF decoding and validations

### UTF-8

`val get_utf_8_uchar : t -> int -> Uchar.utf_decode`

`get_utf_8_uchar b i` decodes an UTF-8 character at index `i` in `b`.

`val is_valid_utf_8 : t -> bool`

`is_valid_utf_8 b` is true if and only if `b` contains valid UTF-8 data.

### UTF-16BE

`val get_utf_16be_uchar : t -> int -> Uchar.utf_decode`

`get_utf_16be_uchar b i` decodes an UTF-16BE character at index `i` in `b`.

`val is_valid_utf_16be : t -> bool`

`is_valid_utf_16be b` is true if and only if `b` contains valid UTF-16BE data.

## UTF-16LE

```
val get_utf_16le_uchar : t -> int -> Uchar.utf_decode
    get_utf_16le_uchar b i decodes an UTF-16LE character at index i in b.

val is_valid_utf_16le : t -> bool
    is_valid_utf_16le b is true if and only if b contains valid UTF-16LE data.
```

## Binary decoding of integers

The functions in this section binary decode integers from strings.

All following functions raise `Invalid_argument` if the characters needed at index `i` to decode the integer are not available.

Little-endian (resp. big-endian) encoding means that least (resp. most) significant bytes are stored first. Big-endian is also known as network byte order. Native-endian encoding is either little-endian or big-endian depending on `Sys.big_endian`[\[28.55\]](#).

32-bit and 64-bit integers are represented by the `int32` and `int64` types, which can be interpreted either as signed or unsigned numbers.

8-bit and 16-bit integers are represented by the `int` type, which has more bits than the binary encoding. These extra bits are sign-extended (or zero-extended) for functions which decode 8-bit or 16-bit integers and represented them with `int` values.

```
val get_uint8 : string -> int -> int
    get_uint8 b i is b's unsigned 8-bit integer starting at character index i.
    Since: 4.13

val get_int8 : string -> int -> int
    get_int8 b i is b's signed 8-bit integer starting at character index i.
    Since: 4.13

val get_uint16_ne : string -> int -> int
    get_uint16_ne b i is b's native-endian unsigned 16-bit integer starting at character index i.
    Since: 4.13

val get_uint16_be : string -> int -> int
    get_uint16_be b i is b's big-endian unsigned 16-bit integer starting at character index i.
    Since: 4.13

val get_uint16_le : string -> int -> int
    get_uint16_le b i is b's little-endian unsigned 16-bit integer starting at character index i.
    Since: 4.13

val get_int16_ne : string -> int -> int
```



`get_int16_ne b i` is `b`'s native-endian signed 16-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int16_be : string -> int -> int`

`get_int16_be b i` is `b`'s big-endian signed 16-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int16_le : string -> int -> int`

`get_int16_le b i` is `b`'s little-endian signed 16-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int32_ne : string -> int -> int32`

`get_int32_ne b i` is `b`'s native-endian 32-bit integer starting at character index `i`.

**Since:** 4.13

`val hash : t -> int`

An unseeded hash function for strings, with the same output value as `Hashtbl.hash`[\[28.24\]](#).

This function allows this module to be passed as argument to the functor

`Hashtbl.Make`[\[28.24\]](#).

**Since:** 5.0

`val seeded_hash : int -> t -> int`

A seeded hash function for strings, with the same output value as

`Hashtbl.seeded_hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 5.0

`val get_int32_be : string -> int -> int32`

`get_int32_be b i` is `b`'s big-endian 32-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int32_le : string -> int -> int32`

`get_int32_le b i` is `b`'s little-endian 32-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int64_ne : string -> int -> int64`

`get_int64_ne b i` is `b`'s native-endian 64-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int64_be : string -> int -> int64`

`get_int64_be b i` is `b`'s big-endian 64-bit integer starting at character index `i`.

**Since:** 4.13

```
val get_int64_le : string -> int -> int64
```

`get_int64_le b i` is `b`'s little-endian 64-bit integer starting at character index `i`.

**Since:** 4.13

## 28.54 Module StringLabels : Strings.

A string `s` of length `n` is an indexable and immutable sequence of `n` bytes. For historical reasons these bytes are referred to as characters.

The semantics of string functions is defined in terms of indices and positions. These are depicted and described as follows.

positions	0	1	2	3	4	n-1	n
	+---+---+---+---+					+-----+	
indices	0	1	2	3	...	n-1	
	+---+---+---+---+					+-----+	

- An *index* `i` of `s` is an integer in the range `[0;n-1]`. It represents the `i`th byte (character) of `s` which can be accessed using the constant time string indexing operator `s.[i]`.
- A *position* `i` of `s` is an integer in the range `[0;n]`. It represents either the point at the beginning of the string, or the point between two indices, or the point at the end of the string. The `i`th byte index is between position `i` and `i+1`.

Two integers `start` and `len` are said to define a *valid substring* of `s` if `len >= 0` and `start`, `start+len` are positions of `s`.

**Unicode text.** Strings being arbitrary sequences of bytes, they can hold any kind of textual encoding. However the recommended encoding for storing Unicode text in OCaml strings is UTF-8. This is the encoding used by Unicode escapes in string literals. For example the string `"\u{1F42B}"` is the UTF-8 encoding of the Unicode character U+1F42B.

**Past mutability.** Before OCaml 4.02, strings used to be modifiable in place like `Bytes.t`[\[28.8\]](#) mutable sequences of bytes. OCaml 4 had various compiler flags and configuration options to support the transition period from mutable to immutable strings. Those options are no longer available, and strings are now always immutable.

The labeled version of this module can be used as described in the `StdLabels`[\[28.52\]](#) module.

### Strings

```
type t = string
```

The type for strings.

```
val make : int -> char -> string
```

`make n c` is a string of length `n` with each index holding the character `c`.

**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_string_length`[\[28.55\]](#).

**val** `init` : `int -> f:(int -> char) -> string`  
`init n ~f` is a string of length `n` with index `i` holding the character `f i` (called in increasing index order).  
**Since:** 4.02  
**Raises** `Invalid_argument` if `n < 0` or `n > Sys.max_string_length`[\[28.55\]](#).

**val** `empty` : `string`  
The empty string.  
**Since:** 4.13

**val** `length` : `string -> int`  
`length s` is the length (number of bytes/characters) of `s`.

**val** `get` : `string -> int -> char`  
`get s i` is the character at index `i` in `s`. This is the same as writing `s.[i]`.  
**Raises** `Invalid_argument` if `i` not an index of `s`.

**val** `of_bytes` : `bytes -> string`  
Return a new string that contains the same bytes as the given byte sequence.  
**Since:** 4.13

**val** `to_bytes` : `string -> bytes`  
Return a new byte sequence that contains the same bytes as the given string.  
**Since:** 4.13

**val** `blit` :  
`src:string -> src_pos:int -> dst:bytes -> dst_pos:int -> len:int -> unit`  
Same as `Bytes.blit_string`[\[28.8\]](#) which should be preferred.

## Concatenating

**Note.** The `(^)`[\[27.2\]](#) binary operator concatenates two strings.

**val** `concat` : `sep:string -> string list -> string`  
`concat ~sep ss` concatenates the list of strings `ss`, inserting the separator string `sep` between each.  
**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

**val** `cat` : `string -> string -> string`  
`cat s1 s2` concatenates `s1` and `s2` (`s1 ^ s2`).  
**Since:** 4.13  
**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

## Predicates and comparisons

`val equal : t -> t -> bool`

`equal s0 s1` is true if and only if `s0` and `s1` are character-wise equal.

**Since:** 4.05

`val compare : t -> t -> int`

`compare s0 s1` sorts `s0` and `s1` in lexicographical order. `compare` behaves like `compare`[\[27.2\]](#) on strings but may be more efficient.

`val starts_with : prefix:string -> string -> bool`

`starts_with ~prefix s` is true if and only if `s` starts with `prefix`.

**Since:** 4.13

`val ends_with : suffix:string -> string -> bool`

`ends_with ~suffix s` is true if and only if `s` ends with `suffix`.

**Since:** 4.13

`val contains_from : string -> int -> char -> bool`

`contains_from s start c` is true if and only if `c` appears in `s` after position `start`.

**Raises** `Invalid_argument` if `start` is not a valid position in `s`.

`val rcontains_from : string -> int -> char -> bool`

`rcontains_from s stop c` is true if and only if `c` appears in `s` before position `stop+1`.

**Raises** `Invalid_argument` if `stop < 0` or `stop+1` is not a valid position in `s`.

`val contains : string -> char -> bool`

`contains s c` is `String.contains_from`[\[28.53\]](#) `s 0 c`.

## Extracting substrings

`val sub : string -> pos:int -> len:int -> string`

`sub s ~pos ~len` is a string of length `len`, containing the substring of `s` that starts at position `pos` and has length `len`.

**Raises** `Invalid_argument` if `pos` and `len` do not designate a valid substring of `s`.

`val split_on_char : sep:char -> string -> string list`

`split_on_char ~sep s` is the list of all (possibly empty) substrings of `s` that are delimited by the character `sep`.

The function's result is specified by the following invariants:

- The list is not empty.

- Concatenating its elements using `sep` as a separator returns a string equal to the input (`concat (make 1 sep) (split_on_char sep s) = s`).
- No string in the result contains the `sep` character.

**Since:** 4.05

## Transforming

`val map : f:(char -> char) -> string -> string`

`map f s` is the string resulting from applying `f` to all the characters of `s` in increasing order.

**Since:** 4.00

`val mapi : f:(int -> char -> char) -> string -> string`

`mapi ~f s` is like `StringLabels.map`[\[28.54\]](#) but the index of the character is also passed to `f`.

**Since:** 4.02

`val fold_left : f:('acc -> char -> 'acc) -> init:'acc -> string -> 'acc`

`fold_left f x s` computes `f (... (f (f x s.[0]) s.[1]) ...) s.[n-1]`, where `n` is the length of the string `s`.

**Since:** 4.13

`val fold_right : f:(char -> 'acc -> 'acc) -> string -> init:'acc -> 'acc`

`fold_right f s x` computes `f s.[0] (f s.[1] (... (f s.[n-1] x) ...))`, where `n` is the length of the string `s`.

**Since:** 4.13

`val for_all : f:(char -> bool) -> string -> bool`

`for_all p s` checks if all characters in `s` satisfy the predicate `p`.

**Since:** 4.13

`val exists : f:(char -> bool) -> string -> bool`

`exists p s` checks if at least one character of `s` satisfies the predicate `p`.

**Since:** 4.13

`val trim : string -> string`

`trim s` is `s` without leading and trailing whitespace. Whitespace characters are: ' ', '\x0C' (form feed), '\n', '\r', and '\t'.

**Since:** 4.00

`val escaped : string -> string`

**escaped** *s* is *s* with special characters represented by escape sequences, following the lexical conventions of OCaml.

All characters outside the US-ASCII printable range [0x20;0x7E] are escaped, as well as backslash (0x2F) and double-quote (0x22).

The function `Scanf.unescaped`[\[28.47\]](#) is a left inverse of **escaped**, i.e. `Scanf.unescaped (escaped s) = s` for any string *s* (unless **escaped** *s* fails).

**Raises** `Invalid_argument` if the result is longer than `Sys.max_string_length`[\[28.55\]](#) bytes.

**val** `uppercase_ascii : string -> string`

`uppercase_ascii s` is *s* with all lowercase letters translated to uppercase, using the US-ASCII character set.

**Since:** 4.05

**val** `lowercase_ascii : string -> string`

`lowercase_ascii s` is *s* with all uppercase letters translated to lowercase, using the US-ASCII character set.

**Since:** 4.05

**val** `capitalize_ascii : string -> string`

`capitalize_ascii s` is *s* with the first character set to uppercase, using the US-ASCII character set.

**Since:** 4.05

**val** `uncapitalize_ascii : string -> string`

`uncapitalize_ascii s` is *s* with the first character set to lowercase, using the US-ASCII character set.

**Since:** 4.05

## Traversing

**val** `iter : f:(char -> unit) -> string -> unit`

`iter ~f s` applies function *f* in turn to all the characters of *s*. It is equivalent to `f s.[0]; f s.[1]; ...; f s.[length s - 1]; ()`.

**val** `iteri : f:(int -> char -> unit) -> string -> unit`

`iteri` is like `StringLabels.iter`[\[28.54\]](#), but the function is also given the corresponding character index.

**Since:** 4.00

## Searching

`val index_from : string -> int -> char -> int`

`index_from s i c` is the index of the first occurrence of `c` in `s` after position `i`.

**Raises**

- `Not_found` if `c` does not occur in `s` after position `i`.
- `Invalid_argument` if `i` is not a valid position in `s`.

`val index_from_opt : string -> int -> char -> int option`

`index_from_opt s i c` is the index of the first occurrence of `c` in `s` after position `i` (if any).

**Since:** 4.05

**Raises** `Invalid_argument` if `i` is not a valid position in `s`.

`val rindex_from : string -> int -> char -> int`

`rindex_from s i c` is the index of the last occurrence of `c` in `s` before position `i+1`.

**Raises**

- `Not_found` if `c` does not occur in `s` before position `i+1`.
- `Invalid_argument` if `i+1` is not a valid position in `s`.

`val rindex_from_opt : string -> int -> char -> int option`

`rindex_from_opt s i c` is the index of the last occurrence of `c` in `s` before position `i+1` (if any).

**Since:** 4.05

**Raises** `Invalid_argument` if `i+1` is not a valid position in `s`.

`val index : string -> char -> int`

`index s c` is `String.index_from`[\[28.53\]](#) `s 0 c`.

`val index_opt : string -> char -> int option`

`index_opt s c` is `String.index_from_opt`[\[28.53\]](#) `s 0 c`.

**Since:** 4.05

`val rindex : string -> char -> int`

`rindex s c` is `String.rindex_from`[\[28.53\]](#) `s (length s - 1) c`.

`val rindex_opt : string -> char -> int option`

`rindex_opt s c` is `String.rindex_from_opt`[\[28.53\]](#) `s (length s - 1) c`.

**Since:** 4.05

## Strings and Sequences

`val to_seq : t -> char Seq.t`

`to_seq s` is a sequence made of the string's characters in increasing order. In "unsafe-string" mode, modifications of the string during iteration will be reflected in the sequence.

**Since:** 4.07

`val to_seqi : t -> (int * char) Seq.t`

`to_seqi s` is like `StringLabels.to_seq`[\[28.54\]](#) but also tuples the corresponding index.

**Since:** 4.07

`val of_seq : char Seq.t -> t`

`of_seq s` is a string made of the sequence's characters.

**Since:** 4.07

## UTF decoding and validations

### UTF-8

`val get_utf_8_uchar : t -> int -> Uchar.utf_decode`

`get_utf_8_uchar b i` decodes an UTF-8 character at index `i` in `b`.

`val is_valid_utf_8 : t -> bool`

`is_valid_utf_8 b` is true if and only if `b` contains valid UTF-8 data.

### UTF-16BE

`val get_utf_16be_uchar : t -> int -> Uchar.utf_decode`

`get_utf_16be_uchar b i` decodes an UTF-16BE character at index `i` in `b`.

`val is_valid_utf_16be : t -> bool`

`is_valid_utf_16be b` is true if and only if `b` contains valid UTF-16BE data.

### UTF-16LE

`val get_utf_16le_uchar : t -> int -> Uchar.utf_decode`

`get_utf_16le_uchar b i` decodes an UTF-16LE character at index `i` in `b`.

`val is_valid_utf_16le : t -> bool`

`is_valid_utf_16le b` is true if and only if `b` contains valid UTF-16LE data.



## Binary decoding of integers

The functions in this section binary decode integers from strings.

All following functions raise `Invalid_argument` if the characters needed at index `i` to decode the integer are not available.

Little-endian (resp. big-endian) encoding means that least (resp. most) significant bytes are stored first. Big-endian is also known as network byte order. Native-endian encoding is either little-endian or big-endian depending on `Sys.big_endian`[\[28.55\]](#).

32-bit and 64-bit integers are represented by the `int32` and `int64` types, which can be interpreted either as signed or unsigned numbers.

8-bit and 16-bit integers are represented by the `int` type, which has more bits than the binary encoding. These extra bits are sign-extended (or zero-extended) for functions which decode 8-bit or 16-bit integers and represented them with `int` values.

```
val get_uint8 : string -> int -> int
```

`get_uint8 b i` is `b`'s unsigned 8-bit integer starting at character index `i`.

**Since:** 4.13

```
val get_int8 : string -> int -> int
```

`get_int8 b i` is `b`'s signed 8-bit integer starting at character index `i`.

**Since:** 4.13

```
val get_uint16_ne : string -> int -> int
```

`get_uint16_ne b i` is `b`'s native-endian unsigned 16-bit integer starting at character index `i`.

**Since:** 4.13

```
val get_uint16_be : string -> int -> int
```

`get_uint16_be b i` is `b`'s big-endian unsigned 16-bit integer starting at character index `i`.

**Since:** 4.13

```
val get_uint16_le : string -> int -> int
```

`get_uint16_le b i` is `b`'s little-endian unsigned 16-bit integer starting at character index `i`.

**Since:** 4.13

```
val get_int16_ne : string -> int -> int
```

`get_int16_ne b i` is `b`'s native-endian signed 16-bit integer starting at character index `i`.

**Since:** 4.13

```
val get_int16_be : string -> int -> int
```

`get_int16_be b i` is `b`'s big-endian signed 16-bit integer starting at character index `i`.

**Since:** 4.13

```
val get_int16_le : string -> int -> int
```

`get_int16_le b i` is b's little-endian signed 16-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int32_ne : string -> int -> int32`

`get_int32_ne b i` is b's native-endian 32-bit integer starting at character index `i`.

**Since:** 4.13

`val hash : t -> int`

An unseeded hash function for strings, with the same output value as `Hashtbl.hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.Make`[\[28.24\]](#).

**Since:** 5.0

`val seeded_hash : int -> t -> int`

A seeded hash function for strings, with the same output value as `Hashtbl.seeded_hash`[\[28.24\]](#). This function allows this module to be passed as argument to the functor `Hashtbl.MakeSeeded`[\[28.24\]](#).

**Since:** 5.0

`val get_int32_be : string -> int -> int32`

`get_int32_be b i` is b's big-endian 32-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int32_le : string -> int -> int32`

`get_int32_le b i` is b's little-endian 32-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int64_ne : string -> int -> int64`

`get_int64_ne b i` is b's native-endian 64-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int64_be : string -> int -> int64`

`get_int64_be b i` is b's big-endian 64-bit integer starting at character index `i`.

**Since:** 4.13

`val get_int64_le : string -> int -> int64`

`get_int64_le b i` is b's little-endian 64-bit integer starting at character index `i`.

**Since:** 4.13

## 28.55 Module Sys : System interface.

Every function in this module raises `Sys_error` with an informative message when the underlying system call signal an error.

**val** argv : string array

The command line arguments given to the process. The first element is the command name used to invoke the program. The following elements are the command-line arguments given to the program.

**val** executable\_name : string

The name of the file containing the executable currently running. This name may be absolute or relative to the current directory, depending on the platform and whether the program was compiled to bytecode or a native executable.

**val** file\_exists : string -> bool

Test if a file with the given name exists.

**val** is\_directory : string -> bool

Returns `true` if the given name refers to a directory, `false` if it refers to another kind of file.

**Since:** 3.10

**Raises** `Sys_error` if no file exists with the given name.

**val** is\_regular\_file : string -> bool

Returns `true` if the given name refers to a regular file, `false` if it refers to another kind of file.

**Since:** 5.1

**Raises** `Sys_error` if no file exists with the given name.

**val** remove : string -> unit

Remove the given file name from the file system.

**val** rename : string -> string -> unit

Rename a file or directory. `rename oldpath newpath` renames the file or directory called `oldpath`, giving it `newpath` as its new name, moving it between (parent) directories if needed. If a file named `newpath` already exists, its contents will be replaced with those of `oldpath`. Depending on the operating system, the metadata (permissions, owner, etc) of `newpath` can either be preserved or be replaced by those of `oldpath`.

**Since:** 4.06 concerning the "replace existing file" behavior

**val** getenv : string -> string

Return the value associated to a variable in the process environment.

**Raises** `Not_found` if the variable is unbound.

```
val getenv_opt : string -> string option
```

Return the value associated to a variable in the process environment or `None` if the variable is unbound.

**Since:** 4.05

```
val command : string -> int
```

Execute the given shell command and return its exit code.

The argument of `Sys.command`[\[28.55\]](#) is generally the name of a command followed by zero, one or several arguments, separated by whitespace. The given argument is interpreted by a shell: either the Windows shell `cmd.exe` for the Win32 ports of OCaml, or the POSIX shell `sh` for other ports. It can contain shell builtin commands such as `echo`, and also special characters such as file redirections `>` and `<`, which will be honored by the shell.

Conversely, whitespace or special shell characters occurring in command names or in their arguments must be quoted or escaped so that the shell does not interpret them. The quoting rules vary between the POSIX shell and the Windows shell. The

`Filename.quote_command`[\[28.19\]](#) performs the appropriate quoting given a command name, a list of arguments, and optional file redirections.

```
val time : unit -> float
```

Return the processor time, in seconds, used by the program since the beginning of execution.

```
val chdir : string -> unit
```

Change the current working directory of the process.

```
val mkdir : string -> int -> unit
```

Create a directory with the given permissions.

**Since:** 4.12

```
val rmdir : string -> unit
```

Remove an empty directory.

**Since:** 4.12

```
val getcwd : unit -> string
```

Return the current working directory of the process.

```
val readdir : string -> string array
```

Return the names of all files present in the given directory. Names denoting the current directory and the parent directory ("`.`" and "`..`" in Unix) are not returned. Each string in the result is a file name rather than a complete path. There is no guarantee that the name strings in the resulting array will appear in any specific order; they are not, in particular, guaranteed to appear in alphabetical order.

```
val interactive : bool ref
```

This reference is initially set to **false** in standalone programs and to **true** if the code is being executed under the interactive toplevel system **ocaml**.

**Alert unsynchronized\_access.** The interactive status is a mutable global state.

**val os\_type : string**

Operating system currently executing the OCaml program. One of

- "Unix" (for all Unix versions, including Linux and Mac OS X),
- "Win32" (for MS-Windows, OCaml compiled with MSVC++ or MinGW-w64),
- "Cygwin" (for MS-Windows, OCaml compiled with Cygwin).

**type backend\_type =**

| Native  
| Bytecode  
| Other of string

Currently, the official distribution only supports **Native** and **Bytecode**, but it can be other backends with alternative compilers, for example, javascript.

**Since:** 4.04

**val backend\_type : backend\_type**

Backend type currently executing the OCaml program.

**Since:** 4.04

**val unix : bool**

True if `Sys.os_type = "Unix"`.

**Since:** 4.01

**val win32 : bool**

True if `Sys.os_type = "Win32"`.

**Since:** 4.01

**val cygwin : bool**

True if `Sys.os_type = "Cygwin"`.

**Since:** 4.01

**val word\_size : int**

Size of one word on the machine currently executing the OCaml program, in bits: 32 or 64.

**val int\_size : int**

Size of `int`, in bits. It is 31 (resp. 63) when using OCaml on a 32-bit (resp. 64-bit) platform. It may differ for other implementations, e.g. it can be 32 bits when compiling to JavaScript.

**Since:** 4.03

`val big_endian : bool`

Whether the machine currently executing the Caml program is big-endian.

**Since:** 4.00

`val max_string_length : int`

Maximum length of strings and byte sequences.

`val max_array_length : int`

Maximum length of a normal array (i.e. any array whose elements are not of type `float`). The maximum length of a `float array` is `max_floatarray_length` if OCaml was configured with `--enable-flat-float-array` and `max_array_length` if configured with `--disable-flat-float-array`.

`val max_floatarray_length : int`

Maximum length of a `floatarray`. This is also the maximum length of a `float array` when OCaml is configured with `--enable-flat-float-array`.

`val runtime_variant : unit -> string`

Return the name of the runtime variant the program is running on. This is normally the argument given to `-runtime-variant` at compile time, but for byte-code it can be changed after compilation.

**Since:** 4.03

`val runtime_parameters : unit -> string`

Return the value of the runtime parameters, in the same format as the contents of the `OCAMLRUNPARAM` environment variable.

**Since:** 4.03

## Signal handling

`type signal_behavior =`

`| Signal_default`  
`| Signal_ignore`  
`| Signal_handle of (int -> unit)`

What to do when receiving a signal:

- `Signal_default`: take the default behavior (usually: abort the program)
- `Signal_ignore`: ignore the signal
- `Signal_handle f`: call function `f`, giving it the signal number as argument.

`val signal : int -> signal_behavior -> signal_behavior`

Set the behavior of the system on receipt of a given signal. The first argument is the signal number. Return the behavior previously associated with the signal. If the signal number is invalid (or not available on your system), an `Invalid_argument` exception is raised.

```
val set_signal : int -> signal_behavior -> unit
    Same as Sys.signal\[28.55\] but return value is ignored.
```

### Signal numbers for the standard POSIX signals.

```
val sigabrt : int
    Abnormal termination

val sigalrm : int
    Timeout

val sigfpe : int
    Arithmetic exception

val sighup : int
    Hangup on controlling terminal

val sigill : int
    Invalid hardware instruction

val sigint : int
    Interactive interrupt (ctrl-C)

val sigkill : int
    Termination (cannot be ignored)

val sigpipe : int
    Broken pipe

val sigquit : int
    Interactive termination

val sigsegv : int
    Invalid memory reference

val sigterm : int
    Termination

val sigusr1 : int
    Application-defined signal 1
```

`val sigusr2 : int`  
Application-defined signal 2

`val sigchld : int`  
Child process terminated

`val sigcont : int`  
Continue

`val sigstop : int`  
Stop

`val sigtstp : int`  
Interactive stop

`val sigttin : int`  
Terminal read from background process

`val sigttou : int`  
Terminal write from background process

`val sigvtalrm : int`  
Timeout in virtual time

`val sigprof : int`  
Profiling interrupt

`val sigbus : int`  
Bus error  
**Since:** 4.03

`val sigpoll : int`  
Pollable event  
**Since:** 4.03

`val sigsys : int`  
Bad argument to routine  
**Since:** 4.03

`val sigtrap : int`  
Trace/breakpoint trap  
**Since:** 4.03



`val sigurg : int`

Urgent condition on socket

**Since:** 4.03

`val sigxcpu : int`

Timeout in cpu time

**Since:** 4.03

`val sigxfsz : int`

File size limit exceeded

**Since:** 4.03

`exception Break`

Exception raised on interactive interrupt if `Sys.catch_break`[\[28.55\]](#) is on.

`val catch_break : bool -> unit`

`catch_break` governs whether interactive interrupt (ctrl-C) terminates the program or raises the `Break` exception. Call `catch_break true` to enable raising `Break`, and `catch_break false` to let the system terminate the program on user interrupt.

`val ocaml_version : string`

`ocaml_version` is the version of OCaml. It is a string of the form "major.minor[.patchlevel][(+|~)additional-info]", where `major`, `minor`, and `patchlevel` are integers, and `additional-info` is an arbitrary string. The `[.patchlevel]` part was absent before version 3.08.0 and became mandatory from 3.08.0 onwards. The `[(+|~)additional-info]` part may be absent.

`val development_version : bool`

true if this is a development version, false otherwise.

**Since:** 4.14

`type extra_prefix =`

| Plus  
| Tilde

`type extra_info = extra_prefix * string`

**Since:** 4.14

`type ocaml_release_info =`

```
{ major : int ;
  minor : int ;
  patchlevel : int ;
  extra : extra_info option ;
}
```

**Since:** 4.14

```
val ocaml_release : ocaml_release_info
```

ocaml\_release is the version of OCaml.

**Since:** 4.14

```
val enable_runtime_warnings : bool -> unit
```

Control whether the OCaml runtime system can emit warnings on stderr. Currently, the only supported warning is triggered when a channel created by `open_*` functions is finalized without being closed. Runtime warnings are disabled by default.

**Since:** 4.03

**Alert `unsynchronized_access`.** The status of runtime warnings is a mutable global state.

```
val runtime_warnings_enabled : unit -> bool
```

Return whether runtime warnings are currently enabled.

**Since:** 4.03

**Alert `unsynchronized_access`.** The status of runtime warnings is a mutable global state.

## Optimization

```
val opaque_identity : 'a -> 'a
```

For the purposes of optimization, `opaque_identity` behaves like an unknown (and thus possibly side-effecting) function.

At runtime, `opaque_identity` disappears altogether.

A typical use of this function is to prevent pure computations from being optimized away in benchmarking loops. For example:

```
for _round = 1 to 100_000 do
  ignore (Sys.opaque_identity (my_pure_computation ()))
done
```

**Since:** 4.03

```
module Immediate64 :
```

```
sig
```

This module allows to define a type `t` with the `immediate64` attribute. This attribute means that the type is immediate on 64 bit architectures. On other architectures, it might or might not be immediate.

```
module type Non_immediate =
```

```
sig
```

```

    type t
  end

  module type Immediate =
    sig
      type t
    end

    module Make :
      functor (Immediate : Immediate) -> functor (Non_immediate : Non_immediate)
      -> sig
        type t
        type 'a repr =
          | Immediate : Sys.Immediate64.Immediate.t repr
          | Non_immediate : Sys.Immediate64.Non_immediate.t repr
        val repr : t repr
      end
    end
  end
end

```

## 28.56 Module Type : Type introspection.

Since: 5.1

### Type equality witness

```

type ('_, '_) eq =
  | Equal : ('a, 'a) eq

```

The purpose of `eq` is to represent type equalities that may not otherwise be known by the type checker (e.g. because they may depend on dynamic data).

A value of type `(a, b) eq` represents the fact that types `a` and `b` are equal.

If one has a value `eq : (a, b) eq` that proves types `a` and `b` are equal, one can use it to convert a value of type `a` to a value of type `b` by pattern matching on `Equal`:

```

let cast (type a) (type b) (Equal : (a, b) Type.eq) (a : a) : b = a

```

At runtime, this function simply returns its second argument unchanged.

## Type identifiers

```
module Id :
  sig
```

### Type identifiers

```
type !'a t
```

The type for identifiers for type 'a.

```
val make : unit -> 'a t
```

make () is a new type identifier.

```
val uid : 'a t -> int
```

uid id is a runtime unique identifier for id.

```
val provably_equal : 'a t -> 'b t -> ('a, 'b) Type.eq option
```

provably\_equal i0 i1 is Some Equal if identifier i0 is equal to i1 and None otherwise.

### Example

The following shows how type identifiers can be used to implement a simple heterogeneous key-value dictionary. In contrast to Map[27.2] values whose keys map to a single, homogeneous type of values, this dictionary can associate a different type of value to each key.

```
(** Heterogeneous dictionaries. *)
module Dict : sig
  type t
  (** The type for dictionaries. *)

  type 'a key
  (** The type for keys binding values of type ['a]. *)

  val key : unit -> 'a key
  (** [key ()] is a new dictionary key. *)

  val empty : t
  (** [empty] is the empty dictionary. *)

  val add : 'a key -> 'a -> t -> t
  (** [add k v d] is [d] with [k] bound to [v]. *)
```

```

val remove : 'a key -> t -> t
(** [remove k d] is [d] with the last binding of [k] removed. *)

val find : 'a key -> t -> 'a option
(** [find k d] is the binding of [k] in [d], if any. *)
end = struct
  type 'a key = 'a Type.Id.t
  type binding = B : 'a key * 'a -> binding
  type t = (int * binding) list

  let key () = Type.Id.make ()
  let empty = []
  let add k v d = (Type.Id.uid k, B (k, v)) :: d
  let remove k d = List.remove_assoc (Type.Id.uid k) d
  let find : type a. a key -> t -> a option = fun k d ->
    match List.assoc_opt (Type.Id.uid k) d with
    | None -> None
    | Some (B (k', v)) ->
      match Type.Id.provably_equal k k' with
      | Some Type.Equal -> Some v
      | None -> assert false
end

end

```

Type identifiers.

A type identifier is a value that denotes a type. Given two type identifiers, they can be tested for equality[28.56] to prove they denote the same type. Note that:

- Unequal identifiers do not imply unequal types: a given type can be denoted by more than one identifier.
- Type identifiers can be marshalled, but they get a new, distinct, identity on unmarshalling, so the equalities are lost.

See an example[28.56] of use.

## 28.57 Module Uchar : Unicode characters.

Since: 4.03

```
type t
```

The type for Unicode characters.

A value of this type represents a Unicode scalar value[[http://unicode.org/glossary/#unicode\\_scalar\\_value](http://unicode.org/glossary/#unicode_scalar_value)] which is an integer in the ranges 0x0000...0xD7FF or 0xE000...0x10FFFF.

`val min : t`

`min` is U+0000.

`val max : t`

`max` is U+10FFFF.

`val bom : t`

`bom` is U+FEFF, the byte order mark[[http://unicode.org/glossary/#byte\\_order\\_mark](http://unicode.org/glossary/#byte_order_mark)] (BOM) character.

**Since:** 4.06

`val rep : t`

`rep` is U+FFFD, the replacement[[http://unicode.org/glossary/#replacement\\_character](http://unicode.org/glossary/#replacement_character)] character.

**Since:** 4.06

`val succ : t -> t`

`succ u` is the scalar value after `u` in the set of Unicode scalar values.

**Raises** `Invalid_argument` if `u` is `Uchar.max`[28.57].

`val pred : t -> t`

`pred u` is the scalar value before `u` in the set of Unicode scalar values.

**Raises** `Invalid_argument` if `u` is `Uchar.min`[28.57].

`val is_valid : int -> bool`

`is_valid n` is true if and only if `n` is a Unicode scalar value (i.e. in the ranges 0x0000...0xD7FF or 0xE000...0x10FFFF).

`val of_int : int -> t`

`of_int i` is `i` as a Unicode character.

**Raises** `Invalid_argument` if `i` does not satisfy `Uchar.is_valid`[28.57].

`val to_int : t -> int`

`to_int u` is `u` as an integer.

`val is_char : t -> bool`

`is_char u` is true if and only if `u` is a latin1 OCaml character.

```

val of_char : char -> t
    of_char c is c as a Unicode character.

val to_char : t -> char
    to_char u is u as an OCaml latin1 character.
    Raises Invalid_argument if u does not satisfy Uchar.is_char[28.57].

val equal : t -> t -> bool
    equal u u' is u = u'.

val compare : t -> t -> int
    compare u u' is Stdlib.compare u u'.

val hash : t -> int
    hash u associates a non-negative integer to u.

```

## UTF codecs tools

```

type utf_decode
    The type for UTF decode results. Values of this type represent the result of a Unicode
    Transformation Format decoding attempt.

val utf_decode_is_valid : utf_decode -> bool
    utf_decode_is_valid d is true if and only if d holds a valid decode.

val utf_decode_uchar : utf_decode -> t
    utf_decode_uchar d is the Unicode character decoded by d if utf_decode_is_valid d is
    true and Uchar.rep[28.57] otherwise.

val utf_decode_length : utf_decode -> int
    utf_decode_length d is the number of elements from the source that were consumed by the
    decode d. This is always strictly positive and smaller or equal to 4. The kind of source
    elements depends on the actual decoder; for the decoders of the standard library this function
    always returns a length in bytes.

val utf_decode : int -> t -> utf_decode
    utf_decode n u is a valid UTF decode for u that consumed n elements from the source for
    decoding. n must be positive and smaller or equal to 4 (this is not checked by the module).

val utf_decode_invalid : int -> utf_decode
    utf_decode_invalid n is an invalid UTF decode that consumed n elements from the source
    to error. n must be positive and smaller or equal to 4 (this is not checked by the module).
    The resulting decode has Uchar.rep[28.57] as the decoded Unicode character.

```

870

```
val utf_8_byte_length : t -> int
```

utf\_8\_byte\_length u is the number of bytes needed to encode u in UTF-8.

```
val utf_16_byte_length : t -> int
```

utf\_16\_byte\_length u is the number of bytes needed to encode u in UTF-16.

## 28.58 Module Unit : Unit values.

Since: 4.08

### The unit type

```
type t = unit =
```

```
| ()
```

The unit type.

The constructor () is included here so that it has a path, but it is not intended to be used in user-defined data types.

```
val equal : t -> t -> bool
```

equal u1 u2 is true.

```
val compare : t -> t -> int
```

compare u1 u2 is 0.

```
val to_string : t -> string
```

to\_string b is "()".

## 28.59 Module Weak : Arrays of weak pointers and hash sets of weak pointers.

### Low-level functions

```
type !'a t
```

The type of arrays of weak pointers (weak arrays). A weak pointer is a value that the garbage collector may erase whenever the value is not used any more (through normal pointers) by the program. Note that finalisation functions are run before the weak pointers are erased, because the finalisation functions can make values alive again (before 4.03 the finalisation functions were run after).

A weak pointer is said to be full if it points to a value, empty if the value was erased by the GC.

Notes:



- Integers are not allocated and cannot be stored in weak arrays.
- Weak arrays cannot be marshaled using `output_value`[\[27.2\]](#) nor the functions of the `Marshal`[\[28.34\]](#) module.

`val create : int -> 'a t`

`Weak.create n` returns a new weak array of length `n`. All the pointers are initially empty.

**Raises** `Invalid_argument` if `n` is not comprised between zero and `Obj.Ephemeron.max_ephe_length`[\[??\]](#) (limits included).

`val length : 'a t -> int`

`Weak.length ar` returns the length (number of elements) of `ar`.

`val set : 'a t -> int -> 'a option -> unit`

`Weak.set ar n (Some el)` sets the `n`th cell of `ar` to be a (full) pointer to `el`; `Weak.set ar n None` sets the `n`th cell of `ar` to empty.

**Raises** `Invalid_argument` if `n` is not in the range 0 to `Weak.length`[\[28.59\]](#) `ar` - 1.

`val get : 'a t -> int -> 'a option`

`Weak.get ar n` returns `None` if the `n`th cell of `ar` is empty, `Some x` (where `x` is the value) if it is full.

**Raises** `Invalid_argument` if `n` is not in the range 0 to `Weak.length`[\[28.59\]](#) `ar` - 1.

`val get_copy : 'a t -> int -> 'a option`

`Weak.get_copy ar n` returns `None` if the `n`th cell of `ar` is empty, `Some x` (where `x` is a (shallow) copy of the value) if it is full. In addition to pitfalls with mutable values, the interesting difference with `get` is that `get_copy` does not prevent the incremental GC from erasing the value in its current cycle (`get` may delay the erasure to the next GC cycle).

**Raises** `Invalid_argument` if `n` is not in the range 0 to `Weak.length`[\[28.59\]](#) `ar` - 1.

If the element is a custom block it is not copied.

`val check : 'a t -> int -> bool`

`Weak.check ar n` returns `true` if the `n`th cell of `ar` is full, `false` if it is empty. Note that even if `Weak.check ar n` returns `true`, a subsequent `Weak.get`[\[28.59\]](#) `ar n` can return `None`.

**Raises** `Invalid_argument` if `n` is not in the range 0 to `Weak.length`[\[28.59\]](#) `ar` - 1.

`val fill : 'a t -> int -> int -> 'a option -> unit`

`Weak.fill ar ofs len el` sets to `el` all pointers of `ar` from `ofs` to `ofs + len - 1`.

**Raises** `Invalid_argument` if `ofs` and `len` do not designate a valid subarray of `ar`.

`val blit : 'a t -> int -> 'a t -> int -> int -> unit`

`Weak.blit ar1 off1 ar2 off2 len` copies `len` weak pointers from `ar1` (starting at `off1`) to `ar2` (starting at `off2`). It works correctly even if `ar1` and `ar2` are the same.

**Raises** `Invalid_argument` if `off1` and `len` do not designate a valid subarray of `ar1`, or if `off2` and `len` do not designate a valid subarray of `ar2`.

## Weak hash sets

A weak hash set is a hashed set of values. Each value may magically disappear from the set when it is not used by the rest of the program any more. This is normally used to share data structures without inducing memory leaks. Weak hash sets are defined on values from a `Hashtbl.HashedList` module; the `equal` relation and `hash` function are taken from that module. We will say that `v` is an instance of `x` if `equal x v` is `true`.

The `equal` relation must be able to work on a shallow copy of the values and give the same result as with the values themselves.

### Unsynchronized accesses

Unsynchronized accesses to weak hash sets are a programming error. Unsynchronized accesses to a weak hash set may lead to an invalid weak hash set state. Thus, concurrent accesses to weak hash sets must be synchronized (for instance with a `Mutex.t`).

```
module type S =
```

```
  sig
```

```
    type data
```

The type of the elements stored in the table.

```
    type t
```

The type of tables that contain elements of type `data`. Note that weak hash sets cannot be marshaled using `output_value` or the functions of the `Marshal` module.

```
  val create : int -> t
```

`create n` creates a new empty weak hash set, of initial size `n`. The table will grow as needed.

```
  val clear : t -> unit
```

Remove all elements from the table.

```
  val merge : t -> data -> data
```

`merge t x` returns an instance of `x` found in `t` if any, or else adds `x` to `t` and return `x`.

```
  val add : t -> data -> unit
```

`add t x` adds `x` to `t`. If there is already an instance of `x` in `t`, it is unspecified which one will be returned by subsequent calls to `find` and `merge`.

```
  val remove : t -> data -> unit
```

`remove t x` removes from `t` one instance of `x`. Does nothing if there is no instance of `x` in `t`.

```
  val find : t -> data -> data
```

`find t x` returns an instance of `x` found in `t`.

**Raises** `Not_found` if there is no such element.

`val find_opt : t -> data -> data option`

`find_opt t x` returns an instance of `x` found in `t` or `None` if there is no such element.

**Since:** 4.05

`val find_all : t -> data -> data list`

`find_all t x` returns a list of all the instances of `x` found in `t`.

`val mem : t -> data -> bool`

`mem t x` returns `true` if there is at least one instance of `x` in `t`, false otherwise.

`val iter : (data -> unit) -> t -> unit`

`iter f t` calls `f` on each element of `t`, in some unspecified order. It is not specified what happens if `f` tries to change `t` itself.

`val fold : (data -> 'acc -> 'acc) -> t -> 'acc -> 'acc`

`fold f t init` computes `(f d1 (... (f dN init)))` where `d1 ... dN` are the elements of `t` in some unspecified order. It is not specified what happens if `f` tries to change `t` itself.

`val count : t -> int`

Count the number of elements in the table. `count t` gives the same result as `fold (fun _ n -> n+1) t 0` but does not delay the deallocation of the dead elements.

`val stats : t -> int * int * int * int * int * int`

Return statistics on the table. The numbers are, in order: table length, number of entries, sum of bucket lengths, smallest bucket length, median bucket length, biggest bucket length.

`end`

The output signature of the functor `Weak.Make`[\[28.59\]](#).

`module Make :`

`functor (H : Hashtbl.Hashtype) -> S with type data = H.t`

Functor building an implementation of the weak hash set structure. `H.equal` can't be the physical equality, since only shallow copies of the elements in the set are given to it.

## 28.60 Ocaml\_operators : Precedence level and associativity of operators

The following table lists the precedence level of all operator classes from the highest to the lowest precedence. A few other syntactic constructions are also listed as references.

Operator class	Associativity
!...~...	—
...() ...[] ...{ }	—
#...	left
function application	left
- -.	—
**... lsl lsr asr	right
*... /... %... mod land lor lxor	left
+...-...	left
::	right
@... ^...	right
=... <... >...  ... &... \$... !=	left
& &&	right
or	right
,	—
<- :=	right
if	—
;	right

## Chapter 29

# The compiler front-end

This chapter describes the OCaml front-end, which declares the abstract syntax tree used by the compiler, provides a way to parse, print and pretty-print OCaml code, and ultimately allows one to write abstract syntax tree preprocessors invoked via the `-ppx` flag (see chapters 13 and 16).

It is important to note that the exported front-end interface follows the evolution of the OCaml language and implementation, and thus does not provide **any** backwards compatibility guarantees.

The front-end is a part of `compiler-libs` library. Programs that use the `compiler-libs` library should be built as follows:

```
ocamlfind ocamlc other options -package compiler-libs.common other files
ocamlfind ocamlpt other options -package compiler-libs.common other files
```

Use of the `ocamlfind` utility is recommended. However, if this is not possible, an alternative method may be used:

```
ocamlc other options -I +compiler-libs ocamlcommon.cma other files
ocamlpt other options -I +compiler-libs ocamlcommon.cmxa other files
```

For interactive use of the `compiler-libs` library, start `ocaml` and type  
`#load "compiler-libs/ocamlcommon.cma";;`

### 29.1 Module `Ast_mapper` : The interface of a `-ppx` rewriter

A `-ppx` rewriter is a program that accepts a serialized abstract syntax tree and outputs another, possibly modified, abstract syntax tree. This module encapsulates the interface between the compiler and the `-ppx` rewriters, handling such details as the serialization format, forwarding of command-line flags, and storing state.

`Ast_mapper.mapper`[\[29.1\]](#) enables AST rewriting using open recursion. A typical mapper would be based on `Ast_mapper.default_mapper`[\[29.1\]](#), a deep identity mapper, and will fall back on it for handling the syntax it does not modify. For example:

```
open Asttypes
open Parsetree
open Ast_mapper
```

```

let test_mapper argv =
  { default_mapper with
    expr = fun mapper expr ->
      match expr with
      | { pexp_desc = Pexp_extension ({ txt = "test" }, PStr []) } ->
        Ast_helper.Exp.constant (Const_int 42)
      | other -> default_mapper.expr mapper other; }

```

```

let () =

```

```

  register "ppx_test" test_mapper

```

This -ppx rewriter, which replaces [%test] in expressions with the constant 42, can be compiled using `ocamlc -o ppx_test -I +compiler-libs ocamlcommon.cma ppx_test.ml`.

**Warning:** this module is unstable and part of compiler-libs[29].

## A generic Parsetree mapper

```

type mapper =
{
  attribute : mapper -> Parsetree.attribute -> Parsetree.attribute ;
  attributes : mapper -> Parsetree.attribute list -> Parsetree.attribute list ;
  binding_op : mapper -> Parsetree.binding_op -> Parsetree.binding_op ;
  case : mapper -> Parsetree.case -> Parsetree.case ;
  cases : mapper -> Parsetree.case list -> Parsetree.case list ;
  class_declaration : mapper ->
    Parsetree.class_declaration -> Parsetree.class_declaration ;
  class_description : mapper ->
    Parsetree.class_description -> Parsetree.class_description ;
  class_expr : mapper -> Parsetree.class_expr -> Parsetree.class_expr ;
  class_field : mapper -> Parsetree.class_field -> Parsetree.class_field ;
  class_signature : mapper -> Parsetree.class_signature -> Parsetree.class_signature ;
  class_structure : mapper -> Parsetree.class_structure -> Parsetree.class_structure ;
  class_type : mapper -> Parsetree.class_type -> Parsetree.class_type ;
  class_type_declaration : mapper ->
    Parsetree.class_type_declaration -> Parsetree.class_type_declaration ;
  class_type_field : mapper -> Parsetree.class_type_field -> Parsetree.class_type_field ;
  constant : mapper -> Parsetree.constant -> Parsetree.constant ;
  constructor_declaration : mapper ->
    Parsetree.constructor_declaration -> Parsetree.constructor_declaration ;
  expr : mapper -> Parsetree.expression -> Parsetree.expression ;
  extension : mapper -> Parsetree.extension -> Parsetree.extension ;
  extension_constructor : mapper ->
    Parsetree.extension_constructor -> Parsetree.extension_constructor ;
  include_declaration : mapper ->
    Parsetree.include_declaration -> Parsetree.include_declaration ;

```

```

include_description : mapper ->
Parsetree.include_description -> Parsetree.include_description ;
label_declaration : mapper ->
Parsetree.label_declaration -> Parsetree.label_declaration ;
location : mapper -> Location.t -> Location.t ;
module_binding : mapper -> Parsetree.module_binding -> Parsetree.module_binding ;
module_declaration : mapper ->
Parsetree.module_declaration -> Parsetree.module_declaration ;
module_substitution : mapper ->
Parsetree.module_substitution -> Parsetree.module_substitution ;
module_expr : mapper -> Parsetree.module_expr -> Parsetree.module_expr ;
module_type : mapper -> Parsetree.module_type -> Parsetree.module_type ;
module_type_declaration : mapper ->
Parsetree.module_type_declaration -> Parsetree.module_type_declaration ;
open_declaration : mapper -> Parsetree.open_declaration -> Parsetree.open_declaration ;
open_description : mapper -> Parsetree.open_description -> Parsetree.open_description ;
pat : mapper -> Parsetree.pattern -> Parsetree.pattern ;
payload : mapper -> Parsetree.payload -> Parsetree.payload ;
signature : mapper -> Parsetree.signature -> Parsetree.signature ;
signature_item : mapper -> Parsetree.signature_item -> Parsetree.signature_item ;
structure : mapper -> Parsetree.structure -> Parsetree.structure ;
structure_item : mapper -> Parsetree.structure_item -> Parsetree.structure_item ;
typ : mapper -> Parsetree.core_type -> Parsetree.core_type ;
type_declaration : mapper -> Parsetree.type_declaration -> Parsetree.type_declaration ;
type_extension : mapper -> Parsetree.type_extension -> Parsetree.type_extension ;
type_exception : mapper -> Parsetree.type_exception -> Parsetree.type_exception ;
type_kind : mapper -> Parsetree.type_kind -> Parsetree.type_kind ;
value_binding : mapper -> Parsetree.value_binding -> Parsetree.value_binding ;
value_description : mapper ->
Parsetree.value_description -> Parsetree.value_description ;
with_constraint : mapper -> Parsetree.with_constraint -> Parsetree.with_constraint ;
}

```

A mapper record implements one "method" per syntactic category, using an open recursion style: each method takes as its first argument the mapper to be applied to children in the syntax tree.

```
val default_mapper : mapper
```

A default mapper, which implements a "deep identity" mapping.

## Apply mappers to compilation units

```
val tool_name : unit -> string
```

Can be used within a ppx preprocessor to know which tool is calling it "ocamlc", "ocamlpt", "ocamldoc", "ocamldep", "ocaml", ... Some global variables that reflect

command-line options are automatically synchronized between the calling tool and the ppx preprocessor: `Clflags.include_dirs[??]`, `Load_path[??]`, `Clflags.open_modules[??]`, `Clflags.for_package[??]`, `Clflags.debug[??]`.

```
val apply : source:string -> target:string -> mapper -> unit
```

Apply a mapper (parametrized by the unit name) to a dumped parsetree found in the `source` file and put the result in the `target` file. The `structure` or `signature` field of the mapper is applied to the implementation or interface.

```
val run_main : (string list -> mapper) -> unit
```

Entry point to call to implement a standalone -ppx rewriter from a mapper, parametrized by the command line arguments. The current unit name can be obtained from `Location.input_name[29.3]`. This function implements proper error reporting for uncaught exceptions.

## Registration API

```
val register_function : (string -> (string list -> mapper) -> unit) ref
```

```
val register : string -> (string list -> mapper) -> unit
```

Apply the `register_function`. The default behavior is to run the mapper immediately, taking arguments from the process command line. This is to support a scenario where a mapper is linked as a stand-alone executable.

It is possible to overwrite the `register_function` to define "-ppx drivers", which combine several mappers in a single process. Typically, a driver starts by defining `register_function` to a custom implementation, then lets ppx rewriters (linked statically or dynamically) register themselves, and then run all or some of them. It is also possible to have -ppx drivers apply rewriters to only specific parts of an AST.

The first argument to `register` is a symbolic name to be used by the ppx driver.

## Convenience functions to write mappers

```
val map_opt : ('a -> 'b) -> 'a option -> 'b option
```

```
val extension_of_error : Location.error -> Parsetree.extension
```

Encode an error into an 'ocaml.error' extension node which can be inserted in a generated Parsetree. The compiler will be responsible for reporting the error.

```
val attribute_of_warning : Location.t -> string -> Parsetree.attribute
```

Encode a warning message into an 'ocaml.ppwarning' attribute which can be inserted in a generated Parsetree. The compiler will be responsible for reporting the warning.



## Helper functions to call external mappers

```
val add_ppx_context_str :
  tool_name:string -> Parsetree.structure -> Parsetree.structure
  Extract information from the current environment and encode it into an attribute which is
  prepended to the list of structure items in order to pass the information to an external
  processor.

val add_ppx_context_sig :
  tool_name:string -> Parsetree.signature -> Parsetree.signature
  Same as add_ppx_context_str, but for signatures.

val drop_ppx_context_str :
  restore:bool -> Parsetree.structure -> Parsetree.structure
  Drop the ocaml.ppx.context attribute from a structure. If restore is true, also restore the
  associated data in the current process.

val drop_ppx_context_sig :
  restore:bool -> Parsetree.signature -> Parsetree.signature
  Same as drop_ppx_context_str, but for signatures.
```

## Cookies

Cookies are used to pass information from a ppx processor to a further invocation of itself, when called from the OCaml toplevel (or other tools that support cookies).

```
val set_cookie : string -> Parsetree.expression -> unit
val get_cookie : string -> Parsetree.expression option
```

## 29.2 Module Asttypes : Auxiliary AST types used by parsetree and typedtree.

**Warning:** this module is unstable and part of compiler-libs[29].

```
type constant =
  | Const_int of int
  | Const_char of char
  | Const_string of string * Location.t * string option
  | Const_float of string
  | Const_int32 of int32
  | Const_int64 of int64
  | Const_nativeint of nativeint

type rec_flag =
  | Nonrecursive
```

```

    | Recursive
type direction_flag =
    | Upto
    | Downto
type private_flag =
    | Private
    | Public
type mutable_flag =
    | Immutable
    | Mutable
type virtual_flag =
    | Virtual
    | Concrete
type override_flag =
    | Override
    | Fresh
type closed_flag =
    | Closed
    | Open
type label = string
type arg_label =
    | Nolabel
    | Labelled of string
                label:T -> ...
    | Optional of string
                ?label:T -> ...
type 'a loc = 'a Location.loc =
{  txt : 'a ;
  loc : Location.t ;
}
type variance =
    | Covariant
    | Contravariant
    | NoVariance
type injectivity =
    | Injective
    | NoInjectivity

```

### 29.3 Module Location : Source code locations (ranges of positions), used in parsetree.

**Warning:** this module is unstable and part of compiler-libs[\[29\]](#).

```

type t = Warnings.loc =
{  loc_start : Lexing.position ;
  loc_end : Lexing.position ;
  loc_ghost : bool ;
}

```

Note on the use of `Lexing.position` in this module. If `pos_fname = ""`, then use `!input_name` instead. If `pos_lnum = -1`, then `pos_bol = 0`. Use `pos_cnum` and re-parse the file to get the line and character numbers. Else all fields are correct.

```
val none : t
```

An arbitrary value of type `t`; describes an empty ghost range.

```
val is_none : t -> bool
```

True for `Location.none`, false any other location

```
val in_file : string -> t
```

Return an empty ghost range located in a given file.

```
val init : Lexing.lexbuf -> string -> unit
```

Set the file name and line number of the `lexbuf` to be the start of the named file.

```
val curr : Lexing.lexbuf -> t
```

Get the location of the current token from the `lexbuf`.

```
val symbol_rloc : unit -> t
```

```
val symbol_gloc : unit -> t
```

```
val rhs_loc : int -> t
```

`rhs_loc n` returns the location of the symbol at position `n`, starting at 1, in the current parser rule.

```
val rhs_interval : int -> int -> t
```

```
val get_pos_info : Lexing.position -> string * int * int
```

file, line, char

```
type 'a loc =
```

```

{  txt : 'a ;
  loc : t ;
}

```

```
val mknoloc : 'a -> 'a loc
```

```
val mkloc : 'a -> t -> 'a loc
```

## Input info

```
val input_name : string ref
```

```
val input_lexbuf : Lexing.lexbuf option ref
```

```
val input_phrase_buffer : Buffer.t option ref
```

## Toplevel-specific functions

```
val echo_eof : unit -> unit
val separate_new_message : Format.formatter -> unit
val reset : unit -> unit
```

## Rewriting path

```
val rewrite_absolute_path : string -> string
```

`rewrite_absolute_path path` rewrites `path` to honor the `BUILD_PATH_PREFIX_MAP` variable if it is set. It does not check whether `path` is absolute or not. The result is as follows:

- If `BUILD_PATH_PREFIX_MAP` is not set, just return `path`.
- otherwise, rewrite using the mapping (and if there are no matching prefixes that will just return `path`).

See

the `BUILD_PATH_PREFIX_MAP`

spec[<https://reproducible-builds.org/specs/build-path-prefix-map/>]

```
val rewrite_find_first_existing : string -> string option
```

`rewrite_find_first_existing path` uses a `BUILD_PATH_PREFIX_MAP` mapping and tries to find a source in mapping that maps to a result that exists in the file system. There are the following return values:

- `None`, means either
  - `BUILD_PATH_PREFIX_MAP` is not set and `path` does not exists, or
  - no source prefixes of `path` in the mapping were found,
- `Some target`, means `target` exists and either
  - `BUILD_PATH_PREFIX_MAP` is not set and `target = path`, or
  - `target` is the first file (in priority order) that `path` mapped to that exists in the file system.
- `Not_found` raised, means some source prefixes in the map were found that matched `path`, but none of them existed in the file system. The caller should catch this and issue an appropriate error message.

See

the `BUILD_PATH_PREFIX_MAP`

spec[<https://reproducible-builds.org/specs/build-path-prefix-map/>]

```
val rewrite_find_all_existing_dirs : string -> string list
```

`rewrite_find_all_existing_dirs dir` accumulates a list of existing directories, `dirs`, that are the result of mapping a potentially abstract directory, `dir`, over all the mapping pairs in the `BUILD_PATH_PREFIX_MAP` environment variable, if any. The list `dirs` will be in priority order (head as highest priority).

The possible results are:

- `[]`, means either
  - `BUILD_PATH_PREFIX_MAP` is not set and `dir` is not an existing directory, or
  - if set, then there were no matching prefixes of `dir`.
- `Some dirs`, means `dirs` are the directories found. Either
  - `BUILD_PATH_PREFIX_MAP` is not set and `dirs` = `[dir]`, or
  - it was set and `dirs` are the mapped existing directories.
- `Not_found` raised, means some source prefixes in the map were found that matched `dir`, but none of mapping results were existing directories (possibly due to misconfiguration). The caller should catch this and issue an appropriate error message.

See

the `BUILD_PATH_PREFIX_MAP`

spec[<https://reproducible-builds.org/specs/build-path-prefix-map/>]

```
val absolute_path : string -> string
```

`absolute_path path` first makes an absolute path, `s` from `path`, prepending the current working directory if `path` was relative. Then `s` is rewritten using `rewrite_absolute_path`. Finally the result is normalized by eliminating instances of `'.'` or `'..'`.

## Printing locations

```
val show_filename : string -> string
```

In `-absname` mode, return the absolute path for this filename. Otherwise, returns the filename unchanged.

```
val print_filename : Format.formatter -> string -> unit
```

```
val print_loc : Format.formatter -> t -> unit
```

```
val print_locs : Format.formatter -> t list -> unit
```

## Toplevel-specific location highlighting

```
val highlight_terminfo : Lexing.lexbuf -> Format.formatter -> t list -> unit
```

## Reporting errors and warnings

### The type of reports and report printers

```

type msg = (Format.formatter -> unit) loc
val msg : ?loc:t ->
  ('a, Format.formatter, unit, msg) format4 -> 'a
type report_kind =
  | Report_error
  | Report_warning of string
  | Report_warning_as_error of string
  | Report_alert of string
  | Report_alert_as_error of string
type report =
{ kind : report_kind ;
  main : msg ;
  sub : msg list ;
}
type report_printer =
{ pp : report_printer -> Format.formatter -> report -> unit ;
  pp_report_kind : report_printer ->
    report -> Format.formatter -> report_kind -> unit ;
  pp_main_loc : report_printer ->
    report -> Format.formatter -> t -> unit ;
  pp_main_txt : report_printer ->
    report ->
      Format.formatter -> (Format.formatter -> unit) -> unit ;
  pp_submsgs : report_printer ->
    report -> Format.formatter -> msg list -> unit ;
  pp_submsg : report_printer ->
    report -> Format.formatter -> msg -> unit ;
  pp_submsg_loc : report_printer ->
    report -> Format.formatter -> t -> unit ;
  pp_submsg_txt : report_printer ->
    report ->
      Format.formatter -> (Format.formatter -> unit) -> unit ;
}

```

A printer for **reports**, defined using open-recursion. The goal is to make it easy to define new printers by re-using code from existing ones.

### Report printers used in the compiler

```

val batch_mode_printer : report_printer
val terminfo_toplevel_printer : Lexing.lexbuf -> report_printer

```

```
val best_toplevel_printer : unit -> report_printer
    Detects the terminal capabilities and selects an adequate printer
```

## Printing a report

```
val print_report : Format.formatter -> report -> unit
    Display an error or warning report.
```

```
val report_printer : (unit -> report_printer) ref
    Hook for redefining the printer of reports.

    The hook is a unit -> report_printer and not simply a report_printer: this is useful so
    that it can detect the type of the output (a file, a terminal, ...) and select a printer
    accordingly.
```

```
val default_report_printer : unit -> report_printer
    Original report printer for use in hooks.
```

## Reporting warnings

### Converting a `Warnings.t` into a report

```
val report_warning : t -> Warnings.t -> report option
    report_warning loc w produces a report for the given warning w, or None if the warning is
    not to be printed.
```

```
val warning_reporter : (t -> Warnings.t -> report option) ref
    Hook for intercepting warnings.
```

```
val default_warning_reporter : t -> Warnings.t -> report option
    Original warning reporter for use in hooks.
```

## Printing warnings

```
val formatter_for_warnings : Format.formatter ref
val print_warning : t -> Format.formatter -> Warnings.t -> unit
    Prints a warning. This is simply the composition of report_warning and print_report.

val prerr_warning : t -> Warnings.t -> unit
    Same as print_warning, but uses !formatter_for_warnings as output formatter.
```

## Reporting alerts

### Converting an `Alert.t` into a report

```
val report_alert : t -> Warnings.alert -> report option
    report_alert loc w produces a report for the given alert w, or None if the alert is not to be
    printed.

val alert_reporter : (t -> Warnings.alert -> report option) ref
    Hook for intercepting alerts.

val default_alert_reporter : t -> Warnings.alert -> report option
    Original alert reporter for use in hooks.
```

### Printing alerts

```
val print_alert : t -> Format.formatter -> Warnings.alert -> unit
    Prints an alert. This is simply the composition of report_alert and print_report.

val prerr_alert : t -> Warnings.alert -> unit
    Same as print_alert, but uses !formatter_for_warnings as output formatter.

val deprecated : ?def:t -> ?use:t -> t -> string -> unit
    Prints a deprecation alert.

val alert : ?def:t ->
    ?use:t -> kind:string -> t -> string -> unit
    Prints an arbitrary alert.

val auto_include_alert : string -> unit
    Prints an alert that -I +lib has been automatically added to the load path

val deprecated_script_alert : string -> unit
    deprecated_script_alert command prints an alert that command foo has been deprecated
    in favour of command ./foo
```

## Reporting errors

```
type error = report
    An error is a report which report_kind must be Report_error.
```



```

val error : ?loc:t -> ?sub:msg list -> string -> error
val errorf :
  ?loc:t ->
  ?sub:msg list ->
  ('a, Format.formatter, unit, error) format4 -> 'a
val error_of_printer :
  ?loc:t ->
  ?sub:msg list ->
  (Format.formatter -> 'a -> unit) -> 'a -> error
val error_of_printer_file : (Format.formatter -> 'a -> unit) -> 'a -> error

```

### Automatically reporting errors for raised exceptions

```
val register_error_of_exn : (exn -> error option) -> unit
```

Each compiler module which defines a custom type of exception which can surface as a user-visible error should register a "printer" for this exception using `register_error_of_exn`. The result of the printer is an `error` value containing a location, a message, and optionally sub-messages (each of them being located as well).

```
val error_of_exn : exn -> [ `Already_displayed | `Ok of error ] option
```

```
exception Error of error
```

Raising `Error e` signals an error `e`; the exception will be caught and the error will be printed.

```
exception Already_displayed_error
```

Raising `Already_displayed_error` signals an error which has already been printed. The exception will be caught, but nothing will be printed

```
val raise_errorf :
```

```
  ?loc:t ->
```

```
  ?sub:msg list ->
```

```
  ('a, Format.formatter, unit, 'b) format4 -> 'a
```

```
val report_exception : Format.formatter -> exn -> unit
```

Reraise the exception if it is unknown.

## 29.4 Module Longident : Long identifiers, used in parsetree.

**Warning:** this module is unstable and part of compiler-libs[29].

To print a longident, see `Pprintast.longident`[29.7], using `Format.asprintf`[28.21] to convert to a string.

```
type t =
```

```
  | Lident of string
```

```

    | Ldot of t * string
    | Lapply of t * t
val flatten : t -> string list
val unflatten : string list -> t option
    For a non-empty list l, unflatten l is Some lid where lid is the long identifier created by
    concatenating the elements of l with Ldot. unflatten [] is None.

val last : t -> string
val parse : string -> t
    Deprecated. this function may misparse its input, use "Parse.longident" or
    "Longident.unflatten". This function is broken on identifiers that are not just
    "Word.Word.word"; for example, it returns incorrect results on infix operators and extended
    module paths.

    If you want to generate long identifiers that are a list of dot-separated identifiers, the function
    Longident.unflatten\[29.4\] is safer and faster. Longident.unflatten\[29.4\] is available
    since OCaml 4.06.0.

    If you want to parse any identifier correctly, use the long-identifiers functions from the
    Parse\[29.5\] module, in particular Parse.longident\[29.5\]. They are available since OCaml
    4.11, and also provide proper input-location support.

```

## 29.5 Module Parse : Entry points in the parser

**Warning:** this module is unstable and part of `compiler-libs`[\[29\]](#).

```

val implementation : Lexing.lexbuf -> Parsetree.structure
val interface : Lexing.lexbuf -> Parsetree.signature
val toplevel_phrase : Lexing.lexbuf -> Parsetree.toplevel_phrase
val use_file : Lexing.lexbuf -> Parsetree.toplevel_phrase list
val core_type : Lexing.lexbuf -> Parsetree.core_type
val expression : Lexing.lexbuf -> Parsetree.expression
val pattern : Lexing.lexbuf -> Parsetree.pattern
val module_type : Lexing.lexbuf -> Parsetree.module_type
val module_expr : Lexing.lexbuf -> Parsetree.module_expr

```

The functions below can be used to parse `Longident` safely.

```

val longident : Lexing.lexbuf -> Longident.t

```

The function `longident` is guaranteed to parse all subclasses of `Longident.t`[\[29.4\]](#) used in OCaml: values, constructors, simple or extended module paths, and types or module types.

However, this function accepts inputs which are not accepted by the compiler, because they combine functor applications and infix operators. In valid OCaml syntax, only value-level identifiers may end with infix operators `Foo.( + )`. Moreover, in value-level identifiers the

module path `Foo` must be simple (`M.N` rather than `F(X)`): functor applications may only appear in type-level identifiers. As a consequence, a path such as `F(X).( + )` is not a valid OCaml identifier; but it is accepted by this function.

The next functions are specialized to a subclass of `Longident.t`[\[29.4\]](#)

```
val val_ident : Lexing.lexbuf -> Longident.t
```

This function parses a syntactically valid path for a value. For instance, `x`, `M.x`, and `(+.)` are valid. Contrarily, `M.A`, `F(X).x`, and `true` are rejected.

Longident for OCaml's value cannot contain functor application. The last component of the `Longident.t`[\[29.4\]](#) is not capitalized, but can be an operator `A.Path.To.(.%.%.(;..)<-)`

```
val constr_ident : Lexing.lexbuf -> Longident.t
```

This function parses a syntactically valid path for a variant constructor. For instance, `A`, `M.A` and `M.(::)` are valid, but both `M.a` and `F(X).A` are rejected.

Longident for OCaml's variant constructors cannot contain functor application. The last component of the `Longident.t`[\[29.4\]](#) is capitalized, or it may be one the special constructors: `true`, `false`, `()`, `[]`, `(::)`. Among those special constructors, only `(::)` can be prefixed by a module path `(A.B.C.(::))`.

```
val simple_module_path : Lexing.lexbuf -> Longident.t
```

This function parses a syntactically valid path for a module. For instance, `A`, and `M.A` are valid, but both `M.a` and `F(X).A` are rejected.

Longident for OCaml's module cannot contain functor application. The last component of the `Longident.t`[\[29.4\]](#) is capitalized.

```
val extended_module_path : Lexing.lexbuf -> Longident.t
```

This function parse syntactically valid path for an extended module. For instance, `A.B` and `F(A).B` are valid. Contrarily, `(.%( ))` or `[]` are both rejected.

The last component of the `Longident.t`[\[29.4\]](#) is capitalized.

```
val type_ident : Lexing.lexbuf -> Longident.t
```

This function parse syntactically valid path for a type or a module type. For instance, `A`, `t`, `M.t` and `F(X).t` are valid. Contrarily, `(.%( ))` or `[]` are both rejected.

In path for type and module types, only operators and special constructors are rejected.

## 29.6 Module Parsetree : Abstract syntax tree produced by parsing

**Warning:** this module is unstable and part of compiler-libs[\[29\]](#).

```
type constant =
  | Pconst_integer of string * char option
```

Integer constants such as 3 3l 3L 3n.

Suffixes [g-z] [G-Z] are accepted by the parser. Suffixes except 'l', 'L' and 'n' are rejected by the typechecker

| Pconst\_char of char

Character such as 'c'.

| Pconst\_string of string \* Location.t \* string option

Constant string such as "constant" or {delim|other constant|delim}.

The location span the content of the string, without the delimiters.

| Pconst\_float of string \* char option

Float constant such as 3.4, 2e5 or 1.4e-4.

Suffixes g-zG-Z are accepted by the parser. Suffixes are rejected by the typechecker.

type location\_stack = Location.t list

## Extension points

type attribute =

```
{ attr_name : string Asttypes.loc ;
  attr_payload : payload ;
  attr_loc : Location.t ;
}
```

Attributes such as [@id ARG] and [@@id ARG].

Metadata containers passed around within the AST. The compiler ignores unknown attributes.

type extension = string Asttypes.loc \* payload

Extension points such as [%id ARG] and [%%id ARG].

Sub-language placeholder – rejected by the typechecker.

type attributes = attribute list

type payload =

| PStr of structure

| PSig of signature

: SIG in an attribute or an extension point

| PTyp of core\_type

: T in an attribute or an extension point

| PPat of pattern \* expression option

? P or ? P when E, in an attribute or an extension point

**Core language****Type expressions**

```

type core_type =
{ ptyp_desc : core_type_desc ;
  ptyp_loc : Location.t ;
  ptyp_loc_stack : location_stack ;
  ptyp_attributes : attributes ;
  ... [ @id1 ] [ @id2 ]
}

type core_type_desc =
| Ptyp_any
  -
| Ptyp_var of string
    A type variable such as 'a
| Ptyp_arrow of Asttypes.arg_label * core_type * core_type
    Ptyp_arrow(lbl, T1, T2) represents:
    • T1 -> T2 when lbl is Nolabel[??],
    • ~1:T1 -> T2 when lbl is Labelled[??],
    • ?1:T1 -> T2 when lbl is Optional[??.
| Ptyp_tuple of core_type list
    Ptyp_tuple([T1 ; ... ; Tn]) represents a product type T1 * ... * Tn.
    Invariant: n >= 2.
| Ptyp_constr of Longident.t Asttypes.loc * core_type list
    Ptyp_constr(lident, l) represents:
    • tconstr when l=[],
    • T tconstr when l=[T],
    • (T1, ..., Tn) tconstr when l=[T1 ; ... ; Tn].
| Ptyp_object of object_field list * Asttypes.closed_flag
    Ptyp_object([ l1:T1; ...; ln:Tn ], flag) represents:
    • < l1:T1; ...; ln:Tn > when flag is Closed[??],
    • < l1:T1; ...; ln:Tn; .. > when flag is Open[??.
| Ptyp_class of Longident.t Asttypes.loc * core_type list
    Ptyp_class(tconstr, l) represents:
    • #tconstr when l=[],

```

- $T \text{ \#tconstr when } l=[T],$
- $(T_1, \dots, T_n) \text{ \#tconstr when } l=[T_1 ; \dots ; T_n].$

| Ptyp\_alias of core\_type \* string

T as 'a.

| Ptyp\_variant of row\_field list \* Asttypes.closed\_flag \* Asttypes.label list option

Ptyp\_variant([`A;`B], flag, labels) represents:

- $[ \text{`A} | \text{`B} ]$  when flag is Closed[??], and labels is None,
- $[> \text{`A} | \text{`B} ]$  when flag is Open[??], and labels is None,
- $[< \text{`A} | \text{`B} ]$  when flag is Closed[??], and labels is Some [],
- $[< \text{`A} | \text{`B} > \text{`X} \text{ `Y} ]$  when flag is Closed[??], and labels is Some ["X";"Y"].

| Ptyp\_poly of string Asttypes.loc list \* core\_type

'a1 ... 'an. T

Can only appear in the following context:

- As the Parsetree.core\_type[29.6] of a Ppat\_constraint[??] node corresponding to a constraint on a let-binding:

```
let x : 'a1 ... 'an. T = e ...
```

- Under Cfk\_virtual[??] for methods (not values).
- As the Parsetree.core\_type[29.6] of a Pctf\_method[??] node.
- As the Parsetree.core\_type[29.6] of a Pexp\_poly[??] node.
- As the pld\_type[??] field of a Parsetree.label\_declaration[29.6].
- As a Parsetree.core\_type[29.6] of a Ptyp\_object[??] node.
- As the pval\_type[??] field of a Parsetree.value\_description[29.6].

| Ptyp\_package of package\_type

(module S).

| Ptyp\_extension of extension

[%id].

type package\_type = Longident.t Asttypes.loc \*  
(Longident.t Asttypes.loc \* core\_type) list

As Parsetree.package\_type[29.6] typed values:

- (S, []) represents (module S),

- $(S, [(t_1, T_1) ; \dots ; (t_n, T_n)])$  represents (module  $S$  with type  $t_1 = T_1$  and  $\dots$  and  $t_n = T_n$ ).

```

type row_field =
{ prf_desc : row_field_desc ;
  prf_loc : Location.t ;
  prf_attributes : attributes ;
}

type row_field_desc =
  | Rtag of Asttypes.label Asttypes.loc * bool * core_type list
    Rtag(`A, b, l) represents:
      • `A when b is true and l is [],
      • `A of T when b is false and l is [T],
      • `A of T1 & .. & Tn when b is false and l is [T1;...Tn],
      • `A of & T1 & .. & Tn when b is true and l is [T1;...Tn].

      • The bool field is true if the tag contains a constant (empty) constructor.
      • & occurs when several types are used for the same constructor (see 4.2 in the
        manual)

  | Rinherit of core_type
    [ | t ]

type object_field =
{ pof_desc : object_field_desc ;
  pof_loc : Location.t ;
  pof_attributes : attributes ;
}

type object_field_desc =
  | Otag of Asttypes.label Asttypes.loc * core_type
  | Oinherit of core_type

```

## Patterns

```

type pattern =
{ ppat_desc : pattern_desc ;
  ppat_loc : Location.t ;
  ppat_loc_stack : location_stack ;
  ppat_attributes : attributes ;
  ... [ @id1 ] [ @id2 ]
}

type pattern_desc =
  | Ppat_any

```

The pattern `_`.

| `Ppat_var` of `string Asttypes.loc`

A variable pattern such as `x`

| `Ppat_alias` of `pattern * string Asttypes.loc`

An alias pattern such as `P as 'a`

| `Ppat_constant` of `constant`

Patterns such as `1`, `'a'`, `"true"`, `1.0`, `1l`, `1L`, `1n`

| `Ppat_interval` of `constant * constant`

Patterns such as `'a'..'z'`.

Other forms of interval are recognized by the parser but rejected by the type-checker.

| `Ppat_tuple` of `pattern list`

Patterns `(P1, ..., Pn)`.

Invariant: `n >= 2`

| `Ppat_construct` of `Longident.t Asttypes.loc`

`* (string Asttypes.loc list * pattern) option`

`Ppat_construct(C, args)` represents:

- `C` when `args` is `None`,
- `C P` when `args` is `Some ([], P)`
- `C (P1, ..., Pn)` when `args` is `Some ([], Ppat_tuple [P1; ...; Pn])`
- `C (type a b) P` when `args` is `Some ([a; b], P)`

| `Ppat_variant` of `Asttypes.label * pattern option`

`Ppat_variant(`A, pat)` represents:

- ``A` when `pat` is `None`,
- ``A P` when `pat` is `Some P`

| `Ppat_record` of `(Longident.t Asttypes.loc * pattern) list * Asttypes.closed_flag`

`Ppat_record([(l1, P1) ; ... ; (ln, Pn)], flag)` represents:

- `{ l1=P1; ...; ln=Pn }` when `flag` is `Closed[??]`
- `{ l1=P1; ...; ln=Pn; _ }` when `flag` is `Open[??]`

Invariant: `n > 0`

| `Ppat_array` of `pattern list`

Pattern `[| P1; ...; Pn |]`

| `Ppat_or` of `pattern * pattern`

Pattern `P1 | P2`



```

| Ppat_constraint of pattern * core_type
    Pattern (P : T)

| Ppat_type of Longident.t Asttypes.loc
    Pattern #tconst

| Ppat_lazy of pattern
    Pattern lazy P

| Ppat_unpack of string option Asttypes.loc
    Ppat_unpack(s) represents:
        • (module P) when s is Some "P"
        • (module _) when s is None

    Note: (module P : S) is represented as Ppat_constraint(Ppat_unpack(Some "P"),
    Ptyp_package S)

| Ppat_exception of pattern
    Pattern exception P

| Ppat_extension of extension
    Pattern [%id]

| Ppat_open of Longident.t Asttypes.loc * pattern
    Pattern M.(P)

```

## Value expressions

```

type expression =
{
  pexp_desc : expression_desc ;
  pexp_loc : Location.t ;
  pexp_loc_stack : location_stack ;
  pexp_attributes : attributes ;
  ... [%id1] [%id2]
}

type expression_desc =
| Pexp_ident of Longident.t Asttypes.loc
    Identifiers such as x and M.x

| Pexp_constant of constant
    Expressions constant such as 1, 'a', "true", 1.0, 1l, 1L, 1n

| Pexp_let of Asttypes.rec_flag * value_binding list * expression
    Pexp_let(flag, [(P1,E1) ; ... ; (Pn,En)], E) represents:
        • let P1 = E1 and ... and Pn = EN in E when flag is Nonrecursive[??],

```

- `let rec P1 = E1 and ... and Pn = EN in E` when flag is `Recursive[??]`.

| `Pexp_function` of case list

`function P1 -> E1 | ... | Pn -> En`

| `Pexp_fun` of `Asttypes.arg_label * expression option * pattern`

`* expression`

`Pexp_fun(lbl, exp0, P, E1)` represents:

- `fun P -> E1` when `lbl` is `Nolabel[??]` and `exp0` is `None`
- `fun ~l:P -> E1` when `lbl` is `Labelled l[??]` and `exp0` is `None`
- `fun ?l:P -> E1` when `lbl` is `Optional l[??]` and `exp0` is `None`
- `fun ?l:(P = E0) -> E1` when `lbl` is `Optional l[??]` and `exp0` is `Some E0`

Notes:

- If `E0` is provided, only `Optional[??]` is allowed.
- `fun P1 P2 .. Pn -> E1` is represented as nested `Pexp_fun[??]`.
- `let f P = E` is represented using `Pexp_fun[??]`.

| `Pexp_apply` of `expression * (Asttypes.arg_label * expression) list`

`Pexp_apply(E0, [(l1, E1) ; ... ; (ln, En)])` represents `E0 ~l1:E1 ... ~ln:En`

`li` can be `Nolabel[??]` (non labeled argument), `Labelled[??]` (labelled arguments) or `Optional[??]` (optional argument).

Invariant: `n > 0`

| `Pexp_match` of `expression * case list`

`match E0 with P1 -> E1 | ... | Pn -> En`

| `Pexp_try` of `expression * case list`

`try E0 with P1 -> E1 | ... | Pn -> En`

| `Pexp_tuple` of `expression list`

Expressions `(E1, ..., En)`

Invariant: `n >= 2`

| `Pexp_construct` of `Longident.t Asttypes.loc * expression option`

`Pexp_construct(C, exp)` represents:

- `C` when `exp` is `None`,
- `C E` when `exp` is `Some E`,
- `C (E1, ..., En)` when `exp` is `Some (Pexp_tuple[E1;...;En])`

| `Pexp_variant` of `Asttypes.label * expression option`

`Pexp_variant(`A, exp)` represents

- ``A` when `exp` is `None`
- ``A E` when `exp` is `Some E`

| `Pexp_record` of `(Longident.t Asttypes.loc * expression) list`  
`* expression option`

`Pexp_record([(l1,P1) ; ... ; (ln,Pn)], exp0)` represents

- `{ l1=P1; ...; ln=Pn }` when `exp0` is `None`
- `{ E0 with l1=P1; ...; ln=Pn }` when `exp0` is `Some E0`

Invariant: `n > 0`

| `Pexp_field` of `expression * Longident.t Asttypes.loc`  
`E.l`

| `Pexp_setfield` of `expression * Longident.t Asttypes.loc * expression`  
`E1.l <- E2`

| `Pexp_array` of `expression list`  
`[| E1; ...; En |]`

| `Pexp_ifthenelse` of `expression * expression * expression option`  
`if E1 then E2 else E3`

| `Pexp_sequence` of `expression * expression`  
`E1; E2`

| `Pexp_while` of `expression * expression`  
`while E1 do E2 done`

| `Pexp_for` of `pattern * expression * expression`  
`* Asttypes.direction_flag * expression`  
`Pexp_for(i, E1, E2, direction, E3)` represents:

- `for i = E1 to E2 do E3 done` when `direction` is `Upto[??]`
- `for i = E1 downto E2 do E3 done` when `direction` is `Downto[??]`

| `Pexp_constraint` of `expression * core_type`  
`(E : T)`

| `Pexp_coerce` of `expression * core_type option * core_type`  
`Pexp_coerce(E, from, T)` represents

- `(E :> T)` when `from` is `None`,
- `(E : T0 :> T)` when `from` is `Some T0`.

| `Pexp_send` of `expression * Asttypes.label Asttypes.loc`

```

      E # m
| Pexp_new of Longident.t Asttypes.loc
      new M.c
| Pexp_setinstvar of Asttypes.label Asttypes.loc * expression
      x <- 2
| Pexp_override of (Asttypes.label Asttypes.loc * expression) list
      {< x1 = E1; ...; xn = En >}
| Pexp_letmodule of string option Asttypes.loc * module_expr * expression
      let module M = ME in E
| Pexp_letexception of extension_constructor * expression
      let exception C in E
| Pexp_assert of expression
      assert E.
      Note: assert false is treated in a special way by the type-checker.
| Pexp_lazy of expression
      lazy E
| Pexp_poly of expression * core_type option
      Used for method bodies.
      Can only be used as the expression under Cfk_concrete[??] for methods (not values).
| Pexp_object of class_structure
      object ... end
| Pexp_newtype of string Asttypes.loc * expression
      fun (type t) -> E
| Pexp_pack of module_expr
      (module ME).
      (module ME : S) is represented as Pexp_constraint(Pexp_pack ME, Ptyp_package
      S)
| Pexp_open of open_declaration * expression
      - M.(E)
      • let open M in E
      • let open! M in E
| Pexp_lettop of letop
      - let* P = E0 in E1
      • let* P0 = E00 and* P1 = E01 in E1

```

```

    | Pexp_extension of extension
        [%id]
    | Pexp_unreachable
        .
type case =
{ pc_lhs : pattern ;
  pc_guard : expression option ;
  pc_rhs : expression ;
}

```

Values of type `Parsetree.case`[\[29.6\]](#) represents  $(P \rightarrow E)$  or  $(P \text{ when } E0 \rightarrow E)$

```

type letop =
{ let_ : binding_op ;
  ands : binding_op list ;
  body : expression ;
}
type binding_op =
{ pbop_op : string Asttypes.loc ;
  pbop_pat : pattern ;
  pbop_exp : expression ;
  pbop_loc : Location.t ;
}

```

## Value descriptions

```

type value_description =
{ pval_name : string Asttypes.loc ;
  pval_type : core_type ;
  pval_prim : string list ;
  pval_attributes : attributes ;
  ... [%id1] [%id2]
  pval_loc : Location.t ;
}

```

Values of type `Parsetree.value_description`[\[29.6\]](#) represents:

- `val x: T`, when `pval_prim[??]` is `[]`
- `external x: T = "s1" ... "sn"` when `pval_prim[??]` is `["s1";... "sn"]`

## Type declarations

```

type type_declaration =
{ ptype_name : string Asttypes.loc ;
  ptype_params : (core_type * (Asttypes.variance * Asttypes.injectivity)) list ;
}

```

```

      ('a1,...'an) t
ptype_cstrs : (core_type * core_type * Location.t) list ;
      ... constraint T1=T1' ... constraint Tn=Tn'

ptype_kind : type_kind ;
ptype_private : Asttypes.private_flag ;
      for = private ...

ptype_manifest : core_type option ;
      represents = T

ptype_attributes : attributes ;
      ...  [@@id1] [@@id2]

ptype_loc : Location.t ;
}

```

Here are type declarations and their representation, for various `ptype_kind[??]` and `ptype_manifest[??]` values:

- type `t` when `type_kind` is `Ptype_abstract[??]`, and `manifest` is `None`,
- type `t = T0` when `type_kind` is `Ptype_abstract[??]`, and `manifest` is `Some T0`,
- type `t = C of T | ...` when `type_kind` is `Ptype_variant[??]`, and `manifest` is `None`,
- type `t = T0 = C of T | ...` when `type_kind` is `Ptype_variant[??]`, and `manifest` is `Some T0`,
- type `t = {l1 : T; ...}` when `type_kind` is `Ptype_record[??]`, and `manifest` is `None`,
- type `t = T0 = {l1 : T; ...}` when `type_kind` is `Ptype_record[??]`, and `manifest` is `Some T0`,
- type `t = ..` when `type_kind` is `Ptype_open[??]`, and `manifest` is `None`.

```

type type_kind =
  | Ptype_abstract
  | Ptype_variant of constructor_declaration list
  | Ptype_record of label_declaration list
      Invariant: non-empty list

  | Ptype_open

type label_declaration =
{ pld_name : string Asttypes.loc ;
  pld_mutable : Asttypes.mutable_flag ;
  pld_type : core_type ;
  pld_loc : Location.t ;
  pld_attributes : attributes ;
      l : T [@@id1] [@@id2]
}

```

```

}
- { ...; l: T; ... } when pld_mutable[??] is Immutable[??],
  • { ...; mutable l: T; ... } when pld_mutable[??] is Mutable[??].

```

Note: T can be a Ptyp\_poly[??].

```

type constructor_declaration =
{ pcd_name : string Asttypes.loc ;
  pcd_vars : string Asttypes.loc list ;
  pcd_args : constructor_arguments ;
  pcd_res : core_type option ;
  pcd_loc : Location.t ;
  pcd_attributes : attributes ;
  C of ... [ @id1 ] [ @id2 ]
}

```

```

type constructor_arguments =
| Pcstr_tuple of core_type list
| Pcstr_record of label_declaration list

```

Values of type `Parsetree.constructor_declaration`[\[29.6\]](#) represents the constructor arguments of:

- C of T1 \* ... \* Tn when res = None, and args = Pcstr\_tuple [T1; ... ; Tn],
- C: T0 when res = Some T0, and args = Pcstr\_tuple [],
- C: T1 \* ... \* Tn -> T0 when res = Some T0, and args = Pcstr\_tuple [T1; ... ; Tn],
- C of {...} when res = None, and args = Pcstr\_record [...],
- C: {...} -> T0 when res = Some T0, and args = Pcstr\_record [...].

```

type type_extension =
{ ptyext_path : Longident.t Asttypes.loc ;
  ptyext_params : (core_type * (Asttypes.variance * Asttypes.injectivity)) list ;
  ptyext_constructors : extension_constructor list ;
  ptyext_private : Asttypes.private_flag ;
  ptyext_loc : Location.t ;
  ptyext_attributes : attributes ;
  ... @@id1 @@id2
}

```

Definition of new extensions constructors for the extensive sum type t (type t += ...).

```

type extension_constructor =
{ pext_name : string Asttypes.loc ;

```

```

    pext_kind : extension_constructor_kind ;
    pext_loc : Location.t ;
    pext_attributes : attributes ;
    C of ... [ @id1 ] [ @id2 ]
}
type type_exception =
{
  ptyexn_constructor : extension_constructor ;
  ptyexn_loc : Location.t ;
  ptyexn_attributes : attributes ;
  ... [ @id1 ] [ @id2 ]
}

```

Definition of a new exception (exception E).

```

type extension_constructor_kind =
| Pext_decl of string Asttypes.loc list * constructor_arguments
* core_type option

```

Pext\_decl(existentials, c\_args, t\_opt) describes a new extension constructor.  
It can be:

- C of T1 \* ... \* Tn when:
  - existentials is [],
  - c\_args is [T1; ...; Tn],
  - t\_opt is None
- C: T0 when
  - existentials is [],
  - c\_args is [],
  - t\_opt is Some T0.
- C: T1 \* ... \* Tn -> T0 when
  - existentials is [],
  - c\_args is [T1; ...; Tn],
  - t\_opt is Some T0.
- C: 'a... . T1 \* ... \* Tn -> T0 when
  - existentials is ['a;...],
  - c\_args is [T1; ... ; Tn],
  - t\_opt is Some T0.

```

| Pext_rebind of Longident.t Asttypes.loc

```

Pext\_rebind(D) re-export the constructor D with the new name C



## Class language

### Type expressions for the class language

```

type class_type =
{  pctype_desc : class_type_desc ;
   pctype_loc  : Location.t ;
   pctype_attributes : attributes ;
   ...  [@id1] [@id2]
}

type class_type_desc =
| Pctype_constr of Longident.t Asttypes.loc * core_type list
  - c
    • ['a1, ..., 'an] c

| Pctype_signature of class_signature
  object ... end

| Pctype_arrow of Asttypes.arg_label * core_type * class_type
  Pctype_arrow(lbl, T, CT) represents:
    • T -> CT when lbl is Nolabel[??],
    • ~1:T -> CT when lbl is Labelled 1[??],
    • ?1:T -> CT when lbl is Optional 1[??.

| Pctype_extension of extension
  %id

| Pctype_open of open_description * class_type
  let open M in CT

type class_signature =
{  pcsig_self : core_type ;
   pcsig_fields : class_type_field list ;
}

Values of type class_signature represents:
    • object('selfpat) ... end
    • object ... end when pcsig_self[??] is Ptyp_any[??]

type class_type_field =
{  pctf_desc : class_type_field_desc ;
   pctf_loc  : Location.t ;
   pctf_attributes : attributes ;

```

```

        ...  [@@id1] [@@id2]
}

type class_type_field_desc =
  | Pctf_inherit of class_type
      inherit CT

  | Pctf_val of (Asttypes.label Asttypes.loc * Asttypes.mutable_flag *
Asttypes.virtual_flag * core_type)
      val x:  T

  | Pctf_method of (Asttypes.label Asttypes.loc * Asttypes.private_flag *
Asttypes.virtual_flag * core_type)
      method x:  T
      Note: T can be a Ptyp_poly[??].

  | Pctf_constraint of (core_type * core_type)
      constraint T1 = T2

  | Pctf_attribute of attribute
      [@@@id]

  | Pctf_extension of extension
      [%%id]

type 'a class_infos =
{ pci_virt : Asttypes.virtual_flag ;
  pci_params : (core_type * (Asttypes.variance * Asttypes.injectivity)) list ;
  pci_name : string Asttypes.loc ;
  pci_expr : 'a ;
  pci_loc : Location.t ;
  pci_attributes : attributes ;
  ...  [@@id1] [@@id2]
}

```

Values of type `class_expr` `class_infos` represents:

- `class c = ...`
- `class ['a1,...,'an] c = ...`
- `class virtual c = ...`

They are also used for "class type" declaration.

```

type class_description = class_type class_infos
type class_type_declaration = class_type class_infos

```

**Value expressions for the class language**

```

type class_expr =
{  pcl_desc : class_expr_desc ;
  pcl_loc : Location.t ;
  pcl_attributes : attributes ;
  ...  [@id1] [@id2]
}

type class_expr_desc =
| Pcl_constr of Longident.t Asttypes.loc * core_type list
  c and ['a1, ..., 'an] c
| Pcl_structure of class_structure
  object ... end
| Pcl_fun of Asttypes.arg_label * expression option * pattern
  * class_expr
  Pcl_fun(lbl, exp0, P, CE) represents:
    • fun P -> CE when lbl is Nolabel[??] and exp0 is None,
    • fun ~l:P -> CE when lbl is Labelled l[??] and exp0 is None,
    • fun ?l:P -> CE when lbl is Optional l[??] and exp0 is None,
    • fun ?l:(P = EO) -> CE when lbl is Optional l[??] and exp0 is Some EO.
| Pcl_apply of class_expr * (Asttypes.arg_label * expression) list
  Pcl_apply(CE, [(l1,E1) ; ... ; (ln,En)]) represents CE ~l1:E1 ... ~ln:En.
  li can be empty (non labeled argument) or start with ? (optional argument).
  Invariant: n > 0
| Pcl_let of Asttypes.rec_flag * value_binding list * class_expr
  Pcl_let(rec, [(P1, E1); ... ; (Pn, En)], CE) represents:
    • let P1 = E1 and ... and Pn = EN in CE when rec is Nonrecursive[??],
    • let rec P1 = E1 and ... and Pn = EN in CE when rec is Recursive[??].
| Pcl_constraint of class_expr * class_type
  (CE : CT)
| Pcl_extension of extension
  [%id]
| Pcl_open of open_description * class_expr
  let open M in CE

```

```

type class_structure =
{ pcstr_self : pattern ;
  pcstr_fields : class_field list ;
}

```

Values of type `Parsetree.class_structure`[\[29.6\]](#) represents:

- `object(selfpat) ... end`
- `object ... end` when `pcstr_self`[\[??\]](#) is `Ppat_any`[\[??\]](#)

```

type class_field =
{ pcf_desc : class_field_desc ;
  pcf_loc : Location.t ;
  pcf_attributes : attributes ;
  ... [@@id1] [@@id2]
}

```

```

type class_field_desc =

```

```

| Pcf_inherit of Asttypes.override_flag * class_expr * string Asttypes.loc option

```

`Pcf_inherit(flag, CE, s)` represents:

- inherit CE when flag is `Fresh`[\[??\]](#) and s is `None`,
- inherit CE as x when flag is `Fresh`[\[??\]](#) and s is `Some x`,
- inherit! CE when flag is `Override`[\[??\]](#) and s is `None`,
- inherit! CE as x when flag is `Override`[\[??\]](#) and s is `Some x`

```

| Pcf_val of (Asttypes.label Asttypes.loc * Asttypes.mutable_flag *
class_field_kind)

```

`Pcf_val(x, flag, kind)` represents:

- val x = E when flag is `Immutable`[\[??\]](#) and kind is `Cfk_concrete(Fresh, E)`[\[??\]](#)
- val virtual x: T when flag is `Immutable`[\[??\]](#) and kind is `Cfk_virtual(T)`[\[??\]](#)
- val mutable x = E when flag is `Mutable`[\[??\]](#) and kind is `Cfk_concrete(Fresh, E)`[\[??\]](#)
- val mutable virtual x: T when flag is `Mutable`[\[??\]](#) and kind is `Cfk_virtual(T)`[\[??\]](#)

```

| Pcf_method of (Asttypes.label Asttypes.loc * Asttypes.private_flag *
class_field_kind)

```

- method x = E (E can be a `Pexp_poly`[\[??\]](#))

- method virtual x: T (T can be a `Ptyp_poly`[\[??\]](#))

```

| Pcf_constraint of (core_type * core_type)
    constraint T1 = T2
| Pcf_initializer of expression
    initializer E
| Pcf_attribute of attribute
    [@@@id]
| Pcf_extension of extension
    [%id]
type class_field_kind =
| Cfk_virtual of core_type
| Cfk_concrete of Asttypes.override_flag * expression
type class_declaration = class_expr class_infos

```

## Module language

### Type expressions for the module language

```

type module_type =
{ pmty_desc : module_type_desc ;
  pmty_loc : Location.t ;
  pmty_attributes : attributes ;
  ...  [@id1] [@id2]
}
type module_type_desc =
| Pmty_ident of Longident.t Asttypes.loc
    Pmty_ident(S) represents S
| Pmty_signature of signature
    sig ... end
| Pmty_functor of functor_parameter * module_type
    functor(X : MT1) -> MT2
| Pmty_with of module_type * with_constraint list
    MT with ...
| Pmty_typeof of module_expr
    module type of ME
| Pmty_extension of extension
    [%id]
| Pmty_alias of Longident.t Asttypes.loc
    (module M)

```

```

type functor_parameter =
  | Unit
    ()

  | Named of string option Asttypes.loc * module_type
    Named(name, MT) represents:
      • (X : MT) when name is Some X,
      • (_ : MT) when name is None

type signature = signature_item list
type signature_item =
{ psig_desc : signature_item_desc ;
  psig_loc : Location.t ;
}

type signature_item_desc =
  | Psig_value of value_description
    - val x: T
      • external x: T = "s1" ... "sn"

  | Psig_type of Asttypes.rec_flag * type_declaration list
    type t1 = ... and ... and tn = ...

  | Psig_typesubst of type_declaration list
    type t1 := ... and ... and tn := ...

  | Psig_typext of type_extension
    type t1 += ...

  | Psig_exception of type_exception
    exception C of T

  | Psig_module of module_declaration
    module X = M and module X : MT

  | Psig_modsubst of module_substitution
    module X := M

  | Psig_recmodule of module_declaration list
    module rec X1 : MT1 and ... and Xn : MTn

  | Psig_modtype of module_type_declaration
    module type S = MT and module type S

  | Psig_modtypesubst of module_type_declaration
    module type S := ...

  | Psig_open of open_description

```

```

        open X
    | Psig_include of include_description
        include MT
    | Psig_class of class_description list
        class c1 : ... and ... and cn : ...
    | Psig_class_type of class_type_declaration list
        class type ct1 = ... and ... and ctn = ...
    | Psig_attribute of attribute
        [@@@id]
    | Psig_extension of extension * attributes
        [%%id]

type module_declaration =
{ pmd_name : string option Asttypes.loc ;
  pmd_type : module_type ;
  pmd_attributes : attributes ;
    ... [@@id1] [@@id2]

  pmd_loc : Location.t ;
}

```

Values of type `module_declaration` represents  $S : MT$

```

type module_substitution =
{ pms_name : string Asttypes.loc ;
  pms_manifest : Longident.t Asttypes.loc ;
  pms_attributes : attributes ;
    ... [@@id1] [@@id2]

  pms_loc : Location.t ;
}

```

Values of type `module_substitution` represents  $S := M$

```

type module_type_declaration =
{ pmt_name : string Asttypes.loc ;
  pmt_type : module_type option ;
  pmt_attributes : attributes ;
    ... [@@id1] [@@id2]

  pmt_loc : Location.t ;
}

```

Values of type `module_type_declaration` represents:

- $S = MT$ ,

- S for abstract module type declaration, when `pmt_d_type[??]` is `None`.

```
type 'a open_infos =
{ popen_expr : 'a ;
  popen_override : Asttypes.override_flag ;
  popen_loc : Location.t ;
  popen_attributes : attributes ;
}
```

Values of type `'a open_infos` represents:

- `open!` X when `popen_override[??]` is `Override[??]` (silences the "used identifier shadowing" warning)
- `open` X when `popen_override[??]` is `Fresh[??]`

```
type open_description = Longident.t Asttypes.loc open_infos
```

Values of type `open_description` represents:

- `open M.N`
- `open M(N).0`

```
type open_declaration = module_expr open_infos
```

Values of type `open_declaration` represents:

- `open M.N`
- `open M(N).0`
- `open struct ... end`

```
type 'a include_infos =
{ pincl_mod : 'a ;
  pincl_loc : Location.t ;
  pincl_attributes : attributes ;
}
```

```
type include_description = module_type include_infos
```

Values of type `include_description` represents `include MT`

```
type include_declaration = module_expr include_infos
```

Values of type `include_declaration` represents `include ME`

```
type with_constraint =
| Pwith_type of Longident.t Asttypes.loc * type_declaration
  with type X.t = ...
```

Note: the last component of the longident must match the name of the `type_declaration`.



```

| Pwith_module of Longident.t Asttypes.loc * Longident.t Asttypes.loc
    with module X.Y = Z
| Pwith_modtype of Longident.t Asttypes.loc * module_type
    with module type X.Y = Z
| Pwith_modtypesubst of Longident.t Asttypes.loc * module_type
    with module type X.Y := sig end
| Pwith_typesubst of Longident.t Asttypes.loc * type_declaration
    with type X.t := ..., same format as [Pwith_type]
| Pwith_modsubst of Longident.t Asttypes.loc * Longident.t Asttypes.loc
    with module X.Y := Z

```

### Value expressions for the module language

```

type module_expr =
{ pmod_desc : module_expr_desc ;
  pmod_loc : Location.t ;
  pmod_attributes : attributes ;
  ...  [@id1] [@id2]
}
type module_expr_desc =
| Pmod_ident of Longident.t Asttypes.loc
    X
| Pmod_structure of structure
    struct ... end
| Pmod_functor of functor_parameter * module_expr
    functor(X : MT1) -> ME
| Pmod_apply of module_expr * module_expr
    ME1(ME2)
| Pmod_apply_unit of module_expr
    ME1()
| Pmod_constraint of module_expr * module_type
    (ME : MT)
| Pmod_unpack of expression
    (val E)
| Pmod_extension of extension
    [%id]

```

```

type structure = structure_item list
type structure_item =
{ pstr_desc : structure_item_desc ;
  pstr_loc : Location.t ;
}
type structure_item_desc =
  | Pstr_eval of expression * attributes
    E
  | Pstr_value of Asttypes.rec_flag * value_binding list
    Pstr_value(rec, [(P1, E1 ; ... ; (Pn, En))]) represents:
      • let P1 = E1 and ... and Pn = EN when rec is Nonrecursive[??],
      • let rec P1 = E1 and ... and Pn = EN when rec is Recursive[??].
  | Pstr_primitive of value_description
    - val x: T
      • external x: T = "s1" ... "sn"
  | Pstr_type of Asttypes.rec_flag * type_declaration list
    type t1 = ... and ... and tn = ...
  | Pstr_typext of type_extension
    type t1 += ...
  | Pstr_exception of type_exception
    - exception C of T
      • exception C = M.X
  | Pstr_module of module_binding
    module X = ME
  | Pstr_recmodule of module_binding list
    module rec X1 = ME1 and ... and Xn = MEn
  | Pstr_modtype of module_type_declaration
    module type S = MT
  | Pstr_open of open_declaration
    open X
  | Pstr_class of class_declaration list
    class c1 = ... and ... and cn = ...
  | Pstr_class_type of class_type_declaration list
    class type ct1 = ... and ... and ctn = ...

```

```

| Pstr_include of include_declaration
    include ME

| Pstr_attribute of attribute
    [@@@id]

| Pstr_extension of extension * attributes
    [%%id]

type value_constraint =
| Pvc_constraint of { locally_abstract_univars : string Asttypes.loc list ;
  typ : core_type ;
}
| Pvc_coercion of { ground : core_type option ;
  coercion : core_type ;
}

- Pvc_constraint { locally_abstract_univars=[]; typ} is a simple type
constraint on a value binding: let x : typ

• More generally, in Pvc_constraint { locally_abstract_univars; typ}
  locally_abstract_univars is the list of locally abstract type variables in let
  x: type a ... . typ

• Pvc_coercion { ground=None; coercion } represents let x :> typ

• Pvc_coercion { ground=Some g; coercion } represents let x : g :> typ

type value_binding =
{ pvb_pat : pattern ;
  pvb_expr : expression ;
  pvb_constraint : value_constraint option ;
  pvb_attributes : attributes ;
  pvb_loc : Location.t ;
}

let pat : type_constraint = exp

type module_binding =
{ pmb_name : string option Asttypes.loc ;
  pmb_expr : module_expr ;
  pmb_attributes : attributes ;
  pmb_loc : Location.t ;
}

```

Values of type module\_binding represents module X = ME

## Toplevel

### Toplevel phrases

```

type toplevel_phrase =
  | Ptop_def of structure
  | Ptop_dir of toplevel_directive
      #use, #load ...

type toplevel_directive =
{ pdir_name : string Asttypes.loc ;
  pdir_arg : directive_argument option ;
  pdir_loc : Location.t ;
}

type directive_argument =
{ pdira_desc : directive_argument_desc ;
  pdira_loc : Location.t ;
}

type directive_argument_desc =
  | Pdir_string of string
  | Pdir_int of string * char option
  | Pdir_ident of Longident.t
  | Pdir_bool of bool

```

## 29.7 Module Pprintast : Pretty-printers for Parsetree[\[29.6\]](#)

**Warning:** this module is unstable and part of compiler-libs[\[29\]](#).

```

type space_formatter = (unit, Format.formatter, unit) format
val longident : Format.formatter -> Longident.t -> unit
val expression : Format.formatter -> Parsetree.expression -> unit
val string_of_expression : Parsetree.expression -> string
val pattern : Format.formatter -> Parsetree.pattern -> unit
val core_type : Format.formatter -> Parsetree.core_type -> unit
val signature : Format.formatter -> Parsetree.signature -> unit
val structure : Format.formatter -> Parsetree.structure -> unit
val string_of_structure : Parsetree.structure -> string
val module_expr : Format.formatter -> Parsetree.module_expr -> unit
val toplevel_phrase : Format.formatter -> Parsetree.toplevel_phrase -> unit
val top_phrase : Format.formatter -> Parsetree.toplevel_phrase -> unit
val class_field : Format.formatter -> Parsetree.class_field -> unit
val class_type_field : Format.formatter -> Parsetree.class_type_field -> unit

```

```
val class_expr : Format.formatter -> Parsetree.class_expr -> unit
val class_type : Format.formatter -> Parsetree.class_type -> unit
val module_type : Format.formatter -> Parsetree.module_type -> unit
val structure_item : Format.formatter -> Parsetree.structure_item -> unit
val signature_item : Format.formatter -> Parsetree.signature_item -> unit
val binding : Format.formatter -> Parsetree.value_binding -> unit
val payload : Format.formatter -> Parsetree.payload -> unit
val tyvar : Format.formatter -> string -> unit
```

Print a type variable name, taking care of the special treatment required for the single quote character in second position.



## Chapter 30

# The unix library: Unix system calls

The `unix` library makes many Unix system calls and system-related library functions available to OCaml programs. This chapter describes briefly the functions provided. Refer to sections 2 and 3 of the Unix manual for more details on the behavior of these functions.

Not all functions are provided by all Unix variants. If some functions are not available, they will raise `Invalid_arg` when called.

Programs that use the `unix` library must be linked as follows:

```
ocamlc other options -I +unix unix.cma other files
ocamlopt other options -I +unix unix.cmxa other files
```

For interactive use of the `unix` library, do:

```
ocamlmktop -o mytop -I +unix unix.cma
./mytop
```

or (if dynamic linking of C libraries is supported on your platform), start `ocaml` and type

```
# #directory "+unix";;
# #load "unix.cma";;
```

### Windows:

A fairly complete emulation of the Unix system calls is provided in the Windows version of OCaml. The end of this chapter gives more information on the functions that are not supported under Windows.

## 30.1 Module Unix : Interface to the Unix system.

To use the labeled version of this module, add `module Unix = UnixLabels` in your implementation.

Note: all the functions of this module (except `Unix.error_message`[\[30.1\]](#) and `Unix.handle_unix_error`[\[30.1\]](#)) are liable to raise the `Unix.Unix_error`[\[30.1\]](#) exception whenever the underlying system call signals an error.

## Error report

```
type error =  
  | E2BIG  
      Argument list too long  
  | EACCES  
      Permission denied  
  | EAGAIN  
      Resource temporarily unavailable; try again  
  | EBADF  
      Bad file descriptor  
  | EBUSY  
      Resource unavailable  
  | ECHILD  
      No child process  
  | EDEADLK  
      Resource deadlock would occur  
  | EDOM  
      Domain error for math functions, etc.  
  | EEXIST  
      File exists  
  | EFAULT  
      Bad address  
  | EFBIG  
      File too large  
  | EINTR  
      Function interrupted by signal  
  | EINVAL  
      Invalid argument  
  | EIO  
      Hardware I/O error  
  | EISDIR  
      Is a directory  
  | EMFILE  
      Too many open files by the process
```



EMLINK	Too many links
ENAMETOOLONG	Filename too long
ENFILE	Too many open files in the system
ENODEV	No such device
ENOENT	No such file or directory
ENOEXEC	Not an executable file
ENOLCK	No locks available
ENOMEM	Not enough memory
ENOSPC	No space left on device
ENOSYS	Function not supported
ENOTDIR	Not a directory
ENOTEMPTY	Directory not empty
ENOTTY	Inappropriate I/O control operation
ENXIO	No such device or address
EPERM	Operation not permitted
EPIPE	Broken pipe
ERANGE	Result too large

EROFS	Read-only file system
EPIPE	Invalid seek e.g. on a pipe
ESRCH	No such process
EXDEV	Invalid link
EWOULDBLOCK	Operation would block
EINPROGRESS	Operation now in progress
EALREADY	Operation already in progress
ENOTSOCK	Socket operation on non-socket
EDESTADDRREQ	Destination address required
EMSGSIZE	Message too long
EPROTOTYPE	Protocol wrong type for socket
ENOPROTOOPT	Protocol not available
EPROTONOSUPPORT	Protocol not supported
ESOCKTNOSUPPORT	Socket type not supported
EOPNOTSUPP	Operation not supported on socket
EPFNOSUPPORT	Protocol family not supported
EAFNOSUPPORT	Address family not supported by protocol family

EADDRINUSE	Address already in use
EADDRNOTAVAIL	Can't assign requested address
ENETDOWN	Network is down
ENETUNREACH	Network is unreachable
ENETRESET	Network dropped connection on reset
ECONNABORTED	Software caused connection abort
ECONNRESET	Connection reset by peer
ENOBUFS	No buffer space available
EISCONN	Socket is already connected
ENOTCONN	Socket is not connected
ESHUTDOWN	Can't send after socket shutdown
ETOOMANYREFS	Too many references: can't splice
ETIMEDOUT	Connection timed out
ECONNREFUSED	Connection refused
EHOSTDOWN	Host is down
EHOSTUNREACH	No route to host
ELOOP	Too many levels of symbolic links

| `EOVERFLOW`

File size or position not representable

| `EUNKNOWNERR` of `int`

Unknown error

The type of error codes. Errors defined in the POSIX standard and additional errors from UNIX98 and BSD. All other errors are mapped to `EUNKNOWNERR`.

**exception** `Unix_error` of `error * string * string`

Raised by the system calls below when an error is encountered. The first component is the error code; the second component is the function name; the third component is the string parameter to the function, if it has one, or the empty string otherwise.

`UnixLabels.Unix_error[??]` and `Unix.Unix_error[30.1]` are the same, and catching one will catch the other.

**val** `error_message` : `error -> string`

Return a string describing the given error code.

**val** `handle_unix_error` : `('a -> 'b) -> 'a -> 'b`

`handle_unix_error f x` applies `f` to `x` and returns the result. If the exception `Unix.Unix_error[30.1]` is raised, it prints a message describing the error and exits with code 2.

## Access to the process environment

**val** `environment` : `unit -> string array`

Return the process environment, as an array of strings with the format “variable=value”. The returned array is empty if the process has special privileges.

**val** `unsafe_environment` : `unit -> string array`

Return the process environment, as an array of strings with the format “variable=value”. Unlike `Unix.environment[30.1]`, this function returns a populated array even if the process has special privileges. See the documentation for `Unix.unsafe_getenv[30.1]` for more details.

**Since:** 4.06 (4.12 in `UnixLabels`)

**val** `getenv` : `string -> string`

Return the value associated to a variable in the process environment, unless the process has special privileges.

**Raises** `Not_found` if the variable is unbound or the process has special privileges.

This function is identical to `Sys.getenv[28.55]`.

**val** `unsafe_getenv` : `string -> string`

Return the value associated to a variable in the process environment.

Unlike `Unix.getenv`[\[30.1\]](#), this function returns the value even if the process has special privileges. It is considered unsafe because the programmer of a `setuid` or `setgid` program must be careful to avoid using maliciously crafted environment variables in the search path for executables, the locations for temporary files or logs, and the like.

**Since:** 4.06

**Raises** `Not_found` if the variable is unbound.

```
val putenv : string -> string -> unit
```

`putenv name value` sets the value associated to a variable in the process environment. `name` is the name of the environment variable, and `value` its new associated value.

## Process handling

```
type process_status =
```

```
| WEXITED of int
```

The process terminated normally by `exit`; the argument is the return code.

```
| WSIGNALED of int
```

The process was killed by a signal; the argument is the signal number.

```
| WSTOPPED of int
```

The process was stopped by a signal; the argument is the signal number.

The termination status of a process. See module `Sys`[\[28.55\]](#) for the definitions of the standard signal numbers. Note that they are not the numbers used by the OS.

On Windows: only `WEXITED` is used (as there are no inter-process signals) but with specific return codes to indicate special termination causes. Look for `NTSTATUS` values in the Windows documentation to decode such error return codes. In particular, `STATUS_ACCESS_VIOLATION` error code is the 32-bit `0xC0000005`: as `Int32.of_int 0xC0000005` is `-1073741819`, `WEXITED -1073741819` is the Windows equivalent of `WSIGNALED Sys.sigsegv`.

```
type wait_flag =
```

```
| WNOHANG
```

Do not block if no child has died yet, but immediately return with a `pid` equal to 0.

```
| WUNTRACED
```

Report also the children that receive stop signals.

Flags for `Unix.waitpid`[\[30.1\]](#).

```
val execv : string -> string array -> 'a
```

`execv prog args` execute the program in file `prog`, with the arguments `args`, and the current process environment. These `execv*` functions never return: on success, the current program is replaced by the new one.

On Windows: the CRT simply spawns a new process and exits the current one. This will have unwanted consequences if e.g. another process is waiting on the current one. Using `Unix.create_process`[\[30.1\]](#) or one of the `open_process_*` functions instead is recommended.

**Raises** `Unix_error` on failure

`val execve : string -> string array -> string array -> 'a`

Same as `Unix.execv`[\[30.1\]](#), except that the third argument provides the environment to the program executed.

`val execvp : string -> string array -> 'a`

Same as `Unix.execv`[\[30.1\]](#), except that the program is searched in the path.

`val execvpe : string -> string array -> string array -> 'a`

Same as `Unix.execve`[\[30.1\]](#), except that the program is searched in the path.

`val fork : unit -> int`

Fork a new process. The returned integer is 0 for the child process, the pid of the child process for the parent process.

**Raises** `Invalid_argument` on Windows. Use `Unix.create_process`[\[30.1\]](#) or threads instead.

`val wait : unit -> int * process_status`

Wait until one of the children processes die, and return its pid and termination status.

**Raises** `Invalid_argument` on Windows. Use `Unix.waitpid`[\[30.1\]](#) instead.

`val waitpid : wait_flag list -> int -> int * process_status`

Same as `Unix.wait`[\[30.1\]](#), but waits for the child process whose pid is given. A pid of -1 means wait for any child. A pid of 0 means wait for any child in the same process group as the current process. Negative pid arguments represent process groups. The list of options indicates whether `waitpid` should return immediately without waiting, and whether it should report stopped children.

On Windows: can only wait for a given PID, not any child process.

`val system : string -> process_status`

Execute the given command, wait until it terminates, and return its termination status. The string is interpreted by the shell `/bin/sh` (or the command interpreter `cmd.exe` on Windows) and therefore can contain redirections, quotes, variables, etc. To properly quote whitespace and shell special characters occurring in file names or command arguments, the use of `Filename.quote_command`[\[28.19\]](#) is recommended. The result `WEXITED 127` indicates that the shell couldn't be executed.

`val _exit : int -> 'a`

Terminate the calling process immediately, returning the given status code to the operating system: usually 0 to indicate no errors, and a small positive integer to indicate failure. Unlike `exit`[27.2], `Unix._exit`[30.1] performs no finalization whatsoever: functions registered with `at_exit`[27.2] are not called, input/output channels are not flushed, and the C run-time system is not finalized either.

The typical use of `Unix._exit`[30.1] is after a `Unix.fork`[30.1] operation, when the child process runs into a fatal error and must exit. In this case, it is preferable to not perform any finalization action in the child process, as these actions could interfere with similar actions performed by the parent process. For example, output channels should not be flushed by the child process, as the parent process may flush them again later, resulting in duplicate output.

**Since:** 4.12

`val getpid : unit -> int`

Return the pid of the process.

`val getppid : unit -> int`

Return the pid of the parent process.

**Raises** `Invalid_argument` on Windows (because it is meaningless)

`val nice : int -> int`

Change the process priority. The integer argument is added to the “nice” value. (Higher values of the “nice” value mean lower priorities.) Return the new nice value.

**Raises** `Invalid_argument` on Windows

## Basic file input/output

`type file_descr`

The abstract type of file descriptors.

`val stdin : file_descr`

File descriptor for standard input.

`val stdout : file_descr`

File descriptor for standard output.

`val stderr : file_descr`

File descriptor for standard error.

`type open_flag =`

| `O_RDONLY`

Open for reading

| `O_WRONLY`

Open for writing  
 | `O_RDWR`  
 Open for reading and writing  
 | `O_NONBLOCK`  
 Open in non-blocking mode  
 | `O_APPEND`  
 Open for append  
 | `O_CREAT`  
 Create if nonexistent  
 | `O_TRUNC`  
 Truncate to 0 length if existing  
 | `O_EXCL`  
 Fail if existing  
 | `O_NOCTTY`  
 Don't make this dev a controlling tty  
 | `O_DSYNC`  
 Writes complete as 'Synchronised I/O data integrity completion'  
 | `O_SYNC`  
 Writes complete as 'Synchronised I/O file integrity completion'  
 | `O_RSYNC`  
 Reads complete as writes (depending on `O_SYNC/O_DSYNC`)  
 | `O_SHARE_DELETE`  
 Windows only: allow the file to be deleted while still open  
 | `O_CLOEXEC`  
 Set the close-on-exec flag on the descriptor returned by `Unix.openfile`[\[30.1\]](#). See `Unix.set_close_on_exec`[\[30.1\]](#) for more information.  
 | `O_KEEPEXEC`  
 Clear the close-on-exec flag. This is currently the default.  
 The flags to `Unix.openfile`[\[30.1\]](#).

`type file_perm = int`

The type of file access rights, e.g. `0o640` is read and write for user, read for group, none for others

`val openfile : string -> open_flag list -> file_perm -> file_descr`

Open the named file with the given flags. Third argument is the permissions to give to the file if it is created (see `Unix.umask`[\[30.1\]](#)). Return a file descriptor on the named file.



```
val close : file_descr -> unit
```

Close a file descriptor.

```
val fsync : file_descr -> unit
```

Flush file buffers to disk.

**Since:** 4.08 (4.12 in UnixLabels)

```
val read : file_descr -> bytes -> int -> int -> int
```

`read fd buf pos len` reads `len` bytes from descriptor `fd`, storing them in byte sequence `buf`, starting at position `pos` in `buf`. Return the number of bytes actually read.

```
val write : file_descr -> bytes -> int -> int -> int
```

`write fd buf pos len` writes `len` bytes to descriptor `fd`, taking them from byte sequence `buf`, starting at position `pos` in `buff`. Return the number of bytes actually written. `write` repeats the writing operation until all bytes have been written or an error occurs.

```
val single_write : file_descr -> bytes -> int -> int -> int
```

Same as `Unix.write`[\[30.1\]](#), but attempts to write only once. Thus, if an error occurs, `single_write` guarantees that no data has been written.

```
val write_substring : file_descr -> string -> int -> int -> int
```

Same as `Unix.write`[\[30.1\]](#), but take the data from a string instead of a byte sequence.

**Since:** 4.02

```
val single_write_substring : file_descr -> string -> int -> int -> int
```

Same as `Unix.single_write`[\[30.1\]](#), but take the data from a string instead of a byte sequence.

**Since:** 4.02

## Interfacing with the standard input/output library

```
val in_channel_of_descr : file_descr -> in_channel
```

Create an input channel reading from the given descriptor. The channel is initially in binary mode; use `set_binary_mode_in ic false` if text mode is desired. Text mode is supported only if the descriptor refers to a file or pipe, but is not supported if it refers to a socket.

On Windows: `set_binary_mode_in`[\[27.2\]](#) always fails on channels created with this function.

Beware that input channels are buffered, so more characters may have been read from the descriptor than those accessed using channel functions. Channels also keep a copy of the current position in the file.

Closing the channel `ic` returned by `in_channel_of_descr fd` using `close_in ic` also closes the underlying descriptor `fd`. It is incorrect to close both the channel `ic` and the descriptor `fd`.

If several channels are created on the same descriptor, one of the channels must be closed, but not the others. Consider for example a descriptor `s` connected to a socket and two channels `ic = in_channel_of_descr s` and `oc = out_channel_of_descr s`. The recommended closing protocol is to perform `close_out oc`, which flushes buffered output to the socket then closes the socket. The `ic` channel must not be closed and will be collected by the GC eventually.

```
val out_channel_of_descr : file_descr -> out_channel
```

Create an output channel writing on the given descriptor. The channel is initially in binary mode; use `set_binary_mode_out oc false` if text mode is desired. Text mode is supported only if the descriptor refers to a file or pipe, but is not supported if it refers to a socket.

On Windows: `set_binary_mode_out`[\[27.2\]](#) always fails on channels created with this function.

Beware that output channels are buffered, so you may have to call `flush`[\[27.2\]](#) to ensure that all data has been sent to the descriptor. Channels also keep a copy of the current position in the file.

Closing the channel `oc` returned by `out_channel_of_descr fd` using `close_out oc` also closes the underlying descriptor `fd`. It is incorrect to close both the channel `ic` and the descriptor `fd`.

See `Unix.in_channel_of_descr`[\[30.1\]](#) for a discussion of the closing protocol when several channels are created on the same descriptor.

```
val descr_of_in_channel : in_channel -> file_descr
```

Return the descriptor corresponding to an input channel.

```
val descr_of_out_channel : out_channel -> file_descr
```

Return the descriptor corresponding to an output channel.

## Seeking and truncating

```
type seek_command =
```

```
  | SEEK_SET
```

indicates positions relative to the beginning of the file

```
  | SEEK_CUR
```

indicates positions relative to the current position

```
  | SEEK_END
```

indicates positions relative to the end of the file

Positioning modes for `Unix.lseek`[\[30.1\]](#).

```
val lseek : file_descr -> int -> seek_command -> int
```

Set the current position for a file descriptor, and return the resulting offset (from the beginning of the file).

```
val truncate : string -> int -> unit
```

Truncates the named file to the given size.

```
val ftruncate : file_descr -> int -> unit
```

Truncates the file corresponding to the given descriptor to the given size.

## File status

```
type file_kind =
```

```
| S_REG
```

Regular file

```
| S_DIR
```

Directory

```
| S_CHR
```

Character device

```
| S_BLK
```

Block device

```
| S_LNK
```

Symbolic link

```
| S_FIFO
```

Named pipe

```
| S SOCK
```

Socket

```
type stats =
```

```
{ st_dev : int ;
```

Device number

```
st_ino : int ;
```

Inode number

```
st_kind : file_kind ;
```

Kind of the file

```
st_perm : file_perm ;
```

Access rights

```
st_nlink : int ;
```

Number of links

```
st_uid : int ;
```

User id of the owner

```

    st_gid : int ;
        Group ID of the file's group

    st_rdev : int ;
        Device ID (if special file)

    st_size : int ;
        Size in bytes

    st_atime : float ;
        Last access time

    st_mtime : float ;
        Last modification time

    st_ctime : float ;
        Last status change time
}

```

The information returned by the `Unix.stat`[\[30.1\]](#) calls.

```

val stat : string -> stats
    Return the information for the named file.

val lstat : string -> stats
    Same as Unix.stat\[30.1\], but in case the file is a symbolic link, return the information for
    the link itself.

val fstat : file_descr -> stats
    Return the information for the file associated with the given descriptor.

val isatty : file_descr -> bool
    Return true if the given file descriptor refers to a terminal or console window, false
    otherwise.

```

## File operations on large files

```

module LargeFile :
    sig
        val lseek : Unix.file_descr -> int64 -> Unix.seek_command -> int64
            See lseek.

        val truncate : string -> int64 -> unit
            See truncate.
    end

```

```
val ftruncate : Unix.file_descr -> int64 -> unit
```

See `ftruncate`.

```
type stats =
```

```
{ st_dev : int ;
```

Device number

```
st_ino : int ;
```

Inode number

```
st_kind : Unix.file_kind ;
```

Kind of the file

```
st_perm : Unix.file_perm ;
```

Access rights

```
st_nlink : int ;
```

Number of links

```
st_uid : int ;
```

User id of the owner

```
st_gid : int ;
```

Group ID of the file's group

```
st_rdev : int ;
```

Device ID (if special file)

```
st_size : int64 ;
```

Size in bytes

```
st_atime : float ;
```

Last access time

```
st_mtime : float ;
```

Last modification time

```
st_ctime : float ;
```

Last status change time

```
}
```

```
val stat : string -> stats
```

```
val lstat : string -> stats
```

```
val fstat : Unix.file_descr -> stats
```

end

File operations on large files. This sub-module provides 64-bit variants of the functions `Unix.LargeFile.lseek`[\[30.1\]](#) (for positioning a file descriptor), `Unix.LargeFile.truncate`[\[30.1\]](#) and `Unix.LargeFile.ftruncate`[\[30.1\]](#) (for changing the size of a file), and `Unix.LargeFile.stat`[\[30.1\]](#), `Unix.LargeFile.lstat`[\[30.1\]](#) and `Unix.LargeFile.fstat`[\[30.1\]](#) (for obtaining information on files). These alternate functions represent positions and sizes by 64-bit integers (type `int64`) instead of regular integers (type `int`), thus allowing operating on files whose sizes are greater than `max_int`.

## Mapping files into memory

```
val map_file :
  file_descr ->
  ?pos:int64 ->
  ('a, 'b) Bigarray.kind ->
  'c Bigarray.layout ->
  bool -> int array -> ('a, 'b, 'c) Bigarray.Genarray.t
```

Memory mapping of a file as a Bigarray. `map_file fd kind layout shared dims` returns a Bigarray of kind `kind`, layout `layout`, and dimensions as specified in `dims`. The data contained in this Bigarray are the contents of the file referred to by the file descriptor `fd` (as opened previously with `Unix.openfile`[\[30.1\]](#), for example). The optional `pos` parameter is the byte offset in the file of the data being mapped; it defaults to 0 (map from the beginning of the file).

If `shared` is `true`, all modifications performed on the array are reflected in the file. This requires that `fd` be opened with write permissions. If `shared` is `false`, modifications performed on the array are done in memory only, using copy-on-write of the modified pages; the underlying file is not affected.

`Unix.map_file`[\[30.1\]](#) is much more efficient than reading the whole file in a Bigarray, modifying that Bigarray, and writing it afterwards.

To adjust automatically the dimensions of the Bigarray to the actual size of the file, the major dimension (that is, the first dimension for an array with C layout, and the last dimension for an array with Fortran layout) can be given as `-1`. `Unix.map_file`[\[30.1\]](#) then determines the major dimension from the size of the file. The file must contain an integral number of sub-arrays as determined by the non-major dimensions, otherwise `Failure` is raised.

If all dimensions of the Bigarray are given, the file size is matched against the size of the Bigarray. If the file is larger than the Bigarray, only the initial portion of the file is mapped to the Bigarray. If the file is smaller than the big array, the file is automatically grown to the size of the Bigarray. This requires write permissions on `fd`.

Array accesses are bounds-checked, but the bounds are determined by the initial call to `map_file`. Therefore, you should make sure no other process modifies the mapped file while you're accessing it, or a SIGBUS signal may be raised. This happens, for instance, if the file is shrunk.

`Invalid_argument` or `Failure` may be raised in cases where argument validation fails.

**Since:** 4.06

## Operations on file names

`val unlink : string -> unit`

Removes the named file.

If the named file is a directory, raises:

- `EPERM` on POSIX compliant system
- `EISDIR` on Linux  $\geq$  2.1.132
- `EACCESS` on Windows

`val rename : string -> string -> unit`

`rename src dst` changes the name of a file from `src` to `dst`, moving it between directories if needed. If `dst` already exists, its contents will be replaced with those of `src`. Depending on the operating system, the metadata (permissions, owner, etc) of `dst` can either be preserved or be replaced by those of `src`.

`val link : ?follow:bool -> string -> string -> unit`

`link ?follow src dst` creates a hard link named `dst` to the file named `src`.

**Raises**

- `ENOSYS` On *Unix* if `~follow:_` is requested, but `linkat` is unavailable.
- `ENOSYS` On *Windows* if `~follow:false` is requested.

`val realpath : string -> string`

`realpath p` is an absolute pathname for `p` obtained by resolving all extra `/` characters, relative path segments and symbolic links.

**Since:** 4.13

## File permissions and ownership

`type access_permission =`

| `R_OK`

Read permission

| `W_OK`

Write permission

| `X_OK`

Execution permission

| **F\_OK**

File exists

Flags for the `Unix.access`[\[30.1\]](#) call.

**val chmod** : string -> file\_perm -> unit

Change the permissions of the named file.

**val fchmod** : file\_descr -> file\_perm -> unit

Change the permissions of an opened file.

**Raises** `Invalid_argument` on Windows

**val chown** : string -> int -> int -> unit

Change the owner uid and owner gid of the named file.

**Raises** `Invalid_argument` on Windows

**val fchown** : file\_descr -> int -> int -> unit

Change the owner uid and owner gid of an opened file.

**Raises** `Invalid_argument` on Windows

**val umask** : file\_perm -> file\_perm

Set the process's file mode creation mask, and return the previous mask.

**Raises** `Invalid_argument` on Windows

**val access** : string -> access\_permission list -> unit

Check that the process has the given permissions over the named file.

On Windows: execute permission `X_OK` cannot be tested, just tests for read permission instead.

**Raises** `Unix_error` otherwise.

## Operations on file descriptors

**val dup** : ?cloexec:bool -> file\_descr -> file\_descr

Return a new file descriptor referencing the same file as the given descriptor. See `Unix.set_close_on_exec`[\[30.1\]](#) for documentation on the `cloexec` optional argument.

**val dup2** : ?cloexec:bool -> file\_descr -> file\_descr -> unit

`dup2 src dst` duplicates `src` to `dst`, closing `dst` if already opened. See `Unix.set_close_on_exec`[\[30.1\]](#) for documentation on the `cloexec` optional argument.

**val set\_nonblock** : file\_descr -> unit



Set the “non-blocking” flag on the given descriptor. When the non-blocking flag is set, reading on a descriptor on which there is temporarily no data available raises the `EAGAIN` or `EWOULDBLOCK` error instead of blocking; writing on a descriptor on which there is temporarily no room for writing also raises `EAGAIN` or `EWOULDBLOCK`.

```
val clear_nonblock : file_descr -> unit
```

Clear the “non-blocking” flag on the given descriptor. See `Unix.set_nonblock`[30.1].

```
val set_close_on_exec : file_descr -> unit
```

Set the “close-on-exec” flag on the given descriptor. A descriptor with the close-on-exec flag is automatically closed when the current process starts another program with one of the `exec`, `create_process` and `open_process` functions.

It is often a security hole to leak file descriptors opened on, say, a private file to an external program: the program, then, gets access to the private file and can do bad things with it. Hence, it is highly recommended to set all file descriptors “close-on-exec”, except in the very few cases where a file descriptor actually needs to be transmitted to another program.

The best way to set a file descriptor “close-on-exec” is to create it in this state. To this end, the `openfile` function has `O_CLOEXEC` and `O_KEEPEXEC` flags to enforce “close-on-exec” mode or “keep-on-exec” mode, respectively. All other operations in the Unix module that create file descriptors have an optional argument `?cloexec:bool` to indicate whether the file descriptor should be created in “close-on-exec” mode (by writing `~cloexec:true`) or in “keep-on-exec” mode (by writing `~cloexec:false`). For historical reasons, the default file descriptor creation mode is “keep-on-exec”, if no `cloexec` optional argument is given. This is not a safe default, hence it is highly recommended to pass explicit `cloexec` arguments to operations that create file descriptors.

The `cloexec` optional arguments and the `O_KEEPEXEC` flag were introduced in OCaml 4.05. Earlier, the common practice was to create file descriptors in the default, “keep-on-exec” mode, then call `set_close_on_exec` on those freshly-created file descriptors. This is not as safe as creating the file descriptor in “close-on-exec” mode because, in multithreaded programs, a window of vulnerability exists between the time when the file descriptor is created and the time `set_close_on_exec` completes. If another thread spawns another program during this window, the descriptor will leak, as it is still in the “keep-on-exec” mode.

Regarding the atomicity guarantees given by `~cloexec:true` or by the use of the `O_CLOEXEC` flag: on all platforms it is guaranteed that a concurrently-executing Caml thread cannot leak the descriptor by starting a new process. On Linux, this guarantee extends to concurrently-executing C threads. As of Feb 2017, other operating systems lack the necessary system calls and still expose a window of vulnerability during which a C thread can see the newly-created file descriptor in “keep-on-exec” mode.

```
val clear_close_on_exec : file_descr -> unit
```

Clear the “close-on-exec” flag on the given descriptor. See `Unix.set_close_on_exec`[30.1].

## Directories

```
val mkdir : string -> file_perm -> unit
    Create a directory with the given permissions (see Unix.umask[30.1]).
```

```
val rmdir : string -> unit
    Remove an empty directory.
```

```
val chdir : string -> unit
    Change the process working directory.
```

```
val getcwd : unit -> string
    Return the name of the current working directory.
```

```
val chroot : string -> unit
    Change the process root directory.
    Raises Invalid_argument on Windows
```

```
type dir_handle
    The type of descriptors over opened directories.
```

```
val opendir : string -> dir_handle
    Open a descriptor on a directory
```

```
val readdir : dir_handle -> string
    Return the next entry in a directory.
    Raises End_of_file when the end of the directory has been reached.
```

```
val rewinddir : dir_handle -> unit
    Reposition the descriptor to the beginning of the directory
```

```
val closedir : dir_handle -> unit
    Close a directory descriptor.
```

## Pipes and redirections

```
val pipe : ?cloexec:bool -> unit -> file_descr * file_descr
    Create a pipe. The first component of the result is opened for reading, that's the exit to the pipe. The second component is opened for writing, that's the entrance to the pipe. See Unix.set_close_on_exec[30.1] for documentation on the cloexec optional argument.
```

```
val mkfifo : string -> file_perm -> unit
    Create a named pipe with the given permissions (see Unix.umask[30.1]).
    Raises Invalid_argument on Windows
```

## High-level process and redirection management

**val create\_process :**

**string ->**

**string array -> file\_descr -> file\_descr -> file\_descr -> int**

`create_process prog args stdin stdout stderr` creates a new process that executes the program in file `prog`, with arguments `args`. The pid of the new process is returned immediately; the new process executes concurrently with the current process. The standard input and outputs of the new process are connected to the descriptors `stdin`, `stdout` and `stderr`. Passing e.g. `Unix.stdout[30.1]` for `stdout` prevents the redirection and causes the new process to have the same standard output as the current process. The executable file `prog` is searched in the path. The new process has the same environment as the current process.

**val create\_process\_env :**

**string ->**

**string array ->**

**string array -> file\_descr -> file\_descr -> file\_descr -> int**

`create_process_env prog args env stdin stdout stderr` works as `Unix.create_process[30.1]`, except that the extra argument `env` specifies the environment passed to the program.

**val open\_process\_in : string -> in\_channel**

High-level pipe and process management. This function runs the given command in parallel with the program. The standard output of the command is redirected to a pipe, which can be read via the returned input channel. The command is interpreted by the shell `/bin/sh` (or `cmd.exe` on Windows), cf. `Unix.system[30.1]`. The `Filename.quote_command[28.19]` function can be used to quote the command and its arguments as appropriate for the shell being used. If the command does not need to be run through the shell, `Unix.open_process_args_in[30.1]` can be used as a more robust and more efficient alternative to `Unix.open_process_in[30.1]`.

**val open\_process\_out : string -> out\_channel**

Same as `Unix.open_process_in[30.1]`, but redirect the standard input of the command to a pipe. Data written to the returned output channel is sent to the standard input of the command. Warning: writes on output channels are buffered, hence be careful to call `flush[27.2]` at the right times to ensure correct synchronization. If the command does not need to be run through the shell, `Unix.open_process_args_out[30.1]` can be used instead of `Unix.open_process_out[30.1]`.

**val open\_process : string -> in\_channel \* out\_channel**

Same as `Unix.open_process_out[30.1]`, but redirects both the standard input and standard output of the command to pipes connected to the two returned channels. The input channel is connected to the output of the command, and the output channel to the input of the command. If the command does not need to be run through the shell, `Unix.open_process_args[30.1]` can be used instead of `Unix.open_process[30.1]`.

```
val open_process_full :
```

```
  string ->
```

```
  string array -> in_channel * out_channel * in_channel
```

Similar to `Unix.open_process`[\[30.1\]](#), but the second argument specifies the environment passed to the command. The result is a triple of channels connected respectively to the standard output, standard input, and standard error of the command. If the command does not need to be run through the shell, `Unix.open_process_args_full`[\[30.1\]](#) can be used instead of `Unix.open_process_full`[\[30.1\]](#).

```
val open_process_args : string -> string array -> in_channel * out_channel
```

`open_process_args prog args` runs the program `prog` with arguments `args`. Note that the first argument is by convention the filename of the program being executed, just like `Sys.argv.(0)`. The new process executes concurrently with the current process. The standard input and output of the new process are redirected to pipes, which can be respectively read and written via the returned channels. The input channel is connected to the output of the program, and the output channel to the input of the program.

Warning: writes on output channels are buffered, hence be careful to call `flush`[\[27.2\]](#) at the right times to ensure correct synchronization.

The executable file `prog` is searched for in the path. This behaviour changed in 4.12; previously `prog` was looked up only in the current directory.

The new process has the same environment as the current process.

**Since:** 4.08

```
val open_process_args_in : string -> string array -> in_channel
```

Same as `Unix.open_process_args`[\[30.1\]](#), but redirects only the standard output of the new process.

**Since:** 4.08

```
val open_process_args_out : string -> string array -> out_channel
```

Same as `Unix.open_process_args`[\[30.1\]](#), but redirects only the standard input of the new process.

**Since:** 4.08

```
val open_process_args_full :
```

```
  string ->
```

```
  string array ->
```

```
  string array -> in_channel * out_channel * in_channel
```

Similar to `Unix.open_process_args`[\[30.1\]](#), but the third argument specifies the environment passed to the new process. The result is a triple of channels connected respectively to the standard output, standard input, and standard error of the program.

**Since:** 4.08

```
val process_in_pid : in_channel -> int
```

Return the pid of a process opened via `Unix.open_process_in[30.1]` or `Unix.open_process_args_in[30.1]`.

**Since:** 4.08 (4.12 in UnixLabels)

`val process_out_pid : out_channel -> int`

Return the pid of a process opened via `Unix.open_process_out[30.1]` or `Unix.open_process_args_out[30.1]`.

**Since:** 4.08 (4.12 in UnixLabels)

`val process_pid : in_channel * out_channel -> int`

Return the pid of a process opened via `Unix.open_process[30.1]` or `Unix.open_process_args[30.1]`.

**Since:** 4.08 (4.12 in UnixLabels)

`val process_full_pid : in_channel * out_channel * in_channel -> int`

Return the pid of a process opened via `Unix.open_process_full[30.1]` or `Unix.open_process_args_full[30.1]`.

**Since:** 4.08 (4.12 in UnixLabels)

`val close_process_in : in_channel -> process_status`

Close channels opened by `Unix.open_process_in[30.1]`, wait for the associated command to terminate, and return its termination status.

`val close_process_out : out_channel -> process_status`

Close channels opened by `Unix.open_process_out[30.1]`, wait for the associated command to terminate, and return its termination status.

`val close_process : in_channel * out_channel -> process_status`

Close channels opened by `Unix.open_process[30.1]`, wait for the associated command to terminate, and return its termination status.

`val close_process_full :`

`in_channel * out_channel * in_channel ->`  
`process_status`

Close channels opened by `Unix.open_process_full[30.1]`, wait for the associated command to terminate, and return its termination status.

## Symbolic links

`val symlink : ?to_dir:bool -> string -> string -> unit`

`symlink ?to_dir src dst` creates the file `dst` as a symbolic link to the file `src`. On Windows, `~to_dir` indicates if the symbolic link points to a directory or a file; if omitted, `symlink` examines `src` using `stat` and picks appropriately, if `src` does not exist then `false` is assumed (for this reason, it is recommended that the `~to_dir` parameter be specified in new code). On Unix, `~to_dir` is ignored.

Windows symbolic links are available in Windows Vista onwards. There are some important differences between Windows symlinks and their POSIX counterparts.

Windows symbolic links come in two flavours: directory and regular, which designate whether the symbolic link points to a directory or a file. The type must be correct - a directory symlink which actually points to a file cannot be selected with `chdir` and a file symlink which actually points to a directory cannot be read or written (note that Cygwin's emulation layer ignores this distinction).

When symbolic links are created to existing targets, this distinction doesn't matter and `symlink` will automatically create the correct kind of symbolic link. The distinction matters when a symbolic link is created to a non-existent target.

The other caveat is that by default symbolic links are a privileged operation. Administrators will always need to be running elevated (or with UAC disabled) and by default normal user accounts need to be granted the `SeCreateSymbolicLinkPrivilege` via Local Security Policy (`secpol.msc`) or via Active Directory.

`Unix.has_symlink`[\[30.1\]](#) can be used to check that a process is able to create symbolic links.

```
val has_symlink : unit -> bool
```

Returns `true` if the user is able to create symbolic links. On Windows, this indicates that the user not only has the `SeCreateSymbolicLinkPrivilege` but is also running elevated, if necessary. On other platforms, this simply indicates that the `symlink` system call is available.

**Since:** 4.03

```
val readlink : string -> string
```

Read the contents of a symbolic link.

## Polling

```
val select :
```

```
  file_descr list ->
```

```
  file_descr list ->
```

```
  file_descr list ->
```

```
  float -> file_descr list * file_descr list * file_descr list
```

Wait until some input/output operations become possible on some channels. The three list arguments are, respectively, a set of descriptors to check for reading (first argument), for writing (second argument), or for exceptional conditions (third argument). The fourth argument is the maximal timeout, in seconds; a negative fourth argument means no timeout (unbounded wait). The result is composed of three sets of descriptors: those ready for reading

(first component), ready for writing (second component), and over which an exceptional condition is pending (third component).

## Locking

```
type lock_command =
  | F_ULOCK
      Unlock a region
  | F_LOCK
      Lock a region for writing, and block if already locked
  | F_TLOCK
      Lock a region for writing, or fail if already locked
  | F_TEST
      Test a region for other process locks
  | F_RLOCK
      Lock a region for reading, and block if already locked
  | F_TRLOCK
      Lock a region for reading, or fail if already locked

Commands for Unix.lockf\[30.1\].
```

```
val lockf : file_descr -> lock_command -> int -> unit
```

`lockf fd mode len` puts a lock on a region of the file opened as `fd`. The region starts at the current read/write position for `fd` (as set by `Unix.lseek`[\[30.1\]](#)), and extends `len` bytes forward if `len` is positive, `len` bytes backwards if `len` is negative, or to the end of the file if `len` is zero. A write lock prevents any other process from acquiring a read or write lock on the region. A read lock prevents any other process from acquiring a write lock on the region, but lets other processes acquire read locks on it.

The `F_LOCK` and `F_TLOCK` commands attempts to put a write lock on the specified region. The `F_RLOCK` and `F_TRLOCK` commands attempts to put a read lock on the specified region. If one or several locks put by another process prevent the current process from acquiring the lock, `F_LOCK` and `F_RLOCK` block until these locks are removed, while `F_TLOCK` and `F_TRLOCK` fail immediately with an exception. The `F_ULOCK` removes whatever locks the current process has on the specified region. Finally, the `F_TEST` command tests whether a write lock can be acquired on the specified region, without actually putting a lock. It returns immediately if successful, or fails otherwise.

What happens when a process tries to lock a region of a file that is already locked by the same process depends on the OS. On POSIX-compliant systems, the second lock operation succeeds and may "promote" the older lock from read lock to write lock. On Windows, the second lock operation will block or fail.

## Signals

Note: installation of signal handlers is performed via the functions `Sys.signal`[\[28.55\]](#) and `Sys.set_signal`[\[28.55\]](#).

`val kill : int -> int -> unit`

`kill pid signal` sends signal number `signal` to the process with id `pid`.

On Windows: only the `Sys.sigkill`[\[28.55\]](#) signal is emulated.

`type sigprocmask_command =`

`| SIG_SETMASK`  
`| SIG_BLOCK`  
`| SIG_UNBLOCK`

`val sigprocmask : sigprocmask_command -> int list -> int list`

`sigprocmask mode sigs` changes the set of blocked signals. If `mode` is `SIG_SETMASK`, blocked signals are set to those in the list `sigs`. If `mode` is `SIG_BLOCK`, the signals in `sigs` are added to the set of blocked signals. If `mode` is `SIG_UNBLOCK`, the signals in `sigs` are removed from the set of blocked signals. `sigprocmask` returns the set of previously blocked signals.

When the `systrhreads` version of the `Thread` module is loaded, this function redirects to `Thread.sigmask`. I.e., `sigprocmask` only changes the mask of the current thread.

**Raises** `Invalid_argument` on Windows (no inter-process signals on Windows)

`val sigpending : unit -> int list`

Return the set of blocked signals that are currently pending.

**Raises** `Invalid_argument` on Windows (no inter-process signals on Windows)

`val sigsuspend : int list -> unit`

`sigsuspend sigs` atomically sets the blocked signals to `sigs` and waits for a non-ignored, non-blocked signal to be delivered. On return, the blocked signals are reset to their initial value.

**Raises** `Invalid_argument` on Windows (no inter-process signals on Windows)

`val pause : unit -> unit`

Wait until a non-ignored, non-blocked signal is delivered.

**Raises** `Invalid_argument` on Windows (no inter-process signals on Windows)

## Time functions

`type process_times =`

`{ tms_utime : float ;`

`User time for the process`

`tms_stime : float ;`



```

        System time for the process
tms_cstime : float ;
        User time for the children processes
tms_cutime : float ;
        System time for the children processes
}

```

The execution times (CPU times) of a process.

```

type tm =
{  tm_sec : int ;
    Seconds 0..60

    tm_min : int ;
    Minutes 0..59

    tm_hour : int ;
    Hours 0..23

    tm_mday : int ;
    Day of month 1..31

    tm_mon : int ;
    Month of year 0..11

    tm_year : int ;
    Year - 1900

    tm_wday : int ;
    Day of week (Sunday is 0)

    tm_yday : int ;
    Day of year 0..365

    tm_isdst : bool ;
    Daylight time savings in effect
}

```

The type representing wallclock time and calendar date.

```

val time : unit -> float
    Return the current time since 00:00:00 GMT, Jan. 1, 1970, in seconds.

val gettimeofday : unit -> float
    Same as Unix.time[30.1], but with resolution better than 1 second.

val gmtime : float -> tm

```

Convert a time in seconds, as returned by `Unix.time`[\[30.1\]](#), into a date and a time. Assumes UTC (Coordinated Universal Time), also known as GMT. To perform the inverse conversion, set the TZ environment variable to "UTC", use `Unix.mktime`[\[30.1\]](#), and then restore the original value of TZ.

`val localtime : float -> tm`

Convert a time in seconds, as returned by `Unix.time`[\[30.1\]](#), into a date and a time. Assumes the local time zone. The function performing the inverse conversion is `Unix.mktime`[\[30.1\]](#).

`val mktime : tm -> float * tm`

Convert a date and time, specified by the `tm` argument, into a time in seconds, as returned by `Unix.time`[\[30.1\]](#). The `tm_isdst`, `tm_wday` and `tm_yday` fields of `tm` are ignored. Also return a normalized copy of the given `tm` record, with the `tm_wday`, `tm_yday`, and `tm_isdst` fields recomputed from the other fields, and the other fields normalized (so that, e.g., 40 October is changed into 9 November). The `tm` argument is interpreted in the local time zone.

`val alarm : int -> int`

Schedule a SIGALRM signal after the given number of seconds.

**Raises** `Invalid_argument` on Windows

`val sleep : int -> unit`

Stop execution for the given number of seconds.

`val sleepf : float -> unit`

Stop execution for the given number of seconds. Like `sleep`, but fractions of seconds are supported.

**Since:** 4.03 (4.12 in UnixLabels)

`val times : unit -> process_times`

Return the execution times of the process.

On Windows: partially implemented, will not report timings for child processes.

`val utimes : string -> float -> float -> unit`

Set the last access time (second arg) and last modification time (third arg) for a file. Times are expressed in seconds from 00:00:00 GMT, Jan. 1, 1970. If both times are 0.0, the access and last modification times are both set to the current time.

`type interval_timer =`

| `ITIMER_REAL`

decrements in real time, and sends the signal SIGALRM when expired.

| `ITIMER_VIRTUAL`

decrements in process virtual time, and sends SIGVTALRM when expired.

| `ITIMER_PROF`

(for profiling) decrements both when the process is running and when the system is running on behalf of the process; it sends `SIGPROF` when expired.

The three kinds of interval timers.

```
type interval_timer_status =
{ it_interval : float ;
  Period
  it_value : float ;
  Current value of the timer
}
```

The type describing the status of an interval timer

```
val getitimer : interval_timer -> interval_timer_status
```

Return the current status of the given interval timer.

**Raises** `Invalid_argument` on Windows

```
val setitimer :
  interval_timer ->
  interval_timer_status -> interval_timer_status
```

`setitimer t s` sets the interval timer `t` and returns its previous status. The `s` argument is interpreted as follows: `s.it_value`, if nonzero, is the time to the next timer expiration; `s.it_interval`, if nonzero, specifies a value to be used in reloading `it_value` when the timer expires. Setting `s.it_value` to zero disables the timer. Setting `s.it_interval` to zero causes the timer to be disabled after its next expiration.

**Raises** `Invalid_argument` on Windows

## User id, group id

```
val getuid : unit -> int
```

Return the user id of the user executing the process.

On Windows: always returns 1.

```
val geteuid : unit -> int
```

Return the effective user id under which the process runs.

On Windows: always returns 1.

```
val setuid : int -> unit
```

Set the real user id and effective user id for the process.

**Raises** `Invalid_argument` on Windows

```
val getgid : unit -> int
```

Return the group id of the user executing the process.

On Windows: always returns 1.

```
val getegid : unit -> int
```

Return the effective group id under which the process runs.

On Windows: always returns 1.

```
val setgid : int -> unit
```

Set the real group id and effective group id for the process.

**Raises** `Invalid_argument` on Windows

```
val getgroups : unit -> int array
```

Return the list of groups to which the user executing the process belongs.

On Windows: always returns `[|1|]`.

```
val setgroups : int array -> unit
```

`setgroups groups` sets the supplementary group IDs for the calling process. Appropriate privileges are required.

**Raises** `Invalid_argument` on Windows

```
val initgroups : string -> int -> unit
```

`initgroups user group` initializes the group access list by reading the group database `/etc/group` and using all groups of which `user` is a member. The additional group `group` is also added to the list.

**Raises** `Invalid_argument` on Windows

```
type passwd_entry =
{ pw_name : string ;
  pw_passwd : string ;
  pw_uid : int ;
  pw_gid : int ;
  pw_gecos : string ;
  pw_dir : string ;
  pw_shell : string ;
}
```

Structure of entries in the `passwd` database.

```
type group_entry =
{ gr_name : string ;
  gr_passwd : string ;
  gr_gid : int ;
  gr_mem : string array ;
}
```

Structure of entries in the `groups` database.

`val getlogin : unit -> string`

Return the login name of the user executing the process.

`val getpwnam : string -> passwd_entry`

Find an entry in `passwd` with the given name.

**Raises** `Not_found` if no such entry exists, or always on Windows.

`val getgrnam : string -> group_entry`

Find an entry in `group` with the given name.

**Raises** `Not_found` if no such entry exists, or always on Windows.

`val getpwuid : int -> passwd_entry`

Find an entry in `passwd` with the given user id.

**Raises** `Not_found` if no such entry exists, or always on Windows.

`val getgrgid : int -> group_entry`

Find an entry in `group` with the given group id.

**Raises** `Not_found` if no such entry exists, or always on Windows.

## Internet addresses

`type inet_addr`

The abstract type of Internet addresses.

`val inet_addr_of_string : string -> inet_addr`

Conversion from the printable representation of an Internet address to its internal representation. The argument `string` consists of 4 numbers separated by periods (`XXX.YYY.ZZZ.TTT`) for IPv4 addresses, and up to 8 numbers separated by colons for IPv6 addresses.

**Raises** `Failure` when given a string that does not match these formats.

`val string_of_inet_addr : inet_addr -> string`

Return the printable representation of the given Internet address. See `Unix.inet_addr_of_string`[\[30.1\]](#) for a description of the printable representation.

`val inet_addr_any : inet_addr`

A special IPv4 address, for use only with `bind`, representing all the Internet addresses that the host machine possesses.

`val inet_addr_loopback : inet_addr`

A special IPv4 address representing the host machine (127.0.0.1).

`val inet6_addr_any : inet_addr`

A special IPv6 address, for use only with `bind`, representing all the Internet addresses that the host machine possesses.

`val inet6_addr_loopback : inet_addr`

A special IPv6 address representing the host machine (::1).

`val is_inet6_addr : inet_addr -> bool`

Whether the given `inet_addr` is an IPv6 address.

**Since:** 4.12

## Sockets

`type socket_domain =`

| `PF_UNIX`

Unix domain

| `PF_INET`

Internet domain (IPv4)

| `PF_INET6`

Internet domain (IPv6)

The type of socket domains. Not all platforms support IPv6 sockets (type `PF_INET6`).

On Windows: `PF_UNIX` supported since 4.14.0 on Windows 10 1803 and later.

`type socket_type =`

| `SOCK_STREAM`

Stream socket

| `SOCK_DGRAM`

Datagram socket

| `SOCK_RAW`

Raw socket

| `SOCK_SEQPACKET`

Sequenced packets socket

The type of socket kinds, specifying the semantics of communications. `SOCK_SEQPACKET` is included for completeness, but is rarely supported by the OS, and needs system calls that are not available in this library.

`type sockaddr =`

| `ADDR_UNIX` of `string`

| `ADDR_INET` of `inet_addr * int`

The type of socket addresses. `ADDR_UNIX name` is a socket address in the Unix domain; `name` is a file name in the file system. `ADDR_INET(addr,port)` is a socket address in the Internet domain; `addr` is the Internet address of the machine, and `port` is the port number.

`val socket :`

`?cloexec:bool ->`

`socket_domain -> socket_type -> int -> file_descr`

Create a new socket in the given domain, and with the given kind. The third argument is the protocol type; 0 selects the default protocol for that kind of sockets. See

`Unix.set_close_on_exec[30.1]` for documentation on the `cloexec` optional argument.

`val domain_of_sockaddr : sockaddr -> socket_domain`

Return the socket domain adequate for the given socket address.

`val socketpair :`

`?cloexec:bool ->`

`socket_domain ->`

`socket_type -> int -> file_descr * file_descr`

Create a pair of unnamed sockets, connected together. See `Unix.set_close_on_exec[30.1]` for documentation on the `cloexec` optional argument.

`val accept : ?cloexec:bool -> file_descr -> file_descr * sockaddr`

Accept connections on the given socket. The returned descriptor is a socket connected to the client; the returned address is the address of the connecting client. See

`Unix.set_close_on_exec[30.1]` for documentation on the `cloexec` optional argument.

`val bind : file_descr -> sockaddr -> unit`

Bind a socket to an address.

`val connect : file_descr -> sockaddr -> unit`

Connect a socket to an address.

`val listen : file_descr -> int -> unit`

Set up a socket for receiving connection requests. The integer argument is the maximal number of pending requests.

`type shutdown_command =`

`| SHUTDOWN_RECEIVE`

Close for receiving

`| SHUTDOWN_SEND`

Close for sending

`| SHUTDOWN_ALL`

Close both

The type of commands for `shutdown`.

```
val shutdown : file_descr -> shutdown_command -> unit
```

Shutdown a socket connection. `SHUTDOWN_SEND` as second argument causes reads on the other end of the connection to return an end-of-file condition. `SHUTDOWN_RECEIVE` causes writes on the other end of the connection to return a closed pipe condition (`SIGPIPE` signal).

```
val getsockname : file_descr -> sockaddr
```

Return the address of the given socket.

```
val getpeername : file_descr -> sockaddr
```

Return the address of the host connected to the given socket.

```
type msg_flag =
```

```
| MSG_OOB
| MSG_DONTROUTE
| MSG_PEEK
```

The flags for `Unix.recv`[\[30.1\]](#), `Unix.recvfrom`[\[30.1\]](#), `Unix.send`[\[30.1\]](#) and `Unix.sendto`[\[30.1\]](#).

```
val recv : file_descr -> bytes -> int -> int -> msg_flag list -> int
```

Receive data from a connected socket.

```
val recvfrom :
```

```
file_descr ->
```

```
bytes -> int -> int -> msg_flag list -> int * sockaddr
```

Receive data from an unconnected socket.

```
val send : file_descr -> bytes -> int -> int -> msg_flag list -> int
```

Send data over a connected socket.

```
val send_substring :
```

```
file_descr -> string -> int -> int -> msg_flag list -> int
```

Same as `send`, but take the data from a string instead of a byte sequence.

**Since:** 4.02

```
val sendto :
```

```
file_descr ->
```

```
bytes -> int -> int -> msg_flag list -> sockaddr -> int
```

Send data over an unconnected socket.

```
val sendto_substring :
```

```
file_descr ->
```

```
string -> int -> int -> msg_flag list -> sockaddr -> int
```

Same as `sendto`, but take the data from a string instead of a byte sequence.

**Since:** 4.02



**Socket options**

```

type socket_bool_option =
  | SO_DEBUG
      Record debugging information
  | SO_BROADCAST
      Permit sending of broadcast messages
  | SO_REUSEADDR
      Allow reuse of local addresses for bind
  | SO_KEEPALIVE
      Keep connection active
  | SO_DONTROUTE
      Bypass the standard routing algorithms
  | SO_OOBINLINE
      Leave out-of-band data in line
  | SO_ACCEPTCONN
      Report whether socket listening is enabled
  | TCP_NODELAY
      Control the Nagle algorithm for TCP sockets
  | IPV6_ONLY
      Forbid binding an IPv6 socket to an IPv4 address
  | SO_REUSEPORT
      Allow reuse of address and port bindings

The socket options that can be consulted with Unix.getsockopt\[30.1\] and modified with
Unix.setsockopt\[30.1\]. These options have a boolean (true/false) value.

type socket_int_option =
  | SO_SNDBUF
      Size of send buffer
  | SO_RCVBUF
      Size of received buffer
  | SO_ERROR
      Deprecated. Use Unix.getsockopt_error instead. Deprecated. Use
      Unix.getsockopt_error\[30.1\] instead.
  | SO_TYPE
      Report the socket type
  | SO_RCVLOWAT

```

Minimum number of bytes to process for input operations

| `SO_SNDLOWAT`

Minimum number of bytes to process for output operations

The socket options that can be consulted with `Unix.getsockopt_int`[\[30.1\]](#) and modified with `Unix.setsockopt_int`[\[30.1\]](#). These options have an integer value.

type `socket_optint_option` =

| `SO_LINGER`

Whether to linger on closed connections that have data present, and for how long (in seconds)

The socket options that can be consulted with `Unix.getsockopt_optint`[\[30.1\]](#) and modified with `Unix.setsockopt_optint`[\[30.1\]](#). These options have a value of type `int option`, with `None` meaning “disabled”.

type `socket_float_option` =

| `SO_RCVTIMEO`

Timeout for input operations

| `SO_SNDTIMEO`

Timeout for output operations

The socket options that can be consulted with `Unix.getsockopt_float`[\[30.1\]](#) and modified with `Unix.setsockopt_float`[\[30.1\]](#). These options have a floating-point value representing a time in seconds. The value 0 means infinite timeout.

val `getsockopt` : `file_descr` -> `socket_bool_option` -> `bool`

Return the current status of a boolean-valued option in the given socket.

val `setsockopt` : `file_descr` -> `socket_bool_option` -> `bool` -> `unit`

Set or clear a boolean-valued option in the given socket.

val `getsockopt_int` : `file_descr` -> `socket_int_option` -> `int`

Same as `Unix.getsockopt`[\[30.1\]](#) for an integer-valued socket option.

val `setsockopt_int` : `file_descr` -> `socket_int_option` -> `int` -> `unit`

Same as `Unix.setsockopt`[\[30.1\]](#) for an integer-valued socket option.

val `getsockopt_optint` : `file_descr` -> `socket_optint_option` -> `int option`

Same as `Unix.getsockopt`[\[30.1\]](#) for a socket option whose value is an `int option`.

val `setsockopt_optint` :

`file_descr` -> `socket_optint_option` -> `int option` -> `unit`

Same as `Unix.setsockopt`[\[30.1\]](#) for a socket option whose value is an `int option`.

val `getsockopt_float` : `file_descr` -> `socket_float_option` -> `float`

Same as `Unix.getsockopt[30.1]` for a socket option whose value is a floating-point number.

```
val setsockopt_float : file_descr -> socket_float_option -> float -> unit
```

Same as `Unix.setsockopt[30.1]` for a socket option whose value is a floating-point number.

```
val getsockopt_error : file_descr -> error option
```

Return the error condition associated with the given socket, and clear it.

## High-level network connection functions

```
val open_connection : sockaddr -> in_channel * out_channel
```

Connect to a server at the given address. Return a pair of buffered channels connected to the server. Remember to call `flush[27.2]` on the output channel at the right times to ensure correct synchronization.

The two channels returned by `open_connection` share a descriptor to a socket. Therefore, when the connection is over, you should call `close_out[27.2]` on the output channel, which will also close the underlying socket. Do not call `close_in[27.2]` on the input channel; it will be collected by the GC eventually.

```
val shutdown_connection : in_channel -> unit
```

“Shut down” a connection established with `Unix.open_connection[30.1]`; that is, transmit an end-of-file condition to the server reading on the other side of the connection. This does not close the socket and the channels used by the connection. See `Unix.open_connection[30.1]` for how to close them once the connection is over.

```
val establish_server :
```

```
(in_channel -> out_channel -> unit) -> sockaddr -> unit
```

Establish a server on the given address. The function given as first argument is called for each connection with two buffered channels connected to the client. A new process is created for each connection. The function `Unix.establish_server[30.1]` never returns normally.

The two channels given to the function share a descriptor to a socket. The function does not need to close the channels, since this occurs automatically when the function returns. If the function prefers explicit closing, it should close the output channel using `close_out[27.2]` and leave the input channel unclosed, for reasons explained in `Unix.in_channel_of_descr[30.1]`.

**Raises** `Invalid_argument` on Windows. Use threads instead.

## Host and protocol databases

```
type host_entry =
{ h_name : string ;
  h_aliases : string array ;
  h_addrtype : socket_domain ;
  h_addr_list : inet_addr array ;
}
```

Structure of entries in the `hosts` database.

```
type protocol_entry =
{ p_name : string ;
  p_aliases : string array ;
  p_proto : int ;
}
```

Structure of entries in the `protocols` database.

```
type service_entry =
{ s_name : string ;
  s_aliases : string array ;
  s_port : int ;
  s_proto : string ;
}
```

Structure of entries in the `services` database.

```
val gethostname : unit -> string
    Return the name of the local host.

val gethostbyname : string -> host_entry
    Find an entry in hosts with the given name.
    Raises Not_found if no such entry exists.

val gethostbyaddr : inet_addr -> host_entry
    Find an entry in hosts with the given address.
    Raises Not_found if no such entry exists.

val getprotobyname : string -> protocol_entry
    Find an entry in protocols with the given name.
    Raises Not_found if no such entry exists.

val getprotobynumber : int -> protocol_entry
    Find an entry in protocols with the given protocol number.
    Raises Not_found if no such entry exists.

val getservbyname : string -> string -> service_entry
    Find an entry in services with the given name.
    Raises Not_found if no such entry exists.

val getservbyport : int -> string -> service_entry
    Find an entry in services with the given service number.
    Raises Not_found if no such entry exists.
```

```

type addr_info =
{ ai_family : socket_domain ;
  Socket domain

  ai_socktype : socket_type ;
  Socket type

  ai_protocol : int ;
  Socket protocol number

  ai_addr : sockaddr ;
  Address

  ai_canonname : string ;
  Canonical host name
}

```

Address information returned by `Unix.getaddrinfo`[\[30.1\]](#).

```

type getaddrinfo_option =
| AI_FAMILY of socket_domain
  Impose the given socket domain
| AI_SOCKTYPE of socket_type
  Impose the given socket type
| AI_PROTOCOL of int
  Impose the given protocol
| AI_NUMERICHOST
  Do not call name resolver, expect numeric IP address
| AI_CANONNAME
  Fill the ai_canonname field of the result
| AI_PASSIVE
  Set address to “any” address for use with Unix.bind\[30.1\]

Options to Unix.getaddrinfo\[30.1\].

```

```

val getaddrinfo :
  string -> string -> getaddrinfo_option list -> addr_info list

```

`getaddrinfo host service opts` returns a list of `Unix.addr_info`[\[30.1\]](#) records describing socket parameters and addresses suitable for communicating with the given host and service. The empty list is returned if the host or service names are unknown, or the constraints expressed in `opts` cannot be satisfied.

`host` is either a host name or the string representation of an IP address. `host` can be given as the empty string; in this case, the “any” address or the “loopback” address are used, depending whether `opts` contains `AI_PASSIVE`. `service` is either a service name or the string

representation of a port number. **service** can be given as the empty string; in this case, the port field of the returned addresses is set to 0. **opts** is a possibly empty list of options that allows the caller to force a particular socket domain (e.g. IPv6 only or IPv4 only) or a particular socket type (e.g. TCP only or UDP only).

```
type name_info =
{  ni_hostname : string ;
    Name or IP address of host

    ni_service : string ;
    Name of service or port number
}
```

Host and service information returned by `Unix.getnameinfo`[\[30.1\]](#).

```
type getnameinfo_option =
| NI_NOFQDN
    Do not qualify local host names

| NI_NUMERICHOST
    Always return host as IP address

| NI_NAMEREQD
    Fail if host name cannot be determined

| NI_NUMERICSERV
    Always return service as port number

| NI_DGRAM
    Consider the service as UDP-based instead of the default TCP

Options to Unix.getnameinfo\[30.1\].
```

```
val getnameinfo : sockaddr -> getnameinfo_option list -> name_info

getnameinfo addr opts returns the host name and service name corresponding to the
socket address addr. opts is a possibly empty list of options that governs how these names
are obtained.
```

**Raises** `Not_found` if an error occurs.

## Terminal interface

The following functions implement the POSIX standard terminal interface. They provide control over asynchronous communication ports and pseudo-terminals. Refer to the `termios` man page for a complete description.

```
type terminal_io =
{  mutable c_ignbrk : bool ;
    Ignore the break condition.
```

```
mutable c_brkint : bool ;  
    Signal interrupt on break condition.  
  
mutable c_ignpar : bool ;  
    Ignore characters with parity errors.  
  
mutable c_parmrk : bool ;  
    Mark parity errors.  
  
mutable c_inpck : bool ;  
    Enable parity check on input.  
  
mutable c_istrip : bool ;  
    Strip 8th bit on input characters.  
  
mutable c_inlcr : bool ;  
    Map NL to CR on input.  
  
mutable c_igncr : bool ;  
    Ignore CR on input.  
  
mutable c_icrnl : bool ;  
    Map CR to NL on input.  
  
mutable c_ixon : bool ;  
    Recognize XON/XOFF characters on input.  
  
mutable c_ixoff : bool ;  
    Emit XON/XOFF chars to control input flow.  
  
mutable c_opost : bool ;  
    Enable output processing.  
  
mutable c_obaud : int ;  
    Output baud rate (0 means close connection).  
  
mutable c_ibaud : int ;  
    Input baud rate.  
  
mutable c_csize : int ;  
    Number of bits per character (5-8).  
  
mutable c_cstopb : int ;  
    Number of stop bits (1-2).  
  
mutable c_cread : bool ;  
    Reception is enabled.  
  
mutable c_parenb : bool ;  
    Enable parity generation and detection.
```

`mutable c_parodd : bool ;`  
Specify odd parity instead of even.

`mutable c_hupcl : bool ;`  
Hang up on last close.

`mutable c_clocal : bool ;`  
Ignore modem status lines.

`mutable c_isig : bool ;`  
Generate signal on INTR, QUIT, SUSP.

`mutable c_icanon : bool ;`  
Enable canonical processing (line buffering and editing)

`mutable c_noflsh : bool ;`  
Disable flush after INTR, QUIT, SUSP.

`mutable c_echo : bool ;`  
Echo input characters.

`mutable c_echoe : bool ;`  
Echo ERASE (to erase previous character).

`mutable c_echok : bool ;`  
Echo KILL (to erase the current line).

`mutable c_echonl : bool ;`  
Echo NL even if `c_echo` is not set.

`mutable c_vintr : char ;`  
Interrupt character (usually ctrl-C).

`mutable c_vquit : char ;`  
Quit character (usually ctrl-\\).

`mutable c_verase : char ;`  
Erase character (usually DEL or ctrl-H).

`mutable c_vkill : char ;`  
Kill line character (usually ctrl-U).

`mutable c_veof : char ;`  
End-of-file character (usually ctrl-D).

`mutable c_veol : char ;`  
Alternate end-of-line char. (usually none).

`mutable c_vmin : int ;`  
Minimum number of characters to read before the read request is satisfied.



```

mutable c_vtime : int ;
    Maximum read wait (in 0.1s units).

mutable c_vstart : char ;
    Start character (usually ctrl-Q).

mutable c_vstop : char ;
    Stop character (usually ctrl-S).
}

val tcgetattr : file_descr -> terminal_io
    Return the status of the terminal referred to by the given file descriptor.
    Raises Invalid_argument on Windows

type setattr_when =
| TCSANOW
| TCSADRAIN
| TCSAFLUSH

val tcsetattr : file_descr -> setattr_when -> terminal_io -> unit
    Set the status of the terminal referred to by the given file descriptor. The second argument
    indicates when the status change takes place: immediately (TCSANOW), when all pending
    output has been transmitted (TCSADRAIN), or after flushing all input that has been received
    but not read (TCSAFLUSH). TCSADRAIN is recommended when changing the output parameters;
    TCSAFLUSH, when changing the input parameters.
    Raises Invalid_argument on Windows

val tcsendbreak : file_descr -> int -> unit
    Send a break condition on the given file descriptor. The second argument is the duration of
    the break, in 0.1s units; 0 means standard duration (0.25s).
    Raises Invalid_argument on Windows

val tcdrain : file_descr -> unit
    Waits until all output written on the given file descriptor has been transmitted.
    Raises Invalid_argument on Windows

type flush_queue =
| TCIFLUSH
| TCOFLUSH
| TCIOFLUSH

val tcflush : file_descr -> flush_queue -> unit
    Discard data written on the given file descriptor but not yet transmitted, or data received but
    not yet read, depending on the second argument: TCIFLUSH flushes data received but not
    read, TCOFLUSH flushes data written but not transmitted, and TCIOFLUSH flushes both.
    Raises Invalid_argument on Windows

```

```

type flow_action =
  | TCOFF
  | TCOON
  | TCIOFF
  | TCION

```

```

val tcflow : file_descr -> flow_action -> unit

```

Suspend or restart reception or transmission of data on the given file descriptor, depending on the second argument: `TCOFF` suspends output, `TCOON` restarts output, `TCIOFF` transmits a STOP character to suspend input, and `TCION` transmits a START character to restart input.

**Raises** `Invalid_argument` on Windows

```

val setsid : unit -> int

```

Put the calling process in a new session and detach it from its controlling terminal.

**Raises** `Invalid_argument` on Windows

## 30.2 Module `UnixLabels`: labeled version of the interface

This module is identical to `Unix` ([30.1](#)), and only differs by the addition of labels. You may see these labels directly by looking at `unixLabels.mli`, or by using the `ocamlbrowser` tool.

**Windows:**

The Cygwin port of OCaml fully implements all functions from the Unix module. The native Win32 ports implement a subset of them. Below is a list of the functions that are not implemented, or only partially implemented, by the Win32 ports. Functions not mentioned are fully implemented and behave as described previously in this chapter.

Functions	Comment
<code>fork</code>	not implemented, use <code>create_process</code> or threads
<code>wait</code>	not implemented, use <code>waitpid</code>
<code>waitpid</code>	can only wait for a given PID, not any child process
<code>getppid</code>	not implemented (meaningless under Windows)
<code>nice</code>	not implemented
<code>truncate, ftruncate</code>	implemented (since 4.10.0)
<code>link</code>	implemented (since 3.02)
<code>fchmod</code>	not implemented
<code>chown, fchown</code>	not implemented (make no sense on a DOS file system)
<code>umask</code>	not implemented
<code>access</code>	execute permission <code>X_OK</code> cannot be tested, it just tests for read permission instead
<code>chroot</code>	not implemented
<code>mkfifo</code>	not implemented
<code>symlink, readlink</code>	implemented (since 4.03.0)
<code>kill</code>	partially implemented (since 4.00.0): only the <code>sigkill</code> signal is implemented
<code>sigprocmask, sigpending, sigsuspend</code>	not implemented (no inter-process signals on Windows)
<code>pause</code>	not implemented (no inter-process signals in Windows)
<code>alarm</code>	not implemented
<code>times</code>	partially implemented, will not report timings for child processes
<code>getitimer, setitimer</code>	not implemented
<code>getuid, geteuid, getgid, getegid</code>	always return 1
<code>setuid, setgid, setgroups, initgroups</code>	not implemented
<code>getgroups</code>	always returns <code>[1]</code> (since 2.00)
<code>getpwnam, getpwuid</code>	always raise <code>Not_found</code>
<code>getgrnam, getgrgid</code>	always raise <code>Not_found</code>
<code>type socket_domain</code>	<code>PF_INET</code> is fully supported; <code>PF_INET6</code> is fully supported (since 4.01.0); <code>PF_UNIX</code> is supported since 4.14.0, but only works on Windows 10 1803 and later.
<code>establish_server</code>	not implemented; use threads
terminal functions ( <code>tc*</code> )	not implemented
<code>setsid</code>	not implemented

## Chapter 31

# The str library: regular expressions and string processing

The `str` library provides high-level string processing functions, some based on regular expressions. It is intended to support the kind of file processing that is usually performed with scripting languages such as `awk`, `perl` or `sed`.

Programs that use the `str` library must be linked as follows:

```
ocamlc other options -I +str str.cma other files
ocamlopt other options -I +str str.cmxa other files
```

For interactive use of the `str` library, do:

```
ocamlmktop -o mytop str.cma
./mytop
```

or (if dynamic linking of C libraries is supported on your platform), start `ocaml` and type

```
# #directory "+str";;
# #load "str.cma";;
```

### 31.1 Module Str : Regular expressions and high-level string processing

#### Regular expressions

The `Str`[\[31.1\]](#) library provides regular expressions on sequences of bytes. It is, in general, unsuitable to match Unicode characters.

`type regexp`

The type of compiled regular expressions.

`val regexp : string -> regexp`

Compile a regular expression. The following constructs are recognized:

- `.` Matches any character except newline.
- `*` (postfix) Matches the preceding expression zero, one or several times
- `+` (postfix) Matches the preceding expression one or several times
- `?` (postfix) Matches the preceding expression once or not at all
- `[...]` Character set. Ranges are denoted with `-`, as in `[a-z]`. An initial `^`, as in `[^0-9]`, complements the set. To include a `]` character in a set, make it the first character of the set. To include a `-` character in a set, make it the first or the last character of the set.
- `^` Matches at beginning of line: either at the beginning of the matched string, or just after a `'\n'` character.
- `$` Matches at end of line: either at the end of the matched string, or just before a `'\n'` character.
- `\|` (infix) Alternative between two expressions.
- `\(...\)` Grouping and naming of the enclosed expression.
- `\1` The text matched by the first `\(...\)` expression (`\2` for the second expression, and so on up to `\9`).
- `\b` Matches word boundaries.
- `\` Quotes special characters. The special characters are `$^\. *+? []`.

In regular expressions you will often use backslash characters; it's easier to use a quoted string literal `{|...|}` to avoid having to escape backslashes.

For example, the following expression:

```
let r = Str.regexp {|hello \([A-Za-z]+\)|} in
    Str.replace_first r {|1|} "hello world"
```

returns the string `"world"`.

If you want a regular expression that matches a literal backslash character, you need to double it: `Str.regexp {|\\|}`.

You can use regular string literals `"..."` too, however you will have to escape backslashes.

The example above can be rewritten with a regular string literal as:

```
let r = Str.regexp "hello \([A-Za-z]+\)" in
    Str.replace_first r "\\1" "hello world"
```

And the regular expression for matching a backslash becomes a quadruple backslash:

```
Str.regexp "\\\\".
```

```
val regexp_case_fold : string -> regexp
```

Same as `regexp`, but the compiled expression will match text in a case-insensitive way: uppercase and lowercase letters will be considered equivalent.

```
val quote : string -> string
```

`Str.quote s` returns a regexp string that matches exactly `s` and nothing else.

```
val regexp_string : string -> regexp
```

`Str.regexp_string s` returns a regular expression that matches exactly `s` and nothing else.

```
val regexp_string_case_fold : string -> regexp
```

`Str.regexp_string_case_fold` is similar to `Str.regexp_string`[\[31.1\]](#), but the regexp matches in a case-insensitive way.

## String matching and searching

```
val string_match : regexp -> string -> int -> bool
```

`string_match r s start` tests whether a substring of `s` that starts at position `start` matches the regular expression `r`. The first character of a string has position 0, as usual.

```
val search_forward : regexp -> string -> int -> int
```

`search_forward r s start` searches the string `s` for a substring matching the regular expression `r`. The search starts at position `start` and proceeds towards the end of the string. Return the position of the first character of the matched substring.

**Raises** `Not_found` if no substring matches.

```
val search_backward : regexp -> string -> int -> int
```

`search_backward r s last` searches the string `s` for a substring matching the regular expression `r`. The search first considers substrings that start at position `last` and proceeds towards the beginning of string. Return the position of the first character of the matched substring.

**Raises** `Not_found` if no substring matches.

```
val string_partial_match : regexp -> string -> int -> bool
```

Similar to `Str.string_match`[\[31.1\]](#), but also returns true if the argument string is a prefix of a string that matches. This includes the case of a true complete match.

```
val matched_string : string -> string
```

`matched_string s` returns the substring of `s` that was matched by the last call to one of the following matching or searching functions:

- `Str.string_match`[\[31.1\]](#)
- `Str.search_forward`[\[31.1\]](#)
- `Str.search_backward`[\[31.1\]](#)
- `Str.string_partial_match`[\[31.1\]](#)
- `Str.global_substitute`[\[31.1\]](#)
- `Str.substitute_first`[\[31.1\]](#)

provided that none of the following functions was called in between:

- `Str.global_replace`[\[31.1\]](#)
- `Str.replace_first`[\[31.1\]](#)
- `Str.split`[\[31.1\]](#)
- `Str.bounded_split`[\[31.1\]](#)
- `Str.split_delim`[\[31.1\]](#)
- `Str.bounded_split_delim`[\[31.1\]](#)
- `Str.full_split`[\[31.1\]](#)
- `Str.bounded_full_split`[\[31.1\]](#)

Note: in the case of `global_substitute` and `substitute_first`, a call to `matched_string` is only valid within the `subst` argument, not after `global_substitute` or `substitute_first` returns.

The user must make sure that the parameter `s` is the same string that was passed to the matching or searching function.

`val match_beginning : unit -> int`

`match_beginning()` returns the position of the first character of the substring that was matched by the last call to a matching or searching function (see `Str.matched_string`[\[31.1\]](#) for details).

`val match_end : unit -> int`

`match_end()` returns the position of the character following the last character of the substring that was matched by the last call to a matching or searching function (see `Str.matched_string`[\[31.1\]](#) for details).

`val matched_group : int -> string -> string`

`matched_group n s` returns the substring of `s` that was matched by the `n`th group `\(...\)` of the regular expression that was matched by the last call to a matching or searching function (see `Str.matched_string`[\[31.1\]](#) for details). When `n` is 0, it returns the substring matched by the whole regular expression. The user must make sure that the parameter `s` is the same string that was passed to the matching or searching function.

**Raises** `Not_found` if the `n`th group of the regular expression was not matched. This can happen with groups inside alternatives `\|`, options `?` or repetitions `*`. For instance, the empty string will match `\(a\)*`, but `matched_group 1 ""` will raise `Not_found` because the first group itself was not matched.

`val group_beginning : int -> int`

`group_beginning n` returns the position of the first character of the substring that was matched by the `n`th group of the regular expression that was matched by the last call to a matching or searching function (see `Str.matched_string`[\[31.1\]](#) for details).

**Raises**

- `Not_found` if the `n`th group of the regular expression was not matched.



- `Invalid_argument` if there are fewer than `n` groups in the regular expression.

`val group_end : int -> int`

`group_end n` returns the position of the character following the last character of substring that was matched by the `n`th group of the regular expression that was matched by the last call to a matching or searching function (see `Str.matched_string`[31.1] for details).

#### Raises

- `Not_found` if the `n`th group of the regular expression was not matched.
- `Invalid_argument` if there are fewer than `n` groups in the regular expression.

## Replacement

`val global_replace : regexp -> string -> string -> string`

`global_replace regexp templ s` returns a string identical to `s`, except that all substrings of `s` that match `regexp` have been replaced by `templ`. The replacement template `templ` can contain `\1`, `\2`, etc; these sequences will be replaced by the text matched by the corresponding group in the regular expression. `\0` stands for the text matched by the whole regular expression.

`val replace_first : regexp -> string -> string -> string`

Same as `Str.global_replace`[31.1], except that only the first substring matching the regular expression is replaced.

`val global_substitute : regexp -> (string -> string) -> string -> string`

`global_substitute regexp subst s` returns a string identical to `s`, except that all substrings of `s` that match `regexp` have been replaced by the result of function `subst`. The function `subst` is called once for each matching substring, and receives `s` (the whole text) as argument.

`val substitute_first : regexp -> (string -> string) -> string -> string`

Same as `Str.global_substitute`[31.1], except that only the first substring matching the regular expression is replaced.

`val replace_matched : string -> string -> string`

`replace_matched repl s` returns the replacement text `repl` in which `\1`, `\2`, etc. have been replaced by the text matched by the corresponding groups in the regular expression that was matched by the last call to a matching or searching function (see `Str.matched_string`[31.1] for details). `s` must be the same string that was passed to the matching or searching function.

## Splitting

`val split : regexp -> string -> string list`

`split r s` splits `s` into substrings, taking as delimiters the substrings that match `r`, and returns the list of substrings. For instance, `split (regexp "[ \\t]+") s` splits `s` into blank-separated words. An occurrence of the delimiter at the beginning or at the end of the string is ignored.

`val bounded_split : regexp -> string -> int -> string list`

Same as `Str.split[31.1]`, but splits into at most `n` substrings, where `n` is the extra integer parameter.

`val split_delim : regexp -> string -> string list`

Same as `Str.split[31.1]` but occurrences of the delimiter at the beginning and at the end of the string are recognized and returned as empty strings in the result. For instance, `split_delim (regexp " ") " abc " returns [""; "abc"; ""], while split with the same arguments returns ["abc"].`

`val bounded_split_delim : regexp -> string -> int -> string list`

Same as `Str.bounded_split[31.1]`, but occurrences of the delimiter at the beginning and at the end of the string are recognized and returned as empty strings in the result.

`type split_result =`

| Text of string  
| Delim of string

`val full_split : regexp -> string -> split_result list`

Same as `Str.split_delim[31.1]`, but returns the delimiters as well as the substrings contained between delimiters. The former are tagged `Delim` in the result list; the latter are tagged `Text`. For instance, `full_split (regexp "[{}]" ) "{ab}" returns [Delim "{"; Text "ab"; Delim "}"]`.

`val bounded_full_split : regexp -> string -> int -> split_result list`

Same as `Str.bounded_split_delim[31.1]`, but returns the delimiters as well as the substrings contained between delimiters. The former are tagged `Delim` in the result list; the latter are tagged `Text`.

## Extracting substrings

`val string_before : string -> int -> string`

`string_before s n` returns the substring of all characters of `s` that precede position `n` (excluding the character at position `n`).

`val string_after : string -> int -> string`

`string_after s n` returns the substring of all characters of `s` that follow position `n` (including the character at position `n`).

`val first_chars : string -> int -> string`

`first_chars s n` returns the first `n` characters of `s`. This is the same function as `Str.string_before`[\[31.1\]](#).

`val last_chars : string -> int -> string`

`last_chars s n` returns the last `n` characters of `s`.



## Chapter 32

# The runtime\_\_events library

The `runtime_events` library provides an API for consuming runtime tracing and metrics information from the runtime. See chapter [25](#) for more information.

Programs that use `runtime_events` must be linked as follows:

```
ocamlc -I +runtime_events other options unix.cma runtime_events.cma other files
ocamlopt -I +runtime_events other options unix.cmxa runtime_events.cmxa other files
```

Compilation units that use the `runtime_events` library must also be compiled with the `-I +runtime_events` option (see chapter [13](#)).

### 32.1 Module `Runtime_events` : Runtime events - ring buffer-based runtime tracing

This module enables users to enable and subscribe to tracing events from the Garbage Collector and other parts of the OCaml runtime. This can be useful for diagnostic or performance monitoring purposes. This module can be used to subscribe to events for the current process or external processes asynchronously.

When enabled (either via setting the `OCAML_RUNTIME_EVENTS_START` environment variable or calling `Runtime_events.start`) a file with the pid of the process and extension `.events` will be created. By default this is in the current directory but can be over-ridden by the `OCAML_RUNTIME_EVENTS_DIR` environment variable. Each domain maintains its own ring buffer in a section of the larger file into which it emits events.

There is additionally a set of C APIs in `runtime_events.h` that can enable zero-impact monitoring of the current process or bindings for other languages.

The runtime events system's behaviour can be controlled by the following environment variables:

- `OCAML_RUNTIME_EVENTS_START` if set will cause the runtime events system to be started as part of the OCaml runtime initialization.
- `OCAML_RUNTIME_EVENTS_DIR` sets the directory where the runtime events ring buffers will be located. If not present the program's working directory will be used.

- `OCAML_RUNTIME_EVENTS_PRESERVE` if set will prevent the OCaml runtime from removing its ring buffers when it terminates. This can help if monitoring very short running programs.

`type runtime_counter =`

```
| EV_C_FORCE_MINOR_ALLOC_SMALL
| EV_C_FORCE_MINOR_MAKE_VECT
| EV_C_FORCE_MINOR_SET_MINOR_HEAP_SIZE
| EV_C_FORCE_MINOR_MEMPROF
| EV_C_MINOR_PROMOTED
| EV_C_MINOR_ALLOCATED
| EV_C_REQUEST_MAJOR_ALLOC_SHR
| EV_C_REQUEST_MAJOR_ADJUST_GC_SPEED
| EV_C_REQUEST_MINOR_REALLOC_REF_TABLE
| EV_C_REQUEST_MINOR_REALLOC_EPHE_REF_TABLE
| EV_C_REQUEST_MINOR_REALLOC_CUSTOM_TABLE
| EV_C_MAJOR_HEAP_POOL_WORDS
```

Total words in a Domain's major heap pools. This is the sum of unallocated and live words in each pool.

**Since:** 5.1

```
| EV_C_MAJOR_HEAP_POOL_LIVE_WORDS
```

Current live words in a Domain's major heap pools.

**Since:** 5.1

```
| EV_C_MAJOR_HEAP_LARGE_WORDS
```

Total words of a Domain's major heap large allocations. A large allocation is an allocation larger than the largest sized pool.

**Since:** 5.1

```
| EV_C_MAJOR_HEAP_POOL_FRAG_WORDS
```

Words in a Domain's major heap pools lost to fragmentation. This is due to there not being a pool with the exact size of an allocation and a larger sized pool needing to be used.

**Since:** 5.1

```
| EV_C_MAJOR_HEAP_POOL_LIVE_BLOCKS
```

Live blocks of a Domain's major heap pools.

**Since:** 5.1

```
| EV_C_MAJOR_HEAP_LARGE_BLOCKS
```

Live blocks of a Domain's major heap large allocations.

**Since:** 5.1

The type for counter events emitted by the runtime

```
type runtime_phase =
| EV_EXPLICIT_GC_SET
| EV_EXPLICIT_GC_STAT
| EV_EXPLICIT_GC_MINOR
| EV_EXPLICIT_GC_MAJOR
| EV_EXPLICIT_GC_FULL_MAJOR
| EV_EXPLICIT_GC_COMPACT
| EV_MAJOR
| EV_MAJOR_SWEEP
| EV_MAJOR_MARK_ROOTS
| EV_MAJOR_MARK
| EV_MINOR
| EV_MINOR_LOCAL_ROOTS
| EV_MINOR_FINALIZED
| EV_EXPLICIT_GC_MAJOR_SLICE
| EV_FINALISE_UPDATE_FIRST
| EV_FINALISE_UPDATE_LAST
| EV_INTERRUPT_REMOTE
| EV_MAJOR_EPHE_MARK
| EV_MAJOR_EPHE_SWEEP
| EV_MAJOR_FINISH_MARKING
| EV_MAJOR_GC_CYCLE_DOMAINS
| EV_MAJOR_GC_PHASE_CHANGE
| EV_MAJOR_GC_STW
| EV_MAJOR_MARK_OPPORTUNISTIC
| EV_MAJOR_SLICE
| EV_MAJOR_FINISH_CYCLE
| EV_MINOR_CLEAR
| EV_MINOR_FINALIZERS_OLDIFY
| EV_MINOR_GLOBAL_ROOTS
| EV_MINOR_LEAVE_BARRIER
| EV_STW_API_BARRIER
| EV_STW_HANDLER
| EV_STW_LEADER
| EV_MAJOR_FINISH_SWEEPING
| EV_MINOR_FINALIZERS_ADMIN
| EV_MINOR_REMEMBERED_SET
| EV_MINOR_REMEMBERED_SET_PROMOTE
| EV_MINOR_LOCAL_ROOTS_PROMOTE
| EV_DOMAIN_CONDITION_WAIT
| EV_DOMAIN_RESIZE_HEAP_RESERVATION
```

The type for span events emitted by the runtime

```

type lifecycle =
  | EV_RING_START
  | EV_RING_STOP
  | EV_RING_PAUSE
  | EV_RING_RESUME
  | EV_FORK_PARENT
  | EV_FORK_CHILD
  | EV_DOMAIN_SPAWN
  | EV_DOMAIN_TERMINATE
    Lifecycle events for the ring itself

val lifecycle_name : lifecycle -> string
    Return a string representation of a given lifecycle event type

val runtime_phase_name : runtime_phase -> string
    Return a string representation of a given runtime phase event type

val runtime_counter_name : runtime_counter -> string
    Return a string representation of a given runtime counter type

type cursor
    Type of the cursor used when consuming

module Timestamp :
  sig
    type t
        Type for the int64 timestamp to allow for future changes

    val to_int64 : t -> int64
  end

module Type :
  sig
    type 'a t
        The type for a user event content type

    val unit : unit t
        An event that has no data associated with it

    type span =
      | Begin
      | End
    val span : span t

```



An event that has a beginning and an end

```
val int : int t
```

An event containing an integer value

```
val register :
  encode:(bytes -> 'a -> int) ->
  decode:(bytes -> int -> 'a) -> 'a t
```

Registers a custom type by providing an encoder and a decoder. The encoder writes the value in the provided buffer and returns the number of bytes written. The decoder gets a slice of the buffer of specified length, and returns the decoded value.

The maximum value length is 1024 bytes.

```
end
```

```
module User :
```

```
sig
```

User events is a way for libraries to provide runtime events that can be consumed by other tools. These events can carry known data types or custom values. The current maximum number of user events is 8192.

```
type tag = ..
```

The type for a user event tag. Tags are used to discriminate between user events of the same type

```
type 'value t
```

The type for a user event. User events describe their tag, carried data type and an unique string-based name

```
val register : string ->
  tag ->
  'value Runtime_events.Type.t -> 'value t
```

`register name tag ty` registers a new event with an unique `name`, carrying a `tag` and values of type `ty`

```
val write : 'value t -> 'value -> unit
```

`write t v` records a new event `t` with value `v`

```
val name : 'a t -> string
```

`name t` is the uniquely identifying name of event `t`

```
val tag : 'a t -> tag
```

tag `t` is the associated tag of event `t`, when it is known. An event can be unknown if it was not registered in the consumer program.

end

module Callbacks :

sig

type t

Type of callbacks

val create :

```
?runtime_begin:(int ->
    Runtime_events.Timestamp.t ->
    Runtime_events.runtime_phase -> unit) ->
?runtime_end:(int ->
    Runtime_events.Timestamp.t ->
    Runtime_events.runtime_phase -> unit) ->
?runtime_counter:(int ->
    Runtime_events.Timestamp.t ->
    Runtime_events.runtime_counter -> int -> unit) ->
?alloc:(int -> Runtime_events.Timestamp.t -> int array -> unit) ->
?lifecycle:(int ->
    Runtime_events.Timestamp.t ->
    Runtime_events.lifecycle -> int option -> unit) ->
?lost_events:(int -> int -> unit) -> unit -> t
```

Create a `Callback` that optionally subscribes to one or more runtime events. The first `int` supplied to callbacks is the ring buffer index. Each domain owns a single ring buffer for the duration of the domain's existence. After a domain terminates, a newly spawned domain may take ownership of the ring buffer. A `runtime_begin` callback is called when the runtime enters a new phase (e.g a `runtime_begin` with `EV_MINOR` is called at the start of a minor GC). A `runtime_end` callback is called when the runtime leaves a certain phase. The `runtime_counter` callback is called when a counter is emitted by the runtime. `lifecycle` callbacks are called when the ring undergoes a change in lifecycle and a consumer may need to respond. `alloc` callbacks are currently only called on the instrumented runtime. `lost_events` callbacks are called if the consumer code detects some unconsumed events have been overwritten.

val add\_user\_event :

```
'a Runtime_events.Type.t ->
(int -> Runtime_events.Timestamp.t -> 'a Runtime_events.User.t -> 'a -> unit) ->
t -> t
```

`add_user_event ty callback t` extends `t` to additionally subscribe to user events of type `ty`. When such an event happens, `callback` is called with the corresponding event and payload.

`end`

`val start : unit -> unit`

`start ()` will start the collection of events in the runtime if not already started.

Events can be consumed by creating a cursor with `create_cursor` and providing a set of callbacks to be called for each type of event.

`val pause : unit -> unit`

`pause ()` will pause the collection of events in the runtime. Traces are collected if the program has called `Runtime_events.start ()` or the `OCAML_RUNTIME_EVENTS_START` environment variable has been set.

`val resume : unit -> unit`

`resume ()` will resume the collection of events in the runtime. Traces are collected if the program has called `Runtime_events.start ()` or the `OCAML_RUNTIME_EVENTS_START` environment variable has been set.

`val create_cursor : (string * int) option -> cursor`

`create_cursor path_pid` creates a cursor to read from an `runtime_events`. Cursors can be created for `runtime_events` in and out of process. A `runtime_events` ring-buffer may have multiple cursors reading from it at any point in time and a program may have multiple cursors open concurrently (for example if multiple consumers want different sets of events). If `path_pid` is `None` then a cursor is created for the current process. Otherwise the pair contains a string `path` to the directory that contains the `pid.events` file and `int pid` for the `runtime_events` of an external process to monitor.

`val free_cursor : cursor -> unit`

Free a previously created `runtime_events` cursor

`val read_poll : cursor -> Callbacks.t -> int option -> int`

`read_poll cursor callbacks max_option` calls the corresponding functions on `callbacks` for up to `max_option` events read off `cursor`'s `runtime_events` and returns the number of events read.



## Chapter 33

# The threads library

The **threads** library allows concurrent programming in OCaml. It provides multiple threads of control (also called lightweight processes) that execute concurrently in the same memory space. Threads communicate by in-place modification of shared data structures, or by sending and receiving data on communication channels.

The **threads** library is implemented on top of the threading facilities provided by the operating system: POSIX 1003.1c threads for Linux, MacOS, and other Unix-like systems; Win32 threads for Windows. Only one thread at a time is allowed to run OCaml code on a particular domain [9.5.1](#). Hence, opportunities for parallelism are limited to the parts of the program that run system or C library code. However, threads provide concurrency and can be used to structure programs as several communicating processes. Threads also efficiently support concurrent, overlapping I/O operations.

Programs that use threads must be linked as follows:

```
ocamlc -I +unix -I +threads other options unix.cma threads.cma other files
ocamlopt -I +unix -I +threads other options unix.cmxa threads.cmxa other files
```

Compilation units that use the **threads** library must also be compiled with the **-I +threads** option (see chapter [13](#)).

### 33.1 Module Thread : Lightweight threads for Posix 1003.1c and Win32.

`type t`

The type of thread handles.

#### Thread creation and termination

```
val create : ('a -> 'b) -> 'a -> t
```

`Thread.create funct arg` creates a new thread of control, in which the function application `funct arg` is executed concurrently with the other threads of the domain. The application of

`Thread.create` returns the handle of the newly created thread. The new thread terminates when the application `funct arg` returns, either normally or by raising the `Thread.Exit`[\[33.1\]](#) exception or by raising any other uncaught exception. In the last case, the uncaught exception is printed on standard error, but not propagated back to the parent thread. Similarly, the result of the application `funct arg` is discarded and not directly accessible to the parent thread.

See also `Domain.spawn`[\[28.14\]](#) if you want parallel execution instead.

```
val self : unit -> t
```

Return the handle for the thread currently executing.

```
val id : t -> int
```

Return the identifier of the given thread. A thread identifier is an integer that identifies uniquely the thread. It can be used to build data structures indexed by threads.

```
exception Exit
```

Exception raised by user code to initiate termination of the current thread. In a thread created by `Thread.create`[\[33.1\]](#) `funct arg`, if the `Thread.Exit`[\[33.1\]](#) exception reaches the top of the application `funct arg`, it has the effect of terminating the current thread silently. In other contexts, there is no implicit handling of the `Thread.Exit`[\[33.1\]](#) exception.

```
val exit : unit -> unit
```

*Deprecated.* Use 'raise Thread.Exit' instead. Raise the `Thread.Exit`[\[33.1\]](#) exception. In a thread created by `Thread.create`[\[33.1\]](#), this will cause the thread to terminate prematurely, unless the thread function handles the exception itself. `Fun.protect`[\[28.22\]](#) finalizers and catch-all exception handlers will be executed.

To make it clear that an exception is raised and will trigger finalizers and catch-all exception handlers, it is recommended to write `raise Thread.Exit` instead of `Thread.exit ()`.

**Before 5.0** A different implementation was used, not based on raising an exception, and not running finalizers and catch-all handlers. The previous implementation had a different behavior when called outside of a thread created by `Thread.create`.

## Suspending threads

```
val delay : float -> unit
```

`delay d` suspends the execution of the calling thread for `d` seconds. The other program threads continue to run during this time.

```
val join : t -> unit
```

`join th` suspends the execution of the calling thread until the thread `th` has terminated.

```
val yield : unit -> unit
```

Re-schedule the calling thread without suspending it. This function can be used to give scheduling hints, telling the scheduler that now is a good time to switch to other threads.

## Waiting for file descriptors or processes

The functions below are leftovers from an earlier, VM-based threading system. The `Unix`[\[30.1\]](#) module provides equivalent functionality, in a more general and more standard-conformant manner. It is recommended to use `Unix`[\[30.1\]](#) functions directly.

```
val wait_timed_read : Unix.file_descr -> float -> bool
```

*Deprecated.* Use `Unix.select` instead. See `Thread.wait_timed_write`[\[33.1\]](#).

```
val wait_timed_write : Unix.file_descr -> float -> bool
```

*Deprecated.* Use `Unix.select` instead. Suspend the execution of the calling thread until at least one character or EOF is available for reading (`wait_timed_read`) or one character can be written without blocking (`wait_timed_write`) on the given Unix file descriptor. Wait for at most the amount of time given as second argument (in seconds). Return `true` if the file descriptor is ready for input/output and `false` if the timeout expired. The same functionality can be achieved with `Unix.select`[\[30.1\]](#).

```
val select :
```

```
  Unix.file_descr list ->
```

```
  Unix.file_descr list ->
```

```
  Unix.file_descr list ->
```

```
  float -> Unix.file_descr list * Unix.file_descr list * Unix.file_descr list
```

*Deprecated.* Use `Unix.select` instead. Same function as `Unix.select`[\[30.1\]](#). Suspend the execution of the calling thread until input/output becomes possible on the given Unix file descriptors. The arguments and results have the same meaning as for `Unix.select`[\[30.1\]](#).

```
val wait_pid : int -> int * Unix.process_status
```

*Deprecated.* Use `Unix.waitpid` instead. Same function as `Unix.waitpid`[\[30.1\]](#). `wait_pid p` suspends the execution of the calling thread until the process specified by the process identifier `p` terminates. Returns the pid of the child caught and its termination status, as per `Unix.wait`[\[30.1\]](#).

## Management of signals

Signal handling follows the POSIX thread model: signals generated by a thread are delivered to that thread; signals generated externally are delivered to one of the threads that does not block it. Each thread possesses a set of blocked signals, which can be modified using `Thread.sigmask`[\[33.1\]](#). This set is inherited at thread creation time. Per-thread signal masks are supported only by the system thread library under Unix, but not under Win32, nor by the VM thread library.

```
val sigmask : Unix.sigprocmask_command -> int list -> int list
```

`sigmask cmd sigs` changes the set of blocked signals for the calling thread. If `cmd` is `SIG_SETMASK`, blocked signals are set to those in the list `sigs`. If `cmd` is `SIG_BLOCK`, the signals in `sigs` are added to the set of blocked signals. If `cmd` is `SIG_UNBLOCK`, the signals in `sigs` are removed from the set of blocked signals. `sigmask` returns the set of previously blocked signals for the thread.

```
val wait_signal : int list -> int
```

`wait_signal sigs` suspends the execution of the calling thread until the process receives one of the signals specified in the list `sigs`. It then returns the number of the signal received. Signal handlers attached to the signals in `sigs` will not be invoked. The signals `sigs` are expected to be blocked before calling `wait_signal`.

## Uncaught exceptions

```
val default_uncaught_exception_handler : exn -> unit
```

`Thread.default_uncaught_exception_handler` will print the thread's id, exception and backtrace (if available).

```
val set_uncaught_exception_handler : (exn -> unit) -> unit
```

`Thread.set_uncaught_exception_handler fn` registers `fn` as the handler for uncaught exceptions.

If the newly set uncaught exception handler raise an exception,

`Thread.default_uncaught_exception_handler`[\[33.1\]](#) will be called.

## 33.2 Module Event : First-class synchronous communication.

This module implements synchronous inter-thread communications over channels. As in John Reppy's Concurrent ML system, the communication events are first-class values: they can be built and combined independently before being offered for communication.

```
type 'a channel
```

The type of communication channels carrying values of type `'a`.

```
val new_channel : unit -> 'a channel
```

Return a new channel.

```
type +'a event
```

The type of communication events returning a result of type `'a`.

```
val send : 'a channel -> 'a -> unit event
```

`send ch v` returns the event consisting in sending the value `v` over the channel `ch`. The result value of this event is `()`.

```
val receive : 'a channel -> 'a event
```

`receive ch` returns the event consisting in receiving a value from the channel `ch`. The result value of this event is the value received.

```
val always : 'a -> 'a event
```



`always v` returns an event that is always ready for synchronization. The result value of this event is `v`.

`val choose : 'a event list -> 'a event`

`choose evl` returns the event that is the alternative of all the events in the list `evl`.

`val wrap : 'a event -> ('a -> 'b) -> 'b event`

`wrap ev fn` returns the event that performs the same communications as `ev`, then applies the post-processing function `fn` on the return value.

`val wrap_abort : 'a event -> (unit -> unit) -> 'a event`

`wrap_abort ev fn` returns the event that performs the same communications as `ev`, but if it is not selected the function `fn` is called after the synchronization.

`val guard : (unit -> 'a event) -> 'a event`

`guard fn` returns the event that, when synchronized, computes `fn()` and behaves as the resulting event. This enables computing events with side-effects at the time of the synchronization operation.

`val sync : 'a event -> 'a`

'Synchronize' on an event: offer all the communication possibilities specified in the event to the outside world, and block until one of the communications succeed. The result value of that communication is returned.

`val select : 'a event list -> 'a`

'Synchronize' on an alternative of events. `select evl` is shorthand for `sync(choose evl)`.

`val poll : 'a event -> 'a option`

Non-blocking version of `Event.sync`[\[33.2\]](#): offer all the communication possibilities specified in the event to the outside world, and if one can take place immediately, perform it and return `Some r` where `r` is the result value of that communication. Otherwise, return `None` without blocking.



## Chapter 34

# The dynlink library: dynamic loading and linking of object files

The `dynlink` library supports type-safe dynamic loading and linking of bytecode object files (`.cmo` and `.cma` files) in a running bytecode program, or of native plugins (usually `.cmxs` files) in a running native program. Type safety is ensured by limiting the set of modules from the running program that the loaded object file can access, and checking that the running program and the loaded object file have been compiled against the same interfaces for these modules. In native code, there are also some compatibility checks on the implementations (to avoid errors with cross-module optimizations); it might be useful to hide `.cmx` files when building native plugins so that they remain independent of the implementation of modules in the main program.

Programs that use the `dynlink` library simply need to include the `dynlink` library directory with `-I +dynlink` and link `dynlink.cma` or `dynlink.cmxs` with their object files and other libraries.

**Note:** in order to insure that the dynamically-loaded modules have access to all the libraries that are visible to the main program (and not just to the parts of those libraries that are actually used in the main program), programs using the `dynlink` library should be linked with `-linkall`.

### 34.1 Module Dynlink : Dynamic loading of `.cmo`, `.cma` and `.cmxs` files.

```
val is_native : bool
```

`true` if the program is native, `false` if the program is bytecode.

#### Dynamic loading of compiled files

```
val loadfile : string -> unit
```

In bytecode: load the given bytecode object file (`.cmo` file) or bytecode library file (`.cma` file), and link it with the running program. In native code: load the given OCaml plugin file (usually `.cmxs`), and link it with the running program.

All toplevel expressions in the loaded compilation units are evaluated. No facilities are provided to access value names defined by the unit. Therefore, the unit must itself register its entry points with the main program (or a previously-loaded library) e.g. by modifying tables of functions.

An exception will be raised if the given library defines toplevel modules whose names clash with modules existing either in the main program or a shared library previously loaded with `loadfile`. Modules from shared libraries previously loaded with `loadfile_private` are not included in this restriction.

The compilation units loaded by this function are added to the "allowed units" list (see `Dynlink.set_allowed_units`[34.1]).

```
val loadfile_private : string -> unit
```

Same as `loadfile`, except that the compilation units just loaded are hidden (cannot be referenced) from other modules dynamically loaded afterwards.

An exception will be raised if the given library defines toplevel modules whose names clash with modules existing in either the main program or a shared library previously loaded with `loadfile`. Modules from shared libraries previously loaded with `loadfile_private` are not included in this restriction.

An exception will also be raised if the given library defines toplevel modules whose name matches that of an interface depended on by a module existing in either the main program or a shared library previously loaded with `loadfile`. This applies even if such dependency is only a "module alias" dependency (i.e. just on the name rather than the contents of the interface).

The compilation units loaded by this function are not added to the "allowed units" list (see `Dynlink.set_allowed_units`[34.1]) since they cannot be referenced from other compilation units.

```
val adapt_filename : string -> string
```

In bytecode, the identity function. In native code, replace the last extension with `.cmxs`.

## Access control

```
val set_allowed_units : string list -> unit
```

Set the list of compilation units that may be referenced from units that are dynamically loaded in the future to be exactly the given value.

Initially all compilation units composing the program currently running are available for reference from dynamically-linked units. `set_allowed_units` can be used to restrict access to a subset of these units, e.g. to the units that compose the API for dynamically-linked code, and prevent access to all other units, e.g. private, internal modules of the running program.

Note that `Dynlink.loadfile`[34.1] changes the allowed-units list.

```
val allow_only : string list -> unit
```

`allow_only units` sets the list of allowed units to be the intersection of the existing allowed units and the given list of units. As such it can never increase the set of allowed units.

`val prohibit : string list -> unit`

`prohibit units` prohibits dynamically-linked units from referencing the units named in `list units` by removing such units from the allowed units list. This can be used to prevent access to selected units, e.g. `private`, internal modules of the running program.

`val main_program_units : unit -> string list`

Return the list of compilation units that form the main program (i.e. are not dynamically linked).

`val public_dynamically_loaded_units : unit -> string list`

Return the list of compilation units that have been dynamically loaded via `loadfile` (and not via `loadfile_private`). Note that compilation units loaded dynamically cannot be unloaded.

`val all_units : unit -> string list`

Return the list of compilation units that form the main program together with those that have been dynamically loaded via `loadfile` (and not via `loadfile_private`).

`val allow_unsafe_modules : bool -> unit`

Govern whether unsafe object files are allowed to be dynamically linked. A compilation unit is 'unsafe' if it contains declarations of external functions, which can break type safety. By default, dynamic linking of unsafe object files is not allowed. In native code, this function does nothing; object files with external functions are always allowed to be dynamically linked.

## Error reporting

`type linking_error = private`

- | `Undefined_global` of `string`
- | `Unavailable_primitive` of `string`
- | `Uninitialized_global` of `string`

`type error = private`

- | `Not_a_bytecode_file` of `string`
- | `Inconsistent_import` of `string`
- | `Unavailable_unit` of `string`
- | `Unsafe_file`
- | `Linking_error` of `string * linking_error`
- | `Corrupted_interface` of `string`
- | `Cannot_open_dynamic_library` of `exn`
- | `Library's_module_initializers_failed` of `exn`
- | `Inconsistent_implementation` of `string`
- | `Module_already_loaded` of `string`
- | `Private_library_cannot_implement_interface` of `string`

`exception Error of error`

Errors in dynamic linking are reported by raising the `Error` exception with a description of the error. A common case is the dynamic library not being found on the system: this is reported via `Cannot_open_dynamic_library` (the enclosed exception may be platform-specific).

```
val error_message : error -> string
```

Convert an error description to a printable message.

## Chapter 35

# Recently removed or moved libraries (Graphics, Bigarray, Num, LablTk)

This chapter describes three libraries which were formerly part of the OCaml distribution (Graphics, Num, and LablTk), and a library which has now become part of OCaml's standard library, and is documented there (Bigarray).

### 35.1 The Graphics Library

Since OCaml 4.09, the `graphics` library is distributed as an external package. Its new home is:

<https://github.com/ocaml/graphics>

If you are using the `opam` package manager, you should install the corresponding `graphics` package:

```
opam install graphics
```

Before OCaml 4.09, this package simply ensures that the `graphics` library was installed by the compiler, and starting from OCaml 4.09 this package effectively provides the `graphics` library.

### 35.2 The Bigarray Library

As of OCaml 4.07, the `bigarray` library has been integrated into OCaml's standard library.

The `bigarray` functionality may now be found in the standard library `Bigarray` module, except for the `map_file` function which is now part of the [Unix library](#). The documentation has been integrated into the documentation for the standard library.

The legacy `bigarray` library bundled with the compiler is a compatibility library with exactly the same interface as before, i.e. with `map_file` included.

We strongly recommend that you port your code to use the standard library version instead, as the changes required are minimal.

If you choose to use the compatibility library, you must link your programs as follows:

```
ocamllc other options bigarray.cma other files  
ocamlopt other options bigarray.cmxa other files
```

For interactive use of the `bigarray` compatibility library, do:

```
ocamlmktop -o mytop bigarray.cma
./mytop
```

or (if dynamic linking of C libraries is supported on your platform), start `ocaml` and type `#load "bigarray.cma";;`.

### 35.3 The Num Library

The `num` library implements integer arithmetic and rational arithmetic in arbitrary precision. It was split off the core OCaml distribution starting with the 4.06.0 release, and can now be found at <https://github.com/ocaml/num>.

New applications that need arbitrary-precision arithmetic should use the `Zarith` library (<https://github.com/ocaml/Zarith>) instead of the `Num` library, and older applications that already use `Num` are encouraged to switch to `Zarith`. `Zarith` delivers much better performance than `Num` and has a nicer API.

### 35.4 The Labltk Library and OCamlBrowser

Since OCaml version 4.02, the OCamlBrowser tool and the Labltk library are distributed separately from the OCaml compiler. The project is now hosted at <https://github.com/garrigue/labltk>.



**Part V**

**Indexes**



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