

# Notes on GTM251

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# 1 Introduction

## Pace:

1. Chapter 1 (Overview)
2. §2.1-§2.4 (Group action,  $A_n$ )
3. §2.5-§2.7 (O’Nan-Scott, maximal subgroups of  $S_n$  and  $A_n$ , cover)
4. §3.1-§3.3 ( $\text{PSL}_n(q)$ )
5. §3.4 (forms: bilinear, sesquilinear, quadratic)
6. §3.5 ( $\text{PSp}_{2m}(q)$ )
7. §3.6 ( $\text{PSU}_n(q)$ )
8. §3.7 ( $\text{P}\Omega_m(q)$ , odd  $q$ )
9. §3.8 ( $\text{P}\Omega_{2n}(q)$ , even  $q$ )
10. §3.10 (maximal subgroups of classical groups)

## References:

Main: The finite simple groups - Wilson (GTM 251)

Perm.: Permutation Groups - J.D. Dixon, B. Mortimer (GTM 163)

Finite permutation groups - Wielandt

Class.: The Subgroup Structure of the Finite Classical Groups - Kleidman & Liebeck

The Maximal Subgroups of the Low-Dimensional Finite Classical Groups - J.N. Bray, et al.

[Notes] Classical Groups without Orthogonal (2021fall) - C.H. Li, P.C. Hua

More: (notes and papers to be referred)

## 1.1 History

Galois(1830s):  $A_n$ ,  $\text{PSL}_2(p)$ , realized the importance

Jordan-Hölder:  $1 = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_{n-1} \triangleleft G_n = G$ , where  $G_i/G_{i-1}$  is simple

Camille Jordan (1870):  $\text{PSL}_n(q)$

Sylow theorem (1872): the first tools for classifying finite simple groups

Mathieu(1860s):  $M_{11}$ ,  $M_{12}$ ,  $M_{22}$ ,  $M_{23}$ ,  $M_{24}$

L.E. Dickson(1901): classical groups, inspired by Lie algebras

Chevalley(1955): a uniform construction of  $\text{PSL}_{n+1}(q)$ ,  $\text{P}\Omega_{2n+1}(q)$ ,  $\text{PSp}_{2n}(q)$ ,  $\text{P}\Omega_{2n}^+(q)$

"twisting":  ${}^3D_4(q)$ ,  ${}^2E_6(q)$

Feit-Thompson(1963): odd order is soluble, hence nonab. FSG has an involution

1960s: proof of CSFG began

1970s: 20 sporadic simple groups dicovered

1980s: CSFG was "almost" complete

3 generations of proof of CSFG:

1. 1982 Gorenstein, abandon after vol 1, too long, bugs in quasithin case
2. 1992 Lyons, Solomon, vol 1-6 done, bug fixed, vol 7? also too long
3. Aschbacher, et al., find some geometric characters to simplify the proof, fusion system?

## 1.2 CFSG

Every finite simple group is isomorphic to one of the followings:

- i. a cyclic group  $C_p$  of prime order  $p$ ;
- ii. an alternating group  $A_n$  for  $n \geq 5$ ;
- iii. a classical group:
  - linear:  $\text{PSL}_n(q)$ ,  $n \geq 2$ , except  $\text{PSL}_2(2)$  and  $\text{PSL}_2(3)$ ;
  - unitary:  $\text{PSU}_n(q)$ ,  $n \geq 3$ , except  $\text{PSU}_3(2)$ ;
  - symplectic:  $\text{PSp}_{2n}(q)$ ,  $n \geq 2$ , except  $\text{PSp}_4(2)$ ;
  - orthogonal:  $\text{P}\Omega_{2n+1}(q)$ ,  $n \geq 3$ ,  $q$  odd;  $\text{P}\Omega_{2n}^+(q)$ ,  $\text{P}\Omega_{2n}^-(q)$ ,  $n \geq 4$ ;

where  $q$  is a power  $p^a$  of a prime  $p$ ;

- iv. an exceptional group of Lie type:

$$G_2(q), q \geq 3; F_4(q); E_6(q); {}^2E_6(q); {}^3D_4(q); E_7(q); E_8(q)$$

with  $q$  a prime power, or

$${}^2B_2(2^{2n+1}), {}^2G_2(3^{2n+1}), {}^2F_4(2^{2n+1}), n \geq 1;$$

or the Tits group  ${}^2F_4(2)'$ ;

- v. one of 26 sporadic simple groups:

- the five Mathieu groups  $M_{11}, M_{12}, M_{22}, M_{23}, M_{24}$ ;
- the seven Leech lattice groups  $\text{Co}_1, \text{Co}_2, \text{Co}_3, \text{McL}, \text{HS}, \text{Suz}, \text{J}_2$ ;
- the three Fischer groups  $\text{Fi}_{22}, \text{Fi}_{23}, \text{Fi}'_{24}$ ;
- the five Monstrous groups  $\mathbb{M}, \mathbb{B}, \text{Th}, \text{HN}, \text{He}$ ;
- the six pariahs  $\text{J}_1, \text{J}_3, \text{J}_4, \text{O}'\text{N}, \text{Ly}, \text{Ru}$ .

Conversely, every group in this list is simple, and the only repetitions in this list are:

$$\begin{aligned} \text{PSL}_2(4) &\cong \text{PSL}_2(5) \cong A_5; \\ \text{PSL}_2(7) &\cong \text{PSL}_3(2); \\ \text{PSL}_2(9) &\cong A_6; \\ \text{PSL}_4(2) &\cong A_8; \\ \text{PSU}_4(2) &\cong \text{PSp}_4(3). \end{aligned}$$

introduction, construction, orders, simplicity, **action(reveal subgroup structure)**

## 1.3 After CFSG

### 1.3.1 Permutation group theory

Classify

- multiply-transitive groups
- 2-transitive groups
- primitive permutation groups (O’Nan-Scott Thm): reduce to AS case

### 1.3.2 Maximal subgroups of simple groups

$A_n$  : O’Nan-Scott, Liebeck-Praeger-Saxl

(The symmetric difference set of AS subgroups and maximal subgroups of  $A_n$  is listed out, while listing their intersection is impossible.)

Classical: began with Aschbacher, 1984, see Kleidman-Liebeck and Low-dimension.

Exceptional: Done recently by David Craven, see arXiv

Sporadic: Done. See a survey by Wilson and recent work on arXiv for the Monster.

## 2 The Alternating Groups

### 2.1 The O’Nan-Scott Theorem

#### 2.1.1 Some Lemmas

#### 2.1.2 The proof of the O’Nan-Scott Theorem

Last week we introduced some lemmas and proved part of the O’Nan-Scott Theorem. This week we will finish the proof of the O’Nan-Scott Theorem.

**Notation:** Let  $H$  be a subgroup of  $S_n$  not containing  $A_n$ ,  $N$  be a minimal normal subgroup of  $H$ , and  $K$  be the stabilizer in  $H$  of a point.

$H$  intransitive  $\implies$  case (i).

$H$  transitive imprimitive  $\implies$  case (ii).

Now we assume  $H$  primitive. And hence the discussion zooms into  $\text{soc}(H)$ .

$\exists N$  abelian  $\implies$  case (iv) affine.

Additionally we assume  $\forall N$  nonabelian.

If  $H$  has more than one minimal normal subgroups  $N_1 \neq N_2$ .

It can be shown that  $\exists x \in S_n$  conjugates  $N_1$  to  $N_2$ . **specify x**

By corollary 2.11,  $x$  also conjugates  $N_2 = C_H(N_1)$  to  $N_1 = C_H(N_2)$ . **(Why?)**

Hence  $H < \langle H, x \rangle$ , which has a unique minimal normal subgroup  $N_1 \times N_2$ .

Additionally we assume  $H$  has a unique minimal normal subgroup  $N$ , which is nonabelian.

$N$  simple  $\implies C_H(N) = 1 \implies H \overset{\text{conj.}}{\curvearrowright} N$  faithfully  $\implies$  case (vi) AS.

$N = T^m = T_1 \times \cdots \times T_m$  with  $m > 1 \implies H \overset{\text{conj.}}{\curvearrowright} \{T_1, \dots, T_m\}$  transitively, and  $K$  as well.

Let  $K_i := p_i(K \cap N) \leq T_i$  the projection of  $K$  onto  $T_i$ . Then  $K \cap N \leq K_1 \times \cdots \times K_m$ .

We divide the discussion into 2 cases. Before that, we claim the following fact.

Claim:  $K$  normalizes  $K_1 \times \cdots \times K_m$ .

*Proof.* Since  $K \cap N \triangleleft K$ ,  $\forall k \in K$ ,  $\forall x \in K \cap N$ ,

we have  $x = p_1(x) \cdots p_m(x)$ , and  $p_1(x)^k \cdots p_m(x)^k = x^k = p_1(x^k) \cdots p_m(x^k) \in K \cap N$ .

Then  $p_i(x)^k = p_j(x^k)$  whenever  $T_i^k = T_j$ . (In direct product, equal iff. all coordinates equal.)

$\forall y \in K_1 \times \cdots \times K_m$ ,  $\exists x_1, \dots, x_m \in K \cap N$  s.t.  $y = p_1(x_1) \cdots p_m(x_m)$ .

Then  $y^k = p_1(x_1)^k \cdots p_m(x_m)^k = p_1(x_{1_l_1}^k) \cdots p_m(x_{l_m}^k) \in K_1 \times \cdots \times K_m$ , where  $T_i = T_{l_i}^k$ .  $\square$

Case  $K_i \neq T_i$  for some  $i$ :

Now  $K \cap N \leq K_1 \times \cdots \times K_m < N$ .

By corollary 2.15,  $K_1 \times \cdots \times K_m = K \cap N$  and  $K$  permutes  $K_i$ ’s transitively. Let  $k := |T_i : K_i|$ .

Then  $H = (T_1 \times \cdots \times T_m) \rtimes K \leq S_k \wr S_m \curvearrowright [T_1 : K_1] \times \cdots \times [T_m : K_m] \implies$  case (iii) PA.

Case  $K_i = T_i$  for all  $i$ :

*Support* of  $(t_1, \dots, t_m) \in N$  is defined as  $\text{supp}(t) := \{i \mid t_i \neq 1\}$ .

$\Omega_1 :=$  a non-empty min.(with set inclusion) *supp.* of an elt in  $K \cap N$ .

Claim:  $\Omega_1$  a block of  $K, H \curvearrowright [m]$  which is induced by  $K, H \curvearrowright \{T_1, \dots, T_m\}$ .

*Proof.* All elts in  $K \cap N$  with support  $\Omega_1$  (i.e.  $t_i \neq 1$  and  $t_j = 1 \ \forall i \in \Omega_1, \forall j \notin \Omega_1$ ) together with 1 forms a normal subgroup of  $K \cap N$ , which maps onto a normal subgroup of hence  $T_i$  itself  $\forall i \in \Omega_1$ .  $\forall g \in K$ , if  $\Omega_1 \cap \Omega_1^g \neq \emptyset, \Omega_1$ , then  $\exists x \in K \cap N$  s.t.  $\text{supp}(x) = \Omega_1$  and  $\text{supp}(x^g) = \Omega_1^g$ . Now  $[x, x^g] \neq 1$  and  $\text{supp}([x, x^g]) \subset \Omega_1 \cap \Omega_1^g$ , contradicting to the minimality of  $\Omega_1$ .  $\square$

$|\Omega_1| = 1 \implies N \leq K$ , a contradiction.

$|\Omega_1| = m \implies K \cap N = \{(t, \dots, t) \mid t \in T\}$  WLOG.  $N \curvearrowright [N : K \cap N] \implies$  case (v)diagonal.

$\forall i, \forall x, y \in K \cap N, p_i(x) = p_i(y) \implies p_i(xy^{-1}) = 1 \implies \text{supp}(xy^{-1}) = \emptyset \implies xy^{-1} = 1$  i.e.  $p_i|_{K \cap N}$  is injective. Since  $K_i = T_i$ ,  $p_i|_{K \cap N}$  is surjective hence bijective. Thus  $K \cap N$  is now a full diagonal subgroup of  $N$ . Then the coset action  $N \curvearrowright [N : K \cap N]$  is of diagonal type. Now identify each  $\alpha^g \in \Omega$  with the corresponding coset  $(H_\alpha \cap N)g$ . The stabilizer  $N_{\alpha^g} = H_{\alpha^g} \cap N = (H_\alpha \cap N)^g$  is also the stabilizer of the coset  $(H_\alpha \cap N)g$ .

**Remark.** Actually,  $K \cap N$  is a full diagonal subgroup of  $N \implies K \cap N = \{(t^{\varphi_1}, \dots, t^{\varphi_m}) \mid t \in T\}$  where  $\varphi_i$  is an isomorphism from  $T$  to  $T_i$ . For each  $\beta \in \Omega$ , we have an  $H_\beta \cap N$  and can determine  $\psi_i$ 's basing on  $\varphi_i$ 's, since the  $H_\beta \cap N$ 's are conjugate to  $H_\alpha \cap N$  by the transitive group  $N$ . In detail, suppose  $\alpha^g = \beta$  for some  $g \in N$  and  $H_\alpha \cap N = \{(t^{\varphi_1}, \dots, t^{\varphi_m}) \mid t \in T\}$  and  $H_\beta \cap N = \{(t^{\psi_1}, \dots, t^{\psi_m}) \mid t \in T\}$  where  $\psi_i, \varphi_i$  are isomorphisms from  $T$  to  $T_i$ . Then  $H_\alpha \cap N$  is conjugate to  $H_\beta \cap N$  by  $g$ . This means we can take  $(\varphi_1^{-1}\psi_1, \dots, \varphi_m^{-1}\psi_m) = \tilde{g} \in \text{Inn}(N)$  on  $H_\alpha \cap N$ . Thus once  $(\varphi_1, \dots, \varphi_m)$  is given, we could let  $\psi : T \rightarrow H_\alpha \cap N \rightarrow H_\beta \cap N$  be  $(\psi_1, \dots, \psi_m) = (\varphi_1, \dots, \varphi_m)\tilde{g} = (\varphi_1\tilde{g}_1, \dots, \varphi_m\tilde{g}_m)$ .

$|\Omega_1| = k \neq 1, m$ :

Suppose  $\Omega_1$  is in a block system  $\{\Omega_1, \dots, \Omega_l\}$  of  $K$  on  $[m]$ . Let  $N_j = \times_{i \in \Omega_j} T_i$  for  $j = 1, \dots, l$ . Then  $N = N_1 \times \dots \times N_l \cong T^{kl}$ . For each  $N_j$ ,  $N_j \cap K$  is a diagonal subgroup of  $N_j$ .

$$\implies N = \left( \times_{i \in \Omega_1} T_i \right)^l \cong T^{kl}, N \cap K = \left( \text{diag} \left( \times_{i \in \Omega_1} T_i \right) \right)^l \cong T^l.$$

The action of each  $\times_{i \in \Omega_1} T_i$  is diagonal of degree  $r = |T|^{k-1}$ .  $H \leq S_r \wr S_l \curvearrowright [r]^l \implies$  case (iii)PA.

## 2.2 Covering Groups

### 2.2.1 Schur Multiplier

$A_n$  as quotient group of  $2.A_n$ .

Let  $+\pi$  and  $-\pi$  be the two preimages of  $\pi \in A_n$  under the natural quotient map. **But there is no canonical choice of which element gets which sign.**

Let  $+1$  be the identity in  $2.A_n$ . For each  $\pi \in A_n$ , we define  $+\pi$  to be the element which multiplied together with  $+1$  gives itself, and  $-\pi$  for the other one.

**Definition 2.1.**  $\tilde{G}$  is a covering group of  $G$  if  $Z(\tilde{G}) \leq \tilde{G}'$  and  $\tilde{G}/Z(\tilde{G}) \cong G$ .

If  $|Z(\tilde{G})| = 2, 3$ , then the covering group is called double, triple cover.

**Theorem 2.2** (Schur). Every finite perfect group  $G$  has a unique maximal covering group  $\tilde{G}$ , with the property that every other covering group is a quotient of  $\tilde{G}$ . We call  $\tilde{G}$  the **universal covering group** of  $G$  and  $Z(\tilde{G})$  the **Schur multiplier** of  $G$ , denoted as  $M(G)$ .

**Example 2.3** (non-perfect).  $\mathbb{Z}_2 \times \mathbb{Z}_2 = \langle \alpha \rangle \times \langle \beta \rangle$  has four maximal covering groups: one  $Q_8$  and three  $D_8$ .

$$Q_8 = \{\pm 1, \pm i, \pm j, \pm k\}, Z(Q_8) = \{\pm 1\}, Q_8/Z(Q_8) \cong \mathbb{Z}_2 \times \mathbb{Z}_2.$$

$$D_8 = \langle a \rangle : \langle b \rangle, Z(D_8) = \langle a^2 \rangle, D_8/Z(D_8) = \langle Z(D_8)a \rangle \times \langle Z(D_8)ab \rangle \cong \mathbb{Z}_2 \times \mathbb{Z}_2.$$

see my iphone

**Example 2.4.** The alternating groups  $A_n$  ( $n > 4$ ) only have double covers, except for  $A_6$  and  $A_7$  both with the same Schur multiplier  $\mathbb{Z}_6$ .

### 2.2.2 Double Covers of $A_n$ and $S_n$

Now we define  $2.S_n$ .

Firstly, let  $G$  be a set of order  $2n!$ , with a map  $\varphi$  onto  $S_n$  such that each  $\pi \in S_n$  has exactly two preimages denoted as  $+\pi$  and  $-\pi$ .

Intuitively, we should define the multiplication of  $G$  as  $+\pi + \sigma = +(\pi\sigma)$  and  $+\pi - \sigma = -(\pi\sigma)$ .

WLOG, we denote  $+(1\ 2)$  as  $[1\ 2]$  and  $-(1\ 2)$  as  $-[1\ 2]$ . Then for each transposition  $\pi \in S_n$ , taking  $(+\pi)^{-1}$  to be a preimage of  $\pi$ , define the products (of 3 elements in  $G$ )  $[i\ j]^{+\pi}$  and  $[i\ j]^{-\pi}$  to be a same preimage of  $(i^\pi\ j^\pi)$ , we denote it as  $-[i^\pi\ j^\pi]$ . That is  $[i\ j]^{\pm\pi} = -[i^\pi\ j^\pi]$ .

Then define the preimage  $+(a_i, a_{i+1}, \dots, a_j)$  by

$$[a_i\ a_{i+1}\ \dots\ a_j] = [a_i\ a_{i+1}][a_i\ a_{i+2}] \cdots [a_i\ a_j].$$

Finally by multiplying together disjoint cycles in the usual way, we obtain all elements.

The multiplication defined above is well-defined. That is, if we compute the same product in two different ways, we get the same result. A proof using construction of double cover of orthogonal group is given in Section 3.9.

Note that, by the rule  $[i\ j]^{\pm\pi} = -[i^\pi\ j^\pi]$  to define  $[i\ j]$ 's, all the elements  $\pm[i\ j]$  are conjugate. Thus they are all square to 1 or -1 simotaneously. Whatever, the elements like  $\pm[1\ 2][3\ 4]$  always square to -1.

Therefore, we obtain two distinct double cover of  $S_n$ . We denote  $2.S_n^+$  (actually  $\mathbb{Z}_2 \times S_n$ ) as the one with  $||[i\ j]|| = 2$  (where  $(i\ j)$  is identified with a coset  $Z(2.S_n)a$  with a of order 2), and  $2.S_n^-$  as the other one with  $||[i\ j]|| = 4$  ( $(i\ j)$  a coset of an element of order 4). However, both of them has the same subgroup  $2.A_n \cong \mathbb{Z}_2 \times A_n$  of index 2, which is the unique double cover of  $A_n$ .

**Example 2.5.**



- $2.S_4^+ \cong \mathbb{Z}_2 \times S_4$  is the group of symmetries of the cube, where  $S_4$  permutes the four diagonals of the cube, and  $\mathbb{Z}_2$  permutes the two opposite vertices in a diagonal simultaneously.
- $2.S_4^- \cong \text{GL}_2(3)$  where  $S_4$  permutes the four lines through the origin in  $\mathbb{F}_3^2$ , and  $\mathbb{Z}_2$  (i.e. scalar matrices) permutes the two points on each line simultaneously.

Note that  $\text{SL}(2, 3) = 2.A_4$  is the unique subgroup of order 24 in  $\text{GL}_2(3)$ .

### 2.2.3 Triple Covers of $A_6$ and $A_7$

## 2.3 Coxeter Groups

### 3 The Classical Groups

#### 3.1 Introduction

'Classical' simple groups: linear groups, unitary groups, symplectic groups, orthogonal groups.

Mainly obtained by taking  $G'/Z(G')$  from suitable matrix groups  $G$ .

| Definition | Simplicity      | Subgroups | Automorphisms & Covering groups | Isomorphisms      |
|------------|-----------------|-----------|---------------------------------|-------------------|
| $PSL_n(q)$ | Iwasawa's lemma | geometry  | (briefly mentioned)             | projective spaces |

Symplectic groups: easy to understand, orders, simplicity, subgroups, covering groups, automorphisms, generic isomorphism  $Sp_2(q) \cong SL_2(q)$ , exceptional isomorphism  $Sp_4(2) \cong S_6$ .

Unitary groups: similar to symplectic groups.

Orthogonal groups:

- fundamental differences between the cases of  $\text{char } F = 2$  or odd
- subquotient is not usually simple
- to get usually simple groups, using spinor norm for odd char (see Clifford algebras and spin groups), and quasideterminant for char 2
- generic isomorphisms  $P\Omega_6^+(q) \cong PSL_4(q)$ ,  $P\Omega_6^-(q) \cong PSU_4(q)$ ,  $P\Omega_5(q) \cong PSp_4(q)$  all derive from the Klein correspondence

A simple version of Aschbacher-Dynkin theorem is proved, relying heavily on representation theory.

More explicit versions for individual classes of groups see Kleidman and Liebeck's book.

Some exceptional behavior of small classical groups is related to exceptional Weyl groups.

#### 3.2 Finite fields

Please refer to literatures about finite fields for more details.

**Definition 3.1.** *field*  $(F, +, \cdot)$

**Lemma 3.2.** *All non-zero elements have the same additional order of prime  $p$ .*

*Proof.*  $F^\times \curvearrowright F^+ \setminus \{0\}$  by multiplication as group automorphism (distributive law) transitively.  $\square$

**Definition 3.3.** *The  $p$  above is the **characteristic** of  $F$ .  $F_0 := \langle 1 \rangle_+$  is the **prime subfield** of  $F$ .*

**Lemma 3.4.**  $|F| = p^d$

*Proof.*  $F$  is a vector space over  $F_0$ .  $\square$

**Lemma 3.5.**  $F^\times = \langle \sigma \rangle \cong \mathbb{Z}_{p^d-1}$ , where  $\sigma$  induces a **Singer cycle**  $v \mapsto v\sigma$  on  $V = F = F_0^d$ .

*Proof.* By Vandermonde's lemma, polynomial of degree  $n$  on  $F$  has at most  $n$  solutions in  $F$ .  
 $e := \exp(F^\times) < |F^\times| \implies x^e - 1 = 0$  has  $|F^\times| > e$  solutions.  $\square$

**Proposition 3.6.** *Elements of order  $p^d - 1$  in  $\text{GL}(V)$  are conjugate.*

**Proposition 3.7.** *For any prime power  $q = p^d$ ,  $\exists_1 F$  of order  $q$  up to field isomorphism, says  $\mathbb{F}_q$ .*

*Proof.* Existence:  $\mathbb{Z}/p\mathbb{Z}[x]/(f(x))$  for any irreducible polynomial  $f(x)$  of degree  $d$ .

Uniqueness: If  $|F| = p^d$ , then  $F_0 \cong \mathbb{F}_p$ ,  $F$  is the splitting field of  $x^{p^d} - x$  over  $F_0$ ,  $F^\times = \langle x \rangle$ .  $\square$

**Lemma 3.8.**  $\text{Aut}(\mathbb{F}_q) = \langle \phi \rangle \cong \mathbb{Z}_d$ , where  $\phi : x \mapsto x^p$  is called the **Frobenius automorphism**.

**Lemma 3.9.**  $x^n = 1$  has  $(n, q - 1)$  solutions in  $\mathbb{F}_q$ .

### 3.3 Linear groups

Generally speaking, the classification of a certain kind of algebraic objects goes through four steps: extracting abstract concept from various examples, accumulating natural and classical families, organizing by analysis on generic properties and finally collecting sporadic cases.

As for finite simple groups, the motivation comes from Jordan-Holder theorem, since which simple groups are deemed as elementary bricks. The families of cyclic groups and alternating groups gives the very first examples. After that, mathematicians find that there are many finite simple groups of Lie type, which stem from the study of Lie algebras. Actually, such groups forms a quite large family which turns out to be the main part of the classification and is divided into classical and exceptional parts during processing. The sporadic groups are the last part, which are found case-by-case.

In this chapter, we will introduce the family of linear groups, which is the basic case of groups of Lie type, since others can be seen as stabilizers of certain structures on vector spaces.

#### 3.3.1 Introduction

The story begins with the automorphisms of linear spaces, similar to the case of symmetric groups on sets.

**Definition 3.10.** *The so called **general linear group**  $\text{GL}(n, q)$  is the group of all invertible linear transformations over vector space  $V = \mathbb{F}_q^n$ , or equivalently, all invertible  $n \times n$  matrices over  $\mathbb{F}_q$ .*

Since linear group can be defined from two ways, algebraic (A) / geometric (G), there is also two parallel ways to deal with problems of linear groups. Here we follow the geometric way.

**Example 3.11.**  $\text{GL}_2(2) \cong S_3$ ,  $\text{GL}_2(3) \cong 2.S_4$ .

**Remark 3.12.**  $\text{GL}_n(q)$  acts regularly on ordered basis of  $V$ .

Thus  $|\text{GL}(n, q)| = (q^n - 1)(q^n - q) \cdots (q^n - q^{n-1}) = q^{n(n-1)/2} (q - 1) \cdots (q^n - 1)$ .  
 $(q - q^{-1} - q^{-2})q^{n^2} < |\text{GL}(n, q)| \leq (1 - q^{-1})(1 - q^{-2})q^{n^2}$ .

**Corollary 3.13.**  $\text{AGL}_n(q)$  acts 2-transitively on  $\mathbb{F}_q^n$ .  $\text{AGL}_n(2)$  acts 3-transitively on  $\mathbb{F}_2^n$ .

*Proof.* Note that for any two non-zero vectors  $u, v \in \mathbb{F}_2^n$ ,  $u, v$  are linear dependent iff.  $u = v$ . Thus  $\text{GL}_n(2)$  is 2-transitive on  $V$ .  $\square$

However, the simple groups do not come out from  $\text{GL}(n, q)$  directly. But we have some clues.

**Proposition 3.14.** *A finite non-abelian simple group is perfect and center-free.*

Generally,  $\text{GL}_n(q)$  is neither perfect nor center-free. Since perfection is inherited when taking quotient, we try to do two things: taking derived subgroup till perfect and then moduling center till center-free. This could lead to some simple groups.

Firstly, we need to find the derived subgroup of  $\text{GL}_n(q)$ . Note that commutators in  $\text{GL}_n(q)$  are of the form  $[A, B] = ABA^{-1}B^{-1}$ , which has determinant 1. Hence we can restrict our scope to a subgroup.

**Definition 3.15.** Consider the group homomorphism  $\det : \text{GL}_n(q) \rightarrow \mathbb{F}_q^\times$ ,  $g \mapsto \lambda_1 \cdots \lambda_n$ , its kernel is denoted as  $\text{SL}_n(q)$ , named **special linear group**.

**Remark 3.16.**  $|\text{SL}_n(q)| = |\text{GL}_n(q)|/|\mathbb{F}_q^\times| = q^{n(n-1)/2}(q^2 - 1) \cdots (q^n - 1)$ .

Similar as  $S_n$ ,  $A_n$  has basic generators 2-cycles, 3-cycles resp. with the most fixed points, we look at a  $\tau \in \text{GL}(V)$  which fixes a hyperplane  $W$  point-wise. Suppose  $V = W \oplus \langle v \rangle$ . Then

**Definition 3.17.**

$$v^\tau = \begin{cases} \alpha v \text{ for } \alpha \in F \setminus \{0, 1\}, & \tau \text{ is called a } \mathbf{dilatation} \text{ or a } \mathbf{homology} \text{ (in projective version).} \\ v + w \text{ for } w \in W \setminus \{0\}, & \tau \text{ is called a } \mathbf{transvection} \text{ or an } \mathbf{elation} \text{ (in projective version).} \\ \alpha v + w \text{ for } \alpha \in F \setminus \{0, 1\}, w \in W, & u := v + (a - 1)^{-1}w \text{ then reduce to the first case.} \end{cases}$$

Formally, a transvection  $\tau(w, \varphi) \in \text{GL}(V)$  is a map of the form:

$$v \mapsto v + \varphi(v)w, \forall v \in V$$

where  $\varphi \in V^* \setminus \{0\}$  and  $w \in \ker \varphi$ .

**Lemma 3.18** (properties of transvections).

1.  $\tau \in \text{SL}$ ;
2.  $\tau(\alpha w, \varphi) = \tau(w, \alpha \varphi)$ ,  $\tau(w, \varphi)^{-1} = \tau(-w, \varphi) = \tau(w, -\varphi)$ ;
3.  $\tau(w_1, \varphi)\tau(w_2, \varphi) = \tau(w_1 + w_2, \varphi)$ ;
4.  $\tau(w, \varphi_1)\tau(w, \varphi_2) = \tau(w, \varphi_1 + \varphi_2)$ ;
5.  $(\tau(w, \varphi))^g = \tau(w^g, \varphi \circ g)$ ,  $\forall g \in \text{GL}$ ;
6. all transvections are conjugate in  $\text{GL}_{n \geq 2}(q)$  and  $\text{SL}_{n \geq 3}(q)$  by adjusting images in  $\ker \varphi_2 \setminus \langle w_2 \rangle$ .

7. any two independent vectors / distinct hyperplanes are equivalent under transvections.

**Lemma 3.19.**  $T_w := \{\tau(w, \varphi) \mid \varphi \in V^*, \varphi(w) = 0\}$  is an abelian normal subgroup of  $(\mathrm{SL}_n(q))_w$ .

**Lemma 3.20.**

- i. The transvections (elations) generate  $\mathrm{SL}$  ( $\mathrm{PSL}$ ).
- ii. The transvections (elations) together with dilatations (homologies) generate  $\mathrm{GL}$  ( $\mathrm{PGL}$ ).

*Proof.* Let  $T$  be the group generated by transvections. Obviously,  $T \leq \mathrm{SL}$ .

As for the other direction we do induction on  $n$ .

If  $n = 1$ , then  $T = 1 = \mathrm{SL}_1(q)$ . Suppose  $n \geq 2$  and  $V = W \oplus \langle v \rangle$ .

Then  $\forall \rho \in \mathrm{SL}_n(q)$ ,  $\exists \tau_1 \in T$  maps  $v^\rho$  to  $v = v^\rho + (v - v^\rho) \notin W^{\rho\tau_1} \cup W$ .

And further  $\exists \tau_2$  fixing  $W^{\rho\tau_1} \cap W + \langle v^{\rho\tau_1} \rangle$  and taking  $W^{\rho\tau_1}$  to  $W$ .

Now  $(\rho\tau_1\tau_2)|_W \in \mathrm{SL}(W)$  hence is a product of transvections on  $W$ .

Expanding them to transvections on  $V$  we can express  $\rho$  as product of transvections.  $\square$

**Lemma 3.21.**  $\mathrm{GL}_n(q)' = \mathrm{SL}_n(q) = \mathrm{SL}_n(q)'$  except for  $\mathrm{SL}_2(2) \cong S_3$ ,  $\mathrm{SL}_2(3)' \cong Q_8$ .

*Proof.* Since  $\mathrm{SL}' \leq \mathrm{GL}' \leq \mathrm{SL}$ , we only need to prove  $\mathrm{SL}_n(q) \leq \mathrm{SL}_n(q)'$ .

Since transvections are conjugate in  $\mathrm{GL}_n(q)$  and  $\mathrm{SL}'_n(q) \text{ char } \mathrm{SL}_n(q) \trianglelefteq \mathrm{GL}_n(q)$ ,

it is sufficient to show that there is a transvection being a commutator in  $\mathrm{SL}_n(q)$ .

For  $n \geq 3$ , take  $g \in \mathrm{SL}_n(q)$  and  $0 \neq w \neq w^g$ ,

$$\tau(w^g - w, \varphi) = \tau(-w, \varphi)g^{-1}\tau(w, \varphi)g = [\tau(w, \varphi), g]$$

For  $n = 2$  and  $q \geq 4$ , take  $V = \langle u, v \rangle$ ,  $\tau : u \mapsto u$ ,  $v \mapsto u + v$ ,  $g = \text{diag}(a, a^{-1})$ ,  $a \in F \setminus \{0, 1\}$ .

Then  $\tau((1 - a^2)u, -\varphi) = [\tau(u, \varphi), g]$ .

Exceptions:  $\mathrm{GL}_2(2) = \mathrm{SL}_2(2)$ ,  $\mathrm{GL}_2(3) \cong 2.S_4 \cong Q_8 : S_3$ .  $\square$

Now we consider the center. By linear algebra,  $Z := Z(\mathrm{GL}_n(q))$  consists of all scalar matrices and isomorphic to  $\mathbb{F}_q^\times$ . (Actually  $Z(\mathrm{SL}_n(q)) \leq Z$  for the same reason by considering  $C_{\mathrm{GL}_n(q)}(\{I + E_{ij} \mid i \neq j\})$ . Although,  $Z \cap \mathrm{SL}_n(q)$  is already a normal subgroup which we want to quotient out.) By taking quotient we get **projective general linear groups**  $\mathrm{PGL}_n(q) := \mathrm{GL}_n(q)/Z$  and **projective special linear groups**  $\mathrm{PSL}_n(q) := \mathrm{SL}_n(q)/(Z \cap \mathrm{SL}_n(q))$ . By definition,  $\mathrm{PSL}_n(q)$  is not a subgroup but is isomorphic to a normal subgroup of  $\mathrm{PGL}_n(q)$ .

**Remark 3.22.**  $|\mathrm{PGL}_n(q)| = |\mathrm{GL}_n(q)|/|\mathbb{F}_q^\times| = q^{n(n-1)/2}(q^2 - 1) \cdots (q^n - 1)$ .

$$|\mathrm{PSL}_n(q)| = |\mathrm{SL}_n(q)|/|Z \cap \mathrm{SL}_n(q)| = \frac{1}{(n, q-1)} q^{n(n-1)/2}(q^2 - 1) \cdots (q^n - 1).$$

**Remark 3.23.** For  $(n, q) \neq (2, 2), (2, 3)$ ,  $\mathrm{SL}$  is perfect and hence a covering group of  $\mathrm{PSL}$ . However, if  $q - 1 > (n, q - 1)$ , then  $Z \not\leq \mathrm{GL}' \leq \mathrm{SL}$  and hence  $\mathrm{GL}$  is not a covering group of  $\mathrm{PGL}$ .

**Proposition 3.24.**

$$\mathrm{SL} \cong \mathrm{PGL} \iff \mathrm{SL} \cap Z = 1 \iff (n, q - 1) = 1, \quad \mathrm{SL} = \mathrm{GL}(\cong \mathrm{PGL} \cong \mathrm{PSL}) \iff q = 2.$$

Now we introduce some actions of linear groups.

**Definition 3.25.** The **projective geometry** of  $V = \mathbb{F}_q^n$  is the set of all 1-dimensional subspaces of  $V$ , denoted as  $\text{PG}(n-1, q)$ .

**Proposition 3.26.**  $\text{GL}_n(q)$  acts transitively on  $\text{PG}(n-1, q)$  with kernel  $Z(\text{GL}_n(q))$ . Thus  $\text{PGL}_n(q)$  acts faithfully transitively on  $\text{PG}(n-1, q)$ .

**Proposition 3.27.**  $\text{PGL}_n(q)$  acts regularly on **frames** of  $\text{PG}(n-1, q)$ , the set of all  $(n+1)$ -tuples on  $\text{PG}(n-1, q)$  with the property that no  $n$  points lie in a hyperplane (each  $n$  points form a basis).

*Proof.*  $\text{GL}_n(q)$  acts transitively on  $(\langle e_1 \rangle, \dots, \langle e_n \rangle, \langle \sum_{i=1}^n \alpha_i e_i \rangle)$  with stabilizer scalar matrices.  $\square$

**Proposition 3.28.**  $\text{PGL}_2(q)$  is sharply 3-transitive on  $\text{PG}(1, q)$ , while  $\text{PGL}_{n>2}(q)$  is only 2-transitive on  $\text{PG}(n-1, q)$ .

*Proof.* Any three distinct points in  $\text{PG}(1, q)$  form a frame.

However, three distinct points in  $\text{PG}(n-1, q)$  with  $n > 2$  might be collinear or not.  $\square$

**Corollary 3.29.**  $\text{PSL}_n(q)$  acts 2-transitively on  $\text{PG}(n-1, q)$  by suitably choosing images to adjust the determinant to be 1.

**Remark 3.30** (Explicit action of  $\text{PGL}_2(q)$  on  $\text{PG}(1, q)$  by **linear fractional representation**). Let  $z := \langle (0, z)^T \rangle$ ,  $\infty := \langle (1, 0)^T \rangle$ , then  $\text{PG}(1, q) = \{1, 2, \dots, q-1, \infty\}$  and

$$\bar{g} \in \text{PGL}_n(q) : z \mapsto \frac{az + b}{cz + d}, \quad \forall g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(q)$$

**Theorem 3.31** (Fundamental Theorem of Projective Geometry).  $\text{Aut}(\text{PG}(n-1, q)) = \text{P}\Gamma\text{L}(n, q)$ .

### 3.3.2 Simplicity of $\text{PSL}_n(q)$

**Lemma 3.32** (Iwasawa). If finite group  $G$  satisfies the following conditions, then  $G$  is simple.

- i.  $G' = G$ ;
- ii.  $G$  is primitive on some set  $\Omega$ ;
- iii.  $\exists A \trianglelefteq G_\alpha$  where  $A$  is solvable;
- iv.  $G = A^G$ .

*i.e.* A perfect primitive group  $G$ , being the normal closure of an abelian normal subgroup  $A$  of its point stabilizer, is simple.

*Proof.* Suppose that  $1 \neq N \trianglelefteq G$ . Then, by primitivity,  $N$  is transitive on  $\Omega$  and hence  $G = G_\alpha N$ . For any  $g \in G$ ,  $g = hn$  for some  $h \in G_\alpha$  and  $n \in N$ .

Then  $a^g = a^{hn} = a^n$ ,  $\forall a \in A$ , since  $A \trianglelefteq G_\alpha$ . Moreover,  $a^n = a(n^{-1})^a n \in AN$  since  $N \trianglelefteq G$ . Thus  $G = A^G = AN$ .

Now,  $G/N = AN/N = A/(A \cap N)$  is solvable. Meanwhile,  $(G/N)' = G'N/N = GN/N = G/N$ . Thus  $G/N = 1$  and  $G = N$ ,  $G$  is simple.  $\square$

**Theorem 3.33.**  $\text{PSL}_n(q)$  is a simple group except for  $\text{PSL}_2(2)$  and  $\text{PSL}_2(3)$ .

The proof proceeds along Iwasawa's lemma. We have check the four conditions.

- i. Find a primitive action of  $G$ ; 3.29
- ii. Prove perfectness; 3.21
- iii. Find a solvable normal subgroup  $A$  of point stabilizer; 3.19
- iv. Prove  $G = A^G$ . 3.20

### 3.3.3 Subgroups

We start with the subgroups of  $\text{GL}_n(q)$ .

#### Subgroups from matrix:

Diagonal matrices  $T$ : (**maximal split torus**)

Monomial matrices  $N$ : one non-zero entry in each row and column

Permutation matrices  $W$ : (**Weyl group**)

Lower-unitriangular matrices  $U$ : Sylow  $p$ -subgroup of  $\text{GL}_n(q)$

Lower-triangular matrices  $B$ : (**Borel subgroup**)

$$W = N/T (\cong S_n)$$

$$B = U : T$$

$$T = B \cap N$$

#### Subgroups from geometry:

##### Definition 3.34. *flag*

parabolic subgroup  $P$ : stabilizer of a flag

Borel subgroup  $B$ : stabilizer of a maximal flag

**Lemma 3.35.**  $\text{SL}_n(q)$  is transitive on maximal flags.

maximal parabolic subgroup: stabilizer of a minimal flag (a subspace)

$$P_k = Q : L$$

**Proposition 3.36.** A maximal parabolic subgroup is a maximal subgroup.

#### Maximal subgroups:

maximal subgroups of  $\text{GL}$  (Aschbacher-Dynkin)

maximal subgroups of  $\text{PSL}$

maximal subgroups of AS soc  $\text{PSL}(2, q)$  (Guidici, 2007)

### 3.3.4 Outer automorphisms

*'It is a fact that the outer automorphism groups of all the classical groups have a uniform description in terms of so-called **diagonal, field, and graph** automorphisms.'*

- Diagonal automorphism  $\delta: X \mapsto \Lambda^{-1}X\Lambda$  w.r.t. dilatation  $\Lambda = \text{diag}(\lambda, 1, \dots, 1)$ ,  $\mathbb{F}_q^\times = \langle \lambda \rangle$ .
  - $\text{GL}_n(q) = \text{SL}_n(q) : \langle \Lambda \rangle$  acts by conjugation on  $\text{SL}_n(q)$  with kernel scalar matrices
  - The action induces  $\langle \delta \rangle \leq \text{Out}(\text{SL}_n(q))$  where  $|\delta| = \frac{|\text{GL}_n(q)|}{(q-1)|\text{InnSL}_n(q)|} = (n, q-1)$
  - $\text{PGL}_n(q) = \text{PSL}_n(q) \cdot \langle \delta \rangle$
- Field automorphism  $\phi: (a_{ij}) \mapsto (a_{ij}^p)$  w.r.t. some basis
  - $|\phi| = e$  where  $q = p^e$
  - $\Gamma\text{L} = \text{GL} : \langle \phi \rangle$ ,  $\Sigma\text{L} = \text{SL} : \langle \phi \rangle$
  - each  $(g, \phi^i) \in \Gamma\text{L}$  corresponding to a semilinear map  $v \mapsto (v^g)^{\phi^i}$
  - $\phi$  comes from linear groups of higher dimension:  $\Gamma\text{L}_n(p^e) \curvearrowright \mathbb{F}_p^{en}$  linearly ( $a^p \equiv a \in \mathbb{F}_p$ )
- Graph automorphism  $\gamma: M \mapsto (M^T)^{-1}$  w.r.t. some pair of dual basis
  - inner automorphism when  $n = 2$ , outer when  $n \geq 3$
  - from higher dimension:  $\text{GL}_n(q) \cdot \langle \gamma \rangle \lesssim \text{GL}_{2n}(q)$ ,  $X \mapsto \text{diag}(X^{-1}, X^T)$ ,  $\gamma \mapsto \widetilde{\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}}$

Actually, these are the all outer automorphisms of classical groups.

**Theorem 3.37.**

- $\text{Out}(\text{PSL}_2(p^e)) = \langle \delta \rangle \times \langle \phi \rangle \cong \mathbb{Z}_{(2, p^e-1)} \times \mathbb{Z}_e$
- $\text{Out}(\text{PSL}_n(p^e)) = \langle \delta \rangle : (\langle \phi \rangle \times \langle \gamma \rangle) \cong \mathbb{Z}_{(n, p^e-1)} : (\mathbb{Z}_e \times \mathbb{Z}_2) \cong D_{2(n, p^e-1)} \times \mathbb{Z}_e$  for  $n \geq 3$ .

**Example 3.38.**  $A_6 \cong \text{PSL}_2(9)$  has outer automorphism group  $\langle \delta \rangle : \langle \phi \rangle \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ .

$$\text{PSL}_2(9) \cdot \langle \delta \rangle = \text{PGL}_2(9), \quad \text{PSL}_2(9) \cdot \langle \phi \rangle = \text{P}\Sigma\text{L}_2(9) \cong S_6, \quad \text{PSL}_2(9) \cdot \langle \delta \phi \rangle = M_{10}$$

*Remark that  $\text{PGL}_2(9) \not\cong S_6$  since  $\langle \delta \rangle$  is transitive on order-3-elements in  $\text{PSL}_2(9)$  while  $\langle \phi \rangle$  not.*

**Definition 3.39.** A classical group is one lying in the following chain:

$$\begin{array}{ccccccccc} \Omega(\bar{\Omega}) & \leq & S(\bar{S}) & \leq & G(\bar{G}) & \leq & C(\bar{C}) & \leq & \Gamma(\bar{\Gamma}) & \leq & A(\bar{A}) \\ \text{Basic} & & \text{Special} & & \text{General} & & \text{Conformal} & & \text{Semilinear} & & \text{Automorphic} \end{array}$$

### 3.3.5 Sporadic behaviours

see Hua's notes

**Isomorphism relations:**



|             |                                                                                   |
|-------------|-----------------------------------------------------------------------------------|
| Order 6     | $\text{PSL}_2(2) \cong S_3$                                                       |
| Order 12    | $\text{PSL}_2(3) \cong A_4$                                                       |
| Order 60    | $\text{PSL}_2(4) \cong \text{PSL}_2(5) \cong A_5 \quad \text{PGL}_2(5) \cong S_5$ |
| Order 168   | $\text{PSL}_2(7) \cong \text{PSL}_3(2)$                                           |
| Order 360   | $\text{PSL}_2(8) \cong A_6$                                                       |
| Order 20160 | $\text{PSL}_3(4) \not\cong \text{PSL}_4(2) \cong A_8$                             |

**Theorem 3.40.** *For prime  $p > 3$ , a simple group of order  $(p-1)p(p+1)/2$  is isomorphic to  $\text{PSL}_2(p)$ .*

**Proposition 3.41.** *There are infinitely many pairs of non-isomorphic simple groups of the same order ( $B_n(q) \not\cong C_n(q)$ ,  $\text{PSL}_3(4) \not\cong A_8$ ), however, no such triples.*

**Exceptional permutation representations:** In general, the action of  $\text{PSL}_2(q)$  on  $\text{PG}(1, q)$  of degree  $q+1$  is the smallest permutation representation, except:

- $\text{PSL}_2(5) \cong A_5$  acts on 5 points ( $\text{PGL}_2(5)$  as stabilizer of  $S_6$ )
- $\text{PSL}_2(7) \cong \text{PSL}_3(2)$  acts on  $|\text{PG}(2, 2)| = 7$  projective points(lines)
- $\text{PSL}_2(11)$  acts on the 11 images of the partition  $(\infty 0|12|36|48|5X|79)$  of  $\text{PG}(1, 11)$

**Exceptional covers:** In general,  $\text{SL}_n(q)$  is the full covering group of simple  $\text{PSL}_n(q)$ , except for :

- $\text{PSL}_2(4)$ ,  $\text{PSL}_3(2)$ ,  $\text{PSL}_4(2)$  have an exceptional double cover
- $\text{PSL}_2(9) \cong A_6$  has an exceptional triple cover
- $\text{PSL}_3(4)$  has an exceptional cover as  $4^2.\text{PSL}_3(4)$