

¹ GCM-Filters: A Python package for Diffusion-based Spatial Filtering of Gridded Data from General Circulation Models

⁴ TBD¹

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Software

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⁶ Summary

⁷ GCM-Filters is a python package that allows scientists to perform spatial filtering analysis
⁸ in an easy, flexible and efficient way. The package implements the filtering method that was
⁹ introduced by Grooms et al. (2021). The filtering algorithm is analogous to smoothing via
¹⁰ diffusion; hence the name *diffusion-based filters*. GCM-Filters is designed to work with
¹¹ gridded data that is produced by General Circulation Models (GCMs) of ocean, weather, and
¹² climate. Spatial filtering of GCM data is a common analysis method in the Earth Sciences,
¹³ for example to study oceanic and atmospheric motions at different spatial scales or to develop
¹⁴ subgrid-scale parameterizations for ocean models.

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¹⁵ GCM-Filters provides filters that are highly configurable, with the goal to be useful for a wide
¹⁶ range of scientific applications. The user has different options for selecting the filter scale and
¹⁷ filter shape. The filter scale can be defined in several ways: a fixed length scale (e.g., 100
¹⁸ km), a scale tied to a model grid scale (e.g., 1°), or a scale tied to a varying dynamical scale
¹⁹ (e.g., the Rossby radius of deformation). As an example, Figure 1 shows unfiltered and filtered
²⁰ relative vorticity, where the filter scale is set to a model grid scale of 4°. GCM-Filters also
²¹ allows for anisotropic, i.e., direction-dependent, filtering. Finally, the filter shape – currently:
²² either Gaussian or Taper – determines how sharply the filter separates scales above and below
²³ the target filter scale.

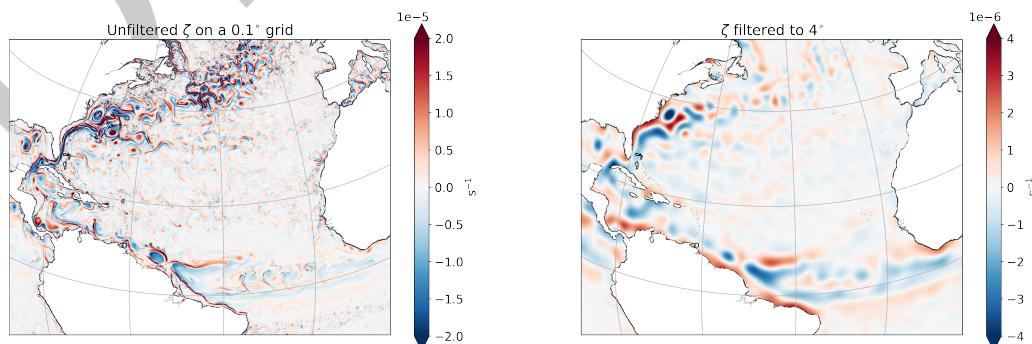


Figure 1: (Left) Snapshot of unfiltered surface relative vorticity $\zeta = \partial_x v - \partial_y u$ from a global 0.1° simulation with MOM6 (Adcroft et al., 2019). (Right) Relative vorticity filtered to 4°, obtained by applying GCM-Filters to the field ζ on the left. The plots are made with matplotlib (Hunter, 2007) and cartopy (Met Office, 2010 - 2015).

²⁴ Statement of Need

²⁵ Spatial filtering is commonly used as a scientific tool for analyzing gridded data. An example
²⁶ of an existing spatial filtering tool in python is SciPy's (Virtanen et al., 2020) `ndimage.gaussian_filter`
²⁷ function, implemented as a sequence of convolution filters. While being a valuable tool for image processing
²⁸ (or blurring), SciPy's Gaussian filter is of limited use for GCM data; it assumes a regular and rectangular
²⁹ Cartesian grid, employs a simple boundary condition, and the definitions of filter scale and shape have little or no flexibility. The python
³⁰ package GCM-Filters is specifically designed to filter GCM data, and seeks to solve a number
³¹ of challenges for the user:

- ³³ 1. GCM data comes on irregular curvilinear grids with spatially varying grid-cell geometry.
- ³⁴ 2. Continental boundaries require careful and special treatment when filtering ocean GCM
output.
- ³⁵ 3. Earth Science applications benefit from configurable filters, where the definition of filter
scale and shape is flexible.
- ³⁶ 4. GCM output often comes in very large out-of-memory datasets.

³⁹ The GCM-Filters algorithm (Grooms et al., 2021) applies a discrete Laplacian to smooth a field through an iterative process that resembles diffusion. The discrete Laplacian takes into
⁴⁰ account the varying grid-cell geometry and uses a no-flux boundary condition, mimicking how
⁴¹ diffusion is internally implemented in GCMs. The no-flux boundary conditions ensures that
⁴² the filter preserves the integral: $\int_{\Omega} \bar{f}(x, y) dx dy = \int_{\Omega} f(x, y) dx dy$, where f is the original
⁴³ field, \bar{f} the filtered field, and Ω the ocean domain. Conservation of the integral is a desirable
⁴⁴ filter property for many physical quantities, for example energy or ocean salinity. More details
⁴⁵ on the filter properties can be found in Grooms et al. (2021).

⁴⁷ The main GCM-Filters class that the user will interface with is the `gcm_filters.Filter` object. When creating a filter object, the user specifies how they want to smooth their data,
⁴⁸ including the desired filter shape and filter scale. At this stage, the user also picks the grid
⁴⁹ type that matches their GCM data, given a predefined list of grid types. Each grid type
⁵⁰ has an associated discrete Laplacian, and requires different *grid variables* that the user must
⁵¹ provide (the latter are usually available to the user as part of the GCM output). Currently,
⁵² GCM-Filters provides a number of different grid types and associated discrete Laplacians:

- ⁵⁴ ▪ Grid types with **scalar Laplacians** that can be used for filtering scalar fields, for example
temperature or vorticity (see [Figure 1](#)). The currently implemented grid types are compatible
with different ocean GCM grids including MOM5, MOM6 and the POP tripole grid.
- ⁵⁸ ▪ Grid types with **vector Laplacians** that can be used for filtering vector fields, for example
horizontal velocity (u, v). The currently implemented grid type is compatible with ocean
GCM grids that use an Arakawa C-grid convention; examples include MOM6 and the
MITgcm.

⁶² Users are encouraged to contribute more grid types and Laplacians via pull requests.
⁶³ Another important goal of GCM-Filters is to enable computationally efficient filtering. The user
⁶⁴ can employ GCM-Filters on either CPUs or GPUs, with NumPy (Harris et al., 2020)
⁶⁵ or CuPy (Okuta et al., 2017) input data. GCM-Filters leverages Dask (Dask Development
⁶⁶ Team, 2016) and Xarray (Hoyer & Hamman, 2017) to support filtering of larger-than-memory
⁶⁷ datasets and computational flexibility.

⁶⁸ Computational Efficiency

⁶⁹ Acknowledgements

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