Engineering Management & Society



English Legal System (Rev N)

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English Legal System







What are your Views?

- Read the Scenario and answer the question:
 - Derek, after drinking a soft drink offered by Jane, drove Jane home. During the journey he ran into a queue at the bus station, injuring a man. Jane who sat next to Derek also suffered injury.
 - Police came to the scene, conducting a breath alcohol test on Derek, finding his alcohol level exceeded limit.
 Jane then told Derek that the drink contained alcohol, but Derek has no knowledge.
 - Now

Yes, strict liability (process doesn't matter, result = illegal)

- the police charged Derek the offence of Drunk Driving, and
- Jane sued Derek.
- Question: What are your views?

What are your Views?

- Read the Scenario and answer the questions:
 - Robert stabbed a Jacolyn, causing her injury and bleeding.
 - The man was arrested and the woman was rushed to the hospital.
 - The doctor attempted to do blood transfusion, which would save the victim, but victim refused blood transfusion due to religious reason although she knew that she would die unless she accept blood transfusion.
 - The victim died, and the man, now the defendant, was charged for murder.
- Questions: Should the defendant be charged murder? Who caused the death of the victim?

What are your Views?

- Read the Scenario and answer the questions:
 - Kennedy prepared a solution of heroin, filled a syringe and handed it to Mr. Bosque, a fellow resident at a hostel.
 - Bosque knew very well that it was drug. He injected himself voluntarily and died.
 - The Police arrested Kennedy for supplying a class A drug and constructive manslaughter.
- Questions: Should the defendant be charged for manslaughter? Who caused the death of the victim?

Why Engineering Students Need to Study Law?

- What is its relevance to the work of an engineer?
 - As one who draft a contract
 - As an employer of contractors like Housing Authority (i.e. a purchaser of material and service)
 - As a contractor or employee of an contractor
 - As a manager (or as an employer)
 - As a property manager
 - As a consultant
 - As a professional
 - As a citizen (e.g. Tony CHAN case!!!)
 - ...etc. etc....



Course Objectives

- To gain an understanding of the basics of the English Legal System (in the context of Hong Kong)
 - English Legal System, Common Law
 - Classification of Laws (e.g. Criminal vs Civil)
 - Contract Law
 - Tort Law
 - Intellectual Property Law
- To gain an understanding of how law relates to and affect the work of engineers
- To gain experience on conducting simple legal research, analysis and apply legal reasoning/method in real life situations
- To arouse your interest and stimulate further pursuit

Syllabus

1. Introduction and English Legal System of Hong Kong

- Law and its relevance to an Engineer
- What is law?
- English Legal System
 - Common Law
 - Statute
 - Equity
- Hong Kong Legal System
- Basic Law

2. Legal Reasoning

- Legal method as a creative process
- The form of legal reasoning
- Propositions and processes: truth and Validity
- Method of reasoning: Induction, Deduction and Analogy
- The political and policy element in judicial decision making

Syllabus (....cont...)

3. Contract Law

- What is a contract?
- The essential elements of a legally enforceable contract
- Form of Contract: Simple Contract vs. Deed
- Remedy: Damages

4. Tort

- Tort: What is Tort?
- Negligence: What is Negligence?
- The essential elements to establish liability under Negligence

5. Intellectual Property Law

- What is IP?
- Its value the property owner and how to protect your IP
- Types of IP

How the Course is Run

- Lecture with Reference Material and Handout Provided through email/website
- Discussion (Interactive Participation in class and among students after classes)
- Assessment Debate and Quizz

What is Law?

- Longman Dictionary of Contemporary English:
 - The whole body of rules (in a country) supported by the power of government and that governs the behaviour of members of a society
- Cobuild:
 - The law is a system of rules that a society or government develops in order to deal with crime, business agreements, and social relationships.
- Law Made Simple:
 - A rule of human conduct, imposed upon and enforced among, the members of a given state.

Nature of Law

- A body of rules
- For guidance of human conduct
- Law is imposed
- Enforcement
- The State (Q: Its position? Highest??)
- Content: frozen or undergoing constant change?
- Law means justice?

Classification of Law

- Criminal vs Civil
 - (Beware of the double meaning of the word "Civil")
- Private Law vs Public vs Constitutional
- Substantive Law vs Procedural Law
- Municipal Law vs Public International Law

Criminal or Civil Case?



English Legal System

Criminal or Civil Case?



English Legal System

Definition of **Crime**

An act (or sometimes a failure to act) that is deemed by statute or by the common law to be a public wrong and is therefore punishable by the state in criminal proceedings.

Offence vs. Crime

offence (罪、罪行、罪項、犯法行為) includes any **crime** and any contravention or other breach of, or failure to comply with, any provision of any law, for which a **penalty** is **provided**; (Added 26 of 1998 s. 4) [from: Section 3 of Cap. 1 Interpretation and General Clauses Ordinance — Interpretation of words and expressions]

Criminal law deals with behavior that is or can be construed as an offense against the public, society, or the state—even if the immediate victim is an individual.

Civil law deals with behavior that constitutes an injury to an individual or other private party, such as a corporation.

- Criminal law Covering Criminal Offences
 - The law of crime and punishment i.e. defining criminal offences and punishment
 - Crown prosecutes the accused
- Civil law Covering Civil Actions
 - Provides a party with an enforceable claim with a remedy such as damages or a declaration — i.e. defining rights and obligations among parties arising from civil relationship
 - Civil law is concerned with tort, contract, families, companies, etc. English Legal System 22

	Civil Law	Criminal Law	
Definition	Body of law dealing with the disputes between individuals, organizations, or between the two, in which compensation is awarded to the victim.	Body of law dealing with crime and the legal punishment of criminal offenses.	
Purpose	To deal with the disputes between individuals, organizations, or between the two, resulting in awarding compensation to victim(s).	To maintain the stability of the state and society by punishing offenders and deterring them and others from offending.	
Case filed by	Private party	Government	
Decision	Defendant can be found liable or not liable, the judge decides this.	Defendant is convicted if guilty and acquitted if not guilty, the jury decide this.	
English Legal System 23			

Standard of proof	"Preponderance of evidence." Claimant must produce evidence beyond the balance of probabilities.	"Beyond a reasonable doubt":
	Claimant must give proof however, the burden may shift to the defendant in situations of Res Ipsa Loquitur (The thing speaks for itself).	"Innocent until proven guilty": The prosecution must prove defendant guilty.
Type of punishment	Compensation (usually financial) for injuries or damages, or an injunction in nuisance.	A guilty defendant is subject to Custodial (imprisonment) or Noncustodial punishment (fines or community service). In exceptional cases, the death penalty.

Examples	Landlord/tenant disputes, divorce proceedings, child custody proceedings, property disputes, personal injury, etc.	Theft, assault, robbery, trafficking in controlled substances, murder, etc.
Appeals	Either party (claimant or defendant) can appeal a court's decision.	Only the defendant may appeal a court's verdict. The prosecution is not allowed to appeal.
Commenceme nt of proceedings	State/People/Prosecution by summons or indictment	By way of pleadings, Representatives of the state, Prosecutor, Attorney General.

Exercise for Students:

- 1. Which of the following statement is correct?
- A. In criminal cases, the role of prosecution is mostly played by the state (i.e. the government), but civilian can initiate criminal action and assume the role of prosecution.
- B. Government cannot be sued in civil cases, but can be sued in criminal cases.
- C. A judge could be sued in civil court if his decision is overruled upon appeal and that the claimant can prove that he suffers loss due to the mistaken decision of the judge.
- D. None of the above is correct.

Private Prosecution

The right of private prosecution has been called 'a valuable constitutional safeguard against inertia or partiality on the part of authority' (Gouriet v Union of Post Office Workers [1978] AC 435). It provides a remedy to the individual who wishes to see the law enforced. At common law every citizen has exactly the same right to institute proceedings as has the prosecuting authority.

Government can Take Over

Section 14 of the Magistrates Ordinance provides that the Secretary 'may at any stage of the proceedings before the magistrate intervene and assume the conduct of the proceedings'

Article 63

The Department of Justice of the Hong Kong Special Administrative Region shall control criminal prosecutions, free from any interference.

Type of Criminal Offences

Summary Offences:

- Minor offences, such as most of the traffic offences, assault, shoplifting, etc.
- An offence is a summary offence unless it is expressly stated otherwise: offences with descriptions such as "indictable offence"; "convicted upon indictment"
- Tried summarily

Indictable Offences:

- Offences which are triable on <u>indictment</u>, whether it is exclusively so triable or triable either way
- Serious offences, such as murder, manslaughter, robbery, rape, etc.
- Tried on indictment English Legal System



Exercise for Students

Please do a searching and find an example of summary offence, and an example of indictable offence in Hong Kong.

Substantive Law vs. **Procedural** Law

Substantive law:

- Statutory law or common law dealing with the legal relationship between people or the people and the state
- Defines the rights and duties of the people enforceable through procedural law that lays down the rules of enforcement

Substantive Law vs. **Procedural** Law

Procedural law:

- Rules governing the criminal and civil proceedings of the court
- Standards on procedures that must be complied with during the proceedings
- Rules to ensure fair practice and consistency in the "due process".

Substantive Law vs **Procedural** Law

Substantive law

- It deals with the substance of the matter
- Whether facts in the case constitute a crime
- What and whether the crime is to be charged

Procedural law

- provides the process that a case will go through (whether it goes to trial or not)
- determines how a proceeding concerning the enforcement of substantive law will occur
- Each serves a different function in the criminal justice system

Substantive Law vs. **Procedural** Law

	Procedural Law	Substantive Law
Definition	Deals with and lays down the ways and means by which substantive law can be enforced	Deals with those areas of law which establish the rights and obligations of individuals, what individuals may or may not do
Powers	No independent existence; only to control the process	The basis to decide the decision on a case
Application	Can be applied in non legal contexts	Cannot be applied in non legal contexts
Regulation	By statutory law	By Act of Parliament or government implementation



Exercise for Students

Do a search to find examples of procedural law and substantive law

Civil Law System vs Common Law System

- Civil law is
 - the system of codified law that is prevalent in Europe
 - Civil law is founded on the ideas of Roman Law
 - Example: Napoleonic Code in France
- English law is the common law jurisdiction built upon case law

Definitions of Civil Law System

This term have a number of meanings:

- 1. The law of any particular state, now usually called **municipal law**
- 2. Roman law
- A legal system based on Roman law, as distinct from the English system of common law
- **4. Private law**, as opposed to public law, military law, and ecclesiastical law



Exercise for Students

Do a search, finding out a list of countries adopting Common Law System and a list adopting Civil Law System

Characteristics of English Law

- Law of a unitary state, not a federation of states (i.e. unlike USA)
- Separate systems for:
 - England and Wales (the laws imported and incorporated into HK in 1843)
 - Northern Ireland
 - Scotland (which is influenced by Roman law)
- Centralized:
 - through a court structure common to the whole country
 - Linked by Parliament at Westminster the supreme authority throughout UK

Characteristics of English Law

(...cont...)

Judicial Character:

- Absence of <u>Comprehensive</u> <u>Codification</u> (i.e. some laws are codified (e.g. most of the criminal law), but some are not
 - cf. with Codified law made by Parliament/Congress
- A system of "Judge-made' laws which has continuously developed over the years through the decisions of judges in the cases brought before them
- Adopt the **Doctrine of Precedent**
- Independence of Judiciary (Can judge be fired?)
- Independence of Lawyers (officers of court)
- Influence of Procedures (vs. substantive laws)
- No Reception of Roman Law

Characteristics of English Law (...cont...) - Codification

- A legal code is:
 - systematic collection of laws so arranged as to avoid inconsistency and overlapping.
 - Codification was a feature of Roman law
 - Adopted by nearly all Continental countries, notably:
 - France, Germany, Austria, and Switzerland.
- English common law
 - Formed from the customs of the people, but were then
 - Under the Norman kings these unwritten laws achieved a fairly uniform legal system
 - Today, some English laws have been codified through consolidation and codification processes: e.g. Sale of Goods Act, 1979, most of the criminal law (Murder, however, remains a common law offence)

Characteristics of English Law (...cont...) - Codification

- There is a misconception that English laws are characterized as "absence of legal codification", and this has been taken as the defining characteristic of English laws.
- But in fact many English common laws have been codified through the process of of 'statute consolidation' which is a distinctive approach to systematic legislative reform of the law actually begun as early as 17th century.
- "Statute Consolidation" was conceptualized in opposition to the alternative model of "codification" due to political reasons as much as for reasons of jurisprudence.
- The ideal of "statute consolidation" remained an organizing element of English law reform through the 19th century.

Exercise for Students

Do a search, finding the characteristics of Civil Law System use by, for example, France or China or else; and compare these characteristics in comparison with Common Law System

English Legal System:

Sources of English Law

- 1) Constitution?
- 2) Legislation: Statutes
- 3) Common Law (Quest: What does it means?)
 - Doctrine of Stare Decisis: Precedent
- 4) Equity
 - Rules and Principles of Equity
- 5) European Law
 - Human Rights: HRA1998

Sources of Laws: English Constitution

• What is "Constitution" and its functions?

- The fundamental law, written or unwritten, that establishes the character of a government by defining the basic principles to which a society must conform; by describing the organization of the government and regulation, distribution, and limitations on the functions of different government departments; and by prescribing the extent and manner of the exercise of its sovereign powers.
- A legislative charter by which a government or group derives its authority to act.

English Constitution and its Characteristics

- Uncoded
- Sovereignty of Parliament
- Separation of Powers:
 - No "Clean" Separation among:
 - Legislature
 - Executive
 - Judiciary
- Uphold the Principle of "The Rule of Law"



Question to Students

Is UK or US has a "clean separation of power"?

Is UK or US cleaner?

What is **Rule of Law**?

- The rule of law is the principle that:
 - law should govern a nation,
 - as opposed to being governed by decisions of individual government officials.
 - It primarily refers to:
 - the influence and authority of law within society,
 - particularly as a constraint upon behaviour, including behaviour of government officials

What is "Rule of Law"?

World Justice Project's definition:

- a system following four universal principles:
- All people or entities, whether govt or individual are accountable under the law.
- The laws are clear, publicized, stable, and just; are applied evenly; protect fundamental rights, including the security of persons and property and certain core human right

What is the Rule of Law?

- The process by which the laws are enacted, administered, and enforced is accessible, fair, and efficient.
- Justice is delivered timely by competent, ethical, and independent representatives and neutrals who are of sufficient number, have adequate resources, and reflect the makeup of the communities they serve.



Exercise for Students:

UK has no written constitution. What are your views as regards whether UK should make a written constitution like other common law jurisdictions, such as Canada, US and Australia?

Sources of Laws: **Statutes**

What is a Statute:

- (Taken from: https://legal-dictionary.thefreedictionary.com/statute)
- An act of a legislature that declares, proscribes, or commands something; a specific law, expressed in writing.
- A statute is a written law passed by a legislature on the state or federal level.
- Statutes set forth general propositions of law that courts apply to specific situations. A statute may forbid a certain act, direct a certain act, make a declaration, or set forth governmental mechanisms to aid society.

Sources of Laws: **Statutes: Classification**

- Primary legislation
- Secondary legislation
 - Regulations, e.g. Cap 123A
 - Statutory Instrument
 - Order in Council

Sources of Laws: Common Law

- What is Common Law:
 - The ancient law of England based upon societal customs and recognized and enforced by the judgments and decrees of the courts. The general body of statutes and case law (i.e. authorities) that governed England and the American colonies prior to the American Revolution.
 - The principles and rules of action, embodied in case law rather than legislative enactments, applicable to the government and protection of persons and property that derive their authority from the community customs and traditions that evolved over the centuries as interpreted by judicial tribunals.
 - A designation used to denote the opposite of statutory, equitable, or civil, for example, a common-law action.

Sources of Laws:

Characteristics of Common Law

Judge-made

• (Question: Do judges make laws nowadays?)

Doctrine of Precedent

- Findings of FACTS
- Statements of PRINCIPLES of law (i.e. RATIO DECIDENDI):
 - applicable to the FACTS:
- The decision of the case under trial

Sources of Laws:

Characteristics of Common Law

(...cont...)

Binding:

- A ratio decidendi of a superior court
- Not binding:
 - Persuasive precedents
 - Precedent overruled
 - Precedents distinguished on FACTS
 - Per incuriam: conflict authorities
- Remedy: Damages= \$
 - Question: What is "Damages"?

Common Law vs. Statutory Law

	Common Law	Statutory Law
Creation of new laws	Judge-made, i.e. evolving from decisions of judges	Made by legislature and promulgated by authorized agencies, such as govt.
Creation Process	Inductive	Purposive/Goal Driven
Also called	Authority/Case law	Written law
Nature	Instructive/Interpretive	Prescriptive
Origin	Precedent or judiciary	Government or legislature
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English Legal System

Exercise for Students:

- 1. Which of the following statement is correct?
- A. English common law is judge-made law and it is developed from local customs which judges would make reference to. For this reason, there could be differences between decisions made by judges in different counties or boroughs. On the other hand, statues made by the Parliament are consistently applied in all the English courts.
- B. English common law is judge-made, and the judges in different counties or boroughs could make different judgment. However, upon appeal, the case is heard and decided in the Court of Appeal, through which, authorities are established and applicable to all English courts and then become "common" throughout England. This is the reason why such judge-made law is call common law.
- C. English laws are primarily made by the Parliament, although the courts are vested with the power to interpret the laws. As the laws, i.e. statutes, made by the Parliament are "commonly" applicable throughout the entire United Kingdom.
- D. None of the above is correct.

Sources of Laws: **Equity**

- What is Equity?
 - (Taken from: https://legaldictionary.thefreedictionary.com/equity)
 - In its broadest sense, equity is fairness.
 - As a legal system, it is a body of law that addresses concerns that fall outside the jurisdiction of Common Law.

Sources of Laws: Characteristics of Equity

- "Flexible" than Common Law
- Discretionary: not a matter of right
- Relief/Remedy:
 - Specific Performance
 - Injunction
 - Damages
- Take precedence over Common Law

Summary on: **Differences** between Common Law and Equity

- Common Law is a body of law based on precedent or court decisions. Equity constitutes general principles and serves as a supplement to Common Law.
- **2. Equity**, simply put, is a form of **legal relief** in the event such relief cannot be found in the rules of **common law**.
- **3. Equity** is based on a **judicial evaluation** of **fairness**, **reason**, **good faith** and **justice**. Common Law entails <u>applying the rules of</u> common law to the issue before the court.
- **4.** Remedy of common law is a matter of legal rights, while that of Equity is a matter of discretion of the court.
- 5. The remedy of common law is **damages**, while that of Equity could be **specific performance**, **injunction**, or **damages**.

HK Legal System

- Development of the HK Legal System:
 - 1843 to 1997
 - Legislature Council formed on <u>5 April 1843</u>
 - Section 5 Supreme Court Ordinance 1843 & 73
 - Section 3(1) Application of the English Law Ordinance 1966
- Sources of Hong Kong Law
 - PRC Legal System [Question: What is applicable in HK?]
 - The Common Law System and
 - Doctrine of Stare Decisis (i.e. precedent)
- Hong Kong Court System

Development of the HK Legal System

- Captain Elliot's Proclamation in January 1841
 - Suen Toi Lee v Yau Yee Ping [2002]
- Supreme Court Ordinance 1843
 - Application of English Law Statutory basis
 - Treaty of Nanking
 - Royal Charter (later became Letters Patent) on
 5 April, 1843: HK became a British colony

Supreme Court Ordinance 1843

* the Law of England shall be in full force in the said Colony of Hong Kong, except where the same shall be inapplicable to the local circumstances of the said Colony, or of its inhabitants."

Application of English Law Ordinance (1966)

- Extension of English common law, rules of equity and statutory law to Hong Kong
 - "so far as they are applicable to the circumstances of HK or its inhabitants"
- Interpretation follow English Law
- Could be repealed by HK Ordinance
 - Many of the UK Acts of Parliament originally applied to HK were repealed or ceased to have practical effect
 - Ceased on mid-night of 30 June 1997

HK Legal System on and after 1st July 1997

The **law of Hong Kong** is based on the rule of law and the independence of the judiciary.

The constitutional framework is provided by the Hong Kong Basic Law, which is a national law of the People's Republic of China (PRC).

Under the principle of 'one country, two systems', Hong Kong has its own legal system, distinct from the Law of the PRC, and based on the **combination** of **English Common Law** (developed in local cases) and **local legislation codified** in the Laws of Hong Kong. Hong Kong has a **common law system**, whereas the PRC has a **Civil Law system** with socialist roots. Only a small number of PRC laws apply in Hong Kong by virtue of stipulations in **Article 18** and **Annex III** of the Basic Law.

HK Legal System on and after 1st July 1997

The separation of the Hong Kong legal system from the PRC is guaranteed constitutionally until at least 2047.

The Hong Kong judiciary has had a longstanding reputation for fairness and was rated as the <u>best judicial system in Asia</u> by one survey in 2008.

[Extracted from:

https://en.wikipedia.org/wiki/Law_of_Hong_Kong]



- Article 31 of the 1982 PRC Constitution
 - One country, two systems
 - The state may establish special administrative regions when necessary. The systems to be instituted in SAR shall be prescribed by law enacted by the National People's Congress in the light of specific conditions."

Article 62(13) of the Constitution

National People's Congress has the authority "to decided on the establishment of SAR's and the systems to be instituted there."

Sources of Law of HKSAR

- As provided in Article 18(1)
 - This Law = Basic Law
 - The laws previously in force in Hong Kong as provided for in Article 8 of this Law
 - Laws enacted by the legislature of the Region
 - National laws shall not be applied in HKSAR except for those listed in **Annex III** of this Law
 - The National People's Congress <u>Standing</u>
 <u>Committee</u> may <u>add or delete</u> from the list of laws in <u>Annex III</u> after consulting its Committee for the Basic Law
 - What is Annex III?

Article 8 of Basic Law

"The laws previously in force in Hong Kong, that is, the common law, rules of equity, ordinances, subordinate legislation and customary law shall be maintained, except for any that contravene this Law, and subject to any amendment by the legislature of the HKSAR"

Article 160 of Basic Law

- "laws previously in force in HK shall be adopted as laws of the Region except for those which the National People's Congress Standing Committee declares to be in contravention of this Law."
- "If any laws are later discovered to be in contravention of this Law, they shall be amended or cease to have force in accordance with procedures as prescribed by this Law."

What is Annex III?

- Shall be confined to those relating to defence and foreign affairs as well as
- Other matters outside the limits of the autonomy of the Region as specified by this Law
- National Security

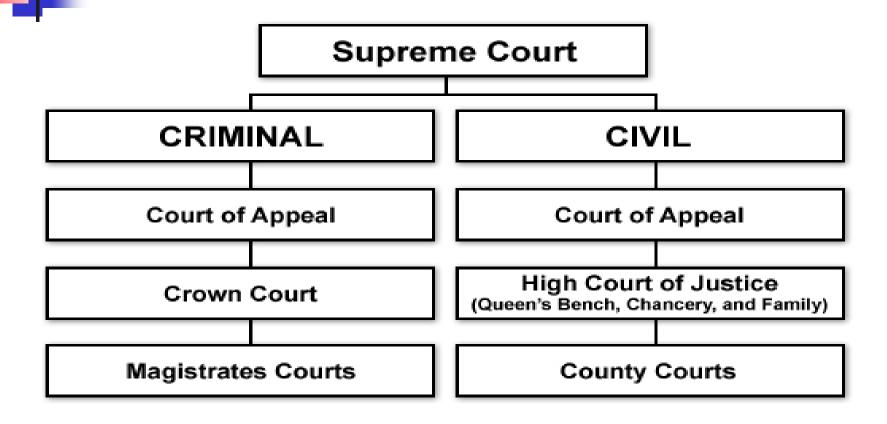
National People's Congress' functions

- Article 67 of the 1982 PRC Constitution
 - "The Standing Committee of the National People's Congress exercise the following functions:
 - (4) to interpret laws"
- Basic Law: Article 158:
 - "The power of interpretation of this Law shall be vested in the National People's Congress Standing Committee."

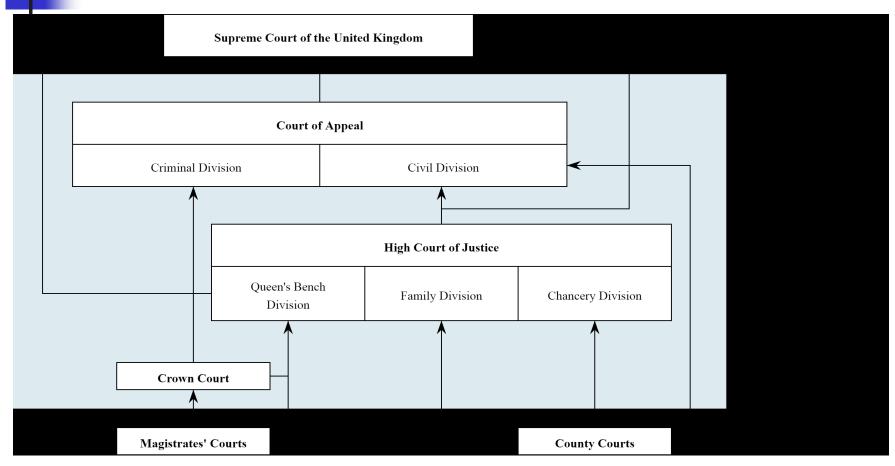
What about the English **precedence** (i.e. authorities)?

- Before 1st July 1997
 - Privy Council decision on Hong Kong cases before 1st July 1997 are still binding on HK courts unless having been overruled, or found in contravention to the Basic Law
- Post 1st July 1997
 - Not binding

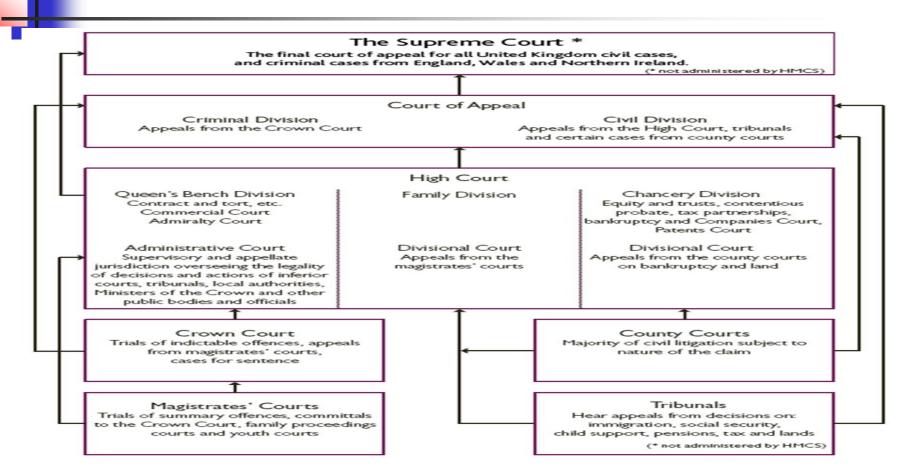
The Hierarchy of English Courts



Appeal Channels



Appeal Channels of English Courts

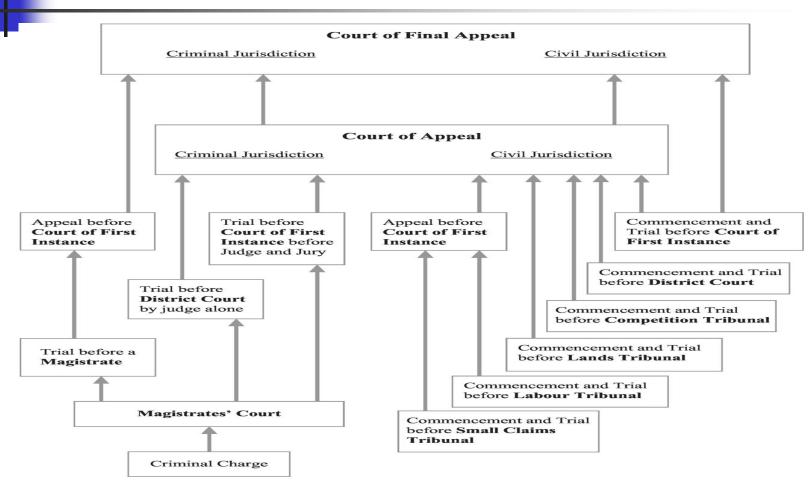


The Hierarchy of Hong Kong Courts



Note: All the criminal trials must be commenced at the Magistrates' Court

Appeal Channels in HK



Exercise for Students

- 1. In Hong Kong, if a defendant is convicted in a magistrates' Court:
- A. He can file his appeal to the Court of First Instance. If his appeal is dismissed by the Court of First Instance, he can appeal to the Court of Final Appeal.
- B. He can file his appeal to the Court of First Instance. If his appeal is dismissed, he can appeal to the Court of Appeal.
- C. He can file his appeal to the Court of Appeal. If his appeal is dismissed, by the Court of Appeal, he can appeal to the Court of Final Appeal.
- D. He can file his appeal to the District Court. If his appeal is dismissed, he can appeal to the Court of First Instance.