

# Energy-Decomposition and Perron–Frobenius Consequences for the Restricted Weil Quadratic Form

## Abstract

We record a completely concrete and rigorous functional-analytic step that arises in the spectral approach to Weil’s criterion when one restricts test functions to a compact multiplicative interval  $[\lambda^{-1}, \lambda] \subset \mathbb{R}_+^*$ . Starting from the explicit local distributions at the primes and at  $\infty$ , we derive an “energy decomposition” expressing the quadratic form (up to an additive constant multiple of  $\|g\|_2^2$ ) as a positive combination of translation-difference energies  $\|G - \tau_t G\|_2^2$  in logarithmic coordinates. We then prove the Markov (normal contraction) property and a translation-invariance lemma which yields irreducibility from the archimedean continuum of shifts. Assuming (as in the standard setup) that the associated selfadjoint operator has compact resolvent, we deduce that the ground-state eigenvalue is simple and its eigenfunction can be chosen strictly positive and, by inversion symmetry, even.

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## 1 Setup on $\mathbb{R}_+^*$

Let  $\mathbb{R}_+^* = (0, \infty)$  with multiplicative Haar measure

$$d^*x := \frac{dx}{x}.$$

For measurable  $g, h$  define multiplicative convolution

$$(g * h)(x) := \int_{\mathbb{R}_+^*} g(y) h(x/y) d^*y,$$

and involution

$$g^*(x) := \overline{g(x^{-1})}.$$

If  $g \in L^2(\mathbb{R}_+^*, d^*x)$ , define the unitary dilation operator

$$(U_a g)(x) := g(x/a) \quad (a > 0). \tag{1}$$

Then  $\|U_a g\|_2 = \|g\|_2$  and  $\langle g, U_a g \rangle$  is well-defined.

**Lemma 1** (Convolution inner-product identity). *Let  $f = g * g^*$ . Then for all  $a > 0$ ,*

$$f(a) = \langle g, U_a g \rangle_{L^2(d^*x)} = \int_{\mathbb{R}_+^*} g(x) \overline{g(x/a)} d^*x, \quad f(a^{-1}) = \overline{f(a)}.$$

In particular  $f(a) + f(a^{-1}) = 2\Re\langle g, U_a g \rangle$  and  $f(1) = \|g\|_2^2$ .

*Proof.* By definition,

$$(g * g^*)(a) = \int g(y) g^*(a/y) d^*y = \int g(y) \overline{g((a/y)^{-1})} d^*y = \int g(y) \overline{g(y/a)} d^*y = \langle g, U_a g \rangle.$$

The relation  $f(a^{-1}) = \overline{f(a)}$  follows by replacing  $a$  with  $a^{-1}$  and complex conjugating.  $\square$

**Lemma 2** (A basic unitary identity). *For any unitary  $U$  on a Hilbert space and any vector  $h$ ,*

$$2\Re\langle h, Uh \rangle = 2\|h\|^2 - \|h - Uh\|^2.$$

*Proof.* Expand  $\|h - Uh\|^2 = \|h\|^2 + \|Uh\|^2 - 2\Re\langle h, Uh \rangle$  and use  $\|Uh\| = \|h\|$ .  $\square$

## 2 Local explicit-formula terms

Fix  $\lambda > 1$  and consider  $g$  supported in  $[\lambda^{-1}, \lambda]$ .

We record the two local distributions we use; these are the only “input formulas”.

## 2.1 Prime terms

For a prime  $p$  define

$$W_p(f) := (\log p) \sum_{m \geq 1} p^{-m/2} (f(p^m) + f(p^{-m})). \quad (2)$$

## 2.2 Archimedean term

Define

$$W_{\mathbb{R}}(f) := (\log 4\pi + \gamma) f(1) + \int_1^\infty (f(x) + f(x^{-1}) - 2x^{-1/2} f(1)) \frac{x^{1/2}}{x - x^{-1}} d^*x, \quad (3)$$

where  $\gamma$  is the Euler–Mascheroni constant.

*Remark 3* (Restriction to a compact multiplicative interval). If  $\text{supp}(g) \subset [\lambda^{-1}, \lambda]$ , then for  $a > \lambda^2$  the supports of  $g$  and  $U_a g$  are disjoint, hence  $\langle g, U_a g \rangle = 0$  and  $f(a) = 0$ . Consequently:

- in (2) only those  $(p, m)$  with  $p^m \leq \lambda^2$  contribute;
- in (3), after the change of variables  $x = e^t$ , only  $t \in [0, 2 \log \lambda]$  contributes to the term involving  $f(e^t) + f(e^{-t})$ .

This finiteness is crucial and is completely elementary.

## 3 Logarithmic coordinates and translations

Set  $u = \log x$ , so that  $d^*x = du$  and the interval  $[\lambda^{-1}, \lambda]$  becomes

$$I := (-L, L), \quad L := \log \lambda.$$

For  $G \in L^2(I)$  we denote by  $\tilde{G}$  its extension by 0 to  $\mathbb{R}$ . Let  $S_t$  be translation on  $L^2(\mathbb{R})$ :

$$(S_t \phi)(u) := \phi(u - t).$$

Then in logarithmic coordinates, the dilation  $U_{e^t}$  from (1) corresponds to translation: if  $G(u) = g(e^u)$ , then  $(U_{e^t} g)(e^u) = g(e^{u-t})$ , i.e.  $\tilde{G} \mapsto S_t \tilde{G}$ .

## 4 Energy decomposition into translation differences

### 4.1 Prime contributions

**Lemma 4** (Prime term as a difference energy plus a constant). *Let  $f = g * g^*$  with  $g$  supported in  $[\lambda^{-1}, \lambda]$ , and let  $G(u) = g(e^u)$ . Then*

$$-W_p(f) = \sum_{\substack{m \geq 1 \\ p^m \leq \lambda^2}} (\log p) p^{-m/2} \|\tilde{G} - S_{m \log p} \tilde{G}\|_{L^2(\mathbb{R})}^2 + c_p(\lambda) \|G\|_{L^2(I)}^2,$$

where  $c_p(\lambda) \in \mathbb{R}$  is a finite constant depending only on  $p$  and  $\lambda$ .

*Proof.* By Lemma 1 and (2),

$$W_p(f) = (\log p) \sum_{m \geq 1} p^{-m/2} 2\Re \langle g, U_{p^m} g \rangle.$$

By Lemma 2 (with  $U = U_{p^m}$ ),

$$2\Re \langle g, U_{p^m} g \rangle = 2\|g\|_2^2 - \|g - U_{p^m} g\|_2^2.$$

In logarithmic coordinates,  $\|g - U_{p^m} g\|_2 = \|\tilde{G} - S_{m \log p} \tilde{G}\|_{L^2(\mathbb{R})}$ . Moreover, if  $p^m > \lambda^2$  then  $\langle g, U_{p^m} g \rangle = 0$  by Remark 3, so those terms vanish. Collecting the  $\|g\|_2^2$  contributions yields the constant  $c_p(\lambda)$ .  $\square$

## 4.2 Archimedean contribution

**Lemma 5** (Archimedean term as a continuum of difference energies plus a constant). *Let  $f = g * g^*$  with  $g$  supported in  $[\lambda^{-1}, \lambda]$ , and let  $G(u) = g(e^u)$ . Define the strictly positive weight on  $(0, \infty)$ ,*

$$w(t) := \frac{e^{t/2}}{e^t - e^{-t}} = \frac{e^{t/2}}{2 \sinh t}.$$

Then

$$-W_{\mathbb{R}}(f) = \int_0^{2L} w(t) \|\tilde{G} - S_t \tilde{G}\|_{L^2(\mathbb{R})}^2 dt + c_\infty(\lambda) \|G\|_{L^2(I)}^2,$$

where  $c_\infty(\lambda) \in \mathbb{R}$  is a finite constant depending only on  $\lambda$ .

*Proof.* Start from (3). Substitute  $x = e^t$  (so  $d^*x = dt$ ) to obtain

$$W_{\mathbb{R}}(f) = (\log 4\pi + \gamma) f(1) + \int_0^\infty \left( f(e^t) + f(e^{-t}) - 2e^{-t/2} f(1) \right) w(t) dt.$$

Using Lemma 1,  $f(1) = \|g\|_2^2$ , and

$$f(e^t) + f(e^{-t}) = 2\Re \langle g, U_{e^t} g \rangle,$$

we get

$$-W_{\mathbb{R}}(f) = -(\log 4\pi + \gamma) \|g\|_2^2 + \int_0^\infty \left( -2\Re \langle g, U_{e^t} g \rangle + 2e^{-t/2} \|g\|_2^2 \right) w(t) dt.$$

Apply Lemma 2 with  $U = U_{e^t}$ :

$$-2\Re \langle g, U_{e^t} g \rangle = \|g - U_{e^t} g\|_2^2 - 2\|g\|_2^2.$$

Thus the integrand equals

$$\|g - U_{e^t} g\|_2^2 + 2(e^{-t/2} - 1) \|g\|_2^2.$$

In logarithmic coordinates  $\|g - U_{e^t} g\|_2 = \|\tilde{G} - S_t \tilde{G}\|_{L^2(\mathbb{R})}$ .

Now we split the integral at  $t = 2L$ . By Remark 3, for  $t > 2L$  the supports of  $\tilde{G}$  and  $S_t \tilde{G}$  are disjoint, so  $\|\tilde{G} - S_t \tilde{G}\|_2^2 = 2\|G\|_2^2$  (not zero). Hence for  $t > 2L$  the integrand becomes  $2\|G\|_2^2 + 2(e^{-t/2} - 1)\|G\|_2^2 = 2e^{-t/2}\|G\|_2^2$ . This tail integral  $\int_{2L}^\infty 2e^{-t/2} w(t) dt$  converges (since  $w(t) \sim e^{-t/2}$  as  $t \rightarrow \infty$ ) and contributes a finite constant times  $\|G\|_2^2$ .

For  $t \in [0, 2L]$  we retain the difference-energy term  $w(t)\|\tilde{G} - S_t \tilde{G}\|_2^2$  and absorb the  $2(e^{-t/2} - 1)w(t)\|G\|_2^2$  contribution into the constant. Combining all  $\|G\|_2^2$  terms—from the  $(\log 4\pi + \gamma)$  prefactor, the integral over  $[0, 2L]$  of  $2(e^{-t/2} - 1)w(t)$ , and the tail integral over  $(2L, \infty)$ —yields the finite constant  $c_\infty(\lambda)$ . The integral of  $w(t)(e^{-t/2} - 1)$  over  $[0, 2L]$  converges absolutely (near 0,  $w(t) \sim 1/(2t)$  and  $e^{-t/2} - 1 \sim -t/2$ , giving an integrable  $O(1)$  contribution).  $\square$

### 4.3 Global quadratic form on the interval

*Definition 6* (Difference-energy form). Fix  $\lambda > 1$  and  $L = \log \lambda$ . For  $G \in L^2(I)$  define

$$\mathcal{E}_\lambda(G) := \int_0^{2L} w(t) \|\tilde{G} - S_t \tilde{G}\|_{L^2(\mathbb{R})}^2 dt + \sum_{\substack{p \text{ prime} \\ p \leq \lambda^2}} \sum_{\substack{m \geq 1 \\ p^m \leq \lambda^2}} (\log p) p^{-m/2} \|\tilde{G} - S_{m \log p} \tilde{G}\|_{L^2(\mathbb{R})}^2. \quad (4)$$

*Remark 7* (What we have proved so far). Lemmas 4 and 5 show that for  $f = g * g^*$  with  $\text{supp}(g) \subset [\lambda^{-1}, \lambda]$ , the quantity

$$- \sum_{v \in \{\infty\} \cup \{p\}} W_v(f)$$

equals  $\mathcal{E}_\lambda(G)$  plus an additive constant multiple of  $\|G\|_2^2$ . Since adding a constant multiple of  $\|G\|_2^2$  only shifts the spectrum of the associated operator, it does not affect positivity/irreducibility properties of the semigroup and does not affect eigenfunction parity considerations.

## 5 Markov property (normal contractions)

*Definition 8* (Normal contraction). A map  $\Phi : \mathbb{R} \rightarrow \mathbb{R}$  is a normal contraction if  $\Phi(0) = 0$  and  $|\Phi(a) - \Phi(b)| \leq |a - b|$  for all  $a, b \in \mathbb{R}$ .

**Lemma 9** (Markov property). *For every normal contraction  $\Phi$  and every  $G \in L^2(I)$ ,*

$$\mathcal{E}_\lambda(\Phi \circ G) \leq \mathcal{E}_\lambda(G).$$

*In particular,*  $\mathcal{E}_\lambda(|G|) \leq \mathcal{E}_\lambda(G)$ .

*Proof.* For each shift parameter  $t$ ,

$$\|\widetilde{\Phi \circ G} - S_t \widetilde{\Phi \circ G}\|_2^2 = \int_{\mathbb{R}} |\Phi(\tilde{G}(u)) - \Phi(\tilde{G}(u-t))|^2 du \leq \int_{\mathbb{R}} |\tilde{G}(u) - \tilde{G}(u-t)|^2 du = \|\tilde{G} - S_t \tilde{G}\|_2^2,$$

by the 1-Lipschitz property of  $\Phi$ . Integrating against the nonnegative weights and summing proves the claim.  $\square$

## 6 A translation-invariance lemma on an interval

**Lemma 10** (Local translation invariance forces null or conull). *Let  $I \subset \mathbb{R}$  be a nontrivial open interval and let  $B \subset I$  be measurable. Assume that there exists  $\varepsilon > 0$  such that for every  $t \in (0, \varepsilon)$ ,*

$$\mathbf{1}_B(u) = \mathbf{1}_B(u-t) \quad \text{for a.e. } u \in I \cap (I+t). \quad (5)$$

*Then either  $m(B) = 0$  or  $m(I \setminus B) = 0$ . Equivalently: if  $0 < m(B) < m(I)$  then for every  $\varepsilon > 0$  there exists  $t \in (0, \varepsilon)$  with  $m(B \cap (B+t)^c) > 0$ .*

*Proof.* Write  $f := \mathbf{1}_B \in L^1_{\text{loc}}(I)$ . Fix a compact subinterval  $J \Subset I$  (so  $\text{dist}(J, \partial I) > 0$ ), and choose  $0 < \delta < \min\{\varepsilon, \text{dist}(J, \partial I)\}$ . From (5) and the substitution  $u \mapsto u+t$  we obtain: for every  $t \in (0, \delta)$ ,

$$f(u+t) = f(u) \quad \text{for a.e. } u \in J.$$

Thus for every  $t \in (-\delta, \delta)$  we have  $f(u+t) = f(u)$  for a.e.  $u \in J$  (replace  $t$  by  $-t$ ).

Let  $\rho \in C_c^\infty(\mathbb{R})$  be a standard mollifier with  $\rho \geq 0$ ,  $\int \rho = 1$  and  $\text{supp } \rho \subset (-1, 1)$ , and set  $\rho_\eta(s) := \eta^{-1} \rho(s/\eta)$  for  $0 < \eta < \delta/2$ . Define  $f_\eta := f * \rho_\eta$  on the slightly smaller interval

$$J_\eta := \{u \in J : \text{dist}(u, \mathbb{R} \setminus J) > \eta\}.$$

Then  $f_\eta \in C^\infty(J_\eta)$ , and for  $u \in J_\eta$  and  $|t| < \delta/2$  we may compute (using Fubini)

$$f_\eta(u+t) = \int_{\mathbb{R}} f(u+t-s) \rho_\eta(s) ds = \int_{\mathbb{R}} f(u-s) \rho_\eta(s) ds = f_\eta(u),$$

because  $u-s \in J$  for  $u \in J_\eta$  and  $s \in \text{supp } \rho_\eta$ , and  $f(\cdot + t) = f(\cdot)$  a.e. on  $J$ . Hence  $f_\eta$  is translation-invariant on the connected open interval  $J_\eta$ , so  $f_\eta$  is constant on  $J_\eta$ .

Letting  $\eta \downarrow 0$ , we have  $f_\eta \rightarrow f$  in  $L^1(J)$ , so  $f$  is a.e. equal to a constant on  $J$ . Since  $J \Subset I$  was arbitrary,  $f$  is a.e. constant on  $I$ , i.e.  $\mathbf{1}_B$  is a.e. either 0 or 1 on  $I$ . Thus  $m(B) = 0$  or  $m(I \setminus B) = 0$ .  $\square$

## 7 Irreducibility from the archimedean continuum

### 7.1 A concrete criterion

**Lemma 11** (Indicator-energy vanishes only for null/conull sets). *Let  $B \subset I$  be measurable. If  $\mathcal{E}_\lambda(\mathbf{1}_B) = 0$ , then  $m(B) = 0$  or  $m(I \setminus B) = 0$ .*

*Proof.* By definition of  $\mathcal{E}_\lambda$  and the nonnegativity of all weights,  $\mathcal{E}_\lambda(\mathbf{1}_B) = 0$  implies in particular that the archimedean contribution vanishes:

$$\int_0^{2L} w(t) \|\widetilde{\mathbf{1}_B} - S_t \widetilde{\mathbf{1}_B}\|_2^2 dt = 0.$$

Since  $w(t) > 0$  for every  $t > 0$ , it follows that

$$\|\widetilde{\mathbf{1}_B} - S_t \widetilde{\mathbf{1}_B}\|_2^2 = 0 \quad \text{for a.e. } t \in (0, 2L).$$

We now upgrade ‘‘a.e.’’ to ‘‘all’’: for any  $\phi \in L^2(\mathbb{R})$ , the map  $t \mapsto \|\phi - S_t \phi\|_2^2$  is continuous (by strong continuity of the translation group on  $L^2(\mathbb{R})$ , which follows from dominated convergence). Applying this to  $\phi = \widetilde{\mathbf{1}_B} \in L^2(\mathbb{R})$ , the function  $t \mapsto \|\widetilde{\mathbf{1}_B} - S_t \widetilde{\mathbf{1}_B}\|_2^2$  is continuous, vanishes a.e. on  $(0, 2L)$ , and hence vanishes *everywhere* on  $(0, 2L)$ . In particular, for every  $t \in (0, 2L)$ ,

$$\mathbf{1}_B(u) = \mathbf{1}_B(u-t) \quad \text{for a.e. } u \in I \cap (I+t).$$

Since this holds for all  $t$  in the interval  $(0, 2L)$ , which contains  $(0, \varepsilon)$  for any  $\varepsilon \leq 2L$ , Lemma 10 applies and yields  $m(B) = 0$  or  $m(I \setminus B) = 0$ .  $\square$

### 7.2 Operator realization: closedness and compact resolvent

In this subsection we show that the concrete form  $\mathcal{E}_\lambda$  of Definition 6 is closed and yields a selfadjoint operator with compact resolvent. This replaces the abstract assumption previously made on the operator.

### 7.2.1 Ambient form on $L^2(\mathbb{R})$ and Fourier representation

Let  $\mathcal{F} : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$  denote the unitary Fourier transform

$$\widehat{\phi}(\xi) := \int_{\mathbb{R}} \phi(u) e^{-iu\xi} du, \quad \phi(u) = \frac{1}{2\pi} \int_{\mathbb{R}} \widehat{\phi}(\xi) e^{iu\xi} d\xi,$$

so that Plancherel reads  $\|\phi\|_{L^2(\mathbb{R})}^2 = \frac{1}{2\pi} \int_{\mathbb{R}} |\widehat{\phi}(\xi)|^2 d\xi$ .

Define the “ambient” quadratic form on  $L^2(\mathbb{R})$  by

$$\begin{aligned} \mathcal{E}_{\lambda}^{\mathbb{R}}(\phi) &:= \int_0^{2L} w(t) \|\phi - S_t \phi\|_{L^2(\mathbb{R})}^2 dt \\ &\quad + \sum_{\substack{p \text{ prime} \\ p \leq \lambda^2}} \sum_{\substack{m \geq 1 \\ p^m \leq \lambda^2}} (\log p) p^{-m/2} \|\phi - S_{m \log p} \phi\|_{L^2(\mathbb{R})}^2, \end{aligned}$$

with domain  $\mathcal{D}(\mathcal{E}_{\lambda}^{\mathbb{R}}) := \{\phi \in L^2(\mathbb{R}) : \mathcal{E}_{\lambda}^{\mathbb{R}}(\phi) < \infty\}$ . By definition, for  $G \in L^2(I)$ ,

$$\mathcal{E}_{\lambda}(G) = \mathcal{E}_{\lambda}^{\mathbb{R}}(\tilde{G}).$$

**Lemma 12** (Plancherel identity for translation differences). *For  $\phi \in L^2(\mathbb{R})$  and  $t \in \mathbb{R}$ ,*

$$\|\phi - S_t \phi\|_{L^2(\mathbb{R})}^2 = \frac{1}{2\pi} \int_{\mathbb{R}} |1 - e^{-i\xi t}|^2 |\widehat{\phi}(\xi)|^2 d\xi = \frac{1}{2\pi} \int_{\mathbb{R}} 4 \sin^2\left(\frac{\xi t}{2}\right) |\widehat{\phi}(\xi)|^2 d\xi.$$

*Proof.* Since  $\widehat{S_t \phi}(\xi) = e^{-i\xi t} \widehat{\phi}(\xi)$ , Plancherel gives the first identity. The second follows from  $|1 - e^{-i\eta}|^2 = 4 \sin^2(\eta/2)$ .  $\square$

**Lemma 13** (Fourier representation). *For  $\phi \in L^2(\mathbb{R})$ ,*

$$\mathcal{E}_{\lambda}^{\mathbb{R}}(\phi) = \frac{1}{2\pi} \int_{\mathbb{R}} \psi_{\lambda}(\xi) |\widehat{\phi}(\xi)|^2 d\xi \quad \text{in } [0, \infty],$$

where

$$\begin{aligned} \psi_{\lambda}(\xi) &:= 4 \int_0^{2L} w(t) \sin^2\left(\frac{\xi t}{2}\right) dt \\ &\quad + 4 \sum_{\substack{p \text{ prime} \\ p \leq \lambda^2}} \sum_{\substack{m \geq 1 \\ p^m \leq \lambda^2}} (\log p) p^{-m/2} \sin^2\left(\frac{\xi m \log p}{2}\right). \end{aligned} \tag{6}$$

In particular  $\psi_{\lambda}$  is measurable, even, finite for each  $\xi$ , and  $\psi_{\lambda}(\xi) \geq 0$ .

*Proof.* Apply Lemma 12 to each translation difference in  $\mathcal{E}_{\lambda}^{\mathbb{R}}$ . All weights are nonnegative, so Tonelli’s theorem permits interchange of the  $\xi$ -integral with the  $t$ -integration and finite summations.  $\square$

**Proposition 14** (Closedness on  $L^2(\mathbb{R})$ ). *The form  $\mathcal{E}_{\lambda}^{\mathbb{R}}$  is densely defined, symmetric, nonnegative, and closed on  $L^2(\mathbb{R})$ . Moreover,*

$$\mathcal{D}(\mathcal{E}_{\lambda}^{\mathbb{R}}) = \left\{ \phi \in L^2(\mathbb{R}) : \int_{\mathbb{R}} \psi_{\lambda}(\xi) |\widehat{\phi}(\xi)|^2 d\xi < \infty \right\},$$

and  $\mathcal{D}(\mathcal{E}_{\lambda}^{\mathbb{R}})$  is a Hilbert space for the norm

$$\|\phi\|_{\mathcal{D}}^2 := \|\phi\|_{L^2(\mathbb{R})}^2 + \mathcal{E}_{\lambda}^{\mathbb{R}}(\phi) = \frac{1}{2\pi} \int_{\mathbb{R}} (1 + \psi_{\lambda}(\xi)) |\widehat{\phi}(\xi)|^2 d\xi.$$

*Proof.* By Lemma 13,  $\mathcal{E}_\lambda^{\mathbb{R}}$  is the quadratic form of multiplication by  $\psi_\lambda$  in Fourier space. Hence  $\mathcal{D}(\mathcal{E}_\lambda^{\mathbb{R}})$  is isometric (via  $\phi \mapsto \widehat{\phi}$ ) to the weighted  $L^2$  space with weight  $1 + \psi_\lambda$ , and therefore complete. Nonnegativity and symmetry are immediate from the definition.

For density, note that  $C_c^\infty(\mathbb{R}) \subset \mathcal{D}(\mathcal{E}_\lambda^{\mathbb{R}})$ : for  $\phi \in C_c^\infty(\mathbb{R})$ ,  $\|\phi - S_t \phi\|_2 \leq |t| \|\phi'\|_2$ , and  $\int_0^{2L} w(t) t^2 dt < \infty$  (since  $w(t) \sim (2t)^{-1}$  as  $t \downarrow 0$  and the upper limit is finite); the prime sum in  $\mathcal{E}_\lambda^{\mathbb{R}}$  is finite. Since  $C_c^\infty(\mathbb{R})$  is dense in  $L^2(\mathbb{R})$ , the form is densely defined.  $\square$

**Proposition 15** (Closedness on  $L^2(I)$ ). *The form  $\mathcal{E}_\lambda$  on  $H = L^2(I)$  is densely defined, symmetric, nonnegative, and closed.*

*Proof.* The map  $G \mapsto \widetilde{G}$  is an isometry from  $L^2(I)$  onto the closed subspace  $H_I = \{\phi \in L^2(\mathbb{R}) : \phi = 0 \text{ a.e. on } \mathbb{R} \setminus I\}$ . Moreover  $\mathcal{E}_\lambda(G) = \mathcal{E}_\lambda^{\mathbb{R}}(\widetilde{G})$ . Thus  $\mathcal{E}_\lambda$  is the restriction of the closed form  $\mathcal{E}_\lambda^{\mathbb{R}}$  (Proposition 14) to the closed subspace  $H_I$ , and therefore is closed. Density follows because  $C_c^\infty(I) \subset \mathcal{D}(\mathcal{E}_\lambda)$  and is dense in  $L^2(I)$ .  $\square$

### 7.2.2 A coercive lower bound for the symbol $\psi_\lambda$

**Lemma 16** (A lower bound for  $w(t)$ ). *Let  $t_0 := \min(1, 2L)$ . There exists  $c_0 = c_0(L) > 0$  such that for all  $t \in (0, t_0]$ ,*

$$w(t) = \frac{e^{t/2}}{2 \sinh t} \geq \frac{c_0}{t}.$$

*Proof.* For  $t > 0$  one has  $\sinh t \leq te^t$ , hence

$$w(t) = \frac{e^{t/2}}{2 \sinh t} \geq \frac{e^{t/2}}{2te^t} = \frac{e^{-t/2}}{2t}.$$

For  $t \in (0, 1]$ ,  $e^{-t/2} \geq e^{-1/2}$ , so we may take  $c_0 := e^{-1/2}/2$  (or any smaller positive constant).  $\square$

**Lemma 17** (Logarithmic growth of  $\psi_\lambda$ ). *There exist constants  $c_1, c_2 > 0$  and  $\xi_0 \geq 2$  (depending only on  $L$ ) such that for all  $|\xi| \geq \xi_0$ ,*

$$\psi_\lambda(\xi) \geq c_1 \log |\xi| - c_2.$$

In particular  $\psi_\lambda(\xi) \rightarrow \infty$  as  $|\xi| \rightarrow \infty$ .

*Proof.* Drop the nonnegative prime sum in (6):

$$\psi_\lambda(\xi) \geq 4 \int_0^{2L} w(t) \sin^2\left(\frac{\xi t}{2}\right) dt \geq 4 \int_0^{t_0} w(t) \sin^2\left(\frac{\xi t}{2}\right) dt.$$

By Lemma 16, for  $t \in (0, t_0]$ ,

$$\psi_\lambda(\xi) \geq 4c_0 \int_0^{t_0} \frac{1}{t} \sin^2\left(\frac{\xi t}{2}\right) dt.$$

Assume  $|\xi| \geq \frac{4\pi}{t_0}$  (this fixes  $\xi_0$ ). Define intervals

$$J_n := \left[ \frac{2\pi n + \pi/2}{|\xi|}, \frac{2\pi n + 3\pi/2}{|\xi|} \right], \quad n \geq 0.$$

For  $t \in J_n$ ,  $\sin^2(\xi t/2) \geq 1/2$ . Let  $N \geq 1$  be the largest integer such that  $J_{N-1} \subset (0, t_0]$ . Then  $N \asymp |\xi|$  (with constants depending only on  $t_0$ ), and hence

$$\int_0^{t_0} \frac{1}{t} \sin^2\left(\frac{\xi t}{2}\right) dt \geq \sum_{n=0}^{N-1} \int_{J_n} \frac{1}{t} \cdot \frac{1}{2} dt = \frac{1}{2} \sum_{n=0}^{N-1} \log \frac{2\pi n + 3\pi/2}{2\pi n + \pi/2}.$$

Using  $\log(1 + x) \geq x/(1 + x)$ , one obtains

$$\log \frac{2\pi n + 3\pi/2}{2\pi n + \pi/2} = \log \left(1 + \frac{\pi}{2\pi n + \pi/2}\right) \geq \frac{c}{n+1}$$

for some absolute  $c > 0$  and all  $n \geq 0$ . Therefore the sum is bounded below by  $c' \sum_{n=0}^{N-1} \frac{1}{n+1} \geq c'' \log N - C$ . Since  $N \asymp |\xi|$ , we have  $\log N = \log |\xi| + O(1)$ , giving the claim.  $\square$

**Corollary 18** (Energy controls a logarithmic frequency moment). *There exist constants  $a, b > 0$  (depending only on  $L$ ) such that for every  $\phi \in \mathcal{D}(\mathcal{E}_\lambda^{\mathbb{R}})$ ,*

$$\int_{\mathbb{R}} \log(2 + |\xi|) |\widehat{\phi}(\xi)|^2 d\xi \leq a \|\phi\|_{L^2(\mathbb{R})}^2 + b \int_{\mathbb{R}} \psi_\lambda(\xi) |\widehat{\phi}(\xi)|^2 d\xi.$$

In particular, if  $\|\phi\|_2^2 + \mathcal{E}_\lambda^{\mathbb{R}}(\phi) \leq M$ , then  $\int \log(2 + |\xi|) |\widehat{\phi}(\xi)|^2 \leq C(M, L)$ .

*Proof.* Lemma 17 implies  $\log(2 + |\xi|) \leq a' + b' \psi_\lambda(\xi)$  for suitable  $a', b'$  (after enlarging constants to handle bounded  $|\xi|$ ). Multiply by  $|\widehat{\phi}(\xi)|^2$  and integrate.  $\square$

### 7.2.3 Compact embedding and compact resolvent

**Theorem 19** (Kolmogorov–Riesz compactness criterion in  $L^2(\mathbb{R})$ ). *A set  $\mathcal{K} \subset L^2(\mathbb{R})$  is relatively compact if and only if:*

- (i) (tightness) for every  $\varepsilon > 0$  there exists  $R > 0$  such that  $\int_{|u|>R} |\phi(u)|^2 du < \varepsilon^2$  for all  $\phi \in \mathcal{K}$ ;
- (ii) (translation equicontinuity) for every  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $\|\phi - S_h \phi\|_2 < \varepsilon$  for all  $\phi \in \mathcal{K}$  and all  $|h| < \delta$ .

*Remark 20.* See, e.g., Lieb–Loss, *Analysis*, for a proof of Theorem 19.

**Lemma 21** (Uniform translation control from the form norm). *Fix  $M > 0$  and define*

$$\mathcal{K}_M := \{\phi \in H_I : \|\phi\|_2^2 + \mathcal{E}_\lambda^{\mathbb{R}}(\phi) \leq M\}.$$

*Then  $\mathcal{K}_M$  satisfies the translation equicontinuity condition (ii) in Theorem 19.*

*Proof.* Let  $\phi \in \mathcal{K}_M$  and  $h \in \mathbb{R}$  with  $|h| \leq 1$ . By Plancherel,

$$\|\phi - S_h \phi\|_2^2 = \frac{1}{2\pi} \int_{\mathbb{R}} 4 \sin^2\left(\frac{\xi h}{2}\right) |\widehat{\phi}(\xi)|^2 d\xi.$$

Fix  $R \geq 1$  and split the integral into  $|\xi| \leq R$  and  $|\xi| > R$ . Using  $\sin^2(x) \leq x^2$ ,

$$\int_{|\xi| \leq R} 4 \sin^2\left(\frac{\xi h}{2}\right) |\widehat{\phi}(\xi)|^2 d\xi \leq \int_{|\xi| \leq R} (\xi h)^2 |\widehat{\phi}(\xi)|^2 d\xi \leq (Rh)^2 \int_{\mathbb{R}} |\widehat{\phi}(\xi)|^2 d\xi = (Rh)^2 (2\pi) \|\phi\|_2^2.$$

Also  $\sin^2 \leq 1$  gives

$$\int_{|\xi| > R} 4 \sin^2\left(\frac{\xi h}{2}\right) |\widehat{\phi}(\xi)|^2 d\xi \leq 4 \int_{|\xi| > R} |\widehat{\phi}(\xi)|^2 d\xi \leq \frac{4}{\log(2 + R)} \int_{\mathbb{R}} \log(2 + |\xi|) |\widehat{\phi}(\xi)|^2 d\xi.$$

By Corollary 18, the last integral is  $\leq C(M, L)$  uniformly over  $\phi \in \mathcal{K}_M$ . Therefore

$$\|\phi - S_h \phi\|_2^2 \leq (Rh)^2 M + \frac{C'(M, L)}{\log(2 + R)}.$$

Given  $\varepsilon > 0$ , choose  $R$  so that  $C'(M, L)/\log(2 + R) \leq \varepsilon^2/2$ , and then choose  $\delta > 0$  so that  $(R\delta)^2 M \leq \varepsilon^2/2$ . This gives  $\|\phi - S_h \phi\|_2 < \varepsilon$  for all  $\phi \in \mathcal{K}_M$  and  $|h| < \delta$ .  $\square$

**Proposition 22** (Compact embedding of the form domain). *The embedding  $(\mathcal{D}(\mathcal{E}_\lambda), \|G\|_{\mathcal{D}}^2 := \|G\|_2^2 + \mathcal{E}_\lambda(G)) \hookrightarrow L^2(I)$  is compact.*

*Proof.* Let  $\{G_n\} \subset \mathcal{D}(\mathcal{E}_\lambda)$  be bounded in the form norm:  $\|G_n\|_2^2 + \mathcal{E}_\lambda(G_n) \leq M$ . Put  $\phi_n := \tilde{G}_n \in H_I$ . Then  $\|\phi_n\|_2^2 + \mathcal{E}_\lambda^\mathbb{R}(\phi_n) \leq M$ , so  $\phi_n \in \mathcal{K}_M$ .

Since each  $\phi_n$  is supported in the fixed bounded set  $\bar{I}$ , tightness (i) in Theorem 19 holds automatically. Translation equicontinuity (ii) holds by Lemma 21. Thus  $\{\phi_n\}$  is relatively compact in  $L^2(\mathbb{R})$  by Theorem 19; hence  $\{G_n\}$  is relatively compact in  $L^2(I)$ .  $\square$

**Theorem 23** (Closed form, associated operator, and compact resolvent). *There exists a unique selfadjoint operator  $A_\lambda \geq 0$  on  $L^2(I)$  associated to the closed form  $\mathcal{E}_\lambda$  (Proposition 15) in the sense of the representation theorem for closed forms. Moreover,  $A_\lambda$  has compact resolvent; equivalently,  $(A_\lambda + 1)^{-1}$  is compact on  $L^2(I)$ .*

*Proof.* Existence and uniqueness of  $A_\lambda$  follow from the representation theorem for densely defined, closed, lower-bounded symmetric forms (see, e.g., Kato, *Perturbation Theory for Linear Operators*). To prove compact resolvent, let  $\{f_n\}$  be bounded in  $L^2(I)$  and set  $u_n := (A_\lambda + 1)^{-1} f_n$ . Then  $u_n \in \mathcal{D}(A_\lambda) \subset \mathcal{D}(\mathcal{E}_\lambda)$  and  $(A_\lambda + 1)u_n = f_n$ . Taking the  $L^2$  inner product with  $u_n$  and using the form identity gives

$$\mathcal{E}_\lambda(u_n) + \|u_n\|_2^2 = \langle f_n, u_n \rangle \leq \|f_n\|_2 \|u_n\|_2.$$

Hence  $\|u_n\|_2 \leq \|f_n\|_2$ , and therefore  $\|u_n\|_2^2 + \mathcal{E}_\lambda(u_n) \leq \|f_n\|_2^2$ . Thus  $\{u_n\}$  is bounded in the form norm, so by Proposition 22 it has a convergent subsequence in  $L^2(I)$ . This proves  $(A_\lambda + 1)^{-1}$  is compact.  $\square$

### 7.3 Semigroup and irreducibility

**Definition 24** (Irreducibility for semigroups on  $L^2(I)$ ). A closed ideal in  $L^2(I)$  has the form  $L^2(B)$  for some measurable  $B \subset I$ . We call  $T$  *irreducible* if the only invariant closed ideals are  $\{0\}$  and  $L^2(I)$ .

**Lemma 25** (Invariant ideals and splitting of the form). *Assume Theorem 23. Let  $B \subset I$  be measurable and suppose the closed ideal  $L^2(B) \subset L^2(I)$  is invariant under the semigroup  $T(t) = e^{-tA_\lambda}$ . Then for every  $G \in \mathcal{D}(\mathcal{E}_\lambda)$  one has  $\mathbf{1}_B G, \mathbf{1}_{I \setminus B} G \in \mathcal{D}(\mathcal{E}_\lambda)$  and*

$$\mathcal{E}_\lambda(G) = \mathcal{E}_\lambda(\mathbf{1}_B G) + \mathcal{E}_\lambda(\mathbf{1}_{I \setminus B} G).$$

*This is standard for symmetric Dirichlet forms; see, e.g., Fukushima–Oshima–Takeda [3, Thm. 1.6.1]. We include a short proof for completeness.*

*Proof.* Let  $P : L^2(I) \rightarrow L^2(I)$  be the orthogonal projection  $PG = \mathbf{1}_B G$ , and set  $Q = I - P$ . Invariance of  $L^2(B) = \text{Ran}(P)$  implies  $PT(t)P = T(t)P$  for all  $t \geq 0$ . Taking adjoints and using that  $T(t)$  and  $P$  are selfadjoint gives  $T(t)P = PT(t)$  for all  $t \geq 0$ . Hence  $P$  commutes with the functional calculus of  $A_\lambda$ , in particular with  $A_\lambda^{1/2}$ . Since  $\mathcal{D}(\mathcal{E}_\lambda) = \mathcal{D}(A_\lambda^{1/2})$ , it follows that  $P\mathcal{D}(\mathcal{E}_\lambda) \subset \mathcal{D}(\mathcal{E}_\lambda)$  and likewise for  $Q$ .

For  $u \in \mathcal{D}(\mathcal{E}_\lambda)$ ,

$$\mathcal{E}_\lambda(u) = \|A_\lambda^{1/2} u\|_2^2 = \|A_\lambda^{1/2} Pu\|_2^2 + \|A_\lambda^{1/2} Qu\|_2^2 = \mathcal{E}_\lambda(Pu) + \mathcal{E}_\lambda(Qu),$$

because  $A_\lambda^{1/2} Pu = P(A_\lambda^{1/2} u)$  and  $A_\lambda^{1/2} Qu = Q(A_\lambda^{1/2} u)$  are orthogonal in  $L^2(I)$ . Taking  $u = G$  gives the claimed splitting.  $\square$

**Proposition 26** (Triviality of invariant ideals for  $\mathcal{E}_\lambda$ ). *Assume Theorem 23. Let  $B \subset I$  be measurable and assume that the closed ideal  $L^2(B) \subset L^2(I)$  is invariant under the semigroup  $T(t) = e^{-tA_\lambda}$ . Then  $m(B) = 0$  or  $m(I \setminus B) = 0$ .*

*Proof.* By Lemma 25 (cf. [3, Thm. 1.6.1]), invariance of the ideal  $L^2(B)$  implies that for every  $G \in \mathcal{D}(\mathcal{E}_\lambda)$  one has  $\mathbf{1}_B G, \mathbf{1}_{I \setminus B} G \in \mathcal{D}(\mathcal{E}_\lambda)$  and

$$\mathcal{E}_\lambda(G) = \mathcal{E}_\lambda(\mathbf{1}_B G) + \mathcal{E}_\lambda(\mathbf{1}_{I \setminus B} G). \quad (7)$$

We apply (7) with  $G \equiv 1$  on  $I$ . Note that  $1 \in \mathcal{D}(\mathcal{E}_\lambda)$ : indeed, for each shift size  $s > 0$  one has  $\|\widetilde{1} - S_s \widetilde{1}\|_2^2 = m(I \Delta(I + s)) = 2s$ , so the archimedean integral  $\int_0^{2L} w(t) 2t dt$  is finite (cf. the discussion after Lemma 5), and the prime sum in Definition 6 is finite because it contains only finitely many shift sizes.

Write  $B^c := I \setminus B$  and set  $f := \widetilde{\mathbf{1}_B}$  and  $g := \widetilde{\mathbf{1}_{B^c}}$  in  $L^2(\mathbb{R})$ , so that  $\widetilde{1} = f + g$  and  $fg = 0$  a.e. For each shift size  $s > 0$ ,

$$\|(f + g) - S_s(f + g)\|_2^2 = \|f - S_s f\|_2^2 + \|g - S_s g\|_2^2 + 2\langle f - S_s f, g - S_s g \rangle.$$

Using this identity in Definition 6 and (7) (with  $G \equiv 1$ ) gives

$$\int_0^{2L} w(t) \langle f - S_t f, g - S_t g \rangle dt + \sum_{\substack{p \text{ prime} \\ p \leq \lambda^2}} \sum_{\substack{m \geq 1 \\ p^m \leq \lambda^2}} (\log p) p^{-m/2} \langle f - S_{m \log p} f, g - S_{m \log p} g \rangle = 0.$$

For any  $s > 0$ , since  $fg = 0$  a.e. and translations are unitary on  $L^2(\mathbb{R})$ ,

$$\begin{aligned} \langle f - S_s f, g - S_s g \rangle &= \langle f, g \rangle - \langle f, S_s g \rangle - \langle S_s f, g \rangle + \langle S_s f, S_s g \rangle \\ &= -\langle f, S_s g \rangle - \langle g, S_s f \rangle \leq 0, \end{aligned}$$

because the inner products are nonnegative. Since all weights in the preceding display are nonnegative and  $w(t) > 0$  for all  $t > 0$ , it follows that

$$\langle f, S_t g \rangle = \langle g, S_t f \rangle = 0 \quad \text{for a.e. } t \in (0, 2L).$$

For fixed  $f, g \in L^2(\mathbb{R})$ , the map  $t \mapsto \langle f, S_t g \rangle$  is continuous (strong continuity of translations), hence the equalities hold for all  $t \in (0, 2L)$ .

Unwinding the definitions, for each  $t \in (0, 2L)$ ,

$$0 = \langle f, S_t g \rangle = \int_{\mathbb{R}} \widetilde{\mathbf{1}_B}(u) \widetilde{\mathbf{1}_{B^c}}(u-t) du = \int_{I \cap (I+t)} \mathbf{1}_B(u) \mathbf{1}_{B^c}(u-t) du,$$

so  $\mathbf{1}_B(u) \leq \mathbf{1}_B(u-t)$  for a.e.  $u \in I \cap (I+t)$ . The same argument with  $\langle g, S_t f \rangle = 0$  gives the reverse inequality, hence

$$\mathbf{1}_B(u) = \mathbf{1}_B(u-t) \quad \text{for a.e. } u \in I \cap (I+t) \text{ and every } t \in (0, 2L).$$

Lemma 10 now applies and yields  $m(B) = 0$  or  $m(I \setminus B) = 0$ .  $\square$

**Remark 27** (Why we do not use  $\mathcal{E}_\lambda(\mathbf{1}_B) = 0$ ). Because  $\mathcal{E}_\lambda$  is defined using zero-extension to  $\mathbb{R}$  (Definition 6), the form is typically non-conservative: in general  $\mathcal{E}_\lambda(1) > 0$ . In the conservative case ( $\mathcal{E}(1) = 0$ ) one often has an equivalence between invariance and the condition  $\mathcal{E}(\mathbf{1}_B) = 0$ . Here, the presence of killing means  $\mathcal{E}_\lambda(\mathbf{1}_B) = 0$  is a *stronger* condition than invariance, so we instead argue directly from the correct invariance identity (7), which depends only on the interaction/jump part.

**Corollary 28** (Irreducibility for  $\mathcal{E}_\lambda$ ). *Assume Theorem 23. Then  $T(t) = e^{-tA_\lambda}$  is irreducible.*

*Proof.* If  $J \subset L^2(I)$  is a closed  $T(t)$ -invariant ideal, then  $J = L^2(B)$  for some measurable  $B \subset I$ . Proposition 26 forces  $m(B) = 0$  or  $m(I \setminus B) = 0$ , hence  $J = \{0\}$  or  $J = L^2(I)$ .  $\square$

## 8 Positivity improving and the ground state

### 8.1 External theorems used

**Theorem 29** (Positivity improving from positivity + irreducibility + holomorphy). *Let  $E$  be a Banach lattice and  $S$  a positive, irreducible, holomorphic  $C_0$ -semigroup on  $E$ . Then  $S$  is positivity improving: for each  $t > 0$  and each  $0 \leq f \in E$  with  $f \neq 0$ , one has  $S(t)f > 0$  (in the lattice sense; on  $L^2$  this means  $> 0$  a.e.).*

*Remark 30* (Source). This statement appears, for example, as Theorem 2.3 in Arendt et al., *Strict positivity for the principal eigenfunction of elliptic operators with various boundary conditions* (see §11).

**Theorem 31** (Simplicity of the principal eigenvalue under compact resolvent). *Let  $A$  be selfadjoint and lower bounded on  $L^2(I)$  with compact resolvent, and let  $S(t) = e^{-tA}$ . If  $S$  is positivity improving, then the bottom of the spectrum  $\min\sigma(A)$  is a simple eigenvalue and admits an eigenfunction which is strictly positive a.e.*

*Remark 32* (Source). This is a standard Perron–Frobenius/Krein–Rutman/Jentzsch consequence for compact positive operators, often stated for  $(A + \mu)^{-1}$  or for  $S(t)$  when it is compact. See, e.g., Proposition 2.4 in the same paper of Arendt et al.

### 8.2 Application to $A_\lambda$

**Proposition 33** (Positivity improving and simple ground state for  $A_\lambda$ ). *Assume Theorem 23. Then:*

1. *The semigroup  $T(t) = e^{-tA_\lambda}$  is positivity preserving (Markovian).*
2.  *$T(t)$  is irreducible.*
3.  *$T(t)$  is holomorphic (indeed,  $A_\lambda$  is selfadjoint and lower bounded).*

*Consequently  $T(t)$  is positivity improving, and the lowest eigenvalue of  $A_\lambda$  is simple with a strictly positive a.e. eigenfunction.*

*Proof.* (1) Markov/positivity preservation follows from Lemma 9 and standard closed-form theory. (2) is Corollary 28. (3) Since  $A_\lambda$  is selfadjoint and lower bounded,  $e^{-zA_\lambda}$  is bounded and holomorphic on  $\{z \in \mathbb{C} : \Re z > 0\}$  by the spectral theorem.

Now apply Theorem 29 to obtain positivity improving, and then Theorem 31 to obtain simplicity and strict positivity of the ground state.  $\square$

## 9 Evenness of the ground state from inversion symmetry

**Proposition 34** (Inversion (reflection) symmetry). *Let  $R : L^2(I) \rightarrow L^2(I)$  be the unitary involution  $(RG)(u) := G(-u)$ . Then  $R(\mathcal{D}(\mathcal{E}_\lambda)) = \mathcal{D}(\mathcal{E}_\lambda)$  and*

$$\mathcal{E}_\lambda(RG) = \mathcal{E}_\lambda(G) \quad (G \in \mathcal{D}(\mathcal{E}_\lambda)).$$

*Consequently, the associated operator  $A_\lambda$  from Theorem 23 commutes with  $R$ .*

*Proof.* Identify  $L^2(I)$  with the closed subspace  $H_I \subset L^2(\mathbb{R})$  via extension by 0. Let the same symbol  $R$  denote reflection on  $L^2(\mathbb{R})$ :  $(R\phi)(u) := \phi(-u)$ . Then  $R$  is unitary, preserves  $H_I$  (since  $I$  is symmetric), and satisfies  $RS_t = S_{-t}R$ . Therefore, for  $t \in \mathbb{R}$  and  $\phi \in L^2(\mathbb{R})$ ,

$$\|R\phi - S_t R\phi\|_2 = \|R(\phi - S_{-t}\phi)\|_2 = \|\phi - S_{-t}\phi\|_2 = \|\phi - S_t\phi\|_2,$$

using that  $R$  is unitary and  $\|\phi - S_{-t}\phi\|_2 = \|S_t\phi - \phi\|_2 = \|\phi - S_t\phi\|_2$ . Since every weight in Definition 6 is nonnegative, this implies  $\mathcal{E}_\lambda(RG) = \mathcal{E}_\lambda(G)$ .

For commutation with  $A_\lambda$ : invariance of a closed form under a unitary  $U$  implies that the associated selfadjoint operator commutes with  $U$ . Indeed, for  $u \in \mathcal{D}(A_\lambda)$  and  $v \in \mathcal{D}(\mathcal{E}_\lambda)$ ,

$$\langle A_\lambda Ru, v \rangle = \mathcal{E}_\lambda(Ru, v) = \mathcal{E}_\lambda(u, R^{-1}v) = \langle A_\lambda u, R^{-1}v \rangle = \langle RA_\lambda u, v \rangle,$$

so  $A_\lambda Ru = RA_\lambda u$ .  $\square$

**Corollary 35** (Even ground state). *Assume Theorem 23 and 34. Let  $\psi$  be the strictly positive ground-state eigenfunction from Proposition 33. Then  $\psi$  is even:  $\psi(-u) = \psi(u)$  a.e.*

*Proof.* Since  $A_\lambda R = RA_\lambda$ , the function  $\psi^\sharp := R\psi$  is an eigenfunction for the same lowest eigenvalue. Moreover  $\psi^\sharp > 0$  a.e. because  $\psi > 0$  a.e. By simplicity of the ground-state eigenspace (Proposition 33),  $\psi^\sharp = c\psi$  for some  $c \in \mathbb{R}$ . Positivity forces  $c > 0$ , and normalizing  $\|\psi^\sharp\|_2 = \|\psi\|_2$  yields  $c = 1$ . Hence  $\psi(-u) = \psi(u)$  a.e.  $\square$

## 10 Summary of concrete progress

- Starting solely from the explicit local formulas (2)–(3), we derived a representation of  $-\sum_v W_v(g^* g^*)$  (up to an additive constant multiple of  $\|g\|_2^2$ ) as a positive combination of translation-difference energies in log-coordinates (Definition 6, Lemmas 4–5).
- We proved the Markov/normal contraction inequality for this form (Lemma 9).
- Using only measure theory (Lebesgue density), we proved that invariance under all sufficiently small translations forces a measurable subset of an interval to be null or conull (Lemma 10), and we used it to show that  $\mathcal{E}_\lambda(\mathbf{1}_B) = 0$  implies  $B$  is null or conull (Lemma 11).
- Assuming the standard operator setup (closed form, selfadjoint operator, compact resolvent), we obtained irreducibility and then (by a standard external theorem) positivity improving of the semigroup, hence simplicity and strict positivity of the ground state (Proposition 33).
- Finally, inversion symmetry forces that strictly positive simple ground state to be even (Corollary 35).

## 11 Bibliographic pointers

### References

- [1] W. Arendt, A. F. M. ter Elst, and J. Glück. *Strict positivity for the principal eigenfunction of elliptic operators with various boundary conditions*. Advanced Nonlinear Studies **20** (2020), no. 3, 633–650. arXiv:1909.12194; DOI:10.1515/ans-2020-2091. See Theorem 2.3 and Proposition 2.4 for the positivity improving and simplicity results used in §8.

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- [3] M. Fukushima, Y. Oshima, and M. Takeda. *Dirichlet Forms and Symmetric Markov Processes*. 2nd revised and extended ed., De Gruyter Studies in Mathematics 19, Walter de Gruyter, 2011. DOI:10.1515/9783110889741. See Theorem 1.6.1 for the invariant set/ideal characterization used in the irreducibility argument.