**COVID-19 DATA ANALYTICS AND FORECASTING**

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### Abstract

This project gives an overview of the most recent pandemic of the novel coronavirus 2019 (COVID-19) and shows how data analysis of such an epidemic plays an important role for further predictions and even predictions of future situations.

**Key words:** COVID-19, data analysis, forecasting model

# Introduction

The key to understanding the pandemic begins with understanding the disease itself and how the disease progresses naturally. This report presents different categories of diseases and different routes of disease transmission. Various past pandemics and stages of the pandemics are also discussed.

Accurately predicting the rate of spread and infection can help minimize the outbreak by taking precautionary measures. However, forecasting requires data and there are various data processing challenges. The main objective of this project is to present and discuss a series of predictions about the behavior of the pandemic of the following three groups using data from July 1, 2020 to May 31, 2021:

*(i) Global*

*(ii) Three countries with highest confirmed cases: United States, Brazil, India*

*(iii) Three Asian countries: Japan, Korea, Vietnam*



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One deterministic model widely considered in epidemiology is the Susceptible–Infectious–Removed (SIR) model, which is based on the classification of the individuals into three stages of infection and was introduced almost one hundred years ago by W.O.K. McKendrick and A.G. McKendrick. The transition rates from one class to another are mathematically expressed as derivatives, hence the model is formulated using differential equations. While building such models, it must be assumed that the population size in a compartment is differentiable with respect to time and that the epidemic process is deterministic. In other words, the changes in the population of a compartment can be calculated using only the history that was used to develop the model [1]. More details about the model are presented in the following sections.

Random Forest Regression (RFR) is a type of machine learning that can analyze complex interactions between clinical characteristics and provide high classification accuracy using a set of decision trees. [2]

Finally, ARIMA model will consider an intervention factor to make it possible to reflect external measures into the infection rates. [3]

These models must be considered as a tool to support the decision-making process, but may not reflect the effective rates of infection in medium and long-term analysis. Real-life models are subject to many other external and internal parameters. In this report, positive aspects and limitations of each model are presented.

# Epidemiology terms and concept

In order to understand a pandemic / epidemic, one must first understand the disease itself and the course of the natural course of the disease.

## Introduction

The word “disease” is defined as the condition that negatively affects the body of a living person, plant or animal. A disease affects a body due to a pathogenic infection. The natural course of the disease begins before the onset of infection and then passes through the pre-symptomatic stage. The final phase is the clinical phase. In the clinical phase, a patient receives the prognosis of the disease. After successful treatment of the disease, the patient enters into the remission stage. Remission refers to a decrease in the symptoms or a complete disappearance of the disease. The patient must strictly adhere to the doctor’s instructions during the remission phase. This will ensure that the disease does not recur. If treatment is unsuccessful, the patient may die or be chronically disabled. [4]

Diseases are mainly categorized into two types:

* + 1. *Congenital diseases*

Exist in the body right from birth. These diseases are generally activated through genetic disorders, environmental factors, or a combination of both. As a result, congenital disease are hereditary, i.e. passed on through generations, for example, hearing disorders and Down syndrome.

* + 1. *Acquired diseases*

In contrast to the former, acquired diseases spread through living organisms. These are not hereditary.

The ***acquired disease*** category is further classified into two types:

1. *Infectious diseases*

Infectious diseases are triggered by pathogens or viruses. They are also called *communicable diseases*. As the name suggests, these diseases are contagious. This means that a contagious disease in one person can be passed on to another person through air, food, water, touch (physical contact), etc. SARS and SARS COVID-19 are examples of infectious diseases.

1. *Non-infectious diseases*

Non-infectious diseases do not occur due to any type of infection. This means that a person with a non-infectious disease will not be able to spread the disease to a healthy person. Diseases such as cancer and autoimmune disorders are examples of non-infectious diseases.

***Infectious disease*** can affect a healthy person in two ways:

*(i)* *Direct transmission*

When the pathogens travel from a patient to a healthy person without an intermediate carrier, this is referred to as direct transmission. Direct transmission can happen in the following ways:

* Coming in contact with the infected person.
* Via droplet infection (coughing, sneezing, and spitting).
* Coming in contact with the soil.

Animal bites are also one of the causes of direct transmission.

*(ii)* *Indirect transmission*

Whenever there is a reservoir of infection that can transmit the disease from a patient to a healthy person with a medium pathogen, this transmission is known as indirect transmission. Indirect transmission can happen in the following ways.

* If pathogens are transmitted through food, water, etc., it is known as vehicle-borne disease.
* If pathogens are transmitted through the air, then it is known as airborne disease.
* If pathogens are transmitted through contaminated items like clothing, utensils, books, etc., it is known as fomite-borne disease.

After the diagnosis of the disease comes the most important part: the treatment. Treatment generally consists of targeting the biochemical reactions occurring due to pathogens. There are two ways to stop that reaction so that the infection will not spread:

*(i) Prevention*

Through prevention, symptoms of the infection can be relieved using painkillers so that patients can be at ease. Preventive measures also include immunization and vaccinations.

*(ii) Cure*

Through cure, particular drugs are used to kill the pathogen. [5]

## Overview of epidemic

### Stages of Disease

Before studying the latest pandemic, it is very important to study basic terminologies associated with the pattern of disease spread. A diagrammatical overview of stages of the disease is depicted below [6]:

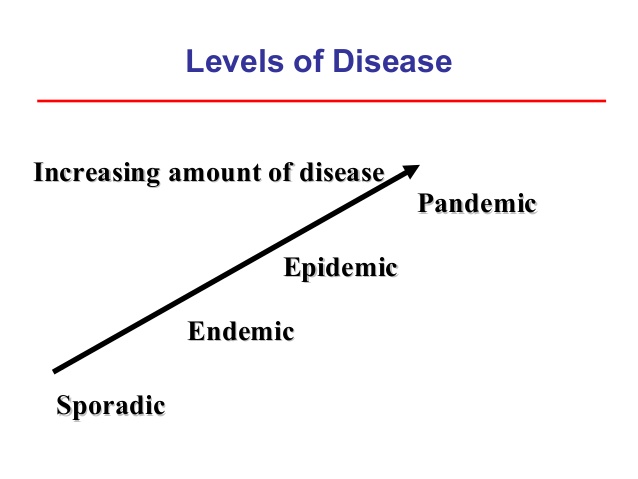


FIGURE 1. Progressive stages of a disease.

*(i) Sporadic*

When the occurrence of the disease is not regular and is infrequent, it is termed as sporadic.

*(ii) Endemic*

When the presence of the disease is constant in a particular geographical area, it is known as endemic. Endemic turns into a hyperendemic situation when a high level of disease occurrence is observed.

*(iii) Epidemic*

When there is a sudden rise in the number of patients with the same disease and within a particular area, it is termed as an epidemic.

*(iv) Pandemic*

When epidemics affect larger geographical areas (including multiple countries and continents), it is known as a pandemic. [7]

A disease takes the form of an epidemic when the following two conditions are met. First is when several people are affected by an illness/disease that has a similar nature to the disease and the same root cause, and the second is when the number of people infected increases rapidly over a period of time. When the epidemic crosses local boundaries and at the same time affects a large geological area, it becomes a pandemic. [8]

Another term that is primarily used when studying infectious diseases is “outbreak.” An

outbreak occurs when a sudden increase in the number of patients is observed. Outbreaks can last a few days, weeks, or months. A pandemic is also sometimes referred to as an outbreak. [9]

### History of Pandemics

Some pandemics stand out in history because of the catastrophe they have caused.



FIGURE 2. Close to 25 million people are believed to have been wiped out by the early 1950s, which was approximately one-third of the European continent. [10]

* + - * *Notable pandemics before 1800*

*The first and one of the worst pandemics witnessed by the world was known as the bubonic plague, also known as the Black Death Pandemic, in 1347. Millions of people lost their lives in the wave of this pandemic.* [11] *At the beginning of 1500, the world experienced the smallpox pandemic. The death rate in some communities was 50%. This pandemic devastated many indigenous societies. [12] In 1881 the Fifth cholera pandemic occurred. More than 1.5 million deaths were reported. [13]*

* + - * *Notable pandemics in 1900*

*In the early 1900, the Spanish flu influenza pandemic occurred. Fifty to hundred million deaths were reported [14]. In 1950 the Asian flu influenza happened. A total of 1.5 million deaths were reported. [15] In 1968 the Hong Kong flu influenza pandemic occurred. A total of 1 million deaths were reported. [16] Finally in 1981, the HIV/AIDS pandemic occurred which claimed 36.7 million deaths. [17] These pandemics caused a major economy loss. [18][19]*

* + - * *Pandemics after 2000.*

*In the 2000s there was a whole new wave of pandemics. Severe acute respirator syndrome (SARS) and the Middle East respiratory syndrome (MERS) were viral diseases. [20] SARS occurred in 2003 which claimed 744 lives [21]. MERS occurred*

*in 2012 which claimed 659 deaths [22]. In 2009 there was the Swine flu influenza pandemic. It was also known as H1N1. This virus claimed 575,500 lives all over the world [23]. In 2013 the West Africa Ebola virus pandemic caused 11,323 deaths [24].*

Historical records indicate that these pandemics pose a serious threat to humanity. The latest pandemic is Coronavirus Disease 2019 (COVID-19). COVID-19 was declared a pandemic by the World Health Organization (WHO) in January 2020. In a very short space of time, this pandemic has struck a large geographic area. [25]

### The novel coronavirus

The word “novel” means “unknown” or “dealing with something new”. From the beginning of the outbreak, extensive efforts are being demonstrated by scientists and experts all over the world. These extensive efforts include detection of the source of COVID-19, transmission pattern of the virus/pathogen, risk factors, disease progression, healthcare management, etc.

COVID-19 has a zoonotic origin. It means this virus was transmitted from animals to humans. The WHO has confirmed that COVID-19 can spread through air droplets. If the droplet produced by the infected patient is inhaled by a healthy person, then the healthy person can contract the infection. Symptoms of COVID-19 range from a person showing no signs (asymptomatic) to a person having a severe case of pneumonia. It has been observed that there are some recorded deaths of young people who after getting affected quickly succumbed to death. Bats are believed to be the source of COVID-19. However, the main animal source for COVID-19 has not been identified to date. The identification of the agent has not yet taken place either. In theory, the agent may be responsible for transmitting the virus from animals to humans.

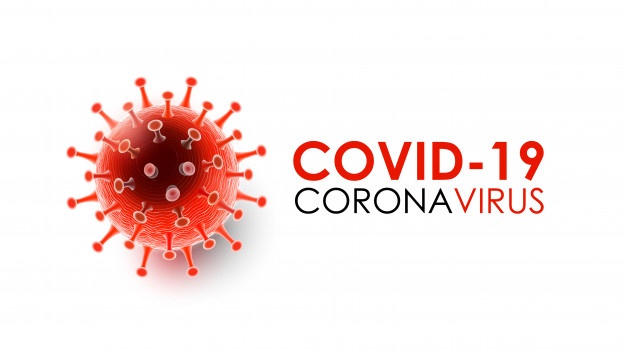


FIGURE 3. Coronavirus COVID-19. [26]

As the number of patients began to rise, it was clear that there was significant human-to-human transmission, but the pattern of transmission and the spread of pathogenesis in humans are still a mystery. It is also a big question whether the pathogenesis of the virus is increased or decreased over time. It is also a big question whether the pathogenesis of the virus will increase or decrease over time. Eventually, if the transmission rate drops, the spread of the disease will stop and the outbreak will end. If the transmission rate continues to rise, the community outbreak will go beyond the point of management. Since some patients have mild to no symptoms, it becomes very difficult to identify them. Asymptomatic infection can be very fatal in children.

The COVID-19 outbreak was an unprecedented situation that no one saw coming. The situation around COVID-19 is quickly becoming chaotic as the number of patients increases worldwide. Not only the number of those infected, but also the number of those who have died is increasing exponentially. Countries are applying the best possible control measures to contain the spread. Some of the countries have had little success in controlling the COVID-19 situation. However, there are numerous secrets surrounding the disease, starting with the origin itself. In this situation, professionals from different disciplines have to work together to find a solution. [27]

# Exploratory data analysis (EDA)

Predicting mortality and the rate of spread plays a very important role in measures to control pandemic diseases such as COVID-19. Based on this prediction, preventive action can be taken by the public, government and health systems. These predictions are also helpful for pharmaceutical companies to help formulate and manufacture drugs faster. There are several techniques and models available to predict the spread / death rate. [28] This prediction is made based on the data available for the prediction. For pandemic diseases, researchers pull data from different data sources and use different models to analyze the data. The data can be referred from the following data sources:

* *John Hopkins University [29][30]*
* *Our World in Data [31]*
* *Google [32]*

The authenticity of the data source is controversial as these data sources are not approved by any standardization authority / agency; however, most of these data sources are nationalized repositories and WHO repositories. Some data can be in a structured format while others can be in an unstructured or semi-structured format. This heterogeneity of data is an important issue in data analysis. Analysis of various data sources and forecasting techniques can be useful for model selection [33].

This project focuses on analyzing data from July 1, 2020 to May 31, 2021 of three groups:

(i) Global

(ii) Three countries with highest confirmed cases: United States, Brazil, India

(iii) Three Asian countries: Japan, South Korea, Vietnam

First, we examine the number of confirmed, deaths, and recovered people in the countries studied. Then do calculation that focuses on three aspects of the pandemic:

1. Case-fatality rate
2. Recovery rate
3. Mortality rate

In addition to comparing such aspects, our analysis also takes into account factors such as Population, Age group (of confirmed cases) and Stringency Index.

## Data retrieve

### 3.1.1 Libraries and tools

All of the code was developed in Python. [34] We mainly used Pandas library for retrieving, storing and manipulating data. [35] For visualization, we used Matplotlib and Seaborn library. [36][37]

Various computer softwares were also used to make working with data easier (Microsoft Office Excel 2016, IBM SPSS). [38][39]

### 3.1.2 Data extraction results

There are a total of seven separated data tables for seven regions examined, each with eight attributes (column): *Date, Confirmed, Deaths, Recovered, Infected, Case-fatality rate (CFR), Recovery rate* and *Mortality rate*, respectively. In which:

* ***Infected*** = Confirmed – Deaths – Recovered: Active cases
* ***Case-fatality rate*** = (Deaths / Confirmed) x 100: Rate of deaths over confirmed cases
* ***Recovery rate*** = (Recovered / Confirmed) x 100: Rate of recovered over confirmed cases
* ***Mortality rate*** = (Deaths / Population) x 100: Rate of deaths over a total population.

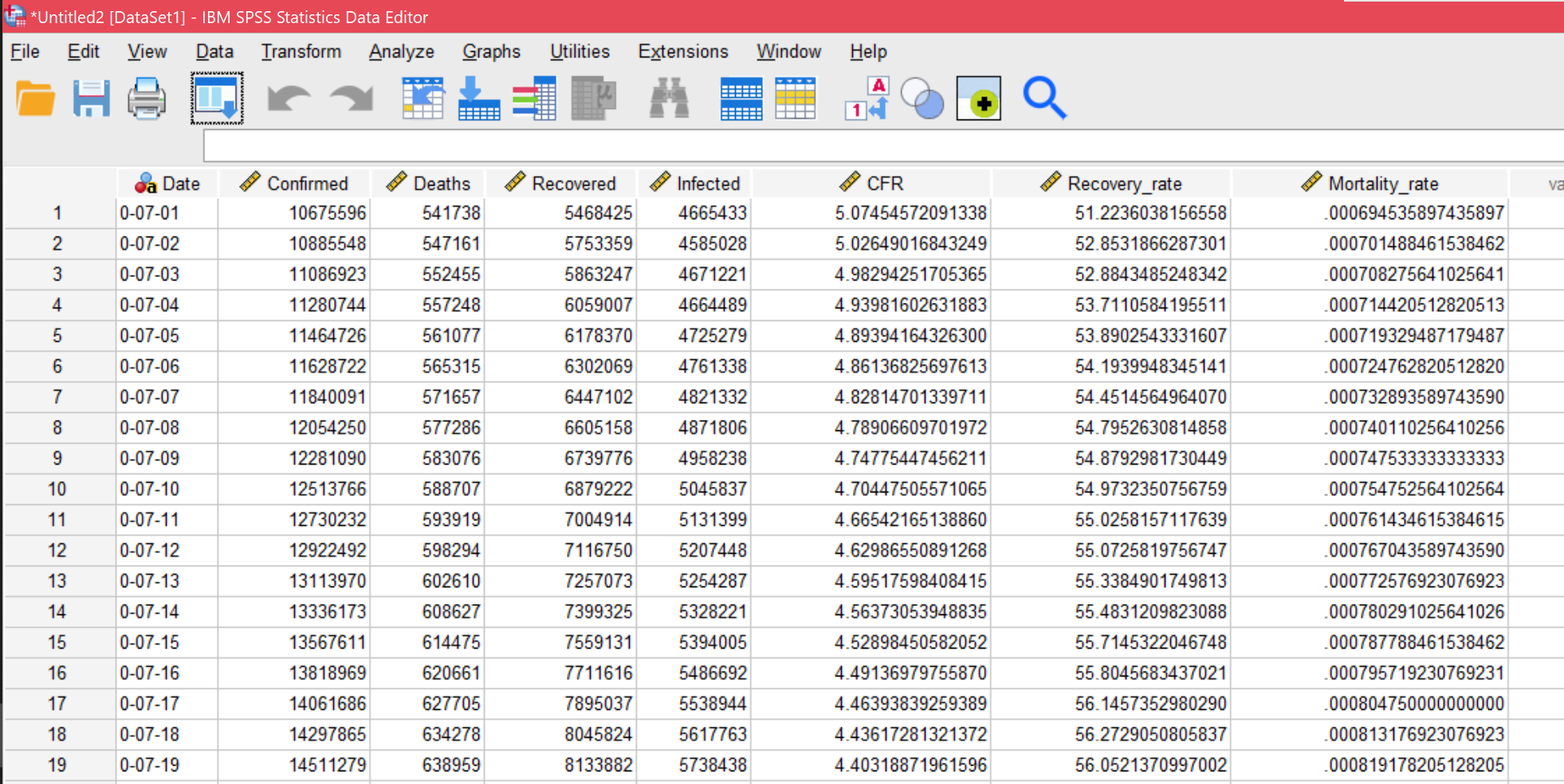


FIGURE 4. Full data table for Global, with 8 attributes.

The time interval of this study ranges from July 1, 2020 to May 31, 2021. Each example (row) represents one case (day). There are a total 335 examples excluding the title row itself. Data of the United States has missing records of Recovered from December 12, 2020 and are replaced with 0s.

All attributes are numeric variables with the exception of Date (variable of type String). Date variables are modified in the format Y-mm-dd, for Y is the last number of the year. This is because date and time data format can be misinterpreted by data analysis software (for example: 01-02-03 can be interpreted as: February 1, 2003; January 2, 2003; February 3, 2001), which leads to errors in the operation and storage of the process.

We also consider the following datasets: Population; distribution of cases by Age (only in South Korea and Japan); Stringency Index (government strict level) of each region.

## Statistical analysis

### Basic visuals

The following figure shows the overall situation of the COVID-19 pandemic from July 1, 2020 to May 31, 2021 on a global scale:

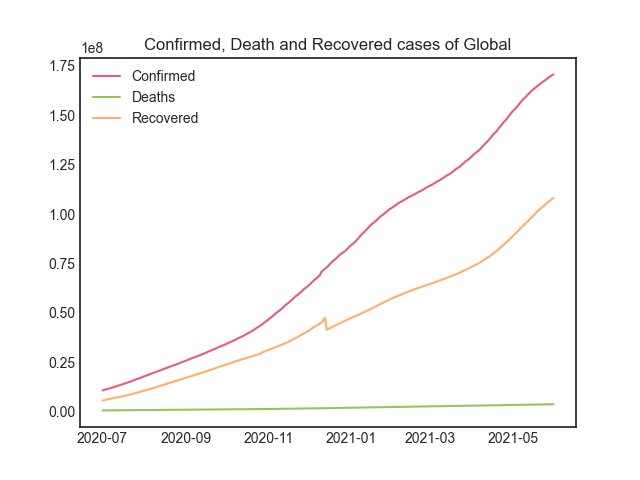


FIGURE 5. Global Confirmed, Death and Recovered cases (until May 31, 2021).

As of May 31, 2021, there are over 170 million of cumulative confirmed cases reported, with more than 3 million people died. Recovery cases are around 108 million.

From previous observations on disease behavior, we assume that the actual Confirmed number is higher because there may be asymptomatic patients.

Recovered’s line chart has a glitch towards the end of 2020. As noted above, since December 14, 2020, data is missing for the United States, along with some other countries that were not included in this study. It is probable that the US, which at the time has the largest number of confirmed cases in the world, was experiencing an unexpected outbreak making it difficult to keep track of cases.

The situation of COVID-19 from July 1, 2020 to May 31, 2021 of six countries is simulated below:

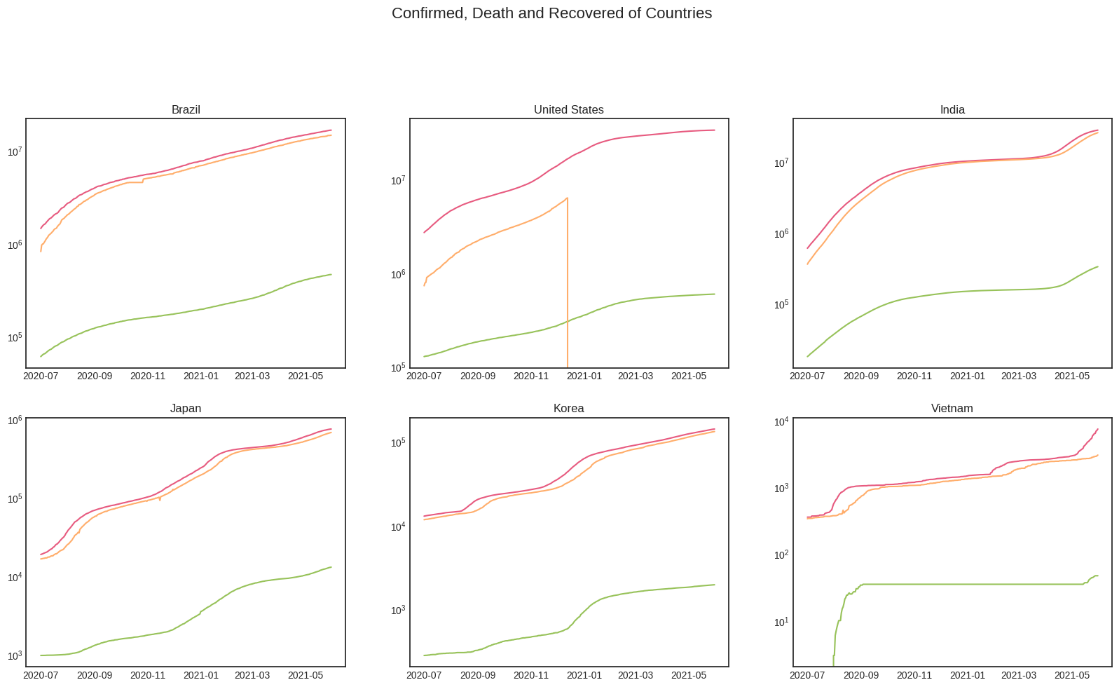
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FIGURE 6. Confirmed, Death and Recovered cases of selected countries (until May 31, 2021), in logarithm scale.

The logarithm scale show percent change rather than the value of data. Since Deaths records are much lower than Confirmed and Recovered, we can get more information about the changes by using the logarithmic scale.

Except for United States, all figures show an upward trend that is almost linear. As mentioned, the US lacks data on the recovered cases as of December 14, 2020.

As the Confirmed case increases, the number of confirmed cases increases, so does the Deaths rate with a similar ratio. In other words, if the Confirmed case saw a sharp increase, the Death case would be expected to also experience a drastic increase.

The Vietnam graph shows that the Confirmed cases were suddenly exploded from August 2020 to September 2020, causing Deaths cases to go up rapidly. Additionally, because there were not many previous Deaths cases in Vietnam, the Deaths line showed a strong exponential increase.

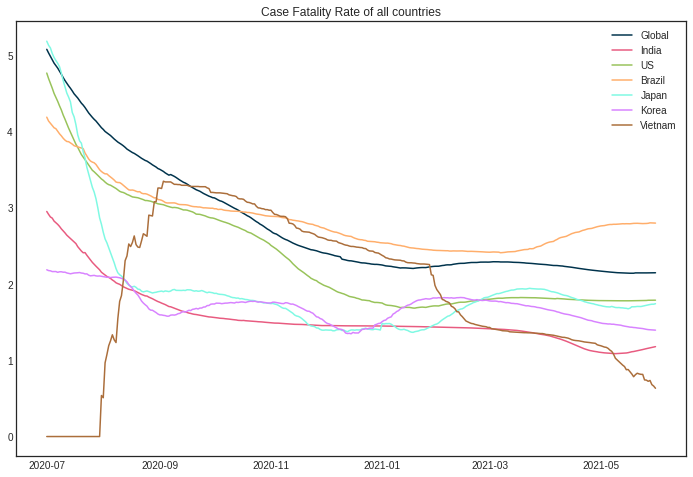


FIGURE 7. Case-fatality rate of regions.

The recovery rate is defined by

which means the ratio of dead people to total infections.

With the exception of Vietnam, the graphs show an exponential decay trend. In addition, Japan’s CFR decreases the most over time, suggesting that Japan is well under control of the disease.

We can see that there are some slight increases followed by decreases in South Korea and Japan line graphs. This might be due to some sudden outbreaks in these countries.

Before September of 2020, the Vietnam line chart was the only one that increased drastically while the others decreased. This is also when the Confirmed cases escalated, as mentioned. It is possible that the country was controlling the pandemic so well that its people lowered their guards, which allowed the disease to spread. However, from November 2020, it started to drop. And from February 2021, the line decreased rapidly, so Vietnam must have had the pandemic under control.

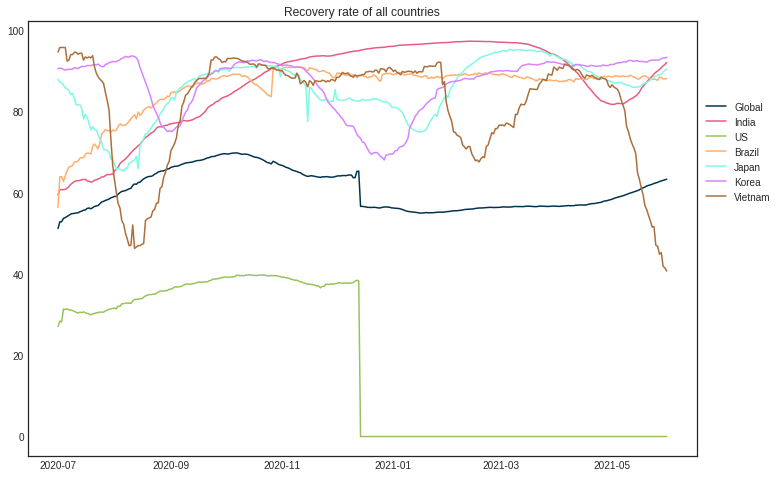


FIGURE 8. Recovery rate of regions.

The recovery rate is defined by

which means the ratio of recovered people to total infections.

The general trend on this graph appears to be moving up and down around a stationary line.

Line graph of the United States is missing from December 14, 2020 onwards. US is the country with the highest number of Confirmed as well as Deaths globally. Hence, the US Recovery data should have a huge impact on global Recovered data trend.

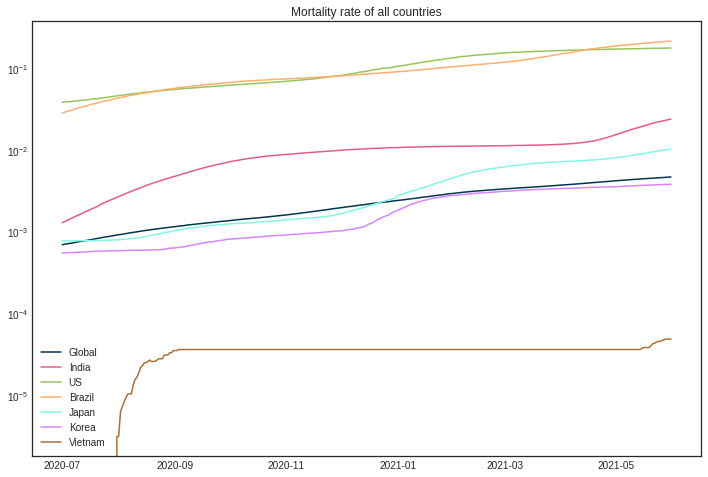


FIGURE 9. Mortality rate of regions, on logarithm scale.

The mortality rate is defined by

which means the ratio of dead people to total population of an area.

The graph shows that the rate is growing relatively smoothly, with the exception of Vietnam, which saw a sharp increase. Even if only a small proportion of the population (0.01% - 0.2%) is lower than the mortality rate of people who die from other reasons (traffic accidents, other diseases), we still have to take into account that not all COVID-19 cases are recorded according to the observations in the previous sections. As a result, it is still a dangerous disease and if we don't make efforts to control it, the death rate could rise exponentially to the point of loss of control.

In additional, the table below shows the date countries started mass vaccination:

|  |  |
| --- | --- |
| Global | 02/12/2020 |
| US | 14/12/2020 |
| Brazil | 17/01/2021 |
| India | 16/01/2021 |
| Korea | 26/02/2021 |
| Japan | 17/02/2021 |
| Vietnam | 08/03/2021 |

TABLE 1. Starting date of vaccination by country.

From the numbers and analyzes in the previous part, it can be seen that the numbers do not change significantly, even if vaccinations are already involved. The benefit is likely to come in the long run.

### Histograms and distributions

A frequency distribution shows how often each different value in a set of data occurs. A histogram is the most commonly used graph to show frequency distributions. Although histograms are made up of bars and looks very much like bar charts, they are not the same. There are important differences:

* *Histogram* refers to a graph that displays data in the form of bars to indicate the frequency of numerical data; whereas *bar graph* is a graphical representation of data that uses bars to compare different categories of data.
* *Histogram* is used for nondiscrete variable distribution, while *bar graph* is used for discrete variables comparison.
* With *bar charts*, it is common to rearrange the blocks, from highest to lowest. This is not possible with *histograms*.

In conclusion, histogram is used to show the frequency of occurrences and bar graphs are useful for comparing different categories of data. [40]

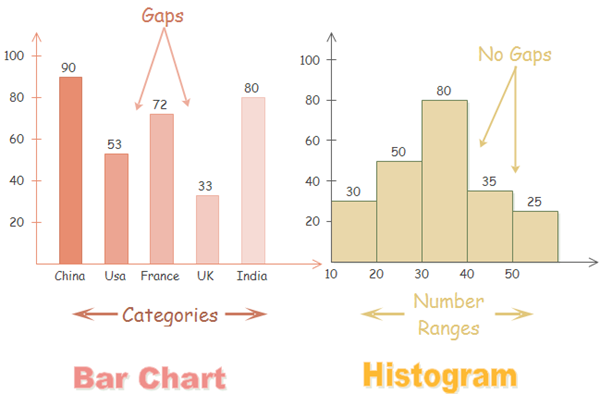


FIGURE 10. Differences between bar chart and histogram. [41]

Some of the most common types of histogram distribution are listed below:

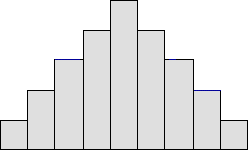


FIGURE 11. Normal distribution.

A common pattern is the bell-shaped curve known as the *normal distribution*. In a normal or "typical" distribution, points are as likely to occur on one side of the average as on the other. There are other distributions that look very similar to the normal distribution. Statistical calculations must be utilized to prove a normal distribution.

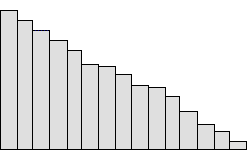


FIGURE 12. Right-skewed distribution.

The skewed distribution is asymmetrical because a natural boundary prevents results one side. The apex of the distribution is eccentric towards the border and a tail extends from it. For example, a distribution of analyses of a very pure product would be skewed, because the product cannot be more than 100 percent pure. Other examples of natural limits are holes that cannot be smaller than the diameter of the drill bit or call-handling times that cannot be smaller than zero. These distributions are called right- or left-skewed depending on the direction of the tail.

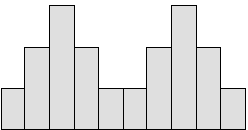


FIGURE 13. Bimodal (double-peaked) distribution.

A bimodal shape has two peaks. This shape may show that the data has come from two different systems. If this shape occurs, the two sources should be separated and analyzed separately.

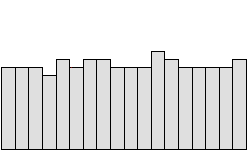


FIGURE 14. Uniform distribution.

A uniform distribution provides little information about the system. An example would be a state lottery where each class has roughly the same number of elements. A uniform distribution often means that the number of classes is too small.

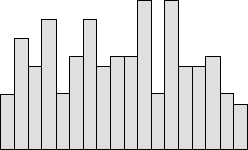


FIGURE 15. Random distribution.

A random distribution has no obvious pattern. Like the uniform distribution, it may describe a distribution that has several modes (peaks). A random distribution often means there are too many classes. [42][43]

Mathematical distribution such as Laplace distribution, Gaussian distribution, Poisson distribution,... are also often used in statistical analysis.

* + 1. **Data histograms**

We observe the histograms created from recorded data of each region.

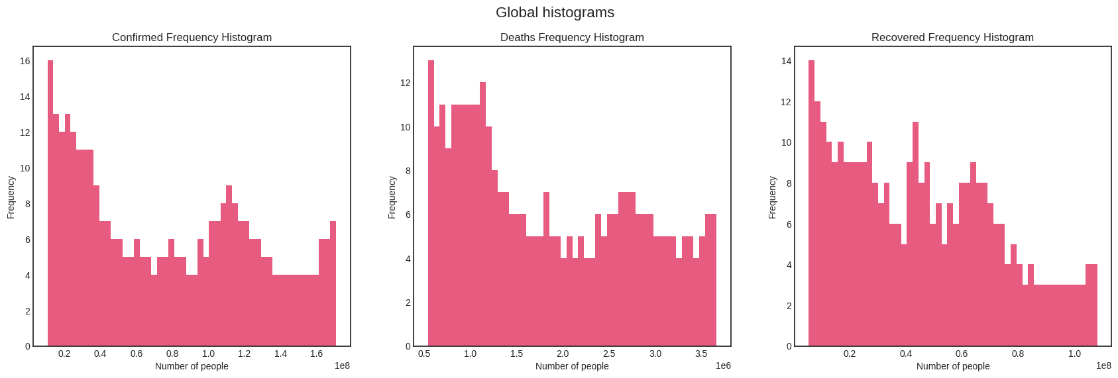


FIGURE 16. Global Confirmed, Death and Recovered frequency histograms. The overall shapes of three figures appear somewhat **skewed to the right** with several peaks (**multimodal**). This means the data tends to have lower values.

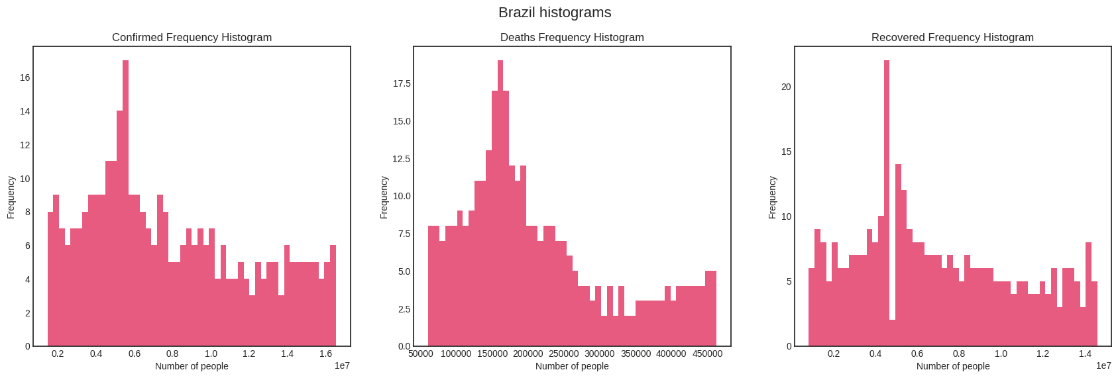


FIGURE 17. Confirmed, Death and Recovered frequency histograms of Brazil. All three graphs show the same trend of a **right-skewed** **distribution**.

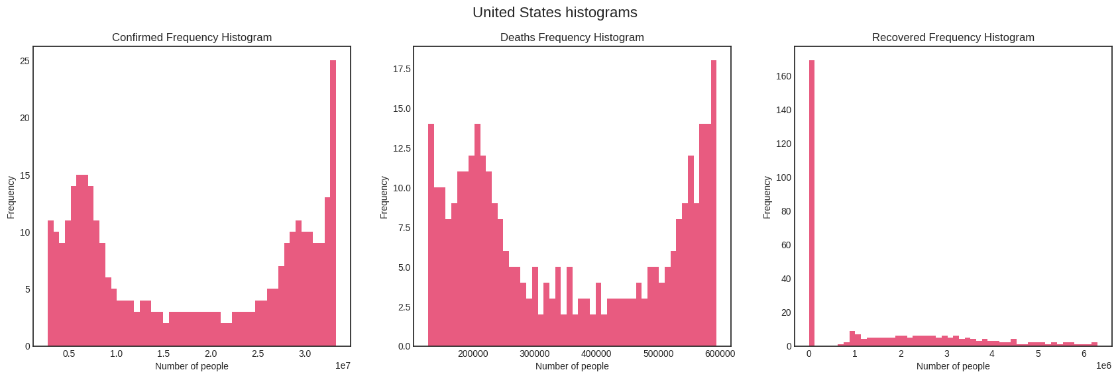


FIGURE 18. Confirmed, Death and Recovered frequency histograms of United States. The last graph seems **randomly distributed**, while the other two somewhat follow the ***dog food distribution*** – meaning the data tends to split into two clusters at either ends, creating a large gap in between remains.

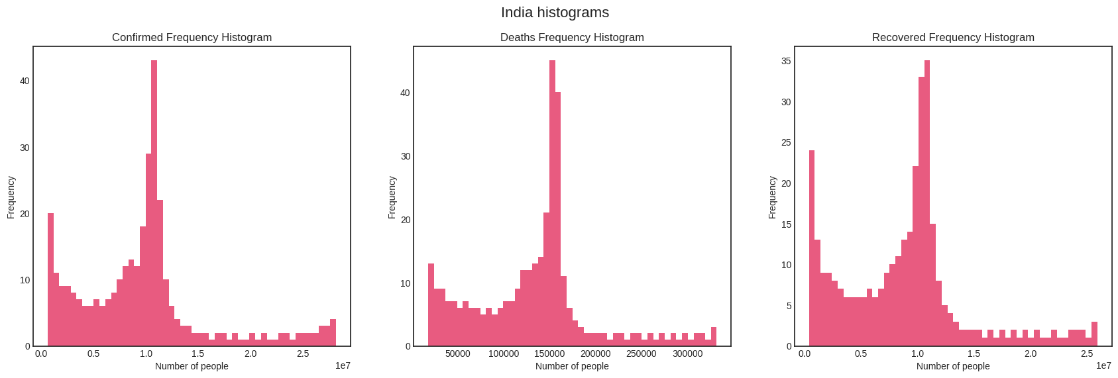


FIGURE 18. Confirmed, Death and Recovered frequency histograms of India. The three plots closely follow the **Laplace distribution** with a large peak at the left end (edge peak).

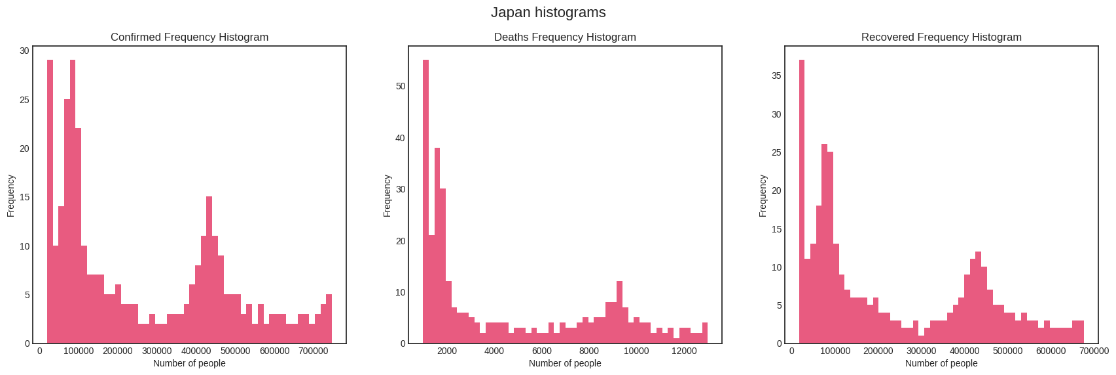


FIGURE 19. Confirmed, Death and Recovered frequency histograms of Japan. All graphs show **multimodal right-skewed distribution**.

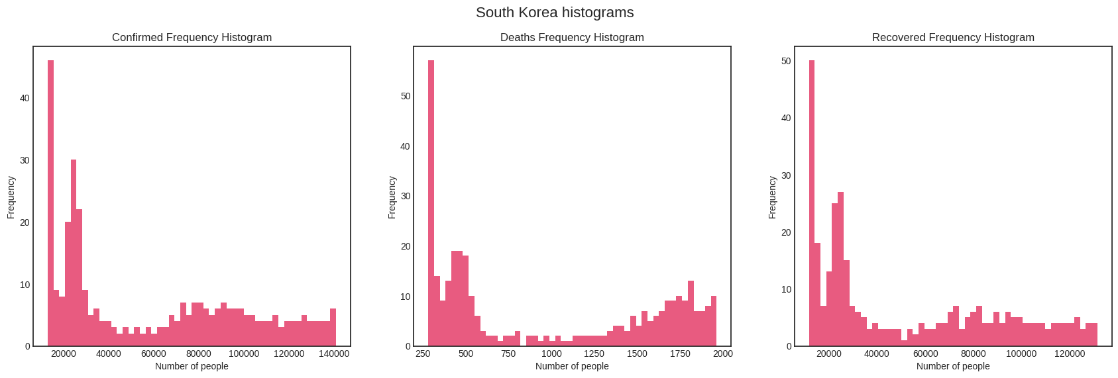


FIGURE 20. Confirmed, Death and Recovered frequency histograms of South Korea. The first and last graphs have the same shape of a **right-skewed trend** with a small elevation, while Deaths histogram looks like the **dog food distribution**.

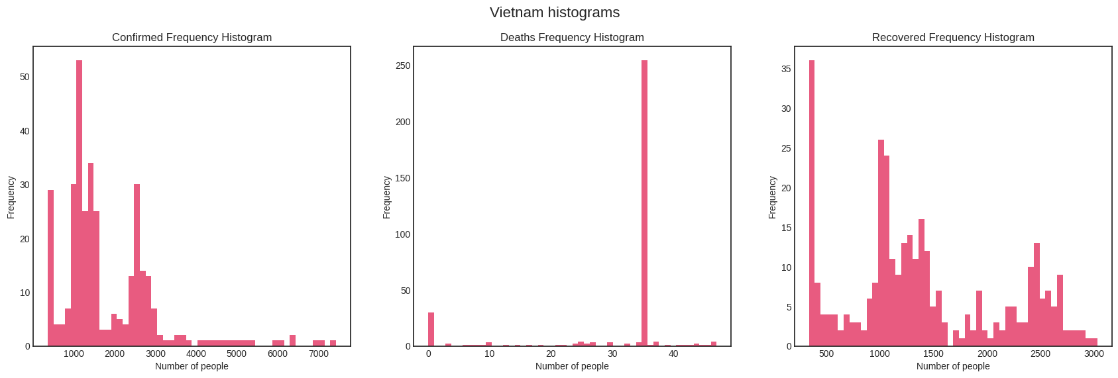


FIGURE 21. Confirmed, Death and Recovered frequency histograms of Vietnam. Data appear as **random distribution** as Vietnam has little information compared to others countries.

### Custom visuals

In this section, we examine some other factors behind the pandemic.

#### 3.2.4.1 Country population

India is the world’s second most populous and has the highest population amongst all studied countries. In 2020, the estimated total population in India amounted to approximately 1.38 billion people, with average density of 464 people per km2. [44]

India's current COVID-19 surge is an unprecedented public health crisis. Previous data analysis in Section shows that the country’s confirmed daily cases are plateauing. But in reality, the true scale of the COVID-19 outbreak in India is impossible to accurately quantify.

The populations of Japan, Korea, and Vietnam are relatively small. These are countries that have fewer confirmed cases compared to the other countries.

Studies show that population density facilitates transmission of disease via close person-to-person contact and may support sustained disease transmission due to increased contact rates. [45] Based on these observations, we see that population density levels at the county level can increasingly explain the variation of cumulative cases across counties as the

epidemic progressed.

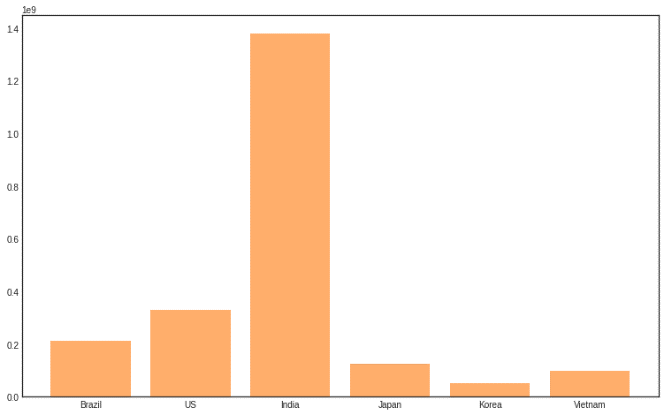


FIGURE 22. Population of studied countries.

#### 3.2.4.2 Age distribution

Below are Japan and South Korea’s confirmed cases histograms, distributed by age.

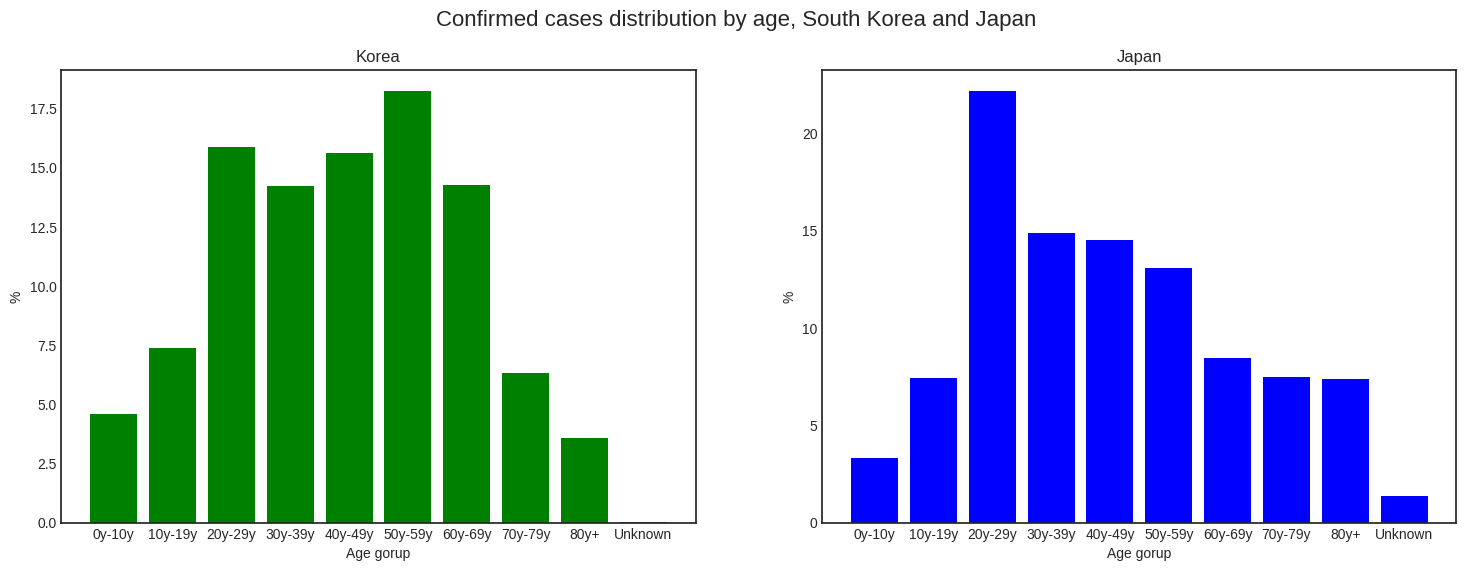


FIGURE 23. Confirmed cases distribution by age of South Korea and Japan.

The graph of South Korea distributed normally. Most of the confirmed cases of Korea range from 20 to 59, while Japan has a surprisingly high number of 20s people diagnosed. The distribution of Japan is right-skewed.

It is observed that the reasons behind Japan’s case are:

*(i). Social: 20s tend to have more social activities than the others, for example: universities, dating, and hanging out.*

*(ii). Work: Younger people tend to be delayed from school while universities continue to work.*

*(ii). Romance Factor: The 20s are biologically the high point of man's romantic seasons, which means more close contact and activity.*

*(iii). Psychological factors: COVID-19 is extremely dangerous for the elderly, but not so much for the younger ones. To most people, it just seems like a different type of flu.*

*This could make them take containment measures (like quarantine and social distancing) less seriously. [46]*

While in the case of Korea, the most popular working age is 20-59. Participating in daily activities may put people at a higher risk of developing serious routes of infection.

*3.2.4.2 Stringency Index*

Stringency index is a composite score developed by researchers at Oxford University to compare countries’ policy responses to the COVID-19 pandemic.

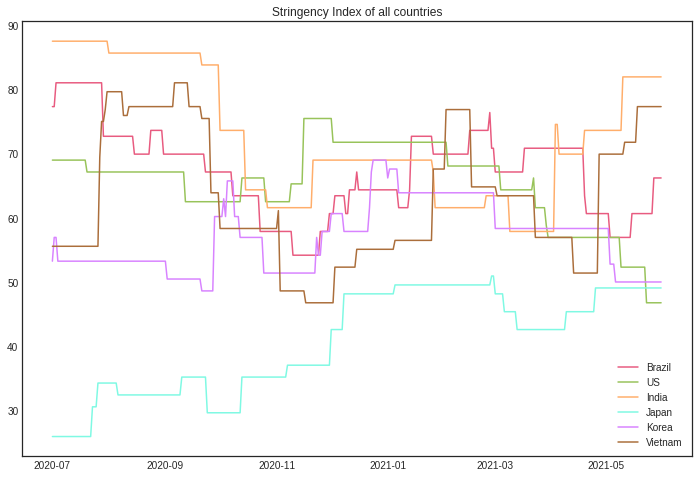


FIGURE 22. Population of studied countries.

Each country’s lockdown is different. The wide range of actions taken by different governments presents a challenge for analysts who wish to compare these guidelines over time or between countries. To enable such comparisons, a team at Oxford University’s Blavatnik School of Government maintains a database of pandemic-response measures and derives an index of the measures’ overall stringency. Public information on government response measures are collected and assigned stringency ratings which are then used to derive a composite score between 0 and 100.

According to the Oxford team, the index does not perfectly capture local measures in large or federal countries. A measure only in force in one or two regions contributes less to the stringency index than a nationwide policy, but rules in force in only one or two regions can also inflate a whole country’s overall score. [47][48]

As can be seen from the graphic and the previous sections, countries with the higher case

numbers tend to have a higher stringency index. The response of governments to the COVID-19 situation is important to keep the pandemic under control.

# SIR model terminology 101

To understand the key elements affecting the transmission of COVID-19, we consider developing a predictive model. One of the most well-known models in epidemiology is SIR.

## From basic exponential growth to the famous curves set

A person who carries the virus is said to be ***Infected***, and others who are not infected but still have a percentage chance of getting the virus spread by the *Infected* are said to be ***Susceptible***. Infectious people can turn susceptible people into even more infectious people. [49]

In a very simple scenario, for example, assume that each *Infected* can infect two *Susceptible*, which means that after a certain period of time, 1 *Infected* becomes 2, 2 becomes 4, 4 becomes 8, and so on; starting with only 1 of the population being *Infected* and without additional factors. The infection diagram is similar to the following figure [50]:

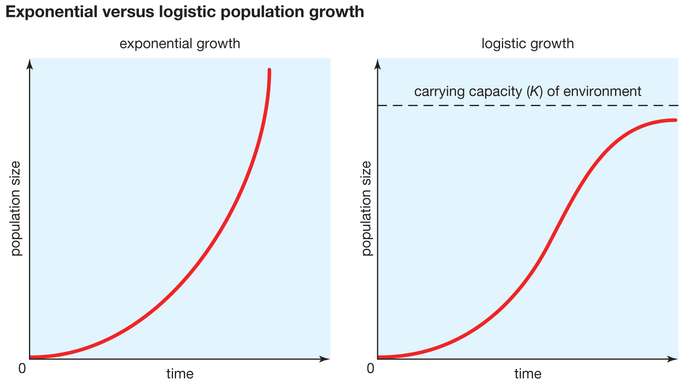


FIGURE X: Growth of the number of *Infected* in the simplest scenario

This trend follows the **basic exponential growth**: starts low and then unexpectedly blows up explodes to infinity.

Fortunately, that definitely doesn't happen in real life. The human population is a fixed number. Therefore, the trend cannot go on forever. The idea is:

* *When there is only one Infected and the others are Susceptible, the Infected can infect 100% of contacts*
* *When half of the population are Infected and another half are Susceptible, the Infected can infect 50% of contacts*
* *When all of the population are Infected, they cannot infect anyone else.*

In that sense, the more *Infected* there are, the faster *Susceptible* become *Infected;* but, the fewer *Susceptible* there are, the slower *Susceptible* become *Infected.* The epidemic growth graph has now been changed [51]:

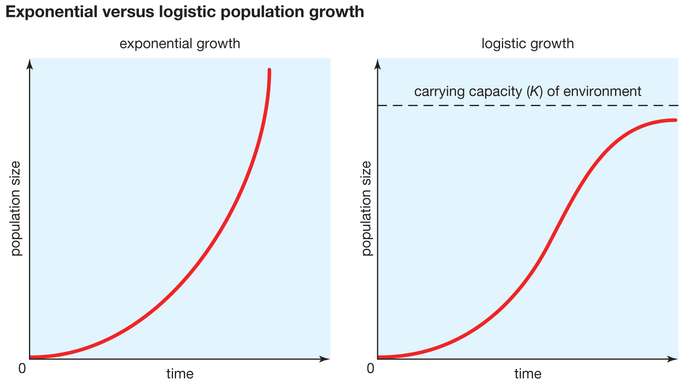
**

FIGURE X: Growth of the number of *Infected* when the population capacity is fixed

This is called the **logistic growth curve**: starts low, explodes, and then slows down again.

However, the concept is not yet applicable. In reality, when the *Infected* stops being contagious, this means: either they are 1/ recovered (and healthy), 2/ immune to the virus but left with permanent disabilities, or in the worst case, 3/ dead. To put it simply, we pretend that all *Infected* become ***Recovered*** after some days and never be infected again.

Assume that the population is fixed and contains only *Infected* and *Recovered*. Change in amount of each aspect is simulated below [52]:

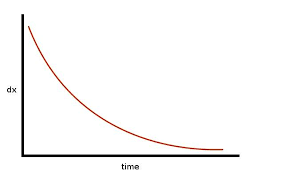


FIGURE 6: Graph of Infected assuming that all Infected will become Recovered

This is the opposite of the above exponential growth - the **exponential decay curve**.

Combine the S-shaped logistic growth of Infected-Susceptible with the decay curve of Infected-Recovery, we obtain the final result:

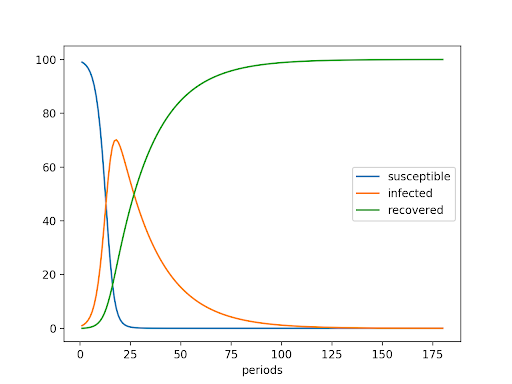


FIGURE X: Graph of Infected, Recovered and Susceptible with respect to time

This set of curves is an important and common mathematical concept in data analytics - the SIR Model.

## SIR Model

### Theory

SIR model divides a fixed population of N people into 3 compartments:

* + - *Susceptible (S):* The individual has not contracted the disease, but can be infected due to transmission from infected people.
    - *Infected (I):* The individual has contracted the disease.
    - *Recovered (R):* The individual has survived and developed immunity to the disease or is deceased.

Consider that people develop immunity and there is no transition from recovered to the remaining 2 stages, the differential equations that govern the system are:

With

* beta ( β ): probability susceptible–infected contact results in a new exposure;
* gamma ( γ ): probability of one infected subject gets recovered;

This model requires as input the amount of the susceptible, infected, and cured or dead population, all referring to a reference time, called time 0. The parameters are necessary to establish the rates and the model dynamics.

The SIR model is one of the simplest compartmental models whose main purpose is to understand the key factors that impact the epidemic transmission, which, in this case, is β and γ. [53]

### Results

The results for the SIR model are presented in the order established for the country analysis: Global, Brazil, USA, India, Japan, Korea and finally Vietnam.

With the aim of understanding the effects of the parameter selection for the SIR compartment model, a total of nine diagrams are presented for each country, taking into account a variation of the γ and β parameters. First, a fixed γ = 0.2, which corresponds to an infection duration of 5 days, is selected and the three different values for β are considered: β = [1, 2, 3]. This means that the proposed simulation will consider different possibilities for the capacity of the virus to spread through personal contacts and its inherent rate of transmission. The same process is then repeated for γ = 0.1, corresponding to an infection duration of 10 days and γ = 0.066, which corresponds to an infection duration of 15 days.

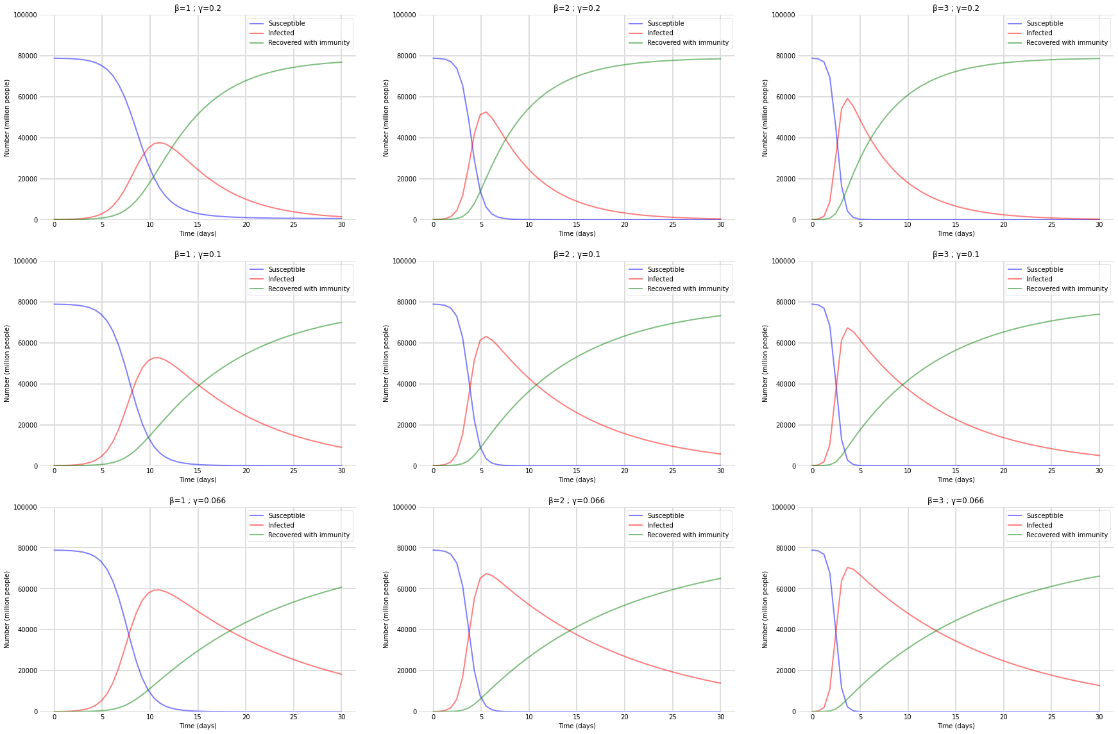


FIGURE X. Results for Global: Prediction based on the SIR model considering the variation of the parameters γ and β. 1st, 2nd and 3rd figures has γ = 0.2 and β = [1, 2, 3], respectively. 4th, 5th and 6th figures has γ = 0.1 and β = [1, 2, 3], respectively. Figures 7th, 8th and 9th has γ = 0.066 and β = [1, 2, 3], respectively.

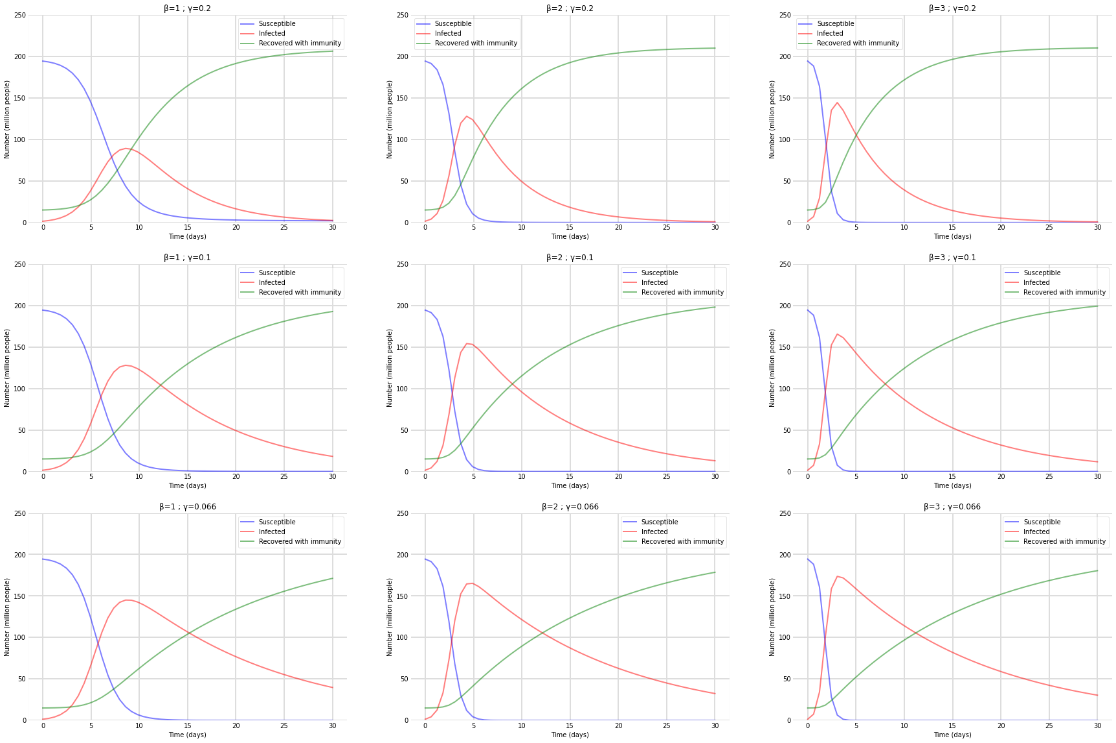


FIGURE X. Results for Brazil: Prediction based on the SIR model considering the variation of the parameters γ and β. 1st, 2nd and 3rd figures has γ = 0.2 and β = [1, 2, 3], respectively. 4th, 5th and 6th figures has γ = 0.1 and β = [1, 2, 3], respectively. Figures 7th, 8th and 9th has γ = 0.066 and β = [1, 2, 3], respectively.

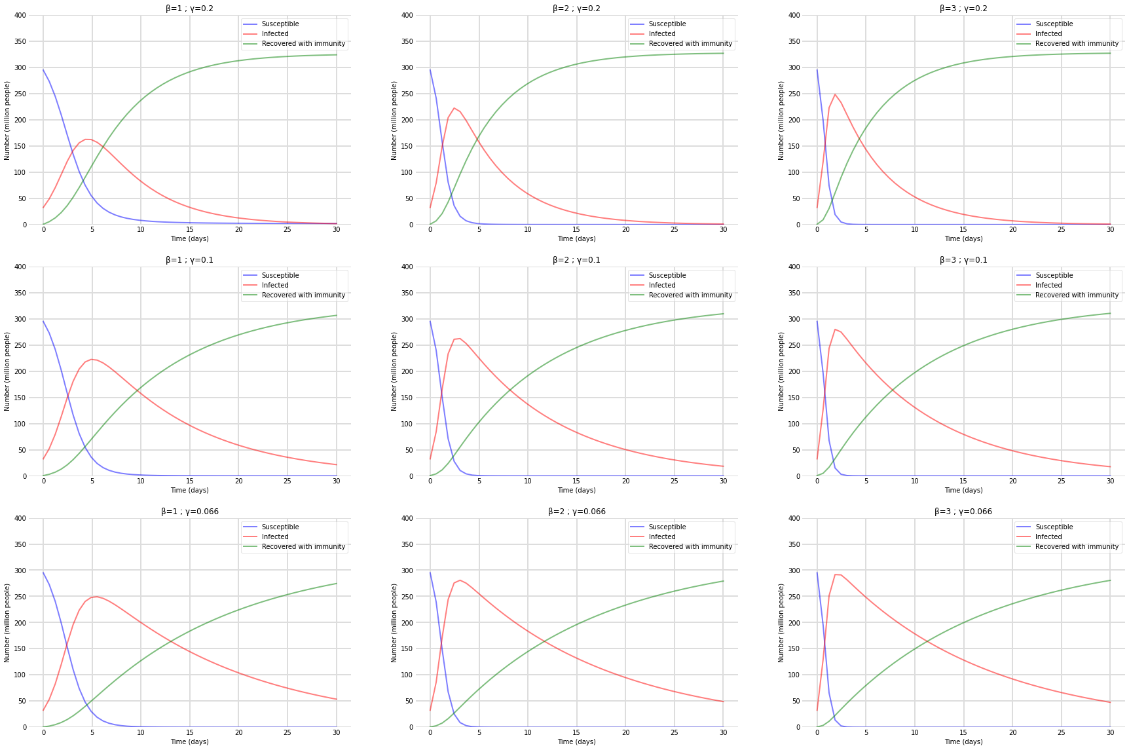


FIGURE X. Results for United States: Prediction based on the SIR model considering the variation of the parameters γ and β. 1st, 2nd and 3rd figures has γ = 0.2 and β = [1, 2, 3], respectively. 4th, 5th and 6th figures has γ = 0.1 and β = [1, 2, 3], respectively. Figures 7th, 8th and 9th has γ = 0.066 and β = [1, 2, 3], respectively.

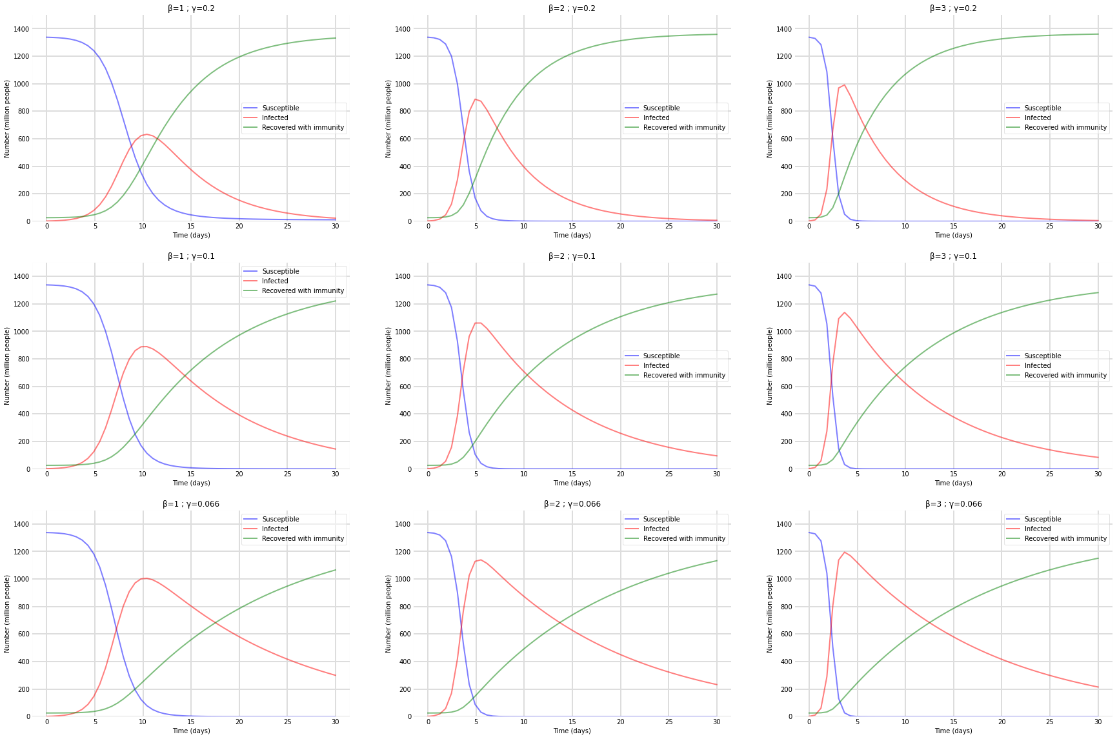


FIGURE X. Results for India: Prediction based on the SIR model considering the variation of the parameters γ and β. 1st, 2nd and 3rd figures has γ = 0.2 and β = [1, 2, 3], respectively. 4th, 5th and 6th figures has γ = 0.1 and β = [1, 2, 3], respectively. Figures 7th, 8th and 9th has γ = 0.066 and β = [1, 2, 3], respectively.

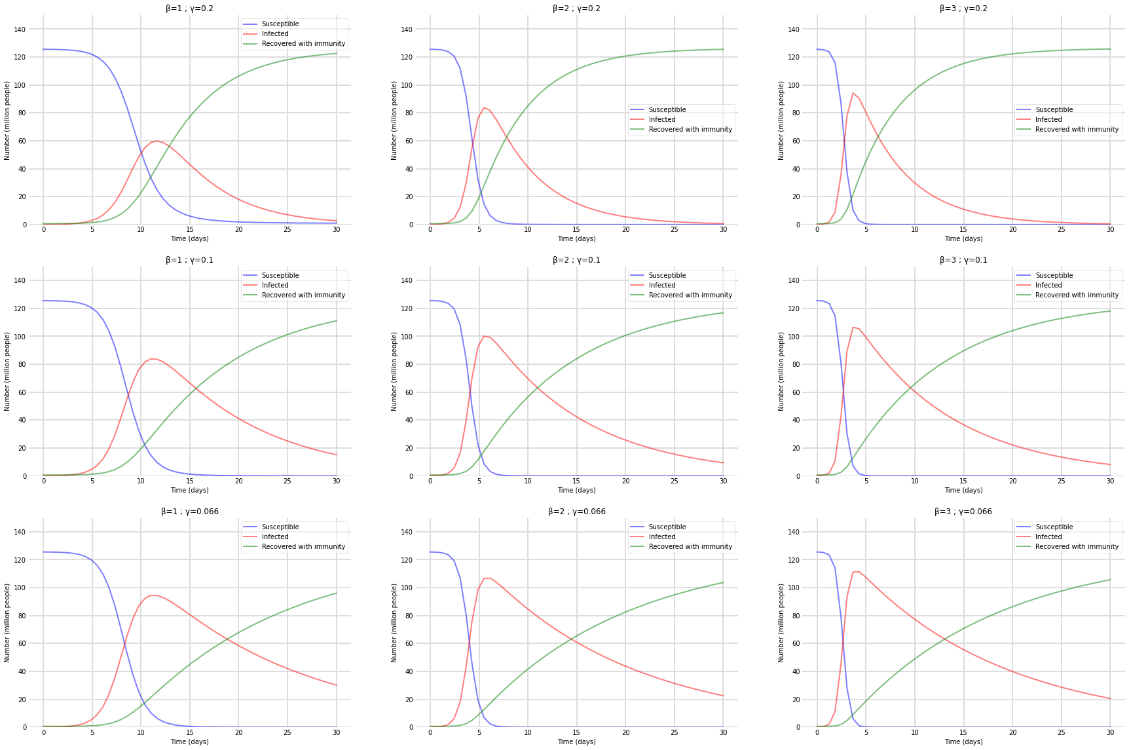


FIGURE X. Results for Japan: Prediction based on the SIR model considering the variation of the parameters γ and β. 1st, 2nd and 3rd figures has γ = 0.2 and β = [1, 2, 3], respectively. 4th, 5th and 6th figures has γ = 0.1 and β = [1, 2, 3], respectively. Figures 7th, 8th and 9th has γ = 0.066 and β = [1, 2, 3], respectively.

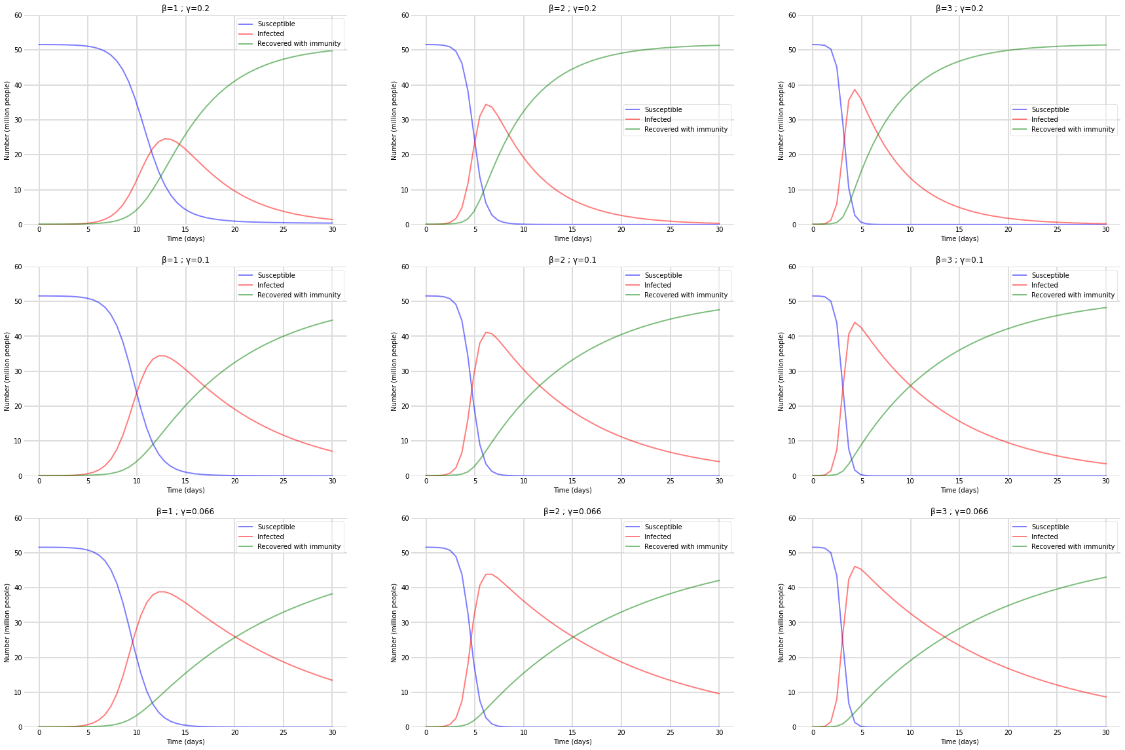


FIGURE X. Results for South Korea: Prediction based on the SIR model considering the variation of the parameters γ and β. Figures a, b, and c has γ = 0.2 and β = [1, 2, 3], respectively. Figures d, e, and f has γ = 0.1 and β = [1, 2, 3], respectively. Figures g, h, and i γ = 0.066 and β = [1, 2, 3], respectively.

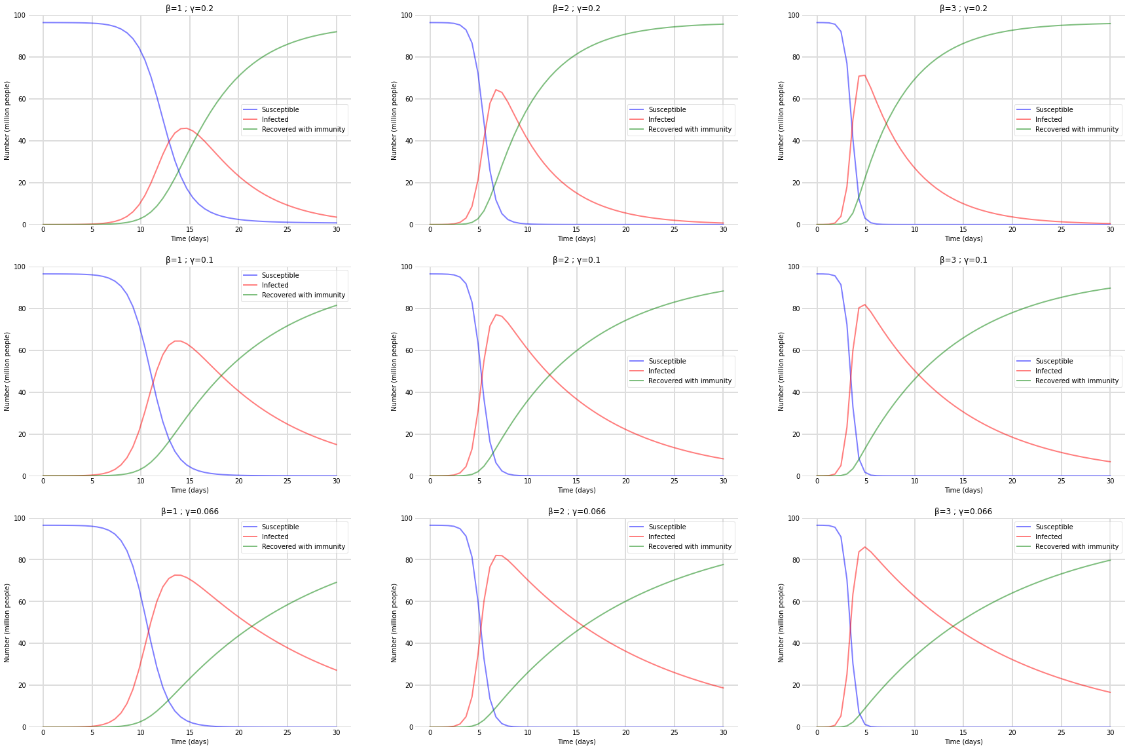


FIGURE X. Results for Vietnam: Prediction based on the SIR model considering the variation of the parameters γ and β. Figures a, b, and c has γ = 0.2 and β = [1, 2, 3], respectively. Figures d, e, and f has γ = 0.1 and β = [1, 2, 3], respectively. Figures g, h, and i γ = 0.066 and β = [1, 2, 3], respectively.

### Observations of the model

* *At the start of the period, the number of infected individuals increases if β > γ and vice versa.*
* *The number of susceptible individuals decreases over time.*
* *The number of infected individuals reaches a peak, then decreases over time. This occurs sooner as the parameter β increases.*
* *All compartments flatten out after a period of time, which occurs sooner as the parameter γ increases.*
* *The number of recovered or deceased individuals increases more or less significantly as the number of infected individuals increases or decreases respectively.*

The application of SIR is widely popular during the COVID-19 pandemic but many predictions weren’t verified because the modeling could not represent the real models, which were dependent on several external factors. As expected, when using these models, the results presented for SIR was strongly dependent on the parameters selection. Each parameter is responsible for the rate of transitions between one compartment and the next one.

Compartmental models like SIR are valid approaches for comprehending and analyzing epidemiological data, especially if the model is adjusted to consider specific aspects of the epidemic under analysis, as in the case of the COVID-19 pandemic. For example, at the beginning of the pandemic in some countries, several publications and articles were considering the model as ground truth and assuring that the pandemic would peak and exponentially decrease to near zero in just a few days, which has not been confirmed in real cases. [1]

# Machine learning in COVID-19 prediction

The aim of these models is to predict is the number of infected (or active) cases of COVID-19.

## Random Forest Regression (RFR) model

### Theory

Random Forest Regression (RFR) is a supervised learning algorithm that uses ensemble learning methods for regression. Ensemble learning method is a technique that combines predictions from multiple machine learning algorithms to make a more accurate prediction than a single model. [54]

RFR splits the dataset into a number of trees (n\_estimators [55]), then repeats the process in each tree for a number of times (max\_depth [55]) or until all leaves are pure (all data points contain the same label). Then it takes in all of the output of the trees to form a final result.

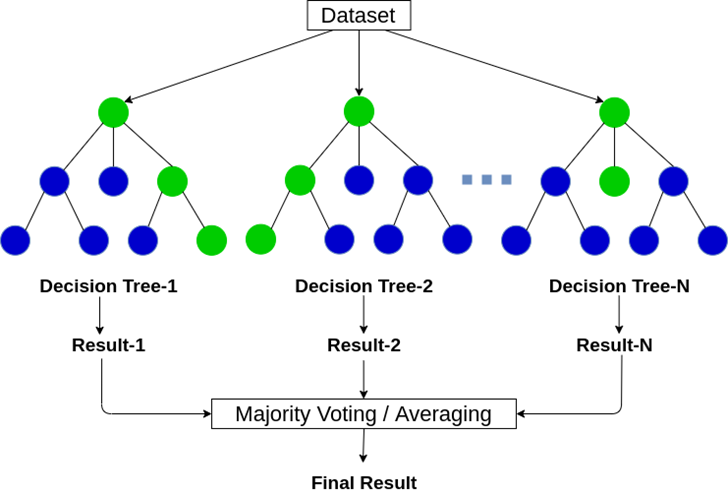


FIGURE B1 [56]

### Pre-proposed method

RFR requires an input value and an output value in order to function. First step is to convert our time-series dataset into a monitored learning dataset.

To predict the value for the last 14 days, the model will predict the next day's data from the values ​​for the last known 6 days, then add the forecast to the data set and repeat the process for a total of 14 times.

The results were organized in the following sequence: Global, Brazil, United States, India, Japan, South Korea, Vietnam.

### Test results

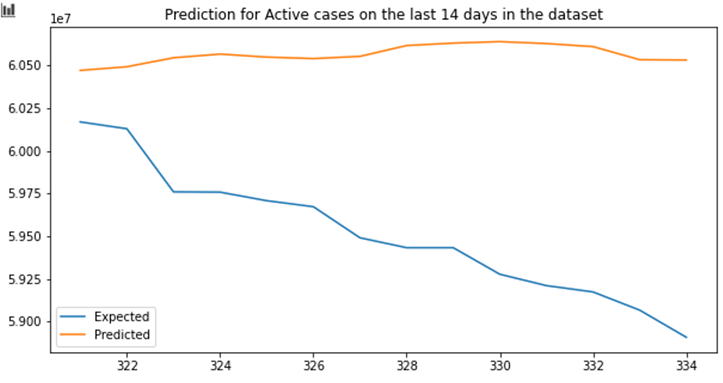


FIGURE X. Test result of the RFR model for last 14 examples of Global data sample.

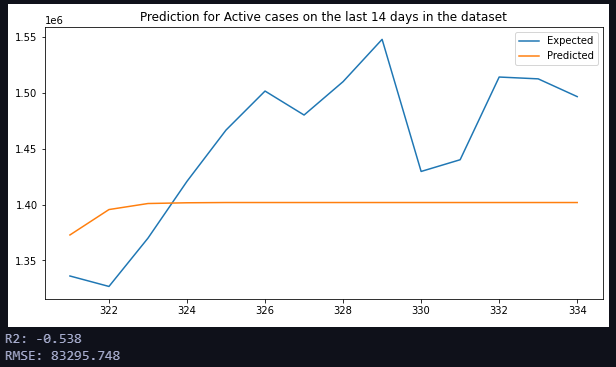
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FIGURE X. Test result of the RFR model for last 14 examples of Brazil data sample.

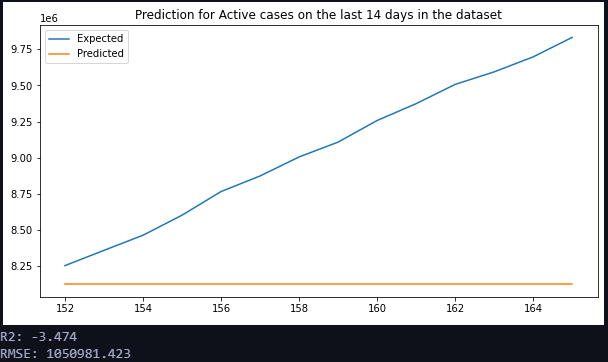
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FIGURE X. Test result of the RFR model for last 14 examples of USA data sample.

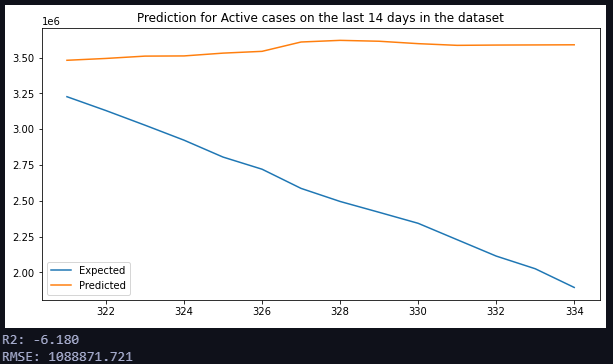
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FIGURE X. Test result of the RFR model for last 14 examples of India data sample.

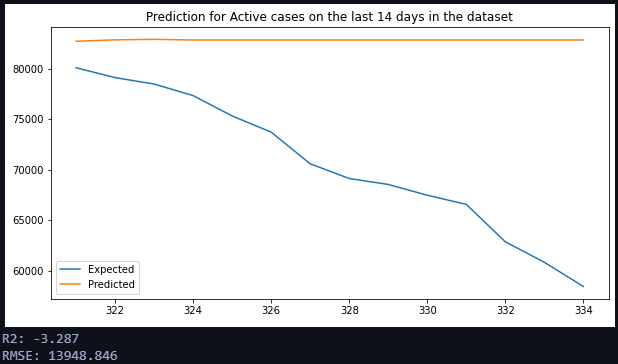
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FIGURE X. Test result of the RFR model for last 14 examples of Japan data sample.

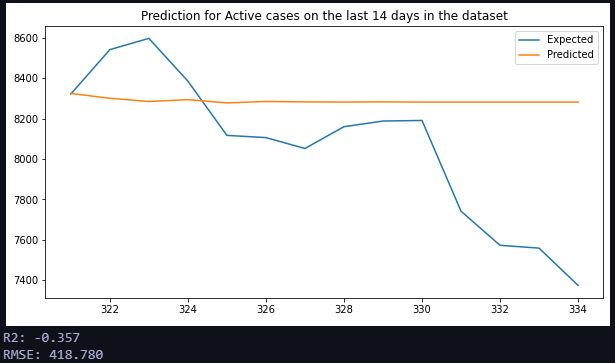
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FIGURE X. Test result of the RFR model for last 14 examples of Korea data sample.

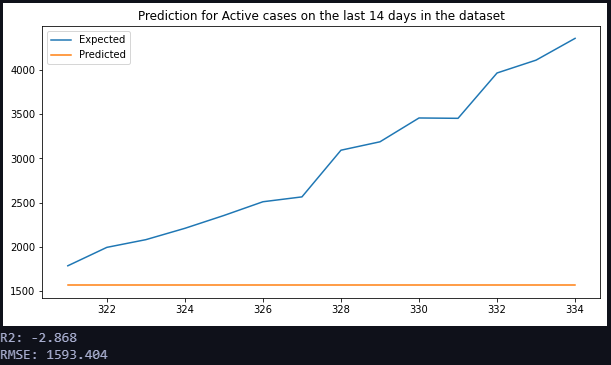
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FIGURE X. Test result of the RFR model for last 14 examples of Korea data sample

### 14-day-forward prediction

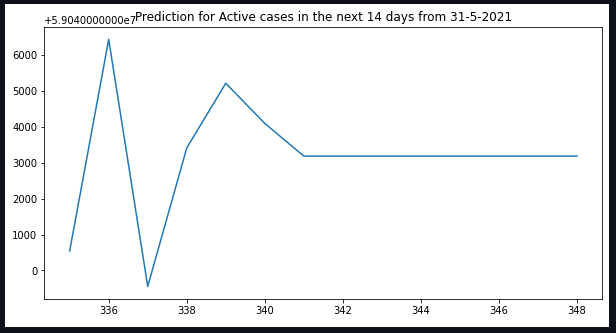
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FIGURE B9. Prediction result of the RFR model of data of the next 14 days from May 31, 2021 (Global).

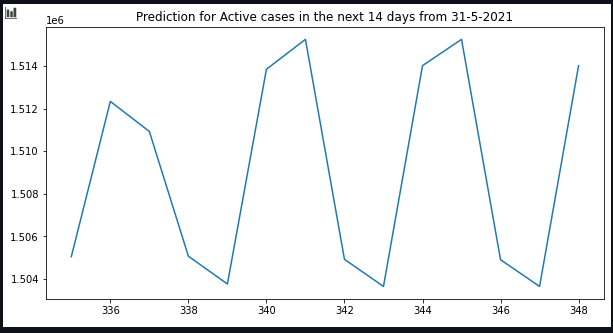
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FIGURE B9. Prediction result of the RFR model of data of the next 14 days from May 31, 2021 (Brazil).

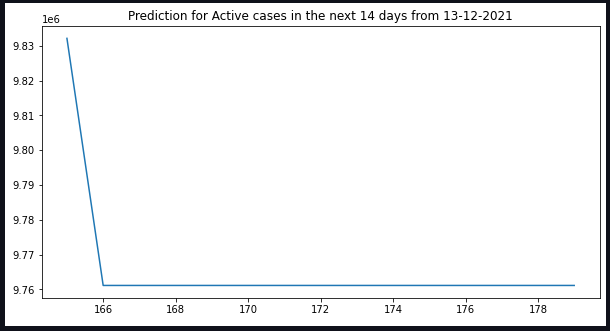
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FIGURE B9. Prediction result of the RFR model of data of the next 14 days from May 31, 2021 (United States).

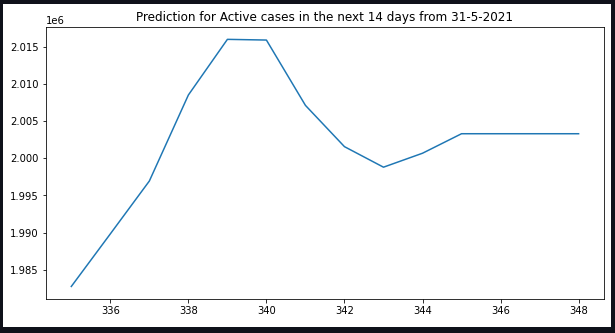
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FIGURE B9. Prediction result of the RFR model of data of the next 14 days from May 31, 2021 (India).

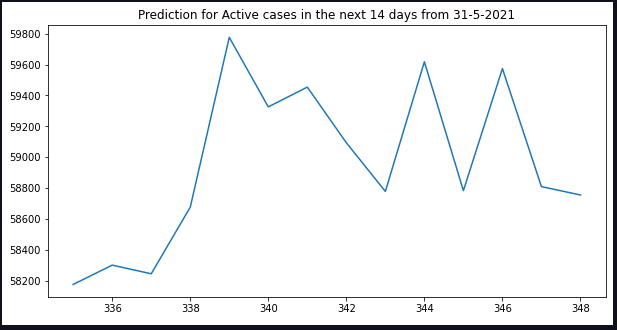
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FIGURE B9. Prediction result of the RFR model of data of the next 14 days from May 31, 2021 (Japan).

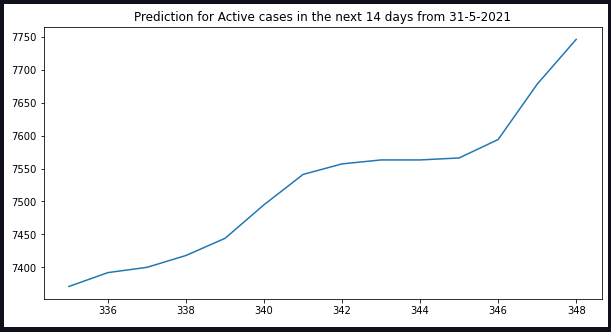
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FIGURE B9. Prediction result of the RFR model of data of the next 14 days from May 31, 2021 (South Korea).

**

FIGURE B9. Prediction result of the RFR model of data of the next 14 days from May 31, 2021 (Vietnam).

### Observations from the model

The prediction graphs are very minuscule for a big pandemic like Covid-19.

## ARIMA Model

### Theory

A time series is expressed as a set of data points arranged in time and its analy sis intends to reveal reliable and meaningful statistics that can be used to interpret information and possibly forecast future values. ARIMA, Autoregressive Integrated Moving Average, was introduced by Box and Jenkins in the 1970s and presented in [2]. This model takes into consideration changing disturbances in time and tendencies [3].

ARIMA, short for ‘Auto Regressive Integrated Moving Average’ is actually a class of models that ‘explains’ a given time series based on its own past values, that is, its own lags and the lagged forecast errors, so that equation can be used to forecast future values.

MA is the present value of a series, which is defined as a linear combination of past errors. Assuming the errors to be independently distributed with the normal distribution [13,19], order q is defined as:

yt = c + εt + θ1yt–1 + θ2yt–2 + ….….….….… + θqyt–q(1)

Where:

εt=white noise

yt-1 and yt-2=lags

Order q of the MA process is obtained from the autocorrelation function (ACF) plot; this is the lag after which ACF crosses the upper confidence interval for the first time. We combined differencing with MA and AR models, and the combined model can be expressed as:

y′t = c + ϕ1y′t–1 + ϕ2y′t–2 + ... + ϕpy′t–p + θ1yt–1 + θ2yt-2 + ….… + θqyt–q + εt(2)

Here, y′t is the differenced series. The “predictors” on the right-hand side include both lagged values of yt and lagged errors. We call this an ARIMA (p, d, q) model, where:

q=order of the MA part

d=degree of first differencing involved

p=order of the AR part

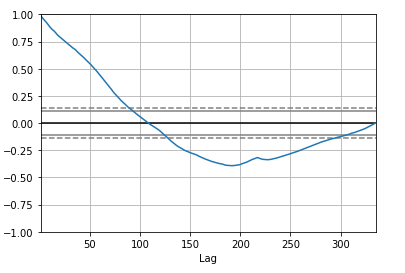
‘p’ is the order of the ‘Auto Regressive’ (AR) term. It refers to the number of lags of Y to be used as predictors

The value of d, therefore, is the minimum number of differencing needed to make the series stationary.

‘q’ is the order of the ‘Moving Average’ (MA) term. It refers to the number of lagged forecast errors that should go into the ARIMA Model. [c1]

### Autocorrelation graph

Autocorrelation refers to the degree of correlation of the same variables between two successive time intervals. It measures how the lagged version of the value of a variable is related to the original version of it in a time series.



Autocorrelation, as a statistical concept, is also known as serial correlation. The analysis of autocorrelation helps to find repeating periodic patterns, which can be used as a tool of technical analysis in the capital markets.

In many cases, the value of a variable at a point in time is related to the value of it at a previous point in time. Autocorrelation analysis measures the relationship of the observations between the different points in time, and thus seeks for a pattern or trend over the time series. For example, the temperatures on different days in a month are autocorrelated.

Similar to correlation, autocorrelation can be either positive or negative. It ranges from -1 (perfectly negative autocorrelation) to 1 (perfectly positive autocorrelation). Positive autocorrelation means that the increase observed in a time interval leads to a proportionate increase in the lagged time interval. [c2]

### Pre-proposed method

The evaluation criteria taking into account the Root Mean-Squared Error (RMSE).

The approximation technique considered for defining the three parameters (p, q, and d) of the ARIMA model was Grid Search CV, which tests a wide set of parameter matching implementations and selects the best fit.

The results were organized in the following sequence: Global, Brazil, United States, India, Japan, South Korea, Vietnam.

### Prediction

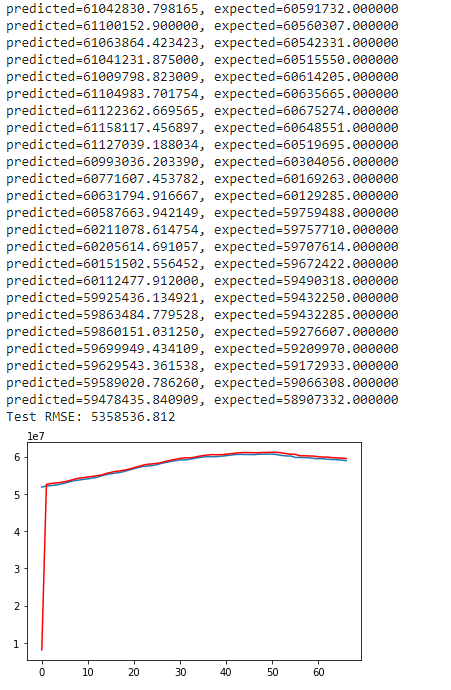


FIGURE X: Prediction results of the ARIMA (p = 0, q = 1, d = 0) model for one sample of COVID-19 Infected cases time series globally. The blue line is the original data, while the red line is the prediction time series.

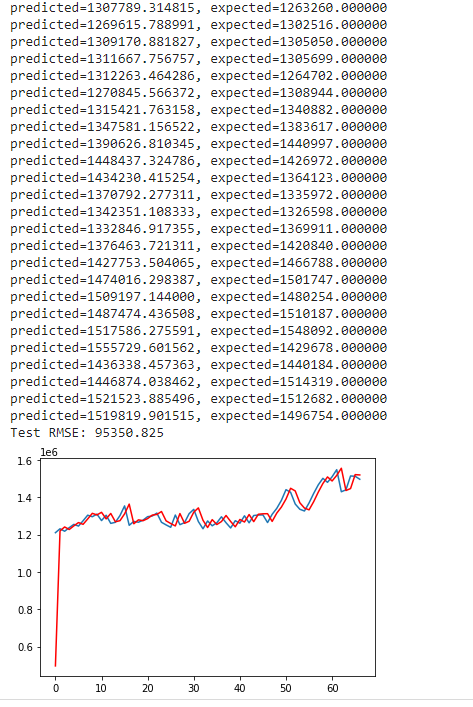


FIGURE X: Prediction results of the ARIMA (p = 0, q = 1, d = 0) model for one sample of COVID-19 Infected cases time series from Brazil. The blue line is the original data, while the red line is the prediction time series.

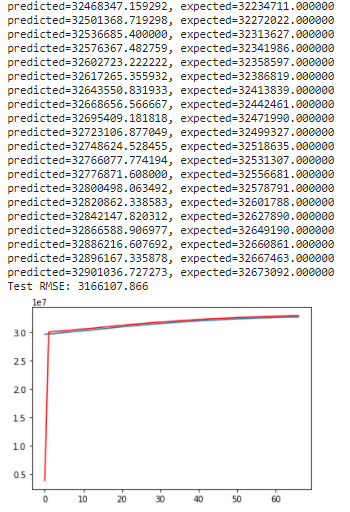


FIGURE X: Prediction results of the ARIMA (p = 0, q = 1, d = 0) model for one sample of COVID-19 Infected cases time series from the United States. The blue line is the original data, while the red line is the prediction time series.

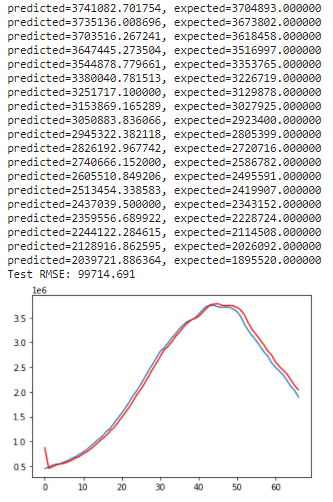


FIGURE X: Prediction results of the ARIMA (p = 1, q = 2, d = 0) model for one sample of COVID-19 Infected cases time series from India. The blue line is the original data, while the red line is the prediction time series.

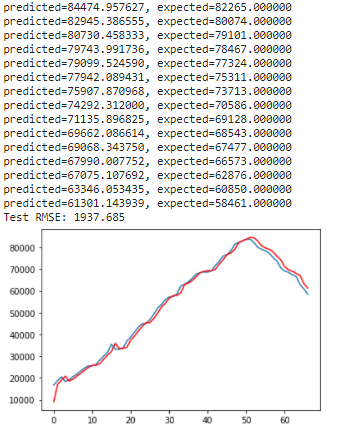


FIGURE X: Prediction results of the ARIMA (p = 0, q = 2, d = 1) model for one sample of COVID-19 Infected cases time series from Japan. The blue line is the original data, while the red line is the prediction time series.

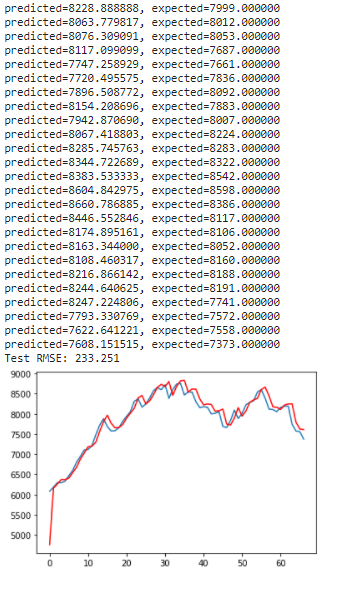


FIGURE X: Prediction results of the ARIMA (p = 1, q = 1, d = 1) model for one sample of COVID-19 Infected cases time series from South Korea. The blue line is the original data, while the red line is the prediction time series.

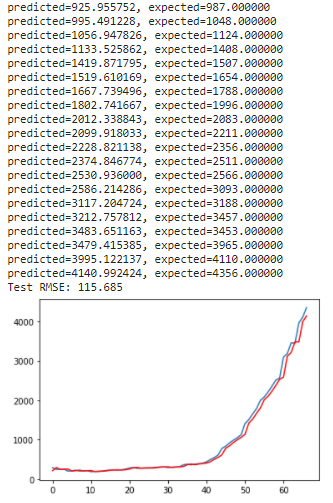


FIGURE X: Prediction results of the ARIMA (p = 1, q = 1, d = 1) model for one sample of COVID-19 Infected cases time series from South Korea. The blue line is the original data, while the red line is the prediction time series.

### Observations of the model

## RapidMiner Automodel

### RapidMiner software

RapidMiner is a free of charge, open-source software tool for data and text mining. It can be used for a wide variety of data and text mining projects.



FIGURE D1: RapidMiner Corporate logo [d1]

The standard implementation of procedures like such as data cleansing, visualization, pre-processing can be done with drag-and-drop options without having to write a single line of code.

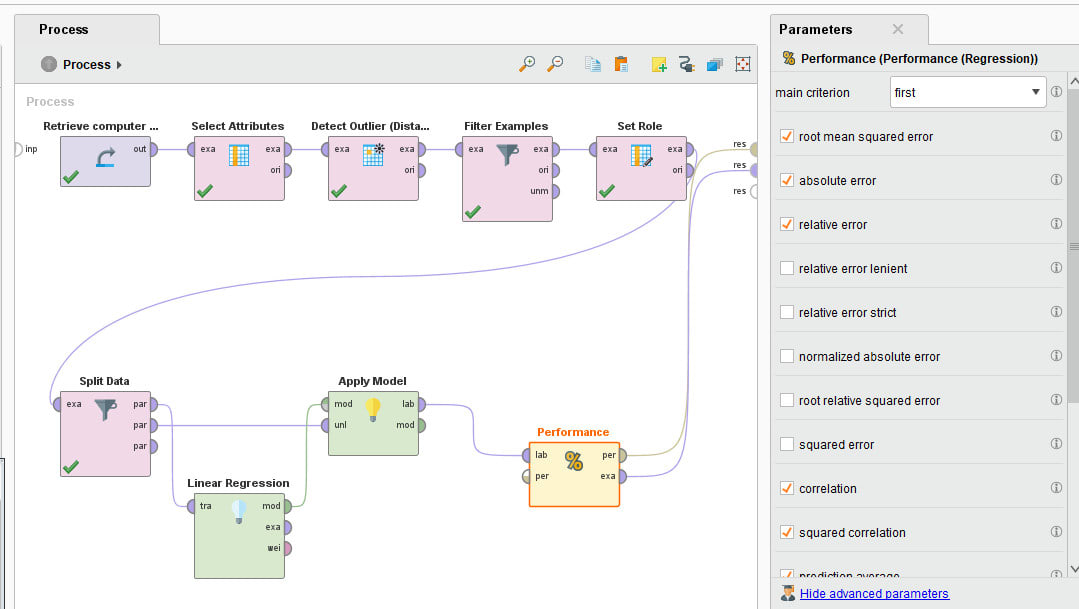


FIGURE D2: A drag-and-drop process in RapidMiner

RapidMiner provides a wide range of machine learning algorithms from classification to clustering and regression. Because of its amazing facilities, users find this tool very useful and easy to use. [d1][d2]

### RapidMiner Auto Model tool for COVID-19 dataset

Auto Model is an extension to RapidMiner Studio that accelerates the process of building and validating models. [d3] In this process, only the dataset of Japan has been used.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Model | Relative Error | Root mean squared error | Absolute error | Relative error lenient | Squared error | Correlation |
| Gradient Boosted Trees | 0.055687537 | 6187.165 +/- 262.045 | 4557.255 +/- 181.221 | 19.87% +/- 0.46% | 38335940.390 +/- 3235662.422 | 0.966 +/- 0.001 |
| Decision Tree | 0.081237991 | 9501.505 +/- 857.883 | 7080.783 +/- 424.311 | 27.07% +/- 1.53% | 90867374.421 +/- 16236145.882 | 0.929 +/- 0.012 |
| Random Forest | 0.143267063 | 3431.359 +/- 1010.122 | 1966.803 +/- 386.474 | 8.12% +/- 1.12% | 12590500.570 +/- 6693870.146 | 0.989 +/- 0.007 |
| Generalized Linear Model | 0.198659166 | 3819.881 +/- 456.789 | 2772.169 +/- 212.237 | 14.33% +/- 0.86% | 14758418.371 +/- 3325913.723 | 0.992 +/- 0.003 |
| Deep Learning | 0.270650191 | 1579.167 +/- 288.263 | 1095.457 +/- 215.990 | 5.57% +/- 0.78% | 2560245.199 +/- 918347.712 | 0.998 +/- 0.001 |
| Support Vector Machine | 0.386122586 | 18825.791 +/- 648.423 | 12610.771 +/- 507.544 | 38.61% +/- 1.20% | 354746768.465 +/- 24676490.093 | 0.760 +/- 0.011 |

TABLE X: Results after running automodel for Japan data sample.

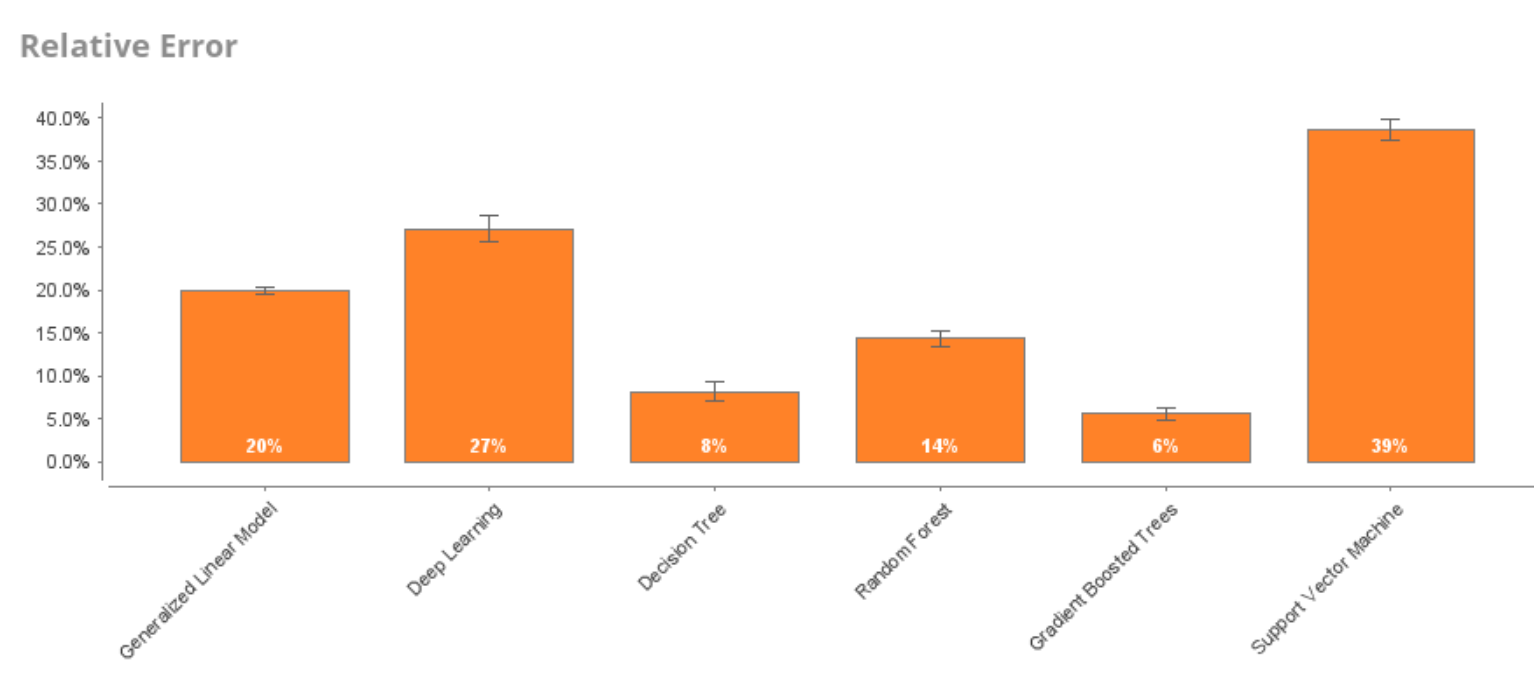


FIGURE X: Relative error of models

Based on the result table, RFR is a relatively promising choice amongst other models. RFR’s errors are minor in all the 6 criteria.

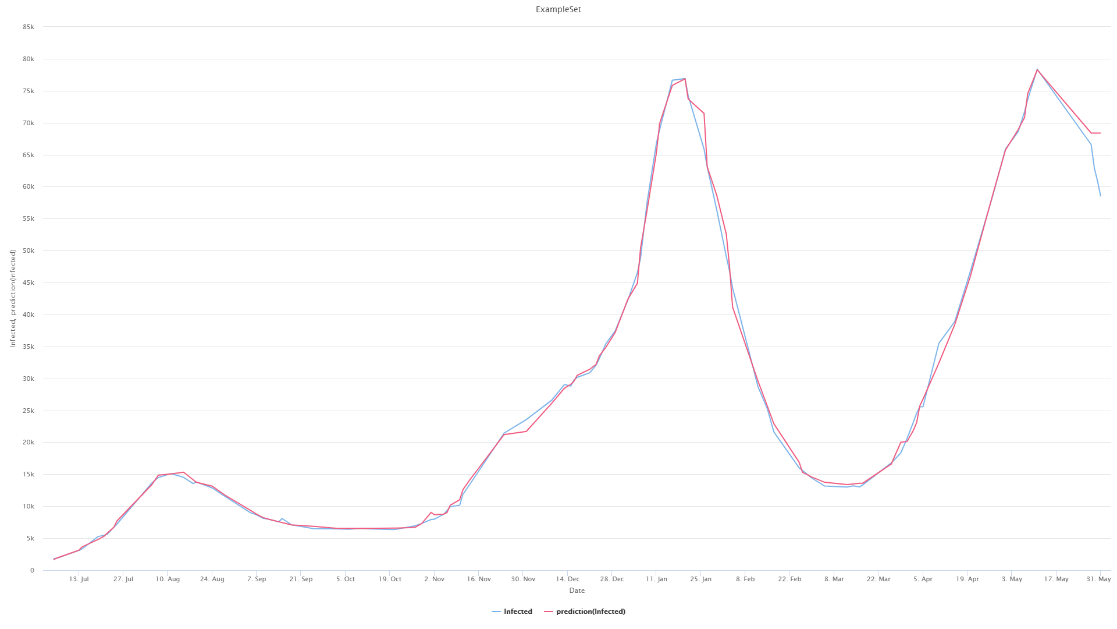


FIGURE X. Prediction result of separated RFR model in RapidMiner. The blue line is the original data, while the red line is the prediction time series. Performance score R2 is 1707.057, with data range varies from 1821 to 78346.

We also experienced with ARIMA in RapidMiner. Below is the model’s prediction for 5 day forward since May 31, 2021:

|  |  |  |
| --- | --- | --- |
| Date | Real data | Predicted data |
| 01 June 2021 | 56257 | 58060.025152631126 |
| 02 June 2021 | 54436 | 57989.70890478191 |
| 03 June 2021 | 51915 | 57919.55329130818 |
| 04 June 2021 | 50019 | 57849.557945247754 |
| 05 June 2021 | 47887 | 57779.72250047679 |

TABLE X: Real data of Japan with data predicted by ARIMA model

## Other models that we didn’t actually take in

### FBProphet

FBProphet is an open-source forecasting framework developed by Facebook’s team data scientist. It is used for forecasting time series data based on an additive model which can make the task of forecasting more accessible and easier to carry out. It works best with time series that have strong seasonal effects and several seasons of historical data. FBProphet uses ARIMA, exponential models, and other similar regressive models. Historical time series records can be used to forecast the values of the future.

FBprophet is based on decomposable time-series combined in this equation

*y(t)= g(t) + s(t) + h(t) + εt*

in which:

*g(t): represents the trend function; piecewise linear or logical growth to fit non-periodic changes in the value of the time series*

*s(t): represents the periodic changes as a week and/or year seasonality*

*h(t): represents the effects of holidays that occur on irregular schedules over a day or more.*

*εt: represents any unusual change which is not accommodated by the model.*

Basic steps of the prediction procedure method of FBProphet are shown below:

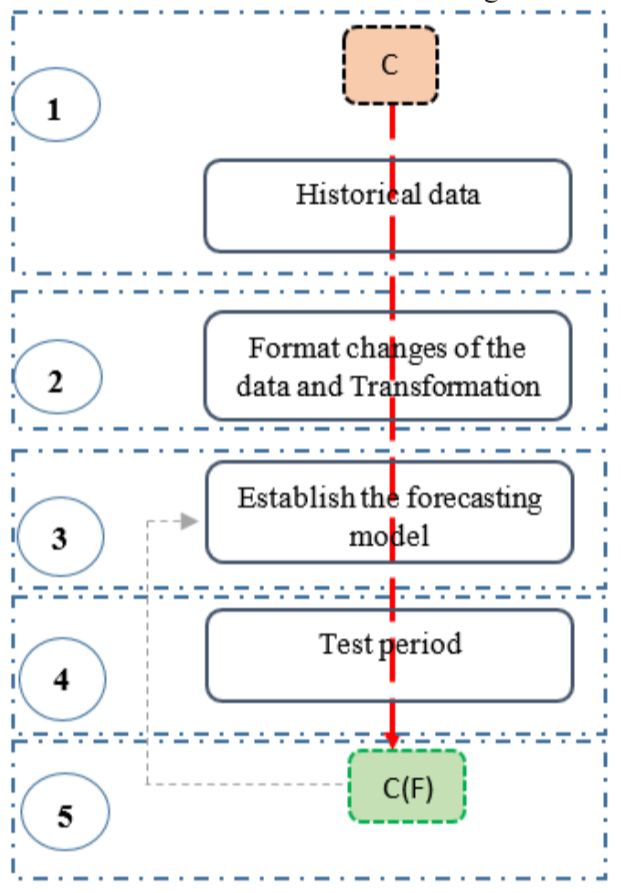


FIGURE D3: RapidMiner Corporate logo [d1]

*1- Daily collect historical time series records*

*2- Since the dataset is made of daily records, they need to be converted to date instead of a string format. Then the transformation of the Confirmed cases should be applied by logarithmic function to be linear.*

*3- Based on the historical dataset, the model will be fitted by the framework of FBprophet.*

*4- According to the forecasting model fitted, a suitable algorithm is generated to predict the defined period.*

The results depends on the quantity and quality of the dataset injected and fitted into the model to forecast. In particular, we assume that the average frequency and magnitude of trend changes in the future will correspond to those that we observe in the historical time series.

### Kalman Filtering

The Kalman Filter is a state-space model that is used in several applications as a predictor. The filter algorithm requires low computational power and provides estimates of some unknown variables given the measurements observed over time. However, the mathematical concepts are not so simple to understand.

In practice, the method considers a set of measures observed over an interval, including noise, and estimates new samples, according to the considered time series or variable. The first concept is to understand that it considers a joint probability distribution across the variables for each time frame. To simplify, the Kalman Filter (KF) is an optimization estimator which suggests parameters of interest from previous observations.

The KF aims to find the “most reliable estimate” from noisy input. The filter presents a recursive resolution to the linear optimal filtering problem to stationary as well as nonstationary situations, and treats the new measures as they appear. Only the previous estimate is used for calculation, which reduces the need for saving the whole data from previous iterations. These techniques have found application in various disciplines and, across the past two decades, have been used to contagious infection epidemiology.

# Project limitations

Data handling approach still missing. k-fold cross-validation strategy

Evaluation score for model missing, thus cannot compare

Further statistical analyzation on histograms to prove the distribution: calculating range, mean value, min/max value, etc.

For ARIMA, we need better approach: run more times with more train-test split

# Conclusions

The objective of this study is to provide some insights about the COVID-19 pandemic from data analytics perspective in a didactical and simple way. Predicted results should not be considered in any way an affirmation of what will happen in the future. Observations obtained from data exploration are personal opinions.

# Acknowledgements

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We are also very grateful to our teacher, Professor Tran Tuan Anh, for this unique and interesting self-exploration researching experience. Looking back, we have certainly come a long way compared to where we started.

Last but not least, our acknowledgements would remain incomplete if we do not thank the authors of related publications and articles on several researchers social platforms (Kaggle, ResearchGate,...). Without your resources, we can never achieve such knowledge and complete the project.

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Section 5 – RapidMiner [denoted d]

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