

## Recurrence Relations:

If  $T(n)$  is a polynomial of degree  $k$  then:  $T(n) = O(n^k)$

If  $T(n) = O(f(n))$  and  $S(n) = O(g(n))$  then:

$$T(n) + S(n) = O(f(n) + g(n))$$

If  $T(n) = O(f(n))$  and  $S(n) = O(g(n))$  then:

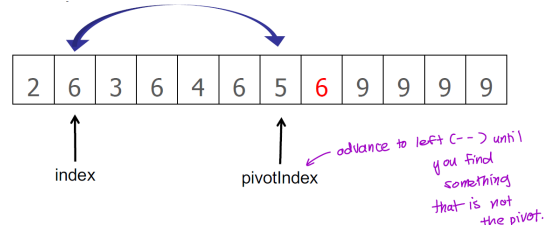
$$T(n) * S(n) = O(f(n) * g(n))$$

$$T(n) = 1 + T(n-1) + T(n-2)$$

$$= O(2^n)$$

$$T(n) = 2T(n/2) + O(1) = O(n)$$

## Quicksort 2 pass partitioning:



## Quicksort 1 pass partitioning:



4 pointers to track

Optimizing Quicksort: Recurse until very small arrays are left, then use insertion sort on the whole array.

**Trees (Insert):** Works like binary search

**In order traversal:** Left  $\rightarrow$  node  $\rightarrow$  Right  $O(n)$

**Pre-order traversal:** node  $\rightarrow$  Left  $\rightarrow$  Right

**Post-order traversal:** Left  $\rightarrow$  Right  $\rightarrow$  Node

**Level-order:** nodes by level (from root)

## Successor(key) query:

If key not in tree: Either find predecessor/successor

Else: Successor is the next biggest element

**Delete:** Delete the correct node, then:

Case 1: No children: Just delete

Case 2: 1 child: Connect it to parent

Case 3: 2 children: Since successor(node) has at most 1 child, swap the node and its successor, then delete the node.

## AVL Trees:

**Invariant:** A node  $v$  is height-balanced if:

## Binary Search: (Good for monotonic funcs)

**Loop Invar.:**  $A[\text{begin}] \leq \text{key} \leq A[\text{end}]$

**Correctness Invar:**  $A[\text{begin}] \leq \text{key} \leq A[\text{end}]$

**Perform. Invar:**  $(\text{end} - \text{begin}) \leq n/2^k$  in iteration  $k$ .

Sorted array:  $A[0..n-1]$



```
int search(A, key, n)
begin = 0
end = n-1
while begin < end do:
    mid = begin + (end-begin)/2;
```

```
    if key <= A[mid] then
        end = mid
    else begin = mid+1
return (A[begin]==key) ? begin : -1
```

Iteration  $k$ :  $(\text{end} - \text{begin}) \leq n/2^k$

What are useful preconditions and postconditions?

Performance invariant

$$n/2^k = 1 \rightarrow k = \log(n)$$

log base does not usually matter

## Peak finding (binary search):



## FindPeak(A, n)

if  $A[n/2]$  is a peak then return  $n/2$

else if  $A[n/2+1] > A[n/2]$  then

Search for peak in right half.

else if  $A[n/2-1] > A[n/2]$  then

Search for peak in left half.

## Running time:

Time to find a peak in an array of size  $n$

Recursion

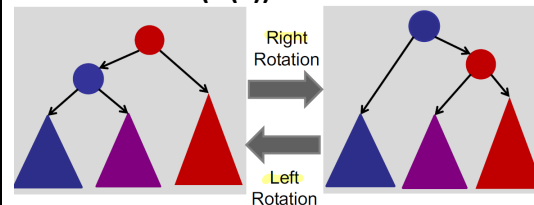
Time for comparing  $A[n/2]$  with neighbors

$$T(n) = T(n/2) + \theta(1)$$

Unrolling the recurrence:

$$T(n) = \theta(1) + \theta(1) + \dots + \theta(1) = O(\log n)$$

## Tree Rotations ( $O(1)$ ):



## (Stable) BubbleSort: $O(n^2)$ time

**Worst case:** Inversely sorted:  $O(n^2)$

**Best case:** Already sorted,  $O(n)$

**Loop Invariant:** At the end of  $k$  iterations, the last  $k$  items are sorted and in the correct positions in the final array.

BubbleSort(A, n)

repeat (until no swaps) :

for  $j \leftarrow 1$  to  $n-1$

if  $A[j] > A[j+1]$  then swap( $A[j]$ ,  $A[j+1]$ )

## (Not Stable) Selection Sort: $O(n^2)$ time

**Best Case:**  $\Omega(n^2)$  time

**Loop invariant:** At the end of iteration  $j$ : the smallest  $j$  items are correctly sorted in the first  $j$  positions of the array.

SelectionSort(A, n)

Find the minimum item, and swap it in place

for  $j \leftarrow 1$  to  $n-1$ :

find minimum element  $A[j]$  in  $A[j..n]$

swap( $A[j]$ ,  $A[k]$ )

## (Stable) Insertion Sort: Expected $\theta(n^2)$ time

**Worst case:** Inversely sorted:  $O(n^2)$

**Best case:** Already Sorted  $\Omega(n)$  time

**Loop Invariant:** At the end of iteration  $j$ : the first  $j$  items in the array are in sorted order.

Insertion-Sort(A, n)

for  $j \leftarrow 2$  to  $n$

key  $\leftarrow A[j]$

$i \leftarrow j-1$

while  $(i > 0)$  and  $(A[i] > \text{key})$

$A[i+1] \leftarrow A[i]$

$i \leftarrow i-1$

$A[i+1] \leftarrow \text{key}$

Repeat at most  $j$  times.

## (Stable) MergeSort: Expected $O(n \log n)$

MergeSort(A, n)

if  $(n=1)$  then return;

else:

$X \leftarrow \text{MergeSort}(A[1..n/2], n/2);$

$Y \leftarrow \text{MergeSort}(A[n/2+1, n], n/2);$

return Merge( $X, Y, n/2$ );

Base case

Recursive "conquer" step

Combine solutions

Function	Name
5	Constant
$\log \log(n)$	double log
$\log(n)$	logarithmic
$\log^2(n)$	Polylogarithmic
$n$	linear
$n \log(n)$	log-linear
$n^3$	polynomial
$n^3 \log(n)$	
$n^4$	polynomial
$2^n$	exponential
$2^{2n}$	
$n!$	factorial

Hint: Sterling's Approximation

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

## Probability Theory:

Events **A**, **B**:

–  $\Pr(\mathbf{A}), \Pr(\mathbf{B})$

– **A** and **B** are independent

(e.g., unrelated random coin flips)

Then:

–  $\Pr(\mathbf{A} \text{ and } \mathbf{B}) = \Pr(\mathbf{A})\Pr(\mathbf{B})$

Probability of a good pivot:

$$p = 8/10$$

$$(1-p) = 2/10$$

Expected number of times to repeatedly choose a pivot to achieve a good pivot:

$$E[\# \text{ choices}] = 1/p = 10/8 < 2$$

## Trees:

Insert, delete, search, predecessor, successor, findMax, findMin:  $O(n)$

**Definition:** A BST is balanced if  $h = O(\log n)$

- A height-balanced tree with  $n$  nodes has **at most height  $h < 2\log(n)$** .

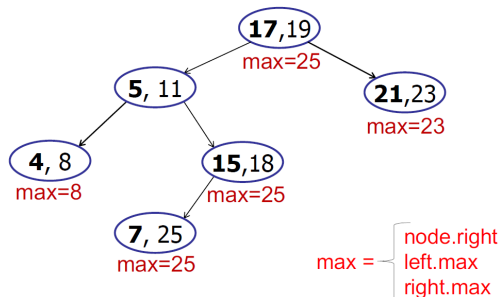
- A height-balanced tree with height  $h$  has **at least  $n > 2^{h/2}$  nodes**

$|v.\text{left.height} - v.\text{right.height}| \leq 1$ . A binary search tree is height balanced if every node in the tree is height-balanced.

**Interval Trees:** Binary tree nodes are compared based on their first digit

**Interval search** runs in  $O(\log n)$  time

**Augment:** maximum endpoint in subtree



interval-search(x)

c = root;

while (c != null and x is not in c.interval) do

if (c.left == null) then

c = c.right;

else if (x > c.left.max) then

c = c.right;

else c = c.left;

return c.interval;

**Orthogonal Range Searching (Space  $O(n)$ ):**

$O(k + \log n)$ , where k is the no. of point found.

Pre-processing:  $O(n \log n)$

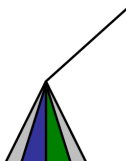
2. Store all points in the leaves of the tree. (Internal nodes store only copies.)

3. Each internal node v stores the MAX of any leaf in the left sub-tree.

**One Dimensional Range Queries**

Algorithm:

- Find "split" node.
- Do left traversal.
- Do right traversal.



**Paranoid Select:**  $T(n) \leq T(9n/10) + 2n = O(n)$

**Idea:** Pick a random pivot. Partition around it and find its position. Then recurse on the appropriate half and then repeat.

**Tree Rotations procedure for AVL trees:**

A is left heavy, B is equi-height: Right rotate

A is left heavy, B is left heavy: Right rotate

A is left heavy, B is right heavy: Left rotate B first to get the previous case.

**Deleting from AVL trees ( $O(\log n)$  max rotations):**

– Delete key from BST.

– Walk up tree:

- At every step, check for balance.
- If out-of-balance, use rotations to rebalance.
- Continue to root.

**Searching for kth largest item:** We can now store the weight of each subtree in its root node

**2D range tree:** Store y-tree in x-node. Space complexity of  $O(n \log n)$ .

**Dynamic modifications:** Hard, don't do.

**Analysis**

Query time:  $O(\log^2 n + k)$

- $O(\log n)$  to find split node.
- $O(\log n)$  recursing steps
- $O(\log n)$  y-tree searches of cost  $O(\log n)$
- $O(k)$  enumerating output

**Cost of building heap – heapsort  $O(n)$**

Height	0	1	2	3	...	$\log(n)$
Number	$\lfloor n/2 \rfloor$	$\lfloor n/4 \rfloor$	$\lfloor n/8 \rfloor$	$\lfloor n/16 \rfloor$	...	1

**Weighted Union:**

Make the taller tree the root: max depth  $O(\log n)$ .

-- weight/rank/size/height of subtree does not change except at root (update root on union).

-- weight/rank/size/height only increases when tree size doubles.

**Path Compression:**

After finding the root: set the parent of each traversed node to the root.

**Weighted Union + Path Compression:**

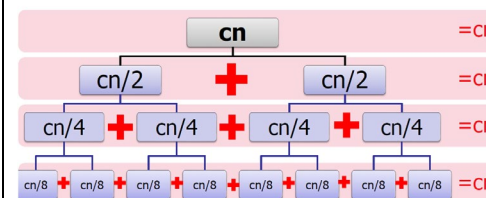
$O(n + m \alpha(m, n))$  time.

**Loop Invariant:** After a merge, the merged array is always sorted.

Design a version of MergeSort that minimizes the amount of extra space needed: Do not allocate new space during the recursive calls.

**Space:  $O(n \log n)$  space**

$$T(n) = 2T(n/2) + cn$$



**(Not Stable) Quicksort:  $O(n \log n)$  time**

**Worst case: for normal Quicksort,  $O(n^2)$**

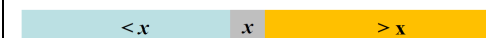
**Worst case: 3-way partition:  $O(n \log n)$**

**Each partition costs  $O(n)$  time.**

**Loop Invariant:**  $A[\text{high}] > \text{pivot}$  at the end of each loop.

Given:  $n$  element array  $A[1..n]$

- Divide:** Partition the array into two sub-arrays around a **pivot**  $x$  such that elements in lower subarray  $\leq x \leq$  elements in upper sub-array.



- Conquer:** Recursively sort the two sub-arrays.
- Combine:** Trivial, do nothing.

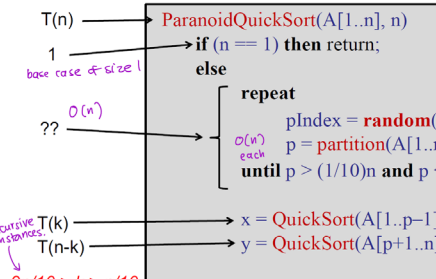
**3-Way Partitioning**

– Option 1: two pass partitioning

- Regular partition.
- Pack duplicates.

– Option 2: one pass partitioning

- More complicated.
- Maintain four regions of the array.



Height balanced -> Balanced Tree. But converse is not true.

**Tries:**

**Search String of L:**  $O(L)$  time,

**PRIORITY QUEUES:**

**Naïve:** Sorted Array

**Heap:**  $\text{prio}[\text{parent}] > \text{prio}[\text{child}]$

Every level is full, Last level is as right as possible.

**Max height:**  $\text{floor}(\log n)$ ,  $O(\log n)$

**Insert(k):** Put in correct position, bubble up ( $1 < \text{times}$ ) if needed.

**increaseKey:** bubble as needed

**decreaseKey:** bubble as needed, bubble to the larger child node.

**delete(k):** swap with last node, then bubble down as necessary.

**Heap tree can store in array. Do level-order traversal.**

**HeapSort (In-place):**

Build a heap from unsorted:

Build a binary tree using insert.

**Base case:** Each leaf is a heap.

Work recursively upwards, keep bubbling.

Run extractMax(). And keep

placing max to the end. Time

complexity:  $O(n \log n)$

**Disjoint Set (UnionFind)**

**Quick-find:** Two objects are connected if they have the same component identifier. (Stores CI)

**Quick-union:** Two objects are connected if they are part of the same tree. (Stores parent)

	find	union
quick-find	$O(1)$	$O(n)$
quick-union	$O(n)$	$O(n)$
weighted-union	$O(\log n)$	$O(\log n)$
path compression	$O(\log n)$	$O(\log n)$
weighted-union with path-compression	$\alpha(m, n)$	$\alpha(m, n)$



