## Introduction

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## **About Myself**

- RAJIB MALL
- B.E., M.E., Ph.D from Indian Institute of Science,
   Bangalore
- Worked with Motorola (India)
- Shifted to IIT, Kharagpur in 1994
  - Currently Professor at CSE department



## What is Software Engineering?

- Engineering approach to develop software.
  - -Building Construction Analogy.
- Systematic collection of past experience:
  - -Techniques,
  - -Methodologies,
  - -Guidelines.







## **IEEE Definition**

 "Software engineering is the application of a systematic, disciplined, quantifiable approach to the development, operation, and maintenance of software; that is, the application of engineering to software."

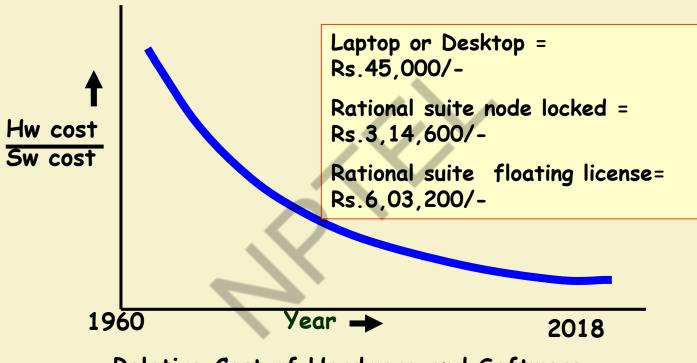


## **Software Crisis**

- It is often the case that software products:
  - -Fail to meet user requirements.
  - –Expensive.
  - -Difficult to alter, debug, and enhance.
  - -Often delivered late.
  - -Use resources non-optimally.



## Software Crisis (cont.)



Relative Cost of Hardware and Software

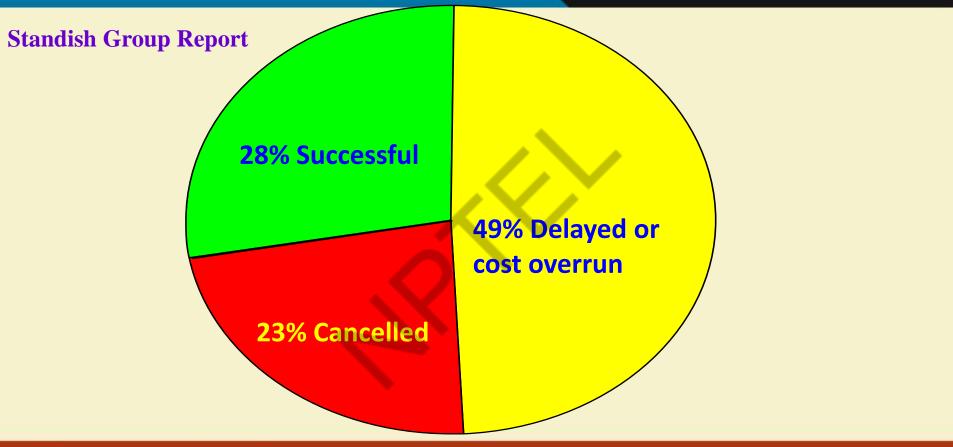




## Then why not have entirely hardware systems?...

- A virtue of software:
  - Relatively easy and faster to develop and to change...
  - Consumes no space, weight, or power...
  - Otherwise all might as well be hardware.
- The more is the complexity of software, the harder it is to change--why?
  - Further, the more the changes made to a program,
     the greater becomes its complexity.









# Which Factors are Contributing to the Software Crisis?

- Larger problems,
- Poor project management
- Lack of adequate training in software engineering,
- Increasing skill shortage,
- Low productivity improvements.







Technology



- Heavy use of past experience:
  - Past experience is systematically arranged.
- Programming an Art or Engineering?
- Theoretical basis and quantitative techniques provided.
- Many are just thumb rules.
- Tradeoff between alternatives.
- Pragmatic approach to cost-effectiveness.

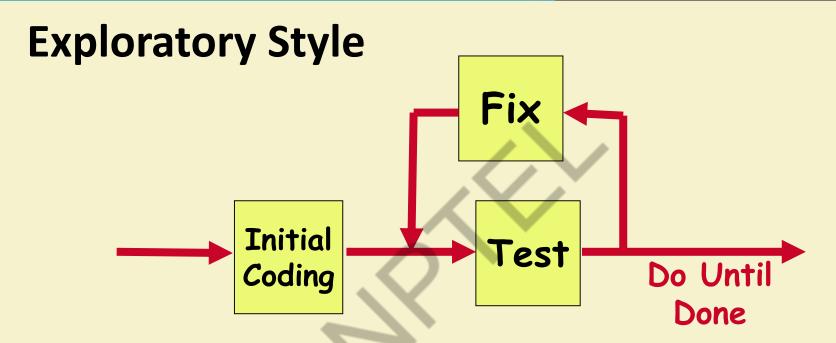




- Early programmers used exploratory (also called build and fix) style.
  - A `dirty' program is quickly developed.
  - The bugs are fixed as and when they are noticed.
  - Similar to how a junior student develops programs...

What is
Exploratory
Software
Development?





Does not work for nontrivial projects... Why?...

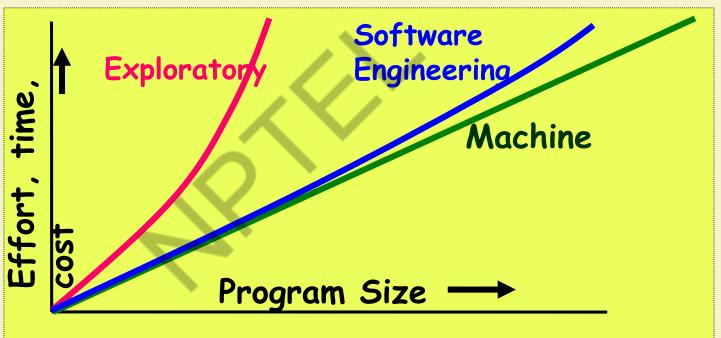




#### What is Wrong with the Exploratory Style?

Can successfully be used for developing only very small (toy)

programs.





## What is Wrong with the Exploratory Style?

Cont..

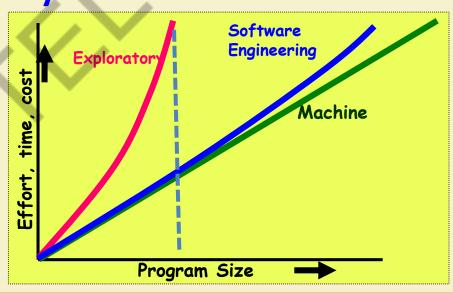
- Besides the exponential growth of effort, cost, and time with problem size:
  - Exploratory style usually results in unmaintainable code.
  - It becomes very difficult to use the exploratory style in team development environments...



### What is Wrong with the Exploratory Style? cont...

 Why does the effort required to develop a software grow exponentially with size?

 Why does the approach completely breaks down when the size of software becomes large?



# An Interpretation Based on Human Cognition Mechanism

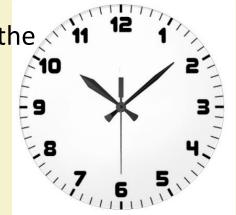
- Human memory can be thought to be made up of two distinct parts [Miller 56]:
  - Short term memory and
  - Long term memory.



Suppose I ask: "It is 10:10AM now, how many hours are remaining today?"

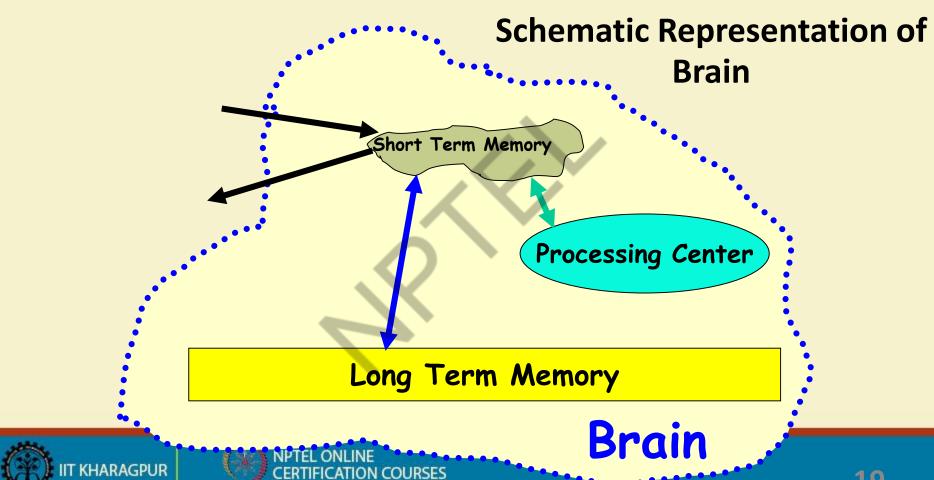
# Human Cognition Mechanism

- 10AM would be stored in the short-term memory.
- "A day is 24 hours long." would be fetched from the long term memory into short term memory.
- The mental manipulation unit would compute the difference (24-10).









- An item stored in the short term memory can get lost:
  - Either due to decay with time or
  - Displacement by newer information.

Short Term Memory

- This restricts the time for which an item is stored in short term memory:
  - Typically few tens of seconds.
  - However, an item can be retained longer in the short term memory by recycling.





- An item is any set of related information.
  - A character such as `a' or a digit such as `5'.

- What is an Item?
- A word, a sentence, a story, or even a picture.
- Each item normally occupies one place in memory.
- When you are able to relate several different items together (chunking):
  - The information that should normally occupy several places, takes only one place in memory.





## Chunking

- If I ask you to remember the number 11001010101
  - It may prove very hard for you to understand and remember.
  - But, the octal form of 6251 (110)(010)(101)(001) would be easier.
  - You have managed to create chunks of three items each.



- In many of our day-to-day experiences:
  - Short term memory is evident.
- Suppose, you look up a number from the telephone directory and start dialling it.
  - If you find the number is busy, you can dial the number again after a few seconds without having to look up the number from directory.
- But, after several days:
  - You may not remember the number at all
  - Would need to consult the directory again.





# **Evidence of Short Term Memory**

- If a person deals with seven or less number of items:
  - These would be accommodated in the short term
     memory.
     The Magical Number 7
  - So, he can easily understand it.
- As the number of new information increases beyond seven:
  - It becomes exceedingly difficult to understand it.

#### What is the Implication in Program Development?

- A small program having just a few variables:
  - Is within easy grasp of an individual.
- As the number of independent variables in the program increases:
  - It quickly exceeds the grasping power of an individual...
  - Requires an unduly large effort to master the problem.

## **Implication in Program Development**

- Instead of a human, if a machine could be writing (generating) a program,
  - The slope of the curve would be linear.
- But, how does use of software engineering principles helps hold down the effort-size curve to be almost linear?
  - Software engineering principles extensively use techniques
     specifically targeted to overcome the human cognitive limitations.



Which Principles are Deployed by Software Engineering Techniques to Overcome Human Cognitive Limitations?

- Two important principles are profusely used:
  - Abstraction
  - Decomposition





# Two Fundamental Techniques to Handle Complexity





## What is Abstraction?

- Simplify a problem by omitting unnecessary details.
  - Focus attention on only one aspect of the problem and ignore other aspects and irrelevant details.
  - Also called model building.



Suppose you are asked to develop an overall understanding of some country.

Abst

Abstraction Example

- Would you:
  - Meet all the citizens of the country, visit every house, and examine every tree of the country?
- You would possibly refer to various types of maps for that country only.



## You would study an Abstraction...

## A map is:

- An abstract representation of a Country.
- Various types of maps

   (abstractions)
   possible.







#### Does every Problem have a single Abstraction?

 Several abstractions of the same problem can be created:





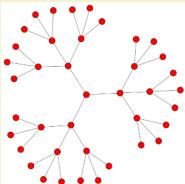
- Focus on some specific aspect and ignore the rest.
- Different types of models help understand different aspects of the problem.



• For complex problems:

**Abstractions of Complex Problems** 

- A single level of abstraction is inadequate.
- A hierarchy of abstractions may have to be constructed.
- Hierarchy of models:
  - A model in one layer is an abstraction of the lower layer model.
  - An implementation of the model at the higher layer.





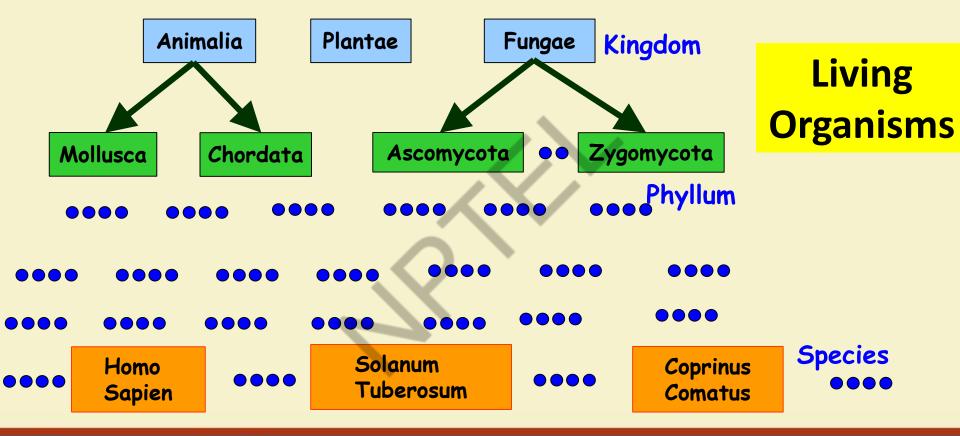


## **Abstraction of Complex Problems -- An Example**

- Suppose you are asked to understand all life forms that inhabit the earth.
- Would you start examining each living organism?
  - You will almost never complete it.
  - Also, get thoroughly confused.
- Solution: Try to build an abstraction hierarchy.











## Quiz

What is a model?

 Why develop a model? That is, how does constructing a model help?

Give some examples of models.





# Decomposition

- Decompose a problem into many small independent parts.
  - The small parts are then taken up one by one and solved separately.
  - The idea is that each small part would be easy to grasp and therefore can be easily solved.
  - The full problem is solved when all the parts are solved.



## **Decomposition**

- A popular example of decomposition principle:
  - Try to break a bunch of sticks tied together versus breaking them individually.



- Any arbitrary decomposition of a problem may not help.
  - The decomposed parts must be more or less independent of each other.



## **Decomposition: Another Example**

- Example use of decomposition principle:
  - You understand a book better when the contents are organized into independent chapters.
  - Compared to when everything is mixed up.



### Why Study Software Engineering? (1)

- To acquire skills to develop large programs.
  - -Handling exponential growth in complexity with size.
  - Systematic techniques based on abstraction (modelling) and decomposition.





#### Why Study Software Engineering? (2)

- Learn systematic techniques of:
  - -Specification, design, user interface development, testing, project management, maintenance, etc.
  - -Appreciate issues that arise in team development.



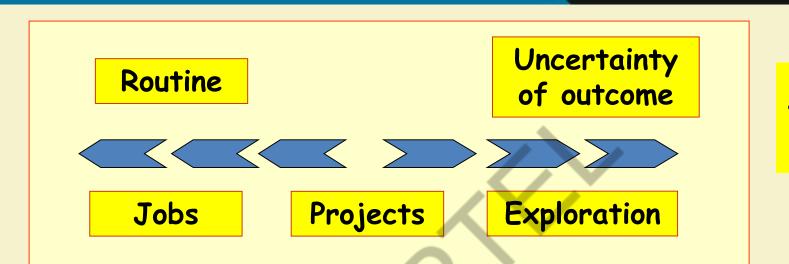
## Why Study Software Engineering? (3)

• To acquire skills to be a better programmer:

Higher Productivity

Better Quality Programs





Jobs versus Projects

Jobs – repetition of very well-defined and well understood tasks with very little uncertainty

**Exploration** – The outcome is very uncertain, e.g. finding a cure for cancer. **Projects** – in the middle! Has challenge as well as routine...





- Two types of software projects:
  - —Products (Generic software)
  - -Services (custom software)

Types of Software Projects

- Total business Several Trillions of US \$
  - —Half in products and half services
  - –Services segment is growing fast!



Packaged software —
prewritten software available for
purchase

Custom software —
software developed at some
user's requests-Usually developer
tailors some generic solution

Horizontal market software—meets needs of many companies

Vertical market
software—designed
for particular
industry

**Types of Software** 





## **Types of Software Projects**

Software product development projects

Software services projects

## **Software Services**

- Software service is an umbrella term, includes:
  - Software customization
  - Software maintenance
  - Software testing
  - Also contract programmers (CP) carrying out coding or any other assigned activities.

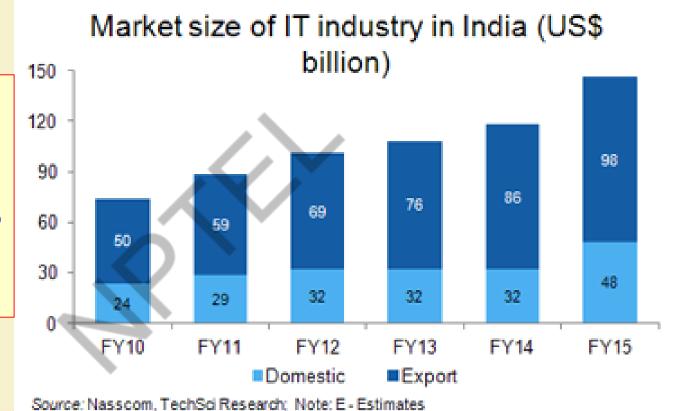




#### Factors responsible for accelerated growth of services...

- Now lots of code is available in a company:
  - New software can be developed by modifying the closest.
- Speed of Conducting Business has increased tremendously:
  - Requires shortening of project duration

Contribution of the IT sector to India's GDP rose to approximately 9.5% in 2015 from 1.2% in 98







#### **Scenario of Indian Software Companies**

- Indian companies have largely focused on the services segment --
  - Why?





#### A Few Changes in Software Project Characteristics over Last 40 Years

- 40 years back, very few software existed
  - Every project started from scratch
  - Projects were multi year long
- The programming languages that were used earlier hardly provided any scope for reuse:
  - FORTRAN, PASCAL, COBOL, BASIC
- No application was GUI-based:
  - Mostly command selection from displayed text menu items.



## **Traditional versus Modern Projects**

- Projects are increasingly becoming services:
  - Either tailor some existing software or reuse pre-built libraries.
- Facilitate and accommodate client feedbacks
- Facilitate customer participation in project development work
- Incremental software delivery with evolving functionalities.
- No software is being developed from scratch --- Significant reuse is being made...



## **Computer Systems Engineering**

- Many products require development of software as well as specific hardware to run it:
  - a coffee vending machine,
  - –a robotic toy,
  - –A new health band product, etc.
- Computer systems engineering:
  - -encompasses software engineering.





# **Computer Systems Engineering**

- The high-level problem:
  - -Deciding which tasks are to be solved by software.
  - -Which ones by hardware.





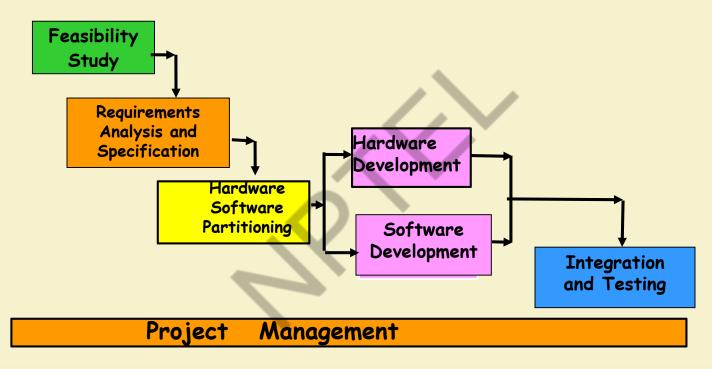
## **Computer Systems Engineering (CONT.)**

- Typically, hardware and software are developed together:
  - -Hardware simulator is used during software development.
- Integration of hardware and software.
- Final system testing





## **Computer Systems Engineering (CONT.)**







# **Emergence of Software Engineering Techniques**





#### **Emergence of Software Engineering Techniques**

- Early Computer Programming (1950s):
  - –Programs were being written in assembly language...
  - -Sizes limited to about a few hundreds of lines of assembly code...

## **Early Computer Programming (50s)**

- Every programmer developed his/her own style of writing programs:
  - According to his intuition (called exploratory or build-and-fix programming).



## High-Level Language Programming (Early 60s)

 High-level languages such as FORTRAN, ALGOL, and COBOL were introduced:

- This reduced software development efforts greatly.

– Why reduces?





## High-Level Language Programming (Early 60s)

- Software development style was still exploratory.
  - Typical program sizes were limited to a few thousands of lines of source code.



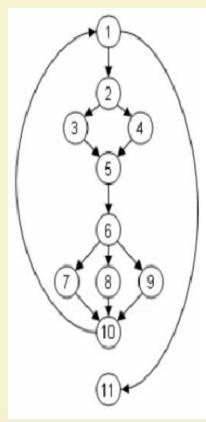
- Size and complexity of programs increased further:
  - -Exploratory programming style proved to be insufficient.
- Programmers found:
  - –Very difficult to write cost-effective and correct programs.



- Programmers found it very difficult:
  - —To understand and maintain programs written by others.
- To cope up with this problem, experienced programmers advised---"Pay particular attention to the design of the program's control structure."

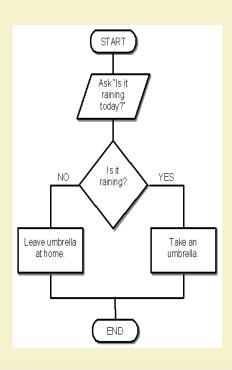


- What is a program's control structure?
  - The sequence in which the program's instructions are executed.
- To help design programs having good control structure:
  - -Flow charting technique was developed.





- Using flow charting technique:
  - One can represent and design a program's control structure.
  - -When asked to understand a program:
    - •One would mentally trace the program's execution sequence.



# **Control Flow-Based Design**

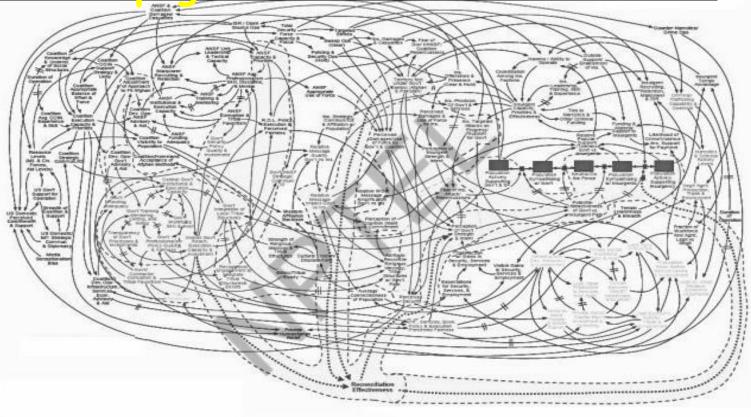
 A program having a messy flow chart representation:

-Difficult to understand and debug.





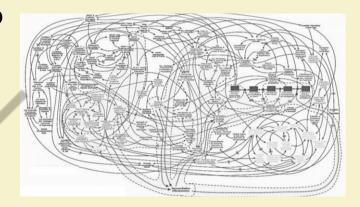
## Spaghetti Code Structure







- What causes program complexity?
  - –GO TO statements makes control structure of a program messy.



- -GO TO statements alter the flow of control arbitrarily.
- —The need to restrict use of GO TO statements was recognized.



- Many programmers had extensively used assembly languages.
  - -JUMP instructions are frequently used for program branching in assembly languages.
  - –Programmers considered use of GO TO statements inevitable.

```
addi $a0, $0, 1
i next
next:
j skip1
add $a0, $a0, $a0
skip1:
j skip2
add $a0, $a0, $a0
add $a0, $a0, $a0
skip2:
j skip3
loop:
add $a0, $a0, $a0
add $a0, $a0, $a0
add $a0, $a0, $a0
skip3:
i loop
```





## **Control-flow Based Design (Late 60s)**

- At that time, Dijkstra published his article:
  - -"Goto Statement Considered Harmful" Comm. of ACM, 1969.
- Many programmers were unhappy to read his article.

Some programmers published several counter articles:

Highlighted the advantages and inevitability of GO TO statements.



- It soon was conclusively proved:
  - —Only three programming constructs are sufficient to express any programming logic:
    - •sequence (a=0;b=5;)
    - •selection (if(c==true) k=5 else m=5;)
    - iteration (while(k>0) k=j-k;)



### **Control-flow Based Design (Late 60s)**

- Everyone accepted:
  - —It is possible to solve any programming problem without using GO TO statements.
  - —This formed the basis of Structured Programming methodology.



## **Structured Programming**

- A program is called structured:
  - -When it uses only the following types of constructs:
    - sequence,
    - selection,
    - iteration
  - -Consists of modules.



## **Structured Programs**

- Sometimes, violations to structured programming are permitted:
  - Due to practical considerations such as:
  - Premature loop exit (break) or for exception handling.



## **Advantages of Structured programming**

- Structured programs are:
  - -Easier to read and understand,
  - -Easier to maintain,
  - -Require less effort and time for development.
  - –Less buggy





## **Structured Programming**

- Research experience shows:
  - –Programmers commit less number of errors:
    - While using structured if-then-else and do-while statements.
    - Compared to test-and-branch (GOTO) constructs.



#### Data Structure-Oriented Design (Early 70s)

- As program sizes increased further, soon it was discovered:
  - —It is important to pay more attention to the design of data structures of a program
    - Than to the design of its control structure.



### Data Structure-Oriented Design (Early 70s)

 Techniques which emphasize designing the data structure:

- –Derive program structure from it:
  - Are called data structure-oriented design techniques.

### Data Structure Oriented Design (Early 70s)

 An example of data structure-oriented design technique:

–Jackson's Structured Programming(JSP) methodology

Developed by Michael Jackson in 1970s.





### Data Structure Oriented Design (Early 70s)

## JSP technique:

 Program code structure should correspond to the data structure.





## A Data Structure Oriented Design

(Early 70s)

- A program's data structures are first designed using notations for
  - •sequence, selection, and iteration.
- The data structure design is then used :
  - •To derive the program structure.



### Data Structure Oriented Design (Early 70s)

 Several other data structure-oriented Methodologies also exist:

e.g., Warnier-Orr Methodology.

### Data Flow-Oriented Design (Late 70s)

- Data flow-oriented techniques advocate:
  - -The data items input to a system must first be identified,
  - -Processing required on the data items to produce the required outputs should be determined.

### Data Flow-Oriented Design (Late 70s)

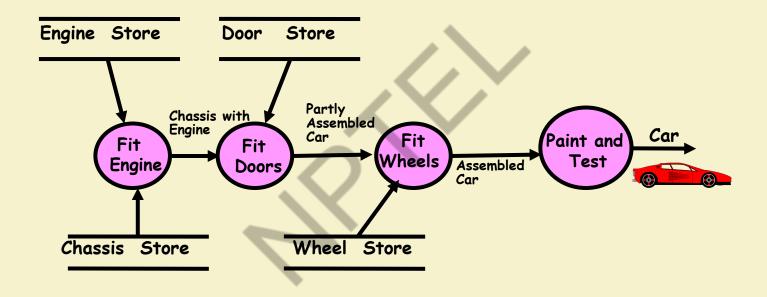
- Data flow technique identifies:
  - -Different processing stations (functions) in a system.
  - —The items (data) that flow between processing stations.



### Data Flow-Oriented Design (Late 70s)

- Data flow technique is a generic technique:
  - -Can be used to model the working of any system.
    - not just software systems.
- A major advantage of the data flow technique is its simplicity.

### **Data Flow Model of a Car Assembly Unit**







## **Object-Oriented Design** (80s)

- Object-oriented technique:
  - –An intuitively appealing design approach:
  - Natural objects (such as employees, pay-roll-register, etc.) occurring in a problem are first identified.



### **Object-Oriented Design** (80s)

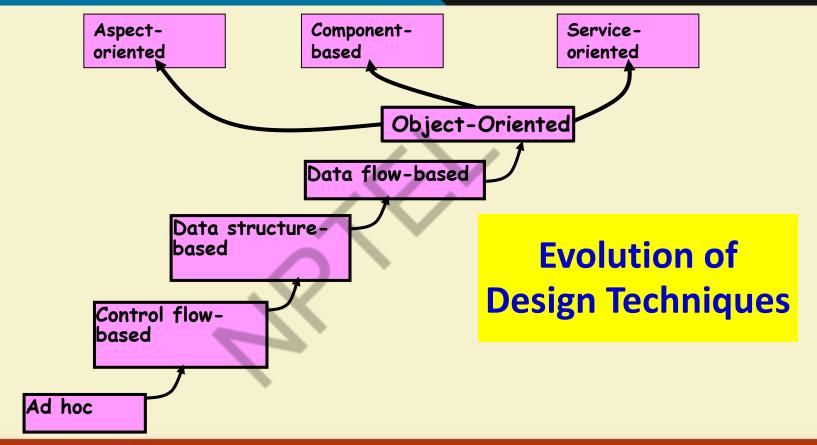
- Relationships among objects:
  - —Such as composition, reference, and inheritance are determined.
- Each object essentially acts as:
  - —A data hiding (or data abstraction) entity.

- Object-Oriented Techniques have gained wide acceptance:
  - -Simplicity
  - Increased Reuse possibilities
  - Lower development time and cost
  - More robust code
  - Easy maintenance

# Object-Oriented Design (80s)











### **Evolution of Other Software Engineering Techniques**

- The improvements to the software design methodologies
  - -are indeed very conspicuous.
- In additions to the software design techniques:
  - –Several other techniques evolved.



- Life cycle models,
- Specification techniques,
- Project management techniques,
- Testing techniques,
- Debugging techniques,
- Quality assurance techniques,
- Metrics,
- CASE tools, etc.

Evolution of Other Software Engineering Techniques





- Use of Life Cycle Models
- Software is developed through several well-defined stages:
  - -Requirements analysis and specification,
  - -Design,
  - -Coding,
  - -Testing, etc.





- Emphasis has shifted
  - from error correction to error prevention.
- Modern practices emphasize:
  - —detection of errors as close to their point of introduction as possible.



- In exploratory style,
  - -errors are detected only during testing,
- Now:
  - Focus is on detecting as many errors as possible in each phase of development.



- In exploratory style:
  - -coding is synonymous with program development.
- Now:
  - coding is considered only a small part of program development effort.

- A lot of effort and attention is now being paid to:
  - -Requirements specification.
- Also, now there is a distinct design phase:
  - -Standard design techniques are being used.



- During all stages of development process:
  - -Periodic reviews are being carried out
- Software testing has become systematic:
  - -Standard testing techniques are available.





- There is better visibility of design and code:
  - Visibility means production of good quality, consistent and standard documents.
  - In the past, very little attention was being given to producing good quality and consistent documents.
  - We will see later that increased visibility makes software project management easier.

- Because of good documentation:
  - -fault diagnosis and maintenance are smoother now.
- Several metrics are being used:
  - help in software project management, quality assurance,
     etc.



- Projects are being properly planned:
  - -estimation,
  - -scheduling,
  - -monitoring mechanisms.
- Use of CASE tools.

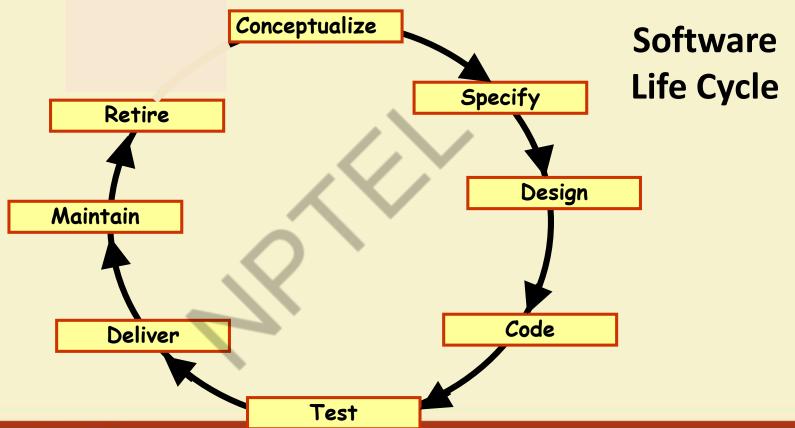
## **Review Questions**

- What is structured programming?
- What problems may appear if a large program is developed without using structured programming techniques?

# Life Cycle Models











### **Life Cycle Model**

- A software life cycle model (also process model or SDLC):
  - –A descriptive and diagrammatic model of software life cycle:
  - -Identifies all the activities undertaken during product development,
  - -Establishes a precedence ordering among the different activities,
  - Divides life cycle into phases.



### Life Cycle Model (CONT.)

- Each life cycle phase consists of several activities.
  - -For example, the design stage might consist of:
    - structured analysis
    - structured design
    - Design review





## Why Model Life Cycle?

- A graphical and written description:
  - Helps common understanding of activities among the software developers.
  - Helps to identify inconsistencies, redundancies, and omissions in the development process.
  - -Helps in tailoring a process model for specific projects.



- The development team must identify a suitable life cycle model:
  - -and then adhere to it.
  - -Primary advantage of adhering to a life cycle model:
    - Helps development of software in a systematic and disciplined manner.



- When a program is developed by a single programmer ---
  - —The problem is within the grasp of an individual.

He has the freedom to decide his exact steps and still succeed --- called
 Exploratory model--- One can use it in many ways
 Code → Test → Design

Coding

—Code → Design → Test → Change Code →

-Specify → code → Design → Test → etc.





- When software is being developed by a team:
  - —There must be a precise understanding among team members as to when to do what,
  - -Otherwise, it would lead to chaos and project failure.



- A software project will never succeed if:
  - -one engineer starts writing code,
  - -another concentrates on writing the test document first,
  - -yet another engineer first defines the file structure
  - -another defines the I/O for his portion first.





#### **Phase Entry and Exit Criteria**

• A life cycle model:



- -defines entry and exit criteria for every phase.
- –A phase is considered to be complete:
  - only when all its exit criteria are satisfied.



- What is the phase exit criteria for the software requirements specification phase?
  - Software Requirements Specification (SRS) document is complete, reviewed, and approved by the customer.
- A phase can start:
  - —Only if its phase-entry criteria have been satisfied.



# Life Cycle Model: Milestones

- Milestones help software project managers:
  - -Track the progress of the project.
  - –Phase entry and exit are important milestones.





## **Life Cycle and Project Management**

- When a life cycle model is followed:
  - —The project manager can at any time fairly accurately tell,
    - •At which stage (e.g., design, code, test, etc.) the project is.

#### **Project Management Without Life Cycle Model**

- It becomes very difficult to track the progress of the project.
  - —The project manager would have to depend on the guesses of the team members.
- This usually leads to a problem:
  - -known as the 99% complete syndrome.



#### **Project Deliverables: Myth and Reality**

#### Myth:

The only deliverable for a successful project is the working program.

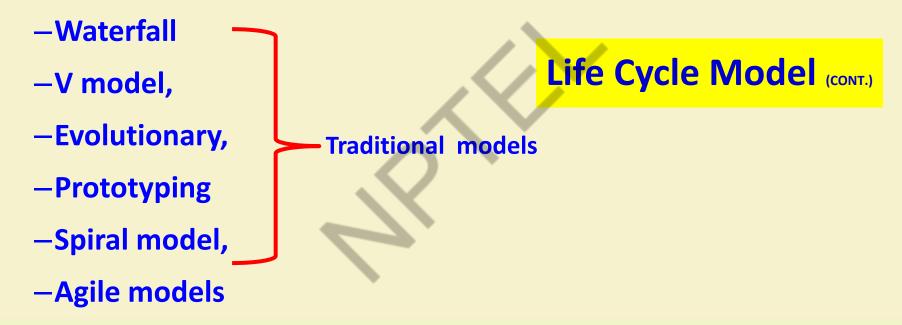
#### **Reality**:

Documentation of all aspects of software development are needed to help in operation and maintenance.





- Many life cycle models have been proposed.
- We confine our attention to only a few commonly used models.







- Software life cycle (or software process):
  - -Series of identifiable stages that a software product undergoes during its life time:
    - Feasibility study
    - Requirements analysis and specification,
    - Design,
    - Coding,
    - Testing
    - Maintenance.

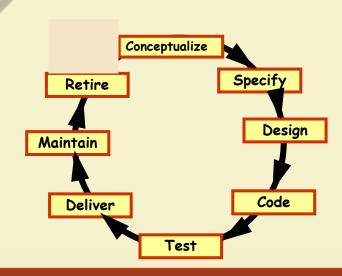
**Software Life Cycle** 

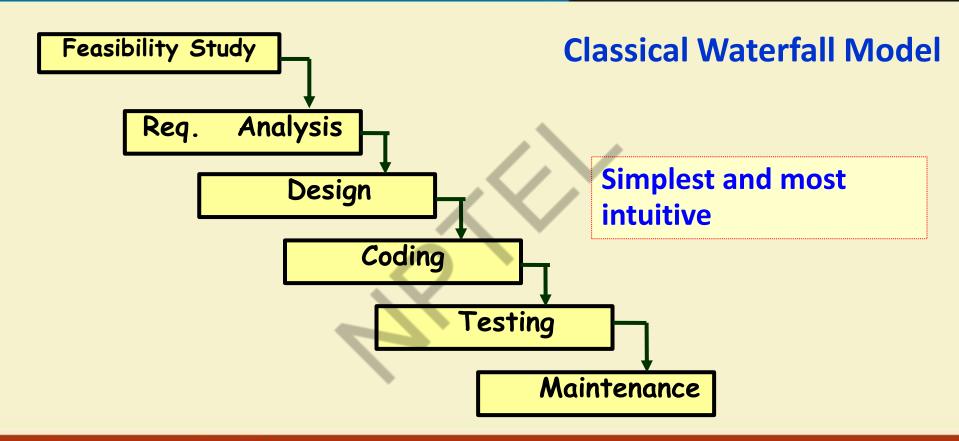




#### **Classical Waterfall Model**

- Classical waterfall model divides life cycle into following phases:
  - -Feasibility study,
  - Requirements analysis and specification,
  - –Design,
  - -Coding and unit testing,
  - -Integration and system testing,
  - -Maintenance.



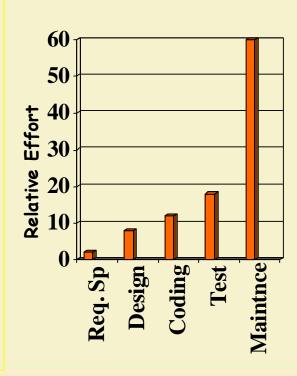






#### **Relative Effort for Phases**

- Phases between feasibility study and testing
  - -Called development phases.
- Among all life cycle phases
  - Maintenance phase consumes maximum effort.
- Among development phases,
  - -Testing phase consumes the maximum effort.







- Most organizations usually define:
  - Standards on the outputs (deliverables) produced at the end of every phase
  - Entry and exit criteria for every phase.
- They also prescribe methodologies for:
  - Specification,
  - Design,
  - Testing,
  - Project management, etc.

**Process Model** 





#### Classical Waterfall Model (CONT.)

- The guidelines and methodologies of an organization:
  - -Called the organization's software development methodology.
- Software development organizations:
  - Expect fresh engineers to master the organization's software development methodology.





Economic feasibility (also called cost/benefit feasibility)

Technical feasibility

Feasibility Dimensions

Schedule feasibility





- Main aim of feasibility study: determine whether developing the software is:
  - Financially worthwhile
  - Technically feasible.

**Feasibility Study** 

Roughly understand what customer wants:

**First Step** 

- Data which would be input to the system,
- -Processing needed on these data,
- Output data to be produced by the system,
- -Various constraints on the behavior of the system.





- SPF Scheme for CFL
- CFL has a large number of employees, exceeding 50,000.
- Majority of these are casual labourers
- Mining being a risky profession:
  - Casualties are high
- Though there is a PF:
  - But settlement time is high
- There is a need of SPF:
  - For faster disbursement of benefits

**Case Study** 





## **Feasibility: Case Study**

- Manager visits main office, finds out the main functionalities required
- Visits mine site, finds out the data to be input
- Suggests alternate solutions
- Determines the best solution
- Presents to the CFL Officials
- Go/No-Go Decision





## **Activities During Feasibility Study**

- Work out an overall understanding of the problem.
- Formulate different solution strategies.
- Examine alternate solution strategies in terms of:
  - resources required,
  - cost of development, and
  - development time.





- Perform a cost/benefit analysis:
  - -Determine which solution is the best.
  - –May also find that none of the solutions is feasible due to:Activities during Feasibility Study
    - high cost,
    - resource constraints,
    - technical reasons.



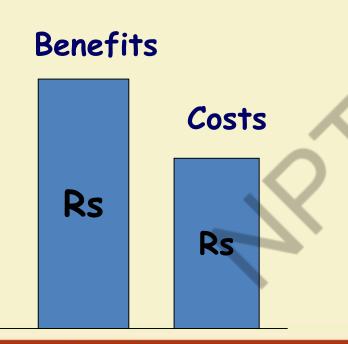


# Cost benefit analysis (CBA)

- Need to identify all costs --- these could be:
  - Development costs
  - Set-up
  - Operational costs
- Identify the value of benefits
- Check benefits are greater than costs



## The business case



- Benefits of delivered project must outweigh costs
- Costs include:
  - Development
  - Operation
- Benefits:
  - Quantifiable
  - Non-quantifiable





# Thank You!!



