The Quadratic-Chi Histogram Distance Family - Appendices

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1 Introduction

This document contains the appendices for the paper "The Quadratic-Chi Histogram Distance Family" [1], proofs and additional results. In section 2 we prove that all Quadratic-Chi histogram distances are continuous. In section 3 we prove that EMD, EMD and all Quadratic-Chi histogram distances are *Similarity-Matrix-Quantization-Invariant*. In section 4 we present additional shape classification results. In section 5 we compare experimentally color image distances with and without spatial pruning. In section 6 we compare experimentally distances which resembles QC distances, but are either not *Similarity-Matrix-Quantization-Invariant* or not *Sparseness-Invariant*. In section 7 we compare the results for all the pairs of descriptors (SIFT/CSIFT) and distance measures used in the image retrieval experiments.

2 Quadratic-Chi Histogram Distance Continuity Proof

In this section we prove that a Quadratic-Chi (QC) histogram distance is continuous. We first recall its definition.

Let P and Q be two non-negative bounded histograms. That is, $P,Q \in [0,U]^N$, where the bound U can be any finite number. Let A be a non-negative symmetric bounded bin-similarity matrix such that each diagonal element is bigger or equal to every other element in its row (this demand is weaker than being a strongly dominant matrix) and to 1. That is, $A \in [0,U]^N \times [0,U]^N$ and $\forall i,j \ A_{ii} \geq A_{ij}$ and $\forall i \ A_{ii} \geq 1$. Let $0 \leq m < 1$ be the normalization factor. A Quadratic-Chi (QC) histogram distance is defined as:

$$QC_m^A(P,Q) = \sqrt{\sum_{ij} \left(\frac{(P_i - Q_i)}{\left(\sum_c (P_c + Q_c) A_{ci}\right)^m}\right) \left(\frac{(P_j - Q_j)}{\left(\sum_c (P_c + Q_c) A_{cj}\right)^m}\right) A_{ij}} \quad (1)$$

Theorem 1. Each addend denominator: $(\sum_c (P_c + Q_c) A_{ci})^m (\sum_c (P_c + Q_c) A_{cj})^m$, is zero only if the addend numerator: $(P_i - Q_i)(P_j - Q_j) A_{ij}$, is zero.

Proof.

$$\left(\sum_{c} (P_c + Q_c) A_{ci}\right)^m \left(\sum_{c} (P_c + Q_c) A_{cj}\right)^m = 0 \qquad \Rightarrow \qquad (2)$$

$$((P_i + Q_i)A_{ii})^m ((P_i + Q_i)A_{ij})^m = 0 \qquad \Rightarrow \qquad (3)$$

$$(P_i = 0 \land Q_i = 0) \lor (P_j = 0 \land Q_j = 0) \qquad \Rightarrow \qquad (4)$$

$$((P_i - Q_i) = 0) \lor ((P_j - Q_j) = 0) \qquad \Rightarrow \qquad (5)$$

$$(P_i - Q_i)(P_j - Q_j)A_{ij} = 0 (6)$$

Eqs. 3,4 and 6 are true as everything is non-negative and $A_{ii} \ge 1$.

We defined $\frac{0}{0} = 0$. So, in order to prove continuity, we need to prove that when QC addend's denominator tends to zero, the whole addend tends to zero (this is the only point where discontinuity can occur).

Theorem 2.

$$\left(\sum_{c} (P_c + Q_c) A_{ci}\right)^m \left(\sum_{c} (P_c + Q_c) A_{cj}\right)^m \to 0 \Rightarrow$$

$$\left(\frac{\left(P_i - Q_i\right)}{\left(\sum_{c} (P_c + Q_c) A_{ci}\right)^m}\right) \left(\frac{\left(P_j - Q_j\right)}{\left(\sum_{c} (P_c + Q_c) A_{cj}\right)^m}\right) A_{ij} \to 0$$
(7)

Proof.

$$\left(\sum_{c} (P_c + Q_c) A_{ci}\right)^m \left(\sum_{c} (P_c + Q_c) A_{cj}\right)^m \to 0 \qquad \Rightarrow \qquad (8)$$

$$((P_i + Q_i)A_{ii})^m ((P_j + Q_j)A_{jj})^m \to 0 \qquad \Rightarrow \qquad (9)$$

$$((P_i + Q_i)^m \to 0) \lor ((P_j + Q_j)^m \to 0) \qquad \Rightarrow \qquad (10)$$

$$((P_i \to 0) \land (Q_i \to 0)) \lor ((P_j \to 0) \land (Q_j \to 0)) \qquad \Rightarrow \qquad (11)$$

$$\left(\frac{\left(P_i - Q_i\right)}{\left(\left(P_i + Q_i\right)A_{ii}\right)^m}\right) \left(\frac{\left(P_j - Q_j\right)}{\left(\left(P_j + Q_j\right)A_{jj}\right)^m}\right) A_{ij} \to 0 \qquad \Rightarrow \qquad (12)$$

$$\left(\frac{\left(P_{i}-Q_{i}\right)}{\left(\sum_{c}\left(P_{c}+Q_{c}\right)A_{ci}\right)^{m}}\right)\left(\frac{\left(P_{j}-Q_{j}\right)}{\left(\sum_{c}\left(P_{c}+Q_{c}\right)A_{cj}\right)^{m}}\right)A_{ij}\to0$$

$$\Rightarrow (13)$$

Eq. 9 is true as everything is non-negative and $m \geq 0$. Eq. 10 is true as if the whole product tends to zero, at least one of its multiplicands should tend to zero and $A_{ii} \geq 1$ and $A_{jj} \geq 1$. Eq. 11 is true as everything is non-negative and $m \geq 0$. Eq. 12 is true as $|(P_i - Q_i)| \leq |(P_i + Q_i)|A_{ii}$ and $|(P_j - Q_j)| \leq |(P_j + Q_j)|A_{jj}$ and $0 \leq m < 1$ (note that the strictly smaller than one is required here) and everything is bounded. Eq. 13 finishes the proof and is true as:

$$\left| \left(\frac{\left(P_i - Q_i \right)}{\left(\sum_c \left(P_c + Q_c \right) A_{ci} \right)^m} \right) \right| < \left| \left(\frac{\left(P_i - Q_i \right)}{\left(\left(P_i + Q_i \right) A_{ii} \right)^m} \right) \right| \wedge \tag{14}$$

$$\left| \left(\frac{\left(P_j - Q_j \right)}{\left(\sum_c \left(P_c + Q_c \right) A_{cj} \right)^m} \right) \right| < \left| \left(\frac{\left(P_j - Q_j \right)}{\left(\left(P_j + Q_j \right) A_{jj} \right)^m} \right) \right|$$
(15)

3 EMD, EMD and Quadratic-Chi Histogram Distances Similarity-Matrix-Quantization-Invariance Proof

In this section we prove that EMD, EMD and all Quadratic-Chi histogram distances are Similarity-Matrix-Quantization-Invariant. We recall Similarity-Matrix-Quantization-Invariance definition:

Definition 1. Let \mathcal{D} be a cross-bin histogram distance between two histograms P and Q and let A be the bin-similarity/distance matrix. We assume P, Q and A are nonnegative and that A is symmetric. Let $A_{k,:}$ be the kth row of A. Let $V = [V_1, \ldots, V_N]$ be a non-negative vector and $0 \le \alpha \le 1$. We define $V^{\alpha,k,b} = [\ldots, \alpha V_k, \ldots, V_b + (1-\alpha)V_k, \ldots]$. That is, $V^{\alpha,k,b}$ is a transformation of V where $(1-\alpha)V_k$ mass has moved from bin V to bin V be define V to be Similarity-Matrix-Quantization-Invariant if:

$$A_{k,:} = A_{b,:} \Rightarrow \forall \ 0 \le \alpha \le 1, \ 0 \le \beta \le 1 \quad \mathcal{D}^A(P,Q) = \mathcal{D}^A(P^{\alpha,k,b},Q^{\beta,k,b})$$
 (16)

If \mathcal{D} is symmetric then Eq. 16 is equivalent to:

$$A_{k,:} = A_{b,:} \Rightarrow \forall \ 0 \le \alpha \le 1 \quad \mathcal{D}^A(P,Q) = \mathcal{D}^A(P^{\alpha,k,b},Q) \tag{17}$$

EMD [2] and EMD [3] distances are *Similarity-Matrix-Quantization-Invariant*. Since both are min-cost-flow problems [4], taking mass from one source/sink and moving it to another one, where both sources/sinks are connected to exactly the same sinks/sources, with exactly the same costs, will not change the min-cost solution.

We begin the proof that a Quadratic-Chi (QC) histogram distance (Eq. 1) is *Similarity-Matrix-Quantization-Invariant* with a lemma:

$$\left(\sum_{c} (P_c^{\alpha,k,b} + Q_c) A_{ci}\right)^m = \left(\sum_{c} (P_c + Q_c) A_{ci}\right)^m \tag{18}$$

Proof.

$$\sum_{c} (P_c^{\alpha,k,b} + Q_c) A_{ci} = \tag{19}$$

$$\left(\sum_{c \neq k, b} (P_c^{\alpha, k, b} + Q_c) A_{ci}\right) + \left((P_k^{\alpha, k, b} + Q_k) A_{ki}\right) + \left((P_b^{\alpha, k, b} + Q_b) A_{bi}\right) = (20)$$

$$\left(\sum_{c \neq k, b} (P_c + Q_c) A_{ci}\right) + \left((\alpha P_k + Q_k) A_{ki}\right) + \left((P_b + (1 - \alpha) P_k + Q_b) A_{bi}\right) =$$
(21)

$$\left(\sum_{c \neq k, b} (P_c + Q_c) A_{ci}\right) + \left((\alpha P_k + Q_k) A_{ki}\right) + \left((P_b + (1 - \alpha) P_k + Q_b) A_{ki}\right) = (22)$$

$$\left(\sum_{c \neq k, b} (P_c + Q_c) A_{ci}\right) + \left((P_k + Q_k + P_b + Q_b) A_{ki}\right) =$$
(23)

$$\left(\sum_{c \neq k, b} (P_c + Q_c) A_{ci}\right) + \left((P_k + Q_k) A_{ki}\right) + \left((P_b + Q_b) A_{bi}\right) =$$
(24)

$$\sum_{c} (P_c + Q_c) A_{ci} \tag{25}$$

$$\left(\sum_{c} (P_c^{\alpha,k,b} + Q_c) A_{ci}\right)^m = \left(\sum_{c} (P_c + Q_c) A_{ci}\right)^m \tag{27}$$

In the proof we use the definitions and in Eqs. 22,24 we use $A_{ki} = A_{bi}$.

We now prove that Quadratic-Chi histogram distance is Similarity-Matrix-Quantization-*Invariant* using Eq. 17. That is we prove that:

$$A_{k,:} = A_{b,:} \Rightarrow \forall \quad 0 \le \alpha \le 1 \quad QC_m^A(P,Q) = QC_m^A(P^{\alpha,k,b},Q) \tag{28}$$

We will prove that $(QC_m^A(P,Q))^2 = (QC_m^A(P^{\alpha,k,b},Q))^2$ which directly implies

We start by separating $(QC_m^A(P^{\alpha,k,b},Q))^2$ addends into three disjoint and complementary types. The first type is when both i and j are different from k and b. For these addends the equality is direct from the definition. The second type is when both i and jare either k or b. For this type the proof is:

$$\sum_{i=(k\vee b),j=(k\vee b)} \frac{(P_i^{\alpha,k,b} - Q_i)(P_j^{\alpha,k,b} - Q_j)A_{ij}}{\left(\sum_c (P_c^{\alpha,k,b} + Q_c)A_{ci}\right)^m \left(\sum_c (P_c^{\alpha,k,b} + Q_c)A_{cj}\right)^m} =$$
(29)

$$\sum_{i=(k\vee b), i=(k\vee b)} \frac{(P_i^{\alpha,k,b} - Q_i)(P_j^{\alpha,k,b} - Q_j)A_{ij}}{\left(\sum_c (P_c + Q_c)A_{ci}\right)^m \left(\sum_c (P_c + Q_c)A_{cj}\right)^m} =$$
(30)

$$\frac{(P_{k}^{\alpha,k,b} - Q_{k})(P_{k}^{\alpha,k,b} - Q_{k})A_{kk}}{\left(\sum_{c}(P_{c} + Q_{c})A_{ck}\right)^{2m}} + \frac{(P_{k}^{\alpha,k,b} - Q_{k})(P_{b}^{\alpha,k,b} - Q_{b})A_{kb}}{\left(\sum_{c}(P_{c} + Q_{c})A_{ck}\right)^{m}\left(\sum_{c}(P_{c} + Q_{c})A_{cb}\right)^{m}} +$$

$$\frac{(P_b^{\alpha,k,b} - Q_b)(P_k^{\alpha,k,b} - Q_k)A_{bk}}{\left(\sum_c (P_c + Q_c)A_{cb}\right)^m \left(\sum_c (P_c + Q_c)A_{ck}\right)^m} + \frac{(P_b^{\alpha,k,b} - Q_b)(P_b^{\alpha,b,b} - Q_b)A_{bb}}{\left(\sum_c (P_c + Q_c)A_{cb}\right)^{2m}} =$$
(31)

$$\frac{\left((\alpha P_k - Q_k)^2 + 2(\alpha P_k - Q_k)((P_b + (1 - \alpha)P_k) - Q_b) + ((P_b + (1 - \alpha)P_k) - Q_b)^2\right)A_{kk}}{\left(\sum_c (P_c + Q_c)A_{ck}\right)^{2m}} = (32)$$

$$\frac{\left((\alpha P_k - Q_k) + ((P_b + (1 - \alpha)P_k) - Q_b)\right)^2 A_{kk}}{\left(\sum_c (P_c + Q_c)A_{ck}\right)^{2m}} =$$
(33)

$$\frac{\left((P_k - Q_k) + (P_b - Q_b)\right)^2 A_{kk}}{\left(\sum_c (P_c + Q_c) A_{ck}\right)^{2m}} = \tag{34}$$

$$\frac{\left((P_k - Q_k)^2 + 2(P_k - Q_k)(P_b - Q_b) + (P_b - Q_b)^2\right) A_{kk}}{\left(\sum_c (P_c + Q_c) A_{ck}\right)^{2m}} =$$
(35)

$$\sum_{i=(k\vee b),j=(k\vee b)} \frac{(P_i - Q_i)(P_j - Q_j)A_{ij}}{\left(\sum_c (P_c + Q_c)A_{ci}\right)^m \left(\sum_c (P_c + Q_c)A_{cj}\right)^m}$$
(36)

Eq. 30 is based on the lemma in Eq. 18. Eqs. 32,36 are true as $A_{ck} = A_{cb}$ and $A_{kk} = A_{kb} = A_{bb} = A_{bk}.$

The third type of addends is when only i equals b or k or only j equals b or k. These sub-cases are symmetric, so it is enough to prove equality for only one of them:

$$\sum_{i=(k\vee b), j\neq (k\vee b)} \frac{(P_i^{\alpha,k,b} - Q_i)(P_j^{\alpha,k,b} - Q_j)A_{ij}}{\left(\sum_c (P_c^{\alpha,k,b} + Q_c)A_{ci}\right)^m \left(\sum_c (P_c^{\alpha,k,b} + Q_c)A_{cj}\right)^m} =$$
(37)

$$\sum_{i=(k\vee b), j\neq (k\vee b)} \frac{(P_i^{\alpha,k,b} - Q_i)(P_j - Q_j)A_{ij}}{\left(\sum_c (P_c + Q_c)A_{ci}\right)^m \left(\sum_c (P_c + Q_c)A_{cj}\right)^m} =$$
(38)

$$\sum_{j \neq (k \vee b)} \left(\frac{(P_k^{\alpha,k,b} - Q_k)(P_j - Q_j) A_{kj}}{\left(\sum_c (P_c + Q_c) A_{ck}\right)^m \left(\sum_c (P_c + Q_c) A_{cj}\right)^m} + \frac{(P_b^{\alpha,k,b} - Q_b)(P_j - Q_j) A_{bj}}{\left(\sum_c (P_c + Q_c) A_{cb}\right)^m \left(\sum_c (P_c + Q_c) A_{cj}\right)^m} \right) =$$
(39)

$$\sum_{j \neq (k \lor b)} \left(\frac{(\alpha P_k - Q_k + (P_b + (1 - \alpha)P_k) - Q_b)(P_j - Q_j)A_{kj}}{\left(\sum_c (P_c + Q_c)A_{ck}\right)^m \left(\sum_c (P_c + Q_c)A_{cj}\right)^m} \right) = \tag{40}$$

$$\sum_{j \neq (k \vee b)} \left(\frac{((P_k - Q_k) + (P_b - Q_b))(P_j - Q_j)A_{kj}}{\left(\sum_c (P_c + Q_c)A_{ck}\right)^m \left(\sum_c (P_c + Q_c)A_{cj}\right)^m} \right) =$$
(41)

$$\sum_{i=(k\vee b), j\neq (k\vee b)} \frac{(P_i - Q_i)(P_j - Q_j)A_{ij}}{\left(\sum_c (P_c + Q_c)A_{ci}\right)^m \left(\sum_c (P_c + Q_c)A_{cj}\right)^m} \tag{42}$$

Eq. 38 is based on the lemma in Eq. 18. Eqs. 40,42 are true as $A_{kj}=A_{bj}$ and $A_{ck}=A_{cb}$.

Since we covered the three disjoint and complementary cases we have proved that a Quadratic-Chi histogram distance is *Similarity-Matrix-Quantization-Invariant*.

4 Additional Shape Classification Results

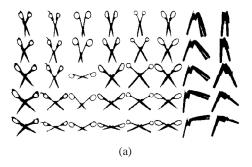
This section presents shape classification results using the same framework as Ling et al. [5,6,7]. We first present results for the articulated shape data set [5,7]. Then we present results for the Kimia-216 data set [8].

4.1 Articulated Data Set

The articulated shape data set [5,7] has 40 images from 8 different objects. Each object has 5 images articulated to different degrees, see Fig. 1(a). The dataset is very challenging because of the similarity between different objects (especially the scissors).

We used Belongie et al.'s Shape Context (SC) [9] and Ling and Jacobs' Inner Distance Shape Context (IDSC) [5].

To evaluate results, for each image, the 4 most similar matches are chosen from other images in the dataset. The retrieval result is summarized as the number of 1st,



Re	C[9]		Results using IDSC[5]								
	Top 1	Top 2	Top 3	Top 4	AUC%		Top 1	Top 2	Top 3	Top 4	AUC%
$QCN^{1-\frac{dsc}{2}}$ QCN^{I} QCN^{I} $QCS^{1-\frac{dsc}{2}}$	20	6	10	5	0.307	$QCN^{1-\frac{dsc_{T=2}}{2}}$	39	38	38	34	0.950
QCN^I	18	7	5	8	0.278	$QCN^{1-\frac{dsCT=2}{2}}$ QCN^{I}	40	37	36	33	0.940
$ QCS^{1 - \frac{dsc_{T} = 2}{2}} QCS^{I} \chi^{2} $	23	11	11	4	0.378	$QCS^{1 - \frac{dsc_{T} = 2}{2}}$ QCS^{I} χ^{2}	39	35	38	28	0.912
QCS^I	19	9	9	6	0.318	QCS^I	40	34	37	27	0.907
χ^2	25	13	13	7	0.430	χ^2	40	36	36	21	0.902
$QF^{1-\frac{\operatorname{dsc}T=2}{2}}$ L_{2} $\widehat{\operatorname{EMD}}_{1}^{\operatorname{dsc}T=2}$	25	16	10	7	0.438	$QF^{1-\frac{\operatorname{dsc}_{T=2}}{2}}$	40	34	39	19	0.897
L_2	25	15	8	7	0.420	L_2	39	35	35	18	0.873
$\widehat{\text{EMD}}_{1}^{\text{dsc}}T=2$	23	13	11	7	0.400	$\widehat{\text{EMD}}_{1}^{\text{dsc}}T=2$	39	36	35	27	0.902
L_1	20	10	9	5	0.333	L_1	39	35	35	25	0.890
$SIFT_{DIST}[10]$	20	9	6	9	0.320	$SIFT_{DIST}[10]$	38	37	27	22	0.848
$EMD-L_1[6]$	15	10	6	10	0.280	$EMD-L_1[6]$	39	35	38	30	0.917
Diffusion[11]	19	10	7	6	0.315	Diffusion[11]	39	35	34	23	0.880
Bhattacharyya[12]	25	14	9	9	0.422	Bhattacharyya[12]	40	37	32	23	0.895
KL[13]	12	8	4	9	0.223	KL[13]	40	38	36	29	0.938
JS[14]	25	16	9	7	0.432	JS[14]	40	35	37	21	0.900
(b)						(c)					

Fig. 1. (a) Articulated shape database. This dataset contains 40 images from 8 objects. Each column contains five images of the same object with different articulation.

- (b) Shape classification results using Belongie et al.'s Shape Context (SC)[9]. All distances performance is poor.
- (c) Shape classification results using Ling and Jacobs' Inner Distance Shape Context (IDSC)[5]. QCN $^{1-\frac{\text{dsc}_T=2}{2}}$ outperformed all other distances. QCN I performance was excellent, which shows the importance of the normalization factor. All cross-bin distances outperformed their bin-by-bin versions. Again, χ^2 and QF improved upon L_2 . QCN and QCS which are mathematically sound combinations of χ^2 and QF outperformed both.

2nd, 3rd and 4th most similar matches that come from the correct object. Tables (b) and (c) in Fig. 1 show the retrieval results for SC and IDSC respectively. Using SC descriptors, the performance was poor on this dataset for all kinds of distances. Using IDSC descriptors, the QCN $^{1-\frac{\text{dsc}_T=2}{2}}$ histogram distance outperformed all the other distances. QCN I performance was excellent, which shows the importance of the normalization factor. All cross-bin distances outperformed their bin-by-bin versions. χ^2 and QF improved upon L_2 . QCN and QCS which are mathematically sound combinations of χ^2 and QF outperformed both.

4.2 Kimia-216 Data Set

The Kimia-216 shape data set [8] has 216 images grouped into 18 classes with 12 images in each class, see Fig. 2(a).

We tested for shape classification with Belongie et al. Shape Context (SC) [9]. We do not present results for Ling and Jacob's IDSC [5], as the code that computes IDSC [7] crashes on this data set (segmentation fault).

To evaluate results, for each image, the 11 most similar matches are chosen from other images in the dataset (as there are 12 images in each class). The retrieval result is summarized as the number of 1st, 2nd, ..., 11th and most similar matches that come from the correct object. Table (b) in Fig. 2(b) show the retrieval results. Again, the $QCN^{1-\frac{ds_{T}=2}{2}}$ histogram distance outperformed all the other distances. Again, χ^2 and QF improved upon L_2 and QCN and QCS outperformed both.

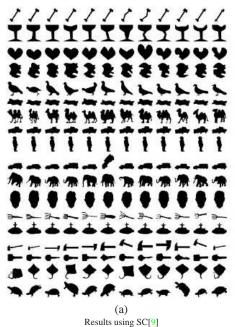
5 Comparison of Color Image Distances With and Without Spatial Pruning

In this section we compare experimentally the color image distances that have spatial pruning (those used in [1]) to distances without such a pruning (those used in [3]). Results are presented in Fig. 3. Pruning slightly improved accuracy for QF and slightly reduced accuracy for QCN, QCS and EMD. However, pruning considerably reduced running time (see Table 1 in page 15).

6 Comparison to Non-Similarity-Matrix-Quantization-Invariant and Non-Sparseness-Invariant Quadratic-Chi-like Histogram Distances

In this section we compare experimentally distances which resembles QC distances, but are either not *Similarity-Matrix-Quantization-Invariant* or not *Sparseness-Invariant*. The comparison shows that these properties considerably boost performance, especially for sparse color histograms.

As in the QC definition, let P and Q be two non-negative bounded histograms. That is, $P,Q \in [0,U]^N$. Let A be a non-negative symmetric bounded bin-similarity matrix such that each diagonal element is bigger or equal to every other element in its



Top 1 Top 2 Top 3 Top 4 Top 5 Top 6 Top 7 Top 8 Top 9 Top 10 Top 11 AUC% QCN^{1-} 0.981 QCN^I 0.920 QCS¹⁻ 0.969 QCS^{I} χ^{2} 0.976 0.960 QF^1 0.920 0.910 $\widehat{\text{EMD}}_{1}^{\text{ds}}$ 0.974 0.978 SIFT_{DIST}[10] 0.979 $EMD-L_1[6]$ 0.969 Diffusion[11] 0.979 Bhattacharyya[12] 0.967 KL[13] 0.899 JS[14]0.959

(b)

Fig. 2. (a) Kimia-216 shape database. This dataset has 216 images from 18 classes. Each row contains 12 images of the same class.

(b) Shape classification results using the Shape Context (SC)[9]. We do not present results for Ling and Jacob's IDSC [5], as the code that computes IDSC [7] crashed (segmentation fault). Again, the QCN^{$1-\frac{\text{dec}_{T=2}}{2}$} histogram distance outperformed all other distances. Again, χ^2 and QF improved upon L_2 . QCN and QCS which are mathematically sound combinations of χ^2 and QF outperformed both.

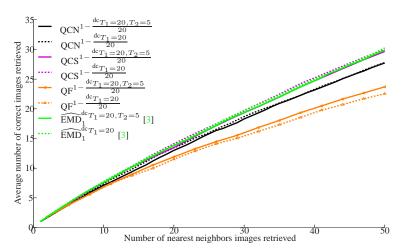


Fig. 3. Results for image retrieval comparing distances which are pruning spatially far away pixels (painted with solid lines) to those that are not (painted with broken lines). Pruning slightly improved accuracy for QF and slightly reduced accuracy for QCN, QCS and EMD. However, pruning considerably reduced running time (see Table 1 in page 15).

row (this demand is weaker than being a strongly dominant matrix) and to 1. That is, $A \in [0,U]^N \times [0,U]^N$ and $\forall i,j \ A_{ii} \geq A_{ij}$ and $\forall i \ A_{ii} \geq 1$. Let $0 \leq m < 1$ be the normalization factor. A QC-like histogram distance which is not *Sparseness-Invariant* is defined as:

$$NSI-QC_{m}^{A}(P,Q) = \sqrt{\sum_{ij} \left(\frac{\left(\sum_{c} P_{c} A_{ci} - \sum_{c} Q_{c} A_{ci}\right)}{\left(\sum_{c} (P_{c} + Q_{c}) A_{ci}\right)^{m}}\right) \left(\frac{\left(\sum_{c} P_{c} A_{cj} - \sum_{c} Q_{c} A_{cj}\right)}{\left(\sum_{c} (P_{c} + Q_{c}) A_{cj}\right)^{m}}\right) A_{ij}}$$

$$(43)$$

A QC-like histogram distance which is not Similarity-Matrix-Quantization-Invariant is defined as:

$$NQI-QC_{m}^{A}(P,Q) = \sqrt{\sum_{ij} \left(\frac{\left(P_{i} - Q_{i}\right)}{\left(P_{i} + Q_{i}\right)^{m}}\right) \left(\frac{\left(P_{j} - Q_{j}\right)}{\left(P_{j} + Q_{j}\right)^{m}}\right) A_{ij}}$$
(44)

If *I* is the identity matrix then:

$$\operatorname{QC}_m^I(P,Q) = \operatorname{NSI-QC}_m^I(P,Q) = \operatorname{NQI-QC}_m^I(P,Q) \tag{45}$$

For any bin-similarity A we get the following equality:

$$NQI-QC_m^I(AP, AQ) = NSI-QC_m^I(P, Q)$$
(46)

We present results for image retrieval comparing QCN, NSI-QCN(NSI-QC $_{0.9}$), NQI-QCN(NQI-QC $_{0.9}$), QCS, NSI-QCS(NSI-QC $_{0.5}$) and NQI-QCS(NQI-QC $_{0.5}$) in Fig. 4(a) for SIFT-like descriptors (CSIFT as the CSIFT descriptor, compared to SIFT was better for all distance measures, see Fig. 7) and in Fig. 4(b) for small L*a*b* images with the pruning distance used in the paper [1] and in 4(c) for small L*a*b* images with a non-pruning distance as was used in [3]. The results show that Similarity-Matrix-Quantization-Invariance and Sparseness-Invariance considerably boost performance. The gain in performance is large using the L*a*b* images, which are sparse 5-dimensional histograms (x, y, L*, a*, b*). That is, the query histogram was always: $[1, \ldots, 1, 0, \ldots, 0]$ and each image being compared to the query was represented by the histogram: $[0, \ldots, 0, 1, \ldots, 1]$. That is, the dimension of the space is $32 \times 48 \times 256^3$ where only 32×48 entries are non-zero in each histograms. The bin-similarity matrix is computed for each pair of images. For these histograms:

$$NQI-QCN^{A}(P,Q) = NQI-QCS^{A}(P,Q) = QF^{A}(P,Q)$$
(47)

The time complexity of all the distances tested in this section is linear. In practice (see Table 1), QCS distances are faster to compute than QCN distances. In addition, NQI-QC distances are the fastest to compute, QC distances second, and NSI-QC distances have the longest running time. However, the differences between the running times are minor as all distances have linear time complexity.

7 Comparing SIFT/CSIFT with All Distances for Image Retrieval

In this section we present results for image retrieval as described in the paper. The results are for all pairs of descriptors (SIFT/CSIFT) and distance measures and given in Figs. 5, 6,7.

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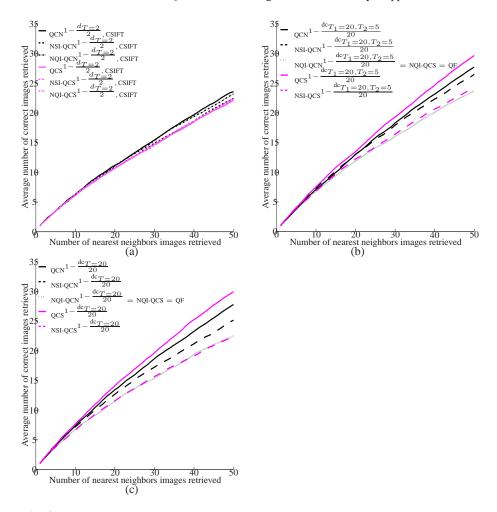


Fig. 4. Results for image retrieval comparing QC which is *Sparseness-Invariant* and *Similarity-Matrix-Quantization-Invariant* to NSI-QC and to NQI-QC which are not *Sparseness-Invariant* and not *Similarity-Matrix-Quantization-Invariant* respectively.

(a) **SIFT-like descriptors.** For each distance measure, we present the descriptor (SIFT/CSIFT) with which it performed best. The results for all the pairs of descriptors and distance measures can be found in Figs. 5, 6 and 7. It can be seen that QCN which is both *Sparseness-Invariant* and *Similarity-Matrix-Quantization-Invariant* has the best performance. However, the differences in performance are small.

(b) **L*a*b* images with pruning and (c) without pruning** Here, the *Sparseness-Invariant* and *Similarity-Matrix-Quantization-Invariant* properties are very important. This is probably because **L*a*b*** images are represented as very sparse histograms in a very high dimensional space (the dimension of the space is $32 \times 48 \times 256^3$ where only 32×48 entries are non-zero in each histograms). Comparing to (b) we can also note that performance using this representation is better than those obtained with the SIFT-like descriptor.

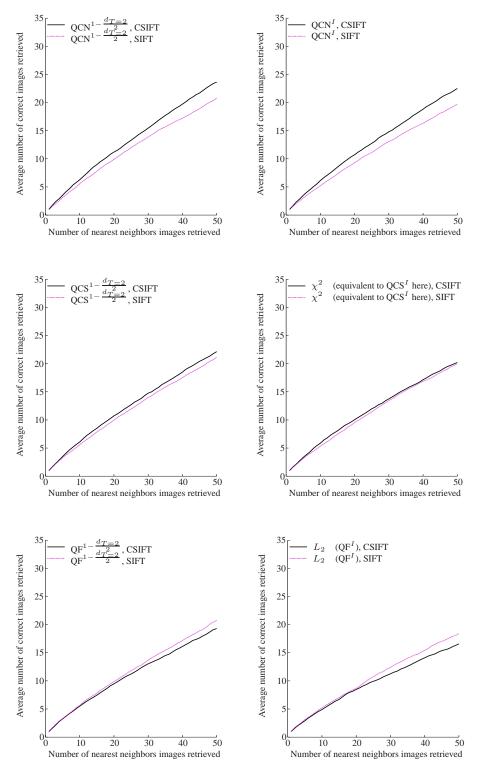


Fig. 5. Comparison of SIFT with CSIFT for all distances, part 1.

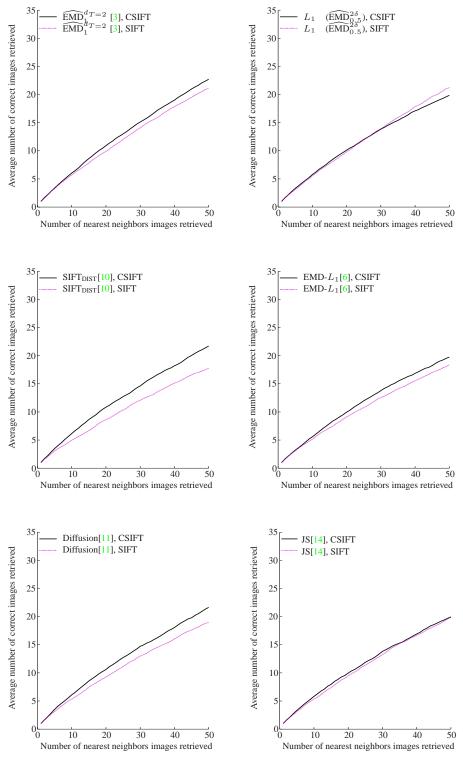


Fig. 6. Comparison of SIFT with CSIFT for all distances, part 2

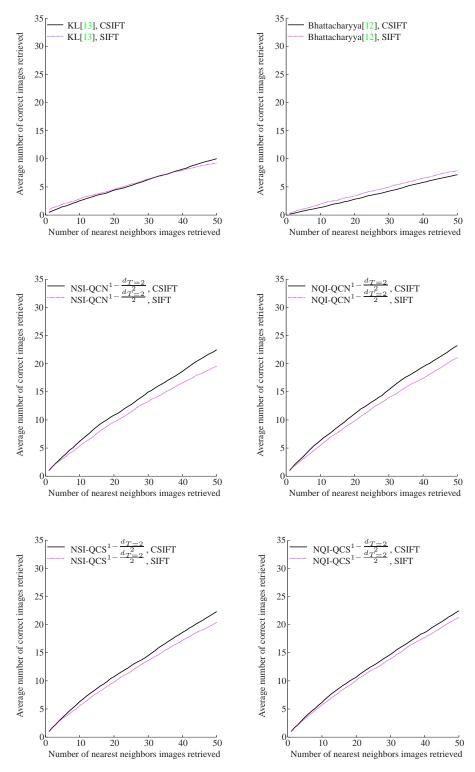


Fig. 7. Comparison of SIFT with CSIFT for all distances, part 3

Descriptor	QCN^{A_2}	NSI-QCN ^A	2 NQI-QCN ^A	2 QCS ^A 2 NSI-	QCS ^A 2 NQI-QCS ^A 2
(SIFT)	0.15	0.18	0.14	0.07 0.1	0.06
Descriptor	QCN^{A_2}	20,5 NSI-QC	$N^{A_{20,5}} QF^{A}$	20,5 QCS $^{A_{20}}$,	⁵ NSI-QCS ^A 20,5
(L*a*b*)	20 (37	(0) 21 (37	1) 11 ((361) 19 (369)	18 (368)
Descriptor	QCN^{A_2}	0 NSI-Q	CN ^A 20 QF ^A	$QCS^{A_{20}}$	${\it NSI-QCS}^{A_{20}}$
(L*a*b*)	20 (30	20) 21 (30	021) 11 (3011) 19 (301	19) 18 (3018)

Table 1. (SIFT) 384-dimensional SIFT-like descriptors matching time (in *milliseconds*). The distances from left to right are the same as the distances in Fig. 4 (a) from up to down.

 $(L^*a^*b^*)$ 32 × 48 $L^*a^*b^*$ images matching time (in *milliseconds*) using a distance which prunes spatially far away pixels (middle row) and a distance which does not (bottom row). On the middle row, the distances from left to right are the same as the distances in Fig. 4 (b). On the bottom row, the distances from left to right are the same as the distances in Fig. 4 (c). In parentheses is the time it takes to compute the distance and the bin-similarity matrix as it cannot be computed offline.

To summaries results, QCS distances are faster to compute than QCN distances. Also, NQI-QC distances are the fastest to compute, QC distances second, and NSI-QC distances have the longest running time. However, the differences between the running times are minor as all distances have linear time complexity. Finally, pruning spatially far away pixels considerably reduced running time, almost without reducing accuracy (see Fig. 3).

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