

# Gamma-ray strength functions using approximate shell model calculations

T3 “Taking the Temperature”

Workshop on Statistical Nuclear Physics for Astrophysics and  
Applications

August 14-17, 2023, Ohio University, Athens, OH, USA

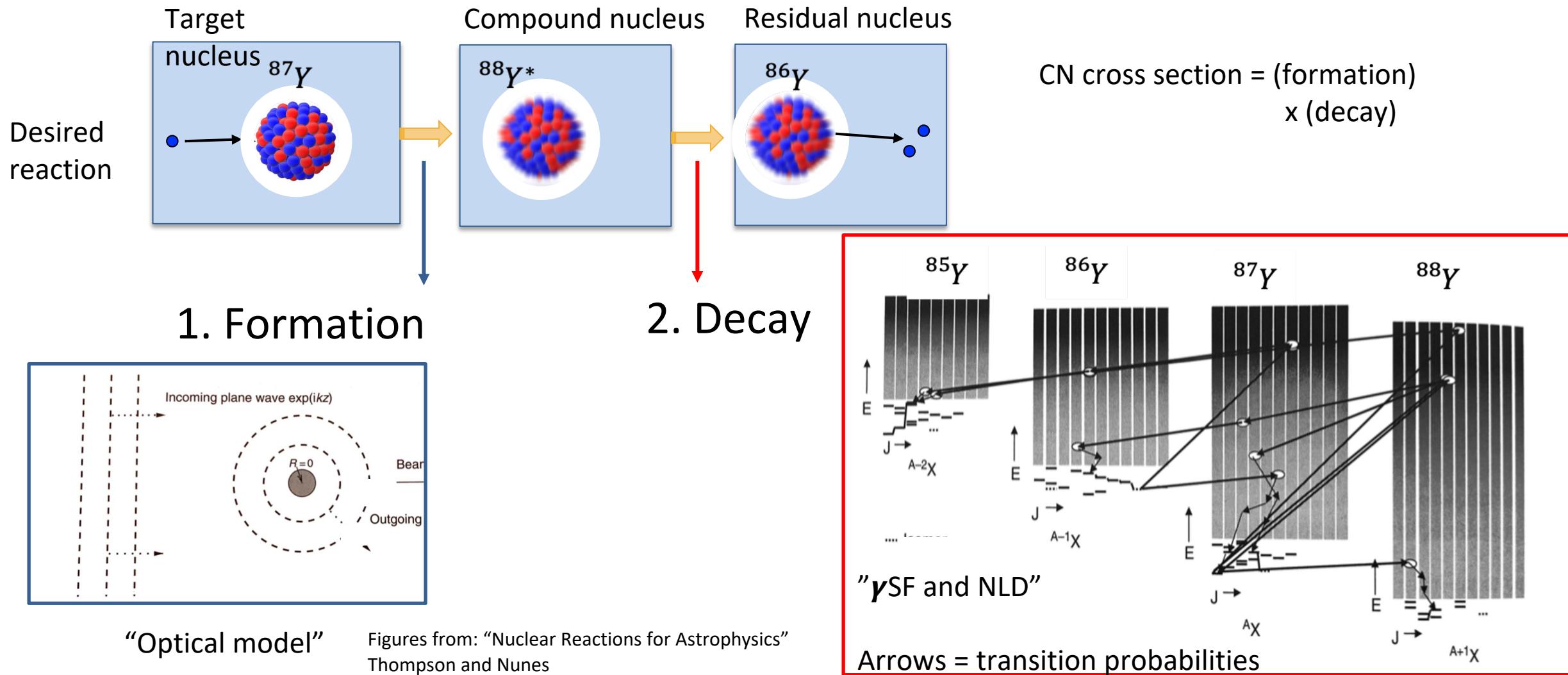
Oliver Gorton<sup>1,2</sup>, Calvin Johnson<sup>1</sup>, Jutta Escher<sup>2</sup>

<sup>1</sup>San Diego State University

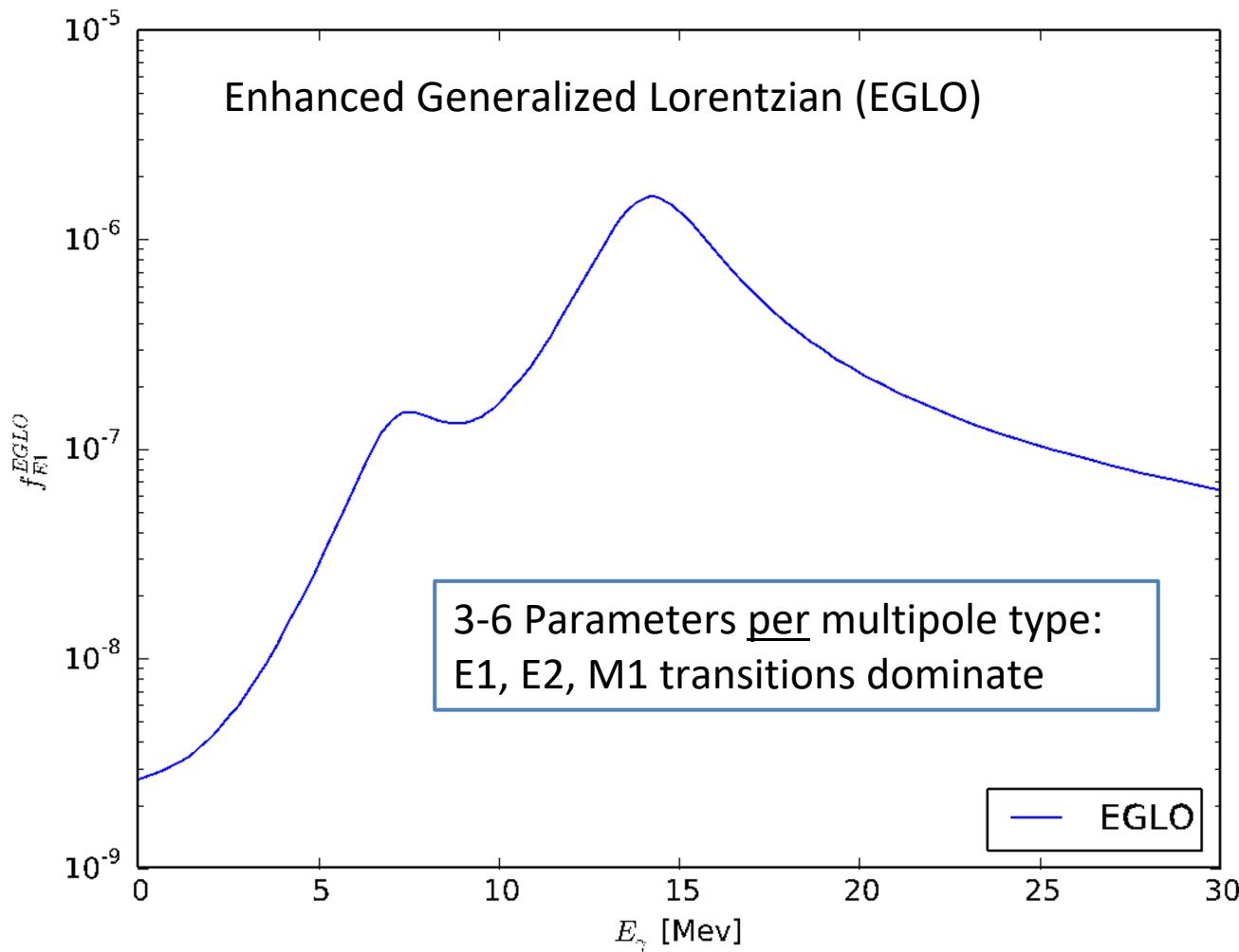
<sup>2</sup>Lawrence Livermore National Laboratory



# Compound nuclear (CN) reactions have two stages



# Gamma-ray strength functions (gSF) approximate transition probabilities between (internal) states



Usage: CN is at some excitation energy, what is the probability to emit a gamma-ray of a particular energy?

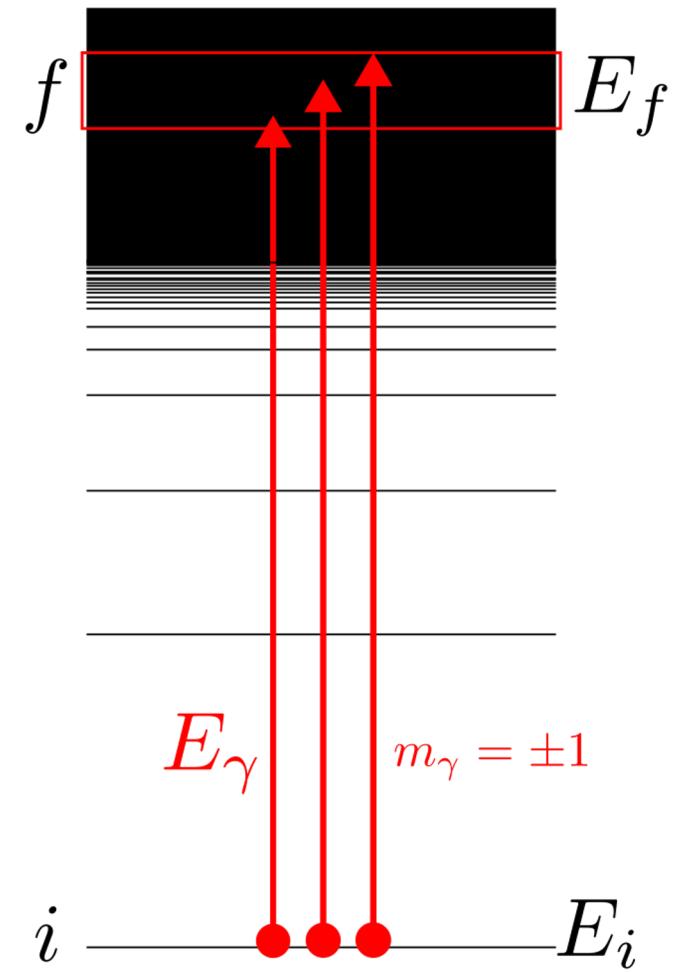
# How are Gamma ray strength functions measured or constrained?

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- Direct methods: e.g. Photo absorption
- Indirect methods: e.g. Oslo methods, Surrogate methods

# Photo-absorption cross section as overlapping gamma-widths

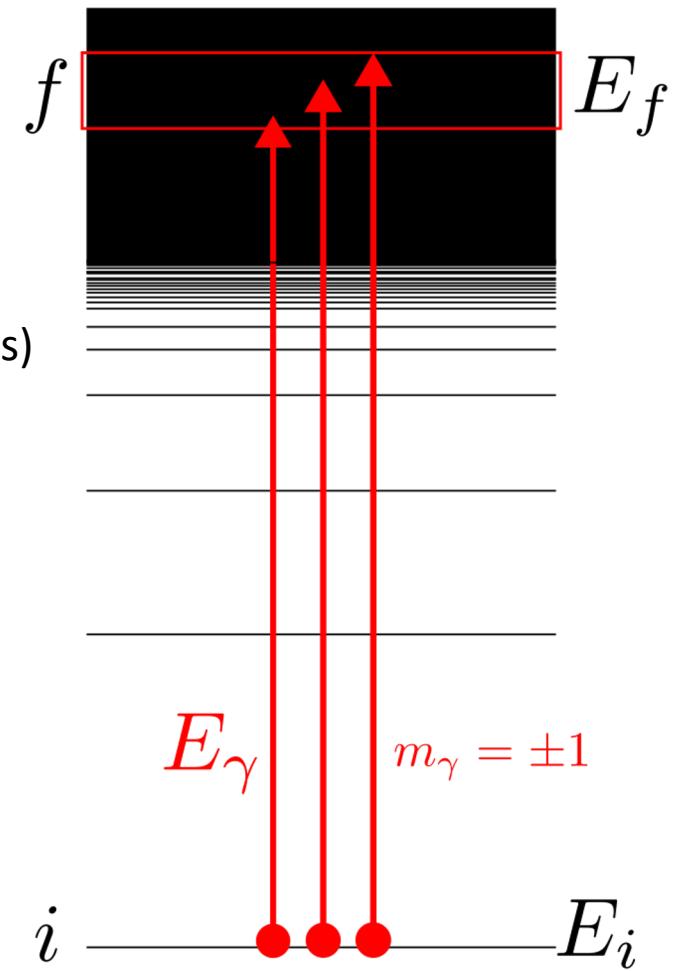
$$\langle \sigma_{\text{abs}}^{XL}(E_\gamma) \rangle = (2L + 1) \frac{\pi^2}{k_\gamma^2} \frac{1}{\Delta E} \sum_{E_f = E_\gamma}^{E_\gamma + \Delta E} \Gamma_{0 \rightarrow f}^{XL}$$



# Photo-absorption cross section as overlapping gamma-widths

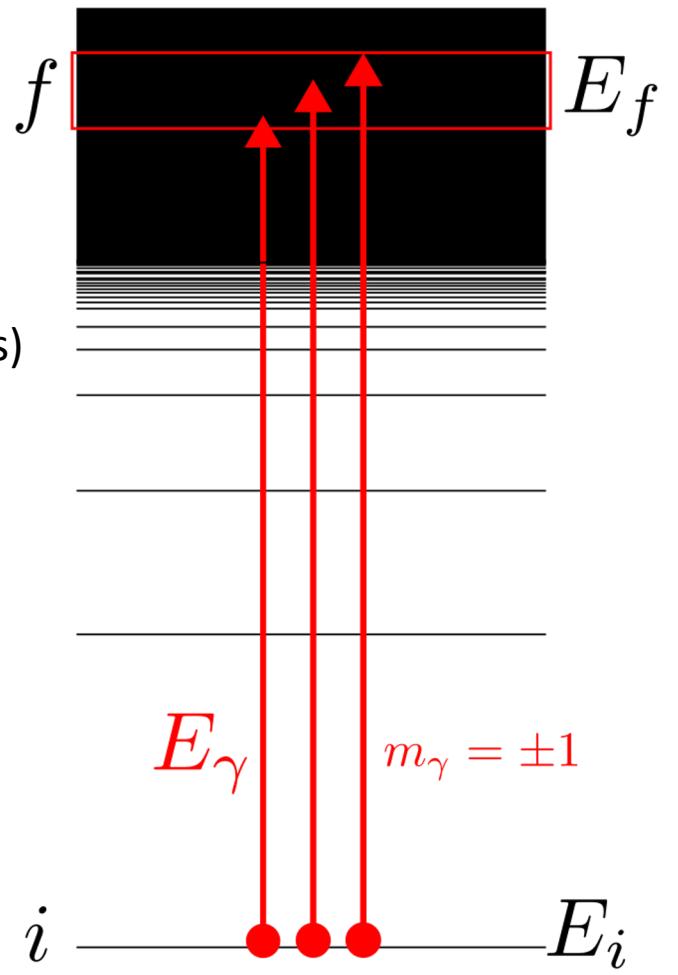
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$$= (2L+1) \frac{\pi^2}{k_\gamma^2} \langle \Gamma_{0 \rightarrow f}^{XL} \rangle_f \rho(E_f, J_f^\pi)$$

(Density of accessible states)



# Photo-absorption cross section as overlapping gamma-widths

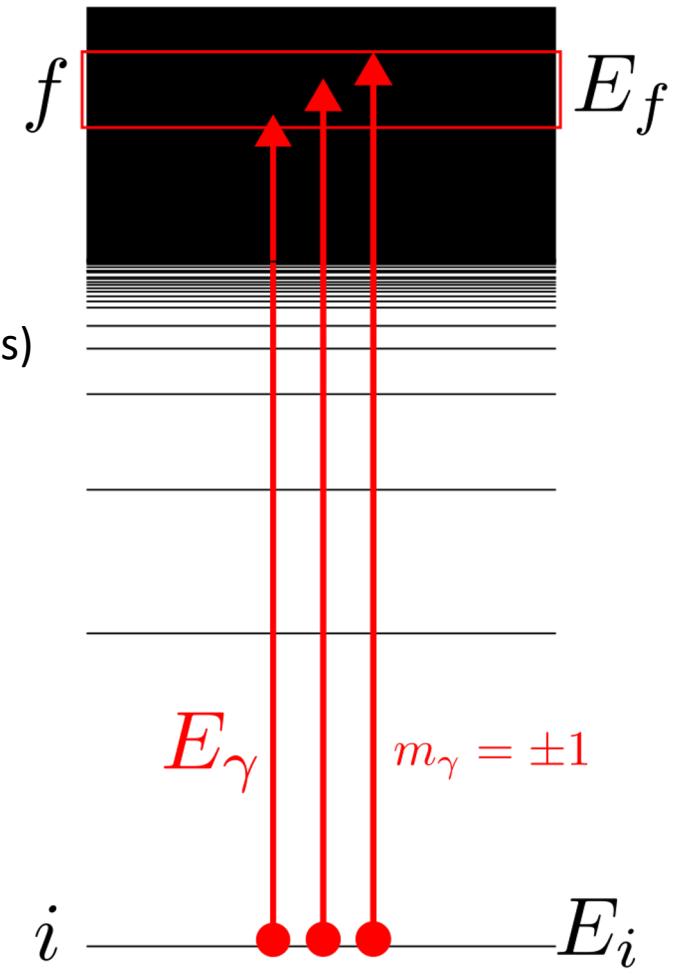
$$\begin{aligned}\langle \sigma_{\text{abs}}^{XL}(E_\gamma) \rangle &= (2L+1) \frac{\pi^2}{k_\gamma^2} \frac{1}{\Delta E} \sum_{E_f=E_\gamma}^{E_\gamma + \Delta E} \Gamma_{0 \rightarrow f}^{XL} \\ &= (2L+1) \frac{\pi^2}{k_\gamma^2} \langle \Gamma_{0 \rightarrow f}^{XL} \rangle_f \rho(E_f, J_f^\pi) \quad (\text{Density of accessible states}) \\ &= (2L+1) \frac{\pi^2}{k_\gamma^2} E_\gamma^{2L+1} \overline{f}^{XL}(E_\gamma)\end{aligned}$$



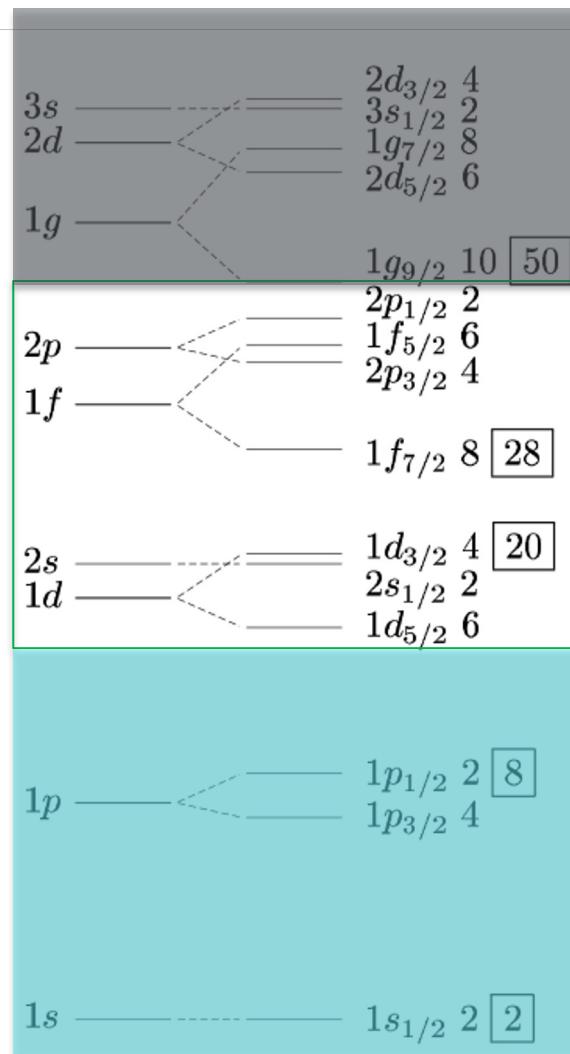
# Photo-absorption cross section as overlapping gamma-widths

$$\begin{aligned}\langle \sigma_{\text{abs}}^{XL}(E_\gamma) \rangle &= (2L+1) \frac{\pi^2}{k_\gamma^2} \frac{1}{\Delta E} \sum_{E_f=E_\gamma}^{E_\gamma + \Delta E} \Gamma_{0 \rightarrow f}^{XL} \\ &= (2L+1) \frac{\pi^2}{k_\gamma^2} \langle \Gamma_{0 \rightarrow f}^{XL} \rangle_f \rho(E_f, J_f^\pi) \\ &= (2L+1) \frac{\pi^2}{k_\gamma^2} E_\gamma^{2L+1} \overline{f}^{XL}(E_\gamma)\end{aligned}$$

$$\overline{f}^{XL}(E_\gamma) = \frac{\langle \Gamma_{0 \rightarrow f}^{XL} \rangle_f \rho(E_f, J_f^\pi)}{E_\gamma^{2L+1}} \quad \text{"Bartholomew definition"}$$



# Shell Model takes all combinations of particle excitations in the valence space to capture many-body physics



"∞" excluded states

Valence space

Frozen core

<sup>49</sup>Ca in the sd-pf space

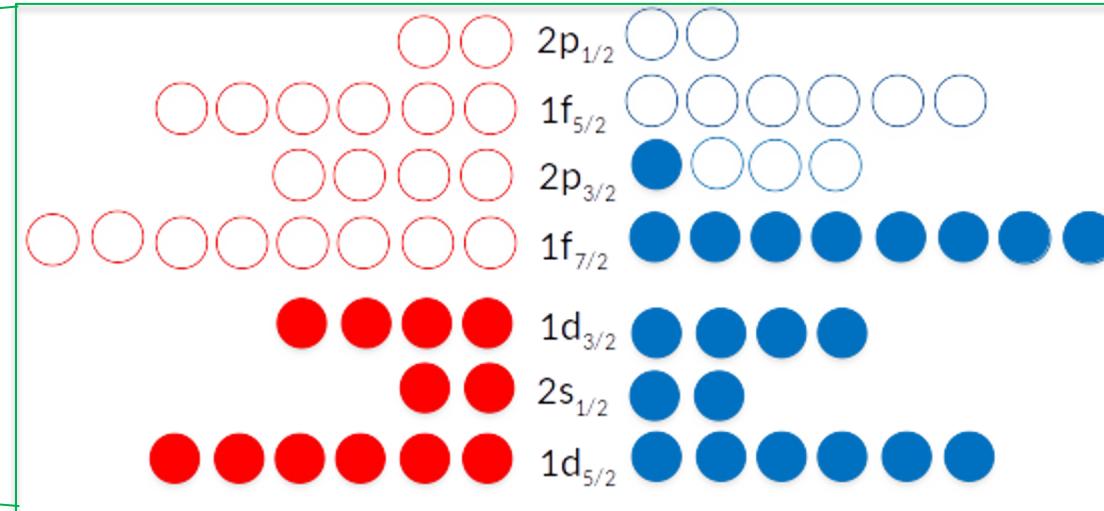
12 Protons

Configurations:  $1 \times 10^7$

21 Neutrons

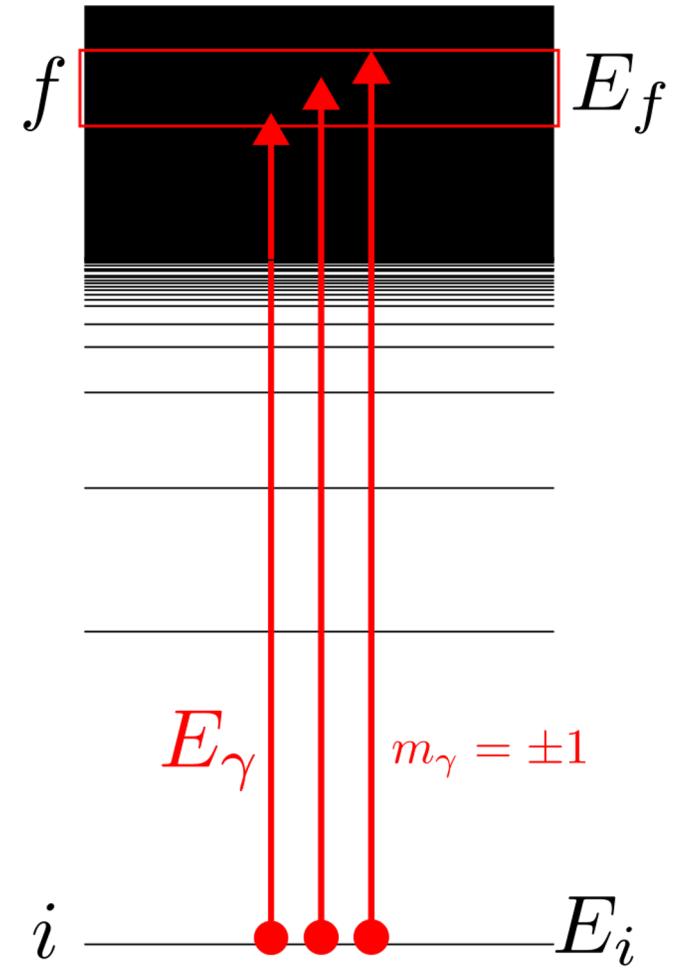
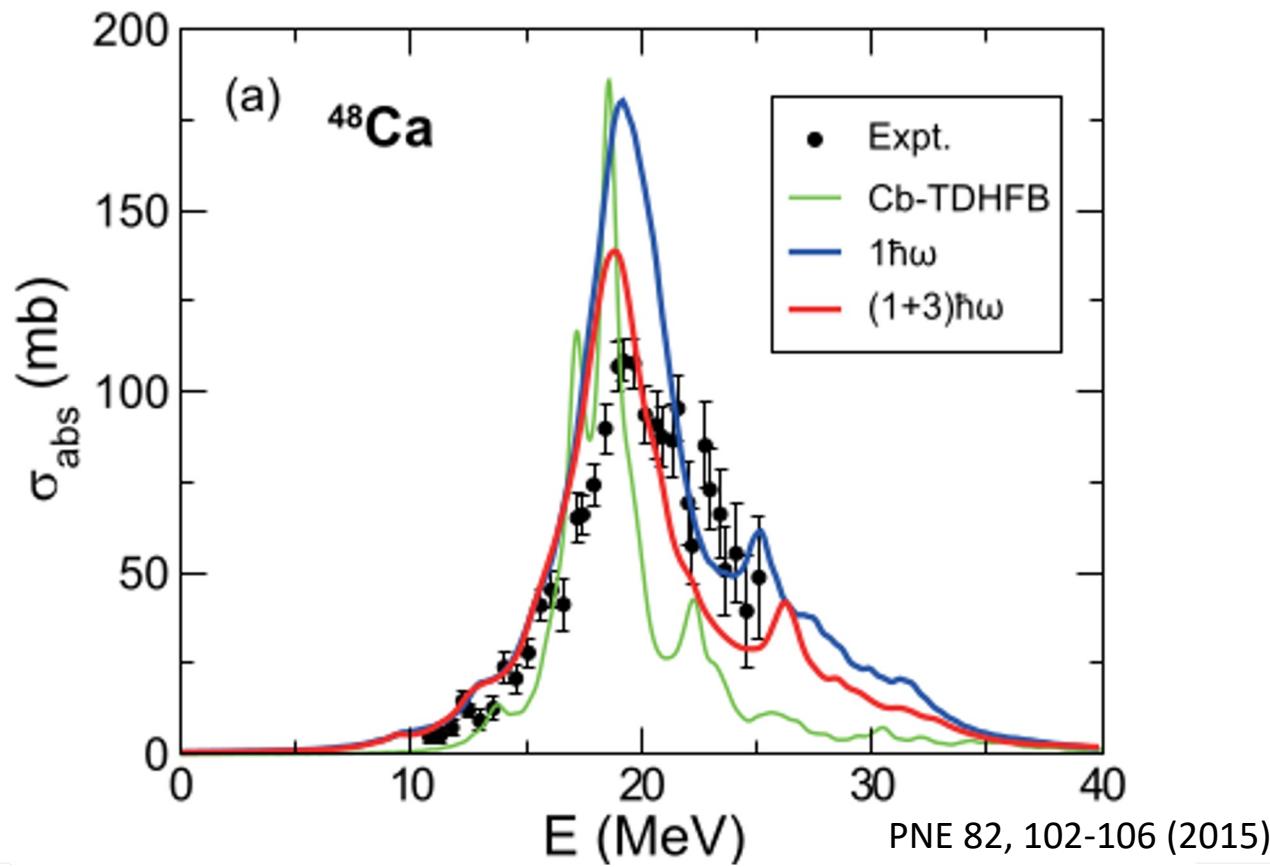
Configurations:  $9 \times 10^6$

(Orders of magnitude smaller!)



# Shell model can reproduce photoabsorption with a $1\hbar\omega$ truncation

$$\vec{f}^{XL}(E_\gamma = E_f) = \frac{8\pi(L+1)}{(\hbar c)^{2L+1} L [(2L+1)!!]^2} \frac{1}{\Delta E} \sum_{E_f}^{E_f + \Delta E} B_{0 \rightarrow f}^{XL}$$



# Photo de-excitation “downward” to *specific* isolated states

“Swap” initial and final states:

$$\begin{aligned}\overleftarrow{f}^{XL}(E_\gamma = E_i - E_f, E_f) &= \frac{\langle \Gamma_{i \rightarrow f}^{XL} \rangle_i \rho(E_i, J_i^\pi)}{E_\gamma^{2L+1}} \\ &= \frac{8\pi(L+1)}{(\hbar c)^{2L+1} L [(2L+1)!!]^2} \langle B_{i \rightarrow f}^{XL} \rangle_i \rho(E_i, J_i^\pi)\end{aligned}$$

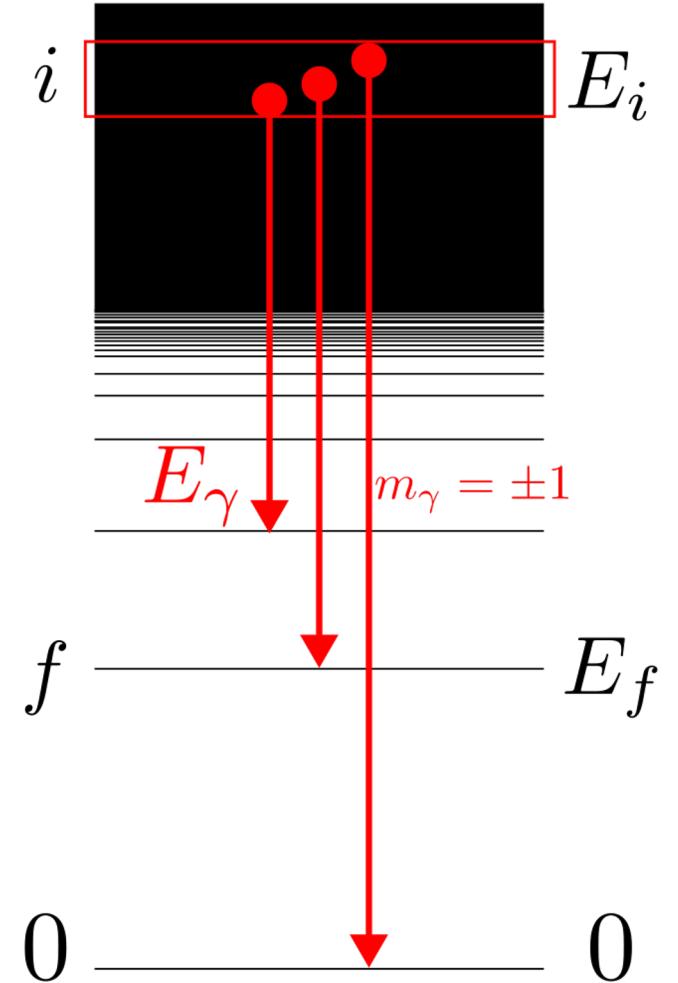
$\rho(E_i, J_i^\pi)$ : Density of initial states which can transition to *specific* final state f

Brink-Axel hypothesis:

$$\overline{f}^{XL}(E_\gamma = E_i - E_f, E_f) \approx \overleftarrow{f}^{XL}(E_\gamma = E_i, 0)$$

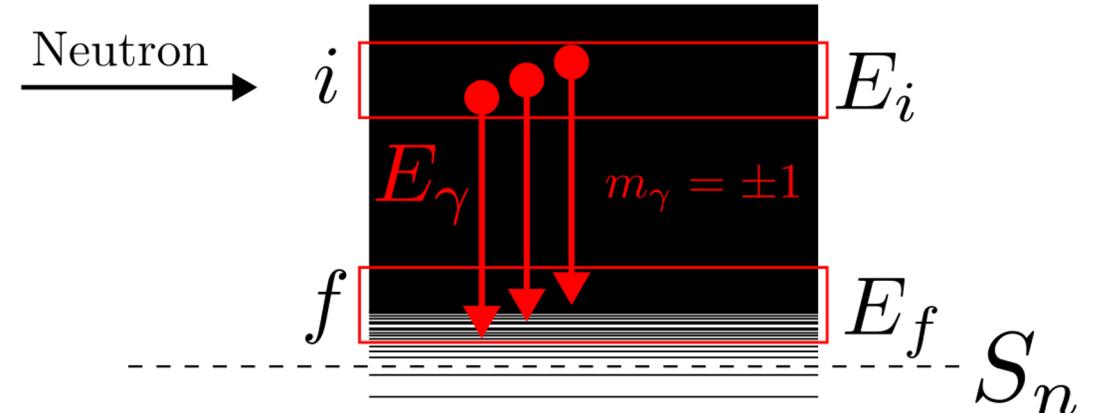
ELBAH (Energy localized Brink-Axel hypothesis):

$$\overline{f}^{XL}(E_\gamma, E_f) \approx \overleftarrow{f}^{XL}(E_\gamma, E_f + \delta)$$



# Photo de-excitation “downward” to another energy bin

- Low energy enhancement, “upbend”



0 ————— 0

# Photo de-excitation “downward” to another energy bin

- Low energy enhancement, “upbend”

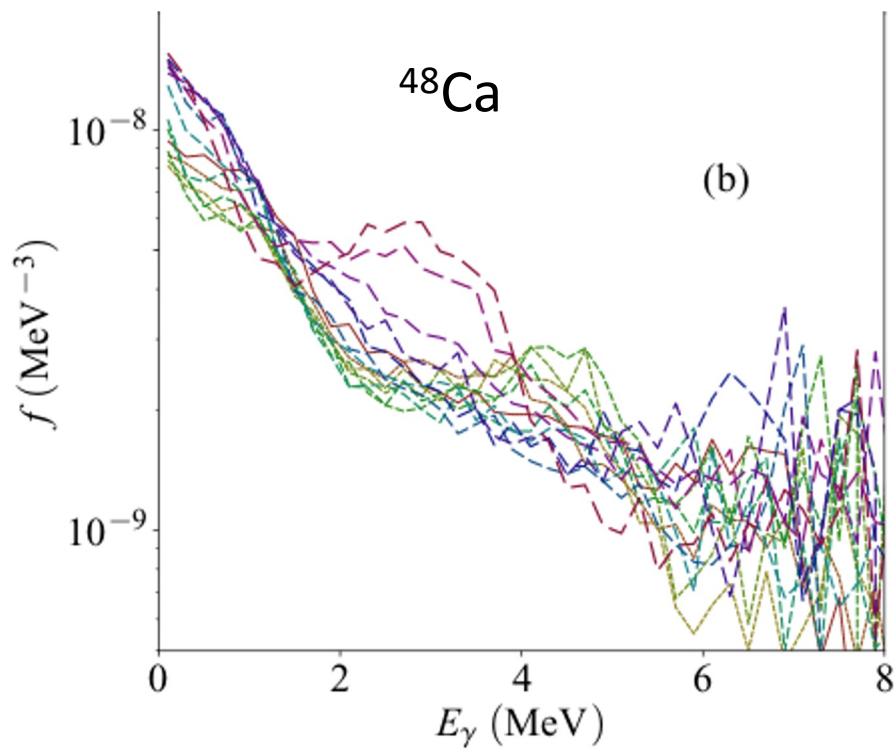
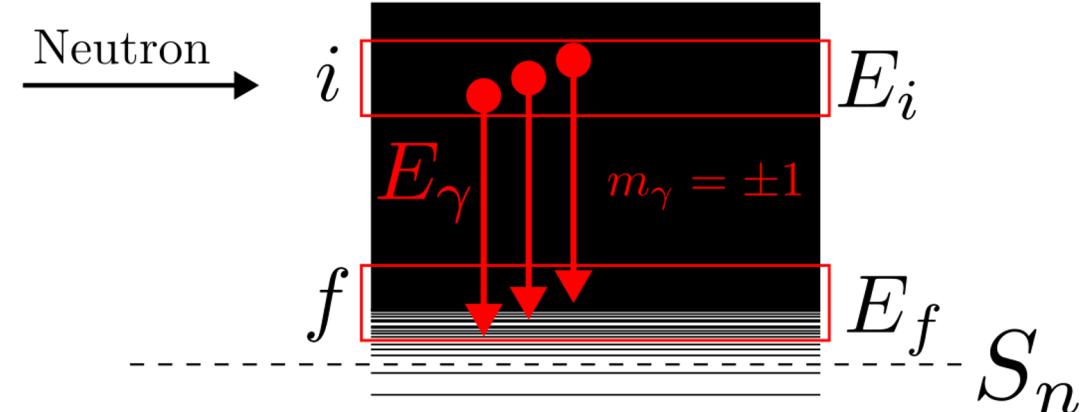


FIG. 4.  $\gamma$ -ray strength functions of isotopic chains of Ni calculated with  $^{56}\text{Ni}$  (a) and  $^{48}\text{Ca}$  (b) closed cores, respectively. See text for details.

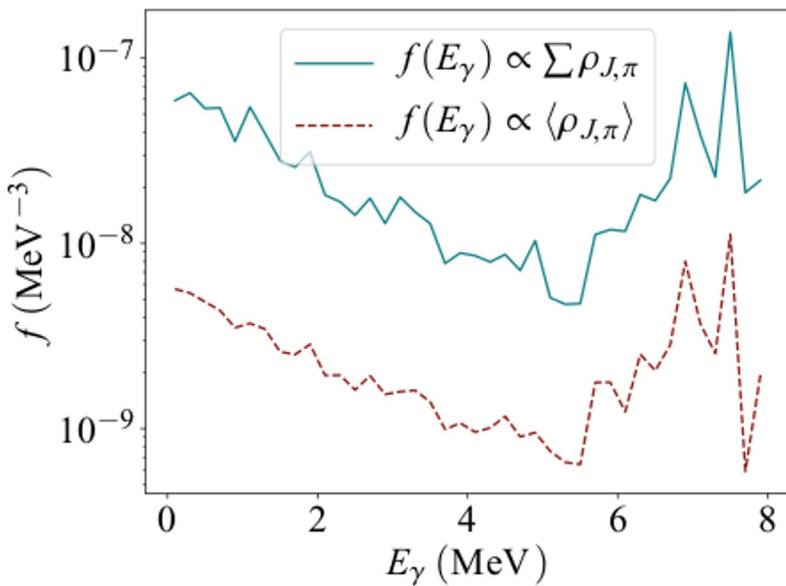
PRC 98, 064321 (2018)



0  $\longrightarrow$  0

# Definition of the “level density” is subtle

$$\begin{aligned}\overleftarrow{f}^{XL}(E_\gamma = E_i - E_f, E_f) &= \frac{\langle \Gamma_{i \rightarrow f}^{XL} \rangle_i \rho(E_i, J_i^\pi)}{E_\gamma^{2L+1}} \\ &= \frac{8\pi(L+1)}{(\hbar c)^{2L+1} L [(2L+1)!!]^2} \langle \langle B_{i \rightarrow f}^{XL} \rangle_i \rho(E_i, J_i^\pi) \rangle\end{aligned}$$



PRC 98, 064321 (2018)

Neutron →

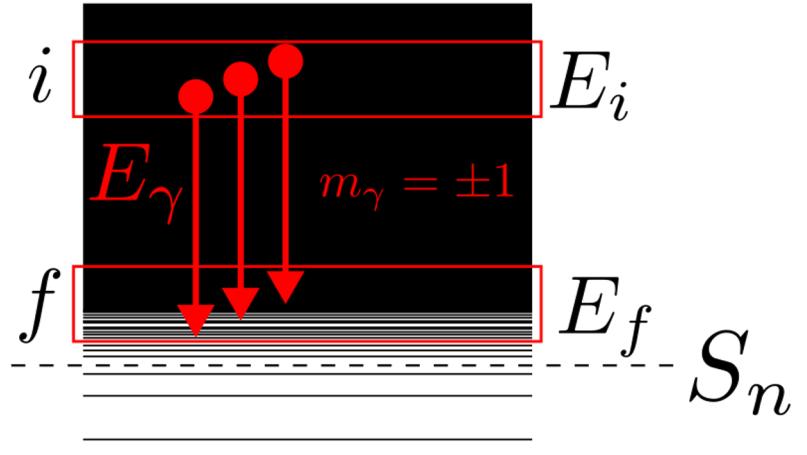


FIG. 13. Comparison of  $\gamma$ -ray strength functions for  $^{56}\text{Fe}$  from shell-model calculations extracted using two different methods. See text for details.

0 \_\_\_\_\_ 0

# A simpler formula avoids a common mistake

$$\left\langle \overleftarrow{f}^{XL}(E_\gamma = E_i - E_f) = \frac{8\pi(L+1)}{(\hbar c)^{2L+1} L [(2L+1)!!]^2} \left\langle \frac{1}{\Delta E} \sum_{E_i}^{E_i + \Delta E} B_{i \rightarrow f}^{XL} \right\rangle \right.$$

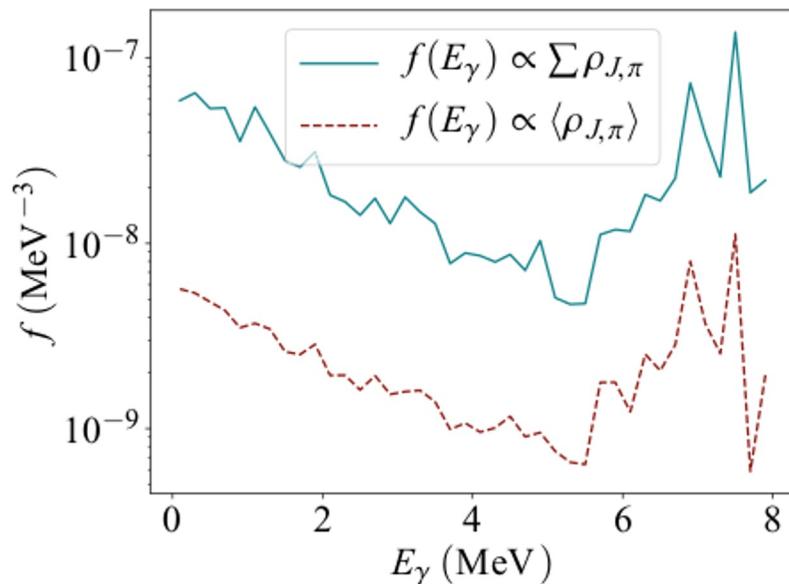
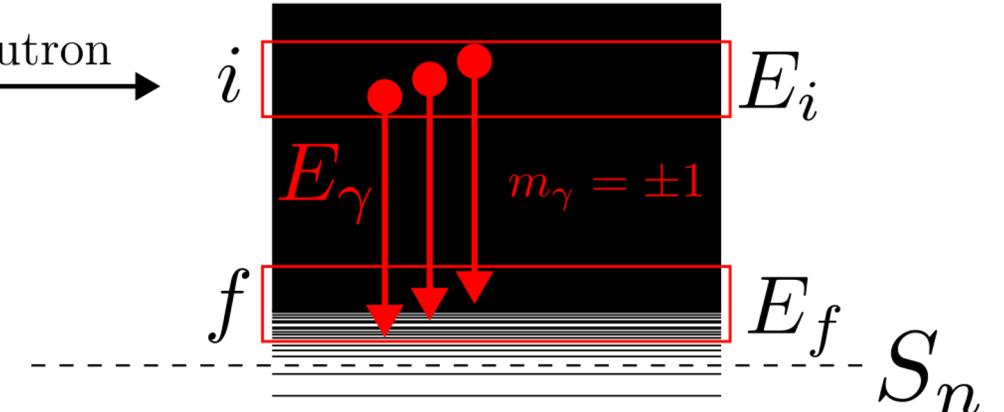
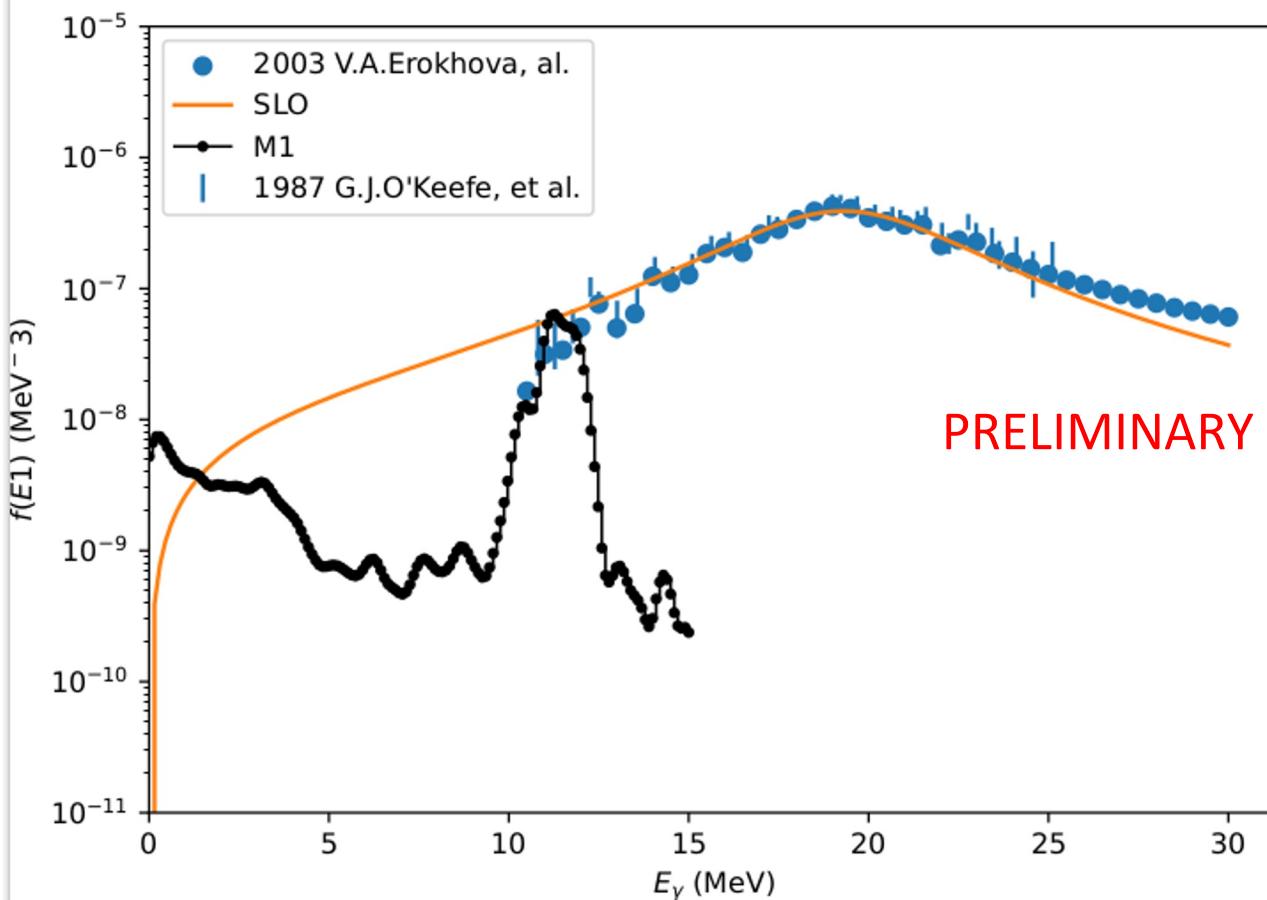


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0 \_\_\_\_\_ 0

# Ca-49 in the sdpf space with an Nmax 1 truncation

PRELIMINARY



Only 2 major shells (sd and pf)

1 hbar-omega truncation

M-scheme dimension 3 million

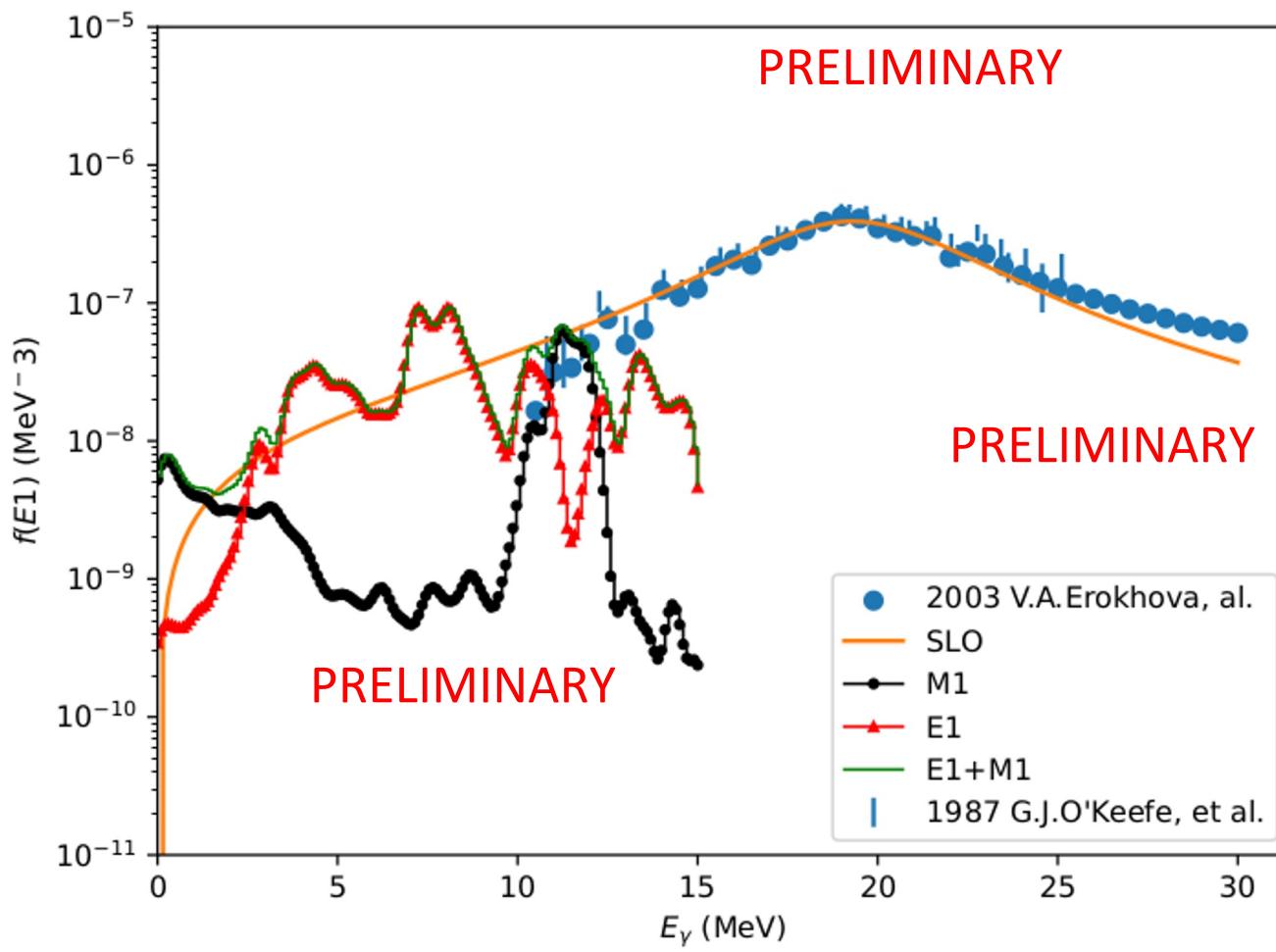
500 lowest states

Transitions between all states (downward)

Simple smoothing

# Ca-49 in the sdpf space with an Nmax 1 truncation

PRELIMINARY



Only 2 major shells (sd and pf)

- Missing states  $\rightarrow$  strength concentrated

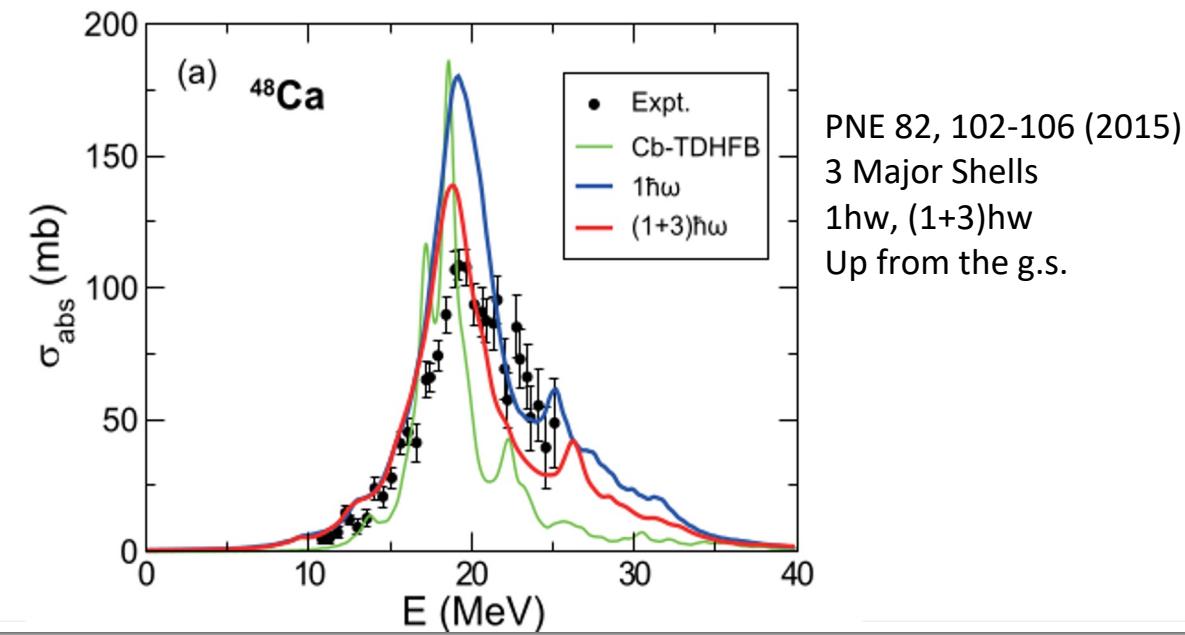
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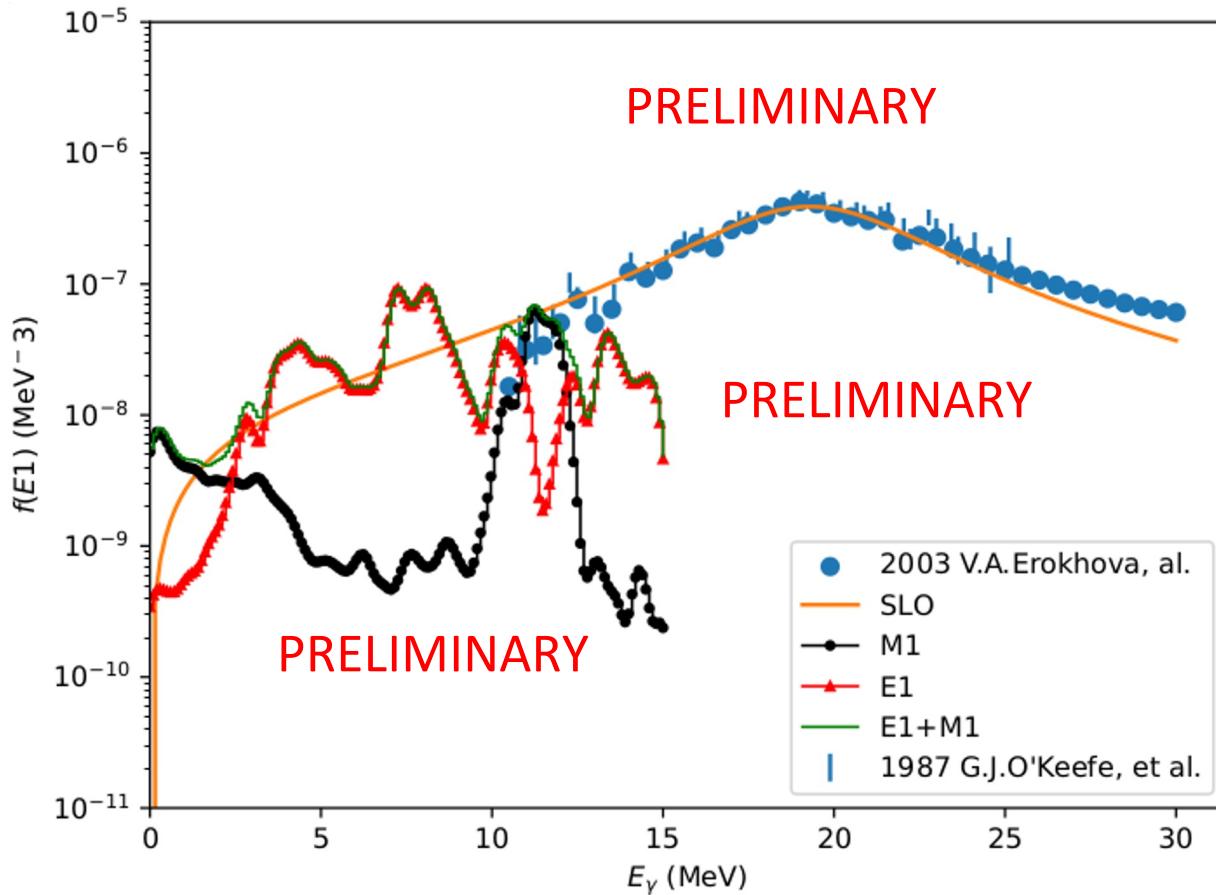
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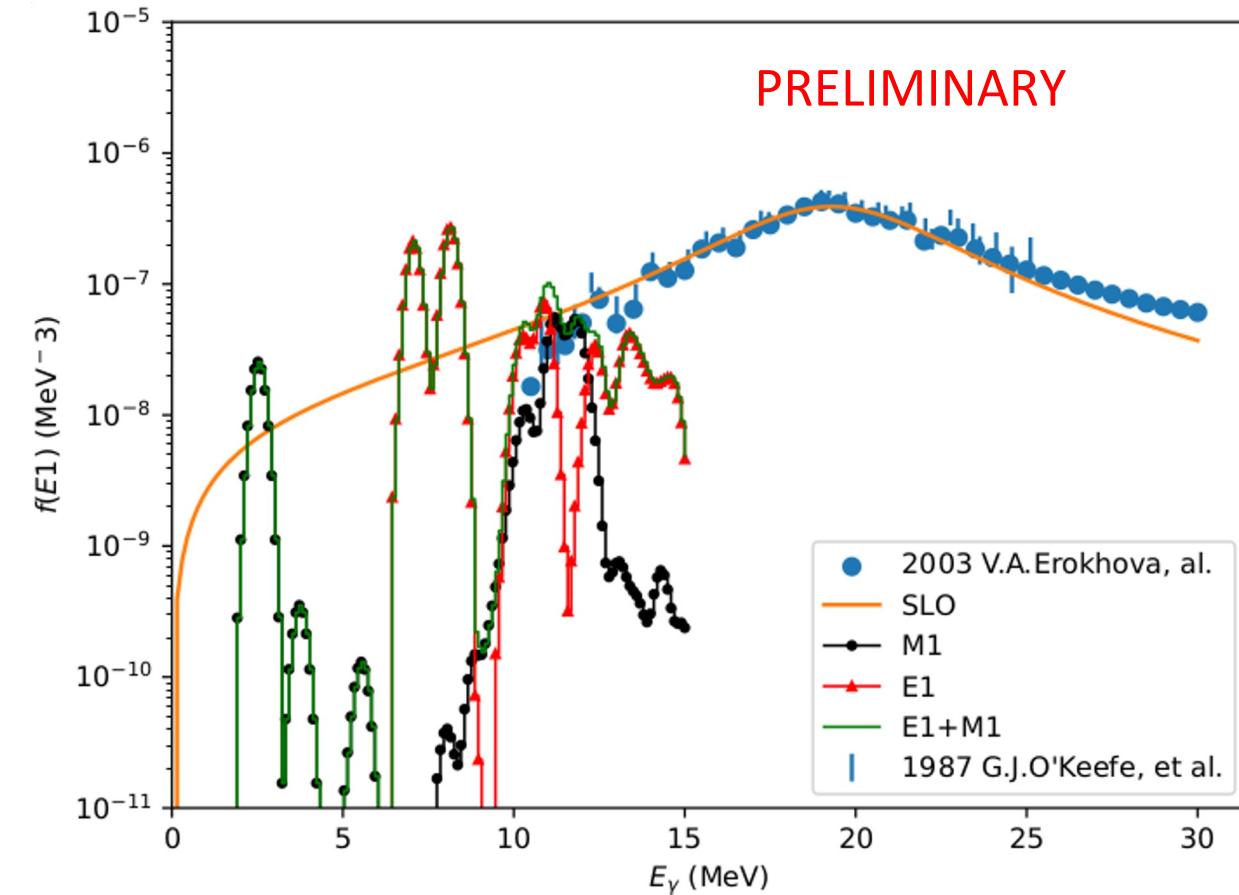


# Modeling GSF from the photoabsorption perspective

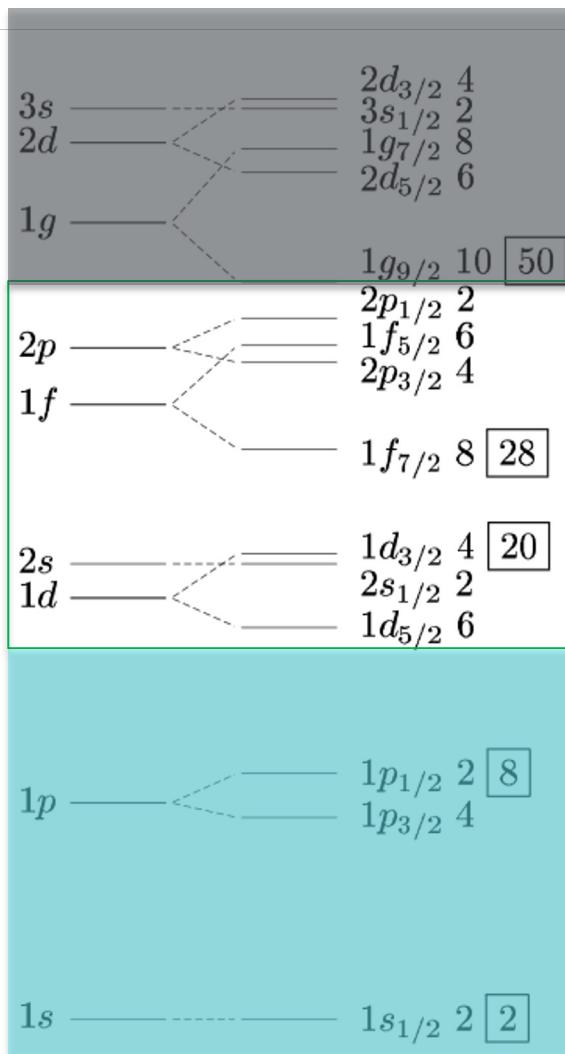
Decays to any lower state **PRELIMINARY**



Decays only to the ground state



# Shell Model takes all combinations of particle excitations in the valence space to capture many-body physics

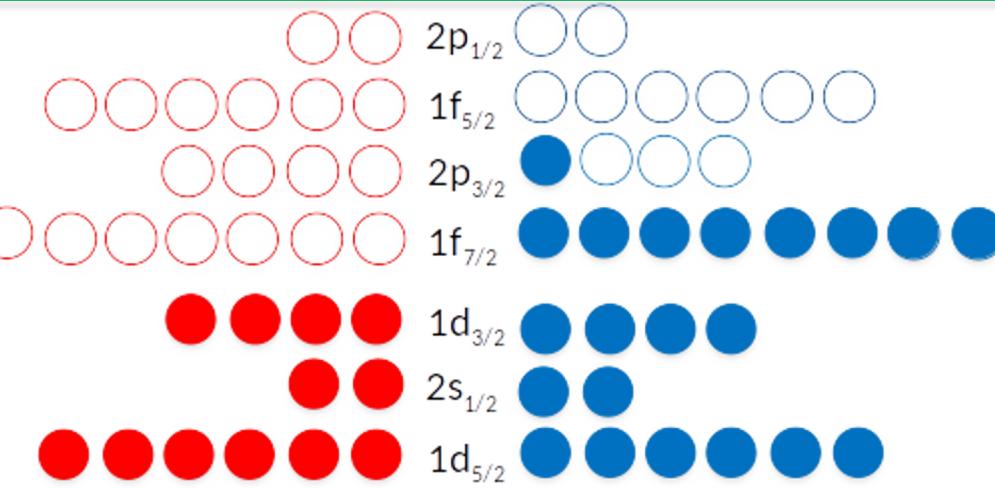


"∞" excluded states

Valence space

Frozen core

$^{49}\text{Ca}$  in the sd-pf space



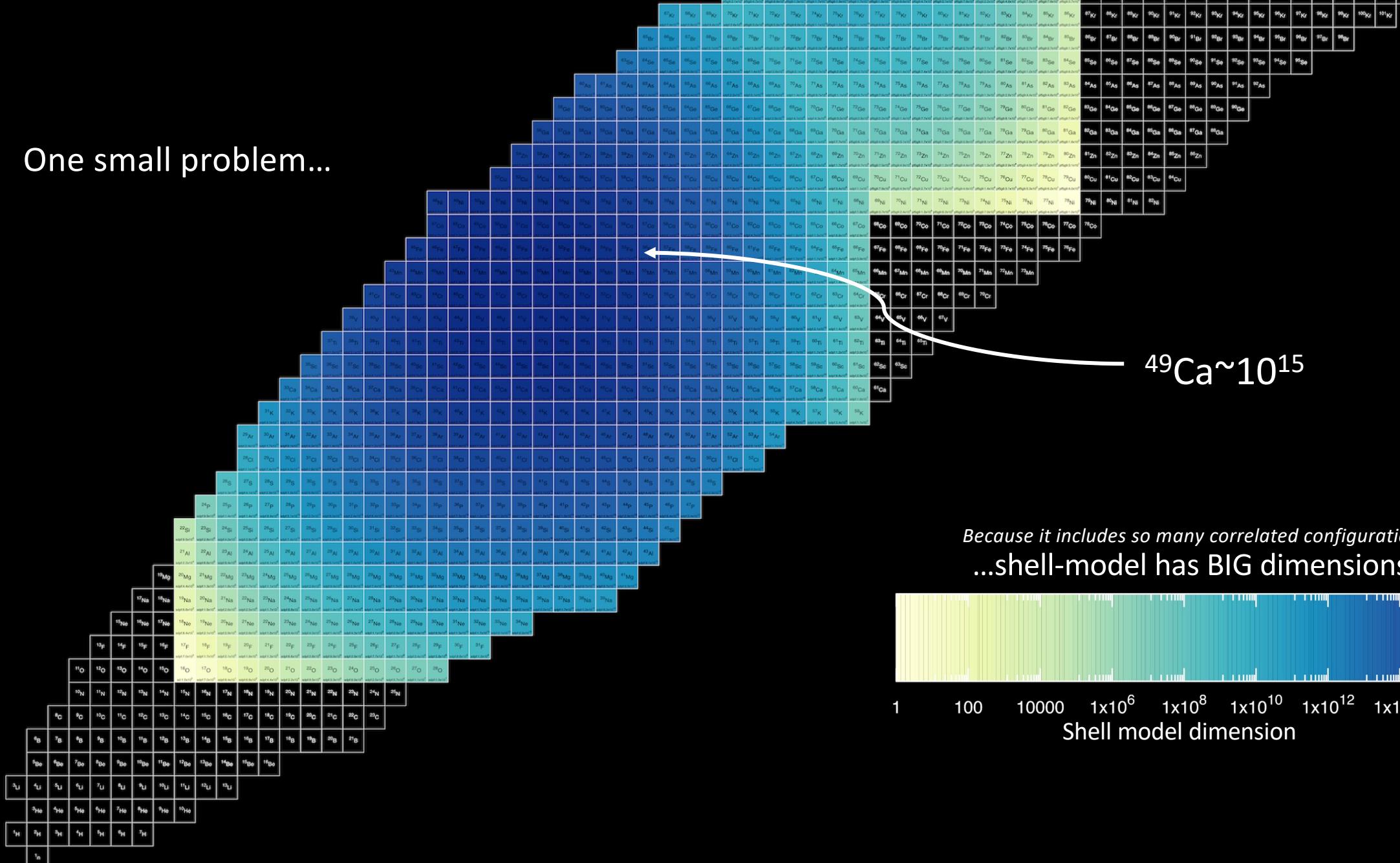
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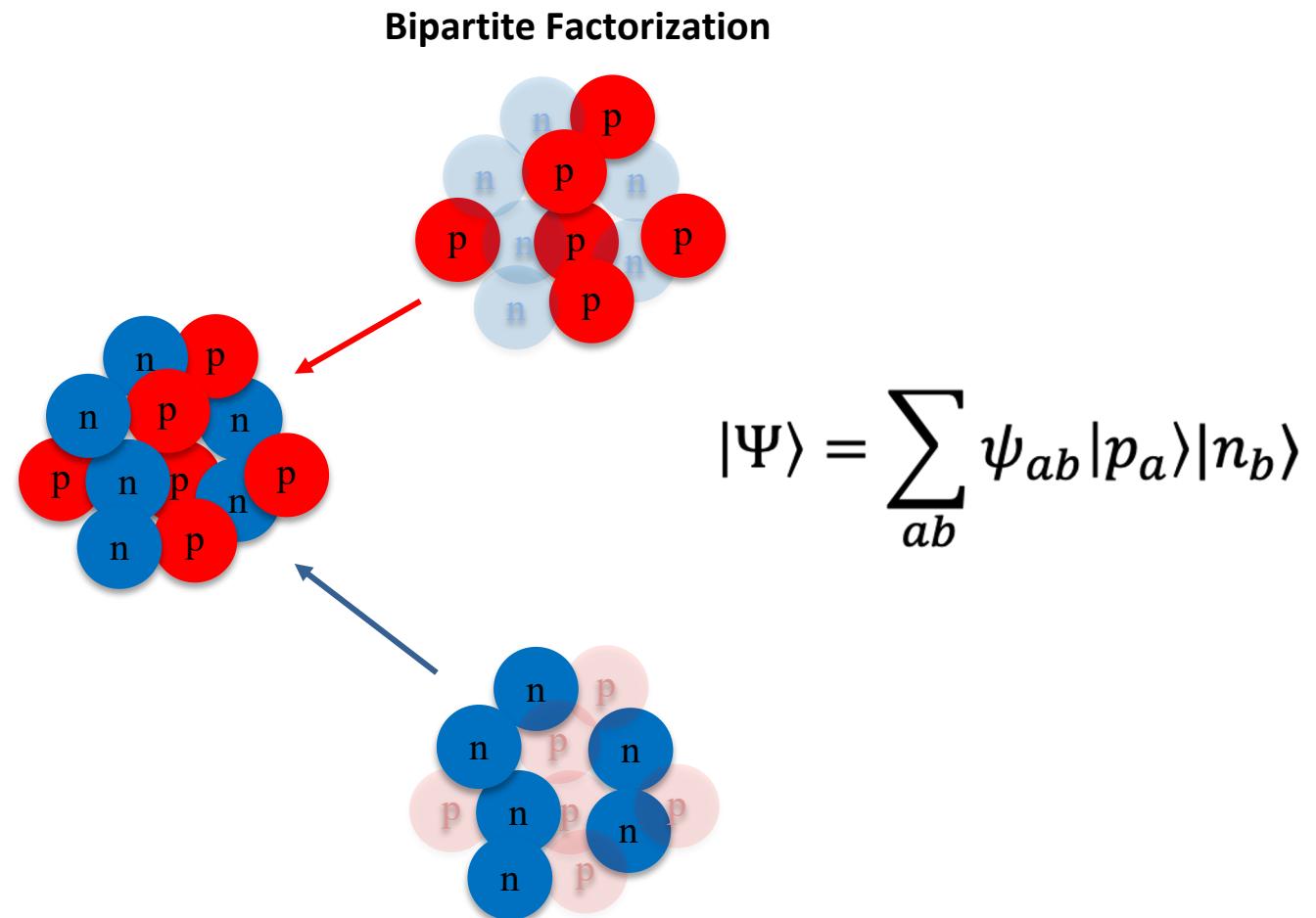
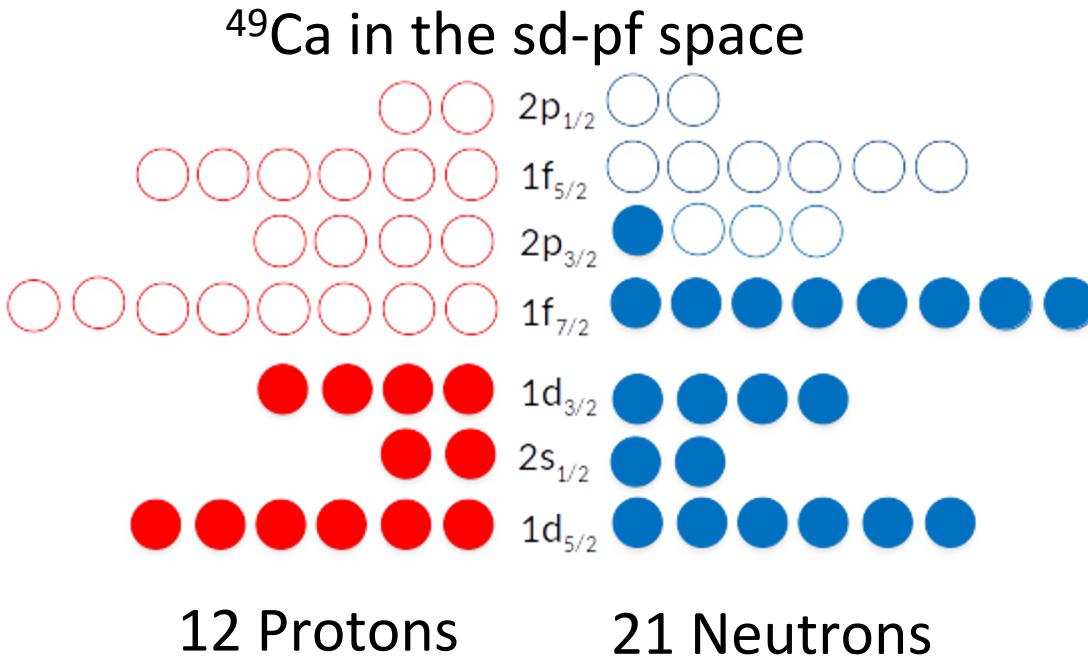
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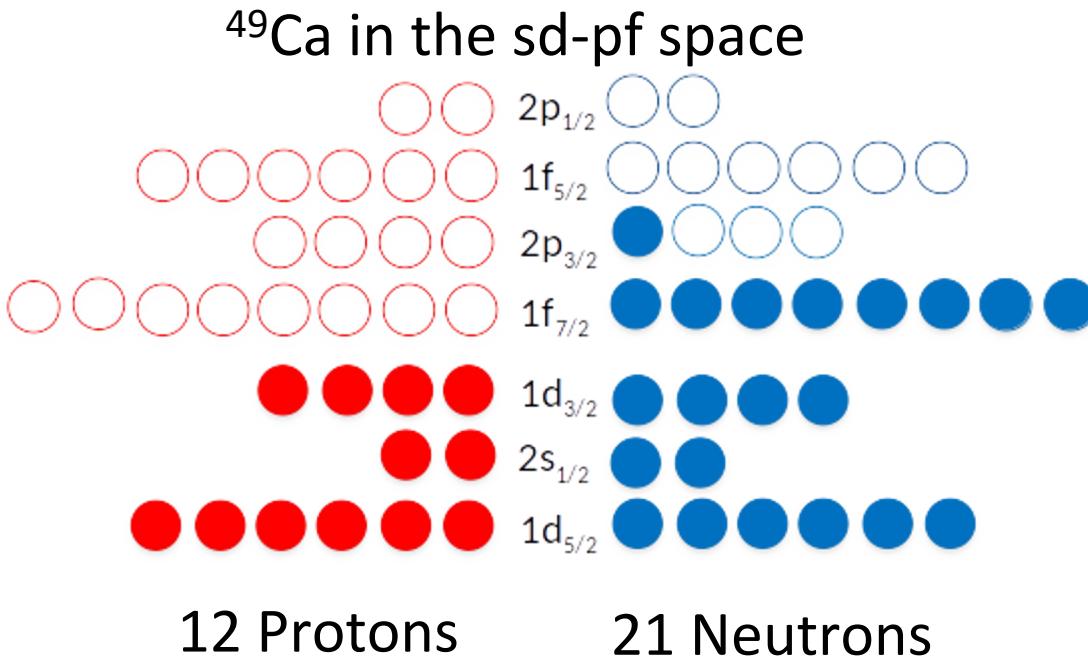
(Orders of magnitude smaller!)



# A factorized basis can provide efficient representations



# Singular value decomposition of factorized amplitudes yields optimized basis



Factorized representation:

$$|\Psi\rangle = \sum_{ab} \psi_{ab} |p_a\rangle |n_b\rangle$$

Singular value decomposition (SVD):

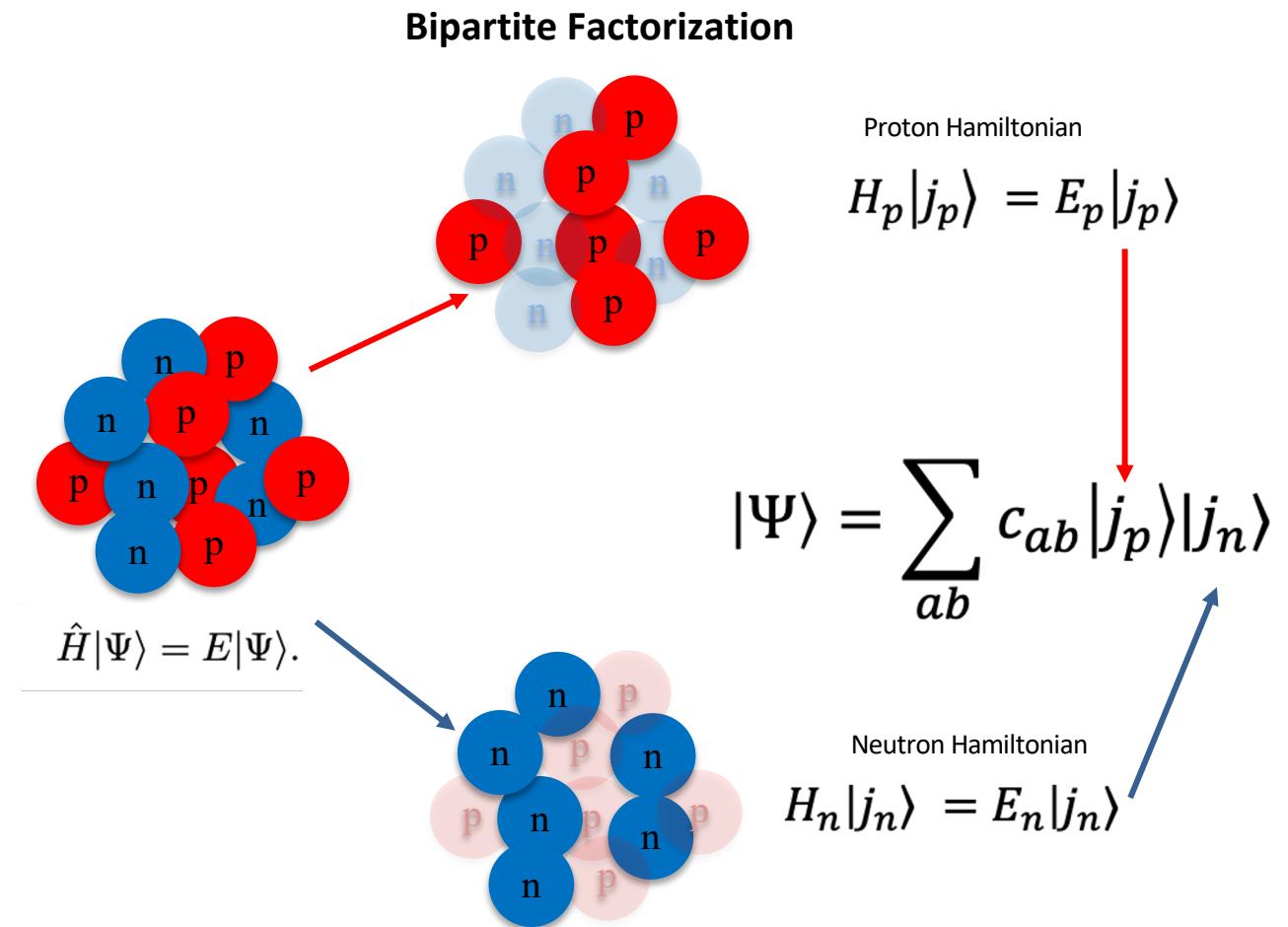
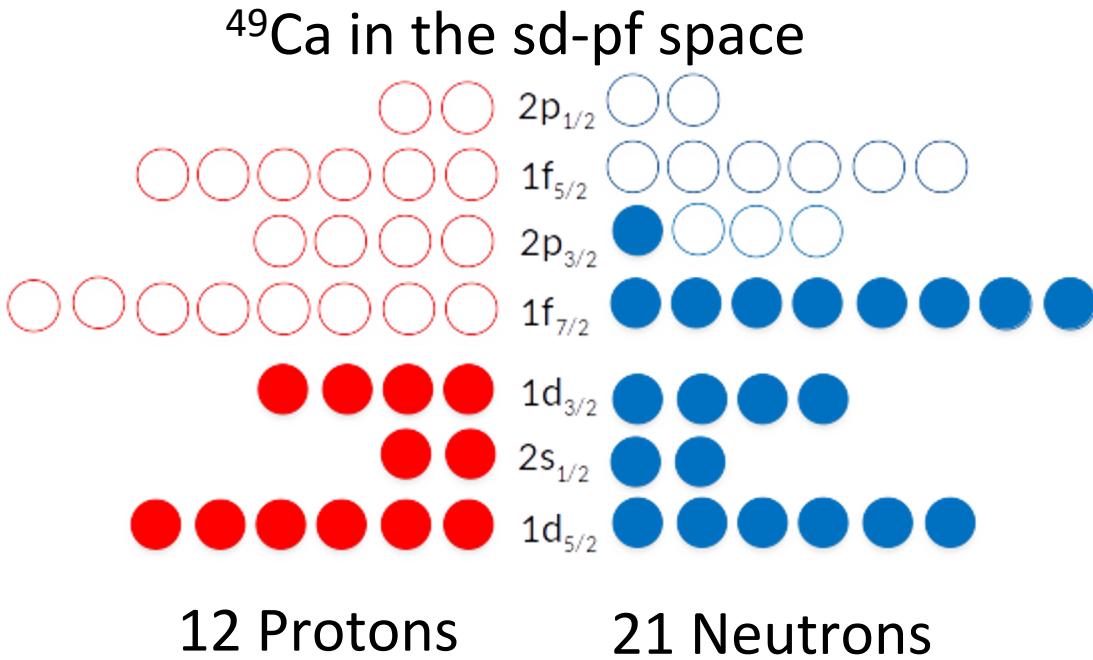
$$\psi = USV^T$$

SVD provides an optimized representation:

$$|\Psi\rangle = \sum_c s_c |p'_c\rangle |n'_c\rangle$$

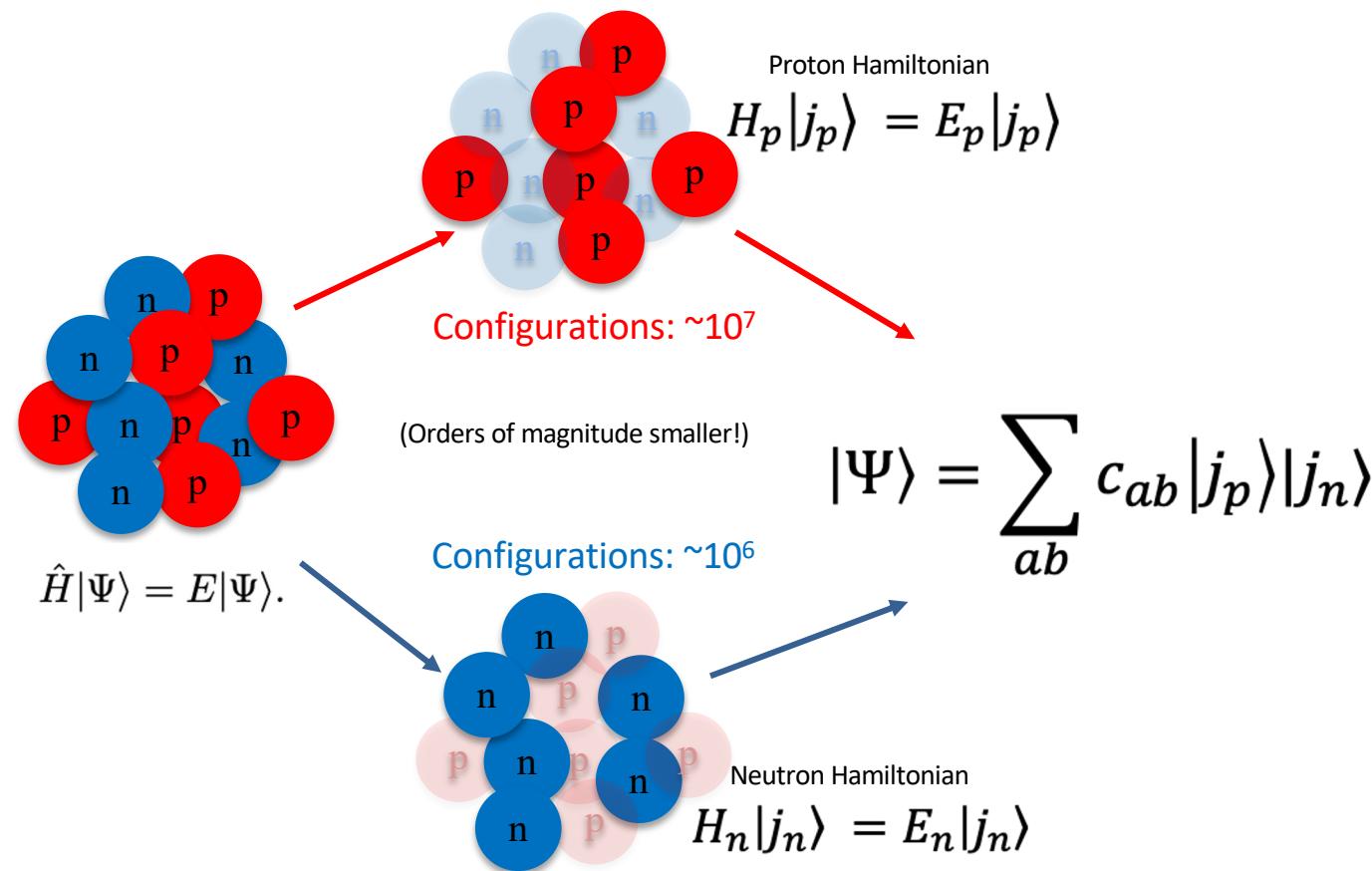
One can iteratively solve for the optimal basis states, but this is challenging in practice (T. Papenbrock 2004, 2005)

# Our simplified approach: Approximately-optimize the basis with subspace diagonalization

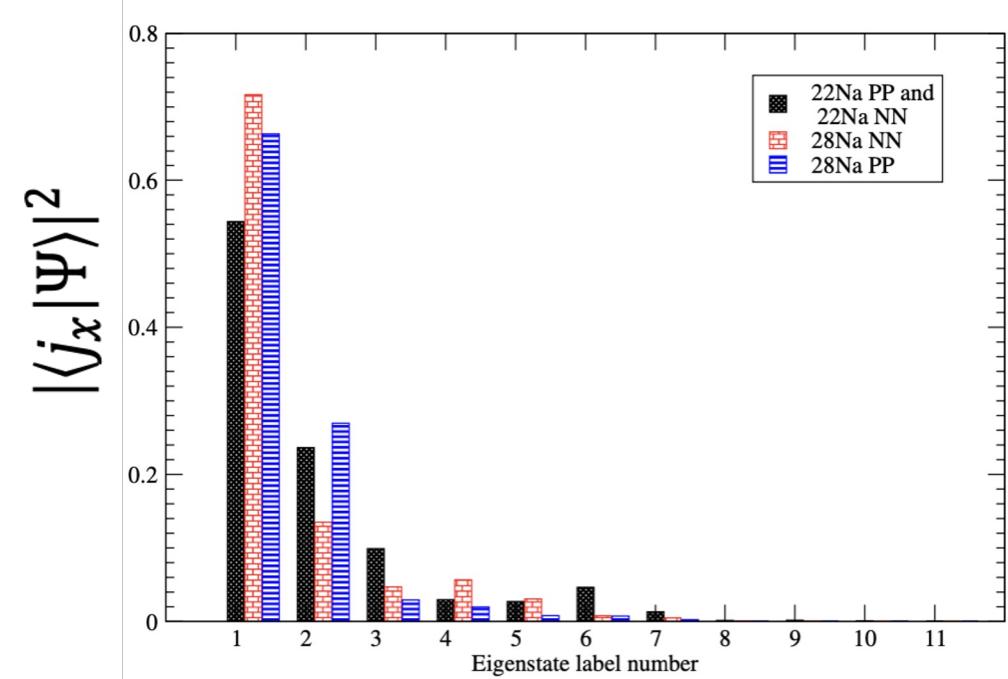


# We had evidence that this would work; especially<sup>1</sup> for $Z > N$

## Bipartite Factorization



Exact ground-state overlap with subspace eigenstates



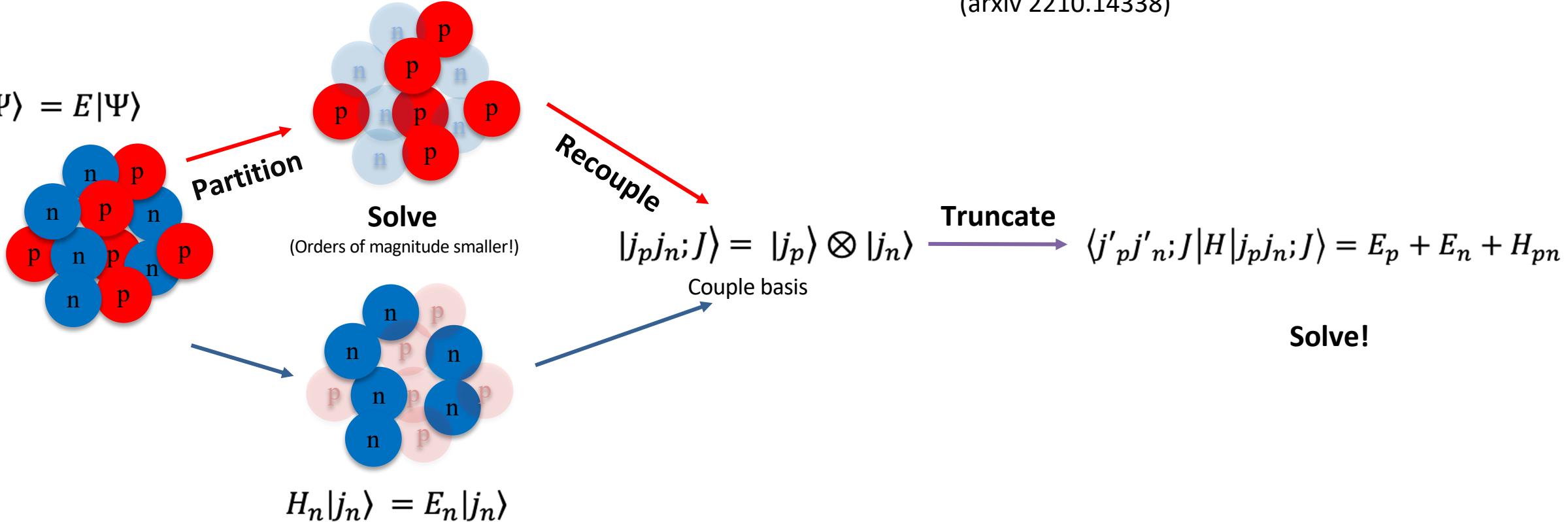
$|j_p\rangle$  or  $|j_n\rangle$

# Proton and Neutron Approximate Shell Model (PANASH)

$$H = H_p + H_n + H_{pn}$$

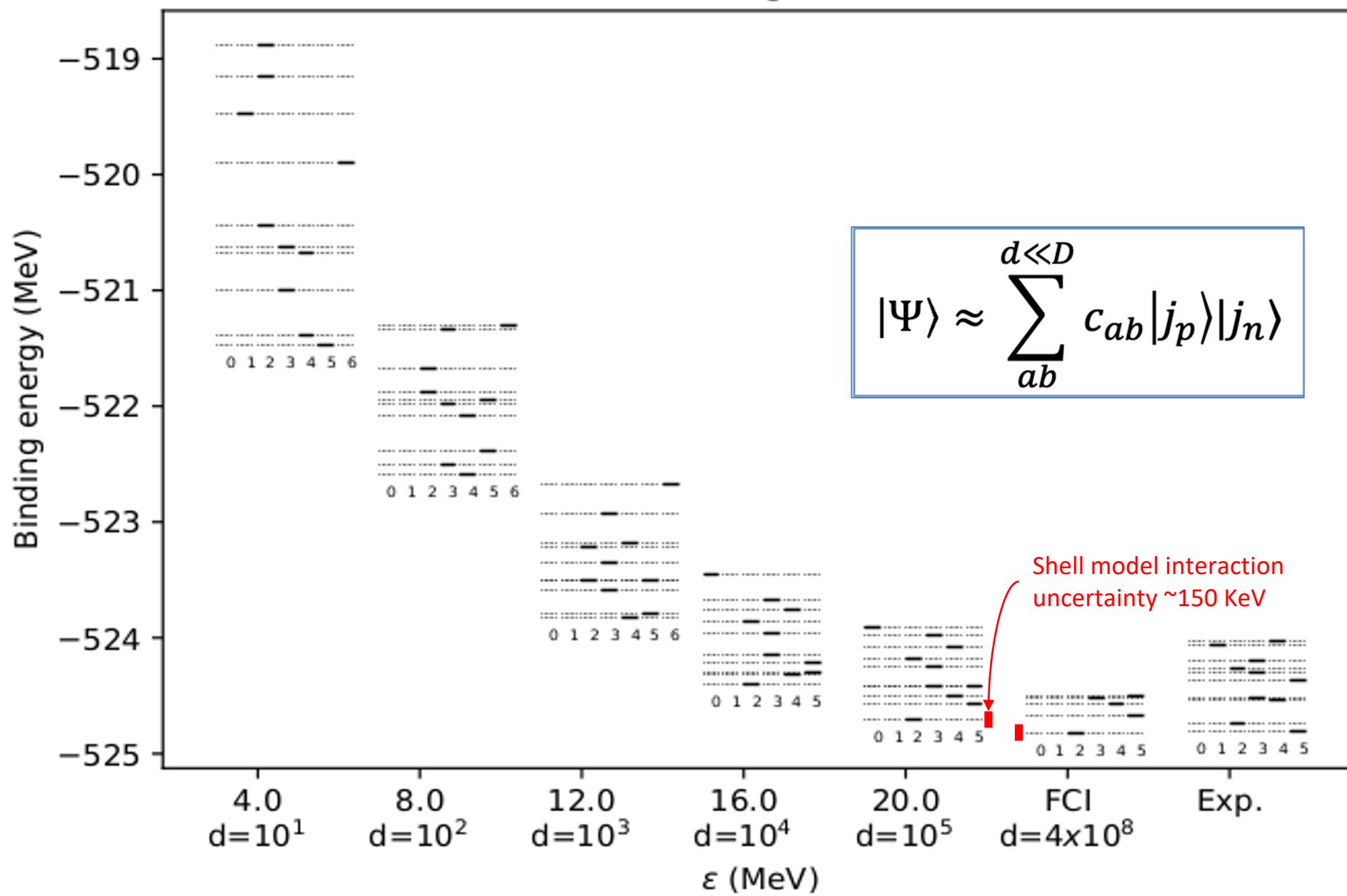
$$H_p |j_p\rangle = E_p |j_p\rangle$$

$$H|\Psi\rangle = E|\Psi\rangle$$

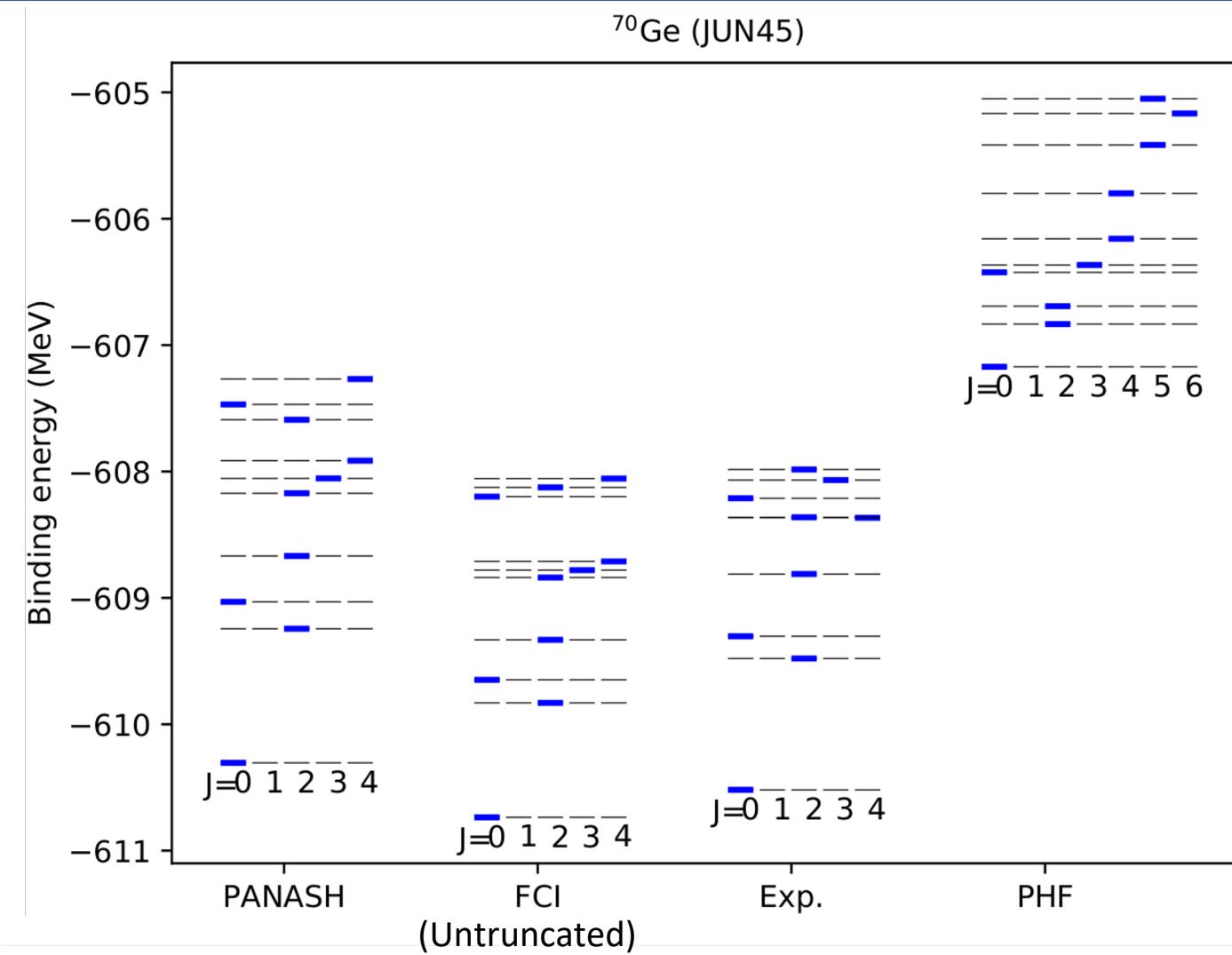


- Wave functions factorize into PN-partition eigenstates
- Coefficients decay exponentially
- Proton neutron entanglement decreases when N>Z  
(arxiv 2210.14338)

### <sup>60</sup>Co (gx1a)



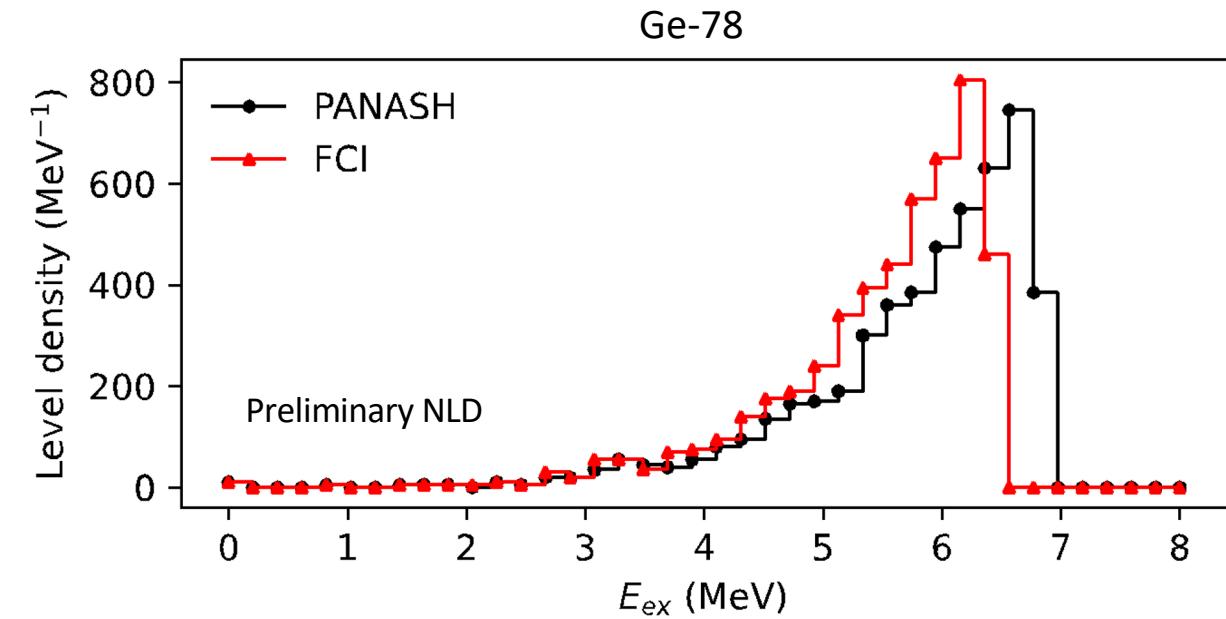
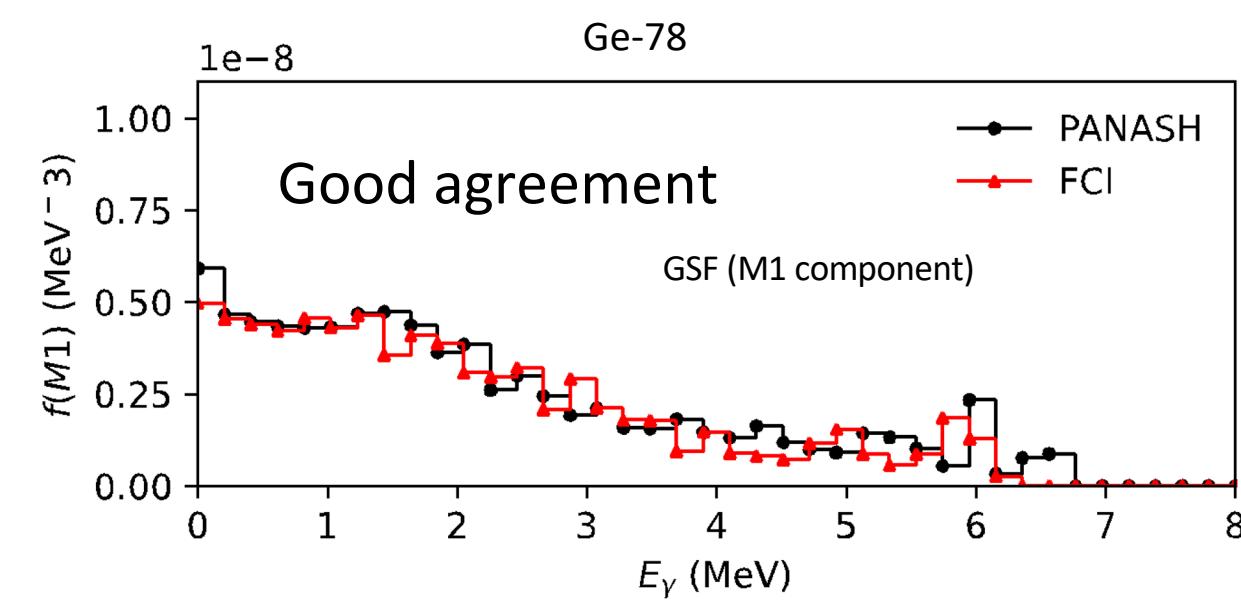
# Convergence of spectra (preliminary)



Ge70 is a complicated nucleus!

M-scheme untruncated dimension:  $10^8$   
PANASH (this work) dimension:  $10^4$

# We can easily calculate M1 strength functions (1 major shell)

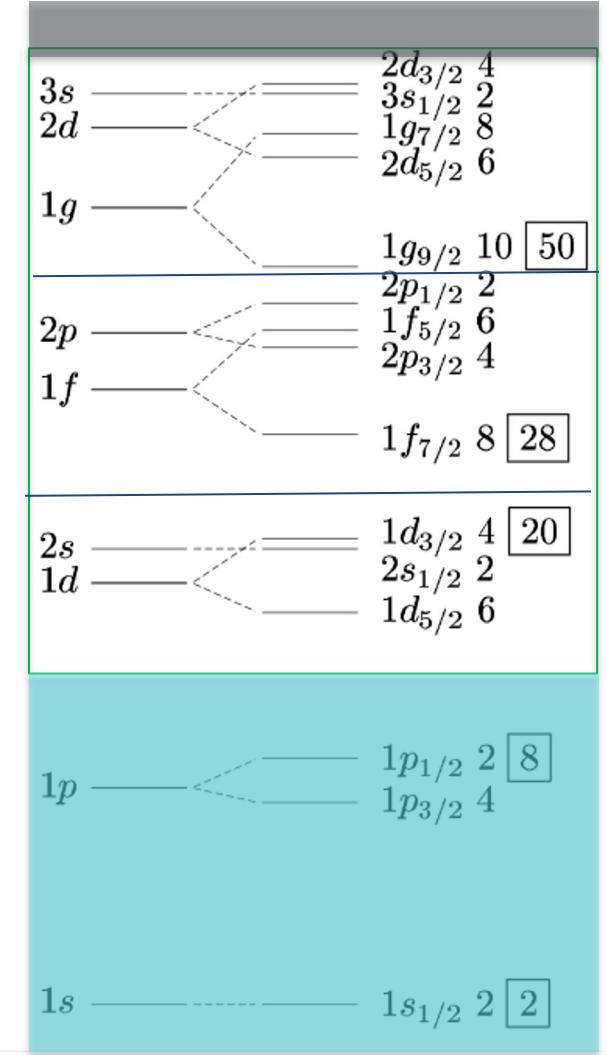
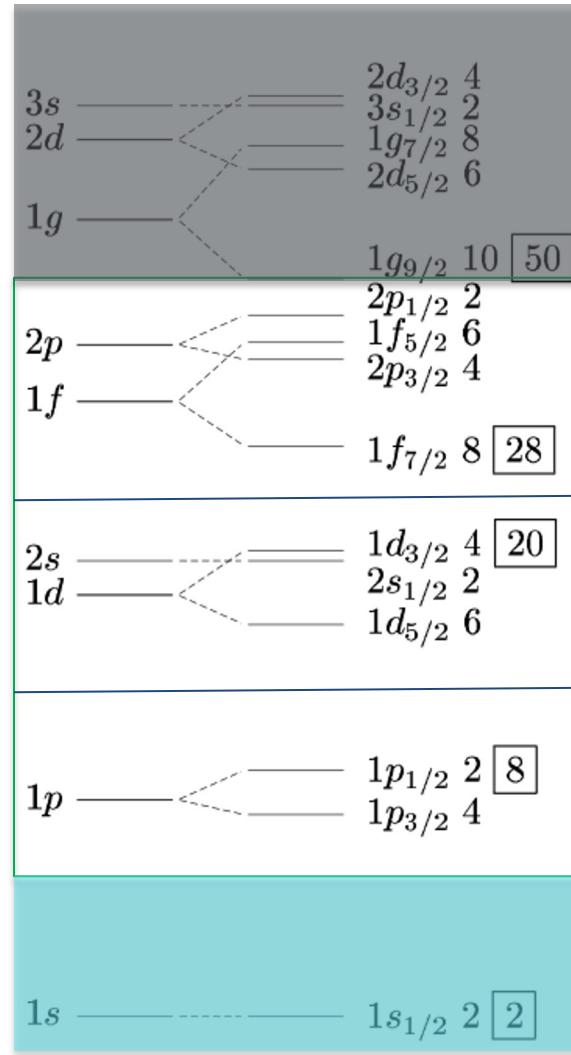


PANASH (this work): used 30% of proton/neutron eigenstate components: 34x basis reduction

Agreement with results of Frauendorf & Schwengner (PRC 105, 034335, 2022) w/ similar interaction

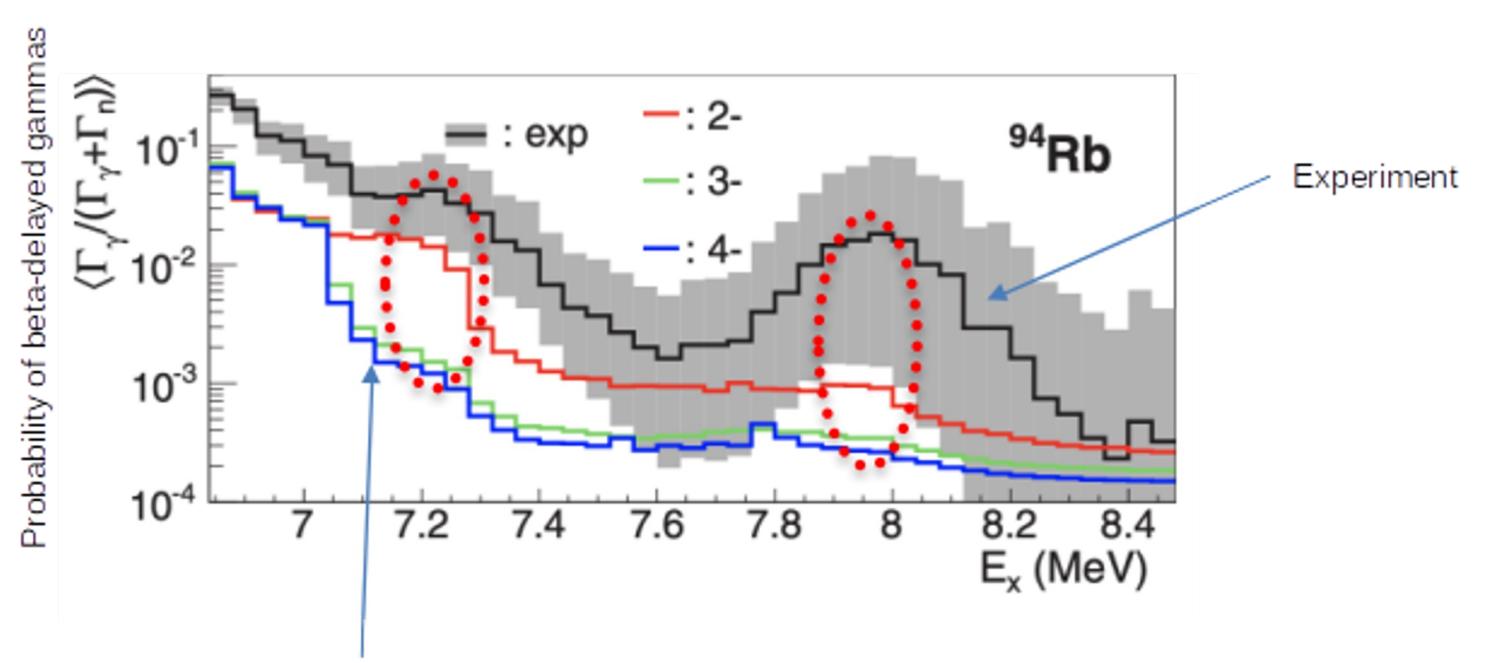
# Our next ambition: E1 strength functions with 3 major shells

- No-core shell model
- Truncations within major shell will be required for heavier nuclei



# What's going on with beta-delayed neutron emission?

- Non statistical decay?
- Enhanced gamma-ray strength function?
- Forbidden decay contributions?

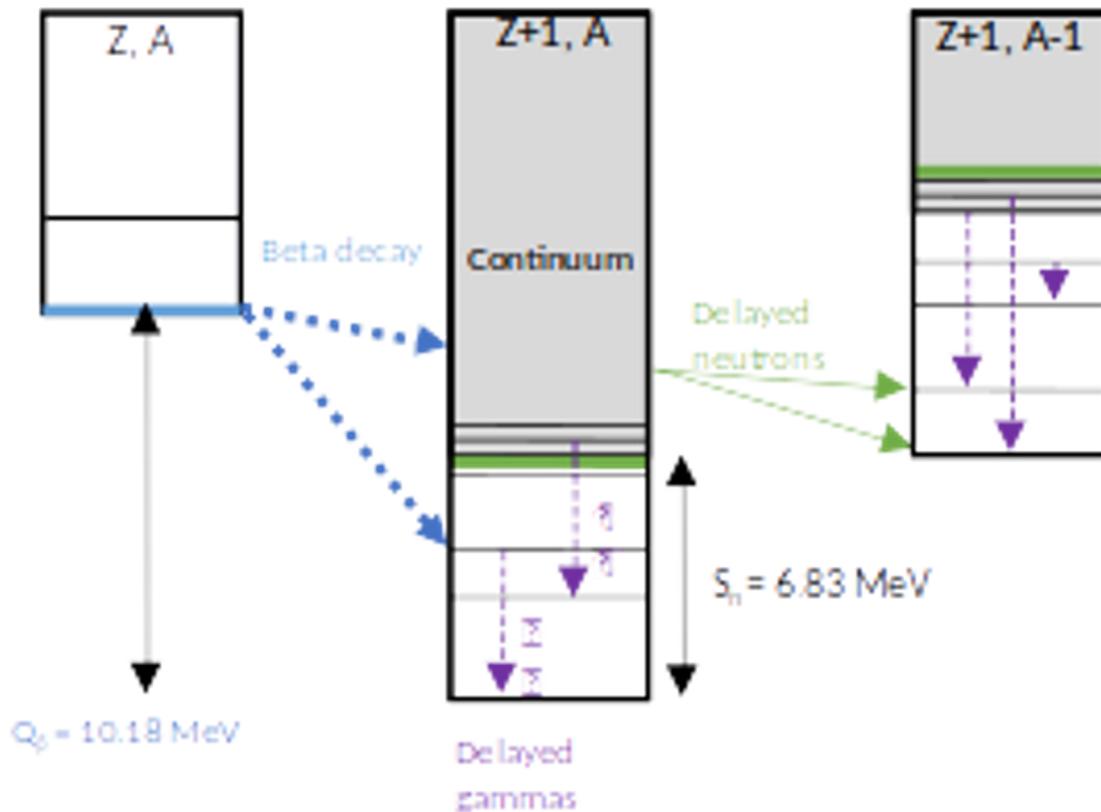


Theory (Hauser-Feshbach)

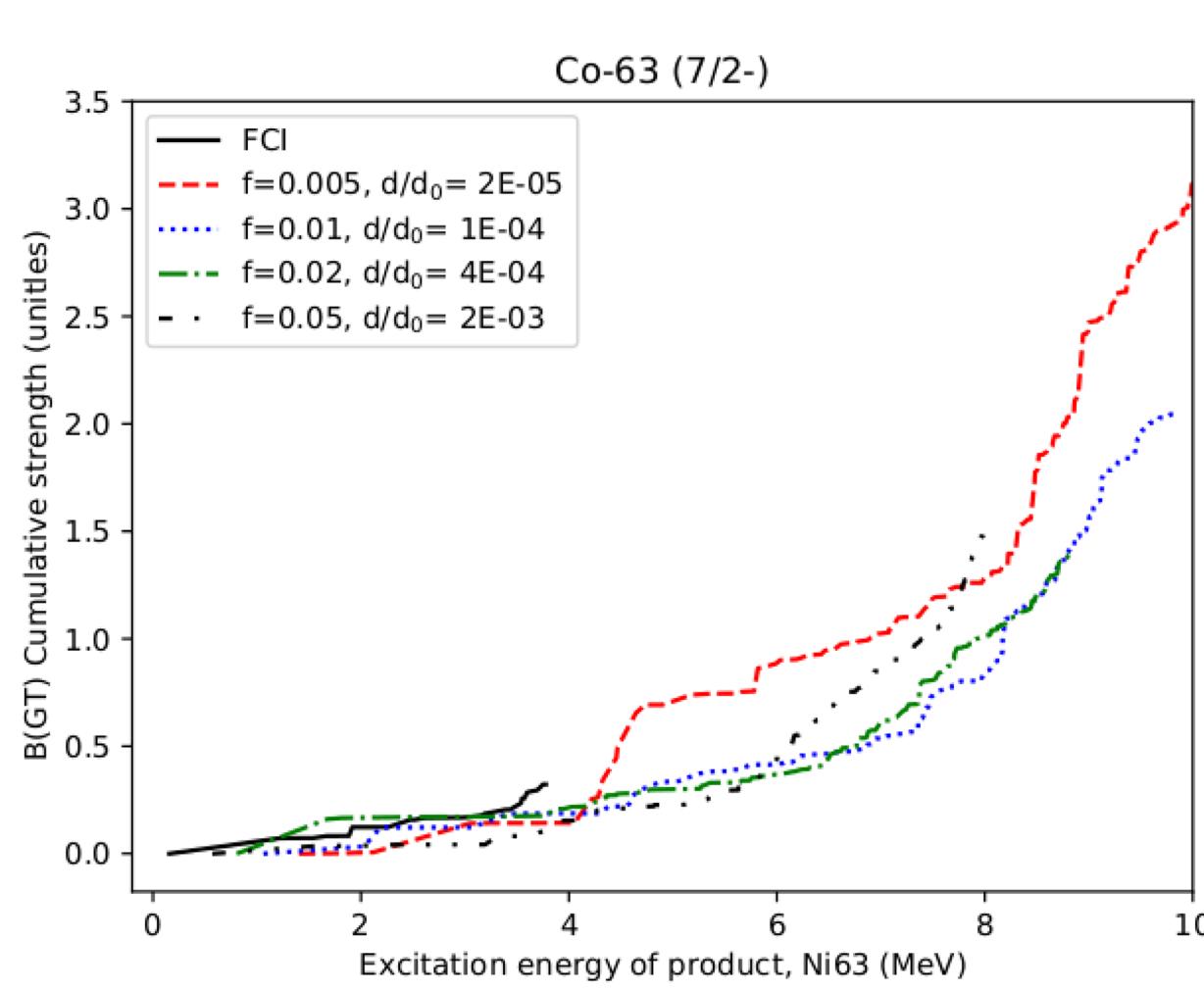
Robert Grzywacz et al. are also investigating the nonstatistical nature of neutron emission near Sn-134!

Valencia, et al., 2017 <https://link.aps.org/doi/10.1103/PhysRevC.95.024320>

# Application of approximate shell model for statistical reactions



# PANASH truncation can approximate Gamow-Teller distributions



M-scheme dim: 141 million



Lawrence Livermore  
National Laboratory

# Abstract

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The nuclear shell model is an under-utilized source of statistical nuclear properties such as nuclear level densities and gamma-ray strength functions, both of which are fundamental to statistical nuclear reaction models used in nuclear data evaluations. In part, this is because accurate calculations for nuclei of astrophysical interest often require model spaces exceeding our computational resources. The large numbers of states required for statistical analysis compounds with the larger model spaces typically needed to include excitations of both parities, a pre-requisite for E1 gamma-ray strength functions. To address this, we have applied our proton-neutron shell model truncation scheme to approximate the wave functions typical shell model calculations cannot handle. In our benchmark cases, we find that this is an effective way to estimate the gamma-ray strength functions, while better methods already exist for nuclear level densities.