# Bootstrapping Non-Invertible Symmetries

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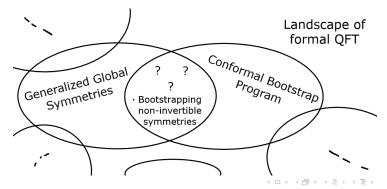
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## Roadmap

- Motivation
- Statement of the Problem
- Ingredients
  - Generalized Global Symmetries
  - The Conformal Bootstrap
  - Symmetry Operators in 2d
  - SymTFT Construction
- 4 Modular Bootstrap of Non-invertible Symmetries

#### Motivation

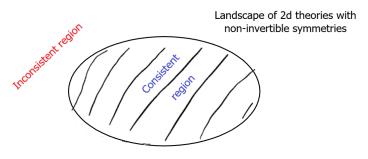
- Quantum Field Theory (QFT) is the main language for studying physics from microscopic to macroscopic scales.
- The problem of defining QFT rigorously remains unresolved, driving many advancements in physics and mathematics.
- This century has witnessed remarkable progress, and we are interested in how different approaches interact.



#### Statement of the Problem

- Previous decade: There are Non-invertible symmetries in QFTs.
- Status: So far best understood in 2d (Bhardwaj and Tachikawa, 2017), and it provides novel constraints.
- Following Lin and Shao (2023), we study them with bootstrap tools.
- An ambitious version of our problem can be stated as

Carve out the space of 2d CFTs with particular non-invertible symmetries.



#### Ingredients

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  - Generalized Global Symmetries
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#### Generalized Global Symmetries

- Introduced by Gaiotto, Kapustin, Seiberg, and Willett in their seminal paper (2014).
- The rough idea from today's perspective

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Group Symmetries Topological Operators

Non-invertible
Symmetries Categorical Operators
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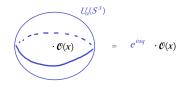
(Categorical operator = Topological operator  $\oplus$  non-invertible fusion rule)

- Far-reaching consequences for
  - phases of gauge theories,
  - 't Hooft anomalies & UV/IR mixing,
  - RG flows and emergent phenomena,
  - Ginzburg-Landau paradigm.



# 0-form global U(1) symmetry in 4-dimensional QFTs

$$d*j=0\Longrightarrow U_{\alpha}(S^3)=\exp\Big(i\alpha\int_{S^3}*j\Big),\quad \alpha\in[0,2\pi).$$



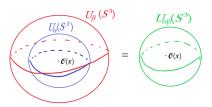


Figure: Action of 0—form symmetries

Figure: Fusion of 0—form symmetries

Gaiotto et. al. (2014) generalized this picture to p-form symmetries.

#### The Conformal Bootstrap

- In any QFT, physical information is encoded in correlation functions.
- For CFTs, n-point function  $\xrightarrow{\text{OPE}} \sum F(\text{CFT data})$ , where

CFT Data = 
$$(\Delta_i, s_i, f_{ijk})$$

 $\Delta_i$ : scaling dimensions of all primary fields  $\mathcal{O}_i$ ,

 $s_i$ : spins of all primaries  $\mathcal{O}_i$ ,

 $f_{ijk}$ : Three-point function coefficients.

#### Crux of Conformal Bootstrap

$$(\Delta_i, s_i, f_{ijk}) \rightsquigarrow \mathsf{CFT}.$$

**Question:** What are the conditions on the CFT data?

**Answer:** Physical requirements of unitarity, crossing symmetry, ...

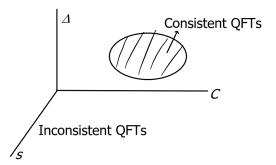
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**Goal:** Implement consistency conditions to carve out the *theory space*.



## Global Symmetry Operators in 2d

Line operators ⊕ fusion rules → 0-form symmetries in 2d

# Topological Symmetries $\mathcal{L}_g \mid \mathcal{L}_h \mid \mathcal{L}_{gh}$

# Categorical / Non-invertible Symmetries

$$\begin{array}{c|c} \mathcal{L}_{a} & \mathcal{L}_{b} \\ \times & = \sum_{C} N_{ab}^{C} \end{array}$$

ullet Example: 2d critical Ising model has three lines  $1,\eta,\mathcal{N}$  and fusion

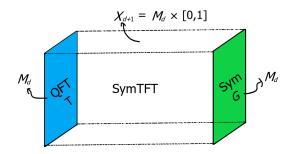
$$\eta \times \eta = 1$$
,  $\eta \times \mathcal{N} = \mathcal{N} = \mathcal{N} \times \eta$ ,  $\mathcal{N} \times \mathcal{N} = 1 + \eta$ ,

 $\eta=\mathbb{Z}_2$  spin line, and  $\mathcal{N}=$  Kramers-Wannier duality line.

# Symmetry Topological Field Theory Construction

• Let T be a QFT on  $M_d$  with internal global symmetry G.

SymTFT: Dynamics T  $\iff$  Kinematics G,



**Example:** For  $G^{(p)} = \mathbb{Z}_N$ , SymTFT is a Dijkgraaf-Witten gauge theory.

# SymTFT and Modular Bootstrap

- For d=2,  $G o \mathcal{C}$  (a fusion category),
  - $\mathsf{SymTFT} \ \to \ \mathsf{Turaev\text{-}Viro} \ \mathsf{TQFT} \ \mathsf{TV}_{\mathcal{C}}.$
- Partition function properties:

$$\text{Virasoro expansion}: \textit{Z}_{\mu}^{3d}(\tau,\overline{\tau}) = \sum_{h,\overline{h}} \textit{n}_{\mu,h\overline{h}} \; \chi_{h}(\tau) \overline{\chi}_{\overline{h}}(\overline{\tau}),$$

Modular covariance : 
$$Z_{\mu}^{3d}(\tau, \overline{\tau}) = \sum_{\nu} S_{\mu\nu}^{-1} Z_{\nu}^{3d}(S\tau, \overline{S\tau}),$$

$$(S au \equiv -\frac{1}{ au}, \overline{S au} = -\frac{1}{\overline{ au}})$$

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$$(S\tau \equiv -\frac{1}{\tau}, \overline{S\tau} = -\frac{1}{\overline{\tau}})$$

 $\mathsf{Modularity} \, \oplus \, \mathsf{Virasoro} \implies \mathsf{Modular} \, \mathsf{Bootstrap}$ 

$$0 = \sum_{\nu} \sum_{h \,\overline{h}} n_{\nu,h\overline{h}} \ X_{\mu\nu,h\overline{h}}(\tau,\overline{\tau}),$$

$$X \sim \chi(S\tau) \text{ id } \overline{\chi}(\overline{S\tau}) - \chi(\tau) S \overline{\chi}(\overline{\tau}).$$

#### Bootstrapping the Lines of Ising CFT

- For concreteness, choose C = Ising.
- Numerical bootstrap on  $0 = \sum n \cdot X$  (Lin and Shao, 2023)

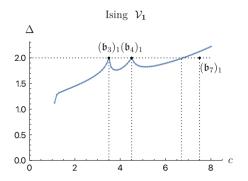


Figure: Bootstrap bounds on the lightest primary operator in the trivial anyon sector. Figure taken from Lin and Shao (2023).

Questions? If not extra slides.

## Generalization to p-form symmetry in d-dimensions

p-form symmetry: Topological operators  $U_g^{(p)}(S^{d-p-1})$  of codimension-p+1 acting on extended operators  $\mathcal{O}(M_p)$  with fusion rules.

$$U_g^{(p)}(S^{d\cdot p-1}) \qquad = \phi(g) \times \qquad \qquad U_g^{(p)}(S^{d\cdot p-1}) \qquad = U_{gb}^{(p)}(S^{d\cdot p-1}) \qquad \qquad$$

Figure: Action of p-form symmetries Figure: Fusion of p-form symmetries

**Example:** Wilson operators  $W(C) = e^{i \oint_C A}$  are charged under 1-form symmetries for *any* gauge theory in  $d \ge 2!$ 

#### CFT correlators from OPE

ullet Main objects: Primary operators of scaling dimension  $\Delta$ 

$$e^{-\lambda D}\mathcal{O}_{\Delta}(x)e^{\lambda D} = e^{-\lambda \Delta}\mathcal{O}_{\Delta}(\lambda x), \quad (D: x \mapsto \lambda x).$$

Knowledge of  $\mathcal{O}_{\Delta}$  gives the complete spectrum via descendants

$$\mathcal{O}^{(k)}(x) \equiv \underbrace{P \cdots P}_{k \text{ times}} \mathcal{O}_{\Delta}(x), \quad k = 0, 1, 2, \dots$$

Use the OPE recursively

$$\langle \overrightarrow{\mathcal{O}_1(x_1)} \overrightarrow{\mathcal{O}_2(x_2)} \cdots \mathcal{O}_n \rangle = \sum_k C_{12k}^{(s_1, s_2, \Delta_1, \Delta_2)} (x_{12}, \partial_2) \langle \mathcal{O}_k(x_2) \cdots \mathcal{O}_n \rangle$$

$$= \cdots$$

$$= \sum_k C(s, \Delta, f) \cdots \sum_k C(s, \Delta, f).$$

# 2pt function to 1pt function

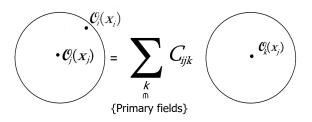


Figure: The Operator Product Expansion (OPE) in a two-point function.