



# Population-level Estimation

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# Exercise: the Example from the Book of OHDSI

**book.ohdsi.org**

- Chapter 12. Population-Level Estimation
- 12.6 Designing a Hypertension Study

## 12.6 Designing a Hypertension Study

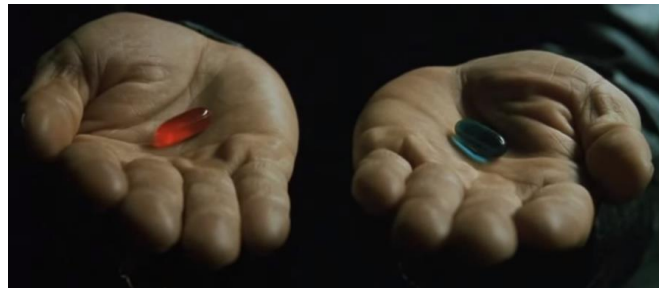
### 12.6.1 Problem Definition

ACE inhibitors (ACEi) are widely used in patients with hypertension or ischemic heart disease, especially those with other comorbidities such as congestive heart failure, diabetes mellitus, or chronic kidney disease. (Zaman, Oparil, and Calhoun 2002) Angioedema, a serious and sometimes life-threatening adverse event that usually manifests as swelling of the lips, tongue, mouth, larynx, pharynx, or periorbital



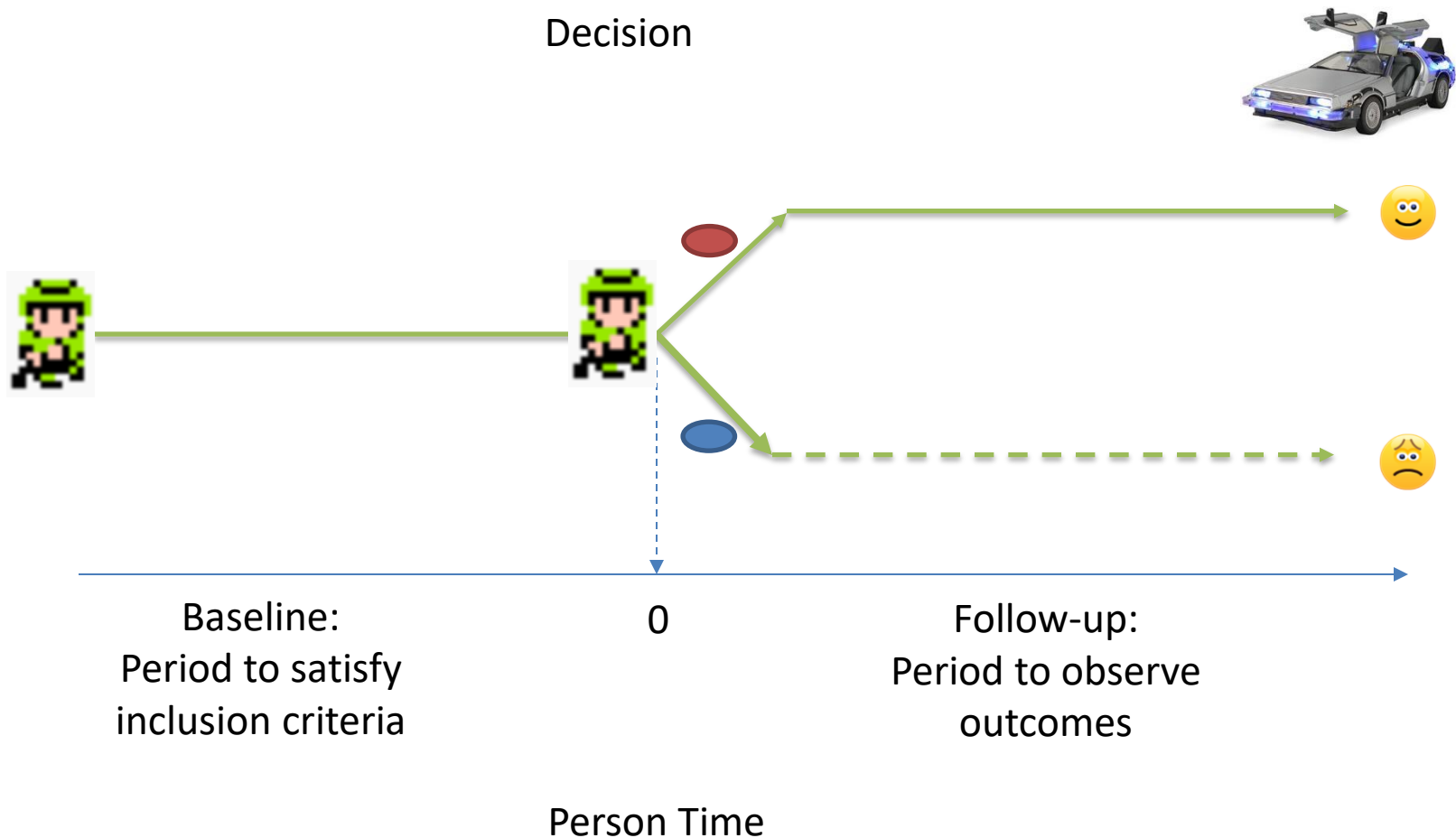


# A pop culture mash-up to explain counterfactual reasoning...



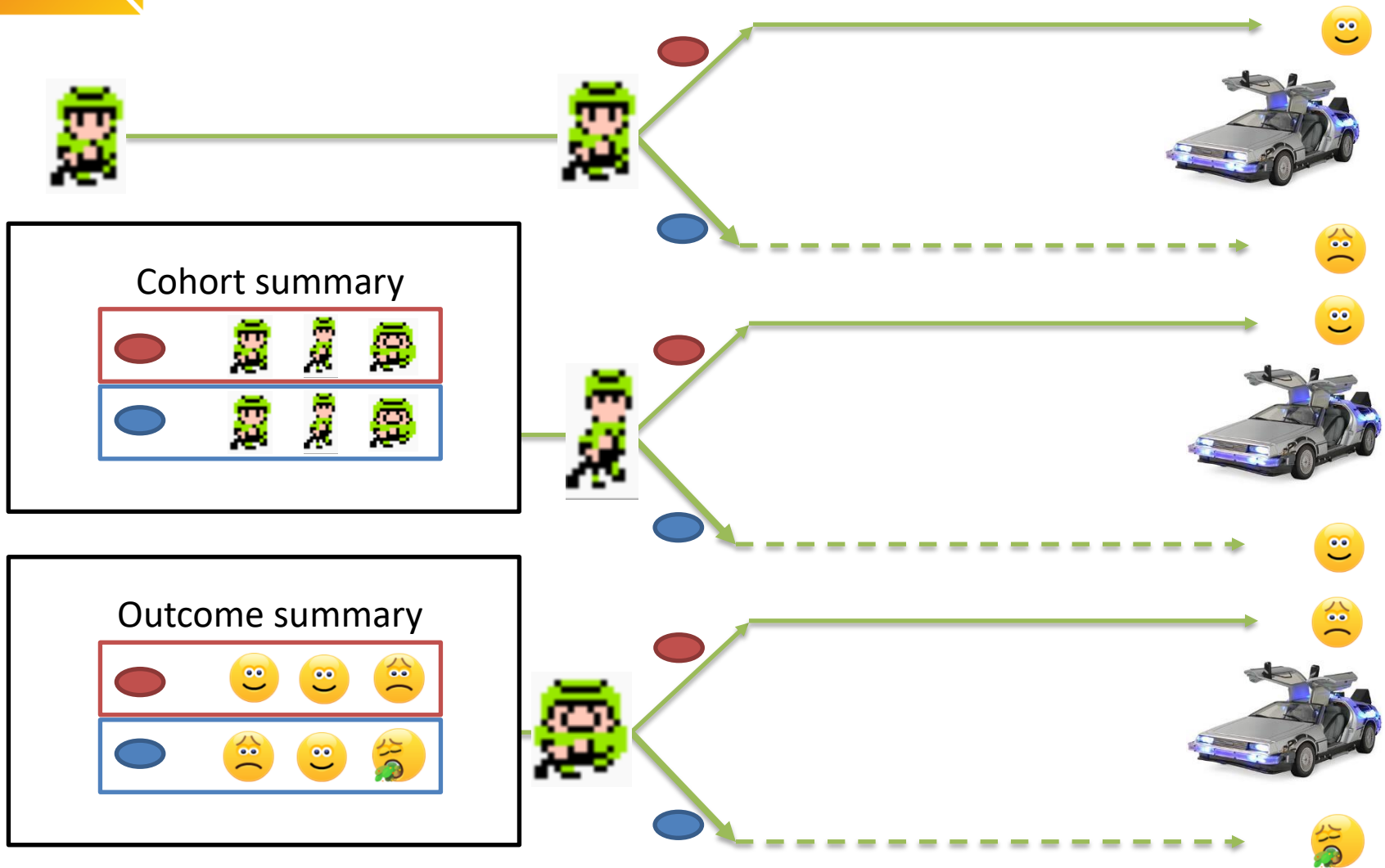


# Counterfactual reasoning for one person





# Counterfactual reasoning for a population





# OHDSI's definition of 'cohort'

Cohort = a set of persons who satisfy one or more inclusion criteria for a duration of time

Objective consequences based on this cohort definition:

- One person may belong to multiple cohorts
- One person may belong to the same cohort at multiple different time periods
- One person may not belong to the same cohort multiple times during the same period of time
- One cohort may have zero or more members
- A codeset is NOT a cohort...

...logic for how to use the codeset in a criteria is required





# Process flow for formally defining a cohort in ATLAS

- Cohort entry criteria

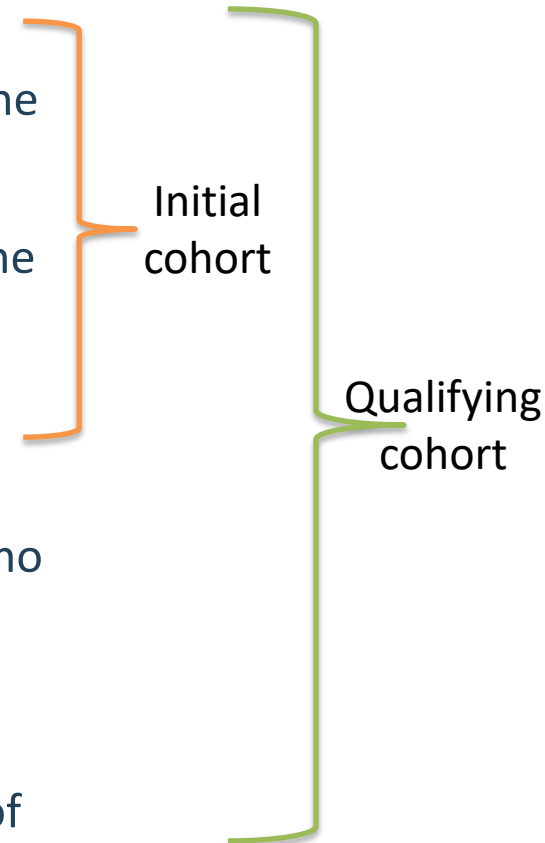
- Initial events

- Events are recorded time-stamped observations for the persons, such as drug exposures, conditions, procedures, measurements and visits.
    - All events have a start date and end date, though some events may have a start date and end date with the same value (such as procedures or measurements).

- Initial event inclusion criteria

- Additional qualifying inclusion criteria

- The qualifying cohort will be defined as all persons who have an initial event, satisfy the initial event inclusion criteria, and fulfill all additional qualifying inclusion criteria.
    - Each qualifying inclusion criteria will be evaluated to determine the impact of the criteria on the attrition of persons from the initial cohort.

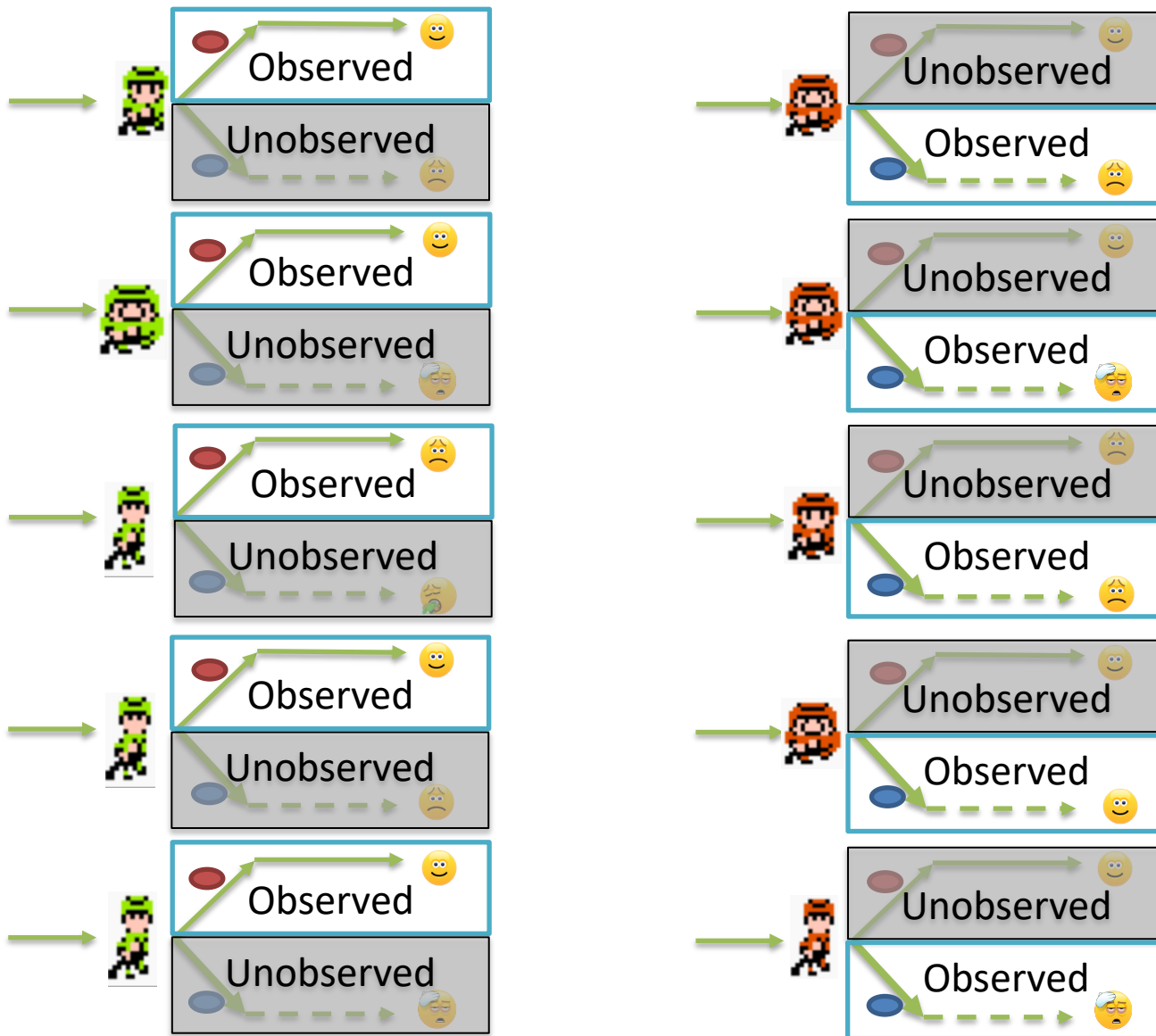


- Cohort exit criteria





# An observational comparative cohort design to approximate counterfactual outcomes





# Propensity score introduction

- Propensity score = probability of belonging to the target cohort vs. the comparator cohort, given the baseline covariates
- $e(x) = \Pr(Z=1 | x)$ 
  - $Z$  is treatment assignment
  - $x$  is a set of all covariates at the time of treatment assignment
- Propensity score can be used as a ‘balancing score’: if the two cohorts have similar propensity score distribution, then the distribution of covariates should be the similar (need to perform diagnostic to check)



# Methods for confounding adjustment using a propensity score

Regression adjustment	The PS is used as a covariable in an outcome regression model to adjust the as assum same relationship between propensity score and outcome is correctly specified.
Matching	The PS is used to match exposed subjects to unexposed subjects with similar values of the PS. This method assumes that within the matched sample, exposed and unexposed subjects have a similar distribution of baseline characteristics.
Stratification	The PS is used to stratify subjects into (often quintiles or deciles) strata. Treatment effects are estimated separately within each stratum and then combined into an overall estimate of treatment effect. This method assumes that within each stratum, exposed and unexposed subjects have a similar distribution of baseline characteristics.
Inverse Probability Weighting	The PS is used to create weights based on the inverse probability which is defined as: $E^*/PS + (1-E)/(1-PS)$ . This assumes that baseline characteristics are similar in the exposed and unexposed group.

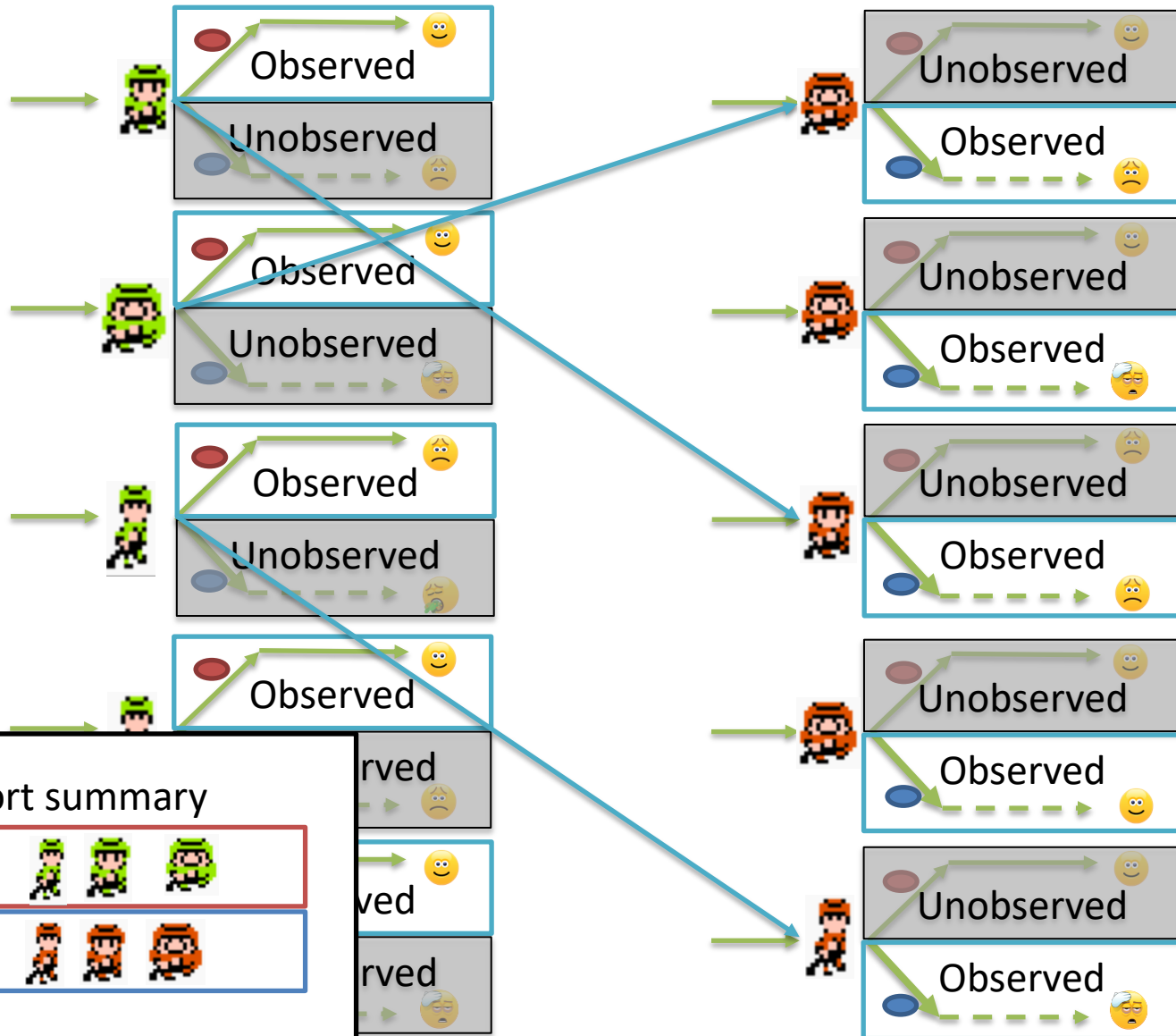
Not generally recommended

Fully implemented in OHDSI CohortMethod R package

\* E: exposure

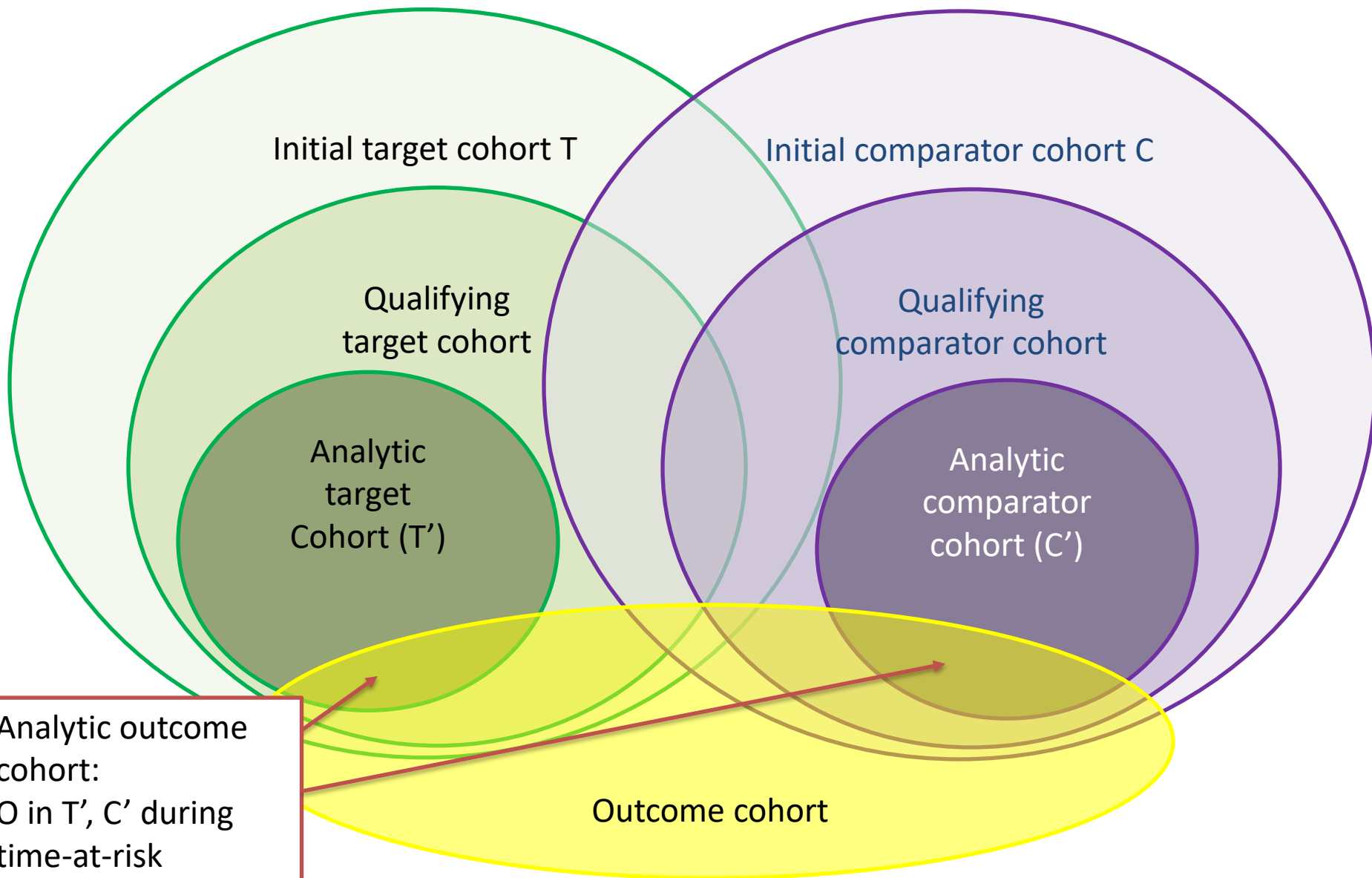


# Matching as a strategy to adjust for baseline covariate imbalance





# Cohort restriction in comparative cohort analyses





# The choice of the outcome model defines your research question

	Logistic regression	Poisson regression	Cox proportional hazards
How the outcome cohort is used	Binary classifier of presence/absence of outcome during the fixed time-at-risk period	Count the number of occurrences of outcomes during time-at-risk	Compute time-to-event from time-at-risk start until earliest of first occurrence of outcome or time-at-risk end, and track the censoring event (outcome or no outcome)
'Risk' metric	Odds ratio	Rate ratio	Hazard ratio
Key model assumptions	Constant probability in fixed window	Outcomes follow Poisson distribution with constant risk	Proportionality – constant relative hazard



# Population-level Estimation <Exercise>

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# Load Cohort Definition

Cohort #81

[PLE\_tutorial]ACE inhibitor



Definition ?

Concept Sets

Generation

Reporting

Export

Text View

Graphical View

JSON

SQL

```
"id": 0,
"name": "Hypertensive disorder",
"expression": {
  "items": [
    {
      "concept": {
        "CONCEPT_ID": 316866,
        "CONCEPT_NAME": "Hypertensive disorder",
        "STANDARD_CONCEPT": "S",
        "STANDARD_CONCEPT_CAPTION": "Standard",
        "INVALID_REASON": "V",
        "INVALID_REASON_CAPTION": "Valid",
        "CONCEPT_CODE": "38341003",
        "DOMAIN_ID": "Condition",
        "VOCABULARY_ID": "SNOMED",
        "CONCEPT_CLASS_ID": "Clinical Finding"
      },
      "includeDescendants": true
    }
  ]
}
```

Copy To Clipboard

Reload

<https://github.com/OHDSI/TheBookOfOhdsi/tree/master/extras/CohortMethodAceiVsThz/inst/cohorts>



# Target Cohort



Cohort #81

[PLE\_tutorial]ACE inhibitor

Definition ?

Concept Sets

Generation

Reporting

Export

Show 10 ▼ entries

Id

Title

- |   |                               |
|---|-------------------------------|
| 3 | ACE inhibitors                |
| 1 | First-line hypertension drugs |
| 2 | Hypertension drugs            |
| 0 | Hypertensive disorder         |

Showing 1 to 4 of 4 entries

Concept Set Expression

Included Concepts 19366

Included Source Codes

Export

Import

Name:

ACE inhibitors

Definition



Concept Sets

Generation

Reporting

Export

enter a cohort definition description here

## Cohort Entry Events

Events having any of the following criteria:

a drug exposure of

ACE inhibitors



for the first time in the person's history

with continuous observation of at least 365 days before and 0 days after event index date

Limit initial events to: earliest event per person.

Restrict initial events



# Target Cohort

## Inclusion Criteria

### New inclusion criteria

1. has hypertension diagnosis in 1 yr prior to treatment
2. Has no prior antihypertensive drug exposures in medical history
3. Is only taking ACE as monotherapy, with no concomitant combination treatments

has hypertension diagnosis in 1 yr prior to treatment

enter an inclusion rule description

having  of the following criteria:

with   using all occurrences of:

a condition occurrence of

where  between  days  and  days

☐ restrict to the same visit occurrence

☐ allow events from outside observation period



# Target Cohort

## Inclusion Criteria

### New inclusion criteria

1. has hypertension diagnosis in 1 yr prior to treatment
2. Has no prior antihypertensive drug exposures in medical history
3. Is only taking ACE as monotherapy, with no concomitant combination treatments

Has no prior antihypertensive drug exposures in medical history

enter an inclusion rule description

having  of the following criteria:

with   using all occurrences of:

a drug exposure of

where  between  days  and  days

☐ restrict to the same visit occurrence

☐ allow events from outside observation period

Limit qualifying events to:  per person.



# Target Cohort

## Inclusion Criteria

### New inclusion criteria

1. has hypertension diagnosis in 1 yr prior to treatment
2. Has no prior antihypertensive drug exposures in medical history
3. Is only taking ACE as monotherapy, with no concomitant combination treatments

Is only taking ACE as monotherapy, with no concomitant combination treatments

enter an inclusion rule description

having  of the following criteria:

with   using distinct occurrences of:

a drug era of

where  between  days  and  days

☐ allow events from outside observation period

Limit qualifying events to:  per person.



# Target Cohort

## Cohort Exit

### Event Persistence:

Event will persist until:

### Continuous Exposure Persistence:

Specify a concept set that contains one or more drugs. A drug era will be derived from all drug exposure events for any of the drugs within the concept set, adding a specified surveillance window to the final exposure event. If no exposure event end date is provided, then an exposure event end date is inferred. This persistence assures that the cohort end date will be no greater than the drug era end date.

Concept set containing the drug(s) of interest:

- Persistence window: allow for a maximum of  days between exposure records when inferring the era of persistence exposure
- Surveillance window: add  days to the end of the era of persistence exposure as an additional period of surveillance prior to cohort exit.

### Censoring Events:

Exit Cohort based on the following criteria:




No censoring events selected.






# Result from generation

## Available CDM Sources

	Source Name	Generation Status	People	Records
	CMSDESynPUF100k	COMPLETE	261	261
	CMSDESynPUF1k	COMPLETE	21	21
	CMSDESynPUF23m	COMPLETE	5,124	5,124

Inclusion Rule	Summary Statistics:						Attrition Visualization	<a href="#">Switch to intersect view</a>
	Match Rate	Matches	Total Events	N	% Remain	% Diff		
1. has hypertension diagnosis in 1 yr prior to treatment	1.62%	5,124	316,688	25,979	8.20%	91.80%		
2. Has no prior antihypertensive drug exposures in medical history				5,943	1.88%	6.33%		
3. Is only taking ACE as monotherapy, with no concomitant combination treatments				5,124	1.62%	0.26%		



# Comparison setting



## Comparison

Add or update the target, comparator, outcome(s) cohorts and negative control outcomes

Choose your target cohort:

New users of ACE inhibitors as first-line monotherapy for hypertension



Choose your comparator cohort:

New users of Thiazide-like diuretics as first-line monotherapy for hypertension



Choose your outcome cohorts:

Add Outcome

Show 10 entries

Search:

ID	Name		
90	Angioedema outcome	Edit cohort	Remove
91	Acute myocardial infarction outcome	Edit cohort	Remove



# Add negative controls and Concepts to exclude

Showing 1 to 2 of 2 entries

Choose your negative control outcomes:

Negative controls for ACEi and THZ

⚙ Covariate selection

**Please note:** If you would like to include/exclude covariates based on descendant concepts, define your concept sets utilizing **the ancestor concepts only**.

What concepts do you want to include in baseline covariates in the propensity score model? (Leave blank for all)

What concepts do you want to exclude from baseline covariates in the propensity score model? (Leave blank for all)

Concepts to exclude for ACEi and THZ



# Analysis Settings

Should only the first exposure per subject be included?

No ▼

Remove subjects that are in both the target and comparator cohort?

Remove All ▼

Restrict the analysis to the period when both exposures are observed?

No ▼

The minimum required continuous observation time prior to index date for a person to be included in the cohort.

0 ▼

If either the target or the comparator cohort is larger than this number it will be sampled to this size. (0 for this value i

0 ▼

Remove subjects that have the outcome prior to the risk window start?

Yes ▼

How many days should we look back when identifying prior outcomes?

99999 ▼

If a subject is in multiple cohorts, should time-at-risk be censored when the new time-at-risk start to prevent overlap?

No ▼



# Changing Time At Risk

## ⌚ Time At Risk

Define the time-at-risk window start, relative to target/comparator cohort entry:

▼ days from  ▼

Define the time-at-risk window end:

▼ days from  ▼

The minimum number of days at risk?

▼



# Covariate Settings

## Covariate Settings

Using OHDSI covariates for propensity score model. [Click to view details](#)

What concepts do you want to **include** in baseline covariates in the propensity score model?

Should descendant concepts be added to the list of included concepts?

No ▼

What concepts do you want to **exclude** in baseline covariates in the propensity score model?

Should descendant concepts be added to the list of excluded concepts?

Yes ▼

A comma delimited list of covariate IDs that should be restricted to:



## Propensity Score Adjustment

How do you want to trim your cohorts based on the propensity score distribution?

None ▼

Do you want to perform matching or stratification?

Match on propensity score ▼

What is the maximum number of persons in the comparator arm to be matched to each person in the target arm within the defined caliper? (0 = means no maximum)

100 ▼

What is the caliper for matching:

0.2

What is the caliper scale:

Standardized Logit ▼

What is the maximum number of people to include in the propensity score model when fitting? Setting this number to 0 means no down-sampling will be applied:

250000 ▼

Test each covariate for correlation with the target assignment? If any covariate has an unusually high correlation (either positive or negative), this will throw an error.

Yes ▼

If an error occurs, should the function stop? Else, the two cohorts will be assumed to be perfectly separable.

Yes ▼





# Outcome Model Settings

Choice	Value
Model	Cox proportional hazards model using variable-ratio matching.

## Outcome Model Settings

Specify the statistical model used to estimate the risk of outcome between target and comparator cohorts:

Cox proportional hazards ▼

Should the regression be conditioned on the strata defined in the population object (e.g. by matching or stratifying on propensity scores)?

Yes ▼

Whether to use the covariate matrix in the cohortMethodDataObject in the outcome model.

No ▼

Use inverse probability of treatment weighting?

No ▼



# Recommended statistical model settings

- 1:1 PS matching and unconditioned Cox regression
- Variable-ratio (1:100) PS matching and conditioned Cox regression
- PS stratification and conditioned Cox regression

Specify the statistical model used to

Cox proportional hazards ▼

Should the regression be conditioned on the propensity score?

No ▼

Specify the statistical model used to

Cox proportional hazards ▼

Should the regression be conditioned on the propensity score?

Yes ▼



# Download the package

- Name the package and click 'Download' in the Utilities tab

Specification

Utilities

Download

Import

Export

Review & Download

Review Full Study Specification

Colu

Target

New u

New u

Showi

Target Cohorts

New users of ACE inhibitors as first-line monotherapy for hypertension (2)

Comparator Cohorts

New users of Thiazide-like diuretics as first-line monotherapy for hypertension (2)

Outcome Cohorts

Angioedema outcome (1)

Acute myocardial infarction outcome (1)

Analysis Name

Propensity score matching (2)

Download Study Package

Please provide a name for the study package and click "download" to

CohortMethodAceiVsThz

**Please Note:** The package name should consist of alphanumeric char.

Download



# Anatomy of the study package

## Execution code

Main execution code  
**CodeToRun.R**

Shiny app to view  
results

## Cohorts

JSON definitions

SQL definitions

## Negative controls

Negative control concepts

SQL template

## Positive controls

Positive control settings

## Analysis settings

Target-comparator-outcomes

Analysis definitions



# Running package

Source: readme.md

3. Once installed, you can execute the study by modifying and using the following code:

```
library(Graham)

# Optional: specify where the temporary files (used by the ff package) will be created:
options(fftempdir = "c:/FFtemp")

# Maximum number of cores to be used:
maxCores <- parallel::detectCores()

# Minimum cell count when exporting data:
minCellCount <- 5

# The folder where the study intermediate and result files will be written:
outputFolder <- "c:/Graham"

# Details for connecting to the server:
# See ?DatabaseConnector::createConnectionDetails for help
connectionDetails <- DatabaseConnector::createConnectionDetails(dbms = "postgresql",
  server = "some.server.com/ohdsi",
  user = "joe",
  password = "secret")

# The name of the database schema where the CDM data can be found:
cdmDatabaseSchema <- "cdm_synpuf"
```



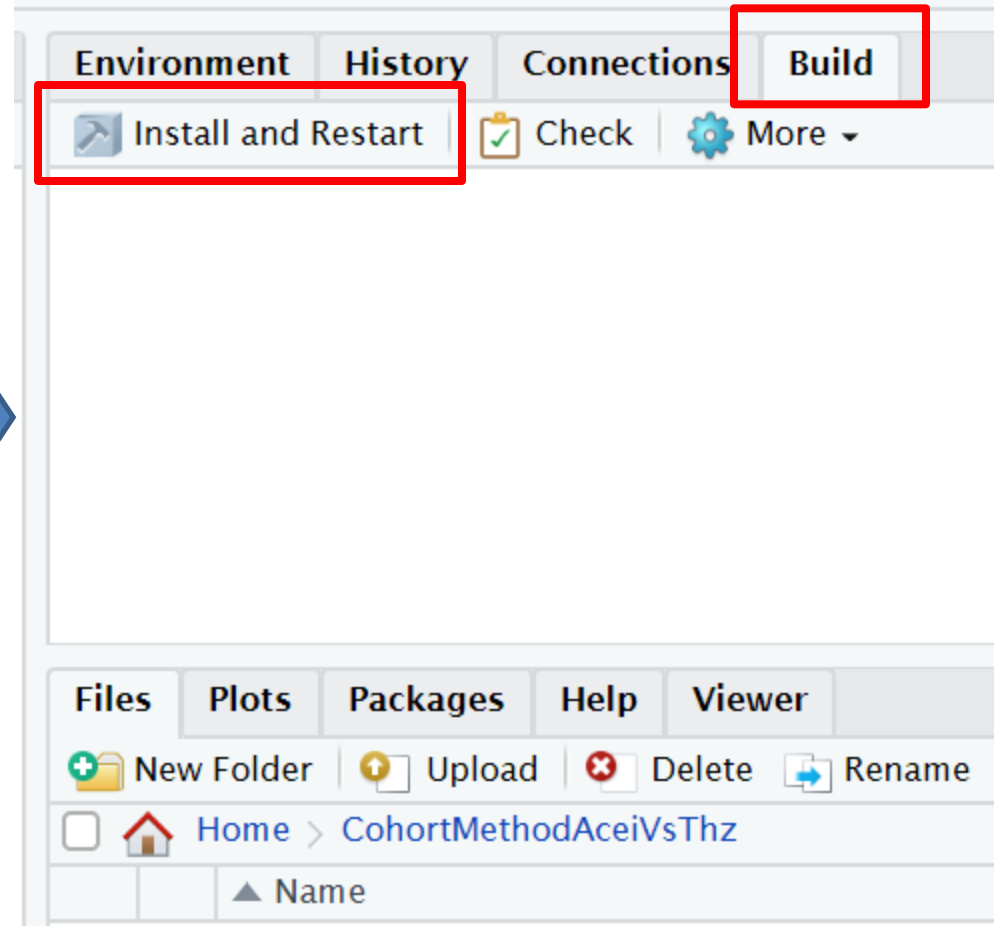
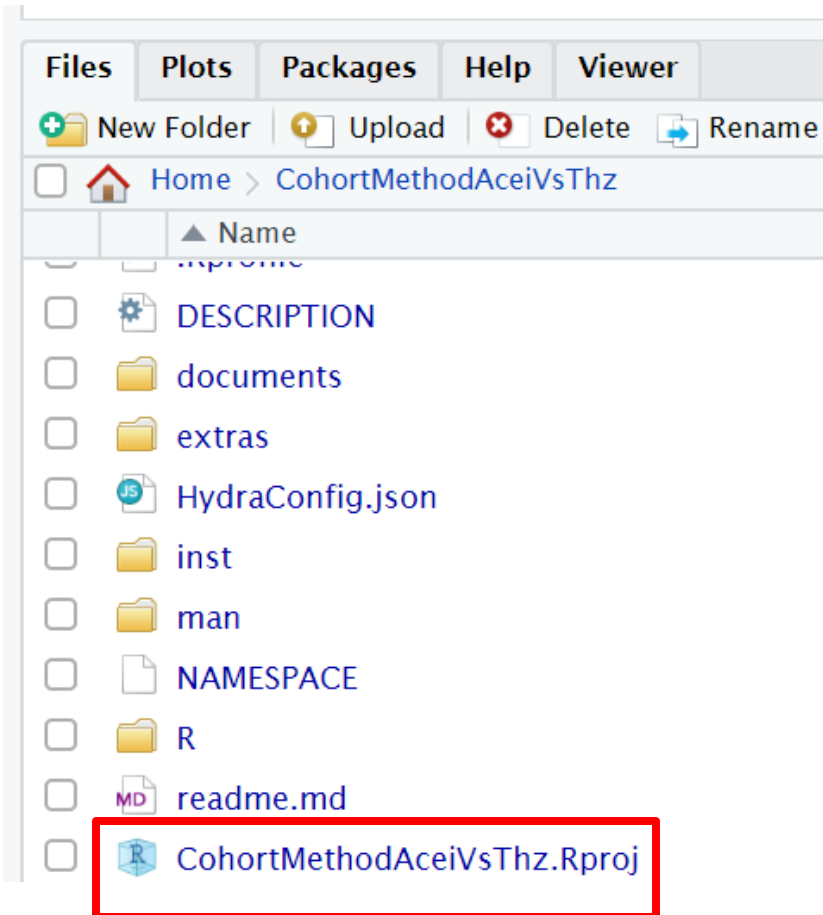
# Upload the package

The diagram illustrates the steps to upload a package in RStudio, showing three sequential views of the file explorer:

- Initial View:** The 'Files' pane shows the 'Home' directory. The 'New Folder' button is highlighted with a red box. The 'Home' breadcrumb is also highlighted.
- Intermediate View:** The 'Files' pane shows the contents of the 'Home' directory. The 'CohortMethodAceiVsThz' folder is highlighted with a red box.
- Final View:** The 'Files' pane shows the contents of the 'CohortMethodAceiVsThz' directory. The 'Upload' button is highlighted with a red box. The breadcrumb path 'Home > CohortMethodAceiVsThz' is also highlighted.



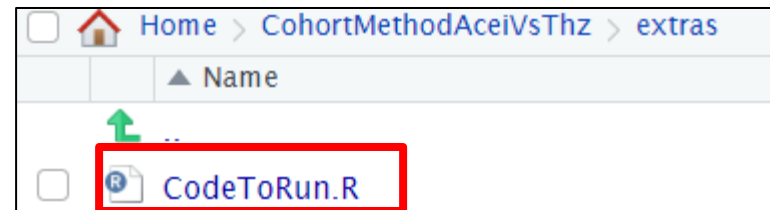
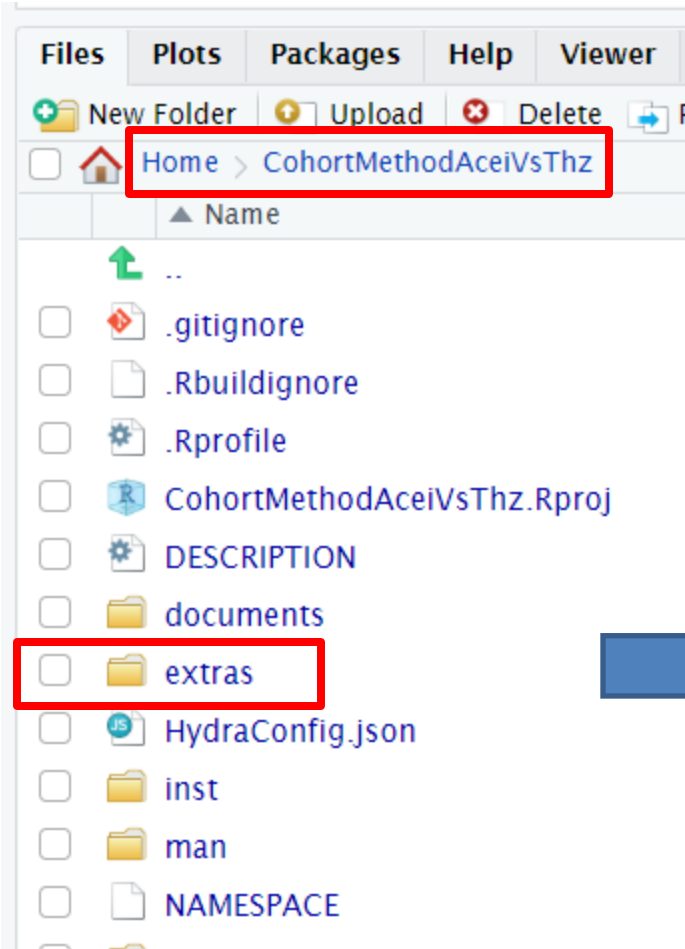
# Install R package







# CodeToRun.R





# Execute the Code

```
25 cohortTable <- "mschuemi_skeleton"
26
27 #Connection string for the OMOP database on Redshift
28 connectionDetails <- DatabaseConnector::createConnectionDetails(dbms = "redshift",
29                                                                    server = "ajou-ohdsi-dat
30                                                                    user = "master",
31                                                                    password = "Ajoumed01",
32                                                                    port = "5439")
33
34 options(fftempdir = "~/fftemp")
35 outputFolder <- "myResults"
36
37 cdmDatabaseSchema <- "CMSDESynPUF100k"
38 cohortDatabaseSchema <- "CMSDESynPUF100kresults"
39
40 # Some meta-information that will be used by the export function:
41 databaseId <- "Synpuf"
42 databaseName <- "Medicare Claims Synthetic Public Use Files (SynPUFs)"
43 databaseDescription <- "Medicare Claims Synthetic Public Use Files (SynPUFs) were create
44
45 # For Oracle: define a schema that can be used to emulate temp tables:
46 oracleTempSchema <- NULL
47
```



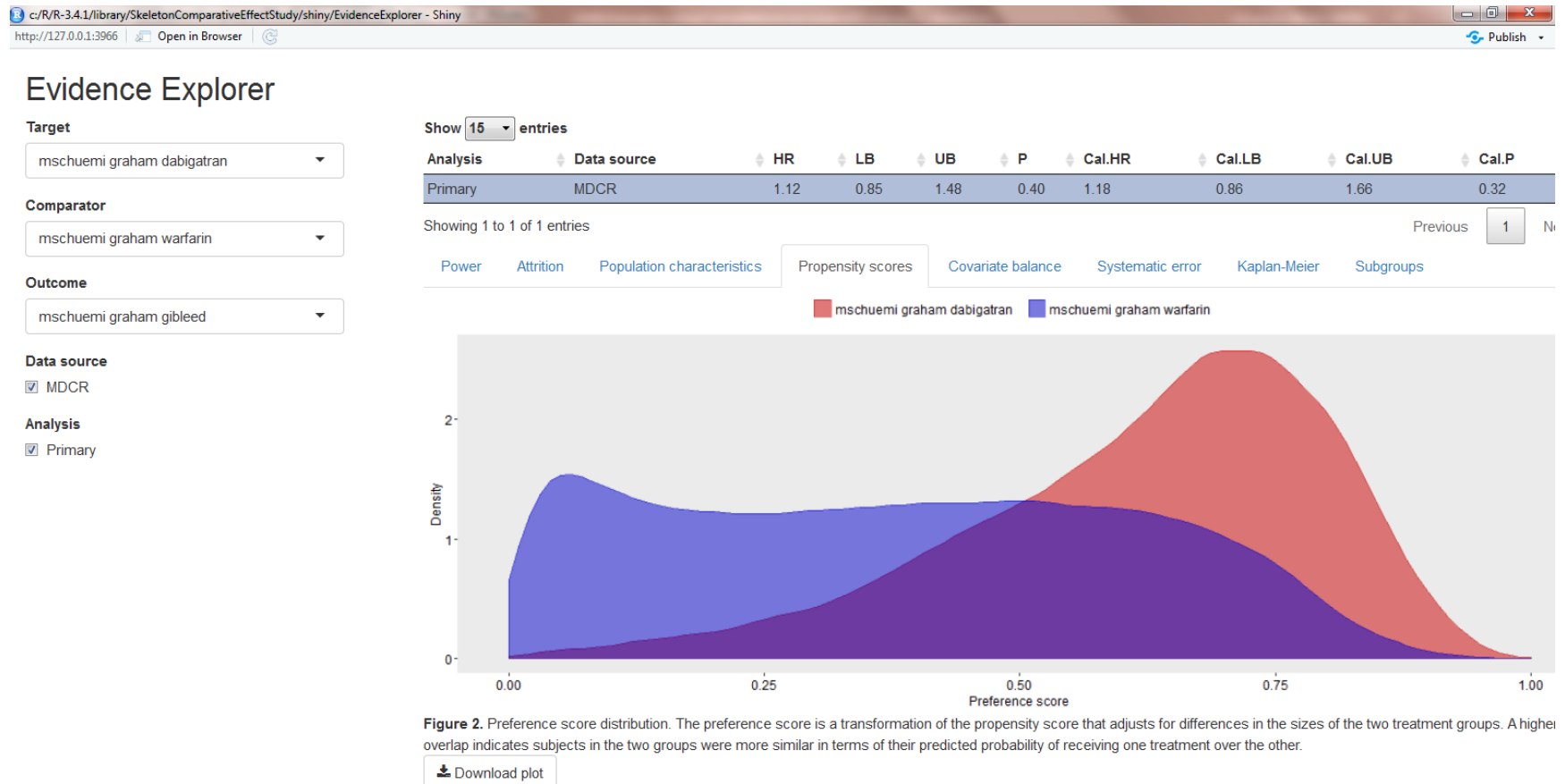
# Execute the Code

```
execute(connectionDetails = connectionDetails,  
        cdmDatabaseSchema = cdmDatabaseSchema,  
        cohortDatabaseSchema = cohortDatabaseSchema,  
        cohortTable = cohortTable,  
        oracleTempSchema = oracleTempSchema,  
        outputFolder = outputFolder,  
        databaseId = databaseId,  
        databaseName = databaseName,  
        databaseDescription = databaseDescription,  
        createCohorts = TRUE,  
        synthesizePositiveControls = TRUE,  
        runAnalyses = TRUE,  
        runDiagnostics = TRUE,  
        packageResults = TRUE,  
        maxCores = maxCores)  
  
resultsZipFile <- file.path(outputFolder, "export", paste0("Results", databaseId, ".zip"))  
dataFolder <- file.path(outputFolder, "shinyData")  
  
prepareForEvidenceExplorer(resultsZipFile = resultsZipFile, dataFolder = dataFolder)
```



# Check the result by ShinyViewer

```
69 launchEvidenceExplorer(dataFolder = dataFolder, blind = FALSE, launch.browser = FALSE)
```





# Concluding remarks

- CohortMethod package + R offer large flexibility
- 80% of studies are 'cookie-cutter' design, supported by ATLAS
- For remaining 20%, will need to modify code generated by ATLAS



# Thank you

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