

# report1

## 1 Code

```
[1]: import math
def factor(n):
    rlt = []
    input = n

    for i in range(2, int(math.sqrt(n)+1), 1):
        while n % i == 0:
            rlt.append(i)
            n = n / i
    if n > 2 and n != input:
        rlt.append(int(n))
    return rlt
```

## 2 Running time analysis

Step 1: The parameter that would impact the execution time of this algorithm is the size of number  $n$ , or equivalently the number of bits needed to represent  $n$ .

Step 2: The operation that would be executed most often in this algorithm is the condition in the while loop:  $n \% i == 0$ .

Step 3: The number of times the basic operation  $n \% i == 0$  gets executed varies with different  $n$ . For example, if  $n$  itself is a prime number, then while condition will be checked for  $\sqrt{n}$  times. However, if  $n$  is simply  $2^x$  for some integer  $x$ , then the condition will be checked  $\log_2(n)$  times.

### 2.1 Case 1 : Multiplications and division (and additions) take constant time

In this case, the operation  $n \% i$  takes constant time, denoted as  $c$ .

For the worst case, when  $n$  is a prime number, the condition  $n \% i == 0$  will be run for each of the  $i \in [2, 3, \dots, \sqrt{n}]$ . As the condition is **False** each time, no other operations will be executed except for the final **if  $n > 2$  and  $n \neq \text{input}$**  check which takes constant time. So  $T(n) = \sum_{i=2}^{\text{int}(\sqrt{n}+1)} (c) = c * \text{int}(\sqrt{n}) = O(\sqrt{n}) = O(2^{x/2})$ .

## 2.2 Case 2: Multiplication and division of $x$ -bit numbers take $O(x^2)$ time and additions and subtractions take $O(x)$ time

In this case, assume that  $n$  is a  $x$  digits number. From math we know a given integer  $n$  consists of  $\lfloor \log_{10}(n) \rfloor + 1$  decimal digits, Thus,  $x = \lfloor \log_{10}(n) \rfloor + 1$ . Since the decimal digits is directly proportional to the bits, we assume  $n$  is a  $x$ -bit number. Then, the operation  $n \% i$  takes  $O(x^2)$  time.

For the worst case as stated in Case 1,  $T(n) = \sum_{i=2}^{int(\sqrt{n})+1} (\log(n) + 1)^2 = int(\sqrt{n}) * (\log(n) + 1)^2 = O(\sqrt{n} * \log(n) * \log(n)) = O(2^{x/2} * x^2)$ .

## 3 Table of $T(n)$ vs. $n$

Since we would compare the worst case running time  $T(n)$  with  $n$ , here I would try to experiment on the prime numbers only.

### 3.1 The first experiment is testing for small primes $n$ .

(Refer: <https://primes.utm.edu/lists/small/>)

```
[18]: import time
primes = [7,11,937, 9907 , 90227, 404251, 944191,
          1299689,15485863,104395301,472882027,982451653]

runtime = []
for num in primes:
    st = time.time()
    factor(num)
    et = time.time()
    runtime.append(et-st)
```

```
[31]: import numpy as np
import pandas as pd
case1_T = [math.floor(math.sqrt(num)) for num in primes]
case2_T = [math.floor(math.sqrt(num) * (math.floor(math.log(num,10))))**2)
           for num in primes]
Tn_usec = [math.floor(t*1e6) for t in runtime]
data = {'T(n) in usec' : Tn_usec, 'n' : primes,
        'Case 1': case1_T, 'Case 2': case2_T}
df = pd.DataFrame(data)
print(df)
```

	T(n) in usec	n	Case 1	Case 2
0	7	7	2	0
1	1	11	3	3
2	1	937	30	122
3	6	9907	99	895
4	17	90227	300	4806

5	44	404251	635	15895
6	69	944191	971	24292
7	82	1299689	1140	41041
8	307	15485863	3935	192825
9	810	104395301	10217	653913
10	1751	472882027	21745	1391734
11	3066	982451653	31344	2006021

In the table above: - 'T(n) in usec' is the actual running time in usec(microsecond) for a given prime  $n$ . - 'n' is given the prime number. - 'Case 1' and 'Case2' represent the "theoretical" running time calculated based on  $T(n)$  derived in Question 2 under two cases.

To better see if the actual running time  $T(n)$  is closely match one of the running time in 'Case 1' and 'Case 2', we consider the ratio table below which takes ratio between the actual running time and the theoretical running time for two cases. If the ratio stays at a certain number, then we can have a conclusion that the actual running time  $T(n)$  matches the theoretical running time up to a constant proportionally.

```
[39]: ratio = {'Case 1' :df['T(n) in usec']/df['Case 1'],
              'Case 2' :df['T(n) in usec']/df['Case 2']}
ratio = pd.DataFrame(ratio)
print(ratio)
```

	Case 1	Case 2
0	3.500000	inf
1	0.333333	0.333333
2	0.033333	0.008197
3	0.060606	0.006704
4	0.056667	0.003537
5	0.069291	0.002768
6	0.071061	0.002840
7	0.071930	0.001998
8	0.078018	0.001592
9	0.079280	0.001239
10	0.080524	0.001258
11	0.097818	0.001528

From the table, it seems that for larger primes, the ratio stays around 0.07~0.09 for Case 1 and stays around 0.001~0.002 for Case 2. It is hard to tell which case better matches with the actual running time.

### 3.2 The second experiments is testing for large primes $2^x - k$ , which is of $x$ bits.

(Refer:<https://primes.utm.edu/lists/2small/0bit.html>)

We repeat the same procedures for a set of large primes.

```
[35]: primes2 = [2**10-3, 2**20-3, 2**30-35, 2**40 - 87, 2**50-27, 2**60 - 93]
```

```
runtime2 = []
for num in primes2:
    st = time.time()
    factor(num)
    et = time.time()
    runtime2.append(et-st)
```

```
[36]: case1_T2 = [math.floor(math.sqrt(num)) for num in primes2]
case2_T2 = [math.floor(math.sqrt(num) * (math.floor(math.log(num,10))))**2]
        for num in primes2]
Tn_usec2 = [math.floor(t*1e6) for t in runtime2]
data2 = {'T(n) in usec' : Tn_usec2, 'n' : primes2,
        'Case 1': case1_T2, 'Case 2': case2_T2}
df2 = pd.DataFrame(data2)
print(df2)
```

	T(n) in usec	n	Case 1	Case 2
0	9	1021	31	287
1	93	1048573	1023	36863
2	3096	1073741789	32767	2654207
3	134025	1099511627689	1048575	150994943
4	3038723	1125899906842597	33554431	7549747199
5	94094946	1152921504606846883	1073741823	347892350975

```
[40]: ratio2 = {'Case 1' :df2['T(n) in usec']/df2['Case 1'],
               'Case 2' :df2['T(n) in usec']/df2['Case 2']}
ratio2 = pd.DataFrame(ratio2)
print(ratio2)
```

	Case 1	Case 2
0	0.290323	0.031359
1	0.090909	0.002523
2	0.094485	0.001166
3	0.127816	0.000888
4	0.090561	0.000402
5	0.087633	0.000270

From the ratio table, it seems that for Case 1, the ratio stays around 0.08~0.09, which is similar to the result we see in the first experiment. However, the ratio for Case 2 keeps changing.

Based on the limited experiments above, I would see Case 1 (assuming that multiplications and division (and additions) take constant time) better matches the actual running time.

### 3.3 How large can $n$ be so that is approximately 5 minutes. What if is 5 hours? 5 days?

Based on the above experiments, the ratio: 'T(n) in usec' / 'Case 1', or equivalently  $T(n) * 1e6 / O(\sqrt{n})$  is about 0.09. Given that 5 min =  $3e+8$  usec, we can derive that  $n$  can be  $(3e+8/0.09)^2 = 1.11e+19$  which is around 20 decimal digits.

For 5 hours,  $n$  can be of  $20 * 60 = 1200$  decimal digits, and for 5 days,  $n$  can be of  $20 * 24 * 60 = 28800$  decimal digits.

## 4 Correctness proof

### 4.1 Invariant of the loop

Outer loop: Before the start of each loop, for any  $j$ ,  $1 < j < i$ ,  $j$  is not a factor of  $n$ , and the products of all the elements saved in the list 'rlt' and  $n$  equals to the original input number(saved as 'input').

Inner loop: Each element  $i$  saved in the list rlt is a prime factor.

### 4.2 Proof of correctness

#### 4.2.1 Initialization

Before the start of the first iteration of the outer loop,  $i = 2$ ,  $n = \text{input}$ . For  $1 < j < i=2$  is an empty set, and rlt is an empty list. So, the outer loop invariant is true.

#### 4.2.2 Maintenance

Suppose  $i = k$  and  $n = m$  in the beginning of an outer iteration. Assume that the outer loop invariant holds, then we have for any  $j$ ,  $1 < j < i=k$ ,  $j$  is not a factor of  $n = m$ . And the products of all the elements saved in the current list 'rlt' and  $n=m$  equals to the original input.

- At the start of the first iteration of the inner loop, we have  $i = k$  and  $n \% i == 0$ . Since from the outer loop invariant we know  $n=m$  is a factor of the original input and here  $k$  is a factor of  $n$ , thus  $k$  is also a factor. We also have  $k$  is a prime number, this is because for any  $j$ ,  $1 < j < k$ ,  $j$  is not a factor of  $n$ . Otherwise, if  $k$  is not prime, there should be a  $j < k$  that divides  $k$ , which would then be a factor of  $n$ . This contradicts the outer loop invariant. Thus, the inner loop invariant holds initially.
- Suppose  $n = q$  in the beginning of an iteration of the inner loop, we have  $i = k$  and  $q \% i == 0$ . Follow the same logic above, we have  $k$  is a prime factor.
- When the inner loop terminates, the new  $n$  is not divisible by  $k$  any more. We prove that each  $i$  saved in the list rlt is a prime factor.

When this outer iteration ends, the new  $n$  is not divisible by  $k$ . And for any  $j$ ,  $1 < j < k+1$ ,  $j$  is not a factor of the new  $n$ . Otherwise,  $j$  would also be a factor of the  $m$  since the new  $n$  is a factor of  $m$ , which contradicts the outer loop invariance assumption.

During this outer iteration, when a  $k$  is saved in the list rlt, it means  $k$  is a factor of  $n$ . Thus, the new  $n$  times all of the  $k$  saved in this iteration would be  $m$ . Since the outer loop invariant holds before the start of this iteration, we know the product of  $m$  and all the elements saved before this iteration equals to the original input. Thus, we have the product of the new  $n$  and all the element in the rlt equals to the original input when this outer iteration ends.

We prove that the outer loop invariant holds at the end of iteration of the outer loop.

### 4.2.3 Termination

When the outer loop derminates,  $i = \text{int}(\sqrt{n}) + 1$ . For any  $j$ ,  $1 < j < \sqrt{n} + 1$ ,  $j$  is not a factor of  $n$ , then  $n$  must be a prime number or 1. (This is because any composite number must has at least one prime factor less than or equal to the squre root of itself). Also, loop invariant makes sure that  $n$  times all the elements in the list `rlt` equals to the original input.

After the outer loop ends, if  $n > 1$  and  $n$  is not equal to the orignal number, we add  $n$  to the `rlt` list, which then contains all the prime factors of the original input. Otherwise, when  $n$  is 1 or  $n$  equals to the input number, the list `rlt` should be an empty list(since the input is not divided by any number).