## **AVL Trees**

## D Oisín Kidney

July 28, 2018

```
open import Relation.Binary
 open import Relation. Binary. Propositional Equality
 open import Level using (Lift; lift; _□_; lower)
 open import Data.Nat as N using (N; suc; zero; pred)
 open import Data.Product
 open import Data. Unit renaming (T to 1)
 open import Data. Maybe
 open import Function
 open import Data.Bool
 open import Data. Empty renaming (1 to 0)
 module AVL
     \{k \ r\} \ (Key : \mathsf{Set} \ k)
     \{\_<\_: Rel \ \mathit{Key} \ r\}
     (isStrictTotalOrder: IsStrictTotalOrder \_ \equiv \_ \_ < \_)
     where
     open IsStrictTotalOrder isStrictTotalOrder
 infix 5 [_]
 data \mathsf{T}: \mathsf{Set}\ k where
     \begin{array}{lll} \inf & 4 & \stackrel{\top}{ } < \\ & \stackrel{\top}{ } < \vdots & \stackrel{\top}{ } \rightarrow \stackrel{\top}{ } \rightarrow \text{Set } r \\ \bot & \stackrel{\top}{ } < \bot & = \text{Lift } r \ 0 \\ \bot & \stackrel{\top}{ } < \top & = \text{Lift } r \ 1 \\ \bot & \stackrel{\top}{ } < [ \ \_ \ ] = \text{Lift } r \ 1 \\ \top & \stackrel{\top}{ } < [ \ \_ \ ] & = \text{Lift } r \ 0 \\ [ \ \_ \ ] & \stackrel{\top}{ } < \bot & = \text{Lift } r \ 0 \\ [ \ \_ \ ] & \stackrel{\top}{ } < \top & = \text{Lift } r \ 1 \\ [ \ x \ ] & \stackrel{\top}{ } < [ \ y \ ] & = x < y \\ \end{array}
```

```
x\not\in\bot\{\bot\}= lift \circ lower
x\not\in\bot\{\top\}=lift\circ lower
x\not\in\downarrow\{[\ \_\ ]\}= lift \circ lower
^{\intercal}_{\bot} \text{<-trans}: \ \forall \ \{x \ y \ z\} \rightarrow x \,^{\intercal}_{\bot} \text{<} \ y \rightarrow y \,^{\intercal}_{\bot} \text{<} \ z \rightarrow x \,^{\intercal}_{\bot} \text{<} \ z
                                                             \_ \quad y < z = x \not \in \bot \ \{x = y\} \ y < z
 [<-trans \{\bot\}
                                \{y\} \{\bot\}
                                               <-trans \{\bot\}
  <-trans \{\bot\}
  <-trans \{\top\} \{\_\} <-trans \{[\_]\} \{y\}
                                               \{\top\}
  <-trans {[ _ ]} {_}
   \begin{bmatrix} <\text{-trans } \{[\ x\ ]\}\ \ \{[\ y\ ]\}\ \{[\ z\ ]\}\ \ x{<}y\ y{<}z = \\ \end{matrix} 
   IsStrictTotalOrder.trans isStrictTotalOrder \ x < y \ y < z
infix 4 _<_<_
\begin{array}{c} -< - < \cdot \stackrel{\top}{\ } \rightarrow Key \rightarrow \stackrel{\top}{\ } \rightarrow \mathsf{Set} \ r \\ l < x < u = l \stackrel{\top}{\ } < [\ x\ ] \times [\ x\ ] \stackrel{\top}{\ } < u \end{array}
module Bounded where
    data (\_ \sqcup \_) \equiv \_ : \mathbb{N} \to \mathbb{N} \to \mathbb{N} \to \mathsf{Set} where
        x : \forall \{n\} \rightarrow \langle \operatorname{suc} n \sqcup n \rangle \equiv \operatorname{suc} n
       \Rightarrow \lambda : \forall \{x \ y \ z\} \rightarrow \langle x \sqcup y \rangle \equiv z \rightarrow \langle z \sqcup x \rangle \equiv z
     \lambda \Rightarrow \lambda \ \lambda = \pm
     \lambda \Rightarrow \lambda \div = \div
     \lambda \Rightarrow \lambda \lambda = \lambda
     A \Rightarrow A : \forall \{x \ y \ z\} \rightarrow \langle x \sqcup y \rangle \equiv z \rightarrow \langle y \sqcup z \rangle \equiv z
     \lambda \Rightarrow \lambda \quad \lambda = \lambda
     \lambda \Rightarrow \lambda = \pm
     \lambda \Rightarrow \lambda \lambda = \pm
data Tree \{v\} (V: Key \rightarrow \mathsf{Set}\ v)\ (l\ u: \ \ ): \ \mathbb{N} \rightarrow \mathsf{Set}\ (k \sqcup v \sqcup r) \ \mathsf{where}
    leaf : (l < u : l \mid \forall < u) \rightarrow \text{Tree } V \mid u \mid 0
    \mathsf{node}: \ \forall \ \{\textit{h} \ \textit{lh} \ \textit{rh}\}
                       (k: Key)
                       (v:Vk)
                       (bl: \langle lh \sqcup rh \rangle \equiv h)
```

```
(lk : \mathsf{Tree}\ V\ l\ [\ k\ ]\ lh)
                    (ku : \mathsf{Tree}\ V \ [\ k\ ]\ u\ rh) \rightarrow
                    Tree V l u (suc h)
Altered: \forall \{v\} (V: Key \rightarrow Set \ v) (l \ u : \ ) (n : \mathbb{N}) \rightarrow Set (k \sqcup v \sqcup r)
Altered V \ l \ u \ n = \exists [inc] \ (Tree \ V \ l \ u \ (if \ inc \ then \ suc \ n \ else \ n))
pattern 0+tr = false, tr
pattern 1+ tr = true , tr
                                         rot^r: \forall \{lb \ ub \ rh \ v\} \{V : Key \rightarrow \mathsf{Set} \ v\}
        \rightarrow (k: Key)
        \rightarrow V k
        \rightarrow Tree V lb [k] (suc (suc <math>rh))
        \rightarrow Tree V [k] ub rh
        \rightarrow Altered V lb ub (suc (suc rh))
rot^r \ u \ uc \ (node \ v \ vc \ \prec \ ta \ tb) \ tc = 0 + \ (node \ v \ vc \ - \ ta \ (node \ u \ uc \ - \ tb \ tc))
\mathsf{rot}^r \ u \ uc \ (\mathsf{node} \ v \ vc + ta \ tb) \ tc = 1 + \ (\mathsf{node} \ v \ vc \times ta \ (\mathsf{node} \ u \ uc \wedge tb \ tc))
rot^r \ u \ uc \ (node \ v \ vc \times ta \ (node \ w \ wc \ bw \ tb \ tc)) \ td =
   0+ \text{ (node } w \text{ } wc \text{ - (node } v \text{ } vc \text{ (} \times \Rightarrow \curlywedge \text{ } bw \text{) } ta \text{ } tb \text{) (node } u \text{ } uc \text{ (} \curlywedge \Rightarrow \times \text{ } bw \text{) } tc \text{ } td \text{))}
                                        \begin{pmatrix} u & & & b \\ & & & & & \\ c & v & & u & a \\ & & & & & \\ h & a & c & b \end{pmatrix}
rot^l: \forall \{lb \ ub \ lh \ v\} \{V : Key \rightarrow Set \ v\}
       \rightarrow (k: Key)
       \rightarrow V k
       \rightarrow Tree V lb [k] lh
       \rightarrow Tree V [k] ub (suc (suc <math>lh))
        \rightarrow Altered V lb ub (suc (suc lh))
rot^l \ u \ uc \ tc \ (node \ v \ vc + tb \ ta) = 0 + (node \ v \ vc + (node \ u \ uc + tc \ tb) \ ta)
\mathsf{rot}^l \ u \ uc \ tc \ (\mathsf{node} \ v \ vc + tb \ ta) = 1 + (\mathsf{node} \ v \ vc \ \land \ (\mathsf{node} \ u \ uc \ \land \ tc \ tb) \ ta)
rot^l \ u \ uc \ td \ (node \ v \ vc \ \land \ (node \ w \ wc \ bw \ tc \ tb) \ ta) =
   0+ (node w wc - (node u uc (\searrow \searrow L bw) td tc) (node v vc (\angle \Longrightarrow \searrow L bw) tb ta))
```

insert :  $\forall \{l \ u \ h \ v\} \{V : Key \rightarrow Set \ v\} (k : Key)$ 

```
\rightarrow V k
              \rightarrow (V k \rightarrow V k \rightarrow V k)
              \rightarrow Tree V l u h
              \rightarrow l < k < u
              \rightarrow Altered V l u h
    \mathsf{insert}\ v\ vc\ f\ (\mathsf{leaf}\ l{<}u)\ (\mathit{l}\ ,\ u) = 1 + \ (\mathsf{node}\ v\ vc\ \neg\ (\mathsf{leaf}\ \mathit{l})\ (\mathsf{leaf}\ u))
    insert v \ vc \ f (node k \ kc \ bl \ tl \ tr) prf \ with \ compare \ v \ k
    insert v vc f (node k kc bl tl tr) (l , \_)
      \mid tri< a \_ \_ with insert v vc f tl (l , a)
    ... \mid 0+tl'=0+ (node k \ kc \ bl \ tl' \ tr)
    ... | 1+ tl'  with bl
          | = rot^r \ k \ kc \ tl' \ tr
           |\cdot| = 1 + (\text{node } k \ kc \ \checkmark \ tl' \ tr)
           \Rightarrow = 0 + (\text{node } k kc + tl' tr)
    insert v \ vc \ f (node k \ kc \ bl \ tl \ tr)
       \mid \text{tri} \approx \text{refl} = 0 + (\text{node } k (\overline{f} \ vc \ kc) \ bl \ tl \ tr)
    insert v \ vc \ f \ (\mathsf{node} \ k \ kc \ bl \ tl \ tr) \ (\_, \ u)
      \mid tri> _ _ c with insert v vc f tr (c , u)
    ... \mid 0+ tr' = 0+ \text{ (node } k \text{ } kc \text{ } bl \text{ } tl \text{ } tr')
    ... \mid 1+ tr' \text{ with } bl
    ... | = 0 + (\text{node } k kc - tl tr')
          |\cdot| = 1 + (\text{node } k \ kc > tl \ tr')
           | = rot^l \ k \ kc \ tl \ tr'
lookup: (k: Key)
           \rightarrow \forall \{l \ u \ s \ v\} \{V : Key \rightarrow \mathsf{Set} \ v\}
            \rightarrow Tree V l u s
           \rightarrow Maybe (V k)
lookup k (leaf l < u) = nothing
lookup k (node v vc tl tr) with compare k v
\dots \mid \mathsf{tri} < \_ \_ \_ = \overline{\mathsf{lookup}} \ k \ tl
... \mid tri\approx _ refl _ = just vc
\dots \mid \mathsf{tri} \mathsf{>} \ \_ \ \_ \ = \mathsf{lookup} \ \mathit{k} \ \mathit{tr}
record Uncons \{v\} (V: Key \rightarrow \mathsf{Set}\ v)\ (lb: \ \ )\ (ub: \ \ )\ (h: \ \ )): \mathsf{Set}\ (k \sqcup v \sqcup r) where
   constructor uncons
   field
      head : Key
      val: V head
      tail : Altered V [head] ub h
uncons': \forall \{lb \ ub \ h \ lh \ rh \ v\} \{V : Key \rightarrow \mathsf{Set} \ v\}
          \rightarrow (k: Key)
          \rightarrow V k
```

```
\rightarrow \langle lh \sqcup rh \rangle \equiv h
          \rightarrow Tree V lb [k] lh
          \rightarrow Tree V [k] ub rh
          \rightarrow Uncons V lb ub h
uncons' k \ v \ bl \ tl \ tr = go \ k \ v \ bl \ tl \ tr \ id
   where
   go: \forall \{lb \ ub \ h \ lh \ rh \ v \ ub' \ h'\} \{V : Key \rightarrow \mathsf{Set} \ v\}
           \rightarrow (k: Key)
           \rightarrow V k
           \rightarrow \langle lh \sqcup rh \rangle \equiv h
           \rightarrow Tree V lb [k] lh
           \rightarrow Tree V [k] ub rh
           \rightarrow (\forall {lb'} \rightarrow Altered V [ lb' ] ub h \rightarrow Altered V [ lb' ] ub' h')
           \rightarrow Uncons V lb ub' h'
   go k \ v \cdot (\text{leaf } l < u) \ tr \ c = \text{uncons } k \ v \ l < u \ (c \ (0+tr))
   go k v - (node k_l v_l bl_l tl_l tr_l) tr c = go k_l v_l bl_l tl_l tr_l
     \lambda \{ (0+tl') \rightarrow c (1+ (\text{node } k \ v \times tl' \ tr)) \}
          ; (1+ tl') \rightarrow c (1+ (node \ k \ v - tl' \ tr)) \}
   go k v > (\text{leaf } l < u) tr c = \text{uncons } k v l < u (c (0+tr))
   go k v \times (\text{node } k_l \ v_l \ bl_l \ tl_l \ tr_l) \ tr \ c = \text{go } k_l \ v_l \ bl_l \ tl_l \ tr_l
     \lambda \{ (0+tl') \rightarrow c (rot^l \ k \ v \ tl' \ tr) \}
           ; (1+tl') \rightarrow c (1+ (\text{node } k \ v > tl' \ tr)) \}
   go k \ v \ \land \ (\mathsf{node} \ k_l \ v_l \ bl_l \ tl_l \ tr_l) \ tr \ c = \mathsf{go} \ k_l \ v_l \ bl_l \ tl_l \ tr_l
     \lambda \{ (0+tl') \rightarrow c (0+ (\text{node } k \ v - tl' \ tr)) \}
           ; (1+tl') \rightarrow c (1+(\text{node } k \ v \times tl' \ tr)) \}
widen : \forall \{lb \ ub \ ub' \ h \ v\} \{V : Key \rightarrow \mathsf{Set} \ v\}
   \rightarrow Tree V lb ub h
   \rightarrow Tree V lb ub' h
widen \{lb\}\ ub < ub' (leaf l < u) = leaf (\lceil < -trans \{lb\}\ l < u\ ub < ub')
widen ub < ub' (node k \ v \ bl \ tl \ tr) = node k \ v \ bl \ tl (widen ub < ub' \ tr)
delete : \forall \{lb \ ub \ h \ v\} \{V : Key \rightarrow \mathsf{Set} \ v\}
          \rightarrow (k: Key)
          \rightarrow Tree V lb ub (suc h)
          \rightarrow Altered V lb ub h
delete k (node k_1 \ v \ bl \ tl \ tr) with compare k \ k_1
delete k (node \{lh = \text{zero}\} k_1 \ v \ bl \ tl \ tr) | tri< a \ \neg b \ \neg c = 1 + \text{(node } k_1 \ v \ bl \ tl \ tr)
delete k (node \{lh = \text{suc } lh\} k_1 \ v \ bl \ tl \ tr) | tri< a \neg b \neg c with delete k \ tl \mid bl
... | 0+ tl' | = 0+ \text{ (node } k_1 \ v - tl' \ tr )
... | 0+ tl' | - = 1 + (\text{node } k_1 \ v \times tl' \ tr)
... \mid 0+tl' \mid \times = \mathsf{rot}^l \ k_1 \ v \ tl' \ tr
... \mid 1+tl' \mid \_ = 1+ \text{ (node } k_1 \ v \ bl \ tl' \ tr)
delete \{lb\} k_1 (node \{rh = \text{zero}\} k_1 v bl tl (leaf k_1 < ub)) | tri\approx \neg a refl \neg c with bl | tl
```

```
... | - | leaf lb < k_1 = 0 + (leaf ( < trans {lb} lb < k_1 k_1 < ub))
  delete k_1 (node \{rh = \mathsf{suc}\ rh\}\ k_1\ v\ bl\ tl\ (\mathsf{node}\ k\ v_1\ bl_1\ tr\ tr_1))\ |\ \mathsf{tri} \approx \neg a\ \mathsf{refl}\ \neg c\ \mathsf{with}\ bl\ |\ \mathsf{uncons'}\ k\ v_1\ bl_1\ 
   ... | \times | uncons k' v' l < u (0 + tr') = rot^r k' v' (widen l < u tl) tr'
       | \times | uncons k' v' l < u (1 + tr') = 1 + (\text{node } k' v' \times (\text{widen } l < u \ tl) \ tr')
         - uncons k' v' l < u (0 + tr') = 1 + (\text{node } k' v' \times (\text{widen } l < u \ tl) \ tr')
  ... | \cdot | uncons k' v' l < u (1 + tr') = 1 + (\text{node } k' v' \cdot | (widen l < u tl) tr')
         \Rightarrow | uncons k' v' l < u (0 + tr') = 0 + (\text{node } k' v' = (\text{widen } l < u \ tl) \ tr')
         \downarrow uncons k' v' l < u (1 + tr') = 1 + (\text{node } k' v' \searrow (\text{widen } l < u \ tl) \ tr')
  delete k (node \{rh = \text{zero}\}\ k_1\ v\ bl\ tl\ tr) | tri> \neg a\ \neg b\ c = 1+ (node k_1\ v\ bl\ tl\ tr)
  delete k (node \{rh = \text{suc } rh\}\ k_1\ v\ bl\ tl\ tr) \mid \text{tri} > \neg a\ \neg b\ c\ \text{with} delete k tr \mid bl
  ... \mid 0+ tr' \mid \checkmark = rot^r k_1 v tl tr'
  ... | 0+ tr' | = 1+ (\text{node } k_1 \ v \land tl \ tr')
  ... | 0+ tr' | = 0+ (\text{node } k_1 \ v - tl \ tr')
  ... |1+tr'|_{-}=1+ (node k_1 \ v \ bl \ tl \ tr')
module DependantMap where
  data Map \{v\} (V: Key \rightarrow Set \ v): Set \ (k \sqcup v \sqcup r) where
     tree : \forall \{h\} \rightarrow \mathsf{Bounded}.\mathsf{Tree}\ V \perp \top h \rightarrow \mathsf{Map}\ V
  insertWith: \forall \{v\} \{V : Key \rightarrow Set v\} (k : Key)
                   \rightarrow V k
                   \rightarrow (V k \rightarrow V k \rightarrow V k)
                   \rightarrow Map V
                   \rightarrow Map V
  insertWith k \ v \ f (tree tr) =
     tree (proj_2 (Bounded.insert k \ v \ f \ tr (lift tt , lift tt)))
  insert : \forall \{v\} \{V : Key \rightarrow Set v\} (k : Key) \rightarrow V k \rightarrow Map V \rightarrow Map V
  insert k v = \text{insertWith } k v \text{ const}
  lookup: (k: Key) \rightarrow \forall \{v\} \{V: Key \rightarrow Set v\} \rightarrow Map V \rightarrow Maybe (V k)
  lookup k (tree tr) = Bounded.lookup k tr
module Map where
  data Map \{v\} (V : \mathsf{Set}\ v) : \mathsf{Set}\ (k \sqcup v \sqcup r) where
     tree : \forall \{h\} \rightarrow \mathsf{Bounded}.\mathsf{Tree} \; (\mathsf{const} \; V) \perp \top \; h \rightarrow \mathsf{Map} \; V
  insertWith: \forall \{v\} \{V : \mathsf{Set}\ v\}\ (k : Key) \to V \to (V \to V \to V) \to \mathsf{Map}\ V \to \mathsf{Map}\ V
  insertWith k \ v \ f (tree tr) =
     tree (proj<sub>2</sub> (Bounded.insert k \ v \ f \ tr (lift tt , lift tt)))
  insert : \forall \{v\} \{V : \mathsf{Set}\ v\} (k : Key) \to V \to \mathsf{Map}\ V \to \mathsf{Map}\ V
  insert k v = \text{insertWith } k v \text{ const}
  lookup: (k: Key) \rightarrow \forall \{v\} \{V: Set v\} \rightarrow Map V \rightarrow Maybe V
  lookup k (tree tr) = Bounded.lookup k tr
```

```
\begin{array}{l} \text{module Sets where} \\ \text{data } \langle \mathsf{Set} \rangle : \mathsf{Set} \ (k \sqcup r) \ \mathsf{where} \\ \text{tree} : \ \forall \ \{h\} \to \mathsf{Bounded}.\mathsf{Tree} \ (\mathsf{const} \ 1) \perp \top \ h \to \langle \mathsf{Set} \rangle \\ \text{insert} : \ Key \to \langle \mathsf{Set} \rangle \to \langle \mathsf{Set} \rangle \\ \text{insert} \ k \ (\mathsf{tree} \ tr) = \\ \text{tree} \ (\mathsf{proj}_2 \ (\mathsf{Bounded}.\mathsf{insert} \ k \ \mathsf{tt} \ \mathsf{const} \ tr \ (\mathsf{lift} \ \mathsf{tt} \ , \ \mathsf{lift} \ \mathsf{tt}))) \\ \text{member} : \ Key \to \langle \mathsf{Set} \rangle \to \mathsf{Bool} \\ \text{member} \ k \ (\mathsf{tree} \ tr) = \ \mathsf{is-just} \ (\mathsf{Bounded}.\mathsf{lookup} \ k \ tr) \\ \end{array}
```