# AVL Trees

## D Oisín Kidney

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#### Abstract

This is a verified implementation of AVL trees in Agda, taking ideas primarily from Conor McBride's paper "How to Keep Your Neighbours in Order" [2] and the Agda standard library [1].

# Contents

1	Introduction	2
2	Bounded	2
3	Balance	3
4	The Tree Type	3
5	Rotations 5.1 Right Rotation	4 5 5
6	Insertion	6
7	Lookup	7
8	Deletion	7
	8.1 Uncons	8
	8.2 Widening and Transitivity	8
	8.3 Joining	9
	8.4 Full Deletion	9
9	Alteration	10
10	Packaging	12
	10.1 Dependent Map	12
	10.2 Non-Dependent (Simple) Map	13
	10.3 Set	14

### 1 Introduction

First, some imports.

```
{-# OPTIONS --without-K #-}

open import Relation.Binary
open import Relation.Binary.PropositionalEquality
open import Level using (Lift; lift; _□_; lower)
open import Data.Nat as N using (N; suc; zero; pred)
open import Data.Product
open import Data.Unit
open import Data.Maybe
open import Function
open import Data.Bool
open import Data.Empty
```

Next, we declare a module: the entirety of the following code is parameterized over the key type, and a strict total order on that key.

### 2 Bounded

The basic idea of the verified implementation is to store in each leaf a proof that the upper and lower bounds of the trees to its left and right are ordered appropriately.

Accordingly, the tree type itself will have to have the upper and lower bounds in its indices. But what are the upper and lower bounds of a tree with no neighbours? To describe this case, we add lower and upper bounds to our key type.

```
module Bounded where infix 5 [_]

data [•]: Set k where [][]: [•]
[_]: (k: Key) \rightarrow [\bullet]
```

This type itself admits an ordering relation.

```
infix 4 _{[<]}_{\_}
[<] : [\bullet] \rightarrow [\bullet] \rightarrow \operatorname{Set} r
```

Finally, we can describe a value as being "in bounds" like so.

```
infix 4 _<_<_
<_< <_: [\bullet] \rightarrow Key \rightarrow [\bullet] \rightarrow Set \ r
l < x < u = l [<] [x] \times [x] [<] u
```

### 3 Balance

To describe the balance of the tree, we use the following type:

The tree can be either left- or right-heavy (by one), or even. The indices of the type are phrased as a proof:

$$max(x,y) = z (1)$$

The height of a tree is the maximum height of its two subtrees, plus one. Storing a proof of the maximum in this way will prove useful later.

We will also need some combinators for balance:

```
\begin{array}{l} \div: \ \forall \ \{x\ y\ z\} \to \langle \ x \sqcup y \ \rangle \equiv z \to \langle \ z \sqcup x \ \rangle \equiv z \\ \div \ \swarrow = \div \\ \div \ \div = \div \\ \div \ \searrow = \checkmark \\ \\ \div: \ \forall \ \{x\ y\ z\} \to \langle \ x \sqcup y \ \rangle \equiv z \to \langle \ y \sqcup z \ \rangle \equiv z \\ \div \ \swarrow = \times \\ \div \ \swarrow = \div \\ \div \ \swarrow = \div \\ \div \ \searrow = \div \end{array}
```

# 4 The Tree Type

The type itself is indexed by the lower and upper bounds, some value to store with the keys, and a height. In using the balance type defined earlier, we ensure that the children of a node cannot differ in height by more than 1. The bounds proofs also ensure that the tree must be ordered correctly.

```
data Tree \{v\}
(V: Key \rightarrow \mathsf{Set}\ v)
(l\ u: [\bullet]): \mathbb{N} \rightarrow
\mathsf{Set}\ (k \sqcup v \sqcup r) \ \mathsf{where}
\mathsf{leaf}\ : (l < u: l [<]\ u) \rightarrow \mathsf{Tree}\ V\ l\ u\ 0
\mathsf{node}: \ \forall\ \{h\ lh\ rh\}
(k: Key)
(v: V\ k)
(bl: \langle\ lh\ \sqcup\ rh\ \rangle \equiv h)
(lk: \mathsf{Tree}\ V\ l\ [\ k\ ]\ lh)
(ku: \mathsf{Tree}\ V\ l\ k\ ]\ u\ rh) \rightarrow
\mathsf{Tree}\ V\ l\ u\ (\mathsf{suc}\ h)
```

### 5 Rotations

AVL trees are rebalanced by rotations: if, after an insert or deletion, the balance invariant has been violated, one of these rotations is performed as correction.

Before we implement the rotations, we need a way to describe a tree which may have increased in height. We can do this with a *descriptive* type:

```
\begin{array}{l} \_1?+\langle\_\rangle: \ \forall \ \{\ell\} \ (T:\mathbb{N} \to \operatorname{Set} \ \ell) \to \mathbb{N} \to \operatorname{Set} \ \ell \\ T \ 1?+\langle \ n \ \rangle = \ \exists [ \ inc? \ ] \ T \ (\text{if} \ inc? \ \text{then suc} \ n \ \text{else} \ n) \\ \\ \operatorname{pattern} \ 0+\_ \ tr = \ \operatorname{false} \ , \ tr \\ \operatorname{pattern} \ 1+\_ \ tr = \ \operatorname{true} \ , \ tr \end{array}
```

Later, we will also need to describe a tree which may have decreased in height. For this, we will use a *prescriptive* type (in other words, where the previous type was parameterized, this one will be indexed).

```
\begin{array}{l} \mathsf{data} \ \_\langle \_ \rangle ? - 1 \ \{\ell\} \ (T : \mathbb{N} \to \mathsf{Set} \ \ell) : \mathbb{N} \to \mathsf{Set} \ \ell \ \mathsf{where} \\ \ \_ - 0 : \ \forall \ \{n\} \to T \ n \to T \ \langle \ n \ \rangle ? - 1 \\ \ \_ - 1 : \ \forall \ \{n\} \to T \ n \to T \ \langle \ \mathsf{suc} \ n \ \rangle ? - 1 \end{array}
```

Whereas the previous construction would tell you the height of a tree after pattern matching on it, this definition will *refine* any information you already have about the height of the tree.

In certain circumstances, you can convert between the two:

```
\begin{array}{c} 1?+\langle\_\rangle \Rightarrow ?-1: \ \forall \ \{n \ \ell\} \ \{T: \mathbb{N} \rightarrow \mathsf{Set} \ \ell\} \\ \rightarrow T \ 1?+\langle \ n \ \rangle \\ \rightarrow T \ \langle \ \mathsf{suc} \ n \ \rangle ?-1 \\ 1?+\langle \ 0+x \ \rangle \Rightarrow ?-1 = x -1 \\ 1?+\langle \ 1+x \ \rangle \Rightarrow ?-1 = x -0 \end{array}
```

### 5.1 Right Rotation

When the left subtree becomes too heavy, we rotate the tree to the right.

```
 \begin{aligned} \operatorname{rot}^r &: \forall \; \{\mathit{lb} \; \mathit{ub} \; \mathit{rh} \; v\} \; \{\mathit{V} : \; \mathit{Key} \to \mathsf{Set} \; v\} \\ &\to (\mathit{k} : \; \mathit{Key}) \\ &\to \mathit{V} \; \mathit{k} \\ &\to \mathsf{Tree} \; \mathit{V} \; \mathit{lb} \; [\; \mathit{k} \; ] \; (\mathsf{suc} \; (\mathsf{suc} \; \mathit{rh})) \\ &\to \mathsf{Tree} \; \mathit{V} \; [\; \mathit{k} \; ] \; \mathit{ub} \; \mathit{rh} \\ &\to \mathsf{Tree} \; \mathit{V} \; \mathit{lb} \; \mathit{ub} \; 1? + \langle \; \mathsf{suc} \; (\mathsf{suc} \; \mathit{rh}) \; \rangle \end{aligned}
```

This rotation comes in two varieties: single and double. Single rotation can be seen in figure 1.

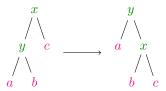


Figure 1: Single right-rotation

And double rotation in figure 2.

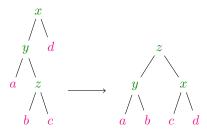


Figure 2: Double right-rotation

### 5.2 Left Rotation

Left-rotation is essentially the inverse of right.

```
 \begin{split} \operatorname{rot}^l : & \forall \; \{\mathit{lb} \; \mathit{ub} \; \mathit{lh} \; v\} \; \{\mathit{V} : \mathit{Key} \to \mathsf{Set} \; v\} \\ & \to (\mathit{k} : \; \mathit{Key}) \\ & \to \mathit{V} \; \mathit{k} \\ & \to \mathsf{Tree} \; \mathit{V} \; \mathit{lb} \; [\; \mathit{k} \; ] \; \mathit{lh} \\ & \to \mathsf{Tree} \; \mathit{V} \; [\; \mathit{k} \; ] \; \mathit{ub} \; (\mathsf{suc} \; (\mathsf{suc} \; \mathit{lh})) \\ & \to \mathsf{Tree} \; \mathit{V} \; \mathit{lb} \; \mathit{ub} \; \mathsf{1?+} \langle \; \mathsf{suc} \; (\mathsf{suc} \; \mathit{lh}) \; \rangle \\ \end{aligned}
```

Single (seen in figure 3).

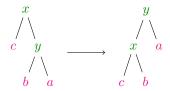


Figure 3: Single left-rotation

```
 \begin{array}{l} \operatorname{rot}^l \; x \; xv \; c \; (\operatorname{node} \; y \; yv \; \succ \; b \; a) = \\ 0 + \; (\operatorname{node} \; y \; yv \; \vdash \; (\operatorname{node} \; x \; xv \; \vdash \; c \; b) \; a) \\ \operatorname{rot}^l \; x \; xv \; c \; (\operatorname{node} \; y \; yv \; \vdash \; b \; a) = \\ 1 + \; (\operatorname{node} \; y \; yv \; \succ \; (\operatorname{node} \; x \; xv \; \succ \; c \; b) \; a) \end{array}
```

and double (figure 4):

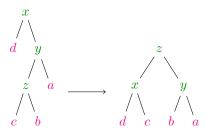


Figure 4: Double left-rotation

```
        \text{rot}^l \ x \ xv \ d \ (\text{node} \ y \ yv \ \land \ (\text{node} \ z \ zv \ bl \ c \ b) \ a) = \\
        0 + \ (\text{node} \ z \ zv \ \neg \ (\text{node} \ x \ xv \ ( \ \dot{} \ bl) \ d \ c) \ (\text{node} \ y \ yv \ ( \ \dot{} \ bl) \ b \ a))
```

### 6 Insertion

After the rotations, insertion is relatively easy. We allow the caller to supply a combining function.

$$\begin{array}{l} \mathsf{insert} : \ \forall \ \{l \ u \ h \ v\} \ \{V : Key \rightarrow \mathsf{Set} \ v\} \ (k : Key) \\ \rightarrow \ V \ k \end{array}$$

```
\rightarrow (V k \rightarrow V k \rightarrow V k)
          \rightarrow Tree V l u h
          \rightarrow l < k < u
          \rightarrow Tree V l u 1?+\langle h \rangle
insert v \ vc \ f \ (\text{leaf} \ l < u) \ (l \ , \ u) = 1 + \ (\text{node} \ v \ vc \ - \ (\text{leaf} \ l) \ (\text{leaf} \ u))
insert v \ vc \ f (node k \ kc \ bl \ tl \ tr) prf with compare v \ k
insert v \ vc \ f \ (node \ k \ kc \ bl \ tl \ tr) \ (l \ , )
    \mid tri< a \_ \_ with insert v vc f tl (l , a)
... \mid 0+tl'=0+ (node k \ kc \ bl \ tl' \ tr)
\dots \mid 1+t l' \text{ with } bl
\dots \mid \times = \mathsf{rot}^r \ k \ kc \ tl' \ tr
... | \cdot = 1 + \text{ (node } k \ kc \times t l' \ tr)
... | \times = 0 + (\text{node } k \ kc + tl' \ tr)
insert v vc f (node k kc bl tl tr)
    | tri \approx refl = 0 + (node k (f vc kc) bl tl tr)
insert v \ vc \ f \ (\text{node} \ kc \ bl \ tl \ tr) \ (\_, \ u)
| tri> \underline{\phantom{a}} c with insert v vc f tr (c, u) ... | 0+ tr' = 0+ (node k kc bl tl tr')
\dots \mid 1 + tr' \text{ with } bl
... | \times = 0 + (\text{node } k kc + tl tr')
... | \cdot = 1 + (\text{node } k \ kc > tl \ tr')
\dots \mid \times = \mathsf{rot}^l \ k \ kc \ tl \ tr'
```

# 7 Lookup

Lookup is also very simple. No invariants are needed here.

```
\begin{array}{c} \mathsf{lookup} : (k:Key) \\ & \to \forall \; \{l \; u \; s \; v\} \; \{V:Key \to \mathsf{Set} \; v\} \\ & \to \mathsf{Tree} \; V \; l \; u \; s \\ & \to \mathsf{Maybe} \; (V \; k) \\ \mathsf{lookup} \; k \; (\mathsf{leaf} \; l{<}u) = \mathsf{nothing} \\ \mathsf{lookup} \; k \; (\mathsf{node} \; v \; vc \; \_ \; tl \; tr) \; \mathsf{with} \; \mathsf{compare} \; k \; v \\ \dots \; | \; \mathsf{tri}{<} \; \_ \; \_ \; = \; \mathsf{lookup} \; k \; tl \\ \dots \; | \; \mathsf{tri}{\approx} \; \_ \; \mathsf{refl} \; \_ \; = \; \mathsf{just} \; vc \\ \dots \; | \; \mathsf{tri}{>} \; \_ \; \_ \; = \; \mathsf{lookup} \; k \; tr \\ \end{array}
```

### 8 Deletion

Deletion is by far the most complex operation out of the three provided here. For deletion from a normal BST, you go to the node where the desired value is, perform an "uncons" operation on the right subtree, use that as your root node, and merge the two remaining children.

### 8.1 Uncons

First then, we need to define "uncons". We'll use a custom type as the return type from our uncons function, which stores the minimum element from the tree, and the rest of the tree:

```
record Cons \{v\} (V: Key \rightarrow \mathsf{Set}\ v) (lb\ ub: [ullet]) (h: \mathbb{N}): \mathsf{Set}\ (k \sqcup v \sqcup r) where constructor cons field head: Key val: V \mapsto \mathsf{Nead} V \mapsto \mathsf{Nead}
```

You'll notice it also stores a proof that the extracted element preserves the lower bound.

```
uncons: \forall \{lb \ ub \ h \ lh \ rh \ v\} \{V : Key \rightarrow \mathsf{Set} \ v\}
            \rightarrow (k: Key)
            \rightarrow V k
            \rightarrow \langle lh \sqcup rh \rangle \equiv h
            \rightarrow Tree V lb [k] lh
            \rightarrow Tree V [k] ub rh
            \rightarrow Cons V lb ub h
uncons k \ v \ b (leaf l < u) tr = \cos k \ v \ l < u (case b of
   \lambda \{ \times \rightarrow 0+ tr
     ; \rightarrow 0+ tr \})
uncons k \ v \ b (node k_l \ v_l \ b_l \ tl_l \ tr_l) tr with uncons k_l \ v_l \ b_l \ tl_l \ tr_l
... \mid cons \ k' \ v' \ l < u \ tail = cons \ k' \ v' \ l < u \ (case \ tail \ of
    \lambda \{ (1+tl') \rightarrow 1+ (\text{node } k \ v \ b \ tl' \ tr) \}
        ; (0+tl') \rightarrow case b of
              \lambda \{ \times \rightarrow 0 + \text{ node } k \ v + t l' \ tr
                  ; \rightarrow 1+ node k v \times t l' t r
                  ; \rightarrow \operatorname{rot}^{l} k v t l' tr \} \})
```

### 8.2 Widening and Transitivity

To join the two subtrees together after a deletion operation, we need to weaken (or ext) the bounds of the left tree. This is an  $\mathcal{O}(\log n)$  operation. For the exting, we'll need some properties on orderings:

```
\begin{array}{ll} \times \not = |\cdot| \cdot \forall \ \{x\} \rightarrow x \ [<] \ |\cdot| \rightarrow \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{Lift } r \perp \\ \times \not = |\cdot| \cdot \text{
```

Finally, the ext function itself simply walks down the right branch of the tree until it hits a leaf.

```
\begin{array}{l} \texttt{ext}: \ \forall \ \{lb \ ub \ ub' \ h \ v\} \ \{V : Key \rightarrow \mathsf{Set} \ v\} \\ \qquad \rightarrow \ ub \ [<] \ ub' \\ \qquad \rightarrow \ \mathsf{Tree} \ V \ lb \ ub \ h \\ \qquad \rightarrow \ \mathsf{Tree} \ V \ lb \ ub' \ h \\ \\ \texttt{ext} \ \{lb\} \ ub < ub' \ (\mathsf{leaf} \ l < u) = \mathsf{leaf} \ ([<]\mathsf{-trans} \ lb \ l < u \ ub < ub') \\ \\ \texttt{ext} \ ub < ub' \ (\mathsf{node} \ k \ v \ bl \ tl \ tr) = \mathsf{node} \ k \ v \ bl \ tl \ (\mathsf{ext} \ ub < ub' \ tr) \end{array}
```

### 8.3 Joining

Once we have the two subtrees that will form the children of our replaced node, we need to join them together, adjusting the types accordingly.

```
join: \forall {lb\ ub\ lh\ rh\ h\ v\ k} {V:\ Key \to \operatorname{Set}\ v}

\to \operatorname{Tree}\ V\ [\ k\ ]\ ub\ rh

\to \langle\ lh\ \sqcup\ rh\ \rangle \equiv h

\to \operatorname{Tree}\ V\ lb\ [\ k\ ]\ lh

\to \operatorname{Tree}\ V\ lb\ ub\ 1?+\langle\ h\ \rangle

join (leaf k<ub) \checkmark\ tl=0+ ext k<ub\ tl

join {lb} (leaf k<ub) \to\ (leaf\ lb<k)=

0+ leaf ([<]-trans lb\ lb<k\ k<ub)

join (node k_r\ v_r\ b_r\ tl_r\ tr_r) b\ tl\ with\ uncons\ k_r\ v_r\ b_r\ tl_r\ tr_r

... | cons k'\ v'\ l<u\ (1+tr')=1+ node k'\ v'\ b\ (ext\ l<u\ tl)\ tr'

... | \checkmark=\operatorname{rot}^r\ k'\ v'\ (ext\ l<u\ tl)\ tr'

... | \to=1+ node k'\ v'\ \to\ (ext\ l<u\ tl)\ tr'

... | \times=0+ node k'\ v'\ -\ (ext\ l<u\ tl)\ tr'
```

#### 8.4 Full Deletion

The deletion function is by no means simple, but it does maintain the correct complexity bounds.

```
delete : \forall \{lb \ ub \ h \ v\} \{V : Key \rightarrow \mathsf{Set} \ v\} \rightarrow (k : Key)
```

```
\rightarrow Tree V\ lb\ ub\ h
            \rightarrow Tree V lb ub \langle h \rangle?-1
\mathsf{delete}\ x\ (\mathsf{leaf}\ l{<}u) = \mathsf{leaf}\ l{<}u\ {-}0
delete x (node y yv b l r) with compare x y
delete x (node .x \ yv \ b \ l \ r) | tri\approx refl = 1?+\langle join r \ b \ l \rangle \Rightarrow?-1
\mathsf{delete}\ x\ (\mathsf{node}\ y\ yv\ b\ l\ r)\ |\ \mathsf{tri}<\ a\ \_\ \_\ \mathsf{with}\ \mathsf{delete}\ x\ l
... \mid l' - 0 = \text{node } y \ yv \ b \ l' \ r - 0
\dots \mid l' - 1 \text{ with } b
... | \times | = \text{node } y \ yv + | l \ r - 1
... | \cdot | = \text{node } y \ yv \times l' \ r - 0
... | \times = 1? + \langle \operatorname{rot}^{l} y y v l' r \rangle \Rightarrow ? - 1
delete x (node y yv b l r) | tri>  _{-}  _{-}  c with delete x r
... \mid r' - 0 = \text{node } y \ yv \ b \ l \ r' - 0
\dots \mid r' - 1 \text{ with } b
... | \times = 1? + \langle \operatorname{rot}^r y yv l r' \rangle \Rightarrow ? -1
... | \cdot = \text{node } y \ yv \times l \ r' - 0
... | \rangle = \text{node } y \ yv + l \ r' - 1
```

### 9 Alteration

This is a combination of insertion and deletion: it lets the user supply a function to modify, insert, or remove an element, depending on the element already in the tree.

As it can both increase and decrease the size of the tree, we need a wrapper to represent that:

```
\begin{array}{l} \operatorname{data} \  \  \, \big\langle \  \  \big\rangle \pm 1 \  \, \big\{\ell\big\} \  \, \big(T: \mathbb{N} \to \operatorname{Set} \, \ell\big) : \, \mathbb{N} \to \operatorname{Set} \, \ell \text{ where} \\ 1+\big\langle \  \  \big\rangle : \, \forall \  \, \big\{n\big\} \to T \  \, \big(\operatorname{suc} \, n\big) \to T \  \, \big(n\big) \pm 1 \\ \big\langle \  \  \big\rangle : \, \forall \  \, \big\{n\big\} \to T \  \, n \qquad \to T \  \, \big(n\big) \pm 1 \\ \big\langle \  \  \big\rangle - 1 : \, \forall \  \, \big\{n\big\} \to T \  \, n \qquad \to T \  \, \big(\operatorname{suc} \, n\big) \pm 1 \\ 1?+\big\langle \  \  \big\rangle \Rightarrow -1 : \, \forall \  \, \big\{n\,\ell\big\} \  \, \big\{T: \, \mathbb{N} \to \operatorname{Set} \, \ell\big\} \\ \to T \  \, 1?+\big\langle \  \  n\big\rangle \\ \to T \  \, \big(\operatorname{suc} \, n\big) \pm 1 \\ 1?+\big\langle \  \  0+x\big\rangle \Rightarrow -1 = \big\langle \  x\big\rangle -1 \\ 1?+\big\langle \  \  1+x\big\rangle \Rightarrow -1 = \big\langle \  x\big\rangle \\ 1?+\big\langle \  \  \big\rangle \to T \  \, \big(n\big) \pm 1 \\ 1?+\big\langle \  \  0+x\big\rangle \Rightarrow +1 = \big\langle \  x\big\rangle \\ 1?+\big\langle \  \  1+x\big\rangle \Rightarrow +1 = \big\langle \  x\big\rangle \\ 1?+\big\langle \  \  1+x\big\rangle \Rightarrow +1 = 1+\big\langle \  x\big\rangle \end{array}
```

And then the function itself. It's long, but you should be able to see the deletion and insertion components.

```
alter: \forall \{lb \ ub \ h \ v\} \{V : Key \rightarrow \mathsf{Set} \ v\} \rightarrow (k : Key)
```

```
\rightarrow (Maybe (V k) \rightarrow Maybe (V k))
                    \rightarrow Tree V lb ub h
                    \rightarrow lb < k < ub
                    \rightarrow Tree V lb ub \langle h \rangle \pm 1
           alter x f (leaf l < u) (l, u) with f nothing
           ... | just xv = 1+\langle \text{ node } x \ xv + (\text{leaf } l) \ (\text{leaf } u) \rangle
           ... | nothing = \langle leaf l < u \rangle
           alter x f (node y yv b tl tr) (l, u)
              with compare x y
           alter x f (node .x yv b tl tr) (l, u)
                | tri \approx  refl _ with f (just yv)
           ... | just \overline{xv} = \langle \text{node } x \ xv \ b \ tl \ tr \rangle
           ... | nothing = 1?+\langle join \ tr \ b \ tl \rangle \Rightarrow -1
           alter x f (node y yv b tl tr) (l, u)
                \mid tri< a \_ \_ with alter x f tl (l, a) \mid b
           \dots \ | \ \langle \ t l' \ \rangle \qquad | \ \_ \ = \ \langle \ \mathsf{node} \ y \ yv \ b \quad t l' \ tr \ \rangle
           ... |1+\langle tl' \rangle| \times = 1?+\langle rot^r y yv tl' tr \rangle \Rightarrow +1
           ... |1+\langle tl' \rangle|_{\dot{\tau}} = 1+\langle \text{ node } y \ yv \times tl' \ tr \rangle
           ... |1+\langle tl'\rangle| = \langle \text{ node } y \ yv + tl' \ tr \rangle
           ... |\langle tl' \rangle - 1 | \rangle = \langle \text{ node } y \ yv + tl' \ tr \rangle - 1
           ... |\langle tl' \rangle - 1 | = \langle \text{ node } y \ yv \times tl' \ tr \rangle
           ... |\langle tl' \rangle - 1 | \times = 1? + \langle rot^l y yv tl' tr \rangle \Rightarrow -1
           alter x f (node y yv b tl tr) (l, u)
               \mid \text{tri} \rangle = c \text{ with alter } x f tr (c, u) \mid b
          \dots \mid \langle tr' \rangle \quad \mid \_ = \langle \text{ node } y \text{ } yv \text{ } b \text{ } tl \text{ } tr' \rangle
           ... |1+\langle tr' \rangle| \times = \langle \text{ node } y \ yv + tl \ tr' \rangle
           ... |1+\langle tr' \rangle|_{-} = 1+\langle \text{ node } y \ yv \times tl \ tr' \rangle
           ... |1+\langle tr' \rangle| \times = 1?+\langle rot^l y yv tl tr' \rangle \Rightarrow +1
           ... |\langle tr' \rangle - 1 | \varkappa = 1? + \langle rot^r y yv tl tr' \rangle \Rightarrow -1
           ... |\langle tr' \rangle - 1| = \langle \text{ node } y \ yv \times tl \ tr' \rangle
           ... |\langle tr' \rangle - 1| \rangle = \langle \text{ node } y \ yv + tl \ tr' \rangle - 1
We can also write alterF, in the lens style.
           open import Category. Functor using (RawFunctor)
           MaybeVal : \forall \{v\} (V : \mathsf{Set}\ v) \to \mathsf{Set}\ (k \sqcup r \sqcup v)
           MaybeVal V = \text{Lift } (k \sqcup r) \text{ (Maybe } V)
           \mathsf{alterF} : \forall \{ \mathit{lb} \ \mathit{ub} \ \mathit{h} \ \mathit{v} \} \{ \mathit{V} : \mathit{Key} \to \mathsf{Set} \ \mathit{v} \}
                      \rightarrow (x : Key)
                      \rightarrow \forall \{F : \mathsf{Set}\ (k \sqcup r \sqcup v) \rightarrow \mathsf{Set}\ (k \sqcup r \sqcup v)\}
                               \{\{functor : RawFunctor F\}\}
                      \rightarrow (Maybe (Vx) \rightarrow F (MaybeVal (Vx)))
                      \rightarrow Tree V lb ub h
                      \rightarrow lb < x < ub
                      \rightarrow F (\text{Tree } V \text{ lb } ub \langle h \rangle \pm 1)
           \mathsf{alterF}\ \{lb\}\ \{ub\}\ \{h\}\ \{\_\}\ \{\mathit{V}\}\ x\ \{\mathit{F}\}\ \{\{\mathit{functor}\}\}\ f\ root\ bnds
               = go root bnds id
```

```
where
   <&>_{\_}: \ \forall \ \{A\ B\} \rightarrow F\ A \rightarrow (A \rightarrow B) \rightarrow F\ B
xs < \& > f = RawFunctor. _ < \$ > _ functor f xs
go : \forall \{lb' \ ub' \ h'\}
        \rightarrow Tree V lb' ub' h'
        \rightarrow lb' < x < ub'
        \rightarrow (Tree V \ lb' \ ub' \ \langle \ h' \ \rangle \pm 1 \rightarrow Tree V \ lb \ ub \ \langle \ h \ \rangle \pm 1)
        \rightarrow F \text{ (Tree } V \text{ lb } ub \langle h \rangle \pm 1)
go (leaf l < u) (l, u) k = f nothing < \& >
   \lambda \{ (lift nothing) \rightarrow \langle root \rangle
        ; (lift (just xv)) \rightarrow k \ 1+\langle \text{ node } x \ xv - (\text{leaf } l) \ (\text{leaf } u) \rangle \}
go (node y \ yv \ b \ tl \ tr) (l , u) k with compare x \ y
go (node .x\ yv\ b\ tl\ tr) (l, u) k | tripprox refl \_=f (just yv) <\&>
   \lambda  { (lift nothing) \rightarrow k 1?+\langle join \ tr \ b \ tl \rangle \Rightarrow -1
        ; (lift (just xv)) \rightarrow k \langle \text{node } x \ xv \ b \ tl \ tr \rangle}
go (node y \ yv \ b \ tl \ tr) (l, u) k | tri< a = go tl \ (l, a) (k \circ
   \lambda \{ \langle tl' \rangle \rightarrow \langle \text{ node } y \ yv \ b \ tl' \ tr \rangle \}
       ; 1+\langle tl' \rangle \rightarrow case b of
           \lambda \{ \times \rightarrow 1? + \langle \operatorname{rot}^r y yv tl' tr \rangle \Rightarrow +1
                  ; \rightarrow 1+\langle \text{ node } y \ yv \times tl' \ tr \rangle
                  ; \rightarrow \langle \text{ node } y \ yv - tl' \ tr \rangle \}
       ; \langle tl' \rangle - 1 \rightarrow \mathsf{case}\ b\ \mathsf{of}
           \lambda \{ \times \rightarrow \langle \text{ node } y \ yv + tl' \ tr \rangle - 1 \}
                  ; \cdot \rightarrow \langle \text{ node } y \ yv \times tl' \ tr \rangle
                  ; \times \to 1?+\langle \operatorname{rot}^{l} y yv tl' tr \rangle \Longrightarrow -1 \} \})
go (node y\ yv\ b\ tl\ tr) ( l , u) k | tri> _ _ c = go tr ( c , u) ( k \circ
   \lambda \ \{ \ \langle \ tr' \ \rangle \rightarrow \langle \ \mathsf{node} \ y \ yv \ b \ tl \ tr' \ \rangle
       ; 1+\langle tr' \rangle \rightarrow case b of
           \lambda \{ \times \rightarrow \langle \text{ node } y \ yv + tl \ tr' \rangle 
                  ; -\rightarrow 1+\langle \text{ node } y \ yv \times tl \ tr' \rangle
                  ; \times \to 1?+\langle \operatorname{rot}^l y yv tl tr' \rangle \Longrightarrow +1 \}
       ; \langle tr' \rangle - 1 \rightarrow \mathsf{case}\ b\ \mathsf{of}
           \lambda \{ \times \rightarrow 1? + \langle \operatorname{rot}^r y yv tl tr' \rangle \Rightarrow -1
                  ; \rightarrow \langle \text{ node } y \ yv \times tl \ tr' \rangle
                  ; \rightarrow \langle \text{ node } y \ yv + tl \ tr' \rangle - 1 \} \})
```

# 10 Packaging

Users don't need to be exposed to the indices on the full tree type: here, we package it in thee forms.

#### 10.1 Dependent Map

```
module DependentMap where data Map \{v\} (V: Key \rightarrow Set \ v): Set (k \sqcup v \sqcup r) where
```

```
tree : \forall \{h\}
           \rightarrow Bounded. Tree V Bounded. Bounded. Bounded.
           \rightarrow \; \mathsf{Map} \; \; V
insertWith : \forall \{v\} \{V : Key \rightarrow \mathsf{Set}\ v\} (k : Key)
                 \rightarrow V k
                 \rightarrow (V k \rightarrow V k \rightarrow V k)
                \rightarrow Map V
                 \rightarrow Map V
insertWith k \ v \ f (tree tr) =
   tree (proj_2 (Bounded.insert k \ v \ f \ tr (lift tt , lift tt)))
insert : \forall \{v\}
                \{\,V:\, Key\,\to\, \mathsf{Set}\ v\}
                 (k: Key) \rightarrow
                 V k \rightarrow
                 Map V \rightarrow
                 \mathsf{Map}\ V
insert k v = \text{insertWith } k v \text{ const}
lookup: (k: Key)
           \rightarrow \ \forall \ \{v\} \ \{V: Key \rightarrow \mathsf{Set} \ v\}
           \rightarrow Map V
           \rightarrow Maybe (V k)
lookup \ k \ (tree \ tr) = Bounded.lookup \ k \ tr
delete : (k : Key)
           \rightarrow \forall \{v\} \{V : Key \rightarrow \mathsf{Set}\ v\}

ightarrow Map V

ightarrow Map V
delete k (tree tr) with Bounded.delete k tr
... | tr' Bounded.-0 = tree tr'
... | tr' Bounded.-1 = tree tr'
alter: (k: Key)
        \rightarrow \forall \{v\} \{V : Key \rightarrow \mathsf{Set}\ v\}
        \rightarrow (Maybe (V k) \rightarrow Maybe (V k))
        \rightarrow Map V
        \rightarrow Map V
alter k f (tree tr) with Bounded.alter k f tr (lift tt , lift tt)
... | Bounded.1+\langle tr' \rangle = tree tr'
... | Bounded.\langle tr' \rangle = tree tr'
... | Bounded.\langle tr' \rangle - 1 = \text{tree } tr'
```

### 10.2 Non-Dependent (Simple) Map

```
module Map where data Map \{v\} (V: Set v): Set (k \sqcup v \sqcup r) where
```

```
tree : \forall \{h\}
          \rightarrow Bounded. Tree (const V) Bounded. Bounded.
\begin{array}{ll} \mathsf{insertWith} : \ \forall \ \{v\} \ \{V : \mathsf{Set} \ v\} \ (k : \ Key) \\ \rightarrow \ V \end{array}
                 \rightarrow (V \rightarrow V \rightarrow V)
                 \rightarrow Map V
                 \rightarrow Map V
insertWith k v f (tree tr) =
   tree (proj_2 (Bounded.insert k \ v \ f \ tr (lift tt , lift tt)))
empty: \forall \{v\} \{V : \mathsf{Set}\ v\} \to \mathsf{Map}\ V
empty = tree (Bounded.leaf (lift tt))
insert : \forall \{v\} \{V : \mathsf{Set}\ v\}\ (k : Key) \to V \to \mathsf{Map}\ V \to \mathsf{Map}\ V
insert k v = \text{insertWith } k v \text{ const}
\mathsf{lookup}: (k: Key) \to \forall \{v\} \{V: \mathsf{Set}\ v\} \to \mathsf{Map}\ V \to \mathsf{Maybe}\ V
lookup \ k \ (tree \ tr) = Bounded.lookup \ k \ tr
\mathsf{delete} \,:\, \big(k:\, Key\big) \,\to\, \forall \,\, \big\{v\big\} \,\, \big\{\, V:\, \mathsf{Set}\,\, v\big\} \,\to\, \mathsf{Map}\,\,\, V \to\, \mathsf{Map}\,\,\, V
delete k (tree tr) with Bounded.delete k tr
... | tr' Bounded.-0 = tree tr'
... | tr' Bounded.-1 = tree tr'
alter: (k: Key)
        \rightarrow \forall \{v\} \{V : \mathsf{Set}\ v\}
        \rightarrow (Maybe V \rightarrow Maybe V)
        \rightarrow Map V

ightarrow Map V
alter k f (tree tr) with Bounded.alter k f tr (lift tt , lift tt)
... | Bounded.1+\langle tr' \rangle = tree tr'
... | Bounded.\langle tr' \rangle = tree tr'
... | Bounded.\langle tr' \rangle - 1 = tree tr'
```

#### 10.3 Set

Note that we can't call the type itself "Set", as that's a reserved word in Agda.

```
tree (proj<sub>2</sub> (Bounded.insert k tt const tr (lift tt , lift tt))) member : Key \to \langle \mathsf{Set} \rangle \to \mathsf{Bool} member k (tree tr) = is-just (Bounded.lookup k tr) delete : (k: Key) \to \langle \mathsf{Set} \rangle \to \langle \mathsf{Set} \rangle delete k (tree tr) with Bounded.delete k tr ... | tr' Bounded.-0 = tree tr' ... | tr' Bounded.-1 = tree tr'
```

### References

- [1] N. A. Danielsson, "The Agda standard library." [Online]. Available: https://agda.github.io/agda-stdlib/README.html
- [2] C. T. McBride, "How toKeep Neighbours Your Order," Proceedingsininofthe19thACMSIG-PLAN International Conference on Functional Programming, ser. ICFP '14. ACM, pp. 297–309. [Online]. Available: https://personal.cis.strath.ac.uk/conor.mcbride/pub/Pivotal.pdf