

# Chapter 5

## Sequences, Mathematical Induction, and Recursion

Ojas Chaturvedi

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## 5.1: Sequences

### Notes

- Sequence: a function whose domain is either all the integers between two given integers, or all the integers greater than or equal to a given integer.

– Know subscript/index, initial and final term, infinite sequence, general/explicit formula

- Summation Notation:

$$\sum_{k=m}^n a_k = a_m + a_{m+1} + a_{m+2} + \cdots + a_n$$

where  $k$  is the index,  $m$  is the lower limit, and  $n$  is the upper limit.

- When the upper limit of a summation is a variable, an ellipsis is used to write the summation in expanded form. Expand the summation notation to first 3 or so, then put ellipsis and then variable form.

- Product Notation:

$$\prod_{k=m}^n a_k = a_m \cdot a_{m+1} \cdot a_{m+2} \cdots a_n$$

- Properties of Summations and Products (aka Theorem 5.1.1)

$$\sum_{k=m}^n a_k + \sum_{k=m}^n b_k = \sum_{k=m}^n (a_k + b_k) \quad (1)$$

$$c \cdot \sum_{k=m}^n a_k = \sum_{k=m}^n (c \cdot a_k) \quad (2)$$

$$\left( \prod_{k=m}^n a_k \right) \cdot \left( \prod_{k=m}^n b_k \right) = \prod_{k=m}^n (a_k \cdot b_k) \quad (3)$$

- When replacing a new variable into a summation or product, make sure to change the index variable to the new variable and the numbers by putting them into the equation of the new variable.
- Factorial: the quantity  $n!$  is defined to be the product of all the integers from 1 to  $n$ :

$$n! = n \cdot (n-1) \cdots 3 \cdot 2 \cdot 1$$

and

$$0! = 1$$

Recursive definition:

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot (n-1)! & \text{if } n > 0 \end{cases}$$

- $n$  choose  $r$ : the number of subsets (therefore an integer) of size  $r$  that can be chosen from a set of  $n$  elements.

$$\binom{n}{r} = \frac{n!}{r!(n-r)!}$$

for all integers  $n$  and  $r$  with  $0 \leq r \leq n$ .

## 5.2: Mathematical Induction I

### Notes

- Principles of Mathematical Induction: Let  $P(n)$  be a property that is defined for integers  $n$ , and let  $a$  be a fixed integer. Suppose the following two statements are true:
  1. Basis Step: Show that  $P(a)$  is true.
  2. Inductive Step: For all integers  $k \geq a$ , if  $P(k)$  is true, then  $P(k + 1)$  is true.
    - To perform this step:
      - (a) Suppose that  $P(k)$  is true for an arbitrary integer  $k \geq a$ , which is called the inductive hypothesis.
      - (b) Show that  $P(k + 1)$  is true.
    - Remember that you need to prove each side of the equation separately. Otherwise, the proof is invalid.
  3. Conclusion: Then  $P(n)$  is true for all integers  $n \geq a$ .
- Steps of Proof by Mathematical Induction:
  1. State the theorem to be proved.
    - Let the property  $P(n)$  be the equation: problem goes here
  2. Prove the basis step.
    - Show that  $P(a)$  is true.
  3. State the inductive hypothesis.
    - Show that for all integers  $k \geq 1$ , if  $P(k)$  is true then  $P(k + 1)$  is also true:
  4. Prove the inductive step.
  5. State the conclusion.
    - Therefore the equation  $P(k + 1)$  is true *[as was to be shown]*. *[Since we have proved both the basis step and the inductive step, the conclusion follows by the principle of mathematical induction. Therefore the equation  $P(n)$  is true for all integers  $n \geq 1$ .]*

- Sum of the first  $n$  integers is

$$1 + 2 + 3 + \cdots + n = \frac{n(n+1)}{2}$$

- Geometric sum of the first  $n$  integers is

$$\sum_{i=0}^n r^i = \frac{r^{n+1} - 1}{r - 1}$$

## 5.3: Mathematical Induction II

### Different types of problems

**Problem Type: Divisibility Property.** For all integers  $n \geq 0$ ,  $2^{2^n} - 1$  is divisible by 3.

**Proof.** Let the property  $P(n)$  be the sentence:

$$2^{2^n} - 1 \text{ is divisible by } 3$$

First, we must prove that  $P(0)$  is true (basis step).

$$2^{2 \cdot 0} - 1 \text{ is divisible by } 3$$

$$\begin{aligned} 2^{2(0)} - 1 &= 2^0 - 1 \\ &= 1 - 1 \\ &= 0 \\ &= 3 \cdot 0 \end{aligned}$$

Thus,  $P(0)$  is true.

Now, suppose that  $P(k)$  is true for some integer  $k \geq 0$  (inductive hypothesis). That is,

$$2^{2^k} - 1 \text{ is divisible by } 3$$

By the definition of divisibility, for some integer  $r$ ,

$$2^{2^k} - 1 = 3r$$

We must show that  $P(k+1)$  is true (inductive step). That is,

$$2^{2^{(k+1)}} - 1 \text{ is divisible by } 3$$

The left-hand side of  $P(k+1)$  is:

$$\begin{aligned} 2^{2^{(k+1)}} - 1 &= 2^{2^{k+2}} - 1 \\ &= 2^{2^k} \cdot 2^2 - 1 \\ &\quad \text{by the product rule for exponents} \\ &= 4 \cdot 2^{2^k} - 1 \\ &= 3 \cdot 2^{2^k} + 2^{2^k} - 1 \\ &= 3 \cdot 2^{2^k} + 3r \\ &\quad \text{by substituting the inductive hypothesis} \\ &= 3(2^{2^k} + r) \end{aligned}$$

$2^{2^k} + r$  is an integer since it is the sum of products of integers, so  $2^{2^{(k+1)}} - 1$  can be written as  $6m$  for some integer  $m = (2^{2^k} + r)$ .

By the definition of divisibility,  $2^{2^{(k+1)}} - 1$  is divisible by 3, and thus,  $P(k+1)$  is true.

Since we have proved both the basis step and the inductive step, we conclude that the statement is true using mathematical induction.  $\square$

**Problem Type: Inequality.** For all integers  $n \geq 3$ ,  $2n + 1 < 2^n$ .

**Proof.** Let the property  $P(n)$  be the inequality:

$$2n + 1 < 2^n$$

First, we must prove that  $P(3)$  is true (basis step).

$$\begin{aligned} 2(3) + 1 &< 2^3 \\ 7 &< 8 \end{aligned}$$

Thus,  $P(3)$  is true.

Now, suppose that  $P(k)$  is true for some integer  $k \geq 3$  (inductive hypothesis). That is,

$$2k + 1 < 2^k$$

We must show that  $P(k + 1)$  is true (inductive step). That is,

$$\begin{aligned} 2(k + 1) + 1 &< 2^{k+1} \\ 2k + 3 &< 2^{k+1} \end{aligned}$$

The left-hand side of  $P(k + 1)$  is:

$$\begin{aligned} 2k + 3 &= 2k + 1 + 2 \\ &< 2^k + 2 && \text{by substitution of the inductive hypothesis} \\ &< 2^k + 2^k && \text{because } 2 < 2^k \text{ for all integers } k \geq 2 \\ &< 2 \cdot 2^k \\ &< 2^{k+1} && \text{by the product rule for exponents} \end{aligned}$$

Thus, the left-hand side of  $P(k + 1)$  is less than the right-hand side of  $P(k + 1)$ , and  $P(k + 1)$  is true. Since we have proved both the basis step and the inductive step, we conclude that the statement is true using mathematical induction.  $\square$

**Problem Type: Property of a Sequence.** Define a sequence  $a_1, a_2, a_3, \dots$  as follows:

$$\begin{aligned} a_1 &= 2 \\ a_k &= 5a_{k-1} && \text{for all integers } k \geq 2 \end{aligned}$$

- Write the first four terms of the sequence.
- It is claimed that for each integer  $n \geq 0$ , the  $n$ th term of the sequence has the same value as that given by the formula  $2 \cdot 5^{n-1}$ . In other words, the claim is that the terms of the sequence satisfy the equation  $a_n = 2 \cdot 5^{n-1}$ . Prove that this is true.

**Proof.**

a.  $a_1 = 2$

$$a_2 = 5a_{2-1} = 5a_1 = 5 \cdot 2 = 10$$

$$a_3 = 5a_{3-1} = 5a_2 = 5 \cdot 10 = 50$$

$$a_4 = 5a_{4-1} = 5a_3 = 5 \cdot 50 = 250$$

- b. Let  $a_1, a_2, a_3, \dots$  be the sequence defined by specifying that  $a_1 = 2$  and  $a_k = 5a_{k-1}$  for all integers  $k \geq 2$ . Let the property  $P(n)$  be the equation:

$$a_n = 2 \cdot 5^{n-1}$$

First, we must prove that  $P(1)$  is true (basis step).

$$a_1 = 2 \cdot 5^{1-1}$$

The left-hand side of  $P(1)$  is

$$a_1 = 2$$

by the definition of  $a_1, a_2, a_3, \dots$

The right-hand side of  $P(1)$  is

$$\begin{aligned} 2 \cdot 5^{1-1} &= 2 \cdot 5^0 \\ &= 2 \cdot 1 \\ &= 2 \end{aligned}$$

Thus, the left-hand side of  $P(1)$  is equal to the right-hand side of  $P(1)$ , and  $P(1)$  is true. Now, suppose that  $P(k)$  is true for some integer  $k \geq 1$  (inductive hypothesis). That is,

$$a_k = 2 \cdot 5^{k-1}$$

We must show that  $P(k+1)$  is true (inductive step). That is,

$$\begin{aligned} a_{k+1} &= 2 \cdot 5^{(k+1)-1} \\ a_{k+1} &= 2 \cdot 5^k \end{aligned}$$

The left-hand side of  $P(k+1)$  is:

$$\begin{aligned} a_{k+1} &= 5a_k \\ &= 5(2 \cdot 5^{k-1}) \\ &= 2 \cdot 5^k \end{aligned}$$

Thus, the left-hand side of  $P(k+1)$  is equal to the right-hand side of  $P(k+1)$ , and  $P(k+1)$  is true.

Since we have proved both the basis step and the inductive step, we conclude that the statement is true using mathematical induction.

□

## Template for Mathematical Induction

Let the property  $P(n)$  be the equation/sentence/inequality:

{problem goes here}

First, we must prove that  $P(\{\text{smallest possible number goes here}\})$  is true (basis step).

Show left-hand side = right-hand side of the equation

Thus,  $P(\{\text{smallest possible number goes here}\})$  is true.

Now, suppose that  $P(k)$  is true for some integer  $k \geq \{\text{smallest possible number goes here}\}$  (inductive hypothesis). That is,

{problem with k substituted goes here}

We must show that  $P(k+1)$  is true (inductive step). That is,

{problem with (k+1) substituted goes here}

The left-hand side of  $P(k+1)$  is:

{work with reasoning goes here}

The right-hand side of  $P(k+1)$  is:

{work with reasoning goes here}

Thus, the left-hand side of  $P(k+1)$  is equal to the right-hand side of  $P(k+1)$ , and  $P(k+1)$  is true.

Since we have proved both the basis step and the inductive step, we conclude that the statement is true using mathematical induction.