

YANG Model and NETCONF Protocol for Control and Management of Elastic Optical Networks

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Introduction

- Relevant advances in the data and control plane
 - data plane:
 - ✓ flexible transponders → configurable/adaptable rate, FEC, format, slice-ability ...
 - ✓ support of monitoring through Digital Signal Processing (pre-FEC BER, Q factor, etc.)
 - control plane:
 - ✓ Software Defined Networking → to remotely set network devices, programming transmission characteristics (such as bit rate) and switching
- Management?
 - innovations have not followed these trends yet [a]:
 - ▶ issues related to the presence of network devices from different vendors
 - ▶ lack of standard solutions (e.g., for operation administration and maintainance OAM)
- NETCONF based on YANG model is emerging as a standard SDN protocol providing both control (e.g., data plane device configuration) and management (e.g., access to monitoring information) functionalities

In this paper:

- we present and demonstrate a YANG model describing flexible transponders supporting monitoring functionalities
- experimental demonstration: connection setup and OAM through NETCONF and YANG

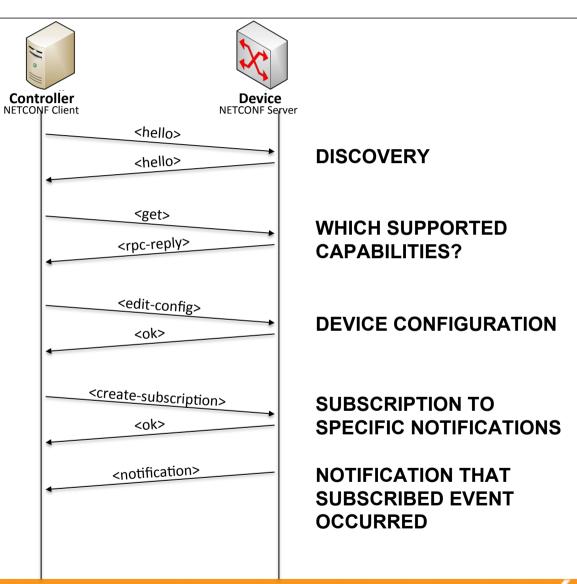


NETCONF and YANG

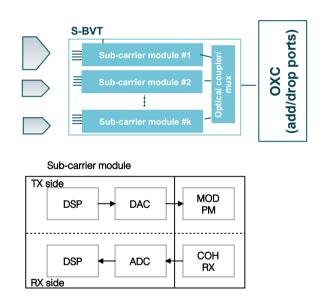
- NETCONF: Standard protocol defined by the IETF [b]
- Provides mechanisms to install, manipulate, and delete states of network devices
 → it enables device configuration
- YANG is recommended to model and describe network devices into NETCONF messages [c]
 - ongoing work on YANG model for transponders, e.g. [d]
- NETCONF is indicated for management because it includes NOTIFICATION messages that can implement ALARMS upon monitoring



NETCONF messages

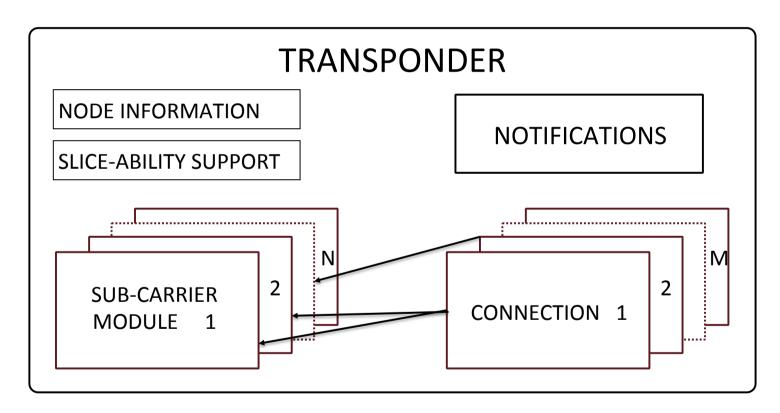


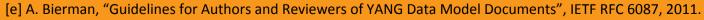
Reference sliceable transponder (S-BVT)



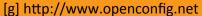
TRANSPONDER YANG SCHEME

Following YANG standardization guidelines IETF [e,f] and OpenConfig working group [g], we propose:





[[]f] R. Shakir, "Consistent Modeling of Operational State Data in YANG draft-openconfig-netmod-opstate-01", IETF Draft, 2015.





YANG CONFIG AND STATE DATA

Configuration data

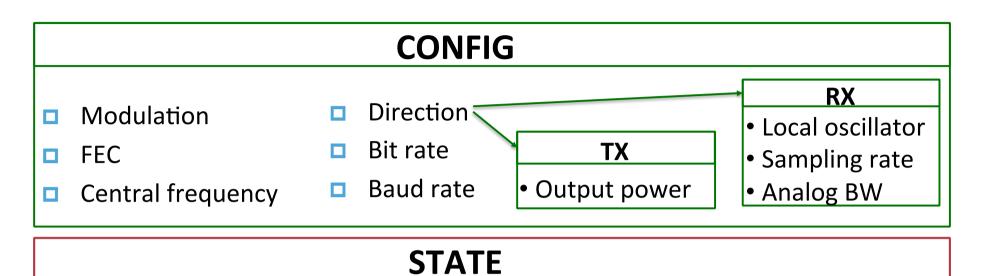
- Writable (NETCONF <edit-config>)
- Explicitly set by an external entity

State data

- Read only (NETCONF <get>)
- Parameters that cannot be set by an external entity
- List of parameters supported by the device



SUB-CARRIER MODULE



- Direction
- Supported Modulation Supported Bit rate
- Supported FEC
 Supported Baud rate

RX

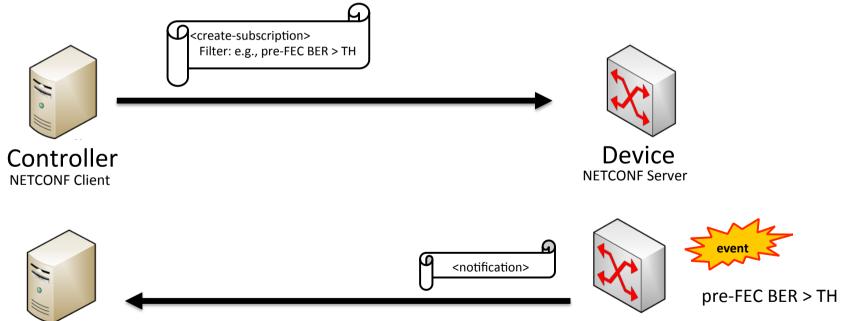
Monitored parameters:

- Input power
- Pre-FEC BER
- *PMD*
- <u>Q-Factor</u>
- <u>CD</u>
- <u>OSNR ...</u>

NOTIFICATION

PRE-FEC BER CHANGE

- Sub-carrier ID
- pre-FEC BER above a threshold





Experimental demonstration

- The Controller based on PYTHON NETCONF client
- The device runs ConfD, a NETCONF server implementation made by Tail-f
- A 100 Gb/s connection request has been considered:
 - a baudrate of 28 Gbaud PM-QPSK supports 100 Gb/s net rate and 7% FEC
 - 31 Gbaud around 20% FEC
- The controller subscribes to the transponder Notification stream specifying, through a filter, that it is interested on pre-FEC BER exceeding 9 × 10⁻⁴ threshold.

No	Time	Source	Destination	Protocol Length	Info	
1	0	192.168.56.103				[SYN] Seg=0 Win=29200 Len=0 MSS=1460 SACK_PERM=1 TSVa]=253933 TSecr=0 WS=128
2	0.000373	192.168.56.102	192.168.56.103			[SYN. ACK] Sed=0 Ack=1 Win=28960 Len=0 MSS=1460 SACK PERM=1 TSVal=203711958 TSecr=253933 WS=128
3		192.168.56.103				[ACK] Seg=1 Ack=1 Win=29312 Len=0 TSval=253933 TSecr=203711958
4		192.168.56.103				[PSH. ACK] Seg=1 Ack=1 Win=29312 Len=40 TSval=253933 TSecr=203711958
5	0.000691	192.168.56.102	192.168.56.103	TCP 66		[ACK] Seg=1 Ack=41 Win=29056 Len=0 TSval=203711958 TSecr=253933
6	0.000704	192.168.56.103	192.168.56.102	TCP 337		[PSH. ACK] Seg=41 Ack=1 Win=29312 Len=271 TSva]=253933 TSecr=203711958
7	0.000925	192.168.56.102	192.168.56.103	TCP 66	2023 > 53106	[ACK] Seq=1 ACk=312 Win=30080 Len=0 TSval=203711958 TSecr=253933
8	0.010643	192.168.56.102	192.168.56.103	TCP 2818	2023 > 53106	[PSH, ACK] Seq=1 Ack=312 win=30080 Len=2752 TSval=203711960 TSecr=253933
9	0.01074	192.168.56.103	192.168.56.102	TCP 66	53106 > 2023	[ACK] Seq=312 Ack=2753 Win=34816 Len=0 TSval=253936 TSecr=203711960
10	0.013463	192.168.56.103	192.168.56.102	TCP 216	53106 > 2023	[PSH, ACK] Seq=312 Ack=2753 Win=34816 Len=150 TSvaT=253937 TSecr=203711960
11	0.013594	192.168.56.103	192.168.56.102	TCP 1514	53106 > 2023	[ACK] Seq=462 Ack=2753 Win=34816 Len=1448 TSval=253937 TSecr=203711960
12	0.013909	192.168.56.102	192.168.56.103	TCP 66	2023 > 53106	[ACK] Seq=2753 Ack=1910 Win=34048 Len=0 TSval=203711961 TSecr=253937
13	0.013941	192.168.56.103	192.168.56.102	TCP 290	53106 > 2023	[PSH, ACK] Seq=1910 Ack=2753 Win=34816 Len=224 TSval=253937 TSecr=203711961
14	0.052621	192.168.56.102	192.168.56.103	TCP 66	2023 > 53106	[ACK] Seq=2753 Ack=2134 Win=36992 Len=0 TSval=203711971 TSecr=253937
15	0.05847	192.168.56.102	192.168.56.103	TCP 206	2023 > 53106	[PSH, ACK] Seq=2753 Ack=2134 Win=36992 Len=140 TSval=203711972 TSecr=253937
16	0.059472	192.168.56.103	192.168.56.102	TCP 219	53106 > 2023	[PSH, ACK] Seq=2134 Ack=2893 Win=37632 Len=153 TSval=253948 TSecr=203711972
17	0.05978	192.168.56.102	192.168.56.103	TCP 66	2023 > 53106	[ACK] Seq=2893 Ack=2287 Win=39808 Len=0 TSval=203711972 TSecr=253948
18	0.059795	192.168.56.103	192.168.56.102	TCP 70	53106 > 2023	[PSH, ACK] Seq=2287 Ack=2893 Win=37632 Len=4 TSval=253948 TSecr=203711972
19	0.059936	192.168.56.102	192.168.56.103	TCP 66	2023 > 53106	[ACK] Seq=2893 Ack=2291 Win=39808 Len=0 TSval=203711972 TSecr=253948
20	0.060521	192.168.56.102	192.168.56.103	TCP 206	2023 > 53106	[PSH, ACK] Seq=2893 Ack=2291 Win=39808 Len=140 TSval=203711973 TSecr=253948
21	0.060628	192.168.56.102	192.168.56.103			[FIN, ACK] Seq=3033 Ack=2291 Win=39808 Len=0 TSval=203711973 TSecr=253948
		192.168.56.103				[FIN, ACK] Seq=2291 Ack=3034 Win=40576 Len=0 TSval=253948 TSecr=203711973
		192.168.56.102				[ACK] Seq=3034 Ack=2292 win=39808 Len=0 TSval=203711973 TSecr=253948
24		192.168.56.103				[SYN] Seq=0 Win=29200 Len=0 MSS=1460 SACK_PERM=1 TSVal=263527 TSecr=0 WS=128
25		192.168.56.102				[SYN, ACK] Seq=0 Ack=1 Win=28960 Len=0 MSS=1460 SACK_PERM=1 TSval=203721553 TSecr=263527 WS=128
26		192.168.56.103				[ACK] Seq=1 Ack=1 Win=29312 Len=0 TSval=263527 TSecr=203721553
27		192.168.56.103				[PSH, ACK] Seq=1 Ack=1 win=29312 Len=40 TSval=263527 TSecr=203721553
28		192.168.56.102				[ACK] Seq=1 Ack=41 win=29056 Len=0 TSval=203721553 TSecr=263527
29		192.168.56.102				[PSH, ACK] Seq=1 Ack=41 win=29056 Len=2752 TSval=203721553 TSecr=263527
		192.168.56.103				[ACK] Seq=41 Ack=2753 win=34816 Len=0 TSval=263528 TSecr=203721553
		192.168.56.103				[PSH, ACK] Seq=41 Ack=2753 Win=34816 Len=601 TSval=263529 TSecr=203721553
		192.168.56.102				[PSH, ACK] Seq=2753 Ack=642 Win=30208 Len=136 TSval=203721557 TSecr=263529
		192.168.56.103				[ACK] Seq=642 Ack=2889 Win=37632 Len=0 TSval=263541 TSecr=203721557 [PSH_ACK] Seq=2889 Ack=642 Win=30208 Len=350 TSval=203729495 TSecr=263541
		192.168.56.102				[151] ACK
35	70.141327	192.168.56.103	192.168.56.102	TCP 66	53107 > 2023	[ACK] Seq=642 Ack=3239 Win=40576 Len=0 TSval=271468 TSecr=203729495

Edt-config: connection setup

```
<?xml version="1.0" encoding="UTF-8"?>
                <rpc xmlns="urn:ietf:params:xml:ns:netconf:base:1.0"</pre>
                     message-id="1">
<edit-config xmlns:nc='urn:ietf:params:xml:ns:netconf:base:1.0'>
<target><running/></target><config>
<transponder xmlns="http://sssup.it/transponder"</pre>
            xmlns:nc="urn:ietf:params:xml:ns:netconf:base:1.0">
   <subcarrier-module>
       <subcarrier-id>2</subcarrier-id>
        <config>
             direction>TV</direction
           <bit-rate>112</pit-rate>
            <baud-rate>28</baud-rate>
            <modulation xmlns:mf="http://sssup.it/modulation-formats">
                mf:pm-qpsk
            <fec-in-use>
                <name xmlns:fec="http://sssup.it/fec-types">
                    fec:ldpc
                </name>
                    <message-length>14</message-length>
                    <blook-length>15</block-length>
            </fec-in-use>
           <central-frequency>193100</central-frequency>
           <bandwidth>33.6</pandwidth>
           <transmitter>
                <output-power>0</output-power>
        </config>
   </subcarrier-module>
    <connections>
       <connection nc:operation="create">
            <connection-id>2</connection-id>
            <config>
                <connection-id>2</connection-id>
                <transmission-scheme>NWDM</transmission-scheme>
                <subcarrier>
                    <subcarrier-id>2</subcarrier-id>
                </subcarrier>
                <frequency-slot>
                    <n>0</n>
                    <m>3</m>
                </frequency-slot>
            </config>
        </connection>
   </connections>
</transponder>
</config></edit-config>
                                                                 b)
</rpc>
```



Subscription to pre-FEC BER increase



Notification of BER increase



Conclusions

- This paper presented a YANG model for transponders with monitoring capabilities, sliceability, and variable:
 - rate
 - baudrate
 - FEC
 - format
- Experiments have shown connection set up and management
 - OAM: the mechanism of Notification messages can be easily exploited to implement alarms



ACK: The work has been supported by the ORCHESTRA project.



```
module transponder {
namespace "http://sssup.it/transponder";
prefix tran;
 import modulation-formats {
   prefix mdfrms;
 import fec-types {
   prefix fec;
 import ietf-yang-types {
   prefix yang;
 organization
  "Scuola Superiore Sant'Anna Network and Services Laboratory";
 description
  "This module contains a YANG definitions for configuring Optical Transponder.";
 revision 2015-09-15 {
   description "Initial Revision.";
   reference "TBD";
 typedef transmission-type {
   description "The transmission method";
   type enumeration {
     enum NWDM;
     enum O-OFDM;
     enum TFP;//Time-frequency packing
```



```
typedef direction-type {
  description "Indicates the direction";
  type enumeration {
    enum TX;
    enum RX;
typedef bit-rate-type {
  type decimal64 {
   fraction-digits 3;
    range "0..max";
  units "Gb/s";
typedef baud-rate-type {
  type decimal64 {
    fraction-digits 3;
    range "0..max";
  units "Gbaud";
typedef modulation-type {
  type identityref {
    base mdfrms:modulation-format;
typedef fec-type {
  type identityref {
    base fec:fec-type;
```



```
typedef frequency-ghz-type {
  type decimal64 {
    fraction-digits 8;
    range "0..max";
  units "GHz";
grouping fec-config {
  description "Configuration data for forward error correction";
  container fec-in-use {
    description "FEC in use";
    presence "Enables FEC";
    leaf name {
      type fec-type;
    container rate {
      description
      "The code rate is given by message-length/block-length";
      leaf message-length {
        type int16 {
          range "1..max";
      leaf block-length {
        type int16 {
          range "1..max";
      must "block-length >= message-length" {
          error-message "block-length must be greater or equal to message-length";
    }//container rate
```



```
grouping fec-state {
  description "Operational state data for forward error correction";
  container supported-fec {
    description "List of supported FEC schemes";
    leaf-list fec {
      type fec-type;
  }//supported
}//grouping fec-state
grouping transmitter-config {
  description "Configuration data for the transmitter";
  leaf output-power {
    description "launch power at the transmitter";
    type int16;
    units "dBm";
}//grouping transmitter-config
grouping transmitter-state {
  description "Operational state data for the transmitter";
grouping receiver-config {
  description "Configuration data for the receiver";
  leaf local-oscillator {
    type frequency-ghz-type;
  leaf sampling-rate {
    description "Minimum hardware requirements in terms of sampling rate";
    type uint32;
    units "GS/s";
```



```
leaf analog-bw {
    description "Minimum hardware requirements in terms of analog bandwidth";
    type frequency-ghz-type;
}//grouping receiver-config
grouping receiver-state {
  description "Operational state data for the receiver";
  leaf input-power {
    description "per-channel received optical power at the receiver";
    type int16;
    units "dBm";
  leaf pre-fec-ber {
    description
    "Pre-FEC Bit Error Rate.";
    type decimal64 {
     fraction-digits 18;
      range "0..max";
  leaf sample-variance {
    type decimal64 {
      fraction-digits 18;
      range "0..max";
    reference
      "http://ieeexplore.ieee.org/xpl/articleDetails.jsp?arnumber=7035536";
```



```
leaf pmd {
    description
    "Polarization Mode Dispersion.";
    type decimal64 {
      fraction-digits 8;
      range "0..max";
    units "ps/(km)^0.5";
  leaf cd {
    description
    "Chromatic Dispersion.";
    type decimal64 {
      fraction-digits 5;
    units "ps/nm/km";
  leaf q-factor{
    type decimal64 {
      fraction-digits 5;
    units "dB";
}//grouping receiver-state
grouping subcarrier-module-config {
  description "Configuration data for the optical subcarrier-module";
  leaf direction {
    description "Defines whether the subcarrier is received or transmitted";
    type direction-type;
  leaf bit-rate {
    description "The bit-rate in use";
    type bit-rate-type;
```

```
leaf baud-rate {
    description "The baud-rate in use";
    type baud-rate-type;
  leaf modulation {
    description "Modulation format in use";
    type modulation-type;
  uses fec-config;
  leaf central-frequency {
    description
      "The central frequency of the subcarrier.";
    type frequency-ghz-type;
  leaf bandwidth {
    description
      "The bandwidth occupied.";
    type frequency-ghz-type;
}//subcarrier-module-config
grouping subcarrier-module-state {
  description "Operational state data for the optical subcarrier-module";
  container supported-bit-rates{
    description "List of supported bit-rates";
    leaf-list bit-rate {
      description "the bit rate value";
      type bit-rate-type;
  container supported-baud-rates {
    description "List of supported baud-rates";
    leaf-list baud-rate {
      description "the baud rate value";
      type baud-rate-type;
```



```
container supported-modulations {
    description "List of supported modulation formats";
    leaf-list modulation {
      description "Name of the supported modulation";
      type modulation-type;
    }
  uses fec-state;
}//subcarrier-module-state
grouping subcarrier-module {
  description "Top-level grouping for optical subcarrier-module";
  container config {
    description
      "Configuration data for subcarrier-module";
    uses subcarrier-module-config;
    container transmitter {
      when "../direction = 'TX'";
      uses transmitter-config;
    container receiver {
      when "../direction = 'RX'";
      uses receiver-config;
  container state {
    config false;
    description
      "Operational state data for subcarrier-module";
    uses subcarrier-module-config;
    uses subcarrier-module-state;
    container transmitter {
      when "../direction = 'TX'";
      uses transmitter-config;
      uses transmitter-state;
```



```
container receiver {
      when "../direction = 'RX'";
      uses receiver-config;
      uses receiver-state;
}//subcarrier-module
grouping connection-config {
  description "Configuration data for a connection";
  leaf connection-id{
    type uint32;
  leaf transmission-scheme {
    description "The scheme adopted for the transmission";
    type transmission-type;
  list subcarrier {
    description "List of ids of the involved subcarriers";
    key "subcarrier-id";
    leaf subcarrier-id {
      type leafref {
        path "/tran:transponder/tran:subcarrier-module/tran:subcarrier-id";
    }
  container frequency-slot {
    description
      "The frequency range allocated to a slot
      within the flexible grid and unavailable to other slots. A
      frequency slot is defined by its nominal central frequency and its
      slot width.";
    reference "draft-ietf-ccamp-flexi-grid-fwk-07";
```



```
leaf nominal-central-frequency-granularity {
      description
        "It is the spacing between allowed nominal central frequencies.";
      type frequency-ghz-type;
      default 6.25;
   }//leaf nominal-central-frequency-granularity
   leaf slot-width-granularity {
      description "It is the minimum slot width.";
      type frequency-ghz-type;
      default 12.5;
   }//leaf slot-width-granularity
   leaf n {
      description
        "n gives the nominal central frequency (ncf) using the following formula:
        ncf = 193.1THz + n x nominal-central-frequency-granularity[THz].";
      type int16;
      mandatory true;
   }//leaf n
   leaf m {
      description
        "m gives the slot width. A slot width is constrained to be
        m x slot-width-granularity";
      type int16 {
        range "1..max";
      mandatory true;
   }//leaf m
  }//container frequency-slot
  leaf source-address {
   description "The IP address of the source node";
   Type inet:ip-address;
  }//leaf source-address
  leaf destination-address {
   description "The IP address of the destination node";
   Type inet:ip-address;
 }//leaf source-address
}//grouping connection-config
```



```
grouping connection-state {
  description "Operational state data for a connection";
}//grouping connection-state
grouping connections {
  description "List of all connections served by the transponder";
  list connection {
    key "connection-id";
    leaf connection-id {
      description "references the configured connection-id";
      type leafref {
        path "../config/connection-id";
    container config {
      description "Configuration parameters for connection";
      uses connection-config;
    container state {
      config false;
      description "State variables for connection";
      uses connection-config;
      uses connection-state;
  }//list connection
}//grouping connections
```



```
//----- MAIN TREE -----//
container transponder {
    list subcarrier-module {
      description
        "List of all the subcarrier modules installed in the transponder";
      key "subcarrier-id";
      leaf subcarrier-id {
        type uint32;
      uses subcarrier-module;
    leaf slice-ability-support {
      when "count(../subcarrier-module) > 1";
      type boolean;
      config false;
      description "Determines if the transponder is slice-able.";
    leaf node-id {
      description "ID of the node where the transponder is installed";
      type uint16;
    leaf add-drop-id {
      description "Add/drop ID inside the node";
      type uint16;
    container connections {
      uses connections;
}
```



```
//----NOTIFICATIONS -----//
 notification pre-fec-ber-change {
   leaf subcarrier-module-id {
     description
        "An existing subcarrier-module in the list";
     type leafref {
       path "/tran:transponder/tran:subcarrier-module/tran:subcarrier-id";
     mandatory true;
   leaf pre-fec-ber {
     type leafref {
       path "/transponder/subcarrier-module[subcarrier-id=current()/../subcarrier-module-id]/state/receiver/pre-fec-ber";
     mandatory true;
 notification pmd-change {
   leaf subcarrier-module-id {
     description
       "An existing subcarrier-module in the list";
     type leafref {
       path "/tran:transponder/tran:subcarrier-module/tran:subcarrier-id";
     mandatory true;
   leaf pmd {
     type leafref {
       path "/transponder/subcarrier-module[subcarrier-id=current()/../subcarrier-module-id]/state/receiver/pmd";
     mandatory true;
}//module transponder
```



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CONNECTION

CONFIG Connection ID Transmission scheme List of Sub-carrier IDs Sub-carrier ID n m

STATE □ Connection ID □ Transmission scheme List of Sub-carrier IDs □ Sub-carrier ID □ n □ m



CONNECTION Example

```
<connection>
  <connection-id>1</connection-id>
  <config>
      <connection-id>1</connection-id>
      <transmission-scheme>
       NWDM
      </transmission-scheme>
      <subcarrier>
          <subcarrier-id>1</subcarrier-id>
          <subcarrier-id>2</subcarrier-id>
      </subcarrier>
      <frequency-slot>
          <n>0</n>
          <m>6</m>
      </frequency-slot>
  </config>
```

