Throughput Calculation for LTE TDD, FDD Systems and WiFi System

Jay Chang

FDD & TDD

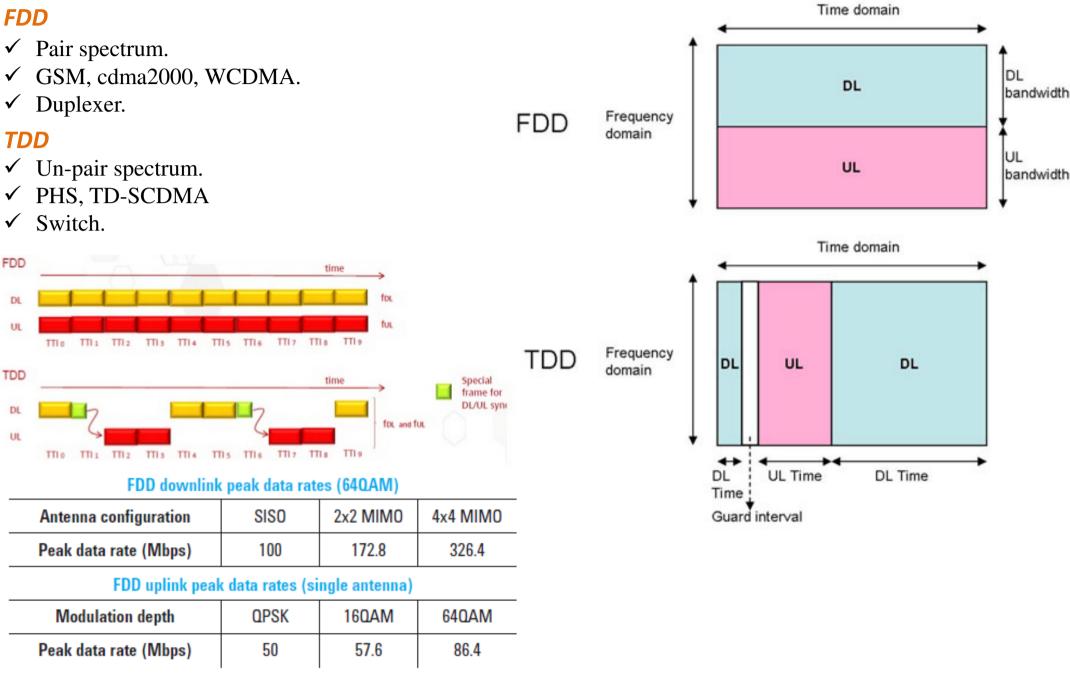
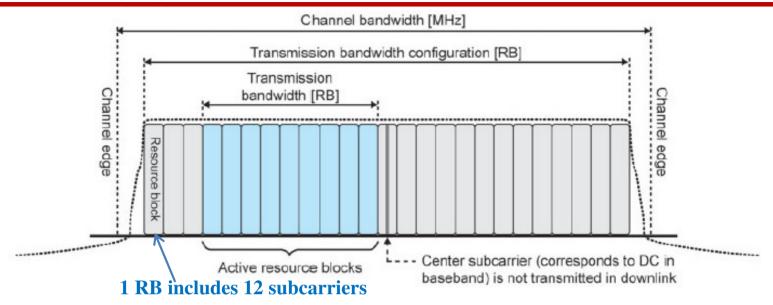


Table. LTE (FDD) downlink and uplink peak data rates.

Configurable Channel Bandwidth



- ✓ In CDMA systems, the transmission bandwidth is fixed and determined by the inverse of the chip rate.
- ✓ In OFDM systems, the subcarrier spacing is determined by the inverse of the FFT integration time. So number of subcarriers and transmission bandwidth can be determined independently. More flexibility.

| Channel bandwidth (MHz) | | 3 | 5 | 10 | 15 | 20 |
|--|------|-----|-----|----|------|-----|
| Transmission bandwidth configuration (MHz) | 1.08 | 2.7 | 4.5 | 9 | 13.5 | 18 |
| Transmission bandwidth configuration (N_{RB}^{UL} or N_{RB}^{DL}) (RB) | 6 | 15 | 25 | 50 | 75 | 100 |

Table. Transmission bandwidth configuration.

LTE symbol rate = $66.7\mu s$, $\Delta f = 1/symbol rate = 15 kHz for each subcarrier. In freq. domain 1 RE = 1 subcarrier, so 1 RB = <math>12 subcarriers = 180 kHz$.

- ✓ In order to scale the development of equipment, UE categories have been defined to limit certain parameters.
- ✓ The most significant parameter is the supported data rates:

| UE category | Peak downlink data rate (Mbps) | Number of downlink spatial layers | Peak uplink data rate (Mbps) | Number of uplink spatial layers | Support for 64QAM in uplink |
|-------------|-----------------------------------|--------------------------------------|------------------------------|------------------------------------|-----------------------------|
| Category 1 | 10.296 | 1 | 5.16 | 1 | No |
| Category 2 | 51.024 | 2 | 25.456 | 1 | No |
| Category 3 | 102.048 | 2 | 51.024 | 1 | No |
| Category 4 | 150.752 | 2 | 51.024 | 1 | No |
| Category 5 | 302.752 | 4 | 75.376 | 1 | Yes |
| Category 6 | 301.504 | 2 or 4 | 51.024 | 1, 2, or 4 | No |
| Category 7 | 301.504 | 2 or 4 | 10.2048 | 1, 2, or 4 | No |
| Category 8 | 2998.56 | 8 | 149.776 | 8 | Yes |

Table. Peak data rates for UE categories.

Theoretical LTE Data Rate Calculation

- ✓ Question: Assume 20 MHz bandwidth (100 RB) and normal CP calculate data rate = ?
- ✓ Throughput → symbols per second → bits per second.
- ✓ 1 RB = 1 time domain(1 slot = 0.5 ms = 7 OFDM symbols) x 1 freq. domain(12 subcarriers) = 7 x 12 x 2 = 168 symbols per ms
- ✓ $64 \text{ QAM} = 2^6 \text{ QAM} = 6 \text{ bits per symbol.}$
- ✓ 20 MHz(100 RB) = 16800 symbols per ms = 16,800,000 symbols per sec = 16.8 Msps.
- ✓ Throughput = data rate = $16.8 \times 6 = 100.8 \text{ Mbps}$ for single chain.
- ✓ LTE 4x4 MIMO (4T4R) 100.8 x 4 = 403.2 Mbps for DL.
- ✓ But there is 25% overhead use for controlling and signaling so $403.2 \times 0.75 = 302.4 \text{ Mbps} \sim 300 \text{ Mbps}$.
- ✓ For UL we have only one transmit chain at UE end so after $25\% 100.8 \times 0.75 = 75.6 \text{ Mbps} \sim 75 \text{ Mbps}$.
- ✓ There is why we get the # of throughput 300 Mbps for DL and 75 Mbps for UL shown everywhere!!

LTE data rate =
$$\left[\frac{symbol}{sec}\right] \cdot \left[\frac{bit}{symbol}\right] \cdot \#layers$$

| | | | Downlink | | | | | | | | | | | |
|------|-----------------|--|------------|--|-----------------------------------|---|-----------------------------|--|--|--|--|--|--|--|
| | 3GPP release | Maximum number of DL-SCH transport b received within a T | lock bits | Maximum number of bits of a DL-SCH transport block received within a TTI | Total number of soft channel bits | Maximum number of supported layers for spatial multiplexing in DL | Support for 256QAM in DI | | | | | | | |
| M1 | Rel 13 | 1000 | 1 | 1000 | 25344 | 1 | No | | | | | | | |
| M2 | Rel 14 | 4008 | 4 | 1000 | 73152 | 1 | No | | | | | | | |
| 0 | Rel 12 | 1000 | 1 | 1000 | 25344 | 1 | No | | | | | | | |
| 1bis | Rel 14 | 10296 | 10 | 10296 | 250368 | 1 | No | | | | | | | |
| 4 | Rel 14 | 150752 | 150 | 75376 | 1827072 | 2 | No | | | | | | | |
| 6 | Rel 12 | 301504 | 301 | 75376 (2 layers, 64QAM) 149776 (4 layers, 64QAM) | 3654144 | 2 or 4 | No | | | | | | | |
| 7 | Rel 12 | 301504 | 301 | 75376 (2 layers, 64QAM) 149776 (4 layers, 64QAM) | 3654144 | 2 or 4 | No | | | | | | | |
| 9 | Rel 12 | 452256 | 452 | 75376 (2 layers, 64QAM) 149776 (4 layers, 64QAM) | 5481216 | 2 or 4 | No | | | | | | | |
| 10 | Rel 12 | 452256 | 452 | 75376 (2 layers, 64QAM) 149776 (4 layers, 64QAM) | 5481216 | 2 or 4 | No | | | | | | | |
| 11 | Rel 12 | 603008 | 603 | 75376 (2 layers, 64QAM) 97896 (2 layers, 256QAM) 149776 (4 layers, 64QAM) 195816 (4 layers, 256QAM) | 7308288 | 2 or 4 | Optional | | | | | | | |
| 12 | Rel 12 | 603008 | 603 | 75376 (2 layers, 64QAM) 97896 (2 layers, 256QAM) 149776 (4 layers, 64QAM) 195816 (4 layers, 256QAM) | 7308288 | 2 or 4 | Optional | | | | | | | |
| 13 | Rel 12 | 391632 | 391 | 97896 (2 layers, 256QAM) 195816 (4 layers, 256QAM) | 3654144 | 2 or 4 | Mandatory | | | | | | | |
| 14 | Rel 12 | 3916560 | 3916 | 391656 (8 layers, 256QAM) | 47431680 | 8 | Mandatory | | | | | | | |
| 15 | Rel 12 | 749856 - 798800 | 749 - 798 | 75376 (2 layers, 64QAM) 97896 (2 layers, 256QAM) 149776 (4 layers, 64QAM) 195816 (4 layers, 256QAM) | 9744384 | 2 or 4 | Optional | | | | | | | |
| 16 | Rel 12 | 978960 - 1051360 | 978 - 1051 | 75376 (2 layers, 64QAM) 97896 (2 layers, 256QAM) 149776 (4 layers, 64QAM) 195816 (4 layers, 256QAM) | 12789504 | 2 or 4 | Optional | | | | | | | |

MSM8998 Overview

20MHz(100RB) = 16.8 Msps

10 nm premium-tier chip with integrated modem and AP

 $16.8 \times 6(64 \text{ QAM}) \times 0.75 = 75.6 \text{ Mbps} \approx 75.376 \text{ Mbps}$ (TBS table)

 $16.8 \times 8(256 \text{ QAM}) \times 0.75 = 100.8 \text{ Mbps} \approx 97.896 \text{ Mbps} \text{ (TBS table)}$

Modem

• Sixth-generation LTE modem, Rel-12 Cat 16 up to 1 Gbps, 4x DL CA (80 MHz CA across four bands), 256-QAM DL, 8 × 4 DL MIMO with CA, LTE-U/LAA, LWA

MSM8998/APQ8098 Variants

| Product | Variants | Description | | | | | |
|---------|---------------------------------|----------------------------|--|--|--|--|--|
| MSM8998 | -1-AB | 10 layers, 4xDLCA, CDMA | | | | | |
| | -2-AB | 10 layers, 4xDLCA, no CDMA | | | | | |
| | 6 layers, 3xDLCA, CDMA | | | | | | |
| | -4-AB 6 layers, 3xDLCA, no CDMA | | | | | | |
| | -5-AB | 8 layers, 4xDLCA, CDMA | | | | | |
| | -6-AB | 8 layers, 4xDLCA, no CDMA | | | | | |
| APQ8098 | -1-AA | AP only, no modem | | | | | |

Layers are referred to by the number of data streams transmitted to the UE (handset) to process. For example,

MSM8998-1-AB supports up to 10 layers with a theoretical maximum throughput of 1 Gbps; MSM8998-5-AB supports up to 8 layers with a theoretical throughput of 800 Mbps.

20MHz(100RB) = 16.8 Msps

 $16.8 \times 6(64 \text{ QAM}) \times 0.75 = 75.6 \text{ Mbps} \approx 75.376 \text{ Mbps}$ (TBS table)

 $16.8 \times 8(256 \text{ QAM}) \times 0.75 = 100.8 \text{ Mbps} \approx 97.896 \text{ Mbps} \text{ (TBS table)}$

∴ theoretical maximum throughput: 100.8×10≈1 Gbps 醬來的~

4xDLCA

3xDLCA

2xDLCA

<u>Layers</u>

4×4, 2×2, 2×2, 2×2 MIMO

MSM8998-1/-2

4×4, 4×4, 2×2 MIMO

Multiple Configurations for Layers

8

2×2, 2×2, 2×2, 2×2 MIMO MSM8998-5/-6

4×4, 2×2, 2×2 MIMO MSM8998-5/-6

4×4, 4×4 MIMO MSM8998-5/-6

2×2, 2×2, 2×2 MIMO MSM8998-3/-4 4×4, 2×2 MIMO MSM8998-3/-4

Use 3GPP Spec. 36.213 for Throughput Calculation

- ✓ Coding rate described the efficiency of the particular modulation scheme.
- ✓ Example: 16 QAM with 0.5 coding rate means its can only carry 2 information bits.
- ✓ The combination of the modulation and coding rate is called Modulation Coding Scheme (MCS).
- \checkmark Example: 100 RBs MCS Index = 28, the TBS = 75376, assume 4x4 MIMO so the peak data rate = 75376 x 4 = 301.5 Mbps.

Table 7.1.7.1-1: Modulation and TBS index table for PDSCH

Table 7.1.7.2.1-1: Transport block size table (dimension 27×110)

The other ways: lookup table called TBS table (Transport Block Size table) find throughput.

1 TTI (=1ms) so 1 TTI = 1000 bps.

| MCS Index | Modulation Order | TBS Index |
|--------------|------------------|--------------------|
| $I_{ m MCS}$ | Q_m | I_{TBS} |
| 0 | 2 | 0 |
| 1 | 2 | 1 |
| 2 | 2 2 2 | 2 |
| 3 | 2 | 3 |
| 4 | 2 | 4 |
| 5 | 2 | 5 |
| 6 | 2 2 | 6 |
| 7 | 2 | 7 |
| 8 | 2 2 | 8 |
| 9 | 2 | 9 |
| 10 | 4 | 9 |
| 11 | 4 | 10 |
| 12 | 4 | 11 |
| 13 | 4 | 12 |
| 14 | 4 | 13 |
| 15 | 4 | 14 |
| 16 | 4 | 15 |
| 17 | 6 | 15 |
| 18 | 6 | 16 |
| 19 | 6 | 17 |
| 20 | 6 | 18 |
| 21 | 6 | 19 |
| 22 | 6 | 20 |
| 23 | 6 | 21 |
| 24 | 6 | 22 |
| 25 | 6 | 23 |
| 26 | 6 | 24 |
| 27 | 6 | 25 |
| 28 | 6 | 26 |
| 29 | 2 | |
| 30 | 4 | reserved |
| 31 | 6 | |

| ı | | $N_{\mathtt{PRB}}$ | | | | | | | | | | |
|--------------------|-------|--------------------|-------|-------|-------|-------|-------|-------|-------|-------|--|--|
| $I_{\mathtt{TBS}}$ | 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | 100 | | |
| 4 | 6456 | 6456 | 6712 | 6712 | 6712 | 6968 | 6968 | 6968 | 6968 | 7224 | | |
| 5 | 7992 | 7992 | 8248 | 8248 | 8248 | 8504 | 8504 | 8760 | 8760 | 8760 | | |
| 6 | 9528 | 9528 | 9528 | 9912 | 9912 | 9912 | 10296 | 10296 | 10296 | 10296 | | |
| 7 | 11064 | 11448 | 11448 | 11448 | 11448 | 11832 | 11832 | 11832 | 12216 | 12216 | | |
| 8 | 12576 | 12960 | 12960 | 12960 | 13536 | 13536 | 13536 | 13536 | 14112 | 14112 | | |
| 9 | 14112 | 14688 | 14688 | 14688 | 15264 | 15264 | 15264 | 15264 | 15840 | 15840 | | |
| 10 | 15840 | 16416 | 16416 | 16416 | 16992 | 16992 | 16992 | 16992 | 17568 | 17568 | | |
| 11 | 18336 | 18336 | 19080 | 19080 | 19080 | 19080 | 19848 | 19848 | 19848 | 19848 | | |
| 12 | 20616 | 21384 | 21384 | 21384 | 21384 | 22152 | 22152 | 22152 | 22920 | 22920 | | |
| 13 | 23688 | 23688 | 23688 | 24496 | 24496 | 24496 | 25456 | 25456 | 25456 | 25456 | | |
| 14 | 26416 | 26416 | 26416 | 27376 | 27376 | 27376 | 28336 | 28336 | 28336 | 28336 | | |
| 15 | 28336 | 28336 | 28336 | 29296 | 29296 | 29296 | 29296 | 30576 | 30576 | 30576 | | |
| 16 | 29296 | 30576 | 30576 | 30576 | 30576 | 31704 | 31704 | 31704 | 31704 | 32856 | | |
| 17 | 32856 | 32856 | 34008 | 34008 | 34008 | 35160 | 35160 | 35160 | 35160 | 36696 | | |
| 18 | 36696 | 36696 | 36696 | 37888 | 37888 | 37888 | 37888 | 39232 | 39232 | 39232 | | |
| 19 | 39232 | 39232 | 40576 | 40576 | 40576 | 40576 | 42368 | 42368 | 42368 | 43816 | | |
| 20 | 42368 | 42368 | 43816 | 43816 | 43816 | 45352 | 45352 | 45352 | 46888 | 46888 | | |
| 21 | 45352 | 46888 | 46888 | 46888 | 46888 | 48936 | 48936 | 48936 | 48936 | 51024 | | |
| 22 | 48936 | 48936 | 51024 | 51024 | 51024 | 51024 | 52752 | 52752 | 52752 | 55056 | | |
| 23 | 52752 | 52752 | 52752 | 55056 | 55056 | 55056 | 55056 | 57336 | 57336 | 57336 | | |
| 24 | 55056 | 57336 | 57336 | 57336 | 57336 | 59256 | 59256 | 59256 | 61664 | 61664 | | |
| 25 | 57336 | 59256 | 59256 | 59256 | 61664 | 61664 | 61664 | 61664 | 63776 | 63776 | | |
| 26 | 66592 | 68808 | 68808 | 68808 | 71112 | 71112 | 71112 | 73712 | 73712 | 75376 | | |

DL/UL Throughput calculation for LTE FDD

- \checkmark BW = 20 MHz
- ✓ Multiplexing scheme = FDD
- ✓ UE category = Cat 3
- ✓ Modulation supported = per Cat 3 TBS index 26 for DL (75376 for 100 RBs) and 21 for UL (51024 for 100 RBs)
- ✓ Throughput = # of Chains x TB size.

DL throughput = $2 \times 75376 = 150.752$ Mbps. UL throughput = $1 \times 51024 = 51.024$ Mbps.

| UE category | Peak downlink data rate (Mbps) | Number of downlink spatial layers | Peak uplink data rate (Mbps) | Number of uplink spatial layers | Support for 64QAM in uplink |
|-------------|-----------------------------------|--------------------------------------|------------------------------|------------------------------------|-----------------------------|
| Category 1 | 10.296 | 1 | 5.16 | 1 | No |
| Category 2 | 51.024 | 2 | 25.456 | 1 | No |
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| Category 7 | 301.504 | 2 or 4 | 10.2048 | 1, 2, or 4 | No |
| Category 8 | 2998.56 | 8 | 149.776 | 8 | Yes |

Good website: http://niviuk.free.fr/ue_category.php

DL/UL Throughput calculation for LTE TDD

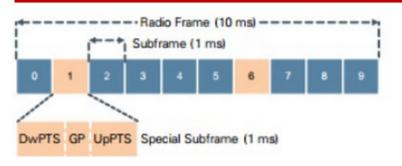


Table. LTE TDD frame configuration.

| UL/DL Configuration | Period | | | | | | | | | | | | | |
|------------------------|--------|---|---|---|---|---|---|---|---|---|---|--|--|--|
| | (ms) | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | |
| 0 | | D | S | U | U | U | D | S | U | U | U | | | |
| 1 | 5 | D | s | U | U | D | D | s | U | U | D | | | |
| 2 | | D | s | U | D | D | D | S | U | D | D | | | |
| 3 | | D | s | U | U | U | D | D | D | D | D | | | |
| 4 | 10 | D | S | U | U | D | D | D | D | D | D | | | |
| 5 | | D | s | U | D | D | D | D | D | D | D | | | |
| 6 | 5 | D | s | U | U | U | D | s | U | U | D | | | |

Table. Special subframe configuration.

| A IT IN | Normal C | | | Extended CP | | | | | | |
|---------|----------|----|-------|-------------|----|-------|--|--|--|--|
| Format | DwPTS | GP | UpPTS | DwPTS | GP | UpPTS | | | | |
| 0 | 3 | 10 | | 3 | 8 | 1 | | | | |
| 1 | 9 | 4 | | 8 | 3 | | | | | |
| 2 | 10 | 3 | 1 | 9 | 2 | | | | | |
| 3 | 11 | 2 | | 10 | 1 | | | | | |
| 4 | 12 | 1 | | 3 | 7 | 2 | | | | |
| 5 | 3 | 9 | | 8 | 2 | | | | | |
| 6 | 9 | 3 | 2 | 9 | 1 | | | | | |
| 7 | 10 | 2 | 2 | - | - | - | | | | |
| 8 | 11 | 1 | | - | - | - | | | | |

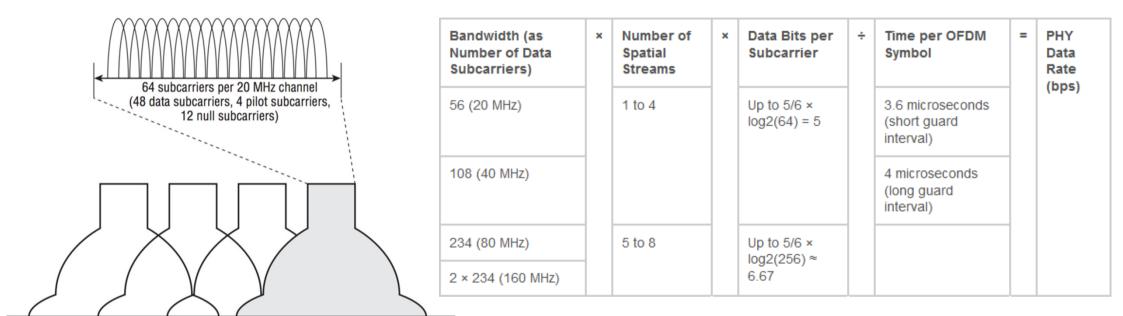
- ✓ BW = 20 MHz
- ✓ Multiplexing scheme = TDD
- ✓ UE category = Cat 3
- ✓ Modulation supported = per Cat 3 TBS index 26 for DL (75376 for 100 RBs) and 21 for UL (51024 for 100 RBs)
- ✓ TDD frame configuration 2 (D-6, S-2 and U-2)
- ✓ Special subframe configuration 7 (DwPTS-10, GP-2 and UpPTS-2)
- ✓ DL Throughput = # of Chains x TB size x (DL Subframe + DwPTS in SSF)
- ✓ UL Throughput = # of Chains x TB size x (UL Subframe + UpPTS in SSF)
- ✓ DL Throughput = $2 \times 75376 \times (6/10 + (2/10) (10/14)) = 112$ Mbps.
- ✓ UL Throughput = $1 \times 51024 \times (2/10 + (2/10) (2/14)) = 11.7 \text{ Mbps}.$

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WiFi Throughput Calculation

Wi-Fi OFDM channelization and Thruput calculation

- ✓ Each 20 MHz channel, whether it's 802.11a/g/n/ac, is composed of 64 subcarriers spaced 312.5 kHz apart.
- ✓ This spacing is chosen because we use 64-point FFT sampling.
- ✓ 802.11a/g use 48 subcarriers for data, 4 for pilot, and 12 as null subcarriers.
- √ 802.11n/ac use 52 subcarriers for data, 4 for pilot, and 8 as null.



- ✓ A standard Wi-Fi symbol is 4μs, composed of 3.2 μs IFFT (useful symbol duration) and 0.8μs long guard interval. (or using total symbol time is 3.6μs and 0.4μs for short guard interval).
- ✓ So, "subcarrier spacing is equal to the reciprocal of symbol time." Let's examine:
 - Subcarrier spacing = 312.5 kHz.
 - Useful symbol duration = 3.2μs IFFT.
 - Reciprocal = 1 cycle / 0.0000032 sec = 312,500 cycles/sec = 312.5 kHz
- ✓ Since IFFT is used for modulation the spacing of the subcarriers is such that at the frequency where we evaluate the received signal (the center frequency of each subcarrier) all other signals are zero. And this in turn drives the duration of the useful symbol time and is the reason why we use 3.2µs IFFT.

| WiFi Thruput calculation using excel | | | Bandwidth (as Number of Data Subcarriers) | × | Number Spatial Streams | | Data Bits per Subcarrier | ÷ | Time per OFDM Symbol | | PHY Data Rate (bps) | | |
|--------------------------------------|------------|-----------|---|--------|------------------------------|-------|-----------------------------|------|---|-------|------------------------------|------------|--|
| | | | 56 (20 MHz) | | 1 to 4 | | Up to 5/6 × log2(64) = 5 | | 3.6 microseconds (short guard interval) | S | | | |
| | | | 108 (40 MHz) | | | | | | 4 microseconds (long guard interval) | | | | |
| Physical Layer | 802.11ac 💌 | | 004/004/11 | | | | 4 5/0 | - | | | | | |
| | | | 234 (80 MHz) | | 5 to 8 | | Up to 5/6 × log2(256) ≈ | | | | | | |
| Bandwidth [MHz] | 160 | | 2 × 234 (160 MHz | Z) | | | 6.67 | | | | | | |
| Number of data subcarriers [#] | 468 | | | | | | | | | | | | |
| Number of spatial streams [#] | 8 | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| Modulation | 256 QAM | BPSK | QPSK | 16 (| QAM | 64 QA | M 256 QA | M 1 | L024 QAM | | | | |
| Number of bits per symbol [bits] | 8 | 1 | 2 | | 4 | | 6 | 8 | 10 | | | | |
| Coding rate | 5/6 | 1/2 | 2/3 | | 3/4 | 5 | /6 note: 5/ | 6 oı | n 64 QAM n | ot av | ailable | in 802.11g | |
| Data bits per subcarrier [bits] | 6.67 | 0.50 | 0.67 | (| 0.75 | 0.8 | 3 | | | | | | |
| | | | | | | | | | | | | | |
| Guard interval duration [µs] | 0.4 | 0.40 | 0.80 | : | 1.60 | | | | | | | | |
| Guard interval type | 400 ns GI | 400 ns GI | 800 ns GI | 1600 r | ns GI | | | | | | | | |
| Symbol duration [µs] | 3.2 | 3.20 | 6.40 | 12 | 2.80 | | | | | | | | |
| Symbol duration type | 3200 ns | 3200 ns | 6400 ns | 1280 | 00 ns | | | | | | | | |
| Time per OFDM symbol [μs] | 3.6 | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| PHY Data Rate [Mbps] | 6,933.33 | | | | | | | | | | | | |
| Throughput [Mbps] | 4,853 | | | | | | | | | | | | |

WiFi data rate =
$$\left[\frac{symbol}{sec}\right] \cdot \left[\frac{subcarriers}{symbol}\right] \cdot \left[\frac{bit}{subcarriers}\right] \cdot \#spatial streams$$

= $\left[\frac{symbol}{sec}\right] \cdot \left[\frac{subcarriers}{symbol}\right] \cdot \left[coding \ rate \cdot \frac{bit}{subcarriers}\right] \cdot \#spatial streams$
= $\frac{1}{3.6\mu s} \cdot 468 \cdot \frac{5}{6} \cdot 8 \cdot 8 = 6933.33 \text{ Mbps}$

