# Category Theory with Strings

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## 1 Introduction

This is a supplemental document for introductory books of category theory ([1], [2], [3], [8]) using *string diagrams*. Don't trust my poor mathematics. Any feedback is welcome at github.com/okomok/strcat.

## 2 Preliminaries

### 2.1 Lambda Expressions

**Definition 2.1 (Lambda Expression)** Following famous symbols like  $\Sigma$ , define  $\Lambda_x y$  as an anonymous function  $x \mapsto y$ . We casually call any form of anonymous functions a *lambda expression*.

**Definition 2.2 (Lambda-Tasted Form)** Given a function  $\Gamma$  whose domain is a set of functions, you can choose a short form of  $\Gamma(\Lambda_x y)$  from the following lambda-tasted forms

- 1.  $\Gamma_x y$
- 2.  $\Gamma x.y$
- 3.  $(\Gamma x)(y)$
- 4.  $\Gamma xy$

**Definition 2.3 (Placeholder Expression)** For simple lambda expressions, you may use *placeholders* for example,

$$?+1 \coloneqq \Lambda_n n + 1$$

Placeholder symbols can vary: ?, -, 1, etc.

### 2.2 Universality

**Definition 2.4 (Predicate)** We call a Boolean-valued function a *predicate*.

**Definition 2.5 (Universal Quantifier)** Given a predicate P, we define a Boolean value  $\forall P$  as "anything satisfies P".

**Definition 2.6 (Existential Quantifier)** Given a predicate P, we define a Boolean value  $\exists P$  as "something satisfies P".

**Definition 2.7 (Uniqueness)** Given a predicate P, a predicate !P is defined by

$$!P(a) := P(a) \land (\forall a')(P(a') \implies a = a')$$

using the third lambda-tasted form, meaning that "a is the unique thing that satisfies P".

**Definition 2.8 (Unique Existential Quantifier)** The unique existential quantifier  $\exists$ ! is defined as  $\exists$ o!, where  $\circ$  is the function composition. Spelling out the detail.

$$(\exists!a)(P(a)) = (\exists a)(!P(a))$$

meaning that "there exists a unique thing that satisfies P".

**Remark 2.9** On the other hand,  $(\exists a)(!P(a) \land Q(a))$  states "there exists a unique a that satisfies P. Furthermore, the a satisfies Q".

**Definition 2.10** Given a predicate P and a set X,

$$(\forall x \in X)(P(x)) \coloneqq (\forall x)(x \in X \implies P(x))$$
$$(\exists x \in X)(P(x)) \coloneqq (\exists x)(x \in X \land P(x))$$

**Definition 2.11 (Universality)** Given a binary predicate P, we boldly call a statement of the form

$$(\forall x \in X)(\exists! y \in Y)(P(x, y))$$

the universality of P.

**Proposition 2.12 (Functional Universality)** Given a binary predicate P,

$$(\forall x \in X)(\exists ! y \in Y)(P(x,y))$$
  
$$\iff (\exists f : X \to Y)(\forall x \in X)(\forall y \in Y)(P(x,y) \iff y = f(x))$$

П

PROOF.  $(\Longrightarrow)$  by the axiom of choice.  $(\Leftarrow)$  immediate.

**Definition 2.13 (Functional Bijectivity)** Given a function  $g: Y \to X$ , the statement

$$(\exists f: X \to Y)(\forall x \in X)(\forall y \in Y)(x = g(y) \iff y = f(x))$$

is known as the *bijectivity* of f and g. This is a special case of universality where P(x,y) is x=g(y).

#### 2.3 Families

Syntax of function applications is world-standard:

but sometimes you might want cuter syntax like that



**Definition 2.14 (Family)** A family declaration is a way to provide a function with arbitrary application syntax. Its usage is clear from an example

$$(\widehat{\langle x \rangle} \in Y)_{x \in X}$$

We call it a family of Y. Furthermore, a function body can be placed like that

$$(\widehat{\langle x \rangle} \coloneqq x^2 \in Y)_{x \in X}$$

**Example 2.15** The most-used family declaration is the subscript style  $(a_i)_i$ . You can view a tuple  $(a_1, a_2, \ldots, a_n)$  to be an abbreviation of  $(a_i)_{i \in \{1, 2, \ldots, n\}}$ . Subscripts are often omitted.

Families can do more.

**Definition 2.16 (Dependent Function)** Let F a set-valued function. A family

$$(f(x) \in F(x))_{x \in X}$$

defines a function

$$f: X \to \bigcup_{x \in X} F(x)$$

such that

$$(\forall x \in X)(f(x) \in F(x))$$

We call such f a dependent function, for the F(x) depends on x. In case F is a constant function, f is a normal function  $X \to Y$ .

#### 2.4 Coherence

It is sure you write

$$3 + 1 + 2$$

rather than

$$(3+(0+1))+2$$

because you know the arithmetic laws

$$x + (y + z) = (x + y) + z$$
  
 $0 + x = x = x + 0$ 

disambiguate unparenthesized expressions. Informally laws to introduce natural syntax are called coherence conditions or shortly coherence.

## 3 Categories

### 3.1 The Definition

**Definition 3.1 (Category)** A category C consists of

- 1. objects: a class Ob(C)
- 2. morphisms or hom-sets: a family of sets  $(\mathcal{C}(A,B))_{A,B\in \mathrm{Ob}(\mathcal{C})}$
- 3. compositions: a family of functions

$$(\circ: \mathcal{C}(B,C) \times \mathcal{C}(A,B) \to \mathcal{C}(A,C))_{A,B,C \in \mathrm{Ob}(\mathcal{C})}$$

4. identities or units: a family of morphisms

$$(\mathrm{id}_A \in \mathcal{C}(A,A))_{A \in \mathrm{Ob}(\mathcal{C})}$$

satisfying the following coherence conditions

1. associativity: for any  $f \in \mathcal{C}(A, B)$ ,  $g \in \mathcal{C}(B, C)$ , and  $h \in \mathcal{C}(C, D)$ ,

$$h \circ (g \circ f) = (h \circ g) \circ f$$

2. unitality: for any  $f \in \mathcal{C}(A, B)$ ,

$$id_B \circ f = f = f \circ id_A$$

A morphism  $f \in \mathcal{C}(A, B)$  is often denoted as  $f : A \to B$ .

### 3.2 String Diagrams

From now on, we will introduce *string diagrams* to complement(or hopefully replace) commutative diagrams.

Given a category C, an object A is depicted as an optionally-tagged string



A morphism  $f:A\to B$  is depicted as a node



A composition joins two strings:

$$\begin{bmatrix} C \\ g \\ B \\ f \end{bmatrix}$$

An identity is indistinguishable from an object:

$$A := \mathrm{id}_A$$

Check these diagrams create no ambiguity thanks to the coherence.

**Definition 3.2 (Isomorphism)** An *isomorphism* is a pair of morphisms

$$f:A\to B$$

$$g: B \to A$$

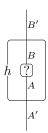
satisfying the *invertibility* 

$$\begin{vmatrix}
A & & & & B \\
B & B & A & A & A \\
A & & B & B
\end{vmatrix}$$

**Definition 3.3 (Functional Box)** Given categories  $\mathcal C$  and  $\mathcal C'$ , a function

$$h: \mathcal{C}(A,B) \to \mathcal{C}'(A',B')$$

is depicted as a box



**Definition 3.4 (Opposite Category)** Given a category  $\mathcal C$  and a morphism



you can build a category with strings upside down:

which is denoted as  $C^{op}$  the opposite category of C.

Definition 3.5 (Discrete Category) A category  $\mathcal C$  such that

$$A = B \implies \mathcal{C}(A, B) = \{ \mathrm{id}_A \}$$
  
 $A \neq B \implies \mathcal{C}(A, B) = \emptyset$ 

is called a discrete category. Any set can be represented as a discrete category.

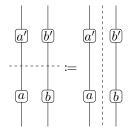
**Definition 3.6 (Product Category)** Given two categories  $\mathcal{A}$  and  $\mathcal{B}$ , the *product category* 

$$\mathcal{A} \times \mathcal{B}$$

is depicted as parallel strings

$$\begin{array}{c|c} \mathcal{A} & \mathcal{B} \\ A' & B' \\ \hline a & b \\ A & B \end{array}$$

A composition, which joins parallel strings, is defined by



An identity is trivially



By these definitions,

### 4 Functors

#### 4.1 The Definition

**Definition 4.1 (Functor)** A functor  $F: \mathcal{C} \to \mathcal{D}$  consists of

- 1. domain: a category C
- 2. codomain: a category  $\mathcal{D}$
- 3. a family of objects  $(FA \in Ob(\mathcal{D}))_{A \in Ob(\mathcal{C})}$
- 4. families of morphisms

$$((F(f) \in \mathcal{D}(FA, FB))_{f \in \mathcal{C}(A,B)})_{A,B \in Ob(\mathcal{C})}$$

satisfying the functoriality:

1. composition-compatibility: for any  $f \in \mathcal{C}(A, B)$  and  $g \in \mathcal{C}(B, C)$ ,

$$F(g \circ f) = F(g) \circ F(f)$$

2. unit-compatibility: for any  $A \in Ob(\mathcal{C})$ ,

$$F(\mathrm{id}_A) = \mathrm{id}_{FA}$$

**Definition 4.2 (Infrafunctor)** An *infrafunctor* is a functor without the requirement of functoriality.

#### 4.2 Functorial Tubes

In string diagrams, a functor is represented as a tube

$$\begin{bmatrix}
B \\
F \\
F
\end{bmatrix} := F \begin{bmatrix}
B \\
A
\end{bmatrix}$$

$$FA$$

Placeholders make it simple:



One can check the functoriality ensures any tube like

$$\begin{bmatrix} C \\ g \\ B \\ f \\ A \end{bmatrix}$$

be unambiguous. "Join then tube" is the same as "tube then join".

Proposition 4.3 Any functor preserves isomorphisms meaning that

$$(\overbrace{f}, \overbrace{g}) : \text{isomorphism} \implies (\overbrace{f}, \overbrace{g}) : \text{isomorphism}$$

 $\square$ 

PROOF. Immediate by functoriality, which inheres in tubes.

Definition 4.4 (Composite Functor) For any two functors

$$F:\mathcal{A}
ightarrow\mathcal{B}$$

$$G:\mathcal{B} o\mathcal{C}$$

the composite functor of F and G

$$G \circ F : \mathcal{A} \to \mathcal{C}$$

is defined as



**Definition 4.5 (Identity Functor)** Given a category  $\mathcal{C}$ , the *identity functor* on  $\mathcal{C}$ 

$$\mathrm{Id}_\mathcal{C}:\mathcal{C}\to\mathcal{C}$$

is defined by

$$\begin{bmatrix} 1 & 1 & 1 \\ 1 & 2 & 1 \end{bmatrix} \coloneqq ?$$

**Definition 4.6 (Contravariant Functor)** A functor whose domain is an opposite category

$$F: \mathcal{C}^{\mathrm{op}} \to \mathcal{D}$$

is called *contravariant*, while a normal functor is called *covariant*.

A contravariant functor is depicted as



**Definition 4.7 (Variant)** Given a statement regarding functors, you can obtain a corresponding one regarding contravariant functors and vice versa. We call such a statement the *variant* of the original one.

 $\begin{tabular}{ll} \textbf{Definition 4.8 (Binary Functor)} & A functor whose domain is a product category \\ \end{tabular}$ 

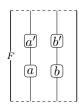
$$F: \mathcal{A} \times \mathcal{B} \to \mathcal{C}$$

is called a binary functor or bifunctor.

With numbered placeholders, it is depicted as



Spelling out the definition of functoriality, one can check a diagram like



is unambiguous.

**Definition 4.9 (Partial Application)** Given a binary functor  $F: \mathcal{A} \times \mathcal{B} \to \mathcal{C}$ , a partially applied functor

$$\Lambda_B F(A, B) : \mathcal{B} \to \mathcal{C}$$
 or shortly  $F(A, ?) : \mathcal{B} \to \mathcal{C}$ 

is defined as



The definition of F(?, B) is an exercise.

**Definition 4.10 (Small Category)** A category  $\mathcal C$  is called *small* when  $\mathrm{Ob}(\mathcal C)$  is a set.

**Definition 4.11 (Category of Small Categories)** The category of small categories **Cat** is the category whose objects are all small categories and whose morphisms are functors:



where composite functors join strings.

**Definition 4.12 (Full and Faithful Functor)** A functor  $F: \mathcal{C} \to \mathcal{D}$  is called *full and faithful* if for each object A and B in  $\mathcal{C}$ , the family

$$(F(f): FA \to FB)_{f:A\to B}$$

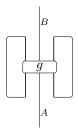
is bijective.

In other words, there is a functional box such that

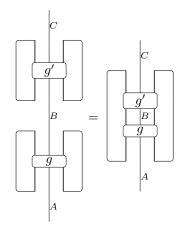
$$\begin{bmatrix}
B \\
B \\
G \\
A
\end{bmatrix} = \begin{bmatrix}
B \\
G \\
A
\end{bmatrix} \iff \begin{bmatrix}
B \\
G \\
A
\end{bmatrix}$$

$$A$$

One can make the box better-looking

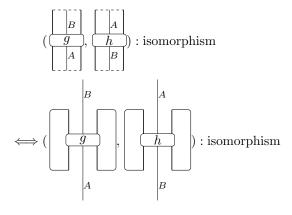


## Proposition 4.13 This box has a functoriality-like property:



Combined with proposition 4.3,

## Proposition 4.14



### 5 Natural Transformations

#### 5.1 The Definition

**Definition 5.1 (Naturality)** Given two infrafunctors

$$F,G:\mathcal{C}\to\mathcal{D}$$

a family of morphisms

$$(\tau_A \in \mathcal{D}(FA, GA))_{A \in \mathrm{Ob}(\mathcal{C})}$$

is called *natural* when for any  $f \in C(A, B)$ ,

$$\tau_B \circ F(f) = G(f) \circ \tau_A$$

In case parentheses are cumbersome, you can say " $\tau_A$  is natural in A".

**Definition 5.2 (Natural Transformation)** Furthermore, in particular case F and G are functorial(then they are functors),  $\tau$  is denoted as a *natural transformation*  $\tau: F \to G$ .

**Remark 5.3** In this document, naturality is explicitly defined to be orthogonal to functoriality.

**Proposition 5.4** Let  $F: \mathcal{C} \to \mathcal{D}$  be an infrafunctor. Recall it is by definition a family of functions  $(F_{A,B}: \mathcal{C}(A,B) \to \mathcal{D}(FA,FB))_{A,B}$ . Then  $F_{A,B}$  is natural in A or B if and only if F is composition-compatible.

### 5.2 Natural Connectors

In string diagrams, a natural transformation is a connector of two tubes



because the naturality states a node can travel between tubes

$$\begin{array}{c|c}
 & B \\
\hline
\tau & D \\
\hline
f & T \\
A
\end{array}$$

This inspires you to assign

**Definition 5.5 (Vertical Composition)** Given three functors

$$F, G, H: \mathcal{C} \to \mathcal{D}$$

and two natural transformations

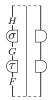
$$\tau: F \to G$$

$$\sigma:G\to H$$

the  $vertical\ composition$  of  $\tau$  and  $\sigma$ 

$$\sigma\circ\tau:F\to H$$

is defined as



Definition 5.6 (Horizontal Composition) Given four functors

$$F,G:\mathcal{A}\to\mathcal{B}$$

$$H, K: \mathcal{B} \to \mathcal{C}$$

and two natural transformations

$$\tau: F \to G$$

$$\sigma: H \to K$$

the horizontal composition of  $\tau$  and  $\sigma$ 

$$\sigma \tau : H \circ F \to K \circ G$$

is defined by



You can easily check the naturality. Travel by car ferry.

Definition 5.7 (Identity Natural Transformation) Given a functor

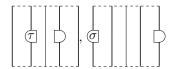
$$F:\mathcal{C}\to\mathcal{D}$$

the identity natural transformation

$$id_F: F \to F$$

is defined as

**Definition 5.8 (Whiskering)** A *whiskering* is a horizontal composition with identity natural transformations:



**Definition 5.9 (Natural Isomorphism)** A natural isomorphism is a pair of natural transformations

$$\tau: F \to G$$

$$\sigma:G\to F$$

satisfying the *invertibility*:

The same symbol is often used for the pair.

**Proposition 5.10** For any natural transformation  $\tau$ ,

$$(\forall A)(\tau_A : \text{invertible})$$

is enough to build the other natural  $\sigma$ .

**Definition 5.11 (Functor Category)** Given a small category  $\mathcal{C}$  and a category  $\mathcal{D}$ , the functor category  $[\mathcal{C}, \mathcal{D}]$  is a category whose objects are functors from  $\mathcal{C}$  to  $\mathcal{D}$  and whose morphisms are natural transformations:



where the vertical composition joins the strings.

**Definition 5.12** For the later use, define a lambda-tasted form for a set of natural transformations:

$$\operatorname{Nat}_A(FA,GA) := \operatorname{Nat}(F,G) := [\mathcal{C},\mathcal{D}](F,G)$$

## 6 Category of Sets

#### 6.1 The Definition

**Definition 6.1 (Category of Sets)** The *category of sets* **Set** is a category whose objects are sets and whose morphisms are functions:



where nodes are joined by the function composition.

A category is essentially one-dimensional so far: the vertical composition only. Here we introduce the horizontal composition for functions.

**Definition 6.2 (Monoidal Category of Sets)** Parallel strings are defined by

$$X \mid X' := X \times X'$$

The horizontal composition is defined by

$$\begin{array}{c|c} Y & Y' \\ f & f' \\ X & X' \end{array} := \Lambda_{x,x'}(f(x), f'(x'))$$

Strings for the singleton set  $\{*\}$  is omitted so that an element of a set is represented as

One can check any string diagram built upon these definitions is unambiguous due to the trivial bijections

$$X \times (X' \times X'') \cong (X \times X') \times X''$$
$$X \times \{*\} \cong X$$

Informally such two-dimensional diagrams are called monoidal.

#### 6.2 Hom-set Bands

Given a category C, a special string, a band, is introduced for hom-sets:

$$\begin{vmatrix} B & A \end{vmatrix} := \begin{vmatrix} C(A,B) \end{vmatrix}$$

A space-saving form is depicted as

**Remark 6.3** Note that the order of objects is flipped. This is resulting from the unfortunate convention that we write b = h(a) but not  $h : B \leftarrow A$ .

The composition of morphisms can be depicted as



Identity morphisms can be depicted as



As an exercise, write down the associativity and unitality using these diagrams.

**Definition 6.4 (Hom-Functor)** Hom-sets can be extended to a binary functor

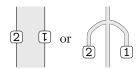
$$\Lambda_{A,B}\mathcal{C}(A,B): \mathcal{C}^{\mathrm{op}} \times \mathcal{C} \to \mathbf{Set} \text{ or shortly}$$
  
 $\mathcal{C}(\mathsf{-},\mathsf{+}): \mathcal{C}^{\mathrm{op}} \times \mathcal{C} \to \mathbf{Set} \text{ or shortly}$   
 $\mathrm{Hom}_{\mathcal{C}}: \mathcal{C}^{\mathrm{op}} \times \mathcal{C} \to \mathbf{Set}$ 

defined by

$$\begin{bmatrix} B' & A' \\ A' & B' \\ B & D & D \\ A & B \end{bmatrix} := \begin{bmatrix} B' \\ B \\ A \\ a \\ A' \end{bmatrix}$$

where the world in the box is the product category  $\mathcal{C}^{\mathrm{op}} \times \mathcal{C}$ .

This definition will inspire you to depict the hom-functors as



that looks topologically equivalent.

**Definition 6.5 (Unary Hom-Functor)** Due to definition 4.9,

$$\mathcal{C}(A, +) : \mathcal{C} \to \mathbf{Set}$$
  
 $\mathcal{C}(-, B) : \mathcal{C}^{\mathrm{op}} \to \mathbf{Set}$ 

are respectively depicted as

**Definition 6.6 (Currying)** In particular case  $C = \mathbf{Set}$ , there exists the *curry bijection* 

$$\mathbf{Set}(A \times B, C) \cong \mathbf{Set}(A, \mathbf{Set}(B, C))$$

$$C \qquad \qquad C \qquad \qquad B$$

$$A \qquad B \sim A$$

We don't distinguish the two diagrams, for the naturality of this bijection ensures "move the right-side leg up and down" works correct.

**Definition 6.7 (Naming)** In case A is the singleton set, which is omitted in diagrams, a currying

$$\begin{array}{c|c}
C \\
\hline
h \\
B \end{array} \sim \begin{array}{c|c}
C \\
\hline
h
\end{array}$$

is trivial. We call it a naming.

**Proposition 6.8** Currying *preserves naturality*, meaning that given a function  $f: GX \times B \to FX$ , f is natural in X if and only if  $\operatorname{curry}(f)$  is. So does uncurrying.

Remark 6.9 Any functional box should preserve naturality so that you don't bother with proof of naturality.

## 7 The Yoneda Lemma

**Definition 7.1** Given a functor  $F: \mathcal{C}^{\mathrm{op}} \to \mathbf{Set}$  and an object A in  $\mathcal{C}$ , a natural transformation of the form

$$(\tau_X: \mathcal{C}(X,A) \to FX)_X$$

can be depicted as

$$\begin{array}{c} [F] \\ [T] \\ [T] \\ [A] \\ [A] \end{array} ) \coloneqq \begin{bmatrix} [F] \\ [T] \\ [A] \\ [A] \\ [A] \\ [A] \end{bmatrix}$$

owing to the naturality.

Definition 7.2 (Yoneda Bijection) The Yoneda bijection is defined by

$$\operatorname{Nat}_X(\mathcal{C}(X,A),FX) \cong FA$$

$$(\mathcal{T}_{a}) \mapsto (\mathcal{T}_{a})$$

$$(\mathcal{L}_{a}) \mapsto (\mathcal{T}_{a})$$

$$(\mathcal{L}_{a}) \mapsto (\mathcal{T}_{a})$$

$$(\mathcal{L}_{a}) \mapsto (\mathcal{T}_{a})$$

**Lemma 7.3 (Yoneda Lemma)** The Yoneda bijection is actually bijective and natural in F and A.

PROOF. Now the proof is on my soul trivial!

Definition 7.4 (Yoneda Embedding) The Yoneda embedding is defined by

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$$\Lambda_A \Lambda_X \mathcal{C}(X, A) : \mathcal{C} \to [\mathcal{C}^{\mathrm{op}}, \mathbf{Set}]$$

$$\begin{vmatrix}
B & B & B \\
f & F & A
\end{vmatrix} = \begin{vmatrix}
A & P & A
\end{vmatrix}$$

using the diagram of hom functors. In short,



### **Definition 7.5** A natural transformation of the form

$$(\tau_X : \mathcal{C}(X,A) \to \mathcal{C}(X,B))_X$$

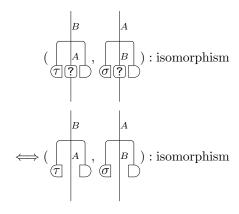
can be depicted as



**Definition 7.6 (Yoneda Embedding Bijection)** In special case  $F := \mathcal{C}(\neg, B)$ , the Yoneda bijection is expanded to

The second mapping is the Yoneda embedding so that it is full and faithful. Combined with proposition 4.14,

### Proposition 7.7 (Yoneda Principle)



## 8 Representations

**Definition 8.1 (Representation)** Given a functor  $H: \mathcal{C} \to \mathbf{Set}$ , a representation of H is a pair of

- 1. an object R in C
- 2. a natural bijection  $(\tau_X : HX \cong \mathcal{C}(R,X))_X$

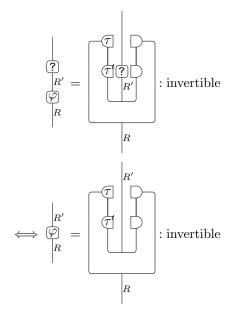
This bijectivity can be expressed using the weird boxes

$$\begin{bmatrix} a \\ a \end{bmatrix} = \begin{bmatrix} f \\ R \end{bmatrix} \iff \begin{bmatrix} a \\ a \end{bmatrix} = \begin{bmatrix} f \\ R \end{bmatrix}$$

thanks to the naturality. The following proposition allows us to call it  $\it the$  representation of  $\it H$  denoted as  $\it rep H$ .

**Proposition 8.2 (Uniqueness of Representations)** Representations are unique up to unique isomorphism.

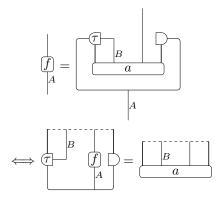
PROOF. Let  $(R', \tau')$  be another representation. By the variant of proposition 7.7,



**Definition 8.3** Given a functor  $H : \mathcal{B}^{op} \times \mathcal{A} \to \mathbf{Set}$ , a natural bijection of the form

$$(\tau_X : H(B, X) \cong \mathcal{A}(A, X))_X$$

can be expressed by



Proposition 8.4 (Parameterized Representations) Let  $H: \mathcal{B}^{op} \times \mathcal{A} \to \mathbf{Set}$  be a functor. Given a family of objects  $(SB)_B$  and a family of representations

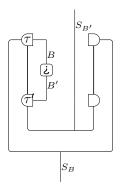
$$((\tau_X^B : H(B, X) \cong \mathcal{A}(SB, X))_X)_B$$

there exists a unique family

$$(S(f) \in \mathcal{A}(SB, SB'))_{f \in \mathcal{B}(B, B')}$$

such that  $\tau$  is natural in B. Furthermore, S is functorial.

PROOF. Define S as



 $\square$ 

## 9 Limits

**Definition 9.1 (Cone)** Given a functor  $F: A \to B$ , a cone of F consists of

- 1. an object B in  $\mathcal{B}$
- 2. a natural transformation  $(v_X : B \to FX)_X$

**Definition 9.2 (Conicality)** We may explicitly call naturality of cones *conicality*, which can be expressed as

$$\begin{bmatrix} f \\ v \\ B \end{bmatrix} = \begin{bmatrix} v \\ B \end{bmatrix}$$

like a magical box any morphism can appear from.

**Remark 9.3** Vertical and horizontal composition preserve conicality, a special case of naturality.

**Definition 9.4 (Limit)** Given a functor  $F: A \to B$ , a limit of F is a pair of

- 1. an object in  $\mathcal{B}$  denoted as  $\lim F$
- 2. a natural bijection  $(\mathcal{B}(B, \lim F) \cong \operatorname{Nat}_X(B, FX))_B$

**Definition 9.5 (Limiting Cone)** The limit bijectivity, thanks to its naturality, can be expressed as

$$\begin{array}{c}
|\lim F \\ h \\
B
\end{array} = \begin{array}{c}
|\lim F \\
v
\end{array} \iff \begin{array}{c}
|\lim F \\
h \\
B
\end{array} = \begin{array}{c}
v \\
B
\end{array}$$

where <u>lim</u> is a cone called a *limiting cone* of F.

The following proposition justifies the notation  $\lim F$ , the limit of F.

Proposition 9.6 Limits are unique up to isomorphism.

PROOF. Immediate by proposition 8.2, because a limit is nothing but a contravariant representation

$$\operatorname{rep}_B\operatorname{Nat}_X(B,FX)$$

**Proposition 9.7** A limiting cone is *monic* meaning that

$$\begin{array}{c|c}
\hline
\lim_{\text{lim}F} & \overline{\lim}_{\text{lim}F} & \overline{\lim}_{\text{lim}F} \\
\hline
h & g & B
\end{array}$$

PROOF. Immediate by the limit bijectivity.

**Definition 9.8 (Product)** In particular case the domain of a functor  $F : \mathcal{A} \to \mathcal{B}$  is discrete, the limit of F is called the *product* of F denoted as  $\prod F$ .

П

Definition 9.9 (Projection) Spelling out the product bijectivity,

$$\begin{array}{c}
\Pi F \\
h \\
B
\end{array}$$

$$\begin{array}{c}
\Pi F \\
\hline
\mu \\
B
\end{array}$$

$$\begin{array}{c}
\Pi F \\
\hline
\mu \\
B
\end{array}$$

where  $\boxed{\pi}$  is called the *projection* of F.

Remark 9.10 Conicality has no concern here, because any family of the form

$$(v_X: B \to FX)_{X \in \mathrm{Ob}(\mathcal{A})}$$

is always natural in case A is discrete.

**Example 9.11** In case F is a functor  $X \to \mathcal{S}et$  with a set X (as a discrete category), the product of F is a set of dependent functions

$$\prod_{x} F(x) \cong \{ f \mid (f(x) \in F(x))_x \}$$

**Definition 9.12 (Dual)** Given a statement containing string diagrams, by flipping it upside down, a corresponding statement is obtained. It is called the *dual* of the original one.

**Definition 9.13 (Coproduct)** A *coproduct* is a structure obtained from the bijectivity diagram of products flipped.

**Remark 9.14** Informally the dual makes a codomain opposite, while the variant does for a domain.

**Definition 9.15 (Preservation of Limits)** Given a functor  $F: \mathcal{A} \to \mathcal{B}$  and a limiting cone of F

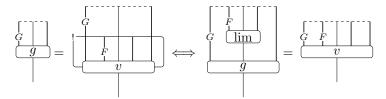
$$(\lim_X : \lim_F \to FX)_X$$

a functor  $G: \mathcal{B} \to \mathcal{C}$  preserves limits of F when

$$(G(\lim_X):G\lim F\to GFX)_X$$

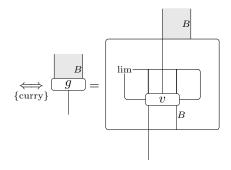
is a limiting cone of  $G \circ F$ .

In diagrams, G is such that there exists some box! satisfying



**Proposition 9.16 (HFPL)** Hom-functors preserve limits, meaning that given a functor  $F: \mathcal{A} \to \mathcal{B}$  and an object B in  $\mathcal{B}$ , the covariant hom-functor  $\mathcal{B}(B, +): \mathcal{B} \to \mathbf{Set}$  preserves limits of F.

Proof.



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## 10 Adjunctions

**Definition 10.1 (Adjunction)** Given two categories C and D, an adjunction

$$F \dashv G$$

consists of

1. left adjoint: a functor  $F: \mathcal{C} \to \mathcal{D}$ 

2. right adjoint: a functor  $G: \mathcal{D} \to \mathcal{C}$ 

3. adjunct: a natural bijection

$$(\mathcal{D}(FC, D) \cong \mathcal{C}(C, GD))_{C,D}$$

A nice consequence is that this bijectivity needs no boxes, expressed by natural transformations only.

where

$$:= \cong \\ F G$$

$$:= \cong \\ F G$$

$$:= G F$$

$$:=$$

called respectively the unit and counit.

**Proposition 10.2** Given a functor  $G: \mathcal{D} \to \mathcal{C}$ , a family of natural bijections

$$((\mathcal{C}(C,GD) \cong \mathcal{D}(F_c,D))_D)_C$$

is enough to construct the adjunction  $F \dashv G$ .

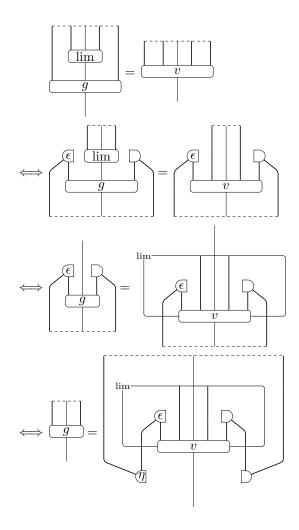
PROOF. Immediate by proposition 8.4 with  $H(C, D) := \mathcal{C}(C, GD)$ .

 $\square$ 

**Proposition 10.3 (RAPL)** Right adjoints preserve limits, meaning that given an adjunction  $F \dashv (G : \mathcal{D} \to \mathcal{C})$  and a functor  $T : \mathcal{B} \to \mathcal{D}$ ,

$$(\lim_X: \lim T \to TX)_X: \text{limiting cone}$$
 
$$\Longrightarrow (G(\lim_X): G \text{lim} T \to GTX)_X: \text{limiting cone}$$

Proof.



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## 11 Monads

### 11.1 The Definition

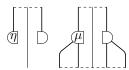
**Definition 11.1 (Monad)** Given a category  $\mathcal{C}$ , a *monad* on  $\mathcal{C}$  consists of

- 1. a functor  $T: \mathcal{C} \to \mathcal{C}$
- 2.  $\mathit{unit}\colon \mathbf{a} \text{ natural transformation } \eta: \mathrm{Id}_T \to T$
- 3. multiplication: a natural transformation  $\mu: T \circ T \to T$

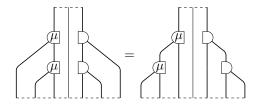
satisfying the coherence conditions

- 1. associativity:  $\mu \circ T\mu = \mu \circ \mu T$
- 2. unitality:  $\mu \circ T\eta = \mathrm{Id}_T = \mu \circ \eta T$

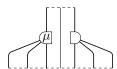
A unit and multiplication are depicted respectively as



The associativity is depicted as



This inspires you to assign



The unitality is

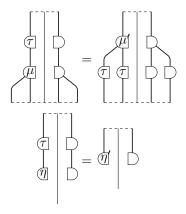
**Definition 11.2 (Monad Morphism)** Given a category C, a *monad morphism* consists of

- 1. domain: a monad  $(T, \eta, \mu)$  on  $\mathcal{C}$
- 2. codomain: a monad  $(T', \eta', \mu')$  on C
- 3. a natural transformation  $\tau: T \to T'$

satisfying the coherence conditions

- 1. multiplication-compatibility:  $\tau \circ \mu = \mu' \circ \tau \tau$
- 2. unit-compatibility:  $\tau \circ \eta = \eta'$

The coherence is depicted as

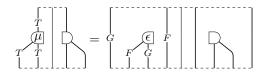


**Definition 11.3 (Category of Monads)** Given a category C, the *category of monads*  $\mathbf{Mnd}(C)$  is a category whose objects are monads and whose morphisms are monad morphisms.

**Definition 11.4 (Monad-Associated Adjunction)** Given a monad  $(T, \eta, \mu)$ , we call an adjunction  $F \dashv G$  T-associated when

- 1.  $T = G \circ F$
- 2.  $\mu = G\epsilon F$

This condition can be depicted as

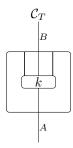


## 11.2 Kleisli Categories

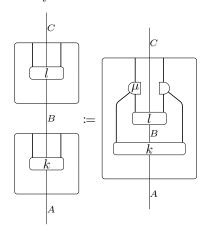
**Definition 11.5 (Kleisli Category)** Given a monad  $(T, \eta, \mu)$  on  $\mathcal{C}$ , the *Kleisli category* of T, denoted as  $\mathcal{C}_T$ , is a category consisting of

- 1.  $Ob(C_T) := Ob(C)$
- 2.  $C_T(A, B) := C(A, TB)$
- 3.  $l \circ k \coloneqq \mu \circ T(l) \circ k$
- 4.  $id_A := \eta_A$

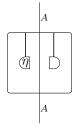
In diagrams, a morphism in  $C_T$  is depicted as a Kleisli box



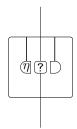
The composition is defined by



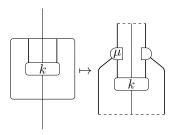
Identity morphisms are defined by



**Definition 11.6 (Kleisli Adjunction)** Define a functor  $L: \mathcal{C} \to \mathcal{C}_T$  as



 $K: \mathcal{C}_T \to \mathcal{C}$  as



then they constitute the Kleisli adjunction  $L\dashv K$  whose adjunct is the Kleisli boxing. This adjunction is T-associated.

## 11.3 EM Categories

**Definition 11.7 (Monad Algebra)** Given a monad  $(T, \eta, \mu)$  on C, a monad algebra, denoted as T-algebra, consists of

1. an object  $A \in \mathcal{C}$ 

2. a morphism  $\alpha: TA \to A$ 

satisfying the coherence

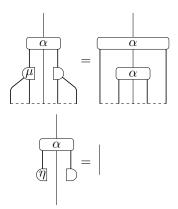
1. associativity:  $\alpha \circ \mu = \alpha \circ T(\alpha)$ 

2. unitality:  $\alpha \circ \eta = id$ 

A T-algebra is depicted as



The coherence can be depicted as



**Definition 11.8 (EM Category)** Given a monad  $(T, \eta, \mu)$ , the Eilenberg-Moore(EM) category of T, denoted as  $\mathcal{C}^T$ , is a category whose objects are T-algebras and whose morphisms are those of the form  $h: A \to B$  such that

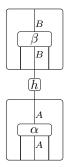
$$h \circ \alpha = \beta \circ T(h)$$

where  $(A, \alpha)$  and  $(B, \beta)$  are T-algebras.

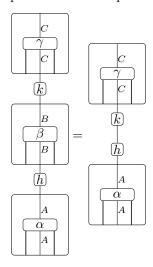
This condition is depicted as

$$\begin{array}{c|c}
B & B \\
\hline
A & B \\
\hline
\alpha & A
\end{array}$$

A morphism in  $\mathcal{C}^T$  is by compromise depicted as

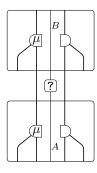


Boxes are objects. The composition can be depicted as

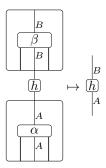


A diagram for identity morphisms is left as an exercise.

**Definition 11.9 (EM Adjunction)** Given a monad  $(T, \eta, \mu)$  on  $\mathcal{C}$ , define a functor  $M: \mathcal{C} \to \mathcal{C}^T$  as

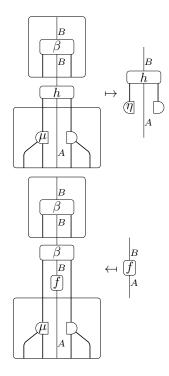


a functor  $U: \mathcal{C}^T \to \mathcal{C}$  as



They constitute the *EM adjunction*  $M \dashv U$  whose adjunct is defined by

$$\mathcal{C}^T(MA,(B,\beta)) \cong \mathcal{C}(A,U(B,\beta))$$



This adjunction is T-associated.

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