

BLG 335E – Analysis of Algorithms I

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- Although **MAX-HEAPIFY** costs $O(\lg n)$ time and there are $O(n)$ calls to it, still we can find a tighter bound than $O(n \lg n)$ for:

BUILD-MAX-HEAP(A)

```
1  heap-size[ $A$ ]  $\leftarrow$  length[ $A$ ]  
2  for  $i \leftarrow \lfloor \text{length}[A]/2 \rfloor$  downto 1  
3      do MAX-HEAPIFY( $A, i$ )
```

- Because, cost of **MAX-HEAPIFY** depends on the height of the node in the tree, and the heights of most nodes are small.

Exercise 1

- Using a similar reasoning, can we find a tighter bound than $O(n \lg n)$ for **Heapsort**?

HEAPSORT(A)

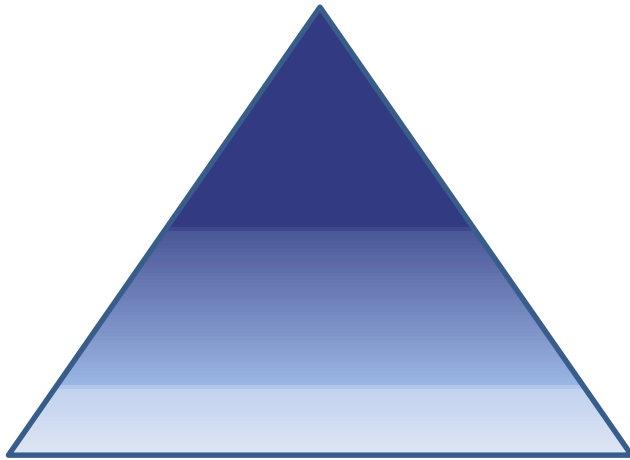
```
1  BUILD-MAX-HEAP( $A$ )
2  for  $i \leftarrow \text{length}[A]$  downto 2
3      do exchange  $A[1] \leftrightarrow A[i]$ 
4           $\text{heap-size}[A] \leftarrow \text{heap-size}[A] - 1$ 
5      MAX-HEAPIFY( $A, 1$ )
```



Exercise 1 – Solution

BUILD-MAX-HEAP(*A*)

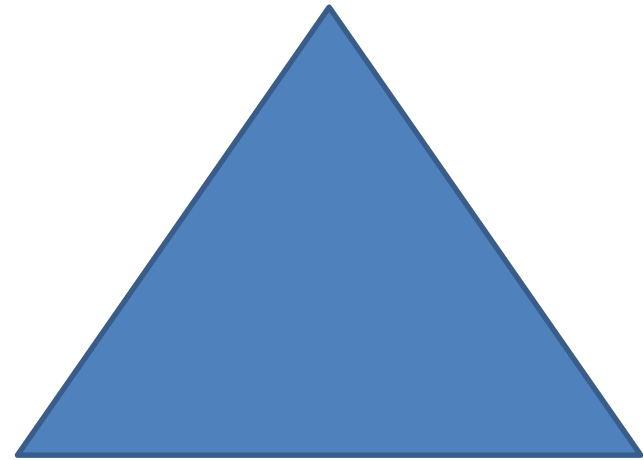
```
1  heap-size[A] ← length[A]  
2  for i ← ⌊length[A]/2⌋ downto 1  
3      do MAX-HEAPIFY(A, i)
```



$O(n)$

HEAPSORT(*A*)

```
1  BUILD-MAX-HEAP(A)  
2  for i ← length[A] downto 2  
3      do exchange A[1] ↔ A[i]  
4          heap-size[A] ← heap-size[A] – 1  
5          MAX-HEAPIFY(A, 1)
```



$O(n \log n)$

Exercise 2

- A ‘**d-ary**’ heap is like a binary heap, but non-leaf nodes have d children instead of 2 children.
- How would you represent a d -ary heap in an array?
- Verify that:

$$d\text{-ary-parent}(d\text{-ary-child}(i, j)) = i$$



Exercise 2 – Solution

binary-parent(i)
return $\lfloor i / 2 \rfloor$

binary-child(i,j)
return $2i - 1 + j$

d-ary-parent(i)
return $\lfloor ((i-2)/d) + 1 \rfloor$

d-ary-child(i,j)
return $d(i-1)+1+j$

- Root's d children are kept in $A[2] \sim A[d+1]$
- Their children are kept in $A[d+2] \sim A[d^2+d+1]$ and so on.



Exercise 3

- Banks often record transactions on an account in order of the **times of the transactions**.
- But many people like to receive their bank statements with checks listed in order by **check number**.
- Banks need to convert time-of-transaction ordering to check-number ordering.
- Insertion Sort vs. Quick Sort



Exercise 3 – Solution

- People usually write checks in order by check number, and merchants usually cash them with reasonable dispatch.
- The problem is therefore the problem of sorting **almost-sorted** input.
- For **Quick Sort**, best and average case time complexity are the same ($O(n \log n)$).
- For **Insertion Sort**, the best case is $O(n)$ and the average case is $O(n+d)$.



Exercise 4

- Illustrate the operation of **Counting-Sort** on the array below.

$$A = [6, 0, 2, 0, 1, 3, 4, 6, 1, 3, 2]$$

1. $\text{Max}\{A[i]\}=6$

2.

| | | | | | | |
|---|---|---|---|---|---|---|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|---|---|---|---|---|---|---|

3.

| | | | | | | |
|---|---|---|---|---|---|---|
| 2 | 2 | 2 | 2 | 1 | 0 | 2 |
|---|---|---|---|---|---|---|

4.

| | | | | | | |
|---|---|---|---|---|---|----|
| 2 | 4 | 6 | 8 | 9 | 9 | 11 |
|---|---|---|---|---|---|----|



Exercise 4

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 6 | 0 | 2 | 0 | 1 | 3 | 4 | 6 | 1 | 3 | 2 |
|---|---|---|---|---|---|---|---|---|---|---|

5.

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
|---|---|---|---|---|---|---|---|---|----|----|
| | | | | | | | | | | |
| | | | | | 2 | | | | | |
| | | | | | 2 | | 3 | | | |
| | | | 1 | | 2 | | 3 | | | |
| | | | 1 | | 2 | | 3 | | | 6 |
| | | | 1 | | 2 | | 3 | 4 | | 6 |
| | | | 1 | | 2 | 3 | 3 | 4 | | 6 |
| | | 1 | 1 | | 2 | 3 | 3 | 4 | | 6 |
| | 0 | 1 | 1 | | 2 | 3 | 3 | 4 | | 6 |
| | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | | 6 |
| 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | | 6 |
| 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 6 | 6 |

| 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|---|---|---|---|---|---|----|
| 2 | 4 | 6 | 8 | 9 | 9 | 11 |
| 2 | 4 | 5 | 8 | 9 | 9 | 11 |
| 2 | 4 | 5 | 7 | 9 | 9 | 11 |
| 2 | 3 | 5 | 7 | 9 | 9 | 11 |
| 2 | 3 | 5 | 7 | 9 | 9 | 10 |
| 2 | 3 | 5 | 7 | 8 | 9 | 10 |
| 2 | 3 | 5 | 6 | 8 | 9 | 10 |
| 2 | 2 | 5 | 6 | 8 | 9 | 10 |
| 1 | 2 | 5 | 6 | 8 | 9 | 10 |
| 1 | 2 | 4 | 6 | 8 | 9 | 10 |
| 0 | 2 | 4 | 6 | 8 | 9 | 10 |
| 0 | 2 | 4 | 6 | 8 | 9 | 9 |

Exercise 5

- Illustrate the operation of **Radix-Sort** on the following list of English words:

COW, DOG, SEA, RUG, ROW, MOB,
BOX, TAB, BAR, EAR, TAR, DIG, BIG,
TEA, NOW, FOX.



Exercise 5 – Solution

COW

DOG

SEA

RUG

ROW

MOB

BOX

TAB

BAR

EAR

TAR

DIG

BIG

TEA

NOW

FOX



Exercise 5 – Solution

SEA

BAR

TEA

EAR

MOB

TAR

TAB

FOX

DOG

BOX

RUG

COW

DIG

ROW

BIG

NOW



Exercise 5 – Solution

TAB

MOB

BAR

DOG

EAR

FOX

TAR

BOX

SEA

COW

TEA

ROW

DIG

NOW

BIG

RUG



Exercise 5 – Solution

BAR

MOB

BIG

NOW

BOX

ROW

COW

RUG

DIG

SEA

DOG

TAB

EAR

TAR

FOX

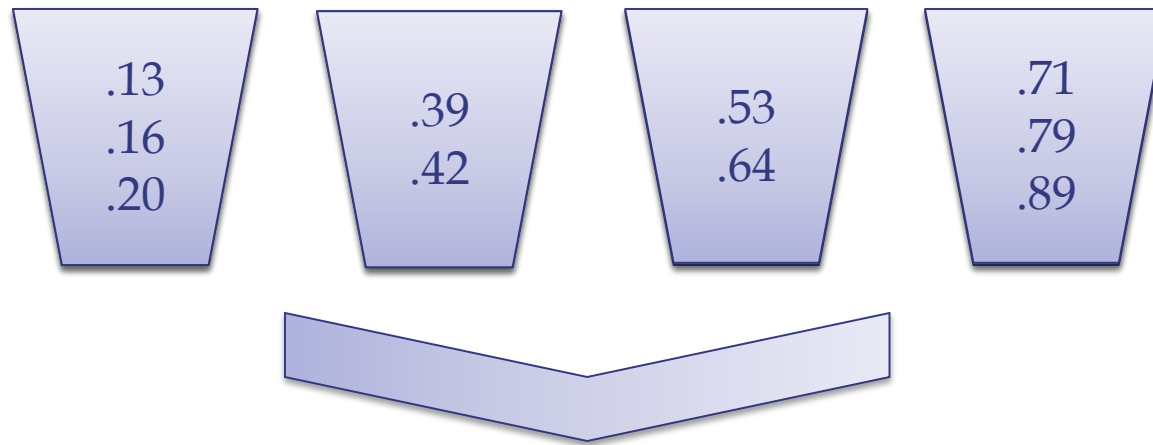
TEA



Exercise 6

- Illustrate the operation of **Bucket-Sort** on the array below.

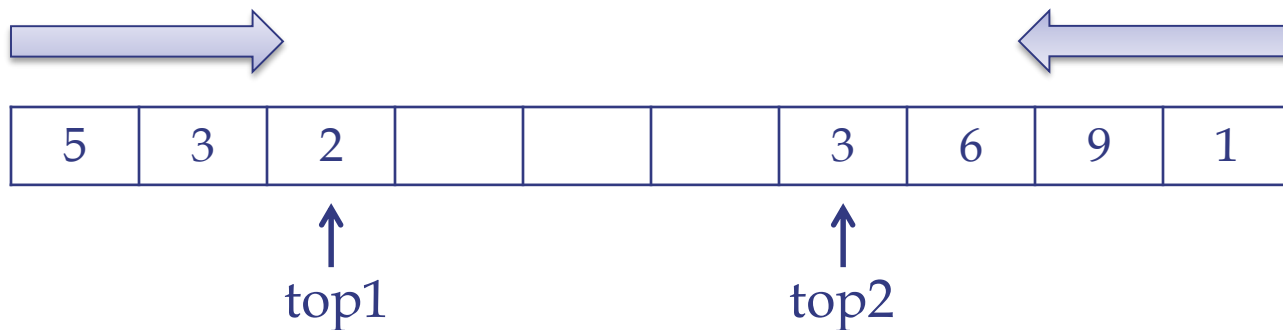
$A = [.79, .13, .16, .64, .39, .20, .89, .53, .71, .42]$



$A = [.13, .16, .20, .39, .42, .53, .64, .71, .79, .89]$

Exercise 7

- Explain how to implement **two stacks** in **one array** $A[1..n]$ in such a way that
 - neither stack overflows unless the total number of elements in both stacks is n .
 - the PUSH and POP operations should run in $O(1)$ time.



- Implement a **queue** by a singly linked list **L**. The operations **Enqueue** and **Dequeue** should still take **$O(1)$** time.
- Write an **$O(n)$ -time** procedure that, given an n -node **binary tree**, prints out the key of each node in the tree.
 - a) Recursively
 - b) Non-recursively using a **stack**

