

BLG 335E – Analysis of Algorithms I

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- Slightly modified version of **Problem 2.2** in *Introduction to Algorithms*, T.H. Cormen, C. E. Leiserson, R. L. Rivest, C. Stein, MIT Press, 2001
- We will:
 - Write *pseudocode* for the given algorithm
 - Prove that the algorithm actually solves the problem (using *loop invariants*)
 - Compute the *worst case running time* of the algorithm



Bubble Sort Algorithm

6 5 3 1 8 7 2 4

<http://bit.ly/VScGXL>



Writing the Pseudocode

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```



Question 1

- What do we need to prove to show that Bubble sort actually sorts?
- We need to prove that:
 - it terminates
 - the elements of A' form a permutation of the elements of A
 - $A'[1] \leq A'[2] \leq \dots \leq A'[n]$ where $n = \text{length}[A]$



Question 2

- State precisely a loop invariant for the inner loop, and prove that this loop invariant holds.

```
for  $i \leftarrow 1$  to  $length[A]$   
  { do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then  $exchange\ A[j] \leftrightarrow A[j - 1]$ 
```

Question 2 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Loop invariant:**

- At the start of each iteration of the inner **for** loop:

- $A[j] = \min \{ A[k] : j \leq k \leq n \}$
- the sub array $A[j..n]$ is a permutation of the values that were in $A[j..n]$ at the time that the loop started.

Question 2 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Initialization:**

- Initially, $j = n$, and the sub array $A[j .. n]$ consists of single element $A[n]$.
- The loop invariant trivially holds.



Question 2 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Maintenance (1/3):**
 - Consider an iteration for a given value of j .
 - $A[j]$ is the smallest value in $A[j .. n]$.
 - After the exchange, $A[j - 1]$ will be the smallest value in $A[j - 1 .. n]$



Question 2 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$ 
  do for  $j \leftarrow length[A]$  downto  $i + 1$ 
    do if  $A[j] < A[j - 1]$ 
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Maintenance (2/3):**
 - We know that:
 - Rest of the sub array remains the same
 - The sub array $A[j .. n]$ is a permutation of the values that were in $A[j .. n]$



Question 2 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$ 
  do for  $j \leftarrow length[A]$  downto  $i + 1$ 
    do if  $A[j] < A[j - 1]$ 
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Maintenance (3/3):**

- We see that:

- $A[j - 1 .. n]$ is a permutation of the values that were in $A[j - 1 .. n]$ at the time that the loop started
 - Decrementing j for the next iteration maintains the invariant



Question 2 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Termination:**
 - The loop terminates when j reaches i
 - Loop invariant holds



Question 3

- Using the termination condition of the loop invariant proved in *Question 2*, state a loop invariant for the for the outer loop that will allow you to prove:
 - $A'[1] \leq A'[2] \leq \dots \leq A'[n]$ where $n = \text{length}[A]$

```
for  $i \leftarrow 1$  to  $\text{length}[A]$   
  do for  $j \leftarrow \text{length}[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

Question 3 (Solution)

```
for  $i \leftarrow 1$  to  $\text{length}[A]$   
  do for  $j \leftarrow \text{length}[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Loop invariant:**
 - At the start of each iteration of the outer loop:
 - the sub array $A[1 .. i-1]$ consists of the $i-1$ smallest values originally in $A[1 .. n]$, in sorted order
 - $A[i .. n]$ consists of the $n-i+1$ remaining values originally in $A[1 .. n]$.



Question 3 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Initialization:**

- Before the first iteration of the loop:

- $i = 1$
- The sub array $A[1 .. i - 1]$ is empty
- the loop invariant vacuously holds



Question 3 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Maintenance (1/2):**
 - Consider an iteration for a given value of i
 - $A[1 .. i - 1]$ consists of the i smallest values in $A[1 .. n]$, in sorted order
 - In question 2 we have showed that:
 - after executing the outer loop, $A[i]$ is the smallest value in $A[i .. n]$

Question 3 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Maintenance (2/2):**

- As a result,

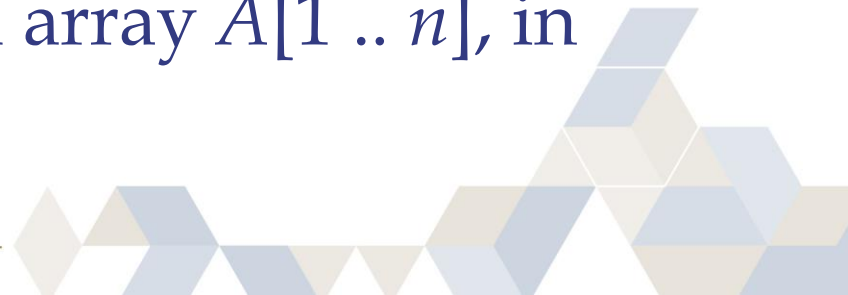
- $A[1 .. i]$ is now the i smallest values originally in $A[1 .. n]$, in sorted order
 - since the inner loop permutes $A[i .. n]$, the sub array $A[i + 1 .. n]$ consists of the $n - i$ remaining values originally in $A[1 .. n]$

Question 3 (Solution)

```
for  $i \leftarrow 1$  to  $\text{length}[A]$   
    do for  $j \leftarrow \text{length}[A]$  downto  $i + 1$   
        do if  $A[j] < A[j - 1]$   
            then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- **Termination:**

- The outer loop terminates when $i = n+1$, so that $i - 1 = n$
- $A[1 .. i - 1]$ is the entire array $A[1 .. n]$
- it consists of the original array $A[1 .. n]$, in sorted order



Question 4

- What is the worst-case running time of bubble sort?
- How does it compare to the running time of insertion sort and merge sort?



Question 4 (Solution)

```
for  $i \leftarrow 1$  to  $length[A]$   
  do for  $j \leftarrow length[A]$  downto  $i + 1$   
    do if  $A[j] < A[j - 1]$   
      then exchange  $A[j] \leftrightarrow A[j - 1]$ 
```

- For a given i , the inner loop makes $n - i$ iterations. The total number of iterations, therefore, is:

$$\begin{aligned}\sum_{i=1}^n (n - i) &= \sum_{i=1}^n n - \sum_{i=1}^n i = n^2 - \frac{n(n + 1)}{2} \\ &= n^2/2 - n/2\end{aligned}$$

Question 4 (Solution)

- The running time of bubble sort is $\Theta(n^2)$ in all cases (best, worst, average)
- Its worst case running time is
 - worse than Merge sort which is $O(n \log n)$
 - same as Insertion sort



- How can we optimize Bubble sort?
 - Terminate if no swaps are made in a loop step
 - Best case performance is $O(n)$
 - After every pass, all elements after the last swap are sorted, and do not need to be checked again
 - 50% improvement in comparison counts
 - no improvement in swap counts
 - Cocktail shaker sort (aka. bidirectional bubble sort)
 - Complexity approaches to $O(n)$ if the list is mostly ordered