

# Monotone Data Flow Analysis Frameworks

John B. Kam and Jeffrey D. Ullman, March 24th 1975

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# Today's agenda

- 1 Introduction
- 2 Background
- 3 Monotone Data Flow Analysis Frameworks
- 4 Approaches to solving monotone frameworks
- 5 A Variant of Kildall's Algorithm
- 6 Undecidability of MOP Problem for monotone frameworks

# Overview

- 2 Background
- 3 Monotone Data Flow Analysis Frameworks
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# Overview

## 2 Background

- Flow graph
- Semilattice
- Semilattice: ordering
- Semilattice: 0 and 1
- Semilattice: bounded chains

## 3 Monotone Data Flow Analysis Frameworks

## 4 Approaches to solving monotone frameworks

## 5 A Variant of Kildall's Algorithm

## 6 Undecidability of MOP Problem for monotone frameworks

# Flow graph

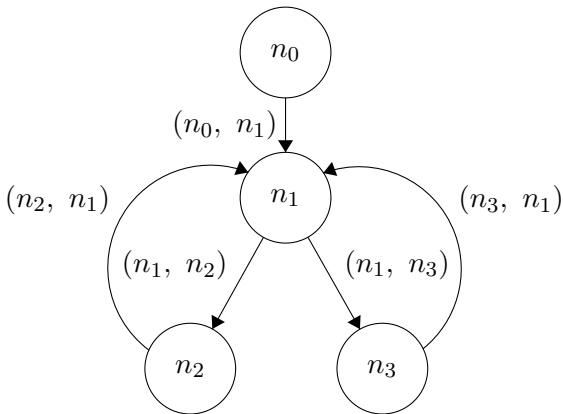
## Definition

A flow graph is a triple  $G = (N, E, n_0)$ .

Example:

$N = \{n_0, n_1, n_2, n_3\}$

$E = \{(n_0, n_1),$   
 $(n_1, n_2), (n_1, n_3),$   
 $(n_2, n_1), (n_3, n_1)\}$



# Semilattice

## Definition

A **semilattice** is a set  $L$  with *meet* operation  $\wedge$  where the meet operation satisfies the following properties:

$$a \wedge a = a \quad (\textit{idempotent})$$

$$a \wedge b = b \wedge a \quad (\textit{commutative})$$

$$a \wedge (b \wedge c) = (a \wedge b) \wedge c \quad (\textit{associative})$$

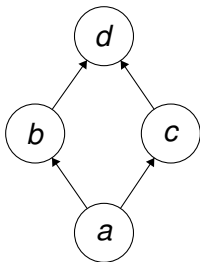
# Semilattice: ordering

## Definition

The meet  $\wedge$  defines a **partial order** on  $L$

$$a \geq b \quad \text{iff } a \wedge b = b$$

$$a > b = b \wedge a \quad \text{iff } a \wedge b = b \text{ and } a \neq b$$



**Figure:**  $d > b, c > a$ . However,  $b \not> c$  and  $c \not> b$ .

## Definition

Element  $e \in L$  is called **zero**, labeled 0, if

$$e \wedge x = e \quad \forall x \in L$$

Analogous to  $\perp$  from last lecture.

## Definition

Element  $e \in L$  is called **one**, labeled 1, if

$$e \wedge x = x \quad \forall x \in L$$

Analogous to  $\top$  from last lecture.

## Example

$$L = \{\{1, 2\}, \{1\}, \{2\}, \{\}\}$$

$$A \wedge B = A \cap B$$

Example 0:  $\{\}$

Example 1:  $\{1, 2\}$



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## Definition

A sequence  $x_1, x_2, \dots, x_n$  forms a **chain** if  $x_i > x_{i+1}$  for  $1 \leq i < n$ .

## Definition

The set  $L$  is said to be **bounded** if for each  $x \in L$  there is a constant  $b_x$  such that each chain beginning with  $x$  has length at most  $b_x$ .

## Example

$$L = \{\{1, 2\}, \{1\}, \{2\}, \{\}\}$$

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Example chain:  $\{1, 2\}, \{1\}, \{\}$

Any finite set is bounded. Can infinite sets be bounded?

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# Overview

## 2 Background

## 3 Monotone Data Flow Analysis Frameworks

- Monotone function space
- Monotone data flow analysis framework
- Constant propagation

## 4 Approaches to solving monotone frameworks

## 5 A Variant of Kildall's Algorithm

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# Monotone function space

## Definition

Given a bounded semilattice  $L$ , a set of functions  $F$  on  $L$  is said to be a *monotone function space* associated with  $L$  if the following conditions are satisfied:

**M1** Each  $f \in F$  satisfies the **monotonicity condition** if for all  $x, y \in L$ ,

$$f(x \wedge y) \leq f(x) \wedge f(y)$$

**M2** There exists an **identity function**  $i$  in  $F$ .

**M3**  $F$  is **closed** under composition.

**M4**  $L$  is equal to the closure of  $\{0\}$  under the meet operation and application of functions in  $F$  (more on next slide).

# Monotone function space (M4)

## Definition

**M4**  $L$  is equal to the closure of  $\{0\}$  under the meet operation and application of functions in  $F$ .

- Read: given  $F$ ,  $\{0\}$  and the meet operation, we can generate all elements of  $L$ .
- Alternatively: Any element in  $L$  can be expressed as a sequence of applications of functions in  $F$  and the meet operation with  $\{0\}$ .



# Monotone data flow analysis framework

## Definition

A Monotone data flow analysis framework (from here on *framework*) is a triple  $D = (L, \wedge, F)$ , where

- (1)  $L$  is a bounded semilattice with meet  $\wedge$
- (2)  $F$  is a monotone function space associated with  $L$

# Framework instance

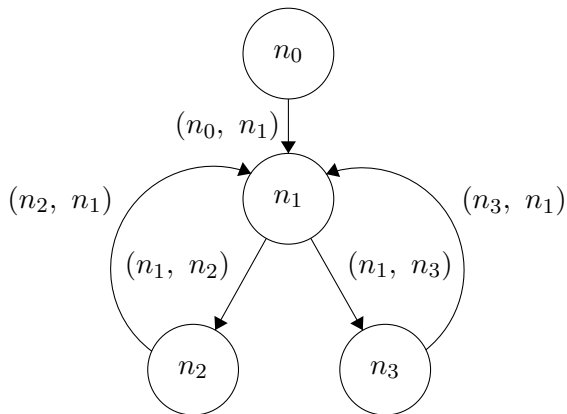
## Definition

A particular instance of a framework is a pair  $I = (G, M)$ , where

- (1)  $G = (N, E, n_0)$  is a flow graph.
- (2)  $M : N \rightarrow F$  is a function which maps each node in  $N$  to a function in  $F$ .

# Putting it together

- Instance  $I = (G, M)$
- Framework  
 $D = (L, \wedge, F)$
- $M : N \rightarrow F$
- $\forall f \in F, f : L \rightarrow L$
- $\wedge : L \times L \rightarrow L$



# Constant propagation

Constant propagation can be formalized as a monotone data flow analysis framework where

$$CONST = (L, \wedge, F)$$

framework

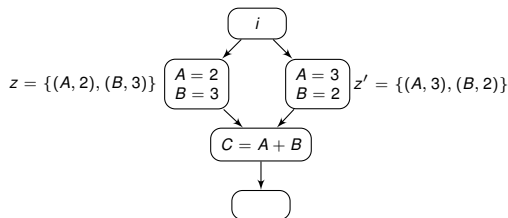
$$V = \{A_1, A_2, \dots\}$$

infinite set of variables

$$L \subset 2^{V \times \mathbb{R}}$$

possible variable assignments

$\wedge$  = set intersection



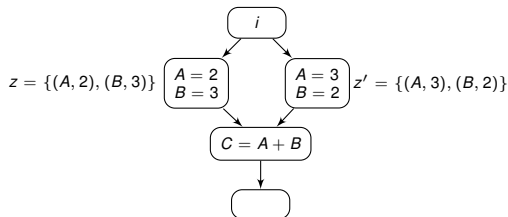
# Constant propagation is not distributive

## Definition

For all  $x, y \in L$  and  $f \in F$ , **distributivity** is satisfied when

$$f(x \wedge y) = f(x) \wedge f(y)$$

- $f(x \wedge y) =$   
 $\{(A, 2), (B, 3)\} \cap$   
 $\{(A, 3), (B, 2)\} = \emptyset$
- $f(x) \wedge f(y) =$   
 $\{(A, 2), (B, 3), (C, 5)\} \cap$   
 $\{(A, 3), (B, 2), (C, 5)\} =$   
 $\{(C, 5)\}$



**Figure:** Counter example to distributivity of CONST

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4 Approaches to solving monotone frameworks

- Algorithm 1 (Kildall's Algorithm)
- Properties of Algorithm 1

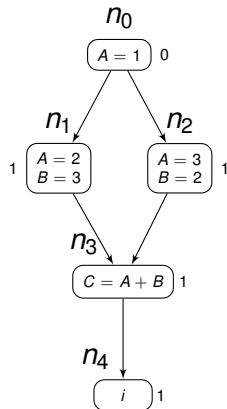
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# Initialization

$$A[n] = \begin{cases} 0 & \text{if } n = n_0 \\ 1 & \text{otherwise} \end{cases}$$

- 0 - zero element of the lattice
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# Iteration Step

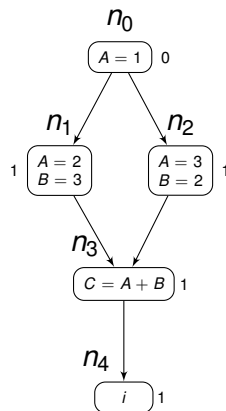
Visit nodes other than  $n_0$  in order  $v_1, v_2, \dots$ , for each visited node  $n$ , set

$$A[n] = \bigwedge_{p \in \text{PRED}(n)} f_p(A[p])$$

Conditions of the sequence( $v_1, v_2, \dots$ ):

- If a node doesn't satisfy the equation above, it should be visited again.
- If all nodes satisfy the equation, the sequence eventually end.

Example:  $n_2, n_1, n_3, n_2, n_3$





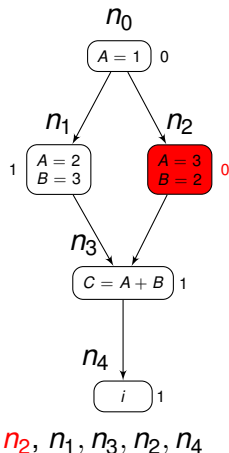
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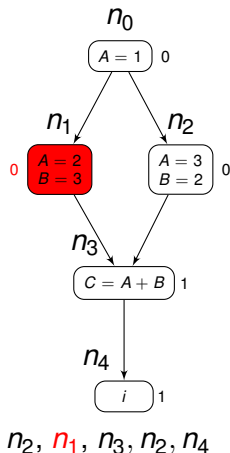
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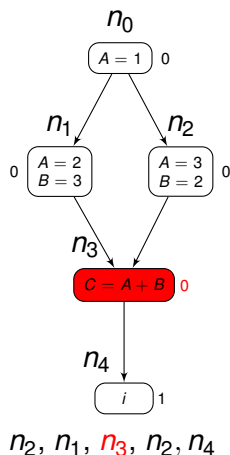
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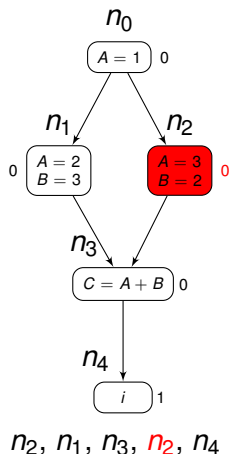
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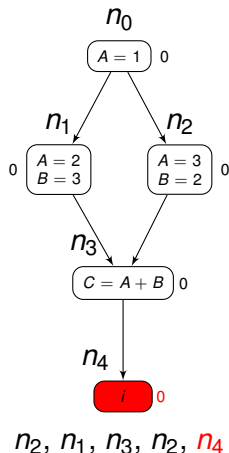
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# Properties of Algorithm 1

## Theorem

Given an instance  $I = (G, M)$  of a framework  $D = (L, \wedge, F)$  as input to Algorithm 1:

- (i) *Algorithm 1 will eventually halt. proof:  $A[n]$  decrease and  $L$  is bounded.*
- (ii)  $(\forall n \in \mathbb{N}) A[n] \leq \bigwedge_{P \in \text{PATH}(n)} f_P(0)$ . *proof: Induction on the length of  $P$ .*
- (iii) *The result we get is unique independent of the order in which the nodes are visited. proof:  $A[n]$  is the maximal fix point of the set of equations.*
- (iv) *There're cases where  $A[n]$  is strictly less than MOP when the data flow graph is monotone. proof: illustrated in the example.*

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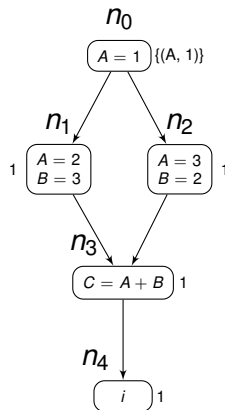
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  - Algorithm 2
  - Properties of Algorithm 2
  - Algorithm 2 is not Universal
- 6 Undecidability of MOP Problem for monotone frameworks

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$$B[n] = \begin{cases} f_{n_0}(0) & \text{if } n = n_0 \\ 1 & \text{otherwise} \end{cases}$$

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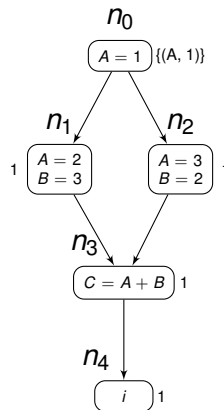


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Same conditions for the sequence as in Algorithm 1.

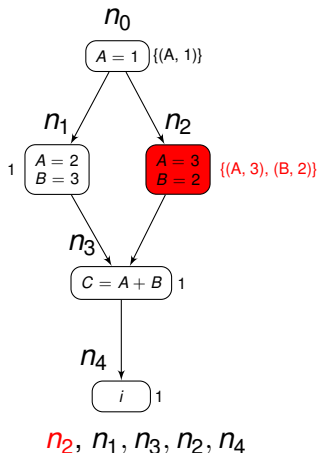


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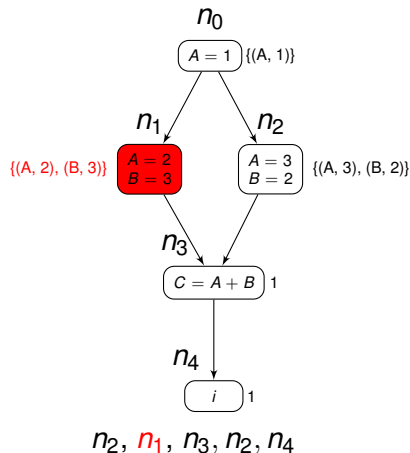


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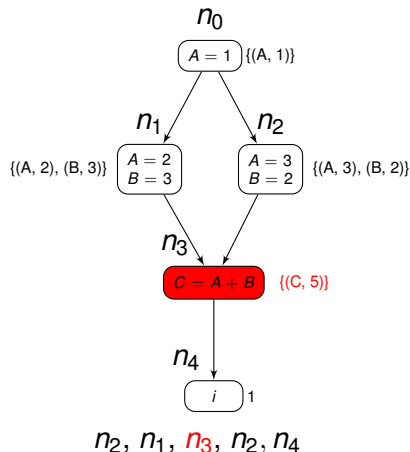


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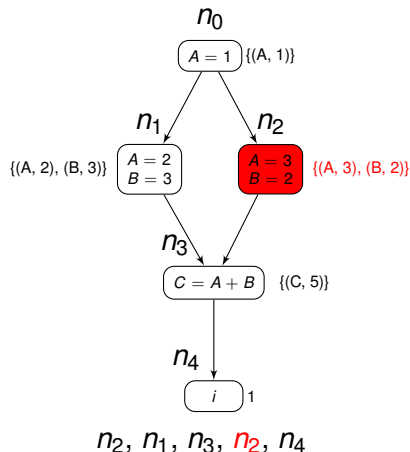


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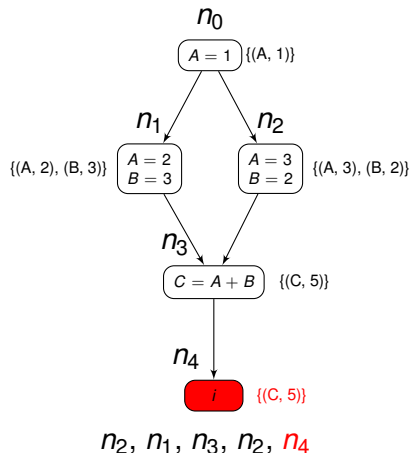


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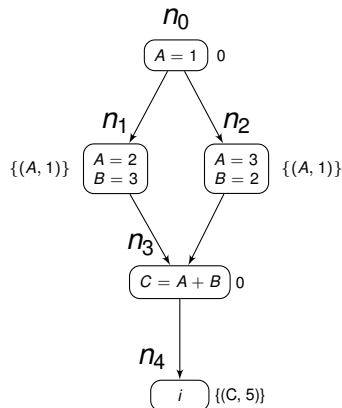
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# Final Step

For each node, set

$$H[n] = \begin{cases} 0 & \text{if } n = n_0 \\ \bigwedge_{p \in \text{PRED}(n)} B[p] & \text{otherwise} \end{cases}$$



# Properties of Algorithm 2

## Theorem

Given an instance  $I = (G, M)$  of a framework  $D = (L, \wedge, F)$  as input to Algorithm 2:

- (i) *Algorithm 2 will eventually halt. proof:  $B[n]$  decrease and  $L$  is bounded.*
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- (iii)  $(\forall n \in N) H[n] \leq \bigwedge_{P \in \text{PATH}(n)} f_P(0)$ . *proof: Induction on the length of  $P$ .*
- (iv) If  $A[n]$  is the result of applying Algorithm 1 to  $I = (G, M)$ , then  $A[n] \leq H[n]$ . **Let's prove this!**

# Property (iv) of Algorithm 2 - Proof

## Proposition (iv)

If  $A[n]$  is the result of applying Algorithm 1 to  $I = (G, M)$ , then  $A[n] \leq H[n]$ .

## Proof by induction on steps of algorithm 2

For node  $n_0$ , the property trivially holds because  $A[n_0] = H[n_0] = 0$ .  
For all other node  $n \in N$ ,  $A[n] \leq H[n]$  is equivalent to:  $f_n(A[n]) \leq B[n]$  because

$$A[n] = \bigwedge_{p \in \text{PRED}(n)} f_p(A[p])$$

$$H[n] = \bigwedge_{p \in \text{PRED}(n)} B[p]$$

*Base step* ( $m = 0$ ).  $B^0[n] = 1$  and by definition  $f_n(A[n]) \leq 1$



## Proof by induction on steps of algorithm 2 (cont.)

*Induction step* ( $m > 0$ ). Our induction hypothesis (IH) is:

$$B^{m-1}[n] \geq f_n(A[n])$$

Then

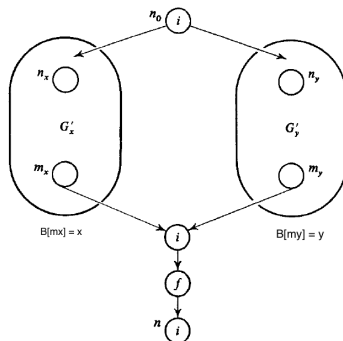
$$\begin{aligned} B^m[n] &= \bigwedge_{p \in \text{PRED}(n)} f_n(B^{m-1}[p]) \\ &\geq \bigwedge_{p \in \text{PRED}(n)} f_n(f_p(A[p])) && \text{(IH)} \\ &\geq f_n\left(\bigwedge_{p \in \text{PRED}(n)} f_p(A[p])\right) && \text{(monotonicity)} \\ &= f_n(A[n]) \end{aligned}$$



# Algorithm 2 is not Universal

An infinite number of counterexamples exist. Consider the following constructed instance of a **non-distributive** monotone framework:

$$MOP(n) = \bigwedge_{P \in PATH(n)} f_P(0) \geq f(x) \wedge f(y) > f(x \wedge y) = H[n].$$



# Overview

- 2 Background
- 3 Monotone Data Flow Analysis Frameworks
- 4 Approaches to solving monotone frameworks
- 5 A Variant of Kildall's Algorithm
- 6 Undecidability of MOP Problem for monotone frameworks
  - Previous result
  - Strengthened result

# Previous result

*Computing the MOP solution for a monotone framework is NP-hard.*

*- Dana Charmian Angluin<sup>1</sup>*

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<sup>1</sup>Missing reference

# Strengthened result

## Theorem

*Given arbitrary instance  $I = (G, M)$  of an arbitrary monotone framework  $D = (L, \wedge, F)$ , there does not exist an algorithm which computes  $\bigwedge_{P \in \text{PATH}(n)} f_P(0)$  for all nodes  $n \in G$ .*

## Intuition

The *Modified Post Correspondence Problem* (MPCP), which is well known to be **undecidable**, can be reduced to the *MOP problem*. This is accomplished by modeling the MPCP problem as a monotone data flow framework.

# MPCP example

## Definition (Modified Post Correspondence Problem)

Given arbitrary lists A and B, of k strings each in  $\{0, 1\}^+$ , say

$$A = w_1, w_2, \dots, w_k \quad B = z_1, z_2, \dots, z_k$$

does there exist a sequence of integers  $i_1, i_2, \dots, i_r$  such at

$$w_1 w_{i_1} w_{i_2} \dots w_{i_r} = z_1 z_{i_1} z_{i_2} \dots z_{i_r}$$

This example has a solution: **3, 2, 2, 4**.

$$w_1 w_3 w_2 w_2 w_4 = 11, 0111, 1, 1, 10 = 1101111110$$

$$x_1 x_3 x_2 x_2 x_4 = 1, 10, 111, 111, 0 = 1101111110$$

$i$	$w_i$	$x_i$
1	11	1
2	1	111
3	0111	10
4	10	0

# Reducing MPCP to MOP

## Define

- $L = 0, \$$ , and all strings of integers beginning with 1
- $x \wedge y = 0$  if  $x \neq y$
- $h(x) = "1"$
- $f_i(0) = 0, f_i(\$) = \$$ ,  
 $f_i(x) = \text{concat}(x, i)$
- $g(0) = 0, g(\$) = \$$
- $g(x) = 0$  if  $x$  is a solution
- $g(x) = \$$  otherwise

We have  $MOP(*) = 0$  if there's a solution, otherwise  $MOP(*) = \$$ .

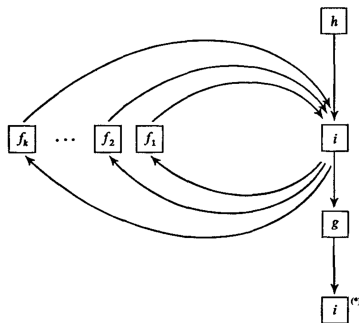


Figure: The solution to a MPCP would be one path from  $n_0$  to  $n$