Combinatorial Search



- permutations
- backtracking
- **counting**
- **subsets**
- paths in a graph

Overview

Exhaustive search. Iterate through all elements of a search space.

Backtracking. Systematic method for examining feasible solutions to a problem, by systematically eliminating infeasible solutions.

Applicability. Huge range of problems (include NP-hard ones).

Caveat. Search space is typically exponential in size \Rightarrow effectiveness may be limited to relatively small instances.

Caveat to the caveat. Backtracking may prune search space to reasonable size, even for relatively large instances

Warmup: enumerate N-bit strings

Problem: process all 2^N N-bit strings (stay tuned for applications).

Equivalent to counting in binary from 0 to 2^N - 1.

- maintain a[i] where a[i] represents bit i
- initialize all bits to o
- simple recursive method does the job (call enumerate(0))

```
private void enumerate(int k)
{
  if (k == N)
    { process(); return; }
    enumerate(k+1);
  a[k] = 1;
  enumerate(k+1);
  a[k] = 0;
}
  clean up
```

```
starts with all Os
                           0 1
                           0 0
                           0 0
example showing
 cleanups that ·
zero out digits
                       0 0 0 0
```

ends with all Os

Invariant (prove by induction);

Enumerates all (N-k)-bit strings and cleans up after itself.

Warmup: enumerate N-bit strings (full implementation)

public class Counter

are variations

Equivalent to counting in binary from 0 to 2^N - 1.

```
private int N; // number of bits
                    private int[] a; // bits (0 or 1)
                    public Counter(int N)
                       this.N = N;
                       a = new int[N];
                       for (int i = 0; i < N; i++)
                           a[i] = 0; optional
all the programs
                       enumerate(0);
                                     (in this case)
in this lecture
                    private void enumerate(int k)
on this theme
                      if (k == N)
                      { process(); return; }
                      enumerate(k+1);
                      a[k] = 1;
                      enumerate(k+1);
                      a[k] = 0;
                    public static void main(String[] args)
                       int N = Integer.parseInt(args[0]);
                       Counter c = new Counter(N);
```

```
private void process()
   for (int i = 0; i < N; i++)
      StdOut.print(a[i]);
   StdOut.println();
```

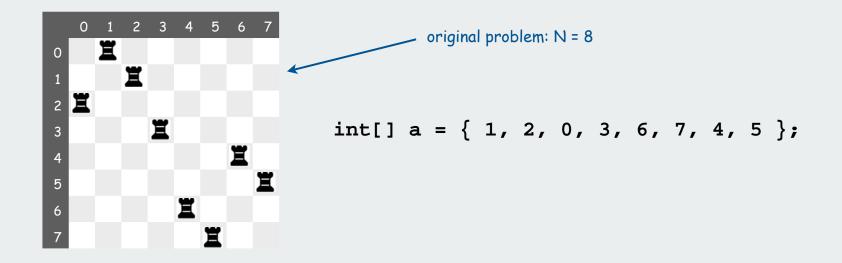
```
% java Counter 4
0000
0001
0010
0011
0100
0101
0110
0111
1000
1001
1010
1011
1100
1101
1110
1111
```

▶ permutations ▶ backtracking **▶** counting **>** subsets ▶ paths in a graph

N-rooks Problem

How many ways are there to place

N rooks on an N-by-N board so that no rook can attack any other?



No two in the same row, so represent solution with an array a[i] = column of rook in row i.

No two in the same column, so array entries are all different a[] is a permutation (rearrangement of 0, 1, ... N-1)

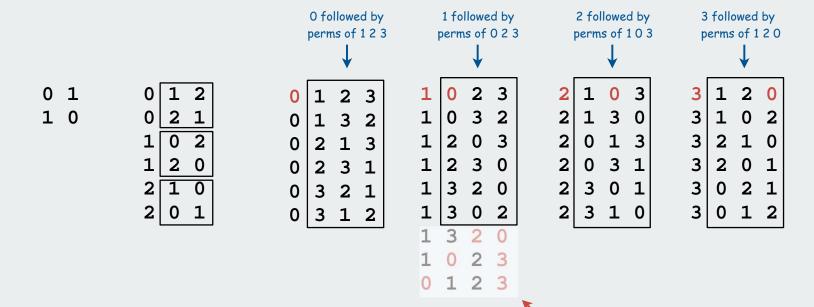
Answer: There are N! non mutually-attacking placements.

Challenge: Enumerate them all.

Enumerating permutations

Recursive algorithm to enumerate all N! permutations of size N:

- Start with 0 1 2 ... N-1.
- For each value of i
 - swap i into position o
 - enumerate all (N-1)! arrangements of a[1..N-1]
 - clean up (swap i and o back into position)



N-rooks problem (enumerating all permutations): scaffolding

```
public class Rooks
   private int N;
   private int[] a;
   public Rooks(int N)
      this.N = N;
      a = new int[N];
                                                     initialize a[0..N-1] to 0..N-1
      for (int i = 0; i < N; i++)
         a[i] = i;
      enumerate(0);
   private void enumerate(int k)
   { /* See next slide. */ }
   private void exch(int i, int j)
      int t = a[i]; a[i] = a[j]; a[j] = t; }
   private void process()
      for (int i = 0; i < N; i++)
          StdOut.print(a[i] + " ");
      StdOut.println();
                                              % java Rooks 3
   public static void main(String[] args)
                                              0 1 2
                                              0 2 1
      int N = Integer.parseInt(args[0]);
                                              1 0 2
      Rooks t = new Rooks(N);
                                              1 2 0
      t.enumerate(0);
                                               2 1 0
                                              2 0 1
```

N-rooks problem (enumerating all permutations): recursive enumeration

Recursive algorithm to enumerate all N! permutations of size N:

- Start with 0 1 2 ... N-1.
- For each value of i
 - swap i into position o
 - enumerate all (N-1)! arrangements of a[1..N-1]
 - clean up (swap i and o back into position)

```
private void enumerate(int k)
{
    if (k == N)
    {
        process();
        return;
    }
    for (int i = k; i < N; i++)
    {
        exch(a, k, i);
        enumerate(k+1);
        exch(a, k, i);
    }
}

clean up</pre>
```

```
% java Rooks 4
0 1 2 3
0 1 3 2
0 2 1 3
0 2 3 1
1 0 2 3
2 1 3 0
2 0 1 3
2 3 1 0
3 1 2 0
3 0 2 1
```

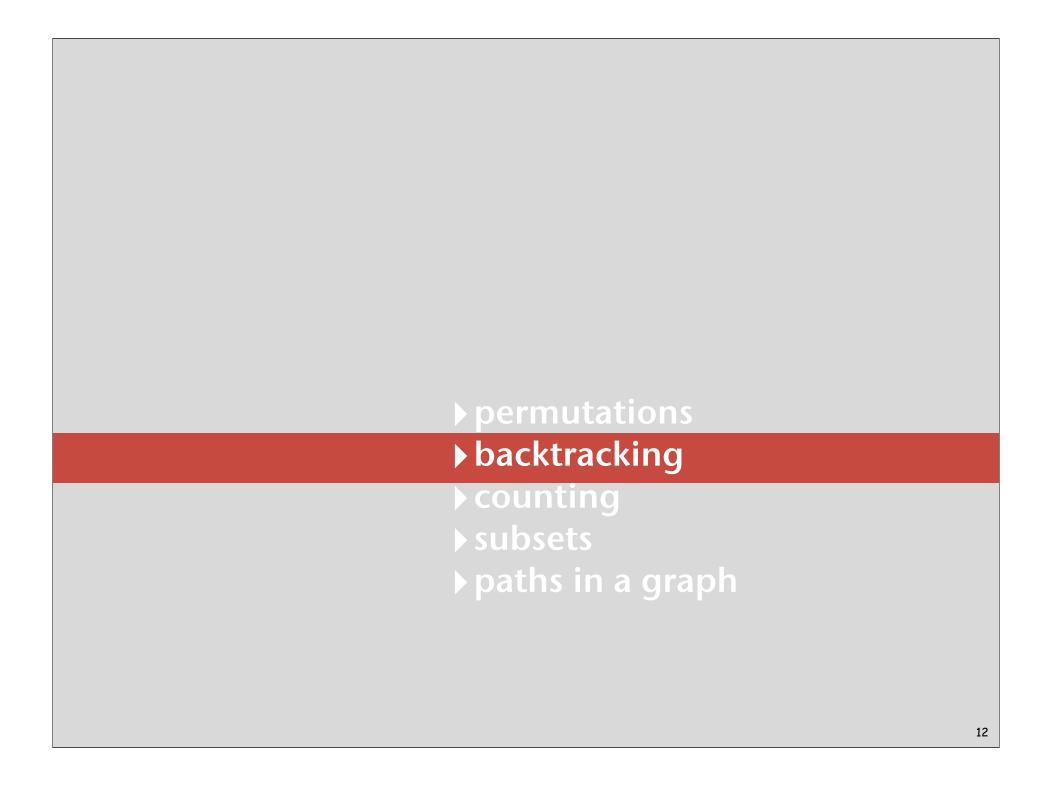
4-Rooks search tree 1 solutions 10

N-rooks problem: back-of-envelope running time estimate

[Studying slow way to compute N! but good warmup for calculations.]

Hypothesis: Running time is about 2(N! / 11!) seconds.

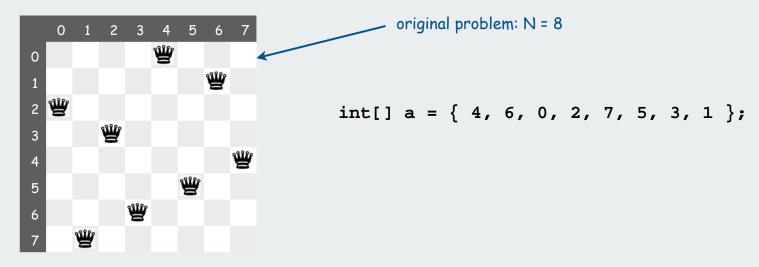




N-Queens problem

How many ways are there to place

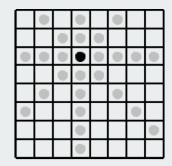
N queens on an N-by-N board so that no queen can attack any other?



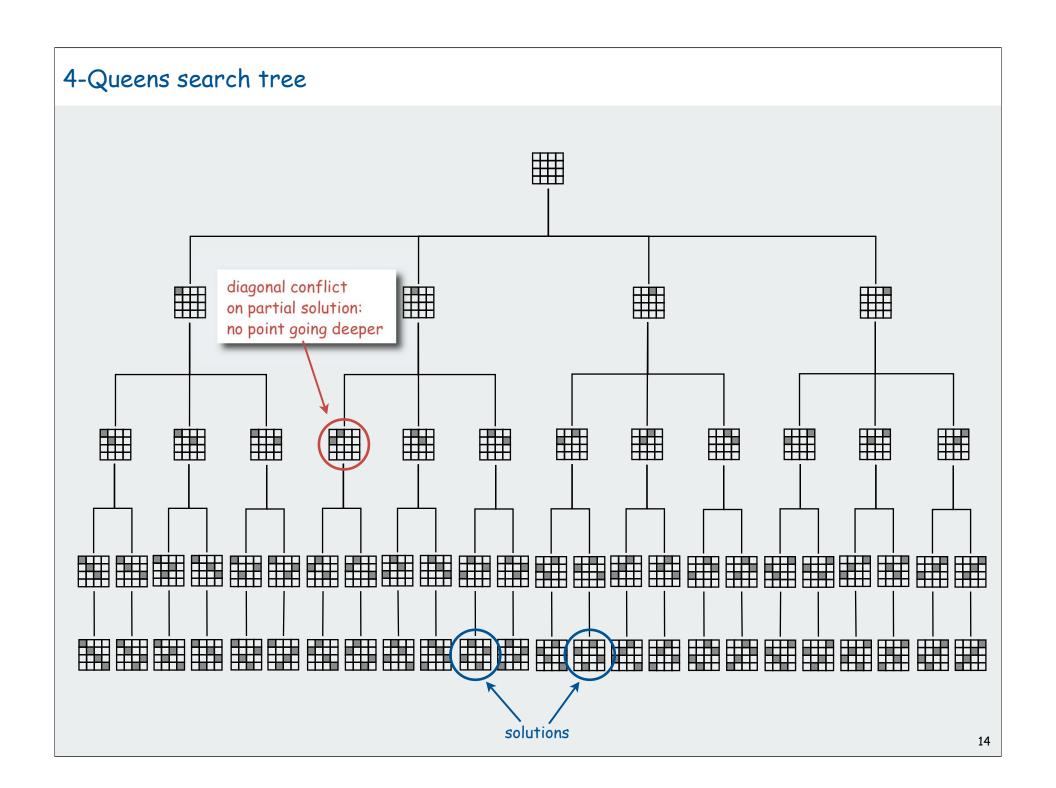
Representation. Same as for rooks:

represent solution as a permutation: a[i] = column of queen in row i.

Additional constraint: no diagonal attack is possible



Challenge: Enumerate (or even count) the solutions



N Queens: Backtracking solution

Iterate through elements of search space.

- when there are N possible choices, make one choice and recur.
- if the choice is a dead end, backtrack to previous choice, and make next available choice.

Identifying dead ends allows us to prune the search tree

For N queens:

- dead end: a diagonal conflict
- pruning: backtrack and try next row when diagonal conflict found

In general, improvements are possible:

- try to make an "intelligent" choice
- try to reduce cost of choosing/backtracking

4-Queens Search Tree (pruned) Backtrack on diagonal conflicts solutions 16

N-Queens: Backtracking solution

```
private boolean backtrack(int k)
   for (int i = 0; i < k; i++)
      if ((a[i] - a[k]) == (k - i)) return true;
      if ((a[k] - a[i]) == (k - i)) return true;
   return false;
                                                stop enumerating
                                                if adding the nth
private void enumerate(int k)
                                                queen leads to a
   if (k == N)
                                                diagonal violation
      process();
      return;
   for (int i = k; i < N; i++)
      exch(a, k, i);
      if (! backtrack(k)) enumerate(k+1);
      exch(a, k, i);
```

```
% java Queens 4
1 3 0 2
2 0 3 1
% java Queens 5
0 2 4 1 3
0 3 1 4 2
1 3 0 2 4
1 4 2 0 3
2 0 3 1 4
2 4 1 3 0
3 1 4 2 0
3 0 2 4 1
4 1 3 0 2
4 2 0 3 1
% java Queens 6
1 3 5 0 2 4
2 5 1 4 0 3
3 0 4 1 5 2
4 2 0 5 3 1
```

N-Queens: Effectiveness of backtracking

Pruning the search tree leads to enormous time savings

N	2	3	4	5	6	7	8	9	10	11	12
Q(N)	0	0	2	10	4	40	92	352	724	2,680	14,200
N!	2	6	24	120	720	5,040	40,320	362,880	3,628,800	39,916,800	479,001,600

ı	Ν	13	14	15	16
1	Q(N)	73,712	365,596	2,279,184	14,772,512
١	N!	6,227,020,800	87,178,291,200	1 ,307,674,368,000	20, 922,789,888,000

savings: factor of more than 1-million

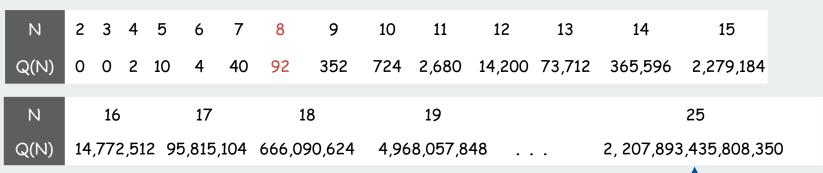
N-Queens: How many solutions?

Answer to original question easy to obtain:

- add an instance variable to count solutions (initialized to 0)
- change process() to increment the counter
- add a method to return its value

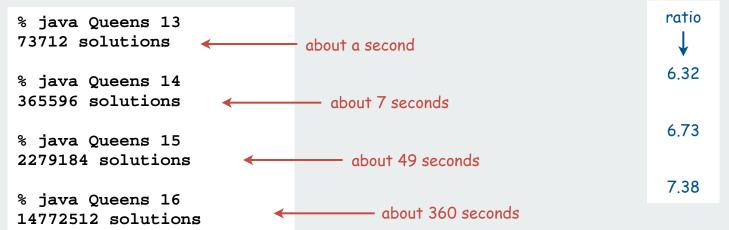
```
% java Queens 4
2 solutions
% java Queens 8
92 solutions
% java Queens 16
14772512 solutions
```

Source: On-line encyclopedia of integer sequences, N. J. Sloane [sequence A000170]

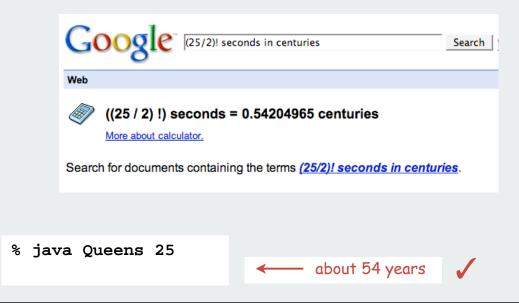


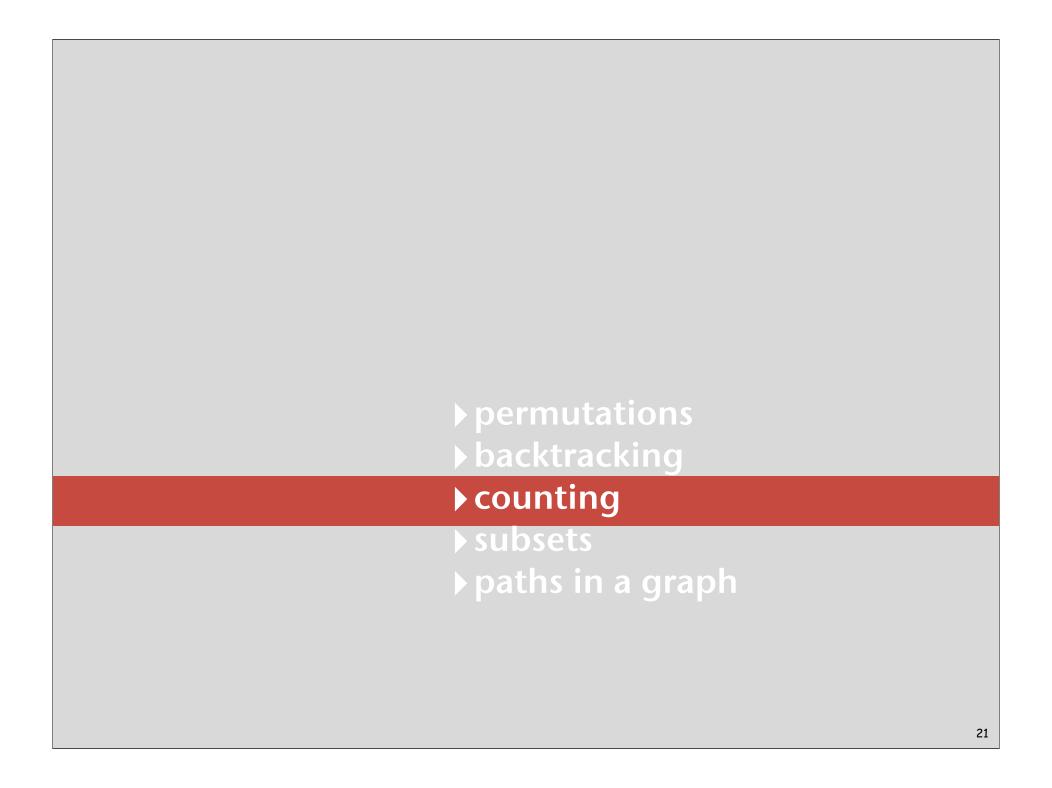
N-queens problem: back-of-envelope running time estimate

Hypothesis??



Hypothesis: Running time is about (N/2)! seconds.





Counting: Java Implementation

Problem: enumerate all N-digit base-R numbers

Solution: generalize binary counter in lecture warmup

enumerate N-digit base-R numbers

0 0 0

1 0 0 0 0 0 2 0 0 0 0 1 1 0 1 2 0 1 0 2 1 0 2 2 0 2 1 0 1 1 0 2 1 0 1 1 1 2 1 1 0 1 1 1 1 2 2 1 2 0 1 2 0 2 0 1 2 0 2 2 0 0 2 1 1 2 1 2 2 1 1 2 2 0 2 2 2 2 2 0 2 0

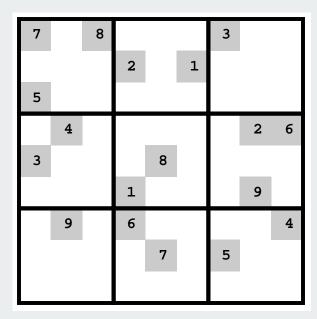
enumerate binary numbers (from warmup)

```
private void enumerate(int k)
{
  if (k == N)
   {   process(); return; }
  enumerate(k+1);
  a[k] = 1;
  enumerate(k+1);
  a[k] = 0;
}
  clean up
```

Counting application: Sudoku

Problem:

Fill 9-by-9 grid so that every row, column, and box contains each of the digits 1 through 9.



Remark: Natural generalization is NP-hard.

Counting application: Sudoku

Problem:

Fill 9-by-9 grid so that every row, column, and box contains each of the digits 1 through 9.

7	2	8	9	4	6	3	1	5
9	3	4	2	5	1	6	7	8
5	1	6	7	3	8	2	4	9
1	4	7	5	9	3	8	2	6
3	6	9	4	8	2	1	5	7
8	5	2	1	6	7	4	9	3
2	9	3	6	1	5	7	8	4
4	8	1	3	7	9	5	6	2
6	7	5	8	2	4	9	3	1

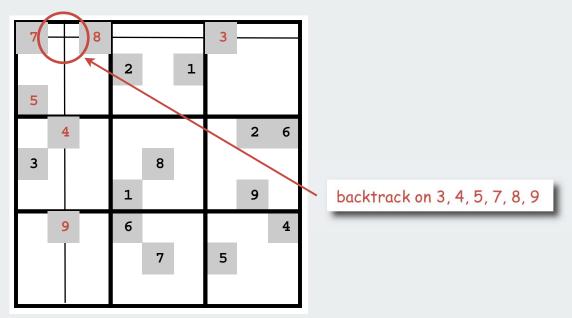
Solution: Enumerate all 81-digit base-9 numbers (with backtracking).



Sudoku: Backtracking solution

Iterate through elements of search space.

- For each empty cell, there are 9 possible choices.
- Make one choice and recur.
- If you find a conflict in row, column, or box, then backtrack.



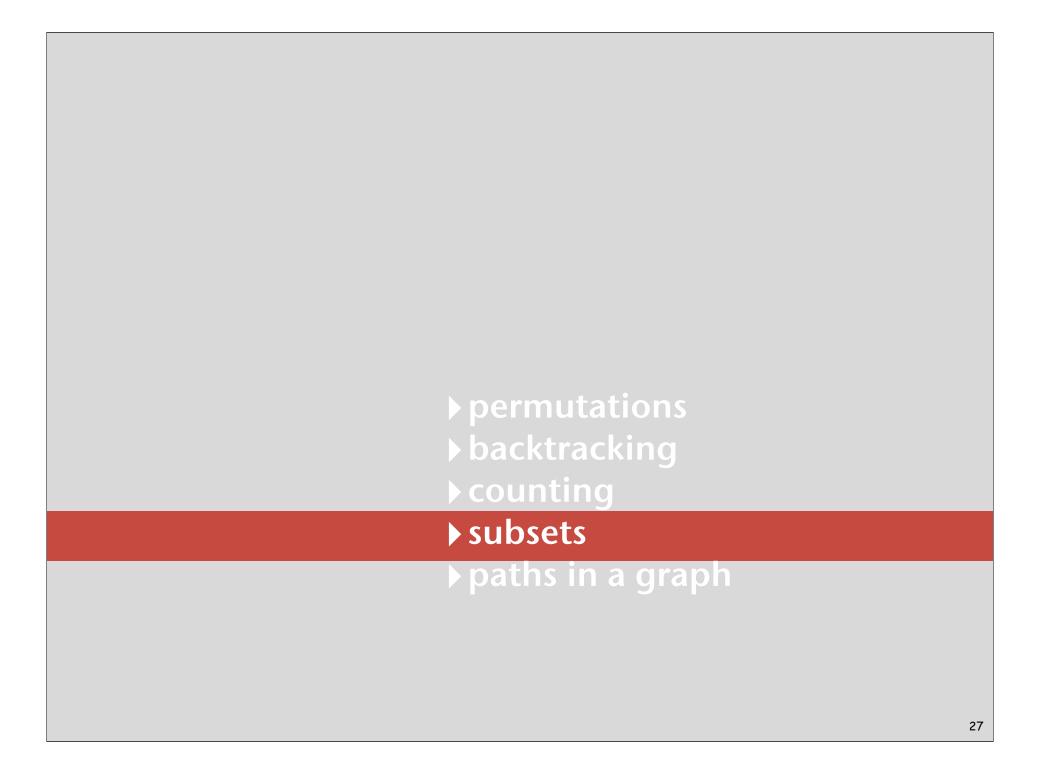
Improvements are possible.

- try to make an "intelligent" choice
- try to reduce cost of choosing/backtracking

Sudoku: Java implementation

```
private static void solve(int cell)
                                                            int[81] board;
                                                            for (int i = 0; i < 81; i++)
                                                               board[i] = StdOut.readInt();
   if (cell == 81)
                                                            Solver s = new Solver(board);
      show(board); return; }
                                                            s.solve();
   if (board[cell] != 0)
                                            already filled in
       solve(cell + 1); return;
                                                                    % more board.txt
                                                                    7 0 8 0 0 0 3 0 0
   for (int n = 1; n \le 9; n++)
                                                                     0 0 2 0 1 0 0 0
                                           - try all 9 possibilities
       if (! backtrack(cell, n))_
                                                                    0 4 0 0 0 0 0 2 6
                                                                    3 0 0 0 8 0 0 0 0
                                             unless a Sudoku
          board[cell] = n;
                                                                    0 0 0 1 0 0 0 9 0
                                                                   0 9 0 6 0 0 0 0 4
          solve(cell + 1);
                                           constraint is violated
                                                                    0 0 0 0 7 0 5 0 0
                                          (see booksite for code)
                                                                   0 0 0 0 0 0 0 0
                                                                    % java Solver
   board[cell] = 0;
                                             clean up
                                                                    9 3 4 2 5 1 6 7 8
                                                                    5 1 6 7 3 8 2 4 9
                                                                   4 8 1 3 7 9 5 6 2
                                                                   6 7 5 8 2 4 9 3 1
```

Works remarkably well (plenty of constraints). Try it!



Enumerating subsets: natural binary encoding

Given n items, enumerate all 2^n subsets.

- count in binary from 0 to $2^n 1$.
- bit i represents item i
- if 0, in subset; if 1, not in subset

i	binary	subset	complement
0	0 0 0 0	empty	4 3 2 1
1	0 0 0 1	1	4 3 2
2	0 0 1 0	2	4 3 1
3	0 0 1 1	2 1	4 3
4	0 1 0 0	3	4 2 1
5	0 1 0 1	3 1	4 2
6	0 1 1 0	3 2	4 1
7	0 1 1 1	3 2 1	4
8	1 0 0 0	4	3 2 1
9	1 0 0 1	4 1	3 2
10	1 0 1 0	4 2	3 1
11	1 0 1 1	4 2 1	3
12	1 1 0 0	4 3	2 1
13	1 1 0 1	4 3 1	2
14	1 1 1 0	4 3 2	1
15	1111	4 3 2 1	empty

Enumerating subsets: natural binary encoding

Given N items, enumerate all 2^N subsets.

- count in binary from 0 to 2^N 1.
- maintain a[i] where a[i] represents item i
- if 0, a[i] in subset; if 1, a[i] not in subset

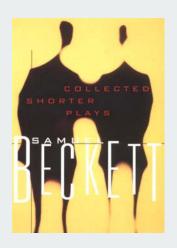
Binary counter from warmup does the job

```
private void enumerate(int k)
{
  if (k == N)
   { process(); return; }
  enumerate(k+1);
  a[k] = 1;
  enumerate(k+1);
  a[k] = 0;
}
```

Digression: Samuel Beckett play

Quad. Starting with empty stage, 4 characters enter and exit one at a time, such that each subset of actors appears exactly once.

code			subset	move	
0 0	0	0	empty		
0 0	0	1	1	enter	1
0 0	1	1	2 1	enter	2
0 0	1	0	2	exit	1
0 1	1	0	3 2	enter	3
0 1	1	1	3 2 1	enter	1
0 1	0	1	3 1	exit	2
0 1	0	0	3	exit	1
1 1	0	0	4 3	enter	4
1 1	0	1	4 3 1	enter	1
1 1	1	1	4 3 2 1	enter	2
1 1	1	0	4 3 2	exit	1
1 0	1	0	4 2	exit	3
1 0	1	1	4 2 1	enter	1
1 0	0	1	4 1	exit	2
1 0	0	0	4	exit	1
					A

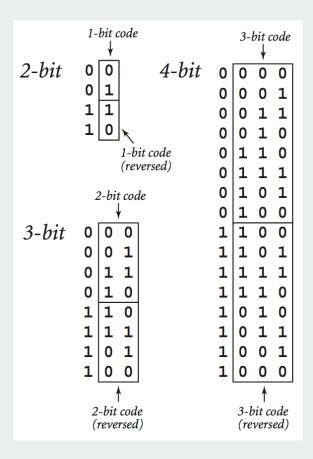


ruler function

Binary reflected gray code

The n-bit binary reflected Gray code is:

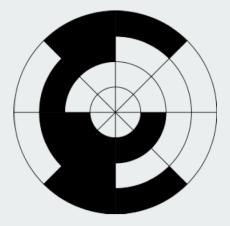
- the (n-1) bit code with a 0 prepended to each word, followed by
- the (n-1) bit code in reverse order, with a 1 prepended to each word.



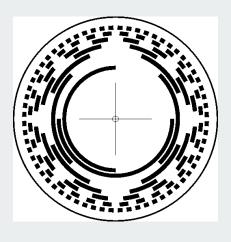
Beckett: Java implementation

```
% java Beckett 4
enter 1
enter 2
exit 1
            stage directions
enter 3
            for 3-actor play
enter 1
            moves(3, true)
exit 2
exit 1
enter 4
enter 1
enter 2
exit 1
           reverse stage directions
exit 3
               for 3-actor play
enter 1
               moves(3, false)
exit 2
exit 1
```

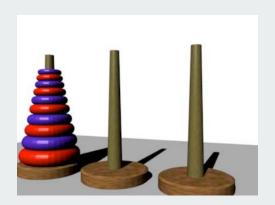
More Applications of Gray Codes



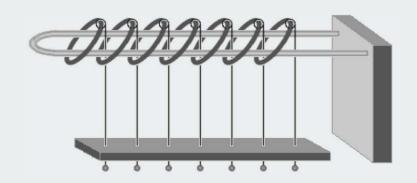
3-bit rotary encoder



8-bit rotary encoder



Towers of Hanoi



Chinese ring puzzle

Enumerating subsets using Gray code

Two simple changes to binary counter from warmup:

- flip a[k] instead of setting it to 1
- eliminate cleanup

Gray code enumeration

```
private void enumerate(int k)
{
  if (k == N)
   { process(); return; }
  enumerate(k+1);
  a[k] = 1 - a[k];
  enumerate(k+1);
}
```

standard binary (from warmup)

```
private void enumerate(int k)
{
  if (k == N)
   {   process(); return; }
  enumerate(k+1);
  a[k] = 1;
  enumerate(k+1);
  a[k] = 0;
}
  clean up
```

Advantage (same as Beckett): only one item changes subsets

Scheduling

Scheduling (set partitioning). Given n jobs of varying length, divide among two machines to minimize the time the last job finishes.

	job	length	
	0	1.41	
	1	1.73	
	2	2.00	
	3	2.23	cost
			↓ ↓ ·
machine 0	0	2	
machine 1	1	3	
machine 0	0	3	
machine 1	1	2	

Remark: NP-hard.

```
or, equivalently, difference
between finish times
```

```
public double[] finish(int[] a)
{
    double[] time = new double[2];
    time[0] = 0.0; time[1] = 0.0;
    for (int i = 0; i < N; i++)
        time[a[i]] += jobs[i];
    return time;
}

private double cost(int[] a)
{
    double[] time = finish(a);
    return Math.abs(time[0] - time[1]);
}</pre>
```

```
time[0] time[1]
  a[]
0 1 1 0
             1.41
                        0
0 1 1 0
             1.41
0 1 1 0
             1.41
                      1.73
0 1 1 0
             1.41
                      3.73
             3.64
                      3.73
             3.64
                      3.73
            cost: .09
```

Scheduling (full implementation)

```
public class Scheduler
                 // Number of jobs.
   int N;
                 // Subset assignments.
   int[] a;
  int[] b; // Best assignment.
  double[] jobs; // Job lengths.
  public Scheduler(double[] jobs)
     this.N = jobs.length;;
     this.jobs = jobs;
     a = new int[N];
     b = new int[N];
     for (int i = 0; i < N; i++)
         a[i] = 0;
     for (int i = 0; i < N; i++)
         b[i] = a[i];
     enumerate(0);
  public int[] best()
   { return b; }
  private void enumerate(int k)
   { /* Gray code enumeration. */ }
  private void process()
     if (cost(a) < cost(b))
      for (int i = 0; i < N; i++)
        b[i] = a[i];
  public static void main(String[] args)
  { /* Create Scheduler, print result. */ }
```

trace of % java Scheduler 4 < jobs.txt</pre>

	a[1		finish	times	cost		
0 0 0 0 0 0 0	0	0 0 1 1 1 1 0 0	0 1 1 0 0 1 1 0 0	7.38 5.15 3.15 5.38 3.65 1.41 3.41 5.65	0.00 2.24 4.24 2.00 3.73 5.97 1.73 3.15 5.38	2.91 1.09 0.08		
1	1	1	1	0.00	7.38			
1	1 0	1 1	0 0	2.24 3.97	5.15 3.41			
1	0		1		5.65			
1	0		1		3.65			
1	0 M2	0 Спт	0 NE 0	5.97	HINE 1			
					UINE I			
	1.4142135624 1.7320508076							
	2.000000000							
	2.2360679775							
	3.6502815399 3.7320508076							

Scheduling (larger example)

```
java SchedulerEZ 24 < jobs.txt</pre>
  MACHINE 0
                 MACHINE 1
 1.4142135624
 1.7320508076
               2.000000000
 2.2360679775
 2,4494897428
               2.6457513111
               2.8284271247
               3.000000000
 3.1622776602
               3.3166247904
               3.4641016151
               3.6055512755
               3.7416573868
 3.8729833462
               4.000000000
 4.1231056256
               4.2426406871
 4.3588989435
               4.4721359550
 4.5825756950
 4.6904157598
 4.7958315233
 4.8989794856
                                      cost < 10 -8
               5.000000000
42.3168901295 42.3168901457
```

Large number of subsets leads to remarkably low cost

Scheduling: improvements

Many opportunities (details omitted)

- fix last job on machine O (quick factor-of-two improvement)
- backtrack when partial schedule cannot beat best known (check total against goal: half of total job times)

```
private void enumerate(int k)
{
  if (k == N-1)
    {    process(); return; }
  if (backtrack(k)) return;
  enumerate(k+1);
  a[k] = 1 - a[k];
  enumerate(k+1);
}
```

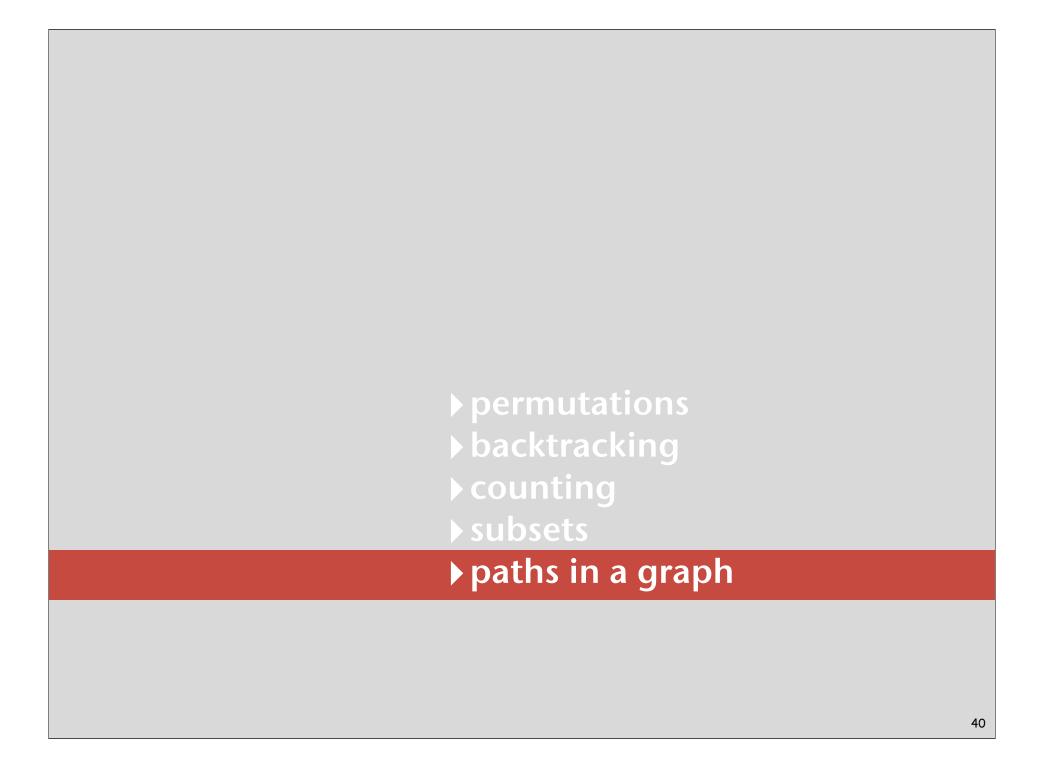
• process all 2^k subsets of last k jobs, keep results in memory, (reduces time to 2^{N-k} when 2^k memory available).

Backtracking summary

N-Queens: permutations with backtracking

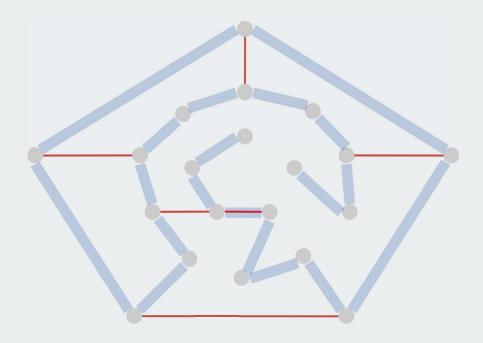
Soduko : counting with backtracking

Scheduling: subsets with backtracking



Hamilton Path

Hamilton path. Find a simple path that visits every vertex exactly once.



Remark. Euler path easy, but Hamilton path is NP-complete.

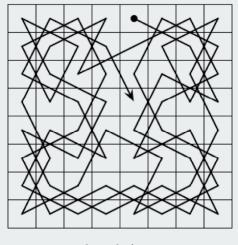


Knight's Tour

Knight's tour. Find a sequence of moves for a knight so that, starting from any square, it visits every square on a chessboard exactly once.



legal knight moves



a knight's tour

Solution. Find a Hamilton path in knight's graph.

Hamilton Path: Backtracking Solution

Backtracking solution. To find Hamilton path starting at v:

- Add v to current path.
- For each vertex w adjacent to v find a simple path starting at w using all remaining vertices
- Remove v from current path.

How to implement?

Add cleanup to DFS (!!)

Hamilton Path: Java implementation

```
public class HamiltonPath
   private boolean[] marked;
   private int count;
   public HamiltonPath(Graph G)
      marked = new boolean[G.V()];
      for (int v = 0; v < G.V(); v++)
         dfs(G, v, 1);
      count = 0;
   private void dfs(Graph G, int v, int depth)
                                                          also need code to
                                                           count solutions
      marked[v] = true;
                                                          (path length = V)
      if (depth == G.V()) count++;
      for (int w : G.adj(v))
         if (!marked[w]) dfs(G, w, depth+1);
      marked[v] = false;
                             clean up
```

Easy exercise: Modify this code to find and print the longest path

The Longest Path

Recorded by Dan Barrett in 1988 while a student at Johns Hopkins during a difficult algorithms final.

Woh-oh-oh, find the longest path! Woh-oh-oh, find the longest path!

If you said P is NP tonight, There would still be papers left to write, I have a weakness, I'm addicted to completeness, And I keep searching for the longest path.

The algorithm I would like to see
Is of polynomial degree,
But it's elusive:
Nobody has found conclusive
Evidence that we can find a longest path.

I have been hard working for so long. I swear it's right, and he marks it wrong. Some how I'll feel sorry when it's done: GPA 2.1 Is more than I hope for.

Garey, Johnson, Karp and other men (and women)
Tried to make it order N log N.
Am I a mad fool
If I spend my life in grad school,
Forever following the longest path?

Woh-oh-oh-oh, find the longest path! Woh-oh-oh-oh, find the longest path! Woh-oh-oh-oh, find the longest path.