vui_notebook

January 14, 2018

1 Artificial Intelligence Nanodegree

- 1.1 Voice User Interfaces
- 1.2 Project: Speech Recognition with Neural Networks

In this notebook, some template code has already been provided for you, and you will need to implement additional functionality to successfully complete this project. You will not need to modify the included code beyond what is requested. Sections that begin with '(IMPLEMENTATION)' in the header indicate that the following blocks of code will require additional functionality which you must provide. Please be sure to read the instructions carefully!

Note: Once you have completed all of the code implementations, you need to finalize your work by exporting the Jupyter Notebook as an HTML document. Before exporting the notebook to html, all of the code cells need to have been run so that reviewers can see the final implementation and output. You can then export the notebook by using the menu above and navigating to",

File -> Download as -> HTML (.html). Include the finished document along with this notebook as your submission.

In addition to implementing code, there will be questions that you must answer which relate to the project and your implementation. Each section where you will answer a question is preceded by a 'Question X' header. Carefully read each question and provide thorough answers in the following text boxes that begin with 'Answer:'. Your project submission will be evaluated based on your answers to each of the questions and the implementation you provide.

Note: Code and Markdown cells can be executed using the **Shift + Enter** keyboard shortcut. Markdown cells can be edited by double-clicking the cell to enter edit mode.

The rubric contains *optional* "Stand Out Suggestions" for enhancing the project beyond the minimum requirements. If you decide to pursue the "Stand Out Suggestions", you should include the code in this Jupyter notebook.

1.3 Introduction

In this notebook, you will build a deep neural network that functions as part of an end-to-end automatic speech recognition (ASR) pipeline! Your completed pipeline will accept raw audio as input and return a predicted transcription of the spoken language. The full pipeline is summarized in the figure below.

- STEP 1 is a pre-processing step that converts raw audio to one of two feature representations that are commonly used for ASR.
- STEP 2 is an acoustic model which accepts audio features as input and returns a probability distribution over all potential transcriptions. After learning about the basic types of neural networks that are often used for acoustic modeling, you will engage in your own investigations, to design your own acoustic model!
- STEP 3 in the pipeline takes the output from the acoustic model and returns a predicted transcription.

Feel free to use the links below to navigate the notebook: - Section ?? - Section ??: Acoustic Features for Speech Recognition - Section ??: Deep Neural Networks for Acoustic Modeling - Section ??: RNN - Section ??: RNN + TimeDistributed Dense - Section ??: CNN + RNN + TimeDistributed Dense - Section ??: Bidirectional RNN + TimeDistributed Dense - Section ?? - Section ?? - Section ??: Obtain Predictions ## The Data

We begin by investigating the dataset that will be used to train and evaluate your pipeline. LibriSpeech is a large corpus of English-read speech, designed for training and evaluating models for ASR. The dataset contains 1000 hours of speech derived from audiobooks. We will work with a small subset in this project, since larger-scale data would take a long while to train. However, after completing this project, if you are interested in exploring further, you are encouraged to work with more of the data that is provided online.

In the code cells below, you will use the vis_train_features module to visualize a training example. The supplied argument index=0 tells the module to extract the first example in the training set. (You are welcome to change index=0 to point to a different training example, if you like, but please DO NOT amend any other code in the cell.) The returned variables are: -vis_text - transcribed text (label) for the training example. -vis_raw_audio - raw audio waveform for the training example. -vis_mfcc_feature - mel-frequency cepstral coefficients (MFCCs) for the training example. -vis_spectrogram_feature - spectrogram for the training example. -vis_audio_path - the file path to the training example.

```
In [1]: from data_generator import vis_train_features

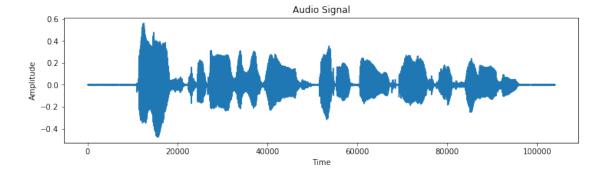
# extract label and audio features for a single training example
    vis_text, vis_raw_audio, vis_mfcc_feature, vis_spectrogram_feature, vis_audio_path = v
```

There are 2136 total training examples.

The following code cell visualizes the audio waveform for your chosen example, along with the corresponding transcript. You also have the option to play the audio in the notebook!

```
from IPython.display import Audio
%matplotlib inline

# plot audio signal
plot_raw_audio(vis_raw_audio)
# print length of audio signal
display(Markdown('**Shape of Audio Signal** : ' + str(vis_raw_audio.shape)))
# print transcript corresponding to audio clip
display(Markdown('**Transcript** : ' + str(vis_text)))
# play the audio file
Audio(vis_audio_path)
```



Shape of Audio Signal: (103966,)

Transcript: the last two days of the voyage bartley found almost intolerable

Out[2]: <IPython.lib.display.Audio object>

STEP 1: Acoustic Features for Speech Recognition

For this project, you won't use the raw audio waveform as input to your model. Instead, we provide code that first performs a pre-processing step to convert the raw audio to a feature representation that has historically proven successful for ASR models. Your acoustic model will accept the feature representation as input.

In this project, you will explore two possible feature representations. *After completing the project,* if you'd like to read more about deep learning architectures that can accept raw audio input, you are encouraged to explore this research paper.

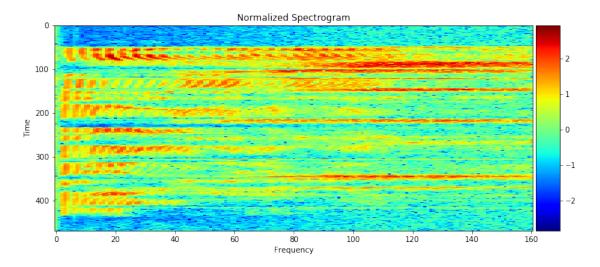
1.3.1 Spectrograms

The first option for an audio feature representation is the spectrogram. In order to complete this project, you will **not** need to dig deeply into the details of how a spectrogram is calculated; but, if you are curious, the code for calculating the spectrogram was borrowed from this repository. The implementation appears in the utils.py file in your repository.

The code that we give you returns the spectrogram as a 2D tensor, where the first (*vertical*) dimension indexes time, and the second (*horizontal*) dimension indexes frequency. To speed the convergence of your algorithm, we have also normalized the spectrogram. (You can see this quickly in the visualization below by noting that the mean value hovers around zero, and most entries in the tensor assume values close to zero.)

In [3]: from data_generator import plot_spectrogram_feature

```
# plot normalized spectrogram
plot_spectrogram_feature(vis_spectrogram_feature)
# print shape of spectrogram
display(Markdown('**Shape of Spectrogram** : ' + str(vis_spectrogram_feature.shape)))
```



Shape of Spectrogram: (470, 161)

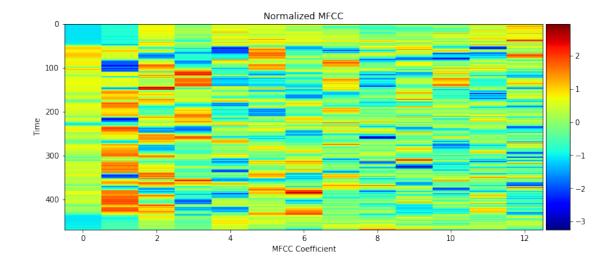
1.3.2 Mel-Frequency Cepstral Coefficients (MFCCs)

The second option for an audio feature representation is MFCCs. You do **not** need to dig deeply into the details of how MFCCs are calculated, but if you would like more information, you are welcome to peruse the documentation of the python_speech_features Python package. Just as with the spectrogram features, the MFCCs are normalized in the supplied code.

The main idea behind MFCC features is the same as spectrogram features: at each time window, the MFCC feature yields a feature vector that characterizes the sound within the window. Note that the MFCC feature is much lower-dimensional than the spectrogram feature, which could help an acoustic model to avoid overfitting to the training dataset.

In [4]: from data_generator import plot_mfcc_feature

```
# plot normalized MFCC
plot_mfcc_feature(vis_mfcc_feature)
# print shape of MFCC
display(Markdown('**Shape of MFCC** : ' + str(vis_mfcc_feature.shape)))
```



Shape of MFCC : (470, 13)

When you construct your pipeline, you will be able to choose to use either spectrogram or MFCC features. If you would like to see different implementations that make use of MFCCs and/or spectrograms, please check out the links below: - This repository uses spectrograms. - This repository uses MFCCs. - This repository also uses MFCCs. - This repository experiments with raw audio, spectrograms, and MFCCs as features.

STEP 2: Deep Neural Networks for Acoustic Modeling

In this section, you will experiment with various neural network architectures for acoustic modeling.

You will begin by training five relatively simple architectures. **Model 0** is provided for you. You will write code to implement **Models 1**, **2**, **3**, and **4**. If you would like to experiment further, you are welcome to create and train more models under the **Models 5+** heading.

All models will be specified in the sample_models.py file. After importing the sample_models module, you will train your architectures in the notebook.

After experimenting with the five simple architectures, you will have the opportunity to compare their performance. Based on your findings, you will construct a deeper architecture that is designed to outperform all of the shallow models.

For your convenience, we have designed the notebook so that each model can be specified and trained on separate occasions. That is, say you decide to take a break from the notebook after training Model 1. Then, you need not re-execute all prior code cells in the notebook before training Model 2. You need only re-execute the code cell below, that is marked with RUN THIS CODE CELL IF YOU ARE RESUMING THE NOTEBOOK AFTER A BREAK, before transitioning to the code cells corresponding to Model 2.

allocate 50% of GPU memory (if you like, feel free to change this)
from keras.backend.tensorflow_backend import set_session
import tensorflow as tf

```
#config = tf.ConfigProto()
#config.gpu_options.per_process_gpu_memory_fraction = 0.5
#set_session(tf.Session(config=config))

# watch for any changes in the sample_models module, and reload it automatically
%load_ext autoreload
%autoreload 2
# import NN architectures for speech recognition
from sample_models import *
# import function for training acoustic model
from train_utils import train model
```

The autoreload extension is already loaded. To reload it, use: %reload_ext autoreload

Model 0: RNN

Given their effectiveness in modeling sequential data, the first acoustic model you will use is an RNN. As shown in the figure below, the RNN we supply to you will take the time sequence of audio features as input.

At each time step, the speaker pronounces one of 28 possible characters, including each of the 26 letters in the English alphabet, along with a space character (" "), and an apostrophe (').

The output of the RNN at each time step is a vector of probabilities with 29 entries, where the *i*-th entry encodes the probability that the *i*-th character is spoken in the time sequence. (The extra 29th character is an empty "character" used to pad training examples within batches containing uneven lengths.) If you would like to peek under the hood at how characters are mapped to indices in the probability vector, look at the char_map.py file in the repository. The figure below shows an equivalent, rolled depiction of the RNN that shows the output layer in greater detail.

The model has already been specified for you in Keras. To import it, you need only run the code cell below.

In [6]: model_0 = simple_rnn_model(input_dim=161) # change to 13 if you would like to use MFCC

Layer (type)	Output Shape	Param #
the_input (InputLayer)	(None, None, 161)	0
rnn (GRU)	(None, None, 29)	16617
softmax (Activation)	(None, None, 29)	0
Total params: 16,617 Trainable params: 16,617 Non-trainable params: 0		
None		

As explored in the lesson, you will train the acoustic model with the CTC loss criterion. Custom loss functions take a bit of hacking in Keras, and so we have implemented the CTC loss function for you, so that you can focus on trying out as many deep learning architectures as possible:). If you'd like to peek at the implementation details, look at the add_ctc_loss function within the train_utils.py file in the repository.

To train your architecture, you will use the train_model function within the train_utils module; it has already been imported in one of the above code cells. The train_model function takes three required arguments: - input_to_softmax - a Keras model instance. - pickle_path - the name of the pickle file where the loss history will be saved. - save_model_path - the name of the HDF5 file where the model will be saved.

If we have already supplied values for $input_to_softmax$, $pickle_path$, and $save_model_path$, please DO NOT modify these values.

There are several **optional** arguments that allow you to have more control over the training process. You are welcome to, but not required to, supply your own values for these arguments. - minibatch_size - the size of the minibatches that are generated while training the model (default: 20). - spectrogram - Boolean value dictating whether spectrogram (True) or MFCC (False) features are used for training (default: True). - mfcc_dim - the size of the feature dimension to use when generating MFCC features (default: 13). - optimizer - the Keras optimizer used to train the model (default: SGD(1r=0.02, decay=1e-6, momentum=0.9, nesterov=True, clipnorm=5)). - epochs - the number of epochs to use to train the model (default: 20). If you choose to modify this parameter, make sure that it is *at least* 20. - verbose - controls the verbosity of the training output in the model.fit_generator method (default: 1). - sort_by_duration - Boolean value dictating whether the training and validation sets are sorted by (increasing) duration before the start of the first epoch (default: False).

The train_model function defaults to using spectrogram features; if you choose to use these features, note that the acoustic model in simple_rnn_model should have input_dim=161. Otherwise, if you choose to use MFCC features, the acoustic model should have input_dim=13.

We have chosen to use GRU units in the supplied RNN. If you would like to experiment with LSTM or SimpleRNN cells, feel free to do so here. If you change the GRU units to SimpleRNN cells in simple_rnn_model, you may notice that the loss quickly becomes undefined (nan) - you are strongly encouraged to check this for yourself! This is due to the exploding gradients problem. We have already implemented gradient clipping in your optimizer to help you avoid this issue.

IMPORTANT NOTE: If you notice that your gradient has exploded in any of the models below, feel free to explore more with gradient clipping (the clipnorm argument in your optimizer) or swap out any SimpleRNN cells for LSTM or GRU cells. You can also try restarting the kernel to restart the training process.

```
Epoch 4/20
Epoch 5/20
Epoch 6/20
Epoch 7/20
Epoch 8/20
Epoch 9/20
Epoch 10/20
Epoch 11/20
Epoch 12/20
Epoch 13/20
Epoch 14/20
Epoch 15/20
Epoch 16/20
Epoch 17/20
Epoch 18/20
Epoch 19/20
Epoch 20/20
```

(IMPLEMENTATION) Model 1: RNN + TimeDistributed Dense

Read about the TimeDistributed wrapper and the BatchNormalization layer in the Keras documentation. For your next architecture, you will add batch normalization to the recurrent layer to reduce training times. The TimeDistributed layer will be used to find more complex patterns in the dataset. The unrolled snapshot of the architecture is depicted below.

The next figure shows an equivalent, rolled depiction of the RNN that shows the (TimeDistrbuted) dense and output layers in greater detail.

Use your research to complete the rnn_model function within the sample_models.py file. The function should specify an architecture that satisfies the following requirements: - The first layer of the neural network should be an RNN (SimpleRNN, LSTM, or GRU) that takes the time sequence of audio features as input. We have added GRU units for you, but feel free to change GRU to SimpleRNN or LSTM, if you like! - Whereas the architecture in simple_rnn_model treated the RNN output

as the final layer of the model, you will use the output of your RNN as a hidden layer. Use TimeDistributed to apply a Dense layer to each of the time steps in the RNN output. Ensure that each Dense layer has output_dim units.

Use the code cell below to load your model into the model_1 variable. Use a value for input_dim that matches your chosen audio features, and feel free to change the values for units and activation to tweak the behavior of your recurrent layer.

Layer (type)	Output Shape	Param #
the_input (InputLayer)	(None, None, 161)	0
gru_1 (GRU)	(None, None, 200)	217200
batch_normalization_1 (Batch	(None, None, 200)	800
time_distributed_1 (TimeDist	(None, None, 29)	5829
softmax (Activation)	(None, None, 29)	0
Total params: 223,829 Trainable params: 223,429 Non-trainable params: 400		
None		

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved in the HDF5 file model_1.h5. The loss history is saved in model_1.pickle. You are welcome to tweak any of the optional parameters while calling the train_model function, but this is not required.

```
Epoch 5/20
Epoch 6/20
Epoch 7/20
106/106 [=====
 Epoch 8/20
Epoch 9/20
Epoch 10/20
Epoch 11/20
Epoch 12/20
Epoch 13/20
Epoch 14/20
106/106 Γ======
  Epoch 15/20
Epoch 16/20
Epoch 17/20
Epoch 18/20
Epoch 19/20
Epoch 20/20
```

(IMPLEMENTATION) Model 2: CNN + RNN + TimeDistributed Dense

The architecture in cnn_rnn_model adds an additional level of complexity, by introducing a 1D convolution layer.

This layer incorporates many arguments that can be (optionally) tuned when calling the cnn_rnn_model module. We provide sample starting parameters, which you might find useful if you choose to use spectrogram audio features.

If you instead want to use MFCC features, these arguments will have to be tuned. Note that the current architecture only supports values of 'same' or 'valid' for the conv_border_mode argument.

When tuning the parameters, be careful not to choose settings that make the convolutional layer overly small. If the temporal length of the CNN layer is shorter than the length of the transcribed text label, your code will throw an error.

Before running the code cell below, you must modify the cnn_rnn_model function in sample_models.py. Please add batch normalization to the recurrent layer, and provide the same

TimeDistributed layer as before.

```
In [13]: model_2 = cnn_rnn_model(input_dim=161, # change to 13 if you would like to use MFCC f
                       filters=200,
                       kernel_size=11,
                       conv_stride=2,
                       conv_border_mode='valid',
                       units=200)
Layer (type)
                  Output Shape Param #
______
                (None, None, 161)
the_input (InputLayer)
conv1d (Conv1D) (None, None, 200) 354400
bn_conv_1d (BatchNormalizati (None, None, 200) 800
rnn (SimpleRNN) (None, None, 200) 80200
bn_rnn (BatchNormalization) (None, None, 200) 800
time_distributed_4 (TimeDist (None, None, 29) 5829
softmax (Activation) (None, None, 29) 0
______
```

Total params: 442,029 Trainable params: 441,229 Non-trainable params: 800

None

Epoch 2/20

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved in the HDF5 file model_2.h5. The loss history is saved in model_2.pickle. You are welcome to tweak any of the optional parameters while calling the train_model function, but this is not required.

```
Epoch 4/20
Epoch 5/20
Epoch 6/20
Epoch 7/20
Epoch 8/20
106/106 [====
  Epoch 9/20
Epoch 10/20
Epoch 11/20
Epoch 12/20
Epoch 13/20
106/106 [======
  Epoch 14/20
Epoch 15/20
Epoch 16/20
Epoch 17/20
Epoch 18/20
Epoch 19/20
Epoch 20/20
```

(IMPLEMENTATION) Model 3: Deeper RNN + TimeDistributed Dense

Review the code in rnn_model, which makes use of a single recurrent layer. Now, specify an architecture in deep_rnn_model that utilizes a variable number recur_layers of recurrent layers. The figure below shows the architecture that should be returned if recur_layers=2. In the figure, the output sequence of the first recurrent layer is used as input for the next recurrent layer.

Feel free to change the supplied values of units to whatever you think performs best. You can change the value of recur_layers, as long as your final value is greater than 1. (As a quick check that you have implemented the additional functionality in deep_rnn_model correctly, make sure that the architecture that you specify here is identical to rnn_model if recur_layers=1.)

Layer (type)	Output S	Shape	Param #
the_input (InputLayer)	(None, N	None, 161)	0
gru_1 (GRU)	(None, N	Vone, 200)	217200
batch_normalization_1 (Batch	(None, N	Vone, 200)	800
gru_2 (GRU)	(None, N	Vone, 200)	240600
batch_normalization_2 (Batch	(None, N	None, 200)	800
time_distributed_1 (TimeDist	(None, N	Vone, 29)	5829
softmax (Activation)	(None, N	Vone, 29)	0
Total params: 465,229 Trainable params: 464,429 Non-trainable params: 800			
None			

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved in the HDF5 file model_3.h5. The loss history is saved in model_3.pickle. You are welcome to tweak any of the optional parameters while calling the train_model function, but this is not required.

```
In [4]: train_model(input_to_softmax=model_3,
      pickle_path='model_3.pickle',
      save_model_path='model_3.h5',
      spectrogram=True) # change to False if you would like to use MFCC features
Epoch 1/20
Epoch 2/20
Epoch 3/20
Epoch 4/20
Epoch 5/20
Epoch 6/20
Epoch 7/20
```

```
Epoch 8/20
Epoch 9/20
Epoch 10/20
Epoch 11/20
Epoch 12/20
   106/106 [=====
Epoch 13/20
Epoch 14/20
Epoch 15/20
Epoch 16/20
Epoch 17/20
106/106 [=====
    ==========] - 368s - loss: 99.8780 - val loss: 122.6394
Epoch 18/20
Epoch 19/20
106/106 [=====
    Epoch 20/20
```

(IMPLEMENTATION) Model 4: Bidirectional RNN + TimeDistributed Dense

Read about the Bidirectional wrapper in the Keras documentation. For your next architecture, you will specify an architecture that uses a single bidirectional RNN layer, before a (TimeDistributed) dense layer. The added value of a bidirectional RNN is described well in this paper. > One shortcoming of conventional RNNs is that they are only able to make use of previous context. In speech recognition, where whole utterances are transcribed at once, there is no reason not to exploit future context as well. Bidirectional RNNs (BRNNs) do this by processing the data in both directions with two separate hidden layers which are then fed forwards to the same output layer.

Before running the code cell below, you must complete the bidirectional_rnn_model function in sample_models.py. Feel free to use SimpleRNN, LSTM, or GRU units. When specifying the Bidirectional wrapper, use merge_mode='concat'.

Layer	(type)	Output	Shape		Param	#
=====						
the in	nput (InputLaver)	(None.	None.	161)	0	

In [6]: train_model(input_to_softmax=model_4,

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved in the HDF5 file model_4.h5. The loss history is saved in model_4.pickle. You are welcome to tweak any of the optional parameters while calling the train_model function, but this is not required.

```
pickle_path='model_4.pickle',
    save_model_path='model_4.h5',
    spectrogram=True) # change to False if you would like to use MFCC features
Epoch 1/20
Epoch 2/20
Epoch 3/20
Epoch 4/20
Epoch 5/20
Epoch 6/20
Epoch 7/20
Epoch 8/20
Epoch 9/20
Epoch 10/20
Epoch 11/20
Epoch 12/20
```

```
Epoch 13/20
Epoch 14/20
Epoch 15/20
Epoch 16/20
Epoch 17/20
Epoch 18/20
Epoch 19/20
Epoch 20/20
```

(OPTIONAL IMPLEMENTATION) Models 5+

If you would like to try out more architectures than the ones above, please use the code cell below. Please continue to follow the same convention for saving the models; for the *i*-th sample model, please save the loss at model_i.pickle and saving the trained model at model_i.h5.

dropout_rate=0.3,

units=200)

Layer (type)	Output Shape	Param #
the_input (InputLayer)	(None, None, 13)	0
conv1d_36 (Conv1D)	(None, None, 200)	28800
leaky_re_lu_45 (LeakyReLU)	(None, None, 200)	0
batch_normalization_45 (Batc	(None, None, 200)	800
dropout_45 (Dropout)	(None, None, 200)	0

```
conv1d_37 (Conv1D)
                (None, None, 200)
                                       440200
leaky_re_lu_46 (LeakyReLU) (None, None, 200)
batch_normalization_46 (Batc (None, None, 200)
dropout_46 (Dropout) (None, None, 200)
conv1d_38 (Conv1D)
                    (None, None, 200) 440200
max_pooling1d_13 (MaxPooling (None, None, 200) 0
cu_dnngru_25 (CuDNNGRU) (None, None, 200) 241200
leaky_re_lu_47 (LeakyReLU) (None, None, 200)
batch_normalization_47 (Batc (None, None, 200)
                                        800
.....
dropout_47 (Dropout) (None, None, 200)
cu_dnngru_26 (CuDNNGRU) (None, None, 200) 241200
leaky_re_lu_48 (LeakyReLU) (None, None, 200)
batch_normalization_48 (Batc (None, None, 200) 800
dropout_48 (Dropout) (None, None, 200)
bidirectional_18 (Bidirectio (None, None, 400)
                                       482400
time_distributed_13 (TimeDis (None, None, 29)
activation_13 (Activation) (None, None, 29) 0
______
Total params: 1,888,829
Trainable params: 1,887,229
Non-trainable params: 1,600
_____
None
```

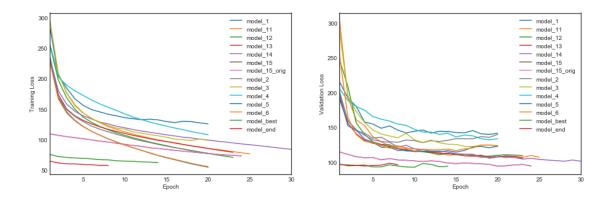
```
In [20]: train_model(input_to_softmax=model_15_copy,
                     pickle_path='model_15.pickle',
                     save_model_path='model_15.h5',
                     epochs=50,
                     minibatch_size=10,
                     spectrogram=False)
```

```
Epoch 1/50
Epoch 2/50
Epoch 3/50
Epoch 4/50
Epoch 5/50
Epoch 6/50
Epoch 7/50
Epoch 8/50
Epoch 9/50
Epoch 10/50
Epoch 11/50
Epoch 12/50
Epoch 13/50
Epoch 14/50
Epoch 15/50
Epoch 16/50
Epoch 17/50
Epoch 18/50
Epoch 19/50
Epoch 20/50
Epoch 21/50
Epoch 22/50
Epoch 23/50
Epoch 24/50
```

Compare the Models

Execute the code cell below to evaluate the performance of the drafted deep learning models. The training and validation loss are plotted for each model.

```
In [67]: from glob import glob
         import numpy as np
         import _pickle as pickle
         import seaborn as sns
         import matplotlib.pyplot as plt
         %matplotlib inline
         sns.set_style(style='white')
         # obtain the paths for the saved model history
         all_pickles = sorted(glob("results/*.pickle"))
         # extract the name of each model
         model names = [item[8:-7] for item in all pickles]
         # extract the loss history for each model
         valid_loss = [pickle.load( open( i, "rb" ) )['val_loss'] for i in all_pickles]
         train_loss = [pickle.load( open( i, "rb" ) )['loss'] for i in all_pickles]
         # save the number of epochs used to train each model
         num_epochs = [len(valid_loss[i]) for i in range(len(valid_loss))]
         fig = plt.figure(figsize=(16,5))
         # plot the training loss vs. epoch for each model
         ax1 = fig.add_subplot(121)
         for i in range(len(all pickles)):
             ax1.plot(np.linspace(1, num_epochs[i], num_epochs[i]),
                     train_loss[i], label=model_names[i])
         # clean up the plot
         ax1.legend()
         ax1.set_xlim([1, max(num_epochs)])
         plt.xlabel('Epoch')
         plt.ylabel('Training Loss')
         # plot the validation loss vs. epoch for each model
         ax2 = fig.add_subplot(122)
         for i in range(len(all_pickles)):
             ax2.plot(np.linspace(1, num_epochs[i], num_epochs[i]),
                     valid_loss[i], label=model_names[i])
         # clean up the plot
         ax2.legend()
         ax2.set_xlim([1, max(num_epochs)])
         plt.xlabel('Epoch')
         plt.ylabel('Validation Loss')
         plt.show()
```



Question 1: Use the plot above to analyze the performance of each of the attempted architectures. Which performs best? Provide an explanation regarding why you think some models perform better than others.

Answer:

1.3.3 Model 0: RNN

Epoch 16/20 | loss: 752.2462 - val_loss: 714.2499

This model had huge validation loss because it has a single layer, which was trying to map input directly to the output. This network was trying to map each input directly to a letter, which is of couse not possible.

1.3.4 Model 1: RNN + TimeDistributed

Epoch 18/20 | loss: 129.5090 - val_loss: 141.0543 * Total params: 223,829 * Trainable params: 223,429 * Non-trainable params: 400

Adding TimeDistributed to the network actually helped a lot. This is how network became capable of making sense of sliced continious input. The network is still too simple to learn complex patterns.

1.3.5 Model 2: CNN + RNN + TimeDistributed

Epoch 11/20 | loss: 101.2671 - val_loss: 128.3527 * Total params: 442,029 * Trainable params: 441,229 * Non-trainable params: 800

Adding Conv1D layer increased the ability of the network to extract patterns from the input. CNNs gain popularity in Sequence-to-Sequence modeling tasks. They allow us to increase the depth and expressive power of a network while reducing the total number of parameters that would have been needed otherwise to build such deeper models. (This paper cited here). This model started to overfit quite early (Epoch 11). It needs to be tuned, for example by adding Dropout, in order to show its full potential.

1.3.6 Model 3: Deeper RNN + + TimeDistributed

Epoch 20/20 | loss: 98.7292 - val_loss: 122.8388 * Total params: 465,229 * Trainable params: 464,429 * Non-trainable params: 800

This model deepens Model 2 by adding more RNN layers. In terms of number of parameters and performance it is very similar to Model 2. It is, however, didn't overfit the data as Model 2 did. Better performance could be achieved by simply letting it train longer than 20 epochs.

1.3.7 Model 4: Bidirectional RNN + TimeDistributed

Epoch 19/20 | 110.6029 - val_loss: 132.3267

Simply wrapping RNN layer in Bidirectional layer helped a little bit, but this alone is not enough to get significant performance boost. It is the slowest converging network out of 4 predefined models (discounting Model 0).

1.3.8 Model 15: Deep CNN + Deep Bidirectional RNN + TimeDistributed

Epoch 24/50 | loss: 73.2983 - val_loss: 94.8676 * Total params: 1,888,829 * Trainable params: 1,887,229 * Non-trainable params: 1,600

By combining together all good features from the above and taking measures to avoid overfitting, this network achieved whopping 94.8676 val_loss. Note, how many parameters this network has to train. But by using CuDNNGRU Keras layers and p2 AWS instance, training is very affordable, just 2 minutes per step. This network converged in just 20 epochs.

Note 1: I accidentally removed original code, which generates model_15. I, however, had H5 file and with a few tricks was able to restore the model from there (see cells below). H5 file is also added to GitHub repo, so this notebook is fully runnable if someone wants to validate this result.

Note 2: Notebook which contains a lot of experiments around this net can be found here

```
In [24]: # Run these cells in order to restore model_15, which produced val_loss < 100
         pool_size = 2
         num_layers = 3
         kernel_size = 11
         conv_border_mode = 'valid'
         conv_stride = 1
         dilation_rate = (2,)
         def cnn_output_length(input_length, filter_size, border_mode, stride,
                                dilation=1):
             """ Compute the length of the output sequence after 1D convolution along
                 time. Note that this function is in line with the function used in
                 Convolution1D class from Keras.
             Params:
                 input_length (int): Length of the input sequence.
                 filter_size (int): Width of the convolution kernel.
                 border_mode (str): Only support `same` or `valid`.
                 stride (int): Stride size used in 1D convolution.
                 dilation (int)
             if input_length is None:
                 return None
             assert border_mode in {'same', 'valid'}
```

```
dilated_filter_size = filter_size + (filter_size - 1) * (dilation - 1)
    if border_mode == 'same':
        output_length = input_length
    elif border_mode == 'valid':
        output_length = input_length - dilated_filter_size + 1
    return (output_length + stride - 1) // stride
def calc_output_length(input_length):
    output_length = input_length
    for _ in range(num_layers):
        output_length = cnn_output_length(output_length, kernel_size,
                                          conv_border_mode, conv_stride,
                                          dilation=dilation_rate[0])
   return output_length / pool_size
from keras.models import load_model
best_model = load_model('model_15_orig.h5',
                        custom_objects={'cnn_output_length': cnn_output_length},
                        compile=False)
best_model.output_length = calc_output_length
print(best_model.summary())
```

Layer (type)	
conv1d_24 (Conv1D) (None, None, 200) 28800 the_input[0][0] leaky_re_lu_31 (LeakyReLU) (None, None, 200) 0 conv1d_24[0][0] batch_normalization_31 (BatchNo (None, None, 200) 800 leaky_re_lu_31[0][0]	
leaky_re_lu_31 (LeakyReLU) (None, None, 200) 0 conv1d_24[0][0] batch_normalization_31 (BatchNo (None, None, 200) 800 leaky_re_lu_31[0][0]	
batch_normalization_31 (BatchNo (None, None, 200) 800 leaky_re_lu_31[0][0]	
(None None 200) 0 hotely completely 21 [0]	
dropout_31 (Dropout) (None, None, 200) 0 batch_normalization_31[0]	[0]
conv1d_25 (Conv1D) (None, None, 200) 440200 dropout_31[0][0]	
leaky_re_lu_32 (LeakyReLU) (None, None, 200) 0 conv1d_25[0][0]	
batch_normalization_32 (BatchNo (None, None, 200) 800 leaky_re_lu_32[0][0]	
dropout_32 (Dropout) (None, None, 200) 0 batch_normalization_32[0]	[0]
conv1d_26 (Conv1D) (None, None, 200) 440200 dropout_32[0][0]	
max_pooling1d_9 (MaxPooling1D) (None, None, 200) 0 conv1d_26[0][0]	

cu_dnngru_15 (CuDNNGRU)	(None,	None,	200)	241200	max_pooling1d_9[0][0]
leaky_re_lu_33 (LeakyReLU)	(None,	None,	200)	0	cu_dnngru_15[0][0]
batch_normalization_33 (BatchNo	(None,	None,	200)	800	leaky_re_lu_33[0][0]
dropout_33 (Dropout)	(None,	None,	200)	0	batch_normalization_33[0][0]
cu_dnngru_16 (CuDNNGRU)	(None,	None,	200)	241200	dropout_33[0][0]
leaky_re_lu_34 (LeakyReLU)	(None,	None,	200)	0	cu_dnngru_16[0][0]
batch_normalization_34 (BatchNo	(None,	None,	200)	800	leaky_re_lu_34[0][0]
dropout_34 (Dropout)	(None,	None,	200)	0	batch_normalization_34[0][0]
bidirectional_12 (Bidirectional	(None,	None,	400)	482400	dropout_34[0][0]
time_distributed_9 (TimeDistrib	(None,	None,	29)	11629	bidirectional_12[0][0]
input_length (InputLayer)	(None,	1)		0	
activation_9 (Activation)	(None,	None,	29)	0	time_distributed_9[0][0]
the_labels (InputLayer)	(None,	None)		0	
lambda_6 (Lambda)	(None,	1)		0	input_length[0][0]
label_length (InputLayer)	(None,	1)		0	
ctc (Lambda)	(None,	1)		0	activation_9[0][0] the_labels[0][0] lambda_6[0][0] label_length[0][0]

Total params: 1,888,829 Trainable params: 1,887,229 Non-trainable params: 1,600

None

spectrogram=False)

```
Epoch 1/50
Epoch 2/50
      ==========] - 123s 577ms/step - loss: 72.3491 - val_loss: 94.9903
213/213 [=====
Epoch 3/50
213/213 [======
     Epoch 4/50
213/213 [==
       ========] - 122s 572ms/step - loss: 70.0864 - val loss: 96.2840
Epoch 5/50
      ========= ] - 121s 567ms/step - loss: 69.2675 - val_loss: 93.5092
213/213 [======
Epoch 6/50
Epoch 7/50
Epoch 8/50
Epoch 9/50
Epoch 10/50
Epoch 11/50
Epoch 12/50
Epoch 13/50
Epoch 14/50
Epoch 00014: early stopping
```

(IMPLEMENTATION) Final Model

Now that you've tried out many sample models, use what you've learned to draft your own architecture! While your final acoustic model should not be identical to any of the architectures explored above, you are welcome to merely combine the explored layers above into a deeper architecture. It is **NOT** necessary to include new layer types that were not explored in the notebook.

However, if you would like some ideas for even more layer types, check out these ideas for some additional, optional extensions to your model:

- If you notice your model is overfitting to the training dataset, consider adding **dropout!** To add dropout to recurrent layers, pay special attention to the dropout_W and dropout_U arguments. This paper may also provide some interesting theoretical background.
- If you choose to include a convolutional layer in your model, you may get better results by working with **dilated convolutions**. If you choose to use dilated convolutions, make sure that you are able to accurately calculate the length of the acoustic model's output in the model.output_length lambda function. You can read more about dilated convolutions

in Google's WaveNet paper. For an example of a speech-to-text system that makes use of dilated convolutions, check out this GitHub repository. You can work with dilated convolutions in Keras by paying special attention to the padding argument when you specify a convolutional layer.

- If your model makes use of convolutional layers, why not also experiment with adding **max pooling**? Check out this paper for example architecture that makes use of max pooling in an acoustic model.
- So far, you have experimented with a single bidirectional RNN layer. Consider stacking the bidirectional layers, to produce a deep bidirectional RNN!

All models that you specify in this repository should have output_length defined as an attribute. This attribute is a lambda function that maps the (temporal) length of the input acoustic features to the (temporal) length of the output softmax layer. This function is used in the computation of CTC loss; to see this, look at the add_ctc_loss function in train_utils.py. To see where the output_length attribute is defined for the models in the code, take a look at the sample_models.py file. You will notice this line of code within most models:

```
model.output_length = lambda x: x
```

The acoustic model that incorporates a convolutional layer (cnn_rnn_model) has a line that is a bit different:

In the case of models that use purely recurrent layers, the lambda function is the identity function, as the recurrent layers do not modify the (temporal) length of their input tensors. However, convolutional layers are more complicated and require a specialized function (cnn_output_length in sample_models.py) to determine the temporal length of their output.

You will have to add the output_length attribute to your final model before running the code cell below. Feel free to use the cnn_output_length function, if it suits your model.

```
In [30]: # specify the model
    model_end = best_model
    print(best_model.summary())
```

Layer (type)	Output Shape	 Param #	Connected to
the_input (InputLayer)	(None, None, 13)		
conv1d_24 (Conv1D)	(None, None, 200	0) 28800	the_input[0][0]
leaky_re_lu_31 (LeakyReLU)	(None, None, 200	0) 0	conv1d_24[0][0]
batch_normalization_31 (BatchNo	(None, None, 200	0) 800	leaky_re_lu_31[0][0]
dropout_31 (Dropout)	(None, None, 200	0) 0	batch_normalization_31[0][0]

conv1d_25 (Conv1D)	(None,	None,	200)	440200	dropout_31[0][0]
leaky_re_lu_32 (LeakyReLU)	(None,	None,	200)	0	conv1d_25[0][0]
batch_normalization_32 (BatchNo	(None,	None,	200)	800	leaky_re_lu_32[0][0]
dropout_32 (Dropout)	(None,	None,	200)	0	batch_normalization_32[0][0]
conv1d_26 (Conv1D)	(None,	None,	200)	440200	dropout_32[0][0]
max_pooling1d_9 (MaxPooling1D)	(None,	None,	200)	0	conv1d_26[0][0]
cu_dnngru_15 (CuDNNGRU)	(None,	None,	200)	241200	max_pooling1d_9[0][0]
leaky_re_lu_33 (LeakyReLU)	(None,	None,	200)	0	cu_dnngru_15[0][0]
batch_normalization_33 (BatchNo	(None,	None,	200)	800	leaky_re_lu_33[0][0]
dropout_33 (Dropout)	(None,	None,	200)	0	batch_normalization_33[0][0]
cu_dnngru_16 (CuDNNGRU)	(None,	None,	200)	241200	dropout_33[0][0]
leaky_re_lu_34 (LeakyReLU)	(None,	None,	200)	0	cu_dnngru_16[0][0]
batch_normalization_34 (BatchNo	(None,	None,	200)	800	leaky_re_lu_34[0][0]
dropout_34 (Dropout)	(None,	None,	200)	0	batch_normalization_34[0][0]
bidirectional_12 (Bidirectional	(None,	None,	400)	482400	dropout_34[0][0]
time_distributed_9 (TimeDistrib	(None,	None,	29)	11629	bidirectional_12[0][0]
input_length (InputLayer)	(None,	1)		0	
activation_9 (Activation)	(None,	None,	29)	0	time_distributed_9[0][0]
the_labels (InputLayer)	(None,	None)		0	
lambda_6 (Lambda)	(None,			0	input_length[0][0]
label_length (InputLayer)	(None,			0	
ctc (Lambda)	(None,	1)		0	activation_9[0][0] the_labels[0][0] lambda_6[0][0] label_length[0][0]

Total params: 1,888,829

```
Trainable params: 1,887,229 Non-trainable params: 1,600
```

M

None

Please execute the code cell below to train the neural network you specified in input_to_softmax. After the model has finished training, the model is saved in the HDF5 file model_end.h5. The loss history is saved in model_end.pickle. You are welcome to tweak any of the optional parameters while calling the train_model function, but this is not required.

```
In [32]: train_model(input_to_softmax=best_model,
     pickle_path='model_end.pickle',
     save_model_path='model_end.h5',
     epochs=50,
     minibatch_size=10,
     should_add_ctc_loss=False,
     spectrogram=False)
Epoch 1/50
Epoch 2/50
Epoch 3/50
Epoch 4/50
Epoch 5/50
Epoch 6/50
Epoch 7/50
Epoch 00008: early stopping
```

Question 2: Describe your final model architecture and your reasoning at each step.

Answer: This model is a very deep neural network with a stack of Conv1D layers and a stack of GRU layers. Conv1D layers isolate patterns in sequential data. GRU layers learn to map sequences of those patterns to letters. Bidirectional wrapper is somewhat helpful, but doesn't make much difference by itself. Such a deep network wouldn't learn without several important tweaks. Batch Normalization and LeakyReLU help to keep gradients flowing. Dropout helps to prevent overfitting, especially when Convolutional stack is deep. This network leverages CuDNNGRU layers, which work very well on "AWD Deep Learning" AMI. Using this special layer provides significant boost for network training. My observation is that network with CuDNNGRU layers trains ~4 times faster than one with regular GRU layers.

Further improvements: * This network is very sensitive to hyperparameters, like number of layers, dropout rate etc. Tuning them is endless process, but my goal was to push val_loss under 100. * Experimenting with using different activation functions for different Convolutional and Recurrent layers * Experimenting with GRU vs LSTM * Experimenting with different optimizers and learning rates. One popular choice for sequence-to-sequence is RMSprop * Try to remove/reduce Dropout in RNN stack. RNN stack is less prone to overfitting. * Use different filters number/units number in different layers within each stack. * As was suggested in this notebook, plugging-in some Language model will be useful to produce meaningful words instead of sequences of letters that sound similar to real words.

STEP 3: Obtain Predictions

We have written a function for you to decode the predictions of your acoustic model. To use the function, please execute the code cell below.

```
In [37]: import numpy as np
         from data_generator import AudioGenerator
         from keras import backend as K
         from utils import int_sequence_to_text
         from IPython.display import Audio
         def get_predictions(index, partition, input_to_softmax, model_path, spectrogram=True)
             """ Print a model's decoded predictions
             Params:
                 index (int): The example you would like to visualize
                 partition (str): One of 'train' or 'validation'
                 input to softmax (Model): The acoustic model
                 model_path (str): Path to saved acoustic model's weights
             11 11 11
             # load the train and test data
             data_gen = AudioGenerator(spectrogram=spectrogram)
             data_gen.load_train_data()
             data_gen.load_validation_data()
             # obtain the true transcription and the audio features
             if partition == 'validation':
                 transcr = data_gen.valid_texts[index]
                 audio_path = data_gen.valid_audio_paths[index]
                 data_point = data_gen.normalize(data_gen.featurize(audio_path))
             elif partition == 'train':
                 transcr = data_gen.train_texts[index]
                 audio_path = data_gen.train_audio_paths[index]
                 data_point = data_gen.normalize(data_gen.featurize(audio_path))
             else:
                 raise Exception('Invalid partition! Must be "train" or "validation"')
             # obtain and decode the acoustic model's predictions
             input_to_softmax.load_weights(model_path)
             prediction = input_to_softmax.predict(np.expand_dims(data_point, axis=0))
             output_length = [input_to_softmax.output_length(data_point.shape[0])]
```

Use the code cell below to obtain the transcription predicted by your final model for the first example in the training dataset.

Layer (type)	Output	Shape		 Param #
=======================================				
the_input (InputLayer)	(None,	None,	13)	0
conv1d_7 (Conv1D)	(None,	None,	200)	28800
leaky_re_lu_8 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_8 (Batch	(None,	None,	200)	800
dropout_8 (Dropout)	(None,	None,	200)	0
conv1d_8 (Conv1D)	(None,	None,	200)	440200
leaky_re_lu_9 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_9 (Batch	(None,	None,	200)	800
dropout_9 (Dropout)	(None,	None,	200)	0
conv1d_9 (Conv1D)	(None,	None,	200)	440200
max_pooling1d_3 (MaxPooling1	(None,	None,	200)	0
cu_dnngru_6 (CuDNNGRU)	(None,	None,	200)	241200
leaky_re_lu_10 (LeakyReLU)	(None,	None,	200)	0

batch_normalization_10 (Batc	(None,	None,			
dropout_10 (Dropout)					
cu_dnngru_7 (CuDNNGRU)					
leaky_re_lu_11 (LeakyReLU)			200)		
batch_normalization_11 (Batc	(None,				
dropout_11 (Dropout)		None,		0	
bidirectional_4 (Bidirection	(None,	None,	400)	482400	
time_distributed_3 (TimeDist				11629	
activation_3 (Activation)			29)		
Total params: 1,888,829 Trainable params: 1,887,229 Non-trainable params: 1,600					
None					
True transcription:					
the last two days of the voya	•	•			
Predicted transcription:					
the last to days of the voige	e bartl	ey fou	nd almost in	colarable	

Use the next code cell to visualize the model's prediction for the first example in the validation dataset.

conv1d_13 (Conv1D)	(None,	None,	200)	28800
leaky_re_lu_16 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_16 (Batc	(None,	None,	200)	800
dropout_16 (Dropout)	(None,	None,	200)	0
conv1d_14 (Conv1D)	(None,	None,	200)	440200
leaky_re_lu_17 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_17 (Batc	(None,	None,	200)	800
dropout_17 (Dropout)	(None,	None,	200)	0
conv1d_15 (Conv1D)	(None,	None,	200)	440200
max_pooling1d_5 (MaxPooling1	(None,	None,	200)	0
cu_dnngru_12 (CuDNNGRU)	(None,	None,	200)	241200
leaky_re_lu_18 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_18 (Batc	(None,	None,	200)	800
dropout_18 (Dropout)	(None,	None,	200)	0
cu_dnngru_13 (CuDNNGRU)	(None,	None,	200)	241200
leaky_re_lu_19 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_19 (Batc	(None,	None,	200)	800
dropout_19 (Dropout)	(None,	None,	200)	0
bidirectional_6 (Bidirection	(None,	None,	400)	482400
time_distributed_5 (TimeDist	(None,	None,	29)	11629
activation_5 (Activation)	· · · · · · · · · · · · · · · · · · ·	None,	29)	0
Total params: 1,888,829 Trainable params: 1,887,229 Non-trainable params: 1,600				

None

True transcription:

out in the woods stood a nice little fir tree

Predicted transcription:

od in the wod stoiden nice wil firh $\ensuremath{\text{me}}$

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	13)	0
conv1d_28 (Conv1D)	(None,	None,	200)	28800
leaky_re_lu_36 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_36 (Batc	(None,	None,	200)	800
dropout_36 (Dropout)	(None,	None,	200)	0
conv1d_29 (Conv1D)	(None,	None,	200)	440200
leaky_re_lu_37 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_37 (Batc	(None,	None,	200)	800
dropout_37 (Dropout)	(None,	None,	200)	0
conv1d_30 (Conv1D)	(None,	None,	200)	440200
max_pooling1d_10 (MaxPooling	(None,	None,	200)	0
cu_dnngru_27 (CuDNNGRU)	(None,	None,	200)	241200
leaky_re_lu_38 (LeakyReLU)	(None,	None,	200)	0
batch_normalization_38 (Batc	(None,	None,	200)	800

32

dropout_38 (Dropout)	(None,	None,	200)	0			
cu_dnngru_28 (CuDNNGRU)	(None,	None,	200)	241200			
leaky_re_lu_39 (LeakyReLU)	(None,	None,	200)	0			
batch_normalization_39 (Batc							
dropout_39 (Dropout)							
bidirectional_11 (Bidirectio	•						
time_distributed_10 (TimeDis			29)				
activation_10 (Activation)							
Total params: 1,888,829 Trainable params: 1,887,229 Non-trainable params: 1,600							
None							
True transcription:							
but this was what the tree could not bear to hear							
Predicted transcription:							
ot fis was with the tre could not the t here							

One standard way to improve the results of the decoder is to incorporate a language model. We won't pursue this in the notebook, but you are welcome to do so as an *optional extension*.

If you are interested in creating models that provide improved transcriptions, you are encouraged to download more data and train bigger, deeper models. But beware - the model will likely take a long while to train. For instance, training this state-of-the-art model would take 3-6 weeks on a single GPU!