

Termination analysis of first order programs

Oleksandr Shturmov

January 26, 2012

$$H(M, x) = \begin{cases} \textit{true} & M \text{ halts on } x, \\ \textit{false} & M \text{ does not halt on } x. \end{cases}$$

$$H(M, x) = \begin{cases} true & M \text{ halts on } x, \\ false & M \text{ does not halt on } x. \end{cases}$$

$$F(M) = \begin{cases} true & H(M, M) \rightsquigarrow false, \\ false & H(M, M) \rightsquigarrow true. \end{cases}$$

Consider $F(F)$.

$$H(M, x) = \begin{cases} \textit{true} & M \text{ halts on } x, \\ \textit{false} & M \text{ does not halt on } x, \\ \textit{unknown} & M \text{ may or may not halt on } x. \end{cases}$$

$$H(M, x) = \begin{cases} \textit{true} & M \text{ halts on } x, \\ \textit{unknown} & M \text{ may or may not halt on } x. \end{cases}$$

“Unfortunately, many have drawn too strong of a conclusion about the prospects of automatic program termination proving and falsely believe we are always unable to prove termination, rather than the more benign consequence that we are unable to always prove termination.”

[Cook et al., 2011]

“The **size-change termination principle** for a first-order functional language with well-founded data is: a program terminates on all inputs if *every infinite call sequence* (following program control flow) would cause an infinite descent in some data values.”

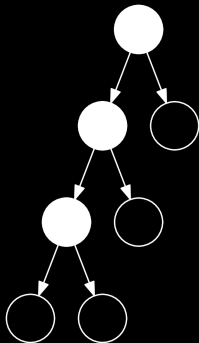
[Lee et al., 2001]



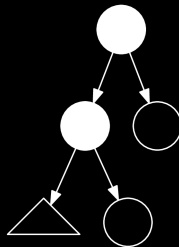
An untyped, call-by-value, functional first-order language.

Δ , values and shapes

$b \in \mathbb{B}$

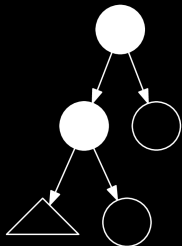


$s \in \mathbb{S}$

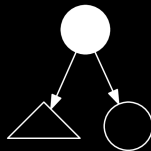


Δ , shapes and shapes

$s_1 \in \mathbb{S}$



$s_2 \in \mathbb{S}$



Disjoint shapes

$$s_1 \cap s_2 = \emptyset \quad \text{iff} \quad B_1 \cap B_2 = \emptyset$$

where

$$s_1, s_2 \in \mathbf{S} \wedge B_1 = \{b \mid b \in \mathbf{B} \wedge b \succ s_1\} \wedge B_2 = \{b \mid b \in \mathbf{B} \wedge b \succ s_2\}$$

Given a shape $s_i \in \mathbf{S}$, we define the **sibling set** S_i^d , to be the pairwise disjoint set of shapes disjoint with s_i .

```

1  data Pattern
2      = PNil
3      | PVariable String
4      | PNode Pattern Pattern
5
6  getSiblings :: Pattern -> [Pattern]
7
8  getSiblings PNil =
9      [PNode (PVariable "_") (PVariable "_")]
10
11  getSiblings (PVariable _) = []
12
13  getSiblings (PNode leftP rightP) =
14      let
15          leftS = getSiblings leftP
16          rightS = getSiblings rightP
17          leftInit = map (\s -> PNode leftP s) rightS
18          rightInit = map (\s -> PNode s rightP) leftS
19      in
20          [PNil] ++
21          leftInit ++ rightInit ++
22          interleaveSiblings name leftS rightS

```

$$T(1) = 4$$

$$T(n) = 1 + T(n-1) + T(n-1) + T(n-1) \cdot T(n-1)$$

```
<program> ::= <clause>+ <expression>
<expression> ::= <element> ( '.' <expression> ) ?
<element> ::= '0' | '(' <element> ')' | <name> | <application>
<application> ::= <name> <expression>*
<clause> ::= <name> <pattern>* ':' <expression> ';'
<pattern> ::= <pattern-element> ( '.' <pattern> ) ?
<pattern-element> ::= '0' | '_' | '(' <pattern> ')' | <name>
<name> ::= ['a'-'z'] ( ['-' 'a'-'z']* ['a'-'z'] ) ?
```

Δ , sample programs

```
1 reverse 0 := 0
2 reverse left.right := (reverse right).(reverse left)
3
4 reverse input
```

```
1 fibonacci n = fibonacci-aux (normalize n) 0 0
2
3 fibonacci-aux 0 x y := 0
4 fibonacci-aux 0.0 x y := y
5 fibonacci-aux 0.n x y := fibonacci-aux n y (add x y)
6
7 fibonacci input
```

```
1 ackermann 0 n := 0.n
2 ackermann a.b 0 := ackermann (decrease a.b) 0.0
3 ackermann a.b c.d :=
4   ackermann (decrease a.b) (ackermann a.b (decrease c.d))
5
6 ackermann input input
```


Description	Instance	Finite list	Space
Expression	x	X	\mathbb{X}
Element (of an expression)	e	E	\mathbb{E}
Function	f	F	\mathbb{F}
Clause	c	C	\mathbb{C}
Pattern	p	P	\mathbb{P}
Value (think “binary”)	b	B	\mathbb{B}
Name (think “variable”)	v	V	\mathbb{V}
Program (p was taken)	r	R	\mathbb{R}
Shape	s	S	\mathbb{S}

$\langle \text{program} \rangle ::= \langle \text{clause} \rangle^+ \langle \text{expression} \rangle$	
$\langle \text{expression} \rangle ::= \langle \text{element} \rangle (\text{'.'} \langle \text{expression} \rangle) ?$	x
$\langle \text{element} \rangle ::= \text{'0'} \mid \text{'('} \langle \text{element} \rangle \text{'('} \mid \langle \text{name} \rangle \mid \langle \text{application} \rangle$	e
$\langle \text{application} \rangle ::= \langle \text{name} \rangle \langle \text{expression} \rangle^*$	$\langle v, X \rangle$
$\langle \text{clause} \rangle ::= \langle \text{name} \rangle \langle \text{pattern} \rangle^* \text{' := ' } \langle \text{expression} \rangle \text{' ; '}$	$c = \langle v, P, x \rangle$
$\langle \text{pattern} \rangle ::= \langle \text{pattern-element} \rangle (\text{'.'} \langle \text{pattern} \rangle) ?$	p
$\langle \text{pattern-element} \rangle ::= \text{'0'} \mid \text{'_'} \mid \text{'('} \langle \text{pattern} \rangle \text{'('} \mid \langle \text{name} \rangle$	p
$\langle \text{name} \rangle ::= [\text{'a'-'z'}] ([\text{'-' 'a'-'z'}]^* [\text{'a'-'z'}]) ?$	v

$\langle \text{program} \rangle ::= \langle \text{clause} \rangle^+ \langle \text{expression} \rangle$	
$\langle \text{expression} \rangle ::= \langle \text{element} \rangle (\text{'.'} \langle \text{expression} \rangle) ?$	x
$\langle \text{element} \rangle ::= \text{'0'} \mid \text{'('} \langle \text{element} \rangle \text{'('} \mid \langle \text{name} \rangle \mid \langle \text{application} \rangle$	e
$\langle \text{application} \rangle ::= \langle \text{name} \rangle \langle \text{expression} \rangle^*$	$\langle v, x \rangle$
$\langle \text{clause} \rangle ::= \langle \text{name} \rangle \langle \text{pattern} \rangle^* \text{' := ' } \langle \text{expression} \rangle \text{' ; '}$	$c = \langle v, p, x \rangle$
$\langle \text{pattern} \rangle ::= \langle \text{pattern-element} \rangle (\text{'.'} \langle \text{pattern} \rangle) ?$	p
$\langle \text{pattern-element} \rangle ::= \text{'0'} \mid \text{'_'} \mid \text{'('} \langle \text{pattern} \rangle \text{'('} \mid \langle \text{name} \rangle$	p
$\langle \text{name} \rangle ::= [\text{'a'-'z'}] ([\text{'-' 'a'-'z'}]^* [\text{'a'-'z'}]) ?$	v

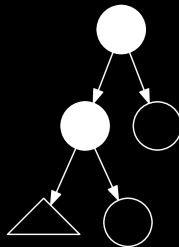
$$f = \langle v, C \rangle \quad \text{s.t.} \quad \forall \langle v', _ _ \rangle \in C \ (v' = v)$$

Pattern matching is ensured **exhaustive** at compile time, i.e.

$$\forall b \in \mathbb{B} \ \exists c \in C \ c \succ b.$$

$$\text{WLOG, } c = \langle p, x \rangle.$$

f (._.0).0 := ...



ENSURE-EXHAUSTIVE($c : C$)

```
1   $P_{\text{siblings}} = \text{GET-SIBLINGS}(c)$ 
2   $C' = [c]$ 
3  for  $c' \in C$ 
4       $(P_{\text{success}}, P_{\text{fail}}) = \text{MATCH-CLAUSE-TO-SIBLINGS}(c, P_s)$ 
5      for  $p \in P_{\text{success}}$ 
6           $c'' = \text{CLONE}(c')$ 
7           $\text{MERGE-PATTERN}(c'', p)^*$ 
8           $C' = c' : C'$ 
9       $P_{\text{siblings}} = P_{\text{fail}}$ 
10 return  $C'$ 
```

Invariants:

- P_{siblings} is always a list of siblings that wasn't matched by any forthcoming clause.
- P_{success} and P_{fail} are always sibling lists.

Demo

Programs in Δ

$$r = \langle F, x \rangle.$$

$$\text{WLOG, } r = \langle C, x \rangle.$$

“The **size-change termination principle** for a first-order functional language with well-founded data is: a program terminates on all inputs if *every infinite call sequence* (following program control flow) would cause an infinite descent in some data values.”

[Lee et al., 2001]

Call graph for $r = \langle C, x \rangle$

$$G = \langle C, E \rangle$$

where,

$$E = \left\{ \langle v_s^c, v_t^c, v_s, v_t, x \rangle \left| \begin{array}{l} \langle v_s^c, -, x_s^c \rangle, \langle v_t^c, p_t, - \rangle \in C \\ \langle v_t^c, x \rangle \subseteq x_s^c \\ v_s \subseteq x_s \\ v_t \subseteq p_t \end{array} \right. \right\}$$

Observed mistakes

List indexing

- Lists are sometimes 0-indexed rather than 1-indexed.
- $\forall \{i \mid 0 \geq i < |P|\}$ should obviously be $\forall \{i \mid 0 < i \leq |P|\}$.



References

- [Cook et al., 2011] B. Cook, A. Podelski & A. Rybalchenko, *Proving program termination*, Communications ACM Vol. 54(5), 2011, 88–98.
- [Lee et al., 2001] Chin Soon Lee, Neil D. Jones & Amir M. Ben-Amram, *The size-change principle for program termination*, POPL '01, 81–92.