### **CHAPTER 6**



# Why do we study set theory?

Set Theory is the foundation of mathematics and many aspects of Computer Science and Data Analysis:

- Binary Logic (see equivalence between Boolean Algebra and operations on sets)
- Sets form the basis of many data structure used in programming, e.g. Set (Java).
- Databases: Set Theory determines which data will be included and excluded in searches and selections
- Distribution lists for messages such as email
- Cryptography for secure communications
- Bayesian filtering of junk email
- Artificial-Intelligence-based decision making
- Image Processing and Recognition

# Cantorian Set Theory

- Georg Cantor (1845 1918)
- German Mathematician
- Defined the notion of a set, union, intersection rigorously.
  - He basically invented the entirety of Set Theory we know today



### **Basic Definition of Set**

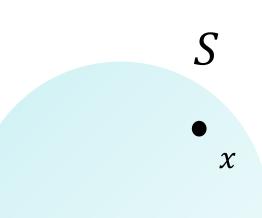
Cantor's definition of a set:

A set S is a "collection into a whole S of definite and separate objects of our intuition or our thought. These objects are called the elements of S."

Basically, a set is a collection of **distinct** objects. That's it. Nothing else.

## **Basic Definition of Set**

- We will use the notation  $x \in S$ , which means that
  - "x is a member of S" or
  - "x is an element of S" or
  - "*x* is in *S*" or
  - "S contains x".
- The above expressions are all equivalent.



### Example – Representation of Sets

- 1. Through enumeration ("curly bracket" {} notation)
  - $A = \{-1, 2, 8\}$
  - $B = \{20\}$
  - $C = \{10, 20, 30, 40, \dots\}$
  - $D = \{A, B, C\}$
  - $E = \{A, B, C, D, 1, 2, 3, \{-2, -10\}\}$
- 2. Through a property
  - $S_1 = \{x \mid (x \in \mathbf{R}) \land (x \ge 100)\}$
  - $S_2 = \{ y \mid (y \in \mathbf{R}) \land (y^2 = 3) \}$
  - $S_3 = \{\ell \mid \ell \text{ is a string of at most 5 characters}\}$
- 3. Through an agreed upon symbol (N, Z, Q, R)

## Exercise – Set Identification

Identify which set each expression belongs to (N, Z, R)

1. 
$$\{x - 1 \mid x \in \mathbb{N}^{\geq 1}\} \to \mathbb{N}$$

2. 
$$\{x + y \mid x, y \in \mathbf{Z}\} \rightarrow \mathbf{Z}$$

3. 
$$\{x + y \mid x, y \in \mathbb{N}\} \rightarrow \mathbb{N}$$

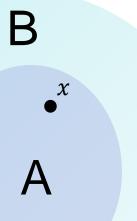
4. 
$$\{x^2 | x \in \mathbf{R}\} \rightarrow \mathbf{R}^{\geq 0}$$
 (non-negative reals)

## Definition: Subset of a Set

• We say that A is a **subset** of B  $(A \subseteq B)$  iff (e.g., if and only if)  $\forall x \in A$  then  $x \in B$ 

$$A \subseteq B \Leftrightarrow \forall x, \text{ if } x \in A \text{ then } x \in B.$$

• We also then say that B is a superset of A ( $B \supseteq A$ )



### Definition: Not a Subset

The negation of "being a subset" is existential:

A is **not** a **subset** of B ( $A \nsubseteq B$ ) if and only if there exists an element of A that is not in B

 $A \not\subseteq B \iff \exists x \text{ such that } x \in A \text{ and } x \not\in B.$ 

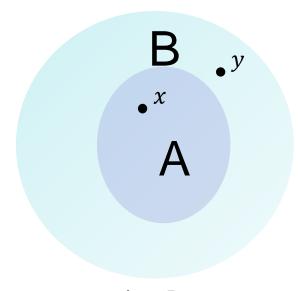


## Definition: Proper Subset of a Set

We say that A is a *proper subset* of B ( $A \subset B$ ) if and only if it is a subset that is not equal to its containing set. Thus

A is a **proper subset** of  $B \Leftrightarrow$ 

- (1)  $A \subseteq B$ , and
- (2) there is at least one element in B that is not in A.

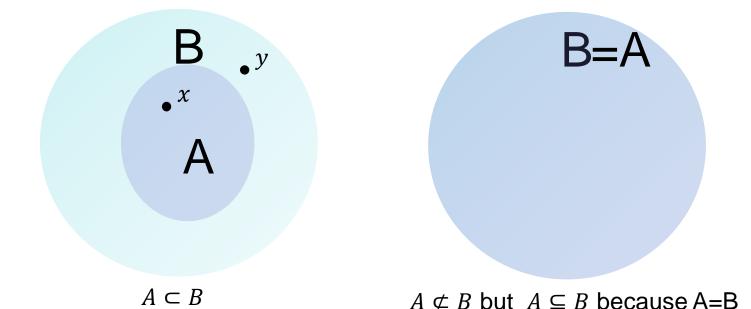


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### Exercise 1 – Testing Whether One Set Is a Subset of Another

Let  $A = \{1\}$  and  $B = \{1, 2\}$ .

- **a.** Is  $A \subseteq B$ ?
- **b.** If so, is *A* a proper subset of *B*?

#### Solution:

Yes because:

- a. A only has the element 1, which is also an element of set B, hence  $A \subseteq B$ .
- b. It is proper because there is at least one element in B that is not in A, which is the 2, since 1 ≠ 2

### Exercise 2 – True or False?

- $N \subseteq Z \rightarrow True$
- $N \subset Z \rightarrow True$
- $\mathbf{Z}^{\geq 0} \subset \mathbf{N} \to \mathsf{False} (\mathbf{Z}^{\geq 0} = \mathbf{N})$
- $N \subseteq N \rightarrow True$
- $\{0, 1, 2, 3, ...\} \subset \mathbb{N} \rightarrow \text{False}(\{0, 1, 2, 3, ...\} = \mathbb{N})$

# Subsets: Proof and Disproof

How do we **proof mathematically** that a set A is a subset of another set B?

$$A \subseteq B \Leftrightarrow \forall x, \text{ if } x \in A \text{ then } x \in B.$$

 Solution: pick a particular but arbitrarily chosen element x of A and show that it is also an element of B

### Example – Proving and Disproving Subset Relations

#### Define sets A and B as follows:

$$A = \{m \in \mathbb{Z} \mid m = 6r + 12 \text{ for some } r \in \mathbb{Z}\}$$
  
 $B = \{n \in \mathbb{Z} \mid n = 3s \text{ for some } s \in \mathbb{Z}\}.$ 

- a. Prove that  $A \subset B$ .
- b. Disprove that  $B \subseteq A$ .

### Solution – Proving and Disproving Subset Relations

$$A = \{m \in \mathbb{Z} \mid m = 6r + 12 \text{ for some } r \in \mathbb{Z}\}$$
  
 $B = \{n \in \mathbb{Z} \mid n = 3s \text{ for some } s \in \mathbb{Z}\}.$ 

a. Suppose x is an arbitrarily chosen element of A. By definition, there is an integer r such that x = 6r + 12. Let s = 2r + 4. It holds that

$$3s = 3(2r + 4) = 6r + 12 = x$$

Thus, x is an element of B by definition of B.

b. Let x = 3. Then  $x \in B$  because  $3 = 3 \cdot 1$ , but  $x \notin A$  because there is no integer r such that 3 = 6r + 12. Thus,  $B \nsubseteq A$ .

# Set Equality

Two sets A and B are equal iff every element of A is in B and every element in B is in A

#### Definition

Given sets A and B, A equals B, written A = B, if, and only if, every element of A is in B and every element of B is in A.

Symbolically:

$$A = B \Leftrightarrow A \subseteq B \text{ and } B \subseteq A.$$

## Exercise – Set Equality

Define sets A and B as follows:

```
A = \{m \in \mathbb{Z} \mid m = 2a \text{ for some integer } a\}

B = \{n \in \mathbb{Z} \mid n = 2b - 2 \text{ for some integer } b\}
```

Is A = B?

#### Solution:

Yes. First, we show that  $A \subseteq B$ : Let b = a + 1 (b is an integer because it is a sum of integers).

It holds that 2b - 2 = 2(a + 1) - 2 = 2a + 2 - 2 = 2a = x, thus x is an element of B.

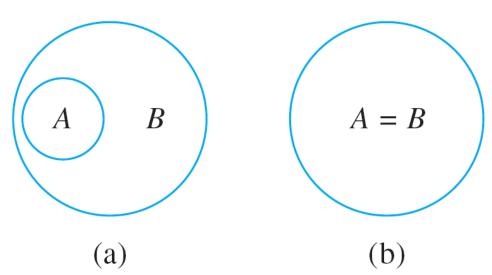
Similarly we can prove that  $B \subseteq A$ .

Hence A = B.

## Venn Diagrams

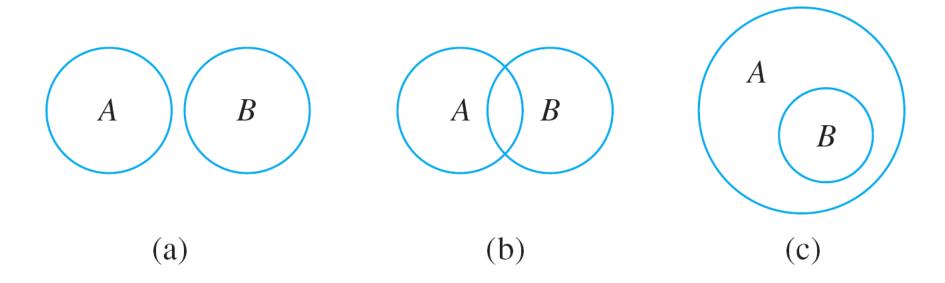
If sets *A* and *B* are represented as regions in the plane, relationships between *A* and *B* can be represented by **Venn diagrams**, introduced by John Venn in 1881.

For example, the relationship  $A \subseteq B$  can be pictured in one of two ways:



# Venn Diagrams

The relationship  $A \nsubseteq B$  can be represented in three different ways with Venn diagrams:



### Example – Relations among Sets of Numbers

**Z** is a subset of **Q** because every integer is rational.

**Q** is a subset of **R** because every rational number is real.

**Z** is a proper subset of **Q** because there are rational numbers that are not integers (for example, 1/2).

**Q** is a proper subset of **R** because there are real numbers that are not rational (for example,  $\sqrt{2}$ ).



# The Empty Set (Ø, { })

The empty set (or null set), denoted by Ø or { }, is the unique set with no elements

 The empty set is a subset of every set, or alternatively, every set contains the empty set.

For example,  $\{1, 3\} \cap \{2, 4\} = \emptyset$  and  $\{x \in \mathbb{R} | x^2 = -1\} = \emptyset$ .

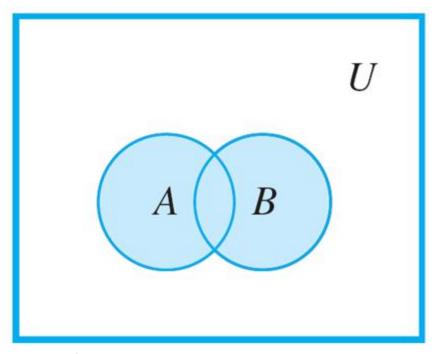
## Exercise: True or False?

- 1.  $\emptyset \subseteq \mathbb{N} \to \mathsf{True}$
- 2.  $\emptyset \subseteq A$  for any set  $A \rightarrow True$
- 3.  $\emptyset \subset A$  for any set  $A \to \textbf{False}$  (if A is the empty set, then  $\emptyset$  is not a proper set of itself)
- 4.  $\emptyset \subseteq \emptyset \rightarrow \mathbf{True}$  because  $\emptyset = \emptyset$

## Operations on Sets: Union

• The union between two sets A and B, denoted  $A \cup B$ , is the set of elements that belong either to A or to B:

$$A \cup B = \{x \in U | (x \in A) \lor (x \in B)\}$$

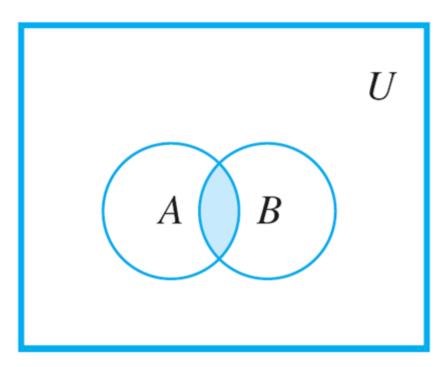


NB: U is the universal set (i.e., the set that includes all sets and all objects)

## Operations on Sets: Intersection

• The intersection between A and B, denoted  $A \cap B$ , is the set of elements that belong to both A and B.

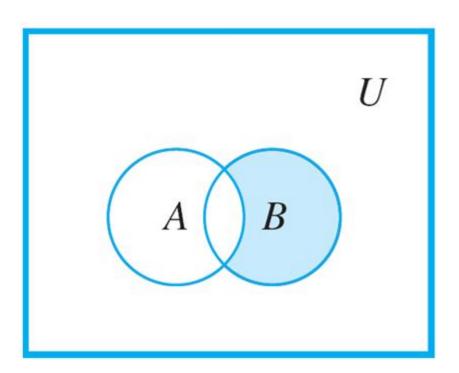
$$A \cap B = \{x \in U \mid (x \in A) \land (x \in B)\}$$



## Operations on Sets: Difference

 The difference B—A is the set of all elements in B but not in A:

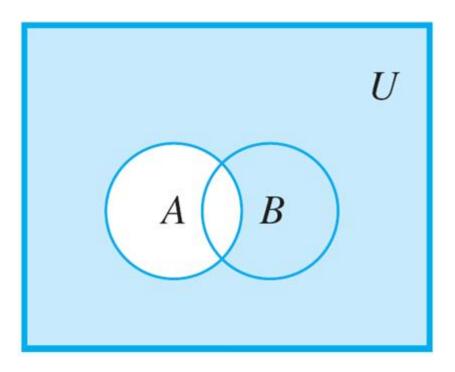
$$B - A = \{x \in U \mid (x \in B) \land (x \notin A)\}$$



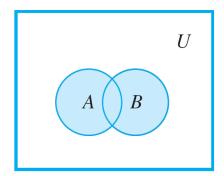
## Operations on Sets: Complement

 The complement of A, denoted A<sup>c</sup>, is the set of all elements that do **not** belong to A:

$$A^c = \{ x \in U \mid x \notin A \}$$

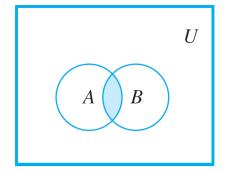


## Operations on Sets: Recap



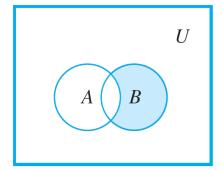
Shaded region represents  $A \cup B$ .

$$A \cup B = \{x \in U | (x \in A) \lor (x \in B)\}$$



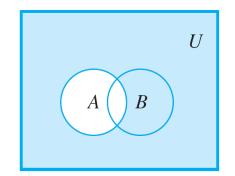
Shaded region represents  $A \cap B$ .

$$A \cap B = \{x \in U \mid (x \in A) \land (x \in B)\}$$



Shaded region represents B - A.

$$B - A = \{x \in U \mid (x \in B) \land (x \notin A)\}$$



Shaded region represents *A<sup>c</sup>*.

$$A^c = \{ x \in U \mid x \notin A \}$$

### Exercise 1 – Unions, Intersections, Differences, and Complements

Let the universal set be the set  $U = \{a, b, c, d, e, f, g\}$  and let  $A = \{a, c, e, g\}$  and  $B = \{d, e, f, g\}$ . Find  $A \cup B$ ,  $A \cap B$ , B - A, and  $A^c$ .

### Solution:

$$A \cup B = \{a, c, d, e, f, g\}$$
$$A \cap B = \{e, g\}$$
$$B - A = \{d, f\}$$
$$A^{c} = \{b, d, f\}$$

### Exercise 2 – Unions, Intersections, Differences, and Complements

1. 
$$A \cup U = U$$

$$2. A \cap U = A$$

$$A \cup \emptyset = A$$

4. 
$$A \cap \emptyset = \emptyset$$

5. 
$$A - A = \emptyset$$

6. 
$$A - U = \emptyset$$

7. 
$$U^c = \emptyset$$

8. 
$$\emptyset^c = U$$

### Interval Sets

#### Notation

Given real numbers a and b with  $a \le b$ :

$$(a, b) = \{x \in \mathbf{R} \mid a < x < b\}$$
  $[a, b] = \{x \in \mathbf{R} \mid a \le x \le b\}$   $[a, b] = \{x \in \mathbf{R} \mid a \le x \le b\}$   $[a, b] = \{x \in \mathbf{R} \mid a \le x \le b\}.$ 

The symbols  $\infty$  and  $-\infty$  are used to indicate intervals that are unbounded either on the right or on the left:

$$(a, \infty) = \{x \in \mathbf{R} \mid x > a\}$$
  $[a, \infty) = \{x \in \mathbf{R} \mid x \ge a\}$   $(-\infty, b) = \{x \in \mathbf{R} \mid x < b\}$   $[-\infty, b) = \{x \in \mathbf{R} \mid x \le b\}.$ 

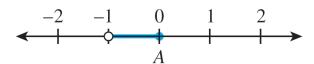
**NB:** Note that the notation for the interval (a, b) is identical to the notation for the ordered pair (a, b). However, context makes it unlikely that the two will be confused.

## Exercise – An Example with Intervals

#### Let the universal set be R and let

$$A = (-1, 0] = \{x \in \mathbf{R} \mid -1 < x \le 0\} \text{ and } B = [0, 1) = \{x \in \mathbf{R} \mid 0 \le x < 1\}.$$

### Graphically:



Find  $A \cup B$ ,  $A \cap B$ , B - A, and  $A^c$ .

#### Solution:

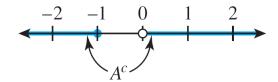
$$A \cup B = \{x \in \mathbf{R} \mid x \in (-1, 0] \text{ or } x \in [0, 1)\} = \{x \in \mathbf{R} \mid x \in (-1, 1)\} = (-1, 1).$$

## Solution – An Example with Intervals

 $A \cap B = \{x \in \mathbb{R} \mid x \in (-1, 0] \text{ and } x \in [0, 1)\} = \{0\}.$ 

 $B - A = \{x \in \mathbf{R} \mid x \in [0, 1) \text{ and } x \notin (-1, 0]\} = \{x \in \mathbf{R} \mid 0 < x < 1\} = (0, 1)$ 

 $A^c = \{x \in \mathbf{R} \mid \text{it is not the case that } x \in (-1, 0]\}$ 



## Operations on Sets

#### Definition

#### Unions and Intersections of an Indexed Collection of Sets

Given sets  $A_0$ ,  $A_1$ ,  $A_2$ ,... that are subsets of a universal set U and given a nonnegative integer n,

$$\bigcup_{i=0}^{n} A_{i} = \{x \in U \mid x \in A_{i} \text{ for at least one } i = 0, 1, 2, \dots, n\}$$

$$\bigcup_{i=0}^{\infty} A_{i} = \{x \in U \mid x \in A_{i} \text{ for at least one nonnegative integer } i\}$$

$$\bigcap_{i=0}^{n} A_{i} = \{x \in U \mid x \in A_{i} \text{ for all } i = 0, 1, 2, \dots, n\}$$

$$\bigcap_{i=0}^{\infty} A_{i} = \{x \in U \mid x \in A_{i} \text{ for all nonnegative integers } i\}.$$

### Exercise – Finding Unions and Intersections of More than Two Sets

### For each positive integer *i*, let

$$A_i = \left\{ x \in \mathbf{R} \mid -\frac{1}{i} < x < \frac{1}{i} \right\} = A_i = \left( -\frac{1}{i}, \frac{1}{i} \right).$$

Find 
$$\bigcup_{i=1}^{\infty} A_i$$
 and  $\bigcap_{i=1}^{\infty} A_i$ .

### Solution - Finding Unions and Intersections of More than Two Sets

$$\bigcup_{i=1}^{\infty} A_i = \{x \in \mathbf{R} \mid x \text{ is in at least one of the intervals } \left(-\frac{1}{i}, \frac{1}{i}\right),$$

$$= \{x \in \mathbf{R} \mid -1 < x < 1\}$$

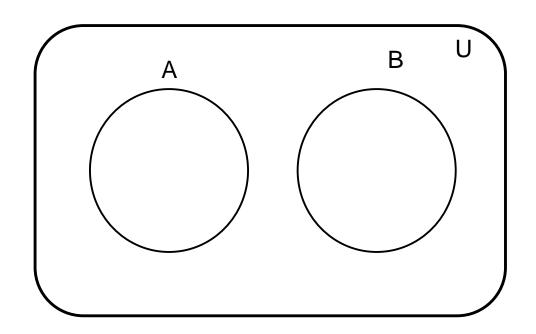
$$= (-1, 1)$$
because all the elements in every interval
$$\left(-\frac{1}{i}, \frac{1}{i}\right) \text{ are in } (-1, 1)$$

$$\bigcap_{i=1}^{\infty} A_i = \{x \in \mathbf{R} \mid x \text{ is in all of the intervals } \left(-\frac{1}{i}, \frac{1}{i}\right), \text{ where } i \text{ is}$$

$$= \{0\}$$
because the only element in every interval is 0

# Mutually Disjoint Sets

Two sets A, B are called **mutually disjoint** (or just disjoint) iff they have no elements in common or  $A \cap B = \emptyset$ 



Example:  $A = \{1, 3, 5\}$  and  $B = \{2, 4, 6\}$  are disjoint because  $\{1, 3, 5\} \cap \{2, 4, 6\} = \emptyset$ .

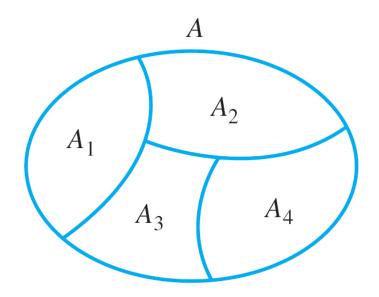
## Partitions of Sets

- Let A be a set.  $P = \{A_1, A_2, ... A_n\}$  is a partition of A iff
  - $A_i$  and  $A_j$  are **mutually disjoint**, for all i, j with  $i \neq j$
  - $A_1 \cup A_2 \cup \cdots \cup A_n = A$



# Example – Partitions of Sets

Suppose A,  $A_1$ ,  $A_2$ ,  $A_3$ , and  $A_4$  are the sets of points represented by the following regions:



 $\{A_1, A_2, A_3, A_4\}$  is a partition of A because  $A_1, A_2, A_3$ , and  $A_4$  are disjoint and  $A = A_1 \cup A_2 \cup A_3 \cup A_4$ .

## Exercise: True or False?

- 1.  $\{\mathbf{Z}^{<0}, \{0\}, \mathbf{N}^{>0}\}$  is a partition of  $\mathbf{Z} \to \mathsf{True}$
- 2.  $\{\mathbf{Z}^{<0}, \{0\}, \mathbf{N}\}$  is a partition of  $\mathbf{Z} \rightarrow \mathbf{False}$
- 3.  $\{Q, R Q\}$  is a partition of  $R \rightarrow True$

# Exercise – Partitions of Sets

#### Let **Z** be the set of all integers and let

$$T_0 = \{n \in \mathbb{Z} \mid n = 3k, \text{ for some integer } k\},\$$
  
 $T_1 = \{n \in \mathbb{Z} \mid n = 3k + 1, \text{ for some integer } k\}, \text{ and }$   
 $T_2 = \{n \in \mathbb{Z} \mid n = 3k + 2, \text{ for some integer } k\}.$ 

Is  $\{T_0, T_1, T_2\}$  a partition of **Z**?

#### Solution:

Yes, by the quotient-remainder theorem, every integer *n* can be represented in exactly one of the three forms

$$n = 3k$$
 or  $n = 3k + 1$  or  $n = 3k + 2$ ,

and thus  $T_0 \cap T_1 \cap T_2 = \emptyset$  and  $\mathbf{Z} = T_0 \cup T_1 \cup T_2$ .

# Ordered Tuples

Let n be a positive integer and  $x_1, x_2, ..., x_n$  elements, the following ordered set is called

- ordered *n*-tuple  $(x_1, x_2, ..., x_n)$ .
- If n=2,  $(x_1, x_2)$  is called an **ordered pair.**
- If n=3,  $(x_1, x_2, x_3)$  is called an **ordered triple.**

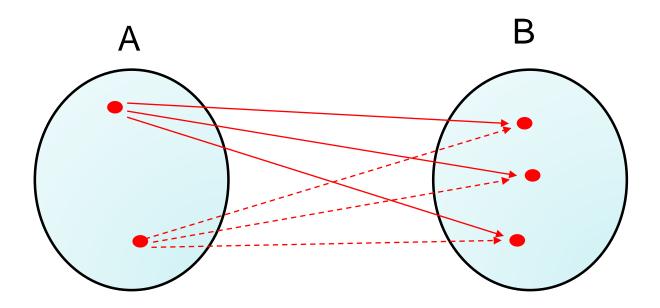
Two ordered *n*-tuples  $(x_1, x_2, ..., x_n)$  and  $(y_1, y_2, ..., y_n)$  are equal iff  $x_1 = y_1, x_2 = y_2, ..., x_n = y_n$ .

Example: (a,b) = (c,d) iff (a = c) and (b = d).

## Cartesian Products between two sets

Denoted  $A \times B$ , the **Cartesian product** between A and B is the set of **ordered pairs** of elements of A and B:

$$\{(a,b)|(a\in A)\land (b\in B)\}$$



#### Cartesian Products between *n* sets

More generally, given the sets  $A_1, A_2, ..., A_n$ , the **Cartesian** product  $A_1 \times A_2 ... \times A_n$  is the set of ordered n-tuples

$$\{(a_1, a_2, ..., a_n) | (a_1 \in A_1) \land (a_2 \in A_2) \land \cdots \land (a_n \in A_n) \}$$

#### Cartesian Products os Sets with Themselves

• A shorthand notation for  $\underbrace{A \times A \times ... \times A}_{n \text{ times}}$  is  $A^n$ .

#### Examples:

- if  $A = \{a, b, c\}$ , then  $A^3 = \{(a, a, a), (a, a, b), (a, a, c), (a, b, a), \dots, (c, c, b), (c, c, c)\}$
- R<sup>2</sup> (Cartesian plane)
- R<sup>3</sup> (3D Space)
- {(x,y)| (x,y) ∈ R² ∧ (x²+y² ≤ 1)} = Two-dimensional disk of radius
   1, centered at the origin

# Cardinality

- For a finite set A, its cardinality, denoted |A|, is the number of its elements.
- For an infinite set A, the definition is more complicated (we will see this in Chapter 7).
- The cardinality of the Cartesian products of two sets is the product between the cardinality of the two sets.

#### Recap Quiz

- 1.  $(A \cap B) \subseteq (A \cup B) \rightarrow \mathsf{True}$
- 2. A B and B are mutually disjoint.  $\rightarrow$  True
- 3.  $(A \subseteq B) \Rightarrow (A \subseteq B) \rightarrow \mathsf{True}$
- 4.  $\{\mathbf{R}^{\neq 0}, \{0\}\}\$  is a partition of  $\mathbf{R} \rightarrow \mathsf{True}$
- 5.  $\emptyset \subseteq \{\} \rightarrow \mathsf{True}$
- 6.  $|A^n| = |A|^n, n \in \mathbb{N} \rightarrow \mathsf{True}$

**Extra** 

# Set Theory applied to Digital Image Processing

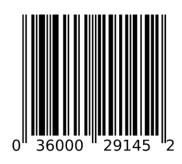
# Digital Image Processing

 In computer science, digital image processing is the use of computer algorithms to process digital images

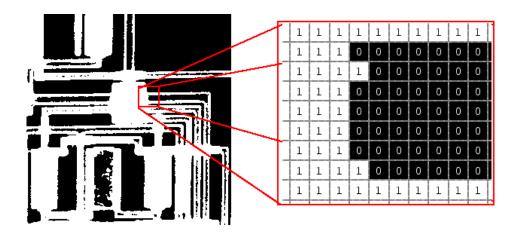


# Binary Images

- Because image processing is outside the scope of this course, we will consider binary images for now
- A binary image is an image whose pixels values can either be 0 (black) or 1 (white), e.g., bar codes, text, etc.



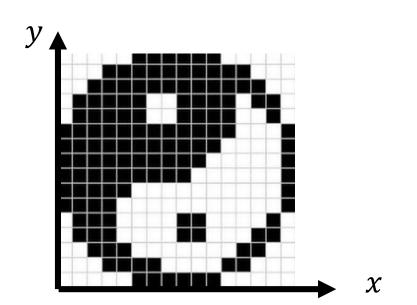
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# Binary Images as Sets

A binary image A can be defined as the set of grid elements, whose coordinates are the ordered pairs (x, y) of the 2-D integer space N² such that the pixel value (i.e., grid value) I(x, y) = 0:

$$A = \{(x, y) \in N^2 \mid I(x, y) = 0\}$$



- Dilation is popular image processing operation that grows and thickens objects of a binary image
- The extent of the thickening is controlled by a shape called structuring element, which is carefully designed
- A structuring element is also a binary image

Historically, certain computer programs were written using only two digits rather than four to define the applicable year. Accordingly, the company's software may recognize a date using "00" as 1900 rather than the year 2000.

Historically, certain computer programs were written using only two digits rather than four to define the applicable year. Accordingly, the company's software may recognize a date using "00" as 1900 rather than the year 2000.

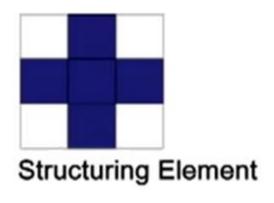
a c

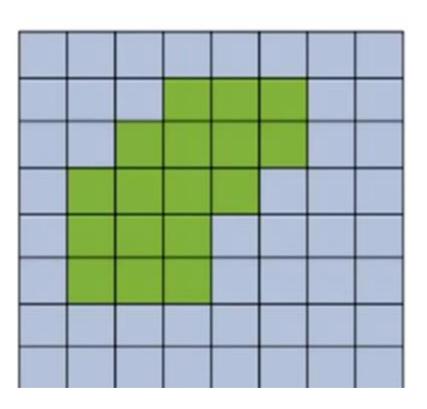
#### FIGURE 9.5

(a) Sample text of poor resolution with broken characters (magnified view). (b) Structuring element. (c) Dilation of (a) by (b). Broken segments were joined.

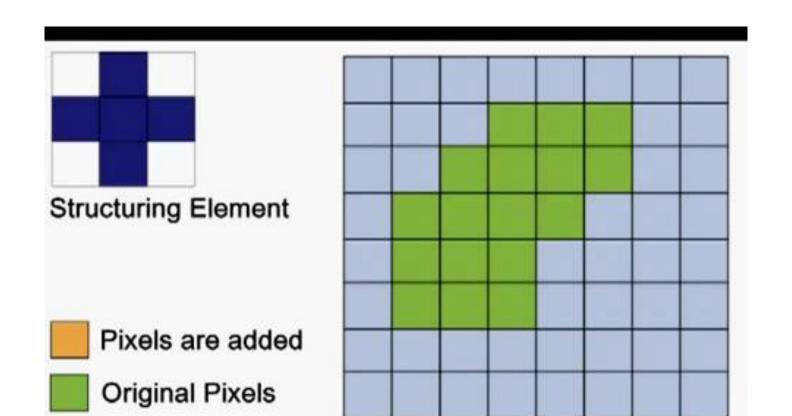
0	1	0
1	1	1
0	1	0

 The dilation of A by B is the set consisting of all the structuring element "origin" locations where the translated B overlaps at least one element of A

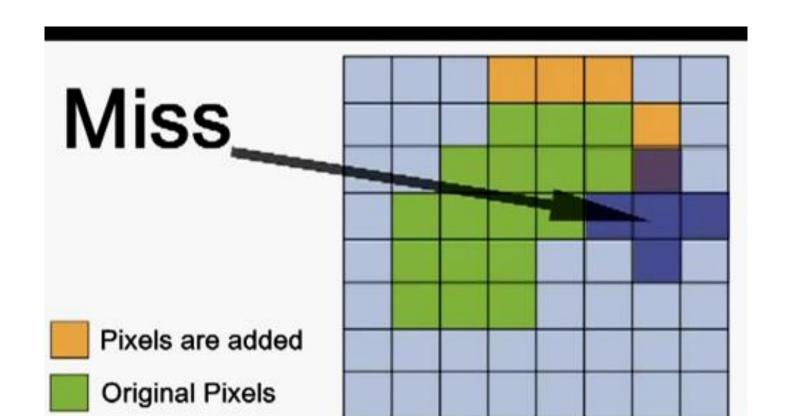




 The dilation of A by B is the set consisting of all the structuring element "origin" locations where the translated B overlaps at least one element of A



 The dilation of A by B is the set consisting of all the structuring element "origin" locations where the translated B overlaps at least one element of A



 Dilation can be formally defined using the following set operation:

$$A \oplus B = \{(x, y) \in N^2 | \widehat{B}_{(x, y)} \cap A \neq \emptyset \}$$

• In other words, the dilation of A by B is the set consisting of all the **structuring element origin locations** (x, y) where the translated B (indicated by  $\hat{B}_{(x,y)}$ ) overlaps at least one element of A

## **Erosion**

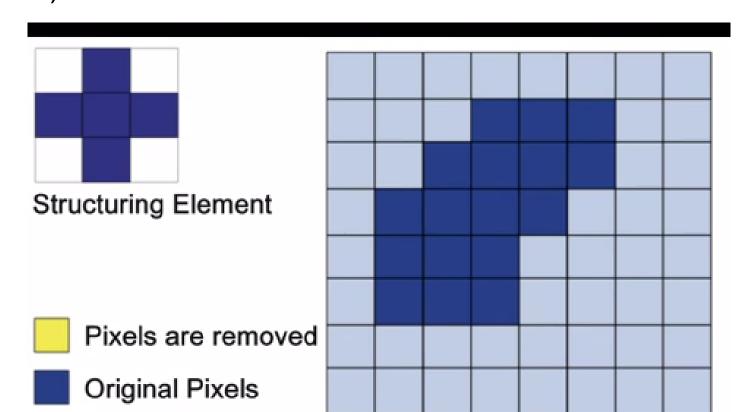
- Erosion is the opposite of dilation: it shrinks or thins objects in a binary image.
- As for dilation, the manner and amount of shrinking is controlled by a structuring element.
- Erosion can be formally defined using the following set operation:

$$A \ominus B = \{(x, y) \in N^2 | \widehat{B}_{(x, y)} \subseteq A\}$$

 The erosion of A by B is the set consisting of all the structuring element "origin" locations where the translated B is a subset of A (or completely overlaps with of A).

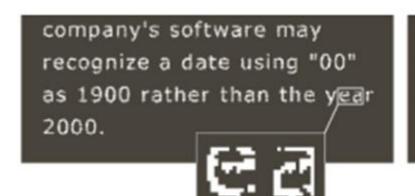
### **Erosion**

 The erosion of A by B is the set consisting of all the structuring element "origin" locations where the translated B is a subset of A (or completely overlaps with of A).



## Dilation & Erosion have many applications

 Examples of dilation applied to text and fingerprint enhancement (preprocessing step before recognition)



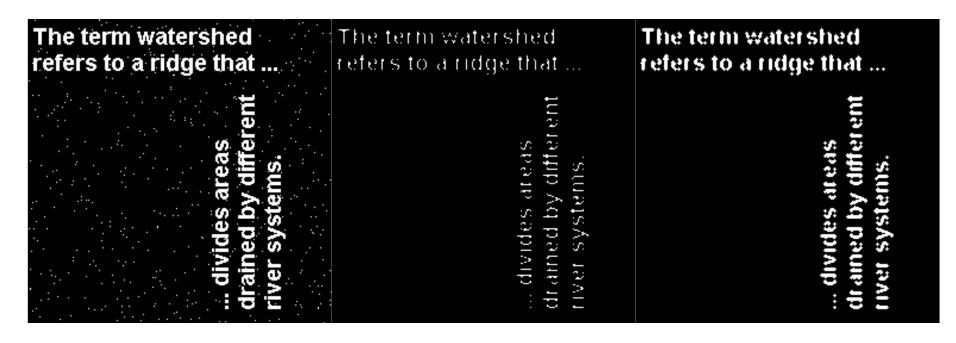
recognize a date using "00" as 1900 rather than the year 2000.





## Dilation & Erosion have many applications

 Examples of erosion and dilation applied consecutively to first remove noise and then enhance text:



Original noisy image

Eroded image (with disk of radius = 1)

Delated image with disk of radius = 1

#### **SECTION 6.2**

# **Properties of Sets**

# Properties of Sets

#### **Theorem 6.2.1 Some Subset Relations**

1. *Inclusion of Intersection:* For all sets A and B,

(a) 
$$A \cap B \subseteq A$$
 and (b)  $A \cap B \subseteq B$ .

2. *Inclusion in Union:* For all sets A and B,

(a) 
$$A \subseteq A \cup B$$
 and (b)  $B \subseteq A \cup B$ .

3. Transitive Property of Subsets: For all sets A, B, and C,

if 
$$A \subseteq B$$
 and  $B \subseteq C$ , then  $A \subseteq C$ .

# Properties of Sets

#### **Procedural Versions of Set Definitions**

Let X and Y be subsets of a universal set U and suppose x and y are elements of U.

1. 
$$x \in X \cup Y \Leftrightarrow x \in X \text{ or } x \in Y$$

2. 
$$x \in X \cap Y \Leftrightarrow x \in X \text{ and } x \in Y$$

3. 
$$x \in X - Y \Leftrightarrow x \in X \text{ and } x \notin Y$$

$$4. \ x \in X^c \quad \Leftrightarrow \quad x \notin X$$

5. 
$$(x, y) \in X \times Y \Leftrightarrow x \in X \text{ and } y \in Y$$

#### Exercise – Proof of a Subset Relation

Prove that for all sets A and B,  $A \cap B \subseteq A$ .

#### Solution:

Let x is any element of  $A \cap B$ .

Then  $x \in A$  and  $x \in B$  by definition of intersection.

In particular,  $x \in A$  and thus  $A \cap B \subseteq A$ .

## Set Identities

#### **Theorem 6.2.2 Set Identities**

Let all sets referred to below be subsets of a universal set U.

1. Commutative Laws: For all sets A and B,

(a) 
$$A \cup B = B \cup A$$
 and (b)  $A \cap B = B \cap A$ .

2. Associative Laws: For all sets A, B, and C,

(a) 
$$(A \cup B) \cup C = A \cup (B \cup C)$$
 and

(b) 
$$(A \cap B) \cap C = A \cap (B \cap C)$$
.

3. Distributive Laws: For all sets, A, B, and C,

(a) 
$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$
 and

(b) 
$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$
.

4. *Identity Laws:* For all sets A,

(a) 
$$A \cup \emptyset = A$$
 and (b)  $A \cap U = A$ .

5. Complement Laws:

(a) 
$$A \cup A^c = U$$
 and (b)  $A \cap A^c = \emptyset$ .

6. Double Complement Law: For all sets A,

## Set Identities

3. Distributive Laws: For all sets, A, B, and C,

(a) 
$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$
 and

(b) 
$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$
.

4. *Identity Laws:* For all sets A,

(a) 
$$A \cup \emptyset = A$$
 and (b)  $A \cap U = A$ .

5. Complement Laws:

(a) 
$$A \cup A^c = U$$
 and (b)  $A \cap A^c = \emptyset$ .

6. Double Complement Law: For all sets A,

$$(A^c)^c = A$$
.

7. *Idempotent Laws:* For all sets A,

(a) 
$$A \cup A = A$$
 and (b)  $A \cap A = A$ .

8. *Universal Bound Laws:* For all sets *A*,

(a) 
$$A \cup U = U$$
 and (b)  $A \cap \emptyset = \emptyset$ .

9. De Morgan's Laws: For all sets A and B,

(a) 
$$(A \cup B)^c = A^c \cap B^c$$
 and (b)  $(A \cap B)^c = A^c \cup B^c$ .

## Example – Proof of a Distributive Law

Prove that for all sets A, B, and C,

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C).$$

#### Solution:

Suppose that A, B, and C are arbitrary sets. We must prove that

$$A \cup (B \cap C) \subseteq (A \cup B) \cap (A \cup C) \quad (1)$$

and that

$$(A \cup B) \cap (A \cup C) \subseteq A \cup (B \cap C). \quad (2)$$

#### Solution – Proof of a Distributive Law

First, we prove that

$$A \cup (B \cap C) \subseteq (A \cup B) \cap (A \cup C) \quad (1)$$

Let x be an arbitrary element in  $A \cup (B \cap C)$ .

By definition of union,  $x \in A$  or  $x \in B \cap C$ .

Case 1:  $x \in A$ 

By definition of union,  $x \in A \cup B$  and  $x \in A \cup C$ .

By definition of intersection,  $x \in (A \cup B) \cap (A \cup C)$ .

Case 2:  $x \in B \cap C$ 

By definition of intersection,  $x \in B$  and  $x \in C$ .

By definition of union,  $x \in A \cup B$  and  $x \in A \cup C$ .

By definition of intersection,  $x \in (A \cup B) \cap (A \cup C)$ .

## Solution – Proof of a Distributive Law

Next, we prove that

$$(A \cup B) \cap (A \cup C) \subseteq A \cup (B \cap C)$$
. (2)

Let x be an arbitrary element in  $(A \cup B) \cap (A \cup C)$ .

By definition of intersection,  $x \in A \cup B$  and  $x \in A \cup C$ .

Case 1:  $x \in A$ 

By the definition of union,  $x \in A \cup (B \cap C)$ .

Case 2: *x* ∉ *A* 

Since  $x \in A \cup B$  and  $x \in A \cup C$ ,  $x \in B$  and  $x \in C$ .

By definition of intersection,  $x \in B \cap C$ .

By definition of union,  $x \in A \cup (B \cap C)$ .

# "Algebraic" Proofs of Set Identities

Given the commutative laws, associative laws, distributive laws, identity laws, and complement, any other identity involving only unions, intersections, and complements can be proven.

With the addition of complements of U and Ø, the set difference law, any set identity involving unions, intersections, complements, and set differences can be established.

#### Example - Deriving a Set Identity Using Properties of Ø

Construct an algebraic proof that for all sets A and B,

$$A - (A \cap B) = A - B.$$

#### Solution - Deriving a Set Identity Using Properties of Ø

#### Solution: Suppose A and B are any sets.

$$A - (A \cap B) = A \cap (A \cap B)^{c}$$
 by the set difference law 
$$= A \cap (A^{c} \cup B^{c})$$
 by De Morgan's laws 
$$= A \cap (A^{c} \cup B^{c})$$
 by De Morgan's laws 
$$= (A \cap A^{c}) \cup (A \cap B^{c})$$
 by the distributive law 
$$= \emptyset \cup (A \cap B^{c})$$
 by the complement law 
$$= (A \cap B^{c}) \cup \emptyset$$
 by the commutative law 
$$= A \cap B^{c}$$
 by the identity law for 
$$= A \cap (A^{c} \cup B^{c})$$
 by De Morgan's laws 
$$= A \cap (A^{c} \cup B^{c})$$
 by De Morgan's laws by the distributive law by De Morgan's laws by De Morgan's laws

# The Empty Set

#### Theorem 6.2.4 A Set with No Elements Is a Subset of Every Set

If E is a set with no elements and A is any set, then  $E \subseteq A$ .

#### **Corollary 6.2.5 Uniqueness of the Empty Set**

There is only one set with no elements.

#### **Element Method for Proving a Set Equals the Empty Set**

To prove that a set X is equal to the empty set  $\emptyset$ , prove that X has no elements. To do this, suppose X has an element and derive a contradiction.

### Example – A Proof for a Conditional Statement

Prove that for all sets A, B, and C, if  $A \subseteq B$  and  $B \subseteq C^c$ , then  $A \cap C = \emptyset$ .

#### Solution:

Suppose A, B, and C are arbitrarily chosen sets that satisfy the conditions  $A \subset B$  and  $B \subset C^c$ .

Suppose there is an element  $x \in A \cap C$ .

By definition of intersection,  $x \in A$  and  $x \in C$ .

Since  $A \subseteq B$ ,  $x \in B$  by definition of subset.

Since  $B \subseteq C^c$ , then  $x \in C^c$  by definition of subset.

By definition of complement,  $x \notin C$ . Thus,  $x \in C$  and  $x \notin C$ , which is a contradiction.

We can conclude that there is no such element x.

#### **SECTION 6.3**

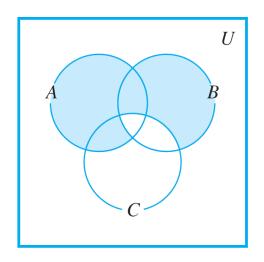
# Disproofs, Algebraic Proofs, and Boolean Algebras

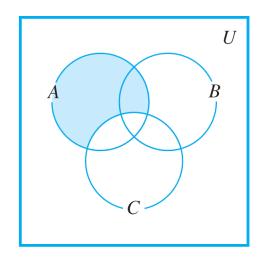
#### Exercise – Finding a Counterexample for a Set Identity

Is it true that for all sets A, B, and C:

$$(A-B)\cup (B-C)=A-C ?$$

#### Solution:





No. The example above is a **counterexample** for which the identity does not hold; indeed,

 $x \in B - C$  and thus  $x \in (A - B) \cup (B - C)$  but clearly,  $x \notin A - C$ .

# Power Sets

#### Definition

Given a set A, the **power set** of A, denoted  $\mathcal{P}(A)$ , is the set of all subsets of A.

# Exercise – Power Set of a Set

Find the power set of the set  $\{x, y\}$ , i.e., find  $\mathcal{P}(\{x, y\})$ . How many elements does it contain?

#### Solution:

$$\mathscr{P}(\{x, y\}) = \{\emptyset, \{x\}, \{y\}, \{x, y\}\}.$$

It contains 4 elements.

# The Number of Subsets of a Set

#### **Theorem 6.3.1**

For all integers  $n \ge 0$ , if a set X has n elements, then  $\mathcal{P}(X)$  has  $2^n$  elements.

#### **Proof (by mathematical induction):**

Let the property P(n) be the sentence

Any set with *n* elements has  $2^n$  subsets.  $\leftarrow P(n)$ 

#### *P*(0):

The only set with zero elements is the empty set,  $\epsilon$  only subset of the empty set is itself. Thus, any set with 1 element has  $2^0 = 1$  subsets.

# The Number of Subsets of a Set

#### $P(k) \rightarrow P(k+1)$ :

Let X be a set with k + 1 elements. Since  $k + 1 \ge 1$ , X is not empty and we may pick an element  $z \in X$ .

Observe that any subset of X either contains z or not. Moreover, any subset of  $A = X - \{z\}$  can be matched up with a subset that is  $A \cup \{z\}$ .

Thus, there are as many subsets of X that contain Z as do not. We get that

the number of subsets of  $X - \{z\} = 2^k$  by inductive hypothesis.

the number of subsets of X = 2 (the number of subsets of  $X - \{z\}$ )

$$= 2 \cdot (2^k)$$

$$= 2^{k+1}$$

# Example – The Number of Subsets of a Set

For instance, if  $X = \{x, y, z\}$ , the following table shows the correspondence between subsets of X that do not contain z and subsets of X that contain z.

Subsets of X That Do Not Contain z		Subsets of X That Contain z
Ø	$\longleftrightarrow$	$\emptyset \cup \{z\} = \{z\}$
{ <i>x</i> }	$\longleftrightarrow$	$\{x\} \cup \{z\} = \{x, z\}$
{y}	$\longleftrightarrow$	$\{y\} \cup \{z\} = \{y, z\}$
$\{x, y\}$	$\longleftrightarrow$	${x, y} \cup {z} = {x, y, z}$

**SECTION 6.4** 

Boolean Algebras, Russell's Paradox, and the Halting Problem

Logical Equivalences	Set Properties
For all statement variables $p, q$ , and $r$ :	For all sets $A$ , $B$ , and $C$ :
a. $p \lor q \equiv q \lor p$	$a. A \cup B = B \cup A$
b. $p \wedge q \equiv q \wedge p$	b. $A \cap B = B \cap A$
a. $p \wedge (q \wedge r) \equiv p \wedge (q \wedge r)$	$a. A \cup (B \cup C) \equiv A \cup (B \cup C)$
b. $p \lor (q \lor r) \equiv p \lor (q \lor r)$	b. $A \cap (B \cap C) \equiv A \cap (B \cap C)$
a. $p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$	a. $A \cap (B \cup C) \equiv (A \cap B) \cup (A \cap C)$
b. $p \lor (q \land r) \equiv (p \lor q) \land (p \lor r)$	b. $A \cup (B \cap C) \equiv (A \cup B) \cap (A \cup C)$
a. $p \vee \mathbf{c} \equiv p$	a. $A \cup \emptyset = A$
b. $p \wedge \mathbf{t} \equiv p$	b. $A \cap U = A$

Logical Equivalences	Set Properties
$0. \ p \lor (q \lor r) = p \lor (q \lor r)$	0. A + (D + C) = A + (D + C)
a. $p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$	a. $A \cap (B \cup C) \equiv (A \cap B) \cup (A \cap C)$
b. $p \lor (q \land r) \equiv (p \lor q) \land (p \lor r)$	b. $A \cup (B \cap C) \equiv (A \cup B) \cap (A \cup C)$
a. $p \vee \mathbf{c} \equiv p$	a. $A \cup \emptyset = A$
b. $p \wedge \mathbf{t} \equiv p$	b. $A \cap U = A$
a. $p \lor \sim p \equiv \mathbf{t}$	a. $A \cup A^c = U$
b. $p \land \sim p \equiv \mathbf{c}$	b. $A \cap A^c = \emptyset$
$\sim (\sim p) \equiv p$	$(A^c)^c = A$
a. $p \vee p \equiv p$	$a. A \cup A = A$
b. $p \wedge p \equiv p$	b. $A \cap A = A$
a. $p \vee \mathbf{t} \equiv \mathbf{t}$	a. $A \cup U = U$
b. $p \wedge \mathbf{c} \equiv \mathbf{c}$	b. $A \cap \emptyset = \emptyset$
a. $\sim (p \vee q) \equiv \sim p \wedge \sim q$	$a. (A \cup B)^c = A^c \cap B^c$
b. $\sim (p \land q) \equiv \sim p \lor \sim q$	$b. (A \cap B)^c = A^c \cup B^c$

- $\vee$  (*or*) corresponds to  $\cup$  (union)
- $\land$  (and) corresponds to  $\cap$  (intersection)
- **t** (tautology) corresponds to *U* (universal set)
- **c** (contradiction) corresponds to Ø (empty set)
- ~ (negation) corresponds to <sup>c</sup> (complementation)
- $\rightarrow$  Structure of the set of statement forms with operations  $\vee$  and  $\wedge$  is essentially identical to the structure of the set of subsets of a universal set with operations  $\cup$  and  $\cap$ .

Both are special cases of the same general structure known as a *Boolean algebra*.

#### Definition: Boolean Algebra

A **Boolean algebra** is a set B together with two operations, generally denoted + and  $\cdot$ , such that for all a and b in B both a+b and  $a \cdot b$  are in B and the following properties hold:

1. Commutative Laws: For all a and b in B,

(a) 
$$a + b = b + a$$
 and (b)  $a \cdot b = b \cdot a$ .

2. Associative Laws: For all a, b, and c in B,

(a) 
$$(a+b)+c=a+(b+c)$$
 and (b)  $(a \cdot b) \cdot c=a \cdot (b \cdot c)$ .

3. Distributive Laws: For all a, b, and c in B,

(a) 
$$a + (b \cdot c) = (a + b) \cdot (a + c)$$
 and (b)  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$ .

4. *Identity Laws*: There exist distinct elements 0 and 1 in B such that for all a in B,

(a) 
$$a + 0 = a$$
 and (b)  $a \cdot 1 = a$ .

5. Complement Laws: For each a in B, there exists an element in B, denoted  $\overline{a}$  and called the **complement** or **negation** of a, such that

(a) 
$$a + \overline{a} = 1$$
 and (b)  $a \cdot \overline{a} = 0$ .

#### Theorem 6.4.1 Properties of a Boolean Algebra

Let *B* be any Boolean algebra.

- 1. Uniqueness of the Complement Law: For all a and x in B, if a + x = 1 and  $a \cdot x = 0$  then  $x = \overline{a}$ .
- 2. Uniqueness of 0 and 1: If there exists x in B such that a + x = a for all a in B, then x = 0, and if there exists y in B such that  $a \cdot y = a$  for all a in B, then y = 1.
- 3. Double Complement Law: For all  $a \in B$ ,  $\overline{(a)} = a$ .

4. *Idempotent Law:* For all  $a \in B$ ,

(a) 
$$a + a = a$$
 and (b)  $a \cdot a = a$ .

5. *Universal Bound Law:* For all  $a \in B$ ,

(a) 
$$a + 1 = 1$$
 and (b)  $a \cdot 0 = 0$ .

6. De Morgan's Laws: For all a and  $b \in B$ ,

(a) 
$$\overline{a+b} = \overline{a} \cdot \overline{b}$$
 and (b)  $\overline{a \cdot b} = \overline{a} + \overline{b}$ .

7. Absorption Laws: For all a and  $b \in B$ ,

(a) 
$$(a + b) \cdot a = a$$
 and (b)  $(a \cdot b) + a = a$ .

8. Complements of 0 and 1:

(a) 
$$\overline{0} = 1$$
 and (b)  $\overline{1} = 0$ .

All parts of the definition of a Boolean algebra contain paired statements. For example the identity laws state that for all *a* in *B*,

(a) 
$$a + 0 = a$$
 and (b)  $a \cdot 1 = a$ .

Each of the paired statements can be obtained from the other by interchanging all + and · signs and 1s and 0s. Such interchanges transform any Boolean identity into its dual identity.

It can be proved that the dual of any Boolean identity is also an identity. This fact is often called the duality principle for a Boolean algebra.

89

### Example – Proof of the Double Complement Law

#### **Theorem 6.4.1(3) Double Complement Law**

For all elements a in a Boolean algebra  $B, \overline{(a)} = a$ .

#### **Proof:**

Suppose *B* is a Boolean algebra and *a* is any element of *B*.

$$\overline{a} + a = a + \overline{a}$$
 by the commutative law  
= 1 by the complement law for 1

and

$$\overline{a} \cdot a = a \cdot \overline{a}$$
 by the commutative law  $= 0$  by the complement law for 0.

Thus,  $\underline{a}$  satisfies the two equations (S.64, Law 5) for the complement of  $\overline{a}$ . Since the complement is unique,  $\overline{(a)} = a$ .

# Recall Cantor's definition of sets

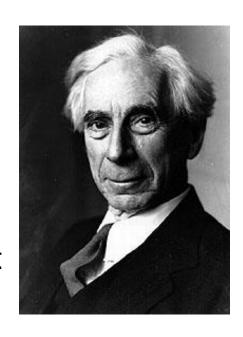
A set is a "collection into a whole M of definite and separate objects of our intuition or our thought. These objects are called the elements of M."

At the beginning of 20<sup>th</sup> century, abstract set theory had gained such popularity that many mathematicians started to show that all mathematics could be built upon the foundation of set theory. Until English mathematician and philosopher Bertrand Russel (Nobel Prize in Literature, 1950) discovered a set that creates a paradox, thus "shaking" Cantor's definition of a set.

# Russell's Paradox

Most sets are not elements of themselves. For instance, the set of all integers is not an integer.

However, a set could be an element of itself. For instance, the set of all abstract ideas might be considered an abstract idea.



If we are allowed to use any defining property of a set, let S be the set of all sets that are not elements of themselves:

$$S = \{A \mid A \text{ is a set and } A \notin A\}.$$

# Russell's Paradox

Is S an element of itself? The answer is neither yes nor no.

If  $S \in S$ , then S satisfies the defining property for S, and hence  $S \notin S$ , which contradicts the assumption.

But if  $S \notin S$ , then S is a set such that  $S \notin S$  and so S satisfies the defining property for S, which implies that  $S \in S$ , which contradicts the assumption.

Thus, neither  $S \in S$  nor  $S \notin S$  holds.

Russell devised a puzzle, the barber puzzle, whose solution exhibits the same logic as his paradox.

# Exercise – The Barber Puzzle

In a town there is a male barber who shaves all those men, and only those men, who do not shave themselves.

Does the barber shave himself?

#### Solution:

Neither yes nor no.

If the barber shaves himself, he is a member of the class of men who shave themselves, so the barber does *not* shave himself.

If the barber does not shave himself, he belongs to the class of men who do not shave themselves. The barber shaves every man in this class, so the barber does shave himself.

### Russell's Paradox

We conclude that "The barber shaves himself" is neither true nor false.

The sentence arose from a description of a situation.

"In a town there is a male barber who shaves all those men, and only those men, who do not shave themselves." If the situation actually existed, then the sentence would have to be true or false. Thus, the situation described in the puzzle cannot exist.

The conclusion for Russell's paradox itself is that S is not a set. (If it were a set, then it either would be either an element of itself or not)

95

# Russell's Paradox

Despite Russel's paradox, Cantor's definition still holds. In 1931, Kurt Gödel showed that it is not possible to prove, mathematically, that mathematics is free of contradictions!

# The Halting Problem

Given a computer program, it would be useful to be able to preprocess a program and its data set by running it through a checking program that determines whether execution of the given program with the given data set would result in an infinite loop.

Can an algorithm for such a program be written?

# The Halting Problem

Can an algorithm be written that will accept any algorithm X and any data set D as input and will print "halts" or "loops forever" to indicate whether X terminates in a finite number of steps or loops forever when run with data set D?



In the 1930s, Alan Turing proved that the answer to this question is No.

#### **Theorem 6.4.2**

There is no computer algorithm that will accept any algorithm X and data set D as input and then will output "halts" or "loops forever" to indicate whether or not X terminates in a finite number of steps when X is run with data set D.