

# **Lower bounds for maximal matchings and maximal independent sets**

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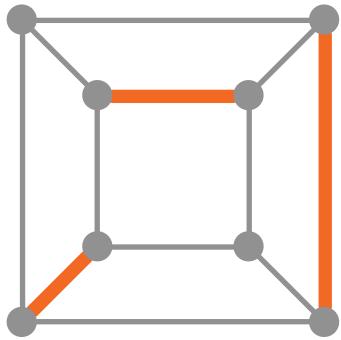
# Joint work with

- **Alkida Balliu** · Aalto University
- **Sebastian Brandt** · ETH Zurich
- **Juho Hirvonen** · Aalto University
- **Dennis Olivetti** · Aalto University
- **Mikaël Rabie** · Aalto University and IRIF, University Paris Diderot

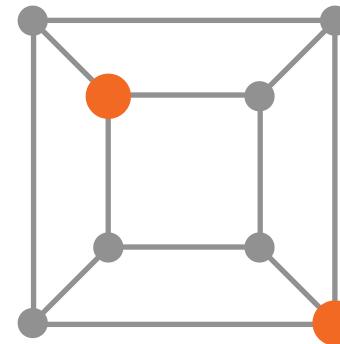
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# Two classical graph problems

## Maximal matching



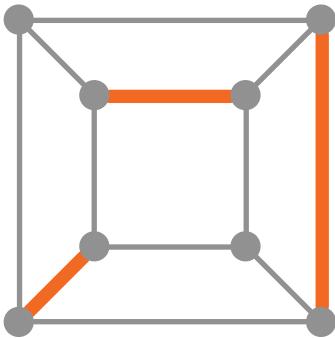
## Maximal independent set



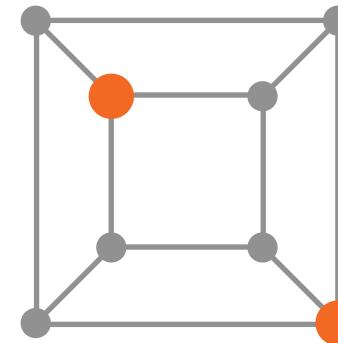
Trivial linear-time centralized, sequential algorithm:  
add edges/nodes until stuck

# Two classical graph problems

## Maximal matching



## Maximal independent set



Can be ***verified locally***: if it looks correct everywhere locally, it is also feasible globally

Can these problems be ***solved locally***?

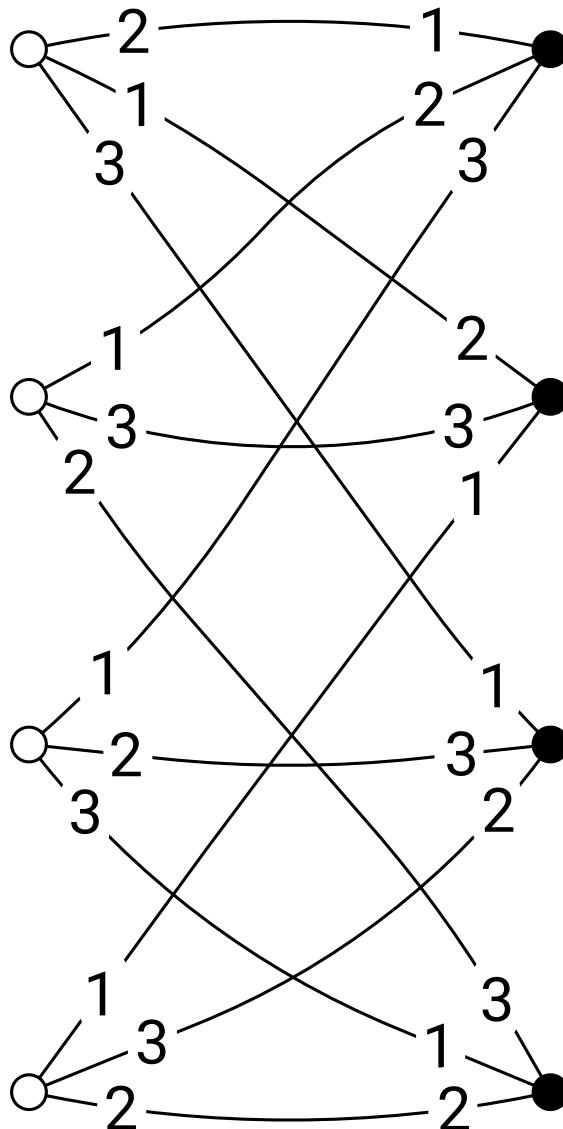
# Warmup: toy example

Bipartite graphs & port-numbering model

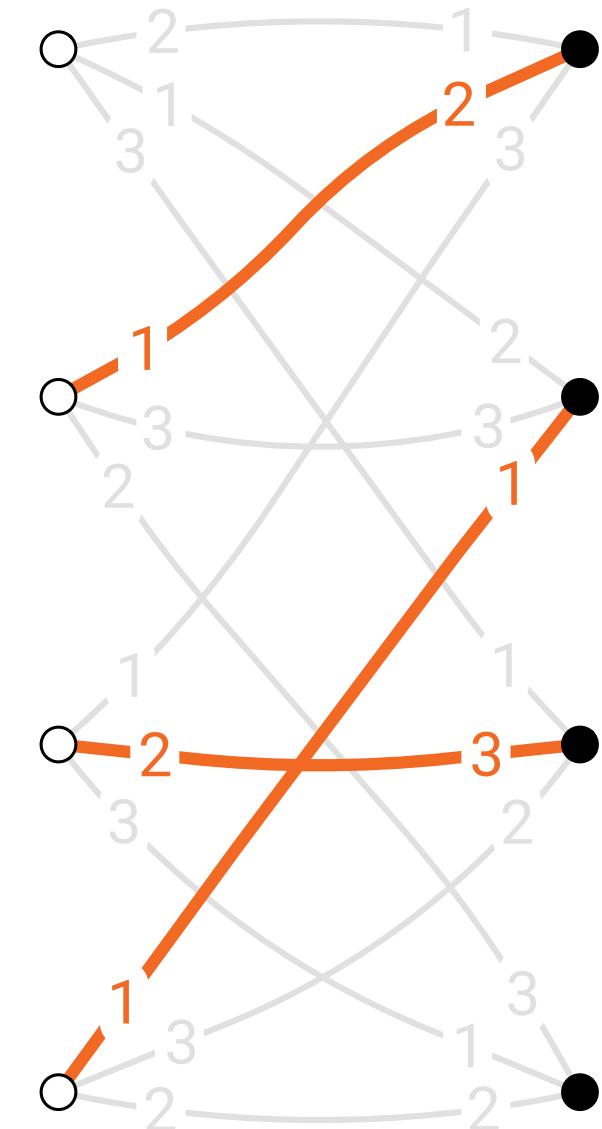
computer  
network with  
port numbering

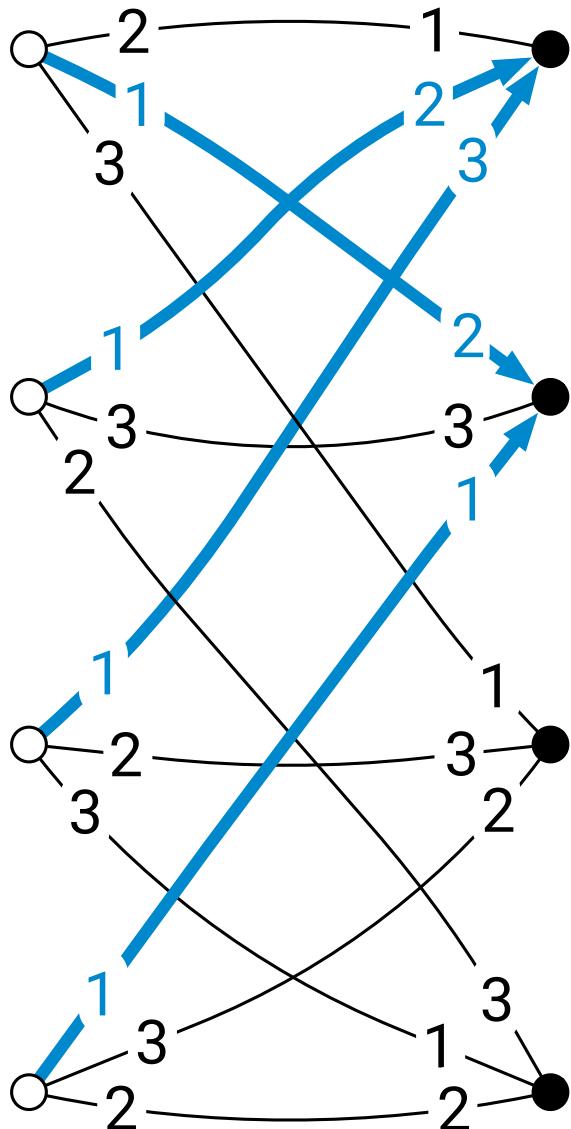
bipartite,  
2-colored  
graph

$\Delta$ -regular  
(here  $\Delta = 3$ )



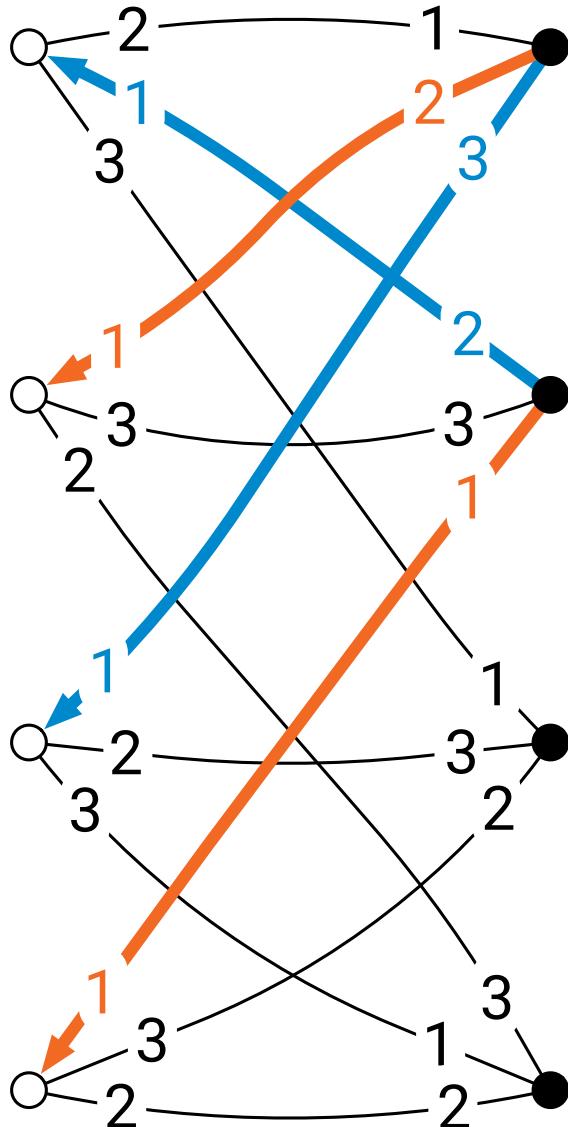
output:  
*maximal  
matching*





**Very simple algorithm**

unmatched white nodes:  
send *proposal* to port 1

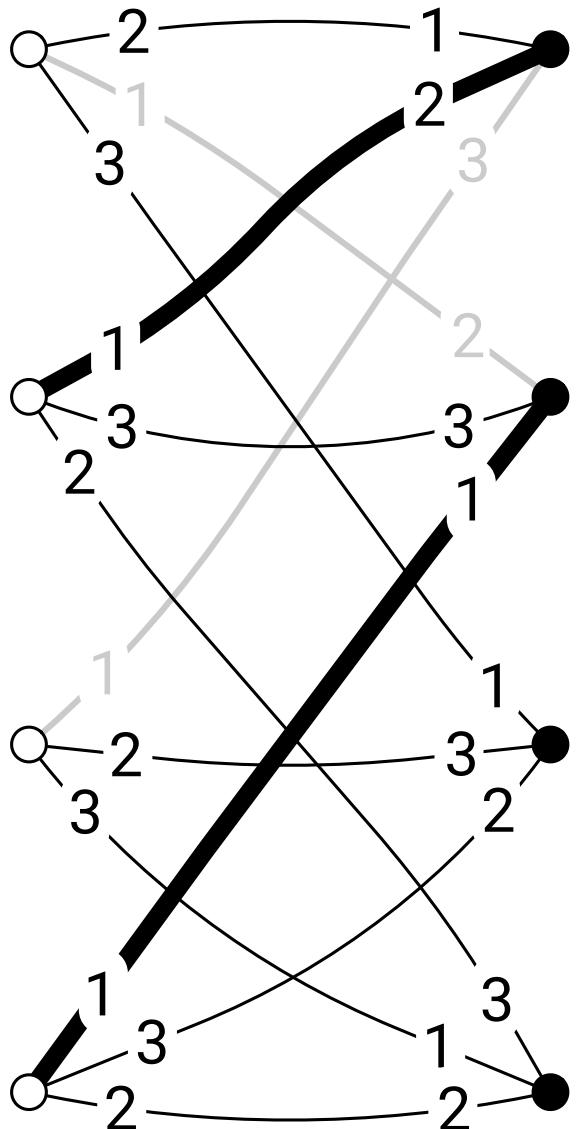


## Very simple algorithm

unmatched white nodes:  
send *proposal* to port 1

black nodes:

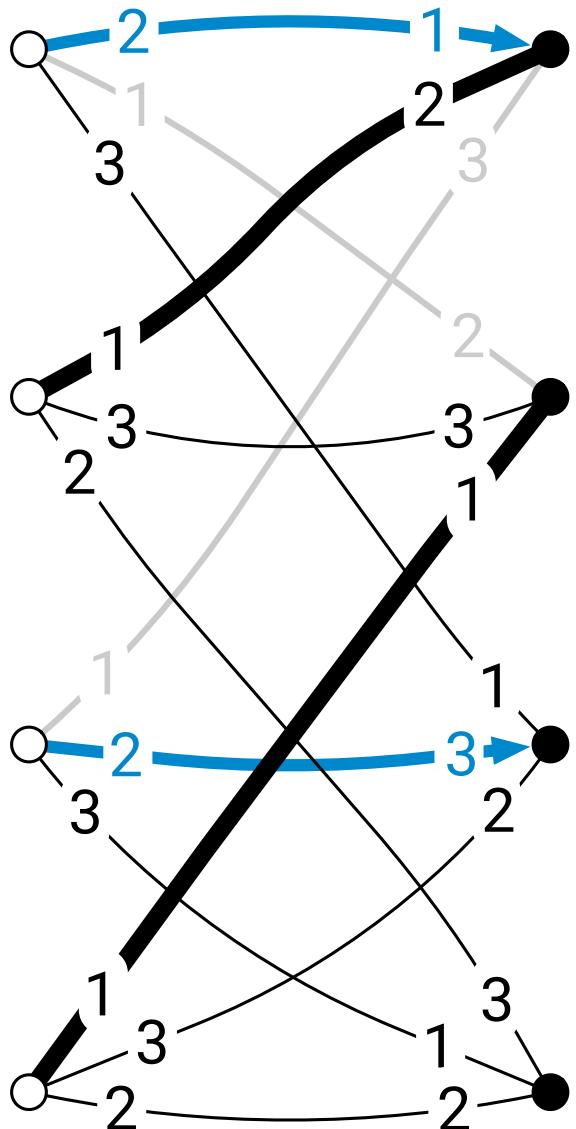
accept the first proposal you get, reject everything else  
(break ties with port numbers)



## Very simple algorithm

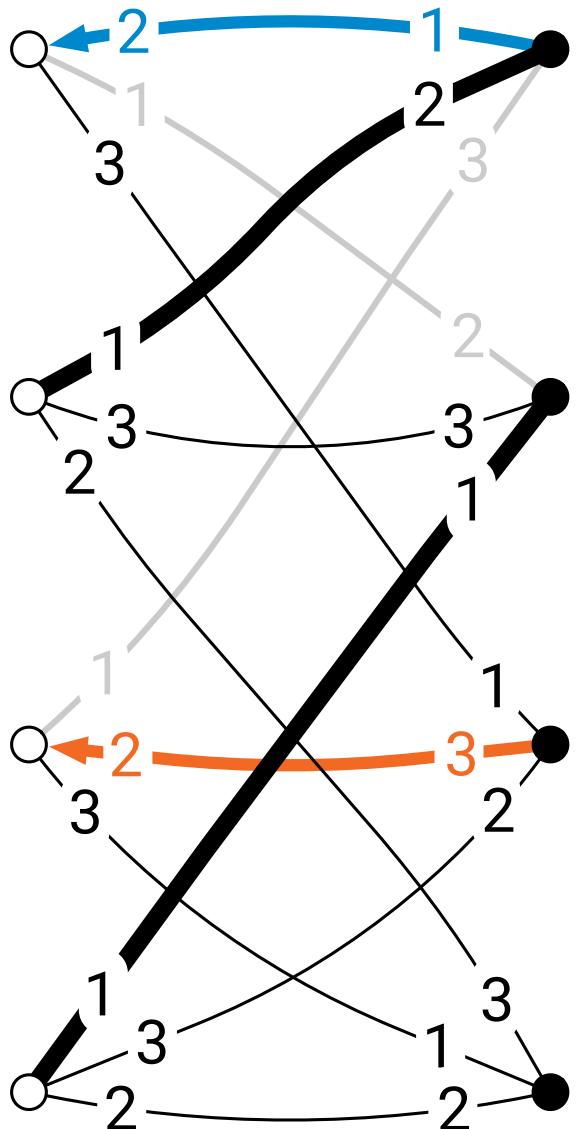
**unmatched white nodes:**  
send *proposal* to port 1

**black nodes:**  
accept the first proposal you  
get, *reject* everything else  
(break ties with port numbers)



**Very simple algorithm**

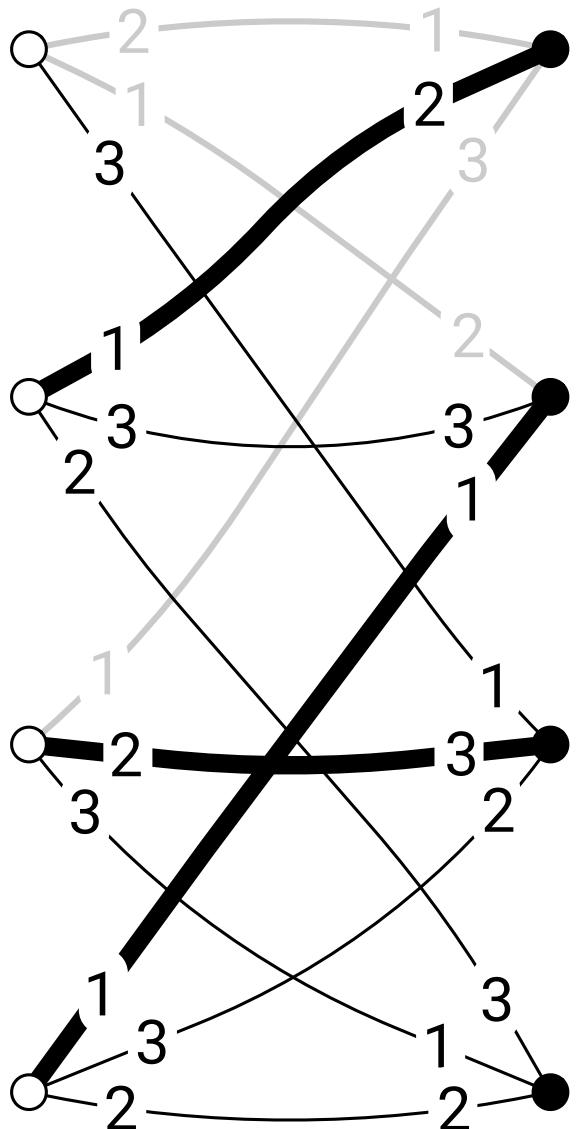
unmatched white nodes:  
send *proposal* to port 2



## Very simple algorithm

**unmatched white nodes:**  
send *proposal* to port 2

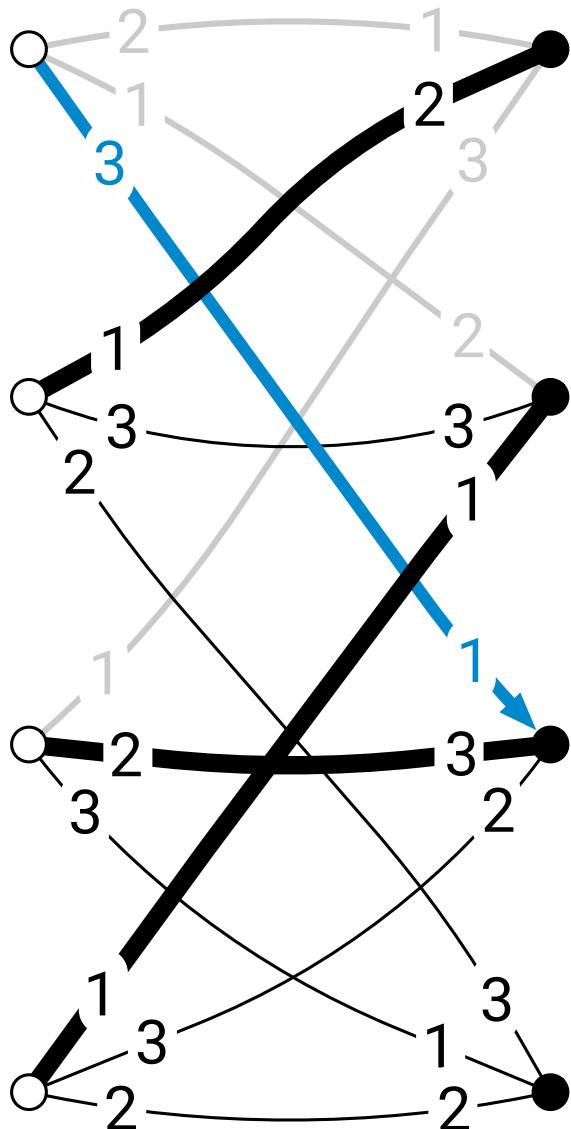
**black nodes:**  
*accept* the first proposal you  
get, *reject* everything else  
(break ties with port numbers)



## Very simple algorithm

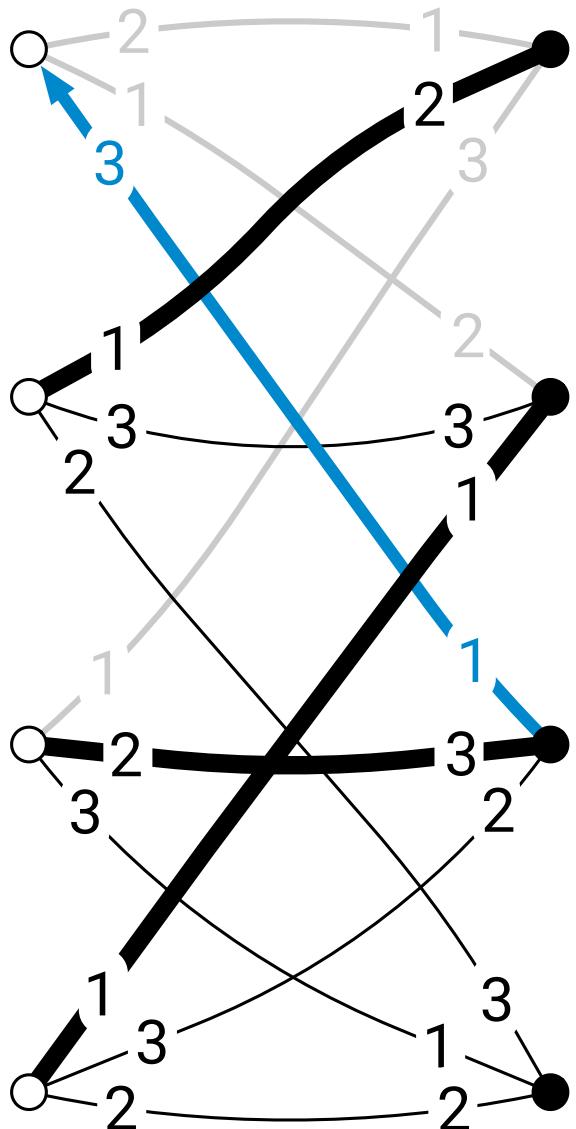
**unmatched white nodes:**  
send *proposal* to port 2

**black nodes:**  
accept the first proposal you  
get, *reject* everything else  
(break ties with port numbers)



## Very simple algorithm

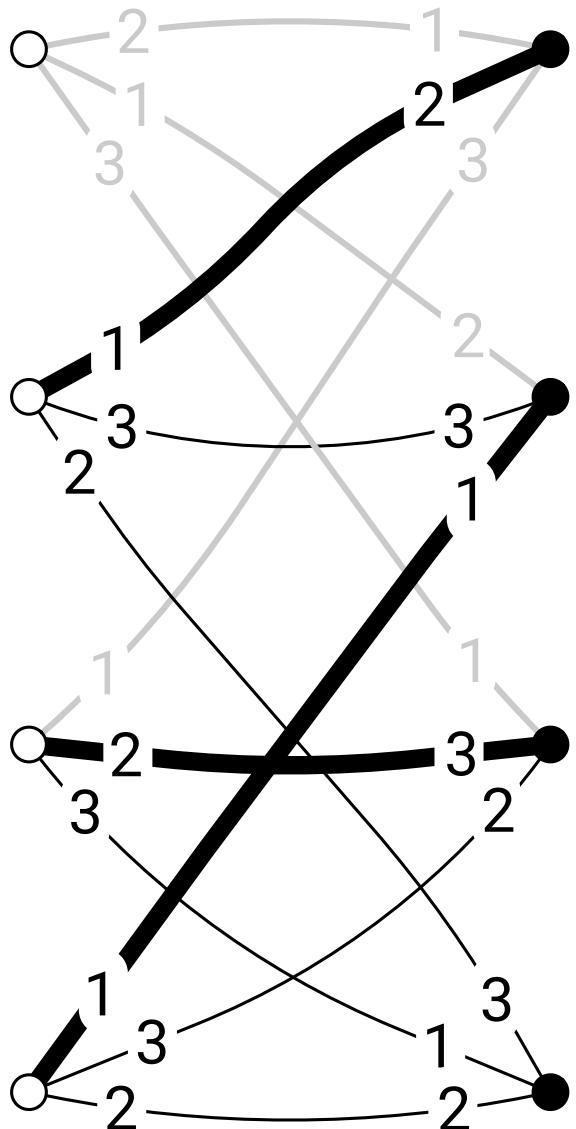
unmatched white nodes:  
send *proposal* to port 3



## Very simple algorithm

**unmatched white nodes:**  
send *proposal* to port 3

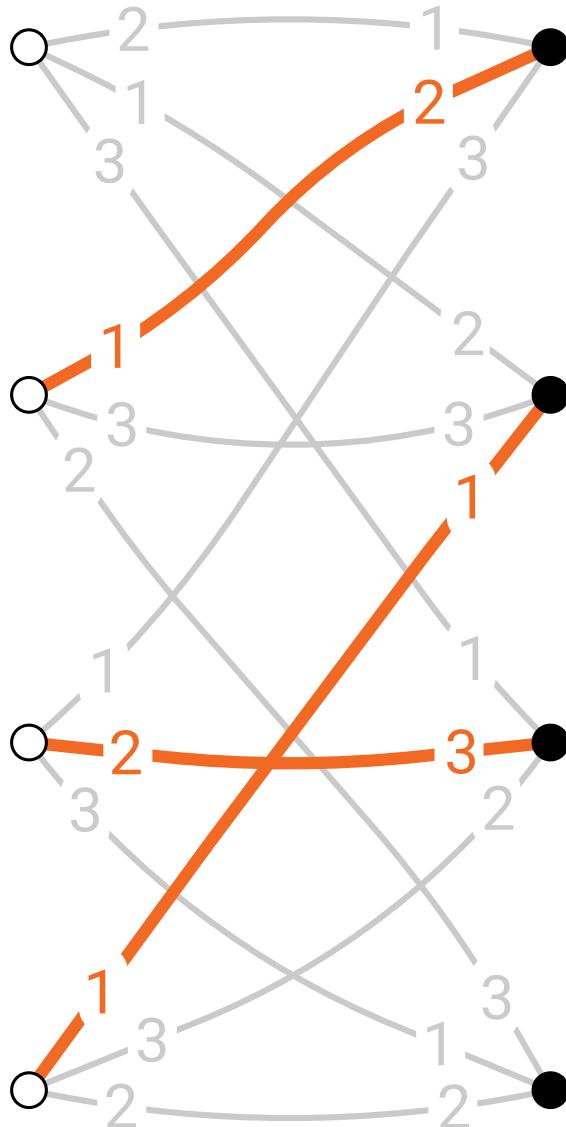
**black nodes:**  
*accept* the first proposal you  
get, *reject* everything else  
(break ties with port numbers)



## Very simple algorithm

**unmatched white nodes:**  
send *proposal* to port 3

**black nodes:**  
accept the first proposal you  
get, *reject* everything else  
(break ties with port numbers)



## Very simple algorithm

Finds a *maximal matching* in  $O(\Delta)$  communication rounds

Note: running time does not depend on  $n$

# Bipartite maximal matching

- Maximal matching in very large 2-colored  $\Delta$ -regular graphs
- Simple algorithm:  $O(\Delta)$  rounds, independently of  $n$
- *Is this optimal?*
  - $o(\Delta)$  rounds?
  - $O(\log \Delta)$  rounds?
  - 4 rounds??

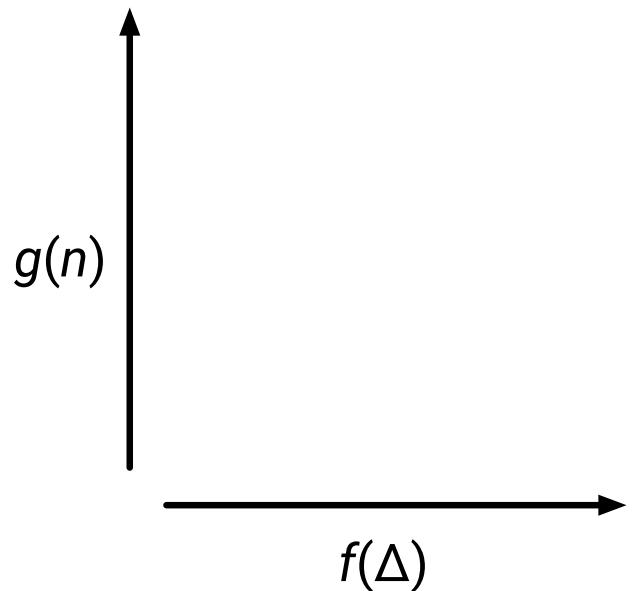
# Big picture

Bounded-degree graphs & LOCAL model

# Distributed graph algorithms for maximal matching

- Maximal matching in general graphs
  - $n$  = number of nodes
  - $\Delta$  = maximum degree
- LOCAL model of distributed computing
  - “*time*” = number of synchronous communication rounds  
= *how far* do you need to see to choose your own part of solution
  - nodes are labeled with unique identifiers from  $\{ 1, 2, \dots, \text{poly}(n) \}$
  - $O(n)$  = trivial,  $O(\text{diameter})$  = trivial
- Strong model – lower bounds widely applicable

**Maximal matching,  
LOCAL model,  
 $O(f(\Delta) + g(n))$**



**Algorithms:**

- deterministic
- randomized

**Lower bounds:**

- deterministic
- randomized

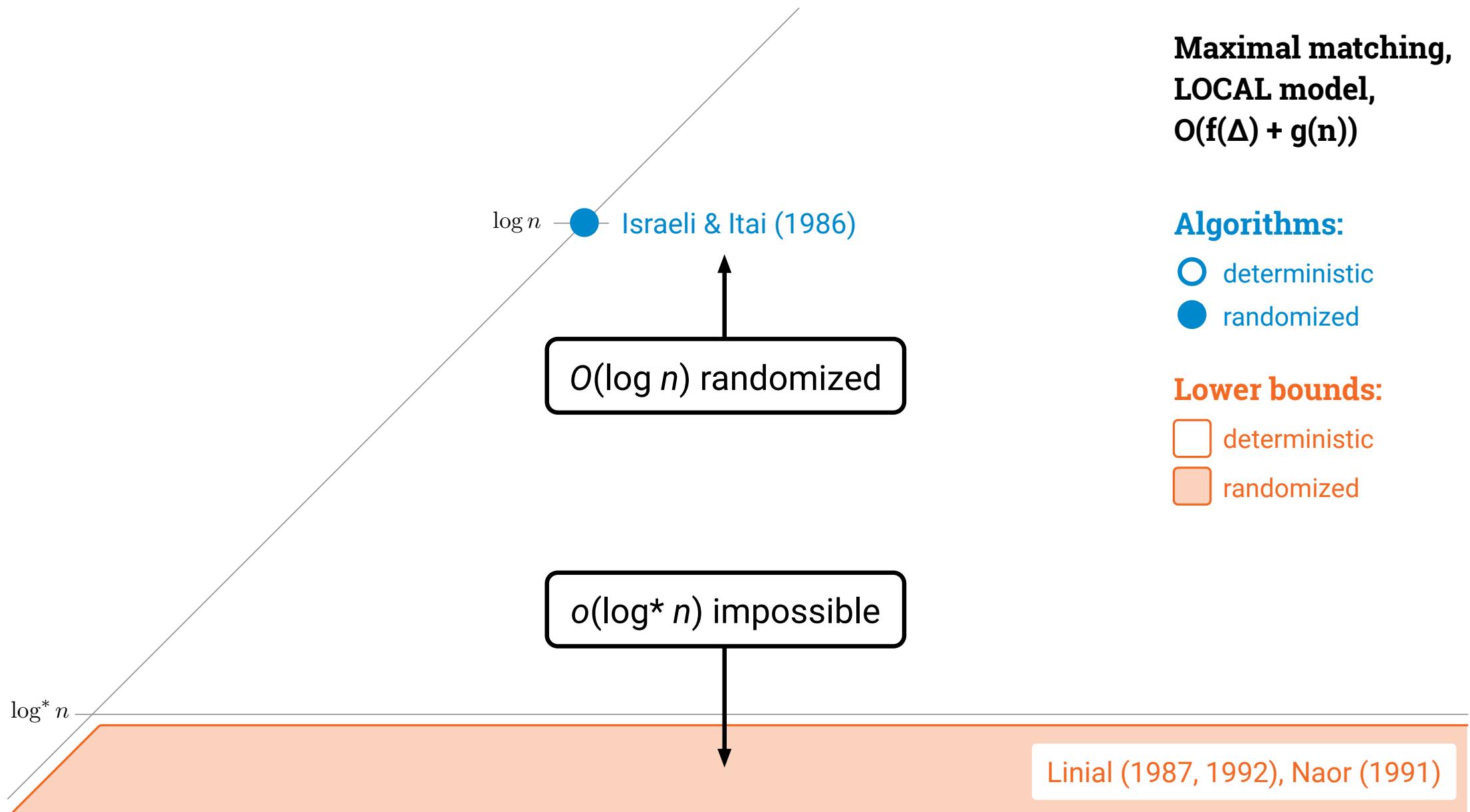
**Maximal matching,  
LOCAL model,  
 $O(f(\Delta) + g(n))$**

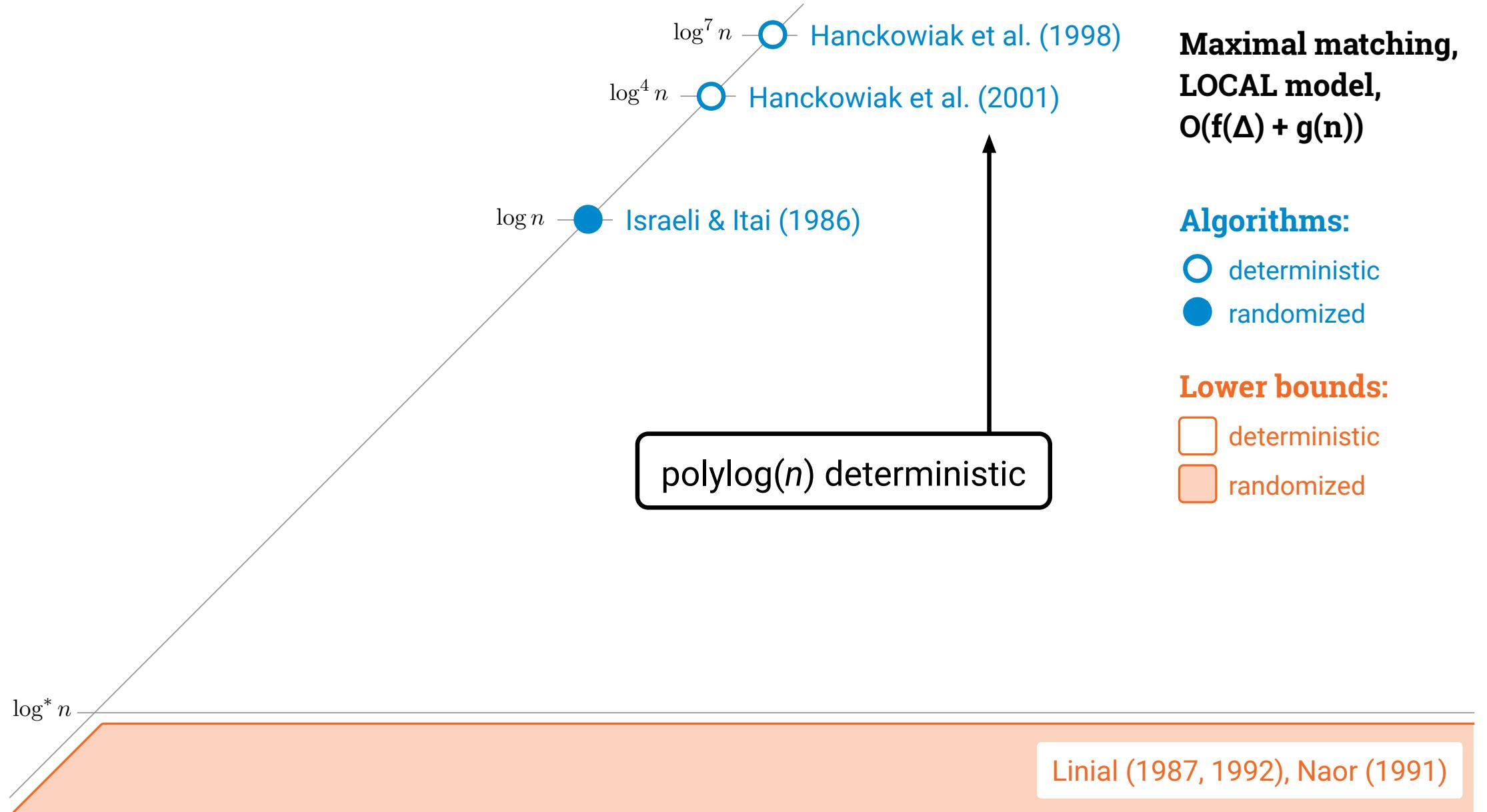
**Algorithms:**

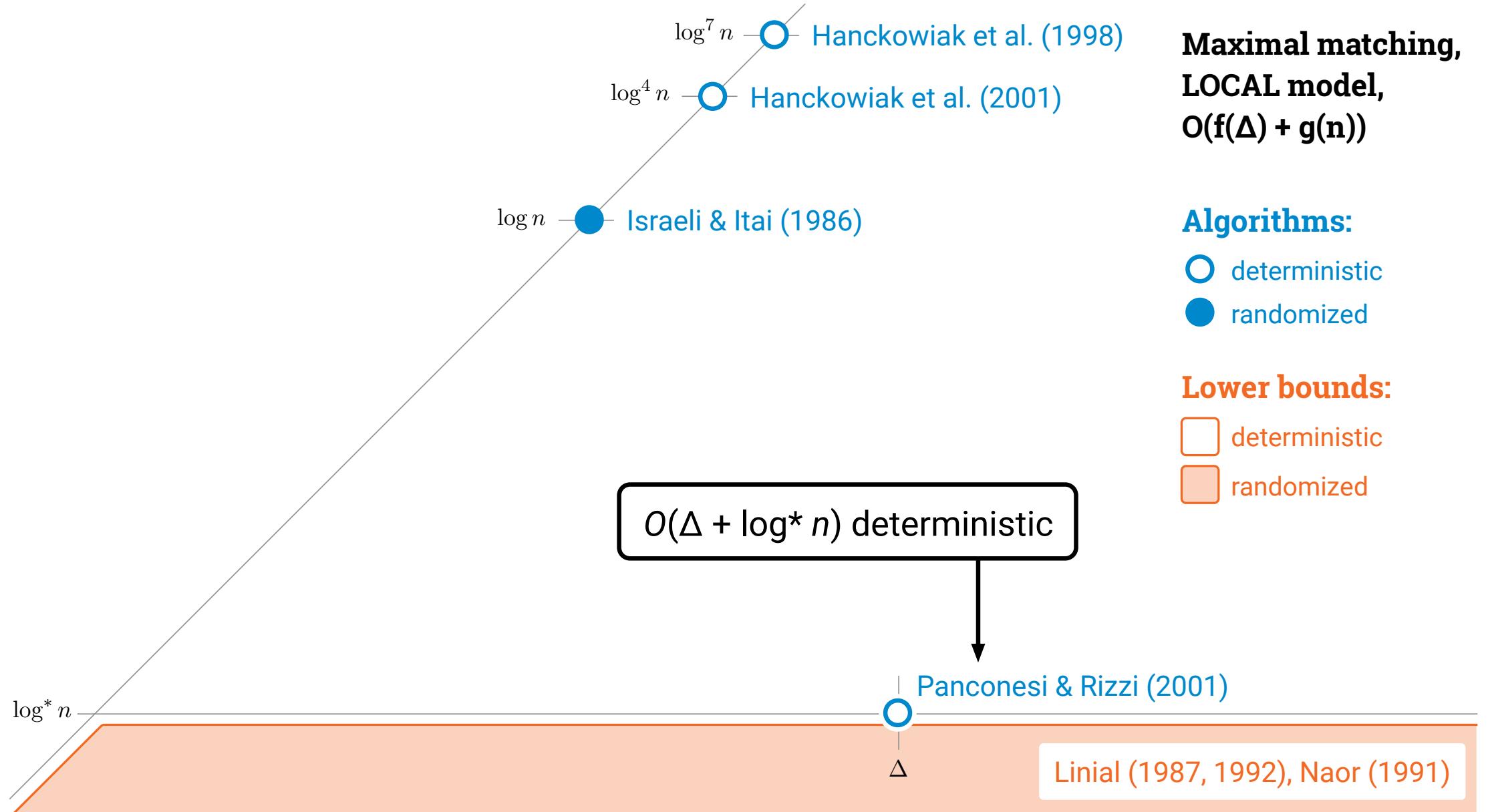
- deterministic
- randomized

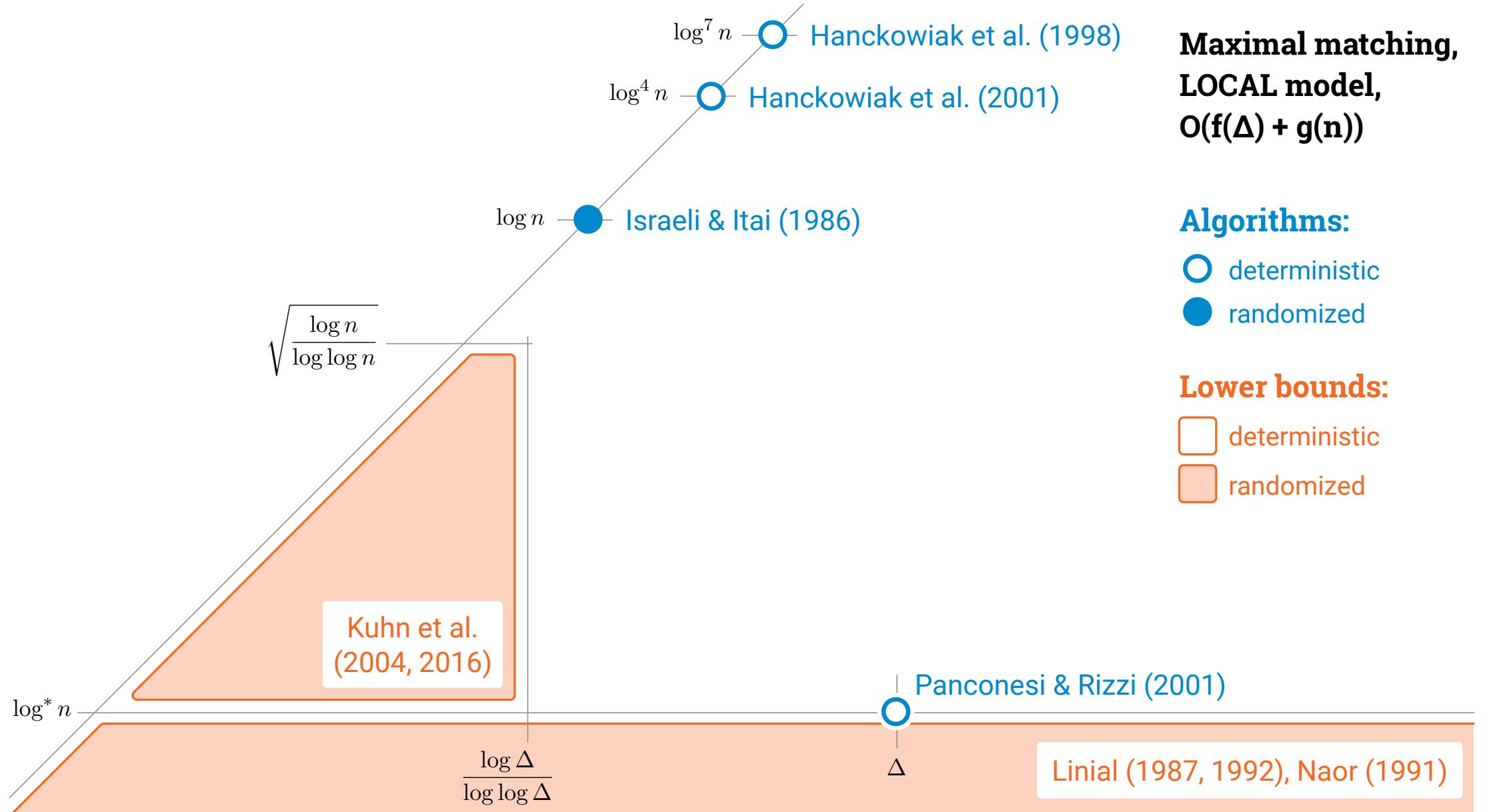
**Lower bounds:**

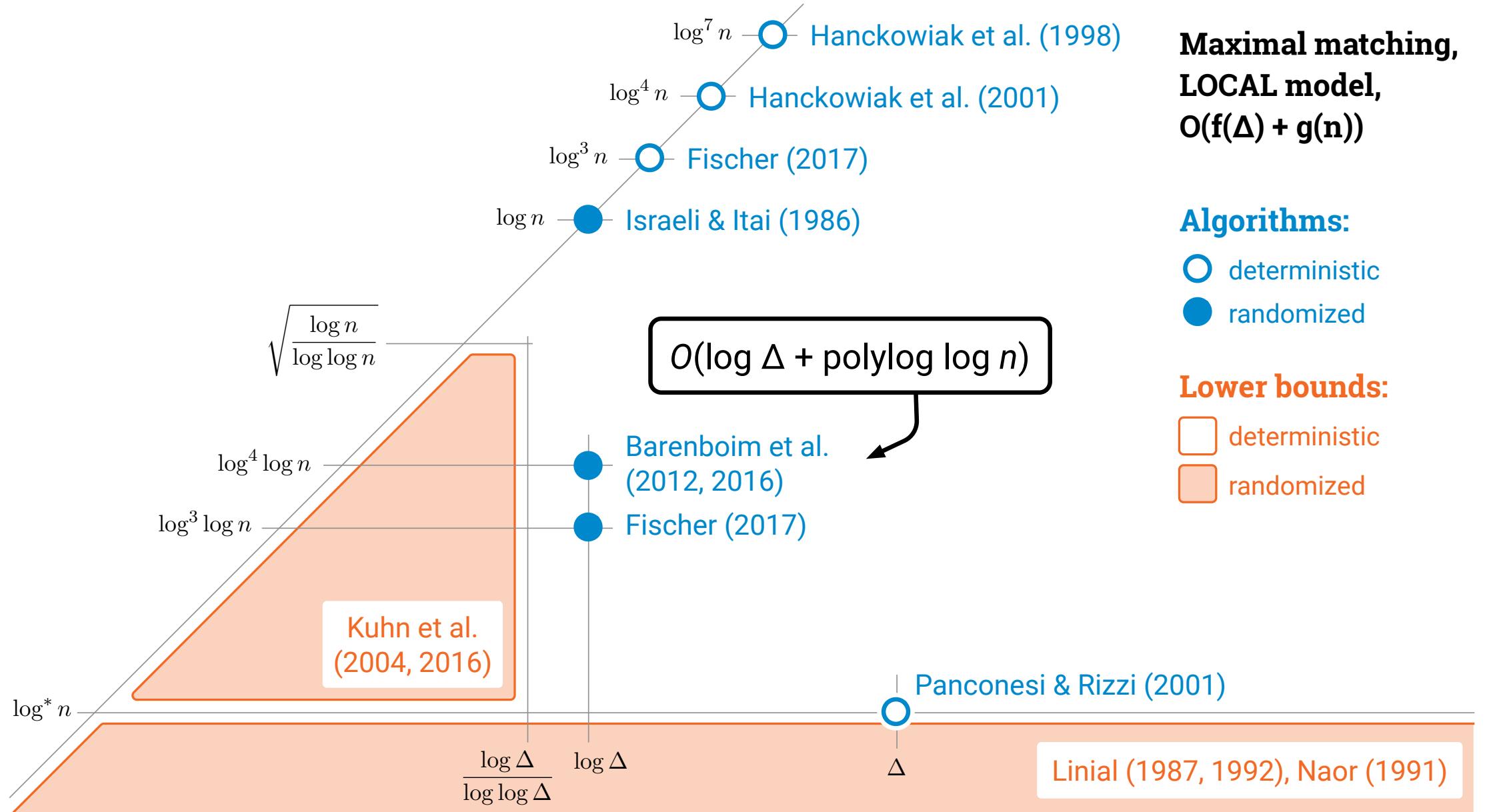
- deterministic
- randomized

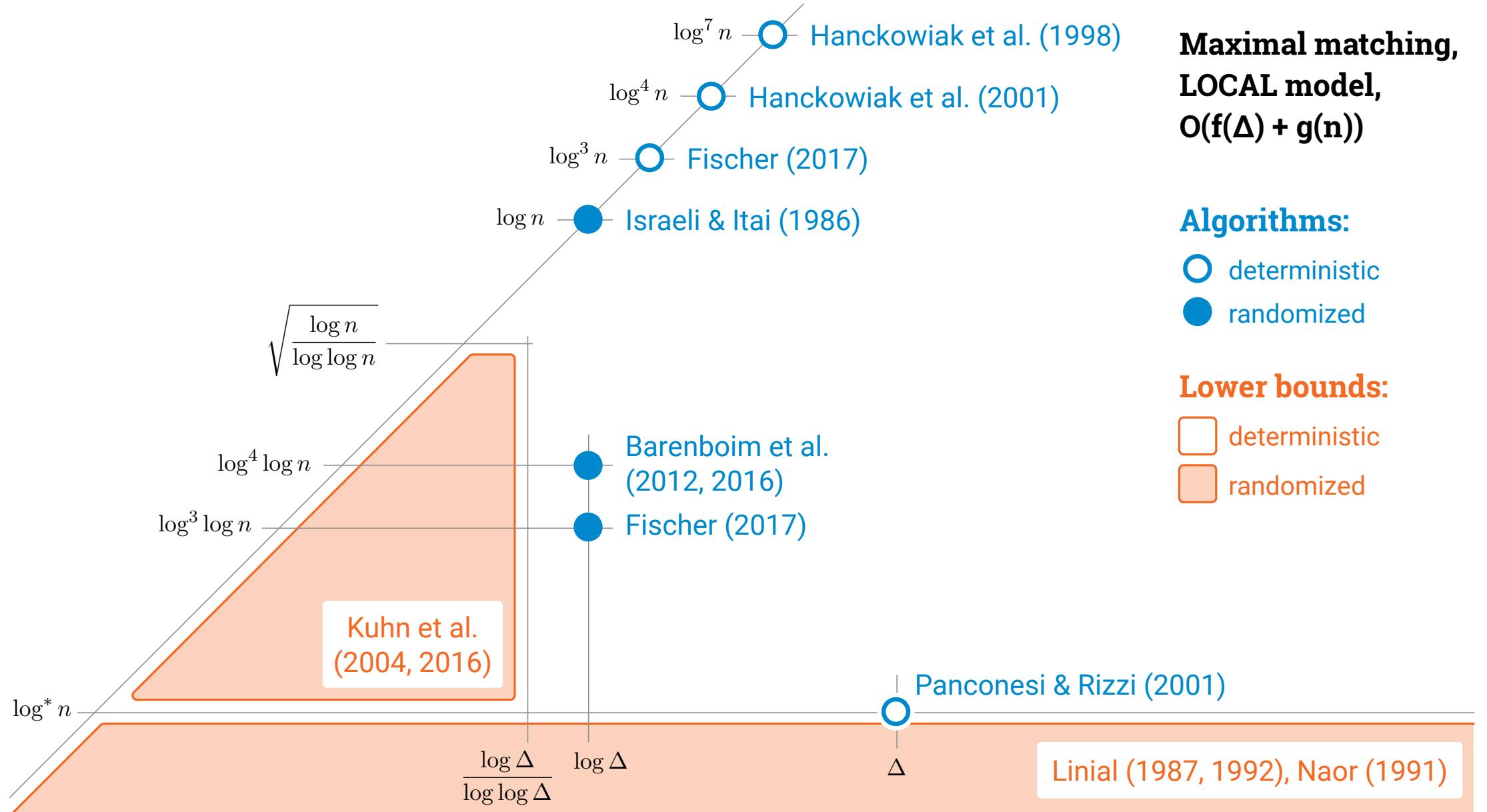


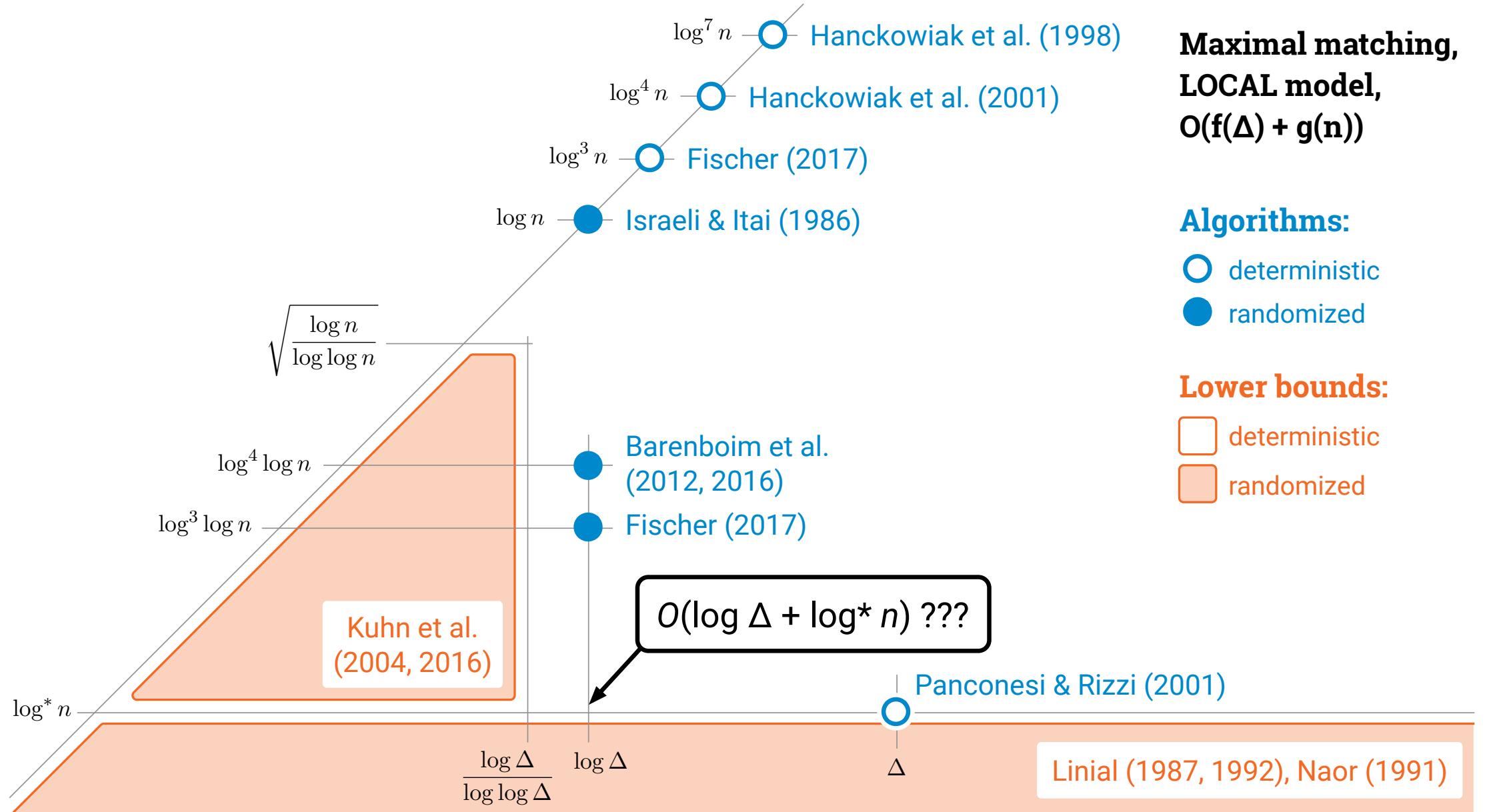


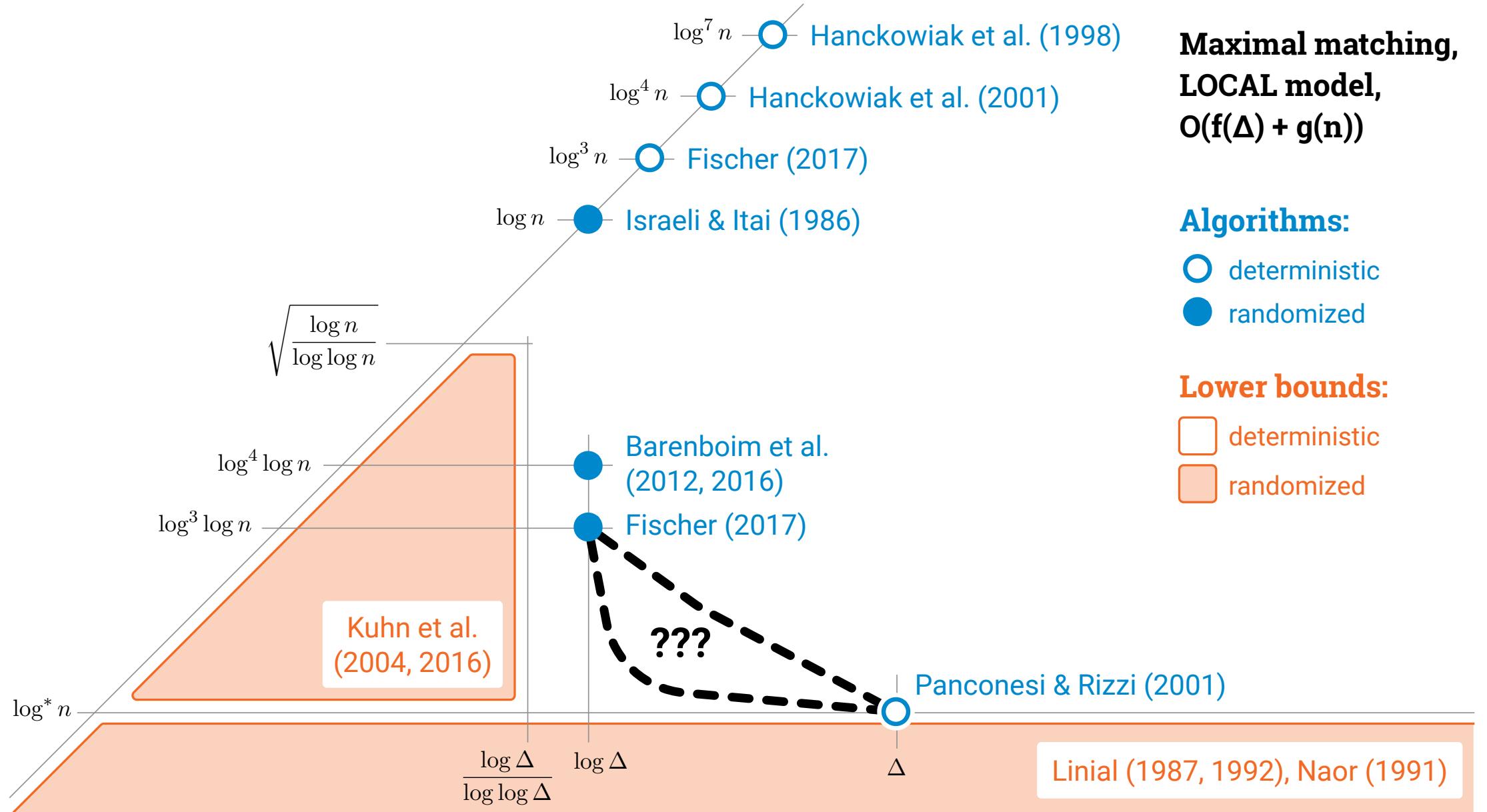


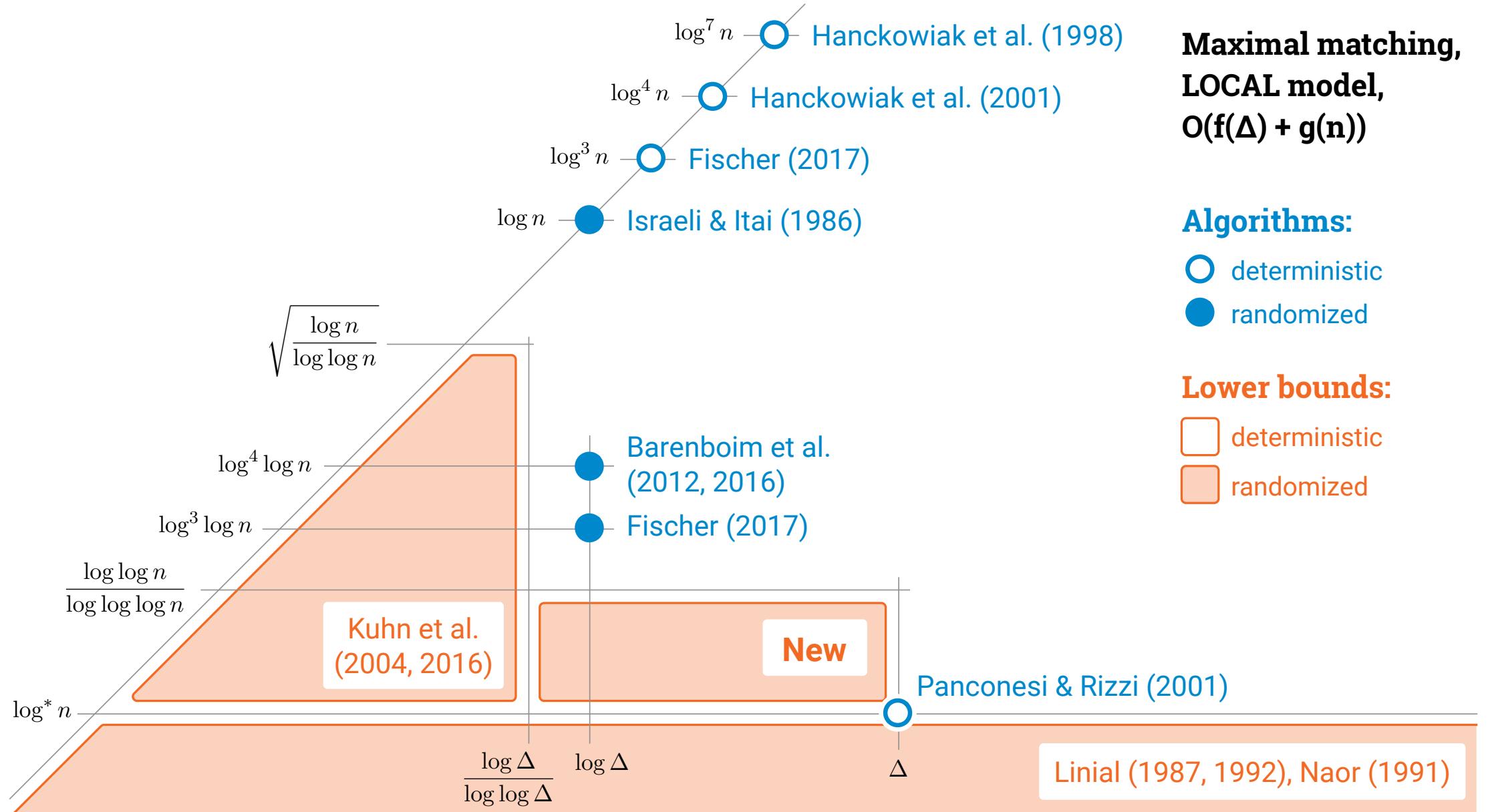


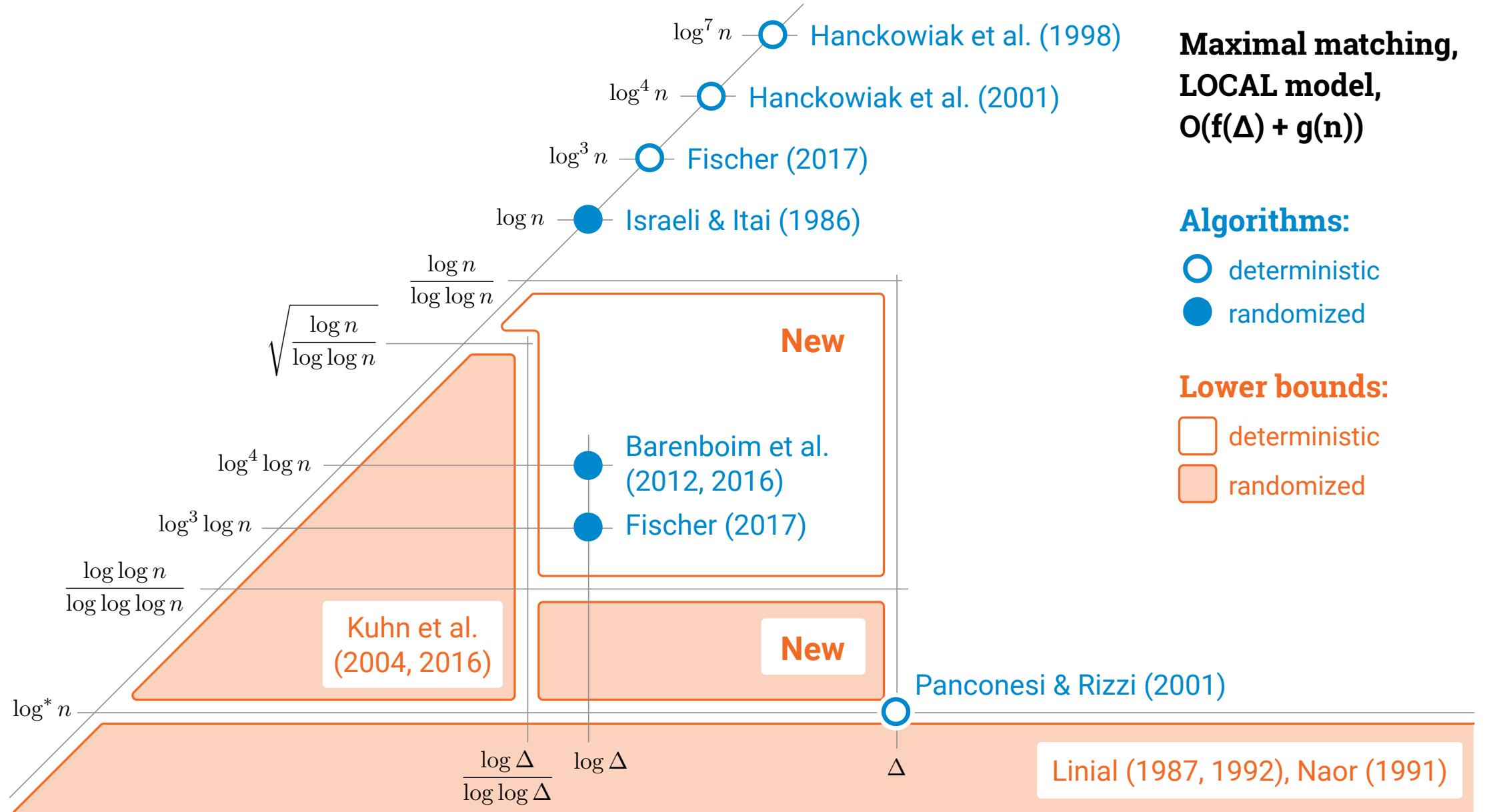












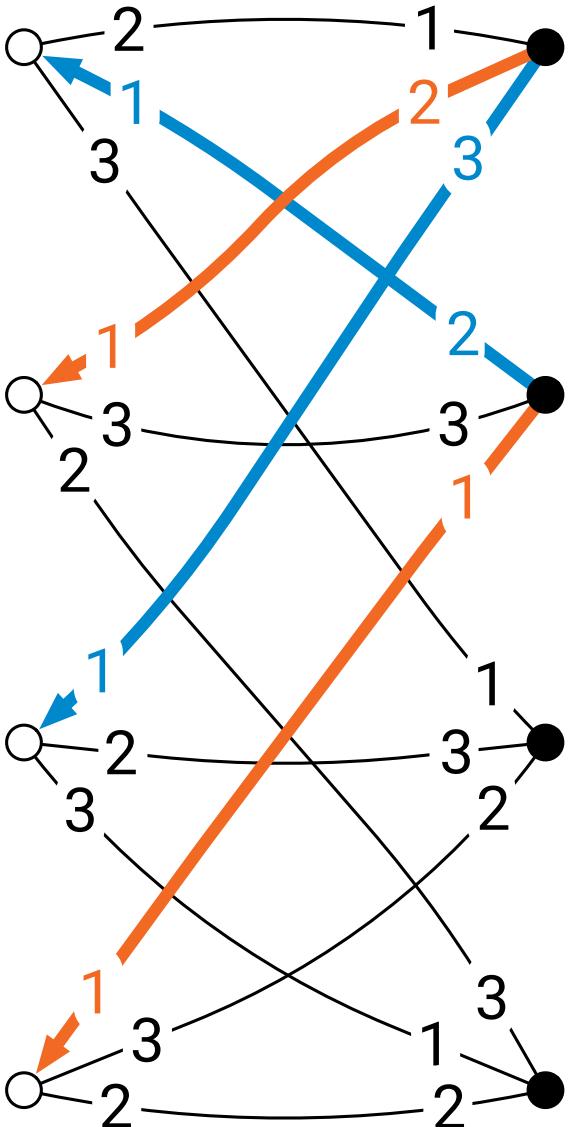
# Main results

**Maximal matching** and **maximal independent set**  
cannot be solved in

- $o(\Delta + \log \log n / \log \log \log n)$  rounds  
with randomized algorithms
- $o(\Delta + \log n / \log \log n)$  rounds  
with deterministic algorithms

Upper bound:  
 $O(\Delta + \log^* n)$

This is  
optimal!



Very simple algorithm

unmatched white nodes:  
send *proposal* to port 1

black nodes:

**accept** the first proposal you  
get, **reject** everything else  
(break ties with port numbers)

# Proof techniques

Speedup simulation

# Speedup simulation technique

- **Given:**
  - algorithm  $A_0$  solves problem  $P_0$  in  $T$  rounds
- **We construct:**
  - algorithm  $A_1$  solves problem  $P_1$  in  $T - 1$  rounds
  - algorithm  $A_2$  solves problem  $P_2$  in  $T - 2$  rounds
  - algorithm  $A_3$  solves problem  $P_3$  in  $T - 3$  rounds
  - ...
  - algorithm  $A_T$  solves problem  $P_T$  in  $0$  rounds
- But  $P_T$  is nontrivial, so  $A_0$  cannot exist

# Linial (1987, 1992): coloring cycles

- Given:
  - algorithm  $A_0$  solves **3-coloring** in  $T = o(\log^* n)$  rounds
- We construct:
  - algorithm  $A_1$  solves  **$2^3$ -coloring** in  $T - 1$  rounds
  - algorithm  $A_2$  solves  **$2^{2^3}$ -coloring** in  $T - 2$  rounds
  - algorithm  $A_3$  solves  **$2^{2^{2^3}}$ -coloring** in  $T - 3$  rounds
  - ...
  - algorithm  $A_T$  solves  **$o(n)$ -coloring** in **0** rounds
- But  **$o(n)$ -coloring** is nontrivial, so  $A_0$  cannot exist

# Brandt et al. (2016): sinkless orientation

- Given:
  - algorithm  $A_0$  solves **sinkless orientation** in  $T = o(\log n)$  rounds
- We construct:
  - algorithm  $A_1$  solves **sinkless coloring** in  $T - 1$  rounds
  - algorithm  $A_2$  solves **sinkless orientation** in  $T - 2$  rounds
  - algorithm  $A_3$  solves **sinkless coloring** in  $T - 3$  rounds
  - ...
  - algorithm  $A_T$  solves **sinkless orientation** in  $0$  rounds
- But **sinkless orientation** is nontrivial, so  $A_0$  cannot exist

# Speedup simulation technique for maximal matching

- Given:
  - algorithm  $A_0$  solves problem  $P_0 = \text{maximal matching}$  in  $T$  rounds
- We construct:
  - algorithm  $A_1$  solves problem  $P_1$  in  $T - 1$  rounds
  - algorithm  $A_2$  solves problem  $P_2$  in  $T - 2$  rounds
  - algorithm  $A_3$  solves problem  $P_3$  in  $T - 3$  rounds
  - ...
  - algorithm  $A_T$  solves problem  $P_T$  in  $0$  rounds
- But  $P_T$  is nontrivial, so  $A_0$  cannot exist

What are  
the right  
problems  
 $P_i$  here?

# Speedup simulation technique for maximal matching

- Given:
  - algorithm  $A_0$  solves problem  $P_0 = \text{maximal matching}$  in  $T$  rounds
- We construct:
  - algorithm  $A_1$  solves problem  $P_1$  in  $T - 1$  rounds
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  - ...
  - algorithm  $A_T$  solves problem  $P_T$  in  $0$  rounds
- But  $P_T$  is nontrivial, so  $A_0$  cannot exist

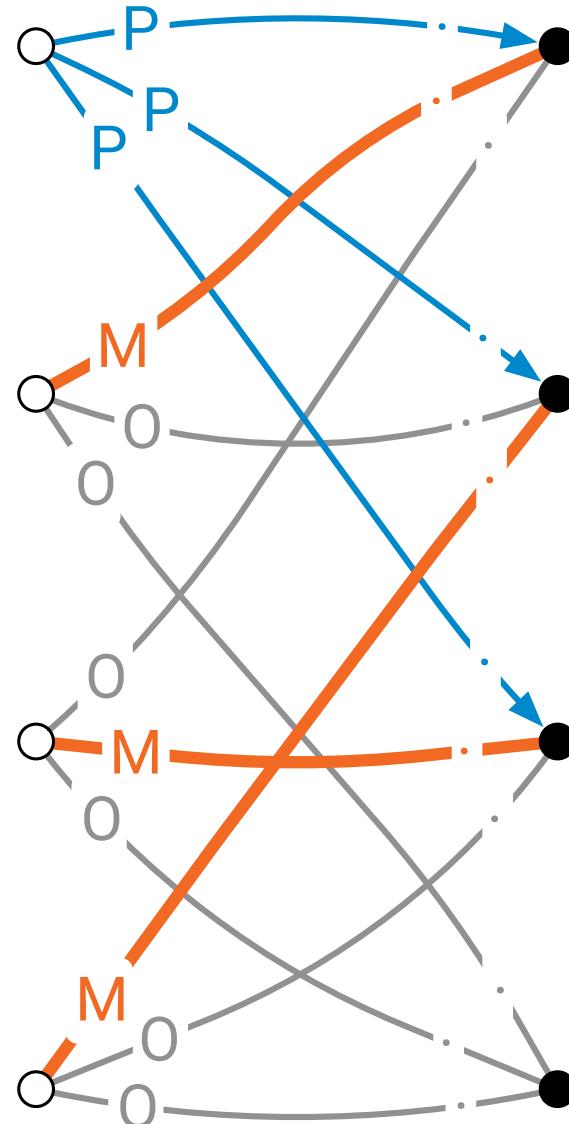
Let's start  
with  $P_0$  ...

## Representation for maximal matchings

white nodes “active”

output one of these:

- $1 \times M$  and  $(\Delta-1) \times O$
- $\Delta \times P$



**M** = “matched”  
**P** = “pointer to matched”  
**O** = “other”

black nodes “passive”

accept one of these:

- $1 \times M$  and  $(\Delta-1) \times \{P, O\}$
- $\Delta \times O$

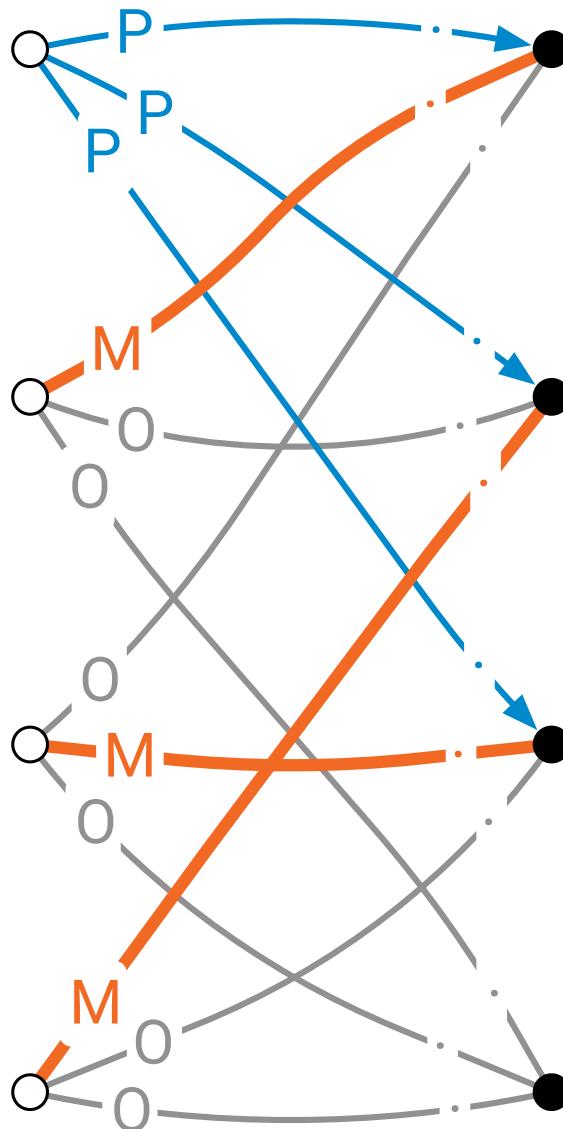
## Representation for maximal matchings

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output one of these:

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$$W = MO^{\Delta-1} \mid P^\Delta$$



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accept one of these:

- $1 \times M$  and  $(\Delta-1) \times \{P, O\}$
- $\Delta \times O$

$$B = M[P,O]^{\Delta-1} \mid O^\Delta$$

# Parameterized problem family

$$W = \text{MO}^{\Delta-1} \mid \text{P}^\Delta,$$

$$B = \text{M}[\text{PO}]^{\Delta-1} \mid \text{O}^\Delta$$

maximal matching

$$W_\Delta(x, y) = (\text{MO}^{d-1} \mid \text{P}^d) \text{O}^y \text{X}^x,$$

“weak” matching

$$B_\Delta(x, y) = ([\text{MX}][\text{POX}]^{d-1} \mid [\text{OX}]^d) [\text{POX}]^y [\text{MPOX}]^x,$$

$$d = \Delta - x - y$$

# Main lemma

- Given:  $\mathbf{A}$  solves  $P(x, y)$  in  $T$  rounds
- We can construct:  $\mathbf{A}'$  solves  $P(x + 1, y + x)$  in  $T - 1$  rounds

$$W_{\Delta}(x, y) = \left( \text{MO}^{d-1} \mid \text{P}^d \right) \text{O}^y \text{X}^x,$$

$$B_{\Delta}(x, y) = \left( [\text{MX}] [\text{POX}]^{d-1} \mid [\text{OX}]^d \right) [\text{POX}]^y [\text{MPOX}]^x,$$

$$d = \Delta - x - y$$

# Putting things together

What we really care about

Maximal matching in  $o(\Delta)$  rounds

→ “ $\Delta^{1/2}$  matching” in  $o(\Delta^{1/2})$  rounds

→  $P(\Delta^{1/2}, 0)$  in  $o(\Delta^{1/2})$  rounds

→  $P(O(\Delta^{1/2}), o(\Delta))$  in 0 rounds

→ contradiction

k-matching:  
select at most k edges per node

Apply speedup simulation  $o(\Delta^{1/2})$  times

# Putting things together

Proof technique does not work directly with unique IDs

- Basic version:
  - deterministic lower bound, *port-numbering model*
- Analyze what happens to local failure probability:
  - *randomized* lower bound, port-numbering model
- With randomness you can construct unique identifiers w.h.p.:
  - randomized lower bound, *LOCAL model*
- Fast deterministic → very fast randomized
  - stronger *deterministic* lower bound, LOCAL model

# Main results

**Maximal matching** and **maximal independent set**  
cannot be solved in

- $o(\Delta + \log \log n / \log \log \log n)$  rounds  
with randomized algorithms
- $o(\Delta + \log n / \log \log n)$  rounds  
with deterministic algorithms

Lower bound for MM  
implies a lower bound  
for MIS

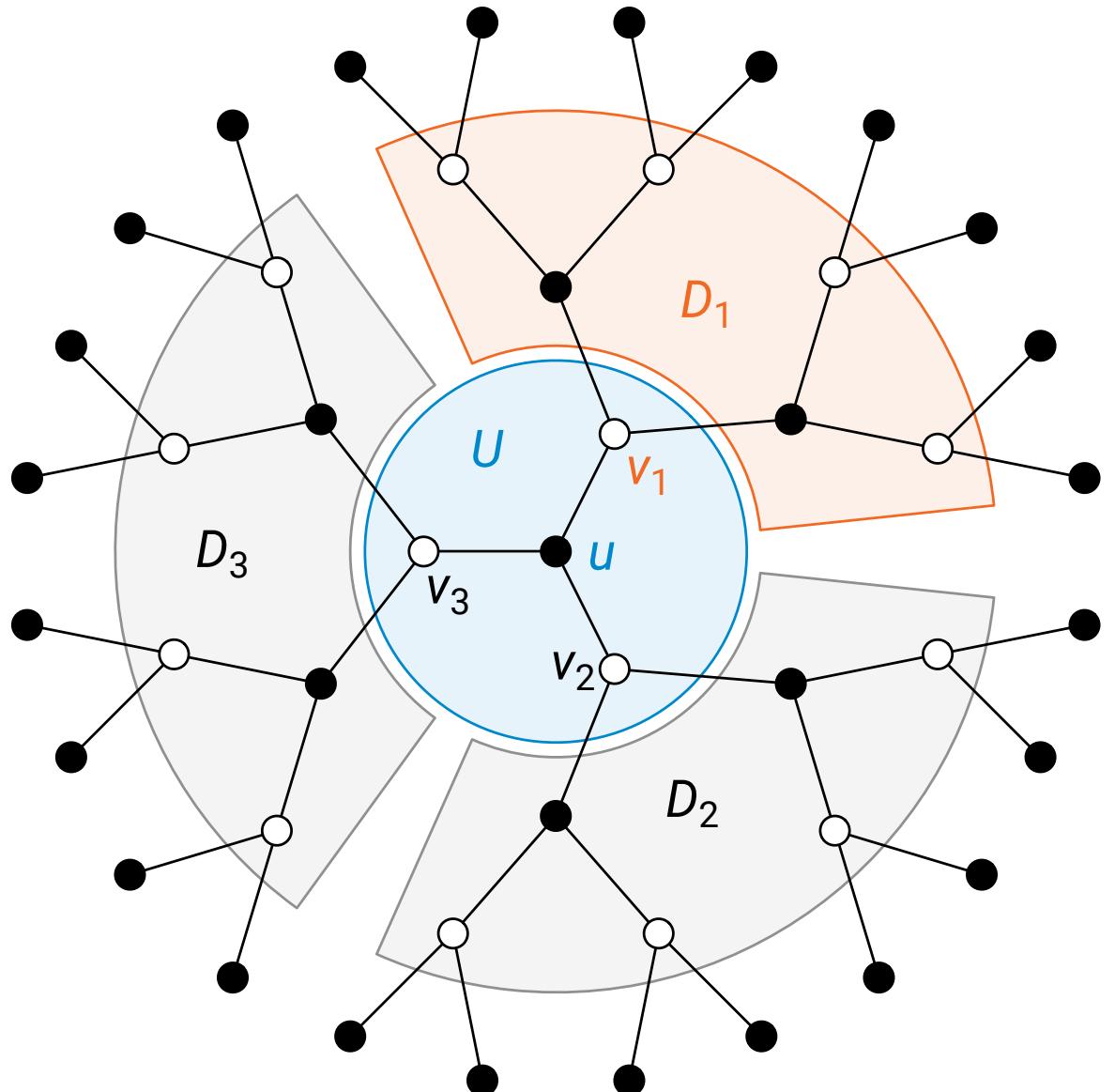
# Some open questions

- $\Delta \ll \log \log n$ :
  - complexity of **( $\Delta+1$ )-vertex coloring** or **( $2\Delta-1$ )-edge coloring?**
  - example: are these possible in  $O(\log \Delta + \log^* n)$  time?
- $\Delta \gg \log \log n$ :
  - complexity of **maximal independent set**?
  - is it much harder than maximal matching in this region?
  - example: is it possible in deterministic polylog( $n$ ) time?

# Summary

- *Linear-in- $\Delta$  lower bounds* for **maximal matchings** and **maximal independent sets**
- Old: can be solved in  $O(\Delta + \log^* n)$  rounds
- New: cannot be solved in
  - $o(\Delta + \log \log n / \log \log \log n)$  rounds with randomized algorithms
  - $o(\Delta + \log n / \log \log n)$  rounds with deterministic algorithms
- Technique: *speedup simulation*

arXiv:1901.02441



# Speedup simulation

Given: **white algorithm A**  
that runs in  $T = 2$  rounds

- $v_1$  in **A** sees  $U$  and  $D_1$

Construct: **black algorithm A'**  
that runs in  $T - 1 = 1$  rounds

- $u$  in **A'** only sees  $U$

**A'**: what is the **set of possible outputs of A** for edge  $\{u, v_1\}$   
over all possible inputs in  $D_1$ ?