

# Deep NLP 3: Transformers and Attention

Oliver Guhr

# Identify offensive language using Transformers



Quiz Time!

# How is the pace of this course?

- A) too slow
- B) just right
- C) too fast

# What is unsupervised learning?

- A) compressing sparse into dense vectors
- B) learning based on example input-output pairs
- C) a different name for transfer learning
- D) an algorithm that learns patterns from untagged data

# What does the Distributional Hypothesis say?

- A) Words can be encoded in a vector space
- B) Words are described by their context words
- C) Words can be drawn on maps
- D) Similarity between words can be calculated using the euclidean distance

# Why do we need dense vector representations for texts?

- A) to efficiently compute neural networks
- B) to encode the relationships between words
- C) to create word clouds
- D) to pretrain neural networks

# Transfer learning for NLP works by:

- A) training a model with an unsupervised task and retraining it with labeled data
- B) pretraining a model with a labeled data and retraining it with an unsupervised task

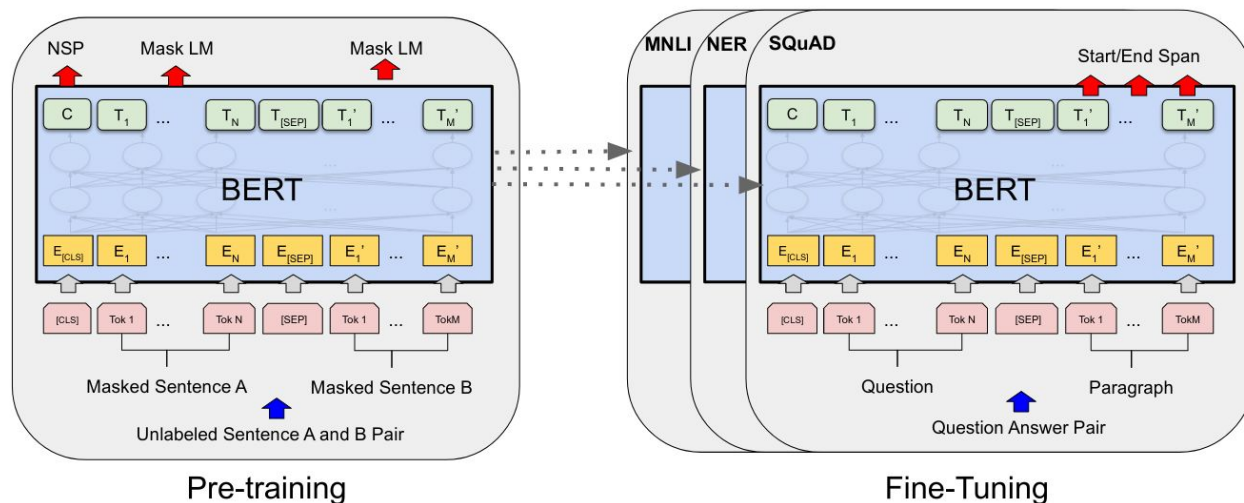


# Recap

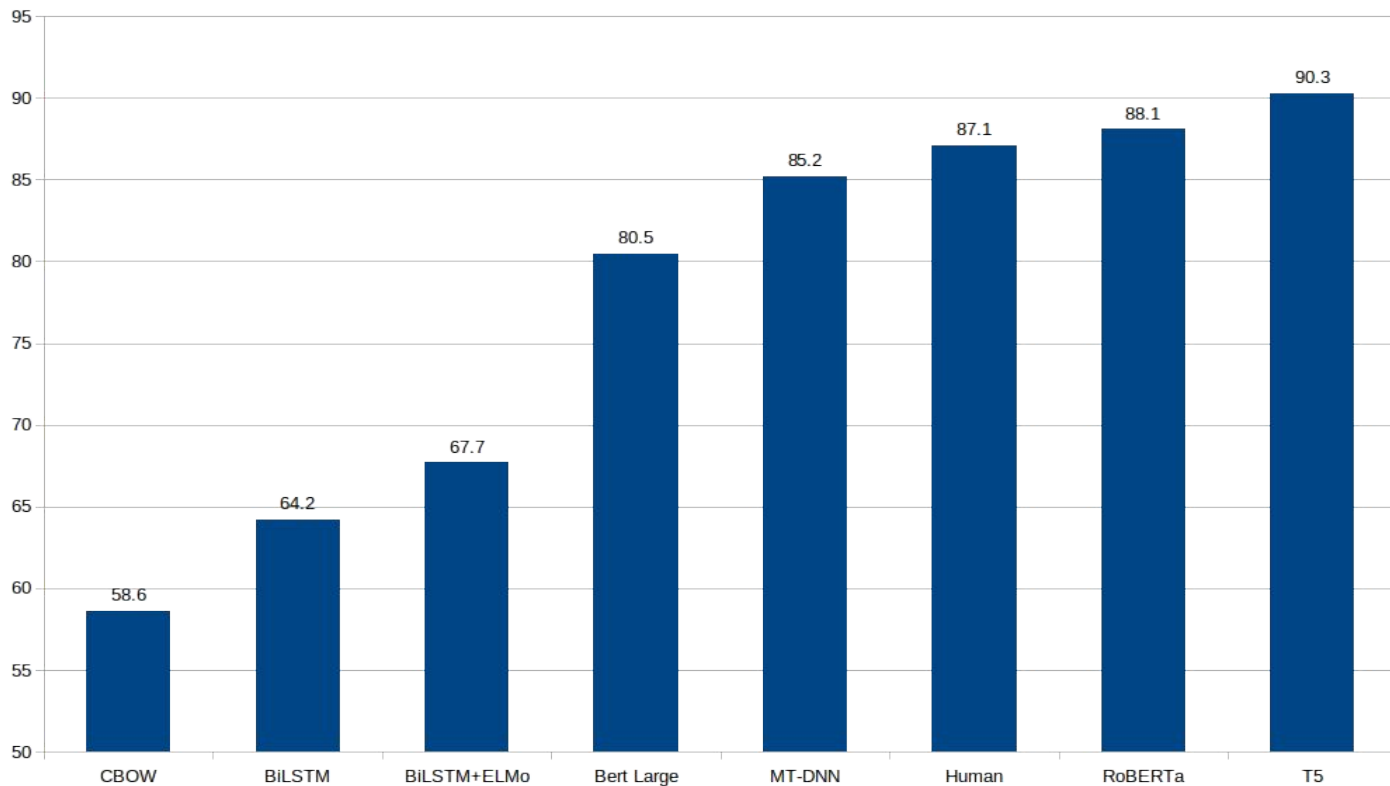
# Bert



- [BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding](#)
- Paper by Jacob Devlin, Ming-Wei Chang, Kenton Lee, Kristina Toutanova
- Published in 2018
- improved the state-of-the-art in most important benchmarks

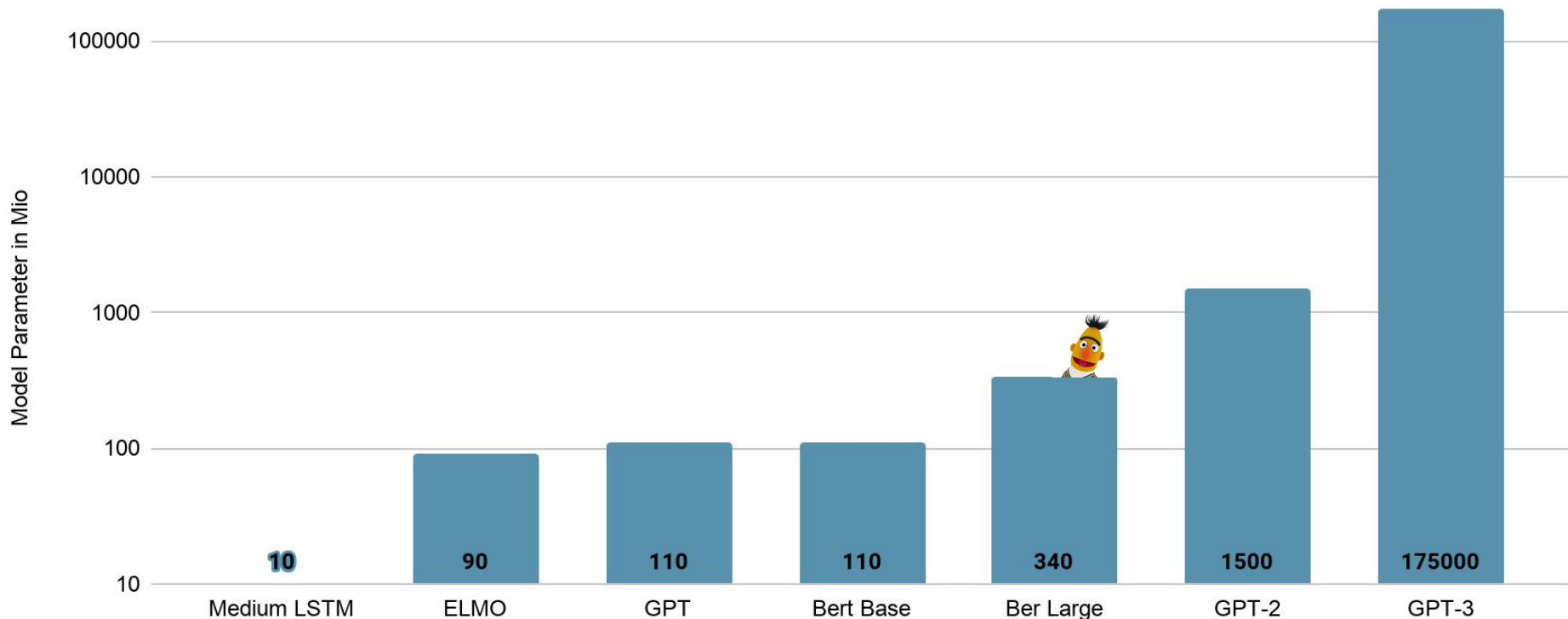


# GLUE Benchmark



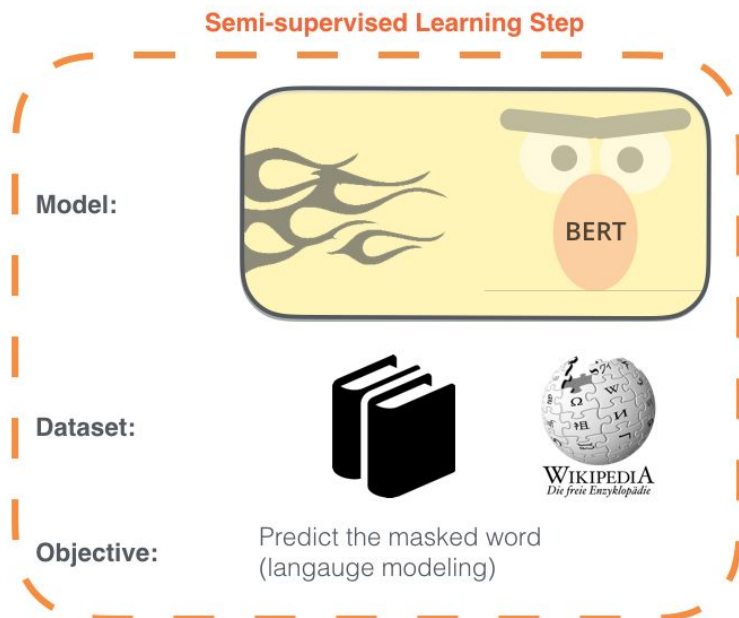
GLUE Leaderboard: <https://gluebenchmark.com/leaderboard>

# How deep are these models?



1 - **Semi-supervised** training on large amounts of text (books, wikipedia..etc).

The model is trained on a certain task that enables it to grasp patterns in language. By the end of the training process, BERT has language-processing abilities capable of empowering many models we later need to build and train in a supervised way.



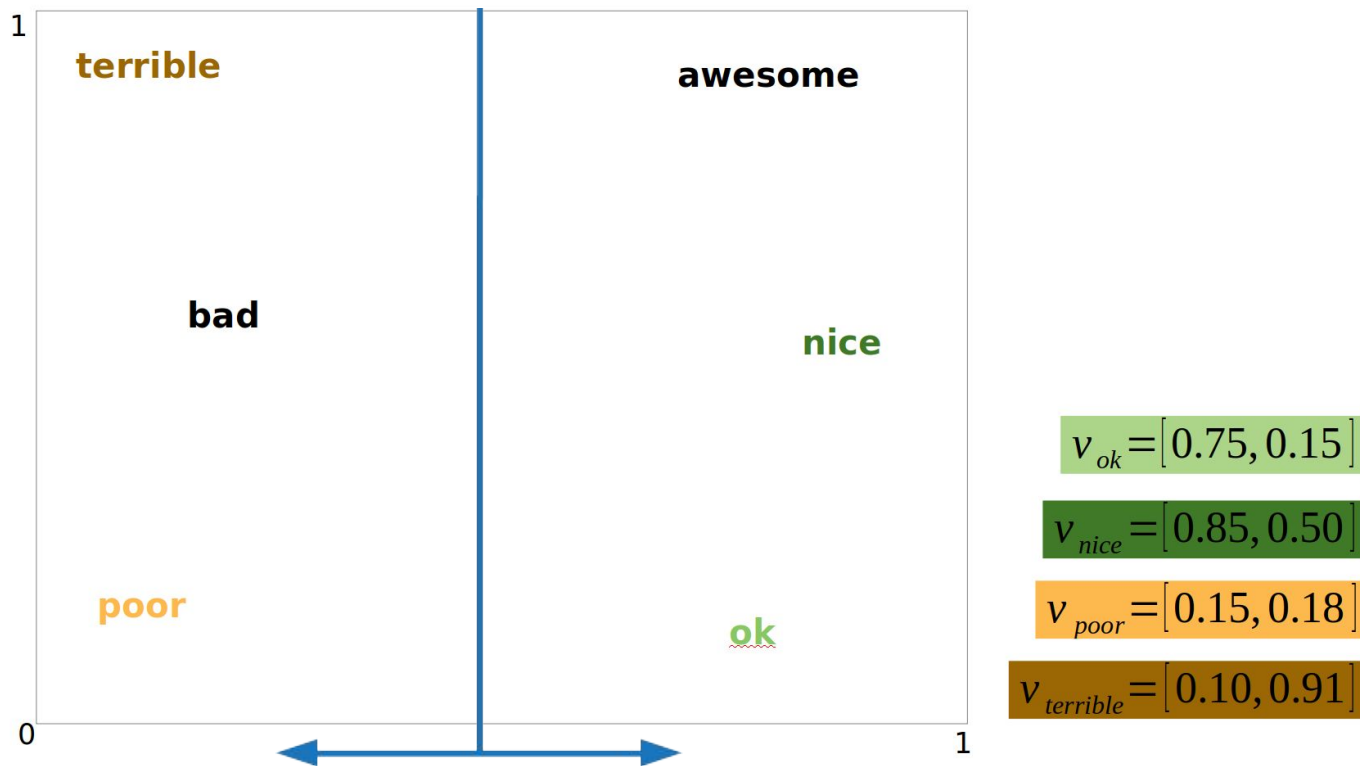
Words that occur in the same contexts tend to have similar meanings.

Harris (1954)

A word is characterized by the company it keeps.

Firth (1957)

# Word Vectors - Klassifikation



# Task One: Mask Words



Use the output of the masked word's position to predict the masked word

Possible classes:  
All English words

|      |               |
|------|---------------|
| 0.1% | Aardvark      |
| ...  | ...           |
| 10%  | Improvisation |
| ...  | ...           |
| 0%   | Zyzyva        |

FFNN + Softmax



Randomly mask  
15% of tokens

1 [CLS] 2 Let's 3 stick 4 to 5 [MASK] 6 in 7 this 8 skit ... 512

Input

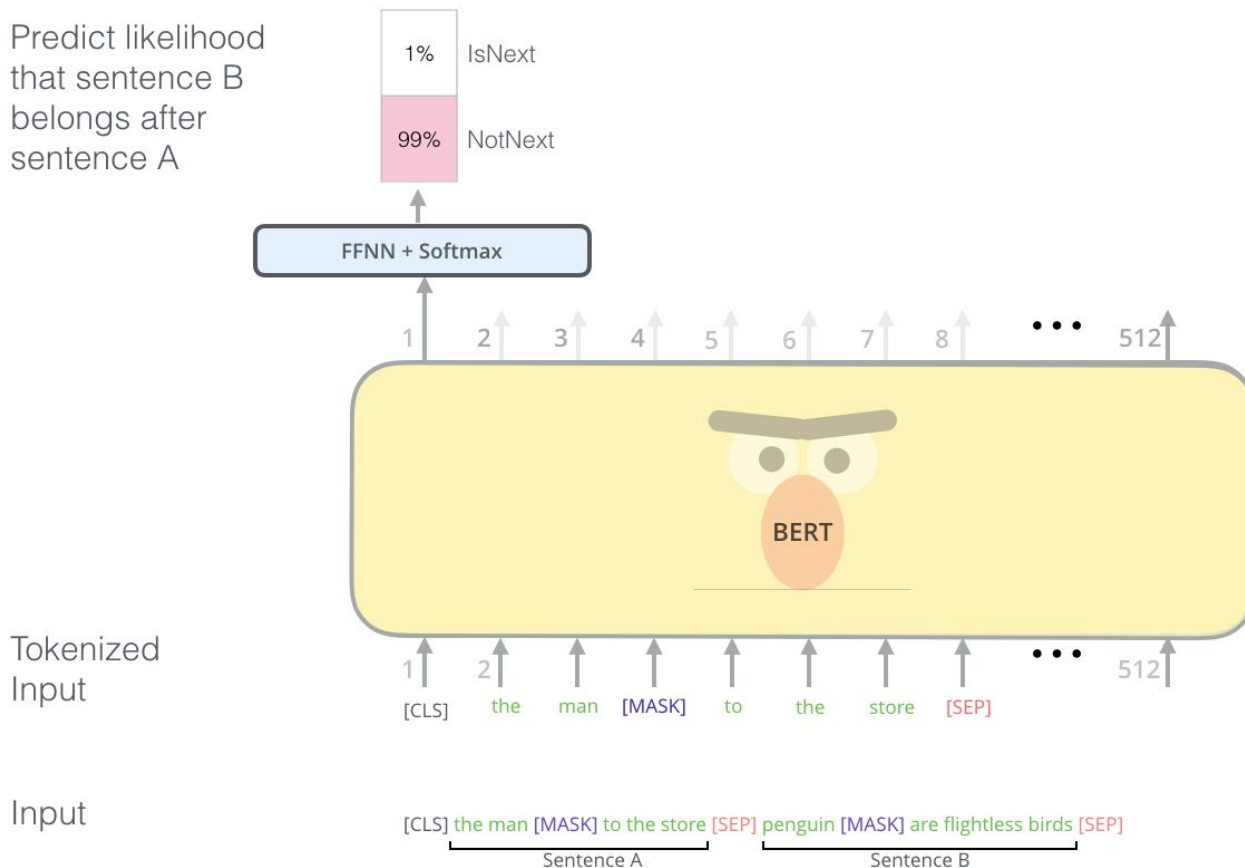
[CLS] Let's stick to improvisation in this skit



# Task Two: Next Sentence Prediction



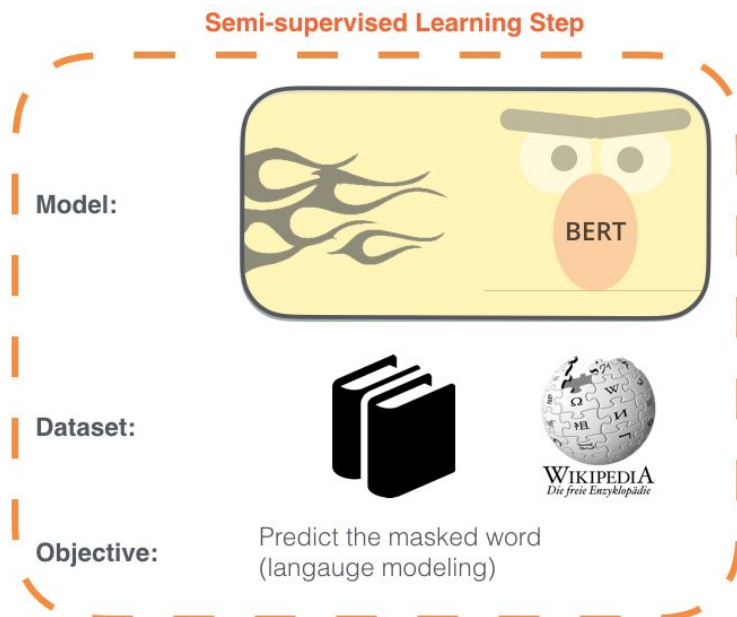
Predict likelihood  
that sentence B  
belongs after  
sentence A



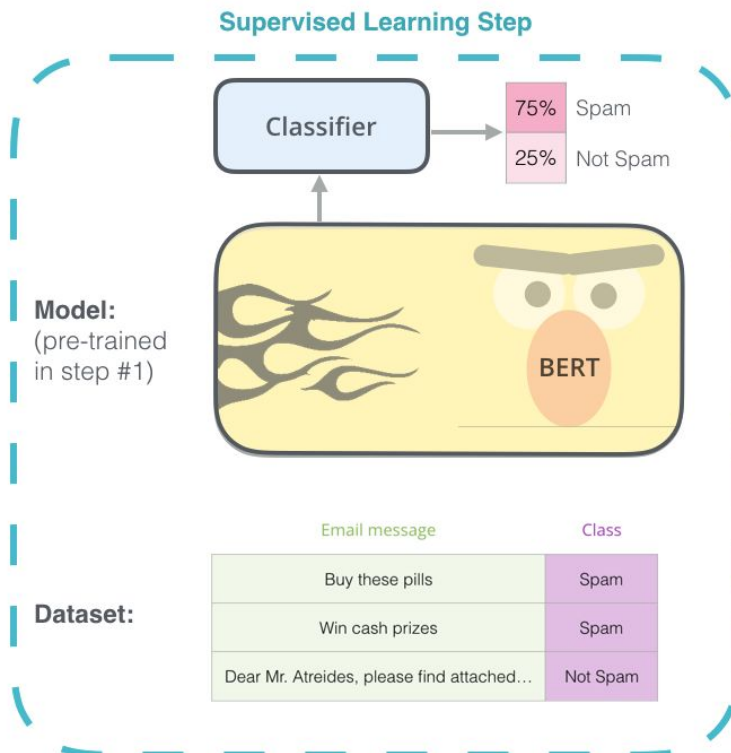
# Bert

1 - **Semi-supervised** training on large amounts of text (books, wikipedia..etc).

The model is trained on a certain task that enables it to grasp patterns in language. By the end of the training process, BERT has language-processing abilities capable of empowering many models we later need to build and train in a supervised way.

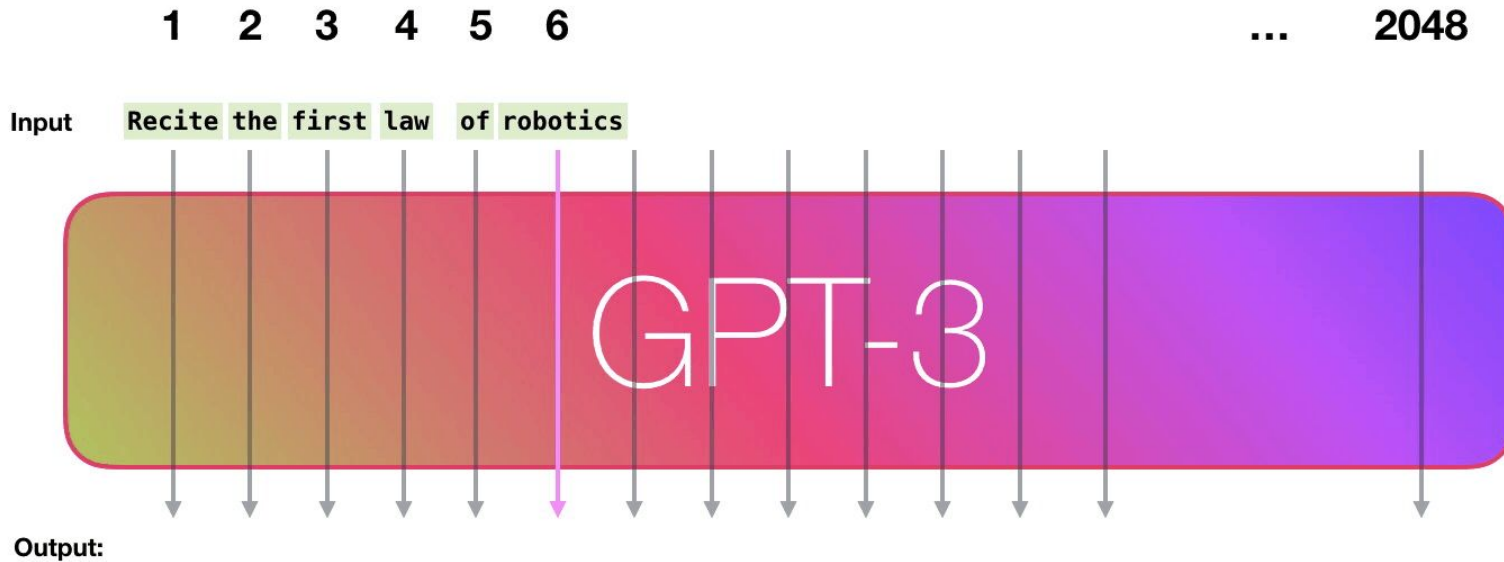


2 - **Supervised** training on a specific task with a labeled dataset.

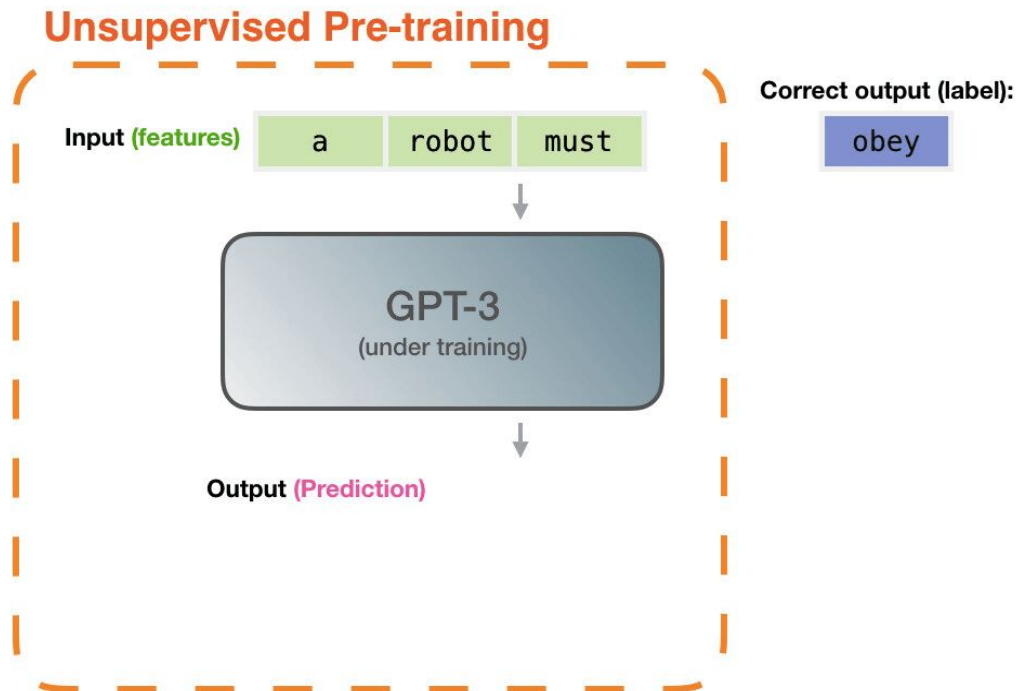


# GPT

Generative Pretrained Transformer



# GPT - Pretraining



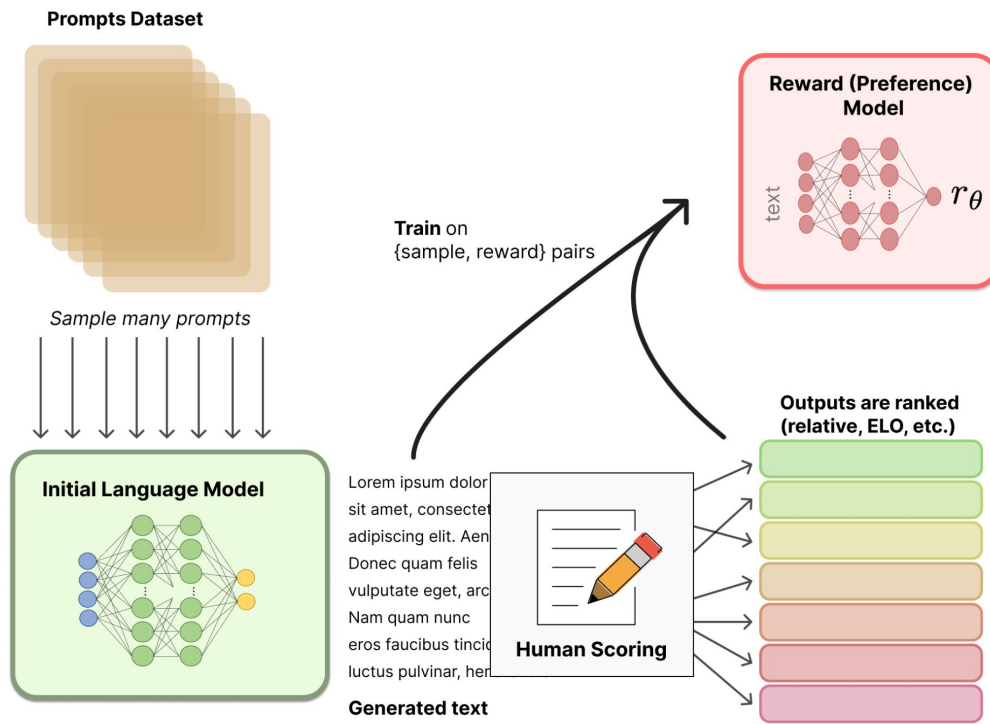


# Reinforcement Learning from Human Feedback (RLHF)



## Step One:

Train a scoring model

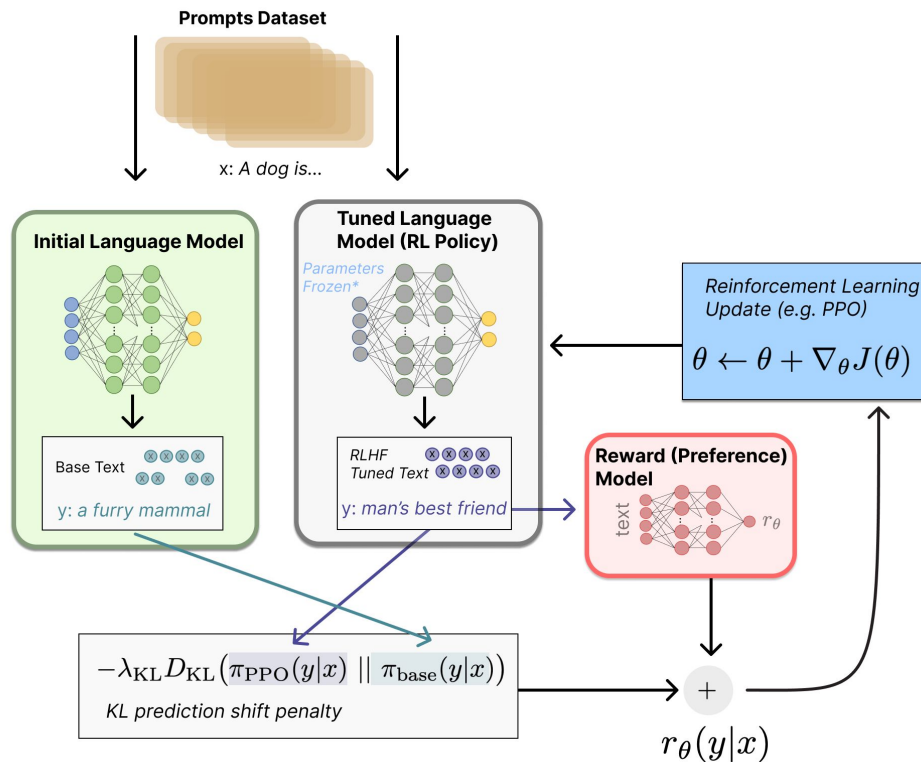


# Reinforcement Learning from Human Feedback (RLHF)



## Step Two:

fine-tune the language model using the scoring model with RL





**5000 YEARS  
OF ACCUMULATED  
HUMAN  
KNOWLEDGE AND KNOWHOW**

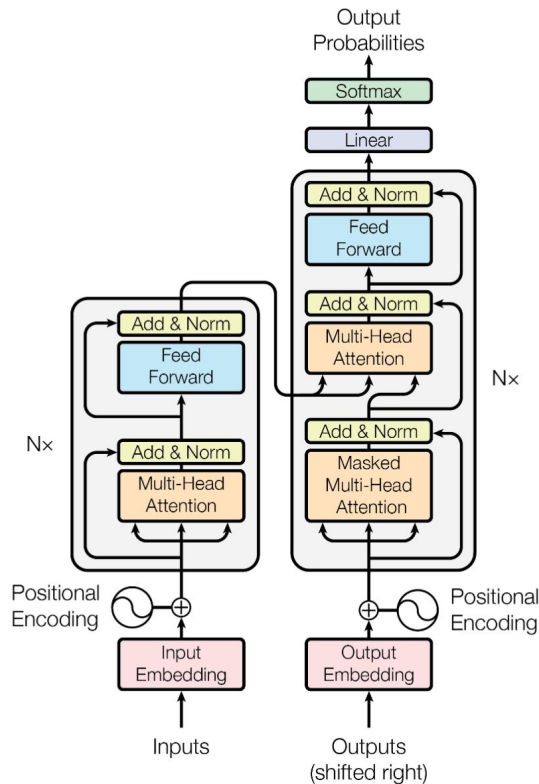
**CHATGPT**

imgflip.com

# How do Transformers work?

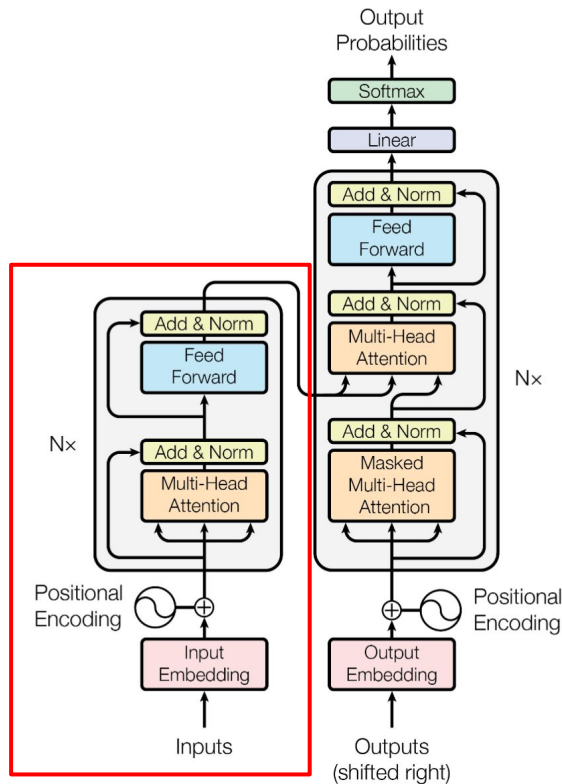


# Attention is all you need



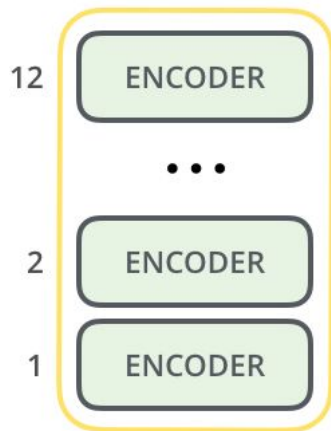
Attention Is All You Need, Vaswani et al. <https://arxiv.org/abs/1706.03762>

# Attention is all you need

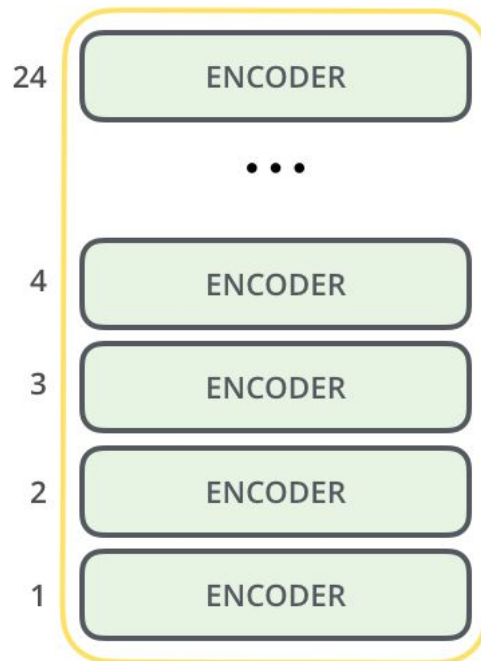


Attention Is All You Need, Vaswani et al. <https://arxiv.org/abs/1706.03762>

# How encoders work.

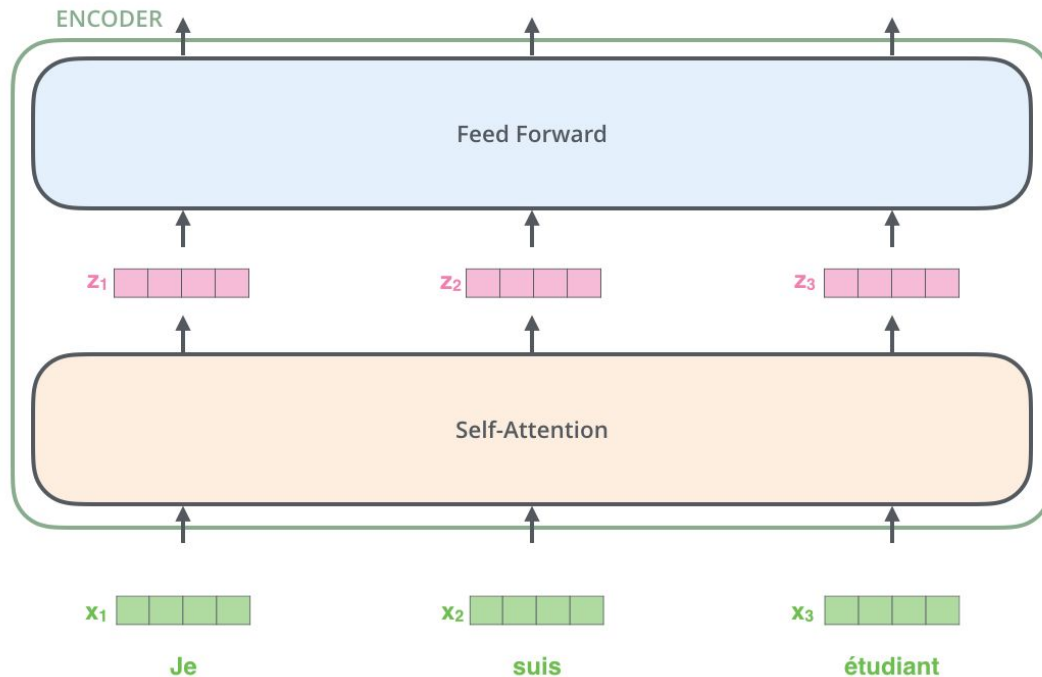


BERT<sub>BASE</sub>



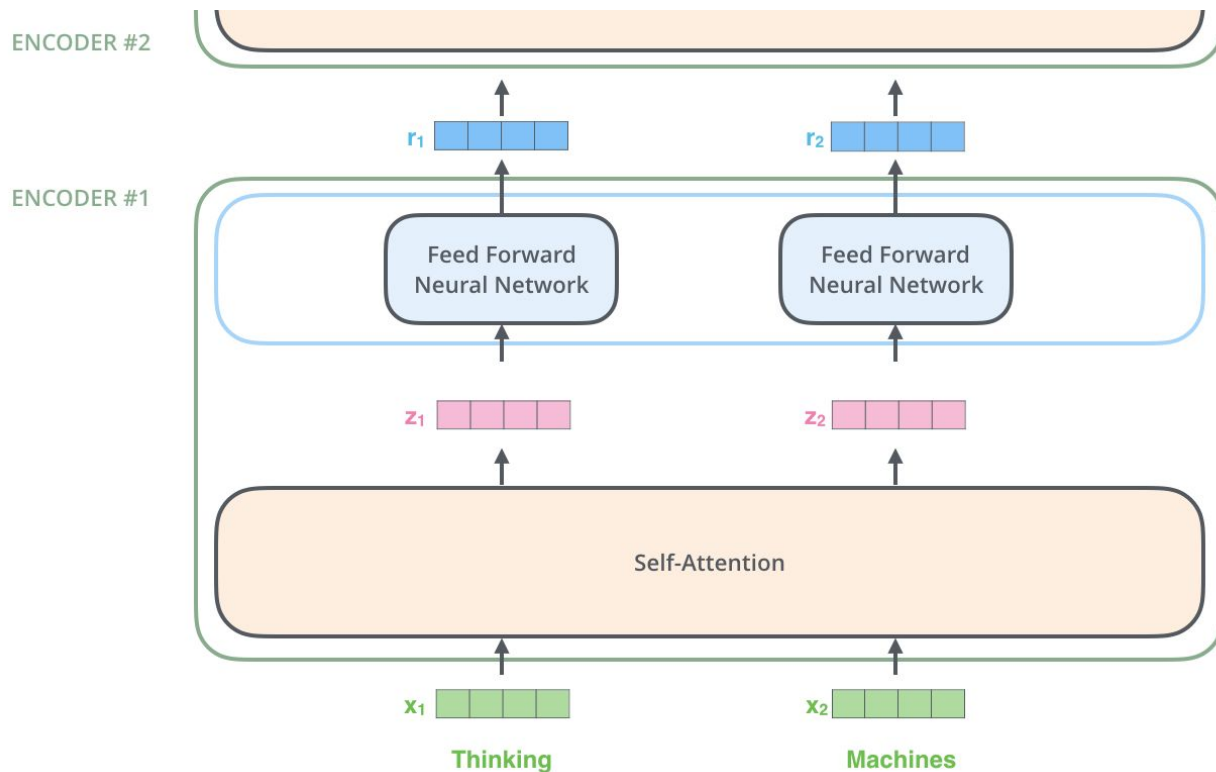
BERT<sub>LARGE</sub>

# Transformer Encoder



The Illustrated BERT, Jay Alammar: <http://http://jalammar.github.io/illustrated-transformer/>

# Transformer Encoder



The Illustrated BERT, Jay Alammar: <http://http://jalammar.github.io/illustrated-transformer/>



# What is self attention?

# Scaled dot product attention



$$\text{Attention}(\underline{Q}, \underline{K}, \underline{V}) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

Query Key Value

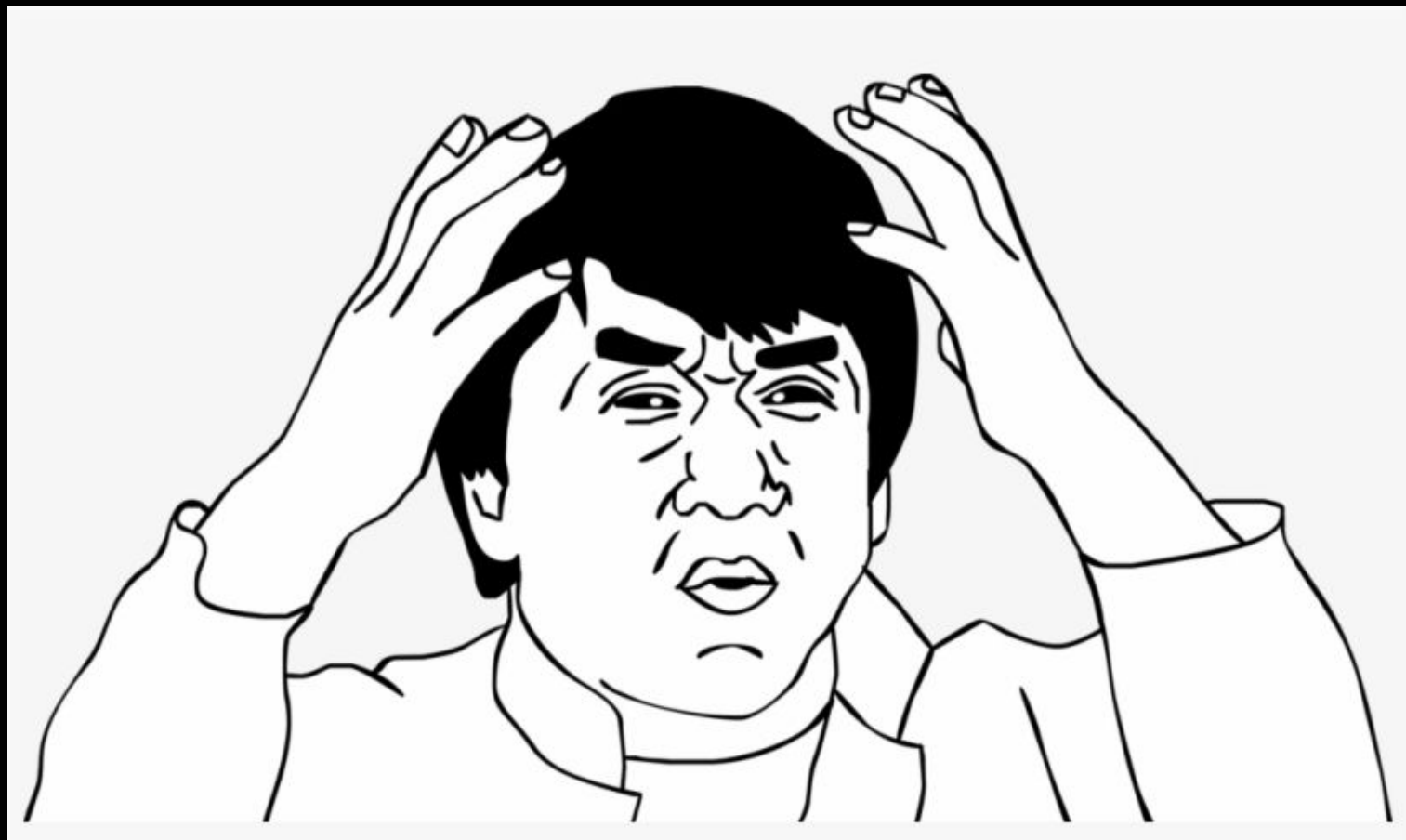
# Scaled dot product attention



$$\text{Attention}(\underbrace{Q}_{\text{Query}}, \underbrace{K}_{\text{Key}}, \underbrace{V}_{\text{Value}}) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

Take the current **word or token**, find the most similar **key** and return the corresponding **value**.





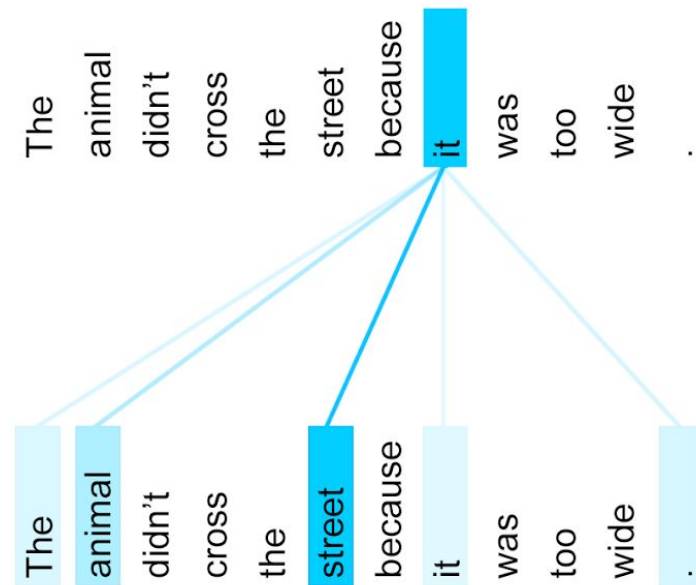
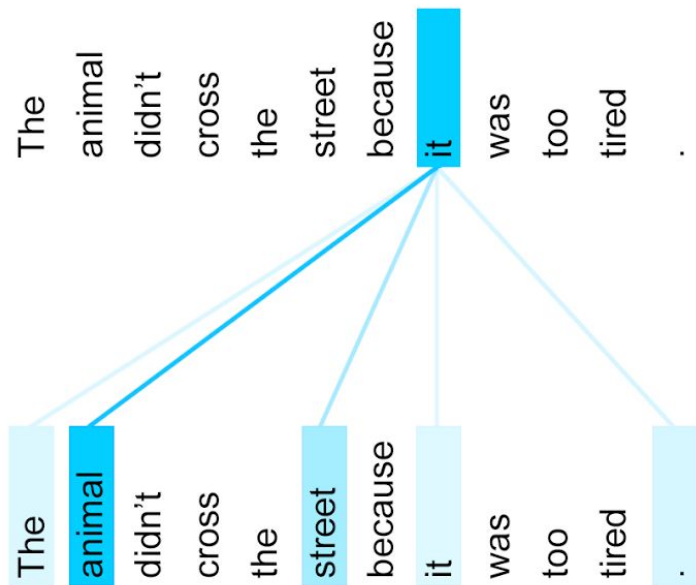
# What does Attention do?



The  
animal  
didn't  
cross  
the  
street  
because  
it  
was  
too  
tired  
.

The  
animal  
didn't  
cross  
the  
street  
because  
it  
was  
too  
wide  
.

# What does Attention do?



The encoder self-attention distribution for the word “it” from the 5th to the 6th layer of a Transformer trained on English to French translation (one of eight attention heads).

Source: <https://ai.googleblog.com/2017/08/transformer-novel-neural-network.html>

# Attention

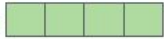


Input

Thinking


Machines

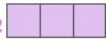
Embedding

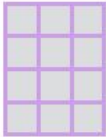
$x_1$  

$x_2$  

Queries

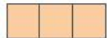
$q_1$  

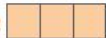
$q_2$  

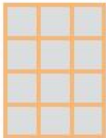


$W^Q$

Keys

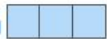
$k_1$  

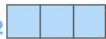
$k_2$  

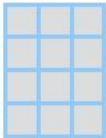


$W^K$

Values

$v_1$  

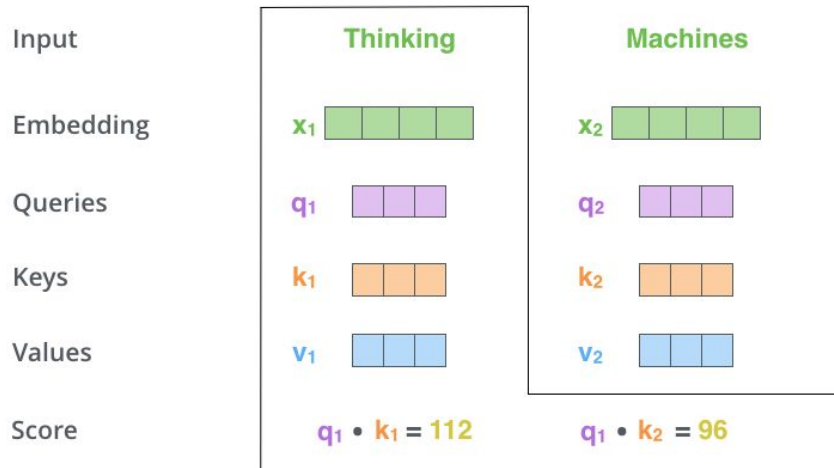
$v_2$  



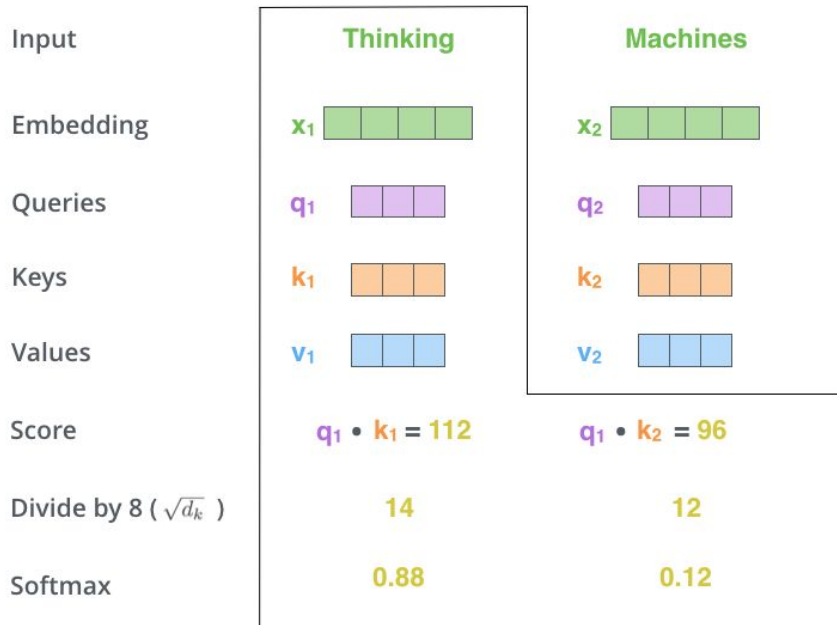
$W^V$



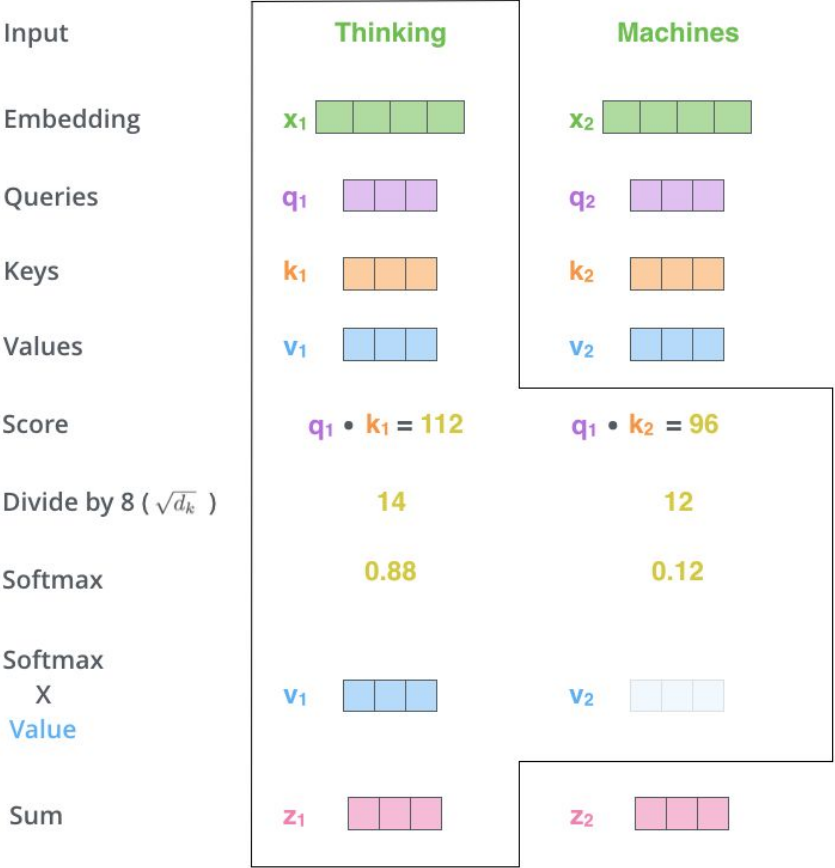
# Attention



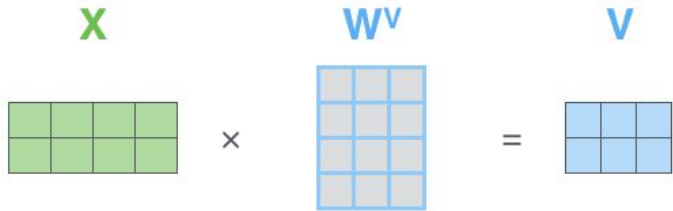
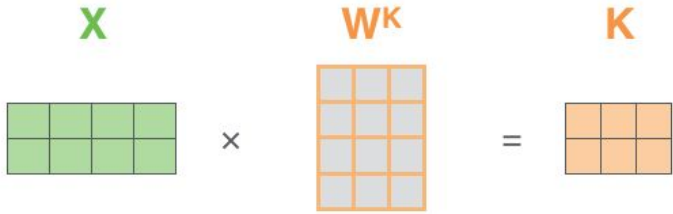
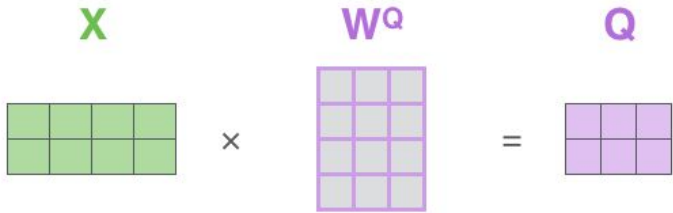
# Attention



# Attention



# Matrix Calculation



# Matrix Calculation



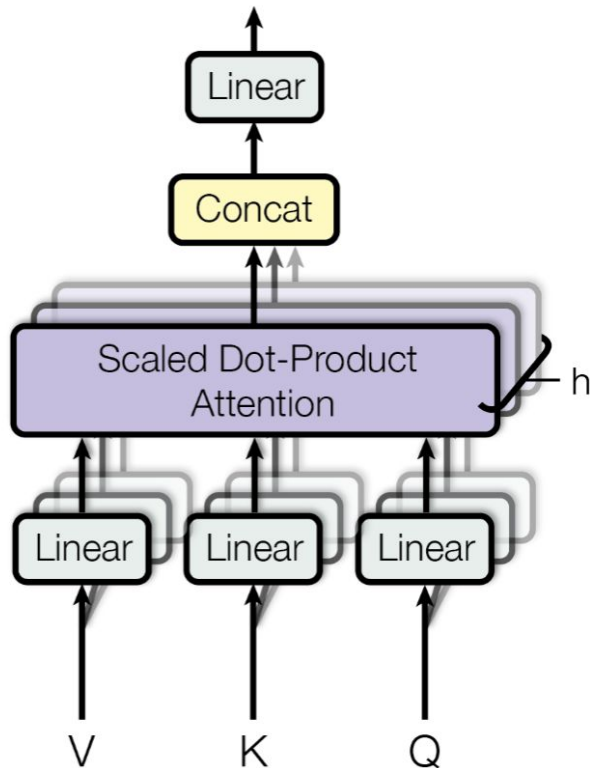
$$X \times W^Q = Q$$

$$X \times W^K = K$$

$$X \times W^V = V$$

$$\text{softmax}\left(\frac{Q \times K^T}{\sqrt{d_k}}\right) \times V = Z$$

# Multi Head Attention



Attention Is All You Need, Vaswani et al. <https://arxiv.org/abs/1706.03762>

# Multi Head Attention

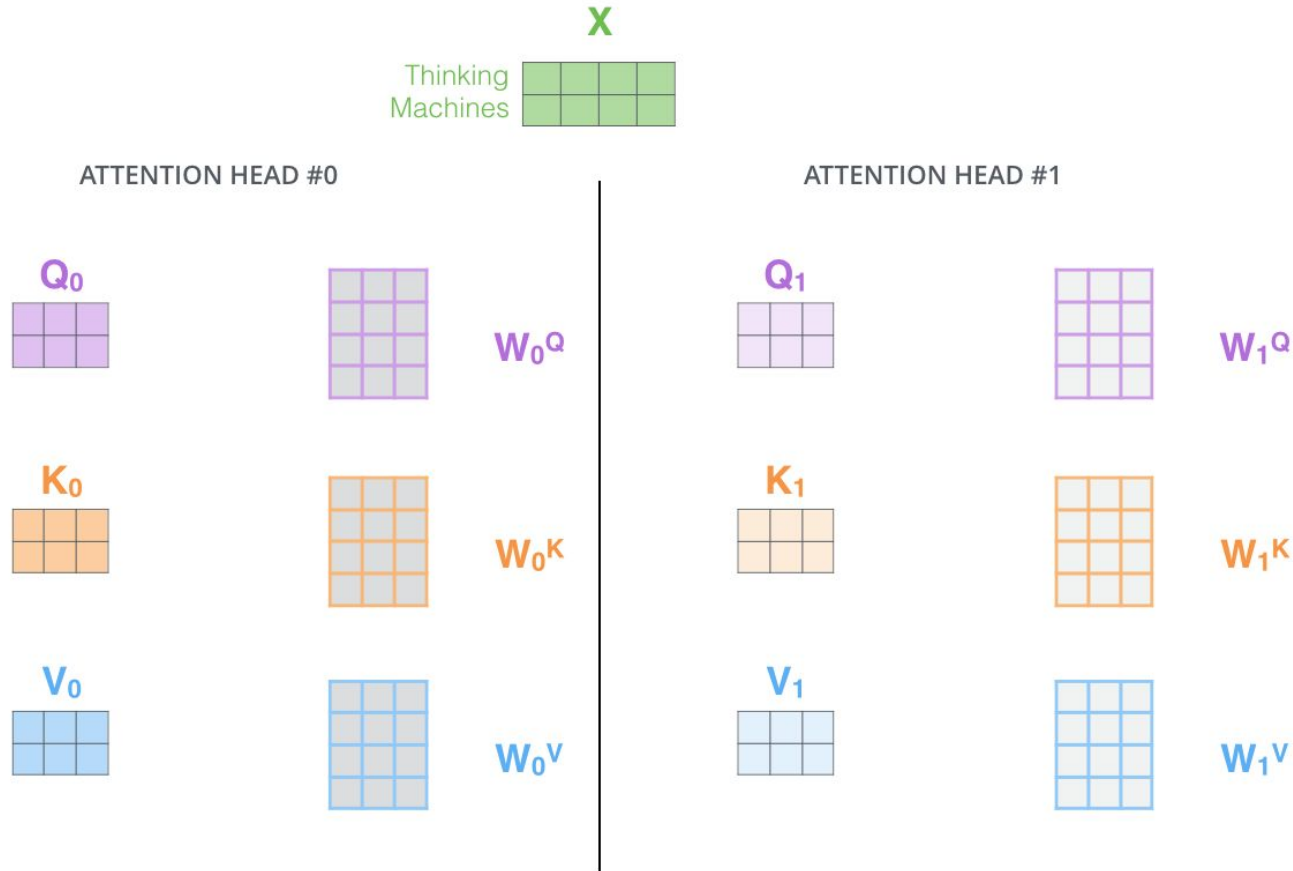


$$\begin{matrix} \text{X} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{W}^{\text{Q}} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} = \begin{matrix} \text{Q} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array} \end{matrix}$$

$$\begin{matrix} \text{X} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{W}^{\text{K}} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} = \begin{matrix} \text{K} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array} \end{matrix}$$

$$\begin{matrix} \text{X} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{W}^{\text{V}} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} = \begin{matrix} \text{V} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array} \end{matrix}$$

# Multi Head Attention





# Multi Head Attention

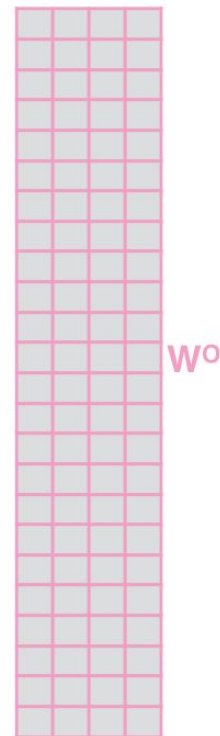


1) Concatenate all the attention heads



2) Multiply with a weight matrix  $W^O$  that was trained jointly with the model

$\times$



3) The result would be the  $Z$  matrix that captures information from all the attention heads. We can send this forward to the FFNN



# Multi Head Attention



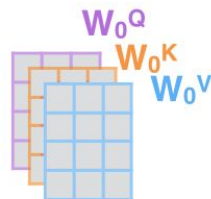
1) This is our input sentence\*

Thinking  
Machines

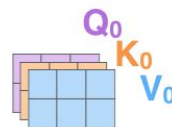
2) We embed each word\*



3) Split into 8 heads.  
We multiply  $X$  or  $R$  with weight matrices



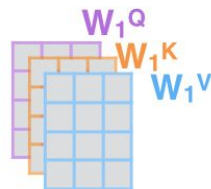
4) Calculate attention using the resulting  $Q/K/V$  matrices



5) Concatenate the resulting  $Z$  matrices, then multiply with weight matrix  $W^O$  to produce the output of the layer



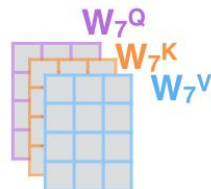
\* In all encoders other than #0, we don't need embedding. We start directly with the output of the encoder right below this one



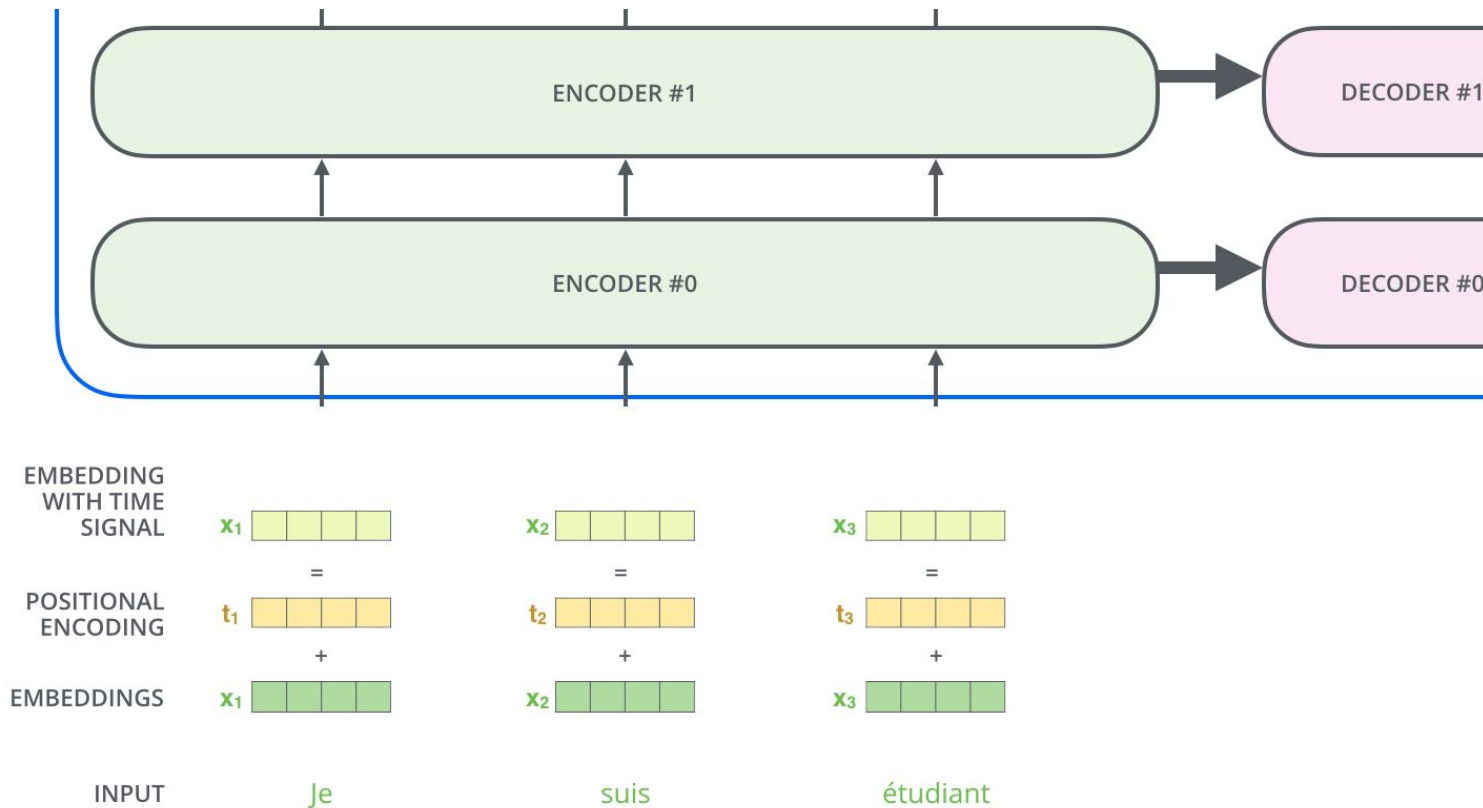
...

...

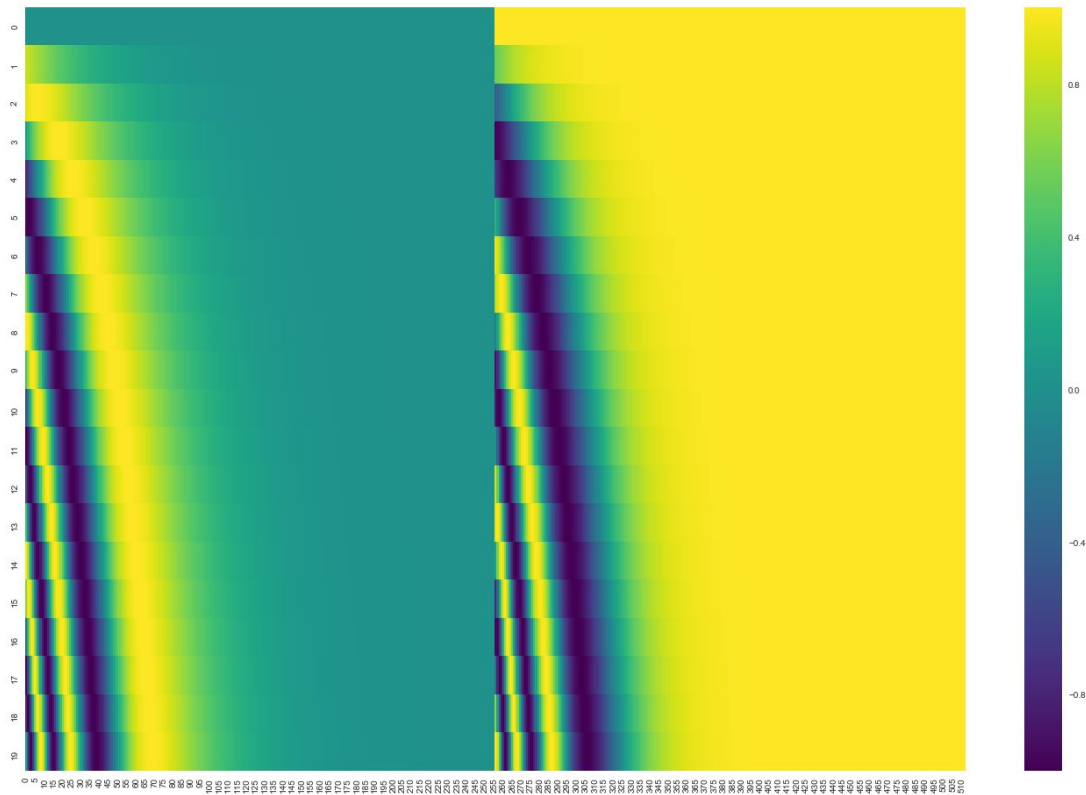
...



# Positional Encoding



# Positional Encoding

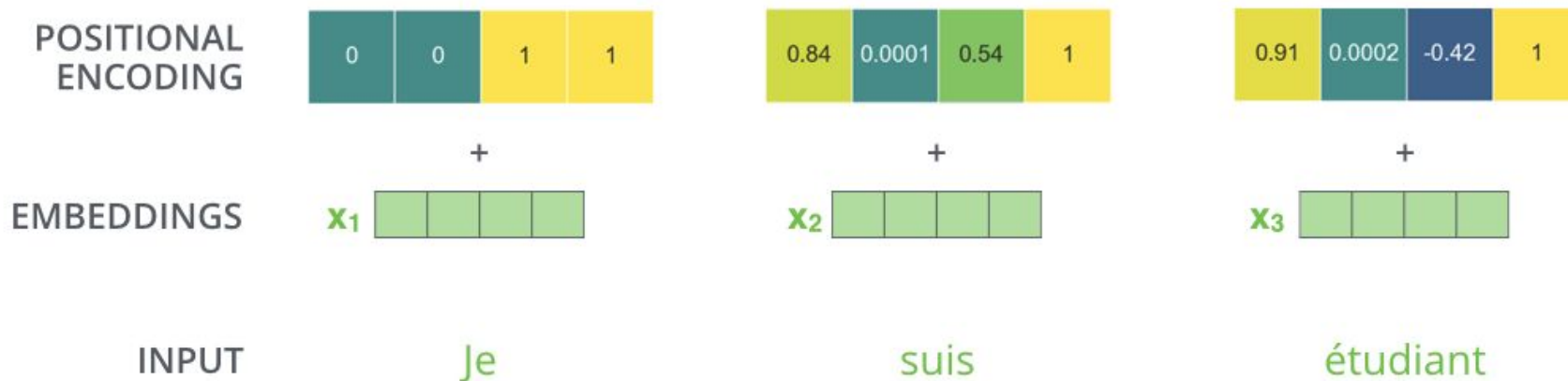


$$PE_{(pos, 2i)} = \sin(pos/10000^{2i/d_{\text{model}}})$$
$$PE_{(pos, 2i+1)} = \cos(pos/10000^{2i/d_{\text{model}}})$$

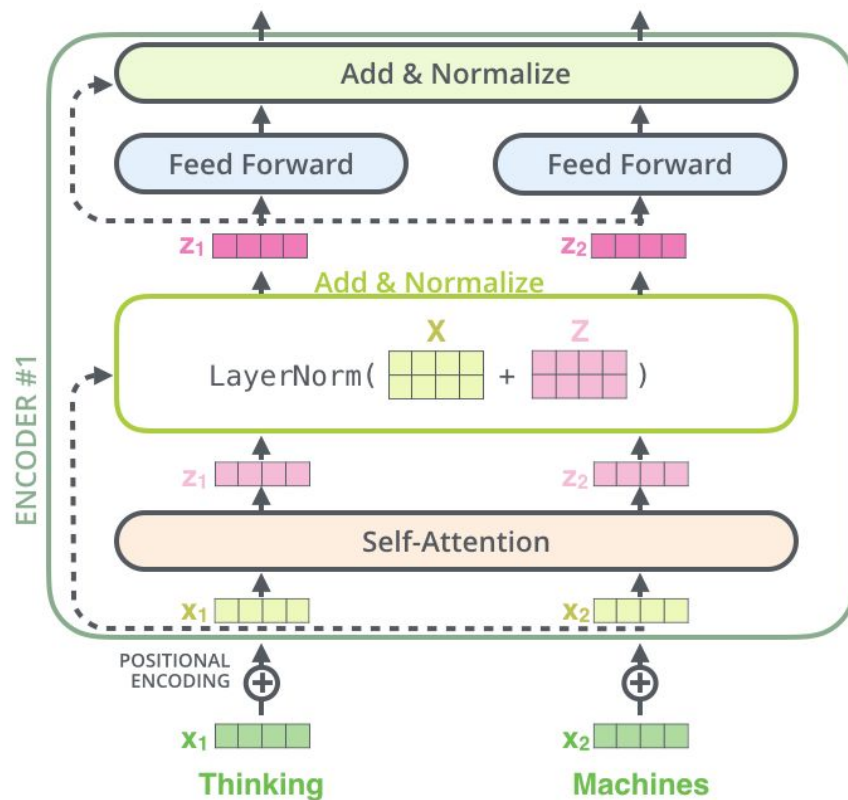
# Positional Encoding



For embedding with a dimensionality of 4 the encodings look like this:



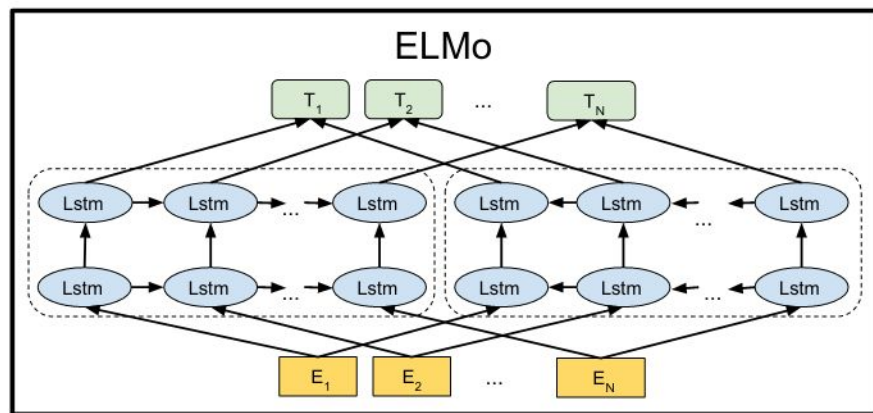
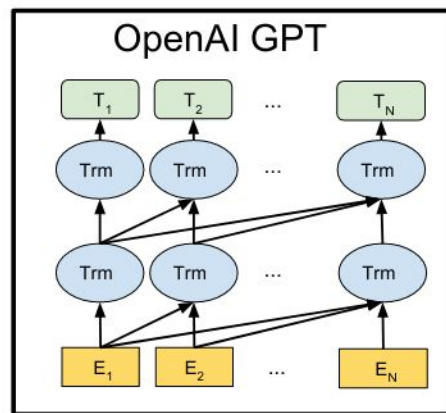
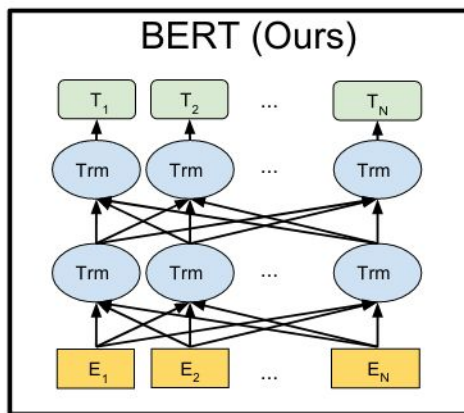
# Add and Normalize



Layer Normalization Lei Ba et al. <https://arxiv.org/abs/1607.06450>

# Transformers vs LSTMs

- Can we build something similar using LSTMs?
  - Yes, its called ELMo



Source Bert Paper: <https://arxiv.org/pdf/1810.04805.pdf>

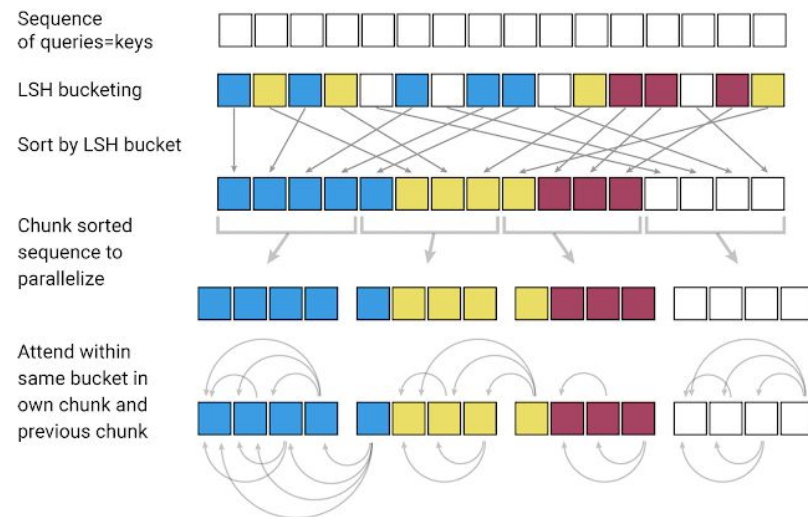
# Future...



# Reformer: The Efficient Transformer



- Improved efficiency of the attention algorithm
- **context windows of 1 million words** on a 16GB GPU (Transformer 512 Token)
- Main Contribution
  - locality-sensitive-hashing (LSH)
  - reversible residual layers
- **Similar ideas:**
  - Longformer, Linformer,  $[\text{w}^*]\text{former}$
- More Information
  - [Paper by Kitaev, Kaiser and Levskya](#)
  - [Google AI Blog Post](#)
  - [Video Introduction](#)
  - [Background Info](#)



# RealFormer: Transformer Likes Residual Attention



- **Resnets idea** but for Transformers: Residual connections for attention values
- Improves overall results but not by much
- [Paper by Ruining He, Anirudh Ravula, Bhargav Kanagal, Joshua Ainslie](#)

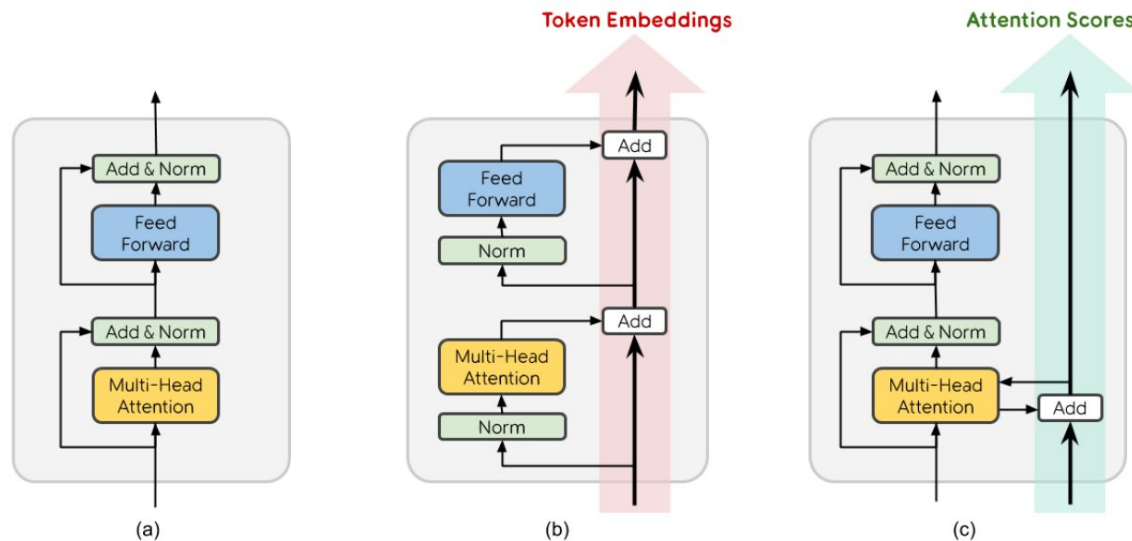
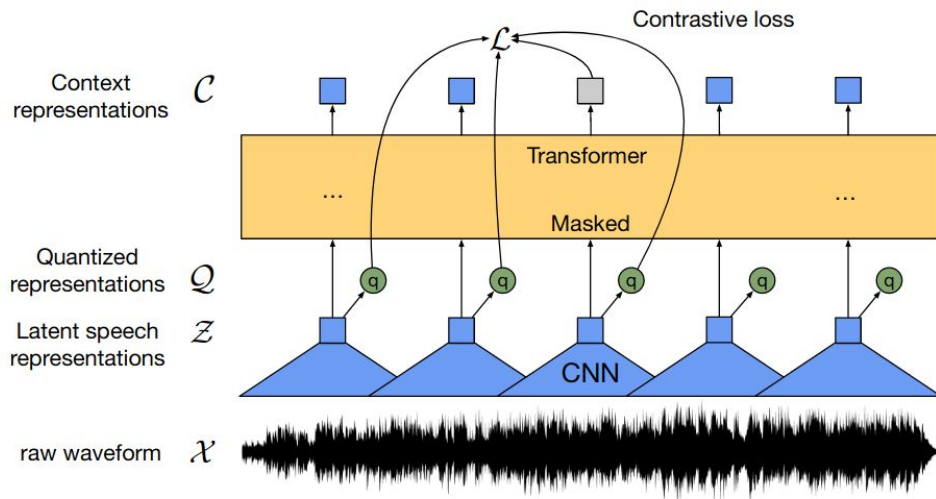


Figure 1: Comparison of different Transformer layers: (a) The prevalent Post-LN layer used by (e.g.) BERT; (b) Pre-LN layer used by (e.g.) GPT-2 that creates a “direct” path to propagate token embeddings; (c) Our RealFormer layer that creates a “direct” path to propagate attention scores (by adding a simple skip edge on top of (a)).

# Automatic Speech Recognition

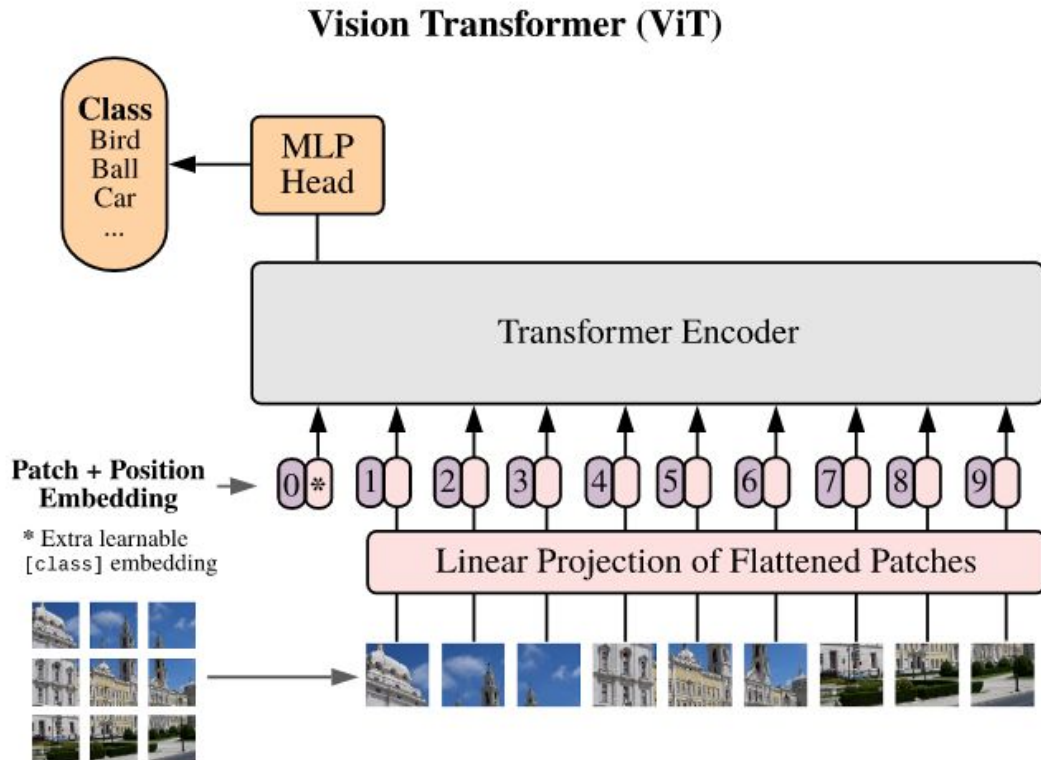


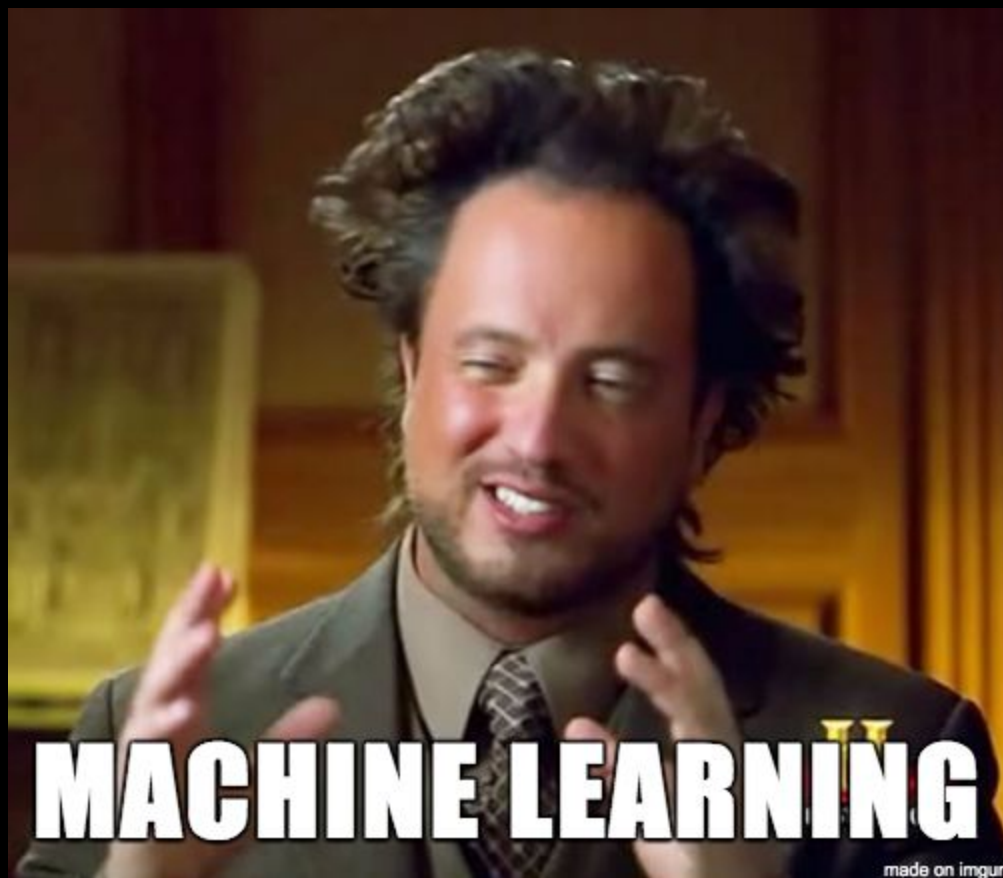
- wav2vec 2.0: A Framework for Self-Supervised Learning of Speech Representations
- Key Ideas:
  - CNN and Transformer based end to end model for speech recognition
  - uses a novel pretraining schema to learn for unlabeled audio data
- outperforms the previous state of the art while using 100 times less labeled data
- can achieve good accuracy with very little data
- By Alexei Baevski, Henry Zhou, Abdelrahman Mohamed and Michael Auli



# An Image is Worth 16x16 Words

- Imagenet and CIFAR with transformers
  - 88.55% on ImageNet,
  - 90.72% on ImageNet-Real,
  - 94.55% on CIFAR-100
- Paper by [Dosovitskiy et al.](#)
- Other approaches to vision tasks
  - [Taming Transformers for High-Resolution Image Synthesis](#)





**I'M NOT SAYING IT'S MAGIC**

**BUT MAGIC**

makeameme.org

# Sources

- Paper
  - [Attention is all you need. Vaswani et al.](#)
  - [BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding Devlin et al.](#)
  - [Reformer: The Efficient Transformer Kitev et al.](#)
- Good Read
  - [Jay Alammars The Illustrated Transformer](#)
  - [Jay Alammars The Illustrated BERT](#)
- Conference Talk:
  - [Attention is all you need attentional neural network models by Łukasz Kaiser](#)



# Transformers

Andrej Karpathy  
Stanford CS25, Jan 10 2023

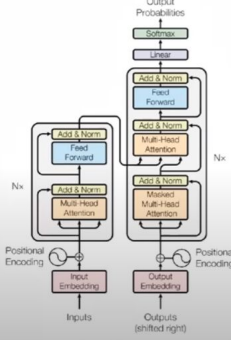

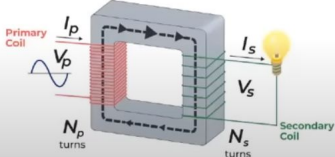



Figure 1: The Transformer - model architecture.



Primary Coil  
 $I_p$   
 $N_p$  turns  
 $V_p$

Secondary Coil  
 $I_s$   
 $N_s$  turns  
 $V_s$



10:15 / 1:11:40 • Transformers - Andrej Karpathy >

