

# 1 Lecture 1

A symmetry is a transformation  $g_i$  that leaves some physical properties (e.g. energy, scattering probabilities etc.) unchanged.

They can be composed  $g_1 g_2$  means act first with  $g_2$ , then with  $g_1$

Doing nothing ( $e$ , the identity) is a symmetry

A symmetry transformation  $g$  can be reversed  $g^{-1}$  which is itself a symmetry.

The set of all symmetries forms a group.

## 1.1 Groups recap

### 1.1.1 Axioms

A group is a set of elements  $\{e, g_1, g_2, \dots\}$  with:

i) A composition rule: a binary operator  $*$  such that  $g_i * g_j \in G$ . We shall often write  $g_i * g_j$  as  $g_i g_j$

ii) There exists a unique identity element  $e \in G$  s.t.  $eg_i = g_i = g_i e \forall g_i \in G$

iii) Associativity:  $(g_i g_j) g_k = g_i (g_j g_k)$

iv) Unique inverse: There exists a unique inverse  $g_i^{-1} \forall g_i \in G$  such that  $g_i g_i^{-1} = g_i^{-1} g_i = e$  (no sum)

## 1.2 Examples

i)  $\mathbb{Z}_n$  defined by integers  $0, 1, \dots, n-1$  where  $n \in \mathbb{N}$  and  $*$  is addition mod  $n$

ii)  $C_n$ , the cyclic group is defined by  $\mathbb{C}$  numbers  $e^{\frac{2\pi i}{n}}$  for  $r = 0, 1, \dots, n-1$ , with  $*$  as multiplication operator

$\mathbb{Z}$  and  $C_n$  are isomorphic as there is a 1-1 map between elements consistent with the group composition rules

These are examples of abelian groups which is defined as groups for which:

$g_i g_j = g_j g_i$ .

iii)  $D_3$  symmetries of 2D regular 3 sided polygon. Have reflections (let  $r$  be reflection along axis through vertex perpendicular to the opposite edge) and rotations (let  $a$  be a rotation of  $\frac{2\pi}{3}$ ) that can be composed to give all 6 elements  $(e, a, a^2, r, ra, ra^2)$ .

## 1.3 Lie Groups

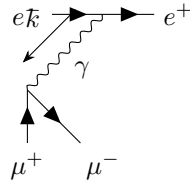
Lie Groups are a generalisation of this to continuous symmetries. Instead of a trianglelet consider the symmetries of a circle. You can rotate around the centre by some real angle  $\theta$ . This forms a group ( $SO(2)$ ) with an infinite number of elements. Lie Groups are essential for the description of particles.

### 1.3.1 Internal symmetries

Internal symmetries are properties of the particles or fields themselves e.g. the colour rotation of quarks. Quarks come in three nearly otherwise identical copies

(we name them colors which we will call red, green and blue). Rotating the colors into one another in a continuous way is a symmetry. e.g. can take a red quark and rotate it to a blue quark plus an imaginary bit of green and the scattering amplitudes don't care. Can do both local and global symmetries. The colour rotation could be different at different points ( $x^\mu$ ).

When you add a local symmetry you need to add a spin one vector boson or a gauge boson in order to make the theory invariant (this is called the gluon for the colour case. This gluon carries a colour and an anti-colour). Gluon can interact with  $q, \bar{q}$  in the following Feynman diagram.



The Group structure tells you that the colour is conserved. If the symmetry doesn't depend on  $x^\mu$ , there is no gauge boson and it is called a global symmetry.

### 1.3.2 External symmetries

Act directly on  $x^\mu$  e.g. rotate axis, Lorentz transformation, boson translations in  $x$ .

Group theory has also been used in cases where symmetries are only approximate, e.g. to explain the spectrum of a class of particles called hadrons

## 1.4 Fundamental Particles

Name	Spin	Mass	Force
$g$ , gluon	1	0	Strong
$\gamma$ , photon	1	0	Electromagnetic
$W^\pm, Z^0$ Bosons	1	$O(100)m_{proton}$	Electroweak
$G$ , graviton	2	0	Gravity

Each of these come from local symmetries. Massive ones come from spontaneously broken local symmetry (Higgs mechanism). All fit in to the standard model of particle physics, except for the graviton. The standard model is QFT with a Lie Group structure.

## 2 Lecture 2

### 2.1 Reminder of Equivalence Relations

- i) Reflexivity:  $ss \forall s \in S$
- ii) Symmetry:  $ss' \implies s's \forall s, s' \in S$
- iii) Transitivity:  $ss'$  and  $s's'' \implies ss'' \forall s, s', s'' \in S$

Equivalence class of  $s$ :  $[s] = s' \in S; s's$

Two equivalence classes are either disjoint or equal, since for  $s, s' \in S$  either  $ss' =$  or take  $s' \in [s][s]$  then for  $s'' \in [s]$

$s's'' \implies s''s'' \implies s'' \in [s]$  and vice versa so  $[s] = [s]$  so equivalence classes partition sets:

e.g. take  $S = \{\mathbb{Z}\}$  and  $ss'$  if  $s \bmod 2 = s' \bmod 2$ . You get two equivalence classes  $[0] = \{\text{even}\mathbb{Z}\}$  and  $[1] = \{\text{odd}\mathbb{Z}\}$ .

**Subgroup:** A subgroup of  $G$  is a subset of  $G$  which is also a group. Write  $H < G$ . We can define:

$$g_i g_j \iff g_i = g_j h \text{ for some } h \in H$$

Each equivalence class is called a coset and has the same order as  $H$ . So the order of  $G$  is written  $|G|$ . The cosets form a coset space  $G/H$  which may or may not be a group.

A normal/invariant subgroup  $H < G$  is s.t.:

$$gHg^{-1} = H \forall g \in G$$

(above means some element of  $H$  on the left and some on the right but could be different element). In this case  $G/H$  is a group since for  $g'_i = g_i h_i, g'_j = g_j h_j$  with  $h_i, h_j \in H \implies g'_i g'_j = g_i g_j g$ . follows a two line proof.

A group is simple if the only invariant subgroups are  $G$  and the trivial subgroup with only the identity.

The centre of the group  $\mathfrak{Z}(G)$  is the set of all elements which commute with all  $g \in G$ . It is an abelian normal subgroup.

Between two groups  $G_1, G_2$  we form a direct product group  $G_1 \times G_2$  formed by pairs of elements  $\{(g_1, g_2)\}, g_1 \in G_1, g_2 \in G_2$ .

$$(g_1, g_2)(g'_1, g'_2) = (g_1 g'_1, g_2 g'_2)$$

$$(g_1, g_2)^{-1} = (g_1^{-1}, g_2^{-1})$$

$$(e) = (e_1, e_2)$$

Can now do first exercise on ES1.

If you take two elements of a group the commutator is defined to be:

$$[g, h] = g^{-1} h^{-1} g h$$

If  $[g, h] = e$  then say that  $g, h$  commute. If  $G$  is abelian then  $[g, h] = e \forall g, h \in G$ .

## 2.2 Examples with finite groups

**Cyclic group:**  $\mathbb{Z}_n$  for prime  $n$  this is simple

**Dihedral group:**  $D_n$  is the symmetry of an  $n$ -sided regular polygon formed by

rotation through  $\frac{2\pi r}{n}$  together with reflection  $r$

$$D_n = \{a^m, a^m r, m = 0, 1, \dots, n-1, a^0 = a^n = e, ar = ra^{n-1}\}$$

$|D_n| = 2n$  and note  $(a^m r)^2 = e$ . For  $n > 2$ ,  $ar \neq ra \implies$  the group is non-abelian.

**Permutation Group:**  $S_n$  is the number of orderings of  $n$  elements:  $|S_n| = n!$

**Automorphism:** A map of a group to itself.  $g_i \rightarrow \phi(g_i)$  s.t. the product rule is preserved.  $\phi(g_i)\phi(g_j) = \phi(g_i g_j)$  and  $\phi(e) = e$  and  $\phi(g^{-1}) = \phi(g)^{-1}$

Either inner or outer automorphism:

**Inner automorphism:** For some fixed member  $g \in G$ :

$$\phi_g(g_i) = gg_i g^{-1}$$

If it doesn't have this form then it is an outer automorphism.

The set of all automorphisms forms a group called  $\text{Aut}G$  which includes  $G/\mathfrak{Z}(G)$  as a normal subgroup.

consider  $G = e, a, a^2$ , can take  $\phi(\mathbb{Z}_3) = \{e, a^2, a\}$  if you apply this twice you get  $e$ . so  $\text{Aut}(\mathbb{Z}_3) = \mathbb{Z}_2$ .

**Semi-Direct Product:** Take  $H \text{Aut}G$  s.t. for any  $h \in H$  and any  $g \in G$ .  $g \rightarrow \phi_h(g)$  with

$$\phi_h(g_1)\phi_h(g_2) = \phi_h(g_1 g_2)$$

$$\phi_{h_1}(\phi_{h_2}(g)) = \phi_{h_1 h_2}(g)$$

$$\phi_h(e) = e$$

$$\phi_e(g) = g$$

$$\phi_{h^{-1}}(g) = \phi_h^{-1}(g)$$

The following is the semi-direct product of  $H$  with  $G$ :  $H \ltimes G = G \rtimes H$ .

Again, have pairs of elements  $(h, g) \in (H, G)$ :

$$(h, g)(h', g') = (hh', g\phi_h(g'))$$

$$(h, g)^{-1} = (h^{-1}, \phi_{h^{-1}}(g))$$

$$(h, e)(e, g)(h, e)^{-1} = (e, \phi_h(g))$$

Note that:

$$(h, g)(e, g')(h, e)^{-1} = (e, g\phi_h(g')g^{-1})$$

So  $G$  is a normal subgroup of  $H \ltimes G$  so  $H = (H \ltimes G)/G$ . It is convenient to write the elements of  $H \ltimes G$  as:

$$(h, g) \rightarrow hg = \phi_g(g)h$$

e.g.  $D_n = \mathbb{Z}_2 \ltimes \mathbb{Z}_n$  and we define for any  $g = a^m \in \mathbb{Z}_n$

$$\phi_r(g) = g^{-1} = rgr^{-1}$$

Poincare group is a semi-direct product of the lorentz group and the translation symmetry

## 3 Lecture 3

### 3.1 Lie Groups

#### 3.1.1 Basics of Lie Groups

They have an infinite number of elements. Elements depend continuously on a number of parameters,  $\dim G$  being the dimension of the group. Group operations depend smoothly on parameters.

A Lie Group  $G$  is a smooth manifold which is also a group with smooth group operators

$\dim G$  is the dimension of the underlying manifold. An  $n$ -dimensional manifold is everywhere locally  $\mathbb{R}^n$  (it might not globally look like this but if you zoom in locally enough it will do). Examples  $(\mathbb{R}^n, +)x'' = x + x'$  is a smooth  $f^n$  of  $x, x'$  - the inverse  $x^{-1} = -x$  is a smooth  $f^n$  of  $x, x'$

$S^1 = \{\theta, 0 \leq \theta \leq 2\pi\}$  with  $\theta = 0$  and  $\theta = 2\pi$  is identified. The group operation is addition,  $\theta + 2\pi \sim \theta$ .

Subgroups of  $G$  can be discrete, but these are not Lie subgroups. A Lie subgroup  $H < G$  is a continuous smooth subgroup.

#### 3.1.2 Matrix Groups

Lie groups of square matrices  $M$  are important.

\* is matrix multiplication, the existence of inverse requires  $\det M \neq 0$ ,  $e$  is  $I$ , the identity matrix

The **General Linear Group**  $GL(n, F) = \{n \times n \text{ invertible matrices over a field } F\}$ . Here  $F \in \{\mathbb{R}, \mathbb{C}\}$ .  $\dim GL(n, \mathbb{R}) = n^2$ ,  $\dim GL(n, \mathbb{C}) = 2n^2$  ("real dimension") whereas  $n^2$  in "complex dimension"

Have in mind that  $M$  act on  $n$ -dimensional vector  $v$

$$v \rightarrow v' = Mv$$

#### 3.1.3 Important subgroups of $GL(n, \mathbb{R})$

Special Linear group  $SL(n, \mathbb{R}) = \{M : \det M = 1\}$  gives  $\dim SL(n, \mathbb{R}) = n^2 - 1$  and  $\dim SL(n, \mathbb{C}) = 2n^2 - 2$  (real dimension).

Orthogonal Group  $O(n) = \{M : M^T M = I\}$  gives  $\dim O(n) = \frac{n(n-1)}{2}$ . We note that this preserves the scalar product between 2 vectors:

$$v_1^T v_2 \xrightarrow{O(n)} v_1'^T v_2' = v_1^T M^T M v_2 = v_1^T v_2$$

also note that  $\det M = \pm 1$ .

Special orthogonal group  $SO(n) = \{R \in O(n) : \det R = +1\}$  gives  $\dim SO(n) = \dim O(n)$

Symplectic group  $S_p(2n, \mathbb{R}) = \{M \in GL(2n, \mathbb{R}) : M^T J M = J\}$  where for  $J$  look in notes as how to write  $\dim S_p(2n, \mathbb{R}) = n(2n + 1)$ . Antisymmetric form  $v_1^T J v_2 = -v_2^T J v_1$  is invariant.

### 3.1.4 Important subgroups of $GL(n, \mathbb{C})$

Unitary group  $U(n) = \{U \in GL(n, \mathbb{C}) : U^\dagger U = I\}$ . This preserves  $v_1^\dagger v_2$  which is important in quantum theories, and  $\det U = \pm 1$ ,  $\dim U(n) = n^2$ .

Special Unitary group  $SU(n) = \{U \in U(n) : \det U = +1\}$  gives  $\dim SU(n) = n^2 - 1$

Simplectic group  $S_p(2n, \mathbb{C}) = \{M \in GL(2n, \mathbb{C}) : M^T J M = J\}$

### 3.1.5 Psedo-orthogonal/unitary groups

$SO(n)$ ,  $SU(n)$  are examples of compact groups. The parameters vary over a finite range and the manifold has a finite volume. Defining a metric  $\eta$  write in later. The pseduo-orthogonal group  $O(n, m)$  is defined s.t.  $M^T \eta M = \eta$ . Invariant form is  $v_1^T \eta v_2$   $\dim O(n, m) = \dim O(n+m)$ . Pseduo unitary groups are similarly defined as  $U^\dagger \eta U = \eta$  with  $\dim U(n, m) = \dim U(n+m)$ . Pseudo groups are non-compact.

### 3.1.6 Examples of Lie groups

$SO(2) = \{R(\theta) = \dots$  End of lecture 3 start of lecture 4 need to get down examples

## 4 Lecture 4

### 4.1 Parameterisation

Choose some coordinates  $x \in \mathbb{R}^n$  on the manifold  $G$ . A lie group element is then  $g(x) \in G$   $x, y, z$  can all be thought of as different points.

Closure:  $g(z) = g(x)g(y)$  where  $z^r$  is some smooth  $f^n$  of  $x$  and  $y$ .  $z^r = \phi^r(x, y)$ . Choose origin to be the identity  $g(o) = e \implies \phi^r(x, o) = x^r$  and  $\phi^r(o, y) = y^r$ .

Exercise to show that there is a Taylor expansion s.t.

$$\phi^r(x, y) = x^r + y^r + c_{st}^r x^s y^t + O(x^2 y, y^2 x)$$

define  $g(\bar{x}) = g(x)^{-1} \phi^r(\bar{x}, x) = \phi^r(x, \bar{x}) = 0 \implies \bar{x}^r = -x^r + c_{st}^r x^s x^t + O(x^3)$

**Associativity:**  $\phi^r(x, \phi(y, z)) = \phi^r(\phi(x, y), z)$  If you specify a group then you specify these  $c_{st}^r$  and only ones that satisfy associativity are possible so must

have  $f_{st}^r = c_{st}^r c_{ts}^r$  which limits possible Lie groups.

#### 4.1.1 Lie Algebra

The Lie algebra  $L(G)$  is a group  $G$  is a tangent space to  $G$  at the identity  $e$ . The tangent space to  $G$  at the point  $p$ ,  $T_p(G)$  is the  $\dim G$  dimensional vector space spanned by the differential operators  $\{\frac{\partial}{\partial x^j}\} j \in \{1, \dots, \dim G\}$ .

Suppose  $f : G \rightarrow \mathbb{R}$  in a  $f^n$  on  $G$  and let  $V = V^i \frac{\partial}{\partial x^i} \in T_p(G)$ . The action of  $V$  on  $f$  is defined to be:

$$V(f) = V^i \frac{\partial f}{\partial x^i} \Big|_{x=p}$$

Consider a smooth curve on  $G$ ,  $C : \mathbb{R} \rightarrow G$  going through  $p$  at  $t = 0$  with  $x^i(0) = p$ . We associate a tangent vector  $V_c$  at  $p$ :

$$V_c = \frac{dx^i}{dt} \Big|_{t=0} \frac{\partial}{\partial x^i}$$

$$\text{NB } V_c(f) = \frac{dx^i}{dt} \Big|_{t=0} \frac{\partial f}{\partial x^i} \Big|_{x=p} = \frac{df}{dt} \Big|_{t=0}$$

#### 4.1.2 Examples

Lie algebra of  $SO(2)$ :

...Need to go through and type out these matrices.

Lie algebra of  $SO(n)$ :

Consider a curve  $M(t) \in SO(n)$  with  $M(0) \in I$

$$\frac{d}{dt}(M^T(t)M(t)) = \frac{d}{dt}(I) = 0 = M^T \dot{M} + \dot{M}^T M$$

$$\dot{M}(0) = -\dot{M}(0)^T$$

so  $L(SO(n)) = \{X : X^T + X = 0\}$ .  $\dim L(SO(n)) = \frac{1}{2}(n^2 - n)$  where  $1/2$  is from the antisymmetry and the  $-n$  is from the diagonal being 0.

Note that  $L(O(n)) = L(SO(n))$  because near  $e$   $O(n)$  matrix has  $\det M = +1$ .

Lie algebra of  $L(SU(n))$

Let  $M(t)$  be a curve in  $SU(n)$ ,  $M(0) = I$  with  $M(t) = I + tZ + O(t^2)$ .  $M^T M = I$  to 1st order in  $t$  which implies  $Z$  is anti-hermitian. To first order only the diagonal will contribute terms to the determinant:

$$\det M = (1 + tZ_{11})(1 + tZ_{22}) \dots (1 + tZ_{nn}) + O(t^2) = 1 + t \text{tr} Z + O(t^2)$$

so  $\det M = 1 \forall t \implies \text{tr} Z = 0$ . so  $L(SU(n))$  is the set of  $n \times n$  traceless anti-hermitian matrices.

Lie algebra is a vector space over a field  $F$  equipped with a Lie bracket

$$[,] : L(g) \times L(g) \rightarrow L(g)$$

s.t.

i)  $[X, Y] = -[Y, X]$

ii)  $[\alpha X + \beta Y, Z] = \alpha[X, Z] + \beta[Y, Z]$

iii)  $[X, [Y, Z] + [Y, [Z, X]] + [Z, [X, Y]] = 0$  (the jacobi identity)

where e.g.  $X = X^a$  where  $T_a \in T_e(G)$  with  $X^a$  now being parameters.

Must have  $X^a Y^b [T_a, T_b] = Z^c T_c$  but what is  $Z^x$  we will find that next lecture.

Consider a group element close to  $e$   $g(\theta)$  with  $\theta$  is infinitesimal.  $g(z + dz) = g(z)g(\theta) \implies z^r + dz^r = \phi^r(z, \theta)$ . Expand around  $\theta^a = 0 \implies dz^r = \theta^a \frac{\partial \phi^r}{\partial \theta^a} |_{\theta=0} = \theta^a \mu_a^r(z)$

## 5 Lecture 5

Consider  $g(z) = g(x)g(y)$  with fixed  $x$  and infinitesimally changed  $y$ .

$$g(z + dz) = g(x)g(y + dy) = g(z)g(\theta) = g(x)g(y)g(\theta)$$

$$dy^r = \theta^a \mu_a^r(z)$$

$\theta^a = dy^s \lambda_s^a(y)$  where  $\lambda(y)$  is the matrix inverse of  $\mu(y)$ . i.e  $\lambda_r^a(y) \mu_b^r = \delta_a^b$ . Substitute  $\theta^a$  in:

$$dz^r = \theta^a \mu_a^r(z) = dy^s \lambda_s^a(y) \mu_a^r(z)$$

$$\frac{dz^r}{dy^s} = \lambda_s^d(y) \mu_d^r(z) \quad (1)$$

$$T_a(y) = \mu_a^s \frac{\partial}{\partial y^s} = \mu_a^s(y) \frac{\partial z^r}{\partial y^s} \frac{\partial}{\partial z^r} = \mu_a^s(y) \lambda_s^d(y) \mu_d^r(z) \frac{\partial}{\partial z^r} = T_a(z)$$

$T_a$ s are a basis of left-invariant vector fields. The vector space spanned by  $L(G) = \{\theta^a T_a\}$  is closed under taking the Lie bracket - so defines the Lie algebra.

$$M_a^s(y) \mu_b^t(y) \frac{\partial^2 z^r}{\partial y^s \partial y^t} = \mu_a^s(y) \mu_b^t(y) \frac{\partial}{y^t} \frac{\partial z^r}{\partial y^s} = \mu_a^s(y) T_b(y) [\lambda_s^c(y) \mu_c^r(z)]$$

$$M_a^s(y) \mu_b^t(y) \frac{\partial^2 z^r}{\partial y^s \partial y^t} = \mu_a^s(y) [T_b(y) \lambda_s^c(y)] \mu_c^r(z) + T_b(z) \mu_a^r(z)$$

For any matrix  $X$ ,  $\delta X^{-1} = -X^{-1} \delta X X^{-1}$  so

$$T_b(y) \lambda_s^c(y) = -\lambda_s^d(y) [T_b(y) \mu_d^u(y)] \lambda_u^c(y)$$

therefore:

$$M_a^s(y) \mu_b^t(y) \frac{\partial^2 z^r}{\partial y^s \partial y^t} = -[T_b(y) \mu_a^u] \lambda_u^d \mu_d^r(z) + T_b(z) \mu_a^r(z)$$



multiply by  $\lambda_r^c$  and use the fact that the LHS is symmetric under  $a$  to  $b$ . This means:

$$T_b(y)\mu_a^r(y)\lambda_r^c(y) + T_a(y)\mu_b^r(y)\lambda_r^c(y) = T_b(z)\mu_a^r(z)\lambda_r^c(z) + T_a(z)\mu_b^r(z)\lambda_r^c(z)$$

Using separation of variables each side must be equal to a constant called structure constant of the Lie algebra:

$$f_{ab}^c = (T_a(y)\mu_b^r(y) - T_b(y)\mu_a^r(y))\lambda_r^c(y)$$

This is clearly anti-symmetric  $f_{ab}^c = -f_{ba}^c$ . As we can pick any  $g(x)$  these must be constant all over the group manifold  $G$ .

$$f_{ab}^c\mu_c^r(z) = T_a(z)\mu_b^r(z) - T_b(z)\mu_a^r(z)$$

Multiply by  $\frac{\partial}{\partial z^r}$  to get the Lie algebra of the group:

$$[T_a, T_b] = f_{ab}^c T_c \quad (2)$$

If multiply by  $X^a Y^b$  then we find  $Z^c = f_{ab}^c X^a Y^b$ . // The Jacobi identity:

$$[T_a, [T_b, T_c]] + [T_c, [T_a, T_b]] + [T_b, [T_c, T_a]] = 0$$

gives

$$f_{ad}^e f_{bc}^d + f_{bd}^e f_{ca}^d + f_{cd}^e f_{ab}^d = 0$$

**Example:**  $L(SU(2)) = 2 \times 2$  traceless anti-hermitian matrices of which the Pauli matrices  $\sigma_a$  can be used to give a basis as  $T_i = -i\frac{\sigma_a}{2}$ . Pauli matrices satisfy the following:

$$\sigma_a \sigma_b = \delta I + i\epsilon_{abc} \sigma_c$$

therefore

$$[T_a, T_b] = \frac{-1}{4} [\sigma_a, \sigma_b] = -\frac{i}{2} \epsilon_{abc} \sigma_c = \epsilon_{abc} T_c$$

so

$$f_{abc}^c = \epsilon_{abc}$$

**Example:**  $L(SO(3)) = 3 \times 3$  antisymmetric real matrices and pick three basis elements s.t.  $(T_a)_{bc} = -\epsilon_{abc}$  so

$$[T_a, T_b] = \epsilon_{abc} T_c$$

which is the same as in the  $L(SU(2))$  so the Lie Algebras are isomorphic ( $L(SU(2)) \approx L(SO(3))$ ).

**Isomorphic:** If there exists a 1:1 map s.t.  $f[X, Y] = [f(X), f(Y)]$

We have used maths conventions but we need to see the physics conventions.

$$T_a \in L(G) \rightarrow it_a$$

$$[T_a, T_b] = f_{ab}^c T_c \rightarrow [t_a, t_b] = if_{ab}^c t_c$$

$$\exp(\theta^a T_a) \in G \rightarrow \exp(i\theta t_a)$$

$$T_a^\dagger = -T_a \rightarrow t_a = t_a^\dagger$$

## 5.1 Lie Algebra - Lie Group relationship

Within this section  $\theta^a$  does not mean an infinitesimal parameters so need to change  $\theta^a \rightarrow X^a$ . Have  $\theta^a = X^a ds$ .

Take some element  $\theta^a T_a \in L(G)$  there exists a one-parameter subgroup of  $G$  corresponding to a path whose tangent at  $e$  is  $\theta^a T_a$ . This path has coordinates  $x^r(s)$ .

$$\frac{dx^r}{ds} = \theta^a \mu_a^r(x(s)), x^r(0) = 0$$

$$\frac{d}{ds} g(x(s)) = \frac{dx^r}{ds} \frac{\partial g(x)}{\partial x^r(s)} = \theta^a \mu_a^r(x(s)) \frac{\partial}{\partial x^r} g(x(s)) = \theta^a T_a g$$

Consider  $g(z) = g(x(t))g(x(s))$  so  $z^r = \phi^r(x(t), x(s))$ :

$$\frac{\partial z^r}{\partial s} = \frac{dx^u(s)}{ds} \frac{\partial z^r}{\partial x^u(s)} = \theta^a \mu_a^u(x(s)) \lambda_u^c(x(s)) \mu_b^r(z) = \theta^a \mu_a^r(z)$$

as  $z^r|_{s=0} = x^r(t)$  we get  $z^r = x^r(s+t)$ .

$$g(x(t))g(x(s)) = g(x(s+t))$$

so subgroup closes, is abelian and  $g(x(s))^{-1} = g(-s)$ . This expression is solved by

$$g(x(s)) = \exp(s\theta^a T_a) \quad (3)$$

so we can go from any element of the  $L(G)$  to an element of  $G$ .

## 6 Lecture 6

In general the image of the exponential isn't the whole group but rather the part connected to the identity. e.g.  $O(3)$  it is a disconnected group with disconnected pieces. It has the stuff that can be reached from  $e$  which all have  $\det M = +1$  but also have the set of  $\det M = -1$  (improper rotations). Improper rotations can't be expressed as  $e^X$  with real anti-symmetric matrix  $X$ . If  $X^a T_a$  are matrices  $M$  then we have  $\exp(sM) = \sum_{n=0}^{\infty} \frac{s^n M^n}{n!}$ . Apparently need to prove:

$$e^{sM+tM} = e^{tM} e^{sM}$$

$$e^{sM+tM} = \sum_{n=0}^{\infty} \frac{(s+t)^n M^n}{n!} = \sum_{n=0}^{\infty} \sum_{k=0}^n \binom{n}{k} s^{n-k} t^k \frac{M^n}{n!} = \sum_{n=0}^{\infty} \sum_{k=0}^n s^{n-k} \frac{M^{n-k}}{(n-k)!} \frac{M^k t^k}{k!}$$

$$= \left( \sum_{n'=n-k=0}^{\infty} \frac{s^{n'} M^{n'}}{n'!} \right) \left( \sum_{n=0}^{\infty} \frac{M^k t^k}{k!} \right) = e^{sM} e^{tM}$$

### 6.0.1 Baker-Campbell-Hausdorff (BCH) Formula

If we can express group elements as  $e^X$  where  $X \in L(G)$ , what about products? The BCH formula states:

$$e^{tX}e^{tY} = \exp(t(X+Y) + \frac{t^2}{2}[X,Y] + O(t^3) \times \text{nested brackets})$$

We know that all nested brackets will be in the Lie algebra. See example sheet 1.7.

## 6.1 Orbits

One-parameter subgroups are examples of orbits, these are defined for general groups which act on some space.  $X = \{x\}$ . The orbit of  $x$ ,  $O_x$  is the set of points obtained by the action of group  $G$  on the point  $x$ :

$$O_x = \{x' : x' = gx \forall g \in G\}$$

The orbit-stabiliser theorem states that  $O_x = G/G_x$  where  $G_x$  is the stabiliser group/little group. It is made up of the elements in  $G$  which leave  $x$  invariant.

$$G_x = \{h : h \in G, hx = x\}$$

For  $x' \in O_x$ ,  $G_{x'} \approx G_x$  since  $hx = x$  and  $x' = gx \implies h'x' = x' \text{ for } h' = ghg^{-1}$ .

## 6.2 Representations

A representation of a group  $G$  is a set of  $n \times n$  non-singular square matrices  $\{D(g) \in GL(n, F), g \in G\}$  such that by matrix multiplication they represent the group composition:

$$D(g_1)D(g_2) = D(g_1g_2) \forall g_1, g_2 \in G$$

A representation of the Lie Algebra is a set of  $n \times n$  matrices over  $F$   $\{d(X), X \in L(G)\}$  such that:

- a)  $[d(X_1), d(X_2)] = d([X_1, X_2]) \forall X_1, X_2 \in L(G)$
- b)  $d(\alpha X_1 + \beta X_2) = \alpha d(X_1) + \beta d(X_2) \forall \alpha, \beta \in F$  and  $X_1, X_2 \in L(G)$  (Linearity)

$D(g)$  and  $d(g)$  act on a vector space  $V$  called the  $n$ -dimensional representation space. The dimension of the representation is the dimension of the representation space  $n$ .

Note that there exists a direct relation between representations of  $G$  and representations of  $L(G)$ . If  $D$  is a representation of  $G$  (in general,  $n = \dim D \neq \dim G$ ) for each  $X \in L(G)$  we construct a curve:

$$C : t \rightarrow g(t) \text{ with } g(0) = I, \dot{g}(0) = X$$

and define  $d(X) = \frac{d}{dt} D(g(t))|_{t=0}$  giving an  $n \times n$  matrix over the field  $F$ . Can prove that  $d(X)$  is a representation of  $L(G)$ .

Let  $X_1, X_2 \in L(G)$  and construct curves  $i \in \{1, 2\}$

$$C_i : t \rightarrow g_i(t), g_i(0) = I, \dot{g}_i(0) = X_i$$

put  $h(t) = [g_1(t), g_2(t)]$ . Neglecting  $O(t^3)$  terms so  $h(t) = I + h_1 t + h_2 t^2$ ,  $g_i(t) = I + X_i t + W_i t^2$ . Expand commutator (half a page of working worth doing at least once)

$$g_2 g_1 h = g_1 g_2 \implies h_1 = 0, h_2 = [X_1, X_2]$$

$$D(h) = D(I + t^2[X_1, X_2] + \dots) = D(I) + t^2 \left[ \frac{d}{dt} D(h(t))|_{t=0} + \dots \right] = I + t^2 d([X_1, X_2]) + \dots = D(g_1)^{-1} D(g_2)^{-1} D(g_1) D(g_2)$$

$$D(g_i) = I + t d(X_i) + t^2 B_i + \dots$$

$$D(g_i^{-1}) = I - t d(X_i) + t^2 [d(X_i)^2 - B_i] + \dots$$

Therefore

$$D(h) = I + t^2 [d(X_1), d(X_2)] + \dots$$

so by comparing the order  $t^2$  terms between lines we have  $d([X_1, X_2]) = [d(X_1), d(X_2)]$  and as it is matrix linearity is automatic. Conversely, given a representation of  $G$ , we define  $D(g = \exp X) = \exp(d(X))$ . Prove that  $D$  is a representation of  $\text{Im exp}(d(X))$ .

$D(g)$  is non-singular for all  $g \in G$ . Suppose  $g_1 = e^{X_1}, g_2 = e^{X_2} \in \text{Im exp}(L(G))$

$$D(g_1 g_2) = \exp(d(X_1 + X_2 + \frac{1}{2}[X_1, X_2] + \dots)) = \exp(d(X_1) + d(X_2) + \frac{1}{2}d([X_1, X_2]) + \dots) = e^{d(X_1)} e^{d(X_2)}$$

## 7 Lecture 7

We often say that " $\phi$  is in the fundamental representation" when we strictly mean in the representation space. For a different group there can be different representations of different sizes of  $G$  and  $L(G)$  with different dimensions. Each comes with its associated representation of  $G$  via the exponential map. **Examples**  $d(X) = O_n \implies D(g) = I_{n \times n} \forall g \in G$  this is called the trivial/singlet representation.

If  $G$  is a matrix group defined in terms of  $n \times n$  matrices. Then  $D(g) = g$  is called the fundamental representation. For the  $L(SO(n))$ ,  $d(X)$  is in the space of real, anti-symmetric matrices.  $L(SU(n))$ ,  $d(X)$  gives anti-hermitian  $n \times n$  matrices.

Every group  $G$  has an adjoint representation which plays a special role in some sense it's the natural representation on  $L(G)$ :

$$D_{Ad}(g)X = Ad_g(X) = gXg^{-1} \forall g \in G, X \in L(G)$$

It is a representation since  $Ad_{(g_1, g_2)}X = g_1 g_2 X (g_1 g_2)^{-1} = g_1 g_2 X g_2^{-1} g_1^{-1} = Ad_{g_1}(Ad_{g_2}(X))$ . Claim that  $gXg^{-1} \in L(G)$  so it closes. Proof:

There exists a curve  $g(t) = I + tX + \dots$  in  $G$  with tangent  $X$  at  $t = 0$ . Then the new curve  $\tilde{g}(t) = gg'(t)g^{-1}$  is another curve in the  $G$ . Substitute in  $g(t)$  gives  $\tilde{g}(t) = I + tgXg^{-1}$  which has tangent  $gXg^{-1}$  at  $t = 0$  so  $gXg^{-1} \in L(G)$ . So the representation space of the adjoint rep is  $L(G)$ . The adjoint representation of the Lie algebra is:

$$d_{Adj}(X) = ad_X \forall X \in L(G)$$

where  $ad_X(Y) = [X, Y] \forall Y \in L(G)$ . Lets choose a basis for the lie algebra  $B = \{T^a\}$ ,  $a = 1, \dots, \dim G$  for  $L(G)$ ,  $X = X^a T_a$ ,  $Y = Y^a T_a$ .

$$\begin{aligned} [ad_X(Y)]^c &= [X, Y]^c = X^a Y^b [T_a, T_b]^c = X^a Y^b f_{ab}^c = [d_{Adj}(X)]_b^c Y^b \\ [d_{Adj}(X)]_b^c &= X^a f_{ab}^c \end{aligned}$$

this is a  $\dim G \times \dim G$  matrix. Need to check this is a representation of the Lie algebra:

$$[d_{Adj}, d_{Adj}](z) = (ad_X ad_Y - ad_Y ad_X)(z) = [X, [Y, Z]] - [Y, [X, Z]] = [[X, Y], Z] = ad_{[X, Y]}(z) = d_{Adj}([X, Y])(z)$$

**Example**  $L(SU(2))$  has  $[T_a, T_b] = \epsilon_{abc} T_c$ ,  $a, b, c \in \{1, 2, 3\}$

$$f_{ab}^c = \epsilon_{abc}$$

$$[d_{Adj}(X)]_b^c = X^a f_{ab}^c = X^a \epsilon_{abc}$$

We put this equal to  $X^a$  times adjoint representation basis matrices  $T_a^{ad}$ . i.e.  $(T_a^{ad})^c_b = -\epsilon_{abc}$ . We already saw this as the fundamental representation of  $L(SO(3))$ .

If two  $n$ -dimensional representations  $D(g), D'(g)$  or  $d(X), d'(X)$  are related by the following:

$$D(g) = S D'(g) S^{-1} \forall g \in G$$

and an  $n \times n$  invertible matrix  $S$ . Then the two representations are said to be isomorphic/equivalent. As this is just like changing the basis of the representation space.

**Example:**  $SO(3)$  invariant field theory

So for an internal symmetry the representation space might be composed of fields. Lets take a theory of three scalar fields  $\phi$  (three column vector with each element a scalar field  $\phi_i$ ) and  $G = SO(3)$ . Want to say the theory is invariant with respect to mixing up these three fields. Here  $D(g)$  is the fundamental representation, if we set it equal to  $R_{ij}$  (the three dimensional rotation for group examples eariler I haven't typed up yet). If  $S = \int d^4x \mathfrak{L}$  is invariant under  $\phi \rightarrow D(g)\phi$ . This implies that  $\phi^T \rightarrow \phi^T D(g)^T = \phi^T D(g^{-1})$ . Note that

$\phi^T \phi \rightarrow^{SO(3)} \phi^T D(g)^{-1} D(g) \phi = \phi^T \phi$  so  $\phi^T \phi$  is invariant under  $SO(3)$ . So therefore the following is  $SO(3)$  invariant:

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi^T)(\partial^\mu \phi) - \frac{m^2}{2}\phi^T \phi - \lambda(\phi^T \phi)^2$$

As  $g$  doesn't depend on  $x$  or  $t$  it's a global symmetry, if it did then we would have trouble with the first term as we have the derivatives knocking around. Also the symmetry has constrained the 3 masses to be the same and if we expanded out the last term we would have lots of quartic terms with the same pre multiplier so the interactions are also "coupled".

If we change  $\phi = (\phi_1, \dots, \phi_n)$  (pretend this is a column) with  $n$  being a valid representation of  $SO(3)$ .  $D(g)$  becomes an  $n \times n$  matrix but the expression for the  $SO(3)$  invariant lagrangian remains the same.

## 7.1 Making new representations from old ones

Take  $D(g)$  and  $d(g)$  (in space  $V$ ) as a representation of  $G$  and  $L(G)$  respectively then the complex conjugates  $D(g)^*$  and  $d(g)^*$  (in space  $V^*$ ) are also representations. These are called the conjugate representations and come with an associated conjugate representation space. If a representation is equal to its conjugate rep, then it is called a real representation (e.g.  $SO(3)$ ). If the two representations are isomorphic  $D(g) \approx D(g)^*$  but not equal  $D(g) \neq D(g)^*$  it is called pseudo-real. In this case we have that  $\bar{V} = SV$

Can also combine representations in a couple of ways to make new ones. Take representations of the Lie Algebra  $L(G)$   $d_1, d_2$  with dimensions  $n_1, n_2$  and representation spaces  $V_1, V_2$ .

**Direct sum:**  $d_1 \oplus d_2 = d_1(X) \oplus d_2(X)$

$\forall X \in L(G)$  This is a representation of  $L(G)$  prove on example sheet. The representation space is  $V_1 \oplus V_2$

$V_2$  so  $\dim(d_1 \oplus d_2)$  is  $n_1 + n_2$ . The block diagonal structure survives exp so  $D_1(g) \oplus D_2(g)$  is defined similarly.

A representation  $d(X)$  of  $L(G)$  and its representation space  $V$  have an invariant subspace  $U < V$  (excluding trivial case  $U = V$  and  $U = 0$ ) if  $d(X)_U \in U \forall X \in L(G)$  and  $u \in U$ . Important because an exp some of representation space will be untouched by group operations:  $D(g)u = u \forall g \in G, u \in U$ .

An irreducible representation (irrep) of  $L(G)$  has no non-trivial invariant subspaces.

## 8 Lecture 8

A representation is totally reducible if it can be decomposed into irreducible pieces via the direct sum

$$V = U_1 \oplus U_2 \oplus \dots \oplus U_k \text{ s.t. } D(G)U_i = U_i$$

and  $D(G)$  restricted to  $U_i$  is an irrep. In matrix language this means that there exists a basis  $V$  s.t. simultaneously such that for all  $g$ ,  $d(g)$  is block-diagonalisable. so  $d(g) = \oplus_{i=1}^k d_k(g)$  (and could replace  $d$  by  $D$  for analogous result). Only states in each  $U_i$  are related by  $G$  and therefore have similar properties. States in  $U_i$  are not related to those in  $U_{j \neq i}$ . If e.g.  $G$  acts on a Hilbert space  $V$  of all physical states only those within an irreducible subspace  $U_i$  have similar properties.

### 8.1 Symmetries in Quantum Mechanics

Consider a quantum mechanical system with energy levels  $E_0 < E_1 < \dots$  for a hamiltonian operator  $\hat{H}$ . The states of the system are elements of a Hilbert space  $H = \oplus_{n \geq 0} H_n$  where  $\hat{H}|\psi\rangle = E_n|\psi\rangle \forall |\psi\rangle \in H_n$ . So a symmetry transformation  $|\psi\rangle \rightarrow |\psi'\rangle = \hat{U}|\psi\rangle$  where  $\hat{U} : H \rightarrow H$  is a unitary operation s.t.  $\hat{U}\hat{H}\hat{U}^\dagger = \hat{H}$ . Under a symmetry transformation the inner product is preserved.

$$(\langle\psi'| |\phi'\rangle = \langle\psi| |\phi\rangle)$$

and the energy is conserved. A **conserved quantity** is an observable  $\hat{I} = \hat{I}^\dagger$  such that  $[\hat{I}, \hat{H}] = 0$ . Then  $\hat{U} = \exp(is\hat{I})$ ,  $s \in \mathbb{R}$  is a symmetry transformation.

If we have a maximal set of linearly independent "conserved quantities"  $\{I^a \text{ s.t. } [\hat{I}^a, \hat{H}] = 0, a = 1, \dots, d\}$  then define (real Lie Algebra):  $L_{\mathbb{R}}(G) = \text{span}_{\mathbb{R}}\{iI^a, a = 1, \dots, d\}$  is a real Lie algebra with Lie bracket is  $[\hat{I}^a, \hat{I}^b]$ . If we consider all symmetry transformations of the form  $\hat{U} = \exp(\hat{X})$   $\hat{X} \in L_{\mathbb{R}}(G)$  then  $\{\hat{U}\}$  forms a compact Lie group  $G$  (compact as it is the product of unitary groups).

As  $[\hat{X}, \hat{H}] = 0 \forall \hat{X} \in L_{\mathbb{R}}(G)$  the  $H_n$  are invariant under action of  $G$ .

Each  $H_n$  carries a representation  $D_n$  of  $G$  with associated  $d_n$  of  $L_{\text{mathbb{R}}}(G)$  such that  $D_n(\hat{U}) = \exp(d_n(\hat{X})) \in GL(\mathbb{C}, \dim H_n)$ . If the transformation preserves the inner product, Wigner showed it must either be unitary or anti-unitary (which isn't interesting to us in this course). Unitary ones are where:

$$D_n(\hat{U})^{-1} = D_n(\hat{U})^\dagger \iff d_n(\hat{X})^\dagger = -d_n(\hat{X})$$

#### Theorem

A finite-dimensional unitary representation is totally reducible (proved on exercise sheet 2).

## 8.2 Second way of combining representations - Tensor product

$d_1, d_2 \in L(G)$ , the tensor product is written  $d_1 \otimes d_2$  and acts on  $V_1 \otimes V_2 = \{v_1 \otimes v_2, v_1 \in V_1, v_2 \in V_2\}$  such that

$$(d_1 \otimes d_2)(X)(v_1 \otimes v_2) = (d_1(X)v_1) \otimes v_2 + v_1 \otimes (d_2(X)v_2) \forall X \in L(G)$$

Choosing bases  $B_1 = \{v_1^j\} j = 1, \dots, n_1$  for  $V_1$  and  $B_2 = \{v_2^j\} j = 1, \dots, n_2$  for  $V_2$ . We can define a basis for  $V_1 \otimes V_2$  as  $B_{1 \otimes 2} = B_1 \otimes B_2 = \{v_1^j \otimes v_2^\alpha, j = 1, \dots, n_1, \alpha = 1, \dots, n_2\}$ . Let  $\omega \in V_1 \otimes V_2$  with components  $\omega_{j\alpha}$  in  $B_{1 \otimes 2}$ . So then we write the tensor product of the representations of the Lie Algebra  $(d_1 \otimes d_2)(X)$  in terms of its components

$$(d_1 \otimes d_2)(X)_{i\alpha, j\beta} = d_1(X)_{ij} \delta_{\alpha\beta} + \delta_{ij} d_2(X)_{\alpha\beta}$$

If this is going to be a valid representation of the Lie Algebra you need to show linearity and

$$(d_1 \otimes d_2)(X)([X, Y]) = [(d_1 \otimes d_2)(X), (d_1 \otimes d_2)(Y)] \forall X, Y \in L(G)$$

show this on exercise sheet 2. There is a lemma to the theorem above: if  $d_1, d_2$  are unitary and finite dimensional then the tensor product  $d_1 \otimes d_2 = \oplus_i \tilde{d}_i$  for irreps  $\tilde{d}_i$ .

We can denote the representation space of a tensor product with objects with several indices (multi-index objects). As an example: in  $SO(3)$   $(3 \otimes 3) = T_{ij}$  where  $i, j \in \{1, 2, 3\}$ . As these are free indices under an  $SO(3)$  transformation you get a factor  $R$  in the following sense:

$$T_{ij} \xrightarrow{SO(3)} R_{ik} R_{jl} T_{kl} \text{ where } R \in SO(3)$$

If you think about this more carefully there is an invariant subspace

$$T_{ii} = \delta_{ki} \delta_{li} T_{kl} \xrightarrow{SO(3)} R_{ik} R_{jl} \delta_{ki} \delta_{li} T_{kl} = (R^T R)_{kl} T_{kl} = \delta_{kl} T_{kl} = T_{ii}$$

so  $T_{ii}$  is an invariant subspace of the tensor product and is the singlet 1. Let  $\phi = \frac{1}{3} \delta_{ij} T_{ij}$  be an irreducible representation in terms of the tensor  $T_{ij}$ . A special role is played by  $\delta_{ij} \xrightarrow{SO(3)} R_{ik} R_{jl} \delta_{kl} = R_{ik} R_{jk} = \delta_{ij}$  which is an invariant tensor of  $SO(3)$ . There is another invariant tensor  $\epsilon_{ijk} \xrightarrow{SO(3)} R_{il} R_{jm} R_{kn} \epsilon_{lmn} = \det(R) \epsilon_{ijk} = \epsilon_{ijk}$ . You can build up more complicated ones by products and sums. e.g.

$$v_k = \epsilon_{ijk} T_{ij} \xrightarrow{SO(3)} R_{il} R_{jm} R_{kn} R_{io} R_{jp} \epsilon_{lmn} T_{op} = \delta_{lo} \delta_{mp} T_{op} R_{kn} \epsilon_{lmn} = R_{kn} \epsilon_{lmn} T_{lm} = R_{kn} v_n$$

So this is an invariant subspace  $v_k$  is an irrep. Lastly note that

$$T_{(ij)} = \frac{1}{2} (T_{ij} + T_{ji}) = T_{kl} \left( \frac{\delta_{ki} \delta_{lj} + \delta_{kj} \delta_{li}}{2} \right) \xrightarrow{SO(3)} R_{kx} R_{ly} T_{xy} \left( \frac{\delta_{xi} \delta_{ly} + \delta_{lx} \delta_{yi}}{2} \right) = R_{ix} R_{jy} T_{(xy)} = T_{(ij)}$$



So the traceless part  $S_{ij} = T_{(ij)} - \frac{1}{3}\delta_{ij}T_{kk}$  is an invariant subspace and is 5 dimensional.

We can decompose our tensor:

$$T_{ij} = S_{ij} + \epsilon_{ijk}v_k + \frac{1}{3}\delta_{ij}\phi$$

$$3 \otimes 3 = 5 \oplus 3 \oplus 1$$

As all irreps so could use them to build an invariant Lagrangian e.g. using  $S_{ij}$  all you have to do is sum over the indicies

$$\mathcal{L} = \frac{1}{2}\partial_\mu S_{ij}\partial^\mu S^{ij} - \frac{m^2}{2}S_{ij}S_{ij} - \lambda(S_{ij}S_{ij})^2$$