Arguing about voting rules

Olivier Cailloux 1 Ulle Endriss 2

¹LAMSADE, Paris Dauphine

²ILLC, University of Amsterdam

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https://github.com/oliviercailloux/Arguing-about-voting-rules







Outline

- Context
- 2 Two examples
- Arguing for Borda
- 4 Goal: Build argumentative and adaptative recommender systems

Introduction

Context

- Voting rule: a systematic way of aggregating different opinions and decide
- Multiple reasonable ways of doing this
- Different voting rules have different interesting properties
- None satisfy all desirable properties
- Some are more reasonable than others

Our goal

We want to easily communicate about strength and weaknesses of voting rules.

Voting rule

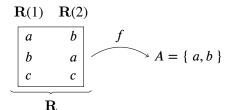
Alternatives
$$\mathcal{A} = \{a, b, c, d, ...\}$$

Possible voters $\mathcal{N} = \{1, 2, ...\}$

Voters $\emptyset \subset N \subset \mathcal{N}$

Profile function \mathbf{R} from N to linear orders on \mathcal{A} .

Voting rule function f mapping each \mathbf{R} to winners $\emptyset \subset A \subseteq \mathscr{A}$.



Borda

Given a profile \mathbf{R} :

- count the score of each alternative;
- the highest scores win.
- Score of $a \in \mathcal{A}$ is the number of alternatives it beats.

$$\mathbf{R} = \begin{pmatrix} a & b & b \\ d & c & a \\ c & a & c \\ b & d & d \end{pmatrix}.$$

score a is...?

Borda

Context

Given a profile \mathbf{R} :

- count the score of each alternative:
- the highest scores win.
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$$\mathbf{R} = \begin{array}{cccc} a & b & b \\ d & c & a \\ c & a & c \\ b & d & d \end{array}.$$

- score *a* is...? 3 + 1 + 2 = 6
- score *b* is 0 + 3 + 3 = 6
- score c is 1 + 2 + 1 = 4
- score *d* is 2 + 0 + 0 = 2

Winners are $\{a, b\}$.

Condorcet's principle

Condorcet's principle

We ought to take the Condorcet winner as sole winner if it exists.

- a beats b iff more than half the voters prefer a to b.
- a is a Condorcet winner iff a beats every other alternatives.

$$\mathbf{R} = \begin{pmatrix} a & b & b \\ d & c & a \\ c & a & c \\ b & d & d \end{pmatrix}$$
. Who wins

Condorcet's principle

Condorcet's principle

We ought to take the Condorcet winner as sole winner if it exists.

Two voting rules

- a beats b iff more than half the voters prefer a to b.
- a is a Condorcet winner iff a beats every other alternatives.

$$\mathbf{R} = \begin{pmatrix} a & b & b \\ d & c & a \\ c & a & c \end{pmatrix}$$
. Who wins? b

How are voting rules analyzed?

- Examples featuring counter-intuitive results for some voting rules.
- Properties of voting rules, e.g. Borda does not satisfy Condorcet's principle.
- Axiomatization of a voting rule: accepting such principles lead to a unique voting rule.

Our objective

- Different voting rules
- Arguments in favor or against rules
- Dispersed in the literature
- Using mathematical formalism

We propose

- Common language
- Instantiate arguments on concrete examples

Goal: help understand strengths and weaknesses of given rules.

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Example

Who should win?

Voter 1: a > b > c

Voter 2: a > b > c

Voter 3: c > b > a

- Veto rule chooses b
- Borda rule chooses a

Voter 1: a > b > cVoter 2: a > b > cVoter 3: c > b > a

System: Take the *red subprofile*. Here, *a should* [unanimity]

win, right?

User: Obviously!

System: Now consider the *green subprofile*. For [cancellation]

symmetry reasons, there should be a three-

way tie, right?

User: Sounds reasonable.

System: So, as there was a three-way tie for the [reinforcement]

green part, the red part should decide the

overall winner, right?

User: Yes.

System: To summarise, you agree that a should win.

Language

We use propositional logic (with connectives \neg , \lor , \land , \rightarrow).

Atoms

- One atom for each (\mathbf{R}, A) , $\emptyset \subset A \subseteq \mathcal{A}$.
- An atom talks about assigning winners A to \mathbf{R} .
- Written $[\mathbf{R} \longmapsto A]$.

Semantics

Semantics v_f , given a voting rule f:

$$v_f([\mathbf{R} \longmapsto A]) = T \text{ iff } f(\mathbf{R}) = A.$$

Dominance I-axiom

Dom

L-axiom Dom: for each \mathbf{R} ,

$$[\mathbf{R} \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \mathcal{P}_{\varnothing}(U_{\mathbf{R}})],$$

with $U_{\mathbf{R}}$ the set of alternatives in \mathbf{R} that are not dominated.

Sym

$$[\mathbf{R} \longmapsto \mathscr{A}].$$

$$\mathbf{R}_1 = egin{array}{ccc} a & c \\ b & b \end{array}$$
 , constraints? $f(\mathbf{R}_1) = c & a \end{array}$

Sym

$$[\mathbf{R} \longmapsto \mathscr{A}].$$

$$\mathbf{R}_1 = \begin{array}{ccc} a & c \\ b & b \end{array} \text{, constraints? } f(\mathbf{R}_1) = \mathcal{A} = \{\ a,b,c\ \} \ .$$

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$$\mathbf{R}_1 = \begin{array}{ccc} a & c \\ b & b \end{array} \text{, constraints? } f(\mathbf{R}_1) = \mathcal{A} = \{\ a,b,c\ \} \ .$$

$$\mathbf{R}_2 = \begin{array}{ccc} a & b \\ b & a \text{ , constraints?} \\ c & c \end{array}$$

Sym

$$[\mathbf{R} \longmapsto \mathscr{A}].$$

$$\mathbf{R}_1 = \begin{array}{ccc} a & c \\ b & b \end{array} \text{, constraints? } f(\mathbf{R}_1) = \mathcal{A} = \{\ a,b,c\ \} \ .$$

$$\mathbf{R}_2 = egin{array}{ccc} a & b \\ b & a \end{array}$$
 , constraints? None. $c & c$

Reinforcement axiom

Classical reinforcement axiom: consider \mathbf{R}_1 , \mathbf{R}_2 ,

- having winners A_1 , A_2 ,
- with $A_1 \cap A_2 \neq \emptyset$;

then winners in $\mathbf{R}_1 + \mathbf{R}_2$ must be $A_1 \cap A_2$.

Example

$$\mathbf{R}_1 = \begin{array}{ccc} a & b \\ b & a \end{array}, A_1 = \left\{ \left. a, b \right. \right\},$$

Reinforcement axiom

Classical reinforcement axiom: consider ${f R}_1$, ${f R}_2$,

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REINF

For each $\mathbf{R}_1, \mathbf{R}_2, A_1, A_2 \subseteq \mathcal{A}, A_1 \cap A_2 \neq \emptyset$:

$$([\mathbf{R}_1 \longmapsto A_1] \wedge [\mathbf{R}_2 \longmapsto A_2]) \rightarrow [\mathbf{R}_1 + \mathbf{R}_2 \longmapsto A_1 \cap A_2].$$

A simple argument

Claim

•
$$\mathbf{R} = \begin{pmatrix} a & b & a & c \\ b & c & b & b \\ c & a & c & a \end{pmatrix}$$

• $J = \{ \mathsf{DOM}, \mathsf{SYM}, \mathsf{REINF} \}.$

Consider:

We can prove that for f compliant with J:

$$[\mathbf{R} \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \{\{a\}, \{b\}, \{a,b\}\}].$$

See how?

A simple argument

Claim

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$$\mathbf{R} = \begin{pmatrix} a & b & a & c \\ b & c & b & b \\ c & a & c & a \end{pmatrix}$$
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Consider:

We can prove that for f compliant with J:

$$[\mathbf{R} \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \{\{a\}, \{b\}, \{a,b\}\}].$$

See how? Consider
$$\mathbf{R}_D = \begin{pmatrix} a & b & a & c \\ b & c & \mathbf{R}_S = \begin{pmatrix} b & b & \mathbf{R} & \mathbf{R}_D + \mathbf{R}_S \\ c & a & c & a \end{pmatrix}$$

Example proof

- **1** [R_D $\stackrel{\textbf{ ext{\leftiles}}}{\longleftrightarrow}$ { { a } , { b } , { a, b } }] (DOM)
- $((1) \land (2)) \rightarrow [\mathbf{R} \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \{ \{ a \}, \{ b \}, \{ a, b \} \}]$ (REINF-SETS)

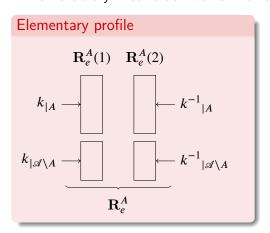
- Arguing for Borda

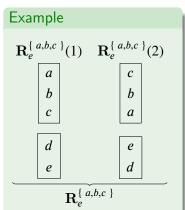
Write f_B for the Borda rule.

- We want to produce an argument justifying Borda's output.
- Given \mathbf{R} , we want an argument with claim $[\mathbf{R} \longmapsto f_B(\mathbf{R})]$.
- Basis: Young (1974)'s axiomatization of the Borda rule.
- Our l-axiomatization uses three simple profile types plus REINF.

L-axiomatization

Fix an arbitrary linear order k on \mathscr{A} . Given $A \subseteq \mathscr{A}$, define \mathbf{R}_{ρ}^{A} .





Arguing for Borda

Cyclic profiles

Given S a complete cycle in \mathcal{A} , define \mathbf{R}_c^S .

Cyclic profile

 \mathbf{R}_c^S is the profile composed by all $|\mathscr{A}|$ possible linearizations of S as preference orderings.

$$\mathbf{R}_{c}^{\langle a,b,c,d\rangle} = \begin{array}{cccc} a & b & c & d \\ b & c & d & a \\ c & d & a & b & c \end{array}$$

Borda I-axiomatization

L-axiomatization

ELEM for all $A: [\mathbf{R}_{\varrho}^A \longmapsto A]$.

CYCL for all $S: [\mathbf{R}_c^S \longmapsto \mathcal{A}].$

REINF as previously but generalized to any number of summed profiles.

CANC cancellation: when all pairs of alternatives (a, b) in a profile are such that a is preferred to b as many times as b to a, the set of winners must be \mathcal{A} .

An example

Consider $\mathcal{A} = \{a, b, c, d\}$ and a profile **R** defined as:

$$\mathbf{R} = \begin{array}{ccc} a & c \\ b & b \\ d & a \\ c & d \end{array}$$

An example

Consider $\mathcal{A} = \{a, b, c, d\}$ and a profile **R** defined as:

$$\mathbf{R} = \begin{pmatrix} a & c \\ b & b \\ d & a \\ c & d \end{pmatrix}.$$

We want to justify that $f_B(\mathbf{R}) = \{ a, b \}.$

Sketch

L-axiomatization

- Consider any $\mathbf{R}' = q_1 \mathbf{R}_{\rho}^{a,b} + q_2 \mathbf{R}_{\rho}^{a,b,c} + \sum_{S \in S} q_S \mathbf{R}_{S}^{S}$, $q_1, q_2, q_S \in \mathbb{N}, S$ some set of cycles.
- In \mathbf{R}' , $W = \{a, b\}$ must win.
- Find $k \in \mathbb{N}$ such that $\overline{kR} + R'$ cancel.
- Then $k\mathbf{R}$ has winners W. (Skipping details.)
- Then \mathbf{R} has winners W.

Our task: find \mathbf{R}' a combination of elementary and cyclic profiles such that $k\mathbf{R} + \mathbf{R}'$ cancel

Good news: this is always possible.

L-axiomatization

Define
$$\mathbf{R}' = \mathbf{R}_e^{a,b} + 2\mathbf{R}_e^{a,b,c} + \mathbf{R}_c^{\langle c,b,a,d \rangle} + \mathbf{R}_c^{\langle b,d,c,a \rangle}$$
.

- **5** [$\mathbf{R}' \longmapsto \{a, b\}$] (REINF, 1, 2, 3, 4)
- 6 $[4\mathbf{R} + 4\mathbf{R} \longmapsto \mathcal{A}]$ (CANC)
- $\mathbf{8} \ [\overline{\mathbf{4R}} + \mathbf{R}' \longmapsto \mathcal{A}] \ (CANC)$
- 9 [4R \mapsto { a, b }] (REINF, 7, 8)
- \bigcirc [R \longmapsto { a, b }] (REINF, 9)

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Counter-argument against Borda

Counter-argument against Borda

Not Condorcet-consistent!

Example

$$\mathbf{R} = \begin{pmatrix} a & b & b \\ d & c & a \\ c & a & c \\ b & d & d \end{pmatrix}.$$

- Argument against Borda: use a COND I-axiom
- Counter-argue with FvsC.

General goal

- Recommend complex objects
- Recommend and argue

Complex objects

- Voting rule
- Planning
- Strategy (game, negociation, ...)
- Travel itinerary

Multi-level argumentation:

- NOT persuasion
- NOT predicting the natural user choice

Conclusion

- A language to express desirable properties of voting rules.
- We can then instanciate concrete arguments (example-based).
- May render some arguments in the specialized literature accessible to non experts.
- Extensions may permit to debate about voting rules.
- Provides a way to study appreciation of arguments.

Thank you for your attention!

- Dominance: if a dominates b in \mathbf{R} , then b may not win.
- We want a language to express this kind of axioms.

- Now: "translate" axioms into language-axioms.
- An *l-axiom* is a set of formulæ.

Shortcut notations

 $\mathcal{P}_{\varnothing}(\mathscr{A})$ the set of subsets of \mathscr{A} , excluding the empty set.

Let $\alpha \subseteq \mathcal{P}_{\varnothing}(\mathscr{A})$ be a set of possible winning alternatives.

Uni-profile clause

 $[\mathbf{R} \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \alpha]$ shortcut for:

$$\bigvee_{A \in \alpha} [\mathbf{R} \longmapsto A].$$

- Intuitive content.
- Called a uni-profile clause.

Domain knowledge

- We need some formulæ encoding the voting rule concept.
- Define κ as the set of all those formulæ.

Domain knowledge κ

① a voting rule can't select more than one set of winners: for all \mathbf{R} and all $\emptyset \subset A \neq B \subseteq \mathscr{A}$,

$$[\mathbf{R} \longmapsto A] \wedge [\mathbf{R} \longmapsto B] \rightarrow \bot.$$

 ${f 2}$ a voting rule must select at least one set of winners: for all ${f R},$

$$[\mathbf{R} \stackrel{\boldsymbol{\longleftarrow}}{\longmapsto} \mathcal{P}_{\emptyset}(\mathcal{A})].$$

Fishburn-against-Condorcet argument

Fishburn (1974, p. 544) argument against the Condorcet principle (see also http://rangevoting.org/FishburnAntiC.html).

Condorcet winner

 $w \ VS \ \mu, \mu \in \{a, ..., h\}$?

	nb voters						
	31	19	10	10	10	21	
1	а	а	f	g	h	h	
2	b	b	w	w	w	g	
3	c	c	a	a	a	f	
4	d	d	h	h	f	w	
5	e	e	g	f	g	a	
6	w	f	e	e	e	e	
7	g	g	d	d	d	d	
8	h	h	c	c	c	c	
9	f	w	b	b	b	b	

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Condorcet winner

 $w \text{ VS } \mu, \mu \in \{a, \dots, h\}$? 51/101

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4	d	d	h	h	f	w	
5	e	e	g	f	g	a	
6	w	f	e	e	e	e	
7	g	g	d	d	d	d	
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4	d	d	h	h	f	w	
5	e	e	g	f	g	a	
6	w	f	e	e	e	e	
7	g	g	d	d	d	d	
8	h	h	c	c	c	c	
9	f	w	b	b	b	b	

ranks

Fishburn-versus-Condorcet I-axiom

Define \mathbf{R}_F the profile shown in the previous slide.

Fishburn-versus-Condorcet

The Fishburn-versus-Condorcet I-axiom FvsC is defined as:

$$[\mathbf{R}_F \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \mathcal{P}_{\varnothing}(\mathcal{A} \setminus \{ \ w \ \})].$$

L-axiomatization

An I-axiomatization is a set of I-axioms.

Conforming to J

The rule f conforms to the l-axiomatization J iff v_f assigns the value T to all formulæ in j, for all $j \in J$.

An I-axiomatization is consistent iff there exists a voting rule conformant to it.

Arguments

Argument

An argument grounded on J is a pair (claim, proof),

- \bullet J an l-axiomatization,
- claim a uni-profile clause (thus of the form $[\mathbf{R} \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \alpha]$),
- ullet proof a natural deduction proof of the claim grounded on J.
- The argument shows that for all voting rules f conformant to J, $f(\mathbf{R})$ selects a set of winners among α .
- The argument claims that it is only reasonable to choose the winners among α for \mathbf{R} (provided J is accepted).
- Consistent arguments require a consistent l-axiomatization.

Example proof

Example shortened

Tweak I-axioms to skip steps which will seem intuitive to humans.

Reinforcement-sets

$$\begin{split} \text{For each } \mathbf{R}_1, \ \mathbf{R}_2, \ \alpha_1, \alpha_2 \subseteq \mathcal{P}_{\varnothing}(\mathscr{A}), \ _{\cap \mathcal{Q} \neq \varnothing, \mathcal{Q} \in \alpha_1 \times \alpha_2} \\ ([\mathbf{R}_1 \overset{\longleftarrow}{\longmapsto} \alpha_1] \land [\mathbf{R}_2 \overset{\longleftarrow}{\longmapsto} \alpha_2]) \rightarrow [\mathbf{R}_1 + \mathbf{R}_2 \overset{\longleftarrow}{\longmapsto} \bigcup_{A_1 \in \alpha_1, A_2 \in \alpha_2} \left\{ \ A_1 \cap A_2 \ \right\}]. \end{split}$$

- **①** $[\mathbf{R}_D \mapsto \{\{a\}, \{b\}, \{a,b\}\}]$ (DOM)
- $((1) \land (2)) \rightarrow [\mathbf{R} \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \{ \{ a \}, \{ b \}, \{ a, b \} \}]$ (Reinf-sets)
- **4** [R $\stackrel{\longleftarrow}{\longmapsto}$ { { a } , { b } , { a, b } }]

Soundness and completeness

Consider an I-axiomatization J and a claim $c = [\mathbf{R} \stackrel{\boldsymbol{\leftarrow}}{\longmapsto} \alpha]$.

Theorem (Soundness)

If there exists an argument (c, proof) grounded on J, the claim holds given J.

Theorem (Completeness)

If the claim holds given J, then there exists an argument (c, proof) grounded on J.

This is easily obtained from the soundness and completeness of natural deduction in propositional logic.

anguage References

Bibliography I

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Young, H. P. (1974). An axiomatization of borda's rule. *Journal of Economic Theory*, 9(1):43–52.