

# ARGUING ABOUT VOTING RULES

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## Main objects

- $\mathcal{A} = \{a, b, c\}$  a set of *alternatives*
- $N = \{v_1, v_2, v_3\}$  a set of *voters*
- $\mathbf{R}$  a *profile*: maps voters to linear orders on  $\mathcal{A}$
- *Voting rule*: maps profiles to subsets of alternatives:  $[\mathbf{R} \mapsto A \subseteq \mathcal{A}]$

## Some example profiles

$$\mathbf{R} = \begin{array}{ccc} v_1 & v_2 & v_3 \\ a & a & c \\ b & b & b \\ c & c & a \end{array}, \quad \mathbf{R}_1 = \begin{array}{c} v_1 \\ a \\ b \\ c \end{array}, \quad \mathbf{R}_2 = \begin{array}{cc} v_2 & v_3 \\ a & c \\ b & b \\ c & a \end{array}$$

## Our objective

Scenario:

- Assume a committee wants to choose a voting rule
- Many rules
- No best rule

We want to:

- *automatically* explain the outcome of rules
- *based* on their properties
- by referring to *examples*

## Example dialogue

Let's argue that in  $\mathbf{R}$ ,  $a$  should win, using “unanimity”, “symmetry” and “reinforcement”

**System** Consider election  $\mathbf{R}_1$ , involving only voter  $v_1$ . Do you agree that  $a$ , enjoying unanimous support, should win this election?

**User** Yes, of course.

**System** Now consider election  $\mathbf{R}_2$ , involving only voters  $v_2$  and  $v_3$ . Do you agree that, for symmetry reasons, the outcome should be a three-way tie?

**User** Yes, that sounds reasonable.

**System** Observe that when we combine  $\mathbf{R}_1$  and  $\mathbf{R}_2$ , we obtain our election of interest, namely  $\mathbf{R}$ . Do you agree that in this combined election, as there was a three-way tie in  $\mathbf{R}_2$ ,  $\mathbf{R}_1$  should be used to decide the winner?

**User** Yes, I do.

**System** To summarise, you agree that  $a$  should win for  $\mathbf{R}$ .

## Language

- A general language  $\mathcal{L}$  to talk about properties of voting rules
- Logic-based
- Can represent axioms and specific outcomes (such as  $[\mathbf{R} \mapsto A]$ )
- Permits *arguments*: proof (in the language) from axioms to outcomes

**Theorem 1** (Completeness). *Take some axioms of a voting rule. Encode in our language as  $J$ . Suppose some outcome  $[\mathbf{R} \mapsto A]$  holds for every rule  $f$  satisfying those axioms. Then there exists a proof of  $[\mathbf{R} \mapsto A]$  in our logic that is grounded in  $J$ .*

## Example for axioms in $\mathcal{L}$

**REINF**:  $[\mathbf{R}_1 \mapsto A_1] \wedge [\mathbf{R}_2 \mapsto A_2] \rightarrow [\mathbf{R}_1 \oplus \mathbf{R}_2 \mapsto A_1 \cap A_2]$  with  $A_1 \cap A_2 \neq \emptyset$

**CANC**:  $\mathbf{R}$  such that  $[\forall(a, b) : a \succ b \text{ as often as } b \succ a] \rightarrow [\mathbf{R} \mapsto \mathcal{A}]$

**ANON**:  $[\mathbf{R} \mapsto A] \rightarrow [(\mathbf{R} \circ \sigma) \mapsto A]$  for any permutation  $\sigma$

## Arguing for Borda

We provide a concrete algorithm for explaining the outcomes of the Borda voting rule. Given any profile  $\mathbf{R}^*$ :

- Let  $W \subseteq \mathcal{A}$  be the set of Borda winners
- The algorithm generates a proof that  $[\mathbf{R}^* \mapsto W]$
- Grounded in axioms: REINF, CANC, ELEM, CYCL

## Example: Arguing for Borda

Given  $\mathbf{R}^* = \begin{array}{cc} a & c \\ b & b \\ d & a \\ c & d \end{array}$ , let's argue that  $[\mathbf{R}^* \mapsto \{a, b\}]$

| Proof   |                                      |  |   |
|---|--------------------------------------|--|---|
| $\begin{array}{cc} a & b \\ b & a \\ c & d \\ d & c \end{array}$                    | $\xrightarrow{\text{ELEM}} \{a, b\}$ | $\begin{array}{cc} a & c \\ b & b \\ c & a \\ d & d \end{array}$                                   | $\xrightarrow{\text{ELEM}} \{a, b, c\}$ |
|   |                                      | $\begin{array}{cccc} a & d & c & b \\ d & c & b & a \\ c & b & a & d \\ b & a & d & c \end{array}$ | $\xrightarrow{\text{CYCL}} \mathcal{A}$ |
|   |                                      | $\begin{array}{cccc} a & b & d & c \\ b & d & c & a \\ d & c & a & b \\ c & a & b & d \end{array}$ | $\xrightarrow{\text{CYCL}} \mathcal{A}$ |
| $\mathbf{R}' \mapsto \{a, b\}$  |                                      |  |   |
| $\mathbf{R}' \oplus \overline{4\mathbf{R}^*} \oplus 4\mathbf{R}^* \mapsto \{a, b\}$ |                                      |  |   |
| $4\mathbf{R}^* \mapsto \{a, b\}$  |                                      |  |   |
| $\mathbf{R}^* \mapsto \{a, b\}$   |                                      |  |   |

Oh yeah