## 1) This protocol has a deadlock. Describe what a deadlock is and describe the particular deadlock situation in this protocol.

A deadlock in networking is where transmission of new data ceases due to the system state. A deadlock occurs in this protocol when the last acknowledgement packet from the receiver is lost. The receiver closes after it sends the last acknowledgement packet, so if this packet is lost, the sender thinks that the last data packet was lost and keeps resending the last packet. The sender is thus in a deadlock state: it tries to retransmit the last packet continuously, preventing it from transmitting any more data it may need to.

## 2) What is the magicno field good for?

Ensuring that the message came from this protocol and that some other program has not connected to one of the programs by mistake.

# 3) How have you solved the bit errors? Please explain what you have added to the packet and to the sender and receiver modules.

Bit errors were solved by adding a checksum to the header. This was calculated when a packet was created, by taking the last three digits of the sum of the other header fields. When the sender and receiver received a packet, they checked if the checksum was correct and threw out the packet if it was incorrect.

## 4) Please explain what the select function is doing and why it is useful for channel and in another way for sender.

The select function, or in Python the select select method waits until data can be read from the socket, or a timeout is exceeded. In channel, this method is used so that it channel can wait until it can read data from its sockets, and only then read from sockets that have new data. This is useful as it reduces code complexity and prevents the channel program from using CPU resources unnecessarily. In sender, the select method is used to enact the timeout mechanism for re-sending data if an acknowledgement packet isn't received.

#### 5) Please explain how you have checked the file was transferred correctly.

To check that the two files were the same, diff <input\_file> <output\_file> was run. This compares the contents of the two files and outputs nothing if they are identical.

#### 6) Packet loss measurement question

The number of packets required to send a 512,000 byte long file with different packet loss rates was tested experimentally. To do this the programs were run 10 times for each packet loss rate. The results of this are shown in table 1 below, and are summarised in figure 1.

<Explanation goes here>

Table 1 - Number of packets required to send 512,000 byte long file for different packet loss probabilities.

Packet Loss Probability	0	0.01	0.05	0.1	0.2	0.3
Packets Sent	134	126	142	162	185	227
	123	136	139	154	191	202
	128	125	138	151	178	272
	122	119	153	131	188	293
	119	130	137	159	167	269
	124	115	141	156	208	269
	124	128	139	147	219	292
	121	123	136	145	173	215
	121	126	142	146	183	274
	118	136	140	150	179	253
Average	123.4	126.4	140.7	150.1	187.1	256.6

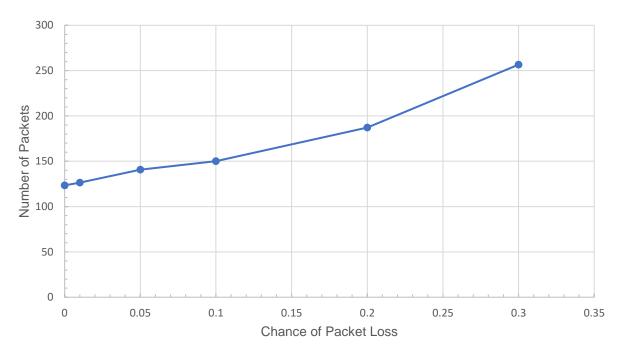


Figure 1 – The average number of packets required to transmit a file 512,000 bytes long.

## 7) Average packets required derivation

Let P be the probability that a packet is dropped or has its data length field changed, and N be the number of packets that is needed to transmit some arbitrary file. Assuming that each transmission is statistically independent of all others, each transmission can be defined as a Bernoulli trial. Let the event the a given packet is dropped be a success and the event that a packet is successfully transmitted be a failure.

If a series of these Bernoulli experiments are performed then the results will have a negative binomial distribution. That is, have a probability mass function of,

$$\binom{k+r-1}{k}(1-\gamma)^r\gamma^k\tag{1}$$

where k is the number of successes, r is the number of failures, and  $\gamma$  is the probability of success. This distribution has the expected value of:

$$\mu = \frac{r\gamma}{1 - \gamma} \tag{2}$$

where  $\mu$  is the expected value (Pennsylvania State University, 2017), (Weisstein, nd).

The negative binomial distribution measures the number of success before r failures occurs. Because of this definition, the average number of packets that must be sent to transmit successfully transmit the file is,

$$\mu = N + \frac{N\gamma}{1 - \gamma} \tag{3}$$

There should be constant of one as overhead from the protocol - an empty data packet is sent to signal the end of transmission - this packet can be lost and need retransmission. However, this is ignored as the last packet must pass through unmolested for successful transmission to occur.

However, the parameter *y* is not the same as *P* - it is in fact,

$$\gamma = 2P - P^2 \tag{4}$$

This is because if the acknowledgement packet from receiver is lost then a retransmission also occurs.

Thus, the average number of packets needed to transmit a file that can be split into *N* packets is,

$$\mu = N + \frac{N(2P - P^2)}{1 + P^2 - 2P} \tag{5}$$

this can be simplified to,

$$\mu = N \left( 1 + \frac{P(2-P)}{(P-1)^2} \right) \tag{6}$$

Table 2 below, shows that the predicted average number of transmissions closely matches the experimental average. The difference will be due to the small sample size of 10 and the fact that the random number generator in Python is only pseudo-random and not truly random.

Table 2 – The predicted average number of packets required to send a 100 packet file compared to an experimental average.

Packet Drop Rate	Predicted Average	Experimental Average			
0	123.5	123.4			
0.01	126.0	126.4			
0.05	136.8	140.7			
0.1	152.4	150.1			
0.2	192.9	187.1			
0.3	252.0	256.6			

#### References

Pennsylvania State University (2017). Key Properties of a Negative Binomial Random *Variable*. Retrieved on 30<sup>th</sup> August 2017 from

https://onlinecourses.science.psu.edu/stat414/node/79

Weisstein, Eric W. (nd). *Negative Binomial Distribution*. Retrieved 30<sup>th</sup> August 2017 from http://mathworld.wolfram.com/NegativeBinomialDistribution.html

## **Appendices**

## Appendix 1 - packet.py

```
Packet
  A class to represent a packet of information.
  Authors: Samuel Pell and Ollie Chick
  Date Modified: 30 August 2017
  Contains:
      ___init___()
      __repr__()
      __str__()
      __len_
             ()
      encode()
      decode()
      is valid ack()
      is valid data()
PTYPE DATA = 0
PTYPE ACK = 1
MAGIC NO = 0 \times 497E
class Packet:
        init (self, magic no=0, packet type=0, seq no=0, data len=0,
data=""):
       self.magic no = magic no
                                    #determines if packet is dropped
       self.packet_type = packet_type #either dataPacket or
acknowledgementPacket
       self.seq no = seq no
       self.checksum = (magic_no + packet_type + seq_no + data_len) % 1000
       self.data = data
                                    #data carried by packet
   def __repr__(self):
       return self.__str__()
   def str (self):
       pt = 'unknown'
       if self.packet type == 0:
          pt = 'data'
       elif self.packet type == 1:
           pt = 'ack'
       s = 'Magic number: 0x{:X}\n'.format(self.magic no)
       s += 'Packet type: {} ({})\n'.format(self.packet type, pt)
       s += 'Seq no: {}\n'.format(self.seq no)
       s += 'Data len: {}\n'.format(self.data len)
       s += 'Data: "{}"'.format(self.data)
       return s
```

```
def len (self):
        return len(self.encode())
    def encode(self):
        """ Returns the byte representation of the packet. """
        conv = str(self.magic no)
        conv += str(self.packet type)
        conv += str(self.seq no)
        conv += "0" * (3 - len(str(self.data_len))) + str(self.data_len)
        conv += "0" * (3 - len(str(self.checksum))) + str(self.checksum)
        conv += str(self.data)
        return bytes(conv, "utf-8")
    def decode(self, data):
        """ Sets the fields of this packet to that of data. """
        try:
           data = data.decode()
            self.magic no = int(data[:5])
            self.packet_type = int(data[5])
            self.seq no = int(data[6])
            self.data len = int(data[7:10])
            self.checksum = int(data[10:13])
            self.data = data[13:]
        except:
            print("Error decoding data ({}). Packet is
unchanged.".format(data))
    def is valid ack(self, next no):
          Checks if the packet is a valid acknowledgement packet with the
          correct sequence number, next no.
       valid magic = self.magic no == MAGIC NO
        valid type = self.packet type == PTYPE ACK
        valid length = self.data len == 0
        valid seq no = self.seq no == next no
        valid checksum = self.checksum == (self.magic no + self.packet type
+ self.seq no + self.data len) % 1000
        return valid magic and valid type and valid length and valid seq no
and valid checksum
    def is valid data(self):
        """ Checks if the packet is a valid data packet. """
        valid magic = self.magic no == MAGIC NO
        valid type = self.packet type == PTYPE DATA
        valid checksum = self.checksum == (self.magic no + self.packet type
+self.seq_no + self.data len) % 1000
        return valid magic and valid type and valid checksum
```

## Appendix 2 – socket\_generator.py

```
.....
  Socket generator
  Program to generate sending and listening sockets.
  Authors: Samuel Pell and Ollie Chick
  Date modified: 29 August 2017
import socket
IP = '127.0.0.1'
def create_sending_socket(local_port, remote_port):
      Creates a socket on the local port and connects it to the
      remote port socket, then returns that socket.
      If it fails, returns None.
    try:
        new socket = socket.socket(socket.AF INET, socket.SOCK STREAM)
        new socket.bind((IP, local port))
       new socket.connect((IP, remote port))
    except IOError:
       new socket = None
    return new socket
def create_listening_socket(port):
      Creates a socket to listen on the port given, then returns that
      socket. If it fails, returns None.
    try:
        new socket = socket.socket(socket.AF INET, socket.SOCK STREAM)
        new socket.bind((IP, port))
        new socket.listen(1)
    except IOError:
        new socket = None
    return new_socket
```

### Appendix 3 – channel.py

```
.....
  channel
  A program for the COSC264-17S2 Assignment
  Authors: Samuel Pell and Ollie Chick
  Date Modified: 30 August 2017
import socket, select, sys, packet, random
from packet import Packet, MAGIC NO, PTYPE DATA, PTYPE ACK
from socket_generator import create_sending_socket, create_listening_socket
BIT ERR RATE = 0.1
def process packet(data, drop rate):
      Process an input packet (as bytes) and randomly drop it or change
      its header. Returns the input packet as bytes.
      Returns the null byte if the input data is the null byte.
    if data == b'':
       return data
    p = Packet()
    p.decode (data)
    if p.magic no != MAGIC NO:
        \# magic numbers is wrong: drop it
        return None
    elif random.uniform(0, 1) < drop rate:
        # drop packet by random chance
       return None
    elif random.uniform(0,1) < BIT ERR RATE:</pre>
        # create a bit error by random chance (increase data len field
randomly)
        p.data len += random.randint(1, 10)
    return p.encode() # return the packet's byte conversion
def main loop(sender in, sender out, recv in, recv out, drop rate):
      Wait to recieve packets on sender_in and recv_in. When it does,
      process the packet and send it on to either recv_out or sender_out
      respectively. Takes the four socket objects as arguments.
      When one of the sockets indicates it has closed it will stop
      watching it and when both sockets have closed it will return None
    sockets to watch = [sender in, recv in]
    while True:
       readable, , = select.select(sockets to watch, [], [])
        for s in readable:
            data = s.recv(1024)
            if data == b'':
```

```
# a socket sent out the null byte (indicating it has
closed)
                if s == recv in:
                    # receiver has closed; stop watching it
                    sockets to watch.remove(recv in)
                else:
                    # sender has closed; stop watching it
                    sockets to watch.remove(sender in)
                if len(sockets to watch) == 0:
                    # sender and receiver have closed; exit loop
                    print('\nChannel shut down.')
                    return
            elif len(sockets_to_watch) == 2:
                # sender and receiver are both open
                data to forward = process packet(data, drop rate)
                if data to forward != None:
                    # the packet hasn't been dropped
                    if s == sender in:
                        # came from sender, send to receiver
                        print("sender -> channel -> receiver", end = '\r')
                        recv out.send(data to forward)
                    else:
                        # came from receiver, send to sender
                        print("sender <- channel <- receiver", end = '\r')</pre>
                        sender out.send(data to forward)
def main(args):
      Pull the relevant numbers out of the command line arguments, check
      They are valid input, then create the appropriate sockets before
      entering into the main loop
    # Check arguments are valid
    try:
        # Port numbers for this program
        sender in port = int(args[1])
        sender out port = int(args[2])
       recv in port = int(args[3])
       recv out port = int(args[4])
        # Port numbers of the sender and reciver
        sender = int(args[5])
       recv = int(args[6])
        # Probability of dropping a packet
        drop rate = float(args[7])
    except:
        # User inputted wrong number of arguments, or non-ints/floats, etc.
        print("Usage: {} <sender in port> <sender out port> <recv in port>
<recv out port> <sender> <recv> <drop rate>".format(args[0]))
        return
    # Check that ports are in the valid range
    for port in [sender in port, sender out port, recv in port, \
                 recv_out_port, sender, recv]:
        if port < 1024 or port > 64000:
```

```
print("All port numbers should be integers in the range [1024,
640001.")
            return
    # Check that the drop rate is between 0 (inclusive) and 1 (exclusive)
    if (drop rate >= 1) or (drop rate < 0):
        print("drop rate should be in the range [0, 1).")
        return
    # Create in sockets
    sender in = create listening socket(sender in port)
    recv_in = create_listening_socket(recv_in_port)
    if None in [sender in, recv_in]:
        sys.exit("One of the in sockets failed to be created.")
    input("Please start sender and receiver then press enter.")
    # Create out sockets and connect them
    sender out = create sending socket(sender out port, sender)
    recv out = create sending socket(recv out port, recv)
    if None in [sender out, recv out]:
        sys.exit("One of the out sockets failed to be created.")
    # Accept incomming connections to sender in and recv in
        sender in, addr = sender in.accept()
        recv in, addr = recv in.accept()
    except IOError:
        sys.exit("Error connecting sender in or recv in")
    # Enter the main loop
   main loop(sender in, sender out, recv in, recv out, drop rate)
    # Shut down then close all the sockets (then the program)
    sender in.shutdown(socket.SHUT RDWR)
    sender in.close()
    sender out.shutdown(socket.SHUT RDWR)
    sender out.close()
   recv in.shutdown(socket.SHUT RDWR)
   recv in.close()
   recv out.shutdown(socket.SHUT RDWR)
   recv out.close()
if name == " main ":
    # Get arguments from the command line.
    # These should be:
    # * four port numbers to use for the sockets c s in, c s out, c r in,
and c r out
    \# * the port number where the socket s in should be found
    \# * the port number where the socket r in should be found
    \# * a packet loss rate P such that 0 <= P < 1
    args = sys.argv
   main(args)
```

## Appendix 4 - receiver.py

```
A program to receive packets from a channel.
  For a COSC264 assignment.
  Author: Ollie Chick
  Date modified: 29 August 2017
import sys, socket, os, select
from packet import Packet, MAGIC NO, PTYPE DATA, PTYPE ACK
from socket generator import create sending socket, create listening socket
def main(args):
    # Check arguments are valid
    try:
        in port = int(args[1])
        out port = int(args[2])
        channel in port = int(args[3])
       filename = args[4]
    except:
        print("Usage: {} <in port> <out port> <channel in port>
<filename>".format(args[0]))
    # Check that ports are in the valid range
    for port in [in port, out port, channel in port]:
        if port < 1024 or port > 64000:
            print("All port numbers should be integers in the range [1024,
640001.")
            return
    # Create sockets (and connect socket out)
    socket in = create listening socket(in port)
    socket out = create sending socket(out port, channel in port)
    if None in [socket in, socket out]:
        sys.exit("One of the sockets failed to be created.")
    # Check if file exists
    if os.path.isfile(filename):
        sys.exit("Error: {} already exists.".format(filename))
    # Initialisation
    expected = 0
    file = open(filename, 'w')
   input("Please acknowledge on the channel that you have started the
receiver, then press enter.")
    # Accept connection from channel
    socket in, addr = socket in.accept()
   print("Receiving data...")
    # Main loop
    i = 0
    while True:
        readable, , = select.select([socket in], [], [])
        # got a response
       print("Got packet {}".format(i), end = '\r')
        i += 1
       s = readable[0]
        data = s.recv(1024)
```

```
rcvd = Packet()
        rcvd.decode (data)
        if rcvd.is valid data():
            # got a valid data packet
            # Prepare an acknowledgement packet and send it
            magic no = MAGIC NO
            packet type = PTYPE ACK
            seq no = rcvd.seq no
            data len = 0
            data = ""
            pack = Packet(magic_no, packet_type, seq_no, data_len, data)
            socket out.send(pack.encode())
            if rcvd.seq no == expected:
                expected = 1 - expected
                if rcvd.data_len > 0:
                   # has some data
                    file.write(rcvd.data)
                else:
                    # no data - indicates end of file
                    file.close()
                    socket_in.shutdown(socket.SHUT_RDWR)
                    socket in.close()
                    socket out.shutdown(socket.SHUT RDWR)
                    socket out.close()
                    print("\nData received.")
                    return
if __name__ == "__main ":
    # Get arguments from the command line.
    # These should be:
    # * two port numbers to use for the two receiver sockets r in and r out
    \# * the port number where the socket c r in should be found
    # * a file name, indicating where the received data should be stored
   args = sys.argv
   main(args)
```

### Appendix 5 – sender.py

```
A program to send packets to a channel.
  For a COSC264 assignment.
  Author: Ollie Chick and Samuel Pell
  Date modified: 29 August 2017
import sys, socket, os, select
from packet import Packet, MAGIC NO, PTYPE DATA, PTYPE ACK
from socket generator import create sending socket, create listening socket
TIMEOUT = 1 #seconds
FILE ENCODING = 'utf8'
def inner loop(socket out, socket in, bytes to send, next no):
      Function to continuously send a packet until a valid acknowledgement
      packet is recieved. Returns the number of packets sent from sender
       to achieve successful transmission.
   packets sent = 0
    while True:
        # Send packet
        socket out.send(bytes to send)
        packets sent += 1
        # Await a response
        readable, , = select.select([socket in], [], [], TIMEOUT)
        if readable:
            # got a response
            s = readable[0]
            data = s.recv(1024)
            rcvd = Packet()
            rcvd.decode (data)
            if rcvd.is valid ack(next no):
                # got a valid acknowledgement packet
                next no = 1 - next no
                return packets sent, next no
def main(args):
    # Check arguments are valid
    try:
        in port = int(args[1])
        out port = int(args[2])
        channel in port = int(args[3])
        filename = args[4]
    except:
       print("Usage: {} <in port> <out port> <channel in port>
<filename>".format(args[0]))
       return
    # Check that ports are in the valid range
    for port in [in port, out port, channel in port]:
```

```
if port < 1024 or port > 64000:
            print("All port numbers should be integers in the range [1024,
64000].")
            return
    # Create sockets (and connect socket out)
    socket in = create listening socket(in port)
    socket out = create sending socket(out port, channel in port)
    if None in [socket in, socket out]:
        sys.exit("One of the sockets failed to be created.")
    # Check if file exists
    if not os.path.isfile(filename):
        # file does not exist
        sys.exit("Error: {} does not exist.".format(filename))
    # Initialisation
   next no = 0
   packets sent = 0
    exit flag = False
    file = open(filename, "rb")
    input ("Please acknowledge on the channel that you have started the
sender, then press enter.")
    # Accept connection from channel
    socket in, addr = socket in.accept()
   print("Sending data...")
    # Outer loop
    i = 0
    while not exit flag:
        # Read 512 bytes from file
        data = file.read(512)
        data = data.decode(FILE ENCODING)
        # Prepare packet
       packet type = PTYPE DATA
       seq no = next no
       data len = len (data)
        if data len == 0:
            exit flag = True
        pack = Packet (MAGIC NO, packet type, seq no, data len, data)
        # Inner loop
        bytes to send = pack.encode()
        print("Sending datum {}".format(i), end = "\r")
        packets used, next no = inner loop(socket out, socket in,
bytes to send,
                                            next no)
        packets sent += packets used
        i+=1
    # Clean up and close
    file.close()
    socket in.shutdown(socket.SHUT RDWR)
    socket in.close()
    socket out.shutdown(socket.SHUT RDWR)
    socket out.close()
    print("\nData sent.\nPackets sent: {}".format(packets sent))
```

```
if __name__ == "__main__":
    # Get arguments from the command line.
    # These should be:
    # * two port numbers to use for the two sender sockets s_in and s_out
    # * the port number where the socket c_s_in should be found
    # * a file name, indicating the file whose contents should be sent
    args = sys.argv
    main(args)
```