# Monte\_Carlo

October 25, 2021

## 1 Monte Carlo Methods

In this notebook, you will write your own implementations of many Monte Carlo (MC) algorithms.

While we have provided some starter code, you are welcome to erase these hints and write your code from scratch.

### 1.0.1 Part 0: Explore BlackjackEnv

We begin by importing the necessary packages.

Use the code cell below to create an instance of the Blackjack environment.

```
In [2]: env = gym.make('Blackjack-v0')
```

Each state is a 3-tuple of: - the player's current sum  $\in \{0,1,\ldots,31\}$ , - the dealer's face up card  $\in \{1,\ldots,10\}$ , and - whether or not the player has a usable ace (no = 0, yes = 1). The agent has two potential actions:

```
STICK = 0
HIT = 1
```

Verify this by running the code cell below.

Execute the code cell below to play Blackjack with a random policy.

(The code currently plays Blackjack three times - feel free to change this number, or to run the cell multiple times. The cell is designed for you to get some experience with the output that is returned as the agent interacts with the environment.)

```
In [4]: env.action_space.n, env.observation_space.to_jsonable
Out[4]: (2,
         <bound method Tuple.to_jsonable of Tuple(Discrete(32), Discrete(11), Discrete(2))>)
In [5]: for i_episode in range(3):
            state = env.reset()
            while True:
                action = env.action_space.sample()
                print(action)
                state, reward, done, info = env.step(action)
                print(state)
                if done:
                    print('End game! Reward: ', reward)
                    print('You won :)\n') if reward > 0 else print('You lost :(\n')
                    break
(18, 7, False)
End game! Reward: 1.0
You won :)
1
(25, 10, False)
End game! Reward: -1
You lost :(
(17, 10, False)
(17, 10, False)
End game! Reward: -1.0
You lost :(
```

## 1.0.2 Part 1: MC Prediction

In this section, you will write your own implementation of MC prediction (for estimating the action-value function).

We will begin by investigating a policy where the player *almost* always sticks if the sum of her cards exceeds 18. In particular, she selects action STICK with 80% probability if the sum is greater

than 18; and, if the sum is 18 or below, she selects action HIT with 80% probability. The function generate\_episode\_from\_limit\_stochastic samples an episode using this policy.

The function accepts as **input**: - bj\_env: This is an instance of OpenAI Gym's Blackjack environment.

It returns as **output**: - episode: This is a list of (state, action, reward) tuples (of tuples) and corresponds to  $(S_0, A_0, R_1, \ldots, S_{T-1}, A_{T-1}, R_T)$ , where T is the final time step. In particular, episode[i] returns  $(S_i, A_i, R_{i+1})$ , and episode[i][0], episode[i][1], and episode[i][2] return  $S_i$ ,  $A_i$ , and  $R_{i+1}$ , respectively.

Execute the code cell below to play Blackjack with the policy.

(The code currently plays Blackjack three times - feel free to change this number, or to run the cell multiple times. The cell is designed for you to gain some familiarity with the output of the generate\_episode\_from\_limit\_stochastic function.)

Each state is a 3-tuple of: - the player's current sum  $\in \{0, 1, ..., 31\}$ , - the dealer's face up card  $\in \{1, ..., 10\}$ , and - whether or not the player has a usable ace (no = 0, yes = 1).

The agent has two potential actions:

```
STICK = 0
HIT = 1
```

Verify this by running the code cell below.

Now, you are ready to write your own implementation of MC prediction. Feel free to implement either first-visit or every-visit MC prediction; in the case of the Blackjack environment, the techniques are equivalent.

Your algorithm has three arguments: - env: This is an instance of an OpenAI Gym environment. - num\_episodes: This is the number of episodes that are generated through agent-environment interaction. - generate\_episode: This is a function that returns an episode of interaction. - gamma: This is the discount rate. It must be a value between 0 and 1, inclusive (default value: 1).

The algorithm returns as output: - Q: This is a dictionary (of one-dimensional arrays) where Q[s][a] is the estimated action value corresponding to state s and action a.

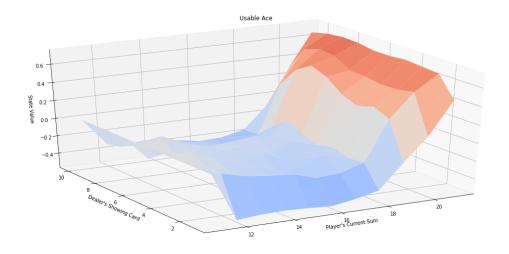
```
In [8]: def mc_prediction_q(env, num_episodes, generate_episode, gamma=1.0):
           \# initialize empty dictionaries of arrays
           returns_sum = defaultdict(lambda: np.zeros(env.action_space.n))
           N = defaultdict(lambda: np.zeros(env.action_space.n))
           Q = defaultdict(lambda: np.zeros(env.action_space.n))
           # loop over episodes
           for i_episode in range(1, num_episodes+1):
               # monitor progress
               if i_episode % 1000 == 0:
                  print("\rEpisode {}/{}.".format(i_episode, num_episodes), end="")
                  sys.stdout.flush()
               # generate an episode
               episode = generate_episode(env)
               #print(episode)
               # obtain the states, actions, and rewards
               states, actions, rewards = zip(*episode)
               #print(actions)
               # prepare for discounting
               discounts = np.array([gamma**i for i in range(len(rewards)+1)])
               #print(discounts)
               # update the sum of the returns, number of visits, and action-value
               # function estimates for each state-action pair in the episode
               for i, state in enumerate(states):
                  returns_sum[state] [actions[i]] += sum(rewards[i:]*discounts[:-(1+i)])
                  N[state][actions[i]] += 1.0
                  return Q, returns_sum, N
```

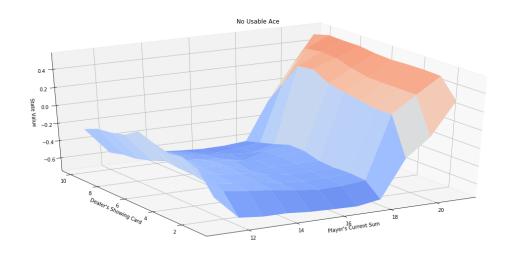
Use the cell below to obtain the action-value function estimate *Q*. We have also plotted the corresponding state-value function.

To check the accuracy of your implementation, compare the plot below to the corresponding plot in the solutions notebook **Monte\_Carlo\_Solution.ipynb**.

```
In [9]: Q, returns_sum, N = mc_prediction_q(env, 10, generate_episode_from_limit_stochastic)
In [10]: Q.keys()
Out[10]: dict_keys([(15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (11]: returns_sum.keys()
Out[11]: dict_keys([(15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (12]: N.keys()
Out[12]: dict_keys([(15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (12]: dict_keys([(15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (12, 10, False), (13, 10, False), (14, 10, False), (15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (12, 10, False), (13, 10, False), (14, 10, False), (15, 5, False), (16, 5, False), (21, 5, False), (11, 10, False), (12, 10, False), (12, 10, False), (13, 10, False), (14, 10, False), (15, 10, False), (15, 10, False), (16, 5, False), (16, 5,
```

```
In [13]: for key in Q.keys():
             print(f"key {key} value {Q[key]}")
key (15, 5, False) value [-1. 1.]
key (16, 5, False) value [ 0. 1.]
key (21, 5, False) value [ 1. 0.]
key (11, 10, False) value [ 0. -1.]
key (12, 10, False) value [ 0. -1.]
key (12, 5, False) value [ 0. -1.]
key (16, 10, False) value [ 0. 0.]
key (4, 10, False) value [ 0. -1.]
key (14, 10, False) value [ 0. 0.]
key (17, 10, False) value [ 0. 1.]
key (20, 10, False) value [ 1. 0.]
key (9, 4, False) value [-1. 0.]
key (18, 10, False) value [ 0. -1.]
key (21, 10, False) value [ 1. 0.]
key (20, 8, False) value [ 0. -1.]
In [14]: returns_sum[(17, 6, False)]
Out[14]: array([ 0., 0.])
In [15]: N[(17, 6, False)]
Out[15]: array([ 0., 0.])
In [16]: # obtain the action-value function
         Q, returns_sum, N = mc_prediction_q(env, 500000, generate_episode_from_limit_stochastic
         # obtain the corresponding state-value function
         V_{to_plot} = dict((k, (k[0]>18)*(np.dot([0.8, 0.2],v)) + (k[0]<=18)*(np.dot([0.2, 0.8],v))
                  for k, v in Q.items())
         # plot the state-value function
         plot_blackjack_values(V_to_plot)
Episode 500000/500000.
```





```
key (16, 5, False) value [-0.15269805 -0.6145688] key (18, 5, False) value [ 0.19117647 -0.69872506] key (14, 10, False) value [-0.58582807 -0.62334554] key (19, 10, True) value [-0.04951742 -0.30578512] key (20, 10, True) value [ 0.44377267 -0.27312775] key (7, 8, False) value [-0.48502994 -0.42489851] key (13, 5, False) value [-0.15704388 -0.4865504] key (17, 5, False) value [-0.02004454 -0.64150433] key (8, 5, False) value [-0.12605042 -0.3657754] key (13, 2, False) value [-0.28834356 -0.51809305] key (19, 2, False) value [ 0.3994882 -0.78121547]
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key (8, 9, False) value [ 229. 948.]
key (12, 6, False) value [ 837.
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                                  3524.]
key (10, 3, False) value [
                            356.
                                  1414.]
key (12, 2, False) value [
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                                  3451.]
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                                  3664.]
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key (20, 4, False) value [ 5028.
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key (11, 10, False) value [ 1795.
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key (20, 5, False) value [ 5005.
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key (17, 3, False) value [ 923.
                                  3599.]
key (21, 10, True) value [ 7116.
                                  1792.]
key (17, 1, False) value [ 909.
                                  3571.]
key (13, 7, False) value [ 869.
                                  3524.]
key (21, 7, False) value [ 2195.
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key (21, 1, True) value [ 1732.
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key (10, 8, False) value [ 364.
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key (11, 8, False) value [ 457.
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key (18, 4, False) value [
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                                  3656.]
key (13, 6, False) value [
                            885.
                                  3554.]
key (17, 6, False) value [ 959.
                                  3557.]
key (16, 10, False) value [ 3613. 14350.]
```

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key (16, 1, False) value [ 895.
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key (16, 8, False) value [ 913.
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key (20, 8, False) value [ 4955.
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key (9, 9, False) value [ 315.
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key (16, 9, False) value [ 937.
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key (20, 3, False) value [ 5116.
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key (12, 1, False) value [ 826.
key (17, 10, True) value [ 541.
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key (11, 7, False) value [
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key (21, 1, False) value [ 2200.
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                                  3563.]
key (13, 9, False) value [
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                                  3520.]
key (14, 9, False) value [
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                                  3531.]
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key (16, 3, False) value [ 877.
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key (14, 8, False) value [ 856.
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key (15, 10, False) value [ 3585.
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key (19, 3, False) value [ 3596.
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key (21, 4, False) value [ 2233.
key (10, 2, False) value [ 343.
key (13, 7, True) value [ 78. 378.]
key (19, 7, True) value [ 641.
key (17, 7, False) value [ 942.
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key (18, 3, False) value [
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                                  3608.]
key (12, 5, False) value [
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key (19, 6, False) value [ 3620.
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key (19, 9, False) value [ 3540.
                                  856.]
key (12, 8, False) value [ 820.
                                  3444.]
key (21, 5, True) value [ 1792.
key (19, 8, False) value [ 3416.
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key (20, 6, False) value [ 5146.
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key (15, 5, True) value [ 102.
key (15, 5, False) value [ 869.
key (19, 5, False) value [ 3490.
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key (11, 2, False) value [ 419.
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key (18, 2, False) value [ 898.
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key (19, 1, True) value [ 620. 166.]
key (10, 7, False) value [ 371.
key (12, 7, False) value [ 861.
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key (10, 6, False) value [ 340.
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key (12, 6, True) value [ 33. 191.]
```

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key (15, 8, False) value [ 865. 3626.]
key (16, 1, True) value [ 107. 478.]
key (20, 1, True) value [ 640.
key (9, 1, False) value [ 276.
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key (14, 6, False) value [ 864. 3553.]
key (13, 9, True) value [ 94. 421.]
key (16, 9, True) value [ 106. 470.]
key (18, 9, False) value [ 923. 3589.]
key (6, 10, False) value [ 565.
key (7, 7, False) value [ 212.
                               756.]
key (11, 9, False) value [ 445.
key (18, 1, False) value [ 894.
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key (20, 9, False) value [ 5018.
                                 1221.]
key (14, 7, False) value [ 885.
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key (15, 7, False) value [ 873.
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key (6, 7, False) value [ 132.
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key (16, 7, False) value [ 903.
key (19, 5, True) value [ 597. 146.]
key (14, 3, False) value [ 888.
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key (16, 4, False) value [
                           905.
                                 3521.]
key (7, 10, False) value [
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                                 3151.]
key (20, 1, False) value [ 4987.
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key (21, 4, True) value [ 1784.
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key (11, 3, False) value [ 439.
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key (20, 4, True) value [ 618. 169.]
key (19, 4, False) value [ 3594.
                                   889.]
key (13, 3, False) value [ 820.
                                 3535.]
key (21, 3, False) value [ 2181.
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key (8, 3, False) value [ 255.
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key (13, 10, False) value [ 3517. 13826.]
key (16, 4, True) value [ 113.
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key (19, 4, True) value [ 610.
key (8, 7, False) value [ 212.
key (13, 10, True) value [ 383. 1491.]
key (16, 10, True) value [ 448.
                                 1828.]
key (18, 8, True) value [ 135. 574.]
key (19, 8, True) value [ 556.
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key (9, 6, False) value [ 311. 1176.]
key (15, 9, False) value [ 914. 3558.]
key (20, 7, False) value [ 5120.
key (15, 1, False) value [ 920. 3627.]
key (10, 9, False) value [ 337.
key (6, 3, False) value [ 141. 594.]
key (11, 6, False) value [ 403. 1744.]
```

```
key (13, 8, True) value [ 75.
key (16, 8, True) value [ 103.
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key (11, 1, False) value [ 420.
                                  1693.]
key (7, 4, False) value [ 175.
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key (7, 9, False) value [ 162.
                                745.7
key (21, 7, True) value [ 1697.
key (17, 4, True) value [ 127.
key (5, 10, False) value [ 363.
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key (21, 6, True) value [ 1764.
                                  424.]
key (18, 8, False) value [ 919.
                                  3659.]
key (14, 10, True) value [
                            394.
                                  1609.]
key (13, 4, False) value [ 878.
                                  3446.]
key (21, 2, True) value [ 1743.
                                  431.]
key (17, 8, False) value [ 911.
key (8, 8, False) value [ 228.
                                 1014.]
key (13, 4, True) value [ 77.
key (21, 9, True) value [ 1742.
                                  443.]
key (4, 2, False) value [ 40.
                                211.
key (5, 6, False) value [ 86.
                                379.]
key (6, 4, False) value [ 120.
                                559.7
key (21, 3, True) value [ 1723.
key (17, 8, True) value [ 123.
key (18, 9, True) value [ 149.
key (9, 4, False) value [ 290. 1210.]
key (8, 10, False) value [ 1022. 3857.]
key (14, 4, False) value [ 942.
                                  3432.]
key (5, 5, False) value [ 101.
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key (9, 7, False) value [ 321. 1255.]
key (6, 8, False) value [ 134.
key (15, 2, True) value [ 107.
key (18, 7, True) value [ 131.
                                560.]
key (16, 5, True) value [ 126.
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key (9, 8, False) value [ 307. 1233.]
key (14, 3, True) value [ 108. 410.]
key (16, 2, False) value [ 867.
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key (11, 5, False) value [ 422.
key (8, 4, False) value [ 248.
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key (10, 4, False) value [ 359.
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key (18, 4, True) value [ 145.
                                567.]
key (14, 7, True) value [ 111.
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key (18, 2, True) value [ 148.
                                524.]
key (14, 2, True) value [ 104.
key (17, 2, True) value [ 120.
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key (18, 5, True) value [ 157.
key (12, 9, False) value [ 816. 3420.]
key (4, 6, False) value [ 45.
                                200.]
```

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key (10, 1, False) value [ 337.
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key (18, 1, True) value [ 147.
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key (11, 4, False) value [ 409.
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key (8, 1, False) value [ 232.
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key (21, 5, False) value [ 2214.
key (12, 10, True) value [ 189.
key (7, 1, False) value [ 193.
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key (5, 3, False) value [ 97.
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key (6, 9, False) value [ 159.
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key (6, 6, False) value [ 139.
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key (12, 7, True) value [ 53.
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key (15, 1, True) value [ 120.
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key (7, 3, False) value [ 180.
key (14, 5, True) value [ 86.
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key (15, 4, True) value [ 100.
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key (9, 5, False) value [ 305.
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key (17, 5, True) value [ 121.
                                 496.]
key (7, 6, False) value [ 191.
                                759.]
key (6, 2, False) value [ 152.
                                 540.7
key (17, 7, True) value [ 122.
                                 534.]
key (4, 1, False) value [ 47.
                                 173.]
key (6, 5, False) value [ 141.
                                 524.]
key (20, 6, True) value [ 615.
                                 135.]
key (13, 1, True) value [ 81.
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key (5, 1, False) value [ 104.
                                354.]
key (13, 3, True) value [ 114.
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key (5, 4, False) value [ 83.
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key (8, 6, False) value [ 236.
                                999.]
key (14, 8, True) value [ 93.
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key (8, 2, False) value [ 237.
                                957.
key (14, 4, True) value [ 109.
                                 400.7
key (4, 8, False) value [ 55.
                                 170.]
key (15, 3, True) value [ 114.
                                 429.]
key (18, 3, True) value [ 129.
                                 568.]
key (5, 8, False) value [ 91.
                                365.]
key (16, 7, True) value [ 100.
                                 485.]
key (12, 3, True) value [ 52.
                                 204.]
key (14, 6, True) value [ 106.
                                 419.]
key (17, 3, True) value [ 142.
                                546.]
key (15, 9, True) value [ 122.
                                 421.]
key (20, 9, True) value [ 646.
                                 163.
key (19, 9, True) value [ 587.
                                 154.]
key (16, 3, True) value [ 128.
                                 495.]
key (16, 2, True) value [ 107.
                                475.]
key (7, 2, False) value [ 199.
                                755.]
key (14, 1, True) value [ 126.
                                379.]
key (20, 5, True) value [ 618.
                                 165.]
```

```
key (12, 5, True) value [ 35.
                                191.
key (20, 3, True) value [ 629.
                                164.]
key (5, 2, False) value [ 101.
                                370.]
key (17, 9, True) value [ 108.
                                529.]
key (19, 6, True) value [ 634.
                                160.]
key (12, 8, True) value [ 45.
                                154.]
key (12, 4, True) value [ 47.
key (19, 3, True) value [ 599.
                                144.7
key (15, 7, True) value [ 105.
                                431.]
key (12, 9, True) value [
                          47.
                                187.]
key (13, 6, True) value [ 85.
                                357.]
key (19, 2, True) value [ 632.
                                157.
key (15, 8, True) value [
                                417.]
key (15, 6, True) value [ 103.
                                435.]
key (5, 7, False) value [ 83.
                                366.]
key (4, 4, False) value [ 42.
                                197.
key (13, 2, True) value [ 103.
                                352.]
key (12, 2, True) value [ 51.
                                167.
key (4, 5, False) value [ 44.
                                184.]
key (6, 1, False) value [ 142.
                                570.]
key (12, 1, True) value [
key (5, 9, False) value [ 76.
                                356.]
key (14, 9, True) value [ 106.
                                377.]
key (4, 9, False) value [ 44.
                                184.]
In [21]: for key in returns_sum.keys():
             print(f"key {key} value {returns_sum[key]}")
key (16, 5, False) value [ -133. -2202.]
key (18, 5, False) value [ 169. -2521.]
key (14, 10, False) value [-2133. -8854.]
key (19, 10, True) value [-118. -185.]
key (20, 10, True) value [ 1101. -186.]
key (7, 8, False) value [ -81. -314.]
key (13, 5, False) value [ -136. -1646.]
key (17, 5, False) value [ -18. -2371.]
key (8, 5, False) value [ -30. -342.]
key (13, 2, False) value [ -235. -1804.]
key (19, 2, False) value [ 1405. -707.]
key (18, 10, True) value [-119. -842.]
key (13, 1, False) value [ -688. -2266.]
key (17, 9, False) value [ -409. -2388.]
key (12, 3, False) value [ -202. -1641.]
key (9, 10, False) value [ -689. -1973.]
key (19, 10, False) value [ -479. -2825.]
key (20, 10, False) value [ 8626. -4444.]
key (15, 10, True) value [-243. -585.]
```

```
key (16, 6, True) value [-13. -39.]
key (18, 6, True) value [ 38. -132.]
key (18, 6, False) value [ 245. -2414.]
key (10, 5, False) value [-68. -37.]
key (17, 4, False) value [ -91. -2344.]
key (10, 10, False) value [ -858. -1383.]
key (14, 5, False) value [ -152. -1781.]
key (14, 1, False) value [ -689. -2413.]
key (15, 2, False) value [ -251. -2140.]
key (15, 4, False) value [ -179. -2106.]
key (14, 2, False) value [ -291. -1929.]
key (20, 8, True) value [ 485. -14.]
key (12, 10, False) value [-1985. -7530.]
key (17, 10, False) value [ -1671. -10139.]
key (4, 7, False) value [-16. -79.]
key (19, 1, False) value [-451. -714.]
key (20, 7, True) value [ 512. -12.]
key (19, 7, False) value [ 2185. -651.]
key (20, 2, False) value [ 3229. -1103.]
key (8, 9, False) value [-123. -443.]
key (12, 6, False) value [ -91. -1506.]
key (16, 6, False) value [ -146. -2121.]
key (10, 3, False) value [-92. -94.]
key (12, 2, False) value [ -293. -1601.]
key (17, 2, False) value [ -146. -2442.]
key (18, 7, False) value [ 366. -2457.]
key (18, 10, False) value [ -808. -10644.]
key (20, 4, False) value [ 3277. -1117.]
key (11, 10, False) value [-1013. -1155.]
key (20, 5, False) value [ 3308. -1108.]
key (17, 3, False) value [ -127. -2319.]
key (21, 10, True) value [ 6310. -345.]
key (17, 1, False) value [ -553. -2699.]
key (13, 7, False) value [ -409. -1728.]
key (21, 7, False) value [ 2038. -567.]
key (21, 10, False) value [ 7822. -2191.]
key (21, 1, True) value [ 1099. -107.]
key (10, 8, False) value [-194.
key (17, 1, True) value [ -76. -246.]
key (11, 8, False) value [-233. -124.]
key (21, 8, False) value [ 2081. -576.]
key (18, 4, False) value [ 144. -2580.]
key (13, 6, False) value [ -97. -1674.]
key (17, 6, False) value [
                              4. -2251.]
key (16, 10, False) value [-2043. -9675.]
key (16, 1, False) value [ -661. -2575.]
key (16, 8, False) value [ -463. -2115.]
key (20, 8, False) value [ 3908. -1089.]
```

```
key (9, 9, False) value [-163. -266.]
key (16, 9, False) value [ -529. -2323.]
key (20, 3, False) value [ 3327. -1127.]
key (12, 1, False) value [ -648. -2225.]
key (17, 10, True) value [-258. -799.]
key (11, 7, False) value [-201. -57.]
key (21, 1, False) value [ 1384. -581.]
key (15, 3, False) value [ -223. -2138.]
key (13, 9, False) value [ -483. -1794.]
key (14, 9, False) value [ -515. -1966.]
key (4, 3, False) value [-17. -59.]
key (16, 3, False) value [ -245. -2188.]
key (14, 8, False) value [ -430. -1790.]
key (15, 10, False) value [-2173. -9205.]
key (13, 8, False) value [ -425. -1664.]
key (9, 3, False) value [ -49. -257.]
key (19, 3, False) value [ 1403. -689.]
key (12, 4, False) value [ -142. -1561.]
key (21, 4, False) value [ 1997. -541.]
key (10, 2, False) value [-131. -116.]
key (13, 7, True) value [-38. -63.]
key (19, 7, True) value [ 414.
                                 14.]
key (17, 7, False) value [ -67. -2231.]
key (18, 3, False) value [ 151. -2511.]
key (12, 5, False) value [ -156. -1525.]
key (19, 6, False) value [ 1845. -669.]
key (19, 9, False) value [ 991. -633.]
key (12, 8, False) value [ -448. -1636.]
key (21, 5, True) value [ 1610.
key (19, 8, False) value [ 2066. -693.]
key (20, 6, False) value [ 3636. -1091.]
key (13, 5, True) value [-21. -58.]
key (15, 5, True) value [ 10. -92.]
key (15, 5, False) value [ -245. -2069.]
key (19, 5, False) value [ 1603. -689.]
key (9, 2, False) value [ -93. -247.]
key (20, 2, True) value [ 403. -14.]
key (11, 2, False) value [-133. -196.]
key (21, 2, False) value [ 1982. -579.]
key (18, 2, False) value [ 122. -2595.]
key (19, 1, True) value [-62. -68.]
key (10, 7, False) value [-151. -80.]
key (12, 7, False) value [ -359. -1574.]
key (10, 6, False) value [ -46. -118.]
key (12, 6, True) value [ 7. 0.]
key (17, 6, True) value [
                            5. -108.]
key (21, 8, True) value [ 1544.
key (15, 8, False) value [ -439. -2067.]
```

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key (16, 1, True) value [ -89. -223.]
key (20, 1, True) value [ 108. -28.]
key (9, 1, False) value [-218. -617.]
key (4, 10, False) value [ -89. -392.]
key (14, 6, False) value [ -116. -1858.]
key (13, 9, True) value [-50. -69.]
key (16, 9, True) value [ -52. -132.]
key (18, 9, False) value [ -62. -2532.]
key (6, 10, False) value [ -327. -1213.]
key (7, 7, False) value [ -86. -307.]
key (11, 9, False) value [-251. -144.]
key (18, 1, False) value [ -311. -2811.]
key (20, 9, False) value [ 3847. -1091.]
key (14, 7, False) value [ -443. -1724.]
key (15, 7, False) value [ -409. -1948.]
key (6, 7, False) value [ -62. -228.]
key (16, 7, False) value [ -461. -2198.]
key (19, 5, True) value [ 255.
                                 -1.7
key (14, 3, False) value [ -202. -1879.]
key (16, 4, False) value [ -225. -2136.]
key (7, 10, False) value [ -420. -1738.]
key (20, 1, False) value [ 790. -1135.]
key (21, 4, True) value [ 1578.
key (11, 3, False) value [ -85. -121.]
key (20, 4, True) value [ 383. -18.]
key (19, 4, False) value [ 1570. -703.]
key (13, 3, False) value [ -242. -1777.]
key (21, 3, False) value [ 1955. -565.]
key (21, 9, False) value [ 2040. -576.]
key (8, 3, False) value [ -59. -389.]
key (13, 10, False) value [-2003. -8219.]
key (16, 4, True) value [ -23. -108.]
key (19, 4, True) value [ 231. -22.]
key (8, 7, False) value [-114. -336.]
key (13, 10, True) value [-225. -443.]
key (16, 10, True) value [-248. -692.]
key (18, 8, True) value [ 21. -150.]
key (19, 8, True) value [ 323. -26.]
key (9, 6, False) value [-81. -87.]
key (15, 9, False) value [ -522. -2129.]
key (20, 7, False) value [ 3934. -1104.]
key (15, 1, False) value [ -726. -2513.]
key (10, 9, False) value [-187. -164.]
key (6, 3, False) value [ -29. -243.]
key (11, 6, False) value [-13. -16.]
key (13, 8, True) value [-53. -59.]
key (16, 8, True) value [ -51. -146.]
key (11, 1, False) value [-328. -508.]
```

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key (7, 4, False) value [ -35. -287.]
key (7, 9, False) value [ -94. -325.]
key (21, 7, True) value [ 1562.
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key (17, 4, True) value [ -10. -145.]
key (5, 10, False) value [-217. -790.]
key (21, 6, True) value [ 1588.
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key (18, 8, False) value [
                             99. -2519.]
key (14, 10, True) value [-238. -513.]
key (13, 4, False) value [ -198. -1675.]
key (21, 2, True) value [ 1552.
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key (17, 8, False) value [ -381. -2333.]
key (8, 8, False) value [-118. -404.]
key (13, 4, True) value [ -5. -48.]
key (21, 9, True) value [ 1624.
key (4, 2, False) value [-18. -88.]
key (5, 6, False) value [ -12. -140.]
key (6, 4, False) value [ -26. -223.]
key (21, 3, True) value [ 1511.
                                  -16.]
key (17, 8, True) value [ -35. -161.]
key (18, 9, True) value [ -38. -201.]
key (9, 4, False) value [ -68. -232.]
key (8, 10, False) value [ -596. -2067.]
key (14, 4, False) value [ -172. -1798.]
key (5, 5, False) value [ -5. -115.]
key (9, 7, False) value [-167. -166.]
key (6, 8, False) value [ -72. -274.]
key (15, 2, True) value [-27. -89.]
key (18, 7, True) value [51. -88.]
key (16, 5, True) value [ -26. -105.]
key (9, 8, False) value [-159. -194.]
key (14, 3, True) value [-28. -55.]
key (16, 2, False) value [ -291. -2246.]
key (21, 6, False) value [ 2014. -536.]
key (7, 5, False) value [ -54. -261.]
key (11, 5, False) value [-64. -90.]
key (8, 4, False) value [ -40. -382.]
key (10, 4, False) value [-63. -95.]
key (18, 4, True) value [ 44. -153.]
key (14, 7, True) value [-55. -56.]
key (18, 2, True) value [ 13. -138.]
key (14, 2, True) value [-40. -58.]
key (17, 2, True) value [ -34. -135.]
key (18, 5, True) value [ 42. -172.]
key (12, 9, False) value [ -468. -1685.]
key (4, 6, False) value [ -7. -43.]
key (10, 1, False) value [-253. -574.]
key (18, 1, True) value [ -45. -247.]
key (11, 4, False) value [ -99. -147.]
```

```
key (8, 1, False) value [-182. -619.]
key (15, 6, False) value [ -138. -2025.]
key (21, 5, False) value [ 1962. -588.]
key (12, 10, True) value [-115. -172.]
key (7, 1, False) value [-141. -483.]
key (5, 3, False) value [ -27. -123.]
key (6, 9, False) value [ -75. -292.]
key (6, 6, False) value [ -21. -150.]
key (12, 7, True) value [-33. -33.]
key (15, 1, True) value [ -80. -200.]
key (7, 3, False) value [ -58. -310.]
key (14, 5, True) value [-20. -25.]
key (15, 4, True) value [-14. -54.]
key (9, 5, False) value [ -87. -156.]
key (17, 5, True) value [ 2. -80.]
key (7, 6, False) value [ -35. -260.]
key (6, 2, False) value [ -48. -205.]
key (17, 7, True) value [ -15. -136.]
key (4, 1, False) value [ -35. -113.]
key (6, 5, False) value [ -39. -228.]
key (20, 6, True) value [ 397.
key (13, 1, True) value [ -65. -164.]
key (5, 1, False) value [ -78. -233.]
key (13, 3, True) value [-26. -68.]
key (5, 4, False) value [ -17. -136.]
key (8, 6, False) value [ -64. -382.]
key (14, 8, True) value [-55. -45.]
key (8, 2, False) value [ -79. -367.]
key (14, 4, True) value [-27. -76.]
key (4, 8, False) value [-27. -57.]
key (15, 3, True) value [ -6. -130.]
key (18, 3, True) value [
                            8. -156.]
key (5, 8, False) value [ -41. -187.]
key (16, 7, True) value [ -40. -113.]
key (12, 3, True) value [ -8. -12.]
key (14, 6, True) value [-34. -38.]
key (17, 3, True) value [ -1. -93.]
key (15, 9, True) value [ -60. -122.]
key (20, 9, True) value [ 461. -2.]
key (19, 9, True) value [ 186. -30.]
key (16, 3, True) value [-58. -93.]
key (16, 2, True) value [ -27. -145.]
key (7, 2, False) value [ -59. -284.]
key (14, 1, True) value [ -98. -170.]
key (20, 5, True) value [ 409. -23.]
key (12, 5, True) value [ -9. -18.]
key (20, 3, True) value [ 400. -24.]
key (5, 2, False) value [ -21. -157.]
```

```
key (17, 9, True) value [ -57. -153.]
key (19, 6, True) value [ 358.
key (12, 8, True) value [-19. -21.]
key (12, 4, True) value [-7. 9.]
key (19, 3, True) value [ 264. -18.]
key (15, 7, True) value [-53. -78.]
key (12, 9, True) value [-17. -47.]
key (13, 6, True) value [-11. -19.]
key (19, 2, True) value [ 228. -26.]
key (15, 8, True) value [-60. -65.]
key (15, 6, True) value [-27. -35.]
key (5, 7, False) value [ -37. -141.]
key (4, 4, False) value [ -8. -69.]
key (13, 2, True) value [-17. -57.]
key (12, 2, True) value [ -7. -14.]
key (4, 5, False) value [ 0. -81.]
key (6, 1, False) value [-104. -351.]
key (12, 1, True) value [-34. -90.]
key (5, 9, False) value [ -40. -161.]
key (14, 9, True) value [-50. -97.]
key (4, 9, False) value [-24. -97.]
```

#### 1.0.3 Part 2: MC Control

In this section, you will write your own implementation of constant- $\alpha$  MC control.

Your algorithm has four arguments: - env: This is an instance of an OpenAI Gym environment. - num\_episodes: This is the number of episodes that are generated through agent-environment interaction. - alpha: This is the step-size parameter for the update step. - gamma: This is the discount rate. It must be a value between 0 and 1, inclusive (default value: 1).

The algorithm returns as output: - Q: This is a dictionary (of one-dimensional arrays) where Q[s][a] is the estimated action value corresponding to state s and action a. - policy: This is a dictionary where policy[s] returns the action that the agent chooses after observing state s.

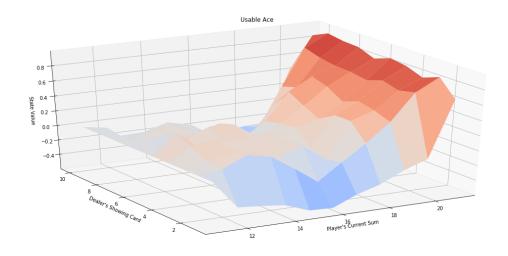
(Feel free to define additional functions to help you to organize your code.)

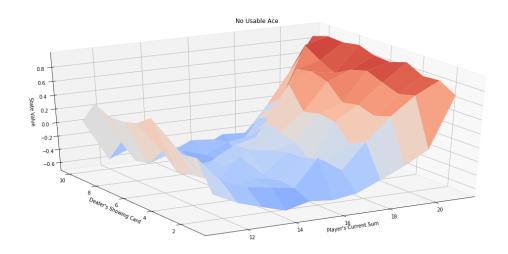
```
def get_probs(Q_s, epsilon, nA):
                               """ obtains the action probabilities corresponding to epsilon-greedy policy """
                              policy_s = np.ones(nA) * epsilon / nA
                              best_a = np.argmax(Q_s)
                              policy_s[best_a] = 1 - epsilon + (epsilon / nA)
                              return policy_s
                     def update_Q(env, episode, Q, alpha, gamma):
                               """ updates the action-value function estimate using the most recent episode """
                              states, actions, rewards = zip(*episode)
                              # prepare for discounting
                              discounts = np.array([gamma**i for i in range(len(rewards)+1)])
                              for i, state in enumerate(states):
                                        old_Q = Q[state][actions[i]]
                                       Q[state] [actions[i]] = old_Q + alpha*(sum(rewards[i:]*discounts[:-(1+i)]) - old
                              return Q
In [24]: def mc_control(env, num_episodes, alpha, gamma=1.0, eps_start=1.0, eps_decay=.99999, eps_decay=.999999, eps_decay=.99999, eps_decay=.999999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.9999999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.9999999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.99999, eps_decay=.999999, eps_decay=.99999, e
                              nA = env.action_space.n
                              # initialize empty dictionary of arrays
                              Q = defaultdict(lambda: np.zeros(nA))
                              epsilon = eps_start
                              # loop over episodes
                              for i_episode in range(1, num_episodes+1):
                                        # monitor progress
                                       if i_episode % 1000 == 0:
                                                 print("\rEpisode {}/{}.".format(i_episode, num_episodes), end="")
                                                 sys.stdout.flush()
                                        # set the value of epsilon
                                       epsilon = max(epsilon*eps_decay, eps_min)
                                        # generate an episode by following epsilon-greedy policy
                                        episode = generate_episode_from_Q(env, Q, epsilon, nA)
                                        # update the action-value function estimate using the episode
                                       Q = update_Q(env, episode, Q, alpha, gamma)
                              # determine the policy corresponding to the final action-value function estimate
                              policy = dict((k,np.argmax(v)) for k, v in Q.items())
                              return policy, Q
```

Use the cell below to obtain the estimated optimal policy and action-value function. Note that you should fill in your own values for the num\_episodes and alpha parameters.

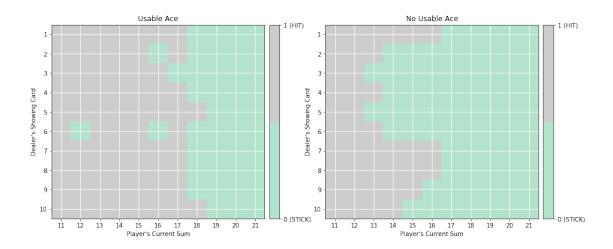
### 1.0.4 Alpha 0.03

Next, we plot the corresponding state-value function.

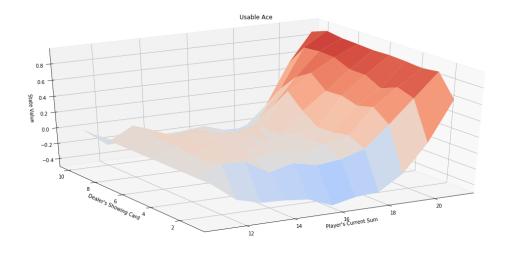


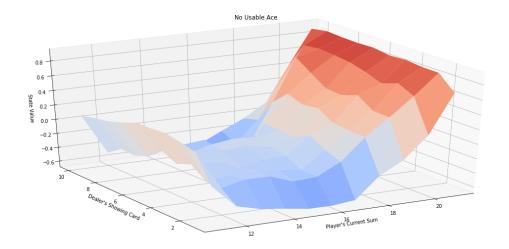


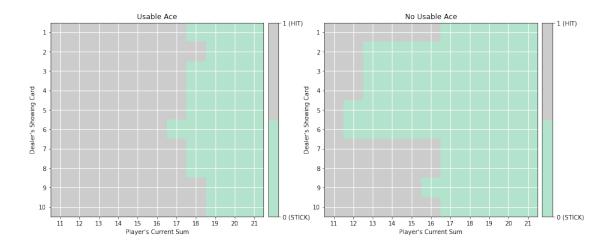
Finally, we visualize the policy that is estimated to be optimal.



# 1.0.5 Alpha 0.005



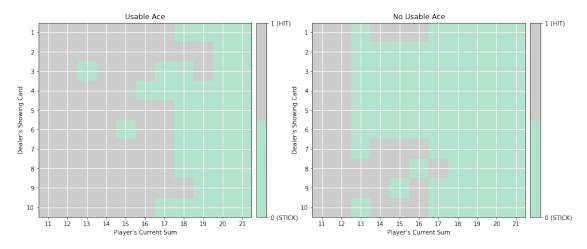




## 1.0.6 Alpha 0.05

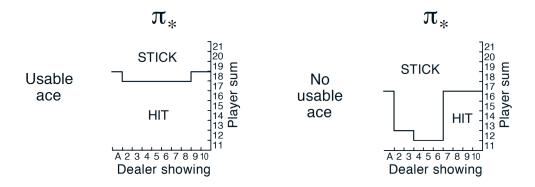
In [31]: # obtain the estimated optimal policy and action-value function
 policy, Q = mc\_control(env, 500000, 0.05)

Episode 500000/500000.



The **true** optimal policy  $\pi_*$  can be found in Figure 5.2 of the textbook (and appears below). Compare your final estimate to the optimal policy - how close are you able to get? If you are not happy with the performance of your algorithm, take the time to tweak the decay rate of  $\epsilon$ , change the value of  $\alpha$ , and/or run the algorithm for more episodes to attain better results.

In []:



True Optimal Policy