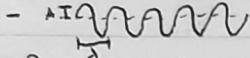


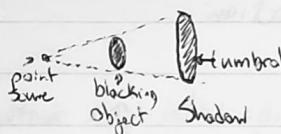
## Reflection and Refraction

### Propagation of Light.

- As parallel rays that travel in straight lines (rectilinear propagation)
- As waves characterized by amplitude and wavelength (or freq.)
  - 
  - freq now denoted by  $v$ , is oscillations per second.
  - speed of propagation ~~v~~  $v = \lambda f$  ( $v = c = 2.998 \times 10^8 \text{ m/s}$ )
- Light is an EM wave which forms a continuous ~~freq~~ Spectrum

### Shadows

- Consequence of rectilinear propagation
- Single point source of light:



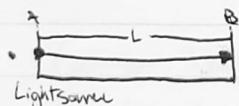
- Two point sources:



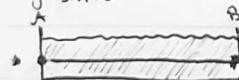
- Extended Source:



### Optical Path Length:



Light travels from A to B which is distance L in air



Light still travels L but it takes longer since light interacts with the water molecules.

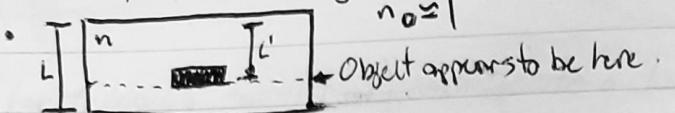
- To account for the delay define the Optical Path Length

- $$- S = L_n \quad \text{where } n \text{ is the refractive index.}$$

- $$\bullet \text{Also } n = \frac{c}{v}$$

- $\bullet S$  is the distance the light would have travelled in some amount of time if it had been in a vacuum.

- Consider an observer viewing an object through a medium of index,  $n$ . The object appears closer than if removed



- Object will appear to be at the location which results in the optical path length in air is equal to the optical path length in matter.

$$L_{n_0} = L'n \Rightarrow L' = \frac{L}{n}$$

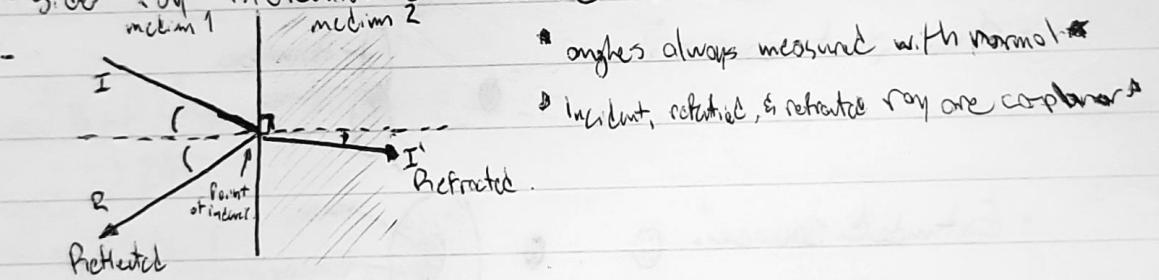
- Ex. Glass has  $n=1.8$ , the writing appears to be 5mm closer than before it was thick. Is the plate?

$$L_0 = 5 \text{ mm} \quad n = 1.8 \quad L' = \frac{L_0}{n} \quad L_0(1 - \frac{1}{n}) = 5 \quad L_0 = \frac{5}{1 - \frac{1}{1.8}} \quad L_0 = 11.25 \text{ mm}$$

$$L_0 - 5 = \frac{L_0}{n} \quad L_0 = \frac{5}{1 - \frac{1}{1.8}}$$

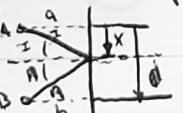
## Reflection and Refraction

- Consider ray incident on surface between 2 media



- Fermat's Principle: Light takes path of least time

- Consider reflection



- Total Path Length (A-B):  $L = \sqrt{a^2 + x^2} + \sqrt{(b-x)^2 + b^2}$

$$- t = \frac{L}{V} = \frac{\sqrt{a^2 + x^2} + \sqrt{(b-x)^2 + b^2}}{V}$$

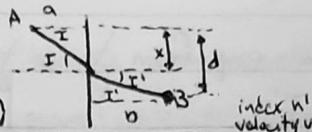
$$- \frac{dt}{dx} = 0 \Rightarrow 0 = \frac{1}{2} (a^2 + x^2)^{\frac{1}{2}} 2x + \frac{1}{2} ((b-x)^2 + b^2)^{\frac{1}{2}} 2(b-x)(-1)$$

$$\hookrightarrow \frac{d-x}{\sqrt{(b-x)^2 + b^2}} = \frac{\sin \theta}{\sqrt{a^2 + x^2}}$$

but when looking at the diagram we see

$$\sin \theta = \sin I$$

- Now consider refraction:



Note:

$$\begin{aligned} t_{\text{TOT}} &= t_A + t_B = \frac{b_1}{v} + \frac{L_2}{v'} = \frac{\sqrt{b^2+x^2}}{v} + \frac{\sqrt{b^2+(d-x)^2}}{v'} \\ \frac{dt_{\text{TOT}}}{dx} &= 0 = \frac{1}{v} \frac{1}{2} (a^2+x^2)^{-\frac{1}{2}} 2x + \frac{1}{v'} \frac{1}{2} (b^2+(d-x)^2)^{-\frac{1}{2}} 2(d-x)-1 \end{aligned}$$

$$\frac{1}{v} \frac{x}{\sqrt{b^2+x^2}} = \frac{1}{v'} \frac{d-x}{\sqrt{b^2+(d-x)^2}}$$

$$\frac{\sin I}{v} = \frac{\sin I'}{v'}$$

$$\frac{\sin I}{n} = \frac{\sin I'}{n'} \Rightarrow n \sin I = n' \sin I'$$

- Back to  $n d_o = n' L'$

$$\begin{aligned} \text{Diagram: } &\text{Light ray from } O \text{ passes through a rectangular block of thickness } L_0 \text{ and refracts at angle } I' \text{ at the top surface.} \\ &\circ \sin \theta \approx \tan \theta \quad n_0 \sin I = n' \sin I' \\ &\circ \tan I = \frac{x}{L_0} = \sin I \quad n_0 \frac{x}{L_0} = n' \frac{x}{L_0} \\ &\circ \tan I' = \frac{x}{L_0} = \sin I' \quad n_0 d_o = n' L' \end{aligned}$$

- Note: Index of refraction changes with wavelength.

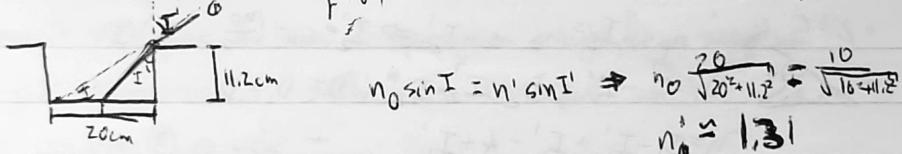
- at  $\lambda = 587.6 \text{ nm}$

$$\text{Vacuum} = 1$$

$$\text{Glass} = 1.5236$$

$$\text{Air} = 1.0003$$

- Ex. An open cylinder is 20cm in diameter & is 11.2cm tall. Observer is looking from such a direction that they can just see the opposite bottom. It is then filled with liquid. What is the refractive index?



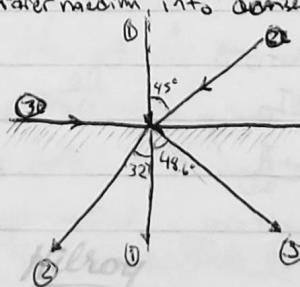
## Critical Angle.

- Consider boundaries between media of different  $n$

- Eg. Air = 1.0003, water  $\frac{4}{3}$

- Consider case of ray passing from rarer medium, into denser medium

Ray	I	I'
①	0°	0°
②	45°	32°
③	90°	48.6°

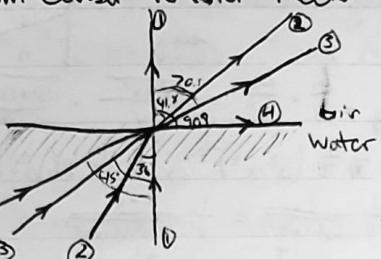


In case 3, I' is called

the limiting angle of refraction  
 $- I'_{\text{lim}} = \sin^{-1}(n_2/n_1)$

- Next consider ray from denser to rarer media

Ray	I	$I'$
①	0°	0°
②	30°	41.8°
③	45°	70.5°
④	48.6°	90°



- In case 4 the critical  
minimum angle of total  
internal reflection

$$= I_{CRIT} = \sin^{-1}\left(\frac{n'}{n}\right)$$

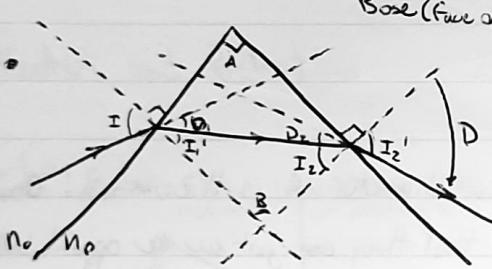
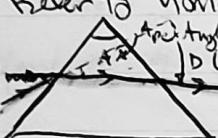
-  $I > I_{CRIT}$ , reflected.

• Note:  $I'_{lim} = I_{CRIT}$

## Prisms

• Reflecting Prisms: Refer to horizont.

• Refracting Prisms:



• Follow ray through to find D

$$\textcircled{1} \quad \text{1st Surface: } n_0 \sin I_1 = n_1 \sin I'_1 \Rightarrow I'_1 = \sin^{-1}\left(\frac{n_0}{n_1} \sin I_1\right)$$

$$\textcircled{2} \quad \text{At B: } A + B + 180 = 360 \Rightarrow A + B = 180$$

$$\textcircled{3} \quad \text{At } I_2: I_2 + I'_1 + 180 = 360 \Rightarrow I_2 + I'_1 + (180 - A) = 180$$

$$\textcircled{4} \quad \Rightarrow I_2 = A - I'_1$$

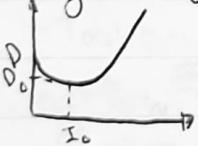
$$\textcircled{5} \quad \text{2nd Surface: } n_1 \sin I_2 = n_0 \sin I'_2 \Rightarrow I'_2 = \sin^{-1}\left(\frac{n_1}{n_0} \sin I_2\right) \text{ (3)}$$

$$\textcircled{6} \quad D_1 = I_1 - I'_1, \quad D_2 = I'_2 - I_2, \quad D = D_1 + D_2$$

$$D = I_1 - I'_1 + I'_2 - I_2 \quad \leftarrow \text{sub in eq. (2)}$$

$$\textcircled{7} \quad D = I_1 + I'_2 - A$$

• For given  $n_0, n_1, A$  get a curve that looks like:



$D_0$  occurs when ray passes symmetrically through prism so  $I'_1 = I_1 = I_0$  and  $I'_2 = I_2$ .

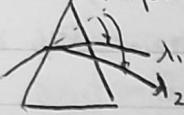
$$\textcircled{8} \quad D = I_1 + I'_2 - A \quad \Rightarrow \quad \frac{D_0 + A}{2} = \sin^{-1}\left[\frac{n_1}{n_0} \sin\left(\frac{A}{2}\right)\right]$$

$$D_0 = I_0 - I_0 - A$$

$$D_0 + A = 2I_0$$

$$I_0 = \frac{D_0 + A}{2}$$

$$\frac{D_0}{n_0} = \frac{\sin\left(\frac{D_0 + A}{2}\right)}{\sin\left(\frac{A}{2}\right)} \quad \left\{ \begin{array}{l} \text{Prism Eqn.} \\ \text{Eqn.} \end{array} \right.$$

- When a broadest light passes through each wavelength is refracted according to its index for the prism (whitelight disperses into spectrum)
- Normal Dispersion: at longer wavelengths index is less (true in regions away from observer)
-   $D = D\lambda_2 - D\lambda_1$  ← Angular Dispersion

- To characterize glasses with respect to how much dispersion occurs, needs to look at behavior at more than one wavelength
- There are 3 wavelengths (red, green, blue) ( $n_r$ ,  $n_g$ ,  $n_b$ )
- The ratio,  $V = \frac{n_r - 1}{n_b - n_r}$  ← Abbe's number (V-value)
- Glasses of low dispersion ( $n_b - n_r$  is small) are called crown glasses and V is large ( $V > 55$ )
- Glasses of high dispersion ( $V < 50$ ) are called flats

## Light on a Curved Surface

- Light incident on surface is characterized by its vergence
- Light that spreads out is called divergent 
- $R$  is always measured from wavefront to center of curvature
- Since it is opposite direction of propagation of light,  $R$  is negative
- Light that comes together is convergent 
- $R$  is positive

$$\text{Vergence} / \text{Divergence} = V = \frac{1}{R}$$

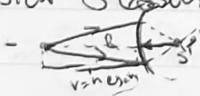
units =  $\text{m}^{-1}$  or diopter



Surface has refractive power,  $P = \frac{n}{R}$  where  
F is Focal length

- Focal Length is measured from the surface to focal point.

- Consider 3 cases:



$V' = \frac{n}{P}$  because  $P > |V|$  so excess positive vergence remains, i.e. after refraction is convergent

Can have magnification where  $|V'| = P$  the  $V' = 0$  and light emerges parallel & it image is ~~parallel~~ at  $b'$  pulp.

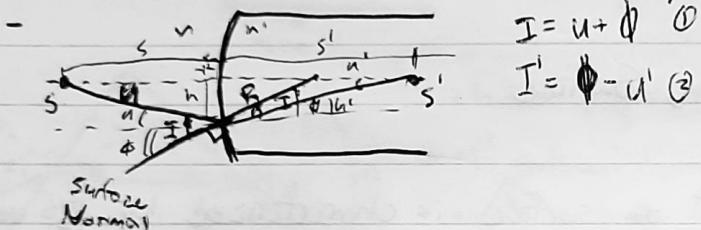


Parallel light incident on a positive surface comes out of focus at the second focal point,  $F_2$



- Object + image can lie on either side of vertex so obj + image spaces overlap completely and extend to infinity in both directions
- Whether a given point is part of object or the image space depends on whether it is part of a ray before or after refraction
- Sign Convention: Rational Cartesian Sign Convention.
- Figures drawn with light propagating left  $\rightarrow$  right if possible
- Distances measured from surface in a direction opposite direction of propagation of light are negative those in the direction of propagation of light are positive.
- Radii of curvature  $\rightarrow$  measured from surface.
  - Those in direction of propagation of light  $\rightarrow$  positive
  - - " - opposite direction  $\rightarrow$  negative

### Gauss' formula and the Surface Power Equation



$$I = u + \Phi \quad (1)$$

$$I' = \Phi - u' \quad (2)$$

- Paraxial Approximation  $\rightarrow$  Assume angles  $u + u'$  are small  $\rightarrow$  ray translates to optical axis.

$$\bullet n \sin i = n' \sin i' \rightarrow nI = n'I'$$

$$- \text{Sub (1)+(2)} \rightarrow n(u + \Phi) = n(\Phi - u') \quad (3)$$

- For paraxial rays  $\epsilon$  is small compared to  $|s|$  &  $|s'|$ , so

$$\tan u \approx u = \frac{n}{|s|+k_1} = \frac{n}{|s|} \quad (4) ; \quad \tan u' \approx u' = \frac{n'}{|s'|+k_2} = \frac{n'}{|s'|} \quad (5)$$

$$- \sin \Phi = \Phi = \frac{n}{|s|} \quad (6)$$

$$- \text{Sub (4)+(5)+(6)} \rightarrow n\left(\frac{n}{|s|} + \frac{n'}{|s'|}\right) = n'\left(\frac{n}{|s|} - \frac{n}{|s'|}\right)$$

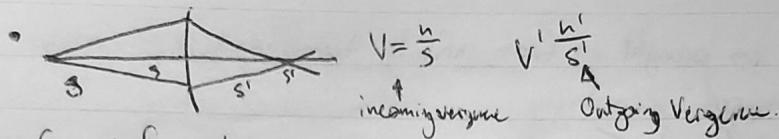
$$\frac{n'}{|s'|} = \frac{(n'-n)}{|s|} - \frac{n}{|s'|}$$

- For case shown:  $|s| = -s$ ,  $|s'| = s'$ ,  $|s| = R$

$$- \boxed{\frac{n'}{s'} = \frac{(n'-n)}{R} + \frac{n}{|s'|}} \quad \begin{array}{c} \text{Gauss' formula for refraction at a} \\ \text{single surface} \end{array}$$

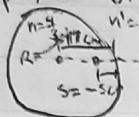
- Consider  $s = -\infty$

$$\frac{n'}{f_2} = \frac{n'-n}{R} + \frac{n'}{\infty} \Rightarrow \boxed{P = \frac{n'-n}{R}} \quad \begin{array}{c} \text{Surface Power} \\ \text{Equation} \end{array}$$



- Gauss' formula can be written  $V' = P + V$

- Ex if fish is 5cm from edge of bowl, bowl has 34 cm diameter, find apparent position of fish



$$\frac{n'}{s'} = \frac{n'-n}{R} + \frac{n}{s} \Rightarrow s' = \frac{nR}{n-n'+\frac{n}{s}} \Rightarrow s' = \frac{1}{\frac{1}{17} + \frac{1}{5}} \approx -4 \text{ cm}$$

- b) If Will sees rays focus on Fish?

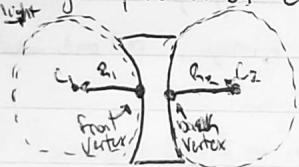
$$\frac{n'}{s'} = \frac{n'-n}{R} + \frac{n}{s} \Rightarrow s' = \frac{nR}{n-n'+\frac{n}{s}} \Rightarrow s' = \frac{(1)(17)}{\frac{1}{3}-1} \approx 68 \text{ cm}$$

Dont have to worry about fishes' blindness.

## Lenses.

### Thin lenses

- A lens whose thickness is small compared to the focal length, radii of curvature, and  $s' + s$ .



- lens has 2 centres of curvature.

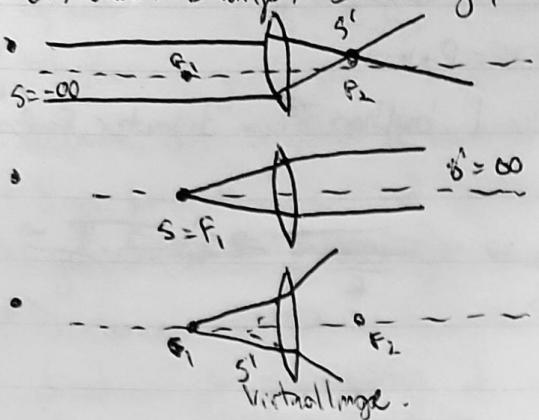
- Incident parallel light forms the second focal point
- Light made parallel by lens comes from 1<sup>st</sup> focal point
- Generally "the focal point of the lens" refers to  $F_2$
- Positive lens (Converging)

- makes parallel light convergent
- thicker in the middle than at periphery
- $F_2$  to right of lens
- Bi-Convex       Mono-Convex

- Negative lens (Diverging)

- makes parallel light diverge
- thinner in middle than at periphery
- $F_2$  to left of lens
- Bi-Concave       Mono-Concave.

- As object is brought in from infinity towards lens; image distance changes accordingly



## Graphical Ray Tracing

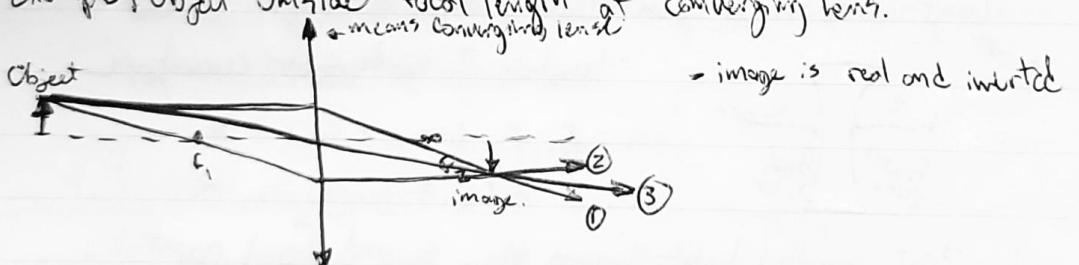
- Need to use at least 2 of 3 principal rays

(1) Parallel Ray - Initially parallel to optical axis, after passing through lens passes through  $F_2$ .

(2) Focal Ray - Initially passes through  $F_1$ , emerges parallel to optical axis

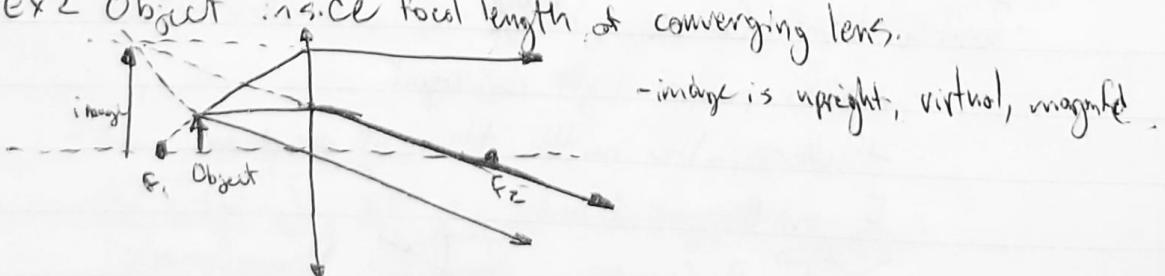
(3) Undeviated Ray - goes through centre of lens with no deviation

- Example 1 Object Outside focal length of converging lens.

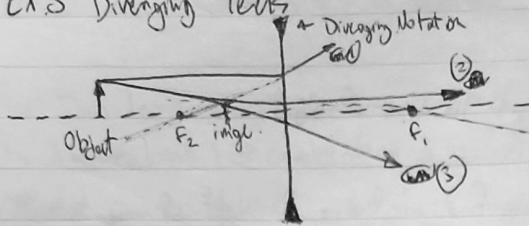


- An image is virtual if rays converge towards it before passing through the lens.

- Ex 2 Object inside focal length of converging lens.

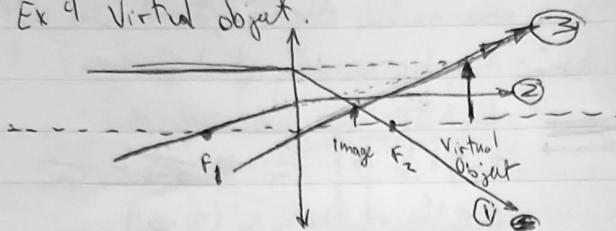


• Ex 3 Diverging lens



- Image is virtual, upright,
- reduced magnification
- True for all real objects.

• Ex 4 Virtual object.



## Thin Lens Equations

- ① Lens-Makers formula: - for a thin lens refractive power is sum

- of powers of 2 surfaces of lens

$$P_c = P_1 + P_2 = \frac{n_o - n_e}{R_1} + \frac{n_e - n_o}{R_2} = (n_o - n_e) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$$

-  $R_2$  is negative.

$$\text{recall: } P = \frac{n_{\text{surrounding}}}{f} = \frac{n_{\text{air}}}{f} = \frac{1}{f}$$

- ② Thin lens equation:  $\frac{1}{V} = \frac{1}{U} + \frac{1}{f}$ , for  $\frac{1}{S} \rightarrow \frac{1}{V} = \frac{1}{S} + \frac{1}{f}$  : - General form + use if different

$$\text{if in air } \frac{1}{S} = \frac{1}{S} + \frac{1}{f}$$

- Example: When obj is placed 75 cm in front of a converging lens, its image is 3 times as far away from lens as when obj is placed at infinity. What is f of lens?

$$\frac{1}{S} = \frac{1}{S_1} + \frac{1}{f} \Rightarrow \frac{1}{S} = \frac{f + S_1}{f S_1} \Rightarrow S_1 = \frac{f S_1}{f + S_1}$$

$$S_1 = 3 S_2$$

$$\frac{S_1}{f + S_1} = \frac{3 S_2}{f + S_2}$$

$$S_1(f + S_2) = 3 S_2(f + S_1)$$

$$\frac{S_1}{S_2}(f + S_2) = 3(f + S_1)$$

$$\frac{S_1}{S_2}f + S_1 = 3f + 3S_1$$

$$S_1 - 3S_1 = 3f - \frac{S_1}{S_2}f$$

$$-2S_1 = f(3 - \frac{1}{S_2})$$

$$f = -2S_1$$

$$\cong -\frac{2}{3}S_1$$

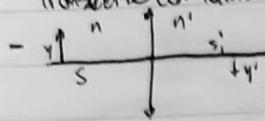
$$f \cong -\frac{2}{3}(75) \cong 50\text{cm}$$

# Magnification

• Comparison of size of image to that of object

• There are 3 types:

① Transverse (or lateral) Magnification: Ratio of height of image to height of object.



$$M_T = \frac{s_1}{s} = \frac{h_1}{h}$$

$$\text{when } n = u', M_T = \frac{y'}{y} = \frac{s_1}{s}$$

② Axial Magnification: Magnification along optical axis



$$M_x = \frac{s_1}{s_2}$$

$$\frac{1}{s} + \frac{1}{f} = \frac{1}{s_1} \Rightarrow f = \frac{s s_1}{s_1 - s} \Rightarrow \frac{s_1 s_1'}{s_1 - s_1} = \frac{s_2 s_2'}{s_2 - s_2}$$

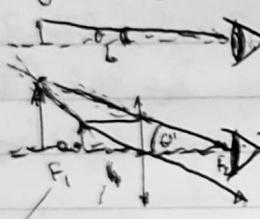
$$s_1 s_1' (s_2 + s_2') = s_2 s_2' (s_1 + s_1')$$

$$\vdots$$

$$\frac{s_1'}{s_1} = \frac{s_2'}{s_2}$$

$$M_x = \frac{s_1'}{s_1} = M_T$$

③ Angular Magnification: Refers to a comparison of angles subtended at viewer by an object with and without the aid of an optical device.



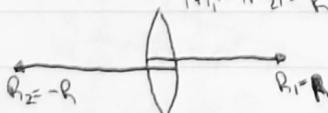
(Small object of height  $s$  when observed by unaided eye is assumed to be held at normal viewing eye distance ( $\approx 25$  cm))

- Transversely, lens within focal length.

$$- M_A = \frac{\theta_1}{\theta_2}$$

Ex. object is located 20cm in front of a thin converging lens. Lateral magnification of object is  $M = -0.25$ ; and the index of refraction at the lens material is 1.65. What are the 2 radii of curvature of the lenses?

$$|h_1| = |h_2| = R$$



$$f = \frac{s_1 s_2}{s_1 + s_2} = \frac{(-0.2)(0.25)}{-0.2 - 0.05} = 0.8 \text{ m}$$

$$R_{1,2} = (\nu - n_0) \left( \frac{1}{f} - \frac{1}{R} \right)$$

$$\frac{1}{f} = (\nu - 1) \left( \frac{1}{R} - \frac{1}{r} \right)$$

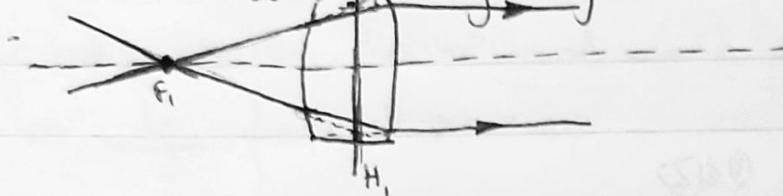
$$\vdots$$

$$R_1 = 0.052 \text{ m}$$

## Thick Lenses and Combinations of Lenses

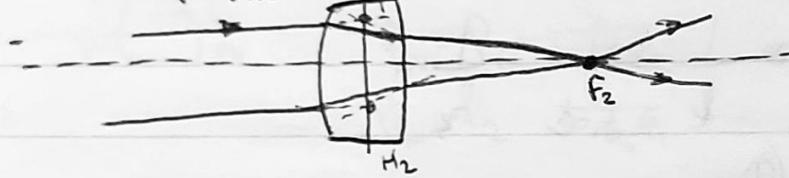
- For thin lens we measure  $f$ ,  $s$  +  $s'$  from center of lens
- In thick lens (or combination of lenses)  $\rightarrow$  measure from hypothetical planes called principal planes
- We can then continue to use conventional thin lens equations.
- How are Principal Planes determined in a thick lens?
- 1 - First Principal Plane

- Consider focal ray originating from  $F_1$  of a thick lens

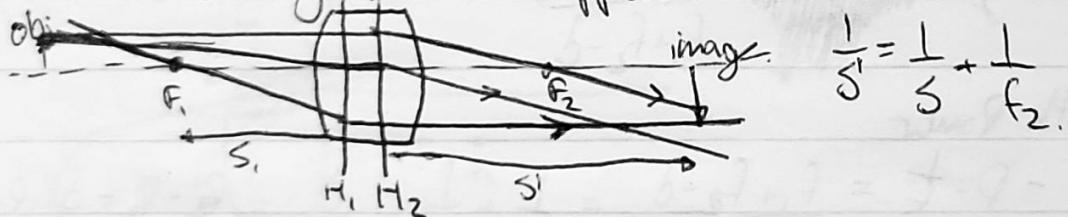


- 2 - Second Principal Plane

- Use parallel ray

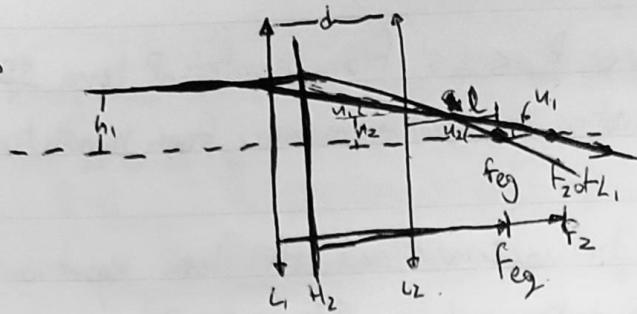


- To continue using thin lens approach we assume the following:



- Principal planes are conceptual only they are located where refraction is assumed to occur so that thin lens equations are possible
- Lens that are unsymmetrical,  $H_1$  &  $H_2$  will move towards larger curvature.

# Combinations of Lenses



- $\frac{h_1}{h_2} = \frac{f_1}{f_1 - d}$  ①

- $\frac{h_1}{h_2} = \frac{f_{eq}}{d}$  ②

- Sub and solve ① & ②

- $d = \frac{f_1 - d}{f_1} F_{eq}$  ③.

- $\frac{1}{f} = \frac{1}{f_1} + \frac{1}{f_2} \Rightarrow \frac{1}{f} = \frac{1}{f_1 - d} + \frac{1}{f_2}$

- Sub in ③ to ④

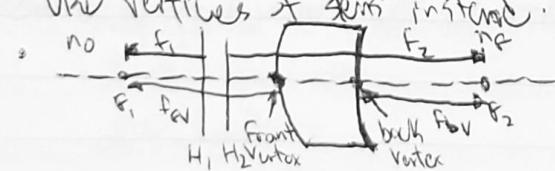
$$F_{eq} = \frac{f_1 f_2}{f_1 + f_2 - d}$$

- With Power

- $D = \frac{1}{f} = \frac{f_1 + f_2 - d}{f_1 f_2} = \frac{1}{f_2} + \frac{1}{f_1} - \frac{d}{f_1 f_2} = P_2 + P_1 - dP_1 P_2$

- Principals are irrelevant to user for measurements

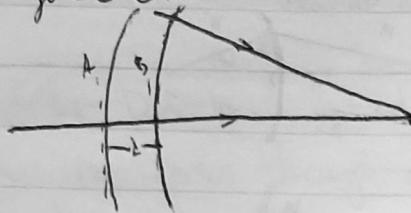
- Use vertices of lens instead.



- Power of front vertex -  $P_{fv} = -\frac{n_2}{f_{fv}}$

- " " " Back " -  $P_{bv} = \frac{n_2}{f_{bv}}$

Vergenerenz.



$$\text{Plane A} \rightarrow L = \frac{n_0}{n_A}$$

$$\text{Plane B} \rightarrow n_B = \frac{n_0}{L-d}$$

$$V_B = \frac{V_A}{1 - \frac{d}{n_0} V_A}$$

The vergence at a distance  $d$

from a point where vergence is  $V_0$

is given by  $V_{\text{eff}} = \frac{V_0}{1 - \frac{d}{n_0} V_0}$  } Change of Vergence Eqn

Pooley had a similar derivation and wound up with

$$P_{\text{eff}} = \frac{P_0}{1 - \frac{d}{n_0} P_0}$$
 } change of power eqn.

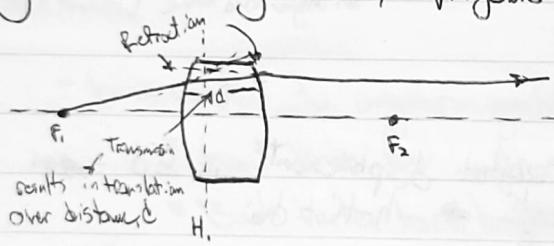
For thin lens  $D = P_1 + P_2$

For thick lens the two surfaces are separated by  $d$ .

$$P_{\text{av}} = P_1 + \frac{P_2}{1 - \frac{d}{n_0} P_2}$$

$$P_{\text{br}} = P_2 + \frac{P_1}{1 - \frac{d}{n_0} P_1}$$

## Describing Lens Using Matrix Algebra.

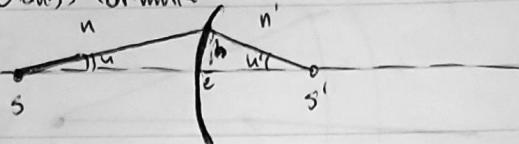


- For refraction: ray changes direction but does not change height above OA.
- For translation: ray does not change in direction but does change its height relative to OA.
- To follow ray, need two operators
  - ↳ one for refraction; one for translation
- With matrix algebra can easily trace rays from an object to an image through complicated optical system



- The refraction matrix: Use Gauss' formula

$$\frac{n}{S} + \frac{n'-n}{R} = \frac{n'}{S'}$$



- $\frac{n}{S} + P = \frac{n'}{S'}$
- For parallel rays:  $\tan u \approx u \approx \frac{h}{S} \Rightarrow S = \frac{h}{u}$   
 $\tan u' \approx u' \approx \frac{h}{S'} \Rightarrow S' = \frac{h}{u'}$
- $\frac{n}{h} + P = \frac{n'}{h} \Rightarrow nu + Ph = n'u'$

$nu + Ph = n'u'$  can be written in matrix form

$$\begin{pmatrix} 1 & P \\ 0 & 1 \end{pmatrix} \begin{pmatrix} n & u \\ h & \end{pmatrix} = \begin{pmatrix} n' & u' \\ h & \end{pmatrix} \Rightarrow nu + Ph = n'u' \quad \leftarrow \text{Gauss' formula}$$

$h = h \quad \leftarrow \text{No change in height}$

Refraction Input

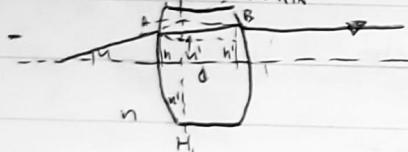
$$\begin{pmatrix} 1 & P \\ 0 & 1 \end{pmatrix} \leftarrow \text{Refraction Matrix}$$

$$\det R = (1)(1) - (P)(0) = 1$$

$$\text{for planar surface: } P = \frac{n-n_{\text{air}}}{R} = 0 \quad \text{so,}$$

$R = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \leftarrow \text{unit matrix}$

- The Translation Matrix



Note: There is a sign convention for angles.  
 an angle measure CW w.r.t OA is +ve

- For parallel rays: horizontal displacement is  $u$  so
- $\tan u \approx u \approx \frac{h-h'}{c} \Rightarrow h-h' = cu$

$$\begin{pmatrix} 1 & 0 \\ -\frac{c}{n} & 1 \end{pmatrix} \begin{pmatrix} n & u \\ h & \end{pmatrix} = \begin{pmatrix} n & u' \\ h' & \end{pmatrix}$$

$n$  at input  
of translation matrix  
of which light  
travels

$n'$  at output

$$n'u' = n'u' \quad \cancel{-\frac{c}{n}(n'u') + h = h'}$$

$$\cancel{n} \cancel{u} \cancel{u'} h - h' = cu$$

$$\det T \neq 1$$

$$T = \begin{pmatrix} 1 & 0 \\ -\frac{c}{n} & 1 \end{pmatrix}$$

# System Matrix

- ~~Refractor~~ Describes optical system
- When ray passes through optical system, it undergoes various refraction & translation process.
- In order to describe the system, we multiply the matrices together representing the events in reverse order of occurrence.
- Ex. Thick lens

$$\text{Ray diagram: } \begin{array}{c} R_1 \\ T \\ R_2 \end{array} \quad S = R_2 T R_1$$

$$\text{Ex. } \begin{array}{c} R_1 \\ -T_L \\ T_2 \\ R_3 \\ R_4 \end{array} \quad S = R_4 T_3 R_3 T_2 R_2 T_1 R_1$$

- Any system no matter how complex can be represented by one system matrix ( $2 \times 2$  matrix).

- Note: because determinant of a matrix product is equal to the product of the determinants of the matrices,  $\det S = 1$

- System matrix is a  $2 \times 2$  matrix with 4 elements called the generation constants, i.e.  $S = \begin{pmatrix} b & a \\ d & c \end{pmatrix}$ 
  - constant  $a$  is  $f_{eq} = a$
  - represent various parameters of the optical system
  - Equivalent focal length one -  $f_{eq1} = \frac{-n_2}{a}$
  - $f_{eq2} = \frac{n_2}{a}$

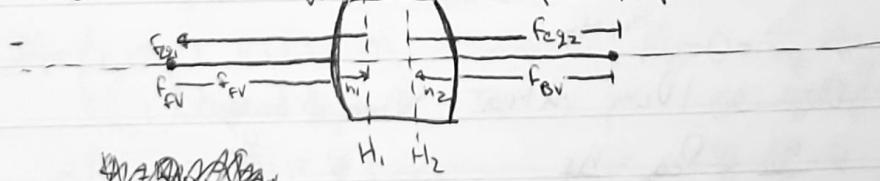
-  $b$  is related to angular magnification

$$\text{Front Vertex focal length, } f_{FV} = -\frac{b n_2}{a} = b f_{eq1}$$

$$\text{Back Vertex focal length is, } f_{BV} = \frac{c n_2}{a} = c f_{eq2}$$

- The negative reduced thickness of the lens (or equivalent for system of lenses) is  $= \frac{c}{n_2}$

- Now we know where the principal planes are.



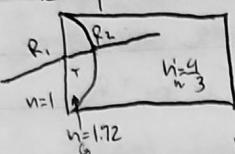
$$h_1 = f_{FV} - f_{BV}$$

$$h_2 = f_{BV} - f_{eq2}$$

# Example

- Spherical hemisphere of radius 1.25 cm made of glass of index 1.72 is used to seal tube of water. Light is incident on planar surface from air

- a) Find system matrix



$$S = R_2 + R_1$$

$$P_1 = n_2 - n_1$$

$r_1$  = radius of surface 2

$$P_2 = 0$$

$$R_2 = \frac{1}{(n_2 - n_1)} = \frac{1}{\frac{4}{3} - 1.00} = 3.093 \text{ m}^{-1}$$

$$S = \begin{pmatrix} 1 & P_2 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -\frac{c}{n_2} & 1 \end{pmatrix} \begin{pmatrix} 1 & P_1 \\ 0 & 1 \end{pmatrix}$$

$$S = \begin{pmatrix} 1 & 30.93 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -0.125 & 1 \end{pmatrix}$$

$$S = \begin{pmatrix} 1 - 30.93 \left( \frac{0.125}{1.72} \right) & 30.93 \\ -\frac{0.125}{1.72} & 1 \end{pmatrix} = \begin{pmatrix} 0.4752 & 30.93 \text{ m}^{-1} \\ -0.007261 \text{ m} & 1 \end{pmatrix}$$

- b) find locations of focal points and first and 2nd

principal planes

$$H_1 \left\{ \begin{array}{l} f_{eq1} = \frac{n_2}{a} = \frac{1}{30.93} = -0.03233 \text{ m} \\ f_{eq1} = b f_{eq1} = 0.7152(-0.03233) = -0.02506 \text{ m} \\ h_1 = f_{eq1} - f_{eq2} = -0.02506 - (-0.03233) = 0.00721 \text{ m} \\ f_{eq2} = \frac{n_2}{a} = \frac{1}{30.93} = 0.043103 \text{ m} \end{array} \right.$$

$$H_2 \left\{ \begin{array}{l} f_{eq2} = c f_{eq2} = f_{eq2} = 0.043103 \text{ m} \\ h_2 = f_{eq2} - f_{eq1} = 0. \text{ At focus } H_2 \text{ is at the back vertex} \end{array} \right.$$



- c) Obj is 10cm in front. Where is image?

$$\frac{n_2}{s} + \frac{n_1}{s'} = \frac{n_2}{f_{eq}}$$

$$s' = \frac{4}{3}$$

$$s = -10 + 0.00721 + 30.93$$

$$s' = \frac{4}{3}$$

$$-10 + 0.00721 + 30.93$$

$$s' = 0.00721 \text{ m}$$

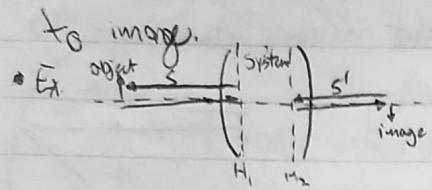
wrt  $H_2$ .

$$\text{wrt } H_1 \rightarrow \frac{n_2}{s} + \frac{n_1}{s'} = \frac{n_2}{f_{eq}}$$

$$s' = \frac{\frac{4}{3}}{10 + h_1} + 30.93$$

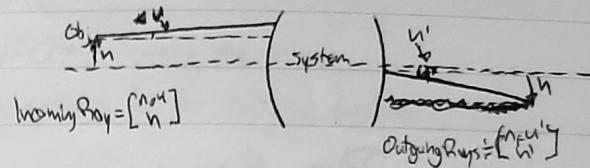
- Next we want to use matrices to connect object and image points.

- Need to translate from object  $\rightarrow$  system and then from system



Described by:  $M = \begin{pmatrix} 1 & 0 \\ -\frac{s'}{n_0} & 1 \end{pmatrix} \begin{pmatrix} b & a \\ d & c \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \frac{s}{n_0} & 1 \end{pmatrix}$

object-image matrix  
 $= \begin{pmatrix} M_b & M_a \\ M_d & M_c \end{pmatrix}$



- In matrix form can write the general transformation from obj to image as:

$$\begin{pmatrix} M_b & M_a \\ M_d & M_c \end{pmatrix} \begin{pmatrix} n_o u \end{pmatrix} = \begin{pmatrix} n u' \end{pmatrix}$$

$$M_b n_o u + M_a h = n u' \quad (1)$$

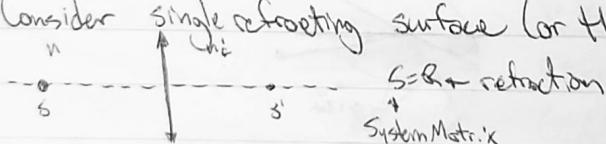
$$M_d n_o u + M_c h = h' \quad (2)$$

- Since this transformation connects two conjugate points  $P \& P'$  all rays that begin at  $P$  must end up at  $P'$   $\Rightarrow$  implies  $h'$  does not depend on angle of incoming ray  $M_a = 0$

- (2) then becomes  $M_c h = h' \Rightarrow M_c = \frac{h'}{h} \leftarrow$  lateral Magnification,  $M_T$

• Thus:  $M = \begin{pmatrix} M_b & M_a \\ 0 & M_c \end{pmatrix}$  Generally related to angular magnification

- Ex. Consider single refractioning surface (or thin lens)



$$M = \begin{pmatrix} 1 & 0 \\ -\frac{s'}{n_f} & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \frac{s}{n_0} & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ -\frac{s'}{n_f} & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 1 - \frac{s'P}{n_f} & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \frac{s}{n_0} & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ -\frac{s'}{n_f} & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \frac{n_0 - n_f}{n_f} & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -\frac{s'}{n_f} + \frac{n_0 - n_f}{n_f} & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 + \frac{n_0 - n_f}{n_f} P & P \\ -\frac{s'}{n_f} + \frac{n_0 - n_f}{n_f} - \frac{ss'}{n_0 n_f} P & 1 - \frac{s'P}{n_f} \end{pmatrix} = \begin{pmatrix} M_b & M_a \\ M_d & M_c \end{pmatrix}$$

$$M_b = 0 = \frac{-s'}{n_f} + \frac{s}{n_0} - \frac{ss'}{n_0 n_f} P$$

$$0 = -\frac{n_f}{s} + \frac{n_f}{s'} - P$$

$$\frac{n_f}{s} = \frac{n_0}{s'} + P \leftarrow \text{Gauss formula.}$$

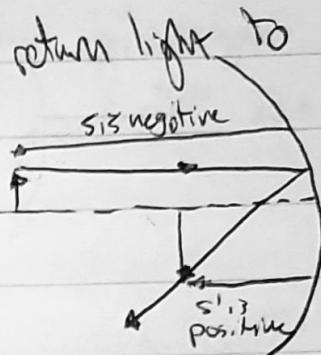
$$M_c = 1 - \frac{s'}{n_f} P = 1 - \frac{s'}{n_f} \left( \frac{n_f - n_0}{s} \right)$$

$$= 1 - \frac{s'}{n_f} \left( \frac{(n_f - n_0)s'}{ss'} \right) = \frac{n_f}{n_f - n_0} = \frac{n_0 s'}{n_f s'}$$

$$M_c = M_T = \frac{n_0 s'}{n_f s} \leftarrow \text{Transverse Magnification.}$$

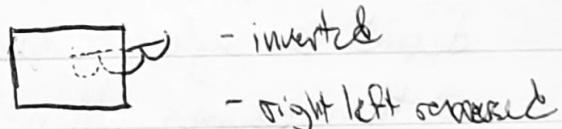
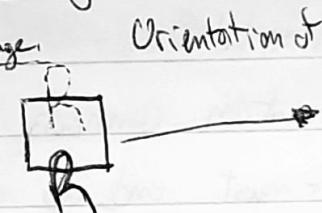
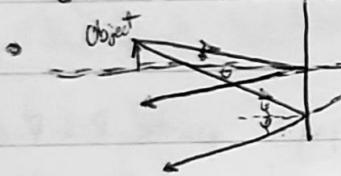
# Mirrors

- Can focus light; form images
- Main difference from lenses is that mirrors return light to same medium in which it was travelling
- Sign Convention is adopted to account for this by assuming:
  - Distances measured in the same direction as the light is moving are positive
  - Distances measured in opposite direction of light travel are negative

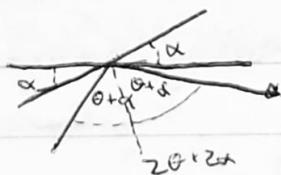


## Plane Mirrors

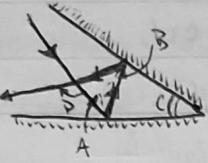
- Reflect light without focusing it.
- A virtual image is created the same distance behind the mirror as the object is in front.



- If mirror is rotated through angle,  $\alpha$ , the reflected ray rotates through  $2\alpha$

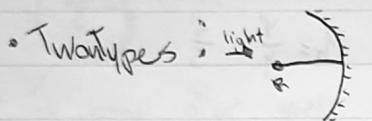


## Multiple Plane Mirrors.

- 

-  $D$  is the angle through which ray is rotated  
 $D = 2A + 2B \rightarrow (+90B) + (90A) = 180$   
 $D = 2C \quad \leftarrow C = A + B$
- Thus if 2 rays were perpendicular, the ray would return parallel to its initial direction
- Three mirrors at right angles form a corner cube retroreflector
  - has the property that any incoming ray in any direction will return parallel to its original direction

## Spherical Mirrors



Concave

- $f$  is negative
- analogous to converging lens

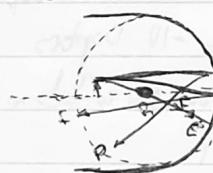
• Focal point is  $f = -\frac{R}{2}$

• "Ray Tracing": - Ex 1 object of negative curvature.



Convex

- This positive,
- analogous to diverging lens.

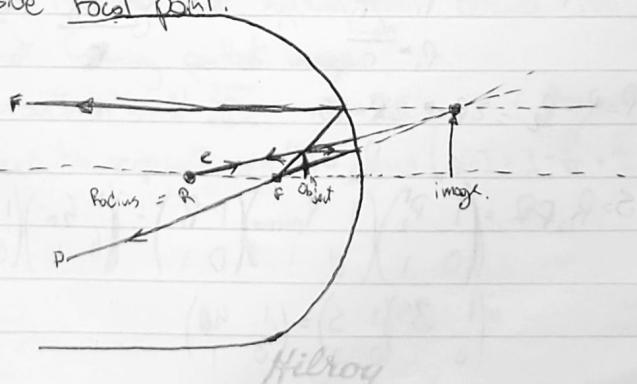


P = Parallel Ray

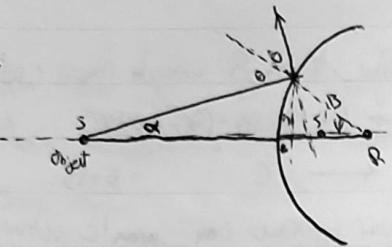
f = Focal Ray

C = Chord Ray

• Ex. Object inside Focal point.



Derivation of  $f = \frac{R}{2}$ .



$$\Delta A = B + C$$

$$\theta = \psi + \alpha$$

$$2\theta = \alpha' + \alpha$$

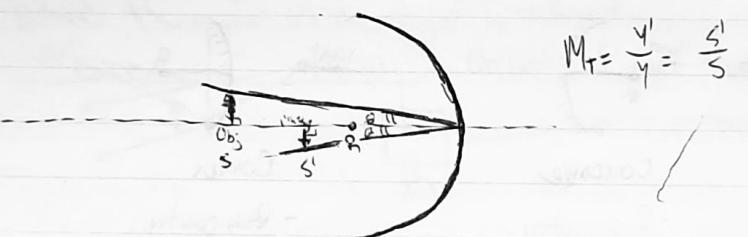
$$2(\psi + \alpha) = \alpha' + \alpha$$

$$\alpha - \alpha' = 2\psi \quad (1)$$

$$\tan \alpha = \frac{h}{|s|+c} \xrightarrow[\text{approx}]{\text{approx}} \alpha \approx \frac{h}{|s|} \quad \tan \alpha' = \frac{h}{|s|-c} \Rightarrow \frac{h}{|s|} \approx \frac{h}{|s'|}$$

$$\begin{aligned} -\sin \psi &= \frac{h}{B} \Rightarrow \psi \approx \frac{h}{|s|} \\ &= \frac{h}{|s|} - \frac{h}{|s'|} = 2\frac{h}{|s|} \Rightarrow \frac{1}{-s} + \frac{1}{s'} = \frac{-2}{R} \Rightarrow \frac{1}{s} - \frac{1}{s'} = \frac{2}{R}. \\ \Rightarrow \text{Assume } s &= -\infty \Rightarrow \frac{1}{-\infty} - \frac{1}{s'} = \frac{2}{R} \Rightarrow f = \frac{R}{2} \\ -\frac{1}{f} &= \frac{1}{s} - \frac{1}{s'} = \frac{2}{R} \end{aligned}$$

## Magnification



$$M_f = \frac{y'}{y} = \frac{s'}{s}$$

$$-Power = \frac{h}{f} \Rightarrow P = \frac{h}{2R} \Rightarrow D = \frac{-2n}{R}$$

$$\text{-Reflection Matrix} = f = \begin{pmatrix} 1 & -D \\ 0 & 1 \end{pmatrix} = \cancel{\begin{pmatrix} 1 & -2n \\ 0 & 1 \end{pmatrix}}$$

- Ex. If spherical mirror has +10 Dinters and has  $n=1.5$ . Then bulk surface of lens is coated with mtl.

$$R_1 = R_2, \quad r_1 = -r_2 = R$$

$$P_1 = \frac{n_2 - 1}{n_1 - 1}$$

$$P_1 = \frac{n_2 - 1}{r}$$

$$P_2 = \frac{1 - n_2}{-r} \Rightarrow \frac{n_2 - 1}{R}$$

$$P = P_1 + P_2 = 2P \Rightarrow 2P = 10$$

$$P = P_1 P_2^{-1} = \frac{5}{6} \text{ Sm}^{-1}$$

$$P = \frac{30}{6} \text{ Sm}^{-1}$$

$$\begin{aligned} S &= R_2 P R_1 = \begin{pmatrix} 1 & P_1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & P_{\text{mirror}} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & P_1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 5 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & \frac{20}{6} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 5 \\ 0 & 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 35 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 5 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 40 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

Note: We ignore translation matrix because it's a thin lens

## Aspherical Mirrors

- A spherical will reflect a point object into a perfect point image only if both object and image lie on a centre of curvature of mirror



- To image other points perfectly, requires an aspherical mirror

- Ex. Parabolic Mirror

- used when object or image is at infinity



- Ex Ellipsoidal Mirror

- Object located at one focus provides images at the other



## Aberrations

- Inherent short comings of system that results in rays from object not forming perfect image.

- Snell's Law:  $n \sin I = n' \sin I'$  Paraxial approx  $nI = n'I'$

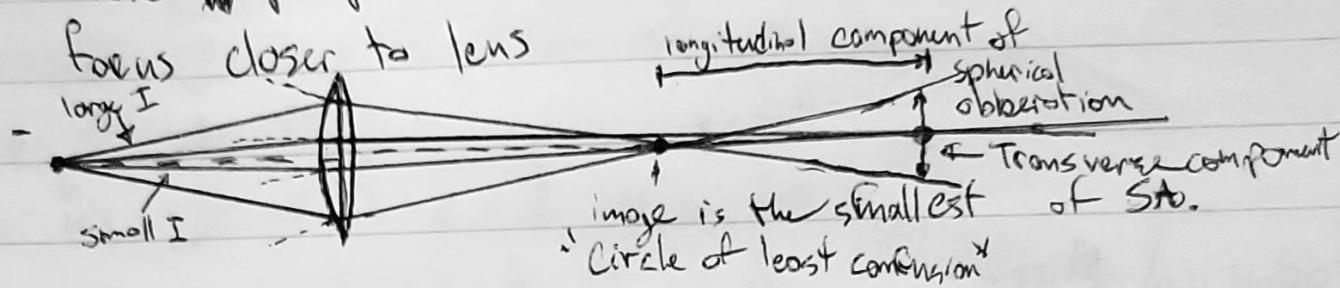
Appar is good.

- For large  $I$  need to represent  $\sin \theta$  as series ( $\sin I = I - \frac{I^3}{3!} + \frac{I^5}{5!} \dots$ )

# The 5 Primary Abberations

## • 1. Spherical Abberation

- Caused by fact that rays from point object passing through a lens at the periphery (edges) make larger angles than do those passing near OA
- Those ~~at~~ peripheral rays will refract more strongly;



- SA can be minimized by making both surfaces of lens contribute to the refraction equally



- In designing lens,  $D = (n_{lens} - n_0) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$ 
  - make ~~flat~~ radii of curvature larger (angles will be less) → get same power won't require larger index glass

- To eliminate SA

- Use gradient index lens ( $n$  higher at center than periphery)
  - make surfaces spherical

- Use system of lenses that compensate for each other

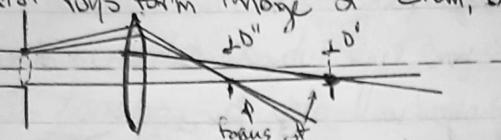
## • 2- Coma

- is similar to SA with the added complication that object is away from QL.

- Object is circular opening of diam, D

- Parallel rays form image of diam, D'

-



peripheral rays (image D' is longer than D)

- To correct for coma need to make different images coincide

- The optical (or Abbe's) sine theorem states that

$$\Rightarrow n_y \sin u = n'_y' \sin u' \quad u, u' = \text{angle of rays in object/image space}$$

$$n, n' = \text{indices of refraction in object/image space}$$

$$y, y' = \text{heights of rays in } " "$$

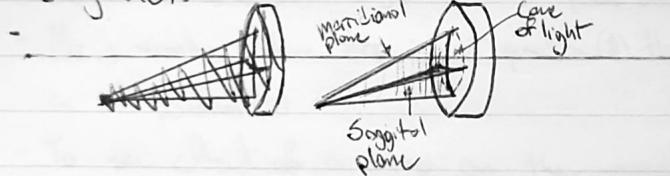
- To correct for coma,  $M_T = \frac{u}{u'} = \text{constant}$  must be same for all rays

- Optical system that has been corrected for SA & coma is called apochromatic

## • 3-Astigmatism

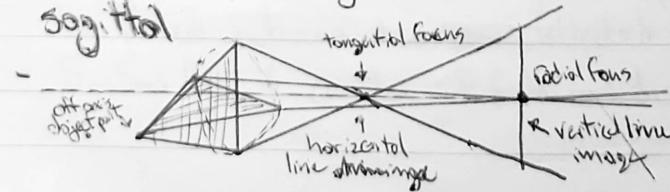
- Object point becomes image line

- Consider off-axis point from which pencil of light originates.

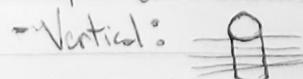


- Off-axis meridional rays form larger angles at incidence with lens than do the sagittal rays

- Meridional rays focus closer to lens than sagittal



- Cylindrical lens has astigmatism only along one axis



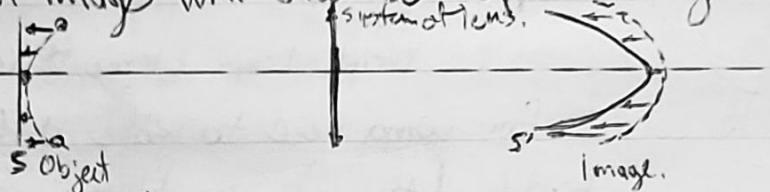
Horizontal:

Surface is part of cylinder instead of sphere.

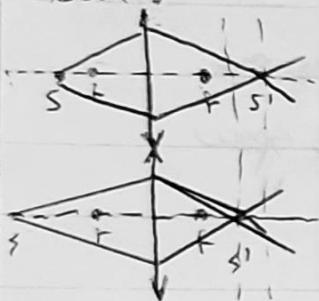
- Thus overall result of line formed by vertical rays at two focal points (cylindrical lens correct astigmatism).

## • 4-Curvature & Field.

- Assume we have system that has been corrected for St, coma, and astigmatism
- Now there's 1 to 1 correspondence between object and image point
- Assume we have object which is a spherical segment; then image will also be spherical segment



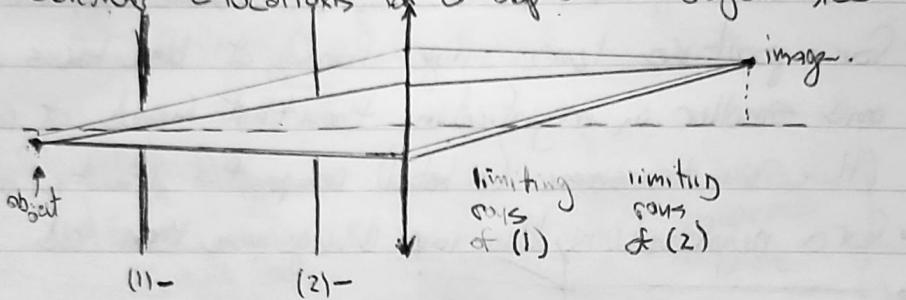
Recall:



- Move each point away from lenses to form an object line
- Two points on the plane surface object will form a flat curved image and vice versa
- ~~Magnitude~~ Very damaging in optical systems where the image is expected to be flat.
- Correcting for curvature of field is called field flattening and is possible by using 2 lenses that meet the Petzval Condition
- $n_1 F_1 + n_2 F_2 = 0$ ,  $F_1$  = focal of lens 1  
 $F_2$  = focal of lens 2.

## • 5 - Distortion

- Results from variation in the lateral (transverse) magnification of object's at different distances from OT.
- Consider object that is a square grid 
- If  $M_T$  increases with distance from OT we have a pin cushion distortion  + diagonal corners are further from OT.
- If  $M_T$  decreases with distance from OT we have barrel distortion  + diagonal corners less mag.
- Distortion arises due to presence of stops in the system
- Consider 2 locations for a stop on the object side.



- On average rays travel longer distances from object to lens when stop is in position (1) and shorter distance from lens to image
- Reverse is true for position (2)
- So  $M_T \approx \frac{s'}{s} + \text{Average distance from rays to lens to image}$
- " " " " " " " " from object
- $M_T$  is smaller when stop is at position (1) than when it is at position (2)
- To see effect of a stop on the image side, simply reverse role object & image play in diagram above them we find  $M_T$  is larger when stop is at (1) than at (2) (more pin cushion at (1))
- To eliminate (or reduce) distortion on aperture, stop should be placed between two lenses (effects of position will cancel if done properly)
- Systems free of curvature of field and distortion are orthoscopic.

## Chromatic Abberation

- Unlike primary aberrations which occur for monochromatic light, chromatic aberration occurs when there is a spectrum of light
- In general,  $n$  is higher for blue light (higher freq, shorter) than for red (lower freq, higher  $\lambda$ ).
- A single lens would thus focus a beam comprised of blue & red components at different locations
- 

- For a positive lens, image formed at blue focus is closer and smaller in magnification than that formed at red focus (there is a transverse (or lateral) component of chromatic aberration)
- For a negative lens, blue rays diverge more than red
- 

- Thus a system of lenses could be free of chromatic aberration

- Recall for 2 lenses separated by  $d$

$$P_{AB} = P_A + P_B - P_A P_B d \quad (1)$$

- also Lens-Mouthus formula

$$P = (n_2 - n_1') \left( \frac{1}{R_1} - \frac{1}{R_2} \right) \Rightarrow P = (n_2 - 1) K \quad (2)$$

- $d=0$ , Lens A =  $P_A \Rightarrow n_A$ , Lens B =  $P_B \Rightarrow n_B$ .

$$P_{AB} = P_A + P_B = (n_A - 1) K_A + (n_B - 1) K_B \Rightarrow P_{AB,blue} = P_{AB,red}$$

- Recall Abbe's Number ( $F'$  is blue,  $C'$  is red).

$$(n_{A,F'} - 1) K_A + (n_{B,F'} - 1) K_B \leq (n_{A,C'} - 1) K_A + (n_{B,C'} - 1) K_B \Rightarrow$$

$$\Rightarrow \frac{K_A}{K_B} = \frac{-(n_{A,F'} - 1)}{-(n_{B,F'} - 1)} \quad (3) \Rightarrow \frac{n_A - n_C}{n_B - n_C}$$

- Longitudinal green light ( $G$ )

$$- P_{AG} = (n_{A,G} - 1) K_A \quad P_{BG} = (n_{B,G} - 1) K_B \Rightarrow \frac{K_A}{K_B} = \frac{P_{AG}}{P_{BG}} \frac{(n_{B,G} - 1)}{(n_{A,G} - 1)}$$

- Equate (3) & (4)

$$- \frac{K_A}{K_B} = \frac{K_A}{K_B} \Rightarrow \frac{P_{AG}}{P_{BG}} = - \frac{V_A}{V_B} \Rightarrow \frac{P_A}{P_B} = - \frac{V_A}{V_B}$$

- $P_A = P_{\text{eq}} - P_B \Rightarrow P_{\text{eq}} = P_A + \frac{P_B V_B}{n_A}$
- $P_A = P_{\text{eq}} \left( \frac{V_A}{V_A - V_B} \right)$  and  $P_B = -P_{\text{eq}} \left( \frac{V_B}{V_A - V_B} \right)$
- Notice. One positive and one negative is expected.
- Another way of making system achromatic is to use 2 lenses of some optical material separated by an appropriate distance,  $d$ .
- Eqn ①: with  $n_A = n_B = n$

$$P_{\text{eq}} = (n-1)K_A + (n-1)K_B - (n-1)^2 K_A K_B d$$

$$P_{\text{eq}} = (n-1)(K_A + K_B) - (n-1)^2 K_A K_B d$$

- for the combination to be achromatic  $P_{\text{eq}}$  must be constant even if the wavelength (and hence  $n$ ) changes.

$$\frac{dP_{\text{eq}}}{dn} = 0 = (K_A + K_B) - 2(n-1)K_A K_B d$$

$$d = \frac{K_A + K_B}{2(n-1)K_A K_B}$$

- Recall:  $P = (n-1)K$

$$d = \frac{\frac{P_A}{n_A} + \frac{P_B}{n-1}}{2(n-1)(\frac{P_A}{n_A} + \frac{P_B}{n-1})} = \frac{P_A + P_B}{2P_A P_B}$$

} distance  $A \neq B$   
must be separate  
for achromatic

- Recall:  $P = \frac{1}{f}$

$$d = \frac{\frac{1}{f_A} + \frac{1}{f_B}}{2(\frac{1}{f_A} + \frac{1}{f_B})} = \frac{1}{2}(f_A + f_B)$$

} applies for  
when lenses  
are of the same  
material

## Stops and Pupils

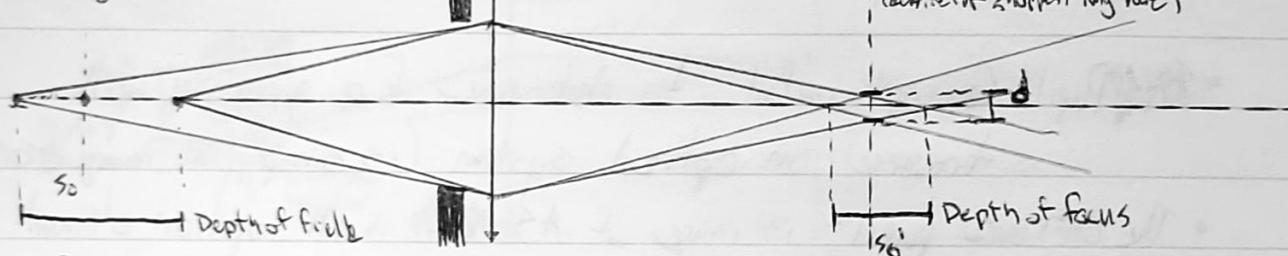
- Aperture Stop  $\rightarrow$  limits light that travels through optical system (controls brightness)
  - $\rightarrow$  can be rim of one of the lenses in the system or a physical object such as iris
- Ratio of focal length of a lens to the aperture stop diameter  $\frac{f}{\#}$  on  $50mm f/1.4$  on convex.

is called the f-number; written  $f/\#$  ( $\frac{f}{\#} = \frac{f}{D}$ )

• Although AS limits light, can be useful to increase depth-of-field.

• Consider an object at point  $S_0$  in front of lens

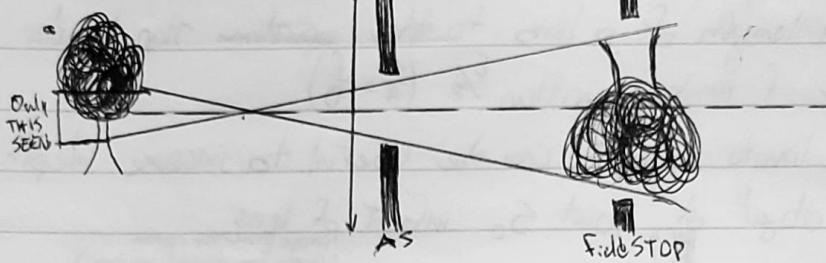
image plane for system  
(location for sharpest focus)



- Points at object plane are imaged to perfect points in image plane
- Points further and closer to lens than  $S_0$  image closer and further respectively from image plane
- Assume if they intercept image plane with "circle of confusion" of diameter 'd' or less they are considered 'focused'.
- Depth-of-field is the 'space' within the object space that results in circles of confusion less than 'd', i.e. object space that is in focus
- Corresponding interval in image space is called the depth of focus
- Making AS smaller reduces the angles subtended by rays and allowing rays from a larger depth of field to focus within acceptable range.

## Field Stop

- limits fw size or angular width (field of view) of the object being imaged
- can be mechanical stop; aperture of another lens, edge of film etc.



- ~~Pupil~~ Pupil: Concept useful to determine if a given ray will traverse an optical system (is simply an image point)
- The entrance pupil - is image of AS ~~in all~~ in all optical element that precedes it in the system
  - If nothing precedes, AS is entrance pupil
- The exit pupil - is image of AS in all optical element that follow in the system
  - If nothing follows AS, AS is exit pupil
- Cone of light entering optical system is determined by EP
  - " " " exiting " " " " XP

EP = Entrance Pupil

XP = Exit Ray

- ① Front AS



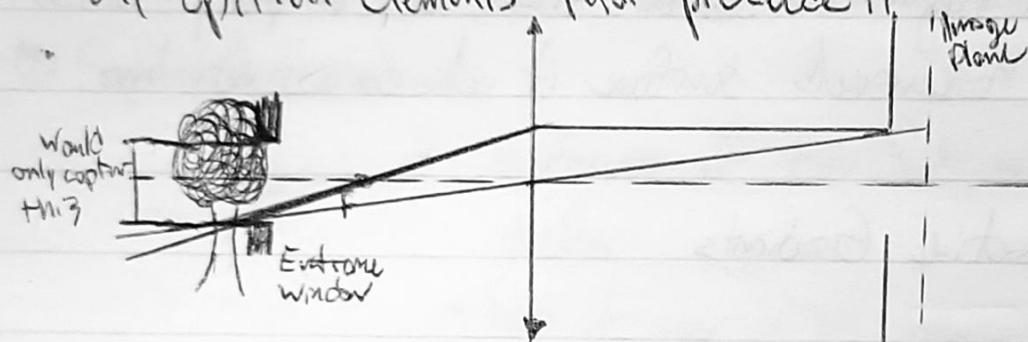
- ② Back AS.



- AS between 2 lenses.



- In a situation where it is unclear which element is the AS you must take each element that can be, and image it all optical elements that precede it. Then the EL that forms smallest angles with object point corresponds to the element that is the actual AS.
- For comfortable viewing of a system exit pupil should correspond to pupil of eye
- The entrance window  $f_s$  is the image of a field stop formed by all optical elements that precede it



- Similarly the image of  $f_s$  in all optical elements that follow is called the ~~exit~~<sup>FS</sup> window
- In ex above,  $f_s$  is exit window.

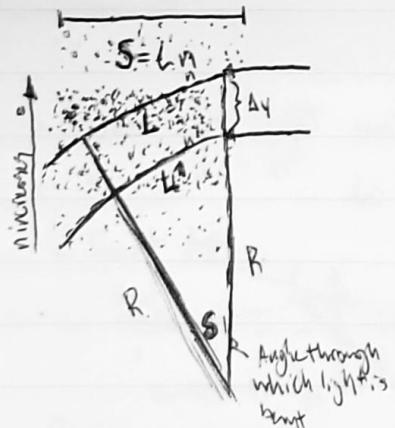
# Gradient Index Optics (GRIN)

- GRIN refers to variation in refractive index.
- A mirage is an example of a GRIN phenomenon (caused by changing air temperature).
  - 

- A plane parallel circular plate can be made with a refractive index that is higher in center than at periphery  $\rightarrow$  acts as lens
  - If plate has curved surface it acts as a multi-lens

## Theory of Refractive Gradients

- Assume that in free space ( $n=1$ ) light travels distance,  $s$ , in time  $t$ .
  - In medium of index  $n$ , light travels OPL  $S=Ln$  in same time  $t$  where  $L$  is physical distance traveled in medium  $n$



- Upper path: OPL  $= Ln$  (where  $n$  is avg index over the path)
- Lower path: OPL  $= L'n'$
- Time assumed to be same along both paths
  - $L'n' = L'n$ ,  $n' = (R - \Delta Y) / R$
- $R \propto n \propto (R - \Delta Y) / n$
- $\Delta Y n' \propto R(n' - n)$ ,  $n' - n = \Delta n$
- $R \propto n \frac{\Delta n}{\Delta Y} = \frac{n'}{\Delta Y} \propto \frac{n}{\Delta Y}$
- So  $R \propto \frac{n}{\Delta Y}$  gives radius of curvature of path light takes entering an index gradient

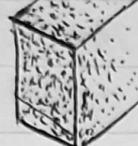
# GRIN Materials / lens come in Different forms

- ① Radial Gradient



- varies as function of  $r$

- ② Axial Gradient



- $n$  varies along linear axis
- bends light doesn't focus
- need curvature to focus light

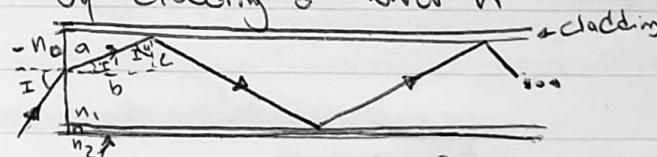
- ③ Spherical Gradient



- surfaces of constant  $n$  are spherical shells
- lens of eye is an approximate example

## Fibre Optics

- ① Step Index Fiber - Consists of transparent core surrounded by cladding of lower  $n$



- light travels through fiber via total internal reflection

- for total internal reflection  $\sin I'' = \frac{n_2}{n_1} = \frac{c}{a}$

$$\sin I' = \frac{b}{a} = \sqrt{\frac{b^2}{a^2}} = \sqrt{1 - \frac{c^2}{a^2}} = \sqrt{1 - \frac{n_2^2}{n_1^2}}$$

- Snell's Law:  $n_0 \sin I = n_1 \sin I'$

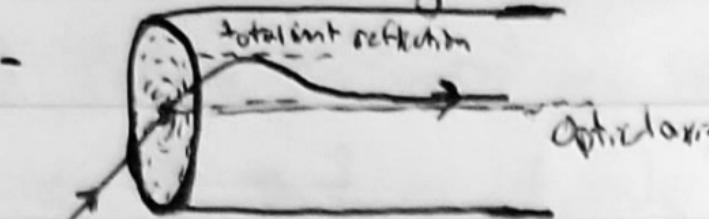
$$= n_1 \sqrt{1 - \frac{n_2^2}{n_1^2}}$$

$$n_0 \sin I = \sqrt{n_1^2 - n_2^2} \quad \text{+ Numerical Aperture}$$

- tells you how wide a cone of light travels inside fibre

## •② GRIN Fiber

- Index is higher in center than at periphery



- Advantage of GRIN Fibre is all rays have same OPL so pulse of light injected at one end retains its shape at other

# Physical Optics

- If  $\lambda$  of light cannot be neglected with respect to dimensions of the optical system the light must be treated as a wave  $\rightarrow$  gives rise to interference

- Ex. Young's Double Slit.

- Optical Path Difference

$$\Gamma = 0 \quad (\text{central max})$$

$$\Gamma = m\lambda \quad (m = \text{integer}) \text{ at } m^{\text{th}} \text{ order max}$$

$$\Gamma = (m - \frac{1}{2})\lambda \quad \text{at } m^{\text{th}} \text{ order min.}$$

-  $\Gamma \approx d \sin \theta$  an approximate expression using small angles \*

-  $\Gamma \approx nd \sin \theta$  in medium

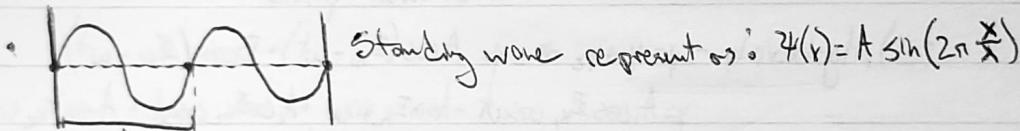
- For max -  $m\lambda = n d \sin \theta$

- For min. -  $(m - \frac{1}{2})\lambda = n d \sin \theta$

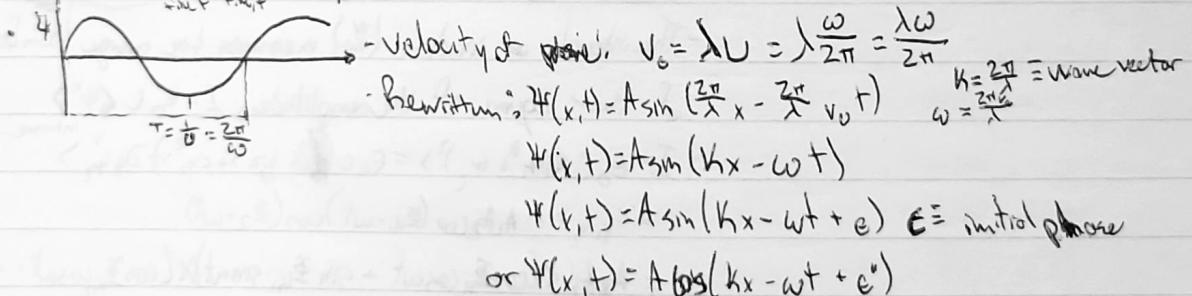
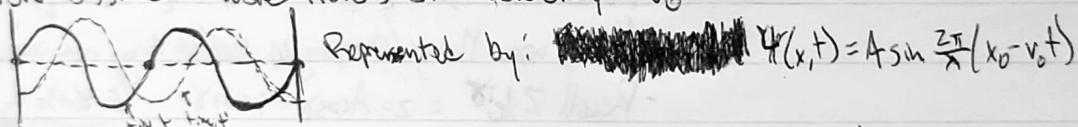
Not.  $\Gamma = s_0 - s_a$



## Representing Wave Mathematically



- Now assume wave travels at velocity  $v_0$ .



- Generally interested in time dependence, or spatial dependence of  $\psi$  at time  $t$

$$\psi(x_0, t) = \psi(t) = A \sin(\mathbf{k}_0 x_0 - \omega t) \quad \mathbf{k}_0 = k x_0 + \epsilon \equiv \text{constant}$$

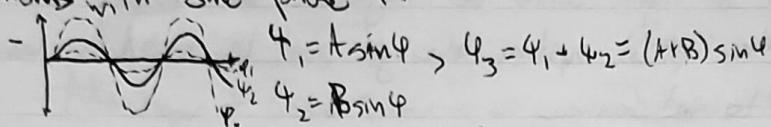
$$\text{or } \psi(x_0, t) = \psi(x) = A \sin(\mathbf{k}_0 x - \omega t) \quad \mathbf{k}_0 = \omega t_0 - \epsilon \equiv \text{constant}$$

- Argument of the sin/cos fn is the phase of the wave,  $\psi$

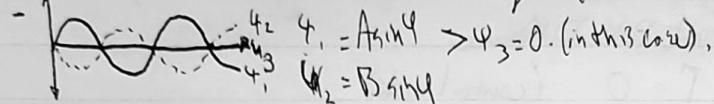
$$- \psi = kx - \omega t + \phi$$

# Superposition of Waves

- ① Waves with same phase  $\psi$ :



- ② Waves with different  $\omega_k$  but same frequency:



i) Vector Addition - represent wave by phasor diagram; length of vector = amplitude; angle vector make with ref. angle  $\psi$

$$y_1 = A \cos(\omega_k t - \psi)$$

at  $x$ -axis if 2 waves  $y_2 = B \cos(\omega_k t - \phi)$

Since  $\omega$  is same  $\rightarrow$  both process at same rate we therefore can do a simple vector addition to get a superimposed



Result again is a harmonic wave with same freq, but with a different phase

- ii) Algebraically -  $y = y_1 + y_2 \Rightarrow y = A \cos(\omega_k t - \psi) + B \cos(\omega_k t - \phi)$

$$y = A_1 \cos \omega_k t \cos \psi + A_1 \sin \omega_k t \sin \psi + A_2 \cos \omega_k t \cos \phi + A_2 \sin \omega_k t \sin \phi$$

$$y = (A_1 \cos \omega_k t + A_2 \cos \omega_k t) \cos \psi + (A_1 \sin \omega_k t + A_2 \sin \omega_k t) \sin \psi$$

- Adding more things/changing  $\omega$  makes things more complicated

$$\text{Recall } Z e^{i\theta} = z = A \cos \theta + i \sin \theta \quad (x(t) = R e^{i\omega_k t})$$

- The intensity or irradiance ( $\frac{W}{m^2}$ ) measures the average  $|I| = |I_0 e^{i\omega_k t}|$  of the square of the amplitude,  $I = I_0 \langle \psi^2 \rangle$

$$I = I_0 \langle (y_1 + y_2)^2 \rangle = I_0 \langle (y_1^2 + y_2^2 + 2y_1 y_2) \rangle \quad \text{intensity term}$$

$$= \langle y_1 y_2 \rangle = A_1 A_2 \cos(\omega_k t - \psi) \cos(\omega_k t - \phi)$$

$$= A_1 A_2 \langle (\cos \omega_k t \cos \psi, \sin \omega_k t \sin \psi) \times (\cos \omega_k t \cos \phi, \sin \omega_k t \sin \phi) \rangle$$

$$= A_1 A_2 [ \cos \omega_k t \cos \omega_k t \langle \cos \psi \cos \phi + \sin \psi \sin \phi \rangle + \sin \omega_k t \sin \omega_k t \langle \sin \psi \cos \phi + \cos \psi \sin \phi \rangle ]$$

$$\langle \cos^2 \omega_k t \rangle = \langle \sin^2 \omega_k t \rangle = \frac{1}{2}$$

$$I = \frac{1}{2} A_1 A_2 \cos(\omega_k t - \psi) = I = \frac{1}{2} A_1 A_2 \cos \theta$$

$$\langle y_1^2 \rangle = \frac{1}{2} A_1^2 \quad \langle y_2^2 \rangle = \frac{1}{2} A_2^2$$

$$I = \frac{I_0}{2} (A_1^2 + A_2^2 + A_1 A_2 \cos \theta)$$

$$I = I_1 + I_2 + \sqrt{I_1^2 + I_2^2} \cos \theta$$

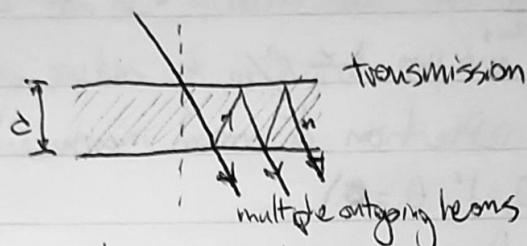
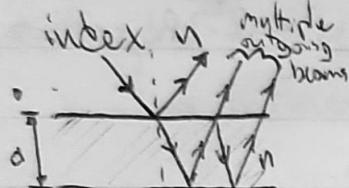
$$I_1 = \frac{I_0}{2} A_1^2 \quad I_2 = \frac{I_0}{2} A_2^2$$

$$I_1 = \frac{I_0}{2} A_1^2 \quad I_2 = \frac{I_0}{2} A_2^2$$

$$\text{Intensities} = 2 I_1 (1 + \cos \theta)$$

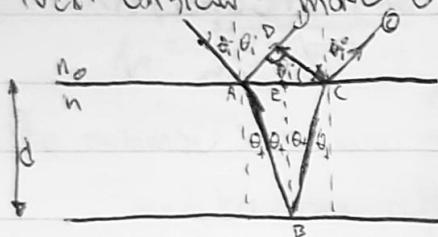
# Thin Films

- Often exhibit multiple beam interference
- Consider 2 plane surfaces separated by  $d$ . Medium has <sup>between</sup>



- have ignored refraction in diagrams above

- Next consider more accurately:



- want to find path difference of ① & ②

- Assume detector at  $\infty$

$$\Gamma = n(|\bar{AB}| + |\bar{BC}|) - n_0(|\bar{AD}|)$$

$$= 2n|\bar{AB}| - n_0|\bar{AD}|$$

$$= \frac{2nd}{\cos\theta_i} - n_0|\bar{AD}|\sin\theta_i$$

$$= \frac{2nd}{\cos\theta_i} - n_0 \frac{2d \sin\theta_i}{\cos\theta_i} \sin\theta_i$$

$$= \frac{2nd}{\cos\theta_i} - 2n \sin\theta_i \frac{d}{\cos\theta_i}$$

$$= \frac{2nd}{\cos\theta_i} (1 - \sin^2\theta_i)$$

$$= \frac{2nd}{\cos\theta_i} \cos^2\theta_i$$

$$\Gamma = 2nd \cos\theta_i$$

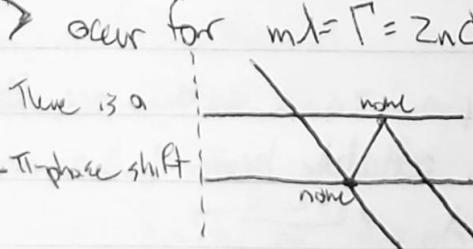
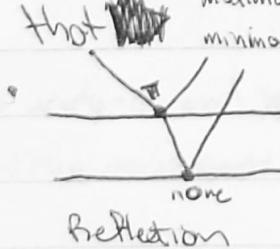
$$|\bar{AB}| = \frac{d}{\cos\theta_i} \Rightarrow \cos\theta_i = \frac{d}{|\bar{AB}|}$$

$$|\bar{AD}| = |\bar{AC}| \sin\theta_i$$

$$|\bar{AC}| = 2|\bar{AE}| \Rightarrow \sin\theta_i = \frac{|\bar{AE}|}{|\bar{AC}|} = \frac{|\bar{AE}|}{d} \cos\theta_i$$

- Need to analyze  $\pi$ -phase changes

- Saw previously that if there is  $\pi$  phase difference between 2 beams that ~~minima~~ maxima occur for  $m\lambda = \Gamma = 2nd \cos\theta_i$  and ~~max~~ min for  $(m+1)\lambda = \Gamma = 2nd \cos\theta_i$ .

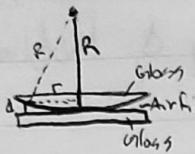


There is no  $\pi$ -phase shift.

Transmission.

## ⑤ Newton's Rings

- Occur when spherical surface is in contact with planar surface

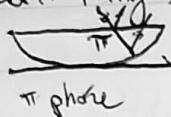
- 
 - assume  $r$  is radius of a dark ring then  

$$r^2 = (R-d)^2 + r^2 \Rightarrow R^2 = 2Rd + d^2 + r^2 \approx R^2 - 2Rd + r^2 \Rightarrow$$
  
 thus  $d \approx r^2/2R$

assume reflection at normal incidence:

- ( $\cos\theta_+ = 1$ ;  $\theta_+ = 0^\circ$ )

- Dark fringes occur for



- minimum for  $\pi$ -phase change  $2nd \cos\theta = m\lambda$

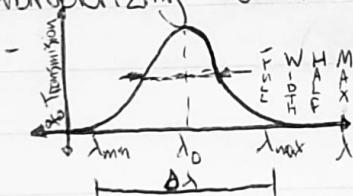
$$2n \frac{r^2}{2R} = m\lambda$$

- $R = \frac{n^2}{m\lambda}$

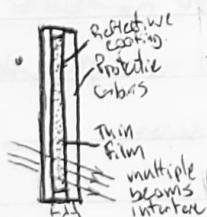
## ⑥ Interference Filters

- Filter works by absorption or by interference

- Characterizing a filter



## Transmission Interference Filter

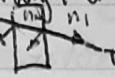


- $n_{\text{coating}} > n_{\text{film}}$ , so there are ~~two~~ two  $\pi$ -phase changes for the reflected beams and so max transmission occurs for:

$$\lambda_0 = \frac{2nd \cos\theta}{m}$$

- for  $\cos\theta = 1$  (normal incidence) and first order ( $m=1$ ) will get strong transmission at  $\lambda_0 = 2nd$  ( $n = n_{\text{film}}$ ) ( $d = \text{thickness of film}$ )

## Anti-reflection Coatings.

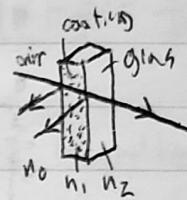
- Part of light passing through a transparent boundary is lost due to reflection 
- Using an EM approach, can be shown that the reflectivity of the boundary between two media of indices  $n_1$  &  $n_2$  is:

$$R = \frac{(n_2 - n_1)^2}{(n_1 + n_2)^2}$$

- Ex. Interface between air and Glass

$$R = \frac{(1.5 - 1)^2}{(1.5 + 1)^2} = 4\% \Rightarrow 4\% \text{ of light lost to reflections}$$

- To minimize this, use anti-reflection coating
  - measured to ensure reflected beams are out of phase and of equal (opposite) in amplitude.



- Set up so  $n_0 < n_1 < n_2$  then overall no  $\pi$  phase change due to reflections (i.e.  $\pi$  at both)

- For a minimum  $(m - \frac{1}{2})\lambda = 2n_c \cos\theta$  which for normal incidence and  $m=1 \Rightarrow \frac{\lambda}{4} = n_c$   $\Rightarrow$  optimal thickness of a quarter-wave coating

- For amplitude condition, since  $n_0 < n_1 < n_2$  we can write:

$$R_{\text{surface}} = R_{\text{surface}2}$$

$$\sqrt{R_{\text{surface}1}} = \sqrt{R_{\text{surface}2}}$$

$$\frac{n_1 - n_0}{n_1 + n_0} = \frac{n_2 - n_0}{n_2 + n_0}$$

$$(n_1 - 1)(n_2 + n_0) = (n_2 - n_0)(n_1 + 1)$$

$$n_1^2 + n_1 n_2 - n_1 - n_2 = n_2 n_0 - n_1^2 + n_2 - n_1$$

$$2n_1^2 = 2n_2 n_0$$

$$n_1 = \sqrt{n_2 n_0}$$

- Thus with coating satisfying  $n_1 d = \frac{1}{4}$  and  $n_1 = \sqrt{n_2 n_0}$  there will be complete destructive interference all light must pass through (due to cons. of E).

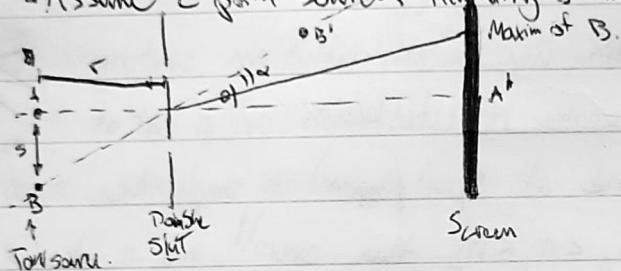
# Cohherence

- Refers to a component of the correlation between phases of em-waves
- Beams with random phase relations are 'incoherent'  $\rightarrow$  do not exhibit interference
- Beams with a constant phase relationship are 'coherent' and will exhibit interference
- ~~Two~~ Types of Cohherence

## - (1) Transverse Spatial Cohherence

- Refers to phase relation between beams travelling transversely

- Assume 2 point sources illuminating a fixed double slit



- Point source A produces pattern centered at A', while B produces pattern at B' both by ~~the same~~  $d \sin \theta = m\lambda$

$$\therefore \alpha = \theta = \frac{\lambda}{2d} \quad (1)$$

$$\text{Max on A & B: } \alpha = \frac{\lambda}{d} = \theta$$

$$\therefore \Gamma = \frac{\lambda}{d} = \theta d \Rightarrow \frac{\lambda}{d} = \theta \frac{s}{r} \Rightarrow s = \frac{c\lambda}{2d}, \text{ where } s \text{ is distance POINT}$$

- for a continuous line source F rings between sources which don't disappear until:  $s = \frac{c\lambda}{d}$ , where  $s$  is Fringes disappear.

(Mulgth. of a continuous source)

LNB

- Only for  $s \leq \frac{c\lambda}{d}$  will fringes be observed.

- Max. slit distance is:  $d_{\max} = \frac{c\lambda}{s} = \theta \Rightarrow$  spatial coherence width

- place single slit so  $d \leq \frac{c\lambda}{s}$

- Far-field sweets have size proportional factors

$$C = d_{\max} = \frac{c\lambda}{s} \quad \text{+ angular width of object!}$$

## ② Temporal Coherence

- Refers to predictability of phase as a function of time.
- Is a source of monochromatic light? (no perfectly monochromatic source)
- Sources considered 'monochromatic' are ~~const of~~ ~~monochromatic~~ + very ~~const~~ finite length ~~separate from each other by~~ ~~a~~ ~~discrepancy~~ phase change
- ~~Diagram~~ ~~Diagram~~
- length of wave trains: coherence length,  $\Delta S = N\lambda$
- $\Delta S = \frac{c}{f} \cdot \Delta t$
- Finite lifetime means one must represent wavelength as
- a frequency band of width  $\Delta f = \frac{1}{\Delta S}$  centered at  $f = \frac{c}{\lambda}$
- $\Delta S = c\Delta t \Rightarrow \Delta S = \frac{c\Delta t}{S} \quad \frac{\Delta f}{\Delta S} = \left| \frac{\Delta f}{\Delta t} \right| \cdot \left| \frac{c}{c} \left( \frac{1}{\lambda} \right) \right| = \left| f \frac{c}{\lambda^2} \right| = \frac{c}{\lambda^2}$   
so  $\Delta f = \frac{c}{\lambda^2} \Delta t$   
and  $\Delta S \Delta f = \lambda^2$  ~~is the form of inequalities~~
- $\Delta S = c\Delta t \Rightarrow \Delta f = \frac{\Delta S}{c} = \frac{\lambda^2}{\Delta t c}$
- For a photon:  $E = \frac{hc}{\lambda}$
- $$\frac{\Delta E}{E} = \left| \frac{\Delta h}{h} \right| = \left| \frac{\Delta \left( \frac{hc}{\lambda} \right)}{\frac{hc}{\lambda}} \right| = \left| -\frac{\Delta c}{\lambda^2} \right| = \Delta \lambda \frac{hc}{\lambda^2}$$
- $$\Delta \lambda = \frac{\Delta E}{E c} \Rightarrow \Delta E = h \Delta \lambda \frac{c}{\lambda^2}$$

## Partial Coherence

- So far have assumed we have one "coherent" they overlap fully coherent, this would produce high contrast fringes.

• Define contrast in pattern by:

$$\gamma = \frac{I_{max} - I_{min}}{I_{max} + I_{min}} \times 100\%$$

such that

- Ex.  $I_{max} = 4I$   $I_{min} = 0$

$$\frac{4I - 0}{4I + 0} = 100\%$$

$$I_{max} = 2I \quad I_{min} = 2I$$

$$\frac{2I - 2I}{2I + 2I} = 0\%$$

- How does contrast relate to coherence?

- 2 bundles of light  $I = I_A + I_B$  ( $I_{min} = I_{max} = 2I_0$ ,  $I_{min} = I_{max} = 4I_A + I_{min} < 0$ )

- Assume each consists of 2 parts  $I = I_A + I_B$

- Parts A are coherent and parts B are incoherent

-  $C = \text{degree of coherence} \quad I_A = CI, I_B = (1-C)I$

$$I_{max} = I_{Amax} + I_{Bmax} = 4CI + 2(1-C)I = 2I(1+C)$$

$$I_{min} = I_{Amin} + I_{Bmin} = 0 + 2(1-C)I = 2I(1-C)$$

$$\gamma = \frac{I_{max} - I_{min}}{I_{max} + I_{min}} \times 100\% = \frac{2I(1+C) - 2I(1-C)}{2I(1+C) + 2I(1-C)} \times 100\% = C$$

degree of contrast = degree of coherence

# Dirty Diffraction

- Geometrical Optics  $\Rightarrow$  shadow completely, uniformly dark

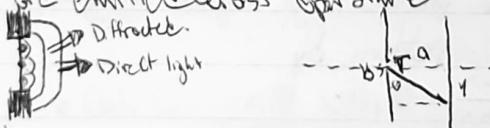
- In reality, if one looks at shadow in detail there are fringes, light spots, dark spots.
- Due to Diffraction - Huygen's Principle explains how waves bend around object
  - Represents wave by a wavefront (hypothetical surface connecting points of equal phase).
  - Each point on wave front acts as a source of secondary spherical (circular) wavelets.
  - Envelope of wavelets forms next wave front
- 2 Types of Diffraction: ① Fraunhofer
- ② Fresnel

## ① Fraunhofer Diffraction (far field diffraction)

- Occurs when source and detector (screen) are far apart and light is considered parallel

Consider light incident on a single slit of width  $b$ , Huygen's

wavelets are emitted across aperture



Integrates  $\int_{-\frac{b}{2}}^{\frac{b}{2}}$

Fields will not be in phase

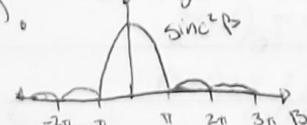
Consider small interval  $ds$  to the intensity at  $\theta$ .

$$A = A_0 b \sin \beta e^{i(kr-wt)} ; I = \frac{A^2}{\pi^2} b^2 \sin^2 \beta \frac{\frac{w_0 c}{2}}{2} = I_0 \sin^2 \beta$$

$$A = \frac{A_0}{c_0} \left[ \int_{-\frac{b}{2}}^{\frac{b}{2}} e^{i(kr-wt)} ds \right] ; A = \frac{A_0}{c_0} \left[ \int_{-\frac{b}{2}}^{\frac{b}{2}} e^{i(kr-wt)} ds \right] ; A = \frac{A_0}{c_0} b \sin \beta$$

$\int_{-\frac{b}{2}}^{\frac{b}{2}} e^{i(kr-wt)} ds$  zero points

$$m\lambda = b \omega t \Rightarrow m\lambda = b \frac{\omega}{\alpha}$$



red light c. fracte more than blue

$$I = \frac{A_0^2}{\pi^2} b^2 \sin^2 \beta \frac{w_0 c}{2}$$

Circle aperture - Concentric rings

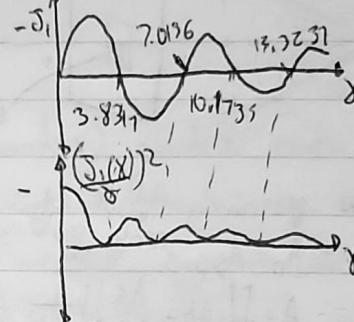
$$I = I_{\theta=0} \left[ \frac{J_1(\theta)}{\theta} \right]^2$$

$I_{\theta=0}$  is intensity at central max

$$\gamma = \frac{1}{2} K D \sin \theta$$

$J_1(\lambda) = 1^{st}$  order Bessel function of  $1^{st}$  kind

$$J_1(\lambda) = \frac{\pi}{2} - \frac{(\frac{\lambda}{D})^3}{1 \cdot 3} + \frac{(\frac{\lambda}{D})^5}{3 \cdot 5} \dots$$



$$\text{At } 1^{st} \text{ Min} \rightarrow D \sin \theta = 1.22 \lambda$$

The minimum angle of resolution ( $\theta_{min}$ )

- $\theta_{min}$  occurs when central max of one object falls on first min of the other
- Gives angular separation of objects for which they can be resolved.
- From  $\theta_{min} = \sin^{-1}\left(\frac{1.22\lambda}{D}\right)$

For small angles  $\theta_{min} \approx \frac{1.22\lambda}{D}$ : Rayleigh Criterion

Note: Short  $\lambda$  give better resolution than long  $\lambda$ .

Ex. Lycopodium seeds are spherical & uniform. Therefore placed on glass plate, for parallel of 640nm, the angular radius of the  $1^{st}$  diffraction is  $2^\circ$ , how large are seeds?

$$\gamma_{max} = \gamma = 5.1365 \quad \gamma = \frac{1}{2} K D \sin \theta.$$

$$\frac{2\gamma}{KD} = \sin \theta.$$

$$\frac{2\gamma}{2\pi D} = \sin \theta.$$

$$\frac{2\gamma}{2\pi D} = \lambda$$

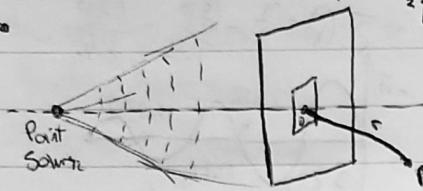
$$3 \times 10^{-5} \text{ m} = \lambda$$

$$30 \mu\text{m} = D$$

Hilroy

# Fresnel Diffraction

- Occurs when source & detector are not infinitely far away
- Point source emits spherical waves which encounter an aperture



$\Rightarrow K_x$  = Examine diffraction at field point

P by integrating contributions across the slit of points like D

amplitude

Saw previously that spherical wave has form:  $dA = \frac{A_0 da}{r} e^{i(kr-wt)}$  ① If  $A$  allow no time dependence

$$- A_0 = A_S \int_{\text{aperture}} e^{ikr} d\theta \stackrel{\text{sub } ①}{=} \int_{\text{aperture}} \frac{A_S}{r} e^{iK(r+z)} da.$$

$$- A = \iint_{\text{aperture}} dA = A_S \iint_{\text{aperture}} \frac{1}{r} e^{iK(r+z)} da.$$

- Have neglected 2 things. ① there is  $90^\circ$  shift between primary light & diffracted light

② Because direction from various aperture

points O to field pt P is no longer constant, there is an additional obliquity factor  $\Rightarrow F(\theta) = \frac{1 + \cos \theta}{2}$

The corrected integral is called the Fresnel - Kirchhoff Diffraction formula:  $A = \frac{-ikA_0}{2\pi} \iint f(z) \frac{e^{iK(r+z)}}{r+z} da$ .  $\frac{1}{r+z}$  is constant

Neglecting those corrections we get:  $A = C \iint \frac{e^{iK(r+z)}}{r+z} da$ .

Assume rectangular aperture in  $yz$  plane, divide into areas that are  $w dz = da$ .

After algebra  $A = C \int e^{iK(D+\frac{z^2}{2L})} w dz$

$$A = CW \int e^{iK \frac{Dz}{2L} + \frac{Kz^2}{2L}} dz$$

$$A = C' \int e^{\frac{iKz^2}{2L}} dz = C' \int e^{\frac{iKz^2}{2L}} dz. \quad V = \sqrt{\frac{z}{L}} \Rightarrow z = \left(\frac{L}{2}\right)^2 V$$

$$A = C' \int e^{\frac{iKz^2}{2L}} \left(\frac{L}{2}\right)^2 dV$$

$$A = A' \int e^{\frac{iKv^2}{2}} dv \underbrace{x(v)}_{w(v)}$$

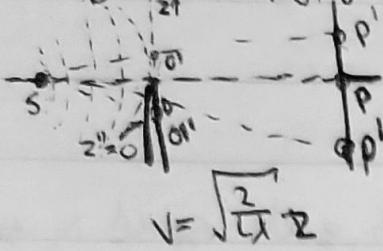
Fresnel Integrals  $\rightarrow A = A' \left[ \int \cos\left(\frac{iKv^2}{2}\right) dv + i \int \sin\left(\frac{iKv^2}{2}\right) dv \right]$

$$X(v_0) = \int_0^{v_0} \cos\left(\frac{iKv^2}{2}\right) dv \quad Y(v_0) = \int_0^{v_0} \sin\left(\frac{iKv^2}{2}\right) dv.$$

Intensity  $I = I = \frac{E_0 C}{2} A^* A = \frac{E_0 C}{2} [X(v_0) + iY(v_0)][X(v_0) - iY(v_0)]$

$$= \frac{E_0 C}{2} A'^2 [X(v_0)^2 + Y(v_0)^2]$$

Ex. Diffraction at a knife edge.



O is origin for waves emitted by S and detected at

- At P contribution is from  $z=0$  to  $z=+\infty$

$$v = \sqrt{\frac{2}{\pi}} \cdot z$$

$$v=0 \text{ to } z=\infty$$

$$I_P = I_0 [dx^2 + dy^2]$$

$$= I_0 [0.5^2 + 0.5^2]$$

$$I_P = \frac{I_0}{2}$$

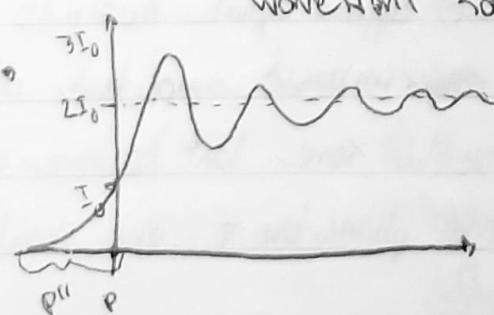
- For a point  $P''$  in the geometrical shadow centre of wavefront occurs at positive value of  $v(z)$

~~at  $v_{\text{max}}$~~  • As  $P''$  moves further into geom. shadow line segment which is from point  $v_0$  to the '+'ve eye will become increasingly shorter. So intensity, decreases monotonically-

- For a point  $P'$ ; due to location of  $P'$  the contribution is from a neg. value of  $v$  to  $v=+\infty$



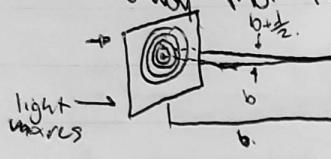
• As  $P'$  moves further up the screen the contribution starts at increasingly more -ve values of  $v$ , thus as you move along the lower spiral you will get a series of maxima and minima and will oscillate about the Eye to Eye contribution which corresponds to  $v=-\infty$  to  $v=+\infty$  and represents the undisturbed wavefront so intensity is  $I_P = I_0 [1^2 + 1^2] = 2I_0$ .



# Fresnel Diffraction from a Circular Aperture

## • Ex Zone Plate

→ consists of a series of concentric rings, each spaced in such a way that it is  $\frac{\lambda}{2}$  further from the field point P.



→ each represents a half-period zone and is out of phase with the neighbouring zones by  $\pi$

→ Light passing through the zone plate is diffracted at each of the zone edges

→ Consequently the zone plate acts as a lens concentrating the light at P.

→ Find focal length b:

$$\text{Radius of first zone boundary: } R_1 = \sqrt{(b - \frac{\lambda}{2})^2 + b^2} = \sqrt{b + \frac{\lambda^2}{4}} \xrightarrow{\text{negligible, b}} \approx \sqrt{b}$$

$$\text{- Area of 1st zone: } A_1 = \pi R_1^2 = \pi b^2 \approx \pi b$$

$$\text{- Radius of } n^{\text{th}} \text{ zone: } R_n = \sqrt{(b + n\frac{\lambda}{2})^2 + b^2} \approx$$

$$\text{= Radius of second zone: } R_2 = \sqrt{(b + \lambda)^2 + b^2} = \sqrt{2b^2 + \lambda^2} = \sqrt{2b}$$

① - Area of 2nd zone:  ~~$A_2 = \pi R_2^2 - \pi R_1^2$~~   $A_2 = \pi b$

$$A_2 = 2\pi b - \pi b = \pi b$$

- Find that area of each zone is approx equal  $A_n \approx \pi b$

- Thus contribution to the superimposed amplitude at P from each zone will nearly cancel some but however each successive boundary is out of phase by  $\pi$  the resultant intensity at P is  $\approx 0$ .

$$A_P = a_1 - a_2 + a_3 - a_4 + \dots \approx 0$$

- If however we block out every 2<sup>nd</sup> zone, then only zones 1, 3, 5 contribute and each contribution is out of phase by  $2\pi$ ; P will be bright.

$$\text{- To find } b: \frac{z}{b} = \frac{R_1}{R_2} = \frac{b}{b + \lambda} \Rightarrow R_2 = \sqrt{b^2 + \lambda^2} \Rightarrow b = \frac{R_2^2}{\lambda}$$

$b = \frac{R_2^2}{\lambda}$  is the First order Focal

- Note: there are higher order Foci which one can show are given by  $f_m = \frac{R_2^2}{(2m-1)\lambda}$   $M=1, 2, 3$

$$\text{- (Can show): } d_{\min} = \frac{1.22 R_2}{2M(\lambda m - 1)}$$

telling to all satisfy following condition  
small diffraction minima & smaller angles of diffraction.

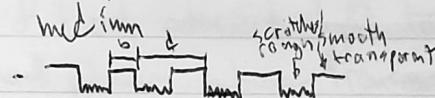
• Poisson's Spot:- From Fresnel Theory if instead of an open aperture one uses a solid circular obstacle then there will be a bright spot in the centre of that geometrical shadow



- all rays from edge to point on A travel same distance
- are in phase
- caused due to the infinite no. of rays from circumference that converges on axis in phase

• Babinet's Principle - The form of diffraction patterns generated by objects that are the photographic negative of each other (slit masking), or open aperture are the same except in the zeroth order.

• Diffraction Grating - In simplest form consists of series of parallel, evenly spaced grooves "scratches" onto a transparent medium



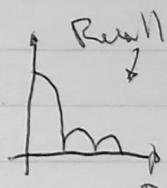
- Characterized by b & d.

- Grating obeys  $d \sin \theta = m\lambda$

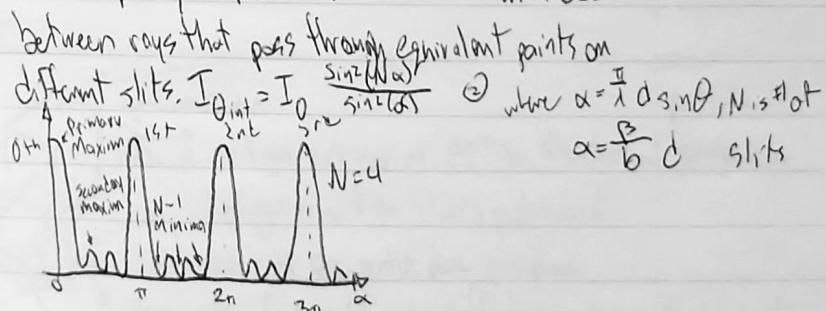
- Intensity profile is affected by 2 contributions:

① The finite width of slits - for a single slit of width b

$$\text{we saw that: } I_{\text{eff}} = I_0 \left( \frac{\sin \beta}{\beta} \right)^2 \text{ where } \beta = \frac{\pi b \sin \theta}{\lambda} \quad ①$$



② The multiplicity of the slits - Interference will occur between rays that pass through equivalent points on different slits.  $I_{\text{int}} = I_0 \frac{\sin(N\alpha)}{\sin(\alpha)}$

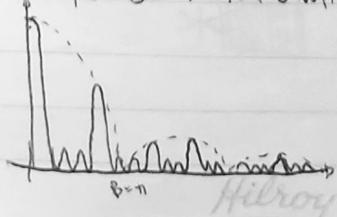


$$\alpha = \frac{\beta}{b} \quad d \text{ slits}$$

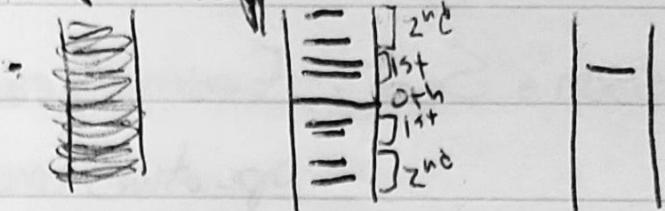
• Intensity of Diffraction Grating is multiplying ① & ②

$$B = n \Rightarrow \alpha = \frac{\beta}{B} \quad \text{if } B = 2b, \tan \alpha = 2\pi$$

most of the visible diffracted light is in the 1st order peak.



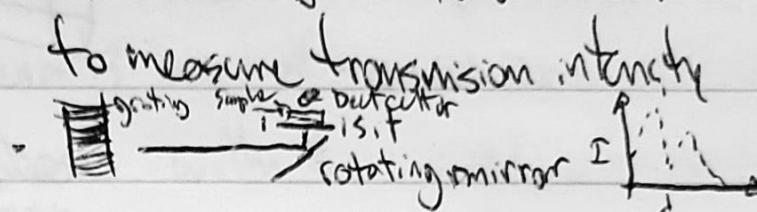
## Spectroscopy



- Can place a sample to achieve one specific  $\lambda$

- Place sample between slit & detector

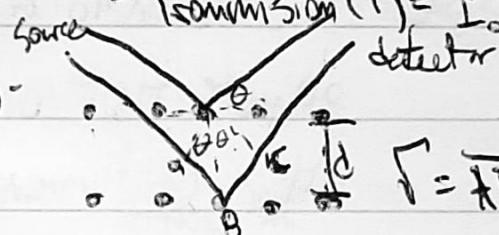
to measure transmission intensity



- Measure sample by air or take ratio

$$\text{Transmission } (T) = \frac{I_{\text{sample}}}{I_{\text{air}}}$$

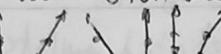
- 3-D Gating (Crystallization)



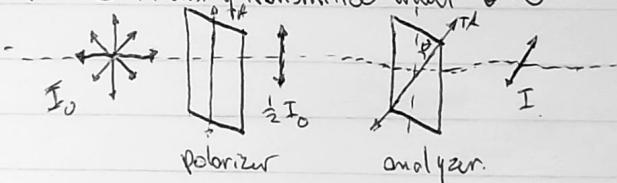
$$- \text{Bragg's Law} - 2d_{\text{sin}\theta} = m\lambda$$

$$\Gamma = \overline{AB} + \overline{BC} \Rightarrow 2d_{\text{sin}\theta} = m\lambda$$

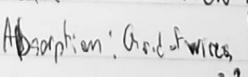
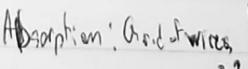
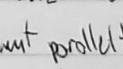
## Polarization of Light.

- "types" → ① Unpolarized - light from most sources is unpolarized.
    - due to fact that light is emitted by atoms oscillate independently
    - E vector is related to  $\sin \theta$  of dipoles.
  - ② Linearly Polarized - E-vector oscillates in a given constant orientation ↓
  - ③ Partially Polarized - 
  - E-vector is predominantly but not completely in one direction.
  - ④ Circularly Polarized - E-vector has constant magnitude but changes direction
    - rotates in a helix around propagation.
  - ⑤ Elliptical - E-vector rotates & changes magnitude → most general form of polarization
    - circular & linear are two extremes of elliptical

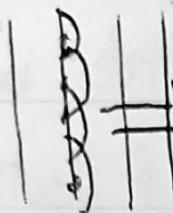
- Malus' Law:- Intensity of linearly polarized light transmitted through two linear polarizers whose transmission axes (TA) are rotated through  $\theta$  is given by  $I = I' \cos^2 \theta$ , where  $I'$  is the intensity transmitted when  $\theta = 0$



## Production of Polarized Light

- (i) By Scattering:  - light scattered at  $90^\circ$  to the direction of propagation.
  - (ii) By reflection:  - polarized light oscillates out of plane,  $p$  in plane.
  - (iii) Polarization by Selective Absorption:  - component parallel to wires  - crossed polarizers -  $p$  is polarized.

# Polarization by Double Refraction

- Isotropic material:  $n_a = n_b = n_c \leftarrow$  refractive index
-  |  light would form spherical wavelets & travel through some direction

- Uniaxial Material:  $n_a = n_b \neq n_c$

$$r = \frac{c}{n}$$



elliptical wavelets not travel faster in one medium than the other.



Ordinary ray "O"  
extraordinary ray "e"

- Nicol Prism Polarizer.

