1 Syntax

```
:= \overline{cls} \ \overline{s}
program
                              ::= class \ C \ \{\overline{field} \ \overline{method}\}
cls
field
                              := T f;
                              ::= T \ m(T \ x) \ contract \ \{\overline{s}\}
method
contract
                              ::= requires \phi; ensures \phi;
                              ::= \mathtt{int} \ | \ C
T
                              := x.f := y; \mid x := e; \mid x := \text{new } C; \mid x := y.m(z);
s
                              | return x; | assert \phi; | release \phi; | T x;
                              ::= true \mid e=e \mid e \neq e \mid acc(e.f) \mid x:T \mid \phi * \phi
φ
                              ::=v\mid x\mid e.f
                              ::= this | result | \langle other \rangle
                              ::= o \mid n \mid \mathtt{null}
v
                              \in \mathbb{Z}
n
                              \in (o \rightharpoonup (C, \overline{(f \rightharpoonup v)}))
H
                             \in (x \rightharpoonup v)
                             := \overline{(e,f)}
A_s
                              ::=\overline{(o,f)}
A_d
                              ::= (\rho, A_d, \overline{s}) \cdot S \mid nil
S
```

2 Assumptions

All the rules in the following sections are implicitly parameterized over a *programp* that is well-formed.

2.0.1 Well-formed program (program OK)

$$\frac{\overline{cls_i} \ \mathtt{OK}}{(\overline{cls_i} \ \overline{s}) \ \mathtt{OKPROGRAM}}$$

2.0.2 Well-formed class (cls OK)

$$\frac{\text{unique } field\text{-names} \quad \text{unique } method\text{-names} \quad \overline{method_i \text{ OK in } C}}{(\text{class } C \text{ } \{\overline{field_i} \text{ } \overline{method_i}\}) \text{ OK}} \text{ OKCLASS}$$

2.0.3 Well-formed method (method OK in C)

$$FV(\phi_1) \subseteq \{x, \texttt{this}\} \qquad FV(\phi_2) \subseteq \{x, \texttt{this}, \texttt{result}\} \\ \vdash \{x : T_x * \texttt{this} : C * \phi_1\} \overline{s} \{x : T_x * \texttt{this} : C * \texttt{result} : T_m * \phi_2\} \\ \frac{\emptyset \vdash_{\texttt{sfrm}} \phi_1 \qquad \emptyset \vdash_{\texttt{sfrm}} \phi_2 \qquad \neg writesTo(s_i, x)}{(T_m \ m(T_x \ x) \ \texttt{requires} \ \phi_1; \ \texttt{ensures} \ \phi_2; \ \{\overline{s}\}) \ \texttt{OK} \ \texttt{in} \ C} \\ \text{OKMETHOD}$$

3 Static semantics

3.1 Expressions $(A_s \vdash_{\mathtt{sfrm}} e)$

$$\frac{}{A \vdash_{\mathtt{sfrm}} x} \mathsf{WFVAR}$$

$$\frac{}{A \vdash_{\mathtt{sfrm}} v} \mathsf{WFValue}$$

$$\frac{(e,f) \in A \qquad A \vdash_{\mathtt{sfrm}} e}{A \vdash_{\mathtt{sfrm}} e.f} \text{ WFFIELD}$$

3.2 Formulas $(A_s \vdash_{\mathtt{sfrm}} \phi)$

$$\frac{}{A \vdash_{\mathtt{sfrm}} \mathtt{true}} \ \mathrm{WFTRUE}$$

$$\frac{A \vdash_{\mathtt{sfrm}} e_1 \quad A \vdash_{\mathtt{sfrm}} e_2}{A \vdash_{\mathtt{sfrm}} (e_1 = e_2)} \text{ WFEQUAL}$$

$$\frac{A \vdash_{\mathtt{sfrm}} e_1 \qquad A \vdash_{\mathtt{sfrm}} e_2}{A \vdash_{\mathtt{sfrm}} (e_1 \neq e_2)} \text{ WFNEQUAL}$$

$$\frac{A \vdash_{\mathtt{sfrm}} e}{A \vdash_{\mathtt{sfrm}} \mathtt{acc}(e.f)} \text{ WFAcc}$$

$$\overline{A \vdash_{\mathtt{sfrm}} x : T} \ \mathrm{WFTYPE}$$

$$\frac{A_s \vdash_{\mathtt{sfrm}} \phi_1 \qquad A_s \cup \lfloor \phi_1 \rfloor \vdash_{\mathtt{sfrm}} \phi_2}{A_s \vdash_{\mathtt{sfrm}} \phi_1 * \phi_2} \text{ WFSepOp}$$

3.2.1 Implication $(\phi_1 \Longrightarrow \phi_2)$

Conservative approx. of $\phi_1 \implies \phi_2$.

3.3 Footprint $(|\phi| = A_s)$

$$\begin{array}{ll} \lfloor \mathtt{true} \rfloor & = \emptyset \\ \lfloor e_1 = e_2 \rfloor & = \emptyset \\ \lfloor e_1 \neq e_2 \rfloor & = \emptyset \\ \lfloor \mathsf{acc}(e.f) \rfloor & = \{(e,f)\} \\ \lfloor \phi_1 * \phi_2 \rfloor & = \lfloor \phi_1 \rfloor \cup \lfloor \phi_2 \rfloor \end{array}$$

3.4 Type $(\phi \vdash e : T)$

$$\frac{}{\phi \vdash n : \mathtt{int}} \text{ STValNum}$$

$$\frac{}{\phi \vdash \mathtt{null} : T} \text{ STValNull}$$

$$\frac{\phi \implies (x:T)}{\phi \vdash x:T} \text{ STVAR}$$

$$\frac{\phi \vdash e : C \qquad \vdash C.f : T}{\phi \vdash e.f : T} \text{ STFIELD}$$

3.5 Hoare ($\vdash \{\phi\}\overline{s}\{\phi\}$)

$$\frac{\vdash \{\phi_p\}s_1\{\phi_{q1}\} \qquad \phi_{q1} \implies \phi_{q2} \qquad \emptyset \vdash_{\mathtt{sfrm}} \phi_{q2} \qquad \vdash \{\phi_{q2}\}s_2\{\phi_r\}}{\vdash \{\phi_p\}s_1; s_2\{\phi_r\}} \text{ HSec}$$

$$\frac{\phi \implies \phi' \quad \emptyset \vdash_{\mathtt{sfrm}} \phi' \quad x \not\in FV(\phi') \quad \phi \vdash x : C \quad \mathtt{fields}(C) = \overline{f}}{\vdash \{\phi\}x := \mathsf{new} \ C\{\overline{\mathtt{acc}(x,f_i)*}x : C*(x \neq \mathtt{null})*\phi'\}} \ \mathsf{HNEWOBJ}$$

$$\frac{\phi \implies \operatorname{acc}(x.f) * (x \neq \operatorname{null}) * \phi'}{\emptyset \vdash_{\mathtt{sfrm}} \phi' \qquad \phi \vdash x : C \qquad \phi \vdash y : T \qquad \vdash C.f : T} \\ \vdash \{\phi\}x.f := y\{x : C * \operatorname{acc}(x.f) * (x \neq \operatorname{null}) * (x.f = y) * \phi'\}} \text{ HFIELDASSIGN}$$

$$\frac{\phi \implies \phi'}{x \notin FV(\phi')} \qquad x \notin FV(e(e) \qquad \phi \vdash x : T \qquad \phi \vdash e : T \qquad \lfloor \phi' \rfloor \vdash_{\mathtt{sfrm}} e}{\vdash \{\phi\}x := e\{\phi' * (x = e)\}} \text{ HVarAssign}$$

$$\frac{\phi \implies \phi' \qquad \emptyset \vdash_{\mathtt{sfrm}} \phi' \qquad \mathtt{result} \not\in FV(\phi') \qquad \phi \vdash x : T \qquad \phi \vdash \mathtt{result} : T}{\vdash \{\phi\}\mathtt{return} \ x\{\mathtt{result} : T * (\mathtt{result} = x) * \phi'\}} \ \mathrm{HRETURN}$$

$$\frac{\phi_1 \implies \phi_2}{\vdash \{\phi_1\} \text{assert } \phi_2 \{\phi_1\}} \text{ HASSERT}$$

$$\frac{\phi_1 \implies \phi_2 * \phi_r \qquad \emptyset \vdash_{\mathtt{sfrm}} \phi_r}{\vdash \{\phi_1\}\mathtt{release} \ \phi_2 \{\phi_r\}} \ \mathrm{HRELEASE}$$

$$\frac{\phi \implies \phi' \quad \emptyset \vdash_{\mathtt{sfrm}} \phi' \quad x \not\in FV(\phi')}{\vdash \{\phi\}T \ x\{x : T * (x = \mathtt{defaultValue}(T)) * \phi'\}} \ \mathrm{HDeclare}$$

3.5.1 Hoare revisited - pre-grad minification

$$\frac{\phi \implies \phi' \qquad \emptyset \vdash_{\mathtt{sfrm}} \phi' \qquad x \not\in FV(\phi') \qquad \phi \vdash x : C \qquad \mathtt{fields}(C) = \overline{f}}{\vdash \{\phi\}x := \mathtt{new} \ C\{\overline{\mathtt{acc}(x,f_i)*}x : C*(x \neq \mathtt{null})*\phi'\}} \ \mathrm{HNewObj}$$

$$\frac{\phi \implies \operatorname{acc}(x.f) * (x \neq \operatorname{null}) * \phi'}{\emptyset \vdash_{\mathtt{sfrm}} \phi' \qquad \phi \vdash x : C \qquad \phi \vdash y : T \qquad \vdash C.f : T} \\ \vdash \{\phi\}x.f := y\{x : C * \operatorname{acc}(x.f) * (x \neq \operatorname{null}) * (x.f = y) * \phi'\}} \text{ HFIELDASSIGN}$$

$$\frac{\phi \implies \phi'}{x \not\in FV(\phi')} \qquad x \not\in FV(e(e) \qquad \phi \vdash x : T \qquad \phi \vdash e : T \qquad \lfloor \phi' \rfloor \vdash_{\mathtt{sfrm}} e }{\vdash \{\phi\}x := e\{\phi' * (x = e)\}}$$
 HVARASSIGN

$$\frac{\phi \implies \phi' \qquad \emptyset \vdash_{\mathtt{sfrm}} \phi' \qquad \mathtt{result} \not\in FV(\phi') \qquad \phi \vdash x : T \qquad \phi \vdash \mathtt{result} : T}{\vdash \{\phi\}\mathtt{return} \ x\{\mathtt{result} : T * (\mathtt{result} = x) * \phi'\}} \ \mathrm{HRETURN}$$

$$\frac{\phi_1 \implies \phi_2}{\vdash \{\phi_1\} \text{assert } \phi_2 \{\phi_1\}} \text{ HASSERT}$$

$$\frac{\phi_1 \implies \phi_2 * \phi_r \qquad \emptyset \vdash_{\mathtt{sfrm}} \phi_r}{\vdash \{\phi_1\} \mathtt{release} \ \phi_2 \{\phi_r\}} \ \mathtt{HRELEASE}$$

$$\frac{\phi \implies \phi' \quad \emptyset \vdash_{\mathtt{sfrm}} \phi' \quad x \not\in FV(\phi')}{\vdash \{\phi\}T \ x\{x: T*(x = \mathtt{defaultValue}(T))*\phi'\}} \ \mathtt{HDeclare}$$

4 Dynamic semantics

4.1 Expressions $(H, \rho \vdash e \Downarrow v)$

$$\frac{1}{H, \rho \vdash x \Downarrow \rho(x)}$$
 EEVAR

$$\overline{H, \rho \vdash v \Downarrow v}$$
 EEVALUE

$$\frac{H, \rho \vdash e \Downarrow o}{H, \rho \vdash e.f \Downarrow H(o)(f)} \text{ EEAcc}$$

4.2 Formulas $(H, \rho, A \vDash \phi)$

$$\overline{H, \rho, A \vDash \mathtt{true}}$$
 EATRUE

$$\frac{H, \rho \vdash e_1 \Downarrow v_1 \qquad H, \rho \vdash e_2 \Downarrow v_2 \qquad v_1 = v_2}{H, \rho, A \vDash (e_1 = e_2)} \text{ EAEQUAL}$$

$$\frac{H, \rho \vdash e_1 \Downarrow v_1 \qquad H, \rho \vdash e_2 \Downarrow v_2 \qquad v_1 \neq v_2}{H, \rho, A \vDash (e_1 \neq e_2)} \text{ EANEQUAL}$$

$$\frac{H, \rho \vdash e \Downarrow o \quad (o, f) \in A}{H, \rho, A \vDash acc(e, f)}$$
 EAACC

$$\frac{\rho(x) = v \qquad H \vdash v : T}{H, \rho, A \vDash x : T} \text{ EATYPE}$$

$$\frac{A_1 = A \backslash A_2 \qquad H, \rho, A_1 \vDash \phi_1 \qquad H, \rho, A_2 \vDash \phi_2}{H, \rho, A \vDash \phi_1 * \phi_2} \text{ EASEPOP}$$

We give a denotational semantics of formulas as $\llbracket \phi \rrbracket = \{ (H, \rho, A) \mid H, \rho, A \vDash \phi \}$ Note: ϕ satisfiable $\iff \llbracket \phi \rrbracket \neq \emptyset$

4.2.1 Implication $(\phi_1 \implies \phi_2)$

$$\phi_1 \implies \phi_2 \iff \llbracket \phi_1 \rrbracket \subseteq \llbracket \phi_2 \rrbracket$$

4.3 Footprint $(\lfloor \phi \rfloor_{H,\rho} = A_d)$

$$\begin{array}{ll} \lfloor \mathtt{true} \rfloor_{H,\rho} & = \emptyset \\ \lfloor e_1 = e_2 \rfloor_{H,\rho} & = \emptyset \\ \lfloor e_1 \neq e_2 \rfloor_{H,\rho} & = \emptyset \\ \lfloor \mathsf{acc}(x.f) \rfloor_{H,\rho} & = \{(o,f)\} \text{ where } H, \rho \vdash x \Downarrow o \\ \lfloor \phi_1 * \phi_2 \rfloor_{H,\rho} & = \lfloor \phi_1 \rfloor_{H,\rho} \cup \lfloor \phi_2 \rfloor_{H,\rho} \end{array}$$

4.4 Small-step $((H, S) \rightarrow (H, S))$

$$\frac{H, \rho \vdash x \Downarrow o \quad H, \rho \vdash y \Downarrow v_y \quad (o, f) \in A \quad H' = H[o \mapsto [f \mapsto v_y]]}{(H, (\rho, A, x.f := y; \overline{s}) \cdot S) \to (H', (\rho, A, \overline{s}) \cdot S)} \text{ ESFIELDASSIGN}$$

$$\frac{H, \rho \vdash e \Downarrow v \quad \rho' = \rho[x \mapsto v]}{(H, (\rho, A, x := e; \overline{s}) \cdot S) \to (H, (\rho', A, \overline{s}) \cdot S)} \text{ ESVARASSIGN}$$

$$\frac{H, \rho \vdash x \Downarrow v_x \qquad \rho' = \rho[\mathtt{result} \mapsto v_x]}{(H, (\rho, A, \mathtt{return} \ x; \overline{s}) \cdot S) \to (H, (\rho', A, \overline{s}) \cdot S)} \ \mathrm{ESReturn}$$

$$H, \rho \vdash y \Downarrow o \qquad H, \rho \vdash z \Downarrow v \\ H(o) = (C, _) \qquad \text{mmethod}(C, m) = T_r \ m(T \ w) \ \text{requires} \ \phi; \ \text{ensures} \ _; \ \{\overline{r}\} \\ \underline{\rho' = [\text{result} \mapsto \text{defaultValue}(T_r), \text{this} \mapsto o, w \mapsto v] \qquad H, \rho', A \vDash \phi \qquad A' = \lfloor \phi \rfloor_{H, \rho'}}{(H, (\rho, A, x := y.m(z); \overline{s}) \cdot S) \rightarrow (H, (\rho', A', \overline{r}) \cdot (\rho, A \setminus A', x := y.m(z); \overline{s}) \cdot S)} \ \text{ESAPP}$$

$$\frac{H, \rho \vdash y \Downarrow o \qquad H(o) = (C, _)}{H, \rho', A' \vDash \phi \qquad A'' = \lfloor \phi \rfloor_{H, \rho'} \qquad H, \rho' \vdash \texttt{result} \Downarrow v_r}{(H, (\rho', A', \emptyset) \cdot (\rho, A, x := y.m(z); \overline{s}) \cdot S) \rightarrow (H, (\rho[x \mapsto v_r], A * A'', \overline{s}) \cdot S)} \text{ ESAPPFINISH }$$

$$\frac{H, \rho, A \vDash \phi}{(H, (\rho, A, \mathtt{assert} \ \phi; \overline{s}) \cdot S) \to (H, (\rho, A, \overline{s}) \cdot S)} \ \mathtt{ESASSERT}$$

$$\frac{H,\rho,A \vDash \phi \qquad A' = A \setminus \lfloor \phi \rfloor_{H,\rho}}{(H,(\rho,A,\mathtt{release}\; \phi;\overline{s}) \cdot S) \to (H,(\rho,A',\overline{s}) \cdot S)} \; \mathrm{ESRelease}$$

$$\frac{\rho' = \rho[x \mapsto \mathtt{defaultValue}(T)]}{(H, (\rho, A, T \: x; \overline{s}) \cdot S) \to (H, (\rho', A, \overline{s}) \cdot S)} \; \texttt{ESDECLARE}$$

5 Gradualization

5.1 Syntax

5.1.1 Gradual formula

$$\widetilde{\phi} ::= \phi \mid ? * \phi$$

Note: consider ? in other positions as "self-framing delimiter", but with semantically identical meaning.

As long as ? is only legal in the front though: $\phi_1 * \widetilde{\phi_2}$ propagates the ? to the very left in case $\widetilde{\phi_2}$ contains one.

5.1.2 Type judgment expansion

Motivation: Materialize and combine the requirements on ϕ . Designed so that

$$\phi \vdash e : T \quad \land \quad \lfloor \phi \rfloor \vdash_{\mathtt{sfrm}} e$$

$$\iff$$

$$[e : T]_C \quad \land \quad \phi \implies \llbracket e : T \rrbracket_C$$

Expand into premise: $[e:T]_C$

$$[v:T]_C \qquad = T = C \land \vdash v:T$$

$$[x:T]_C \qquad = T = C$$

$$= [e:C']_C \land \vdash C'.f:T$$

Expand into formula: $[e:T]_C$

5.2 Concretization

Syntax $\hat{\phi} :=$ self-framed and satisfiable ϕ

$$\begin{array}{ll} \gamma(\hat{\phi}) & = \{\ \hat{\phi}\ \} \\ \gamma(?*\phi') & = \{\ \hat{\phi}\ |\ \hat{\phi} \implies \phi'\ \} \ \ \text{if} \ \phi' \ \text{satisfiable} \\ \gamma(\phi) \ \text{undefined otherwise} \end{array}$$

5.3 Abstraction

$$\begin{array}{lll} \alpha(\emptyset) \text{ undefined} & & = \phi \\ \alpha(\overline{\phi} \text{ with maximum element } \phi) & & = ? * \phi \\ \alpha(\overline{\phi}) & & = ? \text{ otherwise} \end{array}$$

5.4 Gradual Lifting

5.4.1 Self framing

$$\frac{A \vdash_{\mathtt{sfrm}} \phi}{A \vdash_{\mathtt{sfrm}} \phi} \operatorname{GSFRMNonGRAD}$$

$$\overbrace{A \vdash_{\mathtt{sfrm}} ? * \phi} \mathsf{GSfrmGrad}$$

5.4.2 Implication

$$\frac{\phi_1 \implies \phi_2}{\phi_1 \implies \widetilde{\phi_2}} \text{GIMPLNONGRAD}$$

$$\frac{\hat{\phi_m} \implies \phi_2 \quad \hat{\phi_m} \implies \phi_1}{? * \phi_1 \stackrel{\frown}{\Longrightarrow} \widetilde{\phi_2}} \text{GIMPLGRAD}$$

 $\hat{\phi_m}$ is evidence!

Consistent transitivity

While \implies is transitive, $\stackrel{\sim}{\Longrightarrow}$ is generally not.

But maybe not even necessary with smarter hoare rules?

5.4.3 Hoare and evidence

Discussion/Considerations:

- The post-condition- ϕ seems to inherit its gradual-ness from implication, which itself does not care about whether its second argument is gradual or not...
- Gradual

Example:

$$\frac{x \not\in FV(\widetilde{\phi'})}{x \not\in FV(e)} \xrightarrow{\epsilon \vdash \widetilde{\phi} \vdash x : T} \xrightarrow{\epsilon \vdash \widetilde{\phi} \vdash e : T} \xrightarrow{\epsilon \vdash \lfloor \widetilde{\phi'} \rfloor \vdash_{\mathtt{sfrm}} e} \text{GHVARASSIGN}$$

$$\vdash \{\widetilde{\phi}\}x := e\{\widetilde{\phi'}*(x=e)\}$$

Collapsing (hidden) gradual implications into a single one:

When shifting implication responsibility to GHSec:

$$\frac{x \notin FV(\widetilde{\phi}')}{\vdash \{(x:T) * \llbracket e:T \rrbracket_C * \widetilde{\phi}'\}x := e\{\llbracket e:T \rrbracket_C * \widetilde{\phi}' * (x=e)\}} \text{ GHVARASSIGN}$$

Example derivation:

$$\begin{split} &\{(x:T)*(y:C)*\mathrm{acc}(y.a)*\mathrm{acc}(y.a.b)*\mathrm{acc}(y.a.b.c)*\tilde{\phi}'\} \\ &\{(x:T)*[\![y.a.b.c:T]\!]_C*\tilde{\phi}'\} \\ &x \not\in FV(\tilde{\phi}') \\ &x \not\in FV(y.a.b.c) \\ &[y.a.b.c:T]_C = \ \vdash C_y = C \ \land \ \vdash C_y.a:C_a \ \land \ \vdash C_a.b:C_b \ \land \ \vdash C_b.c:T \\ &\{[\![y.a.b.c:T]\!]_C*\tilde{\phi}'*(x=y.a.b.c)\} \\ &\{(y:C)*\mathrm{acc}(y.a)*\mathrm{acc}(y.a.b)*\mathrm{acc}(y.a.b.c)*\tilde{\phi}'*(x=y.a.b.c)\} \end{split}$$

5.5 Theorems

5.5.1 Soundness of α

$$\forall \overline{\phi} : \overline{\phi} \subseteq \gamma(\alpha(\overline{\phi}))$$

5.5.2 Optimality of α

$$\forall \overline{\phi}, \widetilde{\phi} : \overline{\phi} \subseteq \gamma(\widetilde{\phi}) \implies \gamma(\alpha(\overline{\phi})) \subseteq \gamma(\widetilde{\phi})$$

6 Theorems

- **6.1** Invariant $invariant(H, \rho, A_d, \phi)$
- 6.1.1 Phi valid

$$\vdash_{\mathtt{sfrm}} \phi$$

6.1.2 Phi holds

$$H, \rho, A_d \vDash \phi$$

6.1.3 Types preserved

$$\forall e, T : \phi \vdash e : T$$
$$\implies H, \rho \vdash e : T$$

6.1.4 Heap consistent

$$\begin{split} \forall o, C, \mu, f, T : H(o) &= (C, \mu) \\ &\implies \mathtt{fieldType}(C, f) = T \\ &\implies H, \rho \vdash \mu(f) : T \end{split}$$

6.1.5 Heap not total

$$\begin{split} \exists o_{min}: \\ \forall o \geq o_{min}: o \not\in \text{dom}(H) \\ \wedge \ \forall f, (o,f) \not\in A \end{split}$$

- 6.2 Soundness
- 6.2.1 Progress

$$\forall \dots : \vdash \{\phi_1\}s'\{\phi_2\}$$

$$\implies invariant(H_1, \rho_1, A_1, \phi_1)$$

$$\implies \exists H_2, \rho_2, A_2 : (H_1, (\rho_1, A_1, s'; \overline{s}) \cdot S) \rightarrow^* (H_2, (\rho_2, A_2, \overline{s}) \cdot S)$$

6.2.2 Preservation

$$\forall \dots : \vdash \{\phi_1\}s'\{\phi_2\}$$

$$\implies invariant(H_1, \rho_1, A_1, \phi_1)$$

$$\implies (H_1, (\rho_1, A_1, s'; \overline{s}) \cdot S) \rightarrow^* (H_2, (\rho_2, A_2, \overline{s}) \cdot S)$$

$$\implies invariant(H_2, \rho_2, A_2, \phi_2)$$