1 Syntax

```
:= \overline{cls} \ \overline{s}
program
                              ::= class \ C \ \{\overline{field} \ \overline{method}\}
cls
field
                              := T f;
                              ::= T \ m(T \ x) \ contract \ \{\overline{s}\}
method
                              ::= requires \phi; ensures \phi;
contract
T
                              ::= int \mid C
                              ::= x.f := y; \mid x := e; \mid x := \text{new } C; \mid x := y.m(z);
s
                              | return x; | assert \phi; | release \phi; | T x;
                              ::= true \mid e=e \mid e \neq e \mid \mathtt{acc}(x.f) \mid x:T \mid \phi * \phi
\phi
                              ::=v\mid x\mid e.f
                              ::= this | result | \langle other \rangle
                              ::= o \mid n \mid \mathtt{null}
v
                              \in \mathbb{Z}
n
                              \in (o \rightharpoonup (C, \overline{(f \rightharpoonup v)}))
H
                             \in (x \rightharpoonup v)
                             := \overline{(x,f)}
A_s
                              := \overline{(o, f)}
A_d
                              ::= (\rho, A_d, \overline{s}) \cdot S \mid nil
S
```

2 Static semantics

2.1 Expressions $(A_s \vdash_{\mathtt{sfrm}} e)$

$$\frac{1}{4 \vdash x}$$
 WFVAR

$$\frac{}{A \vdash_{\mathtt{sfrm}} v} \text{ WFValue}$$

$$\frac{(x,f) \in A}{A \vdash_{\mathtt{sfrm}} x.f} \text{ WFFIELD}$$

2.2 Formulas $(A_s \vdash_{\mathtt{sfrm}} \phi)$

$$\frac{}{A \vdash_{\mathtt{sfrm}} \mathtt{true}} \text{ WFTrue}$$

$$\frac{A \vdash_{\mathtt{sfrm}} e_1 \qquad A \vdash_{\mathtt{sfrm}} e_2}{A \vdash_{\mathtt{sfrm}} (e_1 = e_2)} \text{ WFEQUAL}$$

$$\frac{A \vdash_{\mathtt{sfrm}} e_1 \qquad A \vdash_{\mathtt{sfrm}} e_2}{A \vdash_{\mathtt{sfrm}} (e_1 \neq e_2)} \text{ WFNEQUAL}$$

$$\frac{}{A \vdash_{\mathtt{sfrm}} \mathtt{acc}(x.f)} \mathtt{WFAcc}$$

$$\overline{A \vdash_{\mathtt{sfrm}} x : T} \ \mathrm{WFTYPE}$$

$$\frac{A_s \vdash_{\mathtt{sfrm}} \phi_1 \qquad A_s \cup \lfloor \phi_1 \rfloor \vdash_{\mathtt{sfrm}} \phi_2}{A_s \vdash_{\mathtt{sfrm}} \phi_1 * \phi_2} \text{ WFSepOp}$$

2.2.1 Implication $(\phi_1 \Longrightarrow \phi_2)$

Conservative approx. of $\phi_1 \implies \phi_2$.

2.3 Footprint $(\lfloor \phi \rfloor = A_s)$

$$\begin{array}{ll} \lfloor \mathtt{true} \rfloor & = \emptyset \\ \lfloor e_1 = e_2 \rfloor & = \emptyset \\ \lfloor e_1 \neq e_2 \rfloor & = \emptyset \\ \lfloor \mathsf{acc}(x.f) \rfloor & = \{(x,f)\} \\ \vert \phi_1 * \phi_2 \vert & = \vert \phi_1 \vert \cup \vert \phi_2 \vert \end{aligned}$$

2.4 Type $(\phi \vdash e : T)$

$$\frac{}{\phi \vdash v_T : T}$$
 STVALUE

$$\frac{\phi \implies (x:T)}{\phi \vdash x:T} \text{ STVAR}$$

$$\frac{\phi \vdash e : C \qquad \vdash C.f : T}{\phi \vdash e.f : T} \text{ STFIELD}$$

2.5 Hoare $(\vdash \{\phi\}\overline{s}\{\phi\})$

$$\frac{\vdash \{\phi_p\}s_1\{\phi_{q1}\} \qquad \phi_{q1} \Longrightarrow \phi_{q2} \qquad \vdash \{\phi_{q2}\}s_2\{\phi_r\}}{\vdash \{\phi_p\}s_1; s_2\{\phi_r\}} \text{ HSec}$$

$$\frac{\phi \vdash x : C \quad \text{fields}(C) = \overline{f}}{\vdash \{\phi\}x := \text{new } C\{\overline{\texttt{acc}(x, f_i) *} (x \neq \texttt{null}) * \phi\}} \text{ HNewObj}$$

$$\frac{\phi \vdash x : C \qquad \vdash C.f : T \qquad \phi \vdash y : T \qquad \phi \implies \mathtt{acc}(x.f) \qquad \phi \implies (x \neq \mathtt{null})}{\vdash \{\phi\}x.f := y\{\phi * (x.f = y)\}} \text{ HFIELDASSIGN}$$

$$\frac{\phi_1 \vdash x : T \qquad \phi_1 \vdash e : T \qquad \phi_1 = \phi_2[e/x] \qquad \emptyset \vdash_{\mathtt{sfrm}} \phi_1 \qquad \lfloor \phi_1 \rfloor \vdash_{\mathtt{sfrm}} e}{\vdash \{\phi_1\}x := e\{\phi_2\}} \text{ HVarAssign}$$

$$\frac{\phi \vdash x : T \qquad \phi \vdash \mathtt{result} : T}{\vdash \{\phi\}\mathtt{return} \ x\{\phi * (\mathtt{result} = x)\}} \ \mathsf{HRETURN}$$

$$\frac{\phi_1 \implies \phi_2}{\vdash \{\phi_1\} \text{assert } \phi_2 \{\phi_1\}} \text{ HASSERT}$$

$$\frac{\phi_1 \implies \phi_2 * \phi_r \qquad \emptyset \vdash_{\mathtt{sfrm}} \phi_r}{\vdash \{\phi_1\} \mathtt{release} \ \phi_2 \{\phi_r\}} \ \mathtt{HRELEASE}$$

$$\frac{x \not\in \mathtt{dom}(\phi_1) \qquad \phi_2 = \phi_1 * x : T * (x = \mathtt{defaultValue}(T))}{\vdash \{\phi_1\}T \ x\{\phi_2\}} \ \mathtt{HDeclare}$$

3 Dynamic semantics

3.1 Expressions $(H, \rho \vdash e \Downarrow v)$

$$\overline{H, \rho \vdash x \Downarrow \rho(x)}$$
 EEVAR

$$\overline{H, \rho \vdash v \Downarrow v}$$
 EEVALUE

$$\frac{H, \rho \vdash x \Downarrow o}{H, \rho \vdash x.f \Downarrow H(o)(f)} \text{ EEAcc}$$

3.2 Formulas $(H, \rho, A \vDash \phi)$

$$\overline{H, \rho, A \vDash \mathsf{true}}$$
 EATRUE

$$\frac{H, \rho \vdash e_1 \Downarrow v_1 \qquad H, \rho \vdash e_2 \Downarrow v_2 \qquad v_1 = v_2}{H, \rho, A \vDash (e_1 = e_2)} \text{ EAEQUAL}$$

$$\frac{H, \rho \vdash e_1 \Downarrow v_1 \qquad H, \rho \vdash e_2 \Downarrow v_2 \qquad v_1 \neq v_2}{H, \rho, A \vDash (e_1 \neq e_2)} \text{ EANEQUAL}$$

$$\frac{\rho(x) = o \qquad (o, f) \in A}{H, \rho, A \vDash acc(x.f)}$$
 EAAcc

$$\frac{\rho(x) = v_T}{H, \rho, A \vDash x : T} \text{ EATYPE}$$

$$\frac{A_1 = A \backslash A_2 \qquad H, \rho, A_1 \vDash \phi_1 \qquad H, \rho, A_2 \vDash \phi_2}{H, \rho, A \vDash \phi_1 * \phi_2} \text{ EASEPOP}$$

3.2.1 Implication $(\phi_1 \implies \phi_2)$

$$\phi_1 \implies \phi_2 \iff \forall H, \rho, A : H, \rho, A \vDash \phi_1 \implies H, \rho, A \vDash \phi_2$$

Drawn from def. of entailment in "A Formal Semantics for Isorecursive and Equirecursive State Abstractions".

3.3 Footprint $(\lfloor \phi \rfloor_{H,\rho} = A_d)$

$$\begin{array}{ll} \lfloor \operatorname{true} \rfloor_{H,\rho} & = \emptyset \\ \lfloor e_1 = e_2 \rfloor_{H,\rho} & = \emptyset \\ \lfloor e_1 \neq e_2 \rfloor_{H,\rho} & = \emptyset \\ \lfloor \operatorname{acc}(e.f) \rfloor_{H,\rho} & = \{(o,f)\} \text{ where } H, \rho \vdash e \Downarrow o \\ \lfloor \phi_1 * \phi_2 \rfloor_{H,\rho} & = \lfloor \phi_1 \rfloor_{H,\rho} \cup \lfloor \phi_2 \rfloor_{H,\rho} \end{array}$$

3.4 Type $(H, \rho \vdash e : T)$

$$\frac{H, \rho \vdash e \Downarrow v_T}{H, \rho \vdash e : T} \text{ DTEVAL}$$

3.5 Small-step $((H,S) \rightarrow (H,S))$

$$\frac{H, \rho \vdash x \Downarrow o \quad H, \rho \vdash y \Downarrow v_y \quad (o, f) \in A \quad H' = H[o \mapsto [f \mapsto v_y]]}{(H, (\rho, A, x.f := y; \overline{s}) \cdot S) \to (H', (\rho, A, \overline{s}) \cdot S)}$$
ESFIELDASSIGN

$$\frac{H, \rho \vdash e \Downarrow v \qquad \rho' = \rho[x \mapsto v]}{(H, (\rho, A, x := e; \overline{s}) \cdot S) \to (H, (\rho', A, \overline{s}) \cdot S)} \text{ ESVARASSIGN}$$

$$\frac{o \not\in \operatorname{dom}(H)}{A' = A * \overline{(o,f_i)}} \quad \begin{array}{ll} \operatorname{fields}(C) = \overline{T} \ \overline{f} \\ H' = H[o \mapsto \overline{[f \mapsto \operatorname{defaultValue}(T)]}] \\ \overline{(H,(\rho,A,x := \ \operatorname{new} \ C; \overline{s}) \cdot S) \to (H',(\rho',A',\overline{s}) \cdot S)} \end{array} \\ \operatorname{ESNewObs}(B) = \frac{\operatorname{dom}(H)}{\operatorname{ESNewObs}(B)} = \frac{\operatorname{dom}(H)$$

$$\frac{H, \rho \vdash x \Downarrow v_x \qquad \rho' = \rho[\mathtt{result} \mapsto v_x]}{(H, (\rho, A, \mathtt{return} \ x; \overline{s}) \cdot S) \to (H, (\rho', A, \overline{s}) \cdot S)} \text{ ESRETURN}$$

$$H, \rho \vdash y \Downarrow o \qquad H, \rho \vdash z \Downarrow v \\ H(o) = (C, _) \qquad \text{mmethod}(C, m) = T_r \ m(T \ w) \ \text{requires} \ \phi; \ \text{ensures} \ _; \ \{\overline{r}\} \\ \underline{\rho' = [\text{result} \mapsto \text{defaultValue}(T_r), \text{this} \mapsto o, w \mapsto v] \qquad H, \rho', A \vDash \phi \qquad A' = \lfloor \phi \rfloor_{H, \rho'}} \\ (H, (\rho, A, x := y.m(z); \overline{s}) \cdot S) \rightarrow (H, (\rho', A', \overline{r}) \cdot (\rho, A \setminus A', x := y.m(z); \overline{s}) \cdot S) \\ \text{ESAPP}$$

$$\frac{H,\rho \vdash y \Downarrow o \qquad H(o) = (C,_)}{H,\rho',A' \vDash \phi \qquad A'' = \lfloor \phi \rfloor_{H,\rho'} \qquad H,\rho' \vdash \texttt{result} \Downarrow v_r} \\ \frac{\texttt{mpost}(C,m) = \phi \qquad H,\rho',A' \vDash \phi \qquad A'' = \lfloor \phi \rfloor_{H,\rho'} \qquad H,\rho' \vdash \texttt{result} \Downarrow v_r}{(H,(\rho',A',\emptyset) \cdot (\rho,A,x := y.m(z);\overline{s}) \cdot S) \rightarrow (H,(\rho[x \mapsto v_r],A*A'',\overline{s}) \cdot S)} \\ \texttt{ESAPPFINISH}$$

$$\frac{H, \rho, A \vDash \phi}{(H, (\rho, A, \mathtt{assert} \ \phi; \overline{s}) \cdot S) \to (H, (\rho, A, \overline{s}) \cdot S)} \ \mathtt{ESASSERT}$$

$$\frac{H, \rho, A \vDash \phi \qquad A' = A \setminus \lfloor \phi \rfloor_{H, \rho}}{(H, (\rho, A, \mathtt{release} \ \phi; \overline{s}) \cdot S) \to (H, (\rho, A', \overline{s}) \cdot S)} \text{ ESRELEASE}$$

$$\frac{\rho' = \rho[x \mapsto \mathtt{defaultValue}(T)]}{(H, (\rho, A, T \: x; \overline{s}) \cdot S) \to (H, (\rho', A, \overline{s}) \cdot S)} \; \texttt{ESDECLARE}$$

4 Theorems

- **4.1** Invariant $invariant(H, \rho, A_d, \phi)$
- 4.1.1 Heap consistent

$$\begin{split} \forall x, o, C : \rho(x) &= o_C \implies \\ \exists f_C, m : \mathtt{fields}(C) &= f_C \\ \land H(o_C) &= (C, m) \\ \land (\forall (T, f) \in f_C : H, \rho \vdash m(f) : T) \end{split}$$

4.1.2 Phi holds

$$H, \rho, A_d \vDash \phi$$

4.1.3 Types preserved

$$\forall e, T : \phi \vdash e : T$$
$$\implies H, \rho \vdash e : T$$

- 4.2 Soundness
- 4.2.1 Progress

$$\forall \dots : \vdash \{\phi_1\}s'\{\phi_2\}$$

$$\implies invariant(H_1, \rho_1, A_1, \phi_1)$$

$$\implies \exists H_2, \rho_2, A_2 : (H_1, (\rho_1, A_1, s'; \overline{s}) \cdot S) \rightarrow^* (H_2, (\rho_2, A_2, \overline{s}) \cdot S)$$

4.2.2 Preservation

$$\forall \dots : \vdash \{\phi_1\}s'\{\phi_2\}$$

$$\implies invariant(H_1, \rho_1, A_1, \phi_1)$$

$$\implies (H_1, (\rho_1, A_1, s'; \overline{s}) \cdot S) \rightarrow^* (H_2, (\rho_2, A_2, \overline{s}) \cdot S)$$

$$\implies invariant(H_2, \rho_2, A_2, \phi_2)$$