

# 1 Syntax

$program ::= \overline{cls} \ \overline{s}$   
 $cls ::= \text{class } C \ \{\overline{field} \ \overline{method}\}$   
 $field ::= T \ f;$   
 $method ::= T \ m(T \ x) \ \text{contract } \{\overline{s}\}$   
 $contract ::= \text{requires } \phi; \ \text{ensures } \phi;$   
 $T ::= \text{int} \mid C$   
 $s ::= x.f := y; \mid x := e; \mid x := \text{new } C; \mid x := y.m(z); \mid \text{return } x; \mid \text{assert } \phi; \mid \text{release } \phi;$   
 $\phi ::= \text{true} \mid e = e \mid e \neq e \mid \text{acc}(x.f) \mid \phi * \phi$   
 $e ::= v \mid x \mid e.f$   
 $x ::= \text{this} \mid \text{result} \mid \langle other \rangle$

$\Gamma ::= (x \mapsto T)$   
 $H ::= (o \mapsto (C, (\overline{f \mapsto v})))$   
 $\rho ::= (x \mapsto v)$   
 $A_s ::= \overline{(x, f)}$   
 $A_d ::= \overline{(o, f)}$   
 $S ::= (\rho, A_d, \overline{s}) \cdot S \mid nil$

## 2 Static semantics

### 2.1 Expressions ( $A_s \vdash_{\text{sfrm}} e$ )

$$\frac{}{A \vdash_{\text{sfrm}} x} \text{WFVAR}$$

$$\frac{}{A \vdash_{\text{sfrm}} v} \text{WFVALUE}$$

$$\frac{(x, f) \in A}{A \vdash_{\text{sfrm}} x.f} \text{WFFIELD}$$

### 2.2 Formulas ( $A_s \vdash_{\text{sfrm}} \phi$ )

$$\frac{}{A \vdash_{\text{sfrm}} \text{true}} \text{WFTRUE}$$

$$\frac{A \vdash_{\text{sfrm}} e_1 \quad A \vdash_{\text{sfrm}} e_2}{A \vdash_{\text{sfrm}} e_1 = e_2} \text{WFEQUAL}$$

$$\frac{A \vdash_{\text{sfrm}} e_1 \quad A \vdash_{\text{sfrm}} e_2}{A \vdash_{\text{sfrm}} e_1 \neq e_2} \text{WFNEQUAL}$$

$$\frac{}{A \vdash_{\text{sfrm}} \text{acc}(x, f)} \text{WFACC}$$

$$\frac{A_s \vdash_{\text{sfrm}} \phi_1 \quad A_s \cup \text{static-footprint}(\phi_1) \vdash_{\text{sfrm}} \phi_2}{A_s \vdash_{\text{sfrm}} \phi_1 * \phi_2} \text{WFSEPOp}$$

### 2.2.1 Implication ( $\phi_1 \Rightarrow \phi_2$ )

Conservative approx. of  $\phi_1 \Rightarrow \phi_2$ .

## 2.3 Footprint ( $\text{static-footprint}(\phi) = A_s$ )

$$\begin{aligned} \text{static-footprint}(\text{true}) &= \emptyset \\ \text{static-footprint}(e_1 = e_2) &= \emptyset \\ \text{static-footprint}(e_1 \neq e_2) &= \emptyset \\ \text{static-footprint}(\text{acc}(x.f)) &= \{(x, f)\} \\ \text{static-footprint}(\phi_1 * \phi_2) &= \text{static-footprint}(\phi_1) \cup \text{static-footprint}(\phi_2) \end{aligned}$$

## 2.4 Hoare ( $\Gamma \vdash \{\phi\} \bar{s} \{\phi\}$ )

$$\frac{\Gamma \vdash \{\phi_p\} s_1 \{\phi_{q1}\} \quad \phi_{q1} \Rightarrow \phi_{q2} \quad \Gamma \vdash \{\phi_{q2}\} s_2 \{\phi_r\}}{\Gamma \vdash \{\phi_p\} s_1; s_2 \{\phi_r\}} \text{HSEC}$$

$$\frac{\Gamma(x) = C \quad \text{fields}(C) = fs}{\Gamma \vdash \{p\} x := \text{new } C \{(\text{acc}(x, \overline{fs_i}) * (x \neq \text{null} * p))\}} \text{HNEWOBJ}$$

$$\frac{\text{acc}(x, f) \in \phi \quad x \neq \text{null} \in \phi \quad \Gamma(x) = C \quad \text{fieldType}(C, f) = T \quad \Gamma(y) = T}{\Gamma \vdash \{\phi\} x.f := y \{\phi * x.f = y\}} \text{HFIELDASSIGN}$$

$$\frac{\phi_1 = \phi_2[e/x] \quad \emptyset \vdash_{\text{sfrm}} \phi_1 \quad \text{static-footprint}(\phi_1) \vdash_{\text{sfrm}} e}{\Gamma \vdash \{\phi_1\} x := e \{\phi_2\}} \text{HVARASSIGN}$$

$$\frac{}{\Gamma \vdash \{\phi\} \text{return } x \{\phi * \text{result} = x\}} \text{HRETURN}$$

$$\frac{\begin{array}{c} \Gamma(y) = C \\ y \neq \text{null} \in \phi \quad \phi \implies (\phi_p * \phi_r) \quad \text{mpre}(C, m) = \phi_{pre} \quad \text{mpost}(C, m) = \phi_{post} \\ \phi_p = \phi_{pre}[y, zs'/\text{this}, zs] \quad \phi_q = \phi_{post}[y, zs', x/\text{this}, zs, \text{result}] \end{array}}{\Gamma \vdash \{\phi\} x := y.m(zs')\{(\phi_q * \phi_r)\}} \text{HAPP}$$

$$\frac{\phi_2 \in \phi_1}{\Gamma \vdash \{\phi_1\} \text{assert } \phi_2\{\phi_1\}} \text{HASSERT}$$

$$\frac{\phi_1 \implies (\phi_2 * \phi_r) \quad \emptyset \vdash_{\text{sfrm}} \phi_r}{\Gamma \vdash \{\phi_1\} \text{release } \phi_2\{\phi_r\}} \text{HRELEASE}$$

### 3 Dynamic semantics

#### 3.1 Expressions ( $H, \rho \vdash e \Downarrow v$ )

$$\frac{}{H, \rho \vdash x \Downarrow \rho(x)} \text{EEVAR}$$

$$\frac{}{H, \rho \vdash v \Downarrow v} \text{EEVALUE}$$

$$\frac{H, \rho \vdash x \Downarrow o}{H, \rho \vdash x.f \Downarrow H(o)(f)} \text{EEACC}$$

#### 3.2 Formulas ( $H, \rho, A \models \phi$ )

$$\frac{}{H, \rho, A \models \text{true}} \text{EATRUE}$$

$$\frac{H, \rho \vdash e_1 \Downarrow v_1 \quad H, \rho \vdash e_2 \Downarrow v_2 \quad v_1 = v_2}{H, \rho, A \models e_1 = e_2} \text{EAEQUAL}$$

$$\frac{H, \rho \vdash e_1 \Downarrow v_1 \quad H, \rho \vdash e_2 \Downarrow v_2 \quad v_1 \neq v_2}{H, \rho, A \models e_1 \neq e_2} \text{EANEQUAL}$$

$$\frac{\rho(x) = o \quad (o, f) \in A}{H, \rho, A \models \text{acc}(x, f)} \text{EAAcc}$$

$$\frac{A_1 = A \setminus A_2 \quad H, \rho, A_1 \models \phi_1 \quad H, \rho, A_2 \models \phi_2}{H, \rho, A \models \phi_1 * \phi_2} \text{EASEPOP}$$

### 3.2.1 Implication ( $\phi_1 \implies \phi_2$ )

$$\phi_1 \implies \phi_2 \quad \Longleftrightarrow \quad \forall H, \rho, A : H, \rho, A \models \phi_1 \implies H, \rho, A \models \phi_2$$

Drawn from def. of entailment in “A Formal Semantics for Isorecursive and Equirecursive State Abstractions”.

### 3.3 Footprint ( $\text{footprint}_{H,\rho}(\phi) = A_d$ )

$$\begin{aligned} \text{footprint}_{H,\rho}(\text{true}) &= \emptyset \\ \text{footprint}_{H,\rho}(e_1 = e_2) &= \emptyset \\ \text{footprint}_{H,\rho}(e_1 \neq e_2) &= \emptyset \\ \text{footprint}_{H,\rho}(\text{acc}(e.f)) &= \{(o, f)\} \text{ where } H, \rho \vdash e \Downarrow o \\ \text{footprint}_{H,\rho}(\phi_1 * \phi_2) &= \text{footprint}_{H,\rho}(\phi_1) \cup \text{footprint}_{H,\rho}(\phi_2) \end{aligned}$$

### 3.4 Small-step ( $(H, S) \rightarrow (H, S)$ )

$$\begin{aligned} &\frac{H, \rho \vdash x \Downarrow o \quad H, \rho \vdash y \Downarrow v_y \quad (o, f) \in A \quad H' = H[o \mapsto [f \mapsto v_y]]}{(H, (\rho, A, x.f := y; \bar{s}) \cdot S) \rightarrow (H', (\rho, A, \bar{s}) \cdot S)} \text{ESFIELDASSIGN} \\ &\frac{H, \rho \vdash e \Downarrow v \quad \rho' = \rho[x \mapsto v]}{(H, (\rho, A, x := e; \bar{s}) \cdot S) \rightarrow (H, (\rho', A, \bar{s}) \cdot S)} \text{ESVARASSIGN} \\ &\frac{\text{fields}(C) = f \quad \rho' = \rho[x \mapsto o] \quad H(o) = \perp \quad A' = A * \overline{(o, f_i)} \quad H' = H[o \mapsto [\overline{(f_i, \text{null})}]]}{(H, (\rho, A, x := \text{new } C; \bar{s}) \cdot S) \rightarrow (H', (\rho', A', \bar{s}) \cdot S)} \text{ESNEWOBJ} \\ &\frac{H, \rho \vdash x \Downarrow v_x \quad \rho' = \rho[\text{result} \mapsto v_x]}{(H, (\rho, A, \text{return } x; \bar{s}) \cdot S) \rightarrow (H, (\rho', A, \bar{s}) \cdot S)} \text{ESRETURN} \\ &\frac{\begin{array}{c} H, \rho \vdash z \Downarrow v \quad H(o) = (C, c) \quad \text{mbody}(C, m) = \bar{r} \quad \text{mparam}(C, m) = (T, w) \\ \text{mpre}(C, m) = \phi \quad \rho' = [\text{this} \mapsto o, w \mapsto v] \quad H, \rho', A \models \phi \quad A' = \text{footprint}_{H,\rho'}(\phi) \end{array}}{(H, (\rho, A, x := y.m(z); \bar{s}) \cdot S) \rightarrow (H, (\rho', A', \bar{r}) * (\rho, A \setminus A', x := y.m(z); \bar{s}) \cdot S)} \text{ESAPP} \\ &\frac{\text{mpost}(C, m) = \phi \quad H, \rho', A' \models \phi \quad A'' = \text{footprint}_{H,\rho'}(\phi) \quad H, \rho' \vdash \text{result} \Downarrow v_r}{(H, (\rho', A', \emptyset) * (\rho, A, x := y.m(z); \bar{s}) \cdot S) \rightarrow (H, (\rho[x \mapsto v_r], A * A'', \bar{s}) \cdot S)} \text{ESAPPFINISH} \\ &\frac{H, \rho, A \models \phi}{(H, (\rho, A, \text{assert } \phi; \bar{s}) \cdot S) \rightarrow (H, (\rho, A, \bar{s}) \cdot S)} \text{ESASSERT} \\ &\frac{H, \rho, A \models \phi \quad A' = A \setminus \text{footprint}_{H,\rho}(\phi)}{(H, (\rho, A, \text{release } \phi; \bar{s}) \cdot S) \rightarrow (H, (\rho, A', \bar{s}) \cdot S)} \text{ESRELEASE} \end{aligned}$$

## 4 Theorems

Hoare preserves self-framing

$$\begin{aligned}
& \forall \Gamma, \phi_1, \phi_2, s : \Gamma \vdash \{\phi_1\} s \{\phi_2\} \\
& \implies \text{static-footprint}(\phi_1) \vdash_{\text{sfrm}} \phi_1 \\
& \implies \text{static-footprint}(\phi_2) \vdash_{\text{sfrm}} \phi_2
\end{aligned}$$

Hoare progress

$$\begin{aligned}
& \forall \Gamma, \phi_1, \phi_2, s, H_1, \rho_1, A_1 : \Gamma \vdash \{\phi_1\} s \{\phi_2\} \\
& \implies H_1, \rho_1, A_1 \models \phi_1 \\
& \implies \exists H_2, \rho_2, A_2 : (H_1, (\rho_1, A_1, s'; \bar{s}) \cdot S) \rightarrow^* (H_2, (\rho_2, A_2, \bar{s}) \cdot S)
\end{aligned}$$

Hoare preservation

$$\begin{aligned}
& \forall \Gamma, \phi_1, \phi_2, s, H_1, H_2, \rho_1, \rho_2, A_1, A_2 : \Gamma \vdash \{\phi_1\} s \{\phi_2\} \\
& \implies H_1, \rho_1, A_1 \models \phi_1 \\
& \implies (H_1, (\rho_1, A_1, s'; \bar{s}) \cdot S) \rightarrow^* (H_2, (\rho_2, A_2, \bar{s}) \cdot S) \\
& \implies H_2, \rho_2, A_2 \models \phi_2
\end{aligned}$$