

# 1 Syntax

<i>program</i>	$::= \overline{cls} \ \overline{s}$
<i>cls</i>	$::= \text{class } C \ \{\overline{field} \ \overline{method}\}$
<i>field</i>	$::= T \ f;$
<i>method</i>	$::= T \ m(T \ x) \ \text{contract } \{\overline{s}\}$
<i>contract</i>	$::= \text{requires } \phi; \ \text{ensures } \phi;$
<i>T</i>	$::= \text{int} \mid C$
<i>s</i>	$::= x.f := y; \mid x := e; \mid x := \text{new } C; \mid x := y.m(z);$ $\mid \text{return } x; \mid \text{assert } \phi; \mid \text{release } \phi; \mid T \ x;$
$\phi$	$::= \text{true} \mid e = e \mid e \neq e \mid \text{acc}(x.f) \mid x : T \mid \phi * \phi$
<i>e</i>	$::= v \mid x \mid e.f$
<i>x</i>	$::= \text{this} \mid \text{result} \mid \langle \text{other} \rangle$
<i>v</i>	$::= o \mid n \mid \text{null}$
<i>n</i>	$\in \mathbb{Z}$
<i>H</i>	$\in (o \multimap (C, \overline{(f \multimap v)}))$
$\rho$	$\in (x \multimap v)$
$A_s$	$::= \overline{(x, f)}$
$A_d$	$::= \overline{(o, f)}$
<i>S</i>	$::= (\rho, A_d, \overline{s}) \cdot S \mid \text{nil}$

## 2 Static semantics

### 2.1 Expressions ( $A_s \vdash_{\text{sfrm}} e$ )

$$\frac{}{A \vdash_{\text{sfrm}} x} \text{WFVAR}$$

$$\frac{}{A \vdash_{\text{sfrm}} v} \text{WFVALUE}$$

$$\frac{(x, f) \in A}{A \vdash_{\text{sfrm}} x.f} \text{WFFIELD}$$

### 2.2 Formulas ( $A_s \vdash_{\text{sfrm}} \phi$ )

$$\frac{}{A \vdash_{\text{sfrm}} \text{true}} \text{WFTRUE}$$

$$\frac{A \vdash_{\text{sfrm}} e_1 \quad A \vdash_{\text{sfrm}} e_2}{A \vdash_{\text{sfrm}} e_1 = e_2} \text{WFEQUAL}$$

$$\frac{A \vdash_{\text{sfrm}} e_1 \quad A \vdash_{\text{sfrm}} e_2}{A \vdash_{\text{sfrm}} e_1 \neq e_2} \text{WFNEQUAL}$$

$$\frac{}{A \vdash_{\text{sfrm}} \text{acc}(x, f)} \text{WFAcc}$$

$$\frac{}{A \vdash_{\text{sfrm}} x : T} \text{WFTYPE}$$

$$\frac{A_s \vdash_{\text{sfrm}} \phi_1 \quad A_s \cup \text{static-footprint}(\phi_1) \vdash_{\text{sfrm}} \phi_2}{A_s \vdash_{\text{sfrm}} \phi_1 * \phi_2} \text{WFSEPOP}$$

### 2.2.1 Implication ( $\phi_1 \Rightarrow \phi_2$ )

Conservative approx. of  $\phi_1 \Rightarrow \phi_2$ .

## 2.3 Footprint ( $\text{static-footprint}(\phi) = A_s$ )

$$\begin{aligned} \text{static-footprint}(\text{true}) &= \emptyset \\ \text{static-footprint}(e_1 = e_2) &= \emptyset \\ \text{static-footprint}(e_1 \neq e_2) &= \emptyset \\ \text{static-footprint}(\text{acc}(x.f)) &= \{(x, f)\} \\ \text{static-footprint}(\phi_1 * \phi_2) &= \text{static-footprint}(\phi_1) \cup \text{static-footprint}(\phi_2) \end{aligned}$$

## 2.4 Type ( $\text{staticType}_\phi(e) = T$ )

$$\begin{aligned} \text{staticType}_\phi(v_T) &= T \\ \text{staticType}_\phi(x) &= T \quad \text{where } \phi \Rightarrow (x : T) \\ \text{staticType}_\phi(e.f) &= \text{fieldType}(C, f) \quad \text{where } \text{staticType}_\phi(e) = C \end{aligned}$$

## 2.5 Hoare ( $\vdash \{\phi\} \bar{s} \{\phi\}$ )

$$\frac{\vdash \{\phi_p\} s_1 \{\phi_{q1}\} \quad \phi_{q1} \Rightarrow \phi_{q2} \quad \vdash \{\phi_{q2}\} s_2 \{\phi_r\}}{\vdash \{\phi_p\} s_1; s_2 \{\phi_r\}} \text{HSEC}$$

$$\frac{\text{staticType}_\phi(x) = C \quad \text{fields}(C) = \bar{f}}{\vdash \{\phi\} x := \text{new } C \{(\text{acc}(x, \bar{f}_i) * (x \neq \text{null} * \phi))\}} \text{HNEWOBJ}$$

$$\frac{\text{staticType}_\phi(x) = C \quad \text{fieldType}(C, f) = T \quad \text{staticType}_\phi(y) = T \quad \text{acc}(x, f) \implies \phi \quad x \neq \text{null} \implies \phi}{\vdash \{\phi\} x.f := y \{\phi * x.f = y\}} \text{HFIELDASSIGN}$$

$$\frac{\text{staticType}_{\phi_1}(x) = T \quad \text{staticType}_{\phi_1}(e) = T \quad \phi_1 = \phi_2[e/x] \quad \emptyset \vdash_{\text{sfrm}} \phi_1 \quad \text{static-footprint}(\phi_1) \vdash_{\text{sfrm}} e}{\vdash \{\phi_1\} x := e \{\phi_2\}} \text{HVARASSIGN}$$

$$\frac{\text{staticType}_\phi(x) = T \quad \text{staticType}_\phi(\text{result}) = T}{\vdash \{\phi\} \text{return } x \{\phi * \text{result} = x\}} \text{HRETURN}$$

$$\frac{\begin{array}{l} \text{staticType}_\phi(y) = C \quad \text{staticType}_\phi(x) = T_r \\ \text{staticType}_\phi(z') = T_p \quad y \neq \text{null} \implies \phi \quad \phi \implies (\phi_p * \phi_r) \\ \text{mpre}(C, m) = \phi_{pre} \quad \text{mpost}(C, m) = \phi_{post} \quad \text{mparam}(C, m) = (T_p, z) \\ \text{mrettype}(C, m) = T_r \quad \phi_p = \phi_{pre}[y, z'/\text{this}, z] \quad \phi_q = \phi_{post}[y, z', x/\text{this}, z, \text{result}] \end{array}}{\vdash \{\phi\} x := y.m(z') \{(\phi_q * \phi_r)\}} \text{HAPP}$$

$$\frac{\phi_2 \implies \phi_1}{\vdash \{\phi_1\} \text{assert } \phi_2 \{\phi_1\}} \text{HASSERT}$$

$$\frac{\phi_1 \implies (\phi_2 * \phi_r) \quad \emptyset \vdash_{\text{sfrm}} \phi_r}{\vdash \{\phi_1\} \text{release } \phi_2 \{\phi_r\}} \text{HRELEASE}$$

$$\frac{\text{staticType}_{\phi_1}(x) \text{ undefined} \quad \phi_2 = \phi_1 * x : T}{\vdash \{\phi_1\} T x \{\phi_2\}} \text{HDECLARE}$$

### 3 Dynamic semantics

#### 3.1 Expressions ( $H, \rho \vdash e \Downarrow v$ )

$$\frac{}{H, \rho \vdash x \Downarrow \rho(x)} \text{EEVAR}$$

$$\frac{}{H, \rho \vdash v \Downarrow v} \text{EEVALUE}$$

$$\frac{H, \rho \vdash x \Downarrow o}{H, \rho \vdash x.f \Downarrow H(o)(f)} \text{EEACC}$$

### 3.2 Formulas ( $H, \rho, A \models \phi$ )

$$\frac{}{H, \rho, A \models \text{true}} \text{EATrue}$$

$$\frac{H, \rho \vdash e_1 \Downarrow v_1 \quad H, \rho \vdash e_2 \Downarrow v_2 \quad v_1 = v_2}{H, \rho, A \models e_1 = e_2} \text{EAEqual}$$

$$\frac{H, \rho \vdash e_1 \Downarrow v_1 \quad H, \rho \vdash e_2 \Downarrow v_2 \quad v_1 \neq v_2}{H, \rho, A \models e_1 \neq e_2} \text{EANEqual}$$

$$\frac{\rho(x) = o \quad (o, f) \in A}{H, \rho, A \models \text{acc}(x, f)} \text{EAAcc}$$

$$\frac{\rho(x) = T}{H, \rho, A \models x : T} \text{EAType}$$

$$\frac{A_1 = A \setminus A_2 \quad H, \rho, A_1 \models \phi_1 \quad H, \rho, A_2 \models \phi_2}{H, \rho, A \models \phi_1 * \phi_2} \text{EASepOp}$$

#### 3.2.1 Implication ( $\phi_1 \implies \phi_2$ )

$$\phi_1 \implies \phi_2 \quad \iff \quad \forall H, \rho, A : H, \rho, A \models \phi_1 \implies H, \rho, A \models \phi_2$$

Drawn from def. of entailment in “A Formal Semantics for Isorecursive and Equirecursive State Abstractions”.

### 3.3 Footprint ( $\text{footprint}_{H, \rho}(\phi) = A_d$ )

$$\begin{aligned} \text{footprint}_{H, \rho}(\text{true}) &= \emptyset \\ \text{footprint}_{H, \rho}(e_1 = e_2) &= \emptyset \\ \text{footprint}_{H, \rho}(e_1 \neq e_2) &= \emptyset \\ \text{footprint}_{H, \rho}(\text{acc}(e.f)) &= \{(o, f)\} \text{ where } H, \rho \vdash e \Downarrow o \\ \text{footprint}_{H, \rho}(\phi_1 * \phi_2) &= \text{footprint}_{H, \rho}(\phi_1) \cup \text{footprint}_{H, \rho}(\phi_2) \end{aligned}$$

### 3.4 Type ( $\text{dynamicType}_{H, \rho}(e) = T$ )

$$\text{dynamicType}_{H, \rho}(e) = T \quad \text{where } H, \rho \vdash e \Downarrow v_T$$

### 3.5 Small-step $((H, S) \rightarrow (H, S))$

$$\begin{array}{c}
\frac{H, \rho \vdash x \Downarrow o \quad H, \rho \vdash y \Downarrow v_y \quad (o, f) \in A \quad H' = H[o \mapsto [f \mapsto v_y]]}{(H, (\rho, A, x.f := y; \bar{s}) \cdot S) \rightarrow (H', (\rho, A, \bar{s}) \cdot S)} \text{ESFIELDASSIGN} \\
\\
\frac{H, \rho \vdash e \Downarrow v \quad \rho' = \rho[x \mapsto v]}{(H, (\rho, A, x := e; \bar{s}) \cdot S) \rightarrow (H, (\rho', A, \bar{s}) \cdot S)} \text{ESVARASSIGN} \\
\\
\frac{\rho' = \rho[x \mapsto o] \quad \begin{array}{c} H(o) \text{ undefined} \quad \text{fields}(C) = \bar{T} \bar{f} \\ A' = A * \overline{(o, f_i)} \quad H' = H[o \mapsto [f \mapsto \text{defaultValue}(T)]] \end{array}}{(H, (\rho, A, x := \text{new } C; \bar{s}) \cdot S) \rightarrow (H', (\rho', A', \bar{s}) \cdot S)} \text{ESNEWOBJ} \\
\\
\frac{H, \rho \vdash x \Downarrow v_x \quad \rho' = \rho[\text{result} \mapsto v_x]}{(H, (\rho, A, \text{return } x; \bar{s}) \cdot S) \rightarrow (H, (\rho', A, \bar{s}) \cdot S)} \text{ESRETURN} \\
\\
\frac{\begin{array}{c} H, \rho \vdash y \Downarrow o \quad H, \rho \vdash z \Downarrow v \\ H(o) = (C, \_) \quad \text{mbody}(C, m) = \bar{r} \quad \text{mparam}(C, m) = (T, w) \quad \text{mpre}(C, m) = \phi \\ \text{mrettype}(C, m) = T_r \quad \rho' = [\text{result} \mapsto \text{defaultValue}(T_r), \text{this} \mapsto o, w \mapsto v] \\ H, \rho', A \models \phi \quad A' = \text{footprint}_{H, \rho'}(\phi) \end{array}}{(H, (\rho, A, x := y.m(z); \bar{s}) \cdot S) \rightarrow (H, (\rho', A', \bar{r}) \cdot (\rho, A \setminus A', x := y.m(z); \bar{s}) \cdot S))} \text{ESAPP} \\
\\
\frac{\begin{array}{c} H, \rho \vdash y \Downarrow o \quad H(o) = (C, \_) \\ \text{mpost}(C, m) = \phi \quad H, \rho', A' \models \phi \quad A'' = \text{footprint}_{H, \rho'}(\phi) \quad H, \rho' \vdash \text{result} \Downarrow v_r \end{array}}{(H, (\rho', A', \emptyset) \cdot (\rho, A, x := y.m(z); \bar{s}) \cdot S) \rightarrow (H, (\rho[x \mapsto v_r], A * A'', \bar{s}) \cdot S)} \text{ESAPPFINISH} \\
\\
\frac{H, \rho, A \models \phi}{(H, (\rho, A, \text{assert } \phi; \bar{s}) \cdot S) \rightarrow (H, (\rho, A, \bar{s}) \cdot S)} \text{ESASSERT} \\
\\
\frac{H, \rho, A \models \phi \quad A' = A \setminus \text{footprint}_{H, \rho}(\phi)}{(H, (\rho, A, \text{release } \phi; \bar{s}) \cdot S) \rightarrow (H, (\rho, A', \bar{s}) \cdot S)} \text{ESRELEASE} \\
\\
\frac{\rho' = \rho[x \mapsto \text{defaultValue}(T)]}{(H, (\rho, A, T x; \bar{s}) \cdot S) \rightarrow (H, (\rho', A, \bar{s}) \cdot S)} \text{ESDECLARE}
\end{array}$$

## 4 Theorems

### 4.1 Invariant $\text{invariant}(H, \rho, A_d, \phi)$

#### 4.1.1 Heap consistent

$$\begin{aligned} \forall x, o, C : \rho(x) = o_C &\implies \\ \exists f_C, m : \text{fields}(C) = f_C & \\ \wedge H(o_C) = (C, m) & \\ \wedge (\forall (T, f) \in f_C : \text{dynamicType}_{H, \rho}(\text{res}(f)) = T) & \end{aligned}$$

#### 4.1.2 Phi holds

$$H, \rho, A_d \models \phi$$

#### 4.1.3 Types preserved

$$\begin{aligned} \forall e, T : \text{staticType}_\phi(e) = T & \\ \implies \text{dynamicType}_{H, \rho}(e) = T & \end{aligned}$$

## 4.2 Soundness

### 4.2.1 Progress

$$\begin{aligned} \forall \dots : \vdash \{\phi_1\} s' \{\phi_2\} & \\ \implies \text{invariant}(H_1, \rho_1, A_1, \phi_1) & \\ \implies \exists H_2, \rho_2, A_2 : (H_1, (\rho_1, A_1, s'; \bar{s}) \cdot S) \rightarrow^* (H_2, (\rho_2, A_2, \bar{s}) \cdot S) & \end{aligned}$$

### 4.2.2 Preservation

$$\begin{aligned} \forall \dots : \vdash \{\phi_1\} s' \{\phi_2\} & \\ \implies \text{invariant}(H_1, \rho_1, A_1, \phi_1) & \\ \implies (H_1, (\rho_1, A_1, s'; \bar{s}) \cdot S) \rightarrow (H_2, (\rho_2, A_2, \bar{s}) \cdot S) & \\ \implies \text{invariant}(H_2, \rho_2, A_2, \phi_2) & \end{aligned}$$