Karlsruhe Institute of Technology

Institute for Program Structures
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Gradual Verification and maybe something about implicit dynamic frames

Master's Thesis of

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I declare that I have developed and written the enclosed thesis completely by myself, and have not used sources or means without declaration in the text, and have followed the rules of the KIT for upholding good scientific practice.

Karlsruhe, 2016-09-??

.....

(**Johannes Bader**)

Abstract

Formal verification using Hoare logic is a powerful tool to prove properties of imperative computer programs.
However, in practice programmers often face situations ... rigid... not flexible... - incomplete information about parts of the program - laziness, forced to annotate everything - unable to express due to limited syntax - unable to prove something facing undecidability
To counteract these limitations we introduce the notion of gradual formulas with an unknown part "?".
The main contribution of this work is presenting a gradual verification logic that covers the full range between completely unannotated programs and fully annotated programs. We prove the soundness of this logic and ... Siek et al. (2015).

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Contents

1 Introduction

Most modern programming languages use static analysis to some degree, ruling out certain types of runtime failure. Static analysis provides guarantees about the dynamic behavior of a program without actually running the program. Static typing disciplines are among the most common representatives of static analysis, guaranteeing type safety at compile time, obviating the need for dynamic checks.

Another powerful technique is static verification of programs against their specification, i.e. statically proving their "correctness". In practice this is achieved by checking that some annotated invariants or assertions (reflecting the specification) must always hold. Unfortunately, static verification has limitations and drawbacks:

- Syntax
- Decidability
- Difficult and Tedious to annotate programs
- ...

These limitations not only affect programmers trying to statically verify their program. Most general purpose programming languages (C/C++, C#, Java, ...), usually driven by cost-benefit and usability considerations, haven't adopted this level of static analysis in the first place.

The purpose of gradual verification is to weaken if not remove some of these limitations at the cost of turning some static checks into runtime checks, whenever inevitable. We will present a procedure of turning a static verification into a gradual one.

This idea is not new at all and actually common practice in type systems: In C# or Java, explicit type casts are assertions about the actual type of a value. This actual type (usually a subtype of the statically known type) could not be deduced by the static type system due to its limitations. Such an assertion/cast allows subsequent static reasoning about the value assuming its new type at the cost of an additional runtime check, ensuring the validity of the cast. Note that such deviations from a "purely" static type system (one where there is no need for runtime checks) do not affect type safety: It is still guaranteed that execution does not enter an invalid state (one where runtime types are incompatible with statically annotated types) by simply interrupting execution whenever a runtime type check fails. This is usually implemented by throwing an exception.

At the other end of the spectrum are dynamically typed languages. In scenarios where the limitations of a static type system would clutter up the source code, they allow expressing the same logic with less syntactic overhead, but at the cost of less static guarantees and early bug detection.

In terms of program verification, most general purpose languages are on the dynamic end of the spectrum. If they exist as designated syntax, assertions are usually implemented as runtime checks and often even dropped entirely for "release" builds (the Java compiler drops them by default). It is common practice to implement

A gradual type system is more flexible, as it provides the full continuum between static and dynamic typing, letting the programmer decide ... It can be seen as an extension "unknown" type

This work will also show that gradual verification ... other angle!

- What is the thesis about? Why is it relevant or important? What are the issues or problems? What is the proposed solution or approach? What can one expect in the rest of the thesis?

2 Motivation

more practical view? Intro? Background?

3 Background and Motivation

3.1 Categorization of existing programming languages

3.2 Abstract Gradual Typing

3.3 Implicit Dynamic Frames

3.3.1 Self-Framing

3.4 Hoare Logic

...for static semantics

3.5 Motivation

or here?

4 Gradualization of a static... / A Statically Verified Language

As illustrated earlier gradual verification can be seen as an extension of both static and dynamic verification. Yet, our approach of "gradualization" formalizes the introduction of the dynamic aspect into a fully static system. Thus, this uses a statically verified language as starting point. Later we will show how a programming language without static verification can be approached.

We will now intrude a very simple Java-like language that uses Chalice/Eiffel/Spec# sub-syntax to express method contracts.

4.1 Syntax

$$
\begin{array}{rll}
\mathit{program} \in \textsc{Program} & ::= & \overline{\mathit{cls}}\ \ \overline{s} \\
\mathit{cls} \in \textsc{Class} & ::= & \texttt{class}\ C\ \texttt{\{}\ \overline{\mathit{field}}\ \ \overline{\mathit{method}}\ \texttt{\}} \\
\mathit{field} \in \textsc{Field} & ::= & T\ \ f\texttt{;} \\
\mathit{method} \in \textsc{Method} & ::= & T\ \ m\texttt{(}T\ \ x\texttt{)}\ \ \mathit{contract}\ \texttt{\{}\ \overline{s}\ \texttt{\}} \\
\mathit{contract} \in \textsc{Contract} & ::= & \texttt{requires}\ \phi\texttt{;}\ \texttt{ensures}\ \phi\texttt{;} \\
T \in \textsc{Type} & ::= & \texttt{int} \mid C \\
s \in \textsc{Stmt} & ::= & x\texttt{.}f\ \texttt{:=}\ y\texttt{;} \mid x\ \texttt{:=}\ e\texttt{;} \mid x\ \texttt{:=}\ \texttt{new}\ C\texttt{;} \mid x\ \texttt{:=}\ y\texttt{.}m\texttt{(}z\texttt{);} \\
 & & \mid \texttt{return}\ x\texttt{;} \mid \texttt{assert}\ \phi\texttt{;} \mid \texttt{release}\ \phi\texttt{;} \mid T\ \ x\texttt{;} \\
\phi \in \textsc{Formula} & ::= & \texttt{true} \mid \texttt{(}e\ \texttt{=}\ e\texttt{)} \mid \texttt{(}e\ \neq\ e\texttt{)} \mid \texttt{acc(}e\texttt{.}f\texttt{)} \mid \phi\ \texttt{*}\ \phi \\
e \in \textsc{Expr} & ::= & v \mid x \mid e\texttt{.}f \\
x, y, z \in \textsc{Var} & ::= & \texttt{this} \mid \texttt{result} \mid \mathit{name} \\
v \in \textsc{Val} & ::= & o \mid n \mid \texttt{result} \\
n \in \mathbb{Z} & & \\
C, f, m & ::= & \mathit{name}
\end{array}
$$

Programs consist of classes and a main method, represented directly as the list of its instructions.

Further stuff

$$
\begin{array}{lll}
H & \in & (o \rightharpoonup (C, \overline{(f \rightharpoonup v)})) \\
\rho & \in & (x \rightharpoonup v) \\
\Gamma & \in & (x \rightharpoonup T) \\
A_s & ::= & \overline{(e, f)} \\
A_d & ::= & \overline{(o, f)} \\
S & ::= & (\rho, A_d, \overline{s}) \cdot S \mid \mathit{nil}
\end{array}
$$

4.2 Static Semantics

4.3 Well-Formedness

With static semantics in place, we can define what makes programs, i.e. their classes and methods well-formed.

4.3.1 Program

A program is well-formed if both its classes and main method are. For the main method to be well-formed, it must satisfy our Hoare predicate, given no assumptions.

$$\frac{\text{unique } field\text{-names} \qquad \text{unique } method\text{-names} \qquad \overline{method_i \ \mathsf{OK\ in}\ C}}{(\texttt{class}\ C\ \texttt{\{}\ \overline{field_i}\ \overline{method_i}\ \texttt{\}})\ \mathsf{OK}}\ \textsc{OkClass}$$

$$\frac{\begin{array}{c} FV(\phi_1) \subseteq \{x, \texttt{this}\} \qquad FV(\phi_2) \subseteq \{x, \texttt{this}, \texttt{result}\} \\ x : T_x, \texttt{this} : C, \texttt{result} : T_m \vdash \{\phi_1\}\overline{s}\{\phi_2\} \qquad \emptyset\phi_1 \qquad \emptyset\phi_2 \qquad \overline{\neg \mathsf{writesTo}(s_i, x)} \end{array}}{(T_m\ \ m(T_x\ \ x)\ \texttt{requires}\ \phi_1\texttt{;}\ \texttt{ensures}\ \phi_2\texttt{;}\ \texttt{\{}\ \overline{s}\ \texttt{\}})\ \mathsf{OK\ in}\ C}\ \textsc{OkMethod}$$

4.4 Dynamic Semantics

5 Deriving a Gradual Language

5.1 Abstracting Static Semantics

5.2 Abstracting Dynamic Semantics

6 Implementation

7 Evaluation/Analysis

8 Conclusion

Recap, remind reader what big picture was. Briefly outline your thesis, motivation, problem, and proposed solution.

8.1 Limitations

8.2 Future Work

9 Appendix

10 UNSORTED

10.1 HoareMotivEx

Hoare Logic as formal setting

```
class Point
{
    int manhattenDistance(Point p)
        requires \phi_{pre};
        ensures  \phi_{post};
    {
        s1;
        s2;
        .
        .
        .
    }
}
```

$$\texttt{this} : \texttt{Point}, \texttt{p} : \texttt{Point}, \texttt{result} : \texttt{int} \vdash \{\phi_{pre}\}s1; s2; ...\{\phi_{post}\}$$

10.2 MotivationExamples

10.2.1 As extension to unverified setting

"Make dynamic setting more static"

Motivating example:

```
boolean hasLegalDriver(Car c)
{
    return c.driver.age >= 18;
}
```

Motivating example with potential leak:

```
boolean hasLegalDriver(Car c)
{
    allocateSomething();
    boolean result = c.driver.age >= 18;
    releaseSomething();
    return result;
}
```

Motivating example with argument validation:

```
boolean hasLegalDriver(Car c)
{
    if (!(c != null))
        throw new IllegalArgumentException("expected␣c␣!=␣null");
    if (!(c.driver != null))
        throw new IllegalArgumentException("expected␣c.driver␣!=␣null");

    // business logic (requires 'c.driver.age' to evaluate)
}
```

Motivating example with declarative approach (JML syntax):

```
//@ requires c != null && c.driver != null;
boolean hasLegalDriver(Car c)
{
    // business logic (requires 'c.driver.age' to evaluate)
}
```

There are two basic ways to turn this annotation into a guarantee:

Static Verification (run ESC/Java [1])

In the unlikely event that the verifier can prove the precondition at all call sites, our problem is solved. Otherwise, we have to enhance the call sites in order to convince the verifier. Choices:

- Add parameter validation, effectively duplicating the original runtime check across the program.
- Add further annotations, guiding the verifier towards a proof. This might not always work due to limitations of the verifier or decidability in general.

There are obvious limitations to this approach, static verification tends to be invasive. At least there is a performance benefit: Runtime checks (originally part of every call) are now only necessary in places where verification would not succeed otherwise.

Runtime Assertion Checking (RAC, run JML4c, TODO: http://www.cs.utep.edu/cheon/download/jm

This approach basically converts the annotation back into a runtime check equivalent to our manual argument validation. It is therefore less invasive, not requiring further changes to the code, but also lacks the advantages of static verification.

10.2.2 As extension to fully verified setting

"Make static setting more dynamic"

```
int collatzIterations(int iter, int start)
    requires 0 < start
    ensures  0 < result
{
    // ...
}
```

```
int myRandom(int seed)
    requires 0 < seed   && seed   < 10000
    ensures  0 < result && result < 4     // not provable
{
    int result = collatzIterations(300, seed);
    // we know: result ∈ { 1, 2, 4 }

    if (result == 4) result = 3;
    return result;
}
```

Non-solution:

```
int collatzIterations(int iter, int start)
    requires 0 < start
    ensures  0 < result
{
    // ...
}

int myRandom(int seed)
    requires 0 < seed   && seed   < 10000
    ensures  0 < result && result < 4
{
    int result = collatzIterations(300, seed);
    // we know: result ∈ { 1, 2, 4 }

    // "cast"
    if (!(result < 5))
        throw new IllegalStateException("expected␣result␣<␣5");

    // verifier now knows:  0 < result && result < 5

    if (result == 4) result = 3;
    return result;
}
```

This solution is not satisfying, - much to write, have to think about what to write (requires you to kind of thing from verifiers perspective) - intuitively the problem is with the method's postcondition being too weak, i.e. we solved the problem at the wrong place!

```
int collatzIterations(int iter, int start)
    requires 0 < start
    ensures  0 < result && ?
{
    // ...
}

int myRandom(int seed)
    requires 0 < seed   && seed   < 10000
    ensures  0 < result && result < 4
```

```
{
    int result = collatzIterations(300, seed);
    // we know: result ∈ { 1, 2, 4 }

    // verifier allowed to
    //  assume 0 < result && result < 5
    //  from   0 < result && ?
    // (adding runtime check)

    if (result == 4) result = 3;
    return result;
}
```

Bibliography

[1] K Rustan M Leino, Greg Nelson, and James B Saxe. Esc/java user's manual. *ESC*, 2000:002, 2000.