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# 1 Aliasing

### 1.1 Definitions

An **object variable** is one of the following:

- a class instance variable i.e. a variable v such that v:C for some class C.
- a class instance field reference i.e. a field reference e.f where e.f:C for some class C.

Let  $\mathcal{O}$  be a set of object variables. An  $O \subset \mathcal{O}$  aliases if and only if each  $o \in O$  refers to the same memory in the heap as each other, written propositionally as

$$\forall o, o' \in O : o = o' \iff \text{aliases}(O)$$

An  $O \subset \mathcal{O}$  non-aliases if and only if each  $o \in O$  refers to separate memory in the heap as each other, written propositionally as

$$\forall o, \tilde{o} : o \neq \tilde{o} \iff \mathsf{non-aliases}(O)$$

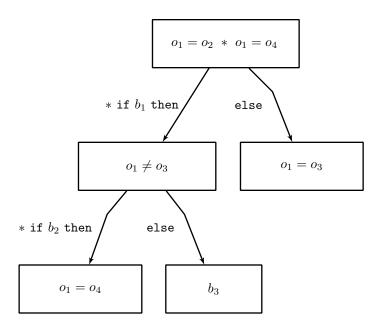
## 1.2 Aliasing Context

Let  $\phi$  be a formula. The **aliasing context**  $\mathcal{A}$  of  $\phi$  is a tree of set of aliasing proposition about aliasing of object variables that appear in  $\phi$ .  $\mathcal{A}$  needs to be a tree because the conditional sub-formulas that may appear in  $\phi$  allow for branching aliasing contexts not expressible flatly at the top level. Each node in the tree corresponds to a set of aliasing propositions, and each branch refers to a branch of a unique conditional in  $\phi$ . The parts of the tree are labeled in such a way that modularly allows a specified sub-formula of  $\phi$  to be matched to the unique aliasing sub-context that corresponds to it.

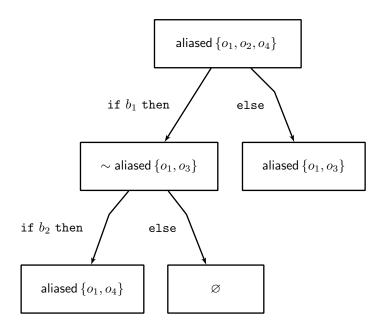
For example, consider the following formula:

$$\phi := (o_1 = o_2) * (\texttt{if}\ (b_1)\ \texttt{then}\ ((o_1 \neq o_3) * (\texttt{if}\ (b_2)\ \texttt{then}\ (o_1 = o_4)\ \texttt{else}\ (b_3)))\ \texttt{else}\ (o_1 = o_3)) * (o_1 = o_4)$$

 $\phi$  has a formula-structure represented by the following tree:



The formula-structure tree for  $\phi$  corresponds node-for-node and edge-for-edge to the following aliasing context:



where a node inherits all the aliasing assertions of its parents. So for example, the aliasing context for the sub-formula  $(o_1 = o_4)$  of  $\phi$  is:

$$\mathcal{A}_{\phi}(o_1=o_4) := \left\{ \mathsf{aliased}\left\{o_1, o_2, o_4\right\}, \sim \mathsf{aliased}\left\{o_1, o_3\right\}, \sim \mathsf{aliased}\left\{o_2, o_3\right\}, \sim \mathsf{aliased}\left\{o_3, o_4\right\} \right\}$$

More generally, for  $\phi$  a formula and  $\phi'$  a sub-formula of  $\phi$ , write  $\mathcal{A}_{\phi}(\phi')$  as the total aliasing context of  $\phi'$ , including inheritance from its place in the aliasing context of  $\phi$ . Usually  $\mathcal{A}_{\phi}(\phi')$  is abbreviated to  $\mathcal{A}(\phi')$  when the top level formula  $\phi$  is implicit.

# 2 Framing

### 2.1 Definitions

For framing, a formula is considered inside a **permission context**, a set of permissions, where a **permission**  $\pi$  is to do one of the following:

- to reference e.f, written accessed(e.f).
- to assume  $\alpha_C(\overline{e})$ , written assumed $(\alpha_C(\overline{e}))$ . This allows the a single unrolling of  $\alpha_C(\overline{e})$ .

Let  $\phi$  be a formula.  $\phi$  may **require** a permission  $\pi$ . For example, the formula e.f = 1 requires accessed(e.f), because it references e.f. The set of all permissions that  $\phi$  requires is called the **requirements** of  $\phi$ .  $\phi$  may also **grant** a permission  $\pi$ . For example, the formula acc(e.f) grants the permission accessed(e.f).

Altogether,  $\phi$  is **framed** by a set of permissions  $\Pi$  if all permissions required by  $\phi$  are either in  $\Pi$  or granted by  $\phi$ . The proposition that  $\Pi$  frames  $\phi$  is written

$$\Pi \vDash_I \phi$$

Of course,  $\phi$  may grant some of the permissions it requires but not all. The set of permissions that  $\phi$  requires but does not grant is called the **footprint** of  $\phi$ . The footprint of  $\phi$  is written

 $|\phi|$ 

Finally, a  $\phi$  is called **self-framing** if and only if for any set of permissions  $\Pi$ ,  $\Pi \vDash_I \phi$ . The proposition that  $\phi$  is self-framing is written

$$\vdash_{\mathsf{frm}I} \phi$$

Note that  $\vdash_{\mathsf{frm}I} \phi \iff \emptyset \vDash_I \phi$ , in other words  $\phi$  is self-framing if and only if it grants all the permissions it requires.

## 2.2 Deciding Framing

Deciding  $\Pi \vDash_I \phi$  must take into account the requirements, granteds, and aliases contained in  $\Pi$  and the sub-formulas of  $\phi$ . The following recursive algorithm decides  $\Pi \vDash_I \phi_{root}$ , where  $\mathcal{A}$  is implicitly assumed to be the top-level aliasing context (where the top-level in this context is the level that  $\phi_{root}$  exists at in the program).

```
\Pi \vDash_I \phi \ := \ \mathsf{match} \ \phi \ \mathsf{with}
                                                                     \mapsto
           e_1 \& \& e_2
           e_1 \mid\mid e_2
                                                                     \mapsto
           e_1 \oplus e_2
                                                                     \mapsto
           x = y
                                                                     \mapsto
           e_1.f_1 = e_2.f_2
                                                                     \mapsto
           e.f \neq e'.f'
                                                                    \mapsto
           e_1 \odot e_2
                                                                     \mapsto
           e.f
                                                                     \mapsto
           \mathtt{acc}(e.f)
                                                                    \mapsto
           \phi_1 * \phi_2
                                                                    \mapsto
           \phi_1 \wedge \phi_2
                                                                     \mapsto
           \alpha_C(e_1,\ldots,e_k)
                                                                    \mapsto
           if e then \phi_1 else \phi_2
           unfolding \alpha_C(e_1,\ldots,e_k) in\phi' \mapsto
```