

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Nanette Barragan

Status: Member State/District: CA44

FILING INFORMATION

Filing Type: Amendment Report

Filing Year: 2016

Filing Date: 07/30/2017

Period Covered: 01/01/2016-06/30/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|----------------------|-------------------|----------------------------------|--------------------------|
| AAA Universal Life Policy | \$1,001 - \$15,000 | None | | |
| AlvaradoSmith $401(k) \Rightarrow$ Amer Funds New World R3 Fund | \$1,001 - \$15,000 | Tax-Deferred | | |
| AlvaradoSmith $401(k) \Rightarrow$ Franklin High Income | \$1,001 - \$15,000 | Tax-Deferred | | |
| AlvaradoSmith $401(k) \Rightarrow$ Janus Enterprise R. Fund | \$15,001 - \$50,000 | Tax-Deferred | | |
| AlvaradoSmith $401(k) \Rightarrow$ PIMCO Total Return R Fund | \$15,001 - \$50,000 | Tax-Deferred | | |
| AlvaradoSmith $401(k) \Rightarrow$ Principle Life 2040 Sept Acct | \$15,001 - \$50,000 | Tax-Deferred | | |
| Aspiration Redwood Fund | \$1 - \$1,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| Capital One Bank Accounts | \$1,001 - \$15,000 | Interest | \$1 - \$200 | \$201 - \$1,000 |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year | |
|---|--------|------------------------------|-------------------|----------------------------------|--------------------------|--|
| Latham & Watkins 401(k) ⇒ Harbor Capital Appreciation Intl | | \$1,001 - \$15,000 | Tax-Deferred | | | |
| Latham & Watkins $401(k) \Rightarrow$ Harbor International Institutional | | \$1,001 - \$15,000 | Tax-Deferred | | | |
| Latham & Watkins 401(k) ⇒ Vanguard Total Bond Market Index I | | \$1,001 - \$15,000 | Tax-Deferred | | | |
| Rental Home Location: Hermosa Beach/ Los Angeles, C | CA, US | \$1,000,001 - \$5,000,000 | Rent | \$15,001 - \$50,000 | \$15,001 - \$50,000 | |
| Description: 4/15/15 appraisal valued property at \$1,115,000 | | | | | | |
| US Bank Accounts | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | \$1 - \$200 | |
| Vanguard Life Strategy Growth Fund | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 | |

^{*} Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owne | er Creditor | Date Incurred | Туре | Amount of Liability |
|------|------------------|---------------------------|---|----------------------------|
| | Wells Fargo Bank | August 2008 | Mortgage on Rental Property, Hermosa Beach, CA. | \$500,001 - \$1,000,000 |
| | UHEAA | November 2005 | Student Loan | \$15,001 - \$50,000 |
| | US Bank | November 2016- present | Home Equity Line for living expenses | \$15,001 - \$50,000 |

SCHEDULE **E**: **P**OSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- o AlvaradoSmith 401(k)
- o Latham & Watkins 401(k)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Nanette Barragan, 07/30/2017