# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Robert J. Wittman

Status: Member State/District: VA01

## FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2016

**Filing Date:** 06/8/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
401 (a) Cash Match Plan		\$1,001 - \$15,000	Tax-Deferred		
Description: Commonwealth of Virginia 401 (a) Cash Match Plan	n				
403(b) Trust Company of America ⇒ Flexshares Tr Ready Access Var Inc Fd	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Ishares Core S&P 500	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Ishares Iboxx \$ Investment Grade Co	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Ishares Inc MSCI Global Silver Miners ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Ishares Msci Acwi Ex US	SP	\$1 - \$1,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Ishares MSCI ACWI EX US ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
403(b) Trust Company of America ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ishares Msci Eafe Value					
403(b) Trust Company of America ⇒ Ishares North American Natural Res	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Ishares Residential Real Estate Capped	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Ishares Tr Russell 2000 Value	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Market Vectors Gold Miners ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Merk Hard Currency Fund Inv Class	SP	\$1,001 - \$15,000	Tax-Deferred		
403(b) Trust Company of America ⇒ Money Market Model - MMM	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Schwab Strategic Tr US REIT	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Vanguard Dividend App'n Vipers	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Vanguard High Dividend	SP	\$1,001 - \$15,000	Tax-Deferred		П
403(b) Trust Company of America ⇒ Vanguard Index Fds S&P 500	SP	\$1,001 - \$15,000	Tax-Deferred		П
457 Deferred Compensation Plan		\$15,001 - \$50,000	Tax-Deferred		
Description: Commonwealth of Virginia 457 Deferred Compensation:	ation Plan 2	25% Asset Allocation F	Funds 75% Stock Fund	ls	
AB Global Bond Adv		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
AbbVie Inc. (ABBV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Accenture plc Class A Ordinary Shares (ACN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Ace Limited (ACE)		None	None		П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Aetna Inc. (AET)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
American Century Inter Term Tax Free		None	None		<u>~</u>
American Century Tax-Free Bond Inv		None	None		<u>~</u>
Anthem, Inc. (ANTM)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Assetmark Trust Co	SP	\$15,001 - \$50,000	IRA Distribution	\$201 - \$1,000	
AssetMark Trust Co ⇒ Eaton Vance Floating Rate A	SP	\$1,001 - \$15,000	Tax-Deferred		
AssetMark Trust Co ⇒ Eaton Vance Glbl Macro Abs Ret Adv A	SP	\$1,001 - \$15,000	Tax-Deferred		
AssetMark Trust Co ⇒ Eaton Vance Hewxavest Glb Eq A	SP	\$1,001 - \$15,000	Tax-Deferred		П
AssetMark Trust Co ⇒ Eaton Vance Invest Grade Income A	SP	\$1,001 - \$15,000	Tax-Deferred		
AssetMark Trust Co ⇒ Eaton Vance Multi Strat Absolute Ret A	SP	\$1,001 - \$15,000	Tax-Deferred		П
AssetMark Trust Co ⇒ Eaton Vance Multi Strategy All A	SP	\$1,001 - \$15,000	Tax-Deferred		
AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Bank of Lancaster Money Market Account		\$1,001 - \$15,000	Interest	\$1 - \$200	
Bay Banks Of Virginia Inc (BAYK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Blackrock High Yield Bd Port		None	None		~

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Blackrock Strategic Inc Opp I		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
BlackRock, Inc. (BLK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Boeing Company (BA)		None	Dividends	\$1 - \$200	<b>▽</b>
Brandes Intl Small Cap Eq I		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Chevron Corporation (CVX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Chevron Corporation (CVX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Cohen & Steers Realty Shares		None	None		<b>▽</b>
Colgate-Palmolive Company (CL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
CVS Health Corporation (CVS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
D/B/A Chubb Limited New (CB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Discover Financial Services (DFS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Dominion Resources, Inc. (D)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Duke Energy Corporation (DUK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
EMC Corporation (EMC)		None	Dividends	\$1 - \$200	
Extra Space Storage Inc (EXR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Federated Fund U.S. Government Securities		\$1,001 - \$15,000	Interest	\$1 - \$200	
Federated High Yield Trust		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Federated Total Return Bond Fd SS		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Fidelity National Information Services, Inc. (FIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
First Eagle Overseas I		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Frontier Communications Corporation (FTR)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	
General Motors Company (GM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Harbor Intl Fund Inv Ci		None	None		<u></u>
Hartford Financial Services Group, Inc. (HIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Highland Lg Sht Healthcare Z		None	None		<u></u>
Honeywell International Inc. (HON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Ingersoll-Rand plc (IR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Invesco Senior Income Trust Common Stock (VVR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
ITT Corporation Common Stock New (ITT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
J Hancock3 Disciplined Value Cl A		None	None		<u>~</u>
J Hancock3 Select Growth Fd A		None	None		<u>~</u>
Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Kinder Morgan, Inc. (KMI)		None	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Lockheed Martin Corporation (LMT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<b>▽</b>
Loomis Sayles Global Bd Fd Cl R		None	None		<b>▽</b>
Lowe's Companies, Inc. (LOW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Macy's Inc (M)		None	Dividends	\$1 - \$200	
Mainstay High Yield Corp Bd A		None	None		$\checkmark$
Mainstay Icap Select Eq I		None	None		<b>▽</b>
Manning & Napier Pro Blend Extended Term		None	None		V
Marriott International - Class A (MAR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
COMMENTS: Formerly Starwood Hotels and Resorts Wordwide - r	redemption	and exchange 9/26/1	6		
Marsh & McLennan Companies, Inc. (MMC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Media General, Inc. (MEG)	SP	\$1 - \$1,000	None		
Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Met Life (Universal Life Insurance)	SP	\$1,001 - \$15,000	None		
Met Life (Universal Life Insurance)		\$1,001 - \$15,000	None		
Met Life Inc. 6.5% Ser B		\$1,001 - \$15,000	Interest	\$1 - \$200	
Metropolitan West Tot Ret Bd I		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Mondelez International, Inc Class A (MDLZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Monsanto Company (MON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Morgan Stanley ⇒ Morgan Stanley Bank Money Market		\$1,001 - \$15,000	Interest	\$1 - \$200	
Morgan Stanley INH IRA $\Rightarrow$ Blackrock Strategic Inc Opp I		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ Bristol-Myers Squibb Company (BMY)		None	Tax-Deferred		<b>~</b>
Morgan Stanley INH IRA ⇒ Bristol-Myers Squibb Company (BMY)		None	None		<b>▽</b>
Morgan Stanley INH IRA $\Rightarrow$ Cons Staples Sel Sect SPDR Fd		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ Deutsche X-Trackers MSCI EAF		None	Tax-Deferred		<b>▽</b>
Morgan Stanley INH IRA ⇒ Eaton Vance Floating Rate Income Trust Common Shares of Beneficial Interest (EFT)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ First Trust AMEX Biotech		None	Tax-Deferred		<b>~</b>
Morgan Stanley INH IRA ⇒ First Trust Utlts Alphadex ETF		\$1,001 - \$15,000	Tax-Deferred		<b>~</b>
Morgan Stanley INH IRA ⇒ Flexshares Qualt Divd Idx ETF		\$1,001 - \$15,000	Tax-Deferred		<b>▽</b>
Morgan Stanley INH IRA $\Rightarrow$ Global X MSCI Norway ETF		\$1,001 - \$15,000	Tax-Deferred		~
Morgan Stanley INH IRA ⇒ Goldman ActiveBeta US LC ETF		\$1,001 - \$15,000	Tax-Deferred		~
Morgan Stanley INH IRA ⇒ Guggenheim Macro Opport I		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ ISHA Hedged MSCI Germany		None	Tax-Deferred		<u>~</u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley INH IRA ⇒ Ishares Asia 50 FTE		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ Ishares Core MSCI Emerging		\$1,001 - \$15,000	Tax-Deferred		<b>~</b>
Morgan Stanley INH IRA ⇒ Ishares Curr Hedged MSCI Japan		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ Ishares Intl Select Div ETF		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ Ishares MSCI Australia ETF		\$1,001 - \$15,000	Tax-Deferred		<u>~</u>
Morgan Stanley INH IRA ⇒ Ishares MSCI Eafe Grwth ETF (EFG)		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ Ishares MSCI EAFE Val ETF (EFV)		\$1,001 - \$15,000	Tax-Deferred		<u></u>
Morgan Stanley INH IRA ⇒ Ishares MSCI Japan ETF		None	None		<u>~</u>
Morgan Stanley INH IRA ⇒ Ishares Nasdaq Biotech ETF		\$1,001 - \$15,000	Tax-Deferred		<u></u>
Morgan Stanley INH IRA ⇒ Ishares SP Small Cap 600 Index		\$1 - \$1,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ Ishares US Consumer Goods ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ Ishares US Fin Services ETF		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ Ishares US Healthdcare Prov ETF		\$1,001 - \$15,000	Tax-Deferred		<u></u>
Morgan Stanley INH IRA ⇒ Ishares US Home Construct ETF		None	Tax-Deferred		<u>~</u>
Morgan Stanley INH IRA ⇒ Market Vectors Oil Service		None	Tax-Deferred		<u>~</u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley INH IRA ⇒ Powershares Aero & Def PTF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA $\Rightarrow$ Powershares Europe Curr Hed LV		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ Powershares KBW Bank Portfolio		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA $\Rightarrow$ Powershares QQQ TR		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ Powershares S&P 500 X-Rate		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ S&P 500 Index Fund		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ Select Sector SPDR ETF		\$1 - \$1,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ SPDR S&P Capital Markets		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA $\Rightarrow$ SPDR Morgan Stanley Technology (MTK)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA $\Rightarrow$ SPDR S&P Transn ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA $\Rightarrow$ The Financial Sel Sect SPDR FD		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ UBS E-Tracks Alerian MLP		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Morgan Stanley INH IRA ⇒ US Energy ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ Vanguard European MSCI		None	Tax-Deferred		<u></u>
Morgan Stanley INH IRA ⇒ Vanguard FTSE Developed Mkts		None	Tax-Deferred		<u>~</u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley INH IRA ⇒ Vanguard Info Tech ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ Vanguard Tele Svcs ETF Index		None	Capital Gains	\$201 - \$1,000	<b>▽</b>
Morgan Stanley INH IRA ⇒ Wisdomtree Euro Sm Cap Div ETF		None	Tax-Deferred		<u>~</u>
Morgan Stanley INH IRA ⇒ Wisdomtree Europe Hedged Equit		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley INH IRA ⇒ WisdomTree Europe Hedged SmallCap Equity Fund (EUSC)		\$15,001 - \$50,000	Tax-Deferred		П
Morgan Stanley INH IRA ⇒ Wisdomtree Japan Holding Real Estate		None	Tax-Deferred		~
Morgan Stanley INH IRA ⇒ Wisdomtree Low P/E ETF		\$1,001 - \$15,000	Tax-Deferred		<b>~</b>
Morgan Stanley INH IRA ⇒ Wisdomtree Tr Itl Hdg Qtly Div		\$1,001 - \$15,000	Tax-Deferred		<b>▽</b>
Morgan Stanley INH IRA $\Rightarrow$ Wisdomtree Trust Japn Hedge Eq		None	Tax-Deferred		<b>▽</b>
Morgan Stanley INH IRA ⇒ Wisdontree Japan Sm Cap Div		\$1,001 - \$15,000	Tax-Deferred		<b>✓</b>
National Western Life Annuity  DESCRIPTION: This is a 403b TSA	SP	\$15,001 - \$50,000	Tax-Deferred		
Nationwide Geneva Smcp Gw F		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	
Neuberger Intrinsic Value Inst		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	
NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Nielsen N.V. Ordinary Shares (NLSN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Novo Nordisk A/S (NVO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Pacific Adv Small Cap Value Fd A		None	None		<b>▽</b>
Packaging Corporation of America (PKG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Peoples Bankshares, Inc  Description: Peoples Community Bank Stock	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Peoples Community Bank Accounts	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	
People's United Financial, Inc. (PBCT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
PEPCO Holdings, Inc (POM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Phillips 66 (PSX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Piedmont Office Realty Trust, Inc. Class A (PDM)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Praxair, Inc. (PX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Principal Midcap P		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Prinicpal Preferred Sec Inst		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	~
Rental Property  Location: Westmoreland County, VA, US  DESCRIPTION: Located in Montross, Westmoreland County, VA.	JT	\$500,001 - \$1,000,000	Rent	\$1,001 - \$2,500	П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Rental Property (50% ownership)	SP	\$50,001 - \$100,000	Rent	\$1,001 - \$2,500	
LOCATION: Montross, VA, US  DESCRIPTION: Located in Montross, VA. Spouse and her brother evalue and income.	ach own ha	alf of this rental prope	rty. Information is giv	en based on h	alf of the
Ridgeworth US Gov Sec Ultra Short Bd I		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<u> </u>
Starwood Hotels & Resorts Worldwide, Inc. (HOT)		None	None		<u>~</u>
TE Connectivity Ltd. New Switzerland Registered Shares (TEL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
UnitedHealth Group Incorporated Common Stock (UNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
V.F. Corporation (VFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Verizon Communications Inc. (VZ)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Virginia Retirement System		\$100,001 - \$250,000	None		
Virginia Retirement System	SP	\$100,001 - \$250,000	None		
Virtus Foreign Opport I		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	
Walt Disney Company (DIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
WEC Energy Group, Inc. (WEC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Whirlpool Corporation (WHR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Xylem Inc. Common Stock New (XYL)	\$1,001 - \$15,000	Dividends	\$1 - \$200	

<sup>\*</sup> Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Century Inter Term Tax Free		01/11/2016	S	\$1,001 - \$15,000	
American Century Tax-Free Bond Inv		01/12/2016	S	\$1,001 - \$15,000	
Blackrock High Yield Bd Port		01/12/2016	S	\$1,001 - \$15,000	
Boeing Company (BA)		05/5/2016	S	\$1,001 - \$15,000	
Cohen & Steers Realty Shares		01/12/2016	S	\$1,001 - \$15,000	
EMC Corporation (EMC)  DESCRIPTION: Redemption and exchange for Dell Technologies Incomod in September 2016 for under \$1,000.		09/7/2016 I was received the ma	E rket value	\$1,001 - \$15,000 was under \$1,000; sul	osequently
Harbor Intl Fund		01/12/2016	S	\$1,001 - \$15,000	
Highland Lg Sht Healthcare Z		08/22/2016	S	\$1,001 - \$15,000	
J Hancock3 Disciplined Value Cl A		01/12/2016	S	\$1,001 - \$15,000	
J Hancock3 Select Growth Fd A		01/12/2016	S	\$1,001 - \$15,000	
Lockheed Martin Corporation (LMT)		05/6/2016	P	\$1,001 - \$15,000	
Loomis Sayles Global Bd Fd Cl R		01/12/2016	S	\$1,001 - \$15,000	
Mainstay High Yleld Corp Bd A		01/12/2016	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mainstay Icap Select Eq I		01/12/2016	S	\$1,001 - \$15,000	
Manning & Napier Pro Blend Extended Term		01/14/2016	S	\$1,001 - \$15,000	
Marriott International - Class A (MAR)  Description: Received in exchange for Starwood Hotels & Resort s	stock	09/26/2016	E	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Bristol-Myers Squibb Company (BMY)		01/12/2016	S	\$1,001 - \$15,000	П
Morgan Stanley INH IRA ⇒ Deutsche X-Trackers MSCI EAF		01/11/2016	S (partial)	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Deutsche X-Trackers MSCI EAF		02/25/2016	S	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ First Trust AMEX Biotech		02/19/2016	S	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ First Trust Utlts Alphadedx ETF		04/18/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Flexshares Qualt Divd Idx ETF		01/26/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Global X MSCI Norway ETF		03/23/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Goldman Activebeta US Lc ETF		08/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ ISHA Hedged MSCI Germany		01/26/2016	S	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Ishares Core MSCI Emerging		04/26/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Ishares Core MSCI Emerging		07/12/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares Core MSCI Emerging		08/4/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley INH IRA ⇒ Ishares Core MSIC Emerging		07/21/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Ishares Curer Hedged MSCI Japan		12/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Ishares Curr Hedged Msci Japan		06/29/2016	S	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares Intl Select Div ETF		03/23/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares MSCI Australia ETF		03/23/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Ishares MSCI Eafe Grwth ETF		01/26/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Ishares MSCI Eafe Grwth ETF		11/3/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Ishares MSCI Eafe Val ETF		11/11/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares MSCI Eafe Val ETF		11/30/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Ishares MSCI Japan ETF		02/8/2016	S	\$1,001 - \$15,000	П
Morgan Stanley INH IRA ⇒ Ishares MSCI Japan ETF		03/23/2016	S	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares Nasdaq Biotech ETF		08/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares SP Small Cap 600 Index		07/21/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares US Fin Services ETF		11/16/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares US Fin Services ETF		11/21/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley INH IRA ⇒ Ishares US Healthcare Prov ETF		08/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Ishares US Home Construct ETF		08/15/2016	S	\$1,001 - \$15,000	П
Morgan Stanley INH IRA ⇒ Market Vectors Oil Service		01/12/2016	S	\$1,001 - \$15,000	П
Morgan Stanley INH IRA ⇒ Powershares Europe Curr Hed LV		05/10/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Powershares Europe Curr Hel Lv		04/18/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Powershares KBW Bank Portfolio		02/19/2016	S (partial)	\$1,001 - \$15,000	П
Morgan Stanley INH IRA ⇒ Powershares QQQ Tr		08/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ S&P 500 Index Fund		01/11/2016	S (partial)	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ S&P 500 Index Fund		07/12/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ S&P 500 Index Fund		11/11/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ SPDR S&P Cap Mkts		03/9/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ UBS E-Tracs Aleri		02/19/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Vanguard European MSCI ETF		02/8/2016	S	\$1,001 - \$15,000	П
Morgan Stanley INH IRA ⇒ Vanguard FTSE Developed Mkts EFT		02/8/2016	S	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley INH IRA ⇒ Vanguard Tele Svcs ETF Indexs	08/15/2016	S	\$1,001 - \$15,000	<b>▽</b>
Morgan Stanley INH IRA ⇒ Wisdomtree JPN Hdg Real Est	08/4/2016	S	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Wisdomtree TR Intl Hdg Qtly Div	08/30/2016	P	\$1,001 - \$15,000	
Morgan Stanley INH IRA ⇒ Wisdomtree Trust Japan Hedge Equity	11/3/2016	S	\$1,001 - \$15,000	
Morgan Stanley INH IRA $\Rightarrow$ Wisdontree Euro Sm Cap Div ETF	06/16/2016	S	\$1,001 - \$15,000	
Mutual Fund Store ⇒ Wisdontree Japan Sm Cap Div	11/3/2016	P	\$1,001 - \$15,000	
Pacific Adv Small Cap Value Fd A	01/14/2016	S	\$1,001 - \$15,000	
Principal Preferred Sec Inst	11/18/2016	P	\$1,001 - \$15,000	
Schlumberger N.V. (SLB)	04/20/2016	P	\$1,001 - \$15,000	
Starwood Hotels & Resorts Worldwide, Inc. (HOT)  Description: Redemption and exchange for Marriott Int'l stock	09/26/2016	E	\$1,001 - \$15,000	

 $<sup>\</sup>sp{*}$  Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Westmoreland County Public Schools	Spouse salary	N/A
Suntrust Bank	IRA distribution	\$12,937.00

# SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
JT	Bank of Lancaster, Virginia	Feb 2012	Mortgage on residence	\$100,001 - \$250,000

Owner	Creditor	Date Incurred	Туре	Amount of Liability
JT	Bank of Lancaster, Virginia	May 2012	Loan on property, tax map 33-27 and 42-1	\$250,001 - \$500,000

#### SCHEDULE E: POSITIONS

None disclosed.

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 1981	Virginia Retirement System	Defined Benefit

#### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- 403(b) Trust Company of America (Owner: SP)
- AssetMark Trust Co (Owner: SP)
- Morgan Stanley
- Morgan Stanley INH IRA
- Mutual Fund Store

LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

# CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Robert J. Wittman , 06/8/2017