



Filing ID #10015402

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lloyd Doggett  
**Status:** Member  
**State/District:** TX35

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 07/31/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1157 San Bernard St.  LOCATION: Austin / Travis, TX, US		\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
1200 Baylor #401  LOCATION: Austin / Travis, TX, US		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
3800 Kennelwood  LOCATION: Austin / Travis, TX, US		\$250,001 - \$500,000	None		<input type="checkbox"/>
6734 Spicewood Springs  LOCATION: Austin / Travis, TX, US		\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
Akre Focus Fund (AKRIX)		\$250,001 - \$500,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
American Century Ultra Fund (TWCUX)		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AQR Managed Futures strategy HV I (QMHIX)		\$100,001 - \$250,000	None		<input type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B)		\$100,001 - \$250,000	None		<input type="checkbox"/>
Coca-Cola Company (KO)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Cohen & Steers Pfd Secs @ Inc Cl I (CPXIX)		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Congressional Federal Credit Union		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DFA Intermediate Term Extended Quality (DFTEX)		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DFA Intermediate Term Municipal Bond (DFTIX)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DFA US Small Cap Value (DFSVX)		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DoubleLine Total Return Bond (DBLTX)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Evermore Global Value Institutional (EVGIX)		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
FPA New Income (FPNIX)		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Franklin High Yield Tax Free Fund (FRHIX/FHT1Z)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Franklin Mutual Shares Fund		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Hasan Abu-Issa Note (through Mountain States Escrow)	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: promissory note Location: El Paso County, Texas					
Home Depot, Inc. (HD)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
International Business Machines Corporation (IBM)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Johnson & Johnson (JNJ)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Kopernik Global All-Cap I (KGGIX)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Lazard Global Listed Infrastructure Inst (GLIFX)		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Lloyd Doggett Family Trust ⇒ DL3 Holdings, LLC		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Austin, TX, US					
M. D. Sass Equity Income Plus Instl (MDEIX)		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Mineral Interest, McFarland Tract	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Ward Co., TX, US					
Nuveen All American Municipal Bond Fund (FAARX)		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Nuveen Intermediate Duration Muni Bond (NUVBX)		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Oakmark Global (OAKGX)		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Oakmark International (OAKIX)		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Oakmark Select (OAKLX)		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
PIMCO Income Fund (PIMIX)		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PPG Industries, Inc. (PPG)		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$250,001 -	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$500,000			
Prosperity Bank		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Cash Account					
Schwab Cash IRA Rollover	SP	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Schwab Govt Money Fund		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Schwab Muni Money Fund		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA-CREF Retirement - Stock Fund		\$50,001 - \$100,000	None		<input type="checkbox"/>
TIAA-CREF Retirement Global Equities Fund		\$15,001 - \$50,000	None		<input type="checkbox"/>
TIAA-CREF Retirement- Real Estate Fund		\$100,001 - \$250,000	None		<input type="checkbox"/>
Tweedy, Browne Global Value (TBGVX)		\$250,001 - \$500,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Convertible Securities Fund		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard Energy Fund Investor		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Growth Index Fund Admiral		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard High Yield Tax Exempt (VWALX)		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Int. Term Tax-Exempt Fund Admiral		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard Long-Term Corp Admiral		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Ltd. Term Tax-Exempt Fund Admiral		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard PRIMECAP Fund Admiral		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard Selected Value Fund		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Short Term Bond		\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Small-Cap Index Fund Inv		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Tax Exempt MM		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard Total Stock Market		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard U.S. Growth Fund Admiral		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Windsor II Fund		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Wasatch-Hoisington U.S. Treasury Fund (WHOSX)		None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Weitz Partners III Opportunity Instl (WPOPX)		\$250,001 - \$500,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
Whole Foods Market, Inc. (WFM)		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
WisdomTree US Dividend Growth ETF (DGRW)		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Century Ultra Inv (TWCUX)		12/22/2016	P	\$15,001 - \$50,000	
AQR Managed Futures strategy HV I (QMHIX)		12/23/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
Coca-Cola Company (KO)		12/16/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Cohen & Steers Pfd Secs @ Inc Cl I (CPXIX)		12/27/2016	P	\$100,001 - \$250,000	
DFA Intermediate Term Extended Quality (DFTEX)		12/23/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
DFA Intermediate Term Extended Quality (DFTEX)		06/20/2016	P	\$15,001 - \$50,000	
DoubleLine Total Return Bond I (DBLTX)		06/20/2016	P	\$1,001 - \$15,000	
Evermore Global Value Institutional (EVGIX)		06/20/2016	P	\$15,001 - \$50,000	
FPA New Income (FPNIX)		06/20/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
Franklin Mutual Shares Fund		12/22/2016	P	\$15,001 - \$50,000	
Home Depot, Inc. (HD)		03/28/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Home Depot, Inc. (HD)		06/17/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Home Depot, Inc. (HD)		09/16/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Home Depot, Inc. (HD)		12/16/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
International Business Machines Corporation (IBM)		03/11/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
International Business Machines Corporation (IBM)		06/13/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Reinvest shares					
International Business Machines Corporation (IBM)		09/13/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
International Business Machines Corporation (IBM)		12/13/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Kopernik Global All-Cap I (KGGIX)		06/20/2016	P	\$100,001 - \$250,000	
Lazard Global Listed Infrastructure Inst (GLIFX)		06/20/2016	P	\$1,001 - \$15,000	
M.D. Sass Equity Income Plus Instl (MDEIX)		06/20/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
M.D. Sass Equity Income Plus Instl (MDEIX)		06/20/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
Oakmark Global Fund CI I (OAKGX)		06/20/2016	P	\$15,001 - \$50,000	
PIMCO Income Instal (PIMIX)		06/20/2016	P	\$1,001 - \$15,000	
Procter & Gamble Company (PG)		02/17/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Procter & Gamble Company (PG)		05/17/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Procter & Gamble Company (PG)		08/16/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Procter & Gamble Company (PG)		11/15/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvest shares					
Tweedy, Browne Global Value (TBGVX)		06/20/2016	P	\$15,001 - \$50,000	
Vanguard Convertible Securities		Quarterly	P	\$1,001 - \$15,000	
Vanguard High-Yield Tax-Exempt Adm (VWALX)		06/20/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Long-Term Corp Admiral		Monthly	P	\$1,001 - \$15,000	
Vanguard PRIMECAP Fund Admiral		12/23/2016	P	\$15,001 - \$50,000	
Vanguard Selected Value Fund		Quarterly	P	\$1,001 - \$15,000	
Vanguard Small-Cap Index Fund Inv		12/21/2016	P	\$1,001 - \$15,000	
Vanguard Total Stock Market		Quarterly	P	\$1,001 - \$15,000	
Vanguard Windsor II Fund		12/23/2016	P	\$1,001 - \$15,000	
Vanguard Windsor II Fund		06/13/2016	P	\$1,001 - \$15,000	
Wasatch-Hoisington U.S. Treasury Fund (WHOSX)		12/23/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
Wasatch-Hoisington U.S. Treasury Fund (WHOSX)		06/20/2016	P	\$100,001 - \$250,000	

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Texas ERS	State Legislative/Judicial Annuity	\$69,995.00
U.S. Dept of Education	Spouse Salary	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Prosperity Bank, Austin (formerly Liberty Bank, Austin)	August 2005	Letter of Credit on 6374 Spicewood Springs	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.



## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Lloyd Doggett Family Trust (100% Interest)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lloyd Doggett , 07/31/2017