



Filing ID #10016617

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Brian Babin
Status: Member
State/District: TX36

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 08/8/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brian Babin DDS Dental Practice		\$100,001 - \$250,000	sale	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: Woodville, TX, US					
Chevron Corporation (CVX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Citizen State Bank		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Community Bank of Texas		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Community Bank of Woodville	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Education First Bank Account	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Education First Federal Credit Union		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
land parcel		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Woodville, TX, US					
Lincoln Financial Life Insurance		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: cash value					
Summit Brokerage Services ⇒ Fidelity Select Ports Defense & Aerospace FSDAX		\$1,001 - \$15,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Cust Dyna Tech FKDNX		\$15,001 - \$50,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Custodian Growth FKGRX		\$50,001 - \$100,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Income Fund		\$1,001 - \$15,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Money Fund Class A		\$15,001 - \$50,000	None		<input type="checkbox"/>
TD Ameritrade Bank Account	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Alpine Income Short Municipal Income Fund- ATOIX	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ American Century Inflation Adjusted	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock Equity Dividend Fund C MCDVX	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock High Yield Bond C BHYCX	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock National Municipal Fund- MANLX	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Growth Fund of America GFFFX	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒	JT	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Henderson International Opportunities Fund- HFOIX			Dividends		
UBS Resource Management Account ⇒ Hi-Crush Partners- HCLP	JT	\$1 - \$1,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Invesco Convertible Securities Fund Class C CNSCX	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Oppenheimer Developing Markets- ODVYX	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ PACE Government Money Market Investment Fund- PCEXX	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Perkins Mid Cap Value	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Plains All Amer Pipeline- NYSE	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ UBS Bank	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ US Small Cap Growth Fund	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Virtus Multi-Sector Short Term Bond- PSTCX	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Voya Corporate Leaders Trust- LEXCX	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Western Asset Municipal- LMHIX	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
UBS SEP-IRA ⇒ American Century Inflation Adjusted Treasury- ACITX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds American High Income Trust Fund Class C AHTCX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds American High-Inc C AHTCX	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP-IRA ⇒ American Funds American Mutual C Fund- AMFCX	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds American Mutual Fund Class C AMFCX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds Capital Income Builder Fund- CIBCX	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds Capital Income Builder Fund Class C CIBCX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds Income Fund- IFACX	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds Income Fund of America Class C IFACX		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP-IRA ⇒ American Funds US GOV Money Market Fund AFCXX	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds US Government Securities Fund C- UGSCX	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds US Government Securities Fund Cl C UGSCX		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP-IRA ⇒ Approach Resources- AREX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Barrick Gold Corp Cad ABX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ BHP Billiton- BHP		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Compass Diversified Holdings- CODI		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Deutsche Capital Growth- SCGSX					
UBS SEP-IRA ⇒ Deutsche Global Infrastructure Fund- TOLCX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Enbridge Energy Partners- MLP		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Energy Transfer Equity- ETE		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Enterprise Products Partner- EPD		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ EV Energy Partners		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Fidelity Advisor Industrials C Fund- FCLCX	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Fidelity Advisor Industrials- FCLAX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ First Eagle Gold- FEGOX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Fortress Transn & IN FTAI		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Gabelli Small Cap Growth- GACIX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Goldcorp Inc- GG		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Goldman Sachs Short Duration Gov- GSTGX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Hartford International- IHOIX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Huntington Inglas- HII		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP-IRA ⇒ IAMGOLD Corp- IAG		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Invesco Mid Cap Core- GTAYAX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Invesco Real Estate- IARCX		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Ishares US Aerospace & Defense- ITA		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Kinder Morgan Inc		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Loomis Sayles Strategic- NEZTX		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ MAN-AHL Diversified I Class B		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Market Vectors Gold Miners- GDX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Merk Hard Currency- MERKX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ MFS Emerging Markets- MEDCX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Newmont Mining Corp- NEM		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Permanent Portfolio Fund- PRPFX		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Prudential Jennison Natural Resources- PNRZX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Range Resources- RRC		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Seadrill LTD- SDRL		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP-IRA ⇒ Silver Wheaton Corp- SLW		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ South32 LTD- SOUHY		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ TCW Emerging Markets- TGEIX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Templeton Glbal Bond- TGBAX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Templeton Glbal- TGBAX		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Transamerica High Yield Bond- TDHIX		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ UBS Bank		\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS SEP-IRA ⇒ Virtus Emerging Markets- HIEMX		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Virtus Real Estate- PHRCX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Voya Corporate Leaders- LEXCX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Westshore Terms Invt Corp- WTSHF		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Williams Partners LP MLP WPZ		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Brian Babin DDS Dental Practice		Monthly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: Woodville, Tyler County, TX, US					
Orbital ATK, Inc. (OA)		04/8/2016	P	\$1,001 - \$15,000	
Orbital ATK, Inc. (OA)		04/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Alpine Income Short Municipal Income Fund- ATOIX	JT	06/28/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ American Century Inflation Adjusted ACITX	JT	06/29/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Blackrock Equity Dividend Fund MCDVX	JT	01/4/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Blackrock High Yield Bond BHYCX	JT	01/4/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Blackrock National Municipal Fund MANLX	JT	06/29/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Growth Fund of America GFFFX	JT	06/29/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Henderson International Opportunity Fund HFOIK	JT	06/29/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Perkins Mid Cap Value Fund JMVAX	JT	06/29/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Voya Corporate Leaders Trust LEXCX	JT	06/29/2016	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Western Asset Municipal High Income Fund LMHIX	JT	06/29/2016	P	\$1,001 - \$15,000	
UBS SEP-IRA ⇒ American Funds Income Fund of America IFACX		08/5/2016	P	\$1,001 - \$15,000	
UBS SEP-IRA ⇒ American Funds US Government Securities Fund UGSCX		08/5/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
UBS SEP-IRA ⇒ Fortress Transportation and Infrastructure Investors LLC Common Shares (FTAI)		06/28/2016	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Dr. Brian Babin, DDS	dental services	\$1,470.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Summit Brokerage Services
- UBS Resource Management Account (Owner: JT)
LOCATION: US
- UBS SEP-IRA
- UBS SEP-IRA (Owner: JT)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Brian Babin , 08/8/2017