



Filing ID #10016283

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Ed Perlmutter  
**Status:** Member  
**State/District:** CO07

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 08/11/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset                                    | Owner | Value of Asset     | Income Type(s) | Income            | Tx. > \$1,000?                      |
|--|-------|--------------------|----------------|-------------------|-------------------------------------|
| 401 K Plan ⇒<br>Longleaf Partners Fund   |       | None               | None           |                   | <input type="checkbox"/>            |
| 401 K Plan ⇒<br>Sterling Capital Equity  |       | None               | None           |                   | <input type="checkbox"/>            |
| 401 K Plan ⇒<br>Tweedy Brown Global Fund |       | None               | None           |                   | <input type="checkbox"/>            |
| 401 K Plan ⇒<br>Vanguard 500 Index       |       | None               | Dividends      | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Alphabet Inc. - Class A (GOOGL)          | SP    | \$1,001 - \$15,000 | None           |                   | <input checked="" type="checkbox"/> |
| Apple Inc. (AAPL)                        | SP    | \$1,001 - \$15,000 | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Doubleline Flexible Income               | SP    | \$1,001 - \$15,000 | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Doubleline Total Return                  | SP    | \$1,001 - \$15,000 | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset       | Income Type(s)      | Income             | Tx. > \$1,000?                      |
|---|-------|----------------------|---------------------|--------------------|-------------------------------------|
| General Electric Company (GE)                                 | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| HCP, Inc. (HCP)   | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Home Depot, Inc. (HD)   | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Individual Retirement Account ⇒ DoubleLine Total Return       | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Individual Retirement Account ⇒ Ishares US Preferred Stock    | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Individual Retirement Account ⇒ Pimco Income Fund             | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Individual Retirement Account ⇒ Powershares Dynamic Large Cap | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Individual Retirement Account ⇒ SPDR Series S&P Dividend      | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Ishares Intl Select Div                                       | SP    | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Ishares US Preferred Stock                                    | SP    | \$1,001 - \$15,000   | Dividends           | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| LAP, Inc ⇒ GlaxoSmithKline PLC (GSK)                          |       | \$1 - \$1,000        | Dividends           | \$1 - \$200        | <input type="checkbox"/>            |
| LAP, Inc ⇒ Piper Jaffray Companies (PJC)                      |       | \$1,001 - \$15,000   | Dividends           | \$1 - \$200        | <input type="checkbox"/>            |
| LAP, Inc ⇒ RBC Dain Rauscher Money Market                     |       | \$1 - \$1,000        | Dividends           | \$1 - \$200        | <input type="checkbox"/>            |
| LAP, Inc ⇒ Stanley Black & Decker, Inc. (SWK)                 |       | \$15,001 - \$50,000  | Dividends           | \$201 - \$1,000    | <input type="checkbox"/>            |
| LAP, Inc ⇒ US Bancorp Del DEPOSITARY SH S G (USB\$N)          |       | \$50,001 - \$100,000 | Dividends           | \$5,001 - \$15,000 | <input type="checkbox"/>            |
| LAP, Inc ⇒ Working Interest in 7 oil and gas wells            |       | \$1,001 - \$15,000   | Oil and Gas revenue | \$2,501 - \$5,000  | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income      | Tx. > \$1,000?                      |
|--|-------|---------------------|----------------|-------------|-------------------------------------|
| LOCATION: Weld County, CO, US  |       |                     |                |             |                                     |
| DESCRIPTION: Seven oil and gas wells in Weld County Colorado                           |       |                     |                |             |                                     |
| NEA Valuebuilder Annuity   | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input type="checkbox"/>            |
| DESCRIPTION: NEA Valuebuilder Variable Annuity   |       |                     |                |             |                                     |
| Pimco Income Fund  | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Powershares Dynamic Large Cap  | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Powershares QQQ  | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Scotttrade Money Market  | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input checked="" type="checkbox"/> |
| SPDR Series S&P Dividend   | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Vanguard Mid Cap Value   | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Vanguard REIT  | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Verizon Communications Inc. (VZ)   | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Wells Fargo Checking   |       | \$15,001 - \$50,000 | Interest       | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Wells Fargo Money Market Savings   | SP    | \$1,001 - \$15,000  | Interest       | \$1 - \$200 | <input type="checkbox"/>            |
| Westerra Credit Union  | SP    | \$1 - \$1,000       | None           |             | <input type="checkbox"/>            |
| ZLDR, LLC  |       | \$15,001 - \$50,000 | None           |             | <input type="checkbox"/>            |
| DESCRIPTION: 25% interest in ZLDR partnership owning 95 acres +/- in Evanston, Wyoming |       |                     |                |             |                                     |

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

| Asset  | Owner | Date       | Tx. Type | Amount                | Cap. Gains > \$200?      |
|--|-------|------------|----------|-----------------------|--------------------------|
| 401 K Plan ⇒<br>Lingleaf Partners Fund                           |       | 11/1/2016  | S        | \$19.00               | <input type="checkbox"/> |
| 401 K Plan ⇒<br>Sterling Capital Equity                          |       | 11/1/2016  | S        | \$8.00                | <input type="checkbox"/> |
| 401 K Plan ⇒<br>Tweedy Brown Global Fund                         |       | 11/1/2016  | S        | \$12.00               | <input type="checkbox"/> |
| 401 K Plan ⇒<br>Vanguard 500 Index                               |       | 11/1/2016  | S        | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Alphabet Inc. - Class A (GOOGL)                                  | SP    | 11/21/2016 | P        | \$1,001 - \$15,000    |                          |
| Apple Inc. (AAPL)  | SP    | 11/21/2016 | P        | \$1,001 - \$15,000    |                          |
| Doubleline Flexible Income                                       | SP    | 05/9/2016  | P        | \$1,001 - \$15,000    |                          |
| Doubleline Total Return  | SP    | 05/4/2016  | P        | \$1,001 - \$15,000    |                          |
| General Electric Company (GE)                                    | SP    | 06/10/2016 | P        | \$1,001 - \$15,000    |                          |
| HCP, Inc. (HCP)  | SP    | 05/9/2016  | P        | \$1,001 - \$15,000    |                          |
| Home Depot, Inc. (HD)  | SP    | 05/25/2016 | P        | \$1,001 - \$15,000    |                          |
| Individual Retirement Account ⇒<br>Doubleline Total Return       | SP    | 04/26/2016 | P        | \$1,001 - \$15,000    |                          |
| Individual Retirement Account ⇒<br>Ishares US Preferred Stock    | SP    | 04/15/2016 | P        | \$1,001 - \$15,000    |                          |
| Individual Retirement Account ⇒<br>Pimco Income fund             | SP    | 04/15/2016 | P        | \$1,001 - \$15,000    |                          |
| Individual Retirement Account ⇒<br>Powershares Dynamic Large Cap | SP    | 08/10/2016 | P        | \$1,001 - \$15,000    |                          |
| Individual Retirement Account ⇒<br>SPDR Series S&P Dividend      | SP    | 04/21/2016 | P        | \$1,001 - \$15,000    |                          |
| Ishares Intl Select Div  | SP    | 06/10/2016 | P        | \$1,001 - \$15,000    |                          |

| Asset   | Owner | Date       | Tx. Type | Amount              | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|---------------------|
| Ishares US Preferred Stock                          | SP    | 05/5/2016  | P        | \$1,001 - \$15,000  |                     |
| Pimco Income fund                                   | SP    | 05/3/2016  | P        | \$1,001 - \$15,000  |                     |
| Powershares Dynamic Large Cap                       | SP    | 05/27/2016 | P        | \$1,001 - \$15,000  |                     |
| Powershares QQQ                                     | SP    | 06/2/2016  | P        | \$1,001 - \$15,000  |                     |
| Scottrade Money Market                              | SP    | Monthly    | P        | \$1,001 - \$15,000  |                     |
| SPDR Series S&P Dividend                            | SP    | 05/5/2016  | P        | \$1,001 - \$15,000  |                     |
| Vanguard Mid Cap Value                              | SP    | 06/29/2016 | P        | \$1,001 - \$15,000  |                     |
| Vanguard REIT                                       | SP    | 05/17/2016 | P        | \$1,001 - \$15,000  |                     |
| Verizon Communications Inc. (VZ)                    | SP    | 05/25/2016 | P        | \$1,001 - \$15,000  |                     |
| Wells Fargo Checking                                |       | 11/15/2016 | P        | \$15,001 - \$50,000 |                     |
| DESCRIPTION: Deposit of Funds from 401 K withdrawal |       |            |          |                     |                     |

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

| Source                       | Type          | Amount |
|------------------------------|---------------|--------|
| Jefferson County Schools R-1 | Spouse Salary | N/A    |

**SCHEDULE D: LIABILITIES**

| Owner | Creditor | Date Incurred | Type          | Amount of Liability   |
|-------|----------|---------------|---------------|-----------------------|
| JT    | Chase    | October 2013  | Home Mortgage | \$250,001 - \$500,000 |

**SCHEDULE E: POSITIONS**

| Position            | Name of Organization     |
|---------------------|--------------------------|
| Member              | ZLDR Ventures, LLC       |
| Trustee             | Sweeney Family Trust     |
| Shareholder-officer | Lap, Inc                 |
| Shareholder         | Berenbaum Weinshienk, PC |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

|   |
|---|
| <ul style="list-style-type: none"><li>◦ 401 K Plan</li><li>◦ Individual Retirement Account (Owner: SP)</li><li>◦ LAP, Inc (10% Interest)<br/>LOCATION: US</li></ul> |
|---|

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Ed Perlmutter , 08/11/2017