

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Sean P. Duffy

Status: Member State/District: WI07

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2016

**Filing Date:** 10/5/2017

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Apache Corporation (APA)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	
Cabin - Hayward, WI LOCATION: Hayward, Sawyer, WI, US	JT	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<b>▽</b>
Checking Account - Wells Fargo		\$1,001 - \$15,000	Interest	\$1 - \$200	
First Allied IRA Account ⇒ The Oakmark Equity and Income Fund Class 1		\$1,001 - \$15,000	Tax-Deferred		
First Allied IRA Account ⇒ The Oakmark Global Select Fund Class I		\$1,001 - \$15,000	Tax-Deferred		<b>V</b>
First Allied IRA Account $\Rightarrow$ The Oakmark Select Fund Class I		\$1,001 - \$15,000	Tax-Deferred		<b>∀</b>
Intel Corporation (INTC)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	
Savings Account - Wells Fargo		\$1,001 - \$15,000	Interest	\$1 - \$200	

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
USAA Checking	\$1,001 - \$15,000	Interest	\$1 - \$200	
USAA Savings	\$1,001 - \$15,000	Interest	\$1 - \$200	
USAA Savings	\$15,001 - \$50,000	Interest	\$1 - \$200	
Wells Fargo Savings	\$1,001 - \$15,000	Interest	\$1 - \$200	

<sup>\*</sup> Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
First Allied IRA Account ⇒ The Oakmark Global Select Fund Class I  Description: reallocation of funds in oakmark fund family		02/1/2016	P	\$1,001 - \$15,000	
First Allied IRA Account ⇒ The Oakmark Select Fund Class I  Description: reallocation of funds in oakmark mutual fund family		02/1/2016	P	\$1,001 - \$15,000	
Hayward Wisconsin Cabin  Location: Hayward / Sawyer, WI, US  Description: Purchased cabin that will be occasionally rented in Hay	JT yward, WI.	06/10/2016	P	\$250,001 - \$500,000	

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
The Libre Initiative	Spouse - Speaking and Representation Fees	\$119,589.00
Catholic Association of Latino	Spouse - Speaking Fees	\$1,000.00
New Orleans Right to Life Education Fd	Spouse - Speaking Fees	\$2,741.00
Young America's Foundation	Spouse - Speaking Fees	\$11,500.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Johnson Bank	2014	Personal loan to cover expenses	\$50,001 - \$100,000
JT	Lender Live	June 2016	Home Mortgage	\$250,001 - \$500,000
	Navient Solutions Inc	1990	Student loan	\$15,001 - \$50,000
JT	Erik Johnson	June 2016	Hayward Cabin Land Contract	\$250,001 - \$500,000

**SCHEDULE E: POSITIONS** 

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

• First Allied IRA Account
Description: IRA account held at First Allied

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ○ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

## CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$  I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Sean P. Duffy , 10/5/2017