



Filing ID #10017150

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. John A. Yarmuth  
**Status:** Member  
**State/District:** KY03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 08/1/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Accenture plc. Class A Ordinary Shares (ACN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Allergan plc Ordinary Shares (AGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Almost Family Inc (AFAM)		\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Altria Group, Inc. (MO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
American International Group, Inc. New (AIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Amgen Inc. (AMGN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Automatic Data Processing, Inc. (ADP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of America Corporation (BAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Boeing Company (BA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Celgene Corporation (CELG)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Chevron Corporation (CVX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Coca-Cola Company (KO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
CVS Health Corporation (CVS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Dana Large Cap Equity		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Danaher Corporation (DHR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Doonbeg Property		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Doonbeg, IE					
E*TRADE Financial Corporation (ETFC)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ecolab Inc. (ECL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Estee Lauder Companies, Inc. (EL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Europacific Growth FD CL F-2		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Facebook, Inc. - Class A (FB)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Federated Treasury Obligation Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Federated Treasury Obligation Fund		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
General Electric Company (GE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Home Depot, Inc. (HD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Honeywell International Inc. (HON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Intel Corporation (INTC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Marathon Petroleum Corporation (MPC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mastercard Incorporated (MA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
McKesson Corporation (MCK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley (MS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual annuity		\$100,001 - \$250,000	None		<input type="checkbox"/>
Oppenheimer DVLPING MKTS-Y		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Packaging Corporation of America (PKG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Penn Mutual whole life insurance policy		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: This is a whole life insurance policy.					
PNC Checking Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Sameul Klein Trust		Undetermined	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Beneficiary of trust established by grandparents for education					
SBA Communications Corporation - Class A (SBAC)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Seafarer Overseas GR & Income Institutional Shares		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Signet Jewelers Limited Common Shares (SIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Sonny's Barbeque, Orlando, Florida		\$5,000,001 - \$25,000,000	Royalties and income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Interest in subchapter S corporation					
Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
T Rowe Price High Yield FD-INV		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Target Corporation (TGT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Touchstone Small Cap Core Fund Class Y		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
U.S. Bancorp (USB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
UnitedHealth Group Incorporated Common Stock (UNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard REIT ETF		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Utilities ETF - DNQ (VPU)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Visa Inc. (V)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Walt Disney Company (DIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
William Blair FDS Alloc I		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WisdomTree Europe Hedged Equity Fund (HEDJ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Allergan plc Ordinary Shares (AGN)		02/1/2016	P	\$1,001 - \$15,000	
Amgen Inc. (AMGN)		02/1/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AT&T Inc. (T)		02/1/2016	P	\$1,001 - \$15,000	
Bank of America Corporation (BAC)		02/1/2016	P	\$1,001 - \$15,000	
Berkshire Hathaway Inc. (BRK.A)		02/1/2016	P	\$1,001 - \$15,000	
Celgene Corporation (CELG)		02/1/2016	P	\$1,001 - \$15,000	
CVS Health Corporation (CVS)		02/1/2016	P	\$1,001 - \$15,000	
Danaher Corporation (DHR)		02/1/2016	P	\$1,001 - \$15,000	
Ecolab Inc. (ECL)		02/1/2016	P	\$1,001 - \$15,000	
Facebook, Inc. - Class A (FB)		02/1/2016	P	\$1,001 - \$15,000	
Honeywell International Inc. (HON)		02/1/2016	P	\$1,001 - \$15,000	
JP Morgan Chase & Co. (JPM)		02/1/2016	P	\$1,001 - \$15,000	
Marathon Petroleum Corporation (MPC)		02/1/2016	P	\$1,001 - \$15,000	
Mastercard Incorporated (MA)		02/1/2016	P	\$1,001 - \$15,000	
McKesson Corporation (MCK)		02/1/2014	P	\$1,001 - \$15,000	
Procter & Gamble Company (PG)		02/1/2016	P	\$1,001 - \$15,000	
SBA Communications Corporation - Class A (SBAC)		02/1/2016	P	\$1,001 - \$15,000	
Signet Jewelers Limited Common Shares (SIG)		02/1/2016	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)		02/1/2016	P	\$1,001 - \$15,000	
Vanguard REIT ETF - DNQ (VNQ)		02/1/2016	P	\$1,001 - \$15,000	
Vanguard REIT ETF - DNQ (VNQ)		02/1/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Utilities ETF - DNQ (VPU)		02/1/2016	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC)		02/1/2016	P	\$1,001 - \$15,000	

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Fifth Third Bank	December 2011	Mortgage loan on Louisville, KY property	\$250,001 - \$500,000
	Fifth Third Bank	March 2012	Demand note	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Director	First Tee of Louisville
Director Emeritus	Kentucky Golf Association

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. John A. Yarmuth , 08/1/2017