

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Austin Scott

Status: Member State/District: GA08

#### FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2016

**Filing Date:** 08/13/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner Value of Asset           | Income<br>Type(s)    | Income                 | Tx. > \$1,000? |
|--|--------------------------------|----------------------|------------------------|----------------|
| A-C Scott LLLP, 49% Interest   | \$250,001 -<br>\$500,000       | None                 |                        |                |
| LOCATION: Tifton, GA, US  DESCRIPTION: Investment partnership with direct family men | nbers. Father and brother.     |                      |                        |                |
| A-C SCOTT LLLP ⇒<br>3F Properties Loan Albany GA                                     | \$100,001 -<br>\$250,000       | Interest             | \$15,001 -<br>\$50,000 |                |
| Description: Loan to 3F properties. The first loan disclosed                         | on previous disclosures was pa | id. This is a second | loan to the same enti  | ty.            |
| A-C SCOTT LLLP $\Rightarrow$ A-C Scott Checking                                      | \$100,001 -<br>\$250,000       | Interest             | \$15,001 -<br>\$50,000 |                |
| A-C SCOTT LLLP ⇒ Aus Cur Scott, LLC  | \$15,001 - \$50,000            | None                 |                        |                |
| DESCRIPTION: General Partnership shares managing A-C Scot                            | tt, LLLP                       |                      |                        |                |
| A-C SCOTT LLLP ⇒ Shell Point Loan  | \$100,001 -<br>\$250,000       | None                 |                        |                |
| Arotech Corporation (ARTX)   | \$1,001 - \$15,000             | Capital Gains        | \$1,001 - \$2,500      | <b>∀</b>       |
| Ballard Power Systems, Inc Common Shares   | \$1,001 - \$15,000             | Capital Gains        | \$1,001 - \$2,500      | <u></u>        |

| Asset   | Owner       | Value of Asset           | Income<br>Type(s)     | Income             | Tx. > \$1,000? |
|---|-------------|--------------------------|-----------------------|--------------------|----------------|
| (BLDP)  |             |                          |                       |                    |                |
| Capstone Turbine Corporation (CPST)   |             | \$1,001 - \$15,000       | None                  |                    | <u> </u>       |
| Clean Energy Fuels Corp. (CLNE)   |             | \$1,001 - \$15,000       | Capital Gains         | \$2,501 - \$5,000  | V              |
| FuelCell Energy, Inc. (FCEL)  |             | \$1,001 - \$15,000       | Capital Gains         | \$1,001 - \$2,500  | <b>▽</b>       |
| FuelCell Energy, Inc. (FCEL)  |             | \$15,001 - \$50,000      | None                  |                    | <b>▽</b>       |
| J. W. Scott Family Limited Partnership ⇒ J. W. Scott Family Limited Partnership, 49% Interest |             | \$250,001 -<br>\$500,000 | None                  |                    |                |
| DESCRIPTION: Limited Partnership shares of family farm. Falisted as Thronateeska              | 'arm was so | ld for loss 8/16/2016.   | Bank account is at Fl | int Community Bank | x. Formerly    |
| James A Scott Checking Account / SunTrust   |             | \$1,001 - \$15,000       | None                  |                    |                |
| Description: SunTrust   |             |                          |                       |                    |                |
| James A Scott IRA ⇒ James A Scott IRA   |             | \$1,001 - \$15,000       | None                  |                    |                |
| James A Scott VUL ⇒<br>American Bond  |             | \$1,001 - \$15,000       | Tax-Deferred          | Not Applicable     |                |
| James A Scott VUL $\Rightarrow$ American Growth   |             | \$1,001 - \$15,000       | Tax-Deferred          | Not Applicable     |                |
| James A Scott VUL $\Rightarrow$<br>American Growth and Income Fund                            |             | \$1,001 - \$15,000       | Tax-Deferred          | Not Applicable     |                |
| James A Scott VUL $\Rightarrow$<br>American International                                     |             | \$1,001 - \$15,000       | Tax-Deferred          | Not Applicable     |                |
| James A. Scott Ameris Money Market  |             | \$15,001 - \$50,000      | None                  |                    |                |
| Description: Money market account at Ameris Bank  |             |                          |                       |                    |                |
| Joint checking / Ameris Bank  Description: Ameris Bank  | JT          | \$1,001 - \$15,000       | None                  |                    | П              |
| MCA Family, LLC $\Rightarrow$ Edgefield Lot   |             | \$15,001 - \$50,000      | None                  |                    |                |

| Asset  | Owner       | Value of Asset               | Income<br>Type(s) | Income                | Tx. > \$1,000? |
|--|-------------|------------------------------|-------------------|-----------------------|----------------|
| LOCATION: Tifton, GA, US   |             |                              |                   |                       |                |
| MCA Family, LLC ⇒ Magnolia Lot   |             | \$50,001 -<br>\$100,000      | None              |                       |                |
| Location: Tifton, GA, US   |             |                              |                   |                       |                |
| MCA Family, LLC, 33% Interest  |             | \$1,000,001 -<br>\$5,000,000 | None              |                       |                |
| LOCATION: Tifton, GA, US  DESCRIPTION: Investment partnership with direct family m     | nembers. Fa | ather, brother, and /or      | sister            |                       |                |
| MCA Family, LLC ⇒ Mt. Olive and Old Ocilla Road Location: Tifton, GA, US               |             | \$50,001 -<br>\$100,000      | None              |                       | П              |
|  |             |                              | N.                |                       |                |
| MCA Family, LLC ⇒ Sco Jac  |             | \$100,001 -<br>\$250,000     | None              |                       |                |
| Location: Del Norte, CO, US  |             |                              |                   |                       |                |
| MCA Family, LLC ⇒ Shell Point  |             | \$250,001 -<br>\$500,000     | None              |                       |                |
| LOCATION: Wakulla County, FL, US   |             |                              |                   |                       |                |
| Neah Power Systems, Inc. New (NPWZ)  |             | \$1 - \$1,000                | None              |                       | <b>V</b>       |
| Oakridge Global Energy Solutions, Inc. (OGES)  |             | \$1,001 - \$15,000           | None              |                       | <u> </u>       |
| Palm Bay Club 8H   | SP          | \$50,001 -<br>\$100,000      | None              |                       |                |
| Location: Miami/Dade, FL, US DESCRIPTION: 1/2 interest in 720 North East 69th Street A | partment 8  | H Miami Florida 3313         | 8                 |                       |                |
| Plug Power, Inc. (PLUG)  |             | \$1,001 - \$15,000           | None              |                       | <b>V</b>       |
| Principal Pension  |             | Undetermined                 | None              |                       | П              |
| Quantum Fuel Systems Technologies Worldwide,<br>Inc. (QTWW)                            |             | \$1 - \$1,000                | Capital Gains     | \$1,001 - \$2,500     | <b>V</b>       |
| Rental property  | JT          | \$100,001 -<br>\$250,000     | Rent              | \$5,001 -<br>\$15,000 |                |
| Location: ASHBURN, GA, US DESCRIPTION: 621 Mclendon Street Ashburn, GA                 |             |                              |                   |                       |                |

| Asset   | Owner        | Value of Asset           | Income<br>Type(s) | Income                  | Tx. > \$1,000? |  |  |  |  |  |
|---|--------------|--------------------------|-------------------|-------------------------|----------------|--|--|--|--|--|
| The Southern Group, LLC, 100% Interest  | SP           | \$100,001 -<br>\$250,000 | Earnings          | \$50,001 -<br>\$100,000 |                |  |  |  |  |  |
| Description: Insurance brokerage that is owned and operated by my wife              |              |                          |                   |                         |                |  |  |  |  |  |
| Vivien G Scott 401 (K) ⇒ Capital Research and Management International Equity       |              | \$1,001 - \$15,000       | Tax-Deferred      | Not Applicable          | П              |  |  |  |  |  |
| Vivien G Scott 401 (K) ⇒<br>Principal Global Investors Large U.S. Equity            |              | \$1,001 - \$15,000       | Tax-Deferred      | Not Applicable          | П              |  |  |  |  |  |
| Vivien G Scott 401 (K) $\Rightarrow$<br>Principal Global Investors Mid Cap Equity   |              | \$1,001 - \$15,000       | Tax-Deferred      | Not Applicable          |                |  |  |  |  |  |
| Vivien G Scott 401 (K) $\Rightarrow$<br>Principal Global Investors Small Cap Equity |              | \$1,001 - \$15,000       | Tax-Deferred      | Not Applicable          | П              |  |  |  |  |  |
| Vivien G Scott 401 (K) ⇒<br>PrivateBancorp, Inc. Stock                              |              | \$1,001 - \$15,000       | Tax-Deferred      | Not Applicable          |                |  |  |  |  |  |
| Description: Stock was employer match in 401(K). Rema                               | ins in 401(k | ) account.               |                   |                         |                |  |  |  |  |  |
| Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)                                     | SP           | \$15,001 - \$50,000      | Capital Gains     | \$2,501 - \$5,000       | <b>▽</b>       |  |  |  |  |  |
| Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)                | SP           | \$1,001 - \$15,000       | None              |                         | <b>V</b>       |  |  |  |  |  |
| Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)                            | SP           | None                     | Capital Gains     | \$2,501 - \$5,000       | <b>V</b>       |  |  |  |  |  |
| Vivien G Scott IRA ⇒<br>Clean Energy Fuels Corp. (CLNE)                             | SP           | \$15,001 - \$50,000      | None              |                         | <b>∀</b>       |  |  |  |  |  |
| Vivien G Scott IRA ⇒<br>EnSync, Inc. (ESNC)   | SP           | \$1,001 - \$15,000       | None              |                         | П              |  |  |  |  |  |
| Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)   | SP           | None                     | Capital Gains     | \$5,001 -<br>\$15,000   | <u> </u>       |  |  |  |  |  |
| Vivien G Scott IRA ⇒<br>Neah Power Systems, Inc. New (NPWZ)                         | SP           | \$1 - \$1,000            | None              |                         | <b>V</b>       |  |  |  |  |  |
| Vivien G Scott IRA ⇒ Pershing Government Account Money Market                       | SP           | \$50,001 -<br>\$100,000  | Tax-Deferred      |                         | <u>~</u>       |  |  |  |  |  |

| Asset   | Owner       | Value of Asset           | Income<br>Type(s)      | Income                | Tx. > \$1,000? |
|---|-------------|--------------------------|------------------------|-----------------------|----------------|
| DESCRIPTION: Cash account in IRA  |             |                          |                        |                       |                |
| Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)                                      | SP          | \$1,001 - \$15,000       | Capital Gains          | \$1,001 - \$2,500     | <b>▽</b>       |
| Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)     | SP          | \$1,001 - \$15,000       | None                   |                       |                |
| Vivien G Scott IRA ⇒ SunEdison, Inc. (SUNE)                                       | SP          | \$1,001 - \$15,000       | None                   |                       | <b>∀</b>       |
| Vivien G Scott IRA ⇒ vivien G Scott IRA   | SP          | \$100,001 -<br>\$250,000 | IRA distribution       | \$5,001 -<br>\$15,000 |                |
| Vivien Scott Checking / Heritage Bank of the South  Description: Checking account | SP          | \$50,001 -<br>\$100,000  | None                   |                       | П              |
| -   |             |                          |                        |                       |                |
| Wells A Scott UTMA/GA   | DC          | \$1,001 - \$15,000       | UGMA account for son   | Not Applicable        |                |
| Description: Account owns less than \$1000 in each of the                         | following r | nutual funds Aim Con     | stellation Aim Charter | · Aim Global Growth   | n              |

<sup>\*</sup> Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

| Asset   | Owner Date | Tx.<br>Type | Amount             | Cap. Gains > \$200? |
|---|------------|-------------|--------------------|---------------------|
| Arotech Corporation (ARTX)                      | 10/18/2016 | P           | \$1,001 - \$15,000 |                     |
| Arotech Corporation (ARTX)                      | 11/29/2016 | S           | \$1,001 - \$15,000 | <b>V</b>            |
| Ballard Power Systems, Inc Common Shares (BLDP) | 04/14/2016 | P           | \$1,001 - \$15,000 |                     |
| Ballard Power Systems, Inc Common Shares (BLDP) | 04/19/2016 | S           | \$1,001 - \$15,000 |                     |
| Ballard Power Systems, Inc Common Shares (BLDP) | 06/9/2016  | S           | \$1,001 - \$15,000 |                     |
| Ballard Power Systems, Inc Common Shares (BLDP) | 09/20/2016 | P           | \$1,001 - \$15,000 |                     |

| Asset   | Owner | Date       | Tx.<br>Type | Amount             | Cap. Gains > \$200? |
|---|-------|------------|-------------|--------------------|---------------------|
| Ballard Power Systems, Inc Common Shares (BLDP) |       | 10/3/2016  | S           | \$1,001 - \$15,000 | V                   |
| Capstone Turbine Corporation (CPST)             |       | 02/17/2016 | P           | \$1,001 - \$15,000 |                     |
| Capstone Turbine Corporation (CPST)             |       | 04/20/2016 | P           | \$1,001 - \$15,000 |                     |
| Capstone Turbine Corporation (CPST)             |       | 08/16/2016 | S           | \$1,001 - \$15,000 |                     |
| Capstone Turbine Corporation (CPST)             |       | 09/21/2016 | P           | \$1,001 - \$15,000 |                     |
| Clean Diesel Technologies, Inc. (CDTI)          |       | 03/9/2016  | P           | \$1,001 - \$15,000 |                     |
| Clean Diesel Technologies, Inc. (CDTI)          |       | 03/22/2016 | S           | \$1,001 - \$15,000 |                     |
| Clean Energy Fuels Corp. (CLNE)                 |       | 02/8/2016  | P           | \$1,001 - \$15,000 |                     |
| Clean Energy Fuels Corp. (CLNE)                 |       | 02/26/2016 | S           | \$1,001 - \$15,000 | <u> </u>            |
| Clean Energy Fuels Corp. (CLNE)                 |       | 03/1/2016  | P           | \$1,001 - \$15,000 |                     |
| Clean Energy Fuels Corp. (CLNE)                 |       | 03/9/2016  | S           | \$1,001 - \$15,000 | $\overline{\vee}$   |
| Clean Energy Fuels Corp. (CLNE)                 |       | 06/9/2016  | S           | \$1,001 - \$15,000 | $\overline{\vee}$   |
| Clean Energy Fuels Corp. (CLNE)                 |       | 11/4/2016  | P           | \$1,001 - \$15,000 |                     |
| FuelCell Energy, Inc. (FCEL)                    |       | 02/9/2016  | P           | \$1,001 - \$15,000 |                     |
| FuelCell Energy, Inc. (FCEL)                    |       | 02/26/2017 | S           | \$1,001 - \$15,000 | $\overline{\vee}$   |
| FuelCell Energy, Inc. (FCEL)                    |       | 06/9/2016  | P           | \$1,001 - \$15,000 |                     |
| FuelCell Energy, Inc. (FCEL)                    |       | 09/20/2016 | P           | \$1,001 - \$15,000 |                     |
| FuelCell Energy, Inc. (FCEL)                    |       | 11/29/2016 | P           | \$1,001 - \$15,000 |                     |
| FuelCell Energy, Inc. (FCEL)                    |       | 12/1/2016  | P           | \$1,001 - \$15,000 |                     |

| Asset  | Owner | Date       | Tx.<br>Type | Amount              | Cap. Gains > \$200? |
|--|-------|------------|-------------|---------------------|---------------------|
| Oakridge Global Energy Solutions, Inc. (OGES)            |       | 08/16/2016 | P           | \$1,001 - \$15,000  |                     |
| Oakridge Global Energy Solutions, Inc. (OGES)            |       | 10/3/2016  | P           | \$1,001 - \$15,000  |                     |
| Plug Power, Inc. (PLUG)                                  |       | 05/12/2016 | P           | \$1,001 - \$15,000  |                     |
| Plug Power, Inc. (PLUG)                                  |       | 06/9/2016  | S           | \$1,001 - \$15,000  |                     |
| Plug Power, Inc. (PLUG)                                  |       | 09/20/2016 | P           | \$1,001 - \$15,000  |                     |
| Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) |       | 03/9/2016  | P           | \$1,001 - \$15,000  |                     |
| Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) |       | 03/15/2016 | P           | \$1,001 - \$15,000  |                     |
| Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) |       | 03/15/2016 | S           | \$1,001 - \$15,000  | <b>▽</b>            |
| Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) |       | 03/22/2016 | S           | \$1,001 - \$15,000  | П                   |
| SunEdison, Inc. (SUNE)                                   |       | 02/26/2016 | P           | \$1,001 - \$15,000  |                     |
| SunEdison, Inc. (SUNE)                                   |       | 03/22/2016 | S           | \$1,001 - \$15,000  | П                   |
| SunEdison, Inc. (SUNE)                                   |       | 03/23/2016 | S           | \$1,001 - \$15,000  |                     |
| Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)          | SP    | 12/1/2016  | S           | \$1,001 - \$15,000  | <u> </u>            |
| Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)          | SP    | 11/29/2016 | S           | \$1,001 - \$15,000  | V                   |
| Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)          | SP    | 06/9/2016  | P           | \$1,001 - \$15,000  |                     |
| Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)          | SP    | 03/23/2016 | S           | \$1,001 - \$15,000  | П                   |
| Vivien G Scott IRA ⇒                                     | SP    | 01/8/2016  | S           | \$15,001 - \$50,000 | П                   |

| Asset  | Owner | Date       | Tx.<br>Type | Amount              | Cap. Gains > \$200?                     |
|--|-------|------------|-------------|---------------------|---|
| Arotech Corporation (ARTX)   |       |            |             |                     | , |
| Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP) | SP    | 07/18/2016 | S           | \$1,001 - \$15,000  | П                                       |
| Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP) | SP    | 03/23/2016 | S           | \$1,001 - \$15,000  | П                                       |
| Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP) | SP    | 02/17/2016 | P           | \$1,001 - \$15,000  |   |
| Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)             | SP    | 08/16/2016 | S           | \$1,001 - \$15,000  |   |
| Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)             | SP    | 04/14/2016 | S           | \$1,001 - \$15,000  | <u>~</u>                                |
| Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)             | SP    | 03/23/2016 | S           | \$1,001 - \$15,000  |   |
| Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)             | SP    | 02/17/2016 | P           | \$1,001 - \$15,000  |   |
| Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)             | SP    | 01/13/2016 | P           | \$1,001 - \$15,000  |   |
| Vivien G Scott IRA ⇒<br>Clean Diesel Technologies, Inc. (CDTI)       | SP    | 03/23/2016 | S           | \$1,001 - \$15,000  |   |
| Vivien G Scott IRA ⇒<br>Clean Diesel Technologies, Inc. (CDTI)       | SP    | 03/23/2016 | P           | \$1,001 - \$15,000  |   |
| Vivien G Scott IRA ⇒<br>Clean Energy Fuels Corp. (CLNE)              | SP    | 02/17/2016 | P           | \$15,001 - \$50,000 |   |
| Vivien G Scott IRA ⇒ EnSync, Inc. (ESNC)                             | SP    | 08/1/2016  | S           | \$1,001 - \$15,000  | П                                       |
| Vivien G Scott IRA ⇒ EnSync, Inc. (ESNC)                             | SP    | 05/18/2016 | S           | \$1,001 - \$15,000  |   |
| Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)                    | SP    | 11/29/2016 | P           | \$1,001 - \$15,000  |   |
|  |       |            |             |                     | 1                                       |

| Asset   | Owner | Date       | Tx.<br>Type | Amount              | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|---------------------|
| Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)                             | SP    | 05/10/2016 | P           | \$1,001 - \$15,000  |                     |
| Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)                             | SP    | 01/12/2016 | P           | \$1,001 - \$15,000  |                     |
| Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)                                       | SP    | 02/26/2016 | S           | \$15,001 - \$50,000 | <u>~</u>            |
| Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)                                       | SP    | 02/17/2016 | S           | \$15,001 - \$50,000 | <b>▽</b>            |
| Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)                                  | SP    | 06/7/2016  | S           | \$15,001 - \$50,000 | <b>▽</b>            |
| Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)                                  | SP    | 05/10/2016 | P           | \$15,001 - \$50,000 |                     |
| Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)                                  | SP    | 02/17/2016 | P           | \$15,001 - \$50,000 |                     |
| Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) | SP    | 03/7/2016  | S           | \$1,001 - \$15,000  | П                   |
| Vivien G Scott IRA ⇒ SunEdison, Inc. (SUNE)                                   | SP    | 03/23/2016 | S           | \$1,001 - \$15,000  | П                   |
| Vivien G Scott IRA ⇒ SunEdison, Inc. (SUNE)                                   | SP    | 02/26/2016 | P           | \$15,001 - \$50,000 |                     |

<sup>\*</sup> Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

| Source             | Туре  | Amount      |
|--------------------|---|-------------|
| The Southern Group | Residual/renewal income from insurance business | \$20,293.00 |
| The Southern Group | Insurance sales                                 | \$75,000.00 |

# SCHEDULE D: LIABILITIES

| Owner | Creditor                   | Date Incurred  | Type  | Amount of<br>Liability   |
|-------|----------------------------|----------------|---|--------------------------|
|       | Everhome                   | September 2005 | rental house Ashburn Turner County<br>Georgia | \$100,001 -<br>\$250,000 |
|       | Wells Fargo                | September 2012 | Mortgage primary residence                    | \$250,001 -<br>\$500,000 |
| SP    | Ameris Bank Tifton Georgia | September 2012 | Primary residence mortgage                    | \$50,001 -<br>\$100,000  |
|       | Ameris Bank Tifton Georgia | March 2013     | Real estate long pine lot                     | \$50,001 -<br>\$100,000  |

#### **SCHEDULE E: POSITIONS**

| Position                          | Name of Organization                      |  |
|-----------------------------------|---|--|
| Limited Partner                   | James W. Scott Family Limited Partnership |  |
| Limited Partner                   | MCA Family, LLC                           |  |
| Limited Partner / General Partner | A-C Scott, LLP and Aus Cur Scott, LLC     |  |

#### SCHEDULE F: AGREEMENTS

| Date          | Parties To                | Terms of Agreement     |
|---------------|---------------------------|------------------------|
| December 2003 | Principal Financial Group | vested pension benefit |

#### SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- A-C SCOTT LLLP LOCATION: US
- J. W. Scott Family Limited Partnership Location: US
- James A Scott IRA

  Description: Retirement Account

o James A Scott VUL

DESCRIPTION: Life Insurance Contract

• MCA Family, LLC LOCATION: US

Vivien G Scott 401 (K)
 DESCRIPTION: 401 (k)

Vivien G Scott IRA (Owner: SP)
 Description: Retirement Account

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Austin Scott, 08/13/2017