



Filing ID #10016382

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Steve Stivers  
**Status:** Member  
**State/District:** OH15

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 08/12/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset        | Income Type(s) | Income             | Tx. > \$1,000?                      |
|--|-------|-----------------------|----------------|--------------------|-------------------------------------|
| AJ Stivers Trust ⇒<br>Blackrock Liquidity Fund         |       | None                  | Dividends      | \$1 - \$200        | <input checked="" type="checkbox"/> |
| AJ Stivers Trust ⇒<br>Cash                             |       | \$1 - \$1,000         | None           |                    | <input type="checkbox"/>            |
| DESCRIPTION: Portion of trust held in cash.            |       |                       |                |                    |                                     |
| AJ Stivers Trust ⇒<br>PNC Govt. Money Market Fund      |       | \$1,001 - \$15,000    | Dividends      | \$1 - \$200        | <input checked="" type="checkbox"/> |
| AJ Stivers Trust ⇒<br>Procter & Gamble Company (PG)    |       | \$100,001 - \$250,000 | Dividends      | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| AJ Stivers Trust ⇒<br>Real Estate (Ripley, Ohio)       |       | \$1,001 - \$15,000    | Rent           | \$1 - \$200        | <input type="checkbox"/>            |
| LOCATION: Ripley, OH, US                               |       |                       |                |                    |                                     |
| AJ Stivers Trust ⇒<br>Real Estate (Wheeler County, TX) |       | \$15,001 - \$50,000   | Rent           | \$1 - \$200        | <input type="checkbox"/>            |
| LOCATION: Wheeler County, TX, US                       |       |                       |                |                    |                                     |
| Am. Equity Inv. Whole Life Cash Value                  |       | \$1,001 - \$15,000    | None           |                    | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset        | Income Type(s)              | Income            | Tx. > \$1,000?                      |
|--|-------|-----------------------|-----------------------------|-------------------|-------------------------------------|
| Arlington Bank - Savings   | JT    | \$1,001 - \$15,000    | Interest                    | \$1 - \$200       | <input type="checkbox"/>            |
| Chase Bank - Checking  |       | \$1,001 - \$15,000    | None                        |                   | <input type="checkbox"/>            |
| Chase Bank - Savings   |       | \$15,001 - \$50,000   | Interest                    | \$1 - \$200       | <input type="checkbox"/>            |
| Chase Roth IRA ⇒<br>Cynosure, Inc. - Class A (CYNO)                          |       | \$1 - \$1,000         | None                        |                   | <input type="checkbox"/>            |
| Chase Roth IRA ⇒<br>JPMorgan US Government Money Market Fund                 |       | \$1 - \$1,000         | None                        |                   | <input type="checkbox"/>            |
| Edward Jones Custodial Account (XXX-XX234) ⇒<br>Cash                         | DC    | \$1 - \$1,000         | None                        |                   | <input type="checkbox"/>            |
| DESCRIPTION: Portion of account held in cash.                                |       |                       |                             |                   |                                     |
| Edward Jones Custodial Account (XXX-XX234) ⇒<br>Invesco Equity & Income CI A | DC    | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1 - \$200       | <input type="checkbox"/>            |
| Edward Jones Custodial Account (XXX-XX881) ⇒<br>Invesco Equity & Income CI A | DC    | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1 - \$200       | <input type="checkbox"/>            |
| Fidelity Rural Metro ⇒<br>Large Cap Dodge & Cox Stock                        |       | \$15,001 - \$50,000   | Dividends,<br>Interest      | \$1,001 - \$2,500 | <input type="checkbox"/>            |
| Huntington Bank - Combined Accounts  |       | \$1,001 - \$15,000    | Interest                    | \$1 - \$200       | <input type="checkbox"/>            |
| JP Morgan Chase 401K ⇒<br>JPMorgan Chase Common Stock Fund                   |       | \$50,001 - \$100,000  | Tax-Deferred                |                   | <input type="checkbox"/>            |
| JP Morgan Chase 401K ⇒<br>S&P 500 Index Fund                                 |       | \$100,001 - \$250,000 | None                        |                   | <input type="checkbox"/>            |
| JP Morgan Chase Retirement Plan (Pension) Acct.                              |       | \$50,001 - \$100,000  | Dividends                   | \$2,501 - \$5,000 | <input type="checkbox"/>            |
| Morgan Stanley Smith Barney IRA ⇒<br>Cash                                    |       | \$1 - \$1,000         | None                        |                   | <input type="checkbox"/>            |
| DESCRIPTION: Portion of IRA held in cash.                                    |       |                       |                             |                   |                                     |
| Morgan Stanley Smith Barney IRA ⇒<br>First Eagle Global C (FESGX)            |       | \$15,001 - \$50,000   | None                        |                   | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset       | Income Type(s)           | Income            | Tx. > \$1,000?                      |
|---|-------|----------------------|--------------------------|-------------------|-------------------------------------|
| Morgan Stanley Smith Barney IRA ⇒ Franklin Equity Inc A (FISEX)                     |       | \$15,001 - \$50,000  | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Northwestern Money Market Account   |       | \$1 - \$1,000        | None                     |                   | <input type="checkbox"/>            |
| Northwestern Mutual Whole Life (XX-XXX-504) ⇒ Northwestern Mutual Whole Life Policy |       | \$15,001 - \$50,000  | None                     |                   | <input type="checkbox"/>            |
| Northwestern Mutual Whole Life Policy   | DC    | \$1,001 - \$15,000   | None                     |                   | <input type="checkbox"/>            |
| Northwestern Mutual Whole Life Policy   | DC    | \$1,001 - \$15,000   | None                     |                   | <input type="checkbox"/>            |
| Ohio PERS ⇒ Defined Benefit   | SP    | \$15,001 - \$50,000  | None                     |                   | <input type="checkbox"/>            |
| Ohio PERS ⇒ OPERS Bond Index Fund   | SP    | \$1,001 - \$15,000   | None                     |                   | <input type="checkbox"/>            |
| Ohio PERS ⇒ OPERS Large Cap Index Fund  | SP    | \$15,001 - \$50,000  | None                     |                   | <input type="checkbox"/>            |
| Ohio PERS ⇒ OPERS Non-US Stock Index Fund   | SP    | \$15,001 - \$50,000  | None                     |                   | <input type="checkbox"/>            |
| Ohio PERS ⇒ OPERS Small Cap Index Fund  | SP    | \$1,001 - \$15,000   | None                     |                   | <input type="checkbox"/>            |
| Ohio PERS ⇒ OPERS Stock Index Fund  | SP    | \$15,001 - \$50,000  | None                     |                   | <input type="checkbox"/>            |
| Ohio PERS Pension Account   |       | \$50,001 - \$100,000 | None                     |                   | <input type="checkbox"/>            |
| DESCRIPTION: Rep. Steve Stivers   |       |                      |                          |                   |                                     |
| Zua Stivers Trust ⇒ Aqr Managed Futures Str I - AQMIX                               |       | \$1,001 - \$15,000   | Capital Gains, Dividends | \$1 - \$200       | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒ Bristol-Myers Squibb Company (BMY)                              |       | \$1,001 - \$15,000   | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒ Cash  |       | \$1 - \$1,000        | None                     |                   | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset        | Income Type(s)              | Income            | Tx. > \$1,000?                      |
|--|-------|-----------------------|-----------------------------|-------------------|-------------------------------------|
| DESCRIPTION: Portion of trust held in cash.                                |       |                       |                             |                   |                                     |
| Zua Stivers Trust ⇒<br>Driehaus Active Income Fund - LCMAX                 |       | \$1,001 - \$15,000    | Dividends                   | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>Exxon Mobil Corporation (XOM)                       |       | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1,001 - \$2,500 | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒<br>Farmland in Crawford and Marion County, OH          |       | \$100,001 - \$250,000 | Rent                        | \$2,501 - \$5,000 | <input type="checkbox"/>            |
| LOCATION: Crawford-Marion County, OH, US                                   |       |                       |                             |                   |                                     |
| Zua Stivers Trust ⇒<br>General Electric Company (GE)                       |       | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>iShares MSCI EAFE ETF (EFA)                         |       | \$1,001 - \$15,000    | Dividends                   | \$201 - \$1,000   | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>iShares MSCI Emerging Index Fund (EEM)              |       | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>JP Morgan Chase & Co. (JPM)                         |       | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>Nuveen Inflation Pro Sec CI I - FYIPX               |       | \$1,001 - \$15,000    | Dividends                   | \$1 - \$200       | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒<br>Nuveen Inter Dur Muni Bond I                        |       | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$201 - \$1,000   | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>Nuveen Real Estate Secs I - FARCX                   |       | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$201 - \$1,000   | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒<br>Procter & Gamble Company (PG)                       |       | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>US Bank Private Client Reserve Money Market Savings |       | \$1,001 - \$15,000    | Interest                    | \$1 - \$200       | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒<br>Vanguard 500 Index Admiral - VFIAX                  |       | \$1,001 - \$15,000    | Dividends                   | \$1 - \$200       | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒<br>Vanguard Equity Income Adm #565 VEIRX               |       | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1 - \$200       | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset     | Income Type(s)              | Income          | Tx. > \$1,000?           |
|---|-------|--------------------|-----------------------------|-----------------|--------------------------|
| Zua Stivers Trust ⇒<br>Verizon Communications Inc. (VZ) |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

| Asset   | Owner | Date       | Tx. Type       | Amount              | Cap. Gains > \$200?                 |
|---|-------|------------|----------------|---------------------|-------------------------------------|
| AJ Stivers Trust ⇒<br>Blackrock Liquidity Funds   |       | 03/31/2016 | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| AJ Stivers Trust ⇒<br>Blackrock Liquidity Funds   |       | 06/30/2016 | S              | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| AJ Stivers Trust ⇒<br>PNC Gov't Money Market Fund   |       | 09/30/2016 | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| AJ Stivers Trust ⇒<br>PNC Gov't Money Market Fund   |       | 12/30/2016 | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| AJ Stivers Trust ⇒<br>Procter & Gamble Company (PG)   |       | 04/15/2016 | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| DESCRIPTION: This trade was made in a trust account for which I am a beneficiary; however, I do not have investment discretion. |       |            |                |                     |                                     |
| AJ Stivers Trust ⇒<br>Procter & Gamble Company (PG)   |       | 09/16/2016 | S<br>(partial) | \$15,001 - \$50,000 | <input type="checkbox"/>            |
| DESCRIPTION: This trade was made in a trust account for which I am a beneficiary; however, I do not have investment discretion. |       |            |                |                     |                                     |
| Morgan Stanley Smith Barney IRA ⇒<br>First Eagle Global C   |       | 12/14/2016 | P              | \$1,001 - \$15,000  |                                     |
| DESCRIPTION: Dividend Reinvestment  |       |            |                |                     |                                     |
| Morgan Stanley Smith Barney IRA ⇒<br>Franklin Equity Inc A  |       | 12/16/2016 | P              | \$1,001 - \$15,000  |                                     |
| DESCRIPTION: Dividend Reinvestment  |       |            |                |                     |                                     |
| Zua Stivers Trust ⇒<br>Driehaus Active Income Fund  |       | 09/22/2016 | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒<br>General Electric Company (GE)  |       | 09/14/2016 | S<br>(partial) | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |

| Asset   | Owner | Date       | Tx. Type       | Amount             | Cap. Gains > \$200?                 |
|---|-------|------------|----------------|--------------------|-------------------------------------|
| Zua Stivers Trust ⇒<br>iShares MSCI EAFE ETF (EFA)            |       | 09/14/2016 | P              | \$1,001 - \$15,000 |                                     |
| Zua Stivers Trust ⇒<br>iShares MSCI EAFE ETF (EFA)            |       | 09/22/2016 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |
| Zua Stivers Trust ⇒<br>iShares MSCI Emerging Index Fund (EEM) |       | 09/14/2016 | P              | \$1,001 - \$15,000 |                                     |
| Zua Stivers Trust ⇒<br>JP Morgan Chase & Co. (JPM)            |       | 09/14/2016 | S<br>(partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>Nuveen Inter Dur Muni Bond I           |       | 09/22/2016 | S<br>(partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>Procter & Gamble Company (PG)          |       | 09/14/2016 | S<br>(partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>Procter & Gamble Company (PG)          |       | 09/22/2016 | S<br>(partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒<br>Vanguard Equity Income Adm             |       | 09/22/2016 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

| Source             | Type             | Amount     |
|--------------------|------------------|------------|
| Morgan Stanley IRA | IRA distribution | \$9,386.07 |

SCHEDULE D: LIABILITIES

| Owner | Creditor                   | Date Incurred | Type  | Amount of Liability   |
|-------|----------------------------|---------------|---|-----------------------|
| JT    | The Arlington Bank         | August 2011   | Home Equity Loan  | \$50,001 - \$100,000  |
| JT    | Franklin American Mortgage | December 2016 | Mortgage on Residence in Upper Arlington, OH (not rented) | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- AJ Stivers Trust
- Chase Roth IRA
- Edward Jones Custodial Account (XXX-XX234) (Owner: DC)  
LOCATION: OH
- Edward Jones Custodial Account (XXX-XX881) (Owner: DC)  
LOCATION: OH
- Fidelity Rural Metro  
DESCRIPTION: Formerly called Fidelity Inv. Retirement
- JP Morgan Chase 401K
- Morgan Stanley Smith Barney IRA  
DESCRIPTION: Formerly Citigroup (CGM) Morgan Stanley Smith Barney IRA and listed as such on FD 2015.
- Northwestern Mutual Whole Life (XX-XXX-504)
- Ohio PERS (Owner: SP)
- Zua Stivers Trust

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Steve Stivers , 08/12/2017