

# FINANCIAL DISCLOSURE REPORT

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### FILER INFORMATION

Name: Hon. Austin Scott

Status: Member State/District: GA08

## FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2016

**Filing Date:** 09/26/2017

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
A-C Scott LLLP, 49% Interest	\$250,001 - \$500,000	None		
LOCATION: Tifton, GA, US  DESCRIPTION: Investment partnership with direct family men	nbers. Father and brother.			
A-C SCOTT LLLP ⇒ 3F Properties Loan Albany GA	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000	
Description: Loan to 3F properties. The first loan disclosed	on previous disclosures was pa	id. This is a second	loan to the same enti	ty.
A-C SCOTT LLLP ⇒ A-C Scott Checking/Flint Community Bank	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000	
A-C SCOTT LLLP ⇒ Aus Cur Scott, LLC	\$15,001 - \$50,000	None		
DESCRIPTION: General Partnership shares managing A-C Scot	tt, LLLP			
A-C SCOTT LLLP ⇒ Shell Point Loan	\$100,001 - \$250,000	None		П
Arotech Corporation (ARTX)	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<b>∀</b>
Ballard Power Systems, Inc Common Shares	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<u></u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
(BLDP)					
Capstone Turbine Corporation (CPST)		\$1,001 - \$15,000	None		<u> </u>
Clean Energy Fuels Corp. (CLNE)		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<b>∀</b>
FuelCell Energy, Inc. (FCEL)		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<u>~</u>
J. W. Scott Family Limited Partnership ⇒ J. W. Scott Family Limited Partnership, 49% Interest		\$250,001 - \$500,000	None		
DESCRIPTION: Limited Partnership shares of family farm. Falisted as Thronateeska	arm was so	ld for loss 8/16/2016.	Bank account is at Fli	nt Community Bank	x. Formerly
James A Scott Checking Account / SunTrust  Description: SunTrust		\$1,001 - \$15,000	None		
James A Scott IRA ⇒ James A Scott IRA		\$1,001 - \$15,000	None		
James A Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		\$1 - \$1,000	None		
James A Scott VUL $\Rightarrow$ American Bond		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL $\Rightarrow$ American Growth		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL $\Rightarrow$ American Growth and Income Fund		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL ⇒ American International		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A. Scott Ameris Money Market  Description: Money market account at Ameris Bank		\$15,001 - \$50,000	None		
Joint checking / Ameris Bank  Description: Ameris Bank	JT	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MCA Family, LLC ⇒ Edgefield Lot		\$15,001 - \$50,000	None		
LOCATION: Tifton, GA, US					
MCA Family, LLC ⇒ Magnolia Lot		\$50,001 - \$100,000	None		
LOCATION: Tifton, GA, US					
MCA Family, LLC, 33% Interest		\$1,000,001 - \$5,000,000	None		
LOCATION: Tifton, GA, US					
Description: Investment partnership with direct family m	iembers. Fa	ther, brother, and /or	sister		
MCA Family, LLC ⇒ Mt. Olive and Old Ocilla Road		\$50,001 - \$100,000	None		
Location: Tifton, GA, US		Ψ100,000			
MCA Family, LLC ⇒		\$100,001 -	None		
Sco Jac		\$250,000	Tione		
LOCATION: Del Norte, CO, US					
MCA Family, LLC ⇒ Shell Point		\$250,001 - \$500,000	None		
LOCATION: Wakulla County, FL, US		φ <sub>0</sub> 00,000			
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Neah Power Systems, Inc. New (NPWZ)		\$1 - \$1,000	None		<b>▽</b>
Oakridge Global Energy Solutions, Inc. (OGES)		\$1,001 - \$15,000	None		<u> </u>
Palm Bay Club 8H	SP	\$50,001 - \$100,000	None		
LOCATION: Miami/Dade, FL, US					
DESCRIPTION: 1/2 interest in 720 North East 69th Street Ap	partment 8I	H Miami Florida 33138	3		
Plug Power, Inc. (PLUG)		\$1,001 - \$15,000	None		<b>✓</b>
Principal Pension		Undetermined	None		
Quantum Fuel Systems Technologies Worldwide,		\$1 - \$1,000	Capital Gains	\$1,001 - \$2,500	<b>▽</b>
Inc. (QTWW)					
Rental property	JT	\$100,001 -	Rent	\$5,001 -	
		\$250,000		\$15,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Location: ASHBURN, GA, US DESCRIPTION: 621 Mclendon Street Ashburn, GA					
DESCRIPTION, 021 INCICHUOII STIECT ASIIDUIII, GA					
The Southern Group, LLC, 100% Interest	SP	\$100,001 - \$250,000	Earnings	\$50,001 - \$100,000	
DESCRIPTION: Insurance brokerage that is owned and operation	ated by my	wife			
Vivien G Scott 401 (K) ⇒ Capital Research and Management International Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Large U.S. Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Mid Cap Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Small Cap Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) ⇒ PrivateBancorp, Inc. Stock		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
DESCRIPTION: Stock was employer match in 401(K). Remai	ns in 401(k	) account.			
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<u>~</u>
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	\$1,001 - \$15,000	None		<b>~</b>
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	None	Capital Gains	\$2,501 - \$5,000	<b>▽</b>
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	\$15,001 - \$50,000	None		<u>~</u>
Vivien G Scott IRA ⇒ EnSync, Inc. (ESNC)	SP	\$1,001 - \$15,000	None		
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	\$15,001 - \$50,000	None		<u>~</u>
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	None	Capital Gains	\$5,001 - \$15,000	<u> </u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vivien G Scott IRA ⇒ Neah Power Systems, Inc. New (NPWZ)	SP	\$1 - \$1,000	None		<b>∀</b>
Vivien G Scott IRA ⇒ Pershing Government Account Money Market  Description: Cash account in IRA	SP	\$50,001 - \$100,000	Tax-Deferred		<b>V</b>
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<u>~</u>
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	\$1,001 - \$15,000	None		
Vivien G Scott IRA ⇒ SunEdison, Inc. (SUNE)	SP	\$1 - \$1,000	None		<b>∀</b>
Vivien G Scott IRA ⇒ vivien G Scott IRA	SP	\$100,001 - \$250,000	IRA distribution	\$5,001 - \$15,000	П
Vivien Scott Checking / Heritage Bank of the South  Description: Checking account	SP	\$50,001 - \$100,000	None		
Wells A Scott UTMA/GA	DC	\$1,001 - \$15,000	UGMA account for son	Not Applicable	П
Description: Account owns less than \$1000 in each of the	following n	nutual funds Aim Con	stellation Aim Charter	· Aim Global Growth	1

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Arotech Corporation (ARTX)	10/18/2016	P	\$1,001 - \$15,000	
Arotech Corporation (ARTX)	11/29/2016	S	\$1,001 - \$15,000	<u> </u>
Ballard Power Systems, Inc Common Shares (BLDP)	04/14/2016	P	\$1,001 - \$15,000	
Ballard Power Systems, Inc Common Shares (BLDP)	04/19/2016	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ballard Power Systems, Inc Common Shares (BLDP)		06/9/2016	S	\$1,001 - \$15,000	
Ballard Power Systems, Inc Common Shares (BLDP)		09/20/2016	P	\$1,001 - \$15,000	
Ballard Power Systems, Inc Common Shares (BLDP)		10/3/2016	S	\$1,001 - \$15,000	<b>~</b>
Capstone Turbine Corporation (CPST)		02/17/2016	P	\$1,001 - \$15,000	
Capstone Turbine Corporation (CPST)		04/20/2016	P	\$1,001 - \$15,000	
Capstone Turbine Corporation (CPST)		08/16/2016	S	\$1,001 - \$15,000	
Capstone Turbine Corporation (CPST)		09/21/2016	P	\$1,001 - \$15,000	
Clean Diesel Technologies, Inc. (CDTI)		03/9/2016	P	\$1,001 - \$15,000	
Clean Diesel Technologies, Inc. (CDTI)		03/22/2016	S	\$1,001 - \$15,000	
Clean Energy Fuels Corp. (CLNE)		02/8/2016	P	\$1,001 - \$15,000	
Clean Energy Fuels Corp. (CLNE)		02/26/2016	S	\$1,001 - \$15,000	<b>✓</b>
Clean Energy Fuels Corp. (CLNE)		03/1/2016	P	\$1,001 - \$15,000	
Clean Energy Fuels Corp. (CLNE)		03/9/2016	S	\$1,001 - \$15,000	<b>✓</b>
Clean Energy Fuels Corp. (CLNE)		06/9/2016	S	\$1,001 - \$15,000	<u>~</u>
Clean Energy Fuels Corp. (CLNE)		11/4/2016	P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL)		02/9/2016	P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL)		02/26/2017	S	\$1,001 - \$15,000	<u>~</u>
FuelCell Energy, Inc. (FCEL)		06/9/2016	P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL)		09/20/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FuelCell Energy, Inc. (FCEL)		11/29/2016	P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL)		12/1/2016	P	\$1,001 - \$15,000	
Oakridge Global Energy Solutions, Inc. (OGES)		08/16/2016	P	\$1,001 - \$15,000	
Oakridge Global Energy Solutions, Inc. (OGES)		10/3/2016	P	\$1,001 - \$15,000	
Plug Power, Inc. (PLUG)		05/12/2016	P	\$1,001 - \$15,000	
Plug Power, Inc. (PLUG)		06/9/2016	S	\$1,001 - \$15,000	П
Plug Power, Inc. (PLUG)		09/20/2016	P	\$1,001 - \$15,000	
Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		03/9/2016	P	\$1,001 - \$15,000	
Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		03/15/2016	P	\$1,001 - \$15,000	
Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		03/15/2016	S	\$1,001 - \$15,000	<u>~</u>
Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		03/22/2016	S	\$1,001 - \$15,000	Г
SunEdison, Inc. (SUNE)		02/26/2016	P	\$1,001 - \$15,000	
SunEdison, Inc. (SUNE)		03/22/2016	S	\$1,001 - \$15,000	П
SunEdison, Inc. (SUNE)		03/23/2016	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	12/1/2016	S	\$1,001 - \$15,000	~
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	11/29/2016	S	\$1,001 - \$15,000	<u>~</u>
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	06/9/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	03/23/2016	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	01/8/2016	S	\$15,001 - \$50,000	П
Vivien G Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	SP	07/18/2016	S	\$1,001 - \$15,000	
Vivien G Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	SP	03/23/2016	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	02/17/2016	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	08/16/2016	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	04/14/2016	S	\$1,001 - \$15,000	V
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	03/23/2016	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	02/17/2016	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	01/13/2016	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Clean Diesel Technologies, Inc. (CDTI)	SP	03/23/2016	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Clean Diesel Technologies, Inc. (CDTI)	SP	03/23/2016	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	02/17/2016	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ EnSync, Inc. (ESNC)	SP	08/1/2016	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒	SP	05/18/2016	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
EnSync, Inc. (ESNC)					
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	11/29/2016	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	05/10/2016	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	01/12/2016	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	02/26/2016	S	\$15,001 - \$50,000	<b>▽</b>
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	02/17/2016	S	\$15,001 - \$50,000	<u>~</u>
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	06/7/2016	S	\$15,001 - \$50,000	<b>▽</b>
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	05/10/2016	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	02/17/2016	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	03/7/2016	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ SunEdison, Inc. (SUNE)	SP	03/23/2016	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ SunEdison, Inc. (SUNE)	SP	02/26/2016	P	\$15,001 - \$50,000	

 $<sup>\</sup>ensuremath{^*}$  Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
The Southern Group	Residual/renewal income from insurance business	\$20,293.00

Source	Туре	Amount
The Southern Group	Insurance sales	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Everhome	September 2005	rental house Ashburn Turner County Georgia	\$100,001 - \$250,000
	Wells Fargo	September 2012	Mortgage primary residence	\$250,001 - \$500,000
SP	Ameris Bank Tifton Georgia	September 2012	Primary residence mortgage	\$50,001 - \$100,000
	Ameris Bank Tifton Georgia	March 2013	Real estate long pine lot	\$50,001 - \$100,000

## **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization
Limited Partner	James W. Scott Family Limited Partnership
Limited Partner	MCA Family, LLC
Limited Partner / General Partner	A-C Scott, LLP and Aus Cur Scott, LLC

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2003	Principal Financial Group	vested pension benefit

## SCHEDULE G: GIFTS

None disclosed.

# SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

# SCHEDULE A AND B ASSET CLASS DETAILS

• A-C SCOTT LLLP

LOCATION: US

o J. W. Scott Family Limited Partnership

LOCATION: US

o James A Scott IRA

Description: Retirement Account

o James A Scott VUL

**DESCRIPTION: Life Insurance Contract** 

• MCA Family, LLC LOCATION: US

• Vivien G Scott 401 (K)
DESCRIPTION: 401 (k)

• Vivien G Scott IRA (Owner: SP)
Description: Retirement Account

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

∇es No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Austin Scott, 09/26/2017