



TER REPORT

Hardware Performance Counters

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Abstract

This report investigates the use of Hardware Performance Counters (HwPCs) to measure and analyze program performance, as well as to gain insight into their underlying implementation.

Two C programs—a matrix multiplication and a simple addition loop—were used to represent memory-bound and CPU-bound workloads, respectively.

These benchmarks were executed using two different methods: the Linux perf command-line tool and the libpfm C library. The results demonstrate that libpfm provided more accurate and flexible performance measurements, making it a more effective tool for identifying performance bottlenecks.

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1 Introduction

The tutorship project "Hardware Performance Counters" explores how CPUs can mesure their performances using Performance Monitoring Units.

The CPU, when working, triggers some "events" that the Performance Monitoring Units can detect, count, and store.

This project is divided in several phases:

CPU Analysis: Explore CPU's Hardware Performance Counters capabilities.

Study: Explore how Hardware Performance Counters works in a CPU.

Benchmarks: Use performance counters to benchmark two programs : one CPU-bound and one Memory-Bound.

The project is a big focus on x86 architecture for simplicity, other architectures like ARM does not work the same with performance counters. And the tests were run on two machines, one with an Intel CPU, and the other with an AMD CPU.

If you wish to explore the details of the work done for the project, the project's code is hosted on GitHub at https://github.com/omelette-bio/hardware-performance-counters. It includes tools for CPUID analysis, event listing, and benchmark automation.

2 State of the Art

High performance processors depend on Hardware Performance Counters (HwPCs) for gaining deep insights into microarchitectural behavior during program execution. These special-purpose registers, embedded inside the CPU, monitor important events like CPU cycles, retired instructions, cache misses, branch mispredictions, and memory subsystem activity. By taking such measurements, HwPCs allow developers and researchers to diagnose performance bottlenecks, optimize software, and even reveal security weaknesses.

The use of HwPCs is not consistent across processor vendors. Intel's Performance Monitoring Unit (PMU), for example, supports sophisticated features such as Precise Event-Based Sampling (PEBS), which reduces profiling overhead while gathering accurate instruction-level information. Additionally, Intel's Top-Down Microarchitecture Analysis (TMA) employs HwPCs to categorize pipeline inefficiencies, assisting developers in determining if stalls are the result of front-end bottlenecks, poor speculation, or memory latency. AMD's Performance Monitoring, on the other hand Performance Monitoring Counters (PMC) feature Instruction-Based Sampling (IBS), a novel feature that offers fine-grained instruction fetch and execution behavior. AMD also exposes NorthBridge counters which are quite useful to analyze interconnect contention and memory bandwidth.

While this project is targeted for x86 architectures, it is noteworthy that ARM processors also incorporate PMUs, but with fewer standard events and other access methods. HwPCs are accessible at various levels of abstraction. At the lowest level, x86 offers machine instructions such as RDPMC and WRMSR to read and set up performance counters directly. The majority of developers, however, interact with HwPCs using higher-level interfaces, such as the Linux kernel's perf event open system call or the perf command-line utility, which abstract aside architectural aspects. Libraries such as libpfm and PAPI (Performance API) also ease event encoding, whereas commercial tools such as Intel VTune and AMD uProf provide graphical interfaces for performance analysis.

The uses of HwPCs go well beyond conventional performance tuning. In security research, they have been instrumental in finding side-channel attacks, like Spectre and Meltdown, by revealing

unusual cache access patterns. Energy efficiency is another evolving region of interest—most modern CPUs have RAPL (Running Average Power Limit) counters, which convert performance events into power usage, making computing greener. Even machine learning frameworks are helped by HwPCs; frameworks such as TensorFlow and PyTorch utilize them to profile kernel execution and tune deep learning workloads.

For all their usefulness, HwPCs are not without their difficulties. A significant limitation is the lack of physical counters—CPUs have just 4–8 general-purpose counters per core, so users will have to time-multiplex measurements or rate-limit certain events. Architectural diversity exacerbates the issue; event encodings and availability differ not just between Intel and AMD but also across microarchitectures (e.g., Skylake and Zen). Documentation gaps form another difficulty because some events are poorly documented or reserved for internal diagnostics. Finally, although HwPCs strive to achieve low overhead, certain profiling techniques (e.g., PEBS or IBS sampling) can produce measurement biases when overused.

Recent developments keep widening the scope of HwPCs. Intel's hybrid architectures (e.g.,Alder Lake) now have core-type specific counters for Performance (P) and Efficiency (E) cores reflecting the growing complexity of modern CPUs. Meanwhile, AI-driven tools like AMD uProf and Intel Advisor are starting to automate performance analysis, recommending optimizations based on HwPC data. Beyond conventional profiling, researchers are finding new applications for example, integrating HwPCs with eBPF for kernel behavior monitoring in real time or using them to harden systems against microarchitectural attacks.

In the future, the sector is moving towards more standardization. Efforts like the PMU Tools Project strive to standardize event semantics between vendors, so that cross-platform benchmarking more reliable. Energy-aware profiling is another possible avenue, as data centers need improved tools for a balance of speed and power conservation. While processors develop further, HwPCs will continue critical—not just for performance engineers, but for anyone wanting to learn about the intricate dance of transistors that powers modern computing.

Part I

Checking Hardware Compatibility

In this part, we'll describe how to read precise informations about our CPUs, and go beyond the cpuid linux command. We'll also cover how to find the events mesurable by the CPU.

3 Checking CPU capabilities: the CPUID instruction

x86 architecture CPUs provide an instruction called CPUID that provides various informations on the CPU by reading special registers, for exemple, CPU name, memory address sizes, but also Hardware Performance Counters capacities.

The CPUID instruction is divided in leafs and sub-leafs, allowing to read multiple informations, stored statically in the CPU. These data are then stored in eax, ebx, ecx, and edx registers for the programmer to read.

For exemple, on intel, the leaf 0x80000008 stores in the eax register the physical address size and virtual address size of the CPU.

Intel and AMD manuals use a specific notation for this instruction which is the following: CPUID[LEAF].REG to more easily tell the leaf and register used for obtaining any information, with the previous exemple, such notation would give CPUID[0x80000008].EAX.

A small tool was made for the project to read the CPUID instruction for intel and amd cpus, you can find it in the project's GitHub repository in the folder tools/cpu_features_analysis.

3.1 CPUID to find HwPCs informations on INTEL CPUs

CPUID [0x0A] . EAX gives us these informations [Int, 2024a, Chapter 21.2]:

```
byte [7:0]: version number of the Architectural Performance Monitoring
```

byte [15:8]: number of general purpose Performance Monitoring Counter (PMC) per logical

byte [23:16]: bit size of the PMC registers

byte [31:24]: number of architectural events

but, CPUID [OxOA]. EDX gives us also the number of fixed PMC per logical core.

Here are the results on the Intel test machine:

```
Performance Monitoring Version: 5
Bit width of a PMC register: 64
Number of general purpose PMC per logical core: 8
Number of fixed PMC per logical core: 4
Number of architectural events: 8
```

3.2 CPUID to find HwPCs informations on AMD CPUs

On AMD, it's CPUID [0x80000001] . ECX that gives us informations about HwPCs [AMD, 2020a, Chapter 13.2.2] :

bit 10: support of IBS (specific to AMD, explained in Section 7.2)

bit 23: support of 6 Core Performance Counters.

bit 24: support of 4 NorthBridge Performance Counters.

bit 25: support of 4 L2 Cache Performance Counters.

The Core Performance Counters measures data about core elements of the cpu, like cycles, instructions etc...

NorthBridge in PC architecture was initally the part of the chipset that was responsible for high-speed communication between the cpu and components like the RAM, the PCI-express (for extensions like graphics cards), L3 cache, etc... This functionality was then moved into the CPU itself, but the naming convention stayed in performance monitoring, so NorthBridge counters measures data relative to transfer of data.

Here are the results on the AMD test machine:

6 Core Performance Counters 4 NorthBridge Performance Counters No L2 Cache Performance Counter IBS supported

4 List mesurable events

Each CPU architecture offers a specific set of events that its PMU can measure. To list the mesurable events on a specific CPU, we have several methods, and each method might not show all the events available.

- Linux Sysfd Interface The directory /sys/devices/cpu/events shows a subset of events measurable. Each file in this directory corresponds to a measurable event, with its code and umask.
- perf list command The command sudo perf list checks into the Linux perf subsystem to return a comprehensive list of hardware events supported by the kernel for the current CPU.
- Libpfm library The libpfm library offers the most comprehensive and architecture-aware way to list measurable events. See more details for the libpfm library in Section 6.2. A program made with the library was made for the project and is present under the tools/event_listing directory.

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Part II

HwPCs on x86 processors

In this part will be explained what compose Hardware Performance Counters (HwPCs) and how to use them. Explainations hold for x86 processors, as it is the main focus of the project, these informations might not hold for other architectures such as ARM for exemple.

5 The hardware

In this section we'll explore the underlying hardware behind the Hardware Performance Counters, what compose what is called the Performance Monitoring Unit (PMU)

5.1 Store the HwPC data: Model-Specific Registers

Model-Specific Registers (MSRs) are special registers used for debugging, tracing, performance monitoring and toggling certain CPU features Alan Cruse [2006]. They started as simple test registers for the TLB on older Intel cpus, and they have their own instructions: RDMSR and WRMSR, which allows to read and write into these MSRs.

The MSRs used for HwPCs are Event Select and Performance Monitoring Counter (PMC) MSRs, they are both 64-bits wide on most recent CPUs. The Event Select MSRs contains all the necessary informations and parameters to select and start the measurements, and each Event Select MSR is linked to its own PMC MSR, if the event "x" is asked by Event Select MSR 1, the results of the said event measurements will be stored into PMC MSR 1.

5.1.1 Event Select MSRs composition: the exemple of IA32_PERFEVTSELx on intel

We will now see how the Event Select MSR is composed through the exemple of the Event Select MSRs on Intel's CPUs, we'll not focus on AMD since it's nearly the same composition [Int, 2024a, Figure 21-6].

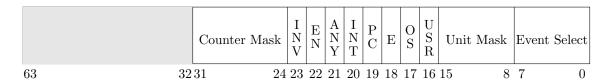


Figure 1: Bit Repartition of IA32_PERFEVTSELx

Event Select: It's the byte where we put the event's code.

UMASK: It's the byte where we put the event's umask.

USR: Controls whether we measure user events or not (corresponds to ring 3 level)

OS: Same as USR but for kernel and os-level instructions (corresponds to ring 1 level)

E: Edge Detect – Counts only when the event's signal transits from 0 to 1.

PC: Pin Control – Deprecated, used initially for Pentium and early Core era.

INT: Interrupt – Interrupt the measure when the associated Performance Counter overflows.

5.2 Encode Events

ANY: Any Thread – Enable multithreading

EN: Enable – Enable/Disable associated Performance Counter.

INV: Invert - Inverts the Counter Mask.

CMASK: Allows to add some filters to the measurements.

Bits from 32 to 61 will be used later in the Architectural Performance Monitoring Version 6.

5.1.2 MSR addresses

To use a register, we need to know their address, for exemple, the WRMSR instruction takes the registers EAX, ECX and EDX, and writes EDX:EAX into the MSR ECX.

In Intel cpus [Int, 2024b, Table 2-2], 0x186 corresponds to the first Event Select MSRs, called IA32_PERFEVTSELO, its corresponding Performance Counter MSR, called IA32_PMC0 holds the address 0xc1. If we wanted to use another counter, we would use 0x187 and 0xc2, increasing each time we want another counter.

For AMD cpus, there is a distinction between the types of events the MSRs can mesure, we will focus on the Core counters (for cycles, instructions etc.), which are named MRC001_020[0..A] for the Event Select MSRs and MRC001_020[1..B] for the Performance Counter MSRs, their name correspond to their address, so, if we want to use the first performance counter, we will use MSRC001_0200 with MSRC001_0201, and their address are C0010200 and C0010201.

5.2 Encode Events

We saw how we could store the measurement, and store the events' parameters, now, we need to know what can we put inside the fields "Event Select" and "UMASK".

Each event is associated to a code and a umask, which are hexadecimal values. The code defines a group of events, and the umask defines a subgroup of events, for exemple, on intel cpus, the group of event "UnHalted ... Cycles" is defined by the code 3C, and the subgroups "Core" and "Reference" are defined respectively by the umasks 00 and 01.

So to select the event "UnHalted Core Cycles", you would have to enter the code 3C with the umask 01.

5.3 Start Mesuring

To start mesuring an event, the "low-level" method consists of writing the necessary informations (Event Code, UMASK, etc....) into the Event Select MSR to which event to measure and the measurements parameters, then, setting the Enable (EN) bit to 1.

As we saw earlier, to write into the ES MSR, we need to use the WRMSR instruction, and the measurement starts when the EN bit is set to 1, as soon as the WRMSR instruction finishes.

To stop, it is as simple as starting, set the EN bit to 0, you will then have the occasion to read the performance counter MSR with the instruction RDMSR

This method is relatively inconvenient, because it requires knowing many informations about our CPU architecture, the address of the Event Select MSR, the exact informations of the events, etc...

Check Appendix A and Appendix B to see how to use assembly to start counters.

5.4 How the CPU measures the events

Now that we know how the cpu encodes events and how to start mesuring, let's focus on how the PMU counts the events in the processor.

6. The software

However, the exact internal implementation of hardware performance counters (HPCs) in modern processors is not publicly documented in full detail. These implementations are considered proprietary and are likely protected as trade secrets. Informations below comes from hints from articles like Yasin, A. (2014). A Top-Down Method for Performance Analysis and Counters Architecture. ISPASS 2014, and are mostly theories.

When the cpu works, it sometimes encounter internal events (cache hit/miss, tlb hit/miss, branch taken/mispredicted, ...) which raises internal signals, that go through the Central Event Bus. With the Event Select MSRs, we defined the event that we wanted to measure, this data is given into a multiplexer, that gives the signal to our Performance Counter, which increments by 1 its counter

But, sometimes, the counter might not be wide enough to contain the data, we call this an overflow, which can trigger the Performance Monitoring Interrupt (PMI), which enables cpuspecial features like PEBS on intel.

6 The software

6.1 Linux Perf API

The Linux Perf API provides an abstraction of the "low-level method" provided in Section 5.3, it's what the perf command uses and most of the program uses to work with HwPCs, the library provides data structures and functions to measure CPUs events. The most notable function is the os call perf_event_open(), which takes several parameters such as the event configuration (code, umask etc...), the cpu to monitor, the process to measure, etc...

With the function, the kernel creates a perf_event object in memory, links this object to a PMU and then returns a file descriptor linked to the PMU. The file descriptor acts as a userspace which can interact with the kernel, we can then read the file descriptor to get the data after the measurements.

To enable or disable a counter, we can use the os call ioctl, which manipulate special file descriptors, we can with this function:

- Enable the counter: ioctl(fd, PERF_EVENT_IOC_ENABLE, 0)
- Disable the counter: ioctl(fd, PERF_EVENT_IOC_DISABLE, 0)
- Reset the counter: ioctl(fd, PERF_EVENT_IOC_RESET, 0)

Now the final problem to start mesuring the events is not putting the data and parameter, but to know what informations to put in, like the code, the umask,...

6.2 Libpfm

libpfm is a C-library that allows to measure events without knowing too much about performance counters, because the library has a translation system that converts an event name into a code and a umask.

It also replaces most of the Linux Perf API's functions and data structures to work more efficiently and simply, this library allows to sample a specific part of the code, like a loop or a function, rather than the whole program, like we have with the perf command.

The necessary components of the library are the following:

perf_event_attr Struct that stores the parameters of the event.

- pfm_perf_encode_arg_t The struct that allows the final translation of the perf event name into a valid data, it contains a perf_event_attr variable.
- **pfm_initialize()** This method is used to initialize the library, it's at this step that the library detects the underlying hardware and software configuration. Based on the informations, certain PMU supports are enabled.

pfm_get_os_event_encoding(event_name, PFM_PLM3, PFM_OS_PERF_EVENT, &arg)

The method that takes the event name and put the necessary information into the pfm_perf_encode_arg_t variable &arg. The constants in the function call are:

PFM_PLM3 Measure at privilege level 3. This usually corresponds to user level. On x86, it corresponds to privilege rings.

PFM_OS_PERF_EVENT Tells the function to use the linux perf api to encode the event.

It even provides its own perf_event_open() function.

7 Intel and AMD CPUs specific features

7.1 PEBS (intel)

Earlier, we talked about PMI and the fact that it could enable special cpu features, PEBS is one of them [Int, 2024a, Chapter 21.6.2.4]. PEBS stands for Processor Event Based Sampling, and what it does is relatively simple, when a PMI is raised, the PEBS unit registers in the PEBS buffer a lot of informations about the CPU, like Instruction Pointer, general purpose registers values, memory addresses, ... The PEBS buffer is stored in a special place in the main memory (RAM).

This system is quite limited, as for the Architectural Performance Monitoring Version 5, only 4 IA32_PMCx (name for Performance Counter MSRs on intel) are compatible with this system, and not all event are compatible with it too.

To select which IA32_PMCx will measure with PEBS, we have to look into the IA32_PEBS_ENABLE MSR, and enable the bit corresponding to it. And, finally, if we enable PEBS for one MSR, in its corresponding IA32_PERFEVTSELx, we have to disable the bits AnyThread, Edge, Invert and CMASK.

7.2 IBS (AMD)

Instruction Based Sampling is a measuring method exclusive to AMD CPUs, it gathers specific metrics related to processor instruction fetch and instruction execution activity [AMD, 2020a, Chapter 13.3]. Instruction fetch sampling provides data about instruction address translation look-aside buffer (iTLB), and instruction cache (iCache) for a randomly selected fetch block¹ and is under the control of the IBS Fetch Control Register. Whereas instruction execution sampling provides information about instruction execution behavior by tracking the execution of a single micro-operation (op) that is randomly selected, and is under the control of the IBS Execution Control Register. The IBS Fetch|Execution Control registers are seperated from the other MSRs concerning HwPCs, and they contain roughly the same data to control the measurements, with IbsRandEn, which enable or disable the randomization of the sampling, Ibs{Fetch|Op}Val, which tells if the last measurement is valid or not, etc.

¹A fetch block is the range of bytes the cpu fetches to get the next instruction.

Once the mesurement for the current fetch or op is complete, the hardware signals an interrupt. Then, the interrupt handler reads the data captured, and can save it, to finally re-enable the hardware to take the next sample.

Part III

Benchmarks

8 Test Environnement

The tests were run on two machines, one with an Intel CPU, and the other with an AMD CPU, let's check their architecture:

```
11th Gen Intel(R) Core(TM) i5-1135G7 @ 2.40 GHz
8 logical cores, 4 physical cores
Fedora Linux 40 (Workstation Edition)
L1 Cache: 1 per physical core, 48kB
L2 Cache: 1 per physical core, 1MB
L3 Cache : 1 for all physical cores, 16MB
Performance Monitoring Version : 5
Bit width of a PMC register: 64
Number of general purpose PMC per logical core : 8
Number of fixed PMC per logical core : 4
Number of architectural events: 8
AMD Ryzen 5 3600X 6-Core Processor
12 logical cores, 6 physical cores
Fedora Linux 40 (Workstation Edition)
L1 iCache: 1 per physical core, 32kB
L1 dCache: 1 per physical core, 32kB
L2 Cache : 1 per physical core, 512kB
L3 Cache : 1 for 3 physical cores, 16MB
6 Core Performance Counters
4 NorthBridge Performance Counters
No L2 Cache Performance Counter
IBS supported
```

9 CPU-Bounds

10 Memory-Bounds

12. Future Work

Part IV

Conclusion

11 Discussion

Hardware Performance Counters (HwPCs) are a low-overhead, powerful interface to observe and analyze the internal operations of modern CPUs. Throughout this project, we learned about both the theoretical foundations and real implementations of HwPCs on x86 architectures, with a focus on Intel and AMD processors.

We began by identifying CPU features using the CPUID instruction and investigating MSR layout and configuration. This gave us an understanding of how events are encoded and measurements are initiated at the hardware level. We then proceeded to software interfaces such as the Linux perf API and the libpfm library, which simplify interaction with performance counters and provide high-level access to a large number of measurable events.

To validate our analysis and toolchain, we developed CPU-bound and memory-bound benchmarks. Benchmarks confirmed HwPCs' ability to distinguish between different performance bottlenecks and provided us with an insight into actual program behavior.

This project illustrates the powerful role HwPCs can play in performance debugging, compiler analysis, and low-level software optimization. In an age where performance tuning is more important than ever, HwPCs remain one of the most precise and underutilized tools in a developer's kit.

12 Future Work

Several directions can be explored to expand upon this work. First, incorporating energy-related performance counters, where available, would offer a deeper view of the trade-offs between performance and power consumption. This is particularly relevant for embedded systems and mobile devices, where energy efficiency is often as critical as raw performance. Exploring how optimization levels or hardware features impact energy usage, in conjunction with execution time, could lead to more sustainable computing practices.

Additionally, integrating security-related considerations into performance counter analysis presents a interesting direction. Certain classes of side-channel attacks exploit patterns in branch prediction, cache usage, or execution time—often detectable through hardware counters. By profiling and analyzing such patterns, we could potentially identify anomalous behaviors or assess the susceptibility of various programs or systems to specific attack vectors. This would be especially relevant in contexts like cloud computing or multi-tenant environments where security is paramount.

Finally, automating the counter selection and analysis pipeline could further improve the accessibility and reproducibility of experiments. Machine learning approaches might also be employed to correlate counter trends with broader software behaviors or system states, enabling smarter compiler heuristics or runtime decisions.

Appendices

A Assembly code to use HwPCs

```
; values selected for an Intel CPU

mov ecx, 0x186 ; select IA32_PERFEVTSEL0

mov eax, 0x004300c0 ; low 32 bits of value (event + config)

mov edx, 0x000000000 ; high 32 bits of value (here: 0)

wrmsr ; write to MSR[ECX] = EDX:EAX

mov ecx, 0xc1 ; Move the MSR address into ECX

rdmsr ; Read MSR at ECX into EDX:EAX
```

B C-assembly code to use HwPCs

```
intel:
    __asm__ __volatile__("wrmsr" : : "c" (0x186), "a" (0x004300c0));
    // if needed, you can also set the edx register to set the 32 highest bits
    __asm__ __volatile__("rdmsr" : "=a" (a), "=d" (d) : "c" (0xc1));
    // d variable holds the 32 highest bits, and a the 32 lowest.

amd:
    __asm__ __volatile__("wrmsr" : : "c" (0xc0010200), "a" (0x004300c0));
    // if needed, you can also set the edx register to set the 32 highest bits
    __asm__ __volatile__("rdmsr" : "=a" (a), "=d" (d) : "c" (0xc0010201));
    // d variable holds the 32 highest bits, and a the 32 lowest.
```

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