

# Program logics for ledgers

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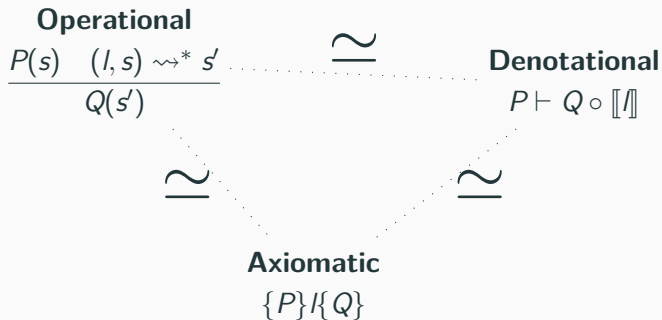
# Motivation

- Local & modular reasoning for UTxO blockchain ledgers
- Entertain the following analogy with concurrency/PL:

Blockchain		Concurrency Theory
ledgers	$\leftrightarrow$	computer memory
accounts	$\leftrightarrow$	memory locations
account balances	$\leftrightarrow$	data values
smart contracts	$\leftrightarrow$	programs accessing memory

# Approach

Investigate multiple semantics in different systems of increasing complexity





# Simple Model

```
module ... (Part : Type) { _ : DecEq Part } where
```

---

```
S = Map< Part → ℤ >
```

---

```
record Tx : Type where  
  constructor →⟨_⟩_  
  field sender    : Part  
        value     : ℤ  
        receiver  : Part
```

---

```
L = List Tx
```

# Simple Model: Denotational Semantics

Domain =  $S \rightarrow S$

---

record Denotable (A : Type) : Type where

field  $\llbracket \_ \rrbracket : A \rightarrow \text{Domain}$

instance

$\llbracket T \rrbracket : \text{Denotable } T$

$\llbracket T \rrbracket . \llbracket \_ \rrbracket (A \rightarrow \langle v \rangle B) s = s [ A \rightsquigarrow \_ - v ] [ B \rightsquigarrow \_ + v ]$

$\llbracket L \rrbracket : \text{Denotable } L$

$\llbracket L \rrbracket . \llbracket \_ \rrbracket [] = \text{id}$

$\llbracket L \rrbracket . \llbracket \_ \rrbracket (t :: l) = \llbracket l \rrbracket \circ \llbracket t \rrbracket$

---

$\text{comp} : \forall x \rightarrow \llbracket l ++ l' \rrbracket x \equiv (\llbracket l' \rrbracket \circ \llbracket l \rrbracket) x$

$\text{comp } \{ [] \} \_ = \text{refl}$

$\text{comp } \{ t :: l \} x = \text{comp } \{ l \} (\llbracket t \rrbracket x)$

# Simple Model: Operational Semantics

data  $\_ \rightarrow \_ : L \times S \rightarrow S \rightarrow \text{Type}$  where

base :

---

$\epsilon, s \rightarrow s$

step : let  $t = A \rightarrow \langle v \rangle B$  in

$l, \llbracket t \rrbracket s \rightarrow s'$

---

$t :: l, s \rightarrow s'$

denote~~oper~~ :

$\llbracket l \rrbracket s \equiv s'$

---

---

$l, s \rightarrow s'$

oper-comp :

•  $l, s \rightarrow s'$

•  $l', s' \rightarrow s''$

---

$l ++ l', s \rightarrow s''$

# Simple Model: Axiomatic Semantics (Hoare Logic)

Assertion =  $\text{Pred}_0 \text{ S}$

$\langle \_ \rangle \_ \langle \_ \rangle : \text{Assertion} \rightarrow \text{L} \rightarrow \text{Assertion} \rightarrow \text{Type}$

$\langle P \rangle \text{ l } \langle Q \rangle = P \vdash Q \circ \llbracket \text{ l } \rrbracket$

hoare-base :

---

$\langle P \rangle \llbracket \_ \rrbracket \langle P \rangle$   
hoare-base = id

hoare-step :

$\langle P \rangle \text{ l } \langle Q \rangle$

---

$\langle P \circ \llbracket t \rrbracket \rangle t :: \text{ l } \langle Q \rangle$

hoare-step  $P \text{ l } Q \{ \_ \} = P \text{ l } Q$



# Simple Model: Axiomatic Semantics (Hoare Logic)

consequence :

- $P' \vdash P$
- $Q \vdash Q'$
- $\langle P \rangle l \langle Q \rangle$

---

$$\langle P' \rangle l \langle Q' \rangle$$

consequence  $\vdash P \ Q \vdash P l Q$

$= Q \vdash \circ P l Q \circ \vdash P$

hoare-step' :

- $\langle P \rangle l \langle Q \rangle$
- $\langle Q \rangle l' \langle R \rangle$

---

$$\langle P \rangle l ++ l' \langle R \rangle$$

hoare-step'  $\{P\}\{l\}\{Q\}\{l'\}\{R\} \ P l Q \ Q l R =$

begin  $P$

$\vdash \langle P l Q \rangle$

$Q \circ \llbracket l \rrbracket$

$\vdash \langle Q l R \rangle$

$R \circ (\llbracket l' \rrbracket \circ \llbracket l \rrbracket) \doteq \langle \text{cong } R \circ \text{comp } \{l\} \{l'\} \rangle$

$R \circ \llbracket l ++ l' \rrbracket$

■ where open  $\vdash$ -Reasoning

## Simple Model: Separation Logic

$\text{emp} : \text{Assertion}$

$\text{emp } m = \forall k \rightarrow m \ k \equiv \epsilon$

$\_ *_\_ : \text{Op}_2 \text{ Assertion}$

$(P *_ Q) \ s = \exists \lambda \ s_1 \rightarrow \exists \lambda \ s_2 \rightarrow \langle s_1 \diamond s_2 \rangle \equiv s \times P \ s_1 \times Q \ s_2$

---

$*\leftrightarrow : P *_ Q \vdash Q *_ P$

$*\leftrightarrow (s_1, s_2, \equiv s, P s_1, Q s_2) = s_2, s_1, \diamond \equiv\text{-comm} \{x = s_1\} \{s_2\} \equiv s, Q s_2, P s_1$

$*\leadsto : P *_ Q *_ R \vdash (P *_ Q) *_ R$

$*\leadsto \{x = s\} (s_1, s_2, s_3, \equiv s, P s_1, (s_2, s_3, \equiv s_2, s_3, Q s_2, R s_3)) =$   
 $(s_1 \diamond s_2), s_3, \diamond \approx\text{-assoc}^x \{m_1 = s_1\} \equiv s \equiv s_2, s_3, (s_1, s_2, \approx\text{-refl}, P s_1, Q s_2), R s_3$

$\leftarrow * : (P *_ Q) *_ R \vdash P *_ Q *_ R$

$\leftarrow * \{x = s\} (s_1, s_2, s_3, \equiv s, (s_1, s_2, \equiv s_1, s_2, P s_1, Q s_2), R s_3) =$   
 $s_1, s_2 \diamond s_3, \diamond \approx\text{-assoc}^l \{m_1 = s_1\} \{s_2\} \equiv s \equiv s_1, s_2, P s_1, (s_2, s_3, \approx\text{-refl}, Q s_2, R s_3)$

## Simple Model: Frame Rule

$\diamond - [] :$

$$\langle s_1 \diamond s_2 \rangle \equiv s$$

---

$$\langle [l] s_1 \diamond s_2 \rangle \equiv [l] s$$

[FRAME] :

$$\langle P \rangle l \langle Q \rangle$$

---

$$\langle P * R \rangle l \langle Q * R \rangle$$

$$[\text{FRAME}] \{l = l\} PlQ (s_1, s_2, \equiv s, Ps_1, Rs_2) =$$

$$[l] s_1, s_2, \diamond - [] \{l = l\} \equiv s, PlQ Ps_1, Rs_2$$

## Simple Model: Concurrent Separation Logic

◇-interleave :

- $l_1 \parallel l_2 \equiv l$
- $\langle s_1 \diamond s_2 \rangle \equiv s$

---

$$\langle \llbracket l_1 \rrbracket s_1 \diamond \llbracket l_2 \rrbracket s_2 \rangle \equiv \llbracket l \rrbracket s$$

[PAR] :

- $l_1 \parallel l_2 \equiv l$
- $\langle P_1 \rangle l_1 \langle Q_1 \rangle$
- $\langle P_2 \rangle l_2 \langle Q_2 \rangle$

---

$$\langle P_1 * P_2 \rangle l \langle Q_1 * Q_2 \rangle$$

$$[\text{PAR}] \{l_1\} \{l_2\} \{l\} \equiv l \text{ } Pl_1Q \text{ } Pl_2Q \{s\} (s_1, s_2, \equiv s, Ps_1, Ps_2) = \\ \llbracket l_1 \rrbracket s_1, \llbracket l_2 \rrbracket s_2, \text{◇-interleave} \equiv l \equiv s, Pl_1Q Ps_1, Pl_2Q Ps_2$$

## Simple Model: Example derivation (monolithic)

A B C D : Part

$t_1 = A \rightarrow \langle 1Z \rangle B$ ;  $t_2 = D \rightarrow \langle 1Z \rangle C$ ;  $t_3 = B \rightarrow \langle 1Z \rangle A$ ;  $t_4 = C \rightarrow \langle 1Z \rangle D$

$t_{1-4} = L \ni [t_1, t_2, t_3, t_4]$

$\_ : \langle A \mapsto 1Z * B \mapsto 0Z * C \mapsto 0Z * D \mapsto 1Z \rangle t_{1-4} \langle A \mapsto 1Z * B \mapsto 0Z * C \mapsto 0Z * D \mapsto 1Z \rangle$

$\_ = \text{begin } A \mapsto 1Z * B \mapsto 0Z \quad * C \mapsto 0Z * D \mapsto 1Z \sim \langle \langle * \rangle \rangle$

$(A \mapsto 1Z * B \mapsto 0Z) * C \mapsto 0Z * D \mapsto 1Z \sim \langle t_1 :- [\text{FRAME}] (C \mapsto 0Z * D \mapsto 1Z) (A \leadsto B) \rangle$

$(A \mapsto 0Z * B \mapsto 1Z) * C \mapsto 0Z * D \mapsto 1Z \sim \langle \langle * \rangle \rangle$

$(C \mapsto 0Z * D \mapsto 1Z) * A \mapsto 0Z * B \mapsto 1Z \sim \langle t_2 :- [\text{FRAME}] (A \mapsto 0Z * B \mapsto 1Z) (C \leadsto D) \rangle$

$(C \mapsto 1Z * D \mapsto 0Z) * A \mapsto 0Z * B \mapsto 1Z \sim \langle \langle * \rangle \rangle$

$(A \mapsto 0Z * B \mapsto 1Z) * C \mapsto 1Z * D \mapsto 0Z \sim \langle t_3 :- [\text{FRAME}] (C \mapsto 1Z * D \mapsto 0Z) (A \leadsto B) \rangle$

$(A \mapsto 1Z * B \mapsto 0Z) * C \mapsto 1Z * D \mapsto 0Z \sim \langle \langle * \rangle \rangle$

$(C \mapsto 1Z * D \mapsto 0Z) * A \mapsto 1Z * B \mapsto 0Z \sim \langle t_4 :- [\text{FRAME}] (A \mapsto 1Z * B \mapsto 0Z) (C \leadsto D) \rangle$

$(C \mapsto 0Z * D \mapsto 1Z) * A \mapsto 1Z * B \mapsto 0Z \sim \langle \langle * \rangle \rangle$

$(A \mapsto 1Z * B \mapsto 0Z) * C \mapsto 0Z * D \mapsto 1Z \sim \langle \langle * \rangle \rangle$

$A \mapsto 1Z * B \mapsto 0Z \quad * C \mapsto 0Z * D \mapsto 1Z \blacksquare$

## Simple Model: Example derivation (modular)

```
_ : < A ↦ 1Z * B ↦ 0Z * C ↦ 0Z * D ↦ 1Z > t1-4 < A ↦ 1Z * B ↦ 0Z * C ↦ 0Z * D ↦ 1Z >  
_ = begin A ↦ 1Z * B ↦ 0Z      * C ↦ 0Z * D ↦ 1Z ~⟨ *↦ >  
      (A ↦ 1Z * B ↦ 0Z) * C ↦ 0Z * D ↦ 1Z ~⟨ t1-4 :- [PAR] auto H1 H2 > ++  
      (A ↦ 1Z * B ↦ 0Z) * C ↦ 0Z * D ↦ 1Z ~⟨ ↦* >  
      A ↦ 1Z * B ↦ 0Z      * C ↦ 0Z * D ↦ 1Z ■
```

where

H<sub>1</sub> : ℝ< A ↦ 1Z \* B ↦ 0Z > t<sub>1</sub> :: t<sub>3</sub> :: [] < A ↦ 1Z \* B ↦ 0Z >

H<sub>1</sub> = A ↦ 1Z \* B ↦ 0Z ~⟨ t<sub>1</sub> :- A ↦ B >

A ↦ 0Z \* B ↦ 1Z ~⟨ t<sub>3</sub> :- A ↦ B >

A ↦ 1Z \* B ↦ 0Z ■

H<sub>2</sub> : ℝ< C ↦ 0Z \* D ↦ 1Z > t<sub>2</sub> :: t<sub>4</sub> :: [] < C ↦ 0Z \* D ↦ 1Z >

H<sub>2</sub> = C ↦ 0Z \* D ↦ 1Z ~⟨ t<sub>2</sub> :- C ↦ D >

C ↦ 1Z \* D ↦ 0Z ~⟨ t<sub>4</sub> :- C ↦ D >

C ↦ 0Z \* D ↦ 1Z ■



## Adding Partiality

$S = \text{Map} \langle \text{Part} \mapsto \mathbb{N} \rangle$

---

$\text{Domain} = S \rightarrow \text{Maybe } S$

---

$\llbracket T \rrbracket : \text{Denotable } T_x$

$\llbracket T \rrbracket . \llbracket - \rrbracket t s = \text{M.when } (\text{isValidTx } t s) (\llbracket t \rrbracket \circ s)$

$\llbracket L \rrbracket : \text{Denotable } L$

$\llbracket L \rrbracket . \llbracket - \rrbracket [] s = \text{just } s$

$\llbracket L \rrbracket . \llbracket - \rrbracket (t :: l) = \llbracket t \rrbracket \Rightarrow \llbracket l \rrbracket$

---

$\text{comp} : \forall x \rightarrow \llbracket l ++ l' \rrbracket x \equiv (\llbracket l \rrbracket \Rightarrow \llbracket l' \rrbracket) x$



# Adding Partiality: Operational Semantics

data  $\_ \rightarrow \_ : L \times S \rightarrow S \rightarrow \text{Type}$  where

base :

$\frac{}{\epsilon, s \rightarrow s}$

step :

- IsValidTx  $t$   $s$
- $l, \llbracket t \rrbracket_0 s \rightarrow s'$

$\frac{}{t :: l, s \rightarrow s'}$

denote~~oper~~ :

$\llbracket l \rrbracket s \equiv \text{just } s'$

$\frac{}{l, s \rightarrow s'}$

## Adding Partiality: Lifting Predicates for Hoare Logic

$\text{weak}\uparrow \text{ strong}\uparrow : \text{Pred}_0 S \rightarrow \text{Pred}_0 (\text{Maybe } S)$

$\text{weak}\uparrow = \text{M.All.All}$

$\text{strong}\uparrow = \text{M.Any.Any}$

$\_ \uparrow \circ \_ : \text{Pred}_0 S \rightarrow (S \rightarrow \text{Maybe } S) \rightarrow \text{Pred}_0 S$

$P \uparrow \circ f = \text{strong}\uparrow P \circ f$

$\langle \_ \rangle \_ \langle \_ \rangle : \text{Assertion} \rightarrow L \rightarrow \text{Assertion} \rightarrow \text{Type}$

$\langle P \rangle \text{!} \langle Q \rangle = P \vdash Q \uparrow \circ \text{!} \text{!}$

## Adding Partiality: Frame Rule

$\diamond - \llbracket \cdot \rrbracket : \forall s_1' \rightarrow$

- $\llbracket l \rrbracket s_1 \equiv \text{just } s_1'$
- $\langle s_1 \diamond s_2 \rangle \equiv s$

---

$(\langle s_1' \diamond s_2 \rangle \equiv_{-} \uparrow \circ \llbracket l \rrbracket) s$

$[\text{FRAME}] : \forall R \rightarrow$

$\langle P \rangle l \langle Q \rangle$

---

$\langle P * R \rangle l \langle Q * R \rangle$

## Adding Partiality: Parallel Rule

◇-interleave :

- $(l_1 \parallel l_2 \equiv l)$
- $\langle s_1 \diamond s_2 \rangle \equiv s$
- $\llbracket l_1 \rrbracket s_1 \equiv \text{just } s_1'$
- $\llbracket l_2 \rrbracket s_2 \equiv \text{just } s_2'$

---

$$\begin{aligned} \exists \lambda s' \rightarrow & (\llbracket l \rrbracket s \equiv \text{just } s') \\ & \times (\langle s_1' \diamond s_2' \rangle \equiv s') \end{aligned}$$

[PAR] :

- $l_1 \parallel l_2 \equiv l$
- $\langle P_1 \rangle l_1 \langle Q_1 \rangle$
- $\langle P_2 \rangle l_2 \langle Q_2 \rangle$

---

$$\langle P_1 * P_2 \rangle l \langle Q_1 * Q_2 \rangle$$

## Adding Partiality: Example derivation (monolithic)

A B C D : Part

$t_1 = A \rightarrow \langle 1 \rangle B$ ;  $t_2 = D \rightarrow \langle 1 \rangle C$ ;  $t_3 = B \rightarrow \langle 1 \rangle A$ ;  $t_4 = C \rightarrow \langle 1 \rangle D$

$t_{1-4} = L \ni \llbracket t_1, t_2, t_3, t_4 \rrbracket$

$\_ : \langle A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \rangle t_{1-4} \langle A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \rangle$

$\_ = \text{begin } A \mapsto 1 * B \mapsto 0 \quad * C \mapsto 0 * D \mapsto 1 \sim \llbracket * \rrbracket \rangle$

$(A \mapsto 1 * B \mapsto 0) * C \mapsto 0 * D \mapsto 1 \sim \langle t_1 :- [\text{FRAME}] (C \mapsto 0 * D \mapsto 1) (A \leadsto B) \rangle$

$(A \mapsto 0 * B \mapsto 1) * C \mapsto 0 * D \mapsto 1 \sim \llbracket * \rrbracket \rangle$

$(C \mapsto 0 * D \mapsto 1) * A \mapsto 0 * B \mapsto 1 \sim \langle t_2 :- [\text{FRAME}] (A \mapsto 0 * B \mapsto 1) (C \leadsto D) \rangle$

$(C \mapsto 1 * D \mapsto 0) * A \mapsto 0 * B \mapsto 1 \sim \llbracket * \rrbracket \rangle$

$(A \mapsto 0 * B \mapsto 1) * C \mapsto 1 * D \mapsto 0 \sim \langle t_3 :- [\text{FRAME}] (C \mapsto 1 * D \mapsto 0) (A \leadsto B) \rangle$

$(A \mapsto 1 * B \mapsto 0) * C \mapsto 1 * D \mapsto 0 \sim \llbracket * \rrbracket \rangle$

$(C \mapsto 1 * D \mapsto 0) * A \mapsto 1 * B \mapsto 0 \sim \langle t_4 :- [\text{FRAME}] (A \mapsto 1 * B \mapsto 0) (C \leadsto D) \rangle$

$(C \mapsto 0 * D \mapsto 1) * A \mapsto 1 * B \mapsto 0 \sim \llbracket * \rrbracket \rangle$

$(A \mapsto 1 * B \mapsto 0) * C \mapsto 0 * D \mapsto 1 \sim \llbracket * \rrbracket \rangle$

$A \mapsto 1 * B \mapsto 0 \quad * C \mapsto 0 * D \mapsto 1 \blacksquare$

## Adding Partiality: Example derivation (modular)

```
_ : < A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 > t1-4 < A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 >  
_ = begin A ↦ 1 * B ↦ 0      * C ↦ 0 * D ↦ 1 ~⟨ *↦ >  
      (A ↦ 1 * B ↦ 0) * C ↦ 0 * D ↦ 1 ~⟨ t1-4 :- [PAR] auto H1 H2 > ++  
      (A ↦ 1 * B ↦ 0) * C ↦ 0 * D ↦ 1 ~⟨ ↦* >  
      A ↦ 1 * B ↦ 0      * C ↦ 0 * D ↦ 1 ■
```

where

```
H1 : < A ↦ 1 * B ↦ 0 > t1 :: t3 :: [] < A ↦ 1 * B ↦ 0 >
```

```
H1 = begin A ↦ 1 * B ↦ 0 ~⟨ t1 :- A ↦ B >
```

```
      A ↦ 0 * B ↦ 1 ~⟨ t3 :- A ↦ B >
```

```
      A ↦ 1 * B ↦ 0 ■
```

```
H2 : < C ↦ 0 * D ↦ 1 > t2 :: t4 :: [] < C ↦ 0 * D ↦ 1 >
```

```
H2 = begin C ↦ 0 * D ↦ 1 ~⟨ t2 :- C ↦ D >
```

```
      C ↦ 1 * D ↦ 0 ~⟨ t4 :- C ↦ D >
```

```
      C ↦ 0 * D ↦ 1 ■
```



## UTxO: Barebones Setup

```
S = Map< TxOutputRef  $\mapsto$  TxOutput >
```

---

```
record IsValidTx (tx : Tx) (utxos : S) : Type where  
  field
```

```
    noDoubleSpending :
```

```
      ·Unique (outputRefs tx)
```

```
    validOutputRefs :
```

```
       $\forall [ \text{ref} \in \text{outputRefs } tx ] (\text{ref} \in^d \text{utxos})$ 
```

```
    preservesValues :
```

```
      tx .forge +  $\sum \text{resolvedInputs } (\text{value} \circ \text{proj}_2) \equiv \sum (\text{tx} .\text{outputs}) \text{ value}$ 
```

```
    allInputsValidate :
```

```
       $\forall [ i \in \text{tx} .\text{inputs} ] T (i .\text{validator } \text{txInfo } (i .\text{redeemer}))$ 
```

```
    validateValidHashes :
```

```
       $\forall [ (i , o) \in \text{resolvedInputs} ] (o .\text{address} \equiv i .\text{validator} \#)$ 
```



# UTxO: Denotational Semantics

instance

$\llbracket T \rrbracket : \text{Denotable Tx}$

$\llbracket T \rrbracket . \llbracket - \rrbracket \text{ tx } s = \text{M.when } (\text{isValidTx tx } s) (s - \text{outputRefs tx } \cup \text{utxoTx tx})$

$\llbracket L \rrbracket : \text{Denotable L}$

$\llbracket L \rrbracket . \llbracket - \rrbracket [] \quad s = \text{just } s$

$\llbracket L \rrbracket . \llbracket - \rrbracket (t :: l) = \llbracket t \rrbracket \Rightarrow \llbracket l \rrbracket$

---

$\text{comp} : \forall x \rightarrow \llbracket l ++ l' \rrbracket x \equiv (\llbracket l \rrbracket \Rightarrow \llbracket l' \rrbracket) x$

$\text{comp } \{[]\} \quad \_ = \text{refl}$

$\text{comp } \{t :: l\} x \text{ with } \llbracket t \rrbracket x$

... |  $\text{nothing} = \text{refl}$

... |  $\text{just } s = \text{comp } \{l\} s$

# UTxO: Separation via Disjointness

$\_ *_ \_ : \text{Op}_2 \text{ Assertion}$

$$(P *_ Q) \ s = \exists \lambda \ s_1 \rightarrow \exists \lambda \ s_2 \rightarrow \langle s_1 \uplus s_2 \rangle \equiv s \times P \ s_1 \times Q \ s_2$$

---

$\uplus - [\ ] : \forall \ s_1' \rightarrow$

- $[\ l \ ] \ s_1 \equiv \text{just } s_1'$
- $\langle s_1 \uplus s_2 \rangle \equiv s$

---

$$(\langle s_1' \uplus s_2 \rangle \equiv \_ \uparrow \circ [\ l \ ]) \ s$$

$[\text{FRAME}] : \forall \ R \rightarrow$

- $l \# R$
- $\langle P \rangle l \langle Q \rangle$

---

$$\langle P *_ R \rangle l \langle Q *_ R \rangle$$

$[\text{PAR}] :$

- $l_1 \# P_2$
- $l_2 \# P_1$
- $l_1 \parallel l_2 \equiv l$
- $\langle P_1 \rangle l_1 \langle Q_1 \rangle$
- $\langle P_2 \rangle l_2 \langle Q_2 \rangle$

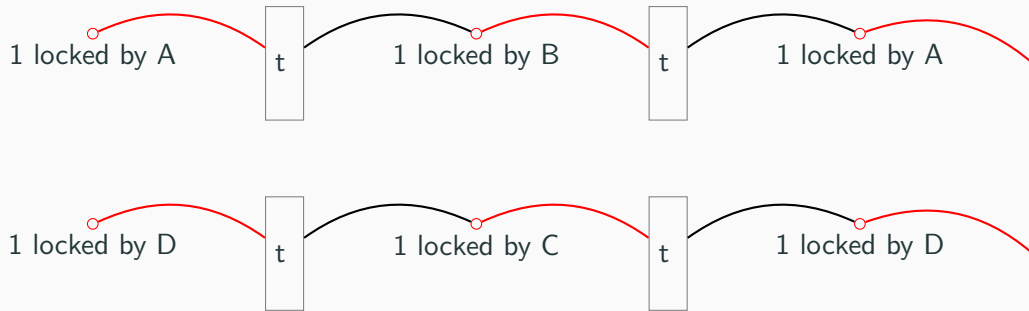
---

$$\langle P_1 *_ P_2 \rangle l \langle Q_1 *_ Q_2 \rangle$$

## UTxO: Example transaction graph

A B C D : Address

$t_{1-4} = L \ni [t_1, t_2, t_3, t_4]$



## UTxO: Example derivation (monolithic)

```
_ : < t00 ↦ 1 at A * t01 ↦ 1 at D > t1-4 < t30 ↦ 1 at A * t40 ↦ 1 at D >  
_ = begin t00 ↦ 1 at A * t01 ↦ 1 at D ~< t1 :- [FRAME] (t01 ↦ 1 at D) t1# ... >  
      t10 ↦ 1 at B * t01 ↦ 1 at D ~⟨⟨ *↔ >  
      t01 ↦ 1 at D * t10 ↦ 1 at B ~< t2 :- [FRAME] (t10 ↦ 1 at B) t2# ... >  
      t20 ↦ 1 at C * t10 ↦ 1 at B ~⟨⟨ *↔ >  
      t10 ↦ 1 at B * t20 ↦ 1 at C ~< t3 :- [FRAME] (t20 ↦ 1 at C) t3# ... >  
      t30 ↦ 1 at A * t20 ↦ 1 at C ~⟨⟨ *↔ >  
      t20 ↦ 1 at C * t30 ↦ 1 at A ~< t4 :- [FRAME] (t30 ↦ 1 at A) t4# ... >  
      t40 ↦ 1 at D * t30 ↦ 1 at A ~⟨⟨ *↔ >  
      t30 ↦ 1 at A * t40 ↦ 1 at D ■
```

```
where postulate t1# : [ t1 ] # (t01 ↦ 1 at D)  
              t2# : [ t2 ] # (t10 ↦ 1 at B)  
              t3# : [ t3 ] # (t20 ↦ 1 at C)  
              t4# : [ t4 ] # (t30 ↦ 1 at A)
```

## UTxO: Example derivation (modular)

```
_ : < t00 ↦ 1 at A * t01 ↦ 1 at D > t1-4 < t30 ↦ 1 at A * t40 ↦ 1 at D >  
_ = begin t00 ↦ 1 at A * t01 ↦ 1 at D ~< t1-4 :- [PAR] ... auto H1 H2 > ++  
      t30 ↦ 1 at A * t40 ↦ 1 at D ■
```

where

```
H1 : < t00 ↦ 1 at A > t1 :: t3 :: [] < t30 ↦ 1 at A >
```

```
H1 = begin t00 ↦ 1 at A ~< t1 :- ... >
```

```
      t10 ↦ 1 at B ~< t3 :- ... >
```

```
      t30 ↦ 1 at A ■
```

```
H2 : < t01 ↦ 1 at D > t2 :: t4 :: [] < t40 ↦ 1 at D >
```

```
H2 = begin t01 ↦ 1 at D ~< t2 :- ... >
```

```
      t20 ↦ 1 at C ~< t4 :- ... >
```

```
      t40 ↦ 1 at D ■
```



## Abstract UTxO: Setup

$S = \text{Bag}\langle \text{TxOutput} \rangle$

---

`record IsValidTx (tx : Tx) (utxos : S) : Type where`

`field`

`validOutputRefs :`

`stxoTx tx  $\subseteq^s$  utxos`

`preservesValues :`

`tx .forge +  $\sum$  (tx .inputs) (value  $\circ$  outputRef)  $\equiv \sum$  (tx .outputs) value`

`allInputsValidate :`

`$\forall [i \in \text{tx} . \text{inputs}] T (i . \text{validator txInfo } (i . \text{redeemer}))$`

`validateValidHashes :`

`$\forall [i \in \text{tx} . \text{inputs}] (i . \text{outputRef} . \text{address} \equiv i . \text{validator} \#)$`

# Abstract UTxO: Denotational Semantics

instance

$\llbracket T \rrbracket : \text{Denotable Tx}$

$\llbracket T \rrbracket . \llbracket - \rrbracket \text{ tx } s = \text{M.when } (\text{isValidTx tx } s) (s - \text{stxoTx tx } \cup \text{utxoTx tx})$

$\llbracket L \rrbracket : \text{Denotable L}$

$\llbracket L \rrbracket . \llbracket - \rrbracket [] \quad s = \text{just } s$

$\llbracket L \rrbracket . \llbracket - \rrbracket (t :: l) = \llbracket t \rrbracket \Rightarrow \llbracket l \rrbracket$





# Abstract UTxO: Monoidal Separation once again

$\_ * \_ : \text{Op}_2 \text{ Assertion}$

$$(P * Q) \ s = \exists \lambda \ s_1 \rightarrow \exists \lambda \ s_2 \rightarrow \langle s_1 \diamond s_2 \rangle \equiv s \times P \ s_1 \times Q \ s_2$$

---

$* \leftrightarrow : P * Q \vdash Q * P$

$$* \leftrightarrow \{x = s\} (s_1, s_2, \equiv s, P s_1, Q s_2) = s_2, s_1, \diamond \equiv \text{-comm} \{s = s\} \{s_1\} \{s_2\} \equiv s, Q s_2, P s_1$$

$* \leadsto : P * Q * R \vdash (P * Q) * R$

$$\begin{aligned} * \leadsto \{x = s\} (s_1, s_2 \diamond s_3, \equiv s, P s_1, (s_2, s_3, \equiv s_2 \diamond s_3, Q s_2, R s_3)) = \\ \text{let } \equiv s_{12} = \diamond \approx \text{-assoc}^r \{s_1 = s_1\} \{s_2 \diamond s_3\} \{s\} \{s_2\} \{s_3\} \equiv s \equiv s_{23} \text{ in} \\ (s_1 \diamond s_2), s_3, \equiv s_{12}, (s_1, s_2, \approx \text{-refl} \{x = s_1 \cup s_2\}, P s_1, Q s_2), R s_3 \end{aligned}$$

$\leftarrow * : (P * Q) * R \vdash P * Q * R$

$$\begin{aligned} \leftarrow * \{x = s\} (s_{12}, s_3, \equiv s, (s_1, s_2, \equiv s_{12}, P s_1, Q s_2), R s_3) = \\ \text{let } \equiv s_{23} = \diamond \approx \text{-assoc}^l \{s_{12} = s_{12}\} \{s_3\} \{s\} \{s_1\} \{s_2\} \equiv s \equiv s_{12} \text{ in} \\ s_1, s_2 \diamond s_3, \equiv s_{23}, P s_1, (s_2, s_3, \approx \text{-refl} \{x = s_2 \cup s_3\}, Q s_2, R s_3) \end{aligned}$$

# Abstract UTxO: Separation Logic Rules

[FRAME] :  $\forall R \rightarrow$

$\langle P \rangle \mathbin{\text{!}} \langle Q \rangle$

---

$\langle P * R \rangle \mathbin{\text{!}} \langle Q * R \rangle$

[PAR] :

- $\mathcal{l}_1 \parallel \mathcal{l}_2 \equiv \mathcal{l}$

- $\langle P_1 \rangle \mathcal{l}_1 \langle Q_1 \rangle$

- $\langle P_2 \rangle \mathcal{l}_2 \langle Q_2 \rangle$

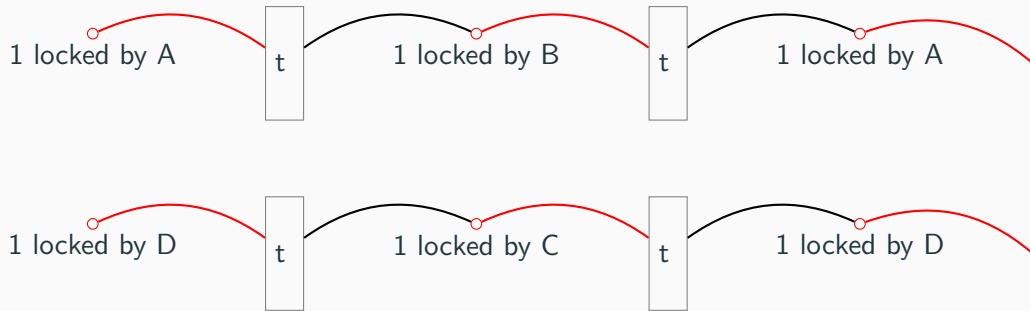
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$\langle P_1 * P_2 \rangle \mathbin{\text{!}} \langle Q_1 * Q_2 \rangle$

# Abstract UTxO: Example transaction graph

A B C D : Address

$t_{1-4} = L \ni [t_1, t_2, t_3, t_4]$



## Abstract UTxO: Example derivation (monolithic)

```
_ : < A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 > t1-4 < A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 >  
_ = begin A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 ~⟨⟨ * ~ > >  
    (A ↦ 1 * B ↦ 0) * C ↦ 0 * D ↦ 1 ~⟨ t1 :- [FRAME] (C ↦ 0 * D ↦ 1) (A ~ B) >  
    (A ↦ 0 * B ↦ 1) * C ↦ 0 * D ↦ 1 ~⟨⟨ * ↔ > >  
    (C ↦ 0 * D ↦ 1) * A ↦ 0 * B ↦ 1 ~⟨ t2 :- [FRAME] (A ↦ 0 * B ↦ 1) (C ← D) >  
    (C ↦ 1 * D ↦ 0) * A ↦ 0 * B ↦ 1 ~⟨⟨ * ↔ > >  
    (A ↦ 0 * B ↦ 1) * C ↦ 1 * D ↦ 0 ~⟨ t3 :- [FRAME] (C ↦ 1 * D ↦ 0) (A ← B) >  
    (A ↦ 1 * B ↦ 0) * C ↦ 1 * D ↦ 0 ~⟨⟨ * ↔ > >  
    (C ↦ 1 * D ↦ 0) * A ↦ 1 * B ↦ 0 ~⟨ t4 :- [FRAME] (A ↦ 1 * B ↦ 0) (C ~ D) >  
    (C ↦ 0 * D ↦ 1) * A ↦ 1 * B ↦ 0 ~⟨⟨ * ↔ > >  
    (A ↦ 1 * B ↦ 0) * C ↦ 0 * D ↦ 1 ~⟨⟨ * ~ > >  
A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 ■
```

## Abstract UTxO: Example derivation (modular)

$\_ : \langle A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \rangle t_{1-4} \langle A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \rangle$   
 $\_ = \text{begin } A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \quad \sim \langle * \sim \rangle$   
     $(A \mapsto 1 * B \mapsto 0) * C \mapsto 0 * D \mapsto 1 \sim \langle t_{1-4} :- [\text{PAR}] \text{ auto } H_1 H_2 \rangle ++$   
     $(A \mapsto 1 * B \mapsto 0) * C \mapsto 0 * D \mapsto 1 \sim \langle \leftarrow * \rangle$   
     $A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \quad \blacksquare$

where

$H_1 : \mathbb{R} \langle A \mapsto 1 * B \mapsto 0 \rangle t_1 :: t_3 :: [] \langle A \mapsto 1 * B \mapsto 0 \rangle$   
 $H_1 = A \mapsto 1 * B \mapsto 0 \sim \langle t_1 :- A \sim B \rangle$   
     $A \mapsto 0 * B \mapsto 1 \sim \langle t_3 :- A \leftarrow B \rangle$   
     $A \mapsto 1 * B \mapsto 0 \quad \blacksquare$

$H_2 : \mathbb{R} \langle C \mapsto 0 * D \mapsto 1 \rangle t_2 :: t_4 :: [] \langle C \mapsto 0 * D \mapsto 1 \rangle$   
 $H_2 = C \mapsto 0 * D \mapsto 1 \sim \langle t_2 :- C \leftarrow D \rangle$   
     $C \mapsto 1 * D \mapsto 0 \sim \langle t_4 :- C \sim D \rangle$   
     $C \mapsto 0 * D \mapsto 1 \quad \blacksquare$

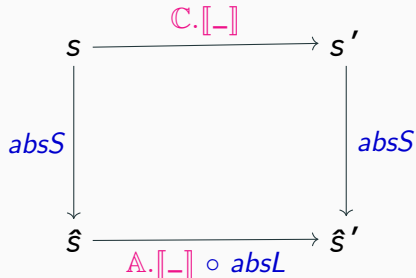
## Sound Abstraction: States and Validity

$\text{absS} : \mathbb{C}.S \rightarrow \mathbb{A}.S$

$\text{absVT} : \mathbb{C}.\text{IsValidTx } t \ s \rightarrow \exists \lambda \hat{t} \rightarrow \mathbb{A}.\text{IsValidTx } \hat{t} \ (\text{absS } s)$

$\text{absVL} : \mathbb{C}.\text{ValidLedger } s \ l \rightarrow \exists \lambda \hat{l} \rightarrow \mathbb{A}.\text{ValidLedger } (\text{absS } s) \ \hat{l}$

## Sound Abstraction: Denotations Coincide



$\text{denot-abs} : \forall (v\ell : \mathbb{C}.\text{ValidLedger } s \ell) \rightarrow$   
 $\mathbb{A}.\llbracket \text{absL } v\ell \rrbracket (\text{absS } s) \equiv (\text{absS } \langle \$ \rangle \mathbb{C}.\llbracket \ell \rrbracket s)$



# Sound Abstraction

soundness :

$\forall (vl : \mathbb{C}.ValidLedger\ s\ l) \rightarrow$

$\mathbb{A} \langle P \rangle\ absL\ vl\ \langle Q \rangle$

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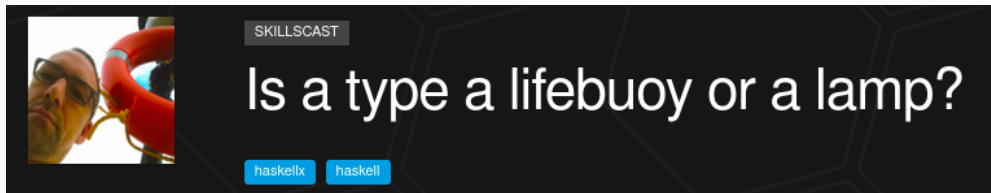
$\mathbb{C} \langle P \circ absS \rangle\ l\ \langle Q \circ absS \rangle$

## Future Work

- Deeper compositionality (i.e. monoidally exploit the values in the bag)
  - will require further abstraction of split/merge transactions
- Go beyond the monetary values (states, transaction data)
  - leads to more practical verification of smart contracts
- Generalise to multiple separation views, aka zooming levels
- Generically grow such separation logics, i.e. “Separation Logics à la carte”

## Conclusion

Agda as a design guide, rather than merely a verification tool of existing systems.



– *Conor McBride*

# Questions?

<https://github.com/omelkonian/hoare-ledgers>

