

Program logics for ledgers

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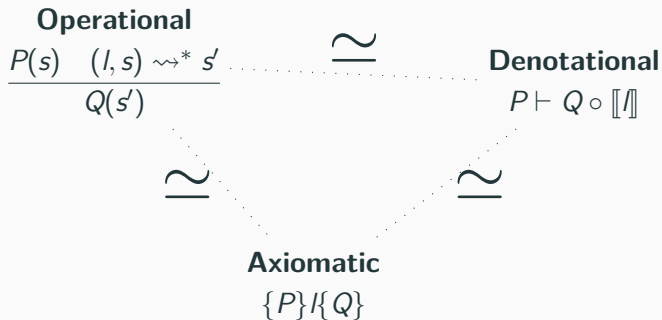
Motivation

- Local & modular reasoning for UTxO blockchain ledgers
- Entertain the following analogy with concurrency/PL:

Blockchain		Concurrency Theory
ledgers	\leftrightarrow	computer memory
accounts	\leftrightarrow	memory locations
account balances	\leftrightarrow	data values
smart contracts	\leftrightarrow	programs accessing memory

Approach

Investigate multiple semantics in different systems of increasing complexity





Simple Model

```
module ... (Part : Type) { _ : DecEq Part } where
```

```
S = Map< Part → ℤ >
```

```
record Tx : Type where  
  constructor →⟨_⟩_  
  field sender    : Part  
        value     : ℤ  
        receiver  : Part
```

```
L = List Tx
```

Simple Model: Denotational Semantics

Domain = $S \rightarrow S$

record Denotable (A : Type) : Type where

field $\llbracket _ \rrbracket$: $A \rightarrow \text{Domain}$

instance

$\llbracket T \rrbracket$: Denotable Tx

$\llbracket T \rrbracket . \llbracket _ \rrbracket (A \rightarrow \langle v \rangle B) s = s [A \rightsquigarrow _ - v] [B \rightsquigarrow _ + v]$

$\llbracket L \rrbracket$: Denotable L

$\llbracket L \rrbracket . \llbracket _ \rrbracket [] = \text{id}$

$\llbracket L \rrbracket . \llbracket _ \rrbracket (t :: l) = \llbracket l \rrbracket \circ \llbracket t \rrbracket$

comp : $\forall x \rightarrow \llbracket l ++ l' \rrbracket x \equiv (\llbracket l' \rrbracket \circ \llbracket l \rrbracket) x$

comp $\{[]\}$ $_ = \text{refl}$

comp $\{t :: l\} x = \text{comp } \{l\} (\llbracket t \rrbracket x)$

Simple Model: Operational Semantics

data $_ \rightarrow _ : L \times S \rightarrow S \rightarrow \text{Type}$ where

base :

$\epsilon, s \rightarrow s$

step : let $t = A \rightarrow \langle v \rangle B$ in

$l, \llbracket t \rrbracket s \rightarrow s'$

$t :: l, s \rightarrow s'$

denote~~oper~~ :

$\llbracket l \rrbracket s \equiv s'$

$l, s \rightarrow s'$

oper-comp :

• $l, s \rightarrow s'$

• $l', s' \rightarrow s''$

$l ++ l', s \rightarrow s''$

Simple Model: Axiomatic Semantics (Hoare Logic)

Assertion = $\text{Pred}_0 \text{ S}$

$\langle _ \rangle _ \langle _ \rangle : \text{Assertion} \rightarrow \text{L} \rightarrow \text{Assertion} \rightarrow \text{Type}$

$\langle P \rangle \text{ l } \langle Q \rangle = P \vdash Q \circ \llbracket \text{ l } \rrbracket$

hoare-base :

$\langle P \rangle \llbracket \text{ l } \rrbracket \langle P \rangle$
hoare-base = id

hoare-step :

$\langle P \rangle \text{ l } \langle Q \rangle$

$\langle P \circ \llbracket t \rrbracket \rangle t \text{ :: } \text{ l } \langle Q \rangle$

hoare-step $P \text{ l } Q \{ _ \} = P \text{ l } Q$

Simple Model: Axiomatic Semantics (Hoare Logic)

consequence :

- $P' \vdash P$
- $Q \vdash Q'$
- $\langle P \rangle l \langle Q \rangle$

$$\langle P' \rangle l \langle Q' \rangle$$

consequence $\vdash P \ Q \vdash P l Q$

$= Q \vdash \circ P l Q \circ \vdash P$

hoare-step' :

- $\langle P \rangle l \langle Q \rangle$
- $\langle Q \rangle l' \langle R \rangle$

$$\langle P \rangle l ++ l' \langle R \rangle$$

hoare-step' $\{P\}\{l\}\{Q\}\{l'\}\{R\} \ P l Q \ Q l R =$

begin P

$\vdash \langle P l Q \rangle$

$Q \circ \llbracket l \rrbracket$

$\vdash \langle Q l R \rangle$

$R \circ (\llbracket l' \rrbracket \circ \llbracket l \rrbracket) \doteq \langle \text{cong } R \circ \text{comp } \{l\} \{l'\} \rangle$

$R \circ \llbracket l ++ l' \rrbracket$

■ where open \vdash -Reasoning

Simple Model: Separation Logic

$\text{emp} : \text{Assertion}$

$\text{emp } m = \forall k \rightarrow m \ k \equiv \epsilon$

$_ *__ : \text{Op}_2 \text{ Assertion}$

$(P *_ Q) \ s = \exists \lambda \ s_1 \rightarrow \exists \lambda \ s_2 \rightarrow \langle s_1 \diamond s_2 \rangle \equiv s \times P \ s_1 \times Q \ s_2$

$*\leftrightarrow : P *_ Q \vdash Q *_ P$

$*\leftrightarrow (s_1, s_2, \equiv s, P s_1, Q s_2) = s_2, s_1, \diamond \equiv\text{-comm } \{x = s_1\} \{s_2\} \equiv s, Q s_2, P s_1$

$*\leadsto : P *_ Q *_ R \vdash (P *_ Q) *_ R$

$*\leadsto \{x = s\} (s_1, s_2, s_3, \equiv s, P s_1, (s_2, s_3, \equiv s_2, s_3, Q s_2, R s_3)) =$
 $(s_1 \diamond s_2), s_3, \diamond \approx\text{-assoc}^x \{m_1 = s_1\} \equiv s \equiv s_2, s_3, (s_1, s_2, \approx\text{-refl}, P s_1, Q s_2), R s_3$

$\leftarrow * : (P *_ Q) *_ R \vdash P *_ Q *_ R$

$\leftarrow * \{x = s\} (s_1, s_2, s_3, \equiv s, (s_1, s_2, \equiv s_1, s_2, P s_1, Q s_2), R s_3) =$
 $s_1, s_2 \diamond s_3, \diamond \approx\text{-assoc}^l \{m_1 = s_1\} \{s_2\} \equiv s \equiv s_1, s_2, P s_1, (s_2, s_3, \approx\text{-refl}, Q s_2, R s_3)$

Simple Model: Frame Rule

$\diamond - [] :$

$$\langle s_1 \diamond s_2 \rangle \equiv s$$

$$\langle [l] s_1 \diamond s_2 \rangle \equiv [l] s$$

[FRAME] :

$$\langle P \rangle l \langle Q \rangle$$

$$\langle P * R \rangle l \langle Q * R \rangle$$

$$[\text{FRAME}] \{l = l\} PlQ (s_1, s_2, \equiv s, Ps_1, Rs_2) =$$

$$[l] s_1, s_2, \diamond - [] \{l = l\} \equiv s, PlQ Ps_1, Rs_2$$

Simple Model: Concurrent Separation Logic

\diamond -interleave :

- $l_1 \parallel l_2 \equiv l$
- $\langle s_1 \diamond s_2 \rangle \equiv s$

$$\langle \llbracket l_1 \rrbracket s_1 \diamond \llbracket l_2 \rrbracket s_2 \rangle \equiv \llbracket l \rrbracket s$$

[PAR] :

- $l_1 \parallel l_2 \equiv l$
- $\langle P_1 \rangle l_1 \langle Q_1 \rangle$
- $\langle P_2 \rangle l_2 \langle Q_2 \rangle$

$$\langle P_1 * P_2 \rangle l \langle Q_1 * Q_2 \rangle$$

$$\begin{aligned} \text{[PAR]} \{l_1\} \{l_2\} \{l\} \equiv l \text{ } Pl_1Q \text{ } Pl_2Q \{s\} \quad (s_1, s_2, \equiv s, Ps_1, Ps_2) = \\ \llbracket l_1 \rrbracket s_1, \llbracket l_2 \rrbracket s_2, \diamond\text{-interleave} \equiv l \equiv s, Pl_1Q Ps_1, Pl_2Q Ps_2 \end{aligned}$$

Simple Model: Example derivation (monolithic)

A B C D : Part

$t_1 = A \rightarrow \langle 1Z \rangle B$; $t_2 = D \rightarrow \langle 1Z \rangle C$; $t_3 = B \rightarrow \langle 1Z \rangle A$; $t_4 = C \rightarrow \langle 1Z \rangle D$

$t_{1-4} = L \ni [t_1, t_2, t_3, t_4]$

$_ : \langle A \mapsto 1Z * B \mapsto 0Z * C \mapsto 0Z * D \mapsto 1Z \rangle t_{1-4} \langle A \mapsto 1Z * B \mapsto 0Z * C \mapsto 0Z * D \mapsto 1Z \rangle$

$_ = \text{begin } A \mapsto 1Z * B \mapsto 0Z \quad * C \mapsto 0Z * D \mapsto 1Z \sim \langle \langle * \rangle \rangle$

$(A \mapsto 1Z * B \mapsto 0Z) * C \mapsto 0Z * D \mapsto 1Z \sim \langle t_1 :- [\text{FRAME}] (C \mapsto 0Z * D \mapsto 1Z) (A \leadsto B) \rangle$

$(A \mapsto 0Z * B \mapsto 1Z) * C \mapsto 0Z * D \mapsto 1Z \sim \langle \langle * \rangle \rangle$

$(C \mapsto 0Z * D \mapsto 1Z) * A \mapsto 0Z * B \mapsto 1Z \sim \langle t_2 :- [\text{FRAME}] (A \mapsto 0Z * B \mapsto 1Z) (C \leadsto D) \rangle$

$(C \mapsto 1Z * D \mapsto 0Z) * A \mapsto 0Z * B \mapsto 1Z \sim \langle \langle * \rangle \rangle$

$(A \mapsto 0Z * B \mapsto 1Z) * C \mapsto 1Z * D \mapsto 0Z \sim \langle t_3 :- [\text{FRAME}] (C \mapsto 1Z * D \mapsto 0Z) (A \leadsto B) \rangle$

$(A \mapsto 1Z * B \mapsto 0Z) * C \mapsto 1Z * D \mapsto 0Z \sim \langle \langle * \rangle \rangle$

$(C \mapsto 1Z * D \mapsto 0Z) * A \mapsto 1Z * B \mapsto 0Z \sim \langle t_4 :- [\text{FRAME}] (A \mapsto 1Z * B \mapsto 0Z) (C \leadsto D) \rangle$

$(C \mapsto 0Z * D \mapsto 1Z) * A \mapsto 1Z * B \mapsto 0Z \sim \langle \langle * \rangle \rangle$

$(A \mapsto 1Z * B \mapsto 0Z) * C \mapsto 0Z * D \mapsto 1Z \sim \langle \langle * \rangle \rangle$

$A \mapsto 1Z * B \mapsto 0Z \quad * C \mapsto 0Z * D \mapsto 1Z \blacksquare$

Simple Model: Example derivation (modular)

```
_ : < A ↦ 1Z * B ↦ 0Z * C ↦ 0Z * D ↦ 1Z > t1-4 < A ↦ 1Z * B ↦ 0Z * C ↦ 0Z * D ↦ 1Z >  
_ = begin A ↦ 1Z * B ↦ 0Z * C ↦ 0Z * D ↦ 1Z ~⟨ *↦ >  
      (A ↦ 1Z * B ↦ 0Z) * C ↦ 0Z * D ↦ 1Z ~⟨ t1-4 :- [PAR] auto H1 H2 > ++  
      (A ↦ 1Z * B ↦ 0Z) * C ↦ 0Z * D ↦ 1Z ~⟨ ↦* >  
      A ↦ 1Z * B ↦ 0Z * C ↦ 0Z * D ↦ 1Z ■
```

where

```
H1 : ℝ< A ↦ 1Z * B ↦ 0Z > t1 :: t3 :: [] < A ↦ 1Z * B ↦ 0Z >
```

```
H1 = A ↦ 1Z * B ↦ 0Z ~⟨ t1 :- A ↦ B >
```

```
A ↦ 0Z * B ↦ 1Z ~⟨ t3 :- A ↦ B >
```

```
A ↦ 1Z * B ↦ 0Z ■
```

```
H2 : ℝ< C ↦ 0Z * D ↦ 1Z > t2 :: t4 :: [] < C ↦ 0Z * D ↦ 1Z >
```

```
H2 = C ↦ 0Z * D ↦ 1Z ~⟨ t2 :- C ↦ D >
```

```
C ↦ 1Z * D ↦ 0Z ~⟨ t4 :- C ↦ D >
```

```
C ↦ 0Z * D ↦ 1Z ■
```



Adding Partiality

$S = \text{Map} \langle \text{Part} \mapsto \mathbb{N} \rangle$

$\text{Domain} = S \rightarrow \text{Maybe } S$

$\llbracket T \rrbracket : \text{Denotable } T_x$

$\llbracket T \rrbracket . \llbracket - \rrbracket t s = \text{M.when } (\text{isValidTx } t s) (\llbracket t \rrbracket \circ s)$

$\llbracket L \rrbracket : \text{Denotable } L$

$\llbracket L \rrbracket . \llbracket - \rrbracket [] s = \text{just } s$

$\llbracket L \rrbracket . \llbracket - \rrbracket (t :: l) = \llbracket t \rrbracket \Rightarrow \llbracket l \rrbracket$

$\text{comp} : \forall x \rightarrow \llbracket l ++ l' \rrbracket x \equiv (\llbracket l \rrbracket \Rightarrow \llbracket l' \rrbracket) x$

Adding Partiality: Operational Semantics

data $_ \rightarrow _ : L \times S \rightarrow S \rightarrow \text{Type}$ where

base :

$\frac{}{\epsilon, s \rightarrow s}$

step :

- IsValidTx t s
- $l, \llbracket t \rrbracket_0 s \rightarrow s'$

$\frac{}{t :: l, s \rightarrow s'}$

denote~~oper~~ :

$\llbracket l \rrbracket s \equiv \text{just } s'$

$\frac{}{l, s \rightarrow s'}$

Adding Partiality: Lifting Predicates for Hoare Logic

$\text{weak}\uparrow \text{ strong}\uparrow : \text{Pred}_0 S \rightarrow \text{Pred}_0 (\text{Maybe } S)$

$\text{weak}\uparrow = \text{M.All.All}$

$\text{strong}\uparrow = \text{M.Any.Any}$

$_ \uparrow \circ _ : \text{Pred}_0 S \rightarrow (S \rightarrow \text{Maybe } S) \rightarrow \text{Pred}_0 S$

$P \uparrow \circ f = \text{strong}\uparrow P \circ f$

$\langle _ \rangle _ \langle _ \rangle : \text{Assertion} \rightarrow L \rightarrow \text{Assertion} \rightarrow \text{Type}$

$\langle P \rangle \text{!} \langle Q \rangle = P \vdash Q \uparrow \circ \text{!} \text{!}$

Adding Partiality: Frame Rule

$\diamond - \llbracket \cdot \rrbracket : \forall s_1' \rightarrow$

- $\llbracket l \rrbracket s_1 \equiv \text{just } s_1'$
- $\langle s_1 \diamond s_2 \rangle \equiv s$

$(\langle s_1' \diamond s_2 \rangle \equiv_{-} \uparrow \circ \llbracket l \rrbracket) s$

$[\text{FRAME}] : \forall R \rightarrow$

$\langle P \rangle l \langle Q \rangle$

$\langle P * R \rangle l \langle Q * R \rangle$

Adding Partiality: Parallel Rule

◇-interleave :

- $(l_1 \parallel l_2 \equiv l)$
- $\langle s_1 \diamond s_2 \rangle \equiv s$
- $\llbracket l_1 \rrbracket s_1 \equiv \text{just } s_1'$
- $\llbracket l_2 \rrbracket s_2 \equiv \text{just } s_2'$

$$\begin{aligned} \exists \lambda s' \rightarrow & (\llbracket l \rrbracket s \equiv \text{just } s') \\ & \times (\langle s_1' \diamond s_2' \rangle \equiv s') \end{aligned}$$

[PAR] :

- $l_1 \parallel l_2 \equiv l$
- $\langle P_1 \rangle l_1 \langle Q_1 \rangle$
- $\langle P_2 \rangle l_2 \langle Q_2 \rangle$

$$\langle P_1 * P_2 \rangle l \langle Q_1 * Q_2 \rangle$$

Adding Partiality: Example derivation (monolithic)

A B C D : Part

$t_1 = A \rightarrow \langle 1 \rangle B$; $t_2 = D \rightarrow \langle 1 \rangle C$; $t_3 = B \rightarrow \langle 1 \rangle A$; $t_4 = C \rightarrow \langle 1 \rangle D$

$t_{1-4} = L \ni \llbracket t_1, t_2, t_3, t_4 \rrbracket$

$_ : \langle A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \rangle t_{1-4} \langle A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \rangle$

$_ = \text{begin } A \mapsto 1 * B \mapsto 0 \quad * C \mapsto 0 * D \mapsto 1 \sim \llbracket * \rrbracket \rangle$

$(A \mapsto 1 * B \mapsto 0) * C \mapsto 0 * D \mapsto 1 \sim \langle t_1 :- [\text{FRAME}] (C \mapsto 0 * D \mapsto 1) (A \leadsto B) \rangle$

$(A \mapsto 0 * B \mapsto 1) * C \mapsto 0 * D \mapsto 1 \sim \llbracket * \rrbracket \rangle$

$(C \mapsto 0 * D \mapsto 1) * A \mapsto 0 * B \mapsto 1 \sim \langle t_2 :- [\text{FRAME}] (A \mapsto 0 * B \mapsto 1) (C \leadsto D) \rangle$

$(C \mapsto 1 * D \mapsto 0) * A \mapsto 0 * B \mapsto 1 \sim \llbracket * \rrbracket \rangle$

$(A \mapsto 0 * B \mapsto 1) * C \mapsto 1 * D \mapsto 0 \sim \langle t_3 :- [\text{FRAME}] (C \mapsto 1 * D \mapsto 0) (A \leadsto B) \rangle$

$(A \mapsto 1 * B \mapsto 0) * C \mapsto 1 * D \mapsto 0 \sim \llbracket * \rrbracket \rangle$

$(C \mapsto 1 * D \mapsto 0) * A \mapsto 1 * B \mapsto 0 \sim \langle t_4 :- [\text{FRAME}] (A \mapsto 1 * B \mapsto 0) (C \leadsto D) \rangle$

$(C \mapsto 0 * D \mapsto 1) * A \mapsto 1 * B \mapsto 0 \sim \llbracket * \rrbracket \rangle$

$(A \mapsto 1 * B \mapsto 0) * C \mapsto 0 * D \mapsto 1 \sim \llbracket * \rrbracket \rangle$

$A \mapsto 1 * B \mapsto 0 \quad * C \mapsto 0 * D \mapsto 1 \blacksquare$

Adding Partiality: Example derivation (modular)

```
_ : < A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 > t1-4 < A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 >  
_ = begin A ↦ 1 * B ↦ 0      * C ↦ 0 * D ↦ 1 ~⟨ *↦ >  
      (A ↦ 1 * B ↦ 0) * C ↦ 0 * D ↦ 1 ~⟨ t1-4 :- [PAR] auto H1 H2 > ++  
      (A ↦ 1 * B ↦ 0) * C ↦ 0 * D ↦ 1 ~⟨ ↦* >  
      A ↦ 1 * B ↦ 0      * C ↦ 0 * D ↦ 1 ■
```

where

```
H1 : < A ↦ 1 * B ↦ 0 > t1 :: t3 :: [] < A ↦ 1 * B ↦ 0 >
```

```
H1 = begin A ↦ 1 * B ↦ 0 ~⟨ t1 :- A ↦ B >
```

```
      A ↦ 0 * B ↦ 1 ~⟨ t3 :- A ↦ B >
```

```
      A ↦ 1 * B ↦ 0 ■
```

```
H2 : < C ↦ 0 * D ↦ 1 > t2 :: t4 :: [] < C ↦ 0 * D ↦ 1 >
```

```
H2 = begin C ↦ 0 * D ↦ 1 ~⟨ t2 :- C ↦ D >
```

```
      C ↦ 1 * D ↦ 0 ~⟨ t4 :- C ↦ D >
```

```
      C ↦ 0 * D ↦ 1 ■
```



UTxO: Barebones Setup

```
S = Map< TxOutputRef  $\mapsto$  TxOutput >
```

```
record IsValidTx (tx : Tx) (utxos : S) : Type where
```

```
  field
```

```
    noDoubleSpending :
```

```
      •Unique (outputRefs tx)
```

```
    validOutputRefs :
```

```
       $\forall [ \text{ref} \in \text{outputRefs } tx ] (\text{ref} \in^d \text{utxos})$ 
```

```
    preservesValues :
```

```
       $tx . \text{forge} + \sum \text{resolvedInputs } (\text{value} \circ \text{proj}_2) \equiv \sum (tx . \text{outputs}) \text{ value}$ 
```

```
    allInputsValidate :
```

```
       $\forall [ i \in tx . \text{inputs} ] T (i . \text{validator } txInfo (i . \text{redeemer}))$ 
```

```
    validateValidHashes :
```

```
       $\forall [ (i , o) \in \text{resolvedInputs} ] (o . \text{address} \equiv i . \text{validator } \#)$ 
```


UTxO: Denotational Semantics

instance

$\llbracket T \rrbracket : \text{Denotable Tx}$

$\llbracket T \rrbracket . \llbracket - \rrbracket \text{ tx } s = \text{M.when } (\text{isValidTx tx } s) (s - \text{outputRefs tx } \cup \text{utxoTx tx})$

$\llbracket L \rrbracket : \text{Denotable L}$

$\llbracket L \rrbracket . \llbracket - \rrbracket [] \quad s = \text{just } s$

$\llbracket L \rrbracket . \llbracket - \rrbracket (t :: l) = \llbracket t \rrbracket \Rightarrow \llbracket l \rrbracket$

$\text{comp} : \forall x \rightarrow \llbracket l ++ l' \rrbracket x \equiv (\llbracket l \rrbracket \Rightarrow \llbracket l' \rrbracket) x$

$\text{comp } \{[]\} \quad _ = \text{refl}$

$\text{comp } \{t :: l\} x \text{ with } \llbracket t \rrbracket x$

... | $\text{nothing} = \text{refl}$

... | $\text{just } s = \text{comp } \{l\} s$

UTxO: Separation via Disjointness

$_ *__ : \text{Op}_2 \text{ Assertion}$

$$(P * Q) \ s = \exists \lambda \ s_1 \rightarrow \exists \lambda \ s_2 \rightarrow \langle s_1 \uplus s_2 \rangle \equiv s \times P \ s_1 \times Q \ s_2$$

$\uplus - [\] : \forall \ s_1' \rightarrow$

- $[\ l \] \ s_1 \equiv \text{just } s_1'$
- $\langle s_1 \uplus s_2 \rangle \equiv s$

$$(\langle s_1' \uplus s_2 \rangle \equiv _ \uparrow \circ [\ l \]) \ s$$

$[\text{FRAME}] : \forall \ R \rightarrow$

- $l \# R$
- $\langle P \rangle l \langle Q \rangle$

$$\langle P * R \rangle l \langle Q * R \rangle$$

$[\text{PAR}] :$

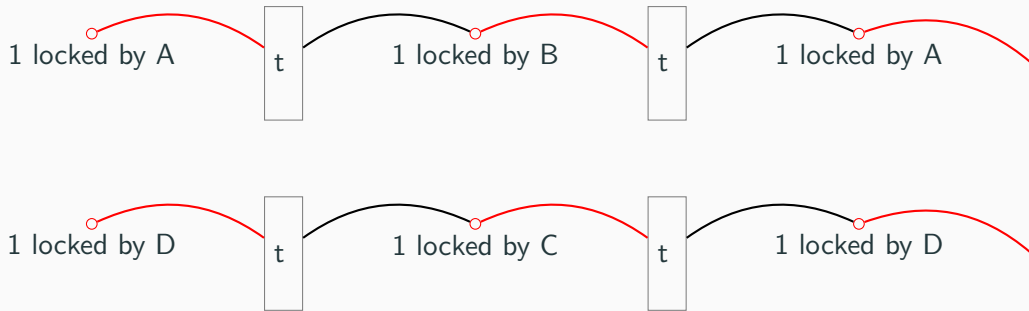
- $l_1 \# P_2$
- $l_2 \# P_1$
- $l_1 \parallel l_2 \equiv l$
- $\langle P_1 \rangle l_1 \langle Q_1 \rangle$
- $\langle P_2 \rangle l_2 \langle Q_2 \rangle$

$$\langle P_1 * P_2 \rangle l \langle Q_1 * Q_2 \rangle$$

UTxO: Example transaction graph

A B C D : Address

$t_{1-4} = L \ni [t_1, t_2, t_3, t_4]$



UTxO: Example derivation (monolithic)

```
_ : ⟨ t00 ↦ 1 at A * t01 ↦ 1 at D ⟩ t1-4 ⟨ t30 ↦ 1 at A * t40 ↦ 1 at D ⟩
_ = begin t00 ↦ 1 at A * t01 ↦ 1 at D ~⟨ t1 :- [FRAME] (t01 ↦ 1 at D) t1# ... ⟩
      t10 ↦ 1 at B * t01 ↦ 1 at D ~⟨ *↔ ⟩
      t01 ↦ 1 at D * t10 ↦ 1 at B ~⟨ t2 :- [FRAME] (t10 ↦ 1 at B) t2# ... ⟩
      t20 ↦ 1 at C * t10 ↦ 1 at B ~⟨ *↔ ⟩
      t10 ↦ 1 at B * t20 ↦ 1 at C ~⟨ t3 :- [FRAME] (t20 ↦ 1 at C) t3# ... ⟩
      t30 ↦ 1 at A * t20 ↦ 1 at C ~⟨ *↔ ⟩
      t20 ↦ 1 at C * t30 ↦ 1 at A ~⟨ t4 :- [FRAME] (t30 ↦ 1 at A) t4# ... ⟩
      t40 ↦ 1 at D * t30 ↦ 1 at A ~⟨ *↔ ⟩
      t30 ↦ 1 at A * t40 ↦ 1 at D ■
```

```
where postulate t1# : [ t1 ] # (t01 ↦ 1 at D)
              t2# : [ t2 ] # (t10 ↦ 1 at B)
              t3# : [ t3 ] # (t20 ↦ 1 at C)
              t4# : [ t4 ] # (t30 ↦ 1 at A)
```

UTxO: Example derivation (modular)

```
_ : < t00 ↦ 1 at A * t01 ↦ 1 at D > t1-4 < t30 ↦ 1 at A * t40 ↦ 1 at D >  
_ = begin t00 ↦ 1 at A * t01 ↦ 1 at D ~< t1-4 :- [PAR] ... auto H1 H2 > ++  
      t30 ↦ 1 at A * t40 ↦ 1 at D ■
```

where

```
H1 : < t00 ↦ 1 at A > t1 :: t3 :: [] < t30 ↦ 1 at A >
```

```
H1 = begin t00 ↦ 1 at A ~< t1 :- ... >
```

```
      t10 ↦ 1 at B ~< t3 :- ... >
```

```
      t30 ↦ 1 at A ■
```

```
H2 : < t01 ↦ 1 at D > t2 :: t4 :: [] < t40 ↦ 1 at D >
```

```
H2 = begin t01 ↦ 1 at D ~< t2 :- ... >
```

```
      t20 ↦ 1 at C ~< t4 :- ... >
```

```
      t40 ↦ 1 at D ■
```



Abstract UTxO: Setup

$S = \text{Bag}\langle \text{TxOutput} \rangle$

record IsValidTx (tx : Tx) (utxos : S) : Type **where**

field

validOutputRefs :

$\text{stxoTx } tx \subseteq^s \text{utxos}$

preservesValues :

$tx.\text{forge} + \sum (tx.\text{inputs}) (\text{value} \circ \text{outputRef}) \equiv \sum (tx.\text{outputs}) \text{value}$

allInputsValidate :

$\forall [i \in tx.\text{inputs}] T (i.\text{validator } txInfo (i.\text{redeemer}))$

validateValidHashes :

$\forall [i \in tx.\text{inputs}] (i.\text{outputRef}.\text{address} \equiv i.\text{validator} \#)$

Abstract UTxO: Denotational Semantics

instance

$\llbracket T \rrbracket : \text{Denotable Tx}$

$\llbracket T \rrbracket . \llbracket - \rrbracket tx\ s = M.\text{when } (\text{isValidTx } tx\ s) (s - \text{stxoTx } tx \cup \text{utxoTx } tx)$

$\llbracket L \rrbracket : \text{Denotable L}$

$\llbracket L \rrbracket . \llbracket - \rrbracket [] \quad s = \text{just } s$

$\llbracket L \rrbracket . \llbracket - \rrbracket (t :: l) = \llbracket t \rrbracket \Rightarrow \llbracket l \rrbracket$



Abstract UTxO: Monoidal Separation once again

$_ * _ : \text{Op}_2 \text{ Assertion}$

$$(P * Q) \ s = \exists \lambda \ s_1 \rightarrow \exists \lambda \ s_2 \rightarrow \langle s_1 \diamond s_2 \rangle \equiv s \times P \ s_1 \times Q \ s_2$$

$* \leftrightarrow : P * Q \vdash Q * P$

$$* \leftrightarrow \{x = s\} (s_1, s_2, \equiv s, P s_1, Q s_2) = s_2, s_1, \diamond \equiv \text{-comm} \{s = s\} \{s_1\} \{s_2\} \equiv s, Q s_2, P s_1$$

$* \leadsto : P * Q * R \vdash (P * Q) * R$

$$\begin{aligned} * \leadsto \{x = s\} (s_1, s_2 \diamond s_3, \equiv s, P s_1, (s_2, s_3, \equiv s_2 \diamond s_3, Q s_2, R s_3)) = \\ \text{let } \equiv s_{12} = \diamond \approx \text{-assoc}^r \{s_1 = s_1\} \{s_2 \diamond s_3\} \{s\} \{s_2\} \{s_3\} \equiv s \equiv s_{23} \text{ in} \\ (s_1 \diamond s_2), s_3, \equiv s_{12}, (s_1, s_2, \approx \text{-refl} \{x = s_1 \cup s_2\}, P s_1, Q s_2), R s_3 \end{aligned}$$

$\leftarrow * : (P * Q) * R \vdash P * Q * R$

$$\begin{aligned} \leftarrow * \{x = s\} (s_{12}, s_3, \equiv s, (s_1, s_2, \equiv s_{12}, P s_1, Q s_2), R s_3) = \\ \text{let } \equiv s_{23} = \diamond \approx \text{-assoc}^l \{s_{12} = s_{12}\} \{s_3\} \{s\} \{s_1\} \{s_2\} \equiv s \equiv s_{12} \text{ in} \\ s_1, s_2 \diamond s_3, \equiv s_{23}, P s_1, (s_2, s_3, \approx \text{-refl} \{x = s_2 \cup s_3\}, Q s_2, R s_3) \end{aligned}$$

Abstract UTxO: Separation Logic Rules

[FRAME] : $\forall R \rightarrow$

$\langle P \rangle \mathbin{\text{!}} \langle Q \rangle$

$\langle P * R \rangle \mathbin{\text{!}} \langle Q * R \rangle$

[PAR] :

- $\mathbin{\text{!}}_1 \parallel \mathbin{\text{!}}_2 \equiv \mathbin{\text{!}}$

- $\langle P_1 \rangle \mathbin{\text{!}}_1 \langle Q_1 \rangle$

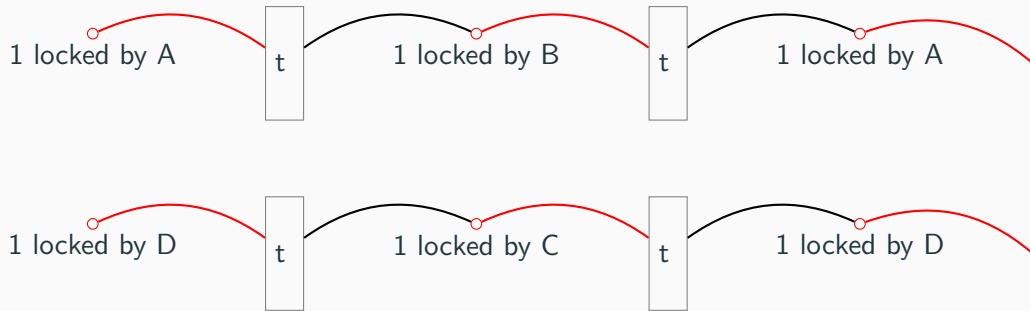
- $\langle P_2 \rangle \mathbin{\text{!}}_2 \langle Q_2 \rangle$

$\langle P_1 * P_2 \rangle \mathbin{\text{!}} \langle Q_1 * Q_2 \rangle$

Abstract UTxO: Example transaction graph

A B C D : Address

$t_{1-4} = L \ni [t_1, t_2, t_3, t_4]$



Abstract UTxO: Example derivation (monolithic)

```
_ : < A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 > t1-4 < A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 >  
_ = begin A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 ~⟨⟨ * ~ > >  
    (A ↦ 1 * B ↦ 0) * C ↦ 0 * D ↦ 1 ~⟨ t1 :- [FRAME] (C ↦ 0 * D ↦ 1) (A ~ B) >  
    (A ↦ 0 * B ↦ 1) * C ↦ 0 * D ↦ 1 ~⟨⟨ * ↔ > >  
    (C ↦ 0 * D ↦ 1) * A ↦ 0 * B ↦ 1 ~⟨ t2 :- [FRAME] (A ↦ 0 * B ↦ 1) (C ← D) >  
    (C ↦ 1 * D ↦ 0) * A ↦ 0 * B ↦ 1 ~⟨⟨ * ↔ > >  
    (A ↦ 0 * B ↦ 1) * C ↦ 1 * D ↦ 0 ~⟨ t3 :- [FRAME] (C ↦ 1 * D ↦ 0) (A ← B) >  
    (A ↦ 1 * B ↦ 0) * C ↦ 1 * D ↦ 0 ~⟨⟨ * ↔ > >  
    (C ↦ 1 * D ↦ 0) * A ↦ 1 * B ↦ 0 ~⟨ t4 :- [FRAME] (A ↦ 1 * B ↦ 0) (C ~ D) >  
    (C ↦ 0 * D ↦ 1) * A ↦ 1 * B ↦ 0 ~⟨⟨ * ↔ > >  
    (A ↦ 1 * B ↦ 0) * C ↦ 0 * D ↦ 1 ~⟨⟨ * ~ > >  
A ↦ 1 * B ↦ 0 * C ↦ 0 * D ↦ 1 ■
```

Abstract UTxO: Example derivation (modular)

$_ : \langle A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \rangle t_{1-4} \langle A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \rangle$
 $_ = \text{begin } A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \quad \sim \langle * \sim \rangle$
 $(A \mapsto 1 * B \mapsto 0) * C \mapsto 0 * D \mapsto 1 \sim \langle t_{1-4} :- [\text{PAR}] \text{ auto } H_1 H_2 \rangle ++$
 $(A \mapsto 1 * B \mapsto 0) * C \mapsto 0 * D \mapsto 1 \sim \langle \leftarrow * \rangle$
 $A \mapsto 1 * B \mapsto 0 * C \mapsto 0 * D \mapsto 1 \quad \blacksquare$

where

$H_1 : \mathbb{R} \langle A \mapsto 1 * B \mapsto 0 \rangle t_1 :: t_3 :: [] \langle A \mapsto 1 * B \mapsto 0 \rangle$
 $H_1 = A \mapsto 1 * B \mapsto 0 \sim \langle t_1 :- A \sim B \rangle$
 $A \mapsto 0 * B \mapsto 1 \sim \langle t_3 :- A \leftarrow B \rangle$
 $A \mapsto 1 * B \mapsto 0 \quad \blacksquare$

$H_2 : \mathbb{R} \langle C \mapsto 0 * D \mapsto 1 \rangle t_2 :: t_4 :: [] \langle C \mapsto 0 * D \mapsto 1 \rangle$
 $H_2 = C \mapsto 0 * D \mapsto 1 \sim \langle t_2 :- C \leftarrow D \rangle$
 $C \mapsto 1 * D \mapsto 0 \sim \langle t_4 :- C \sim D \rangle$
 $C \mapsto 0 * D \mapsto 1 \quad \blacksquare$

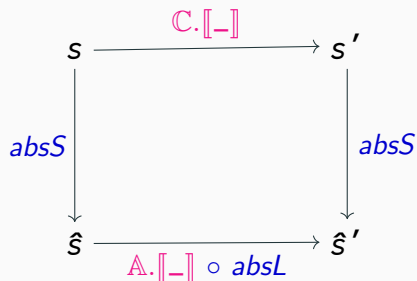
Sound Abstraction: States and Validity

$\text{absS} : \mathbb{C}.S \rightarrow \mathbb{A}.S$

$\text{absVT} : \mathbb{C}.\text{IsValidTx } t \ s \rightarrow \exists \lambda \hat{t} \rightarrow \mathbb{A}.\text{IsValidTx } \hat{t} \ (\text{absS } s)$

$\text{absVL} : \mathbb{C}.\text{ValidLedger } s \ l \rightarrow \exists \lambda \hat{l} \rightarrow \mathbb{A}.\text{ValidLedger } (\text{absS } s) \ \hat{l}$

Sound Abstraction: Denotations Coincide



$\text{denot-abs} : \forall (v\ell : \mathbb{C}.\text{ValidLedger } s \ell) \rightarrow$
 $\mathbb{A}.\llbracket \text{absL } v\ell \rrbracket (\text{absS } s) \equiv (\text{absS } \langle \$ \rangle \mathbb{C}.\llbracket \ell \rrbracket s)$

Sound Abstraction

soundness :

$\forall (vl : \mathbb{C}.ValidLedger\ s\ l) \rightarrow$

$\mathbb{A} \langle P \rangle\ absL\ vl\ \langle Q \rangle$

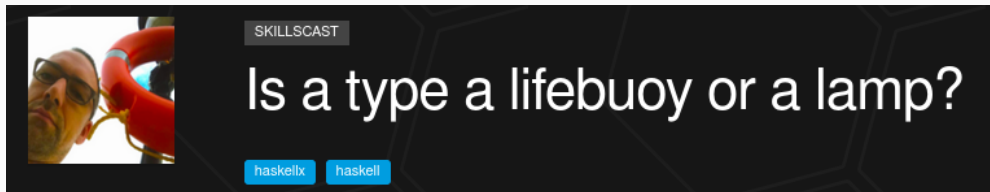
$\mathbb{C} \langle P \circ absS \rangle\ l\ \langle Q \circ absS \rangle$

Future Work

- Deeper compositionality (i.e. monoidally exploit the values in the bag)
 - will require further abstraction of split/merge transactions
- Go beyond the monetary values (states, transaction data)
 - leads to more practical verification of smart contracts
- Generalise to multiple separation views, aka zooming levels
- Generically grow such separation logics, i.e. “Separation Logics à la carte”

Conclusion

Agda as a design guide, rather than merely a verification tool of existing systems.



Conor McBride

Questions?

<https://github.com/omelkonian/hoare-ledgers>

